

1 **Climate engineering and the ocean: effects on biogeochemistry and primary production**

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7

8 **ABSTRACT**

9 Here we use an Earth System Model with interactive biogeochemistry to project future ocean  
10 biogeochemistry impacts from large-scale deployment of three different radiation  
11 management (RM) climate engineering (also known as geoengineering) methods:  
12 stratospheric aerosol injection (SAI), marine sky brightening (MSB), and cirrus cloud  
13 thinning (CCT). We apply RM such that the change in radiative forcing in the RCP8.5  
14 emission scenario is reduced to the change in radiative forcing in the RCP4.5 scenario. The  
15 resulting global mean sea surface temperatures in the RM experiments are comparable to  
16 those in RCP4.5, but there are regional differences. The forcing from MSB, for example, is  
17 applied over the oceans, so the cooling of the ocean is in some regions stronger for this  
18 method of RM than for the others. Changes in ocean net primary production (NPP) are much  
19 more variable, but SAI and MSB give a global decrease comparable to RCP4.5 (~6% in 2100  
20 relative to 1971-2000), while CCT give a much smaller global decrease of ~3%. Depending  
21 on the RM methods, the spatially inhomogeneous changes in ocean NPP are related to the  
22 simulated spatial change in the NPP drivers (incoming radiation, temperature, availability of  
23 nutrients, and phytoplankton biomass), but mostly dominated by the circulation changes. In  
24 general, the SAI and MSB - induced changes are largest in the low latitudes, while the CCT -

25 induced changes tend to be the weakest of the three. The results of this work underscores the  
26 complexity of climate impacts on NPP, and highlights that changes are driven by an  
27 integrated effect of multiple environmental drivers, which all change in different ways. These  
28 results stress the uncertain changes to ocean productivity in the future and advocates caution  
29 at any deliberate attempt for large-scale perturbation of the Earth system.

30

## 31 **1 INTRODUCTION**

32 Human emissions of carbon dioxide to the atmosphere is unequivocally causing global  
33 warming and climate change (IPCC, 2013). At the 21<sup>st</sup> United Nations Framework  
34 Convention on Climate Change (UNFCCC) Conference of the Parties, it was agreed to limit  
35 the increase in global mean temperature to 2°C above pre-industrial levels and to pursue  
36 efforts to remain below 1.5°C. Reaching this goal will not be possible without radical social  
37 transformation. Solar radiation management (SRM) has been suggested as both a method of  
38 offsetting global warming and to reduce risks associated with climate change, substituting  
39 some degree of mitigation (Teller et al., 2003, Bickel and Lane, 2009), or to buy time to  
40 reduce emissions (Wigley, 2006). Reducing the otherwise large anthropogenic changes in the  
41 marine ecosystem drivers (*e.g.*, temperature, oxygen, and primary production) could also be  
42 beneficial for vulnerable organisms that need more time to migrate or adapt (Henson et al.,  
43 2017). SRM is the idea to increase the amount of solar radiation reflected by Earth in order to  
44 offset changes in the radiation budget due to the increased greenhouse effect from  
45 anthropogenic emissions, *i.e.* a form of climate engineering – or geoengineering.

46 Here we have performed model experiments with stratospheric sulfur aerosol  
47 injections (Crutzen, 2006; Weisenstein et al., 2015), marine sky brightening (Latham, 1990),  
48 and cirrus cloud thinning (Mitchell and Finnegan, 2009) applied individually. Stratospheric  
49 aerosol injections (SAI) would involve creating a layer of reflective particles in the

50 stratosphere to reduce the amount of solar radiation reaching the surface. The most widely  
51 discussed approach to SAI is to release a gaseous sulfate precursor, like SO<sub>2</sub>, which would  
52 oxidize to form sulfuric acid and then condensate to reflective aerosol particles (e.g. Irvine et  
53 al. 2016). Marine sky brightening (MSB) aims to reflect the incoming solar radiation at lower  
54 levels in the atmosphere. Here, the idea is to spray naturally occurring sea salt particles into  
55 low-lying stratiform clouds over the tropical oceans to increase the available cloud  
56 condensation nuclei, thus increasing the concentration of smaller cloud droplet and increase  
57 the reflectivity of the clouds (Latham, 1990). The sea salt aerosols are reflective in themselves  
58 (e.g., Ma et al., 2008), adding to the cooling potential of the method. Cirrus cloud thinning  
59 (CCT) on the other hand, aims to increase the amount of outgoing longwave radiation at the  
60 top of the atmosphere. This is envisioned done by depleting the longwave trapping in high ice  
61 clouds by seeding them with highly potent ice nuclei (e.g., Mitchell and Finnegan, 2009;  
62 Storelvmo et al., 2013). In the absence of naturally occurring ice nuclei, the seeded material  
63 would facilitate freezing at lower supersaturations, enabling the growth of fewer and larger  
64 ice crystals. These would eventually grow so large that they sediment out of the upper  
65 troposphere reducing the lifetime and optical thickness of the cirrus clouds leading to a  
66 cooling effect. Together these three methods are referred to as Radiation Management (RM).

67 As pointed out by Irvine et al. (2017), there are several gaps in the research on the  
68 impact of RM on both global climate and the global environment, especially considering that  
69 only a few modelling studies to date systematically compare multiple RM methods. Aswathy  
70 et al. (2015) and Niemeier et al. (2013) compared stratospheric sulfur aerosol injections to  
71 brightening of marine clouds in terms of the hydrological cycle and extremes in temperatures  
72 and precipitation. Crook et al. (2015) compared the three methods used in this study, but  
73 restricted the study to temperatures and precipitation. This study focuses on the impact on the  
74 ocean carbon cycle, which could feedback to climate (Friedlingstein et al., 2006), and in

75 particular on ocean primary production (NPP), which is known to be temporally and spatially  
76 complex.

77         The effect RM has on the ocean carbon cycle and ocean productivity has been studied  
78 previously, but limited to the use of simple one-dimensional models (Hardman-Mountford et  
79 al., 2013) or with global models but focusing on a single method of RM (Partanen et al.,  
80 2016; Tjiputra et al., 2016, Matthews et al., 2009). Due to the many uncertainties and open  
81 questions associated with RM impacts, a systematic comparative approach is necessary. The  
82 three different methods of RM used in this study are likely to have different effects on both  
83 the climate and the ocean, due to the differences in the type of forcing being applied. A  
84 concern of RM is that it may allow for continued CO<sub>2</sub> emissions in the future without the  
85 accompanied temperature increases and that it does not directly affect the atmospheric CO<sub>2</sub>  
86 concentrations. Ocean acidification, a direct consequence of increased CO<sub>2</sub> concentrations in  
87 the atmosphere, would therefore continue with RM, unless paired with mitigation and / or  
88 carbon dioxide removal (CDR).

89         This manuscript is the first to evaluate and compare the effect and impact of multiple  
90 RM techniques on ocean biogeochemistry using a fully coupled state-of-the-art Earth system  
91 model, and furthermore extends previous studies by looking into impacts introduced by three  
92 different large-scale RM deployment scenarios both during and after deployment periods. It is  
93 also the first study to assess the impacts of cirrus cloud thinning on ocean biogeochemistry.  
94 Our focuses are on impacts on sea surface temperature (SST), oxygen, pH, and NPP, which  
95 are the four climate drivers identified by the Intergovernmental Panel on Climate Change  
96 (IPCC), significantly affecting marine ecosystem structure and functioning. In a wider  
97 perspective, ocean NPP is often used as an indicator for marine food availability, such as  
98 fisheries, so furthering our understanding has direct societal implications and a strong  
99 connection to the United Nations Sustainable Development Goals.

100 The model and experiments are described in detail in Section 2, the impacts on ocean  
101 temperature, oxygen content, the inorganic carbon cycle, and NPP are presented and  
102 discussed in Section 3, in addition to a comparison of our results to previous studies, while  
103 Section 4 summarizes and concludes the study.

104

## 105 **2 METHODS**

### 106 **2.1 Model description**

107 Three RM methods were simulated using the Norwegian Earth System Model  
108 (NorESM1-ME; Bentsen et al., 2013). The NorESM1-ME is a fully coupled climate-carbon  
109 cycle model, which has contributed to the fifth assessment of the IPCC and participated in  
110 numerous Coupled Model Intercomparison Project phase 5 (CMIP5) analyses. For a full  
111 description of the physical and carbon cycle components of the model, the readers are referred  
112 to Bentsen et al. (2013) and Tjiputra et al. (2013), respectively. Here, we only briefly describe  
113 some key processes in the ocean carbon cycle that are relevant for this study.

114 The ocean carbon cycle component of the NorESM1-ME originates from the Hamburg  
115 Oceanic Carbon Cycle Model (HAMOCC; Maier-Reimer et al., 2005). In the upper ocean,  
116 the lower trophic ecosystem is simulated using an NPZD-type (Nutrient-Phytoplankton-  
117 Zooplankton-Detritus) module. The NPP depends on phytoplankton growth and nutrient  
118 availability within the euphotic layer (for some of our calculations assumed to be 100 m). In  
119 addition to multi-nutrient limitation, the phytoplankton growth is light- and temperature-  
120 dependent. The NPP in NorESM1-ME is parameterized using the equations of Six and Maier-  
121 Reimer (1996) (Equation 1).

$$122 \quad G = r(T, L) * \frac{N}{N+N_0} \quad \text{Equation 1}$$

123 Where  $G$  is the growth rate and

$$124 \quad r(T, L) = \frac{f(L)*f(T)}{\sqrt{(f(L)^2+f(T)^2)} \quad \text{Equation 2}$$

125  $N$  is the concentration of the limiting nutrient (either phosphate, nitrate or dissolved iron),  $N_0$   
126 is the half-saturation constant for nutrient uptake,  $f(L)$  is the function determining light-  
127 dependency, and  $f(T)$  is the function for temperature-dependency. Both  $f(L)$  and  $f(T)$  were  
128 defined in Six and Maier-Reimer (1996).

$$129 \quad NPP = G * P \quad \text{Equation 3}$$

130  $NPP$  is the net primary production and  $P$  is the phytoplankton concentration.

131 In addition to the growth through NPP, the phytoplankton has several sink terms due  
132 to mortality, exudation, and zooplankton grazing. All nutrients, plankton, and dissolved  
133 biogeochemical tracers are prognostically advected by the ocean circulation. The model  
134 adopts generic bulk phytoplankton and zooplankton compartments. The detritus is divided  
135 into organic and inorganic materials: particulate organic carbon, biogenic opal, and  
136 calcium carbonate. Organic carbon, once exported out of the euphotic layer, is remineralized  
137 at depth – a process that consumes oxygen in the ocean interior. Non-remineralized particles  
138 reaching the seafloor undergo chemical reactions with sediment pore water, bioturbation, and  
139 vertical advection within the sediment module. The model calculates air-sea CO<sub>2</sub> fluxes as a  
140 function of seawater solubility, gas transfer rate, and the gradient of the gas partial pressure  
141 (pCO<sub>2</sub>) between atmosphere and ocean surface, following Wanninkhof (1992). Prognostic  
142 surface ocean pCO<sub>2</sub> is computed using inorganic seawater carbon chemistry formulation  
143 following the Ocean Carbon-cycle Model Intercomparison Project (OCMIP2).

