Response to Reviewer 1: L. Bach Comments

Review on: "Distribution of planktonic biogenic carbonate organisms in the Southern Ocean south of Australia: a baseline for ocean acidification impact assessment " by Trull et al. In this study, Trull et al., investigate Diatom and calcifier distribution patterns in the Southern Ocean. Their analysis is based on BSi, POC and size fractionated PIC data. They compare their ground truth data with satellite data and model predictions and report important discrepancies and consistencies. I think their study is very valuable and their paper contains key information to document climate change effects on diC1 BGD Interactive comment Printer-friendly version Discussion paper atoms and calcifiers in the Southern Ocean. I really only have minor comments. Some of these are addressing their methods and some refer to the discussion/conclusion part.

Line 26: Are diatoms really the most abundant phytoplankton? I can understand that they might be dominant in terms of biomass but would intuitively assume that smaller groups (e.g. picoeukaryotes such as Micromonas) are more abundant than diatoms. (I may be wrong here but just to double check.)

Reviewer is correct. Sentence modified as follows:

Ancillary measurements of biogenic silica (BSi) and particulate organic carbon (POC) provided context, as estimates of the biomass of diatoms (the highest biomass phytoplankton in polar waters), and total microbial biomass, respectively.

Trull, Tom (O&A, H…, 5/10/2017 3:10 PM
Deleted: *abundance*

Trull, Tom (O&A, H…, 5/10/2017 3:10 PM
Deleted: *most abundant*

Line 56: I am not sure that the under-saturation is primarily due to low TA. I would assume that it is due to the low temperature that leads to generally low carbonate ion concentration.

Reviewer is correct – the dominant effect is temperature. For example, (based on CO2SYS with standard default constants), for seawater Salinity=35, Alkalinity=2320 umol/kg waters in equilibrium with pCO2=400 uatm air, cooling from 15 to 5 C reduces the carbonate anion concentration from 160 to 112 umol/kg, whereas at 15C dilution of salinity from 35 to 33 and alkalinity proportionally reduces carbonate anion concentrations from 160 to 145 umol/kg. That is, temperature accounts for ~80% of the total effect. Sentence modified as follows:

The low temperature and low alkalinity of Southern Ocean waters make this region particularly susceptible to ocean acidification, ….

Line 63: It is a bit weird that you say that their relative importance is poorly known but then in the same sentence say that they will have an influence ecosystem health. The second part of the sentence implicitly contradicts the first part. Furthermore, I did not understand how the "importance" will "influence of the overall impact". This sentence could perhaps be rephrased.

Agreed, and we have reordered and rewritten these sentences to make the issue clearer:

Carbonate forming organisms in the Southern Ocean include coccolithophores (the dominant carbonate forming phytoplankton; e.g. [Rost and Riebesell, 2004]), foraminifera (the dominant carbonate forming zooplankton; e.g. [Moy et al., 2009; Schiebel, 2002]), and pteropods (a larger carbonate forming zooplankton, which can be an important component of fish diets; e.g. [Doubleday and Hopcroft, 2015; Roberts et al., 2014]). However, the importance of carbonate forming organisms relative to other taxa is unclear in the Southern Ocean [Watson W. Gregg and Casey, 2007b; Holligan et al., 2010].

Line 80: Aren't these results? Perhaps move this sentence to results part. Furthermore, I do not understand the use of the second "suggested" in this sentence. Please check.

Agreed. Result sentence removed.

Line 91: In this context it may also be useful to remind the reader that the PIC50 fraction could also contain aggregated coccolithophore calcite (e.g. within fecal pellets).

We don't think this is likely, and accordingly we have not added this possibility to the text. The PIC50 fraction is collected at a high flow rate, sufficient to disaggregate most faecal matter. This perspective was corroborated by not seeing faecal pellets during visual inspection of the 50um mesh screens to remove rare zooplankton. We added text explaining this perspective in the Methods section:

Based on visual examination, the high flow rate through the 50 µm nylon mesh was sufficient to disaggregate faecal pellets and detrital aggregates.

Line 152: It would be helpful to know whether or not you expect a loss of CaCO3 by sieving the samples. Are there large quantities of CaCO3 expected in the >1000 µm size fraction?

In our experience the >1000µm fraction rarely contains anything, and early attempts to analyse this fraction yielded negligible CaCO3. The filter is occasionally useful for preventing krill and other large zooplankton from entering the filtration system. We have not tried to assess what would be "expected" in this large fraction, because we are not aware of data that would make this possible and we consider that the ship intake is unlikely to provide an unbiased sampling of organisms of this size which are often both mobile and rare. We made no changes to the text.

Line 152: What do you mean by "ship clean"? Please clarify.

Text has been added to clarify the meaning:

All samples were collected from the ships' underway "clean" seawater supply lines with intakes at ~4 m depth. These supply lines are separate from the engine intakes, have scheduled maintenance and cleaning, and are only turned on offshore (to avoid possible contamination from coastal waters).

Line 154: Can you provide any information if the 50 µm filter tended to block when such a large volume is filtered? I am asking because it could be that towards the end of the filtration process also smaller particles might have been retained on the filter due to clogging. I know this is difficult to reconstruct, but in case you have any further information it would be useful to provide them. I have personally made bad experiences with sequential filtrations.

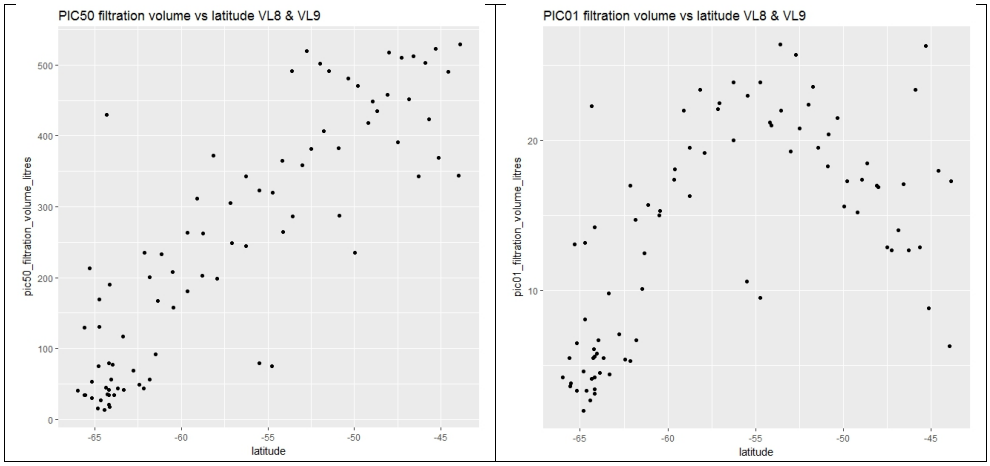
The short answers are that:

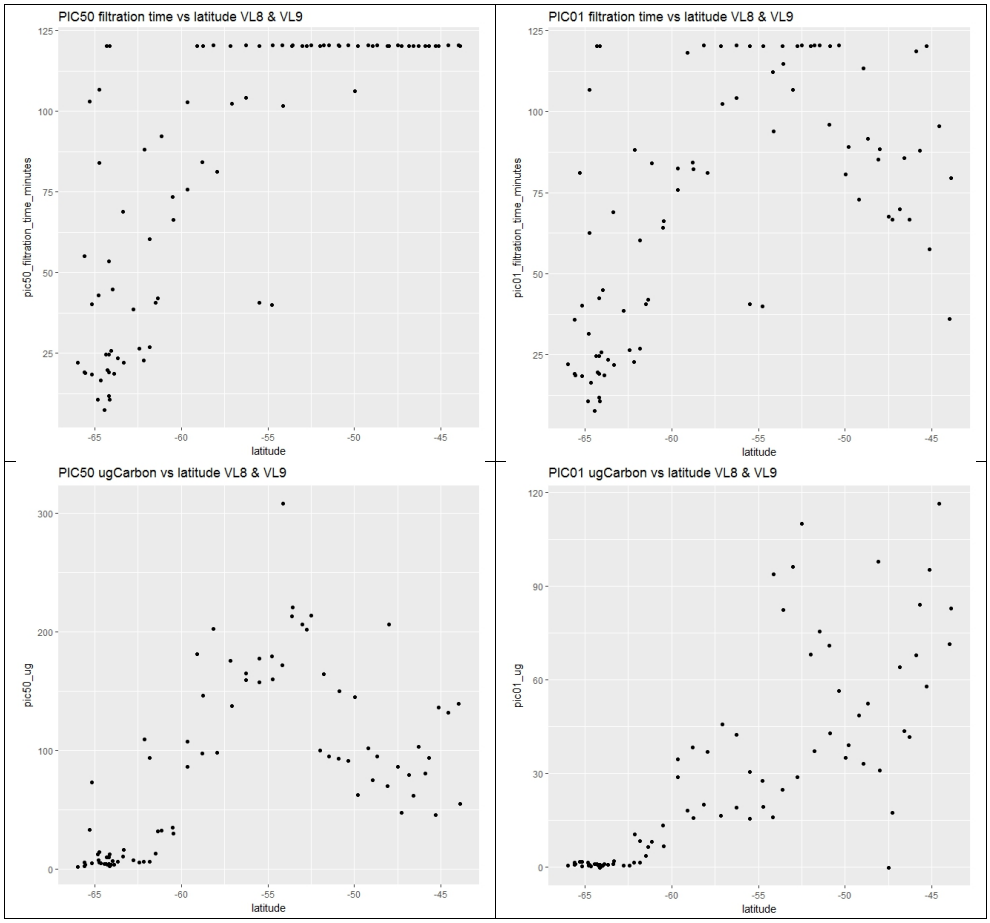
1. We were aware of this potential problem and designed our filtration processes to minimize it. We do not consider that clogging was a problem.
2. If clogging retained PIC on the 50 um filter, than our PIC01 estimates would too low and our PIC50 estimates would be too high. Because the fraction of total PIC on the PIC50 mesh was generally quite small (10% or less), this possible redistribution does not affect any of our conclusions.

The longer answer is that it is challenging to create a filtration system capable of filtering water across the diverse conditions of the entire Southern Ocean. Our filtration system evolved over time, partly to deal with the issue of filter clogging. The first leg (VL1) was a purely sequential filtration system where the volume of water filtered was sometimes limited by either filter clogging, so that insufficient material was obtained on the other filter. For this reason prior to VL2 we added a pressure relief valve between the 50um and 1um filters which allowed large volumes of water to pass through the 50um filter and bypass the 1um filter. The second improvement prior to VL6 was the introduction of digital flow meters which recorded instantaneous flow rates. The final improvement prior to VL8 was the introduction of electronically controlled ball valves that stopped filtration when flow rates fell below threshold values (0.5L/min hiflo and 0.05L/min loflo). We believe these stop thresholds are very conservative and the filters are not truly clogged at this stage.

Using our final configuration of the system, on VL8 & VL9, samples from the lower latitudes (approx. 44S - 50S) have reasonably high levels of 1-50µm particles which can reduce flow rates through the 1 µm filter below our cut-off threshold of 0.05L/min prior to the 2 hour filtration time limit (Figure 1). However, very large volumes of water pass through the 50 µm filter (Figure 1). In mid latitudes (approx. 50S – 58S) flow rates remain high through both filters and most samples filter for 2 hours (Figure 1). At high latitudes (approx. 58S - 68S) flow rates through the 50 µm filter often reduced rapidly to the 0.5L/min cut-off threshold because the 50µm filter collects many large chain forming diatoms. In our filtration system this shuts down filtration through both filters which is reflected in the low filtration times and volumes (Figure 1). Ideally we would like to filter larger volumes of water at high latitudes which may require a filtration system capable of switching to a second 50 µm filter or something similar.

