



1 **Physiological and biochemical responses of *Emiliana huxleyi* to**
2 **ocean acidification and warming are modulated by UV radiation**

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25 Abstract

26 Marine phytoplankton such as bloom-forming, calcite-producing coccolithophores,
27 are naturally exposed to solar UV radiation (UVR, 280-400 nm) in the ocean's upper
28 mixed layers. Nevertheless, effects of increasing CO₂-induced ocean acidification and
29 warming have rarely been investigated in the presence of UVR. We examined
30 calcification and photosynthetic carbon fixation performance in the most
31 cosmopolitan coccolithophorid, *Emiliana huxleyi*, grown under high (1000 µatm, HC;
32 pH_T: 7.70) and low (400 µatm, LC; pH_T: 8.02) CO₂ levels, at 15 °C (LT), 20 °C (MT)
33 and 24 °C (HT) with or without UVR. The HC treatment didn't affect photosynthetic
34 carbon fixation at 15 °C, but significantly enhanced it with increasing temperature.
35 Exposure to UVR inhibited photosynthesis, with higher inhibition by UVA (320-395
36 nm) than UVB (295-320 nm), except in the HC and 24 °C-grown cells, in which UVB
37 caused more inhibition than UVA. Reduced thickness of the coccolith layer in the
38 HC-grown cells appeared to be responsible for the UV-induced inhibition, and an
39 increased repair rate of UVA-derived damage in the HCHT-grown cells could be
40 responsible for lowered UVA-induced inhibition. While calcification was reduced
41 with the elevated CO₂ concentration, exposure to UVB or UVA affected it
42 differentially, with the former inhibiting and the latter enhancing it. UVA-induced
43 stimulation of calcification was higher in the HC-grown cells at 15 and 20 °C,
44 whereas at 24 °C, observed enhancement was not significant. The calcification to
45 photosynthesis ratio (Cal/Pho ratio) was lower in the HC treatment, and increasing
46 temperature also lowered the value. However, at 20 and 24 °C, exposures to UVR
47 significantly increased the Cal/Pho ratio, especially in HC-grown cells, by up to 100%.
48 This implies that UVR can counteract the negative effects of the 'greenhouse'
49 treatment on the Cal/Pho ratio, and so may be a key stressor when considering the



50 impacts of future greenhouse conditions on *E.huxleyi*.

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52 **Key words:** *Emiliana huxleyi*, ocean acidification, temperature, UV radiation,

53 photosynthesis, calcification

54

55 **1 Introduction**

56 Coccolithophores are a group of calcifying unicellular phytoplankton within the

57 Prymnesiophyceae (Paasche, 2002). They are an ecologically and biogeochemically

58 prominent marine phytoplankton functional group, and contribute to carbon dioxide

59 sinks and sources by performing both photosynthesis and calcification, respectively

60 (Raitso et al., 2006; Raven and Crawford, 2012). Although the ballasting of

61 photosynthetic products by coccoliths helps to efficiently transport carbon from the

62 photic zone, the calcification process is a net source of CO₂ to the environment

63 (Gattuso et al., 1996; Rost and Riebesell, 2004). Therefore, the ratio of photosynthesis

64 to calcification determines their net contribution to carbon dioxide uptake or release.

65 Consequently, investigating changes in these two processes under varying

66 environmental conditions is a key to our understanding of their biogeochemical roles

67 under ocean global change. Calcification and photosynthesis of coccolithophores are

68 influenced by many factors, including nutrients, light availability, and CO₂, as well as

69 temperature and ultraviolet radiation (UVR) (Feng et al., 2008; Riebesell et al., 2017;

70 Tong et al., 2016).

71 Rising atmospheric CO₂ concentration due to human activities causes greenhouse

72 warming of the atmosphere and ocean, and the dissolution of this anthropogenic CO₂

73 into the surface ocean reduces the pH of seawater in a process known as ocean

74 acidification (OA). Ongoing OA has been predicted to decrease pH by 0.40 units in



75 pelagic waters (Gattuso et al., 2015) and by 0.45 (Cai et al., 2011) units in coastal
76 waters (Cai et al., 2011) by the end of this century under the business-as-usual CO₂
77 emissions scenario. On the other hand, increased atmospheric CO₂, along with other
78 greenhouse gases, will warm the Earth's surface by 2.5-6.4 °C by the year 2100
79 (Alexiadis, 2007), while the surface ocean temperature is projected to rise by 2-3 °C
80 (Stocker et al., 2014). Ocean warming will enhance water column stratification and
81 lead to shallower upper mixed layers, thus exposing phytoplankton cells within these
82 layers to higher integrated levels of photosynthetically active and ultraviolet radiation
83 (UVR) (Courtial et al., 2017). Stratification will also lead to reduced upward transport
84 and lower availability of nutrients.

85 All these ocean global changes may have individual and/or interactive effects
86 on the physiology of marine primary producers (Gao et al., 2012; Hutchins and Fu,
87 2017). OA usually decreases calcification of *E. huxleyi*, although corresponding
88 pCO₂ increases can enhance photosynthesis or growth at the same time (Riebesell et
89 al., 2017; Riebesell et al., 2000). Under nutrient replete conditions, increased light
90 levels appear to counteract the negative impacts of OA on calcification on *E. huxleyi*
91 (Jin et al., 2017). The calcified coccoliths are thought to play roles in protections
92 against grazing pressure, viral and bacterial attack (Monteiro et al., 2016), and can
93 also help protect cells from UV radiation (Gao et al., 2009). Early experiments on
94 *E. huxleyi* strain BT-6 showed that cells had a complete covering of coccoliths at 12.5
95 to 23 °C, but at 26.5 °C, 30% of the cells had an incomplete covering (Paasche, 1968).
96 Similarly, Langer et al. (2009) saw increased malformed coccoliths in *E. huxleyi* RCC
97 1238 at 25 °C compared to those grown at 20 and 10 °C. A recent study showed that



98 increased temperature aggravates the impacts of OA on *E. huxleyi* morphology
99 (Milner et al., 2016).