144 In this study, we made use of ocean NPP simulated by the NorESM1-ME (hereafter  
145 referred to as “online calculations”), as well as calculations using the monthly averaged model

146 outputs (hereafter referred to as “offline calculations”). The offline calculations also made use  
147 of Equations 1-3, same as the model, but unlike in the model (i), the average value over the  
148 top 100 m was used for  $N$ ,  $T$ , and  $P$  alike; (ii)  $L$  was approximated as incident light at surface  
149 attenuated to a constant depth of 50 m; (iii) the monthly mean was used for  $N$ ,  $T$ ,  $L$ , and  $P$ .  
150 The choice of attenuation depth for the light has a small, but not significant, effect on the  
151 results. Averaging the light input over the top 100 m does, however, yield the same results as  
152 using an attenuation depth of 50 m. The offline calculations allowed us to decompose and  
153 identify the dominant drivers for the simulated changes. The decomposition was done by  
154 choosing to keep all but one parameter,  $x$ , constant at a time to quantify the contribution of  $x$   
155 to the total change. Table 1 describes how this was done. The parameters being kept constant  
156 were kept at the long-term (80 year) monthly mean, as calculated from the pre-industrial  
157 model experiment (with constant atmospheric  $\text{CO}_2$  concentrations).

158

## 159 **2.2 Experiment setup**

160 SAI, MSB, and CCT were applied individually to the RCP8.5 (Representative  
161 Concentration Pathway) future scenario (Table 2). The target of the simulations were to  
162 reduce the global mean top of the atmosphere (TOA) radiative flux imbalance of RCP8.5  
163 down to RCP4.5. In each experiment, the forcing is applied over the years 2020 to 2100. To  
164 study the termination effect, the simulations were continued for another 50 years following  
165 the cessation of each RM method. Here, the SAI, MSB, and CCT experiments are analyzed  
166 and compared to the RCP4.5 and RCP8.5 scenarios (Riahi et al., 2011; Thomson et al., 2011)  
167 (Table 2). All simulations were run with interactive biogeochemistry and used prescribed  
168 anthropogenic  $\text{CO}_2$  emissions. The atmospheric  $\text{CO}_2$  concentrations are therefore  
169 prognostically simulated accounting for land-air and sea-air  $\text{CO}_2$  fluxes.

170 As the NorESM1-ME model does not include an interactive aerosol scheme in the  
171 stratosphere, the dataset of Niemeier and Timmreck (2015) was used to implement the SAI.  
172 The stratospheric zonal mean sulfate aerosol extinction, single scattering albedo and  
173 asymmetry factors resulting from SO<sub>2</sub> injections in the tropics were prescribed such that the  
174 prescribed aerosol layer in year 2100 correspond to an SO<sub>2</sub> injection strength of 40 Tg SO<sub>2</sub> yr<sup>-1</sup>  
175 (Muri et al., 2017). The MSB follows the method of Alterskjær et al. (2013), where the  
176 emissions of “accumulation mode” sea salt were increased over the oceans. Here we chose to  
177 apply this to a latitude band of ±45°. The tropospheric aerosol scheme is fully prognostic, thus  
178 allowing for the full interactive cycle with clouds and radiation. As for the CCT, we adopted  
179 the approach of Muri et al. (2014), where the terminal velocity of ice crystals at typical cirrus  
180 forming temperatures of colder than -38 °C is increased. The maximum effective radiative  
181 forcing was found to be limited at about -3.8 W m<sup>-2</sup> for CCT, resulting in a somewhat higher  
182 top of the atmosphere (TOA) radiative flux imbalance in this simulation at 2100 compared to  
183 the other simulations, where an effective radiative forcing of -4.0 W m<sup>-2</sup> in 2100 was reached.

184

## 185 **3 RESULTS AND DISCUSSION**

### 186 **3.1 Global changes in ocean temperature and oxygen concentration**

187 Relative to the 1971-2000 historical period, the ocean oxygen content in the 200-600  
188 m depth interval is projected to decrease by ~6% globally in 2100 in RCP8.5 (Figure 1a). In  
189 RCP4.5 on the other hand, the oxygen inventory in the 200-600 m interval shows only a  
190 minor decrease of 2% by 2100 (Figure 1a). This difference stems partly from lower oxygen  
191 solubility as the ocean warms and partly from changes in ocean stratification and circulation  
192 (not shown). When applying RM to RCP8.5, the oxygen concentration in this depth interval  
193 follows the RCP4.5 development closely for all three RM methods (ranging from 2-2.6%  
194 decrease in 2100 compared to the 1971-2100 average). There are, however, differences



195 between the methods, with SAI yielding slightly larger decreases after 2060 (Figure 1a). After  
196 termination of RM, the rate of oxygen reduction accelerates rapidly for the first ten years,  
197 before stabilizing at a new rate of decrease of similar magnitude to that in RCP8.5. The  
198 projected oxygen reductions do not drop as low as in RCP8.5 after termination of the RM  
199 during our simulation period, but had the simulations been continued for some further  
200 decades, the oxygen levels would most likely have converged to the RCP8.5 levels. In 2150,  
201 RCP8.5 shows a global mean oxygen decrease globally of 9.5%, while the simulations with  
202 terminated RM show a global mean oxygen decrease of 8-8.5% (Figure 1a).

203 In RCP8.5, the global mean SST is projected to increase by  $\sim 2.5$  °C by 2100 relative  
204 to 2010 (Figure 1b), and  $\sim 3$  °C relative to the 1971-2000 average. With RM, the changes in  
205 SST are kept similar to RCP4.5, with an increase ranging from 0.8 to 1.1 °C over the time  
206 period between 2020 (start of RM deployment) and 2100 (end of RM deployment). After  
207 termination, there is a very rapid SST increase in the subsequent decade before the SST  
208 increases more gradually towards that in RCP8.5. Similar to the development in oxygen  
209 content, the absolute change in SST in the model runs with terminated RM is still smaller than  
210 the absolute change in RCP8.5 (Figure 1b) in 2150. This is mainly due to the slow response  
211 time of the ocean, so the SST would eventually converge had the simulations been carried out  
212 for a longer period of time after termination. It should be noted that all methods of RM used  
213 in this study have been implemented to produce the global mean radiative forcing at the end  
214 of the century that is equivalent to offsetting the difference in the anthropogenic radiative  
215 forcing between RCP8.5 and RCP4.5, *i.e.*  $-4$  W m<sup>-2</sup>. This means that the globally averaged sea  
216 surface temperature changes, and changes in large-scale physically driven variables such as  
217 oxygen, are expected to be close to those in RCP4.5. The results presented here imply that  
218 applying RM does not prevent the long-term impacts of climate change, which is also not  
219 expected as long as CO<sub>2</sub> emissions are not simultaneously reduced, but would on average

220 delay them. In the case of oxygen concentrations in the 200-600 m depth interval, the changes  
221 incurred in RCP4.5 as well as when the three different methods of RM are applied, are mostly  
222 not significantly different from the 1971-2000 average (*i.e.* they are smaller than one  
223 standard deviation of the 1971-2000 mean, Figure 2). There are a few exceptions where the  
224 oxygen changes are significant. These regions, however, highlight how differently the RM  
225 methods affect the ocean.

226         The spatial distribution of absolute change in SST in 2071-2100 relative to 1971-2000  
227 is shown in Figure 3b for RCP8.5 and Figure 3c for RCP4.5. The changes are significantly  
228 smaller in RCP4.5, but the spatial variations are the same in RCP8.5 and RCP4.5. When  
229 applying RM, the changes in SST are everywhere smaller than in RCP8.5 at the end of the  
230 century. Similar to thermocline oxygen, the SST changes are altered in some regions, as seen  
231 in the zonally averaged temperature changes (Figure 3a). The SAI method yields the  
232 temperature change most similar to that in RCP4.5, which is also mirrored in the near surface  
233 air temperatures (Muri et al., 2017). MSB yields the SST changes that are most different  
234 compared to RCP4.5. For this method there is a strong bimodal pattern in the SST changes in  
235 the North Pacific (Figure 3e), which is also seen in oxygen (Figure 2e). The tropical and  
236 subtropical changes in SST with MSB are linked to an enhancement of the Pacific Walker  
237 cell, which is induced when MSB is applied, which has been found in previous studies such as  
238 Bala et al. (2011), Alterskjær et al. (2013), Ahlm et al. (2017), Stjern et al. (2017), and Muri  
239 et al. (2017).

240         Regardless of the RM method, some regions, in particular the northwestern Pacific,  
241 will still experience levels of warming (cooling) and oxygen loss (gain) exceeding those in  
242 RCP4.5. With SAI, the North American west coast, an important region for aquaculture, will,  
243 for example, experience enhanced deoxygenation, which is not projected to happen in  
244 RCP4.5. The large spatial heterogeneity in how RM affects ocean temperatures and oxygen

245 concentrations highlights that RM can still lead to similar, albeit weaker, detrimental  
246 conditions regionally even if beneficial in the global mean.

247

### 248 **3.2 Global changes in the inorganic ocean carbon cycle**

249 The atmospheric CO<sub>2</sub> concentration continues to rise in all experiments in which RM  
250 is applied at similar rate as in RCP8.5 (Figure 4a), given no simultaneous mitigation efforts in  
251 these cases. The atmospheric CO<sub>2</sub> concentration in 2100 in RCP8.5 is 1109 ppm and in 2150  
252 it is 1651 ppm. In 2100 there is a minor reduction in CO<sub>2</sub> concentrations when RM is applied  
253 of 13 -21 ppm compared to RCP8.5, depending on method. MSB gives the largest decrease in  
254 atmospheric CO<sub>2</sub>. The termination of RM does not significantly affect the atmospheric CO<sub>2</sub>  
255 evolution and in 2150 there is a marginal reduction of -15 to -26 ppm depending on method,  
256 again with MSB giving the largest reduction. The reductions in atmospheric CO<sub>2</sub>  
257 concentrations when applying RM are due to the decreasing ocean temperatures leading to  
258 larger air-sea flux of CO<sub>2</sub> (Figure 4b). Note that the land carbon sinks also increase slightly  
259 when RM is applied (Tjiputra et al., 2016, Muri et al., 2017). The lower CO<sub>2</sub> concentration  
260 with MSB is due to the forcing from MSB being applied over the oceans, and the cooling of  
261 the ocean in many regions thus being stronger for this method of RM (Figure 3e).

