



1 **An Orphan Problem Looking for Adoption**
2 **Responding to Ocean Acidification Utilising Existing International Institutions**

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9 **Abstract**

10 Ocean acidification poses a substantial threat to the ocean, marine wildlife and the goods and services they
11 provide. As a result it presents a substantial regulatory challenge at the international, regional, national and sub-
12 national levels. In the international realms, ocean acidification is not currently addressed by any international
13 instrument or stand-alone agreement, nor does there appear to be any coherent framework for responding to the
14 issue. Despite this, there are a number of international institutions, including treaty bodies and specialised UN
15 agencies that have expressed an interest in ocean acidification and have begun to initiate an array of relevant
16 activities – a small number of which may be considered substantive activities, including rule-making and
17 implementation.

18 This paper is an effort to explore the existing international frameworks that are applicable to forming a response
19 to ocean acidification in an attempt to prevent worsening acidification and respond to impacts now and into the
20 future. Six policy domains are outlined that together comprise a comprehensive response to ocean acidification.
21 Each of these are then addressed with respect to what institutions are currently doing to respond to acidification
22 and what could be done in the future.

23 This paper finds that only three international institutions have initiated substantive policy-making in response to
24 ocean acidification with respect to the regulation of carbon capture and storage and the protection of species.
25 While these are important policy interventions, they are simply not enough to prevent worsening ocean
26 acidification or respond to the impacts resulting from increased acidity, even when coupled with policies, such
27 as regulation of carbon dioxide under the UNFCCC that have been implemented without reference to ocean
28 acidification. In order to fill the existing gaps, this paper proposes a series of, as yet unutilised mechanisms that
29 could be employed to enhance a response to ocean acidification.

30



31 1. Introduction

32 The problem of ocean acidification is a complex global issue, resulting primarily from the emission of
33 anthropogenic carbon dioxide (CO₂) (Doney et al., 2009) and yet, is exacerbated by a myriad of local stressors
34 (Cai et al., 2011; Hassellöv et al., 2013). Its impacts are present across many scales, from the microscopic,
35 through to ecosystems and on to the global (Gattuso and Hansson, 2011). Its consequences are not limited by
36 geography and are felt in and across national boundaries and in areas of the global commons. However,
37 consequences are not experienced evenly, sometimes with those least responsible the most vulnerable. Further,
38 ocean acidification has implications for biodiversity (Sutherland et al., 2009), economic stability (Narita et al.,
39 2012) and sustainable development (Rockström et al., 2009) and its solutions are intimately tied with other
40 complex global problems, such as climate change. Ocean acidification and its consequences are, therefore,
41 pertinent to and present challenges for the work of a number of international institutions and yet, a response
42 does not appear to fall neatly with the mandate of any. Thus, causing it to sit “within a very complex
43 institutional landscape, at a rather cracked interface between the climate, biodiversity and oceans regimes”
44 (Kim, 2012 p.257).

45 With no treaty or international instrument designed deliberately to address ocean acidification the issue is
46 somewhat relegated to the ‘twilight zone’ with no single institution responsible for guiding a response. Despite
47 this, there are a number of international institutions, including treaty bodies and specialised UN agencies that
48 have expressed an interest in ocean acidification (UNGA, 2006; CCAMLR, 2009; UNFCCC, 2015b). However,
49 much of this interest appears to be limited to calls of concern and knowledge production activities, with limited
50 efforts to change legal frameworks or initiate implementation policies to integrate ocean acidification into
51 existing structures (Billé et al., 2013).

52 Given this lack of substantive policy making, one is left asking what can be done to enhance the global
53 governance of ocean acidification. One avenue that has been proposed is the creation of a comprehensive ocean
54 acidification treaty, that would tackle all aspects of a response in one forum (Lamirande, 2011; Kim, 2012).
55 However, such an effort seems unlikely at this time, with seemingly little support in the wider policy or
56 academic communities. Thus, we are left attempting to fill the gaps by utilising existing international
57 mechanisms to respond to ocean acidification.

58 This paper is, therefore, an effort to explore in more depth the existing international frameworks that are
59 applicable to ocean acidification and can be utilised to take action. This paper proceeds by first exploring the
60 problem of ocean acidification and its solutions. Six policy domains are proposed that need to be filled in order
61 to prevent worsening acidification and address its impacts now and into the future. The discussion then turns to
62 a review of activities initiated by the United Nations (UN) and UN affiliated bodies as well as international
63 treaties deposited with the UN that have been implemented, at least in part, as a response to ocean acidification.
64 This review reflects upon their capacity to fill all six policy domains. Substantial gaps are found, hence, non-
65 acidification directed policies are accessed to see if it is possible that ocean acidification is being addressed
66 without explicit intent to do so. Again the responses are found lacking. Thus, this paper turns to an exploration
67 of existing mechanisms and institutions, which are not yet being applied to ocean acidification, to investigate
68 how they can be utilised to further contribute to a response.

69







70 2. Responding to Ocean Acidification

71 An ocean acidification response has two main objectives: preventing acidification from worsening, while
 72 simultaneously addressing the impacts that have already occurred (or those that are yet to occur due to already
 73 released emissions). Billé et al. (2013) identified the main way to achieve these two goals. First and foremost is
 74 the need to limit carbon dioxide concentrations in the atmosphere. This can be achieved by the direct reduction
 75 of carbon dioxide emissions or the removal of carbon dioxide from the atmosphere. Reducing the local factors
 76 that cause acidification, including nutrient inputs, can also help to prevent worsening acidification.
 77 Strengthening ecosystem resilience to ocean acidification, adapting human activities in anticipation of, or
 78 reaction to, ocean acidification, and repairing damages when the ocean has already acidified by restoring
 79 degraded systems or reducing acidity using additives other than iron are all measures that can be used to address
 80 the impacts of rising ocean acidity.