100 Increasing levels of PAR or temperature and changes in UVR and nutrient
101 availability may interact with each other to cause additive, antagonistic or synergistic
102 effects on coccolithophores. Nevertheless, most previous studies have been carried
103 out under PAR only, without UVR or fluctuating solar radiation being considered.
104 However, UVR cannot be ignored when examining the effects of environmental
105 changes on marine phytoplankton that are found in the upper half of the euphotic zone,
106 since UV irradiance can penetrate as deep as 80 m in pelagic waters (Tedetti et al.,
107 2007). Excessive solar UV-B and UV-A can damage DNA and interfere with many
108 cellular biochemical processes (Häder et al., 2014). On the other hand, moderate
109 levels of UVA can enhance photosynthetic carbon fixation of phytoplankton
110 assemblages (Gao et al., 2007; Helbling et al., 2003). As for UVR effects on
111 coccolithophore calcification, recent studies demonstrated that the outer coccoliths of
112 *E. huxleyi* can effectively shield the cells from a certain percentage of UVR radiation
113 (Xu et al., 2016). Nevertheless, the transmitted energy still causes significant
114 inhibition of calcification, as well as photosynthesis (Gao et al., 2009). Exposure of *E.*
115 *huxleyi* to solar UV radiation decreased its growth rate, but increased its production of
116 coccoliths per cell (Guan and Gao, 2009).
117 Since exposure to solar UV radiation can influence cytoplasmic redox activities (Wu
118 et al., 2010), and inhibit or enhance physiological performances at different levels of
119 UVR, we hypothesized that effects of OA and warming on coccolithophores would be



different with and without the presence of UVR. To test our hypothesis, in this study we examined the responses of *E. huxleyi* photosynthesis and calcification to OA with or without UVR at three temperature levels.

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124 2. Materials and methods

125 2.1 Experimental setup

Experiments used *Emiliana huxleyi* strain PML B92/11, originally isolated from the field station of the University of Bergen, Norway (Raunefjorden; 60 °18'N, 05 °15'E). To first test interactions between OA and temperature, thermal reaction curves were obtained by growing the cultures at 15, 20, 22, 24 and 27 °C in artificial seawater pre-equilibrated with elevated (1000 µatm, HC) or ambient (400 µatm, LC) atmospheric CO₂ concentrations. Triplicate experimental cultures were maintained without aeration under PAR (cool-white fluorescent lamps) of 190 µmol m⁻²s⁻¹ with a 12/12 light/dark cycle. The culture medium was enriched with 100 µmol l⁻¹ nitrate and 10 µmol l⁻¹ orthophosphate, and vitamins and trace metals were added according to the Aquil recipe (Sunda et al., 2005). The maximum cell concentration in all treatments was maintained below 5 × 10⁴ cells ml⁻¹ in order to maintain stable carbonate chemistry (pH variation <0.04). After the cells were grown in each treatment for about 10 generations, the growth rates were determined. Then the thermal reaction norms were plotted for HC- and LC-grown cells according to the equation:

$$141 \quad f(T) = ae^{bT} \left[1 - \left(\frac{T-z}{w/2} \right)^2 \right] \quad (1),$$

where w is the temperature niche width, z is the midpoint of the growth curve, and b and a jointly determine the overall steepness, height, and skewness of the curves (Norberg, 2004; Thomas et al., 2012). The optimum temperature for growth (T_{opt}) was



145 estimated from the fitted curve by numerical optimization.

146 Based on T_{opt} from the thermal curve, 15, 20 and 24 °C were selected to grow the
147 cells for another 10 generations. The rationale for choosing these temperatures is that
148 15 and 20 °C are below and close to the optimal growth temperature, while 24 °C is
149 above it. To investigate how the cells responded to these three different levels of
150 temperature and two pCO_2 levels in the presence of transient UV irradiance exposures
151 such as might be encountered by cells in a dynamic mixed layer, they were then
152 exposed to the different radiation treatments for three hours before photosynthesis and
153 calcification parameters were measured (section 2.2.3).

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155 **2.2 Measurements and analysis**

156 **2.2.1 Growth rates**

157 The specific growth rates (μ) were determined from cell counts performed with a Z2
158 Coulter Counter (Beckman, Buckinghamshire, UK), calculated using the equation: $\mu =$
159 $(\ln C_1 - \ln C_0)/(t_1 - t_0)$, where t_0 and t_1 were the times of inoculation and sampling, $t_1 -$
160 t_0 was the interval between inoculation and sampling, and C_0 and C_1 were the cell
161 concentrations at time t_0 and t_1 .

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163 **2.2.2 POC, PON and PIC analysis and estimation of inner coccosphere volume**

164 After cells were cultured at 15, 20, and 24 °C for another 10 generations, duplicate
165 samples (200 mL) taken in the middle of the light period were filtered onto 25 mm
166 precombusted (450 °C for 6h) Whatman GF/F filters and stored at -20 °C. For
167 analysis, one of the duplicate filters for each treatment was fumed over HCl for 12h to
168 remove inorganic carbon and then dried overnight at 60 °C; the other filters were
169 dried overnight at 60 °C directly. All the filters were then packed in tin cups and



170 analyzed on a Perkin Elmer Series II CHNS/O Analyzer 2400. PIC was determined by
171 the difference between TPC (total particulate carbon) and POC. The production rates
172 of POC or PIC were calculated as $P = \text{cellular POC or PIC content (pg cell}^{-1})$
173 $\times \text{specific growth rate } \mu \text{ (d}^{-1})$

174 The inner coccosphere (protoplast) volume (V_{cell}) were calculated according to:

$$175 \quad \frac{\text{POC[pg]}}{\text{cell}} = a \times V_{\text{cell}}^b \quad (2)$$

176 Where a (0.216 in this case) and b (0.939 in this case) are constants which vary
177 depending on the investigated species (Menden-Deuer and Lessard, 2000).

178 **2.2.3 Radiation treatment and determination of calcification and photosynthetic** 179 **rates**

180 Right before the elemental samples were taken, the cells acclimated to each
181 temperature and pCO_2 level were dispensed into 90 ml quartz tubes (volume 100 ml)
182 and inoculated with $5\mu\text{Ci}$ (0.185 MBq) of labeled sodium bicarbonate (ICN
183 Radiochemicals). The quartz tubes were then exposed to a solar simulator with PAR,
184 UVA and UVB irradiance levels of 42 W m^{-2} ($190 \mu\text{mol m}^{-2}\text{s}^{-1}$), 13.5 W m^{-2} , and 0.81
185 W m^{-2} , respectively. The radiation intensity was measured using a three channel
186 irradiation apparatus (PAM2100, Solar Light). The PAR used was equivalent to the
187 mean light level in the upper mixed layer (UML) based on time series station (19 °N,
188 118.5°E) measurements in the South China Sea (Chen et al., 2006). The ratios of
189 both UVA and UVB to PAR emitted by the solar simulator were about 30% higher
190 than those of the sunlight reaching the sea surface. The following three radiation
191 treatments were realized: PAR+UVA+UVB (PAB), quartz tubes covered with 295 nm
192 cut-off film (Ultraphan, Digefra), so that the cells were exposed to irradiances above
193 295 nm; PAR+UVA (PA), covered with 320 nm cutoff film (Montagefolie, Folex),
194 with the cells exposed to irradiances above 320 nm; and PAR (P), covered with 395



195 nm cutoff film (Ultraphan UV Opak, Digepra), so that the cells received irradiances
196 above 395 nm. The temperatures were controlled with a cooling circulator (CAP-3000,
197 Rikakikai, Japan). The exposure duration lasted for 3h, and each treatment had 3
198 replicates for the incubations. This short exposure period under the solar simulator
199 can mimic mixing of cells to the surface or the reappearance of sunlight after
200 cloudiness, both of which occur frequently in nature.

