Modeling impacts of climate change and grazing effects on plant biomass and soil organic carbon in the Qinghai–Tibetan grasslands

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13 Abstract:

14 The Qinghai Province supports over 40% of the human population but occupies about 29% of 15 the land area, and thus it plays an important role in the entire Qinghai–Tibetan Plateau (QTP). 16 The dominant land cover is grassland, which has been severely degraded over the last decade 17 due to a combination of increased human activities and climate change. Numerous studies indicate that the plateau is sensitive to recent global climate change, but the drivers and 18 19 consequences of grassland ecosystem change are controversial, especially the effects of climate 20 change and grazing patterns on the grassland biomass and soil organic carbon (SOC) storage in 21 this region. In this study, we used the DeNitrification-DeComposition (DNDC) model and two 22 climate change scenarios (representative concentration pathways: RCP4.5 and RCP8.5) to understand how the grassland biomass and SOC pools might respond to different grazing 23 24 intensities under future climate change scenarios. More than 1400 grassland biomass sampling points and 46 SOC points were used to validate the simulated results. The simulated above 25 ground biomass and SOC concentrations were in good agreement with the measured data (R^2 26 0.71 and 0.73 for above ground biomass and SOC, respectively). The results showed that 27 28 climate change may be the major factor that leads to fluctuations in the grassland biomass and 29 SOC, and it explained 26.4% and 47.7% of biomass and SOC variation, respectively. 30 Meanwhile, the grazing intensity explained 6.4% and 2.3% variation in biomass and SOC, respectively. The project average biomass and SOC between 2015-2044 was significantly 31 smaller than past 30 years (1985–2014), and it was 191.17 g C m^{-2} , 63.44 g C kg⁻¹ and 183.62 32 g C m⁻², 63.37 g C kg⁻¹ for biomass and SOC under RCP4.5 and RCP8.5, respectively. The 33 34 RCP8.5 showed the more negative effect on the biomass and SOC compared with RCP4.5. 35 Grazing intensity had a negative relationship with biomass and positive relationship with SOC. 36 Compared with the baseline, the biomass and SOC changed 12.56% and -0.19%, 7.23% and 37 0.23%, -5.17% and 1.19% for the treatment G₀, G₋₅₀ and G₊₅₀, respectively. In the future, more human activity and management practices should be coupled into the model simulation. 38 39 Keywords: Biogeochemical process; DNDC; Grazing intensity; Grassland management;

40 Degradation;

41 **1 Introduction**

42 Grassland is one of the most widespread terrestrial ecosystems and accounts for nearly 33% of 43 the land without ice cover (Ellis and Ramankutty, 2008), where it plays important roles in both 44 the global carbon cycle and terrestrial ecosystem processes (Li et al., 2013c). The Qinghai-45 Tibetan Plateau (QTP) covers an area of approximately 130 million hectares (ha), 44% of China's total grassland (Li et al., 2013a; Piao et al., 2012). This area plays a vital role for the 46 ecological services of China and Southeast Asian countries (Piao et al., 2012; Wang et al., 47 2002;Li et al., 2013b;Zeng et al., 2015;Harris, 2010). Qinghai Province supports over 40% of 48 49 the population but it has about 29% of the total area, and thus it plays an important role in the whole QTP (Li et al., 2013a; Piao et al., 2012). This area is recognized as one of the most 50 51 ecologically fragile and sensitive areas to global climate change and human disturbance (Piao 52 et al., 2012; Wang et al., 2002; Li et al., 2013b; Zeng et al., 2015; Harris, 2010). Moreover, this 53 area is also the largest animal husbandry production region in China, and it also contains the 54 headwaters of the two major rivers in China, i.e., the Yellow River and the Yangtze River, and 55 thus it plays a vital role in ecological conservation in China (Zeng et al., 2015). In recent decades, due to climate change, increased human disturbances, the high altitude alpine 56

57 grassland ecosystems, which are the dominant grassland vegetation type, have been severely

58 degraded (Gao et al., 2010; Miehe et al., 2017; Qiao et al., 2015). The air temperature on the 59 plateau has increased by 0.3°C per decade, which is three times the global average (Li et al., 60 2008). Warming could significantly increase the net primary productivity of alpine meadows (Fan et al., 2010;Du et al., 2004;Chen et al., 2013). Other studies have found that warming also 61 62 speeds up the decomposition rate for litter and manure, and increases soil respiration (Xu et al., 2010;Luo et al., 2010), which could cause significant losses of soil organic carbon (SOC) and 63 affect the alpine grassland ecosystem carbon pool balance (Tan et al., 2010;Pei et al., 64 65 2009;Babel et al., 2014;Liu et al., 2017). Although the ecological impact of warming on the QTP alpine grassland ecosystem has not been fully elucidated in previous studies, there is no 66 doubt that warming will greatly accelerate the key processes in the alpine grassland ecosystem 67 carbon cycle (Luo et al., 2010). There are reported that both precipitation amount and the 68 69 number of precipitation days have increased significantly in QTP (Li et al., 2010). As 70 precipitation is another crucial climate factor in controlling the carbon cycle of grassland ecosystems, how the higher variability precipitation impacts the SOC and biomass in QTP need 71 72 further investigation (Lehnert et al., 2016; Maussion et al., 2014).

