### I. R. Paterson (russell.paterson@deb.uminho.pt)

1. I am unclear how the data in Paterson et al. (2015) supported LULC change in Indonesia as was stated in the manuscript. The authors should clarify.

Line 718 (in the discussion): Paterson et al. (2015) mention that the LST in Malaysia increased over the last four decades of 2.7 - 4.0 degrees C per 100 years. This is an example of LST change in another region close to Indonesia. However, this is not from first hand observation, data or research but results from the Malaysian Meteorological Department. We admit that this reference is misplaced here and removed the reference to Paterson et al. (2015) from the discussion.

2. They could also write LULC in full at first with the abbreviation in brackets afterwards. *We realize that the abbreviation LULC is introduced in line 600 and that it was not defined anywhere else. We added the full words followed by the abbreviation in line 600 as "LST patterns across different land use and land cover (LULC) types.* 

3. The English could be tightened up in parts of the paper.

a. E.g. they start sentences with "We" on lines 31 and 34. Also, this is not the passive voice. Similarly, "Our" lines 40 and 43.

We have tried to improve the English in the manuscript, removing the repeated words in the same paragraph, such as the "we" or "our" in the indicated lines. We have especially tightened the abstract, but kept it in active voice, as the Biogeosciences' guidelines do not explicitly mention the preferred style of writing.

b. Line 57: "in the past decades" is imprecise and clumsy. We changed this to "in the past two and a half decades" to refer to our study period 2000 - 2015

c. Lines 66-69: Three "and"s are used in this sentence. Not the best English. *The biophysical variables (albedo, emissivity and surface roughness) affect gas and energy exchange processes between the land surface and the atmosphere. We did not find any obsolete "and" in this construction.* 

d. Line 75: Replace "rise" with "increase". *We changed the verb as suggested.* 

e. Line 94-96: You should not have to explain what the "vice versa" is.

We removed the "vice versa" and connected the remaining sentence to the previous. We wanted to describe both situations of ET increase and ET decrease: what happens when ET increases and when ET decreases. The rephrased sentence is now: "In case ET is decreased, surface temperatures and fluxes of sensible heat (H) increase. On the other hand, when ET increases, increased LE fluxes lower surface temperatures and decrease H fluxes (Mahmood et al., 2014)."

f. Anyway, I will stop there as its too much work to point out other such smallish things. Maybe you consider these unimportant...

We thank Dr. Paterson for his comments and suggestions for improvements. We are happy to incorporate the suggested language corrections. Additionally, we reread the entire manuscript and made further English correction.

### II. A. RIVAL (alain.rival@cirad.fr)

1. Given the nature, impact and extend of results presented the paper submitted by Sabajo et al, the present title of article clearly appears as unapropriate. Indeed results do not show any pivotal role for oil palm cultivation which should support the assumption that it is a key driver of phenomena observed. The title should stick only to facts and findings, which tend to evidence a difference between native forest and cultivated land in terms of land surface temperature.

We agree with Dr. Rival that the title is too general. Our study shows results from Jambi province/Indonesia only, thus we now mention this specifically in the title. We however think that the evidence from our study is sufficient to link the observed increase of land surface temperature to the expansion of oil palm and other cash crops as the observed temperature increase at provincial level is in line with the observed temperature differences across land use type and the expansion of oil palm and cash crops over the last two and a half decades in the Jambi province. The area cultivated with oil palm grew faster than the area cultivated with rubber plantations between 1990 and 2011 (Clough et al. 2016). The title of our article is now: "Expansion of oil palm and other cash crops cause an increase of the surface temperature of the Jambi province in Indonesia"

### III. Anonymous Referee #1

General: The authors investigate the effect of land cover change (from forests to 'other' and mostly oil palm plantations) on regional land surface temperatures. They use remote sensing to determine LST (and albedo, NDVI, and ET). They conclude that conversion from forests had led to a  $\sim$  1 degree C temperature (positive) change after accounting for albedo. They also conclude that this is a positive feedback to climate warming.

## **1.** I only suggest some minor edits and (if the authors can) and expansion of the discussion of what these LST changes might translate to in the atmosphere?

The reviewer brings up an important issue of land surface – atmosphere feedback. A recent study by Tölle et al.  $(2016)^{\#}$  showed for SE Asia as a whole that land use change at large scale impacts the boundary layer structure, cloud-cover regime and other aspects of local and regional weather and climate. Particularly, land clearings can amplify the response to climatic extreme events such as El Nino Southern Oscillation. Analyzing this kind of effects require however a regional climate model, which is beyond the scope of our study. We now discuss this aspect in the manuscript and added the following sentence:

Line 773: "A recent study by Tölle et al. (2017) showed for SE Asia that land use change at large scale may increase not only surface temperature but also impact other aspects of local and regional weather and climate occurring also in regimes remote from the original landscape disturbance. Land clearings can amplify the response to climatic extreme events such as El Nino Southern Oscillation."

#Tölle, M. H., Engler, S., and Panitz, H. 2017: Impact of Abrupt Land Cover Changes by Tropical Deforestation on Southeast Asian Climate and Agriculture. *Journal of Climate*, **30**(7), 2587 – 2600, doi: 10.1175/JCLI-D-16-0131.1.

2. How much larger of a region will they affect? How would you determine this? *This study focuses on local and effects at the provincial level. Estimating or predicting the effects at a larger regional scale also requires a regional climate model as used in the study of* 

Tölle et al. (2017). Tölle et al. (2017) show that the effects of land use changes occur in remote regions other than where the land use changes occur. These effects are caused by the impacts the land cover change has on El Niño/La Niña episodes thereby enhancing wetter conditions in other regions, whereas in other regions wetter conditions decrease.

Introduction: nicely written and I appreciate the well thought out definitions.

3. Line 96: missing an "as" after "such". *We added the missing word as suggested.* 

4. Methods: Could you describe the study sites in a little more detail (rather than the reference Drescher).

*We added the following information:* 

L. 186: "Previously logged rainforests in the Jambi province have been converted into intensively managed agro-industrial production zones as well as into smallholder farms to grow cash crop trees of rubber (Hevea brasiliensis) and oil palm (Elaeis guineensis) or fast-growing tree species such as Acacia mangium for pulp production (Drescher et al., 2016). The area cultivated with oil palm grew faster than the area cultivated with rubber plantations between 1990 and 2011 (Clough et al. 2016)."

5. ET calculations: I'm familiar with the use of satellite data for all of the variables except for ET. Did you compare ET with the tower sites? How well does it work? I see that you added this to the supplement, but it would be nice to have a validation of this method explained in the main text.

We have ET and LE estimates from eddy covariance measurements for two oil palm plantations in Jambi Province (young and mature oil palm plantation). Our SEBAL based LE estimates are within the variability range of LE measured from eddy covariance under similar meteorological conditions.

We added the following text: "The SEBAL based LE estimates are within the variability range of LE measurements using the eddy covariance technique under similar meteorological conditions (see SI)."

6. Results: Line 405-406: Hot = red? And cool = Blue colors. Can you please specify this? In our description of the figure we added the matching colors as suggested. Line 405 – 406: "the hot areas (red) correspond to the known clear-cut areas, urban areas or other sparsely vegetated areas, the cooler areas (blue) correspond to vegetated areas such as forest, plantation forests and mature oil palm plantations."

7. Discussion: Line 668: When I look at the figures, there also seems to be a high correlation between NDVI and ET (simply because the response pattern, the pattern of the changes, look very similar). Can you explain this? Is it because of the ET calculation?

Yes, ET and NDVI are highly correlated on one hand because the NDVI is used in the calculation of ET. On the other hand, another input for ET is LST, which is calculated from the raw thermal band (L6). L6 and NDVI are also highly correlated (r = -0.87) (see table 2, Line 494 - 502) even though NDVI and L6 are derived/measured from independent satellite bands. Thus, it come as no surprise that there is a correlation between NDVI and ET.

8. Line 763: "concurrent to" should be "concurrent with"

We changed the sentence with the correct prepositions as suggested.

9. Line 768: "governmental" should be "government" *We changed this and used the correct word.* 

Final remarks: This is a well-written, well-organized manuscript. I support publication in Biogeosciences.

We thank the anonymous referee for reviewing the manuscript and for the suggestions to improve the manuscript.

### IV. Anonymous Referee #2

General comments: Sabajo et al. evaluates the impact of land use changes on land surface temperatures in Indonesia over the MODIS timespan (1999-2015). The study is well written and provides a good, long-term observational analysis clearly showing the impact of regional deforestation on increasing land surface temperature across an entire region.

We thank the anonymous referee for reviewing the manuscript and for the suggestions to improve the manuscript.

1. The only general comment I have is that it would be good to include a **seasonality analysis** showing how deforestation has changed land surface temperature in both wet and dry season. I know that satellite remote sensing is more challenging during the wet season, but I think evaluating the impact of land changes with seasonality would be useful. This could also highlight likely reductions in ET with land change (and shallower rooting zones) during the dry season. The dry season is also when heat impacts (including wildfires) could be more significant.

We agree that a seasonality analysis might show differences between the wet and dry season. We now made a seasonality analysis. Overall, the relationships in the dry season are stronger than for the wet season as we have much more usable data during the dry season. We found significant differences between LST of the dry and wet season. At 10:30 am the LST increased  $0.09 \pm 0.02$  °C per year during the dry season, while the increase during the wet season was lower (0.06  $\pm$  0.02 °C per year) (Fig. S10.1). Around 1:30 pm the LST increased 0.08  $\pm$  0.03 °C per year, against  $0.03 \pm 0.02$  °C increase per year during the wet season. At 10:30 pm the LST increased  $0.03 \pm 0.01$  °C per year in the dry season, compared to a LST increase of 0.02  $\pm 0.01$  °C in the wet season. At 1:30 am, the LST increased  $0.05 \pm 0.02$  °C in the dry season, while the LST during the wet season increased  $0.05 \pm 0.03$  °C. The increase of the LST at 1:30 pm, 10:30 pm and 1:30 am in the wet season was not significant (p = 0.12, p = 0.06 and p =0.11, respectively). The significant increase of the LST during the dry season at all 4 times of observations suggests that the warming is more pronounced during the dry season compared to the wet season, which is reasonable as we have more incoming radiation during the dry season. Nevertheless, we prefer to pool the data from the dry and the wet season in order to get more statistically robust relationships.