201 The collected samples from each treatment were immediately filtered onto
202 Whatman GF/F filters (25mm), rinsed with unlabeled medium, and then put in 20 ml
203 scintillation vials. One filter from each tube was fumed over HCl overnight to remove
204 the coccolith coverage, and then dried at 45 °C for 4 h to estimate the photosynthetic
205 carbon fixation rate, while other filters were dried directly to estimate the total carbon
206 fixation rate. 3.5 ml scintillation cocktail (Perkin Elmer) were added to the vials, and
207 all the filters were counted using a liquid scintillation counter (LS6500 Multi-Purpose
208 Scintillation Counter, Beckman Counter, USA). The rate of calcification was
209 determined as the difference between total and photosynthetic carbon fixation rate.
210 The inhibition of calcification and photosynthesis due to UVA, UVR, or UVB was
211 calculated as:

$$212 \text{ Inh}_{\text{UVA}} = (R_P - R_{\text{PA}}) / (R_P) \times 100\%$$

$$213 \text{ Inh}_{\text{UVR}} = (R_P - R_{\text{PAB}}) / (R_P) \times 100\%$$

$$214 \text{ Inh}_{\text{UVB}} = (R_{\text{PA}} - R_{\text{PAB}}) / (R_P) \times 100\% = \text{Inh}_{\text{UVR}} - \text{Inh}_{\text{UVA}}$$

215 Where R_P , R_{PA} , and R_{PAB} represented the rate of calcification or photosynthesis under
216 PAR, PAR+UVA and PAR+UVA+UVB respectively.

217 2.2.4 Estimation of carbonate chemistry

218 The pH of the seawater was measured with a pH meter (Benchtop pH510, Oakton)
219 that was calibrated with standard NBS (National Bureau of Standards) buffer. The



CO₂ concentration of the aeration was monitored with a CO₂ meter (Vaisala, GM70) with variations < 4%. The cell concentrations of all cultures were maintained below 5×10⁴ cells ml⁻¹ to make sure pH variations were <0.04 units. Other seawater carbonate system parameters were calculated with the CO2SYS software using the known parameters of pCO₂, salinity, pH, temperature and nutrient concentrations (Lewis et al., 1998). The carbonic acid dissociation equilibrium constants K₁ and K₂ were determined according to Roy et al. (1993) and that for boric acid was from Dickson (1990).

2.2.5 Data Analysis

Before parametric tests were performed, data were tested for homogeneity of variance and normality. Two-way or three-way analysis of variance (ANOVA) were used to establish differences among the treatments. The two sample paired t-test was also used to determine significant differences between temperature, CO₂ or UV treatments. Significance levels were set at p<0.05.

3 Results

3.1 Thermal reaction norms

The growth temperature curve (growth thermal norms) obtained for *E. huxleyi* (Fig. 1) exhibited different shapes in the LC and the HC-grown cells. The LC cultures showed an asymmetric pattern that is common to many algal species, in which the growth rate increased with rise of temperature to reach a maximum of 1.3 d⁻¹ at 22.2 °C and then declined sharply at temperatures above this optimal point. At 20 and 24 °C, growth rate was <10% lower compared to 22.2 °C, so 20 and 24 °C were still within the optimal growth temperature range for LC-grown *E. huxleyi*. The HC-grown cells showed a relatively symmetric thermal norm, with an optimal growth temperature at 20.6 °C, 1.6 °C lower than that of the LC-grown ones. Thus the growth rate at 20 °C



245 was near maximal, while the value at 24 °C decreased by nearly 20% compared to the
246 maximal growth rate. The net effect of these trends was that growth rate of the
247 LC-grown cells was significantly ($p<0.05$) higher than that of the HC-grown cells at
248 22 and 24 °C (Fig. 1).

249 **3.2 Growth rate**

250 During the 10 generations of growth at two CO₂ levels and three temperatures prior to
251 the UV exposure, the growth rate was lowest at 15 °C and was further reduced by
252 17.4% in HC-grown cells compared to LC-grown ones ($p<0.05$, Fig. 2). At 20 °C,
253 there was no difference in growth rates between HC- and LC-grown cells ($p>0.05$). At
254 24 °C, growth rate didn't change in LC-grown cells ($p>0.05$), but decreased in
255 HC-grown ones compared to that at 20 °C, and thus the value was 17.5 % lower in
256 HC-grown cells compared to LC-grown ones at 24 °C ($p>0.05$).

257 **3.3 Cellular PIC and POC quotas, production rates and inner coccosphere**

258 **volumes**

259 The two CO₂ treatments had no effect on cellular POC content at 15 °C and 20 °C.
260 However, at 24 °C, the HC treatment significantly increased cellular POC by 18.4%
261 compared to the LC treatment ($p<0.01$, Fig. 3a), yielding the highest value among the
262 treatments. Cellular PIC content was reduced with increased CO₂ concentration in the
263 15, 20, and 24 °C treatments by 35.8% ($p<0.05$, Fig. 3b), 62.6% ($p<0.05$) and 17.1%
264 ($p<0.01$), respectively. In LC-grown cells, cellular PIC was significantly affected by
265 temperature, being the highest at 15 °C, and was decreased by 34.2% ($p<0.01$) at
266 20 °C and 18.9% ($p<0.01$) at 24 °C. In HC-grown cells, cellular PIC was 45.2 %
267 ($p<0.01$) and 41.7% ($p<0.01$) lower at 20 °C, compared to at 15 and 24 °C,
268 respectively. The production rate of POC ranged from 6.8 to 13.2 pg cell⁻¹d⁻¹ among
269 different treatments (Fig. 3c). At 15 °C, the HC treatment reduced POC production



rate by 26.6% ($p < 0.05$), and the values were 42% ($p < 0.01$) and 30% ($p < 0.01$) lower in HC- and LC-grown cells respectively compared to those at 20 °C. No significant differences were observed between different CO₂ treatments at 20 °C and 24 °C ($p > 0.05$), and the temperature rising from 20 to 24 °C also had no significant effect on POC production rate both in HC- and LC-grown cells ($p > 0.05$). The HC treatment lowered the PIC production rate by 42.3% ($p < 0.01$, Fig. 3d), 37.3% ($p < 0.01$), and 29.9% ($p < 0.01$) at 15, 20, and 24 °C respectively. A 5 °C temperature decrease from 20 °C had no significant effect on PIC production rate both in LC- and HC-cultures ($p > 0.05$). However, a 4 °C increase from 20 to 24 °C enhanced the PIC production rate by 41.9% ($p < 0.05$) and 27.4% ($p < 0.05$) in HC- and LC-grown cells respectively.

