

1 **Expansion of oil palm and other cash crops causes an increase of the land surface**  
2 **temperature in the Jambi province in Indonesia**

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22

23 **Abstract**

24

25 Indonesia is currently one of the regions with the highest transformation rate of the land surface  
26 worldwide related to the expansion of oil palm plantations and other cash crops replacing forests  
27 on large scales. Land cover changes, which modify land surface properties, have a direct effect

28 on the land surface temperature (LST), a key driver for many ecological functions. Despite the  
29 large historic land transformation in Indonesia toward oil palm and other cash crops and  
30 governmental plans for future expansion, this is the first study so far to quantify the impacts of  
31 land transformation on the LST in Indonesia. We analyse LST from the thermal band of a  
32 Landsat image and produce a high-resolution surface temperature map (30m) for the lowlands  
33 of the Jambi province in Sumatra (Indonesia), a region which suffered large land transformation  
34 towards oil palm and other cash crops over the past decades. The comparison of LST, albedo,  
35 Normalized Differenced Vegetation Index (NDVI), and evapotranspiration (ET) between seven  
36 different land cover types (forest, urban areas, clear cut land, young and mature oil palm  
37 plantations, acacia and rubber plantations) shows that forests have lower surface temperatures  
38 than the other land cover types, indicating a local warming effect after forest conversion. LST  
39 differences were up to  $10.1 \pm 2.6$  °C (mean  $\pm$  SD) between forest and clear-cut land. The  
40 differences in surface temperatures are explained by an evaporative cooling effect, which  
41 offsets the albedo warming effect. Our analysis of the LST trend of the past 16 years based on  
42 MODIS data, shows that the average daytime surface temperature in the Jambi province  
43 increased by 1.05 °C, which followed the trend of observed land cover changes and exceed the  
44 effects of climate warming. This study provides evidence that the expansion of oil palm  
45 plantations and other cash crops leads to changes in biophysical variables, warming the land  
46 surface and thus enhancing the increase of the air temperature because of climate change.

47

48

49 *Keywords:* Land surface temperature, albedo, NDVI, evapotranspiration, biophysical variables,  
50 oil palm, remote sensing, Landsat, MODIS, Indonesia, land-use / land cover change

51

52

53 **1 Introduction**

54

55 Indonesia is one of the regions where the expansion of cash crop monocultures such as acacia  
56 (timber plantations), rubber, oil palm plantations and smallholder agriculture has drastically  
57 reduced the area of primary forest in the last two and a half decades (Bridhikitti and Overcamp,  
58 2012; Drescher et al., 2016; Marlier et al., 2015; Miettinen et al., 2012; Verstraeten et al., 2005).  
59 This large scale conversion of rainforest for agricultural use has been observed on the island of  
60 Sumatra, which has experienced the highest primary rainforest cover loss in all of Indonesia  
61 (Drescher et al., 2016; Margono et al., 2012; Miettinen et al., 2011). Forest cover in the  
62 Sumatran provinces of Riau, North Sumatra and Jambi, declined from 93 to 38% of provincial  
63 area between 1977 and 2009 (Miettinen et al., 2012). These large scale transformations,  
64 observed as land cover change, and land-use intensification have led to substantial losses in  
65 animal and plant diversity, ecosystem functions and changed microclimatic conditions (Clough  
66 et al., 2016; Dislich et al., 2016; Drescher et al., 2016). Additionally, these changes directly  
67 alter vegetation cover and structure and land surface properties such as albedo, emissivity, and  
68 surface roughness which affect gas and energy exchange processes between the land surface  
69 and the atmosphere (Bright et al., 2015).

70

71 Replacing natural vegetation with another land cover modifies the surface albedo, which affects  
72 the amount of solar radiation that is absorbed or reflected and consequently alters net radiation  
73 and local surface energy balance. A lower or higher albedo results in a smaller or greater  
74 reflection of shortwave radiation. As a result, the higher or lower amounts of net radiation  
75 absorption may increase or decrease the surface temperature and change evapotranspiration  
76 (Mahmood et al., 2014).

77

78 Changes in land cover also alter surface emissivity, i.e. the ratio of radiation emitted from a  
79 surface to the radiation emitted from an ideal black body at the same temperature following the  
80 Stefan–Boltzmann law. Emissivity of vegetated surfaces varies with plant species, density,  
81 growth stage, water content and surface roughness (Snyder et al., 1998; Weng et al., 2004). A  
82 change of emissivity affects the net radiation because it determines the emission of longwave  
83 radiation that contributes to radiative cooling (Mahmood et al., 2014).

84

85 Water availability, surface type, soil humidity, local atmospheric and surface conditions affect  
86 the energy partitioning into latent (LE), sensible (H) and ground heat (G) fluxes (Mildrexler et  
87 al., 2011). Surface roughness affects the transferred sensible and latent heat by regulating  
88 vertical mixing of air in the surface layer (van Leeuwen et al., 2011) thereby regulating land  
89 surface temperature (LST). Through its association with microclimate, net radiation and energy  
90 exchange (Coll et al., 2009; Sobrino et al., 2006; Voogt and Oke, 1998; Weng, 2009; Zhou and  
91 Wang, 2011), LST is a major land surface parameter and as climatic factor it is regarded a main  
92 driver of diversity gradients related to the positive relationships between temperature and  
93 species richness (Wang et al., 2016).

94

95 The replacement of natural vegetation also changes evapotranspiration (ET) (Boisier et al.,  
96 2014) and LST because the surface biophysical variables (i.e. surface albedo, LST, emissivity  
97 and indirectly Leaf Area Index (LAI) and Normalized Difference Vegetation Index (NDVI))  
98 are interconnected through the surface radiation balance. When ET decreases for example,  
99 surface temperatures and sensible heat (H) fluxes increase; on the other hand, when ET  
100 increases, the increased LE fluxes lower surface temperatures and decrease H fluxes (Mahmood  
101 et al., 2014) under equal net radiation conditions because with a change in vegetation, soil and  
102 ecosystem heat flux and net radiation also change due to an alteration of the biophysical  
103 variables. Vegetation structure, represented by NDVI, LAI and vegetation height, is in this

104 respect an important determinant of the resistances or conductivities to heat, moisture, and  
105 momentum transfer between the canopy and the atmosphere (Bright et al., 2015) facilitating the  
106 amounts/ratios of sensible heat to water vapour dissipation away from the surface (Hoffmann  
107 and Jackson, 2000).

108

109 To understand the effects of land cover changes on LST, the associated biophysical variables  
110 must be evaluated. This can be done through the surface radiation budget and energy  
111 partitioning which unites these biophysical variables directly or indirectly: albedo as direct  
112 determinant of the net solar radiation, NDVI as a vegetation parameter determining the  
113 emissivity, which in turn determines the amount of reflected and emitted longwave radiation,  
114 LST directly affecting the amount of emitted longwave radiation from the surface and ET,  
115 which affects the amount of energy that is used for surface cooling via evaporating of water.

116

117 The effect of land cover change on LST is dependent on the scale, location, direction and type  
118 of the change (Longobardi et al., 2016). Several studies showed an LST increase after forest  
119 conversion to built-up areas, agricultural land (Zhou and Wang, 2011), crop land and pasture  
120 lands (Peng et al., 2014) in China. Similar observations were reported for South American  
121 ecosystems: low vegetation such as grasslands in Argentina were warmer than tall tree  
122 vegetation (Nosetto et al., 2005). In Brazil, the surface temperature increased after the  
123 conversion of natural Cerrado vegetation (a savanna ecosystem) into crop/pasture (Loarie et al.,  
124 2011a). Similar effects were also observed for other South American biomes (Salazar et al.,  
125 2016). In a global analysis, Li et al. (2015) showed that the cooling of forests is moderate at  
126 mid latitudes but Northern boreal forests are warmer, an indication that the effect of land cover  
127 change on LST varies with the location of the land cover change (Longobardi et al., 2016).  
128 Similar studies on the Indonesian Islands are lacking but surface temperature increases are  
129 expected as an effect of oil palm and cash crop land expansion in the recent decades.

130

131 Measuring LST changes is critical for understanding the effects of land cover changes, but  
132 challenging. LST can be monitored with LST products retrieved from thermal infrared (TIR)  
133 remote sensing data e.g. the use of the thermal bands of the Moderate Resolution Imaging  
134 Spectrometer (MODIS) onboard the Terra and Aqua satellite (Sobrino et al., 2008), the thermal  
135 band of the Thematic Mapper (TM) onboard the LANDSAT-5 platform (Sobrino et al., 2004,  
136 2008) or Enhanced Thematic Mapper (ETM+) onboard the LANDSAT-7 platform. The  
137 advantage of MODIS data is the availability of readily processed products at high temporal  
138 resolution (daily) at medium (250 – 500 m) to coarse (1000 – 5000 m) spatial resolution scale;  
139 MODIS LST product (MOD11A1/MYD11A1) for example is provided at a daily temporal  
140 resolution with a spatial resolution of 1 km. Landsat data are provided at a higher spatial  
141 resolution (30 m), but the temporal resolution is however limited to 16 days and the retrieval of  
142 LST requires the correction of the satellite observed radiances for atmospheric absorption and  
143 emission (Coll et al., 2009). Besides LST, the connected biophysical variables of the energy  
144 and radiation budget can be derived from the visible and near-infrared (VIS-NIR) bands of  
145 MODIS or Landsat, making integrated monitoring of the biophysical variables related to  
146 changing land surface possible. In Indonesia, a large proportion of the land use changes is  
147 driven by smallholders (Dislich et al., 2016), thus a combination of Landsat (for a fine spatial  
148 resolution) and MODIS (for temporal developments) seems desirable.

149

150 The modification of the physical land surface properties influences climate and local  
151 microclimatic conditions via biogeochemical and biophysical processes. Therefore, given  
152 Indonesia's history of large scale agricultural land conversion and governmental plans to  
153 substantially expand the oil palm production (Wicke et al., 2011), it is important to study the  
154 effects of the expansion of cash crop areas on the biophysical environment, especially on LST  
155 as a key land surface parameter. These effects have been poorly studied in this region and

156 according to our knowledge this is the first study to quantify the effects of land use change on  
157 LST in Indonesia. We focus on the Jambi province (on Sumatra/Indonesia) as it experienced  
158 large land transformation towards oil palm and other cash crops such as rubber plantations in  
159 the past and it may serve as an example of future changes in other regions.