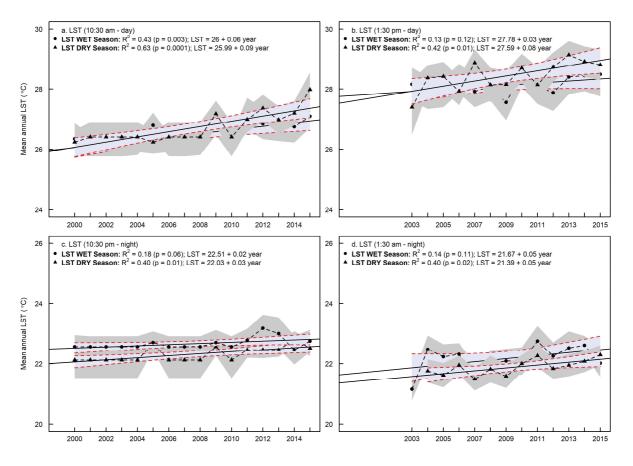
In our analysis of the MODIS LST data we have not come across anomalous LST that could be attributed to forest fires. This is caused by the mask we applied in selecting the best quality pixels which mostly also removed pixels covered by smoke. A seasonality analysis is not possible with Landsat data because there is not enough data.

We added the following sentence to the manuscript (line 755):

"We like to point out that our MODIS analysis has a larger proportion of data from the dry season compared from the wet season, as there were more cloud free conditions during the dry season. Thus, our reported warming effect reflects cloud free conditions. During cloudy conditions, particularly in the wet season, the warming effect is expected to be lower."

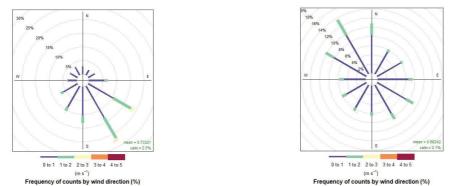
We also added the seasonal analysis to the supplementary information (S10).

We thank the anonymous referee for reviewing the manuscript and for the suggestions to improve the manuscript.



**Fig. S10.1** (from manuscript, extended): Wet and dry season are separated. Wet season: All months except June – September/October; Dry season: June – September/October (Meijide et al., 2017 & Drescher et al., 2016). This figure has been added to the Supplementary Information (S10)

2. Specific comments: For Figure 1 (and text in the manuscript related to Figure 1), it might be good to describe the general atmospheric circulation for wet and dry seasons (are winds from the east or from the west). This would help the reader evaluate whether there are substantial land use changes upwind of the forest plots that are used as the baseline "control" to evaluate land surface temperature changes to due land use changes and not overall global climate change. *We include a wind rose from one of our reference meteorological stations in the area, (see Drescher et al., 2016), for data collected between October 2013 to May 2016. Based on the climate diagram for the region (obtained from data from 1991-2011) we considered as the dry season the months of June-September and the rest was considered as wet season. See the wind roses for the dry (left) and wet (right) seasons below:* 



During the dry season winds were predominantly from the SE, whereas during the wet season winds where predominantly from the NW. The SE vs. NW shift in wind directions is in line with the regional monsoonal circulation. The landscape in the lowland of Jambi province is, however, very patchy with small-scale mosaics of different land uses. While we cannot fully rule out that advection from upwind land use changes may play a role, but it seems unlikely to have a systematic bias given the typical patchiness of the landscape. Also warm air advection would mean that the "climate change" warming of the forested "control" site is overestimated, thus making the land-use change effect even larger.

### V. List of major changes

1. We have changed the title to: *"Expansion of oil palm and other cash crops cause an increase of the surface temperature of the Jambi province in Indonesia"*. The first title was too general, the results apply to the Jambi province and not to the whole of Indonesia.

2. We removed the reference of Paterson et al. (2015). After re-reading we concluded that this reference was not correctly cited and misplaced.

3. We added a new reference of Tölle et al. (2017). This reference was a new publication that complemented our results with a modelling approach.

4. We added 1 section to the supporting information (S10). S10 contains a seasonality analysis as suggested by anonymous referee #2. We also add a short sentence in the discussion and refer to the S10 for the results of the analysis.

5. Equations 9 - 11 have been renumbered from 10 - 12, due to a mistake in the equation 9 (which was by accident numbered as 1, while in fact that had to be equation 9).

6. We changed figure 5: we only adjusted the legend and paid attention to the rounding of the numbers in the equations.

# Expansion of oil palm and other cash crops causes an increase of land surface temperature of the Jambi province in Indonesia

- 3
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- 22

### 23 Abstract

24

Indonesia is currently one of the regions with the highest transformation rate of the land surface worldwide due to the expansion of oil palm plantations and other cash crops replacing forests on large scales. Land cover changes, which modify land surface properties, have a direct effect 28 on the land surface temperature (LST), a key driver for many ecological functions. Despite the 29 large historic land transformation in Indonesia toward oil palm and other cash crops and governmental plans for future expansion, this is the first study so far to quantify the impact of 30 31 land transformation in Indonesia on LST. We analyse LST from the thermal band of a Landsat image and produce a high resolution high-resolution surface temperature map (30m) for the 32 lowlands of the Jambi province ion Sumatra (Indonesia), a region of which suffered large land 33 34 transformation towards oil palm and other cash crops over the past decades. We-The 35 comparison ofe LST, albedo, Normalized Differenced Vegetation Index (NDVI), and evapotranspiration (ET) of between seven different land cover types (forest, urban areas, clear 36 37 cut land, young and mature oil palm plantations, acacia and rubber plantations) and shows that forests have lower surface temperatures than these land cover types, indicating a local warming 38 effect after forest conversion. with LST differences were up to  $10.09 \pm 2.6$  °C (mean  $\pm$  SD) 39 40 between forest and elear-cutclear-cut land. The differences in surface temperatures are 41 explained by an evaporative cooling effect, which offsettoffsetsing- thean albedo warming 42 effect. Our analysis of the LST trend of the past 16 years based on MODIS data, shows that the 43 average daytime surface temperature of the Jambi province increased by 1.05 °C, which 44 followed the trend of observed land cover changes and exceed the effects of climate warming. 45 Our This study provides evidence that the expansion of oil palm plantations and other cash 46 crops leads to changes in biophysical variables, warming the land surface and thus enhancing 47 the increase in air temperature due to climate change.

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- 49

50 *Keywords*: Land surface temperature, albedo, NDVI, evapotranspiration, biophysical variables,

51 oil palm, remote sensing, Landsat, MODIS, Indonesia, land-use / land cover change

- 52

- 54 **1 Introduction**
- 55

Indonesia is one of the regions where the expansion of cash crop monocultures such as acacia 56 57 (timber plantation), rubber, oil palm plantations and smallholder agriculture has drastically 58 reduced the area of primary forest in the past-last two and a half decades (Bridhikitti and 59 Overcamp, 2012; Drescher et al., 2016; Marlier et al., 2015; Miettinen et al., 2012; Verstraeten 60 et al., 2005). This large scale conversion of rainforest for agricultural use has been observed on 61 the island of Sumatra, which has experienced the highest primary rainforest cover loss in all of 62 Indonesia (Drescher et al., 2016; Margono et al., 2012; Miettinen et al., 2011). Forest cover in the Sumatran provinces of Riau, North Sumatra and Jambi, declined from 93 to 38% of 63 provincial area between 1977 and 2009 (Miettinen et al., 2012). These large scale 64 65 transformations, observed as land cover change, and land-use intensification have led to substantial losses in animal and plant diversity, and ecosystem functions and changed 66 microclimatic conditions (Clough et al., 2016; Dislich et al., 2016; Drescher et al., 2016). 67 68 Additionally, these changes directly alter vegetation cover and structure as well as land surface 69 properties such as albedo, emissivity, and surface roughness which affect gas and energy 70 exchange processes between the land surface and the atmosphere (Bright et al., 2015).

71

Replacing natural vegetation with another land cover modifies the surface albedo, which affects the amount of solar radiation that is absorbed or reflected and consequently alters net radiation and local surface energy balance. A low<u>er</u> or high<u>er</u> albedo results in <u>a</u> smaller or greater reflection of shortwave radiation. As a result, the higher or lower amounts of net radiation absorption may <u>increaserise</u> or <u>lower\_decrease</u> the surface temperature and change evapotranspiration (Mahmood et al., 2014).

Changes in land cover also alter surface emissivity, i.e. the ratio of radiation emitted from a surface to the radiation emitted from an ideal black body at the same temperature following the Stefan–Boltzmann law. Emissivity of vegetated surfaces varies with plant species, density, growth stage, water content and surface roughness (Snyder et al., 1998; Weng et al., 2004). A change of emissivity affects the net radiation because it determines the emission of longwave radiation that contributes to radiative cooling (Mahmood et al., 2014).

85

86 Water availability, surface type, soil humidity, local atmospheric and surface conditions affect 87 the energy partitioning into latent (LE), sensible (H) and ground heat (G) fluxes (Mildrexler et 88 al., 2011). Surface roughness affect the transferred sensible and latent heat by regulating vertical 89 mixing of air in the surface layer (van Leeuwen et al., 2011) thereby regulating land surface 90 temperature (LST). Through its association with microclimate, net radiation and energy 91 exchange (Coll et al., 2009; Sobrino et al., 2006; Voogt and Oke, 1998; Weng, 2009; Zhou and 92 Wang, 2011), LST is a major land surface parameter that also influences habitat quality and 93 thus the distribution of plants and animals and biodiversity.

94

95 The replacement of natural vegetation also changes -evapotranspiration (ET) (Boisier et al., 96 2014). In case When ET is decreases, surface temperatures and fluxes of sensible heat (H) 97 increase. On the other hand, when Vice versa when ET increases, the increased LE fluxes lower 98 surface temperatures and decrease H fluxes (Mahmood et al., 2014). Vegetation structure as 99 reflected by parameters such as the Normalized Difference Vegetation Index (NDVI), Leaf 100 Area Index (LAI) and vegetation height is in this respect an important determinant of the 101 resistances or conductivities to heat, moisture, and momentum transfer between the canopy and 102 the atmosphere (Bright et al., 2015) facilitating the amounts/ratios of sensible heat to water 103 vapour dissipation away from the surface (Hoffmann and Jackson, 2000).

Surface albedo, surface temperature, surface emissivity, and indirectly LAI and NDVI are interconnected through the surface radiation balance. When the land surface is changed, feedback mechanisms involving these biophysical variables control the radiation balance and the surface temperature.

To understand the effects of land cover changes on LST, the associated biophysical variables must be evaluated. This can be done through the surface radiation budget and energy partitioning which unites these biophysical variables directly or indirectly: albedo as direct determinant of the net solar radiation, NDVI as a vegetation parameter determining the emissivity<sub>a</sub> which in turn determines the amount of reflected and emitted longwave radiation, LST directly affecting the amount of emitted longwave radiation from the surface and ET<sub>a</sub> which affect<u>sing</u> the amount of energy that is used for surface cooling via evaporating of water.