The pattern of inner coccosphere volume among different treatments was similar to that of cellular POC (Fig. 3e), with a significantly higher value in HC-cultures than LC-ones at 24 °C ($p < 0.01$), while no difference existed between different CO₂ treatments at the other temperature levels ($p > 0.05$).

The PIC to POC ratio (PIC/POC) had the lowest value at 20 °C in the HC treatment (Fig. 3f), and the highest value at 15 °C in the LC treatment. Either reduced or elevated temperature from 20 °C increased the PIC/POC ratio in both HC- and LC-cultures ($p < 0.05$), although the extent varied.

3.4 Cellular PON content

Cellular PON had the same trends between the HC and LC treatments at 15 and 20 °C ($p > 0.05$, Fig. 4). Similar to cellular POC, at 24 °C cellular PON was 29.6% higher in HC-grown cells compared to LC-grown ones ($p < 0.01$).

3.5 Responses of calcification and photosynthetic carbon fixation to UV radiation

After 3 h of exposure under the solar simulator, significant interactive effects between temperature and irradiance ($p < 0.01$), temperature and pCO₂ ($p < 0.01$), and irradiance



295 and $p\text{CO}_2$ ($p=0.042$) were observed on photosynthetic carbon fixation. There were no
296 differences in photosynthetic carbon fixation rates between HC- and LC-cultures at
297 15 °C under the PAR alone treatment ($p>0.05$, Fig. 5a), while the values were
298 marginally ($p=0.064$, Fig. 5b) and significantly higher ($p=0.026$, Fig. 5c) in
299 HC-grown cells compared to LC-grown ones at 20 and 24 °C. At 15 °C, the
300 photosynthetic rate was lowered by the same extent under the PA and PAB treatments
301 compared to the PAR treatment in HC conditions ($p<0.01$), while the presence of
302 UVA or UVR (UVA+UVB) had no significant effects on photosynthetic rate under LC
303 conditions ($p>0.05$). At 20 °C, the values were reduced by 33.4% ($p<0.05$) and 19.9%
304 ($p=0.05$) in HC- and LC-grown cells under the PA treatment compared to the PAR
305 alone treatment. The PAB treatment didn't further lower the photosynthetic rates
306 compared to the PA treatment in either the HC- or LC-cultures ($p>0.05$). At the
307 highest temperature of 24 °C, the photosynthetic rate was 22.6% ($p<0.01$) and 34.8%
308 ($p<0.01$) lower under the PA treatment compared to the PAR alone treatment in HC-
309 and LC-grown cells respectively. The values were further decreased by 35.7%
310 ($p<0.01$) in HC-grown cells, but weren't affected in LC-grown ones in the PAB
311 treatment ($p>0.05$).

312 Calcification rates were significantly lower in HC-grown cells compared to
313 LC-grown ones under the PAR alone treatment at all temperature levels ($p<0.01$, Fig.
314 5 d, e, f). The PA treatment significantly increased the calcification rate in HC-grown
315 cells relative to the PAR alone treatment, regardless of temperature levels ($p<0.05$).
316 However, there were no significant differences in calcification rates between PA and
317 PAR treatments in LC-grown cells ($p>0.05$). Under the PAB treatment, the presence
318 of UVB led to a reduced calcification rate compared to the PA treatment at 15 °C
319 ($p<0.01$), but had no significant effect at 20 and 24 °C ($p>0.05$) in either HC- or



LC-grown cells. There were significant interactions between temperature and irradiance on calcification rate ($p=0.018$).

Calcification to photosynthesis ratio (Cal/Pho ratio) values were significantly higher under PA than in the PAR alone treatment ($p<0.05$, Fig. 5 g, h, i), regardless of the CO_2 concentrations and temperature levels. The Cal/Pho ratio was lower at 15 °C under PAB compared to the PA treatment in both HC- ($p<0.01$) and LC-grown cells ($p<0.05$), while there were no significant differences between those irradiance treatments at 20 °C and 24 °C ($p>0.05$). Except in the PA treatment at 15 °C, the Cal/Pho ratio was significantly lower in HC-grown cells compared to LC-grown ones under all the other conditions, with the greatest reduction of 44.3% at 24 °C. There were significant interactions among all three variables for the Cal/Pho ratio ($p<0.01$).

3.6 UVR-induced inhibition of photosynthetic carbon fixation and calcification

There were significant interactions among the three variables for inhibition of photosynthesis relative to the PAR alone treatment ($p<0.01$, Fig. 6 1,2,3). UVA-induced inhibition of photosynthesis was higher in HC-grown cells compared to LC-grown ones at 15 and 20 °C ($p<0.05$), while this pattern was reversed at 24 °C. UVB-induced inhibition of photosynthesis was much higher in HC-grown cells than in LC-grown ones at 24 °C ($p=0.04$), but was not significantly different between HC and LC treatments at the other two temperature levels ($p>0.05$). As a whole, the HC treatment induced a higher inhibition by UVR (UVA+UVB) than the LC treatment, although the differences were not statistically significant ($p>0.1$). In most cases, UVA-induced inhibition of photosynthesis was significantly higher than inhibition induced by UVB, except in the HC treatment at 24 °C, where UVA inhibition was lower than that induced by UVB ($p<0.05$), and in the LC treatment at 20 °C, where there was no difference for UVA- and UVB-induced inhibition.



UVA stimulated calcification rates by 31.7% and 18.9% in HC-grown cells at 15 and 20 °C respectively (depicted in Figs. 6 d and e as negative inhibition rates). The effect of UVA on calcification rate was not statistically significant in LC-grown cells ($p>0.05$). At 24 °C, the stimulation of calcification rate by UVA in HC-grown cells compared to LC-grown ones was not significant ($p>0.05$, Fig. 6 f). UVB inhibited calcification rates in all treatments, with the greatest inhibition being in HC-cultures at 15 °C. Due to the opposite effects of UVA and UVB on calcification rate, the total inhibition induced by UVR was not significant at 20 and 24 °C ($p>0.05$). At 15 °C, the UVR-induced inhibition was marginally higher in HC-grown cells than LC-grown ones ($p=0.052$).

When the inhibition of the Cal/Pho ratio was assessed, the UVB-induced inhibition was much higher in the HC treatment at 15 °C than in all other conditions (Fig. 6g, h, i). The UVA stimulated Cal/Pho ratio was much higher in HC- grown cells compared to LC-grown ones at 15 °C, while there was no significant difference between the HC and LC treatments at 20 and 24 °C. Both types of UVR together had no net effect on the Cal/Pho ratio at 15 °C, but significantly stimulated the Cal/Pho value at 20 and 24 °C under both CO₂ conditions ($p<0.05$).