262 While RM leads to a small increase in global mean oceanic CO<sub>2</sub> uptake from the  
263 atmosphere, due to increased solubility, the difference introduced by each method is not  
264 outside of the interannual variability of RCP8.5 up to 2075. By 2100, the different RM  
265 methods give an additional CO<sub>2</sub> uptake of ~0.5 PgC yr<sup>-1</sup>. After termination, the uptake  
266 anomaly quickly drops and returns to the same level as RCP8.5 within only two years. Future  
267 surface ocean pH is forced by the increasing atmospheric CO<sub>2</sub> concentrations, which drive the  
268 uptake of CO<sub>2</sub> in the surface ocean. Thus RM could possibly worsen future ocean

269 acidification, unless atmospheric CO<sub>2</sub> concentrations are dealt with. However, given the small  
270 changes in both atmospheric concentrations and ocean uptake stemming from RM, the surface  
271 pH is not greatly affected by RM (Figure 4c). Hence, termination does not considerably affect  
272 the pH decrease on the surface ocean.

273 Anthropogenic changes in the ocean inorganic carbon content comes from the top  
274 down, so it takes a long time for these changes to be observable in the deep ocean. Therefore,  
275 the globally averaged deep ocean (>2000 m) pH changes by only 0.06 pH units between 2010  
276 and 2150 in RCP8.5 (Figure 4d). The only region where pH changes significantly in the deep  
277 ocean is the North Atlantic north of 30°N, where the strong overturning circulation brings  
278 anthropogenic carbon to great depths in a relatively short timeframe. Here there is a  
279 significant decrease in deep ocean pH between 2010 and 2150 in RCP8.5, as well as the three  
280 RM cases (Figure 4e). In RCP8.5, the pH is projected to decrease by ~0.2 pH unit in 2100.  
281 RM leads to an additional acidification of 0.02-0.045 (depending on the method of RM) in the  
282 deep North Atlantic Ocean, which is large enough to marginally, but not significantly, affect  
283 the global average (Figure 4d). A similar result was found by Tjiputra et al. (2016). After  
284 termination of RM, the pH keeps decreasing – now at a rate comparable to RCP8.5. This  
285 change in rate of decrease after termination happens within ~10 years, indicating that the  
286 changes in the inorganic carbon cycle are very quick in the North Atlantic. Both the rapid  
287 decrease of deep ocean pH in this region and the rapid recovery towards RCP8.5 development  
288 after termination of RM, are likely linked to changes in the Atlantic Meridional Overturning  
289 Circulation due to climate change and RM (not shown, see Muri et al., 2017). While the  
290 global mean pH below 2000m in RM experiments rebound to that of the RCP8.5, this is not  
291 the case for the North Atlantic. In the latter, all RM methods lead to and remain at lower pH  
292 than the RCP8.5 by 2150. It is possible that the deep pH in the North Atlantic would recover  
293 to that in RCP8.5 had the simulations been continued for another few decades.

294

### 295 **3.3 Global changes in ocean NPP**

296         The direct effects of RM on surface shortwave radiation and temperature directly  
297 affect photosynthesis through the light and temperature dependence of the phytoplankton  
298 growth rate. The ocean productivity, and by extension ocean biological carbon pump, is thus  
299 indirectly affected by RM. There is a lot of interannual variability in the NPP changes hence  
300 Figure 5 shows the 5-year running averages of relative changes to the 1971-2000 average. In  
301 RCP8.5, there is a decrease in global NPP of ~10% by 2100 (Figure 5), which is within the  
302 range of the decrease projected by CMIP5 models of  $-8.6 \pm 7.9\%$  (Bopp et al., 2013) and  
303 mainly due to the overall warming leading to a more stratified ocean where there are less  
304 nutrients available in the euphotic zone. All RM methods also exhibit decreases in ocean  
305 NPP, but the decrease is never as strong as that in RCP8.5. The shortwave-based methods,  
306 *i.e.*, SAI and MSB, which reduce the amount of downward solar radiation at the surface, have  
307 the largest decreases (~6% in 2100) of the RM methods, which is a stronger decrease than in  
308 RCP4.5. The longwave-based CCT method, however, yields only a minor decrease of ~3% in  
309 2100, *i.e.* less than in RCP4.5. As the cirrus clouds are thinned or removed, more sunlight  
310 reaches the surface ocean, thus promoting and increasing NPP above the RCP4.5 levels.

311         The fact that CCT shows a significant global increase in ocean NPP relative to RCP8.5  
312 and even an increase relative to RCP4.5 is a very interesting result of this study. It suggests  
313 that when considering the global ocean NPP changes alone, implementation of CCT may  
314 offer the least negative impact of the three tested methods. The side effect, however, is that if  
315 terminated suddenly at a large-scale deployment with no simultaneous mitigation or CDR  
316 efforts, the CCT method would lead to the most drastic change in NPP over very short period.  
317 The divergence between methods is particularly strong in the period 2070-2100, as the  
318 radiative forcing by RM approaches  $-4 \text{ Wm}^{-2}$ . After termination, it takes less than five years

319 for the ocean NPP to return to RCP8.5 levels again. This is consistent with the rapid warming  
320 seen after termination (Figure 1b), and is driven by the fast atmospheric response to the  
321 termination.

322 On average there are some interesting spatial features in how NPP changes. Figure 6a  
323 shows the zonally averaged difference between 2071-2100 and 1971-2000. In the Northern  
324 Hemisphere, NPP decreases everywhere, and decreases less in RCP4.5 and with RM than in  
325 RCP8.5. In the Southern Hemisphere, on the other hand, the changes in NPP are much more  
326 spatially variable, and the response to the different methods of RM is more variable. Between  
327 the Equator and 40°S there is a reduction in NPP in 2071-2100 relative to 1971-2000, while  
328 south of 40° there is generally an increase (except in a narrow band at 60°S). In the Southern  
329 Hemisphere the impact of CCT is quite different from the impact of SAI and MSB. This is  
330 probably due to the change in radiative balance, which is much stronger for CCT in the  
331 southern high latitudes than for the other methods (not shown, see Muri et al., 2017). Because  
332 of the large spatial and inter-annual variability, the changes incurred to ocean NPP in the  
333 future are frequently not significantly different from the 1971-2000 average (*i.e.* the absolute  
334 change is smaller than one standard deviation of the 1971-2000 mean, Figure 6b-f). This  
335 means that when RM is applied, the ocean NPP does not change in most of the ocean.  
336 However, it is clear that the changes in NPP in 2071-2100 relative to 1971-2000 are smaller  
337 in RCP4.5 than in RCP8.5 (Figures 6b and 6c), and that the spatial variations in all  
338 experiments mainly come from the nutrient availability (not shown), which is furthermore  
339 dependent on ocean stratification. There are also some regions of significant change in ocean  
340 NPP, which are discussed further in Section 3.5.

341

### 342 **3.4 Drivers of global changes in ocean NPP**

343 To further evaluate how RM affects ocean NPP, we have made offline calculations  
344 using Equations 1-3. From the NorESM1-ME model outputs we used the monthly mean  
345 nitrate, phosphate, iron, and phytoplankton concentration over the top 100 m, average  
346 temperature in the top 100 m, and shortwave radiation input attenuated to 50 m depth. The  
347 resulting offline NPP is therefore an approximation of the NPP in the top 100 m of the ocean.  
348 The offline global average is 75% of the full water column NPP inventory as simulated by the  
349 model, and spatially the offline calculated NPP is larger than the model output in oligotrophic  
350 regions and smaller than the model output in coastal and upwelling regions as expected (not  
351 shown). In addition, the temporal rate of change is somewhat smaller for the offline calculated  
352 NPP (not shown). Note that the following results and discussion concerns only the offline  
353 NPP calculations and therefore only the top 100 m of the ocean. The offline calculation shows  
354 that in the top 100 m only CCT significantly changes  $NPP_{total}$  compared to RCP8.5. In fact,  
355 CCT results in an increased productivity by 2100 (Figure 7a) in the offline calculation, which  
356 is linked to the increase in the incoming solar radiation in some regions, since the shortwave  
357 reflection from ice clouds is reduced. After termination of CCT, the  $NPP_{total}$  drops to the same  
358 level as RCP8.5 within two years. The RCP4.5 scenario yields little change by 2100.

359 Warmer temperatures increase growth rates. Thus when only temperature is allowed to  
360 change,  $NPP_{temp}$  increases in the offline calculation (Figure 7b), as temperature increases in  
361 all scenarios considered here (Figure 1b), even though less in simulations with RM than  
362 RCP8.5. All methods of RM yield an increase in  $NPP_{temp}$  of ~1% from 2020 to 2100,  
363 comparable to RCP4.5. This is consistent with SST being comparable between RCP4.5 and  
364 RM (Figure 1b). After termination,  $NPP_{temp}$  increases rapidly for the first five years, before  
365 stabilizing with the same rate of change as that in RCP8.5. Just like SST (Figure 1b), the

366 absolute change in  $NPP_{temp}$  does not quite recover to the same absolute level as that in  
367 RCP8.5, but all simulations show an increase in  $NPP_{temp}$  of ~3% by 2150.

368 Reduced shortwave radiation at the surface decreases growth rates and thus lead to  
369 decreased NPP. In RCP4.5 and RCP8.5, light constraints do not change much, hence when  
370 using the output from these experiments and only shortwave radiation changes in the offline  
371 calculation,  $NPP_{light}$  does not considerably change (Figure 7c). Both SAI and MSB decrease  
372 the amount of global mean direct shortwave radiation at the surface, however, which  
373 negatively affect the phytoplankton growth rate and  $NPP_{light}$  in the ocean (Figure 7c). The  
374 result is therefore a decrease in  $NPP_{light}$  of ~2% by 2100 for SAI and MSB (Figure 7c). When  
375 reducing the optical thickness and the lifetime of the cirrus clouds in the model, the shortwave  
376 reflection by these clouds is reduced, allowing more shortwave radiation to reach the surface  
377 and increasing the growth rate. CCT thus results in an increase in  $NPP_{light}$  of ~2% by 2100  
378 (Figure 7c). It is this increase in available shortwave radiation that causes the majority of the  
379 increase in ocean productivity with CCT, with some contribution from the elevated  
380 temperatures (Figure 7b). Within two years of the termination of RM, the  $NPP_{light}$  has  
381 completely returned to the baseline conditions.