160

161 Our main objective is to quantify the differences in LST across different land cover types and  
162 to assess the impact of cash crop expansion on the surface temperature in the Jambi province in  
163 the past decades (2000 – 2015). With this study we aim to (1) evaluate the use of Landsat and  
164 MODIS satellite data as sources for a reliable surface temperature estimation in a tropical region  
165 with limited satellite data coverage by comparing the surface temperatures retrieved from both  
166 satellite sources to each other and against ground observations, (2) to quantify the LST  
167 variability across different land cover types and (3) to assess the long term effects of land  
168 transformation on the surface temperature against the background of climatic changes and (4)  
169 to identify the mechanisms that explain the surface temperature changes caused by alterations  
170 of biophysical variables. In this study we compare the surface temperatures of different land  
171 cover types that replace forests (i.e. oil palm, rubber and acacia plantations, clear cut land and  
172 urban areas) by using high resolution Landsat and medium resolution MODIS satellite data and  
173 discuss the differences by taking into account other biophysical variables such as the albedo,  
174 NDVI and evapotranspiration (ET).

175

## 176 **2 Materials and methods**

177

### 178 **2.1 Study area**

179

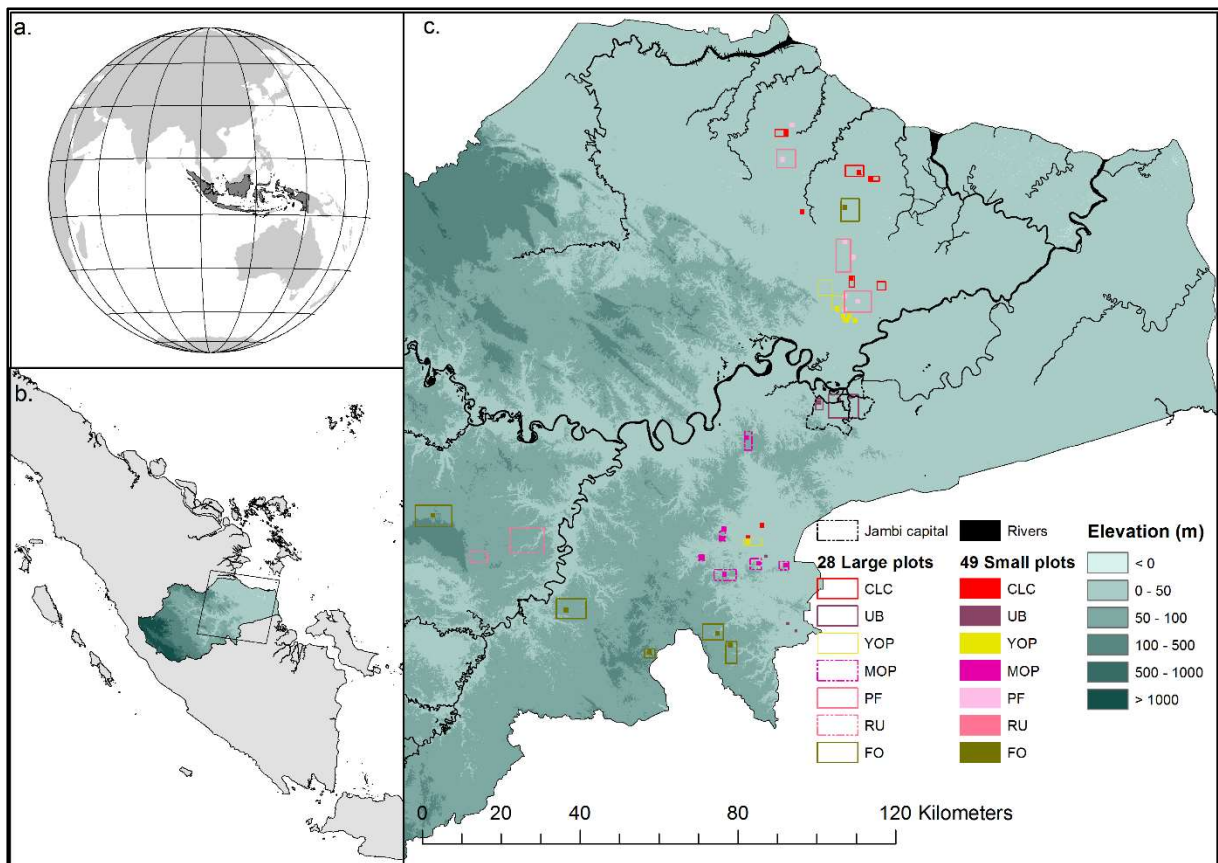
180 The study was carried out in the lowlands (approx. 25 000 km<sup>2</sup>) of the Jambi province (total  
181 area 50 160 km<sup>2</sup>) on Sumatra, Indonesia, between latitudes 0°30'S and 2°30'S and longitudes

182 101°E and 104°30'E (Fig. 1). This region has undergone large land transformation towards oil  
183 palm and rubber plantations over the past decades and thus may serve as an example of expected  
184 changes in other regions of Indonesia (Drescher et al., 2016). The area has a humid tropical  
185 climate with a mean annual temperature of  $26.7 \pm 0.2$  °C (1991 – 2011, annual mean  $\pm$  SD of  
186 the annual mean), with little intra-annual variation. Mean annual precipitation was  $2235 \pm 381$   
187 mm and a dry season with less than 120 mm monthly precipitation usually occurred between  
188 June and September (Drescher et al., 2016). Previously logged rainforests in the Jambi province  
189 have been converted to intensively managed agro-industrial production zones and into  
190 smallholder farms to grow cash crops of rubber (*Hevea brasiliensis*) and oil palm (*Elaeis*  
191 *guineensis*) or fast-growing tree species such as *Acacia mangium* for pulp production (Drescher  
192 et al., 2016). The area cultivated with oil palm grew faster than the area cultivated with rubber  
193 plantations between 1990 and 2011 (Clough et al., 2016).

194

195 For this study, we used two data sets of different plot sizes. For the first data set, we delineated  
196 28 large plots (ranging from 4 to 84 km<sup>2</sup>) of 7 different land cover types (Forest (FO), Rubber  
197 (RU), Acacia Plantation Forest (PF), Young oil palm plantation (YOP), Mature Oil Palm  
198 Plantation (MOP), Urban area (UB) and Clear-Cut areas (CLC)) (Fig. 1). The delineation was  
199 based on visual interpretation in combination with field observations, which were conducted  
200 between October – December 2013. The large size of the plots was necessary to make a  
201 comparison between MODIS and Landsat images (see section satellite data). For the second  
202 data set, we selected 49 smaller plots within and outside these 28 large plots (between 50 × 50  
203 m and 1000 × 1000 m) (Fig. 1) which allowed us to increase the number of plots to use when  
204 analysing Landsat images. These small plots were used to extract the surface temperature  
205 (LST), Normalized Difference Vegetation Index (NDVI), albedo ( $\alpha$ ) and evapotranspiration  
206 (ET) from a high resolution Landsat satellite image (see section satellite data) for the 7 different  
207 land cover types of interest.





208  
209

**Fig. 1** Geographic location of the study area. Jambi province on the Sumatran Island of Indonesia (Figs. 1a and 1b). The background of the map (Fig. 1c) is a digital elevation model, showing that the plots are located in the lowlands of the Jambi province. The large rectangles are the 28 different land cover types (Forest, Young and Mature Oil palm, Rubber, Urban area, Acacia Plantation Forest and Clear-Cut land), the small squares are the locations of the 49 small plots of the 7 different land cover types. Abbreviations: CLC = Clear-cut land, UB = Urban area, YOP = Young oil palm plantation, MOP = Mature Oil Palm plantation, PF = Acacia plantation forest, RU = Rubber plantation, FO = Forest.

217

## 218 **2.2 Meteorological data**

219

220 Air temperature and relative air humidity were measured at four reference meteorological  
221 stations located in open areas within the study area (Drescher et al., 2016), with  
222 thermohygrometers (type 1.1025.55.000, Thies Clima, Göttingen, Germany) placed at 2m  
223 height. Measurements were recorded every 15 s and then averaged and stored in a DL16 Pro

224 data logger (Thies Clima, Göttingen, Germany) as 10 min mean, from February 2013 to  
225 December 2015. We used the air temperature from the meteorological stations to compare to  
226 MODIS air temperatures (MOD07\_L2). The relative air humidity was used as an input  
227 parameter for NASA's online atmospheric correction (ATCOR) parameter tool to derive  
228 parameters to correct Landsat thermal band for atmospheric effects (see Satellite data). We also  
229 used air temperature and relative humidity from two eddy covariance flux towers located in the  
230 study area (Meijide et al., 2017): one in a young oil palm plantation (two years old, S  
231 01°50.127', E 103°17.737'), and the other one in a mature oil palm plantation (twelve years old,  
232 S 01°41.584', E 103°23.484'). At these flux towers, air temperature and relative humidity were  
233 measured above the canopy respectively with the same instruments as in the reference  
234 meteorological stations (see Meijide et al. (2017), for a description of the methodology). At the  
235 flux tower located in the mature oil palm plantation, we also measured the surface canopy  
236 temperature between August 2014 and December 2015, which was compared to MODIS LST  
237 estimates from the same period. The canopy temperature was measured with two infrared  
238 sensors (IR100) connected to a data logger, (CR3000) both from Campbell Scientific Inc.  
239 (Logan, USA). For a regional coverage we used ERA Interim daily air temperature grids  
240 (<http://apps.ecmwf.int/datasets/data/interim-full-daily/levtype=sfc/>; (Dee et al., 2011) from  
241 2000 – 2015 at 0.125 degrees resolution to study the annual air temperature trend in this period.

242

### 243 **2.3 Satellite data**

244

245 A Landsat 7 ETM+ VIS/TIR 30 m resolution surface reflectance image with low cloud cover,  
246 acquired at 10:13 hours (local time) on 19 June 2013 covering the lowland area of the Jambi  
247 province (path 125, row 61) was used in this study. Like all Landsat 7 ETM+ images acquired  
248 after 31 May 2003, the image we used was affected by a scan line error causing a data loss of  
249 about 22% ([http://landsat.usgs.gov/products\\_slcoffbackground.php](http://landsat.usgs.gov/products_slcoffbackground.php)). Most selected plots were

250 located in the center of the image and thus not affected by the data loss, e.g. the forest plots  
251 located at the edges of the scan line error zone faced minimal data loss because they were large  
252 enough.

253 We also downloaded the tile h28v09 of the MODIS Terra (MOD) and Aqua (MYD) daily 1km  
254 Land Surface Temperature and Emissivity products (MOD11A1 and MYD11A1 Collection-5)  
255 and MODIS 16-days 500 m Vegetation Indices NDVI/EVI product (MOD13A1 Collection-5)  
256 from 05 March 2000 till 31 December 2015 for Terra data and from 8 July 2002 till 31  
257 December 2015 for Aqua data. We downloaded other supporting satellite data such as the  
258 MODIS Atmospheric Profile product (MOD07\_L2) and the MODIS Geolocation product  
259 (MOD03). All MODIS data were reprojected to WGS84, UTM zone 48 South with the MODIS  
260 Reprojection Tool (MRT). The quality of the MODIS data was examined with the provided  
261 quality flags and only pixels with the highest quality flag were used in the analysis.