73 Grazing is the most important biotic factor among the ecological processes that affect rapid 74 changes in the vegetation and soil, and it is the main method for deriving ecosystem services from the QTP grassland (Tanentzap and Coomes, 2012). Moreover, grazing is one of the major 75 human disturbances to the grassland in this area. In general, overgrazing is considered to be one 76 77 of the main causes of carbon and nitrogen losses from the soil, thereby contributing to the 78 unsustainable use of grassland (McIntire and Hik, 2005). Therefore, sustaining a reasonable 79 grazing intensity has an indispensable role in maintaining the turnover of soil nutrients and 80 plant community stability (Klein et al., 2007).

Previous studies have shown that different types of vegetation and soil nutrient pools exhibit significantly different responses to variations in the grazing intensity (Lavado et al., 1996;Ingrisch et al., 2015). However, there is still a lack of robust studies to evaluate the combined effect of grazing and climate change, as well as their impact on the QTP grassland ecosystem at a large scale. Due to the unique geographic characteristics and important ecological functions of the QTP grassland ecosystem, it is necessary to evaluate the impacts of human management and climate change to ensure that it continues to provide these ecosystem 88 services.

In this study, using a well-calibrated DeNitrification-DeComposition (DNDC) model based on long-term vegetation observations, we evaluated the response of the grassland ecosystem in Qinghai Province in terms of both climate change and human management by analyzing the grazing intensity. We also analyzed the interactions between grassland vegetation and soil carbon storage with grazing intensity and climate change disturbances at a large scale in longterm impact assessments.

95 **2** Materials and methods

96 2.1 Study area

97 Qinghai Province (89°35'-103°04' E, 31°39'-39°19' N) is located in the northeast of QTP in 98 China (Fig. 1). This region has a typical plateau climate, with a mean annual temperature of 8.6°C (from -6°C to 9°C across the study area) and a mean annual precipitation of 424.7 mm 99 100 (16.7–776.1 mm across the study area). In general, the climate is cold and dry. The altitude of 101 Qinghai province ranges between 1,650–6,860 meters above sea level (masl) and 67% of the 102 land area is in the range of 3,000–5,000 masl. Grassland is the major land cover in the study 103 area where alpine meadow and alpine steppe are the dominant vegetation types, where they 104 account for 60.5% of the total grassland area.

105 Grazing is the primary human activity in the study area and livestock production is a key 106 industry in this region. Generally, natural grassland is the major food source for the livestock 107 in the QTP. Compared with 1949, the number of livestock has increased by almost three times 108 from 7.49×10^6 (Zhang, 2011) to the peak number 22.19×10^6 head in 2005 at the study area 109 (QPBS, 2005, 2015).

Since 2004, the Chinese government has implemented a series of ecological protection projects and policies in Qinghai province, including reducing livestock and prohibiting grazing, building fences to allow natural grassland recovery, as well as providing allowances and awards to local herdsmen families to promote degraded pasture recovery and to balance the livestock rate according to the forage productivity (Zeng et al., 2015). The core objective of these projects and policies is changing the grazing intensity and achieving a balance between the livestock intensity and grassland regenerability in order to construct a sustainable grassland ecosystem. Due to new policies for ecological protection, the livestock numbers have declined in recent years, but they have been maintained at the 2015 level of 19.42×10^6 head (supplementary Table S1) (QPBS, 2015).

120 **2.2 DNDC model**

The DNDC 9.5 biogeochemical model, which was downloaded from the official web (http://www.dndc.sr.unh.edu/), was employed in this study (Li et al., 1992;Li et al., 2006). The model has been used widely in more than 20 countries to obtain accurate calibration and verification results in various ecosystems (Abdalla et al., 2009;Chen et al., 2015;Li et al., 2014;Xu et al., 2003;Kariyapperuma et al., 2011;Li et al., 1996;Zhao et al., 2016;Liu et al., 2006;Li et al., 2017;Zhang and Niu, 2016).

The model has two components. The first component can simulate the soil environmental conditions, where it includes soil climate, vegetation growth, and decomposition submodels. The second component includes three submodels for simulating nitrification, denitrification, and fermentation processes, which are used to simulate biogeochemical production, consumption, and emissions of CH_4 , N_2O , NO, and NH_3 , as well as nitrogen losses due to leaching (Zhang et al., 2015).