382 There cannot be any growth of phytoplankton without nutrients. However, changes in  
383 the concentration of the limiting nutrient (either phosphate, nitrate, or dissolved iron) has a  
384 small effect on the growth rate (not shown). NPP is the product of growth rate and  
385 phytoplankton concentration (Equation 2), but phytoplankton concentration is also a function  
386 of growth rate, as well as grazing, aggregation, and mortality. In the model, the time step is  
387 small and the relationships are fully dynamic within the NPZD framework. However, since  
388 we use monthly model output in the offline calculation, the phytoplankton concentration is  
389 not independent of either the nutrient availability or the growth rate. Therefore we look at the  
390 residual  $NPP_{residual}$  ( $NPP_{total} - NPP_{temp} - NPP_{light}$ ). This residual approximates the integrated



391 circulation-induced changes in phytoplankton concentration and the concentration of the  
392 limiting nutrient. The latter is an important limiting factor for NPP, especially in the low  
393 latitude regions, and is largely influenced by circulation changes. Figure 7d shows that  
394  $NPP_{\text{residual}}$  dominates over the growth rate in determining changes in ocean NPP. Overall,  
395  $NPP_{\text{residual}}$  accounts for a decrease of ~8% by 2100 in RCP8.5. The SAI and MSB methods of  
396 RM also exhibit a change in  $NPP_{\text{residual}}$ , but the change of ~5% is less than that in RCP8.5.  
397 With CCT there is no significant change in  $NPP_{\text{residual}}$  by 2100 relative to 1971-2000. After  
398 termination,  $NPP_{\text{residual}}$  decreases rapidly and after 4-5 years it continues changing at a rate  
399 comparable to that in RCP8.5, reaching a global mean reduction of greater than -10% in 2150.

400

### 401 **3.5 Regional changes in ocean NPP**

402 As seen in Figure 6, the projected changes in ocean NPP exhibit large spatial variation.  
403 These spatial patterns are comparable to the NPP calculated offline (Figure 8). Applying RM  
404 does not change the large-scale spatial heterogeneity, but rather works to enhance or weaken  
405 the change magnitude (Figures 6 and 8). These regional differences are important, since  
406 regional changes are much more important than global changes when determining the impact  
407 ocean NPP has on human food security (Mora et al., 2013). For a more detailed analysis, five  
408 regions have been identified and analyzed using the offline calculations of NPP and its  
409 drivers. These regions are chosen based on:

- 410 (i) a significant change, i.e. outside of  $\pm 1$  standard deviation, in NPP in RCP8.5 in years  
411 2071-2100 relative to 1971-2000;
- 412 (ii) the sign of the change in ocean NPP projected by NorESM1-ME being consistent  
413 with that of the CMIP5 models ensemble mean (Bopp et al., 2013; Mora et al., 2013);

- 414 (iii) the impact the different methods of RM has on this increase or decrease in the online  
415 simulations; and  
416 (iv) their relative importance for fish catches, as identified in Zeller et al. (2016).

417 The regions are outlined in black in Figure 6b, and labeled the Equatorial Pacific,  
418 Equatorial Atlantic, Southern Atlantic, Indian Ocean, and Sea of Okhotsk in Figure 9. In  
419 RCP8.5, the Sea of Okhotsk and Southern Atlantic exhibit a significant increase in NPP in  
420 2071-2100 relatively to 1971-2000, while the Equatorial Pacific, Indian Ocean, and  
421 Equatorial Atlantic show a significant weakening (Figure 9).

422 The IPCC's Assessment Report 5 (AR5) states that, due to lack of consistent  
423 observations, it remains uncertain how the future changes in marine ecosystem drivers (like  
424 productivity, acidification, and oxygen concentrations) will alter the higher trophic levels  
425 (Pörtner et al., 2014). Given the lack of complexity and lack of higher trophic level organisms  
426 in the NorESM1-ME, we are unable to directly link changes in NPP to impacts on the higher  
427 trophic levels in this study. It therefore cannot be assumed from our results that increased NPP  
428 will lead to increased fish stocks and thus potential for higher fish catches, because the  
429 driving factors leading to higher NPP (*i.e.* temperature, light availability, and stratification)  
430 could also lead to biodiversity changes. Given the changes in Arctic biodiversity observed  
431 today due to temperature changes (*e.g.* Bucholz et al., 2012; Fossheim et al., 2015), respective  
432 changes in migration pattern would be likely to happen also with RM. Nevertheless, higher  
433 NPP does lead to more food for higher trophic level organisms; therefore a significant  
434 decrease in regional NPP could decrease higher trophic organisms due to less food availability  
435 in those regions. Based on the model projections, it is possible that there will be less fish  
436 catches in the Indian Ocean and Equatorial Atlantic in the future than today. The different  
437 methods of RM also lead to different effects on ocean NPP (Figures 6 and 9). Only in the

438 Equatorial Atlantic, and in the shaded regions where there are no significant changes, do all  
439 three methods give changes in NPP comparable to those in RCP4.5.

440 In the Equatorial Pacific, RCP8.5 leads to a decrease in ocean NPP of -21% in 2071-  
441 2100 relative to 1971-2000, driven by circulation - induced changes in phytoplankton  
442 concentration and nutrient availability. Circulation - induced changes dominates the change of  
443 -12% in RCP4.5 too. This region is today a very productive fishery area (Zeller et al., 2016),  
444 so a significant decrease in NPP could have adverse effects on fish catches. It is therefore  
445 noteworthy that all RM methods yield NPP changes only marginally smaller than those in  
446 RCP8.5, and not nearly as small as those in RCP4.5. When RM is applied, shortwave  
447 radiation changes at the surface become more important in driving NPP changes than they are  
448 in RCP8.5 and RCP4.5, which is consistent with changes in cloud fraction (not shown, see  
449 Muri et al., 2017). With CCT, the radiation changes yield an increase in NPP of 5%,  
450 indicating that this is one of the regions that drive the global mean increase in NPP (Figure  
451 7a). After termination, the change in NPP is comparable to that in RCP8.5 in all experiments,  
452 and the warming results in a small increase in NPP of ~2% (Figure 7b).

453 The Southern Atlantic has the largest changes in 2071-2100 relative to 1971-2000,  
454 where RCP8.5 results in an increase in ocean NPP of 39% and RCP4.5 leads to an increase of  
455 25%. SAI leads to changes in NPP comparable to that in RCP8.5, while MSB and CCT yield  
456 changes more in line with RCP4.5. For all experiments, the circulation-induced changes are  
457 the dominant factor. Changes in temperatures contribute ~5% to the total change, which is  
458 consistent with a significant warming in all experiments (Figure 3). This alleviates the  
459 temperature limitation of the growth rate, which is consistent with the other CMIP5 models  
460 (Bopp et al., 2013). After termination, the increase continues in the Southern Atlantic, and in  
461 2121-2150 the changes in NPP are 60-70% higher than in 1971-2000 in all experiments.

462 As in all other regions, in the Sea of Okhotsk, the circulation-induced changes  
463 dominate. SAI and MSB both yield changes comparable to that in RCP4.5, while CCT, on the  
464 other hand, is comparable to RCP8.5. In all experiments, temperature changes are an  
465 important driver of the overall increases in NPP, consistent with the strong warming in this  
466 region (Figure 3). After termination, all experiments yield comparable increases in NPP, with  
467 a very strong contribution from the temperature changes.

468 In the Equatorial Atlantic, there is a reduction of ocean NPP in RCP8.5 of -19% in  
469 2071-2100 relative to 1971-2000. Circulation-induced changes dominate this change, with a  
470 minor negative contribution of <5% from radiation changes. All methods of RM yield  
471 changes in ocean NPP more in line with that in RCP4.5 (-11%), but changes in radiation are  
472 more important with SAI and MSB. After termination, all experiments result in the same  
473 decrease in ocean NPP of -25%.

474 In the Indian Ocean, there is also a reduction of ocean NPP in RCP8.5. Here the total  
475 change in 2071-2100 is -21%, but unlike in any other regions the temperature-induced  
476 changes lead to only a small increase of 1-2% in all experiments. This is consistent with parts  
477 of this region experiencing only a small increase in SST (Figure 3). Both SAI and MSB yield  
478 changes in NPP comparable to that in RCP8.5 (-19% and -18% respectively), but where  
479 changes in radiation contribute ~-2% to the total reduction. There is, however, no  
480 corresponding change in cloud cover (see Muri et al., 2017) to explain the apparent  
481 importance of radiation changes in this region. The Indian Ocean is also one of the regions  
482 where CCT is able to sustain (i.e., induce least changes in) the contemporary NPP. After  
483 termination, the ocean NPP continues to decrease and is in 2121-2150 30% lower than in  
484 1971-2000 in all experiments.

485

### 486 **3.6 Comparison with previous studies**

487           Very few other studies have been published on the impact on ocean biogeochemistry  
488 due to RM. One such study is by Hardman-Mountford et al. (2013), which used a one-  
489 dimensional water column model to study the effect of reduced light availability on  
490 phytoplankton growth. Their results imply that even a significant reduction (90%) of solar  
491 radiation barely affects total column biological productivity, but can alter considerably  
492 vertical distribution of productivity. However, their study did not consider how other  
493 processes, such as local cooling or horizontal transport of nutrients, would affect the marine  
494 ecosystems, and their simplistic model setup was also unable to capture broader effects on the  
495 ocean carbon cycle. The magnitude of regional changes in NPP found in this study differs  
496 from the results of Hardman-Mountford et al. (2013), but the NPP changes seen in the  
497 oligotrophic gyres are very small and not statistically significant. Given the very large  
498 differences in method, no in depth comparison of this study and Hardman-Mountford et al.  
499 (2013) has been undertaken. Two other recent studies, which are both more comparable to  
500 this one, are Tjiputra et al. (2016) and Partanen et al. (2016). Tjiputra et al. (2016), who used  
501 the same model as in this study, identified changes in ocean NPP and export production in a  
502 simulation with SAI. The implementation of SAI is different here, both in methodology  
503 somewhat and magnitude of forcing, but the spatial pattern and sign of surface climate  
504 response and the overall impact on global ocean NPP are broadly consistent. Nevertheless,  
505 our study provides a more extended and in-depth analysis based on different RM methods as  
506 well as identifies dominant drivers of changes in NPP in key ocean regions. Partanen et al.  
507 (2016), on the other hand, analyzed the effects on ocean NPP from marine cloud brightening  
508 (MCB) only. Overall, the effects in this study and that of Partanen et al. (2016) are quite  
509 different. Spatially, Partanen et al. (2016) sees a very strong correlation between the regions  
510 where the cloud brightening forcing was applied and the regions of strongest NPP change,

511 which is not apparent in this study. Temporally, the change in NPP in Partanen et al. (2016)  
512 comes in form of a relatively rapid decrease over the first ten years, when the cloud  
513 brightening forcing is applied, while in this study the change is more even throughout the  
514 period of MSB forcing. This is likely due to the several noteworthy differences between their  
515 method and the one used here:

- 516 (i) Partanen et al. (2016) uses the UVic ESCM model, an Earth system model of  
517 intermediate complexity (EMIC), while here we use the fully coupled NorESM1-ME  
518 Earth system model;
- 519 (ii) Here, we increase oceanic sea salt emissions over  $\pm 45^\circ$  latitude not only brightening  
520 the marine stratocumulus decks, but also reflecting more shortwave radiation with the  
521 increased in bright aerosols through the direct effect. Partanen et al. (2016), on the  
522 other hand, prescribe changes in radiation over three marine stratocumulus areas  
523 inferred from model output from Partanen et al. (2012).
- 524 (iii) The RM forcing applied by Partanen et al. (2016) is  $-1 \text{ Wm}^{-2}$  annually, while here it is  
525 ramped up to  $-4 \text{ Wm}^{-2}$  in 2100;
- 526 (iv) Partanen et al. (2016) applies RM to RCP4.5, while here we apply RM to RCP8.5;
- 527 (v) Partanen et al. (2016) applies RM for 20 years before termination, while here we  
528 apply RM for 80 year before termination, which, combined with the higher forcing,  
529 means that the Earth system takes longer to recover in this study than in the Partanen  
530 et al. (2016) study.