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117 The effect of land cover change on LST is dependent on the scale, location, direction and type 118 of the change (Longobardi et al., 2016). Several studies showed an increase of the LST after 119 forest were conversionted: in China to built-up areas and agricultural land\_(Zhou and Wang, 120 2011), and toin crop land and pasture lands (Peng et al., 2014). in China. Similar findings were 121 reported for South American ecosystems: low vegetation such as grasslands in Argentina were 122 warmer than tall tree vegetation (Nosetto et al., 2005). In Brazil, the surface temperature 123 increased after the conversion of natural Cerrado vegetation (a savanna ecosystem) into 124 crop/pasture (Loarie et al., 2011a). Similar effects were also shown for other South American 125 biomes (Salazar et al., 2016). In a global analysis, Li et al. (2015) showed that the cooling of 126 forests is moderate at mid latitudes and that Northern boreal forests are even warmer, an 127 indication that the effect of land cover change on LST varies with the location of the land cover 128 change (Longobardi et al., 2016). Similar studies on the Indonesian Islands are lacking but 129 increases in surface temperature are expected as an effect of the expansion of oil palm and cash 130 crop land in the recent decades.

132 Measuring changes in LST is critical for understanding the effects of land cover changes, but 133 challenging. LST can be monitored with LST products retrieved from thermal infrared (TIR) 134 remote sensing data e.g. the use of the thermal bands of the Moderate Resolution Imaging 135 Spectrometer (MODIS) onboard the Terra and Aqua satellite (Sobrino et al., 2008), the thermal 136 band of the Thematic Mapper (TM) onboard the LANDSAT-5 platform (Sobrino et al., 2004, 137 2008) or Enhanced Thematic Mapper (ETM+) onboard the LANDSAT-7 platform. The 138 advantage of MODIS data is the availability of readily processed products at high temporal 139 resolution (daily) at medium (250 - 500 m) to coarse spatial resolution (1000 - 5000 m) scale; 140 MODIS LST product (MOD11A1/MYD11A1) for example is provided at a daily temporal 141 resolution with a spatial resolution of 1 km. Landsat data are provided at a higher spatial resolution (30 m), but its temporal resolution is however limited to 16 days and the retrieval of 142 143 LST requires the correction of the satellite observed radiances for atmospheric absorption and 144 emission (Coll et al., 2009). Besides LST, the connected biophysical variables of the energy 145 and radiation budget can be derived from the visible and near-infrared (VIS-NIR) bands of 146 either MODIS or Landsat, making integrated monitoring of the biophysical variables related to 147 changing land surface possible. In Indonesia, a large proportion of the land use changes is 148 driven by small-holders (Dislich et al. 2016), thus a combination of Landsat (for a fine spatial 149 resolution) and MODIS (for temporal developments) seems desirable.

150

The modification of the physical properties of the land surface influences climate/local microclimatic conditions via biogeochemical and biophysical processes. Therefore, given Indonesia's history of large scale agricultural land conversion and governmental plans to substantially expand the oil palm production, it is important to study the effect of the expansion of cash crop areas on the biophysical environment, especially on LST as a key land surface parameter. These effects have been poorly studied in this region and according to our

157 knowledge this is the first study to quantify the effects of land use change on LST in Indonesia
158 We focus on the province of Jambi / Sumatra as it experienced large land transformation
159 towards oil palm and other cash crops such as rubber plantations in the past and <u>it may</u> serve as
160 an example of future changes in other regions.

161

162 Our main objective is to quantify the differences in LST across different land cover types and 163 to assess the impact of cash crop expansion on the surface temperature of Jambi province (on 164 Sumatra / Indonesia) in the past decades. With this study we aim to (1) evaluate the use of 165 Landsat and MODIS satellite data as sources for a reliable estimation of the surface temperature 166 in a tropical region with limited satellite data coverage by comparing the surface temperatures 167 retrieved from both satellite sources to each other and against ground observations, (2) to 168 quantify the LST variability across different land cover types and (3) to assess the long term 169 effects of land transformation on the surface temperature against the background of climatic 170 changes and (4) to identify the mechanisms that explain changes of the surface temperature 171 through changes in other biophysical variables. In this study we compare the surface 172 temperatures of different land cover types that replace forests (i.e. oil palm, rubber and acacia 173 plantations, clear cut land and urban areas) using high resolution Landsat and medium 174 resolution MODIS satellite data and discuss the differences by taking into account other 175 biophysical variables such as the albedo, NDVI and evapotranspiration (ET).

- 176
- 177 **2 Materials and methods**

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179 2.1 Study area
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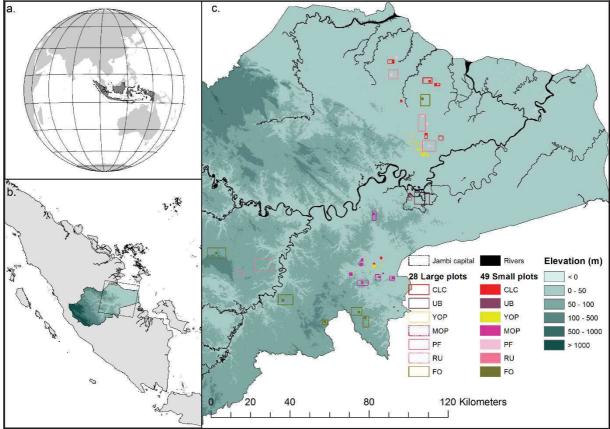
181 The study was carried out in the lowlands (approx. 25 000 km<sup>2</sup>) of the Jambi province (total 182 area 50 160 km<sup>2</sup>) on Sumatra, Indonesia, between latitudes 0°30'S and 2°30'S and longitudes

183 101°E and 104°30'E (Fig. 1). This region has undergone large land transformation towards oil 184 palm and rubber plantation over the past decades and thus may serve as an example of expected 185 changes in other regions of Indonesia (Drescher et al. 2016). The area has a humid tropical 186 climate with a mean annual temperature of  $26.7 \pm 0.2$  °C (1991 – 2011, annual mean  $\pm$  SD of the annual mean), with little intra-annual variation. Mean annual precipitation was  $2235 \pm 381$ 187 188 mm and a dry season with less than 120 mm monthly precipitation usually occurred between 189 June and September (Drescher et al., 2016). Previously logged rainforests in the Jambi province 190 have been converted to intensively managed agro-industrial production zones as well as into 191 smallholder farms to grow for-cash crops of tree of rubber (Hevea brasiliensis) and oil palm 192 (Elaeis guineensis) or fast-growing tree species such as Acacia mangium for pulp production 193 Details about the study area can be found in (Drescher et al., 2016). The area cultivated with 194 oil palm grew faster than the area cultivated with rubber plantations between 1990 and 2011 195 (Clough et al. 2016).

196

197 For this study, we used two data sets of different plot sizes. For the first data set, we delineated 198 28 large plots (ranging from 4 to 84 km<sup>2</sup>) of 7 different land cover types (Forest (FO), Rubber 199 (RU), Acacia Plantation Forest (PF), Young oil palm plantation (YOP), Mature Oil Palm 200 Plantation (MOP), Urban area (UB) and Clear-CutClear-Cut areas (CLC)) (Fig. 1). The 201 delineation was based on visual interpretation in combination with information from field work, 202 which was carried out between October - December 2013. The large size of the plots was 203 necessary to make a comparison between MODIS and Landsat images (see section satellite 204 data). For the second data set, we selected 49 smaller plots within and outside these 28 large 205 plots 49 smaller plots (between 50  $\times$  50 m and 1000  $\times$  1000 m) (Fig. 1) which allowed us to 206 increase the number of plots to use when analysing Landsat images. These small plots were used to extract surface temperature (LST), Normalized Difference Vegetation Index (NDVI), 207

208 albedo ( $\alpha$ ) and evapotranspiration (ET) from a high resolution Landsat satellite image (see 209 section satellite data) for the 7 different land cover types of interest.



210

211 Fig. 1 Geographic location of the study area. Jambi province on the Sumatran Island of 212 Indonesia (Figs. 1a and 1b). The background of the map (Fig. 1c) is a digital elevation model, 213 showing that the plots are located in the lowlands of the Jambi province. The large rectangles 214 are the 28 different land cover types (Forest, Young and Mature Oil palm, Rubber, Urban area, 215 Acacia Plantation Forest and Clear CutClear-Cut land), the small squares are the locations of 216 the 49 small plots of the 7 different land cover types. Abbreviations: CLC = Clear\_-cut land, UB = Urban area, YOP = Young oil palm plantation, MOP = Mature Oil Palm plantation, PF 217 218 = Acacia plantation forest, RU = Rubber plantation, FO = Forest.

219

220 2.2 Meteorological data

221

222 Air temperature and relative air humidity were measured at four reference meteorological 223 stations located in open areas within the area of study (Drescher et al., 2016), with 224 thermohygrometers (type 1.1025.55.000, Thies Clima, Göttingen, Germany) placed at 2m 225 height. Measurements were taken every 15 s and then averaged and stored in a DL16 Pro data 226 logger (Thies Clima, Göttingen, Germany) as 10 min mean, from February 2013 to December 227 2015. We used the air temperature from the meteorological stations to compare to MODIS air 228 temperatures (MOD07 L2). The relative air humidity was used as an input parameter for 229 NASA's online atmospheric correction (ATCOR) parameter tool to derive parameters to correct 230 Landsat thermal band for atmospheric effects (see Satellite data). We also used air temperature 231 and relative humidity from two eddy covariance flux towers located in the study area (Meijide 232 et al., 2017) one in a young oil palm plantation (two years old, S 01°50.127', E 103°17.737'), 233 and the other one in a mature oil palm plantation (twelve years old, S 01°41.584', E 234 103°23.484'). At these flux towers, air temperature and relative humidity were measured above 235 the canopy respectively with the same instruments as in the reference meteorological stations 236 (see Meijide et al. (2017), for description of methodology). In the flux tower located in the 237 mature oil palm plantation, we also measured surface canopy temperature between August 2014 238 and December 2015, which was compared to MODIS LST estimates from the same period. 239 Measurements of canopy temperature were performed with two infrared sensors (IR100) 240 connected to a data logger, (CR3000) both from Campbell Scientific Inc. (Logan, USA). For a 241 regional coverage we used ERA Interim daily air temperature grids 242 (http://apps.ecmwf.int/datasets/data/interim-full-daily/levtype=sfc/; (Dee et al., 2011) from 243 2000 – 2015 at 0.125 degrees resolution to study the annual air temperature trend in this period.

244

### 245 **2.3 Satellite data**

246

A Landsat 7 ETM+ VIS/TIR 30 m resolution surface reflectance image with low cloud cover,
acquired at 10:13 hours (local time) on 19 June 2013 covering the lowland area of the Jambi
province (path 125, row 61) was used in this study. Like all Landsat 7 ETM+ images acquired

after 31 mayMay 2003, the image we used was affected by a scan line error causing a data loss of about 22% (http://landsat.usgs.gov/products\_slcoffbackground.php). Most selected plots were located in the center of the image and thus not affected by the data loss, e.g. the forest plots located at the edges of the scan line error zone faced minimal data loss because they were large enough.