4 Discussion

Our results demonstrated that both photosynthesis and calcification were inhibited by UVB. In contrast, UVA was more inhibitory for photosynthesis than UVB, while it had a positive effect on calcification. The degree to which UVA and UVB affected the performance of photosynthesis and calcification varied depending on CO₂ concentrations and temperature levels. Of the three temperature levels used, 15 °C was much lower than optimal growth temperature for both HC- and LC- grown cells. For LC cultures, the growth rate was the same at 20 and 24 °C, and those two



temperatures were in the optimal range for cells growth. While 20 °C was very close to the optimal temperature for HC-grown cells, the growth rate at 24 °C was significantly reduced, suggesting the cells growth at this temperature may be already thermally inhibited. The different growth state among the three temperature levels, particularly that between HC- and LC-grown cells at the highest temperature, potentially affected the photosynthetic and calcification responses to UV radiation.

In this study, the inhibition of photosynthesis by UVA, UVB and their combination appeared to increase with temperature. On the contrary, previous studies conducted on other phytoplankton species such as diatoms suggested that increasing temperature could reduce UV-induced inhibition of photosynthesis, as the activities of repair associated enzymes are temperature dependent (Helbling et al., 2011; Li et al., 2012). These differing trends between the present and previous studies may be attributed to either changes in the thickness of the coccolith layer surrounding the cells, or to the temperature range used. The coccoliths of *E. huxleyi* can provide a protective role against UVR either by strongly scattering light, or by physically shading intracellular organelles (Voss et al., 1998; Xu et al., 2016). In our results, the cellular PIC at 20 °C was only half of that at 15 °C. Since cellular PIC is an indicator of the amount of coccoliths on the exterior of the cell, this suggests that the cells grown at 20 °C had a substantially thinner coccolith layer and so received much more UV radiation, leading to increased photosynthetic damage compared to cells grown at 15 °C. At 24 °C, the thermal reaction curves suggested that this temperature level was already close to the upper tolerance limit for growth in *E. huxleyi* PML B92/11, with HC-grown cells suffering more thermal stress. At this temperature, though the thickness of coccolith layer was equal to that at 15 °C, biochemical aspects of UVR defense and /or repair mechanisms may be under thermal stress (Sobrino and Neale, 2007).



At 15 and 20 °C the inhibition of photosynthesis was mainly caused by UVA, and the values were significantly higher in HC-grown cells compared to LC-grown ones, due to a thinner coccolith layer on cells in acidified seawater (Gao et al., 2009). In contrast, at 24 °C the HC treatment alleviated the UVA-induced inhibition but greatly enhanced inhibition by UVB. The underlying mechanism may be protein-mediated defense/repair processes. This is supported by the fact that cellular PON was increased by the HC treatment only at 24 °C. Cellular PON content can reflect the defense and repair ability of cells against UVR (Litchman et al., 2002; Sobrino et al., 2008). The widely observed UV protection strategy in marine organisms is the production of nitrogen-rich UVR absorbing compounds known as mycosporine-like amino acids (MAAs) (Banaszaka and Lesser, 2009). Phytoplankton use several mechanisms to repair UV-induced damage, many of which involve N-requiring enzymes and/or protein cofactors (Litchman et al., 2002). Korbee et al. (2010) reported that UVA could stimulate algae N metabolism (nitrate transport and reductase activity). In contrast, UVB was found to damage cell membranes and negatively affect nitrogen incorporation mechanisms, leading to a decrease in cellular PON content (Sobrino et al., 2004). Subsequently, such a lack of nitrogen would inhibit essential protein turnover. In our study at 24 °C, UVA and HC might act synergistically to maintain higher cellular PON content and support the synthesis of MAAs and UV-repair proteins, thereby partially counteracting the UV-induced damage. Although MAAs were not examined here, recent studies revealed that these substances can be effectively synthesized by *E. huxleyi* exposed to UV radiation (Xing et al., 2014) and cellular MAA contents were significantly higher under high CO₂ conditions (Gao and Zheng, 2010). As mentioned above, at 24 °C HC-grown cells were already thermally inhibited, which may add the detrimental effect of UVB on



nitrogen assimilation and lead to much higher inhibition of photosynthesis by UVB in high CO₂, warmer conditions.

When assessing the effect of UV radiation on calcification, we found that UVA stimulated calcification rate of *E. huxleyi* PML B92/11, while UVB inhibited it. In earlier studies, Gao et al. (2009) reported that both UVA and UVB negatively affected calcification of *E. huxleyi* CS-369. One possibility is that this discrepancy between our studies can be attributed to strain-specific responses. On the other hand, the different irradiances used by the two studies could be involved, as the light intensity used by Gao et al. (2009) was over twice as high as the one we used. Like our study, Xu and Gao (2015) also observed that moderate levels of UVR increased PIC production rates.

Here, we speculate on the possible underlying mechanisms for UVA-stimulated calcification. First, it has been documented in some algae that the reduced levels of UVA energy can be transferred through the electron transport chain of PS II in the same way as PAR (Xu and Gao, 2010b). This however could not be the reason for the UVA-stimulated calcification of *E. huxleyi*, since the photosynthetic process was significantly inhibited by UVA at the same time. Second, it has been demonstrated that both CO₂ and bicarbonate in seawater can supply carbon to photosynthesis, while only bicarbonate is used by *E. huxleyi* to make its coccoliths (Kottmeier et al., 2016; Paasche, 2002). It has been shown that UVA alone could drive bicarbonate utilization (Xu and Gao, 2010a). In our case, the stimulation of calcification by UVA may be attributed to a more available inorganic carbon source (bicarbonate). The UVA-induced stimulation of calcification was higher in the HC treatment at 15 and 20 °C, due to more transmitted UVA with a reduced thickness of the coccolith layer in HC-grown cells. At 24 °C, however, no difference in the UVA effect was found



445 between the HC and LC treatments, likely because the inner coccosphere volume of
446 the HC-grown cells was significantly enlarged, leading to an extended pathlength of
447 UVA through cells.

448 Given that the responses of coccolithophore strains to environmental change can be
449 different depending on that strain's temperature optimum (Sett et al., 2014), the
450 temperatures we chose in this study were below, close or above optimum for *E.*
451 *huxleyi* growth based on its thermal tolerance curves. The temperatures we used also
452 have important ecological significance. *E. huxleyi* blooms are most extensive in the
453 Subarctic North Atlantic from summer to autumn, the region where our *E. huxleyi*
454 strain was isolated (Brown, 1995). A large proportion of annual coccolithophore
455 production can occur in a single seasonal bloom event, with a significant impact on
456 regional ecology and global biogeochemical cycles (Lampert et al., 2002).