531 The biggest and most important of these differences is that Partanen et al. (2016) use  
532 an EMIC, while we use an ESM with the forcing applied over a much larger area. NorESM1-  
533 ME has a fully interactive tropospheric aerosol scheme, accounting for both the direct and the  
534 indirect effects of the aerosols, which is of key importance when evaluating the impact of  
535 changes in shortwave radiation reaching the surface from changes to clouds. Partanen et al.

536 (2016) take their forcing from Partanen et al. (2012), which use an atmosphere-only version  
537 of their model and hence neglect important feedbacks, including SST and ocean feedbacks.  
538 Partanen et al. (2016) furthermore prescribe their forcing in terms of changes to the radiation,  
539 and hence miss out on further feedbacks with their one layered atmosphere with prescribed  
540 circulation, processes that are much more comprehensively represented in our fully coupled  
541 Earth system model. MSB may, *e.g.*, lead to an increased sinking of air over the oceans and  
542 hence a reduction in cloud cover, as seen in both Ahlm et al. (2017), Stjern et al. (2017) and  
543 Muri et al. (2017). The ecosystem module in NorESM1-ME is not substantially more  
544 complex than that of the UViC ESCM model, but differences could arise due to better  
545 representation of the ocean physical circulation (owing to higher spatial resolution) and air-  
546 sea interactions. Partanen et al. (2016) identify a decrease in global mean ocean NPP relative  
547 to their reference case (RCP4.5), while in our MSB simulation we simulate an increase in  
548 ocean NPP relative to our reference case (RCP8.5). This likely impacts the differences in  
549 results since the global mean and rate of change of ecosystem drivers in RCP4.5 are smaller  
550 than RCP8.5 (Henson et al., 2017). These methodological differences and the large  
551 differences in the spatial impact can partly be explained by the differences in the applied RM  
552 forcing and method, but is mostly explained by the fundamental differences between the  
553 models. Another important difference between Partanen et al. (2016) and this study, is the  
554 timing of termination, since this is a very important aspect of all climate engineering studies.  
555 Partanen et al. (2016) applies RM for 20 years before termination, while we apply RM for 80  
556 years before termination. This means that in our study the impact on temperature and ocean  
557 circulation is greater than in the Partanen et al. (2016) study, as the slow climate feedbacks  
558 are allowed to pan out. This could explain the differences in termination effect between the  
559 studies, where the NPP fully recovers and exceeds that in RCP4.5 in the Partanen et al. (2016)  
560 study, but remain within the variability of RCP8.5 here. The larger magnitude of the forcing

561 applied in our simulations ( $-4 \text{ Wm}^{-2}$  in 2100) also means that it takes much longer for the  
562 climate system to recover back to the RCP8.5 state.

563

#### 564 **4 CONCLUSIONS**

565 In this study, we use the Norwegian Earth System Model with fully interactive carbon  
566 cycle to assess the impact of three radiation management climate engineering (RM) methods  
567 on marine biogeochemistry. The model simulations indicate that RM may reduce  
568 perturbations in SST and thermocline oxygen driven by anthropogenic climate change, but  
569 that large changes in NPP remain and are even intensified in some regions. It must be noted,  
570 that we use only one model, and that such models are known to have large spread in their  
571 projections of future ocean NPP (*e.g.* Bopp et al., 2013). However, this single-model study  
572 does show some clear tendencies:

- 573 (i) A clear mitigation of the global mean decrease in ocean NPP from 10% in 2100 in  
574 RCP8.5 and ~5% in RCP4.5 to somewhere between 3% and 6%, depending on the  
575 method of RM.
- 576 (ii) Strong regional variations in the changes, and what primarily drives the changes, in  
577 ocean NPP. The different methods of RM do not have the same effects in the same  
578 regions, even though SAI and MSB yield similar global averages.
- 579 (iii) Spatially MSB yields the largest changes relative to RCP4.5, which is consistent with  
580 MSB being applied over the ocean and therefore likely affects the ocean more  
581 strongly than the other methods.

582 The effect of future climate change on ocean NPP is uncertain, and is driven by an  
583 integrated change in physical factors, such as temperature, radiation, and ocean mixing.  
584 Additionally, changes in ocean oxygen concentrations and ocean acidification are likely to



585 affect ocean NPP. It is noteworthy that with RM, the way the scenario is designed in this  
586 study, anthropogenic CO<sub>2</sub> emissions are not curbed, so ocean acidification would continue.  
587 The results presented in this study show that future changes to ocean NPP would likely be  
588 negative on average, but exhibit great variation both temporally and spatially, regardless of  
589 whether or not RM is applied.

590 This study also show that for the first five to ten years after a sudden termination of  
591 large-scale RM with no mitigation or CDR efforts, the SST, oxygen, surface pH, and NPP all  
592 experience changes that are significantly larger than those projected without RM  
593 implementation or mitigation. While there is still large uncertainty in how marine habitats  
594 respond to such rapid changes, it is certain than they will have less time to adapt or migrate to  
595 a more suitable location and potentially have higher likelihood to face extinction, if RM was  
596 suddenly halted during large-scale deployment and with no mitigation.

597 The results of this work does nothing to diminish the complexity of climate impacts on  
598 NPP, but rather highlights that any change in ocean NPP is driven by a combination of several  
599 variables, which all change in different ways in the future, and subsequently are affected  
600 differently when RM is applied. The importance of ocean NPP for human societies, however,  
601 lies in its impact on food security in general and fisheries in particular, for which regional  
602 changes are much more important than global changes (Mora et al., 2013).

603

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611

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759

## 760 FIGURES AND TABLES

761 **Figure 1.** Time series of global average change in (a) oxygen content at 200-600m depth (%), and (b) SST (°C).  
762 The oxygen change is relative to the 1971-2000 average in the historical run.

763  
764 **Figure 2.** The absolute change in oxygen concentration (200-600m) in 2071-2100 relative to 1971-2000 (in  
765 moles O<sub>2</sub> m<sup>-2</sup>). Panel (a) shows zonally averaged (in 2° latitude bands) change for all simulations. Global maps  
766 of (b) RCP8.5, (c) RCP4.5, (d) RCP8.5 with SAI, (e) RCP8.5 with MSB, (f) RCP8.5 with CCT. Gray shading in b)-f)  
767 indicates areas where the change is not significantly different from the 1971-2000 average (*i.e.* within one  
768 standard deviation of the 1971-2000 mean).

769  
770 **Figure 3.** The absolute change in sea surface temperature (SST) in 2071-2100 relative to 1971-2000 (in °C).  
771 Panel (a) shows zonally averaged (in 2° latitude bands) change for all simulations. Global maps of (b) RCP8.5,  
772 (c) RCP4.5, (d) RCP8.5 with SAI, (e) RCP8.5 with MSB, (f) RCP8.5 with CCT. Gray shading in b)-f) indicates  
773 areas where the change is not significantly different from the 1971-2000 average (*i.e.* within one standard  
774 deviation of the 1971-2000 mean).

775  
776 **Figure 4.** Time series of global average change in (a) atmospheric CO<sub>2</sub> (ppm), (b) air-sea CO<sub>2</sub> flux (PgC yr<sup>-1</sup>), (c)  
777 global surface ocean pH, (d) global deep ocean (>2000 m) pH, and (e) deep (>2000 m) North Atlantic Ocean  
778 (north of 30°N) pH.

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780 **Figure 5.** Time series of changes global ocean NPP (%). The NPP change is relative to the 1971-2000 average  
781 in the historical run.

782  
783 **Figure 6.** The percent changes in NPP in 2071-2100 relative to the 1971-2000 average in the historical run. (a)  
784 Zonally averaged (in 2° latitude bands) change for all simulations. (b) RCP8.5, (c) RCP4.5, (d) RCP8.5 with SAI,  
785 (e) RCP8.5 with MSB, (f) RCP8.5 with CCT. Gray shading in b)-f) indicates areas where the change is not  
786 significantly different from the 1971-2000 average (*i.e.* within one standard deviation of the 1971-2000  
787 mean). The outlined areas in panel (b) indicate regions plotted in Figure 10.

788  
789 **Figure 7.** Time series of the 5-year running mean of globally averaged NPP (%) calculated offline using  
790 Equations 1-3, plotted as the percent change relative to the 1971-2000 average in the historical run. The  
791 residual (NPP<sub>total</sub> – NPP<sub>temp</sub> – NPP<sub>light</sub>) represents the circulation-induced changes. Note the different scales on  
792 the y-axes. See Table 1 for an explanation of the different calculations shown.

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794 **Figure 8.** The percent change in the offline calculated NPP in 2071-2100 relative to the 1971-2000 average in  
795 the historical run. (a) Zonally averaged (in 2° latitude bands) change for all simulations. (b) RCP8.5, (c)  
796 RCP4.5, (d) RCP8.5 with SAI, (e) RCP8.5 with MSB, (f) RCP8.5 with CCT. Gray shading in b)-f) indicates areas  
797 where the change is not significantly different from the 1971-2000 average (*i.e.* within one standard  
798 deviation of the 1971-2000 mean). The outlined areas in panel (b) indicate regions plotted in Figure 9.

799  
800 **Figure 9.** Offline calculated NPP change (%) in five different regions (as indicated on Figure 6b) for RCP4.5,  
801 RCP8.5, and RCP8.5 with three different RM methods. The residual (NPP<sub>total</sub> – NPP<sub>temp</sub> – NPP<sub>light</sub>) represents  
802 the circulation-induced changes.