Figure 1





For VL8 & VL9 with their conservative cut-off thresholds we believe that clogging of the 50 µm filter and retention of smaller particles is unlikely. The picture is less clear for earlier legs where instantaneous flow rate data was not available and flowrate cut-off thresholds were not used. However, the data across all voyages shows quite consistent trends in PIC concentration and the PIC50/PIC01 ratio.

We have added these filtration times and volumes for all PIC samples in Table S1, included this discussion and figures in the Supplementary Materioal, and added a sentence into the main text pointing to this material:

The flow rate and flow volume data also suggests that filter clogging was uncommon (see the Supplementary Information for expanded discussion).

Line 158: I am a bit nervous about the PIC filter cleaning procedure. Omega is 0 in the deionized water and the pH is (probably) low. Does the deionized water have the potential to dissolve CaCO3?

We also were nervous about this. Accordingly we used degassed de-ionized water (boiling to remove CO2 and obtain close to neutral pH). The contact time of seconds and no loss of sharp edges on coccolithophores collected in this way and examined by scanning electron microscopy (Cubillos et al., 2007) reassured us. We added the following text:

We consider that this rinse did not dissolve PIC, based on the sharp (non-eroded) features of coccolithophores collected in this way and examined by scanning electron microscopy (Cubillos et al., 2007).

Line 336: "as resulting" twice.

Deleted one "as resulting"

Line 402: I do not understand why mesoscale variability makes the comparison difficult. If you are at a certain location with a ship and sample PIC and you have satellite data for the very same time, you could easily compare these values, couldn't you?

Strong mesoscale variability means that the match-up length scale must be very small. This limits the amount of match-ups that can be achieved. The variability length scale can also be smaller than the satellite pixel size. The correlation length scale for chlorophyll in the Southern Ocean degrades at distances > 10-15 km, as recently shown in attempting to match Biogeochemical-Argo fluorescent chlorophyll and satellite ocean colour estimates for a large set of observations (Haëntjens N, Boss E, Talley LD (2017) Revisiting Ocean Color algorithms for chlorophyll a and particulate organic carbon in the Southern Ocean using biogeochemical floats. Journal of Geophysical Research: Oceans 122:6583-6593).

Using a somewhat longer match-up length scale of 25 km (i.e. the ship and satellite observations must be within 25 km of each other on the same day), we were able to retain 116 match-ups and we have added these results to the paper. The match-ups tend to occur in clusters of several samples along a transit when the ship encountered cloud-free conditions, so that the amount of independent observations is less than this. Nonetheless the match-up results are valuable and they confirm that the satellite SPIC values are reasonable estimates in Subantarctic waters but very much too high in Antarctic waters.

The new results are described by a new figure and new text:

Both cloudy conditions and strong mesoscale variability limit the number of direct comparisons (match-ups) that can be made. Using a match-up length scale of 25 km (i.e. the ship and satellite observations must be within 25 km of each other on the same day), which is somewhat larger than the correlation length scale for chlorophyll in the Southern Ocean of 10-15 km [Haëntjens et al., 2017], allowed us to retain 116 match-ups. These results, shown in Figure 6, confirm that the satellite SPIC values are reasonable estimates in Subantarctic waters, within a factor of 2-3 [W M Balch et al., 2011], but very much too high in Antarctic waters.

Line 405: What is "e-folding"? The term has not been introduced.

This is a common term to describe exponential behaviour, e.g. from Wikipedia:

"In science, e-folding is the time interval in which an exponentially growing quantity increases by a factor of e; it is the base-e analog of doubling time."

No change was made to the text.

Line 469: Dominant in terms of abundance? Dominant in terms of biomass would probably be the more important metric here.

Agreed, and we changed “abundance” to “biomass” here and throughout this paragraph.

Lines 470 and 473 : These results imply that diatoms (and to a limited extent coccolithophores) more or less exclusively contribute to the bulk POC in Antarctic waters. I am not so experienced with the plankton communities in the Southern Ocean but would intuitively disagree. Is it really possible that diatoms are so dominant? What about grazers? Did the analysis include e.g. copepod as a POC source or were these not captured on the filters? I think the result of bulk POC = diatom POC in the Antarctic is very interesting.

We have added a qualifying sentence as follows (*in italics here but not in text*):

As shown in Figure 4b, this suggests that diatoms dominate the accumulation of organic carbon throughout the Southern Ocean, with coccolithophores generally contributing less than half that of diatoms in the SAZ and less than a tenth of that in Antarctic waters. *This statement is of course limited to POC captured by our small volume, size limited (1-1000 um) sampling procedure, and variability in the extent of dominance and the scaling of POC to biogenic minerals still allows significant contributions from other POC sources.*

Line 480: Abundance of calcifiers or concentration of CaCO3? I think you should stick to the latter term to be more precise.

Agreed, and sentence changed to:

Finally, we note that the relatively low levels of PIC across the Southern Ocean as observed here means that POC/PIC ratios are high, greater than 4 in the SAZ and ranging up to 20 in Antarctic waters (Figure 4a).

Line 482: You argue that PIC/POC is low which leads to little influence on the TA mediated reduction of atmospheric CO2 uptake. I agree with that. However, PIC can induce biogeochemical feedbacks in other ways e.g. through ballasting (as you mention in the paragraph before). So I think that it is not really valid to say that coccolithophores had a limited influence on the uptake capacity of atmospheric CO2 if you neglect other feedback mechanisms than TA reduction.

We agree with the reviewer on the multiple mechanisms of influence of calcification on air-sea CO2 transfer, and modified this sentence to make clear that only the aspect of alkalinity affects of surface ocean pCO2 is under consideration:

This suggests calcification has a negligible countering impact on the reduction of surface ocean CO_2 partial pressure by phytoplankton uptake, even smaller than the few to ~10% influence identified earlier from deep sediment trap compositions in HNLC [P. W. Boyd and Trull, 2007a] and iron-enriched waters, respectively [Salter et al., 2014].

Section 3.4: In section 3.4 you compare model predictions with field data to test whether they predict meaningful trends. I think this is extremely valuable. I have, however, two comments.

1) **You first use the Bach et al., 2015 and Langdon et al. 2000 models. These models only consider carbonate chemistry conditions and no other environmental parameter to predict calcification rates. Your data nicely shows that carbonate chemistry is obviously not the driving factor behind the latitudinal trend in the Southern Ocean because model prediction and latitudinal patterns are inconsistent. The Bach et al., model basically predicts that the carbonate chemistry conditions are close to ideal throughout the Southern Ocean. The Langdon et al., model predicts a decline which reflects the trend in Omega. Both models describe calcification response to carbonate chemistry and not distribution patterns of calcifiers. The reason why I mention this is because at the end of this part of the paper you state: "Thus, and unsurprisingly, coccolithophore abundances are clearly not controlled by inorganic carbon chemistry alone" (Lines 603- 604). I could not agree more with this statement. However, the way this is formulated implies to some extent that your finding contradicts what we have concluded in our study. But this is not the case. In Bach et al. (2015) we wrote: "great care must be taken when correlating carbonate chemistry with coccolithophore dispersal because this is by no means the only parameter controlling it. Physical (e.g. temperature), other chemical (e.g. nutrient concentrations), or ecological (e.g. grazing pressure) factors will under many if not most circumstances outweigh the influence of carbonate chemistry conditions, unless differences in the latter are extreme. We will therefore focus the discussion on those cases where differences in carbonate chemistry conditions are rather extreme." Thus, our valuation is very similar to that of the authors of this manuscript. I would appreciate if you could point out that your main conclusion in this paragraph (that carbonate chemistry is probably not the key factor controlling coccolithophore distribution) is also in line with (and not conflicting with) what we assumed in our studies.**
2)

We are very happy to do this, and added this information explicitly in the summary of this section:

Thus, and unsurprisingly, coccolithophore abundances are clearly not controlled by inorganic carbon chemistry alone. This perspective has been strongly emphasized previously, including by Bach et al., (2015), who noted " …great care must be taken when correlating carbonate chemistry with coccolithophore dispersal because this is by no means the only parameter controlling it. Physical (e.g. temperature), other chemical (e.g. nutrient concentrations), or ecological (e.g. grazing pressure) factors will under many if not most circumstances outweigh the influence of carbonate chemistry conditions…".

2) I am a bit skeptical about the growth rate vs. temperature argument based on the Norberg model. The model predicts a decline of coccolithophore growth rates due to decreasing temperature. This in itself is not convincing because the decrease of growth rate would apply for every other phytoplankton group as well. What you would really have to look at is if the growth rate of coccolithophores decreases over-proportionally relative to other phytoplankton species. If this was then case, then you could argue that coccolithophores become less competitive the further South you go.

We made no changes in response to this comment, because while it has merit we were already careful to describe at the start of this paragraph that the presentation of the Norberg model was limited to an exploration of the possible response to temperature in a univariate sense:

"To provide a brief visualization of the expected univariate response, we fit the "Norberg" thermal optimum envelope model ….

and we already re-emphasize at the end of the paragraph that this exploration was limited in scope:

There are of course many other possible explanations (as noted at the start of this section).

In addition, in the following paragraphs we were already careful to note again that autotrophic completion was a larger issue - see our response to the next comment.

Accordingly, we made no further changes.

Line 653: In this concluding remark you only consider the bottom-up control on diatom vs. coccolithophore distribution. Have you also considered if top-down mechanisms could have played a role here? Even though there may not be appropriate data available to test this in the present study, it may still be useful to remind the reader that this mechanism exists and could also have played a role. I think the Assmy et al., (2013) study nicely made the case that predators may have an important influence on phytoplankton composition in the Southern Ocean.

We had already mentioned this possibility, but have augmented it with a final clause in parentheses to cite the Assmy et al., 2013 study:

Importantly, in addition to multivariate environmental control of coccolithophore distributions via their growth rates, there is the possibility of control by resource competition with other autotrophs (presumably mainly for iron) and/or stronger loss terms to grazers in Antarctic than Subantarctic waters ([*Assmy et al.*, 2013] has suggested preferential grazing as a control on community structure; but we have no data to allow us to evaluate this).

Table 1: I think the uppercase 3 also needs to be added to PIC01, POC, and BSi.

Agreed and we added upper case 3 to PIC01, POC, BSi

Figure 1: It would be helpful to add full names and abbreviations of the various fronts to the figure caption.

Full names and abbreviations added

Figure 3: One particularly interesting finding presented in Figure 3 is that PIC50 (foraminifera) concentrations are considerably lower than PIC01 (coccolithophores) concentrations except for maybe the most Southern stretch of the transects. Sometimes the discrepancies are orders of magnitude. This implies that coccolithophores are the much more important pelagic calcifiers in the Southern Ocean than foraminifera and pteropods. Is this conclusion valid? If so, I think this finding definitely deserves more attention in your paper. Furthermore, it would be interesting to compare this with the results from Broecker

and Clark (2009) who found roughly equal contribution of coccolithophores and foraminifera to the sediment CaCO3 (although their most southern sample came from 40 South).

We deliberately avoided discussion of the PIC50 distributions in any detail for multiple reasons, as we had stated early in the Introduction. Comparison to sediments would bring in the further complexity of the extent of losses of these organisms after leaving surface waters, and become very speculative. Accordingly, we have not added discussion on this issue, and instead have further strengthened our sentence regarding why we do not discuss these results in any detail in the revised version:

We do not discuss the PIC50 results in any detail because of this complexity, because controls on foraminifera distributions appear to involve strongly differing biogeography of several co-dominant taxa, rather than dominance by a single species [Be and Tolderlund, 1971], because the numbers of these organisms collected by our procedures was small, and because assessing these issues is beyond the scope of this paper.