The DNDC model simulates vegetation growth by tracking photosynthesis, respiration, water demand, N demand, C allocation, crop yield, and litter production. The model predicts the SOC dynamics mainly by quantifying the SOC input from crop litter incorporation and manure amendment, as well as the SOC output through decomposition. More detailed information about the model was given by Li (1996).

138 2.3 Regional database

In order to characterize the spatial heterogeneity of natural grasslands in the study area, we
collected the following geospatial data as inputs for the DNDC biogeochemical model:
grassland type and spatial distribution (Fig. 1), soil properties, and climate data.

142 Grassland Database

143 The vegetation parameters in the model were obtained from a grassland field monitoring project 144 implemented during 2005–2014 (ERSMC-b, 2016;ERSMC-a, 2016). This annual monitoring project covered the major types of grassland within the project area. On average, 168 145 146 monitoring sites were sampled each year. For each monitoring site, the average value based on 147 3 replicate sampling points was calculated to determine the aboveground biomass value for the monitoring site. The aboveground biomass harvests used the quadrat method during the plant 148 149 growing season (July 10–August 20) in a 1 m \times 1 m plot. A more detailed description of the 150 sampling method used to obtain the observation data can be found in reports by the Ecological 151 Environment Remote Sensing Monitoring Center of Qinghai Province (ERSMC-a, 152 2016;ERSMC-b, 2016). The grassland simulation based on the grassland functional group type 153 was categorized according to the grassland type map for the study area (Fig. 1). The detailed 154 grassland parameters used in the model were shown in Supplementary Table S4.

155 Soil Database

We used a 1:1,000,000 scale soil database developed by the Institute of Soil Science, Chinese Academy of Sciences, which was compiled based on the second national soil survey conducted in 1979–1994 for all the counties in China (Shi et al., 2004). The database had three attributes: locations, soil attributes, and reference systems. It contained multi-layer soil properties (e.g. organic matter, pH, and bulk density), soil texture (e.g. sand, silt and clay proportions), and spatial information (Shi et al., 2004;Yu et al., 2007a;Yu et al., 2007b), which were used in the model simulations.

163 Climate Database

Daily climate data were obtained from the China Meteorological Network for the study period, and there were 39 stations inside the study areas (http://data.cma.cn/). The daily precipitation and maximum/minimum temperatures between 1985–2014 were interpolated at 1-km resolution grid for our model. Regression kriging and the inverse distance method were employed for air temperature and precipitation interpolation, respectively (Fortin and Dale, 2005;Hengl et al., 2007).

170 Model implementation

171 All datasets were processed with ArcGIS version 10.2 (ESRI, Redlands, CA) to the formation a georeferenced DNDC regional simulation database. The data processing flowchart could be 172 173 found in the supplementary Fig. S1. The county boundary data were overlaid on grassland type maps to form the model simulation unit. Then county-based grazing intensity, soil properties, 174 175 and climate information were assigned to the model simulation units. The DNDC was running 176 with regional simulation database based on individual model simulation units. The detailed 177 information of how to run the model could be found in Li (2012). The actual climate, soil, grassland type and grazing intensity as the simulation baseline. 178

179 2.4 Simulation scenarios

180 Grazing simulation scenarios

181 The grazing period is all-year round and cattle (90% yaks), sheep, and goats are major livestock types, while horses are a minor component in the study area. The grazing intensity data were 182 183 based on the annual national livestock statistical report provided by the National Bureau of 184 Statistics of China and the Bureau of Statistics for Qinghai Province. The detailed grazing data are shown in Supplementary Table S3. In the DNDC model, grazing activity is defined by 185 specifying the grazing parameters, including the livestock type, grazing period, and grazing 186 intensity. The detailed parameters for simulating grass growth are shown in Supplementary 187 188 Table S4. The grazing intensity is defined according to Eq. 1 based on the grazing area in each 189 administrative region (Li et al., 2014):

$$GI = LP/GA$$
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where GI is the grazing intensity (head ha^{-1}), LP is the livestock unit (head), and GA is the grazing area (ha).

(Eq.1)

In order to test the responses of the grassland biomass and soil SOC to various grazing intensities, we tested the following treatments: baseline, grazing intensity based on the actual grazing intensity in 2005; G_0 , grazing intensity of zero; G_{-50} , 50% of the baseline intensity; and G_{+50} , 50% higher than the baseline.