81 This range of available responses can be grouped under six types of policy domains (as summarised in Table 2).
 82 *Mitigation* policies are those intended to lead to reductions in carbon dioxide emissions, while *non-CO₂*
 83 *mitigation* policies are those aimed at reducing non-CO₂ emissions that contribute to OA as well as efforts to
 84 reduce or remove other local exacerbating factors, such as run-off. *Adaptation and Protection* measures are
 85 policies aimed at enhancing resilience in human and ecological communities to enable them to better withstand
 86 the impacts of ocean acidification. These are grouped together as the terms adaptation and protection appear to
 87 be used interchangeably within a number of policy settings and can refer to either human or ecological
 88 communities. *Restoration* policies are those intended to facilitate the repairing and rebuilding of ecological
 89 communities harmed by ocean acidification, while *reparation* policies are those implemented to assist human
 90 communities that have suffered damage or loss. Finally, those policies aimed at manipulating oceanic or
 91 atmospheric properties to address ocean acidification, whether they be mitigation, restoration or other type
 92 policies, are termed *geoengineering*, such as addition of additives to increase alkalinity.

93 **Table 1: Interventions for Preventing Worsening OA**

POLICY DOMAIN	OBJECTIVE	EXAMPLES
CO ₂ Mitigation	Reducing the primary global driver of ocean acidification	Introduce renewables Increase efficiency Land use changes 
Non-CO ₂ Mitigation	Reducing the local factors that exacerbate ocean acidification	Reduce runoff Reduce Non-CO ₂ emissions
Adaptation and Protection	Building or maintaining resilience in order to assist human communities and ecological systems to overcome, absorb, return from or adjust to change	Establish marine protected areas Reduce non-OA stressors Alter species distribution  Protect ecosystem services Identify alternative sources of income Alter commercial/industrial practices
 Restoration	Repairing ecological communities after damage has occurred	Replant vegetation Reseed coral reefs Reintroduce species
 Reparations	Ameliorating damage that has occurred within human communities	Establish reparation funds
Geoengineering	Altering the physical properties of the ocean or atmosphere to prevent further acidification or reduce acidity	Sequester CO ₂ Remove CO ₂ from atmosphere Increase alkalinity of water

94

95 This suite of options for tackling ocean acidification begins with the reduction of carbon dioxide in the
 96 atmosphere. It is the primary solution to halting further increases in acidity as it is largely these emissions that



197 will determine the trajectory of the acidity of the global ocean in the near future (Caldeira and Wickett, 2003).
198 This can be achieved, for example, via the introduction of renewable energy and the removal of fossil fuels,
199 increases in efficiency of energy production, changes in land use and the capture and storage of carbon dioxide.
200 In addition to carbon dioxide, local factors can exacerbate or alleviate this global trend and therefore for some
201 locations the removal or reduction of these factors can be an important way of protecting discreet geographic
202 areas, for example, limiting run-off (Cai et al., 2011) and reducing emissions, such as sulphur and nitrogen
203 emissions from shipping (Doney et al., 2007).

204 While mitigation is the only way to prevent long term increases in acidity, negative effects are already occurring
205 (De'ath et al., 2009; Bednaršek et al., 2014) and will continue to occur due to the locked in impacts from already
206 released emissions (Joos et al., 2011). Thus, efforts to build and maintain resilience in order to assist human
207 communities and ecological systems withstand, absorb, or adjust to these changes are also required. These types
208 of policies can be targeted at protecting ecological communities, for example by establishing marine protected
209 areas, clearance of invasive species and the removal of other anthropogenic stressors, such as pollution (Billé et
210 al., 2013). Alternatively, policies can be targeted at ensuring human communities have the potential to adapt to
211 changes, for example, by switching fisheries targets to less vulnerable species (Ekstrom et al., 2015) or
212 establishing monitoring systems to allow commercial enterprises to respond appropriately to changing pH
213 levels, as has occurred in Washington State oyster hatcheries (Barton et al., 2015).

214 It is also possible that efforts to reduce emissions, protect ecological systems and enhance the adaptive capacity
215 of human communities may simply not be enough in some cases. As a result it is also important to consider
216 whether human communities may be entitled to reparations for damages and loss experienced due to the impacts
217 of ocean acidification. In addition, efforts will be needed to determine whether degraded ecosystems can and
218 should be restored, and if so, via what methods. For example, the reintroduction of species, reseeded coral
219 reefs, or increasing ocean pH via introduction of various additives (Rau et al., 2012).

220 3. Current Responses to Ocean Acidification

221 These six domains offer a typology for examining how the international community, via the UN and its
222 affiliated institutions, is either preventing ocean acidification from worsening or responding to impacts that have
223 already occurred. This research revealed that only three institutions, the London Convention and Protocol
224 (LC&P), the OSPAR Convention, and the Convention on Biological Diversity (CBD), have implemented any of
225 these types of policies with explicit consideration of ocean acidification.¹



226 The Convention on the Prevention of Marine Pollution by Dumping of Wastes and Other Matter (LC, 1972),
227 known as 'The London Convention' or the 'dumping Convention' was designed to prevent pollution of the
228 ocean from the dumping of waste from vessels, aircrafts and platforms. The Convention functions by providing
229 a banned list of substances that cannot be disposed of in the marine environment. The London Protocol (1996),
230 designed to replace the Convention is more precautionary and provides a 'reverse list' naming substances that
231 may be considered for dumping, while prohibiting all others (Annex 1). The Convention on Biological Diversity

¹ A number of other activities were found to have been initiated in response to ocean acidification, including calls of concern, calls for action and knowledge production, all of which are important and contribute to the larger discourse around ocean acidification and will (hopefully) lead to further policy making. However, these activities are beyond the scope of this particular discussion as they are not classified as policies designed to directly prevent further acidification or address the impacts that have already occurred.