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**Table 1. Description of the offline calculations of ocean NPP and primary drivers using Equations 1-3. T is the average temperature in the top 100 m, L is shortwave radiation attenuated to 50 m depth, N is the concentration of the limiting nutrient (either nitrate, phosphate, or dissolved iron) in the top 100 m, and P is the concentration of phytoplankton cells in the top 100 m.  $\bar{X}$  denotes the long-term (80 year) mean of the given variable.**

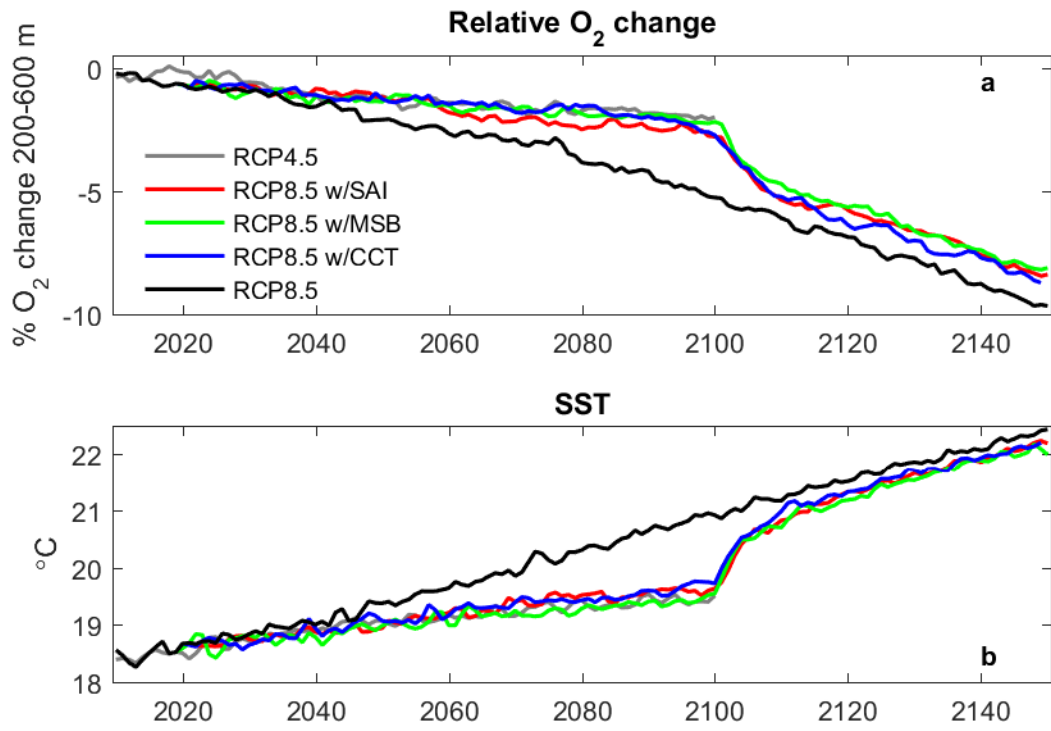
Calculation	
NPP <sub>total</sub> Everything changes	T, L, N, P
NPP <sub>temp</sub> Only temperature changes	T, $\bar{L}$ , $\bar{N}$ , $\bar{P}$
NPP <sub>light</sub> Only shortwave radiation changes	L, $\bar{T}$ , $\bar{N}$ , $\bar{P}$
NPP <sub>residual</sub>	NPP <sub>total</sub> – NPP <sub>temp</sub> – NPP <sub>light</sub>

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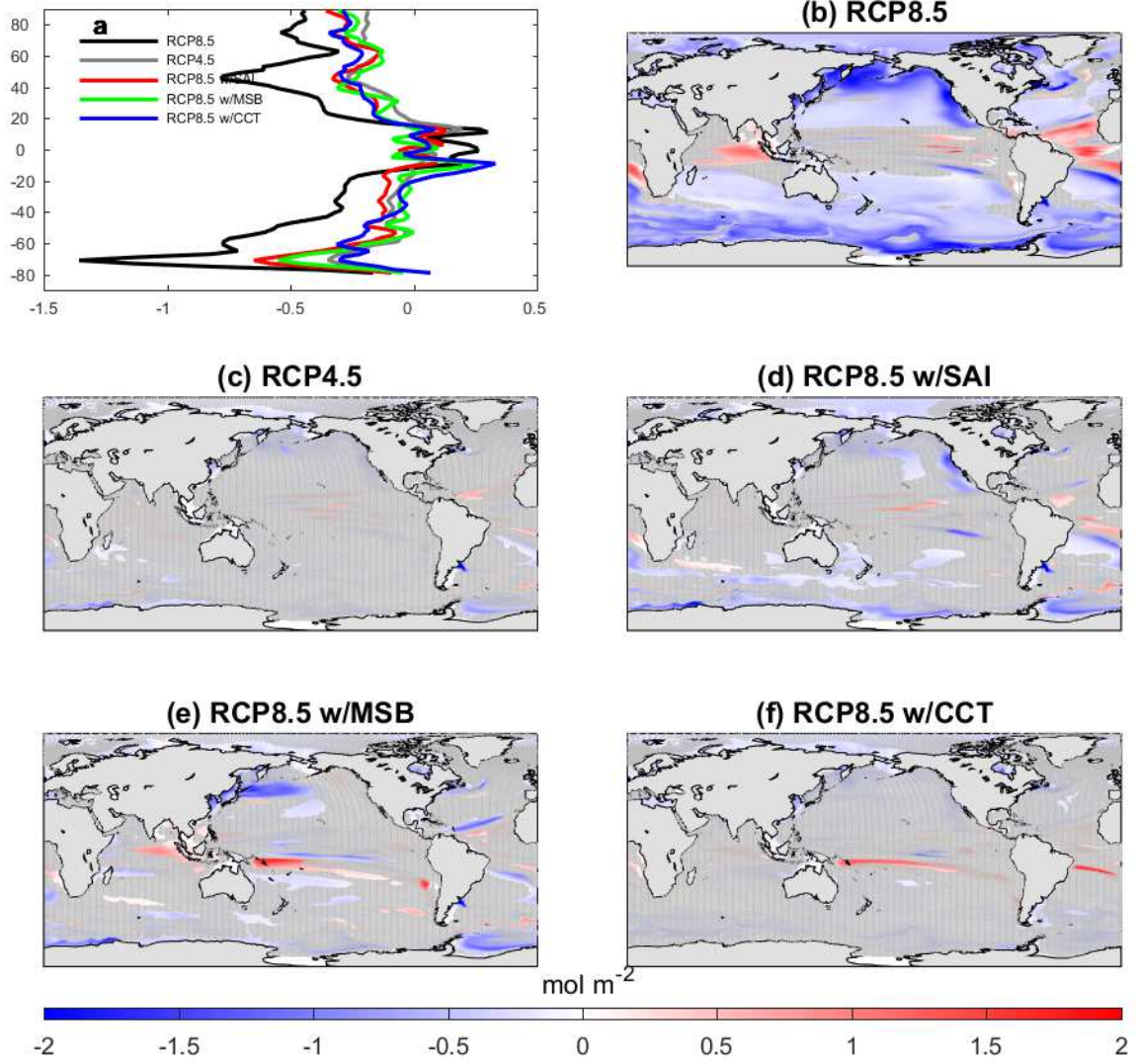
**Table 2. General description of model experiments used in this study.**

Experiment	Description	Time period
RCP4.5	Reference RCP4.5 scenario	2006-2100
RCP8.5	Reference RCP8.5 scenario	2006-2150
SAI	RCP8.5 scenario with a layer of sulfate particles is prescribed in the stratosphere to reflect incoming shortwave radiation and bring down global average temperatures	2020-2100
SAI <sub>EXT</sub>	The extension of the SAI run after termination of climate engineering in 2100	2101-2150
MSB	RCP8.5 scenario where salt particles are emitted at the sea surface between 45°S and 45°N to make both the sky and clouds brighter, thus increasing the Earth's albedo thereby lower global average temperatures	2020-2100
MSB <sub>EXT</sub>	The extension of the MSB run after termination of climate engineering in 2100	2101-2150
CCT	RCP8.5 scenario where cirrus clouds are thinned out. Cirrus clouds have a net heating effect so less ice clouds will result in lower global average temperatures	2020-2100
CCT <sub>EXT</sub>	The extension of the CCT run after termination of climate engineering in 2100	2101-2150

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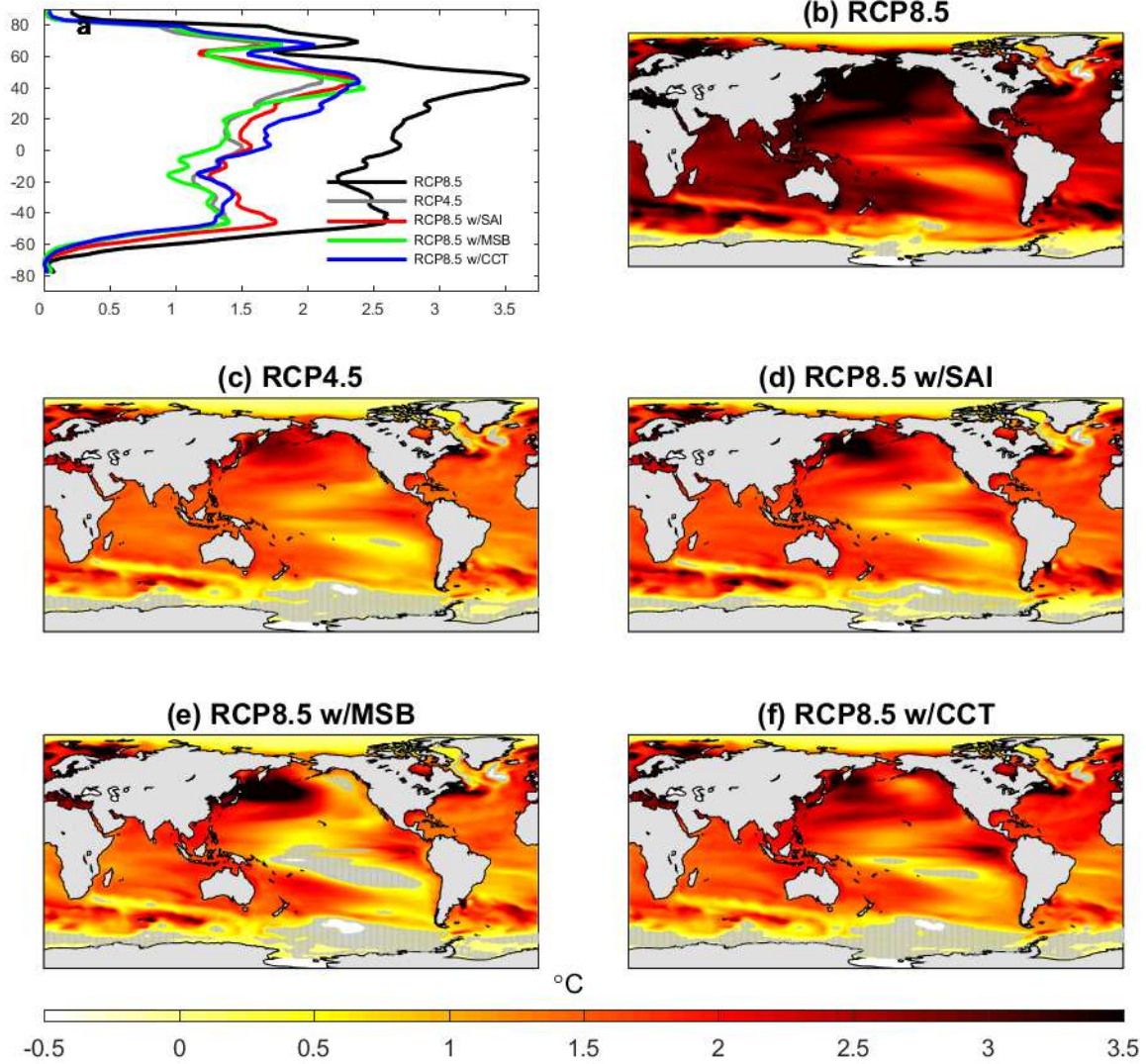
820 **Figure 1**