196 Climate change scenarios

197 The Intergovernmental Panel on Climate Change (IPCC) Fifth Report employed new stable 198 concentration-based scenarios in representative concentration pathways (RCPs) to project 199 future climate change (IPCC, 2013). The development of the RCP scenarios used a parallel 200 method, which combined climate, air, and the carbon cycle with emissions and the socio-201 economic situation to assess the impact of climate change on a study area, as well as adaptation, 202 vulnerability, and mitigation analysis (Moss et al., 2010). The RCPs were named according to 203 their 2100 radiative forcing level and reported by individual modeling teams, i.e., 2.6–8.5 W/m². The RCPs comprise four scenarios, i.e., RCP2.6, RCP4.5, RCP6.0, and RCP8.5 (Moss et al., 204 205 2010). Each scenario provides a path affected by social and economic conditions and climate, and each projection corresponds to the radiation force value predicted by 2100. 206

207 We considered RCP4.5 and RCP8.5 because these two scenarios have been used widely to 208 evaluate the potential impact of climate change on the environment (Di Vittorio et al., 2014;Zhang et al., 2013;Li et al., 2015;van Vuuren et al., 2011). RCP4.5 represents a medium-209 low RCP with stabilization of CO₂ emissions from 2150 onwards, and RCP8.5 represents a high 210 211 RCP with stabilizing CO_2 emissions post-2100 (Meinshausen et al., 2011). The projected climate conditions in the present study under RCP4.5 and RCP8.5 were derived from the 212 213 average values of 25 Coupled Model Intercomparison Project Phase 5 (CMIP5) global climate 214 models (Fu and Feng, 2014).

215 Compared with 2014, the average temperature and precipitation increased by 0.72°C and 0.80°C, and by 11.81 mm and 12.50 mm under RCP4.5 and RCP8.5 in 2044, respectively, in 216 217 the study area (Table 1). The changes in the spatial distribution of precipitation are shown in 218 Supplementary Fig. S2. The pattern of increased precipitation was similar using RCP4.5 and 219 RCP8.5 for the period of 2014–2044, where it increased in the whole area and it increased 220 gradually from the north to the south of the study area. However, RCP8.5 obtained a higher 221 increase than RCP4.5 and the southwest part of the research area is projected to have a higher 222 temperature increase than the other regions. Moreover, the annual average temperature had a 223 similar distribution under the two climate change scenarios, where the temperature increase 224 using RCP4.5 (Supplementary Fig. S2c) was lower than that with RCP8.5 (Supplementary Fig. 225 S2d).

226 Three different periods were considered in the grassland simulations. First, a pretreatment 227 (1961–1984) period was used to initialize the soil climate conditions and SOC composition. The pretreatment period represented the baseline climate with no increases in CO₂ or climate 228 229 change. The second period represented realistic climate scenarios (1985-2014) based on the 230 most recent climate. The third period comprised future climate scenarios (2015–2044), which represented two future climates (RCP4.5, RCP8.5) scenarios with changes in temperature and 231 precipitation. The future climate database between 2015 to 2044 was obtained through add the 232 233 projected future climate change to the daily temperature and precipitation in 2014.

234 2.5 Model validation and sensitivity test

The root mean squared error (RMSE) (Eq.2), coefficient of determination (R^2) (Eq.3) and model 235 efficiency (ME) (Eq.4) were employed for model validation. The RMSE estimates the scatter 236 237 between the simulated and measured data, where values close to zero indicate excellent 238 agreement and hence the good performance of the model (Araya et al., 2015). R^2 is used to test 239 the agreement between the modeled results and observations, where a value closer to 1 indicates 240 that the model provides a better explanation for the observed values (Willmott, 1982). The 241 positive ME value indicates that the model prediction is better than the mean of observations, and the best model performance has ME value equal to 1 (Miehle, 2006). RMSE, R² and ME 242 were calculated as follows: 243

$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (P_i - O_i)^2}{n}}$$
(Eq. 2)
$$R^2 = \left[\frac{\sum_{i=1}^{n} (O_i - \bar{O})(P_i - \bar{P})}{\sqrt{\sum_{i=1}^{n} (O_i - \bar{O})^2} \sqrt{\sum_{i=1}^{n} (P_i - \bar{P})^2}}\right]^2,$$
(Eq. 3)
$$ME = 1 - \frac{\sum_{i=1}^{n} (P_i - O_i)^2}{\sum_{i=1}^{n} (O_i - \bar{O})^2}$$
(Eq. 4)

where P_i and O_i were modeled and observed values, and \overline{P} and \overline{O} are their averages. n is the number of values.

The validation dataset included more than 1400 grassland biomass sampling points, which covered the whole of the study area, and the field measurements were also fully representative of the major grassland types in this area. In addition, 46 SOC observation points were sampled 249 between 2011–2012, which were randomly distributed among all of the simulation units 250 (county and grassland types). Maximum biomass in each quadrat was harvested and dried in an oven at 70 °C for 72 h, weighed and ground for analysis. The soil of 0–30 cm depth was sampled 251 252 at 10-cm intervals with a soil drill (metal cylinder: diameter of 5 cm, length of 20 cm and the 253 total length of the sampler 1.3 m). 3 samples were collected in each replication plot. The ground 254 soil samples passed a 0.15 mm sieve and wet oxidation method was applied to determine SOC (Mebius, 1960). In general, every simulation unit had 1-2 validation points (ERSMC-a, 2016). 255 256 A series of sensitivity tests were conducted to investigate the responses of the DNDC to 257 variation in climate factors (air temperature, precipitation) and grazing intensity. DNDC was 258 run with a 55-year baseline scenario that was based on the actual climate, soil and grazing conditions of year 2005 in the study area. The ranges of values for alternative scenarios were 259 260 $\pm 10, \pm 20$ and $\pm 30\%$ for precipitation, $\pm 1, \pm 2$ and ± 3 °C for air temperature and $\pm 20, \pm 40, \pm 60, \pm 10, \pm$ 261 ± 80 and $\pm 100\%$ for grazing intensity, respectively.