Figure 4: What was the rationale of showing the POC/PIC ratio? I think readers will generally be more familiar with PIC/POC ratios.

Both are in common use. We preferred POC/PIC (and BSi/PIC) because these emphasized our key findings that BSI and POC are both much more abundant that PIC.

We made no change.

Response to Reviewer 2: A. Poulton Comments

My recommendation is that the authors need to further elucidate in the manuscript the distinction between particulate material and 'living biomass'. Most particulate pools (POC, PIC and BSi) contain variable contributions of both living biomass (i.e. organisms) and detrital material. In the case of PIC, detached coccoliths (e.g.) can be a significant fraction of the total pool and their small size conveys very slow sinking speeds. In the case of diatoms, empty or broken frustules may stay in suspension (or as part of the cell chain) after the organic material has been removed. Similar comments may be made about the larger biogenic organisms (foram fragments, juvenile shells, radiolarian tests). While the particulate concentrations of PIC and BSi represent well the (historical) production of biogenic material from coccolithophores and diatoms, as well as the other groups examined here, their relationship to 'living biomass' is not necessarily direct and may break down seasonally and spatially. Recognising that this may occur, for example in post-bloom conditions, is an important caveat that should be clear to the reader.

Agreed, and we added the following sentence to the Introduction:

We also note that our technique does not distinguish between living and non-living biomass, and thus is more representative of the history of production than the extent of extant populations at the time of sampling.

Ln 107-108: The calcite content of these different strains of Emiliania huxleyi also differ significantly (see Poulton et al., 2011 for estimates or Muller et al., 2015 for measurements), which may have strong implications for PIC production in S Ocean coccolithophore blooms (e.g. Poulton et al., 2013).

Agreed, and we have added a sentence acknowledging this issue and these results:

Of course, Emiliania huxleyi itself comes in several strains even in the Southern Ocean, with differing physiology, including differing extents of calcification [Cubillos et al., 2007; M. N. Muller et al., 2015; M.N. Muller et al., 2017; Poulton et al., 2013; Poulton et al., 2011].

Ln 263: Missing full stop between 'cell' and 'Calibration'.

Full stop inserted.

Ln 468-470: The POC:PIC ratio given is relatively low, especially for the S Ocean strain: Muller et al. (2015) reports values of 0.83 for over-calcified strains, 1.5 for normal Atype and greater than 2 for the B/C type reported in the S. Ocean. Maybe the authors could add in a statement on the sensitivity of their estimates to cell POC:PIC ratios– and also how detached coccoliths may actually counteract high cellular POC:PIC ratios.A

Agreed. We have replotted Figure 4b using the POC:PIC ratio of 1.5 for the Southern Ocean morphotype A, and added discussion on latitudinal variations in morphotypes, associated POC:PIC ratios, and their implications for our conclusions:

The relatively small POC contribution from coccolithophores is only weakly sensitive to the ~3-fold variation [M. N. Muller et al., 2015] of POC/PIC ratios among Emiliania huxleyi morphotypes. Using the lower value of 0.83 observed for over-calcified forms that occur in the northern SAZ would reduce the POC contribution there but still leave it co-dominant with diatoms, and using the higher value of 2.5 observed for polar morphotype C would increase the POC contribution in Antarctic waters, but still leave it overwhelmed by the diatom contribution (Figure 4b). The relative contributions to total POC are also sensitive to the POC/PIC ratio chosen for diatoms (which vary significantly across genera; [O. Ragueneau et al., 2002; Olivier Ragueneau et al., 2006]). For these reasons, the relative dominance is best viewed on the log scale of Figure 4, and while keeping in mind the considerable scatter.

Ln 518-519: Biometric measurements have confirmed the low PIC per coccolith for the different morphotypes/strains (see Poulton et al., 2011 and/or Charalampopoulou et al., 2016; see also Muller et al., 2015 (as cited)).

Agreed, and we have added a clause acknowledging these results:

Early work in the South Atlantic found that SPIC values appeared to exceed ocean PIC by a factor of 2-3 [W M Balch et al., 2011], and based on a handful of samples it was suggested that this might reflect a lower amount of PIC per coccolith [Holligan et al., 2010], and it has since been confirmed that polar coccolithophores can have low PIC contents [Charalampopoulou et al., 2016; M. N. Muller et al., 2015; Poulton et al., 2011].

Ln 573: Please correct Emiliania Huxleyi to Emiliania huxleyi.

Corrected as requested

Ln 622: Light utilization may be another important factor as there are pigment differences between E. huxleyi strains (see Cook et al., 2011)

We added this possibility to the existing sentence, citing the work of Zhang et al., 2015, who measured light responses for coccolithophores:

Coccolithophores, especially the most common species Emiliania huxleyi, have been studied sufficiently in the laboratory to allow possible important controls on their niches and especially their calcification rates to be proposed, including temperature, pH, pCO_2, calcite saturation state, light, and macro- and micro-nutrient availability [Bach et al., 2015; Feng et al., 2016; Mackinder et al., 2010; M. N. Muller et al., 2015; Müller et al., 2017; Schlüter et al., 2014; Schulz et al., 2007; Sett et al., 2014; Zhang et al., 2015].

Ln 653-655: Charalampopoulou et al. (2016) concluded that temperature and light were strong drivers of coccolithophore distribution and calcification across a latitudinal transect in Drake Passage (whilst also acknowledging the role of iron). Have the authors considered the role of (seasonal) light availability?

We added this possibility and citation, while also stating that we did not have data sufficient to consider it further:

Many properties that might influence coccolithophore productivity decreased strongly and close to monotonically from north to south across the Southern Ocean for our voyages (Figure 6). These include temperature (from 23 to -0.4 C for our samples), salinity (from 35.6 to 33.6, with tight correlation with alkalinity, not shown - data available in the Supplementary Material), pH (from 8.20 to 8.08 on the free scale), and the saturation state of calcite (from 5.22 to 2.12). The strong correlation of these properties means that it is not easy to separate their possible influences on coccolithophore distributions, without relying on specific thresholds or quantitative response models. This problem of correlations among drivers has been noted before in examining transect data across Drake passage, where more detailed measurements of coccolithophore properties augmented with incubation studies found temperature and light were the most probable drivers of coccolithophore abundance and calcification rates [Charalampopoulou et al., 2016]. Our lack of information on the availability of light (mixed layer depth was determined only on the two hydrographic sections), iron, or individual species and strains, makes deducing a possible influence of ocean acidification on coccolithophore distributions from our spatial distribution data even more difficult.

We also reiterated the probable importance of light at the end of this section:

Further progress in understanding the controls on coccolithophore abundances in the Southern Ocean is clearly needed. At present temperature, light, and competition with diatoms for iron appear to be the strongest candidates (at least for southward expansion [Charalampopoulou et al., 2016; Gafar et al., 2017]; with nitrate a strong influence on the location of the northern oligotrophic boundary; [Feng et al., 2016]).

PICPOCBSI Paper revisions

1. Uploaded new version of data table “picpocbsi_5voyage_finaldata_ver003.txt”. New table includes volumes of water filtered and filtrations times to help respond to question about filter blockage. Going back through the data discovered underreporting of flowmeter counts for Totten voyage samples. Recalculated volumes. In all cases this reduced PIC concentrations slightly which will not affect conclusions, in fact it will strengthen them. Also discovered two errors in the interpretation of SIPEXII handwritten logsheets which lead to unlikely flow rates being recorded for PIC. Samples were discarded from final dataset. R code now pulls data directly from the database rather than voyage specific tabs. There is now only one source of data which is easier to keep up to date and data extraction code is more consistent across voyages. In the event that the database is modified (hopefully not again) re-extraction of the data is easy. During the course of these realised Excel was rounding numbers off to what was displayed on screen when exporting as .txt file. Increased digits to 15 for all data columns for export to .txt so numbers as slightly different to first “final dataset” but will be more accurate. In final data set after calculations completed rounded lat longs, sal and temp to 4 digits, data columns to 8 digits, water volumes and filtrations times to 1 digit.
2. Table 1
    a. added superscript three to other column titles as suggested in comments.
    b. Updated number of samples to fit new version of data where a few samples were removed for QC reasons when flow rates and logsheets were re-examined.
3. Fig 1 Replotted with new better QCed dataset. Changed incorrect legend title from “Fronts” to “Voyage Leg”.
4. Fig 3 Replotted with new better QCed dataset
5. Fig 4 replotted with new better QCed dataset
6. Fig 5 Replotted with new better QCed dataset. Rewrote code so panelling is done within R rather than manually.
7. Fig 6 did not need replotting because VL3 and VL6 data were not changed
8. Fig 7. Fixed minor issue with presentation of NOBM data. Changed plotting from geom_raster to geom_tile. Suspect lat longs in data not completely regular. geom_tile slower but makes sure tile is properly centred around coordinates.
9. New figure added, comparison of satellite SPIC and ocean PIC. Figure numbers adjusted. Discussion altered accordingly.
10. Fig S1 Replotted with new better QCed dataset. Rewrote code so panelling is done within R rather than manually. In original submission suspect VL8 satellite-PIC was incorrectly substituted for satellite-Chla during manual panelling (R code looks OK). This has now been fixed. Units are now more consistent PIC umol/L and Chl-a ug/L. Reduced range of Chla from 0-2ug/L to 0-1ug/L. This gives better colour in the map and not losing much at the top end.
11. All figures changed to colour-blind palette

11. corrections to the methods and reduced duplication in Smit BSi.

Distribution of planktonic biogenic carbonate organisms in the Southern Ocean south of Australia: a baseline for ocean acidification impact assessment

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Abstract

The Southern Ocean provides a vital service by absorbing about one sixth of humankind's annual emissions of CO_2. This comes with a cost – an increase in ocean acidity that is expected to have negative impacts on ocean ecosystems. The reduced ability of phytoplankton and zooplankton to precipitate carbonate shells is a clearly identified risk. The impact depends on the significance of these organisms in Southern Ocean ecosystems, but there is very little information on their abundance or distribution. To quantify their presence, we used coulometric measurement of particulate inorganic carbonate (PIC) on particles filtered from surface seawater into two size fractions: 50-1000 μm to capture foraminifera (the most important biogenic carbonate forming zooplankton) and 1-50 μm to capture coccolithophores (the most important biogenic carbonate forming phytoplankton). Ancillary measurements of biogenic silica (BSi) and particulate organic carbon (POC) provided context, as estimates of the biomass of diatoms (the highest biomass phytoplankton in polar waters), and total microbial biomass, respectively. Results for 9 transects from Australia to Antarctica in 2008-2015 showed low levels of PIC compared to northern hemisphere polar waters. Coccolithophores slightly exceeded the biomass of diatoms in Subantarctic waters, but their abundance decreased more than 30-fold poleward, while diatom abundances increased, so that on a molar basis PIC was only 1% of BSi in Antarctic waters. This limited importance of coccolithophores in the Southern Ocean is further emphasized in terms of their associated POC, representing less than 1 % of total POC in Antarctic waters and less than 10% in Subantarctic waters. NASA satellite ocean colour based PIC estimates were in reasonable agreement with the shipboard results in Subantarctic waters, but greatly over-estimated PIC in Antarctic waters. Contrastingly, the NASA Ocean Biogeochemical Model (NOBM) shows coccolithophores as overly restricted to Subtropical and northern Subantarctic waters. The

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cause of the strong southward decrease in PIC abundance in the Southern Ocean is not yet clear. Poleward decrease in pH is small and while calcite saturation decreases strongly southward it remains well above saturation (>2). Nitrate and phosphate variations would predict a poleward increase. Temperature and competition with diatoms for limiting iron appear likely to be important. While the future trajectory of coccolithophore distributions remains uncertain, their current low abundances suggest small impacts on overall Southern Ocean pelagic ecology.