821 **Figure 2**

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823 **Figure 3**

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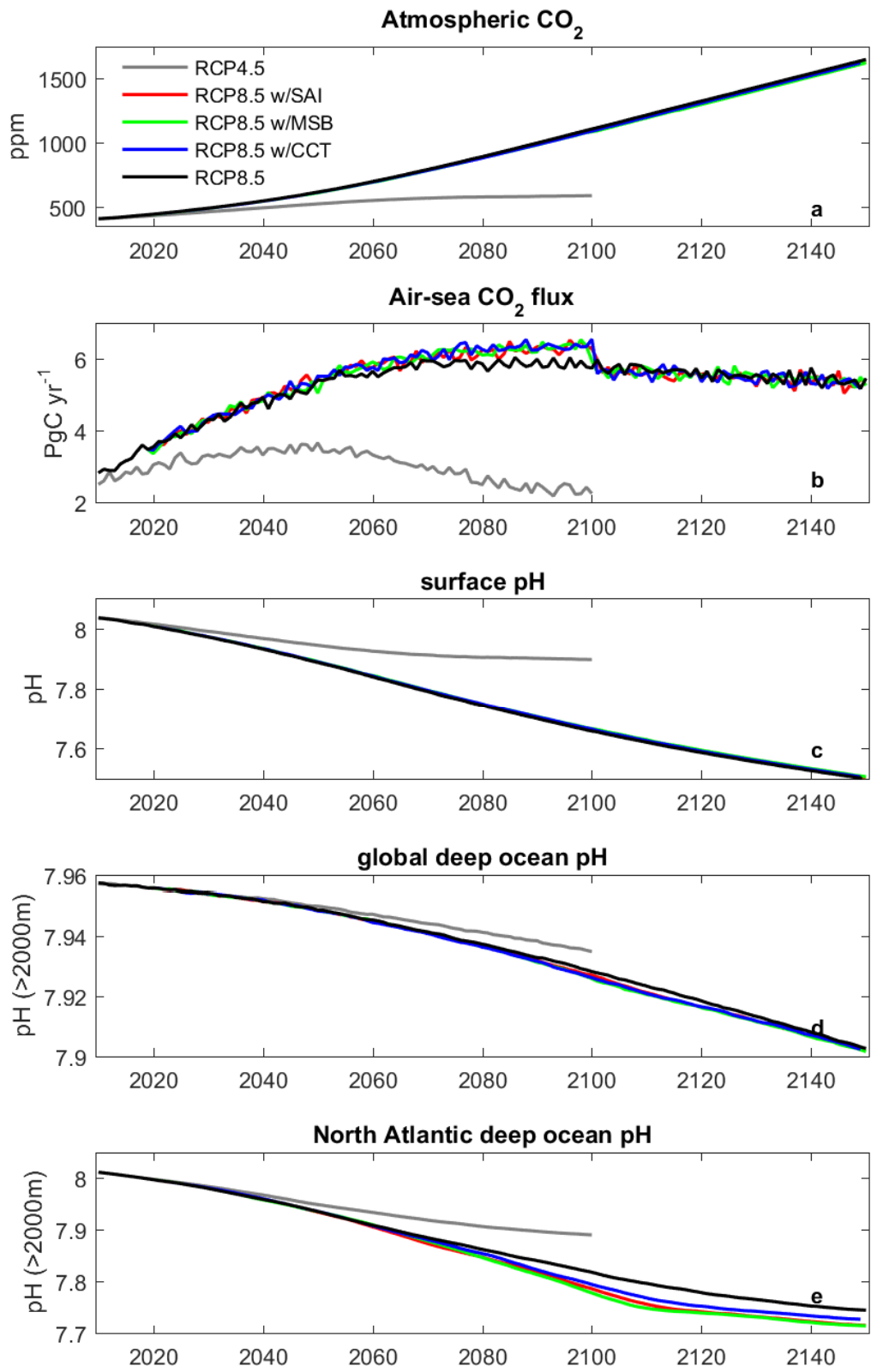
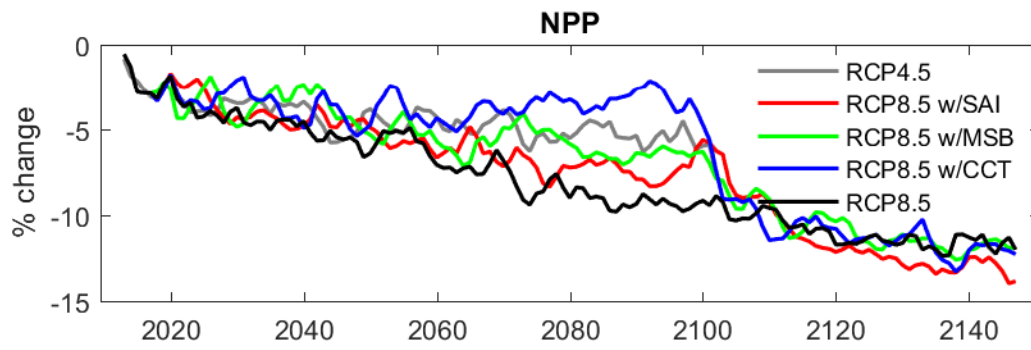
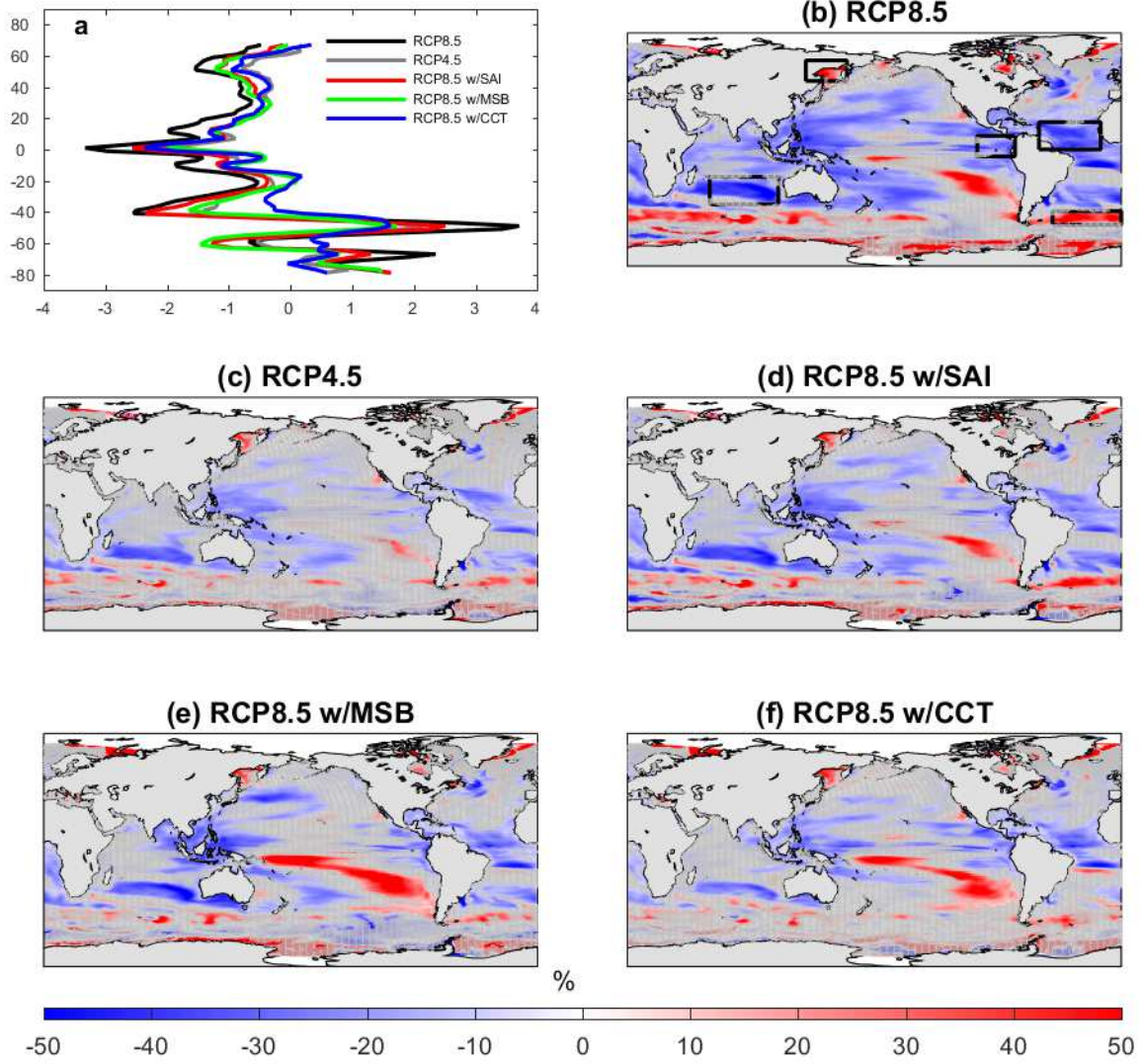