262 2.6 Statistical analysis

Two-way analysis of variance (ANOVA) was used to test the effects of climate and grazing intensity on both the biomass and SOC according to the simulated results. Mean values for the same treatments were compared using Fisher's least significant difference (LSD) test with oneway ANOVA at P = 0.05. The statistical analyses, including the test for normality (Shapiro-Wilk) and homogeneity of variance (Levene), were performed using Origin 2016 version b9.3.1.273 (OriginLab Corporation, MA, USA), and the multiple regression analysis was conducted with the Minitab version 17 (Minitab Inc., State College, PA, USA).

270 **3 Results**

271 3.1 Model validation

The biomass simulation showed that the modeled total biomass was in good agreement with the observations (Fig. 2). There was a significant linear relationship (P < 0.001) between the measurements and the modeled above ground biomass ($R^2 = 0.71$, ME=0.75, RMSE = 93.11 g C m⁻²; P < 0.001). The simulated SOC concentrations were in good agreement with the measured data (Fig. 3). The calculated statistical indices indicated that the modeled SOC concentrations were closely correlated with the measured data ($R^2 = 0.73$, ME=0.69, RMSE = 21.51 g C kg⁻¹; P < 0.001).

279 3.2 Sensitivity analysis

In the sensitivity analysis simulation, increases in precipitation resulted in elevated biomass and 280 281 SOC, however, the SOC was changed slightly compared to the biomass (Fig. 4A, B); 282 Temperature decrease induced the biomass decrease, and temperature increase could increase the biomass. However, biomass change did not follow a simple linear relationship with change 283 in temperature. The 1°C temperature increase could bring 24% of biomass increase, meanwhile, 284 285 1°C temperature decrease could decrease 13% biomass (Fig. 4A). Biomass was not susceptible 286 to the changes in precipitation. The biomass increased 7% and decreased 6% with precipitation increased and decreased 30%, respectively. SOC had the reverse trend with increased or 287 288 decreased temperature, but there was a more complex relationship with temperature change. 289 The SOC had less sensitivity to temperature change compared to biomass. With a 1 °C temperature increase, the SOC increased slightly with 0.26%, but when temperature increased 290 over 2 °C, the SOC decreased 0.26-0.83% (Fig. 4B). The modeled biomass was sensitive to 291 grazing intensity and biomass had a reverse trend with increased or decreased grazing intensity 292 293 (Fig. 4A). When grazing intensity changed from -100 to 100%, SOC increased rate from -0.22 294 to 0.40% (Fig. 4B).

3.3 Impact of grazing on biomass and SOC

The biomass and SOC were significantly affected by climate change and the grazing intensity. However, there were no significant interaction effects between climate and grazing intensity on biomass and SOC during 1985–2044 throughout the study area (Table 2). The grazing intensity change could significantly influence the biomass, which had a negative relationship with the grazing intensity. The biomass differed significantly under the four grazing intensities. Among the grazing intensity treatments, the biomass followed the order of: $G0 > G_{-50} >$ baseline $> G_{+50}$ (Table 3). Compared with the baseline, the biomass changed 12.56%, 7.23% and -5.17% for the treatment G_0 , G_{-50} and G_{+50} , respectively. Grazing could increase the SOC storage. The SOC levels under various grazing intensities followed the order of: $G_0 < G_{-50} <$ baseline $< G_{+50}$ (Table 3). G_0 had the lowest SOC whereas G_{+50} had the highest SOC. Compared with the baseline, the SOC changed -0.19%, 0.23% and 1.19% for the treatment G_0 , G_{-50} and G_{+50} , respectively.

307 3.4 Impact of climate change on biomass and SOC

308 The biomass exhibited a significant decreasing trend in the future climate scenarios compared 309 with the past 30 years under all the grazing intensities (Fig. 5), although precipitation increased 310 under both RCP4.5 and RCP8.5 (Table 1). Moreover, the biomass was significantly lower in RCP8.5 compared with RCP4.5 (Table 3). Compared with 1985-2014, the simulated biomass 311 312 decreased -6.29% and -9.99% in 2015-2044 under RCP4.5 and RCP8.5, respectively. This 313 suggests that RCP8.5 had a more negative effect on the biomass compared with RCP4.5 (Fig. 314 5). The future climate could significantly decrease the SOC, and it was -4.14% and -4.25%lower than that in 2015–2044 for RCP4.5 and RCP8.5, respectively. It suggested that RCP8.5 315 316 had a more negative effect than the RCP4.5 on the SOC. SOC exhibited a continuously decreasing trend according to the RCP4.5 and RCP8.5 projections in the research area, where 317 318 the changes in the SOC were similar under the different grazing treatments (Fig. 6). The SOC was lower under RCP8.5 compared with that under RCP4.5. However, there were no significant 319 320 differences between RCP4.5 and RCP8.5 (Table 3).