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1. Introduction

Production of carbonate minerals by planktonic organisms is an important and complex part of the global carbon cycle and climate system. On the one hand, carbonate precipitation raises the partial pressure of CO_2 reducing the uptake of carbon dioxide from the atmosphere into the surface ocean; on the other hand, the high density and slow dissolution of these minerals promotes the sinking of associated organic carbon more deeply into the ocean interior increasing sequestration [*P.W. Boyd and Trull*, 2007b; *Buitenhuis et al.*, 2001; *Klaas and Archer*, 2002; *Ridgwell et al.*, 2009; *Salter et al.*, 2014]. Carbonate production is expected to be reduced by ocean acidification from the uptake of anthropogenic CO_2, with potentially large consequences for the global carbon cycle and ocean ecosystems [*Orr et al.*, 2005; *Pörtner et al.*, 2005].

The low temperature and low alkalinity of Southern Ocean waters make this region particularly susceptible to ocean acidification, to the extent that thresholds such as undersaturation of aragonite and calcite carbonate minerals will be crossed sooner than at lower latitudes [*Cao and Caldeira*, 2008; *McNeil and Matear*, 2008; *Shadwick et al.*, 2013]. Carbonate forming organisms in the Southern Ocean include coccolithophores (the dominant carbonate forming phytoplankton; e.g. [*Rost and Riebesell*, 2004]), foraminifera (the dominant carbonate forming zooplankton; e.g. [*Moy et al.*, 2009; *Schiebel*, 2002]), and pteropods (a larger carbonate forming zooplankton, which can be an important component of fish diets; e.g. [*Doubleday and Hopcroft*, 2015; *Roberts et al.*, 2014]). However, the importance of carbonate forming organisms relative to other taxa is unclear in the Southern Ocean [*Watson W. Gregg and Casey*, 2007b; *Holligan et al.*, 2010]. Satellite reflectance observations, mainly calibrated against northern hemisphere PIC results, suggest the presence of a "Great Calcite Belt" in Subantarctic waters in the Southern Ocean , and also show high apparent PIC values in Antarctic waters [*W M Balch et al.*, 2016; *W M Balch et al.*, 2011]. Our surveys were designed in part to evaluate these assertions for waters south of Australia.

As a simple step towards quantifying the importance of planktonic biogenic carbonate forming organisms in the Southern Ocean, we determined the concentrations of particulate inorganic carbonate (PIC) for two size classes, representing coccolithophores (1-50 µm, referred to as PIC01) and foraminifera (50-1000 µm, referred to as PIC50), from surface water samples collected on 9 transects between Australia and Antarctica. We provide ecological context for these observations based on the abundance of particulate organic carbon (POC) as a measure of total microbial biomass, and biogenic silica (BSi), the other major phytoplankton biogenic mineral, as a measure of diatom biomass. This provides a baseline assessment of the importance of calcifying plankton in the Southern Ocean south of Australia, against which future levels can be compared.

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In the discussion of our results, we interpret BSi as representative of diatoms, PIC50 as representative of foraminifera, and PIC01 as representative of coccolithophores, including a tendency to equate this with the distribution of the most cosmopolitan and best studied coccolithophore, *Emiliania huxleyi*. These assumptions need considerable qualification. Most BSi is generated by diatoms (~90%), with only minor contributions from radiolaria and choanoflagellates in the upper ocean, making this approximation reasonably well supported [*Hood et al.*, 2006]. Similarly, but less certainly, foraminifera are a major biogenic carbonate source in the 50-1000 μm size range, but pteropods, ostrocods, and other organisms are also important [*Schiebel*, 2002]. We do not discuss the PIC50 results in any detail because of this complexity, because controls on foraminifera distributions appear to involve strongly differing biogeography of several co-dominant taxa, rather than dominance by a single species [*Be and Tolderlund*, 1971], because the numbers of these organisms collected by our procedures were small, and because assessing these issues is beyond the scope of this paper. Attributing all the PIC01 carbonate to coccolithophores relies on the assumption that fragments of larger organisms are not important. This seems reasonable given that the larger PIC50 fraction generally contained 10-fold lower PIC concentrations (as revealed in the Results section).

Our tendency to equate the PIC01 fraction with the abundance of *Emiliania huxleyi* is probably the weakest approximation. It is not actually central to our conclusions, except to the extent that we compare our PIC01 distributions to expectations based on models that use physiological results mainly derived from experiments with this species. That said, this is a poor approximation in Subtropical waters where the diversity of coccolithophores is large, but improves southward where the diversity decreases (see Smith et al. 2017 for recent discussion), and many observations have found that *Emiliania huxleyi* was strongly dominant in Subantarctic and Antarctic Southern Ocean populations, generally >80% [*Boeckel et al.*, 2006; *Eynaud et al.*, 1999; *Findlay and Giraudeau*, 2000; *Gravalosa et al.*, 2008; *Mohan et al.*, 2008]. Of course, *Emiliania huxleyi* itself comes in several strains even in the Southern Ocean, with differing physiology, including differing extents of calcification [*Cubillos et al.*, 2007; *M. N. Muller et al.*, 2015; *M.N. Muller et al.*, 2017; *Poulton et al.*, 2013; *Poulton et al.*, 2011]. All these approximations are important to keep in mind in any generalization of our results. We also note that our technique does not distinguish between living and non-living biomass, and thus is more representative of the history of production than the extent of extant populations at the time of sampling.

2. Methods

Sub-sections 2.1 and 2.2 present the sampling and analytical methods, respectively, used for the 8 transits across the Southern Ocean since 2012. Sub-section 2.3 details the different methods used during the earlier single transit in 2008 and assesses the comparability of those results to the later voyages. Sub-section 2.4 details measurements of water column dissolved nutrients, inorganic carbon

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and alkalinity. Sub-section 2.5 provides details of satellite remote sensing data and the NASA Ocean Biogeochemical Model used for comparison to the ship results.

2.1. Voyages and sample collection procedures

The locations of the voyages, divided into north and south legs, are shown in Figure 1. Voyage and sample collection details are given in Table 1, where for ease of reference we have numbered the legs in chronological order and refer to them hereafter as VL1, VL2, etc. Samples were collected from the Australian icebreaker *RV Aurora Australia* for 4 voyages and from the French Antarctic resupply vessel *l'Astrolabe* for 1 voyage. All samples were collected from the ships' underway "clean" seawater supply lines with intakes at ~4 m depth. These supply lines are separate from the engine intakes, have scheduled maintenance and cleaning, and are only turned on offshore (to avoid possible contamination from coastal waters). Samples were collected primarily while underway, except during VL1 and VL3, which were operated as WOCE/CLIVAR hydrographic sections with full depth CTDs, with samples collected on station.

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For all voyages (except VL1, discussed in section 2.3 below), separate water volumes were collected for the PIC, POC, and BSi analyses. The POC samples also yielded particulate nitrogen results - referred to here as PON. The POC/PON and BSi samples were collected using a semi-automated system that rapidly, ~ 1 minute, and precisely filled separate 1 L volumes for each analyte - thus these samples are effectively point samples. In contrast, PIC samples were collected using the pressure of the underway seawater supply to achieve filtration of large volumes (10's to 100's of litres) over ~2 hours. Thus these samples represent collections along ~20 miles of the ship track (except when done at stations).

POC/PON samples were filtered through pre-combusted 13 mm diameter quartz filters (0.8 µm pore size, Sartorius Cat#FT-3-1109-013) that had been pre-loaded in clean (flow-bench) conditions in the laboratory into in-line polycarbonate filter holders (Sartorius #16514E). The filters were preserved by drying in their filter holders at 60°C for 48 hours at sea, and returned to the laboratory in clean dry boxes.

Biogenic silica samples were filtered through either 13 mm diameter nitrocellulose filters (0.8 µm pore size, Millipore Cat#AAWP01300) or 13 mm diameter polycarbonate filters (0.8 µm pore size, Whatman Cat#110409), pre-loaded in clean (flow-bench) conditions in the laboratory into in-line polycarbonate filter holders (Sartorius #16514E). Filters were preserved by drying in their filter holders at 60°C for 48 hours at sea, and returned to the laboratory in clean dry boxes.

PIC samples were collected by sequential filtration for two size fractions. After pre-filtration through a 47 mm diameter 1000 µm nylon mesh and supply pressure reduction to 137 kPa, seawater was filtered through a 47 mm diameter in-line 50 µm nylon filter to collect foraminifera, and then through a 47 mm diameter in-line 0.8 µm GF/F filter (Whatman Cat#1825-047) to collect coccolithophores. The flow path was split using a pressure relief valve set to 55 kPa, so that large volumes (~200 L) passed the 50 µm filter, and only a small fraction of this volume (~15 L) passed the 0.8 µm filter. Filtration time was typically 2 hours. Volume measurement was done by either metering or accumulation. Based on visual examination, the high flow rate through the 50 µm nylon mesh was sufficient to disaggregate faecal pellets and detrital aggregates. The flow rate data also suggests that filter clogging was uncommon (see the Supplementary Information for expanded discussion). While still in their holders, the filters were rinsed twice with 3 mL of 20 mM potassium tetraborate buffer solution (for the first couple of voyages and later degassed deionized water) to remove dissolved inorganic carbon, and blown dry with clean pressurised air (69 kPa). We consider that the short contact time of this rinse did not dissolve PIC, based on the sharp (non-eroded) features of coccolithophores collected in this way and examined by scanning electron microscopy (Cubillos et al., 2007). The filters were then removed from their holders, folded, and inserted into Exetainer glass tubes (Labco Cat #938W) and dried at 60 °C for 48 hours for return to the laboratory. In the following text, we refer to the GF/F filter sample results (which sampled the 0.8 (~ 1) to 50 µm size fraction) as PIC01, and the nylon mesh sample fraction (which sampled the 50-1000 µm size fraction) as PIC50.

2.2 Sample analyses

2.2.1 Particulate Organic Carbon and Nitrogen analysis

The returned filter holders were opened in a laminar flow bench. Zooplankton were removed from the filters and the filters were then cleanly transferred into silver cups (Sercon Cat#SC0037), acidified with 50 µL of 2 N HCl and incubated at room temperature for 30 minutes to remove carbonates, and dried in an oven at 60 °C for 48 hours. The silver cups were then folded closed and the samples, along with process blanks (filters treated in the same way as samples, but without any water flow onboard the ship) and casein standards (Elemental Microanalysis OAS standard CatNo. B2155, Batch 114859) were sent to the University of Tasmania Central Sciences Laboratory for CHN elemental analysis against sulphanilamide standards. Repeat samples collected sequentially at approximately 2 hour intervals while the ship remained on station (station replicates) had a standard error of 7% (1 sd n= 10) and 8% (1 sd n= 10) for POC and PON respectively. Importantly the processing blanks were large and variable, and were corrected for separately for each voyage. For VL2 and VL3, POC process blanks averaged 25± 6 µg C (1 sd, n=2) equating to 20% of the average sample value. For VL4 and VL5, POC process blanks averaged 14 ± 2 µg C (1 sd, n=4) equating to 18% of the average sample

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value. For VL6 and VL7, POC process blanks averaged 23 ± 3 µg C (1 sd n=4) equating to 28 % of the average sample value. For VL8 and VL9 POC process blanks averaged 14 ± 1 µg C (1 sd n=4) equating to 14 % of the average sample value.