262

## 263 **2.4 Retrieval of biophysical variables from Landsat 7 ETM+ VIS/TIR images**

264

- 265 • *NDVI*

266

267 NDVI was derived from the reflectances corrected for atmospheric effects in the red ( $\rho_{RED}$ ,  
268 band 3 Landsat 7 ETM+) and near infrared ( $\rho_{NIR}$ , band 4 Landsat 7 ETM+) bands, with:

269

$$270 \quad NDVI = \frac{\rho_{NIR} - \rho_{RED}}{\rho_{NIR} + \rho_{RED}} \quad (1)$$

271

- 272 • *Surface albedo*

273

274 The surface albedo ( $\alpha$ ) was computed with the equation of Liang (2000) for estimating  
275 broadband albedo from Landsat surface reflectance bands, with:

276

$$277 \alpha = 0.3141 \rho_1 + 0.1607 \rho_3 + 0.369 \rho_4 + 0.1160 \rho_5 + 0.0456 \rho_7 - 0.0057 \quad (2)$$

278

279 where  $\rho_1$ ,  $\rho_3$ ,  $\rho_4$ ,  $\rho_5$  and  $\rho_7$  are the Landsat 7 ETM+ surface reflectance bands (corrected for  
280 atmospheric effects).

281

- 282 • *Surface temperature (LST)*

283

284 LST was derived following the method proposed by Bastiaanssen (2000), Bastiaanssen et al.  
285 (1998a), Coll et al. (2010) and Wukelic et al. (1989) for computing the surface temperature  
286 from the thermal infrared band (TIR, band 6) of Landsat (Supporting information, S1). The  
287 thermal infrared band (TIR, band 6) was first converted to thermal radiance (L6, W/m<sup>2</sup>/sr/μm)  
288 and then to atmospherically corrected thermal radiance (Rc, W/m<sup>2</sup>/sr/μm) as described by  
289 Wukelic et al. (1989) and Coll et al. (2010), and with the atmospheric parameters obtained on  
290 NASA's online Atmospheric Correction Calculator (Barsi et al., 2003, 2005) (supporting  
291 information, S2). The surface temperature (LST, K) was computed with the following equation  
292 similar to the Planck equation, as in Coll et al. (2010) and Wukelic et al. (1989):

293

$$294 \text{LST} = \frac{k_2}{\ln\left(\frac{\epsilon_{\text{NB}} \cdot k_1}{\text{Rc}} + 1\right)} \quad (3)$$

295

296 where  $\epsilon_{\text{NB}}$  is the emissivity of the surface obtained from the NDVI (Supporting information,  
297 Table S1),  $k_1$  (= 666.09 mW/cm<sup>2</sup>/sr/μm) and  $k_2$  (= 1282.71 K) are sensor constants for  
298 converting the thermal radiance obtained from band 6 of Landsat 7 to surface temperature.

299 The surface temperature derived from Landsat thermal band was compared with the MODIS  
300 LST product that was acquired on the same day at 10:30 am local time. The Landsat LST image  
301 was first resampled to MODIS resolution to enable a pixel to pixel comparison, followed by  
302 extracting the average LST of 7 land cover types with the data set containing the large  
303 delineated plots (Fig. 1).

304

305 • *Evapotranspiration (ET)*

306

307 Based on the Surface Energy Balance Algorithm for Land (SEBAL) (Bastiaanssen, 2000;  
308 Bastiaanssen et al., 1998a, 1998b) we estimated ET (mm/hr) from latent heat fluxes (LE, W/m<sup>2</sup>)  
309 which were computed as the residual from sensible (H, W/m<sup>2</sup>) and ground (G, W/m<sup>2</sup>) heat  
310 fluxes subtracted from net radiation (Rn, W/m<sup>2</sup>) as:

311

$$312 \quad LE = Rn - G - H \quad (4)$$

313

314 We calculated Rn as the sum of incoming shortwave and longwave radiation, minus the  
315 reflected shortwave and longwave radiation and the emitted longwave radiation (equation 5).

316 The surface albedo, surface emissivity and surface temperature determine the amounts of  
317 incoming and reflected radiation:

318

$$319 \quad Rn = (1 - \alpha) S_{d\downarrow} + \epsilon_a \sigma T_a^4 - (1 - \epsilon_0) \epsilon_a \sigma T_a^4 - \epsilon_0 \sigma LST^4 \quad (5)$$

320

321 Where  $S_{d\downarrow}$  is the incoming shortwave solar radiation (W/m<sup>2</sup>) at the surface;  $\alpha$  is the surface  
322 albedo (equation 2);  $\epsilon_0$  is the surface emissivity (-);  $\epsilon_a$  is the atmospheric emissivity (-);  $\sigma$  is the  
323 Stephan-Boltzmann constant ( $5.67 \times 10^{-8}$  W/m<sup>2</sup>/K<sup>4</sup>); LST is the surface temperature (K,  
324 equation 3);  $T_a$  is the sky temperature (K). The surface emissivity ( $\epsilon_0$ ) is derived from the NDVI

325 and is described in the supporting information (Table S1). The average atmospheric emissivity  
326 ( $\epsilon_a$ ) is estimated with the model of Idso and Jackson, (1969):

327

$$328 \quad \epsilon_a = 1 - 0.26 \times \exp((-7.77 \times 10^{-4}) \times (273.15 - T_a)^2) \quad (6)$$

329

330 Ground heat fluxes ( $G$ ,  $W/m^2$ ) were derived as a fraction of  $R_n$  from an empirical relationship  
331 between LST,  $\alpha$ , and NDVI (Bastiaanssen, 2000) as:

332

$$333 \quad G = R_n \times \frac{LST - 273.15}{\alpha} \times (0.0038\alpha + 0.0074\alpha^2) \times (1 - 0.98NDVI^4) \quad (7)$$

334

335 In SEBAL Sensible heat flux ( $H$ ,  $W/m^2$ ) was calculated as:

336

$$337 \quad H = \rho C_p \frac{\Delta T}{r_{ah}} = \rho C_p \frac{a LST + b}{r_{ah}} \quad (8)$$

338

339 Where  $\rho$  is the air density ( $1.16 \text{ kg/m}^3$ );  $C_p$  is the specific heat of air at constant pressure ( $1004$   
340  $J/kg/K$ );  $r_{ah}$  is the aerodynamic resistance to heat transport ( $s \text{ m}^{-1}$ );  $a$  and  $b$  are regression  
341 coefficients which are determined by a hot extreme pixel (where  $LE = 0$  and  $H$  is maximum)  
342 and a cold extreme pixel (where  $H = 0$  and  $LE$  is maximum). The aerodynamic resistance to  
343 heat transport,  $r_{ah}$ , is calculated through an iterative process with air temperature measured at  $2$   
344  $m$  as input. SEBAL is described in Bastiaanssen (2000) and Bastiaanssen et al. (1998a, 1998b).  
345 The application of SEBAL in this research is briefly described in the supporting information  
346 (S3: ET from satellite images).

347

## 348 **2.5 Local short term differences between different land cover types**

349

350 From the created LST, NDVI, Albedo and ET images we extracted the average values of the  
351 different land cover classes with the data set containing the small 49 delineated plots covering  
352 7 different land cover types (Fig. 1). The average effect of land transformation, i.e. the change  
353 from forest to another non-forest land cover type, on the surface temperature was evaluated as  
354 (cf. Li et al. (2015)) :

355

$$356 \quad \Delta LST = LST_{\text{non-forest}} - LST_{\text{forest}} \quad (9)$$

357

358 A negative  $\Delta LST$  indicates a cooling effect and positive  $\Delta LST$  indicates a warming effect of  
359 the non-forest vegetation compared with forest. The same procedure was applied in evaluating  
360 the effect of land transformation on the NDVI, albedo and ET.

361

## 362 **2.6 Effects of land cover change on the provincial surface temperature in the past decades**

363

364 To analyse the long-term effects on the provincial scale we used the MODIS daily LST time  
365 series (MOD11A1 and MYD11A1) from 2000 – 2015. MOD11A1 provides LST for 10:30 am  
366 and 10:30 pm and we used the times series between 2000 and 2015. MYD11A1 provides LST  
367 for 1:30 am and 1:30 pm and is available from 8 July 2002; we used complete years in our  
368 analysis and therefore used the MYD11A1 time series from 2003 – 2015. We calculated the  
369 mean annual LST at four different times of the day (10:30 am, 1:30 pm, 10:30 pm and 1:30 am)  
370 between 2000 and 2015 for the lowland of Jambi from the MODIS daily LST time series  
371 (MOD11A1 and MYD11A1). First, (1) we calculated for each pixel the average LST pixel  
372 value using only the best quality pixels for every year; (2) from these pixels we made a  
373 composite image (n = 16, one for each year) for the province and (3) from each composite  
374 image we calculated the mean annual lowland provincial temperature as the average of all the  
375 pixels that are enclosed by a zone delineating the lowland of the Jambi province. We performed

376 the same analysis with the MODIS 16-day NDVI product (2000 – 2015) and the ERA daily  
377 temperature grid (2000 – 2015) to compare the annual trends of LST, NDVI and air temperature  
378 of the province. The average provincial LST and NDVI were compared with the mean LST and  
379 NDVI of a selected forest that remained undisturbed forest during the 2000 – 2015 period.

380

## 381 **2.7 Statistical analysis**

382

383 For a comparison of the Landsat derived LST and the MODIS LST we analyzed the statistical  
384 relationships with the coefficient of determination ( $R^2$ ), the root mean square error (RMSE),  
385 the mean absolute error (MAE) and the bias (Bias):

386

$$387 \text{ RMSE} = \sqrt{\frac{\sum_{i=1}^N (E_i - O_i)^2}{N}} \quad (10)$$

388

$$389 \text{ Bias} = \frac{\sum_{i=1}^N (E_i - O_i)}{N} \quad (11)$$

390

$$391 \text{ MAE} = \frac{\sum_{i=1}^N |E_i - O_i|}{N} \quad (12)$$

392

393 Where  $O_i$  is MODIS LST,  $E_i$  is the Landsat surface temperature, and  $N$  is the number of pixels  
394 compared. Model type 2 linear regression was applied for fitting the relation between MODIS  
395 LST and Landsat LST.