321 3.5 The relationship between SOC and biomass change with

322 grazing and climate factors

A multiple linear regression analysis was adopted to each simulation unit to analyze the relationship between the annual changed biomass and SOC with corresponding temperature, precipitation and grazing intensity. The regression analysis indicated precipitation, air temperature and combined with grazing intensity, can explain 33.2% of changes in biomass 327 under the realistic climate scenarios with a linear model. Meanwhile, precipitation, air 328 temperature, and grazing intensity can explain 52.3% of SOC variation (Table 4). Specifically, climate factors explained 26.4% and 47.7% of biomass and SOC variation, respectively. Meanwhile, 329 330 the grazing intensity explained 6.4% and 2.3% variation in biomass and SOC, respectively. Taking 331 into account the prediction sum of squares (PRESS) value, air temperature is the factor contributing most of variations in biomass and SOC. It's suggested that precipitation and 332 333 grazing intensity have lower contributes to biomass and SOC change in study region during 334 past thirty years compared to temperature.

335 3.6 Patterns of regional change in the biomass and SOC

From a spatiotemporal distribution perspective, the distribution of grassland biomass in 336 337 Qinghai Province is rather distinct due to the different constraints imposed by water and the 338 cumulative temperature. The biomass increased in the central and southwest of the research 339 region but decreased in the eastern and northern regions under RCP4.5 and RCP8.5, respectively. Moreover, the grassland biomass tended to decrease in more regions rather than 340 341 exhibiting an increasing trend (Fig. 7A). In particular, the vegetation activities are mainly 342 controlled by temperature in the eastern region, which may lead to greater negative effects than the positive effects of increased precipitation (Zhou et al., 2007); therefore, the average regional 343 344 biomass may exhibit a significant decreasing trend.

In general, the SOC decreased from the low-temperature region to the high-temperature region, where it followed the temperature distribution pattern in Qinghai Province and decreased from the south to the north (Fig. 7B). The cold weather conditions would limit decomposition process and there would be greater carbon storage over the years with accumulation in this area. Furthermore, on the regional scale, although the SOC exhibited a decreasing trend in the whole study area, the rate of change differed with a significant spatial distribution pattern.

351 **4 Discussion**

4.1 Effects of climate change on biomass and SOC

Climate change is the main driver of the inter-annual fluctuations in the grassland biomass, as 353 observed in previous studies by Fan et al. (2010) and Gao et al. (2016). The unique climate 354 355 conditions such as precipitation and temperature on the QTP have a significant impact on the grassland biomass (Fan et al., 2010; Yan et al., 2015). According to this study, the biomass of 356 alpine grassland could increase significantly in the short term as the temperature increases (Fig. 357 4), as also suggested by Chen et al. (2013) and Gao et al. (2016). However, under long-term 358 359 constant warming and without considering other meteorological factors, the alpine grassland biomass will probably decrease (Zhu et al., 2016). This may be due to the higher temperature 360 increasing evaporation in the study area, thereby overcoming the benefits of increased 361 precipitation (Xu et al., 2009). The shortage of water will ultimately limit the increase in the 362 grassland biomass with significant warming and drying. 363

The decline of the SOC in our study indicates that climate warming will have more negative 364 effects and eliminated the positive effect of precipitation increasing in the study area. Riedo et 365 al. (2000) indicated that carbon storage may be lost from grazed grassland as the temperature 366 and precipitation increase. Tan et al. (2010) suggested that after a 2°C increase in temperature 367 in the QTP, the grassland ecosystem's net primary productivity will increase by 9%, but the 368 SOC will decrease by 10%. Temperature and precipitation are the main factors that affect the 369 SOC pools (Jobbagy and Jackson, 2000). Many studies have shown that sustained warming will 370 371 lead to increases in the SOC decomposition rate (Xu et al., 2012; Tan et al., 2010), especially in the QTP region with high carbon storage at a low temperature in the high latitudes. Thus, the 372 373 SOC could be released by climate warming and become a more obvious carbon source (Kirschbaum, 1995;Kvenvolden, 1993;Yang et al., 2008;Wang et al., 2008;Oin et al., 2014). 374 However, the effects of warming and precipitation on SOC storage remain a relatively complex 375 376 problem (Cao and Woodward, 1998;Schuur, 2003).