132 (1992a) was designed to conserve biological diversity, as well as its sustainable and equitable use. The
133 Convention provides a framework for national action via agreed upon goals and guidelines, without putting in
134 place many binding obligations, beyond the obligation to address the issues covered by the Convention
135 (Secretariat, 2001). Both the LC&P and the CBD are conventions with global scope and both enjoy fairly high
136 participation rates (with 87, 48 and 196 members respectively). The Convention for the Protection of the Marine
137 Environment of the North-East Atlantic, or the “OSPAR Convention” (1992) is a regional convention focused
138 on the protection of the North-East Atlantic and has 18 contracting parties. The objectives of the OSPAR
139 Convention are implemented via the adoption of decisions (which are legally binding) and recommendations
140 and other agreements that guide the activities of its members.

141 3.1 Geoengineering

142 As early as 2004, ocean acidification began to appear in discussions around the possible placement of carbon
143 dioxide in the OSPAR maritime area as a way of addressing climate change. The potential detrimental effects of
144 ocean acidification due to increasing anthropogenic carbon dioxide were highlighted and a review of existing
145 knowledge was commissioned (OSPAR, 2005). This resulted in the publication of a technical report, *Effects on*
146 *the marine environment of ocean acidification resulting from elevated levels of CO₂ in the atmosphere*, which
147 provided an overview of ecosystem sensitivity to carbon dioxide exposure (Haugan et al., 2006).

148 In 2007, the OSPAR Commission (the decision-making body of the Convention) formally expressed concern
149 over the ‘implications for the marine environment of climate change and ocean acidification due to elevated
150 concentrations of carbon dioxide in the atmosphere’ (OSPAR, 2007b, p. 1). The Commission further recognised
151 that the storage of carbon dioxide in geological formations could act as part of a portfolio of measures for
152 mitigating these impacts (OSPAR, 2007a). OSPAR adopted a *Decision* to ensure environmentally safe storage
153 of carbon dioxide streams in geological formations, while legally ruling prohibiting the placement of carbon
154 dioxide streams in the water column or on the seabed, due to the likelihood of resulting harm to living resources
155 and marine ecosystems (OSPAR, 2007b).

156 Echoing the discussions taking place within the OSPAR regime, the Consultative Meeting of Contracting
157 Parties to the London Convention acknowledged, in 2005, that carbon dioxide posed a direct threat to the marine
158 environment and was responsible for causing ocean acidification. It was also acknowledged that carbon dioxide
159 sequestration and storage, which had effectively been banned until this point, could bring about benefits to the
160 oceans in terms of reducing ocean acidification and climate change. Furthermore, it was agreed that the act of
161 carbon sequestration and its implications for the marine environment came under the purview of the LC&P
162 (IMO, 2005). As a result, in 2006 an amendment was made to Annex 1 of the Protocol (the ‘reverse list’) that
163 allowed for the consideration of dumping of ‘carbon dioxide streams from carbon dioxide capture processes for
164 sequestration’ (IMO, 2006, p.3). It was decided that carbon dioxide may only be considered for dumping if
165 ‘disposal is into a sub-seabed geological formation’ (IMO, 2006, p.3), thereby effectively maintaining a
166 prohibition on its disposal in the water column or on the sea floor.

167 3.2 Protection and Adaptation

168 In 2010, the OSPAR Commission *agreed* to ‘monitor and assess the nature, rate and extent of the effects of
169 climate change and ocean acidification on the marine environment and consider appropriate ways of responding
170 to those developments’ and that ‘[c]onsiderations of the impacts of climate change and ocean acidification, as



171 well as the need for adaptation and mitigation, will be integrated in all aspects of the work' (OSPAR, 2010, p.3).
172 Significantly, the Commission also *agreed* that it would strengthen the OSPAR network of marine protected
173 areas in recognition of their role in 'maintenance of ecosystem integrity and resilience against human activities
174 and impacts of climate change and ocean acidification' (OSPAR, 2010, p.5).

175 Ocean acidification first began to appear in discussions within the CBD in 2008 when it was considered at the
176 Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA)(SBSTTA, 2008). It then made
177 its way on to the agenda of the 9th Convention of the Parties (COP), at which it was requested that the Executive
178 Secretary, in conjunction with others, prepare a synthesis report of available scientific information pertaining to
179 ocean acidification (CBD, 2008). The resulting report, *Scientific Synthesis of the Impacts of Ocean Acidification*
180 *on Marine Biodiversity* (Secretariat, 2009), was considered at the following SBSTTA meeting. At which it was
181 recommended that the COP adopt a decision expressing serious concern about increasing ocean acidification
182 and the potential ~~threat~~ to biodiversity and ecosystems and the consequent impacts on the services they provide
183 (SBSSTA, 2010). SBSTTA also recommended that the COP request the Executive Secretary to, in conjunction
184 with other relevant organisations and scientific groups, develop a series of expert review processes to monitor
185 and assess the impacts of ocean acidification and widely disseminate the result to raise awareness both within
186 the CBD and without. SBSTTA also suggested that given the relationship between atmospheric carbon dioxide
187 concentration and ocean acidification the COP request the Executive Secretary to transmit the findings to the
188 Secretariat of the UNFCCC (SBSSTA, 2010). All of these recommendations were accepted at the 10th COP, at
189 which the COP expressed 'its serious concern that increasing ocean acidification, as a direct consequence of
190 increased carbon dioxide concentration in the atmosphere, reduces the availability of carbonate minerals in
191 seawater...' (CBD, 2010b p.12)

192 The CBD COP also adopted a list of Ecologically or Biologically Significant Marine Areas (ESBAs) and
193 encouraged their conservation and sustainable use. These areas were identified as serving an important purpose
194 in supporting the healthy functioning of the ocean and included the *Western South Pacific high aragonite*
195 *saturation state zone*. An area identified as having the highest aragonite saturation state in the ocean today and,
196 therefore, the last to fall below critical thresholds with increasing acidification (CBD, 2012). This area,
197 therefore, may be the slowest to be impacted by ocean acidification and potentially the fastest to recover.