396 We tested the relation between the biophysical variables LST (or L6 and  $R_c$ , both as pre- or  
397 intermediate products before obtaining LST), albedo ( $\alpha$ ), NDVI and ET with a correlation  
398 analysis and a multiple linear regression was applied to analyse the effects of the biophysical  
399 variables on the LST. We used the model:  $\text{LST (or } R_c \text{ or L6)} \sim \alpha + \text{NDVI} + \text{ET}$ , and used  $R^2$



400 and standardized  $\beta$ -coefficients to evaluate the strength of the biophysical variables in  
401 predicting the LST.

402

### 403 **3 Results**

404

#### 405 **3.1 Landsat LST compared to MODIS LST**

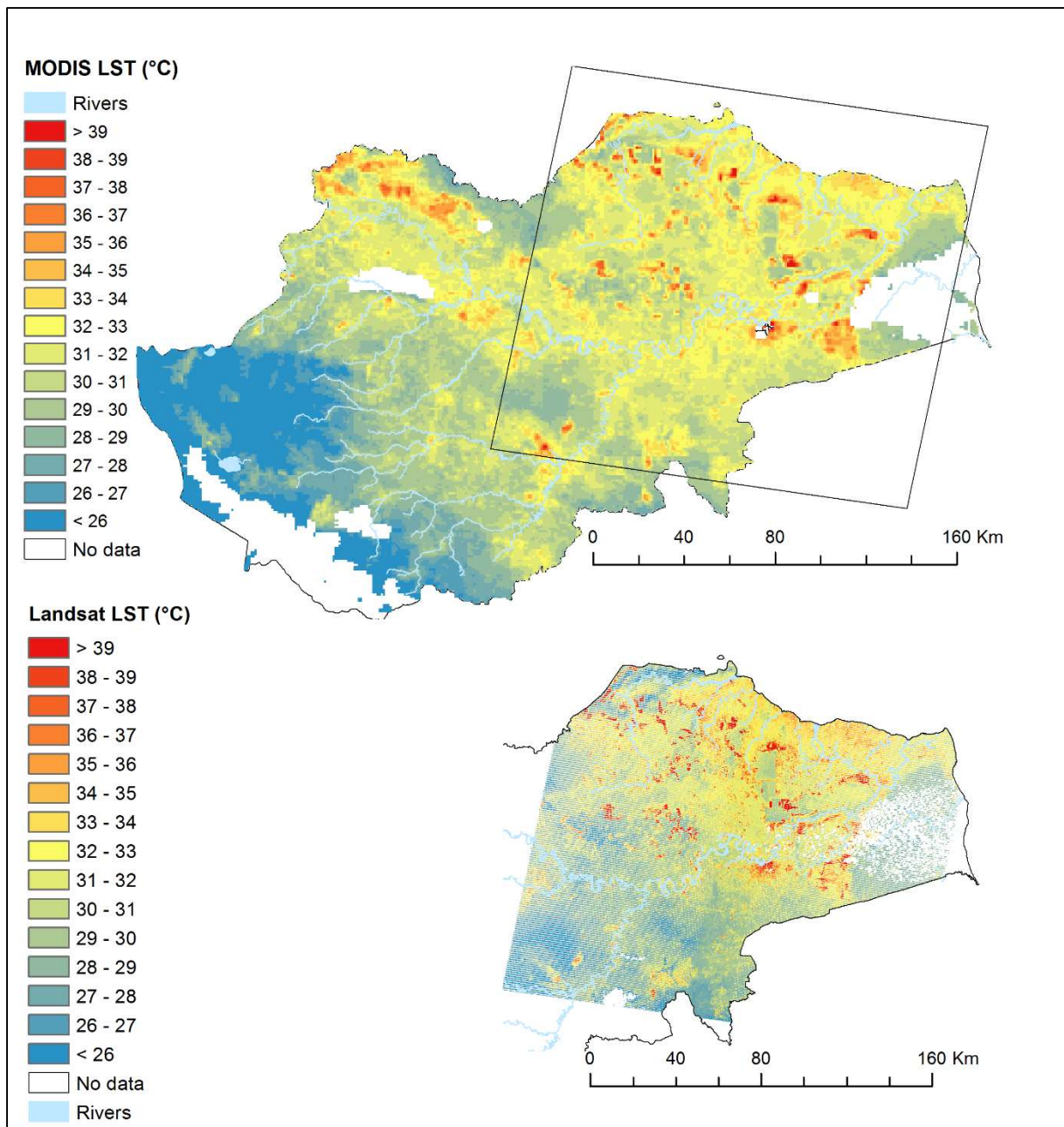
406

407 Landsat and MODIS images showed similar spatial LST patterns (Fig. 2). In both images the  
408 relatively hot areas (red) correspond to the known clear cut areas, urban areas or other sparsely  
409 vegetated areas, the relatively cool areas (blue) correspond to vegetated areas such as forest,  
410 plantation forests and mature oil palm plantations. The coarse resolution scale of MODIS (1000  
411 m for LST) allows a large regional coverage of the study area but does not allow to retrieve  
412 detailed information on small patches (smaller than 1 km<sup>2</sup>). On the other hand, the Landsat 7  
413 image allows a detailed study of patches that are small enough (as small as 30 x 30 m<sup>2</sup>), but is  
414 affected by the scan line error causing data loss at the edges of the image. In both MODIS and  
415 Landsat images clouds and cloud shadows were removed and therefore lead to data gaps in the  
416 images.

417

418

419

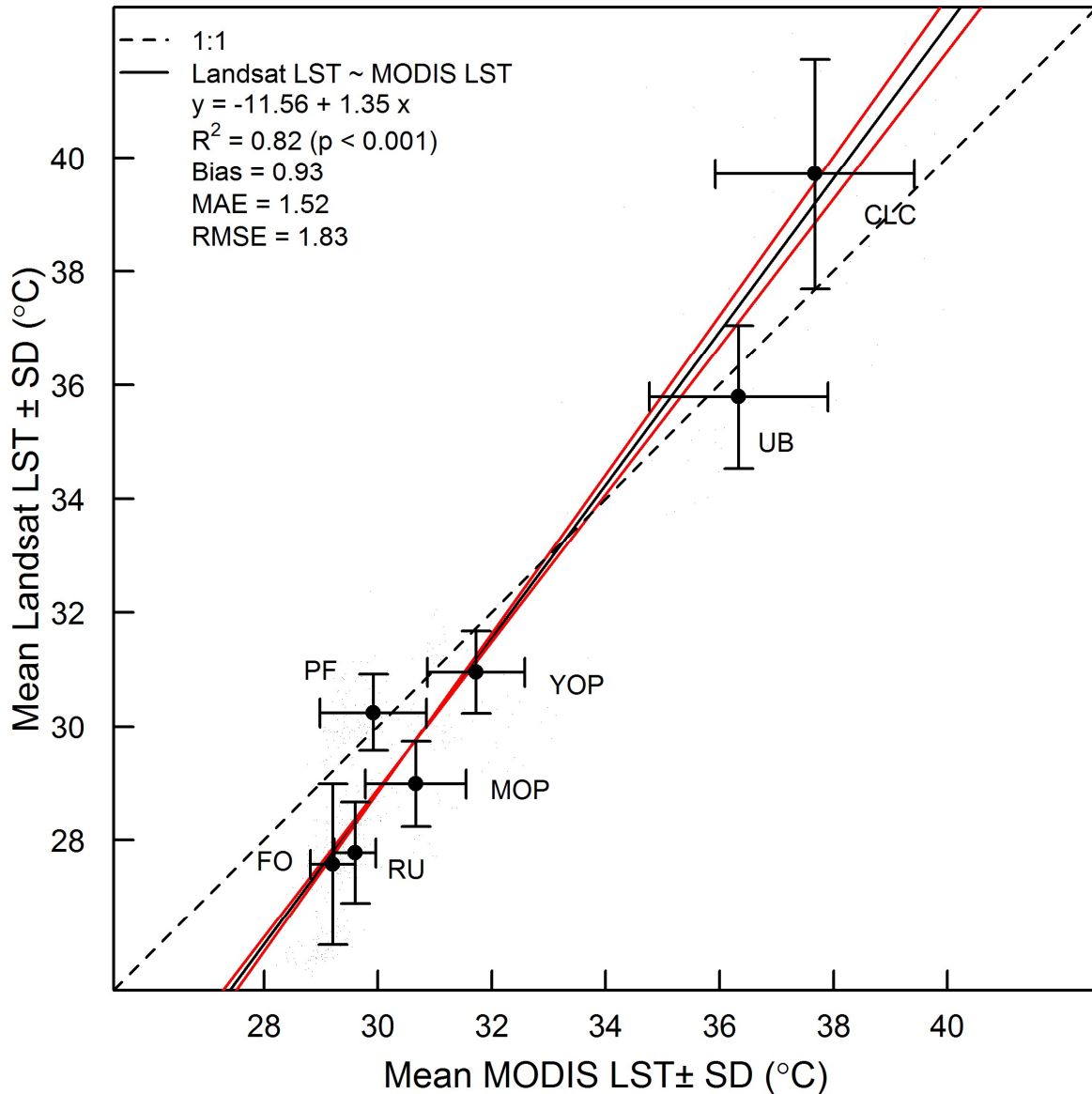


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 429

**Fig. 2** MODIS LST image (top) compared with Landsat LST image (bottom). Cloud cover and cloud shadow cover resulted in data gaps (No data). The difference in acquisition time between the images is 15 minutes. The square in the MODIS image is the area that is covered by the Landsat tile (path 125, row 61). Both satellite images were acquired on 19 June 2013.

Landsat derived LST correlated well with MODIS LST ( $R^2 = 0.82$ ;  $p < 0.001$ ; Fig. 3) with a RMSE of 1.8 °C. The 7 land cover types had distinctive LSTs and the observed differences

430 between these land cover types were consistent in both images. The non-vegetated surfaces  
431 (Clear cut land (CLC) and Urban areas (UB)) had higher surface temperatures than the  
432 vegetated surface types (FO, YOP, MOP, PF and RU). Clear cut land had the highest surface  
433 temperature of all compared land cover types, followed by urban areas whereas the vegetated  
434 land cover types had lower surface temperatures:  $LST_{CLC} (39.7 \pm 2.0 \text{ }^\circ\text{C}) > LST_{UB} (35.8 \pm 1.3$   
435  $\text{ }^\circ\text{C}) > LST_{YOP} (31.0 \pm 0.7 \text{ }^\circ\text{C}) > LST_{PF} (30.3 \pm 0.7 \text{ }^\circ\text{C}) > LST_{MOP} (29.0 \pm 0.8 \text{ }^\circ\text{C}) > LST_{RU} (27.8$   
436  $\pm 0.9 \text{ }^\circ\text{C}) > LST_{FO} (27.6 \pm 1.4 \text{ }^\circ\text{C})$  (Landsat LST, Fig. 3). The same trend was derived from the  
437 MODIS image but with higher surface temperatures, except for CLC:  $LST_{CLC} (37.7 \pm 1.8 \text{ }^\circ\text{C})$   
438  $> LST_{UB} (36.3 \pm 1.6 \text{ }^\circ\text{C}) > LST_{YOP} (31.7 \pm 0.9 \text{ }^\circ\text{C}) > LST_{MOP} (30.7 \pm 0.9 \text{ }^\circ\text{C}) > LST_{PF} (29.9 \pm$   
439  $0.9 \text{ }^\circ\text{C}) > LST_{RU} (29.6 \pm 0.4 \text{ }^\circ\text{C}) > LST_{FO} (29.2 \pm 0.4 \text{ }^\circ\text{C})$  (MODIS LST, Fig. 3).