457 The lower temperature of 15 °C that we used was around the mean summer surface
458 water temperature in the region where *E. huxleyi* PML B92/11 was isolated (Fielding,
459 2013). 20 °C on the other hand represents a future warmer condition, with 24 °C
460 being likely similar to the upper limit of temperatures that will be experienced by this
461 strain due to temperature fluctuations in the future. In the present study, we found that
462 UV radiation could interact with both temperature and CO₂ concentration to alter their
463 effects on photosynthesis and calcification, thus changing Cal/Pho ratios. Under the
464 PAR alone treatment, Cal/Pho ratios were lower in HC cultures compared to LC ones
465 regardless of temperature levels, and the values were also further reduced with
466 increasing temperatures. In the presence of UVR (UVA+UVB), Cal/Pho ratios were
467 significantly increased at 20 and 24 °C, and this response that was enhanced in
468 HC-grown cells. In contrast, UVR had no effect on Cal/Pho ratios at 15 °C. This
469 implies that the presence of UVR could counteract and partially compensate for the



470 reduced C/P ratios caused by ocean warming and acidification, with potentially
471 important effects on the ocean carbon cycle.

472 In previous studies, most indoor laboratory experiments neglected the effects of UV
473 radiation due to the common use of UV-free light sources or UV-opaque vessels. Our
474 results demonstrated that UV radiation could greatly influence the combined effects of
475 future CO₂ enrichment and sea surface warming on the physiological performance of
476 *E. huxleyi*. Thus, the impacts UV radiation should be considered in order to build
477 more realistic predictions of future biological and biogeochemical processes in a high
478 CO₂ ocean.

479
480 **Author contributions:** Shanying Tong and Kunshan Gao designed the study. The
481 experiment was performed by S.T. S.T. analysed the data and wrote the manuscript.
482 David Hutchins and K.G. contributed to the revision and approved the final version of
483 the manuscript.

484 **Competing interests:** the authors declare that they have no conflict of interest.

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Table 1. Mean values of the seawater carbonate system parameters under HC (1000 μatm) and LC (400 μatm) at 15, 20 and 24 °C. The cell concentrations of all cultures were maintained below 5×10^4 cells ml^{-1} and pH variations were <0.04 units. The superscripts represent significant difference between HC and LC ($p < 0.05$).

	Treatment	pH _{NBS}	DIC ($\mu\text{mol kg}^{-1}$)	pCO ₂ (μatm)	HCO ₃ ⁻ ($\mu\text{mol kg}^{-1}$)	CO ₃ ²⁻ ($\mu\text{mol kg}^{-1}$)	Total alkalinity ($\mu\text{mol kg}^{-1}$)
15 °C	HC	7.80 ± 0.02 ^a	2147.2 ± 105.7 ^a	1000 ± 40 ^a	2037.5 ± 98.6 ^a	72.4 ± 7 ^a	2228.5 ± 114.4 ^a
	LC	8.13 ± 0.01 ^b	1919.2 ± 27.2 ^b	400 ± 40 ^b	1768.1 ± 23.6 ^b	136.2 ± 3.6 ^b	2122.8 ± 31.7 ^a
20 °C	HC	7.82 ± 0.01 ^a	2153.2 ± 57.3 ^a	1000 ± 40 ^a	2031.5 ± 52.8 ^a	89.74 ± 4.5 ^a	2262.7 ± 62.9 ^a
	LC	8.16 ± 0.01 ^b	1961.8 ± 25.7 ^b	400 ± 40 ^b	1777.8 ± 21.8 ^b	170.13 ± 3.9 ^b	2214.38 ± 30.4 ^a
25 °C	HC	7.84 ± 0.01 ^a	2057.2 ± 28.1 ^a	1000 ± 40 ^a	2174.8 ± 26.2 ^a	106.3 ± 2.5 ^a	2310.3 ± 31.2 ^a
	LC	8.18 ± 0.01 ^b	1854.6 ± 46.5 ^b	400 ± 40 ^b	1999.8 ± 38.4 ^b	203.1 ± 8.2 ^b	2297.2 ± 56.4 ^a



684 Table 2. The optimal temperature for growth (T_{opt}) and the maximum growth rate
685 (μ_{max}) at T_{opt} of *E.huxleyi* grown in 400 μatm (LC) and 1000 μatm (HC) CO_2
686 concentrations. T_{opt} and μ_{max} as estimated from the fitted curves in Fig. 1 by numerical
687 optimization.

	T_{opt} (°C)	μ_{max} (μ)
HC	20.58	1.22
LC	22.15	1.31

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Table 3. Three-way ANOVA analyses of interactive effects among $p\text{CO}_2$ (CO_2), temperature (T), and irradiance (I, including P, PA and PAB) on photosynthetic carbon fixation rates, calcification rates and Cal/Pho ratios respectively. Also shown are three-way ANOVA analyses of interactive effects among CO_2 (CO_2), temperature (T) and irradiance (I, including UVA, UVB and UVR) on the inhibition of photosynthesis, calcification and Cal/Pho ratios respectively. “*” and “**” represent significance levels at $p < 0.05$ and 0.01 respectively.

	T×I <i>p</i> (df, F)	T× CO_2 <i>p</i> (df, F)	I× CO_2 <i>p</i> (df, F)	T×I× CO_2 <i>p</i> (df, F)
Pho rate	<0.01** (4, 7.220)	<0.01** (2, 11.505)	0.042* (2, 3.453)	0.055 (4, 2.560)
Cal rate	0.018* (4, 3.432)	0.541 (2, 0.625)	0.465 (2, 0.783)	0.483 (4, 0.885)
Cal/Pho ratio	<0.01** (4, 8.253)	0.03* (2, 3.874)	0.632 (2, 0.464)	0.002** (4, 5.155)
Inh of Pho rate	0.231 (4, 1.473)	0.381 (2, 0.991)	0.565 (2, 0.580)	<0.01** (4, 8.546)
Inh of cal rate	0.01** (4, 3.928)	0.24 (2, 1.484)	<0.01** (2, 8.881)	<0.01** (4, 6.610)
Inh of Cal/Pho ratio	0.021* (4, 3.331)	0.108 (2, 2.365)	0.127 (2, 2.186)	<0.01** (4, 6.727)



719 Fig. 1 Thermal reaction norms of *E. huxleyi* grown in 400 μatm (LC) and 1000 μatm
 720 (HC) CO_2 concentrations. Corresponding $R^2=0.996$ (LC) and 0.999 (HC), respectively.
 721 The values are the means and the error bars are standard deviations for triplicate
 722 cultures at each treatment.

723 Fig. 2 Specific growth rate of *E. huxleyi* grown in 400 μatm (LC) and 1000 μatm (HC)
 724 CO_2 concentrations at 15 $^{\circ}\text{C}$, 20 $^{\circ}\text{C}$ and 24 $^{\circ}\text{C}$ respectively. The different letters above
 725 the bars indicate significant differences among the treatments ($p<0.05$). The values are
 726 the means and the error bars are standard deviations for triplicate cultures at each
 727 treatment.

728 Fig. 3 Cellular POC (a), cellular PIC (b), POC production rate (c), PIC production rate
 729 (d), inner coccosphere volume (e) and PIC/POC ratio (f) of *E. huxleyi* grown in 400
 730 μatm (LC) and 1000 μatm (HC) CO_2 concentrations at 15 $^{\circ}\text{C}$, 20 $^{\circ}\text{C}$ and 24 $^{\circ}\text{C}$
 731 respectively. The different letters above the bars indicate significant differences
 732 among the treatments ($p<0.05$). The values are the means and the error bars are
 733 standard deviations for triplicate cultures at each treatment.