255 We also downloaded the tile h28v09 of the MODIS Terra (MOD) and Aqua (MYD) daily 1km 256 Land Surface Temperature and Emissivity products (MOD11A1 and MYD11A1 Collection-5) 257 and MODIS 16-days 500 m Vegetation Indices NDVI/EVI product (MOD13A1 Collection-5) 258 from 05 March 2000 till 31 December 2015 for Terra data and from 8 July 2002 till 31 259 December 2015 for Aqua data. We downloaded other supporting satellite data such as the 260 MODIS Atmospheric Profile product (MOD07 L2) and the MODIS Geolocation product 261 (MOD03). All MODIS data were reprojected to WGS84, UTM zone 48 South using the MODIS 262 Reprojection Tool (MRT). The quality of the MODIS data was checked using the provided 263 quality flags and only pixels with the highest quality flag were used in the analysis.

264

### 265 2.4 Retrieval of biophysical variables from Landsat 7 ETM+ VIS/TIR images

- 266
- 267
- 268 *NDVI*
- 269

270	NDVI was	derived	using the	e reflectances	corrected for	or atmospheric	effects in	the red	(pRED,
-----	----------	---------	-----------	----------------	---------------	----------------	------------	---------	--------

- 271 band 3 Landsat 7 ETM+) and near infrared (ρNIR, band 4 Landsat 7 ETM+) bands, with:
- 272

274 
$$NDVI = \frac{\rho \text{NIR} - \rho \text{RED}}{\rho \text{NIR} + \rho \text{RED}}$$
 (1)

• Surface albedo

277

- The surface albedo (α) was computed using the equation of Liang (2000) for estimating
  broadband albedo from Landsat surface reflectance bands, with:
- 280

281 
$$\alpha = 0.3141 \rho 1 + 0.1607 \rho 3 + 0.369 \rho 4 + 0.1160 \rho 5 + 0.0456 \rho 7 - 0.0057$$
 (2)

282

283 where  $\rho_1$ ,  $\rho_3$ ,  $\rho_4$ ,  $\rho_5$  and  $\rho_7$  are the Landsat 7 ETM+ surface reflectance bands (corrected for 284 atmospheric effects).

- 285
- Surface temperature (LST)
- 287

288 LST was derived following the method proposed by Bastiaanssen (2000), Bastiaanssen et al. (1998a), Coll et al. (2010) and Wukelic et al. (1989) for computing the surface temperature 289 290 from the thermal infrared band (TIR, band 6) of Landsat (Supporting information, S1). The 291 thermal infrared band (TIR, band 6) was first converted to thermal radiance (L6, W/m<sup>2</sup>/sr/µm) and then to atmospherically corrected thermal radiance (Rc,  $W/m^2/sr/\mu m$ ) following the method 292 293 described by Wukelic et al. (1989) and Coll et al. (2010), and using the atmospheric parameters 294 obtained on NASA's online Atmospheric Correction Calculator (Barsi et al., 2003, 2005) 295 (supporting information, S2). The surface temperature (LST,  $^{\circ}$ K) was computed through the 296 following equation similar to the Planck equation, as in Coll et al. (2010) and Wukelic et al. (1989): 297

299 
$$LST = \frac{k2}{\ln\left(\frac{\epsilon NB \cdot k1}{Rc} + 1\right)}$$
(3)

301 where  $\varepsilon NB$  is the emissivity of the surface obtained from the NDVI (Supporting information, Table S1), k1 (= 666.09 mW/cm<sup>2</sup>/sr/ $\mu$ m) and k2 (= 1282.71  $^{\circ}$ K) are sensor constants for 302 converting the thermal radiance obtained from band 6 of Landsat 7 to surface temperature. 303 304 The surface temperature derived from Landsat thermal band was compared with a MODIS LST 305 product that was acquired on the same day at 10:30 am local time. For this, the Landsat LST 306 image was resampled to MODIS resolution to enable a pixel to pixel comparison, followed by 307 extracting the average LST of 7 land cover types using the data set containing the large 308 delineated plots (Fig. 1). 309 310 Evapotranspiration (ET) 311 312 Based on the Surface Energy Balance Algorithm for Land (SEBAL) (Bastiaanssen, 2000; Bastiaanssen et al., 1998a, 1998b) we estimated ET (mm/hr) from latent heat fluxes (LE, W/m<sup>2</sup>) 313 314 which were computed as the residual from sensible (H,  $W/m^2$ ) and ground (G,  $W/m^2$ ) heat 315 fluxes subtracted from net radiation (Rn,  $W/m^2$ ) as: 316 LE = Rn - G - H317 (4) 318 319 We calculated Rn as the sum of incoming shortwave and longwave radiation, minus the 320 reflected shortwave and longwave radiation and the emitted longwave radiation (equation 5). 321 The surface albedo, surface emissivity and surface temperature determine the amounts of 322 incoming and reflected radiation: 323

324 
$$\operatorname{Rn} = (1 - \alpha) \operatorname{S}_{d} \downarrow + \varepsilon_{a} \sigma \operatorname{T}_{a}^{4} - (1 - \varepsilon_{0}) \varepsilon_{a} \sigma \operatorname{T}_{a}^{4} - \varepsilon_{0} \sigma \operatorname{LST}^{4}$$
(5)

Where  $S_d\downarrow$  is the incoming shortwave solar radiation (W/m<sup>2</sup>) at the surface;  $\alpha$  is the surface albedo (equation 2);  $\varepsilon_0$  is the surface emissivity (-);  $\varepsilon_a$  is the atmospheric emissivity (-);  $\sigma$  is the Stephan-Boltzmann constant (5.67 × 10<sup>-8</sup> W/m<sup>2</sup>/K<sup>4</sup>); LST is the surface temperature (K, equation 3);  $T_a$  is the near surface air temperature (K). The surface emissivity ( $\varepsilon_0$ ) is derived from the NDVI and is described in the supporting information (Table S1). The average atmospheric emissivity ( $\varepsilon_a$ ) is estimated with the model of Idso and Jackson, (1969):

332

333 
$$\varepsilon_a = 1 - 0.26 \cdot \exp\left\{(-7.77 \times 10^{-4}) \cdot (273.15 - T_a)^2\right\}$$
 (6)

334

Ground heat fluxes (G, W/m<sup>2</sup>) were derived as a fraction of Rn from an empirical relationship
between LST, α, and NDVI (Bastiaanssen, 2000) as:

337

338 
$$G = Rn \cdot \frac{LST - 273.15}{\alpha} \cdot (0.0038\alpha + 0.0074\alpha^2) \cdot (1 - 0.98NDVI^4)$$
 (7)

339

340 In SEBAL Sensible heat flux (H,  $W/m^2$ ) was calculated as:

341

342 
$$H = \rho C p \frac{\Delta T}{r_{ah}} = \rho C p \frac{a LST + b}{r_{ah}}$$
(8)

343

Where  $\rho$  is the air density (1.16 kg/m<sup>3</sup>); Cp is the specific heat of air at constant pressure (1004 J/kg/K); r<sub>ah</sub> is the aerodynamic resistance to heat transport (s m<sup>-1</sup>); *a* and *b* are regression coefficients which are determined by a hot extreme pixel (where LE = 0 and H is maximum) and a cold extreme pixel (where H = 0 and LE is maximum). The aerodynamic resistance to heat transport, r<sub>ah</sub>, is calculated through an iterative process with air temperature measured at 2

349	m as input. SEBAL is described in Bastiaanssen (2000) and Bastiaanssen et al. (1998a, 1998b).
350	The application of SEBAL in this research is briefly described in the supporting information
351	(S3: ET from satellite images).
352	
353	2.5 Local short term differences between different land cover types
354	
355	From the created LST, NDVI, Albedo and ET images we extracted the average values of the
356	different land cover classes. For this we used the dataset containing the small 49 delineated
357	plots covering 7 different land cover types (Fig. 1). The average effect of land transformation,
358	i.e. the change from forest to another non-forest land cover type, on the surface temperature
359	was evaluated as (cf. Li et al. (2015)) :
360	
361	$\Delta LST = LST_{non-forest} - LST_{forest} $ (39)
362	
363	A negative $\Delta$ LST indicates a cooling effect and positive $\Delta$ LST indicates a warming effect of
364	the non-forest vegetation compared to forest. The same procedure was applied in evaluating the
365	effect of land transformation on the NDVI, albedo and ET.
366	
367	2.6 Effects of land cover change on the provincial surface temperature in the past decades
368	
369	To analyse the long termlong-term effects on the provincial scale we used the MODIS daily
370	LST time series (MOD11A1 and MYD11A1) from 2000 – 2015. MOD11A1 provides LST for
371	two times of the day: 10:30 am and 10:30 pm and we used the times series between 2000 and
372	2015. MYD11A1 provides LST for 1:30 am and 1:30 pm and is available from 8 July 2002; we
373	used complete years in our analysis and therefore used the MYD11A1 time series from 2003 -
374	2015. We calculated the mean annual LST at four different times of the day (10:30 am, 1:30 $15$

375 pm, 10:30 pm and 1:30 am) between 2000 and 2015 for the lowland of the Jambi from the 376 MODIS daily LST time series (MOD11A1 and MYD11A1). To do so (1) we calculated for 377 each pixel the average LST pixel value using only the best quality pixels for every year; (2) 378 from these pixels we made a composite image (n = 16, one for each year) for the province and 379 (3) from each composite image we calculated the mean annual lowland provincial temperature 380 as the average of all the pixels that are enclosed by a zone delineating the lowland of the Jambi 381 province. We performed the same analysis with the MODIS 16-day NDVI product (2000 -382 2015) and the ERA daily temperature grid (2000 - 2015) to compare the annual trends of LST, 383 NDVI and air temperature of the province. The average provincial LST and NDVI were 384 compared to the mean LST and NDVI of a selected forest that remained undisturbed forest 385 during the 2000 - 2015 period.