440  
 441 **Fig. 3** Average surface temperature (LST) and standard deviation (SD) of 7 land cover types  
 442 derived from a Landsat thermal image compared with the mean and SD of MODIS LST.  
 443 CLC = Clear cut land, UB = Urban areas, YOP = young oil palm plantation, PF = Acacia  
 444 Plantation Forest, MOP = Mature Oil palm plantation, FO = Forest, RU = Rubber plantation.  
 445 The dashed line is the theoretical 1:1 line, the solid lines are the Linear Model type 2 regression  
 446 line (black) and the confidence limits of the regression line (red). The Landsat and MODIS  
 447 images were acquired on 19 June 2013, at 10:13 am and 10:30 am local time respectively.  
 448 Landsat pixels (30 m) were resampled to MODIS pixel resolution (926 m) to make a pixel to

449 pixel comparison between the two sources possible. RMSE is the root mean squared error, MAE  
450 is the mean absolute error.

451

### 452 **3.2 Local short term differences between different land cover types**

453

454 The  $\Delta$ LST between RU, MOP, PF, YOP, UB and CLC land cover types and FO were all  
455 positive, meaning that the other land cover types were warmer than forests (Fig. 4a &  
456 Supporting Information S4 and S5). RU and MOP were  $0.4 \pm 1.5$  °C and  $0.8 \pm 1.2$  °C warmer  
457 than forest, respectively. PF and YOP were much warmer than forests ( $\Delta$ LST<sub>PF-FO</sub> =  $2.3 \pm 1.1$   
458 °C,  $\Delta$ LST<sub>YOP-FO</sub> =  $6.0 \pm 1.9$  °C). The largest  $\Delta$ LSTs were between forest and the non-vegetated  
459 land cover types, i.e. UB ( $\Delta$ LST =  $8.5 \pm 2.1$  °C) and CLC ( $\Delta$ LST =  $10.9 \pm 2.6$  °C). The LST  
460 differences were significant ( $p < 0.05$ , post-hoc Tukey's HSD test), except between RU and FO  
461 ( $p = 0.78$ , post-hoc Tukey's HSD test (Supporting Information S6, Table S6.1 & table S6.2).

462

463 Similar differences were found for the  $\Delta$ NDVI between forest and the other land covers (Fig.  
464 4b). The negative  $\Delta$ NDVI indicates that the non-forest land cover types had lower NDVI than  
465 forest.  $\Delta$ NDVI between FO and RU, MOP, PF and YOP were small (between  $-0.01 \pm 0.02$   
466 ( $\Delta$ NDVI<sub>MOP-FO</sub>) and  $-0.12 \pm 0.06$  ( $\Delta$ NDVI<sub>YOP-FO</sub>). The largest  $\Delta$ NDVIs were between forest  
467 and the non-vegetated land cover types, i.e. UB and CLC ( $\Delta$ NDVI =  $-0.42 \pm 0.11$  and  $-0.41$   
468  $\pm 0.08$ , respectively). All  $\Delta$ NDVIs were significant ( $p < 0.05$ , post-hoc Tukey's HSD test).

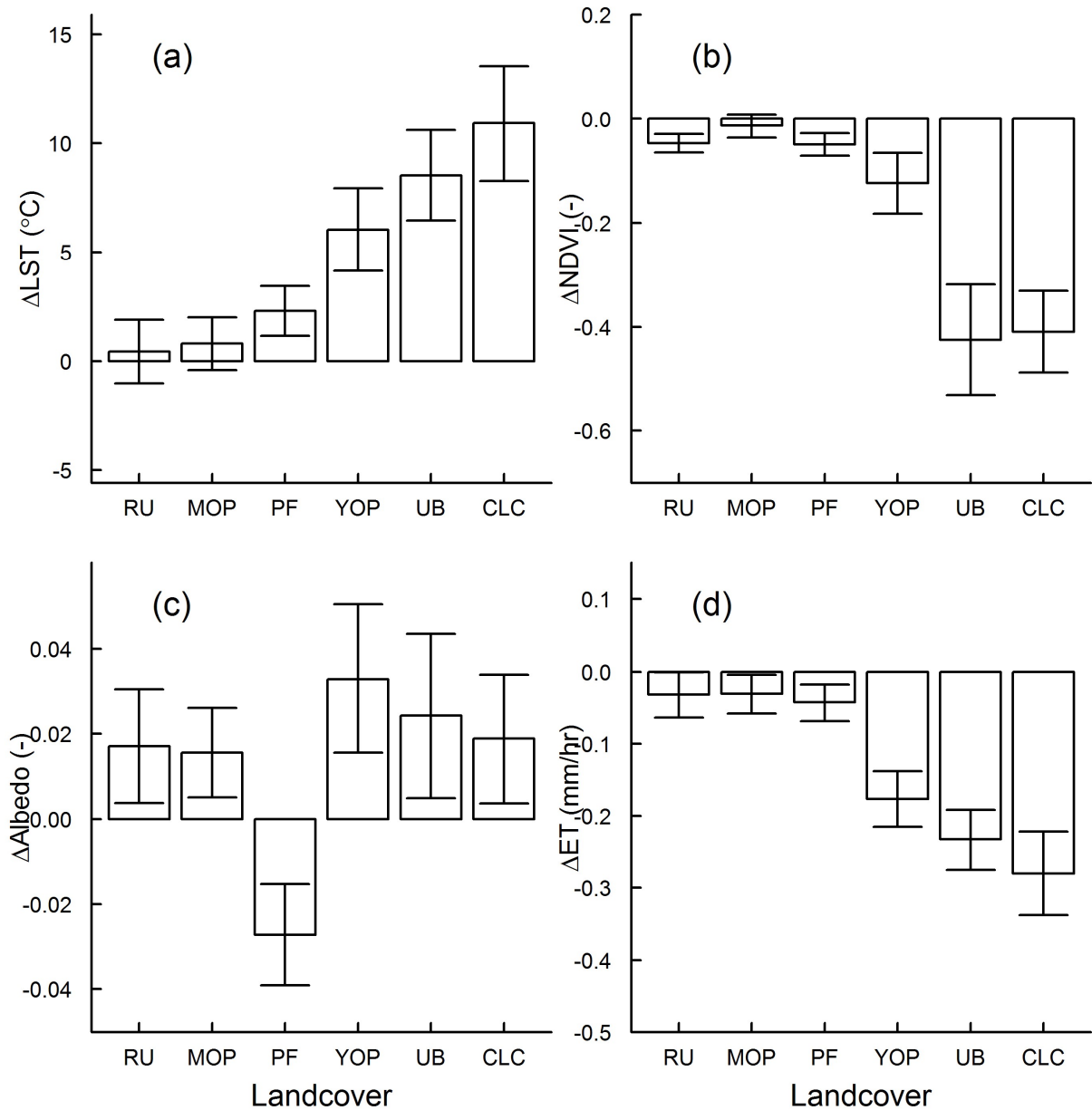
469

470 The difference in albedo ( $\Delta$ Albedo) between forest and the other land covers was very small  
471 (Fig. 4c), with  $\Delta$ Albedo values between  $-0.03 \pm 0.01$  ( $\Delta$ Albedo<sub>PF-FO</sub>) and  $0.03 \pm 0.02$   
472 ( $\Delta$ Albedo<sub>YOP-FO</sub>). These differences were significant ( $p < 0.05$ , post-hoc Tukey's HSD test).  
473 PF had a lower albedo than forest ( $\Delta$ Albedo<sub>PF-FO</sub> =  $-0.03 \pm 0.01$ ), while the other land cover  
474 types had a higher albedo than forest.

475

476 All compared land covers had lower ET than forest. RU, MOP and PF had slightly lower ET  
477 than FO ( $\Delta ET_{RU-FO} = -0.03 \pm 0.04$ ,  $\Delta ET_{MOP-FO} = -0.03 \pm 0.03$  mm/hr,  $\Delta ET_{PF-FO} = -0.04 \pm$   
478  $0.03$  mm/hr) (Fig. 4d). YOP, UB and CLC had much lower ET values than forests:  $\Delta ET_{YOP-FO}$   
479  $= -0.18 \pm 0.04$  mm/hr,  $\Delta ET_{UB-FO} = -0.23 \pm 0.04$  mm/hr,  $\Delta ET_{CLC-FO} = -0.26 \pm 0.06$  mm/hr).  
480 The  $\Delta ET$ s were significant ( $p < 0.05$ , post-hoc Tukey's HSD test). The SEBAL based LE  
481 estimates were within the variability range of LE measurements from eddy covariance  
482 measurements under similar meteorological conditions (see SI 3).

483



484  
 485 **Fig. 4** Differences (mean  $\pm$  SD) in surface temperature ( $\Delta LST$ ), normalized difference  
 486 vegetation index ( $\Delta NDVI$ ), Albedo ( $\Delta Albedo$ ) and Evapotranspiration ( $\Delta ET$ ) between other  
 487 land covers (RU, MOP, PF, YOP, UB and CLC) and forest (FO) in the Jambi province, derived  
 488 from a Landsat LST image acquired on 19 June 2013 at 10:13 am local time.

489  
 490 Albedo had a weaker influence on the LST ( $\rho = 0.25$ ,  $p < 0.05$ ) (Table 2) than NDVI and ET.  
 491 As the thermal radiance band (L6) and the atmospherically corrected thermal band (Rc) were  
 492 the basis for the LST calculation, the high correlation between L6 and NDVI ( $\rho = -0.87$ ,  $p <$

493 0.05) and between L6 and ET ( $\rho = -0.98$ ,  $p < 0.05$ ) resulted in a high correlation between LST  
 494 and NDVI ( $\rho = -0.88$ ) and between LST and ET ( $\rho = -0.98$ ). The analysis showed that albedo,  
 495 NDVI and ET were all significant predictors of LST ( $F_{(3, 41586)} = 1 \times 10^6$ ,  $p < 0.05$ ). ET was the  
 496 strongest predictor of LST (stand.  $\beta = -1.11$ ,  $p < 0.05$ ). Albedo (stand.  $\beta = -0.19$ ,  $p < 0.05$ )  
 497 and NDVI (stand.  $\beta = -0.19$ ,  $p < 0.05$ ) were weaker predictors of LST.