2.2.2 Biogenic Silica analysis

Biogenic silica was dissolved by adding 4 mL of 0.2 M NaOH and incubating at 95 °C for 90 minutes, similar to the method of [*Paasche*, 1973]. Samples were then rapidly cooled to 4 °C and acidified with 1 mL of 1 M HCl. Thereafter samples were centrifuged at 1880 *g* for 10 minutes and the supernatant was transferred to a new tube and diluted with 36 g L^{-1} sodium chloride. Biogenic silica concentrations were determined by spectrophotometry using an Alpkem model 3590 segmented flow analyser and following USGS Method I-2700-85 with these modifications: ammonium molybdate solution contained 10 g L^{-1} $(NH_4)_6Mo_7O_{24}$, 800 µl of 10% sodium dodecyl sulphate detergent replaced Levor IV solution, acetone was omitted from the ascorbic acid solution, and sodium chloride at the concentration of seawater was used as the carrier solution. Station replicates had a standard error of 9% (1 sd n=9). The average blank values were 0.002 ± 0.003 µmoles per filter (1 sd, n=13) for nitrocellulose filters and 0.002 ± 0.002 µmoles per filter (1 sd, n=2) for polycarbonate filters, equating to 0.16 % and 0.01 % of average sample values, respectively.

2.2.3 Particulate Inorganic Carbon analysis

Particulate inorganic carbon samples were analysed by coulometry using a UIC CM5015 coulometer connected to a Gilson 232 autosampler and syringe dilutor. The samples were analysed directly in their gas tight Exetainer collection tubes, by purging for 5 minutes with nitrogen gas, acidification with 1.6 mL (PIC50 - 50 µm nylon filters) or 2.4 mL (PIC01 - GF/F filters) of 1 N phosphoric acid, and equilibration overnight at 40°C. Samples were analysed the following day with a sample analysis time of 8 minutes and a dried carrier gas flow rate of 160 mL min^{-1}. Calcium carbonate standards (Sigma Cat#398101-100G) were either weighed onto GF/F filters or weighed into tin cups (Sercon Cat# SC1190) and then inserted into Exetainer tubes (some with blank nylon filters). Station replicates had standard errors of 18% (1 sd n=11) and 13% (1 sd n=11) for PIC01 and PIC50 respectively. The average GF/F filter blank value was -0.07 ± 0.27 µg C (1 sd, n=47) equating to -0.21% of average sample values, and for nylon filters was 0.04 ± 0.27 µg C (1 sd, n=46) equating to 0.05% of average sample values.

2.3 Distinct sample collection and analytical methods used during V1

2.3.1 Distinct sample collection procedures for VL1

For VL1, single samples were collected at each location by both sequential filtration and centrifugation of the underway supply over 1-3 hours. Despite the long collection times these samples are effectively point samples because they were collected on station.

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Sequential filtration was done using in-line 47 mm filter holders (Sartorius, Inc.) holding 3 sizes of nylon mesh (1000 µm, 200 µm, 50 µm) followed by a glass fibre filter (Whatman GF/F, 0.8 µm nominal pore size, muffled before use). These size fractions were intended to collect foraminifera (50-200 µm) and coccolithophores (0.8-50 µm), and pteropods (200-1000 µm), but the largest size fraction had insufficient material for analysis. The flow rate at the start of filtration was 25-30 L hour^{-1} and typically dropped during filtration. The 0.8 µm filter was replaced if flow rates dropped below 10 L hour^{-1}. Sampling typically took 3 hours. Quantities of filtered seawater were measured using a flow meter (Magnaught M1RSP-2RL) with a precision of +/-1%. After filtration, remaining seawater in the system was removed using a vacuum pump. Filters were transferred to 75 mm Petri dishes inside a flow bench, placed in an oven (SEM Pty Ltd, vented convection) for 3-6 hours to dry at 60 ^{o}C and stored in dark, cool boxes for return to the laboratory.

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A continuous flow Foerst type centrifuge [*Kimball Jr and Ferguson Wood*, 1964], operating at 18700 rpm, was used to concentrate phytoplankton from the underway system at a flow rate of 60 L per hour, measured using a water meter with a precision of +/-1% (Arad). Sampling typically took 1-3 hours. After centrifugation, 500 mL of de-ionized water was run through the centrifuge to flush away remaining seawater and associated dissolved inorganic carbon. This was followed by 50 mL of ethanol to flush away the de-ionized water, ensure organic matter detached from the cup wall, and speed subsequent drying. Inside a laminar flow clean bench, the slurry in the centrifuge head was transferred into a 10 mL polypropylene centrifuge tube (Labserve) and the material on the wall of the cup was transferred using 3 mL of ethanol and a rubber policeman. The sample was then centrifuged for 15 minutes and 3200 rpm, and the supernatant (~7 mL) removed and discarded. The vial was placed in the oven to dry for 12 hours at 60 ^{o}C and returned to the laboratory.

2.3.2 Distinct analytical procedures for VL1 samples

POC/PON analyses for the 0.8 µm size fraction collected by filtration were done by packing five 5 mm diameter aliquots (punches) of the 47 mm diameter GF/F filters into acid-resistant 5x8 mm silver cups (Sercon SC0037), treating these with two 20 µl aliquots of 2 N HCl to remove carbonates [*P King et al.*, 1998], and drying at 60 ^{o}C for at least 48 hours. For the 50 µm mesh filtration samples, and the centrifuge samples, 0.5-1.0 mg aliquots of the dried (72 hours at 60 ^{o}C) centrifuge pellet remaining after PIC coulometry were encapsulated in 4x6 mm silver cups (Sercon SC0036). Analyses of all these sample types was by catalytic combustion using a Thermo-Finnigan Flash 1112 elemental analyzer calibrated against sulphanilamide standards (Central Sciences Laboratory, University of Tasmania). Precision of the analysis was +/- 1 %. A blank correction for of 0.19 ± 0.09 ug C was applied which represented 1.6 % of an average sample.

PIC concentrations were determined for subsamples of the 0.8 µm GF/F filters (half of the filter), the whole 50 µm mesh screens, and the whole centrifuge samples by closed system acidification and coulometry using a UIC CM5011 CO_2 coulometer. The samples were placed in glass vials (or in the case of the centrifuge tubes connected via an adaptor), connected to a manual acidification unit and condenser and maintained at 40°C after acidification with 4mL of 1N HCl, and swept with a nitrogen gas-flow (~100 mL min^{-1}) via a drier and aerosol filter (Balston) into the coulometry cell. Calibration versus calcium carbonate standards (200 to 3000ug) provided precision of ± 0.3%. However, for the 0.8 µm filter, precision was limited to 10 % by sub-sampling of the filter due to uneven distribution. Blank corrections were applied to the 0.8 µm size fraction, being 2.4 ± 1.8 ug C representing 8.8 % of an average sample. The 50 µm fraction blank correction was 3.3 ± 0.1 ug C, representing 22 % of an average sample. Centrifuge pellet coulometry blank subtraction was 2.0 ± 0.1 ug C, equivalent to 2.8 % of an average sample.

Biogenic silica analysis of the residues remaining after PIC analysis of the centrifugation samples, was by alkaline digestion (0.2 N NaOH) in a 95°C water bath for 90 minutes, similar to the method described by Paasche (1973) and as described in section 2.2.2. with the variation that 4 mL of each sample was transfered from the centrifuge tubes and filtered using a syringe filter before dilution to 10mL.

2.3.3 Comparison of VL1 to other voyages

The first survey on VL1 in 2008 differed from later efforts in two important ways: i) POC and PIC samples were collected by both filtration and centrifugation, ii) separate BSi samples were not collected - instead BSi analyses were carried out only on the sample residues from PIC coulometric sample digestions of the centrifuge samples. Comparison of POC and PIC results from the centrifugation samples (effectively total samples without size fractionation) and the filtration samples (separated into the PIC01 0.8-50 µm and PIC50 50-1000 µm size fractions) shows (Figure 2) that filtration collected somewhat more PIC (order 20-30 %) and considerably more POC (order 200-300 %) than centrifugation. This fits with the possibility of loss of material from the continuous centrifuge cup, with greater loss of lower density organic matter (and possible additional loss of organic matter via dissolution in the ethanol rinsing step). Thus for comparison of VL1 POC and PIC to the other voyages we use only the filtration results, thereby avoiding methodological biases. For BSi, we do not have this possibility. Based on the low centrifuge yields for PIC and POC we can expect that the VL1 BSi values are also too low. This is confirmed by comparison to the other voyages which reveals that VL1 BSi values were lower than those of other voyages, especially in the far south where BSi values were generally highest (data shown below), but nonetheless had similar

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north-south latitudinal trends. For this reason, our further interpretation of the VL1 BSi results is only in terms of these latitudinal trends.

2.4 Analysis of nutrients, DIC, alkalinity, and calculation of pH and calcite saturation

Nutrients were analysed onboard ship for VL1 to VL5, and on frozen samples returned to land for VL6-9, all by the CSIRO hydrochemistry group following WOCE/CLIVAR standard procedures, with minor variations [*Eriksen*, 1997], to achieve precisions of ~1% for nitrate, phosphate, and silicate concentrations. Dissolved inorganic carbon (DIC) and alkalinity samples were collected in gas tight bottles poisoned with mercuric chloride and measured at CSIRO by coulometry and open cell titration, respectively [*Dickson et al.*, 2007]. Comparison to certified reference materials suggests accuracy and precision for both DIC and alkalinity of better than ± 2 µmol kg^{-1}. Full details were recently published [*Roden et al.*, 2016]. Calculation of pH (free scale) and calcite saturation were based on the Seacarb version 3.1.2 software (https://CRAN.R-project.org/package=seacarb), which uses the default selection of equilibrium constants given in [*Van Heuven et al.*, 2011].

2.5 Satellite derived ocean properties and the NASA Ocean Biogeochemistry Model

The locations of oceanographic fronts in the Australian sector were estimated from satellite altimetry, following the approach of [*S. Sokolov and Rintoul*, 2002], updated as follows. Absolute sea surface height (SSH) was calculated by adding the sea surface height anomaly from AVISO+ [*Pujol et al.*, 2016] to the 2500 dbar reference level mean dynamic topography of [*Olbers et al.*, 1992]. The positions of the fronts were then identified using the sea surface height contours corresponding to the positions of the Southern Ocean fronts identified by [*S. Sokolov and Rintoul*, 2007a] in the region 100-180 °E. From this analysis, we show 8 fronts from north to south consisting of:

Fronts 1-3: north, middle, and south branches of the Subantarctic Front (SAF), which bound the highest velocity jets of the ACC. Fronts 4-6: north, middle, and south branches of the Polar Front (PF), associated with subsurface temperature features related to the strength of the ACC and with the shoaling of CDW in the overturning circulation. The Polar Frontal Zone (PFZ) lies between the northernmost of these branches and the SAF to its north. Fronts 7-8: north and south branches of the Southern Antarctic Circumpolar Current Front (sACCf) front, marking weaker flows in Antarctic waters of the ACC and occurring near where upwelling of old nutrient rich and relatively acidic Circumpolar Deep Water comes closest to the surface.