Figure 4



827 **Figure 5**

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829 **Figure 6**

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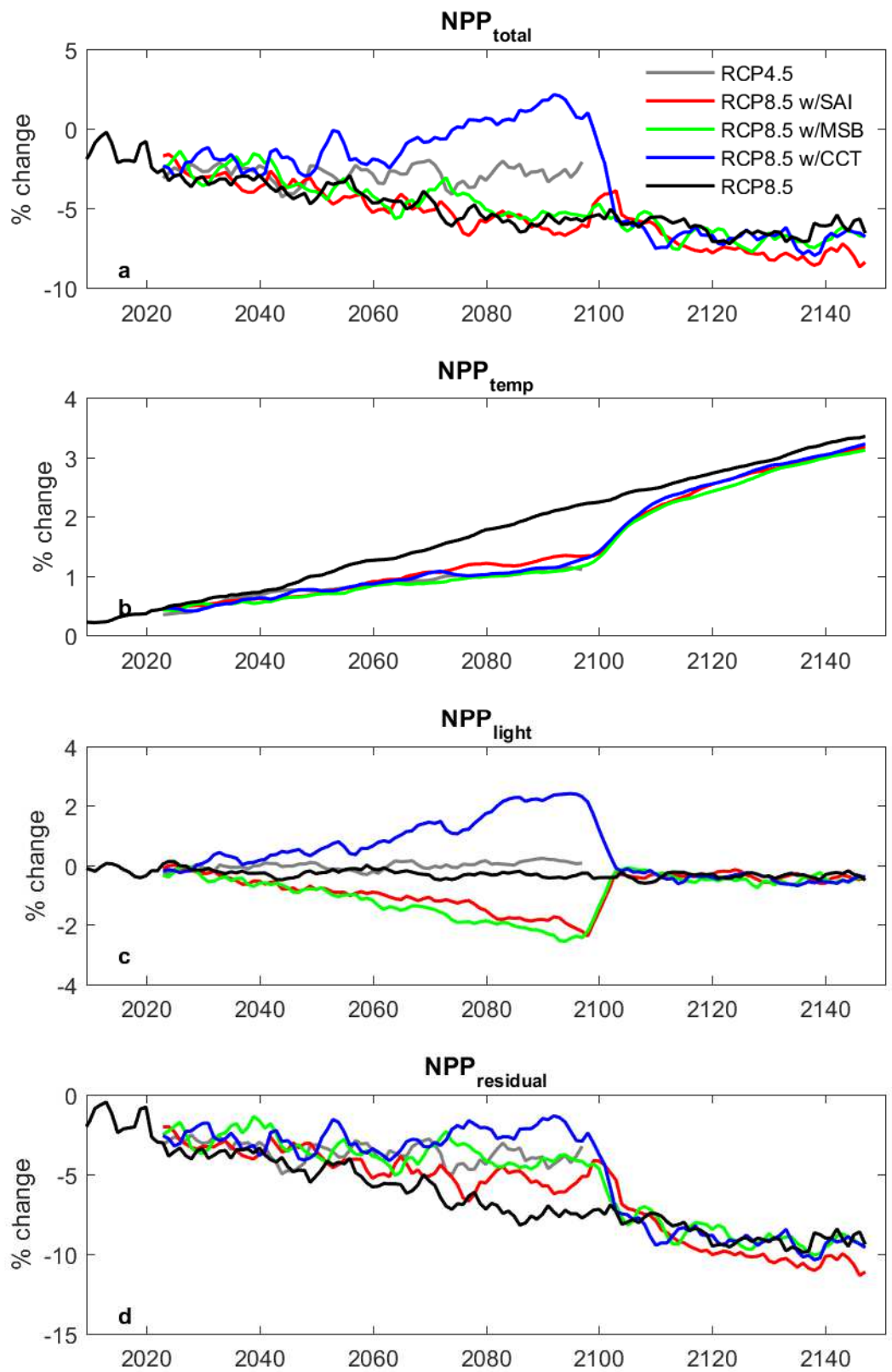
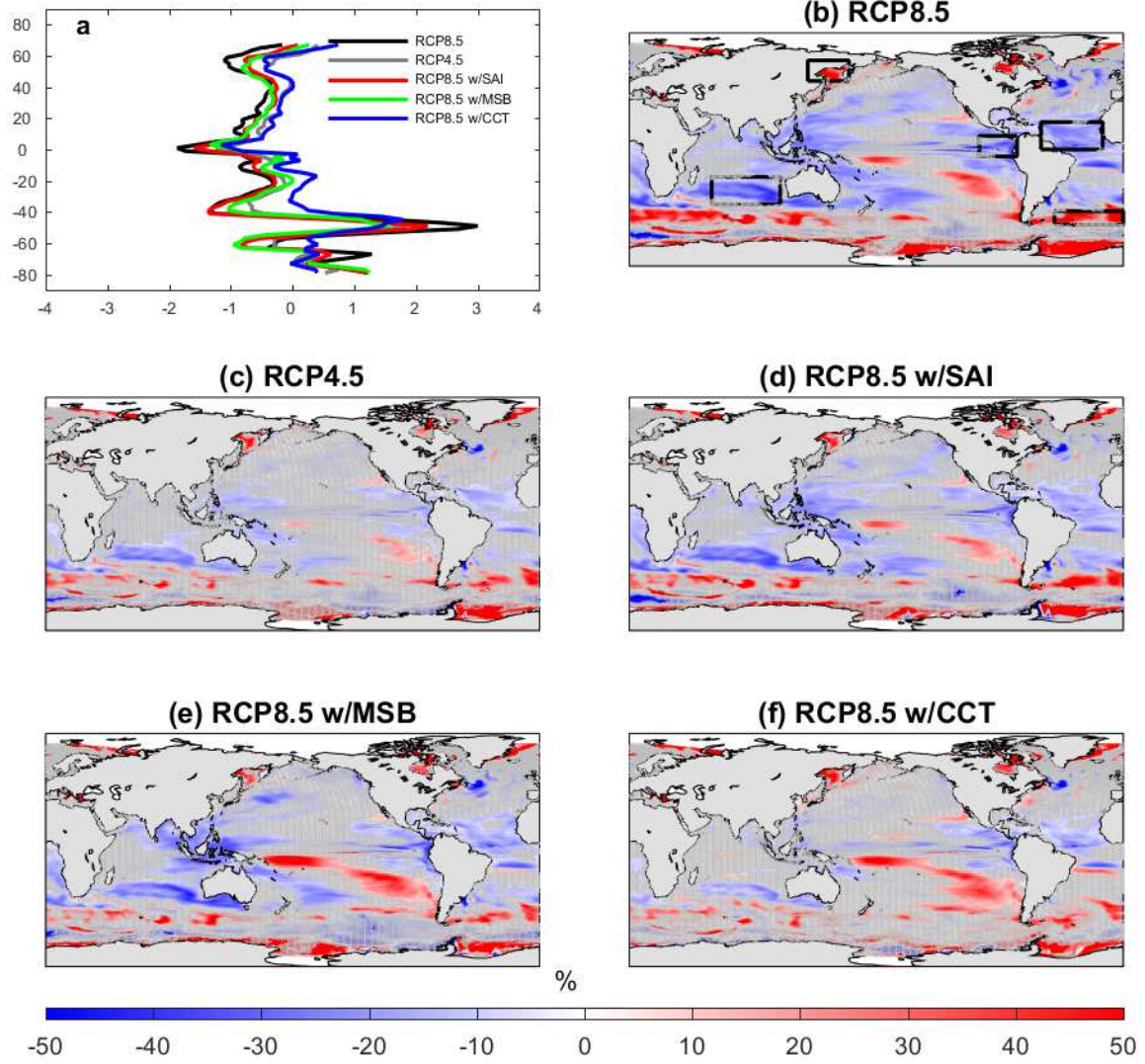


Figure 7





832 **Figure 8**

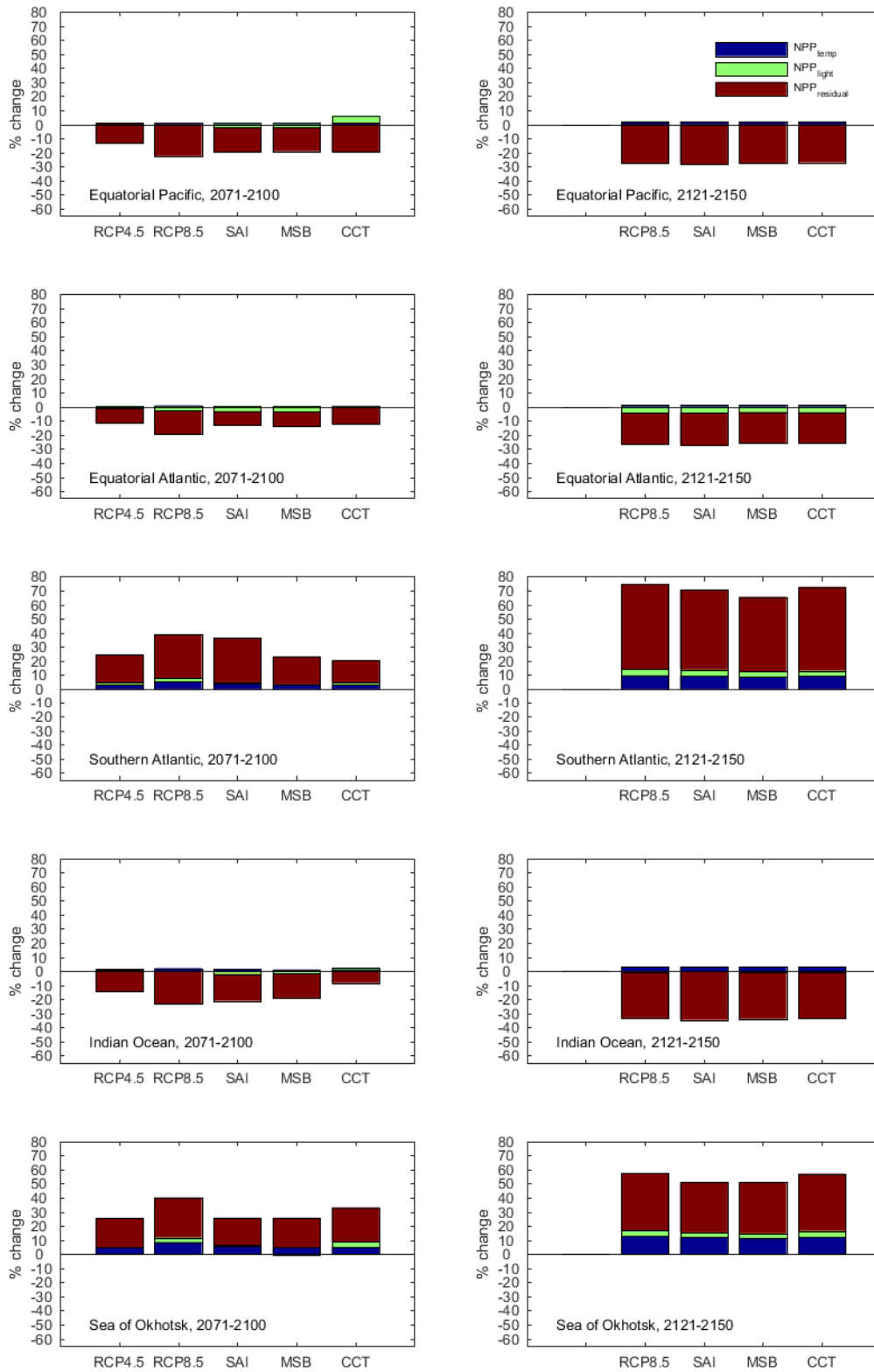


Figure 9