498

499 **Table 2** Statistical analysis between biophysical variables (albedo ( $\alpha$ ), NDVI and ET) and  
 500 Spectral Radiance band (L6), corrected thermal band (Rc) and Landsat surface temperature  
 501 (LST).

Model		$\rho$	$R^2$	$\beta$	Stand. $\beta$	Model fit ( $R^2$ )	F-statistics
<b>L6 ~ <math>\alpha</math> + NDVI + ET</b>	<b><math>\alpha</math></b>	0.26	0.05	-2.94	-0.19	0.99	F (3, 41586) = 1.10×106, ***
	<b>NDVI</b>	-0.87	0.10	0.23	0.11		
	<b>ET</b>	-0.98	1.13	-4.00	-1.16		
<b>Rc ~ <math>\alpha</math> + NDVI + ET</b>	<b><math>\alpha</math></b>	0.25	0.05	-4.88	-0.20	0.99	F (3, 41586) = 1.79×106, ***
	<b>NDVI</b>	-0.88	0.04	0.16	0.05		
	<b>ET</b>	-0.98	1.00	-6.21	-1.10		
<b>LST ~ <math>\alpha</math> + NDVI + ET</b>	<b><math>\alpha</math></b>	0.25	0.05	-34.01	-0.19	0.99	F(3, 41586) = 2.3×106, ***
	<b>NDVI</b>	-0.88	0.05	1.30	0.05		
	<b>ET</b>	-0.98	1.00	-43.53	-1.11		

502 \*\*\*:  $p = 2 \times 10^{-16}$

503 LM: Multiple linear regression analysis between LST (or L6 or Rc) and 3 biophysical variables:  
 504 Albedo ( $\alpha$ ), NDVI and ET.  $\rho$  = correlation coefficient;  $R^2$ : R-squared of the components;  $\beta$  =  
 505 regression coefficient of the component; stand.  $\beta$  = standardized  $\beta$ ; Model fit ( $R^2$ ): overall model  
 506 fit of the multiple linear regression.

507

508 A separate analysis (Table S6.3, Supporting information S6) showed that ET was a strong  
 509 predictor of LST for each land cover type in this study and that NDVI and albedo were minor  
 510 predictors of LST.

511



### 512 3.3 Effects of land-use change on the provincial surface temperature in the past decades

513

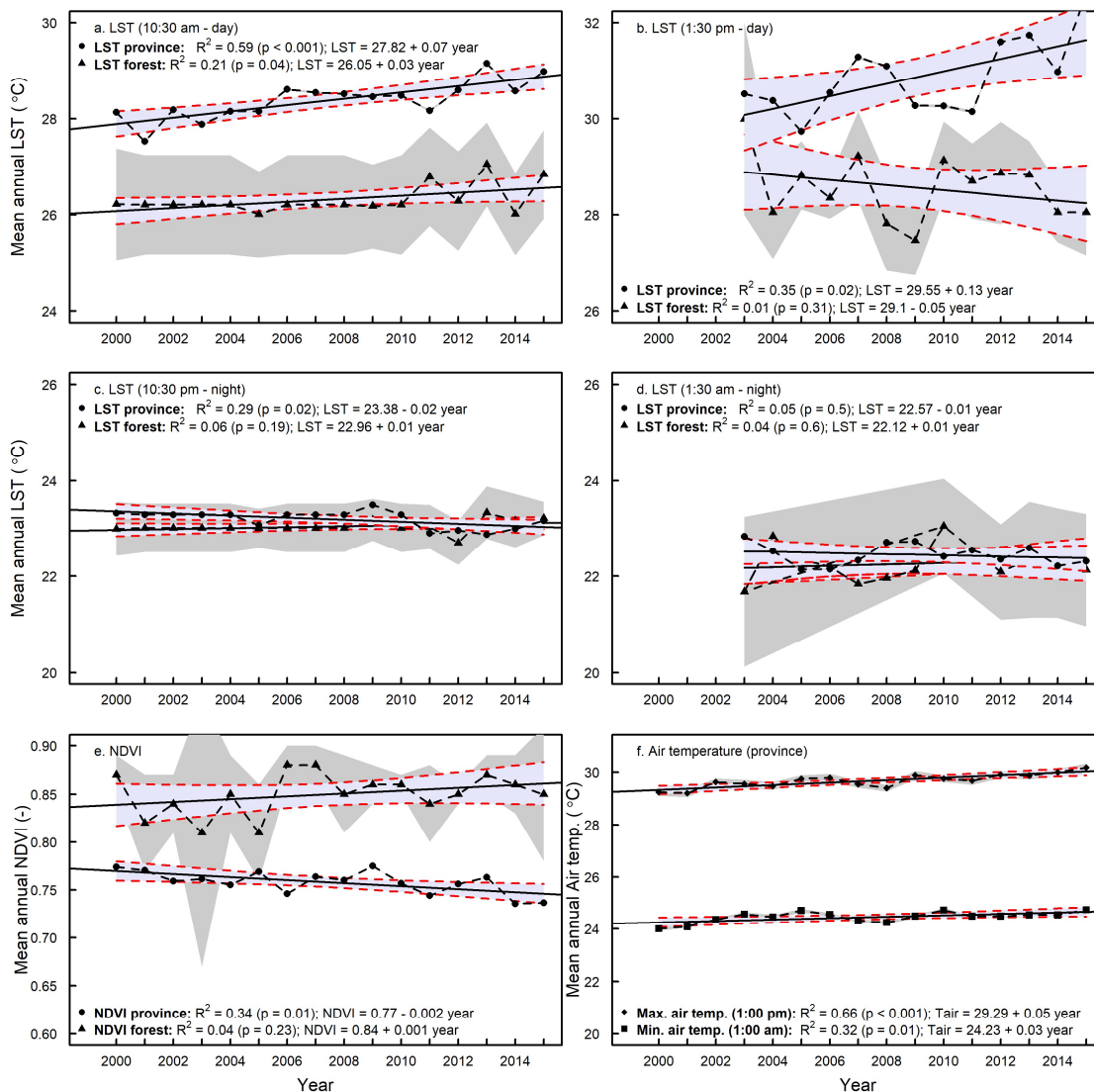
514 The average annual LST of Jambi was characterized by a fluctuating but increasing trend during  
515 daytimes (Fig. 5a and 5b) between 2000 and 2015. The average morning LST (10:30 am)  
516 increased by 0.07 °C per year ( $R^2 = 0.59$ ;  $p < 0.001$ ), the midday afternoon LST (13:30 local  
517 time) increased by 0.13 °C per year ( $R^2 = 0.35$ ;  $p = 0.02$ ) between 2003 and 2015. While the  
518 daytime LST showed a clear increase, the night and evening LST (10:30 pm and 1:30 am, Fig.  
519 5c and 5d) trends showed a small decrease of  $-0.02$  °C ( $R^2 = 0.29$ ;  $p = 0.02$ ) and  $-0.01$  °C ( $R^2$   
520  $= 0.05$ ;  $p = 0.50$ ) per year, respectively. The observed LST trends resulted in a total LST  
521 increase of 1.05 °C and 1.56 °C in the morning (10:30 am) and afternoon (1:30 pm) respectively  
522 and a total decrease of the LST of 0.3 °C (10:30 pm) and 0.12 °C (1:30 am) at night over the  
523 period from 2000 to 2015 in Jambi.

524

525 To separate the effect of land use change from global climate warming, we used a site constantly  
526 covered by forest over that period (from the forest sites we used in this study) as a reference  
527 that was not directly affected by land cover changes. That site showed small changes in LST  
528 than the entire province: only the mean morning LST (10:30 am) had a significant but small  
529 trend with an increase of 0.03 °C per year ( $R^2 = 0.21$ ,  $p < 0.05$ ) resulting in a total LST increase  
530 of 0.45 °C between 2000 and 2015 (Fig. 5a). This LST warming is much smaller than the overall  
531 warming at provincial level of 1.05 °C. The LST time series at other times showed no significant  
532 trends: the mean afternoon LST (1:30 pm) increased by  $-0.05$  °C per year ( $R^2 = 0.01$ ,  $p = 0.31$ )  
533 (Fig. 5b), the night and evening LST by 0.01 °C per year (Fig. 5c and 5d,  $p = 0.19$  and  $p = 0.60$ ,  
534 respectively).

535

536 The mean annual NDVI in Jambi decreased by 0.002 per year, resulting in a total NDVI decrease  
 537 of 0.03 ( $R^2 = 0.34$ ;  $p = 0.01$ ; Fig. 5e). The NDVI of the forest showed a small but not significant  
 538 increase of 0.001 per year ( $R^2 = 0.04$ ,  $p = 0.23$ ) (Fig. 5e) fluctuating around an NDVI of 0.84.  
 539  
 540 The mean annual midday air temperature (at 1:00 pm, local time, Fig. 5f) and the mean annual  
 541 night air temperature (at 1:00 am, local time) increased every year by 0.05 °C and 0.03 °C  
 542 respectively, resulting in a total air temperature increase of 0.75 °C ( $R^2 = 0.66$ ,  $p < 0.001$ ) and  
 543 0.45 °C ( $R^2 = 0.32$ ,  $p = 0.01$ ) between 2000 and 2015 (Fig. 5f).



544  
 545 **Fig 5.** Mean annual LST (a – d), mean annual NDVI (e) and mean annual air temperature trends  
 546 (f) in the Jambi province between 2000 and 2015 derived from MODIS LST (5a. 10:30 am, 5b.

547 1:30 pm, 5c. 10:30 pm and 5d. 1:30 am, local time), MODIS NDVI and ERA Interim Daily air  
548 temperature (1:00 am and 1:00 pm, local time) data sets respectively. Grey-shaded areas are the  
549 confidence intervals of the means, blue-shaded areas are the confidence intervals of the  
550 regression lines. MODIS LST time series for 1:30 pm and 1:30 am were available from the mid  
551 of 2002; for this reason we used the complete years from 2003 till 2015.