We do not show the Subtropical Front (STF) that marks the northern boundary of the Southern Ocean, or the Southern Boundary Front, which marks the southern edge of the ACC (separating it from westerly flow in Antarctic shelf waters). This is because both features have weak, discontinuous SSH signatures south of Australia: mesoscale eddies rather than the STF dominate the weak SSH field in the Subantarctic Zone (SAZ, between the STF and the SAF), and detection of the Southern Boundary

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Front is confounded by proximity to the Antarctic shelf where altimetry is impacted by other processes, including sea-ice cover for much of the year [*S. Sokolov and Rintoul*, 2007a].

We considered using these dynamic heights and front locations as ordinates for the spatial distributions of POC, PIC and BSi. In the core of the ACC (50-60 °S), this did help explain some departures from monotonic north-south trends as resulting from meanders of the fronts, but latitude was more strongly correlated with PIC abundance in the SAZ and with BSi in southern ACC waters and Antarctic shelf waters, where dynamic height contours were only weakly varying. Accordingly, there was no overall advantage of replacing latitude by dynamic height as a predictor of biogenic mineral concentrations, and we have used latitude as the ordinate in our figures and discussion.

Sea surface temperatures (°C) were obtained from the NASA MODIS Aqua 11 µm night-only L3m product available on-line:
https://giovanni.gsfc.nasa.gov/giovanni/#service=TmAvMp&starttime=&endtime=&data=MODISA_L3m_SST_2014_nsst&variableFacets=dataFieldMeasurement%3ASea%20Surface%20Temperature%3B
We chose the night values to avoid shallow ephemeral structures arising from daytime solar heating. We refer to these estimates simply as SST values.

Phytoplankton chlorophyll concentrations (Chl in mg m^{-3} = ug L^{-1}) were obtained from the NASA MODIS Aqua L3m product available on-line:
https://giovanni.gsfc.nasa.gov/giovanni/#service=TmAvMp&starttime=&endtime=&data=MODISA_L3m_CHL_2014_chlor_a&variableFacets=dataFieldMeasurement%3AChlorophyll%3B
The full citation for this data is:
NASA Goddard Space Flight Center, Ocean Ecology Laboratory, Ocean Biology Processing Group. Moderate-resolution Imaging Spectroradiometer (MODIS) Aqua Chlorophyll Data; 2014 Reprocessing. NASA OB.DAAC, Greenbelt, MD, USA. doi:10.5067/AQUA/MODIS/L3M/CHL/2014.
The algorithm relies on the blue/green reflectance ratio for Chl values above 0.2 ug L^{-1} and incorporates stray light correction based on the difference between red and blue light reflectances at lower Chl levels. This product has been suggested to underestimate chlorophyll in the Southern Ocean south of Australia (Johnson et al., 2013), but has the advantage of ongoing ready availability. For this reason, we use it only for context and not for any detailed comparisons to shipboard observations. We refer to these estimates as SChl values.

Particulate inorganic carbonate concentrations (mol m^{-3}) based on backscatter magnitudes [*W M Balch et al.*, 2005] were obtained from the NASA MODIS/AQUA ocean colour product available on-line:

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The full citation for this data is:

NASA Goddard Space Flight Center, Ocean Ecology Laboratory, Ocean Biology Processing Group. Moderate-resolution Imaging Spectroradiometer (MODIS) Aqua Particulate Inorganic Carbon Data; 2014 Reprocessing. NASA OB.DAAC, Greenbelt, MD, USA. doi: 10.5067/AQUA/MODIS/L3M/PIC/2014.

We refer to these estimates as SPIC values. The veracity of these estimates in the Southern Ocean remains an active area of research. PIC sampling in the Subantarctic South Atlantic found levels 2-3 times lower than the satellite estimates [*W M Balch et al.*, 2011], and the algorithm also produces surprisingly high estimates in Antarctic waters, where limited shipboard surveys suggest that coccolithophore abundances drop strongly (work summarized in Balch et al., 2005). Our data provides the most extensive PIC observations for comparison to SPIC values in Antarctic waters yet available, and is discussed in detail below. Comparison of PIC and SPIC values at individual sampling sites was based on combined data from MODIS Aqua and Terra 9km daily products. SPIC values were an average of pixels within 25 km of PIC sampling sites on the same day.

Modeled coccolithophore distributions were obtained from the data-assimilating general circulation model NASA Ocean Biogeochemical Model (NOBM) available on-line: https://giovanni.gsfc.nasa.gov/giovanni/#service=TmAvMp&starttime=&endtime=&data=NOBM_MON_R2014_coc&variableFacets=dataFieldDiscipline%3AOcean%20Biology%3BdataFieldMeasurement%3APhytoplankton%3B

The phytoplankton function type model is based on [*Watson W Gregg and Casey*, 2007a]. Details of particular relevance to comparisons with our observations are discussed in section 3.4.

3. Results and Discussion

3.1 Representativeness of oceanographic sampling

As shown in Figure 1, sampling covered all Southern Ocean zones from sub-tropical waters in the north to seasonally sea-ice covered waters in the south (covering SST ranging from -1 to 23 °C). Almost all samples were representative of high-nutrient low-chlorophyll Southern Ocean waters, indicative of iron limitation. Exceptions occurred near Tasmania, where moderate levels of SChl were occasionally present, and over the Antarctic shelf where locally very high levels of SChl were present. Individual maps for each voyage leg of SChl are provided in the Supplementary Material and of satellite reflectance based estimates of PIC (SPIC) below, and reveal that higher values of SChl and SPIC are often associated with mesoscale structures, especially in the Subantarctic and Polar Frontal Zones. This means that mesoscale variability makes satellite versus shipboard comparisons difficult, and this problem is exacerbated by frequent cloud cover. Both techniques characterize the very upper

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559 water column, with ship samples from ~4m depth and the satellite ocean colour observations
560 reflecting the e-folding penetration depth of ~10-15 m [*Grenier et al.*, 2015; *Morel and Maritorena*,
561 2001].
562
563 It appears likely that our single-depth sampling can be considered as representative of upper water
564 column phytoplankton concentrations, because pigment samples and profiles of beam attenuation and
565 night-time fluorescence from some of these voyages as well as previous work show that biomass is
566 generally well mixed in the upper water column, and that when subsurface chlorophyll maxima are
567 present they primarily reflect increased chlorophyll levels rather than increased phytoplankton
568 abundances [*Andrew R. Bowie et al.*, 2011a; *A.R. Bowie et al.*, 2011b; *Parslow et al.*, 2001; *Rintoul*
569 *and Trull*, 2001; *Shadwick et al.*, 2015; *Trull et al.*, 2001b; *S. W. Wright et al.*, 1996; *S.W. Wright and*
570 *van den Enden*, 2000]. This perspective is also consistent with the limited information on the depth
571 distributions of coccolithophores in the Southern Ocean, which generally exhibit relatively uniform
572 and maximal values (especially for the most abundant species, *Emiliania huxleyi*) within the surface
573 mixed layer [*Findlay and Giraudeau*, 2000; *Holligan et al.*, 2010; *Mohan et al.*, 2008; *Takahashi and*
574 *Okada*, 2000]. There is some evidence that this conclusion can also be applied to the PIC50
575 foraminiferal fraction, in that the most abundant of these organisms tend to co-locate with
576 phytoplankton in the mixed layer in the Southern Ocean [*Mortyn and Charles*, 2003].
577
578 **3.2 Latitudinal distributions of BSi, PIC, and POC**
579 All the Voyage Legs exhibited similar latitudinal variations of the measured chemical components
580 (Figure 3). BSi, predominantly derived from diatoms, was clearly the dominant biogenic mineral in
581 the south in Antarctic waters. PIC01 concentrations, predominantly derived from coccolithophores,
582 were highest in northern Subantarctic waters, although even there BSi was often present at similar
583 levels. Interestingly, PIC50 concentrations, predominantly derived from foraminifera, often exhibited
584 maxima in the middle of the Southern Ocean at latitudes of 55-60 °S. The latitudinal variations in all
585 these biogenic mineral concentrations were quite strong, exceeding two orders of magnitude. In
586 contrast, variations in POC were 10-fold smaller, and often quite uniform across the central Southern
587 Ocean, with maxima sometimes in the far north near Tasmania and sometimes in the far south over
588 the Antarctic shelf (Figure 3). Variations in BSi, PIC, and POC concentrations among the voyages, at
589 a given latitude, were smaller than these north-south trends. It seems likely that these smaller
590 variations were partly seasonal, in that the earliest seasonal voyage leg (VL4 in September) had lower
591 concentrations of every component. But across the other voyages, ranging from mid-November
592 (VL5) to mid-April (VL1) no clear seasonal cycle was exhibited, perhaps owing to variations in
593 sampling location, and the known importance of inter-annual and mesoscale structures in Southern
594 Ocean phytoplankton distributions (e.g. [*Moore et al.*, 1999; *Moore and Abbott*, 2002; *S. Sokolov and*
595 *Rintoul*, 2007b]). As noted in the Methods section (2.3), the BSi values for VL1 stand out as being too

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low, in that they were well below those of other voyages, while the POC, PIC01, and PIC50 values were similar.

The latitudinal dependence of the relative importance of diatoms and coccolithophores is revealed by viewing the BSi/PIC01 ratios as an ensemble for all the voyages (use of the ratio helps to remove seasonal and interannual variations in their abundances which tend to track each other at a given latitude). The BSi/PIC01 ratio reaches values of 200 in the far south and decreases north of 50 °S to values near 1 (Figure 4a). Approximate equivalence of BSi and PIC01 occurs relatively far north in the Southern Ocean, near 50 °S, and thus near the southern edge of the Subantarctic Zone. This persistence of the importance of diatoms as a major component of the phytoplankton community in northern waters of the Southern Ocean must reflect the winter-time renewal of silica supply from upwelled deep waters in the Southern Ocean that are carried north by Ekman transport, combined with recycling of biogenic silica within surface waters, given that by mid-summer silicate is largely depleted north of the Subantarctic Front [*Nelson et al.*, 2001; *Trull et al.*, 2001b]. Accordingly the relative dominance of diatoms and coccolithophores in the SAZ may be quite sensitive to changes in the overturning circulation and westerly wind field. How this might translate into impacts on the biological carbon pump remains far from clear. Interestingly, deep ocean sediment traps in the SAZ south of Australia reveal strong dominance (4-fold) of PIC over BSi in the export flux to the ocean interior, reminding us that export can be selective (and also that foraminifera can contribute a significant fraction of total PIC, estimated to vary from ~1/3 to 2/3; [*A L King and Howard*, 2003]). The POC flux recovered by these deep sediment traps was close to the global median and similar to that of biogenic silica dominated fluxes in the Polar Frontal Zone to the south [*Trull et al.*, 2001a].

The importance of diatoms across the entire Southern Ocean, relative to coccolithophores is further emphasized by expressing their biogenic mineral abundances in terms of associated POC, using average values for the POC/BSi ratio of iron-limited diatoms (3.35, equivalent to a Si/N ratio of 2 and Redfield C/N ratio of 6.7 [*Olivier Ragueneau et al.*, 2006; *Takeda*, 1998]) and the POC/PIC ratio of coccolithophores (1.5, for *Emiliania huxleyi* morphotype A, the dominant Southern Ocean species, [*Bach et al.*, 2015; *M. N. Muller et al.*, 2015]). As shown in Figure 4b, this suggests that diatoms dominate the accumulation of organic carbon throughout the Southern Ocean, with coccolithophores generally contributing less than half that of diatoms in the SAZ and less than a tenth of that in Antarctic waters. This statement is of course limited to POC captured by our small volume, size limited (1-1000 μm), sampling procedure, and variability in the extent of dominance and the scaling of POC to biogenic minerals still allows significant contributions from other POC sources. The relatively small POC contribution from coccolithophores is only weakly sensitive to the ~3-fold variation [*M. N. Muller et al.*, 2015] of POC/PIC ratios among *Emiliania huxleyi* morphotypes. Using

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the lower value of 0.83 observed for over-calcified forms that occur in the northern SAZ would reduce the POC contribution there but still leave it co-dominant with diatoms, and using the higher value of 2.5 observed for polar morphotype C would increase the POC contribution in Antarctic waters, but still leave it overwhelmed by the diatom contribution (Figure 4b). The relative contributions to total POC are also sensitive to the POC/PIC ratio chosen for diatoms (which vary significantly across genera; [*O. Ragueneau et al.*, 2002; *Olivier Ragueneau et al.*, 2006]). For these reasons, the relative dominance is best viewed on the log scale of Figure 4b, and while keeping in mind the considerable scatter.