386

### 387 2.7 Statistical analysis

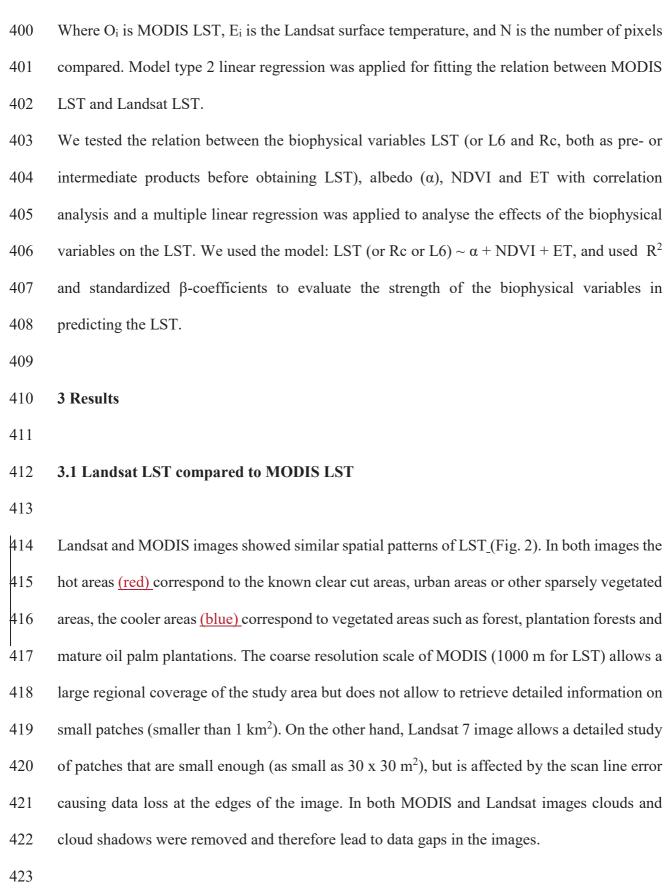
388

For comparison of the Landsat derived LST and the MODIS LST we analyzed the statistical relationships with the coefficient of determination (R<sup>2</sup>), the root mean square error (RMSE), the mean absolute error (MAE) and the bias (Bias):

392 RMSE = 
$$\sqrt{\frac{\sum_{i=1}^{N} (E_i - O_i)^2}{N}}$$
 (910)  
393

394 Bias = 
$$\frac{\sum_{i=1}^{N} (E_i - O_i)}{N}$$
  
395 (1011)  
396 397 MAE =  $\frac{\sum_{i=1}^{N} |E_i - O_i|}{N}$ 

β98 (<u>1112</u>)



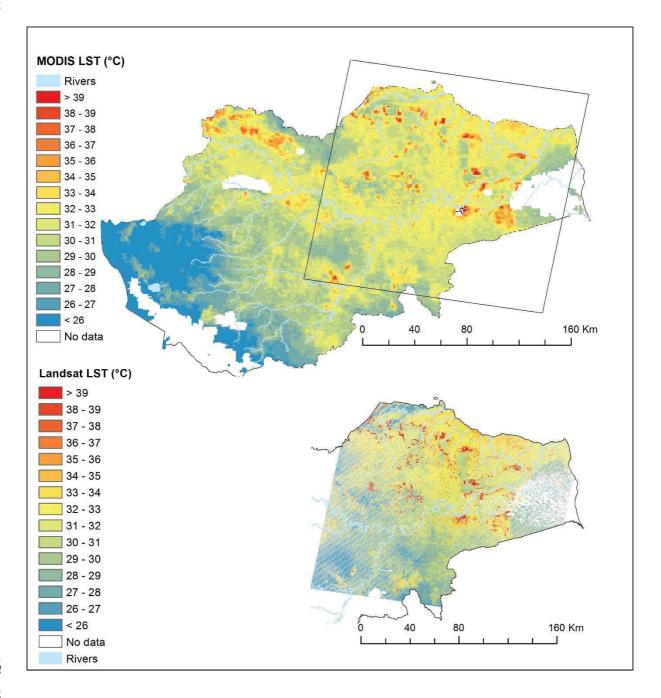
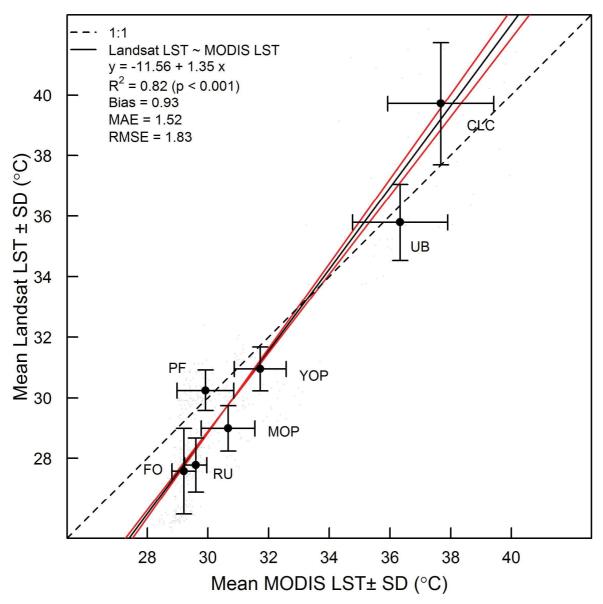


Fig. 2 MODIS LST image (top) compared with Landsat LST image (bottom). Cloud cover and cloud shadow cover resulted in data gaps (No data). The difference in acquisition time between the images is 15 minutes. The square in the MODIS image is the area that is covered by the Landsat tile (path 125, row 61). Both satellite images were acquired on 19 June 2013.

433

434 Landsat derived LST correlated well with MODIS LST ( $R^2 = 0.82$ ; p < 0.001; Fig. 3) with a 435 RMSE of 1.83 °C. The 7 land cover types had distinctive LSTs and the observed differences 436 between these land cover types were consistent in both images. The non-vegetated surfaces 437 (Clear cut land (CLC) and Urban areas (UB)) had higher surface temperatures than the 438 vegetated surface types (FO, YOP, MOP, PF and RU). Clear cut land had the highest surface 439 temperature of all compared land cover types, followed by urban areas whereas the vegetated 440 land cover types had lower surface temperatures:  $LST_{CLC}$  (39.71 ± 2.01 °C ) >  $LST_{UB}$  (35.79 ± 1.26 °C > LST<sub>YOP</sub> (30.95 ± 0.72 °C) > LST<sub>PF</sub> (30.25 ± 0.67 °C) > LST<sub>MOP</sub> (28.98 ± 0.75 °C) 441 442 > LST<sub>RU</sub> (27.78 ± 0.89 °C) > LST<sub>FO</sub> (27.57 ± 1.41 °C) (Landsat LST, Fig. 3). The same trend 443 was derived from the MODIS image but with higher surface temperatures, except for CLC: 444 LST<sub>CLC</sub>  $(37.67 \pm 1.75 \text{ °C}) > \text{LST}_{\text{UB}} (36.33 \pm 1.57 \text{ °C}) > \text{LST}_{\text{YOP}} (31.73 \pm 0.85 \text{ °C}) > \text{LST}_{\text{MOP}}$ 445  $(30.67 \pm 0.88 \text{ °C}) > \text{LST}_{\text{PF}} (29.92 \pm 0.93 \text{ °C}) > \text{LST}_{\text{RU}} (29.60 \pm 0.36 \text{ °C}) > \text{LST}_{\text{FO}} (29.21 \pm 0.40 \text{ °C})$ 

446 °C) (MODIS LST, Fig. 3).



448 **Fig. 3** Average surface temperature (LST) and standard deviation (SD) of 7 land cover types

449 derived from Landsat thermal image compared with the mean and SD of MODIS LST.

CLC = Clear cut land, UB = Urban areas, YOP = young oil palm plantation, PF = Acacia
Plantation Forest, MOP = Mature Oil palm plantation, FO = Forest, RU = Rubber plantation.
The dashed line is the theoretical 1:1 line, the solid lines are the Linear Model type 2 regression
line (black) and the confidence limits of the regression line (red). Landsat and MODIS images
were acquired on 19 June 2013, Landsat at 10:13 am local time, MODIS at 10:30 am local time.
Landsat pixels (30 m) were resampled to MODIS pixel resolution (926 m) to make a pixel to

- 456 pixel comparison between the two sources possible. RMSE is the root mean squared error, MAE457 is <u>the</u> mean absolute error.
- 458

### 459 **3.2** Local short term differences between different land cover types

460

461 The ALST between RU, MOP, PF, YOP, UB and CLC land cover types and FO were all 462 positive, meaning that all other land cover types were warmer than forests (Fig. 4a & Supporting Information S4 and S5). RU and MOP were  $0.4 \pm 1.5$  °C and  $0.8 \pm 1.2$  °C warmer than forest, 463 464 respectively. PF and YOP were much warmer than forests ( $\Delta LST_{PF-FO} = 2.3 \pm 1.1$  °C,  $\Delta LST_{YOP}$ 465  $_{-FO} = 6.0 \pm 1.9$  °C). The largest  $\Delta$ LSTs were between forest and the non-vegetated land cover 466 types, i.e. UB ( $\Delta$ LST = 8.5 ± 2.1 °C) and CLC ( $\Delta$ LST = 10.9 ± 2.6 °C). The LST differences 467 were significant (p < 0.05, post-hoc Tukey's HSD test), except between RU and FO (p = 0.78, 468 post-hoc Tukey's HSD test (Supporting Information S6, Table S6.1 & table S6.2).

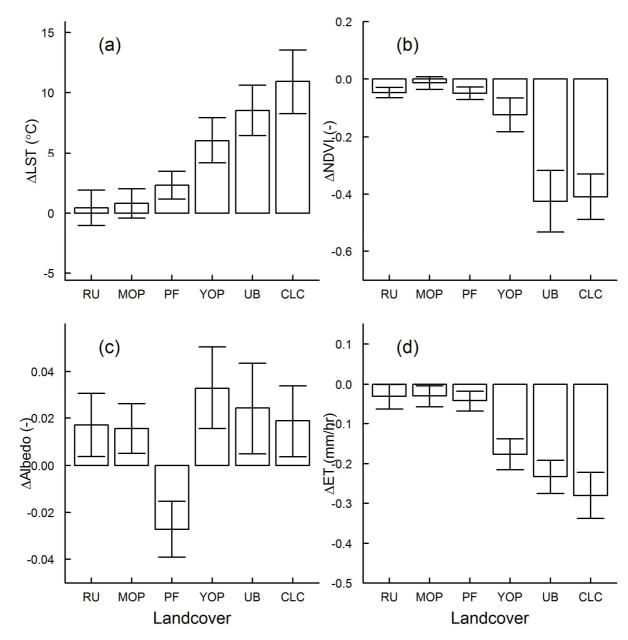
469

Similar differences were found for the  $\Delta$ NDVI between forest and other land covers (Fig. 4b). The negative  $\Delta$ NDVI indicates that the non-forest land cover types had lower NDVI than forest.  $\Delta$ NDVI between FO and RU, MOP, PF and YOP were small (between - 0.01 ± 0.02 ( $\Delta$ NDVI<sub>MOP-FO</sub>) and - 0.12 ± 0.06 ( $\Delta$ NDVI<sub>YOP-FO</sub>). The largest  $\Delta$ NDVIs were between forest and the non-vegetated land cover types, i.e. UB and CLC ( $\Delta$ NDVI = -0.42 ± 0.11 and -0.41 ± 0.08, respectively). All  $\Delta$ NDVIs were significant (p < 0.05, post-hoc Tukey's HSD test).

476

The difference in albedo ( $\Delta$ Albedo) between forest and the other land covers was very small (Fig. 4c), with  $\Delta$ Albedo values between  $-0.03 \pm 0.01$  ( $\Delta$ Albedo<sub>PF - FO</sub>) and  $0.03 \pm 0.02$ ( $\Delta$ Albedo<sub>YOP - FO</sub>). These differences were significant (p < 0.05, post-hoc Tukey's HSD test). PF had a lower albedo than forest ( $\Delta$ Albedo<sub>PF - FO</sub> =  $-0.03 \pm 0.01$ ), while the other land cover types had a higher albedo than forest.