552

## 553 **4 Discussion**

554

### 555 **4.1 Landsat LST compared to MODIS LST**

556

557 In our study we retrieved the surface temperature from a Landsat image and compared this with  
558 MODIS LST. Our results showed a good agreement between both LSTs (Fig. 3), which is  
559 comparable to other studies and thus gives confidence in our analysis. Bindhu et al. (2013)  
560 found also a close relationship between MODIS LST and Landsat LST by using the same  
561 aggregation resampling technique as our method and found a  $R^2$  of 0.90, a slope of 0.90, and  
562 an intercept of 25.8 °C for LST, compared to our  $R^2$  of 0.8, slope of 1.35 and intercept of –  
563 11.58 °C (Fig. 3). Zhang and He (2013) validated Landsat LST with MODIS LST and also  
564 found good agreements (RMSD 0.71 – 1.87 °C) between the two sensors, whereas we found a  
565 RMSE of 1.71 °C. Nevertheless, there still are differences and slope versatility between the two  
566 satellite sources. These differences are typically caused by differences between the MODIS and  
567 Landsat sensors in terms of (a) different sensor properties e.g. spatial and radiometric resolution  
568 and sensor calibration; (b) geo-referencing and differences in atmospheric corrections (Li et al.,  
569 2004); and (c) emissivity corrections i.e. the use of approximate equations to derive the  
570 emissivity from the NDVI from Landsat's Red and NIR bands. Li et al. (2004) and Vlassova et  
571 al. (2014) identified these same factors in their comparison of ASTER LST with MODIS LST  
572 and Landsat LST with MODIS LST, respectively. Vlassova et al. (2014) found good

573 agreements between MODIS and Landsat LST and obtained higher LSTs with MODIS than  
574 with Landsat, which they attributed to the delay of 15 minutes in acquisition time between  
575 MODIS and Landsat. MODIS LST is measured 15 minutes later and our results showed that  
576 MODIS LSTs were indeed higher than Landsat LST. A comparison of MODIS LST with  
577 locally measured canopy surface temperatures during the overpass time of MODIS also showed  
578 agreement (Supporting information S7, Figure S7.1). The slope was possibly related to  
579 differences in instrumentation and emissivity corrections and to scale issues, still this  
580 comparison could corroborate the quality check of MODIS LST.

581 As the MODIS LST product is proven to be accurate within 1 °C (Silvério et al., 2015; Wan et  
582 al., 2004) and has been intensively validated, the use of MODIS LST was a proper way to assess  
583 the quality of our Landsat LST.

584

585 The errors from the different sources (such as atmospheric correction, emissivity correction,  
586 resampling Landsat to MODIS resolution) are difficult to quantify. When we tested the impact  
587 of atmospheric correction and emissivity errors on the LST from Landsat retrieval we found  
588 that: (a) the overall patterns across different land use types did not change, (b) emissivity was  
589 the most important factor, but the effects on LST retrieval were small and (c) errors related to  
590 atmospheric correction parameters were small because there were minor differences between  
591 the default atmospheric correction (ATCOR) parameters and the ATCOR parameters derived  
592 with actual local conditions (relative humidity (RH), air pressure and air temperature).  
593 Following the method of Coll et al. (2009) and Jiang et al. (2015) we show that the use of the  
594 online atmospheric correction parameter calculator is a good option provided that RH, air  
595 temperature and air pressure measurements are available. We additionally compared locally  
596 measured air temperatures with MODIS air temperature and found a good agreement  
597 (Supporting information S8, Figure S8.1), which served as a verification that we used a correct  
598 air temperature for the atmospheric correction parameter calculator.

599 Overall, our comparison of Landsat LST with MODIS LST and against ground observations  
600 suggests that we are able to retrieve meaningful spatial and temporal patterns of LST in the  
601 Jambi province.

602

#### 603 **4.2 LST patterns across different land use and land cover (LULC) types**

604

605 The land cover types in our study covered a range of land surface types that develop after forest  
606 conversion. This is the first study in this region that includes oil palm and rubber as land use  
607 types that develop after forest conversion. The coolest temperatures were at the vegetated land  
608 cover types while the warmest surface temperatures were on the non-vegetated surface types  
609 like urban areas and bare land. Interestingly, the oil palm and rubber plantations were only  
610 slightly warmer than the forests whereas the young oil palm plantations had clearly higher LST  
611 than the other vegetated surfaces. For other parts of the world, Lim et al. (2005, 2008), Fall et  
612 al. (2010) and Weng et al. (2004) also observed cooler temperatures for forests and the highest  
613 surface temperatures for barren and urban areas.

614 In Indonesia, land transformation is often not instantaneous from forest to oil palm or rubber  
615 plantation, but can be associated with several years of bare or abandoned land in-between (Sheil  
616 et al., 2009). Oil palm plantations typically have a rotation cycle of 25 years, resulting in  
617 repeating patterns with young plantations (Dislich et al., 2016). Given the large LST differences  
618 between forests and bare soils or young oil palm plantations that we observed, a substantial  
619 warming effect of land transformation at regional scale is expected.

620

#### 621 **4.3 Drivers of local differences between different land cover types**

622

623 All the land cover types (except Acacia Plantation Forests) had a higher albedo than forest,  
624 indicating that these land cover types absorbed less incoming solar radiation than forests.

625 Nevertheless, these land cover types were warmer than forests, suggesting that the albedo was  
626 not the dominant variable explaining the LST. Indeed, the statistical analysis showed that  $ET \sim$   
627 LST had a higher correlation than  $albedo \sim LST$ . The  $\Delta ETs$  were significant, underlying that  
628 despite their higher albedo, all land cover types had higher LSTs than forests related to lower  
629 ET rates than forests. On the other hand, forests that absorb more solar radiation because of the  
630 lower albedo, have lower LST because of the higher ET they exhibit, hereby identifying  
631 evaporative cooling as the main determinant of regulating the surface temperature of all  
632 vegetation cover types (Li et al., 2015).

633

634 Both observational and modeling studies carried out in other geographic regions and with other  
635 trajectories support our observations. Observational studies in the Amazonia by Lawrence and  
636 Vandecar (2015) on the conversion of natural vegetation to crop or pasture land showed a  
637 surface warming effect. Salazar et al. (2015) provided additional evidence that conversion of  
638 forest to other types of land use in the Amazonia caused significant reductions in precipitation  
639 and increases in surface temperatures.

640 Alkama and Cescatti (2016) and earlier studies by Loarie et al. (2011a, 2011b) showed that  
641 tropical deforestation may increase the LST. Croplands in the Amazonian regions were also  
642 warmer than forests through the reduction of ET (Ban-Weiss et al., 2011; Feddema et al., 2005)  
643 and that the climatic response strongly depends on changes in energy fluxes rather than on  
644 albedo changes (Loarie et al., 2011a, 2011b). A study by Silvério et al. (2015) indeed found  
645 that tropical deforestation changes the surface energy balance and water cycle and that the  
646 magnitude of the change strongly depends on the land uses that follow deforestation. They  
647 found that the LST was 6.4 °C higher over croplands and 4.3 °C higher over pasture lands  
648 compared to the forest they replaced, as a consequence of energy balance shifts. Ban-Weiss et  
649 al. (2011) and Davin and de Noblet-Ducoudré (2010) added that in addition to the reduction of  
650 ET, the reduction of surface roughness most likely enhanced the substantial local warming.

651

652 Also for non-Amazonian regions, the replacement of forests by crops caused changes  
653 comparable with our observations. In temperate Argentina, Houspanossian et al. (2013) found  
654 that the replacement of dry forests by crops resulted in an increase of albedo but still forests  
655 exhibited cooler canopies than croplands. The cooler canopies were a result of a higher  
656 aerodynamic conductance that enhanced the capacity of tree canopies to dissipate heat into the  
657 atmosphere, and to both latent and sensible heat fluxes operating simultaneously to cool forest  
658 canopies.

659

660 In a global analysis Li et al. (2015) showed that tropical forests generally have a low albedo,  
661 but still the net energy gain caused by solar energy absorption is offset by a greater latent heat  
662 loss via higher ET and that in the tropical forests the high ET cooling completely offsets the  
663 albedo warming. For China, this cooling effect was also shown by Peng et al. (2014) who  
664 compared LST, albedo and ET of plantation forests, grassland and cropland with forests.

665

666 For the USA, Weng et al. (2004) and for China, Yue et al. (2007), using NDVI as an indicator  
667 of vegetation abundance, also found that areas with a high mean NDVI had a lower LST than  
668 areas with a low mean NDVI, therefore suggesting that vegetation abundance is an important  
669 factor in controlling the LST through higher ET rates. Our result support their assumptions by  
670 showing the high correlation between NDVI – LST and ET – LST.

671

672 Our findings are also supported by modelling studies. Beltrán-Przekurat et al. (2012) found for  
673 the Southern Amazon that conversion of wooded vegetation to soy bean plantations caused an  
674 increase of the LST due to decreased latent heat and increased sensible heat fluxes. Climate  
675 models also show the same warming trends and land surface modelling also projects an increase  
676 in surface temperatures following deforestation in the Brazilian Cerrado (Beltrán-Przekurat et

677 al., 2012; Loarie et al., 2011b). In a global analysis, Pongratz et al. (2006) showed a LST  
678 increase of forest to cropland or pasture transitions, which was driven by a reduced roughness  
679 length and an increased aerodynamic resistance, and that the temperature response is intensified  
680 in forest to clear/bare land transitions (1.2 – 1.7 °C increase). Similar to observational studies,  
681 the modelling results of Bathiany et al. (2010) show that ET is the main driver of temperature  
682 changes in tropical land areas.

683

684 To understand the effects of deforestation on biophysical variables in Indonesia, our study  
685 identifies the following mechanisms: (a) reduction of ET decreases surface cooling, (b) reduced  
686 surface roughness reduces air mixing in the surface layer and thus vertical heat fluxes, (c)  
687 changes in albedo change the net radiation, and (d) changes in energy partitioning in sensible  
688 and latent heat and heat storage. The effect is an increase of the mean temperatures leading to  
689 warming effects in all tropical climatic zones (Alkama and Cescatti, 2016). We point here that  
690 our study (1) included a ground heat flux, but did not take into account the storage of heat in  
691 the soil and the release of stored heat out of the soil during the daily cycle and (2) that the  
692 Landsat satellite image was obtained under cloud free conditions with high shortwave radiation  
693 input and low fraction of diffuse radiation. Therefore, the LST retrieved on cloud free days  
694 might be overestimated compared to cloudy days, as the differences in LST between land uses  
695 are supposed to be lower when diffuse radiation increases.

696

697 Our study is the first to include the oil palm and rubber expansion in Indonesia. In Indonesia,  
698 smallholders take 40% of the land under oil palm cultivation for their account (Dislich et al.,  
699 2016). Because the landscape in Jambi is characterized by a small-scale smallholder-dominated  
700 mosaic including rubber and oil palm monocultures (Clough et al., 2016), studies using medium  
701 to coarse resolution data are not able to capture the small scale changes and processes at the  
702 small-scale level. By using high resolution Landsat data we were also able to include the effects



703 of land use change on biophysical variables and the underlying processes of the small scale  
704 holder agriculture.