Figure 4b also emphasizes that total POC contents can be largely explained by diatom biomass in Antarctic waters (south of 50 °S), whereas in the SAZ (north of 50 °S), total POC often exceeds the sum of contributions from diatoms and coccolithophores. This serves as an important reminder that other organisms are important to the carbon cycle in the SAZ, and phytoplankton functional type models should avoid over-emphasis on diatoms and coccolithophores just because they have discernable biogeochemical impacts (on silica and alkalinity, respectively) and satellite remote sensing signatures [*Hood et al.*, 2006; *Moore et al.*, 2002]. Finally, we note that the relatively low levels of PIC across the Southern Ocean as observed here means that POC/PIC ratios are high, greater than 4 in the SAZ and ranging up to 20 in Antarctic waters (Figure 4a). This suggests calcification has a negligible countering impact on the reduction of surface ocean CO_2 partial pressure by phytoplankton uptake, even smaller than the few to ~10% influence identified earlier from deep sediment trap compositions in HNLC [*P. W. Boyd and Trull*, 2007a] and iron-enriched waters, respectively [*Salter et al.*, 2014].

Notably, our Southern Ocean PIC01 estimates are smaller than those found in northern hemisphere polar waters. As compiled by Balch et al. (2005), concentrations were 100-fold higher (~10 μM) in the north Atlantic south of Iceland (60-63 °N) than any of our values, and 1000-fold higher than our values in the same southern hemisphere latitude range. Values collected over many years from the Gulf of Maine [*W M Balch et al.*, 2008] were ~ 1 μM, and thus 5-10 times higher than our SAZ values (Gulf of Maine summer temperatures are similar to the SAZ, and colder in winter). This difference between hemispheres is also evident in observations from the South Atlantic, where PIC values estimated from acid labile backscatter for 6 voyages between 2004 and 2008 and latitudes 40-50 °S were ~0.1-0.5 μM in remote waters [*W M Balch and Utgoff*, 2009], increasing to 1-2 μM in the Argentine Basin with a few values reaching 4 μM [*W Balch et al.*, 2014]. These high South Atlantic observations are the highest of the "Great Calcite Belt" identified as a circumpolar feature of Subantarctic waters based on SPIC values [*W Balch et al.*, 2014; *W M Balch et al.*, 2011]. Notably, shipboard PIC measurements in this feature are 2-3 times lower than the SPIC estimates in the South

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Atlantic [*W M Balch et al.*, 2011], and ship collected samples from two voyages across the South Atlantic and Indian sectors [*W M Balch et al.*, 2016] exhibit PIC concentrations (actual PIC values accessed online at http://www.bco-dmo.org/dataset/560357, rather than the PIC estimates from acid-labile backscatter shown in the paper) that decrease eastwards in this feature to reach values close to our observations in the Australian sector of ~ 0.1 µM (Figure 3).

3.3 Comparison to satellite PIC (SPIC) estimates

As is very evident from the limited observations we have achieved from our efforts over many years, it will never be possible to characterize Southern Ocean phytoplankton population dynamics from ship based sampling – the influences of mesoscale circulation, ephemeral inputs of the limiting nutrient iron, and food web dynamics produce variability that cannot be adequately assessed in this way, leaving sparse sampling open to potentially large biases. Use of satellite observations is clearly the path forward to alleviate this problem, and development of algorithms for global coccolithophore distributions has been a major advance [*W M Balch et al.*, 2005; *Brown and Yoder*, 1994]. Until recently the calibration of these SPIC values has been based primarily on North Atlantic observations. Work to check these efforts for the Southern Ocean has begun, but remains sparse. Early work in the South Atlantic found that SPIC values appeared to exceed ocean PIC by a factor of 2-3 [*W M Balch et al.*, 2011], and based on a handful of samples it was suggested that this might reflect a lower amount of PIC per coccolith [*Holligan et al.*, 2010], and it has since been confirmed that polar coccolithophores can have low PIC contents [*Charalampopoulou et al.*, 2016; *M. N. Muller et al.*, 2015; *Poulton et al.*, 2011]. Two dedicated voyages to investigate the "Great Calcite Belt" in the SAZ and PFZ across the South Atlantic and South Indian Oceans, attempted comparison of acid-labile backscatter (as a proxy for PIC) and MODIS SPIC values, but there were no match-ups in the South Atlantic owing to cloudy conditions [*W M Balch et al.*, 2016]. Results from the South Indian sector, and from other voyages in the South Atlantic show high acid-labile backscatter which translates into high SPIC estimates in the SAZ and PFZ (especially in naturally iron-fertilized waters), but also high values further south which are not in agreement with ship observations [*W M Balch et al.*, 2016; *Smith et al.*, 2017].

Comparison of our ship observations to MODIS SPIC estimates are shown in Figure 5 for each voyage leg. These reveal some agreement in the SAZ in terms of identifying moderate levels of PIC, often in association with higher levels of total SChl (Supplementary Material), but differ strongly in Antarctic waters where all ship observations reveal low PIC values, whereas the SPIC estimates in Antarctic waters reach and often exceed those in the SAZ, especially over the Antarctic shelf. Both cloudy conditions and strong mesoscale variability limit the number of direct comparisons (match-ups) that can be made. Using a match-up length scale of 25 km (i.e. the ship and satellite observations must be within 25 km of each other on the same day), which is somewhat larger than the correlation

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length scale for chlorophyll in the Southern Ocean of 10-15 km [*Haëntjens et al.*, 2017], allowed us to retain 116 match-ups. These results, shown in Figure 6, confirm that the satellite SPIC values are reasonable estimates in Subantarctic waters, within a factor of 2-3 [*W M Balch et al.*, 2011], but very much too high in Antarctic waters.

3.4 Comparison to possible environmental controls on coccolithophore growth rates

The ship observations provided here offer a significant advance in quantifying the distributions of coccolithophores in the Southern Ocean south of Australia, but much less understanding of why these distributions arise and therefore how they might change in response to climate, circulation, and biogeochemical changes in the future. Coccolithophores, especially the most common species *Emiliania huxleyi*, have been studied sufficiently in the laboratory to allow possible important controls on their niches and especially their calcification rates to be proposed, including temperature, pH, pCO_2, calcite saturation state, light, and macro- and micro-nutrient availability [*Bach et al.*, 2015; *Feng et al.*, 2016; *Mackinder et al.*, 2010; *M. N. Muller et al.*, 2015; *Müller et al.*, 2017; *Schlüter et al.*, 2014; *Schulz et al.*, 2007; *Sett et al.*, 2014; *Zhang et al.*, 2015]. We collected observations of many of these properties in parallel with our PIC observations, and now briefly examine whether they present correlations that might contribute to understanding why coccolithophores are found mainly in northern Subantarctic waters, and not further south. For illustrative purposes, we focus on VL3 (the mid- to late summer I9 northward hydrographic section from Antarctica to Perth) and VL6 (the early to mid-summer southward Astrolabe transit from Tasmania to Antarctica). VL3 covered the widest range of physical properties, and exhibited PIC01 concentrations that remained elevated further south than any other voyage (Figure 3). VL6 exhibited the more typical PIC01 distribution of a close to continuous decrease southward (Figure 3). The results from the other Voyage Legs were very similar to VL3 (figures not shown; data available in Supplementary Materials).

Many properties that might influence coccolithophore productivity decreased strongly and close to monotonically from north to south across the Southern Ocean for our voyages (Figure 7). These include temperature (from 23 to -0.4 C for our samples), salinity (from 35.6 to 33.6, with tight correlation with alkalinity, not shown - data available in the Supplementary Material), pH (from 8.20 to 8.08 on the free scale), and the saturation state of calcite (from 5.22 to 2.12). The strong correlation of these properties means that it is not easy to separate their possible influences on coccolithophore distributions, without relying on specific thresholds or quantitative response models. This problem of correlations among drivers has been noted before in examining transect data across Drake passage, where more detailed measurements of coccolithophore properties augmented with incubation studies found temperature and light were the most probable drivers of coccolithophore abundance and calcification rates [*Charalampopoulou et al.*, 2016]. Our lack of information on the availability of light (mixed layer depth was determined only on the two hydrographic sections), iron, or individual

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species and strains, makes deducing a possible influence of ocean acidification on coccolithophore distributions from our spatial distribution data even more difficult. Nonetheless, we offer a few pertinent observations. Firstly, the change in PIC01 abundances with latitude is much larger than expected from models of the responses of calcification rates (normalized to maximum rates) to inorganic carbon system variations (Figure 7). Two models are shown:

1. The "Bach model" based on independent terms for sensitivity to bicarbonate, CO_2, and pH. It fits quite well the results from many laboratory incubations of *Emiliania huxleyi* strains under conditions of modern and elevated pCO_2 [*Bach et al.*, 2015], and we have used values for the constants (a, b, c, d) obtained from incubations of a strain isolated from Subantarctic waters south of Tasmania [*Müller et al.*, 2017] to provide what might be considered the best current model for the calcification rate response to changing inorganic carbon abundance and speciation, following Eq. (1):

$$\text{Bach relative calcification rate} = a\,[HCO_3^-] / (b+[HCO_3^-]) - e^{-c[CO2]} - d[H^+] \quad (1)$$

2. The "Langdon model" based on a simple, inorganic precipitation motivated parameterization of calcification as a function of calcite saturation state Ω [*Gattuso et al.*, 1998; *Langdon et al.*, 2000], which has been shown to apply in an approximate way to many corals [*Anthony et al.*, 2011; *Silverman et al.*, 2007], and perhaps to Southern Ocean foraminifera [*Moy et al.*, 2009]. We have chosen the simple linear form (n=1) and a sensitivity at the top end of the observed range (a =1/4, so that calcification rate varies linearly from 0 to 1 for Ω=1 to 4), following Eq. (2):

$$\text{Langdon relative calcification rate} = a\,(\Omega-1)^n \quad (2)$$

As shown in Figure 7, both these calcification rate models exhibit limited variations with latitude in the Southern Ocean. The Bach model suggests negligible change in calcification rate. This is essentially because the Southern Ocean variations in bicarbonate, CO_2, and pH are very small compared to the future expected values used in incubation experiments. In addition, southward cooling causes pH to rise, offsetting the impact of southward decrease in salinity and alkalinity, thus reducing the southward decrease of pH and the associated drop in modeled calcification rate. The Langdon model suggests approximately 3-fold decrease in calcification rate, which is considerably smaller than the more than 10-fold drop in PIC01 (shown on a linear scale in Figure 7 and a logarithmic scale in Figure 3). The shape of the Langdon model decrease shows some agreement with that of PIC01 for VL6, but none for VL3 (which exhibits relatively constant significant PIC01

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concentrations in the 40-50 °S latitude range where the Langdon model shows a strong decrease in calcification rate, and then a strong drop in PIC01 south of 60 °S where the Langdon model shows no change). Thus, and unsurprisingly, coccolithophore abundances are clearly not controlled by inorganic carbon chemistry alone. This perspective has been strongly emphasized previously, including by Bach et al., (2015), who noted " …great care must be taken when correlating carbonate chemistry with coccolithophore dispersal because this is by no means the only parameter controlling it. Physical (e.g. temperature), other chemical (e.g. nutrient concentrations), or ecological (e.g. grazing pressure) factors will under many if not most circumstances outweigh the influence of carbonate chemistry conditions…".