483	The SEBAL based LE estimates were within the variability range of LE measurements from
484	eddy covariance techniques under similar meteorological conditions (see SI 3). All land covers
l 485	had lower ET than forest. RU, MOP and PF had slightly lower ET than FO ( $\Delta ET_{RU-FO} = -0.03$
486	$\pm$ 0.04, $\Delta ET_{MOP-FO} = -0.03 \pm 0.03$ mm/hr, $\Delta ET_{PF-FO} = -0.04 \pm 0.03$ mm/hr) (Fig. 4d). YOP,
487	UB and CLC had much lower ET values than forests: $\Delta ET_{YOP-FO} = -0.18 \pm 0.04$ mm/hr, $\Delta ET_{UB-PO} = -0.04$ mm/hr, $\Delta ET_{UB-PO} = -0.04$
488	$_{FO}$ = $-$ 0.23 $\pm$ 0.04 mm/hr, $\Delta ET_{CLC\text{-}FO}$ = $-$ 0.26 $\pm$ 0.06 mm/hr). The $\Delta ETs$ were significant (p $<$
489	0.05, post-hoc Tukey's HSD test). The SEBAL based LE estimates were within the variability
490	range of LE measurements from eddy covariance measurements techniques under similar
491	meteorological conditions (see SI 3).
l 492	



494 **Fig. 4** Differences (mean  $\pm$  SD) in surface temperature ( $\Delta$ LST), normalized difference 495 vegetation index ( $\Delta$ NDVI), Albedo ( $\Delta$ Albedo) and Evapotranspiration ( $\Delta$ ET) between other 496 land covers (RU, MOP, PF, YOP, UB and CLC) and forest (FO) in the Jambi province, derived 497 from the Landsat LST image acquired on 19 June 2013 at 10:13 am local time.

Albedo had the <u>a</u> weakerst influence on the LST ( $\rho = 0.25$ , p < 0.05) (Table 2) than NDVI and ET. As the thermal radiance band (L6) and the atmospherically corrected thermal band (Rc) were the basis for the LST calculation, the high correlation between L6 and NDVI ( $\rho = -0.87$ ,

502	$p < 0.05)$ and between L6 and ET ( $\rho = -$ 0.98, $p < 0.05)$ resulted in a high correlation between
503	LST and NDVI ( $\rho = -0.88$ ) and between LST and ET ( $\rho = -0.98$ ). The analysis showed that
504	albedo, NDVI and ET were all significant predictors of LST ( $F_{(3, 41586)} = 1 \times 10^6$ , p < 0.05). ET
505	was the strongest predictor of LST (stand. $\beta = -1.11$ , p < 0.05). Albedo (stand. $\beta = -0.19$ , p <
506	0.05, resp.) and NDVI (stand. $\beta = -0.19$ , p < 0.05) were weaker predictors of LST.
507	

Table 2 Statistical analysis between biophysical variables (albedo (α), NDVI and ET) and
Spectral Radiance band (L6), corrected thermal band (Rc) and Landsat surface temperature
(LST).

Model		ρ	R <sup>2</sup>	β	Stand. β	Model fit (R <sup>2</sup> )	<b>F-statistics</b>
	α	0.26	0.05	-2.94	-0.19		F (3, 41586) =
$L6 \sim \alpha + NDVI + ET$	NDVI	-0.87	0.10	0.23	0.11	0.99	1.10×106, ***
	ЕТ	-0.98	1.13	-4.00	-1.16		
	α	0.25	0.05	-4.88	-0.20		F (3, 41586) =
$\mathbf{Rc} \sim \mathbf{\alpha} + \mathbf{NDVI} + \mathbf{ET}$	NDVI	-0.88	0.04	0.16	0.05	0.99	1.79×106,***
	ЕТ	-0.98	1.00	-6.21	-1.10		
	α	0.25	0.05	-34.01	-0.19		F(3, 41586) =
$LST \sim \alpha + NDVI + ET$	NDVI	-0.88	0.05	1.30	0.05	0.99	2.3×106, ***
	ЕТ	-0.98	1.00	-43.53	-1.11		

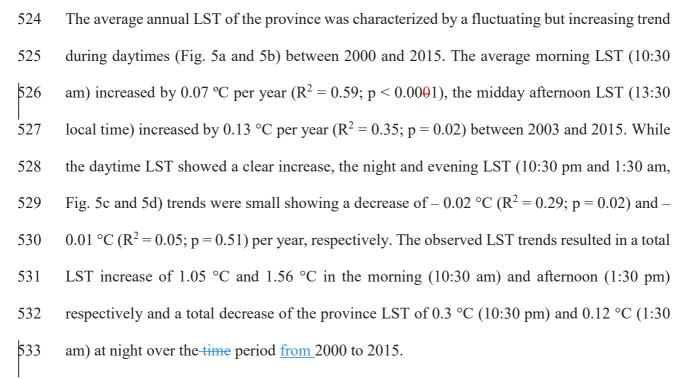
511 \*\*\*:  $p = 2 \times 10^{-16}$ 

512 LM: Multiple linear regression analysis between LST (or L6 or Rc) and 3 biophysical variables: 513 Albedo ( $\alpha$ ), NDVI and ET.  $\rho$  = correlation coefficient; R<sup>2</sup>: R-squared of the components;  $\beta$  = 514 regression coefficient of the component; stand.  $\beta$  = standardized  $\beta$ ; Model fit (R<sup>2</sup>): overall model 515 fit of the multiple linear regression. The values in brackets are for the analysis between the 516 biophysical variables and the corrected thermal band (Rc).

517

A separate analysis (Table S6.3, Supporting information S6) showed that ET was a strong
predictor of LST for each land cover type in this study and that NDVI and albedo were minor
predictors of LST.

## 522 **3.3 Effects of land-use change on the provincial surface temperature in the past decades**



534

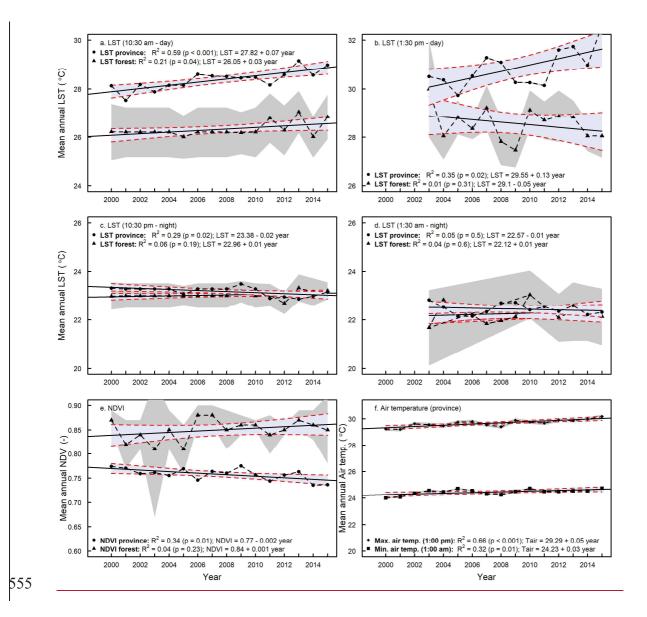
535 In order to separate the effect of land use change from global climate warming, we used a site 536 constantly covered by forest over that period (from the forest sites we used in this study) as a 537 reference- not directly affected by land cover changes. That site showed less changes in LST 538 than the entire province: -only the mean morning LST (10:30 am) had a significant but small trend with an increase by 0.03 °C per year ( $R^2 = 0.21$ , p < 0.05) resulting in a total LST increase 539 540 of the province of 0.45 °C between 2000 and 2015 (Fig. 5a). This LST warming is much smaller than the overall warming at provincial level of 1.05 °C. The LST time series at other times 541 showed no significant trends: the mean afternoon LST (1:30 pm) with -0.05 °C per year ( $R^2 =$ 542 0.01, p = 0.31) (Fig. 5b), the night and evening LST with  $0.01^{\circ}$ C per year (Fig. 5c and 5d, p = 543 544 0.19 and p = 0.65, respectively).

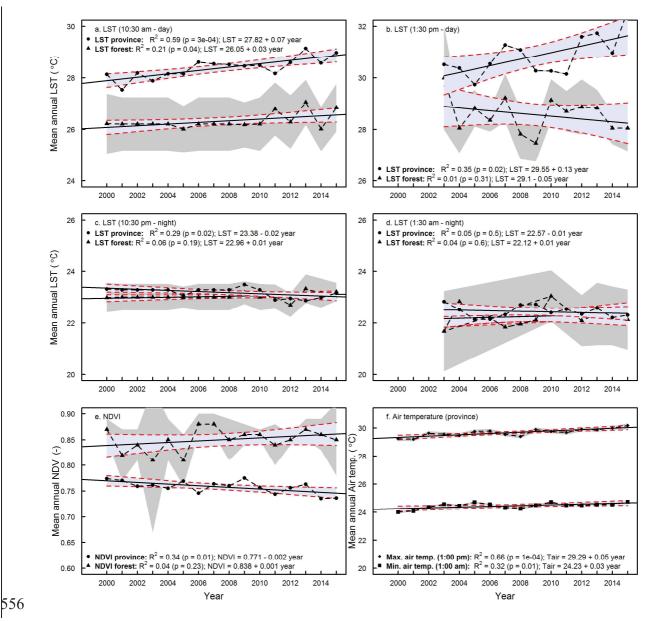
545

546 The mean annual NDVI of the province decreased by 0.002 per year, which resulted in a total 547 NDVI decrease of 0.03 ( $R^2 = 0.34$ ; p = 0.01; Fig. 5e). The NDVI of the forest showed a small 548 but not significant increase of 0.001 per year ( $R^2 = 0.04$ , p = 0.23) (Fig. 5e) fluctuating around 549 an NDVI of 0.84.

551 The mean annual midday air temperature (at 1:00 pm, local time, Fig. 5f) and the mean annual

- 552 night air temperature (at 1:00 am, local time) increased every year by 0.05 °C and 0.03 °C,
- 553 respectively resulting in a total air temperature increase of 0.75 °C ( $R^2 = 0.66$ , p < 0.0001) and
- 554  $0.45 \text{ °C} (R^2 = 0.32, p = 0.014)$  between 2000 and 2015 (Fig. 5f).





**Fig 5**. Mean annual LST (a - d), mean annual NDVI (e) and mean annual air temperature trends (f) in the Jambi province between 2000 and 2015 derived from MODIS LST (5a. 10:30 am, 5b. 1:30 pm, 5c. 10:30 pm and 5d. 1:30 am, local time), MODIS NDVI and ERA Interim Daily air temperature (1:00 am and 1:00 pm, local time) data sets respectively. Grey-shaded areas are the confidence intervals of the means, blue-shaded areas are the confidence intervals of the regression lines. MODIS LST time series for 1:30 pm and 1:30 am were available from the mid of 2002; for this reason we used the complete years from 2003 till 2015.