4.2 Effects of grazing intensity on biomass and SOC

The grazing intensity is most importance for the outcomes of grazing and it is the main external factor that controls the grassland vegetation dynamics, as reported in the previous studies (Zeng et al., 2015;Veen et al., 2012;Guevara et al., 1996;McIntire and Hik, 2005;Pei et al., 2008). Indeed, an increase in the grazing intensity implies that more plants would be removed by animals, which could eventually lead to a decline in the aboveground biomass of the grassland (Yan et al., 2013).

384 Small differences in the SOC concentrations were observed after the grazing intensity increased. 385 However, there was a positive correlation between the grazing intensity and SOC. There is a 386 lack of consistent conclusions regarding the impact of grazing on the SOC concentration according to previous studies. Thus, some studies showed that the grazing intensity and SOC 387 had a negative correlation (Derner et al., 1997; Bagchi and Ritchie, 2010; Wu et al., 2009) or no 388 relationship (Milchunas and Lauenroth, 1993;Holt, 1997). By contrast, many other studies 389 390 showed that grazing can increase the SOC (Schuman et al., 1999; Wienhold et al., 2001; Li et al., 391 2011). This is partly because moderate grazing can increase the grassland below-ground 392 biomass, which is beneficial for the accumulation of SOC (López-Mársico et al., 2015;Hafner 393 et al., 2012). Some studies have shown that increasing the plant root/shoot ratio and allocating 394 more carbon to the root system could induce SOC increase (Derner et al., 1997). Nevertheless, 395 the main reason for the increase in the SOC in our study was the increasing number of grazing 396 animals, and thus the increased amount of manure returned after grazing on grassland (Hu et 397 al., 2015). Furthermore, the fertilizing effects of livestock excrement can increase the SOC 398 (Conant et al., 2001), especially in alpine grassland where the low temperature leads to the 399 relatively slow decomposition of litter (Davidson and Janssens, 2006). Moreover, increases in 400 the effects of hoof activity can accelerate the decomposition of litter and decaying roots, and 401 improve the contact with the soil, thereby accelerating the transfer of carbon to the soil to 402 increase the SOC concentration (Naeth et al., 1991;Luo et al., 2010).

403 **4.3 Uncertainty analysis**

404 Models are ideal tools for assessing the details of environment processes under various grazing intensity. Furthermore, they can provide projections regarding the variations in grassland 405 biomass and SOC under alternative climate change scenarios. However, the uncertainty of the 406 407 data sources could be incorporated into the model outputs. The CMIP5 RCP scenarios were 408 used to provide the possible changes in climate in this study, but as a long-term climate 409 projection, the uncertainty of the projected climate will increase with time span increase (Moss 410 et al., 2010). The precipitation seasonal distribution pattern is critical to grassland growth (Shen 411 et al., 2011). In the present study, the precipitation distribution pattern of RCP scenarios was 412 derived from the year of 2014; this assumption may cause uncertainty for long-term study.

In the present study, we assumed that the grassland type was the same in the scenarios. As the grassland community structure could be altered under both grazing and climate change (Koerner and Collins, 2014). Therefore, the assumption of grassland community structure keeps stable in the simulation could induce the uncertainty. Due to a lack of mechanisms regarding the response of grassland soil to animal trampling in the DNDC model, we ignored the trampling effect of the animals on the soil structure, which may have led to some errors in the results.

420 The grazing rate can be another potential source of uncertainty. In most of the natural grassland 421 regions of the QTP, transhumance is usually practiced, which requires the transfer of livestock from one pasture to another during different seasons, and staying in the same pasture for the 422 423 whole season. However, this grassland management practice was simplified in the present study 424 because we could not find specific statistical data to address this issue. Thus, we assumed that livestock staved in the same pasture for the whole year with 24 h d^{-1} of grazing and the stocking 425 426 rates were the same throughout the simulation unit and without vak dung remove (Zhang et al., 427 2016). Furthermore, we assumed that all grasslands were useable. These assumptions could 428 have induced uncertainties in the simulation results.

429 **5** Conclusions

In this study, we used the DNDC model to study the grassland biomass and SOC dynamics 430 under different climate change and grazing management scenarios. We found that climate 431 432 change may be the major factor that leads to fluctuations in the grassland biomass and SOC compare to grazing intensity, and it could explain 26.4% and 47.7% of biomass and SOC 433 434 variation, respectively. Meanwhile, the grazing intensity explained 6.4% and 2.3% variation in 435 biomass and SOC, respectively. The total grassland biomass and average SOC in the study area were reduced significantly under both the RCP4.5 and RCP8.5 future climate change scenarios. 436 Compared with 1985-2014, the simulated biomass and SOC decreased -6.29%, -4.14% and -437 438 9.99%, -4.25% under RCP4.5 and RCP8.5, respectively. There were significant differences in 439 the spatial distribution of the changing trends in the biomass and SOC. In the eastern and 440 northern regions of the study area, the biomass decreased, whereas it exhibited an increasing 441 trend in the southwest part of the research area. Meanwhile, the SOC exhibited a decreasing 442 trend in the whole study area, and SOC change rate decreased from the south to the north. The 443 biomass had a negative relationship with the grazing intensity and it differed significantly under 444 the four grazing intensities. Compared with the baseline, the biomass changed 12.56%, 7.23% and -5.17% for the treatment G₀, G₋₅₀ and G₊₅₀, respectively. Grazing could increase the SOC 445 446 storage. G_0 had the lowest SOC whereas G_{+50} had the highest SOC. Compared with the baseline, 447 the SOC changed -0.19%, 0.23% and 1.19% for the treatment G_0 , G_{-50} and G_{+50} , respectively. 448 Overall, grassland management should be adapted to potential climate change to ensure 449 sustainable grassland development in the study area.