705

#### 706 **4.4 Effects of land use change on the provincial surface temperature in the past decades**

707

708 The increases of the mean surface temperature in Jambi were stronger during the morning  
709 (10:30 am) and afternoon (1:30 pm) than during the evening (10:30 pm) and night (1:30 am).  
710 Given that our results show a decrease of the NDVI in the same period, this suggests that the  
711 observed increased trend of the day time LST can be attributed to the land cover changes that  
712 occurred. Our assumption that the observed decreasing NDVI trend is caused by land  
713 conversions is supported by two different studies which reported that in Jambi, between 2000  
714 and 2011 (Drescher et al., 2016) and between 2000 and 2013 (Clough et al., 2016), the forest  
715 area decreased and that the largest increases were for rubber, oil palm, and agricultural and tree  
716 crop areas. The class ‘other land use types’, which includes urban areas, showed a minor  
717 increase (around 1%), suggesting that the decrease in NDVI was most likely caused by forest  
718 cover loss and not by urban expansion (see Supporting information, Table S9). The same  
719 observations on LULC change in Indonesia were also done by Lee et al. (2011), Margono et al.  
720 (2012, 2014) and Luskin et al. (2014). Luskin et al. (2014) showed that in Jambi, during the  
721 period 2000 – 2010, forests decreased by 17% while oil palm and rubber area increased by 85%  
722 and 19%, respectively.

723

724 Given these trends in LULC changes, the observed LST trends were most likely caused by  
725 gradual decrease of forest cover loss at the expense of agriculture and croplands. Our  
726 assumptions are supported by findings of Silvério et al. (2015), Costa et al. (2007), Oliveira et  
727 al. (2013), Spracklen et al. (2012) and Salazar et al. (2015) which indicate that land use  
728 transitions in deforested areas likely have a strong influence on regional climate. Alkama and

729 Cescatti (2016) show that biophysical effects of forest cover changes can substantially affect  
730 the local climate by altering the average temperature, which is consistent with our observations  
731 and can be related to the observed land use change in the Jambi province. As Indonesia has  
732 undergone high rates of forest cover loss from 2000 to 2012 (Margono et al., 2014), these  
733 findings support our assumptions that the observed LST increase in the Jambi province was  
734 most likely caused by the observed land use changes.

735

736 To separate the effect of global warming from land-use change induced warming, we  
737 considered areas with permanent and large enough forests as a reference where changes are  
738 mainly because of global warming. We find that LST of forests show either no significant trends  
739 (at 1:30 pm, 10:30 pm, 1:30 am) or just a clearly smaller increase of 0.03 °C per year at 10:30  
740 am. The difference between the LST trend of the province and of the forest at 10:30 am was  
741 0.04 °C per year, resulting in a  $\Delta$ LST of 0.6 °C between the province and forest in the period  
742 2000 and 2015. We point out that our MODIS analysis has a larger proportion of data from the  
743 dry season compared from the wet season, as there were more cloud free conditions during the  
744 dry season. Thus, our reported warming effect reflects cloud free conditions. During cloudy  
745 conditions, particularly in the wet season, the warming effect is expected to be lower. A  
746 seasonality analysis showed that the relationships in the dry season are stronger than for the wet  
747 season (see Supporting information S10, fig. S10.1) which suggests that the warming is more  
748 pronounced during the dry season compared to the wet season, which is reasonable as we have  
749 more incoming radiation during the dry season.

750

751 With the warming effects we found between forest and other land cover types ( $\Delta$ LST, Fig. 4a)  
752 and the observed land cover changes by Clough et al. (2016), Drescher et al. (2016) (Supporting  
753 Information S9, table S9.1 and S9.2) we estimated the contribution of all land cover types  
754 (except forest) to the  $\Delta$ LST of the province between 2000 and 2015 to be 0.51°C out of the

755 observed 0.6°C, which also supports our assumption that the LST increase in Jambi was for  
756 85% driven by land cover changes (see Supporting Information 9, Table S9.1 & S9.2: Land  
757 use change analysis), with clear cut areas having a large contribution as they have the largest  
758 warming effect.

759

760 The observed small, but significant increase in LST of forests of 0.03 °C per year at 10:30 am  
761 reflects a LST change independent of land cover changes, as the forest remained unchanged  
762 over that time period. A potential driver of that LST increase is the general global air  
763 temperature trend because of changes in radiative forcing or border effects (advection from  
764 warmer land uses), which is similar to the 1994 - 2014 time series analysis of Kayet et al.  
765 (2016), who showed a LST increase for all land cover types ranging from wasted land,  
766 agriculture land, open forest, dense forest, water bodies and built up areas.

767

768 The observed trends of the provincial air temperature (Fig. 5f) were significant, suggesting that  
769 a general warming due to global and regional effects contributes to the observed warming at  
770 the provincial level during day and night time, but that it is smaller than the land cover change  
771 induced effects (Supporting Information S9, Table S9.1 & S9.2) at the provincial level (Fig. 5a  
772 and 5b).

773

774 In our long-term analysis on the regional effects of land use change we observed an increase in  
775 the mean LST and mean air temperature in the 2000 - 2015 period, concurrent with a decrease  
776 of the NDVI. The warming observed from MODIS LST data and from the air temperature  
777 obtained from the independent ERA Interim Reanalysis in the Jambi province are most likely  
778 caused by the observed decrease of the forest area and an increase of oil palm, rubber and other  
779 cash crop areas in the same period, with other effects such as radiative forcing changes and  
780 additional natural effects playing a smaller role. Given the plan of the Indonesian government

781 to substantially expand oil palm production with a projected additional demand of 1 to 28 Mha  
782 in 2020 (Wicke et al., 2011), the strong warming effect we show for Jambi may serve as an  
783 indication of future LST changes for other regions of Indonesia that will undergo land  
784 transformations towards oil palm plantations.

785 A recent study by Tölle et al. (2017) showed that for Southeast Asia, land use change at large  
786 scale may increase not only surface temperature but may impact other aspects of local and  
787 regional weather and climate occurring also in regions remote from the original landscape  
788 disturbance. Their results also indicate that land clearings can amplify the response to climatic  
789 extreme events such as El Niño Southern Oscillation (ENSO). The observed effects of land use  
790 change on the biophysical variables may have implications for ecosystem services in the Jambi  
791 province beyond a pure warming effect. The high precipitation in this region in combination  
792 with the reduced vegetation cover of bare land and young oil palm plantations impose risks of  
793 soil erosion caused by surface run off. Less water infiltration into the soil, thereby decreasing  
794 the soil water storage may lead to low water availability in the dry season (Dislich et al., 2016;  
795 Merten et al., 2016). High surface temperatures in combination with low water availability may  
796 make the vegetation and the surroundings more vulnerable to fires.

797

## 798 **5 Conclusion**

799

800 In summary, we studied the effects of land use and land cover changes on the surface  
801 biophysical variables in Jambi and explained the underlying mechanisms of the surface  
802 temperature regulation. We derived biophysical variables from satellite data, analyzed the  
803 biophysical impacts of deforestation and on a local scale we found a general warming effect  
804 after forests are transformed to cash or tree croplands (oil palm, rubber, acacia) in the Jambi  
805 province of Sumatra. The warming effect after forest conversion results from the reduced  
806 evaporative cooling, which was identified as the main determinant of regulating the surface

807 temperature. On a regional scale, we saw that the effects of land cover changes are reflected  
808 back in changes of the LST, NDVI and air temperature in Jambi. The warming effect induced  
809 by land cover change clearly exceeded the global warming effect. Understanding the effects of  
810 land cover change on the biophysical variables may support policies regarding conservation of  
811 the existing forests, planning and expansion of the oil palm plantations and possible  
812 afforestation measures.

813 **Supporting Information**

814

815 Supporting information to this article is arranged as follows:

816

817 **S1. Surface temperature retrieval from Landsat thermal images**

818 **Table S1.1.** Steps in the retrieval of the surface temperature from Landsat TIR band

819 **Table S1.2.** LMIN and LMAX values for Landsat 7 ETM+

820 **Table S1.3.** Mean solar exo-atmospheric irradiance ( $ESUN_{\lambda}$ ) for Landsat 7 ETM+

821

822 **S2. Atmospheric correction of the thermal band**

823 **Table S2.1.** Input and output parameters for/from NASA's online atmospheric correction  
824 parameter calculator

825

826 **S3. ET from satellite images with SEBAL**

827 **Fig. S3.1** Analysis of the steps involved in deriving the input for deriving ET from Landsat  
828 images with SEBAL

829 **Fig. S3.2** Comparison of ET derived from upper anchor and lower anchor pixels.

830 **Table S3.1.**  $u^*$ ,  $rah$ , LE and H measured at a young and mature oil palm plantation

831

832 **S4. Mean LST, NDVI, Albedo and NDVI extracted for 7 land cover types**

833 **Fig. S4.1** Mean LST, NDVI, Albedo and NDVI extracted from Landsat LST images for 7  
834 land cover types

835

836 **S5. Difference in LST, NDVI, albedo and ET between Forest (FO) and 6 other land cover  
837 types**

838 **Fig. S5.1** Differences in LST ( $\Delta LST$ ), NDVI ( $\Delta NDVI$ ), Albedo ( $\Delta Albedo$ ) and  
839 Evapotranspiration ( $\Delta ET$ ) between other land covers (RU, MOP, PF, YOP, UB and CLC) and  
840 forest (FO) in the Jambi province

841

842 **S6. Statistical analysis**

843 **Table S6.1** ANOVA statistics

844 **Table S6.2** Post-hoc Tukey HSD test statistics

845 **Table S6.3** The relation LST-Albedo-NDVI-ET separated by land cover type

846

847 **S7. Comparison of MODIS LST to in situ measured canopy LST**

848 **Fig. S7.1** MODIS LST compared with in situ measured canopy surface temperature.

849

850 **S8. Comparison of MODIS Air temperature with locally measured air temperature**

851 **Fig. S8.1** MODIS Air temperature compared with in situ measured air temperatures

852

853 **S9. Land use change analysis for the Jambi province for 2000 – 2010**

854 **Table S9.1** Land use change (1990) – 2000 – 2010

855 **Table S9.2** Contribution of land cover change to total LST increase

856

857 **S10. Seasonality analysis**

858 **Fig S10.1** Mean annual LST in the Jambi province between 2000 and 2015 derived from  
859 MODIS LST during the wet and dry season.

860

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862 prepared the manuscript, which was reviewed by Guerric le Maire, Tania June, Ana Meijide,  
863 Olivier Roupsard and Alexander Knohl. Ana Meijide and Alexander Knohl provided the  
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872

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