### 565 4 Discussion

### 567 4.1 Landsat LST compared to MODIS LST

568

569 In our study we retrieved the surface temperature from a Landsat image and compared this with 570 MODIS LST. Our results showed a good agreement between both LSTs (Fig. 3), which is 571 comparable to other studies and thus gives confidence in our analysis. Bindhu et al. (2013) 572 found also a close relationship between MODIS LST and Landsat LST using the same aggregation resampling technique as our method and found a  $R^2$  of 0.90, a slope of 0.90, and 573 574 an intercept of 25.8 °C for LST, compared to our R<sup>2</sup> of 0.8, slope of 1.35 and intercept of -575 11.58 °C (Fig. 3). Zhang and He (2013) validated Landsat LST with MODIS LST and also 576 found good agreements (RMSD 0.71 - 1.87 °C) between the two sensors, where we found a 577 RMSE of 1.71 °C. Nevertheless, there still are differences and slope versatility between the two 578 satellite sources. These differences are typically caused by differences between MODIS and 579 Landsat sensors in terms of (a) different sensor properties e.g. spatial and radiometric resolution 580 and sensor calibration; (b) geo-referencing and differences in atmospheric corrections (Li et al., 581 2004); and (c) emissivity corrections i.e. the use of approximate equations to derive the 582 emissivity from the NDVI from Landsat's Red and NIR bands. Li et al. (2004) and Vlassova et 583 al. (2014) identified these same factors in their comparison of ASTER LST with MODIS LST 584 and Landsat LST with MODIS LST, respectively. Vlassova et al. (2014) found good 585 agreements between MODIS and Landsat LST, obtaining higher LST with MODIS LST to be 586 higher than with Landsat-LST, which they attributed to the delay of 15 minutes in acquisition 587 time between MODIS and Landsat. MODIS LST is measured 15 minutes later and our results 588 showed that MODIS LSTs were indeed higher than Landsat LST. A comparison of MODIS 589 LST with locally measured canopy surface temperatures during the overpass time of MODIS 590 also showed agreement (Supporting information S7, Figure S7.1). The slope was possibly due

to differences in instrumentation and emissivity corrections and to scale issues, still thiscomparison could corroborate the quality check of MODIS LST.

As the MODIS LST product is proven to be accurate within 1 °C (Silvério et al., 2015; Wan et al., 2004) and has been intensively validated, the use of MODIS LST was a proper way to assess the quality of our Landsat LST.

596

597 The errors from the different sources (such as atmospheric correction, emissivity correction, 598 resampling Landsat to MODIS resolution) are difficult to quantify. When we tested the impact 599 of atmospheric correction and emissivity errors on the LST from Landsat retrieval we found 600 that: (a) the overall patterns across different land use types did not change, (b) emissivity was 601 the most important factor, but the effects on LST retrieval were small and (c) errors due to 602 atmospheric correction parameters were small because there were small-minor differences 603 between default Atmospheric correction (ATCOR) parameters and ATCOR parameters derived 604 with actual local conditions (relative humidity (RH), air pressure and air temperature). 605 Following the method of Coll et al. (2009) and Jiang et al. (2015) we show that the use of the 606 online atmospheric correction parameter calculator is a good option provided that RH, air 607 temperature and air pressure measurements are available. We additionally compared locally 608 measured air temperatures with MODIS air temperature and found a good agreement 609 (Supporting information S8, Figure S8.1), which served as a verification that we used a correct 610 air temperature for the atmospheric correction parameter calculator.

611 Overall, our comparison of LST from Landsat against LST from MODIS <u>as well asand</u> against 612 ground observation<u>s</u> suggests that we are able to retrieve meaningful spatial and temporal 613 patterns of LST in <u>the Jambi</u> province.

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Т

615	4.2 LST	patterns across different <u>land us</u>	e and land cover (	LULC) types

617 The land cover types in our study covered a range of land surface types that develop after forest 618 conversion. This is the first study in this region that includes oil palm and rubber as land use 619 types that develop after forest conversion. The coolest temperatures were at the vegetated land 620 cover types while the warmest surface temperatures were on the non-vegetated surface types 621 like urban areas and bare land. Interestingly, the oil palm and rubber plantations were only 622 slightly warmer than the forests whereas the -young oil palm plantations had clearly higher LST 623 than the other vegetated surfaces. For other parts of the world, Lim et al. (2005, 2008), Fall et 624 al. (2010) and Weng et al. (2004) also observed cooler temperatures for forests and the highest 625 surface temperatures for barren and urban areas.

In Indonesia, land transformation is often not instantaneous from forest to oil palm or rubber plantation, but can be associated with several years of bare or abandoned land in-between (Sheil et al., 2009). Oil palm plantations typically have a rotation cycle of 25 years, resulting in repeating patterns with young plantations (Dislich et al., 2016). Given the large differences in LST between forests and bare soils or young oil palm plantations that we observed, a substantial warming effect of land transformation at regional scale is expected.

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- 633

## 634 **4.3 Drivers of local differences between different land cover types**

635

All land cover types (except Acacia Plantation Forests) had a higher albedo than forest, indicating that these land cover types absorbed less incoming solar radiation than forests. Nevertheless, these land cover types were warmer than forests, suggesting that the albedo was not the dominant variable explaining LST. Indeed, the statistical analysis showed that ET  $\sim$ LST had a higher correlation than albedo  $\sim$  LST. The  $\Delta$ ETs were significant, underlying that despite their higher albedo, all land cover types had higher LSTs than forests due to lower ET rates than forests. Vice versa, forests that absorb more solar radiation due to the lower albedo, have lower LST due to the higher ET they exhibit, hereby identifying evaporative cooling as
the main determinant of regulating the surface temperature of all vegetation cover types (Li et
al., 2015).

646

Both observational and modeling studies carried out in other geographic regions and with other trajectories support our observations. Observational studies in the Amazonia by Lawrence and Vandecar (2015) on the conversion of natural vegetation to crop or pasture land showed a surface warming effect. Salazar et al. (2015) provided additional evidence that conversion of forest to other types of land use in the Amazonia cause<u>d</u> significant reductions in precipitation and increases in surface temperatures.

653 Alkama and Cescatti (2016) and earlier studies by Loarie et al. (2011a, 2011b) showed that 654 tropical deforestation may increase LST., Ceroplands in the Amazonian regions were also 655 warmer than forests through the reduction of ET (Ban-Weiss et al., 2011; Feddema et al., 2005) 656 and that the climatic response strongly depends on changes in energy fluxes rather than on 657 albedo changes (Loarie et al., 2011a, 2011b). A study by Silvério et al. (2015) indeed found 658 that tropical deforestation changes the surface energy balance and water cycle and that the 659 magnitude of the change strongly depends on the land uses that follow deforestation. They 660 found that thee LST was 6.4 °C higher over croplands 6.4 °C higher and over pasture lands 4.3 661 °C higher over pasture lands compared to the forest they replaced, eaused by as a consequence 662 of energy balance shifts. Ban-Weiss et al. (2011) and Davin and de Noblet-Ducoudré (2010) 663 added that in addition to the reduction of ET, the reduction of surface roughness most likely 664 enhanced the substantial local warming.

665

Also for non-Amazonian regions, the replacement of forests by crops resulted<u>caused-in</u> changes
 <u>comparable withsimilar to</u> our observations. In temperate Argentina, Houspanossian et al.
 (2013) found that the replacement of dry forests by crops resulted in an increase of albedo and

still the forests exhibited cooler canopies than croplands. The cooler canopies were a result of
the <u>a</u> higher aerodynamic conductance that caused by that enhanced the capacity of tree canopies
to dissipate heat into the atmosphere, and tohat both latent and sensible heat fluxes operatinge
simultaneously to cooling forest canopies (Houspanossian et al. (2013).

673

In a global analysis Li et al. (2015) showed that tropical forests generally have a low albedo, but still the net energy gain caused by solar energy absorption is offset by a greater latent heat loss via higher ET\_ and that in the tropical forests the high ET cooling completely offsets the albedo warming. For China, this cooling effect was also shown by Peng et al. (2014) who compared LST, albedo and ET of plantation forests, grassland and cropland with forests.

679

For the USA, Weng et al. (2004) and for China, Yue et al. (2007), -usinged NDVI as an indicator of vegetation abundance, indicator and also found that areas with a high mean NDVI to havehad a lower LST than areas with a low mean NDVI, therefore, -all-suggesting that vegetation abundance is an important factor in controlling the LST through higher ET rates. Our result support their assumptions by showing the high correlation between NDVI – LST and ET – LST.

686

687 Our findings are also supported by modelling studies. Beltrán-Przekurat et al. (2012) found for 688 the Southern Amazon that conversion of wooded vegetation to soy bean plantations caused an increase of the LST due to decreased latent heat and increased sensible heat fluxes. Climate 689 690 models also show the same warming trends and land surface modelling also projects an increase 691 in surface temperatures following deforestation in the Brazilian Cerrado (Beltrán-Przekurat et 692 al., 2012; Loarie et al., 2011b). In a global analysis, Pongratz et al. (2006) showed a the-LST 693 increase of forest to cropland or pasture transitions, also which was driven by a reduced 694 roughness length and, an increased aerodynamic resistance, and that the temperature response is intensified in forest to clear <u>land or /</u>bare land transitions (1.2 - 1.7) °C increase). Similar to observational studies, the modelling results of Bathiany et al. (2010) show that ET is the main driver of temperature changes in tropical land areas.

698

699 In order to understanding the effects of deforestation on biophysical variables in Indonesia, our 700 study identifies the following mechanisms: (a) reduction of ET decreases surface cooling, (b) 701 reduced surface roughness reduces air mixing in the surface layer and thus vertical heat fluxes, 702 (c) changes in albedo change the net radiation, (d) changes in energy partitioning in sensible 703 and latent heat and heat storage. The effect is an increase of the mean temperatures leading to 704 warming effects in all tropical climatic zones (Alkama and Cescatti, 2016). We point here that 705 our study (1) included a ground heat flux, but did not take into account the storage of heat in 706 the soil and the release of stored heat out of the soil during the daily cycle and (2) that the 707 Landsat satellite image was obtained under cloud free conditions with high shortwave radiation 708 input and low fraction of diffuse radiation. Therefore, the LST retrieved on cloud free days 709 might be overestimated compared to cloudy days, where as the differences in LST between land 710 uses are supposed to be loweress when diffuse radiation increases.