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Table 1. Pro	jected c	limat	tic change	es (pr	recipitatio	n and max	imu	m, mii	nimum, a	and	mean	air
temperature) under	the	RCP4.5	and	RCP8.5	scenarios	in	2044	compar	ed	with	the
correspondi	ng value	s in t	he baselin	ne da	ta (2014).							

Scenarios	Air temperature (°C)			Precipitation(mm)		
	T _{max}	T _{min}	T _{mean}			
Baseline	3.63	-16.88	-3.56	279.24		
RCP4.5	+0.99	+0.44	+0.72	+11.81		
RCP8.5	+1.09	+0.51	+0.80	+12.50		

Table 2. Summary of two-way analysis of variance for biomass and SOC relative to the climate, grazing intensity, and their interactions during 1985–2044. Degrees of freedom (d.f.), mean squares (M.S.), variance ratio (F-value), and level of significance (P-value) are shown.

Source of variation	d.f.	Biomass			SOC			
		M.S.	F-value	P-value	M.S.	F-value	P-value	
Climate	2	16827.91	54.27	**	468.16	723.54	**	
Grazing Intensity	3	22132.64	71.37	**	17.29	26.72	**	
Climate*Grazing Intensity	6	2.63	0.01	n.s.	0.28	0.28	n.s.	

** Indicate the population means of the treatment are significantly different at 0.05 level; n.s., the means no significant different.

Table 3. The simulated SOC concentrations and total biomass under climate a	and gi	razing sc	enarios.	
	U	0		-

	Scenarios	Total biomass (g C m ⁻²)	SOC (0–20 cm) concentrations (g C kg ⁻¹)
Climate	Realistic (1985–2014)	204.01	66.18
	RCP4.5 (2015–2044)	191.17	63.44
	RCP8.5 (2015–2044)	183.62	63.37
	$LSD_{0.05}$	3.87	0.09
Grazing	Baseline	187.83	64.49
	G0	211.42	64.37
	G-50	201.41	64.64
	G+50	178.11	65.26
	$LSD_{0.05}$	4.47	0.10

LSD_{0.05}: Least significant difference at 0.05 level.

	Variables numbers	R-square	PRESS	Temperature	Precipitation	Grazing Intensity
	1	26.4	273067.7	Х		
	1	6.4	370402.4			Х
	1	0.4	349337.6		Х	
Biomass	2	26.4	287817.3	Х	Х	
	2	26.4	301908.4	Х		Х
	2	8.6	383224.5		Х	Х
	3	26.4	326183.5	Х	Х	Х
	1	47.6	179.2		Х	
SOC	1	2.3	310.9			Х
	1	0.4	322.9	Х		
	2	47.9	185.5		Х	Х
	2	47.7	189.5	Х	Х	
	2	4.7	328.8	Х		Х
	3	48.6	199.1	Х	Х	Х

Table 4. Multiple linear regression analysis of grassland biomass and SOC change with relative factors.

PRESS: The prediction sum of squares. The smaller the PRESS value, the better the model's predictive ability.

X: Indicates variable applied in the regression.



Fig. 1. Location of the study area and spatial distribution of the main grassland types. White areas are not covered by grassland.



Fig. 3. Comparison of the modeled and observed SOC concentrations (0-20 cm).



Fig. 4. Sensitivity analysis of model response to climate and grazing intensity change. The baseline biomass and SOC were the average value of a 55-year (1961-2014) simulation based on the actual climate and grazing conditions in the study area.

Scenarios



Fig. 5. Variations in the area-weighted mean biomass value under different scenarios. The stage on the left represents the preprocessing period from 1961 to 1984. The stage in the middle represents the realistic climate scenarios. The stage on the right represents future climate scenarios.



Fig. 6. Variations in the area-weighted mean SOC value under different scenarios. The stage on the left represents the preprocessing period from 1961 to 1984. The stage in the middle represents the realistic climate scenarios. The stage on the right represents future climate scenarios.



Fig. 7. Responses of the grassland biomass(A) and SOC(B) to climate change at a regional scale.