198 Significantly, the COP also set out a revised and updated strategic plan for biodiversity for 2011-2020, which
199 included establishing new biodiversity targets, the "Aichi Targets" (CBD, 2010c). The Aichi Targets set out a
200 series of goals aimed at halting the loss of biodiversity by 2020. Target 10 recommends that 'the multiple
201 anthropogenic pressures on coral reefs, and other vulnerable ecosystems impacted by climate change or ocean
202 acidification are minimized, so as to maintain their integrity and functioning' (CBD, 2010c, p.119). The rationale
203 provided for this target is that the reduction of stressors affecting ecosystems will help to make them less
204 vulnerable to the impacts of acidification over the short to medium-term, thus, providing more time to address
205 acidification over the longer-term. 'Ultimately the aim of this target is to provide ecosystems with the greatest
206 probability of maintaining their integrity and functioning despite the effects of climate change and/or ocean
207 acidification' (CBD, 2013, p.1). Pollution control, reducing over-exploitation and harvesting, eradication of
208 invasive species are all activities offered as ways to reach this target. While remaining fairly vague, this is



209 significant as it is the first target set by any international institution with a timeframe for responding to ocean
210 acidification.

211 In response to this target, SBSTTA suggested a series of practical responses available to Parties to meet Target
212 10 and help reduce threats from ocean acidification. With regards to mitigation, Parties were encouraged to
213 work towards emission reductions of carbon dioxide and to participate in the UNFCCC, IPCC and other related
214 processes. These are relatively vague and aspirational and it appears that mitigation activities have largely been
215 deferred to other bodies, such as the UNFCCC, that are deemed more relevant to the task. However, the
216 guidance offered for maintaining and restoring ecosystem resilience is far more detailed and includes specific
217 activities that governing bodies can implement, including effectively managing coastal runoff, limiting the
218 impacts of unsustainable fishing practices and the reduction of local pollutants (SBSTTA, 2012).

219 3.3 Substantial Gaps in the Response to Ocean Acidification

220 The substantive activities of the CBD, OSPAR Convention and the LC&P, as summarised in Table 2, are useful
221 first steps in crafting an international response to ocean acidification. However, these policies by themselves are
222 unable to prevent worsening acidification. This is in large part because these activities focus on the protection of
223 species and ecosystems and the regulation of geoengineering efforts and do not tackle the root cause of ocean
224 acidification – rising carbon dioxide emissions. Activities focused on alleviating local pressures, protecting and
225 restoring ecosystems and helping human communities to adapt and respond are critical to ensuring positive
226 outcomes in the face of ocean acidification. However, the success of interventions designed to alleviate the
227 pressure of ocean acidification greatly declines with increasing emissions (Gattuso et al., 2015). Thus, these
228 options are only viable when coupled with substantive action to reduce carbon dioxide emissions. Without
229 measures to reduce carbon dioxide, non-CO₂ interventions become more costly and less effective and are only
230 capable of delaying the worsening impacts of ocean acidification for a short period of time (Kennedy et al.,
231 2013). As a result, non-CO₂ mitigation, protection, adaptation, restoration and reparation efforts, while
232 important, remain largely ancillary to CO₂ reduction efforts and should only be viewed as effective when
233 coupled with CO₂ emission reductions.

234 **Table 2: Policies Initiated with Explicit Intent to Respond to Ocean Acidification**

INTERVENTION	OSPAR Convention	LC&P	CBD
CO₂ Mitigation			
Non-CO ₂ Mitigation			Recommended minimization of anthropogenic pressures on ecosystems impacted by OA
Adaptation and Protection	Agreed to strengthen the OSPAR network of marine protected areas		Identified Western South Pacific high aragonite saturation state zone for protection
Restoration			
Reparations			
Geoengineering	Prohibited the disposal of carbon dioxide on or above the sea floor	Allowed for the sequestration of CO ₂ in sub-seabed geological formations.	


235



236 4. Co-Benefits of Non-Ocean Acidification Directed Policies

237 It is evident that there are substantial gaps in the current governance of ocean acidification, especially in the
238 domains of carbon dioxide mitigation, restoration and reparations. Even within those domains with existent
239 policies there is room for additional efforts to create a more robust response to acidification. However, it is
240 possible that efforts already exist that have been initiated without consideration of ocean acidification, that may
241 actually be deemed relevant to its response.

242 4.1 CO₂ Mitigation

243 Most significant is the work  undertaken within the United Nations Framework Convention on Climate
244 Change (UNFCCC)(1992a) to regulate emissions of carbon dioxide. As the main international institution
245 working to regulate carbon dioxide emissions, it is this institution that has the largest potential to determine
246 future levels of ocean acidity. To date, there has been little activity on behalf of the COP to consider ocean
247 acidification in discussions of targets and timelines for emission reductions. Nevertheless, rapid decarbonisation
248 in order to address climate change would also address ocean acidification. In the most recent Paris Agreement,
249 Parties agreed to hold 'the increase in the global average temperature to well below 2°C above pre-industrial
250 levels and pursuing efforts to limit the temperature increase to 1.5°C above pre-industrial levels'(UNFCCC,
251 2015a, p.3).