734 Fig. 4 Cellular PON content of *E. huxleyi* grown in 400 μatm (LC) and 1000 μatm
 735 (HC) CO_2 concentrations at 15 $^{\circ}\text{C}$, 20 $^{\circ}\text{C}$ and 24 $^{\circ}\text{C}$ respectively. The different letters
 736 above the bars indicate significant differences among the treatments ($p<0.05$). The
 737 values are the means and the error bars are standard deviations for triplicate cultures
 738 at each treatment.

739 Fig. 5 Photosynthetic carbon fixation rates (a, b, c), calcification rates (d, e, f) and
 740 Cal/Pho ratios (g, h, i) of *E. huxleyi* in HC- and LC-grown cells exposed to P



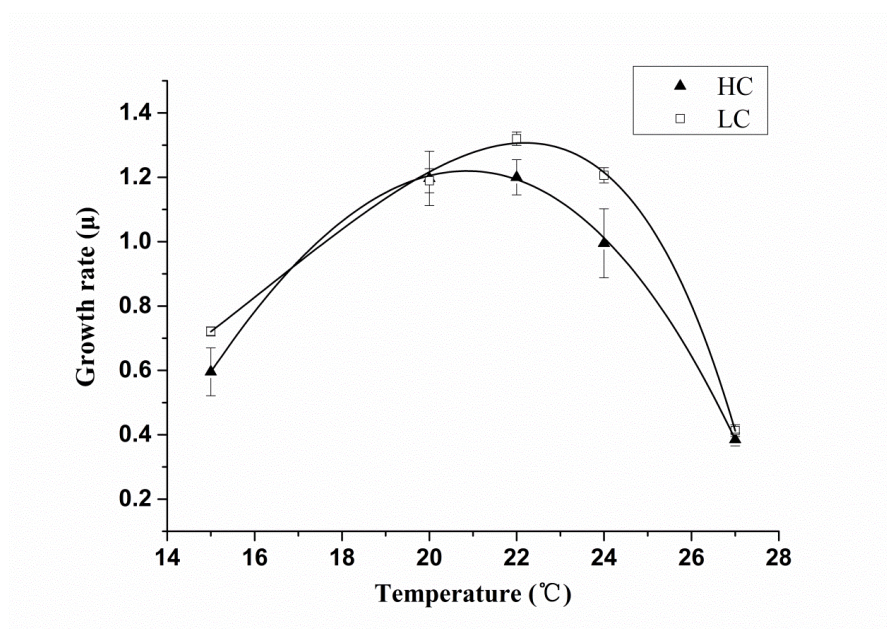
741 (irradiances above 395 nm), PA (irradiances above 320 nm) and PAB (irradiances
742 above 295 nm) at 15, 20 and 25 °C. Lines above the histogram bars indicate
743 significant differences between HC and LC treatment, and different letters indicate
744 significant differences among the radiation treatments within the HC or LC-grown
745 cells within each panel.

746 Fig. 6 Inhibition of photosynthetic carbon fixation rates (a, b, c), calcification rates (d,
747 e, f) and Cal/Pho ratios (g, h, i) of *E. huxleyi* in HC- and LC-grown cells due to UVA,
748 UVB and UVR at 15, 20 and 25 °C. Negative inhibition values indicate stimulation.
749 Lines above the histogram bars indicate significant differences between HC and LC
750 treatment, and different letters indicate significant differences among the radiation
751 treatments within the HC or LC-grown cells within each panel.

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763 Fig. 1



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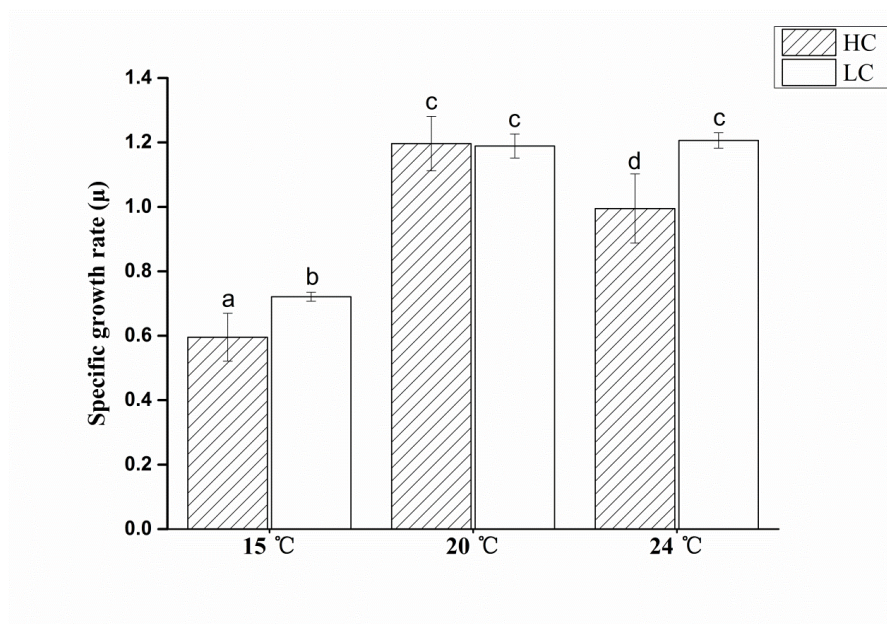
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777 Fig. 2