711

712 Our study is the first to include the oil palm and rubber expansion in Indonesia. In Indonesia, 713 smallholders take 40% of the land under oil palm cultivation for their account (Dislich et al., 714 2016). Since the landscape in the Jambi province is characterized by small-scale smallholder-715 dominated mosaic including rubber and oil palm monocultures (Clough et al., 2016), studies 716 using medium to coarse resolution data are not able to capture the small scale changes and 717 processes at the small-scale level. By using high resolution Landsat data we were able to also 718 include the effects of land use change on biophysical variables and the underlying processes of 719 the small scale holder agriculture.

## 721 4.4 Effects of land use change on the provincial surface temperature in the past decades

722

723 The increases in mean surface temperature of the Jambi province increased were stronger 724 during the morning (10:30 am) and afternoon (1:30 pm) than during the evening (10:30 pm) 725 and night (1:30 am). Given that our results show a decrease of the NDVI in the same period, 726 this suggests that the observed increased trend of the day time province LST can be attributed 727 to the land cover changes that occurred. Our assumption that the observed decreasing NDVI 728 trend is caused by land conversions is supported by two different studies which reported that in 729 the Jambi province, between 2000 and 2011 (Drescher et al., 2016) and between 2000 and 2013 730 (Clough et al., 2016), the forest area decreased and that the largest increases were for rubber, 731 oil palm, and agricultural and tree crop areas. The class 'other land use types', which includes 732 urban areas, showed a minor increase (around 1%), <u>which</u>-suggestings that the decrease in 733 NDVI was most likely caused by forest cover loss and not by urban expansion (see Supporting 734 information, Table S9). The same observations on LULC change in Indonesia were also 735 supported by Lee et al. (2011), Margono et al. (2012, 2014), Paterson et al. (2015) and Luskin 736 et al. (2014). Luskin et al. (2014) showed that in the Jambi province, duringin the period 2000 737 -2010, forests decreased by 17% while, oil palm and rubber area increased by 85% and 19%, 738 respectively, in the Jambi province.

739

740 Given these trends in LULC changes, the observed LST trends were most likely caused by 741 gradual decrease of forest cover loss at the expense of agriculture and croplands. Our 742 assumptions are supported by findings of Silvério et al. (2015), Costa et al. (2007), Oliveira et 743 al. (2013), Spracklen et al. (2012) and Salazar et al. (2015) which indicate that land use 744 transitions in deforested areas likely have a strong influence on regional climate. Alkama and 745 Cescatti's (2016) analysis show that biophysical effects of changes in forest cover can 746 substantially affect the local climate by altering the average temperature, which is consistent with our observations and can be related to the observed land use change in the Jambi province.
As Indonesia has undergone high rates of forest cover loss from 2000 to 2012 (Margono et al.,
2014), these findings support our assumptions that the observed LST increase in the Jambi
province was most likely caused by the observed land use changes.

751

752 To separate the effect of global warming from land-use change induced warming, we 753 considered areas with permanent and large enough forests as reference where changes are 754 mainly due to global warming. We find that LST of forests show either no significant trends (at 755 1:30 pm, 10:30 pm, 1:30 am) or just a clearly smaller increase of 0.03 °C per year at 10:30 am. 756 The difference between the LST trend of the province and of the forest at 10:30 am was 0.04 757 °C per year, resulting in a ΔLST of 0.6 °C between the province and forest in the period 2000 758 and 2015. We like to point out that our MODIS analysis has a larger proportion of data from 759 the dry season compared from the wet season, as there were more cloud free conditions during 760 the dry season. Thus, our reported warming effect reflects cloud free conditions. During cloudy 761 conditions, particularly in the wet season, the warming effect is expected to be lower. A 762 seasonality analysis showed that the relationships in the dry season are stronger than for the wet 763 season (see Supporting information S10, fig. S10.1) which suggests that the warming is more 764 pronounced during the dry season compared to the wet season, which is reasonable as we have 765 more incoming radiation during the dry season.

766

Using the warming effects we found between forest and other land cover types ( $\Delta$ LST, Fig. 4a) and the observed land cover changes by Clough et al. (2016), Drescher et al. (2016) (Supporting Information S9, table S9.1 and S9.2) we estimated the contribution of all land cover types (except forest) to the  $\Delta$ LST of the province between 2000 and 2015 to be 0.51°C out of 0.6°C observed above, which also supports our assumption that the increase of the province LST was by 85% driven by land cover changes (see Supporting Information 9, Table S9.1 & S9.2: Land use change analysis), with clear cut areas having a large contribution as they have the largestwarming effect.

775

The observed small, but significant increase in LST of forests by of 0.03 °C per year at 10:30 am reflects a LST change independent to land cover changes, as the forest remained unchanged over that time period. A pPotential driver of that LST increase is the general global air temperature trend due to changes in radiative forcing or border effects (advection from warmer land uses), which is similar to the 1994 - 2014 time series analysis of Kayet et al.  $(2016)_{a}$  – who showed a LST increase for all land cover types ranging from wasted land, agriculture land, open forest, dense forest, water bodies and, built up areas.

783

The observed trends of province air temperature (Fig. 5f) were significant, suggesting that a general warming due to global and regional effects contributes to the observed warming at province level during day and night time, but <u>that it</u> is smaller than the land cover change induced effects (Supporting Information S9, Table S9.1 & S9.2) at provincial level (Fig. 5a and 5b).

789

790 In our long termlong-term analysis on the regional effects of land use change we observed an 791 increase in the mean LST and mean air temperature in the 2000 - 2015 period, concurrent to 792 with a decrease of the NDVI. The warming observed from MODIS LST data and from the air 793 temperature obtained from the independent ERA Interim Reanalysis in the Jambi province are 794 most likely caused by the observed decrease of the forest area and an increase oil palm, rubber 795 and other cash crop areas in the same period, with other effects such as radiative forcing changes 796 and additional natural effects playing a smaller role. Given the plan of the Indonesian 797 governmental to substantially expand oil palm productivity production with an projected 798 additional demand of 1 to 28 Mha in 2020 (Wicke et al., 2011), the strong warming effect we

show for Jambi province may serve as an indication of future changes in LST for other regionsof Indonesia that will undergo land transformations towards oil palm plantations.

801 A recent study by Tölle et al. (2017) showed that for Southeast Asia, as a whole that land use 802 change at large scale may increase not only surface temperature but also impact- other aspects 803 of local and regional weather and climate occurring also in regionsmes remote from the original 804 landscape disturbance. Their results also indicate that Lland clearings can amplify the response 805 to climatic extreme events such as El Niño Southern Oscillation (ENSO). The observed effects 806 of land use change on the biophysical variables may have implications for ecosystem services 807 in the Jambi province beyond a pure warming effect. The high precipitation in this region in 808 combination with the reduced vegetation cover of bare land and young oil palm plantations 809 impose risks of soil erosion caused by surface run off. Less water infiltrates in the soil, thereby 810 decreasing the soil water storage that may lead to low water availability in the dry season 811 (Dislich et al., 2016; Merten et al., 2016). High surface temperatures in combination with low 812 water availability may make the vegetation and the surroundings more vulnerable for to fires.

813

## 814 **5** Conclusion

815

816 In summary, we showed the importance of forests in regulating the local and regional climate. 817 We derived biophysical variables from satellite data, analyzed the biophysical impacts of 818 deforestation and on a local scale we found a general warming effect after forests are 819 transformed to cash or tree croplands (oil palm, rubber, acacia) in the Jambi province of 820 Sumatra. The warming effect after forest conversion results from the reduced evaporative 821 cooling, which was identified as the main determinant of regulating the surface temperature. 822 On a regional scale, we saw that the effects of land cover changes are reflected back in changes 823 of the LST, NDVI and air temperature of the Jambi province. The warming effect induced by 824 land cover change clearly exceeded the global warming effect. Understanding the effects of land cover change on the biophysical variables may support policies regarding conservation of
the existing forests, planning and expansion of the oil palm plantations and possible
afforestation measures.

830 831	Supporting Information
832 833	Supporting information to this article is arranged as follows:
834 835 836 837 838	<ul> <li>S1. Surface temperature retrieval from Landsat thermal images</li> <li>Table S1.1. Steps in the retrieval of the surface temperature from Landsat TIR band</li> <li>Table S1.2. LMIN and LMAX values for Landsat 7 ETM+</li> <li>Table S1.3. Mean solar exo-atmospheric irradiance (ESUNλ) for Landsat 7 ETM+</li> </ul>
839 840 841 842	<b>S2. Atmospheric correction of the thermal band</b> <b>Table S2.1</b> . Input and output parameters for/from NASA's online atmospheric correction parameter calculator
843 844 845 846 847	<ul> <li>S3. ET from satellite images with SEBAL</li> <li>Fig. S3.1 Analysis of the steps involved in deriving the input for deriving ET from Landsat images with SEBAL</li> <li>Fig. S3.2 Comparison of ET derived from upper anchor and lower anchor pixels.</li> <li>Table S3.1. u*, rah, LE and H measured at a young and mature oil palm plantation</li> </ul>
848 849 850 851 852	<b>S4. Mean LST, NDVI, Albedo and NDVI extracted for 7 land cover types</b> <b>Fig. S4.1</b> Mean LST, NDVI, Albedo and NDVI extracted from Landsat LST images for 7 land cover types
853 854 855	<b>S5. Difference in LST, NDVI, albedo and ET between Forest (FO) and 6 other land cover types</b> <b>Fig. S5.1</b> Differences in LST (ΔLST), NDVI (ΔNDVI), Albedo (ΔAlbedo) and
856 857 858	Evapotranspiration ( $\Delta$ ET) between other land covers (RU, MOP, PF, YOP, UB and CLC) and forest (FO) in the Jambi province
859 860 861 862	<ul> <li>S6. Statistical analysis</li> <li>Table S6.1 ANOVA statistics</li> <li>Table S6.2 Post-hoc Tukey HSD test statistics</li> <li>Table S6.3 The relation LST-Albedo-NDVI-ET separated by land cover type</li> </ul>
863 864 865 866	<b>S7. Comparison of MODIS LST to in situ measured canopy LST</b> <b>Fig. S7.1</b> MODIS LST compared to in situ measured canopy surface temperature.
867 868 869	<b>S8.</b> Comparison of MODIS Air temperature with locally measured air temperature Fig. S8.1 MODIS Air temperature compared with in situ measured air temperatures
870 871 872 873	<b>S9. Land use change analysis for the Jambi province for 2000 – 2010</b> <b>Table S9.1</b> Land use change (1990) – 2000 – 2010 <b>Table S9.2</b> Contribution of land cover change to total LST increase
874 875 876	S10. Seasonality analysis Fig S10.1 Mean annual LST in the Jambi province between 2000 and 2015 derived from MODIS LST during the wet and dry season.

878 *Author contributions*. Clifton R. Sabajo conducted the research, fieldwork an analysis and 879 prepared the manuscript, which was reviewed by Guerric le Maire, Tania June, Ana Meijide,

880 Olivier Roupsard and Alexander Knohl. Ana Meijide and Alexander Knohl provided the

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