252 This agreement, paves the way for large-scale emission reductions, resulting in decarbonisation, thereby
253 preventing future acidification. However, the agreement also leaves room for less ambitious action, including
254 surpassing a 1.5°C rise in global temperatures, delaying a reduction to net zero emissions by as late of the end of
255 the century and utilising technologies to remove substantial amounts of carbon dioxide from the atmosphere
256 later in the century. Such scenarios would allow for continued high emissions in the short-term and rapid
257 reductions at a later time, which would result in worsening acidification and irreversible impacts in the near
258 future (Mathesius et al., 2015). The UNFCCC Expert Review suggested that there is a high likelihood of a
259 meaningful difference in impacts resulting from global temperature increases of 1.5 or 2°C. At 1.5°C risk from
260 acidification is likely to be on the verge of high risk, whereas at 2°C the risk would already be high. In addition,
261 an overshoot of the target followed by a rapid reduction in emissions would likely result in impacts from
262 acidification, irreversible for tens of thousands of years due to slow ocean processes (UNFCCC, 2015b). Thus, it
263 is difficult to conclude that the Paris Agreement, unless implemented in its most stringent form, is strong
264 enough to prevent a worsening of acidification into the future. As a result, there is still a need to work towards
265 stronger targets and timelines with consideration of ocean acidification.

266 There has been additional work to reduce carbon dioxide emissions undertaken within the International
267 Convention for the Prevention of Pollution from Ships (MARPOL)(1973). MARPOL has taken steps to regulate
268 carbon dioxide emissions from the shipping industry, which account for approximately 2.2 percent of global
269 emissions (MARPOL, 2017), via the introduction of operational and technical measures (MEPEC, 2011). While
270 not implemented with reference to ocean acidification, nor comprising a big enough reduction in global
271 emissions to prevent further acidification, this is significant as it is the first mandatory regime for regulating the
272 emissions of a global industry. Such measures will aid in attempts to reduce global emissions and paves the way
273 for other industry specific regulations to occur within other institutions.



274 **4.2 Non-CO₂ Mitigation**

275 MARPOL has also been instrumental in setting limits on the emissions of sulphur and nitrogen and other
276 pollutants from ships. Again, these regulations have been put in place to reduce air pollution and not as an
277 attempt to respond to ocean acidification. Although a 2010 submission by the United States proposing areas to
278 be designated as Sulphur Emission Control Areas noted that sulphur and nitrogen deposition from ships causes
279 local acidification of marine waters (MPEC, 2010).

280 **4.3 Geoengineering**

281 Along with efforts to reduce carbon dioxide and non-CO₂ emissions a number of efforts have been initiated to
282 regulate marine geoengineering. This is significant as some of these activities are thought likely to exacerbate
283 ocean acidification (Cao and Caldeira, 2010). Concerns have been raised within the CBD and LC&P over the
284 effectiveness and possible negative impacts on the marine environment of iron fertilization (with no mention of
285 ocean acidification). In 2008, the CBD COP requested Parties and urged other governments to 'ensure that
286 ocean fertilization activities do not take place until there is an adequate scientific basis on which to justify such
287 activities' (CBD, 2008). Noting this decision, the LC&P placed a moratorium on all ocean fertilization activities
288 (excluding those conducted for legitimate scientific research purposes) (LC&P, 2008). Further, in 2010 the CBD
289 COP adopted a decision that invited Parties and other Governments to ensure 'that no climate-related geo-
290 engineering activities that may affect biodiversity take place, until there is an adequate scientific basis on which
291 to justify such activities and appropriate consideration of the risks for the environment and biodiversity and
292 associated social, economic and cultural impacts' (CBD, 2010a, p.5). While these steps are useful in regulating
293 geoengineering, it is possible that such efforts will still go ahead, thus there is a need to consider their impacts,
294 positive, negative, or benign, for ocean acidification prior to their deployment.

295 **4.4 Protection, Adaptation and Restoration**

296 Also of relevance to ocean acidification are the multitude of conservation measures that have been implemented
297 under various institutions. Listing all is simply beyond the scope of this paper; however, efforts include
298 establishing marine protected areas, limiting fishery quotas, and restoration of local habitats. These policies may
299 play a role in boosting resiliency and protecting biodiversity from increasing acidity, as well as restoring
300 impacted systems and aiding human communities in adapting to changing conditions. However, conservation
301 measures implemented without consideration of the trajectory and impacts of ocean acidification may allow
302 activities that will exacerbate ocean acidification. In addition, they may simply not be constructed or
303 implemented in a way that helps human and ecological communities to overcome the impacts of ocean
304 acidification. For instance, it is not enough to have areas designated as protected, it is recommended that they be
305 specifically located to avoid hotspots of acidification (Hofmann et al., 2011; Kelly et al., 2011), while
306 simultaneously placed to act as refugia, either by preserving areas that are likely to acidify at a slower rate or by
307 protecting populations that exhibit high levels of genetic diversity and natural resilience (Billé et al., 2013).
308 Thus, if institutions wish to protect and restore ecological communities and aid the human communities
309 dependent upon them, conservation measures need to be designed with ocean acidification in mind.

310 **Gaps Still Exist**

311 It is evident that there are a number of existing policies, initiated without consideration of ocean acidification,
312 which are able to help lessen worsening acidification and address impacts (See Table 3 for a summary). Efforts



313 to reduce carbon dioxide emissions within the UNFCCC have, to date, not considered ocean acidification and
 314 thus, are not strong enough to prevent increasing acidification in the future. Other mitigation policies, including
 315 those within MARPOL provide positive steps to reduce industry wide emissions of carbon dioxide, however are
 316 not broad enough to capture a large enough segment of emissions so as to prevent future acidification. In
 317 addition, general conservation measures are likely to have a positive effect on ecosystems in the face of rising
 318 acidity. However, without specific intent to address acidification it is possible that such measures could miss
 319 important opportunities with regards to protecting ecological systems. Further, few legally binding restrictions
 320 have been placed on deployment of geoengineering efforts and thus, may be used in the future. It is important to
 321 understand how such efforts could interact with ocean acidification and ensure that the possible negative
 322 impacts are considered prior to their deployment.