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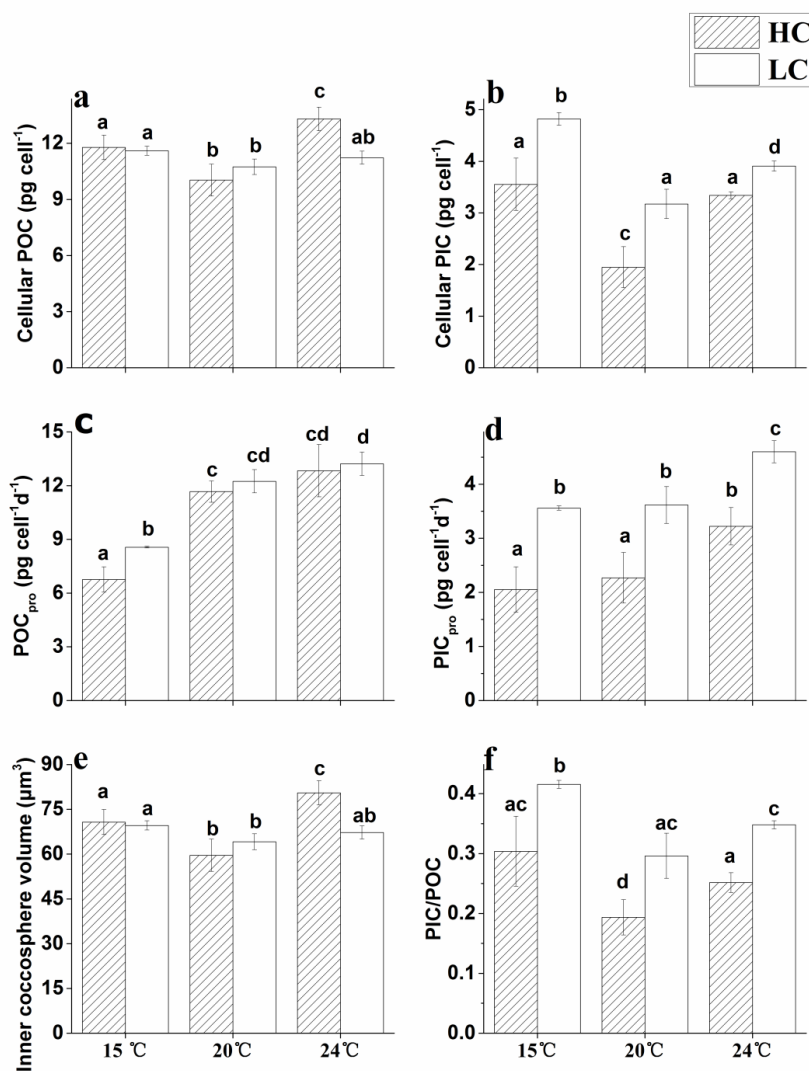
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791 Fig 3



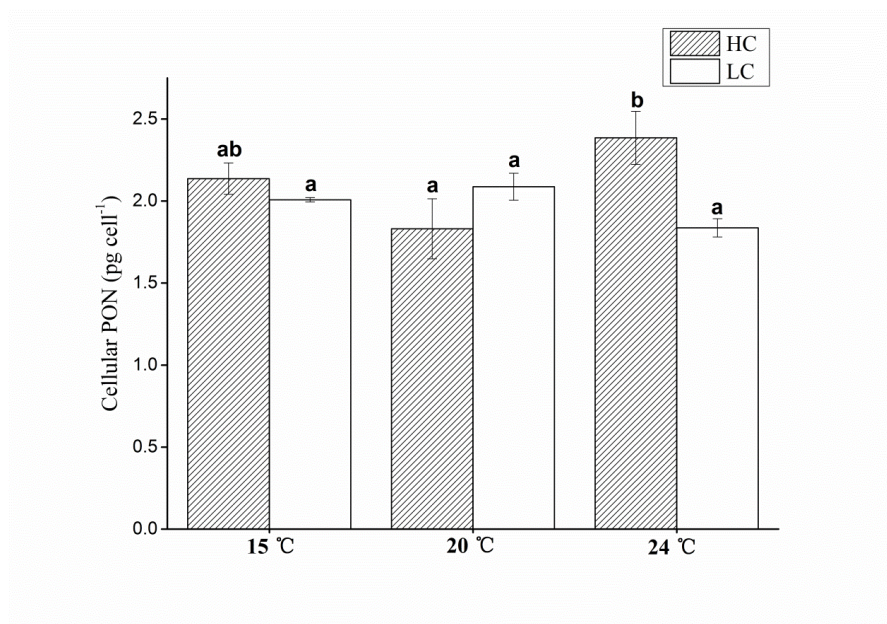
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795 Fig. 4



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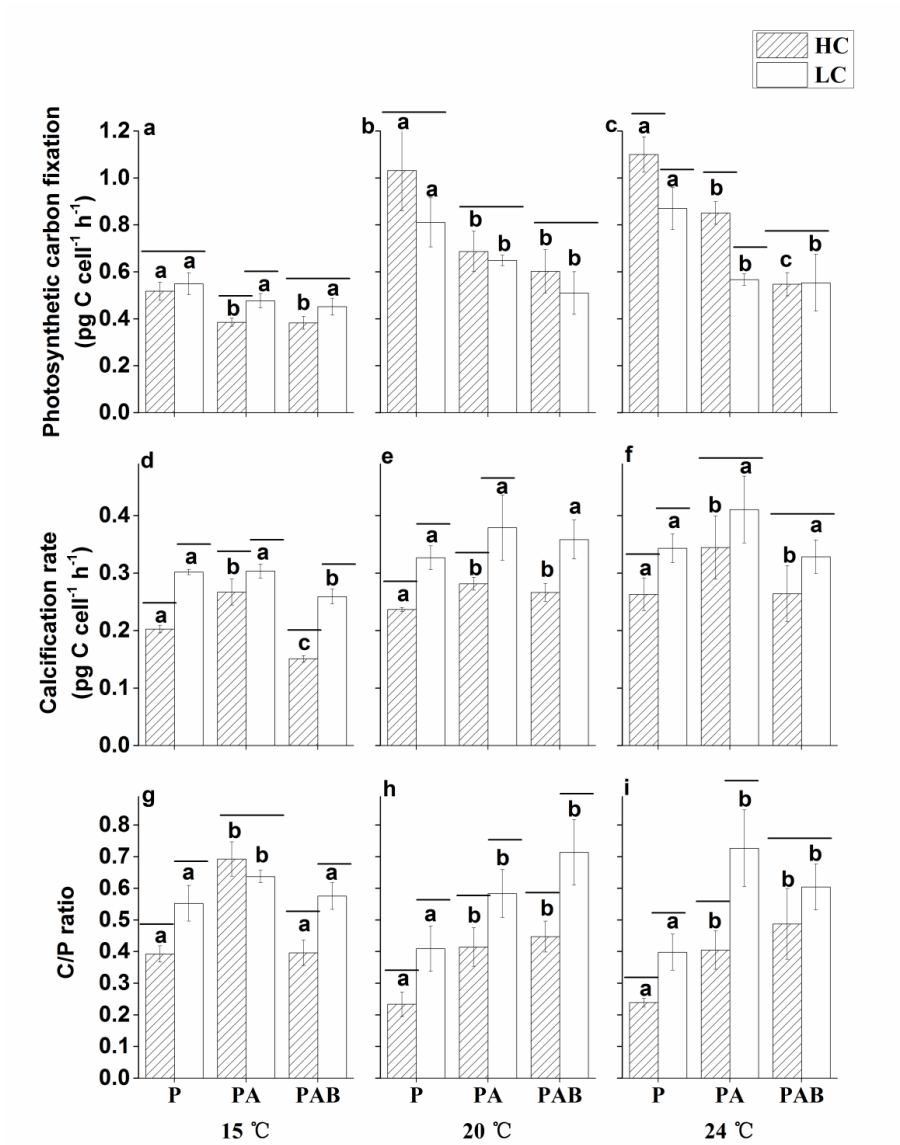
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809 Fig. 5



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813 Fig. 6