Many laboratory studies have emphasized the importance of temperature on coccolithophore growth rates, as compiled recently [*Feng et al.*, 2016], and warming has been suggested as a possible cause of decadal northward apparent range expansion in the North Atlantic [*Rivero-Calle et al.*, 2015] and the occurrence of unusual blooms in the Bering Sea [*Merico et al.*, 2004]. To provide a brief visualization of the expected univariate response, we fit the "Norberg" thermal optimum envelope model [*Norberg*, 2004] to growth rate data for 5-25 °C with modern pCO_2 and nutrient replete conditions for a Southern Ocean morphotype A strain of *Emiliania huxleyi*, isolated from south of Tasmania [*M. N. Muller et al.*, 2015], with optimum temperature z=15, thermal window w=10, and scaling constant *a*, in which the exponential term represents the broad global temperature dependence of generic phytoplankton growth rates [*Eppley*, 1972] and produces the known skewed form of organismic thermal tolerances, following Eq. (3):

$$\text{Norberg growth rate } (d^{-1}) = a\,[1 - ((T-z)/w)^2]\,e^{0.0633T} \quad (3)$$

As shown in Figure 7, this predicts a drop from ~0.5 d^{-1} at the northern edge of the Southern Ocean to zero growth near ~53 °S, whereas PIC01 concentrations fall off more slowly further south. The presence of other morphotypes with lower thermal optima [*Cubillos et al.*, 2007] is an easy possible way to explain this difference. Overall the Norberg temperature model has an advantage of the calcification rate models – it does predict a strong decrease to negligible PIC01 values in the south. There are of course many other possible explanations (as noted at the start of this section).

Interestingly, these uncertainties regarding the roles of inorganic carbon chemistry and temperature on Southern Ocean coccolithophore distributions contrast with the possible role of macro-nutrients, in that phosphate and nitrate increase southward across the Southern Ocean (e.g. [*Trull et al.*, 2001b]), and were everywhere abundant during our surveys (nitrate > 3 µM, with phosphate/nitrate close to Redfield expectations, data in Supplementary Material), and thus would be expected to lead to southward increases in coccolithophore abundances which were not observed. For this reason we

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suggest nitrate and phosphate availability is not an obvious driver of the southward decrease in coccolithophore abundances in Southern Ocean HNLC waters (i.e. these nutrients are sufficient everywhere), although these nutrients may be important in determining the success of coccolithophores in oligotrophic waters at the northern edge of the Southern ocean, given the high half-saturation constant for nitrate uptake observed in some laboratory studies (~13 µM; [*Feng et al.*, 2016]), and the possibility that high temperature and low nutrient conditions may non-linearly amplify phytoplankton stresses [*Thomas et al.*, 2017].

Importantly, in addition to multivariate environmental control of coccolithophore distributions via their growth rates, there is the possibility of control by resource competition with other autotrophs (presumably mainly for iron) and/or stronger loss terms to grazers in Antarctic than Subantarctic waters ([*Assmy et al.*, 2013] has suggested preferential grazing as a control on community structure; but we have no data to allow us to evaluate this). These are difficult issues to evaluate, and we provide just one comment. Diatom abundances as estimated from BSi concentrations show a stronger latitudinal relationship to silicon availability than coccolithophores do to carbonate availability (Figure 7). Diatoms abundances drop strongly near the SAF, north of which summer time $Si(OH)_4$ concentrations drop below 1 µM, i.e. close to the 'residual" concentration which it appears diatoms cannot access [*Paasche*, 1973]. Surveys of coccolithophores and diatoms in the SAZ in the South Atlantic and South Indian sectors have previously suggested that coccolithophore distributions may be linked to competition with diatoms [*W M Balch et al.*, 2016; *Smith et al.*, 2017], and this view is compatible with our observations, although it remains unproven. Further progress in understanding the controls on coccolithophore abundances in the Southern Ocean is clearly needed. At present temperature, light, and competition with diatoms for iron appear to be the strongest candidates (at least for southward expansion [*Charalampopoulou et al.*, 2016; *Gafar et al.*, 2017]; with nitrate a strong influence on the location of the northern oligotrophic boundary; [*Feng et al.*, 2016]).

3.5 Comparison to the NASA Ocean Biogeochemical Model

Many of these ideas about the roles of environmental conditions and ecological competition have been included in models for global coccolithophore distributions, e.g. [*Watson W Gregg and Casey*, 2007a; *Le Quere et al.*, 2005]; and we provide a brief comparison to one model – the NASA Ocean Biogeochemical Model (NOBM) for which simulation results are available on-line (see the Methods section). In brief, the NOBM predicts coccolithophore abundances (in Chl units) that are restricted to the far northern reaches of the Southern Ocean (Figure 8). This is also true for the Dynamic Green Ocean Model [*Le Quere et al.*, 2005]. This contrasts with our PIC results (Figures 3, 4, 7) and with PIC and coccolithophore cell counts from other sampling efforts which have found coccolithophore abundances to extend with similar concentrations right across the SAZ and sometimes the PFZ, e.g.

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during VL6 south of western Australia (Figures 3 and 7), south of Tasmania [*Cubillos et al.*, 2007], in the Scotia Sea [*Holligan et al.*, 2010], and in the South Atlantic and South Indian Oceans, especially in regions of natural iron fertilization [*W M Balch et al.*, 2016; *Smith et al.*, 2017]. In the NOBM, diatoms are also simulated and show (Figure 8) the expected high abundance in Antarctic waters in the southern third of the Southern Ocean, decreasing northward as in our results (but also show a band of elevated diatom concentrations in the Subantarctic, which we did not observe).

Competition for nutrients in the NOBM favours the ability of coccolithophores over diatoms to get by on limited resources (half-saturation constants for nitrate and iron of 0.5 and 0.67 versus 1.0 and 1.0 µM) including light (half saturation constant of 56 versus 90 µmol photons m^{-2} s^{-1} under Southern Ocean low light conditions). But diatoms are specified to have higher growth rates when all resources are non-limiting (maximum growth rate at 20 °C 1.50 versus 1.13, both with the same Eppley dependence on temperature). Thus in the model, diatoms dominate silicon replete Southern Ocean waters, outcompeting other species for the limiting iron, and only give way to other species when silicon is depleted. Notably these other species then do best when additional Fe is supplied from either atmospheric sources (in the north where continental dusts are not shielded by ice) or island oases such as Crozet or Kerguelen. This view is compatible with our observations and those carried out in the northern half of the Southern Ocean during the "Great Calcite Belt" voyages [*W M Balch et al.*, 2016; *Smith et al.*, 2017]. It suggests that potential expansion of coccolithophores southward might be linked to decreasing supply of silicon from reduced upwelling of Circumpolar Deep Water in a progressively more stratified global ocean. A cautionary note to this conclusion is provided by the NOBM simulation of significant concentrations of diatoms in the SAZ where silicon is low, which arises from their specified higher maximum growth rate, emphasizing the importance of this parameter, and its temperature dependence, in modeling phytoplankton distributions. In specifying this temperature dependence, this model and most others still rely on the global compilation from nearly 50 years ago [*Eppley*, 1972]. Clearly better understanding of the controls on maximum growth rates and their temperature tolerance for key phytoplankton taxa is needed, first to understand current distributions and then to explore possible future changes.

4. Conclusions

Our surveys of PIC concentrations as a proxy for coccolithophores in the Southern Ocean south of Australia suggest:

- The concentrations of coccolithophores were much smaller (at least 10-fold) in the open Southern Ocean south of Australia than in northern hemisphere oceans.

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- Coccolithophores were most abundant in the SAZ, and occasionally in the PFZ.

- The contribution of coccolithophores to total phytoplankton biomass (estimated from POC) was small, less than 10% in Subantarctic waters and less than 1% in Antarctic waters.

- The "Great Calcite Belt" characterization of SAZ and PFZ waters is overstated south of Australia, because both the satellite (SPIC) estimates and our in-situ PIC measurements show lower values than in the South Atlantic and South Indian where this feature was first suggested.
- The satellite PIC (SPIC) algorithm provides a good estimate, within a factor of 2-3, of PIC values in Subantarctic waters south of Australia, but erroneously suggests large agglomerations of PIC in polar waters, where little to none is present south of Australia.

- Our PIC results and ancillary measurements of biogenic silica, particulate organic carbon, dissolved nutrients, and inorganic carbon system status may be useful in the testing of models of limiting conditions and ecological competitions that affect coccolithophore distributions. Preliminary considerations suggest that temperature, iron, and competition with diatoms may be stronger influences than pH or calcite saturation state.

Despite the considerable effort required to obtain these survey results, much remains to be done just to define coccolithophore distributions, for example their seasonality, especially when the complexities of differing responses of individual species and strains are considered.

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Figure Captions

1. Map of sample sites (dots) relative to major Southern Ocean fronts (lines) and satellite SST (means for productive months, October-March, over the sample collection period 2008-2014). Front abbreviations: SAF = Subantartic Front, PF = Polar Front, sACCf = Southern Antarctic Circumpolar Current Front, N = North, M= Middle, S= South.

2. Comparison of centrifugation versus filtration size-fraction results for Voyage Leg 1, a) centrifugation total POC versus filtration POC (0.8-50 μm fraction): b) centrifugation total PIC versus filtration PIC01 (0.8-50 μm) and PIC50 (50-1000 μm) fractions.

3. Latitudinal variations in POC, BSi, PIC50, PIC01 concentrations for each voyage leg. See Table 1 for Voyage Leg details and Figure 1 for sample sites.

4. Latitudinal variations in the dominance of diatoms versus coccolithophores and their contributions to total POC, for results combined from all voyages; a) BSi/PIC01 and POC/(PIC50+PIC01) ratios, b) Percent contributions to total POC attributable to diatoms (assuming POC/BSi=3.35) and coccolithophores (assuming POC/PIC01=0.833).

5. Maps comparing ship based distributions of coccolithophore PIC distributions (PIC01, coloured dots) with satellite PIC estimates (SPIC; background colours) for each voyage leg. The SPIC estimates are averages for the month preceding the start of each voyage leg. Contour lines indicate dynamic height determined frontal positions for the week preceding the each voyage leg (see Figure 1 for front nomenclature).

6. Comparison of satellite SPIC and ocean PIC concentrations for the 116 match-ups for which satellite SPIC estimates were available within 25 km of the ocean PIC sample sites, on the same day. Colours indicate sample latitudes and show that good correlation occurs in Subantarctic waters, but strong overestimation by the satellite technique in Antarctic waters.

7. Latitudinal environmental conditions for voyage leg VL3 (left panels) and voyage leg VL6 (right panels): a, b) T, S, pH (free scale), calcite saturation, c, d) PIC01, Bach and Langdon relative calcification rate (dimensionless) and Norberg growth rate (d^{-1}) models, e, f) BSi and $Si(OH)_4$ concentrations (μM).

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8. Maps of NASA Ocean Biogeochemical Model results for coccolithophore and diatom distributionsResults are means for productive month, October-March for 2008-2012, the last year available on-line: a) diatoms, b) coccolithophores.

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