323 **Table 1: Existing Policies that Form a Response Ocean Acidification**

INTERVENTION	OSPAR Convention	LC&P	CBD	UNFCCC	MARPOL
CO ₂ Mitigation				Agreed to rapid reductions of GHG emissions	Operational and technical measures to reduce ship emissions
Non-CO ₂ Mitigation			Recommended minimization of anthropogenic pressures on ecosystems impacted by OA		SOx and NOx regulations
Adaptation and Protection	Agreed to strengthen the OSPAR network of marine protected areas		Identified Western South Pacific high aragonite saturation state zone for protection		
Restoration					
Reparations					
Geoengineering	Prohibited the disposal of carbon dioxide on or above the sea floor	-Allowed for the sequestration of CO ₂ in sub-seabed geological formations. -Moratorium on iron fertilization and other forms of marine geoengineering	Requested ocean fertilization activities do not occur		

324

325 It appears that while there are a series of policies currently forming an international response to ocean
 326 acidification, they are simply not enough, even when coupled with non-acidification directed efforts, to prevent
 327 the worsening of ocean acidification or address its impacts. Thus, this paper will now turn to a discussion of
 328 existing policies within international institutions that are not currently being utilised to respond to ocean
 329 acidification that could be employed to enhance efforts to prevent worsening of ocean acidification and respond
 330 to impacts as they occur.



331 5. Utilising Existing International Instruments

332 5.1 CO₂ Mitigation

333 With regards to the mitigation of carbon dioxide emissions, the UNFCCC remains the venue in which the
334 international community has come together to regulate emissions. As discussed above the Paris Agreement has
335 established a long-term goal for emission reductions that provides a pathway for avoiding unacceptable risks
336 associated with both ocean acidification and climate change. However, the leniencies built into the agreement
337 mean that this is not guaranteed. Thus, there is still a need for broader incorporation of ocean acidification
338 into discussions within the UNFCCC (Harrould-Kolieb, 2016). This could be worked into the periodic reviews
339 that will take place in regards to strengthening the long-term goal and the timeline for meeting this goal. Some
340 scholars, however, suggest that this is unlikely to occur due to structural limitations of the UNFCCC mandate
341 that effectively prevents a more meaningful consideration of ocean acidification within the workings of the
342 Convention (Baird et al., 2009; Kim, 2012). However, these are narrow readings of the Convention and do not
343 take into account its progressive nature with regards to the incorporation of developing science (Harrould-
344 Kolieb, 2016). Thus, it is possible and critical for ocean acidification to be considered alongside climate change
345 when setting targets, timelines and methods for emission reductions within the UNFCCC.

346 It is worth noting that a number of other fora, including the United Nations Convention on the Law of the Sea
347 (UNCLOS)(1982) and the United Nations Fish Stocks Agreement (UNFSA)(1995) have been proposed as
348 avenues for limiting carbon dioxide emissions, primarily due to their obligations to protect the marine
349 environment through the regulation of pollutants. These institutions are seen as particularly attractive as they
350 both have binding dispute resolution mechanisms in place that could, it is proposed, essentially be used to
351 compel states to reduce their carbon dioxide emissions (Boyle, 2012; Burns, 2006). However, these institutions
352 are unlikely to be utilised, primarily because of the significant duplication of efforts already being pursued
353 within the UNFCCC to reduce emissions (Boyle, 2012). These institutions are also less widely subscribed to
354 than the UNFCCC, and it is questionable whether the dispute resolution mechanisms could be used to compel
355 some of the largest emitters, including the United States, that have not yet ratified the treaty. Thus, the UNFCCC
356 remains the most likely venue for achieving a global reduction in carbon dioxide emissions.

357 5.2 Non-CO₂ Mitigation

358 The broad pollution controls offered under UNCLOS, which impose management obligations on Parties to limit
359 marine pollution (UNCLOS, 1982a), could be used to encourage states to make greater effort to reduce non-CO₂
360 drivers of ocean acidification. Similarly, UNFSA requires Parties to 'minimize pollution' (UNFSA, 1995) and
361 while no definition of pollution is provided within the agreement text, it could be reasonably interpreted to
362 include pollutants that increase coastal acidification, especially as linkages between increasing acidity and
363 impacts to fisheries become more apparent (Branch et al., 2013). In addition, the Global Programme of Action
364 for the Protection of the Marine Environment from Land-based Activities (GPA), established by the Washington
365 Declaration on Protection of the Marine Environment from Land-Based Activities (UNEP, 1995), provides a
366 forum for limiting nutrient run-off, indeed, the GPA was tasked with working on 'on nutrients, litter and
367 wastewater' and identified a number of land-based sources of pollution including sewage, nutrients, sediment
368 mobilisation, persistent organic pollutants, oils, litter, heavy metals and radioactive substances on which to
369 focus its work (UNEP, 2015). Similarly, the CBD has agreed in Aichi Target number 8, that nutrient pollution



370 be brought to sustainable levels by 2020 so as not to negatively impact ecosystem function and biodiversity
371 (CBD, 2010c).

372 These are all existing measures that can easily be understood to include efforts to reduce the local causes of
373 ocean acidification. Further, institutions that manage networks of marine protected areas, including, for
374 example, OSPAR, UNFSA and the Convention on the Conservation of Antarctic Marine Living Resources
375 (CCAMLR)(1980a), could incorporate the local reduction of acidity into the MPA management as a regular
376 operating procedure (Billé et al., 2013). It has been suggested that an implementing agreement under UNCLOS
377 could provide an avenue for establishing a series of marine protected areas beyond national jurisdictions (CBD,
378 2006), such areas would be governed by the objectives of UNCLOS, including limiting pollution to the marine
379 environment. Thus, these MPAs could be established with consideration of ocean acidification and managed
380 with the intent of responding to it.

381 **5.3 Adaptation and Protection**

382 Marine protected areas could also be utilised to enhance resilience and adaptive capacity of ecological and
383 human communities affected by ocean acidification. The identification and protection of areas that may act as
384 refugia or hotspots of biodiversity would act to reduce stressors and encourage greater resilience in the face of
385 ocean acidification. Consideration of ocean acidification could be incorporated into existing strategies and
386 guidelines for designing and managing MPA networks, such as those designated by the IUCN World
387 Commission on Protected Areas, which contain guidelines for best practice in regards to climate change (IUCN-
388 WCPA, 2008) . Similarly, ocean acidification could be incorporated into the General framework for the
389 establishment of CCAMLR Marine Protected Areas, which already recognises the role of MPAs in contributing
390 to sustaining ecosystem structure and function and aims to protect areas in order to maintain resilience or the
391 ability to adapt to the effects of climate change (CCAMLR, 2011). CCAMLR has the ability to designate marine
392 protected areas that can exclude fishing activities, ship discharges and dumping of wastes, as well as setting
393 catch limits and designating open and closed seasons for fisheries (CCAMLR, 1980b). All of which could be
394 useful in protecting species, such as krill, that are likely to be impacted severely by increasing acidity
395 (Kawaguchi et al., 2011;Kawaguchi et al., 2013) and the Southern Ocean areas that are rapidly acidifying
396 (McNeil and Matear, 2008). These treaties could be utilised to create networks of protected areas with the
397 expressed intent of combatting ocean acidification.

398 The UNFSA and various regional fisheries management organisations (RMFOs) also offer venues for the
399 consideration of the impact of ocean acidification on fisheries and the management options required to ensure
400 functional fisheries into the future. These could include the adjusting of take limits and establishing no take
401 zones to boost resilience in areas most vulnerable to ocean acidification. The CBD could also provide a venue to
402 host a broader discussion about the integration of ocean acidification into biodiversity adaption and protection
403 planning. Specifically via the *Climate Change Adaptation Database* that offers guidance on adaptation options
404 to Parties(CBD, 2017)

405 **5.4 Restoration**

406 The CBD also offers an important venue for initiating activities to restore ecosystems degraded by ocean
407 acidification. Indeed, Article 8(f) of the Convention states that ‘each Contracting Party shall [...] [r]ehabilitate
408 and restore degraded ecosystems and promote the recovery of threatened species, inter alia, through the



409 development and implementation of plans or other management strategies' (CBD, 1992a). Further, Target 14 of
410 the Aichi Targets requires that 'ecosystems that provide essential services, including services related to water,
411 and contribute to health, livelihoods and well-being, are restored and safeguarded' (CBD, 2010c). Thus,
412 restoration of species and ecosystems degraded by ocean acidification fall easily within the CBD mandate. The
413 RAMSAR Convention on Wetlands (1971) could also offer a venue for restoration activities pertaining to coral
414 reefs and coastal areas affected by ocean acidification as it contains a very broad definition of wetland that
415 includes coral reefs and marine waters to a depth of six meters at low tide (RAMSAR, 1971).

416 **5.5 Reparations**

417 The UNFCCC COP has initiated efforts to consider ways to address the loss and damages experienced in
418 developing countries due to climate change (UNFCCC, 2017). Interestingly, ocean acidification appears as part of
419 this discussion; listed as a slow onset event that could result in loss and damage (UNFCCC, 2010). This is the
420 only mention of ocean acidification in any outcome documents of the COP to date. Here the COP recognised the
421 need for greater effort to better understand and reduce the loss and damage associated with, among other things,
422 the impacts of slow onset events, including ocean acidification. In 2013, following two years of deliberations,
423 the Warsaw International Mechanism for Loss and Damage was established (UNFCCC, 2014). It is, as yet,
424 unclear how the mechanism will progress (Surminski and Lopez, 2014) and how and to what extent ocean
425 acidification will be factored in. However, this could provide an avenue for addressing issues of reparations
426 associated with loss and damage resulting from ocean acidification.

427 **5.6 Geoengineering**

428 Geoengineering, like ocean acidification, presents numerous governance challenges as it is a cross-sectional
429 issue that falls under the interest areas of many international institutions while, simultaneously not fitting neatly
430 within the mandate any one. As yet, there is no clear governance framework applicable to geoengineering.
431 However, it has been suggested that the use of international environmental impact assessment (EIA)
432 mechanisms could be an avenue for increasing geoengineering governance (Craik, 2015). This could also offer a
433 pathway for assessing whether individual geoengineering schemes are likely to be positive, negative or neutral
434 with regards to ocean acidification.

435 There are a series of institutions that offer EIA mechanisms that could be utilised for this purpose, including
436 UNCLOS that imposes an obligation on states to assess the potential effects of planned activities that 'may
437 cause substantial pollution of or significant and harmful changes to the marine environment' (UNCLOS,
438 1982b). The CBD also requires states to 'introduce appropriate procedures requiring environmental impacts
439 assessment of its proposed projects that are likely to have significant adverse effects on biological diversity'
440 (CBD, 1992b). There is also a clause in the UNFCCC that makes reference to undertaking impact assessments
441 in order to minimize adverse effects resulting from projects or measures undertaken to mitigate or adapt to
442 climate change (UNFCCC, 1992b). For regional impacts, the Protocol on Environmental Protection to the
443 Antarctic Treaty (1991b) offers EIA requirements for all activities occurring within the Antarctic Treaty area
444 that could have even a 'minor or transitory impact' (ATS, 1991). The Convention on Environmental Impact
445 Assessment in a Transboundary Context (Espoo Convention) (1991a) may also be applicable as it offers
446 processes to deal with transboundary impacts, which may occur due to the deployment of geoengineering
447 mechanisms.



448 5.7 Filling the Gaps

449 This section has proposed a series of existing instruments that could be utilised in order to enhance the current,
 450 but wanting, international response to ocean acidification. These suggestions include utilising instruments
 451 within institutions that are already responding to ocean acidification, such as the CBD. Opportunities exist
 452 within this institution to expand efforts to mitigate the non-CO₂ causes of ocean acidification as well as
 453 adaptation and protection, restoration and geoengineering efforts. Other institutions, such as UNCLOS, that
 454 have previously been inactive with regards to ocean acidification, also offer avenues for increasing the
 455 governance of ocean acidification. UNCLOS offers opportunities for action within efforts to reduce both carbon
 456 dioxide emissions and the non-CO₂ causes of rising acidity. UNCLOS can also enhance efforts to adapt and
 457 protect human and ecological systems from acidification, as well as the regulation of geoengineering via
 458 environmental impact assessments.

459 These previously untapped opportunities offer the potential for addressing each of the six policy domains that
 460 constitute a comprehensive response to ocean acidification. This is visualised in Table 4. The CBD and
 461 UNCLOS are venues that could take on multifaceted responses to ocean acidification. Indeed, these two
 462 institutions could act to guide the wider response across the international community. The UNFCCC is central
 463 to this response with regards to reducing carbon dioxide emissions and is, currently, the only venue discussing
 464 the issue of reparations with relations to loss and damage suffered due to climate change. It is also likely that the
 465 UNFCCC will be abreast of any deployment of geoengineering schemes, and thus, incorporating ocean
 466 acidification into the UNFCCC impact assessment will be an effective way of ensuring impacts with regards to
 467 ocean acidity are considered. While the other institutions listed offer more ancillary avenues to responding to
 468 ocean acidification, they do represent additional opportunities for creating a comprehensive response.

469 **Table 2: International Institutions Capable of Contributing to a Response to Ocean Acidification**

INTERVENTION	CBD	UNCLOS	UNFCCC	OSPAR	UNFSA	MARPOL	CCAMLR	LC&P	GPA	RAMSAR	ATS	Espoo
CO ₂ Mitigation		X	X		X	X						
Non-CO ₂ Mitigation	X	X		X	X	X	X		X			
Adaptation and Protection	X	X		X	X		X					
Restoration	X									X		
Reparations			X									
Geoengineering	X	X	X	X				X			X	X

470

471

472 6. Conclusion

473 This review of activities related to ocean acidification within the UN General Assembly and across the UN
 474 family found that substantive action (rule-making or implementation) to prevent worsening ocean acidification
 475 and to respond to impacts has largely not occurred. Indeed, only three institutions, the London Convention and
 476 Protocol, the OSPAR Convention and the Convention on Biological Diversity, were found to have initiated any
 477 rule making and implementation activities in direct response to ocean acidification. These are useful first steps
 478 in crafting an international response to ocean acidification. However, these policies by themselves are unable to



479 prevent worsening acidification. This is in large part because these activities focus on the protection of species
480 and ecosystems and the regulation of geoengineering efforts and do not tackle the root cause of ocean
481 acidification – rising carbon dioxide emissions.

482 In addition to these activities there are a number of existing policies, initiated without consideration of ocean
483 acidification, which are able to help lessen worsening acidification and address its impacts. However, these
484 activities, in most cases, including carbon dioxide reduction efforts within the UNFCCC, have been found not to
485 be strong enough to guarantee prevention of ocean acidification in the future. Other mitigation policies,
486 including those within MARPOL provide positive steps to reduce industry wide emissions of carbon dioxide,
487 however are not broad enough to capture a large enough segment of emissions so as to prevent future
488 acidification. In addition, general conservation measures are likely to have a positive effect on ecosystems in the
489 face of rising acidity. However, without specific intent to address acidification it is possible that such measures
490 could miss important opportunities with regards to protecting ecological systems. Further, few legally binding
491 restrictions have been placed on deployment of geoengineering efforts and thus, may be used in the future. It is
492 important to understand how such efforts could interact with ocean acidification and ensure that the possible
493 negative impacts are considered prior to their deployment. Thus, this range of activities were found wanting
494 with regards to offering a strong, comprehensive response to rising ocean acidity and its impacts.

495 Therefore, a series of options for enhancing the current international response to ocean acidification utilising, as
496 yet, untapped instruments was explored. This found, most importantly, that the CBD and UNCLOS could both
497 take on a substantial amount of work to craft a response to ocean acidification. These two institutions are readily
498 applicable to responding to ocean acidification due to their interests in conserving biodiversity and protecting
499 the marine environment. These two institutions could also serve as focal points for and guide a wider
500 international response to acidification. A number of other institutions were found to have instruments that could
501 be utilised in responding to rising acidity and its impacts. Including, the UNFCCC that will need to remain the
502 venue for the mitigation of carbon dioxide with regards to ocean acidification as it is the site of international
503 efforts to regulate carbon dioxide as a response to climate change.

504 While this piecemeal approach responding to ocean acidification is perhaps far from ideal it is important to
505 acknowledge that existing institutions are limited to some extent by their mandates in their capacity to initiate a
506 holistic response to ocean acidification. For instance, being a regional agreement the OSPAR Convention is
507 prevented from protecting marine ecosystems globally. The LC&P are limited to governing substances dumped
508 into the ocean and do not have the capacity to regulate land-based emissions of carbon dioxide. Similarly, the
509 CBD, while very broad in scope, is probably limited in its ability to regulate carbon dioxide. Despite the lack of
510 a single institution able to tackle to problem of ocean acidification, this work has found there are a number of
511 institutions capable of taking on different aspects of a response. Together these efforts, if employed, could cover
512 all six policy domains that comprise a comprehensive response to acidification. It is unlikely that an existing
513 institution can or will take on a comprehensive response to ocean acidification. Thus, this piecemeal approach,
514 while far from ideal, offers a pathway forward that is politically feasible and achievable in the short- to medium-
515 term – a critical timeframe with regards to this issue.

516

517



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