

1 **Title:** Soil nitrogen transformation responses to seasonal precipitation
2 changes are regulated by changes in functional microbial abundance
3 in a subtropical forest

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21 **Abstract**

22 More dry-season droughts and wet-season storms have been predicted in subtropical
23 areas. Since subtropical forest soils are significant sources of N₂O and NO₃⁻, it is
24 important to understand the features and determinants of N transformation responses
25 to the predicted precipitation changes. A precipitation manipulation field experiment
26 was conducted in a subtropical forest to reduce dry-season precipitation and increase
27 wet-season precipitation, with annual precipitation unchanged. Net N mineralization,
28 net nitrification, N₂O emission, nitrifying (bacterial and archaeal *amoA*) and
29 denitrifying (*nirK*, *nirS* and *nosZ*) gene abundance, microbial biomass carbon (MBC),
30 extractable organic carbon (EOC), NO₃⁻, NH₄⁺ and soil water content (SWC) were
31 monitored to characterize and explain soil N transformation responses. Dry-season
32 precipitation reduction decreased net nitrification and N mineralization rates by 13 -
33 20%, while wet-season precipitation addition increased both rates by 50%. More than
34 20% of the total variation of net nitrification and N mineralization could be explained
35 by microbial abundance and SWC. Notably, archaeal *amoA* abundance showed the
36 strongest correlation with net N transformation rates ($r \geq 0.35$), suggesting the critical
37 role of archaeal *amoA* abundance in determining N transformations. Increased net
38 nitrification in the wet season, together with large precipitation events, caused
39 substantial NO₃⁻ losses via leaching. However, N₂O emission decreased moderately in
40 both dry and wet seasons due to changes in *nosZ* gene abundance, MBC, net
41 nitrification and SWC (decreased by 10 - 21%). We conclude that reducing dry-
42 season precipitation and increasing wet-season precipitation affect soil N

43 transformations through altering functional microbial abundance and MBC, which are
44 further affected by changes in EOC and NH_4^+ availabilities.

45 **Key-words:** Denitrification, functional genes, nitrification, nitrogen cycle,
46 precipitation change, N_2O emission

47 **1 Introduction**

48 Precipitation changes caused by global climate change are predicted to be increasingly severe
49 over the coming century (IPCC, 2007; Seager et al., 2007). Future projected precipitation
50 patterns vary spatially and temporally, and the complexity and unpredictability of
51 precipitation changes have exceeded other global changes such as elevated CO₂ and
52 temperature (Beier et al., 2012). In addition to the frequency and intensity of precipitation
53 events, seasonal precipitation changes are of increasing severity in some regions of the world
54 (Easterling et al., 2000). For example, an analysis of 60 years of precipitation data showed
55 remarkable seasonal precipitation redistribution in subtropical China, with more frequent
56 droughts in dry season and extreme rainfall events in wet season (Zhou et al., 2011). In
57 contrast to changes in total annual precipitation, redistribution of seasonal precipitation may
58 be more important in controlling ecosystem function in subtropical forests due to strong
59 contrasts between dry and wet seasons (Wang et al., 2009). Recent meta-analyses on
60 precipitation manipulation experiments pointed out the lack of data in the warm and humid
61 monsoon zones (Wu et al., 2011; Liu et al., 2016), and that more than 60% of all manipulative
62 field experiments only focused on changes in annual precipitation amounts (Beier et al.,
63 2012). The consequences of seasonal precipitation redistribution at ecosystem levels are still
64 under investigation. Field experiments simulating seasonal precipitation changes in
65 subtropical regions are urgently needed for better understanding of the ecosystem responses.

66 Changes in precipitation can strongly affect soil nitrogen (N) cycling and balance, thus
67 exerting a feedback on climate (Davidson et al., 2008; Wieder et al., 2011). For instance,
68 annual N₂O emission was decreased by a rainfall exclusion experiment in the moist tropical

69 forest, but recovered within the first year after rainfall exclusion was stopped Davidson *et al.*
70 (2008). In grasslands, the net N mineralization rate declined sharply in response to increased
71 rainfall, but increased during drought (Jamieson *et al.*, 1998). Contrasting responses of N
72 transformation have also been obtained in temperate forests (Emmett *et al.*, 2004; Chen *et*
73 *al.*, 2011; Fuchslueger *et al.*, 2014). However, limited information exists about the responses
74 of N cycle to seasonal precipitation changes in subtropical forests, which serve as important
75 sources of N₂O emission and inorganic N leaching (Fang *et al.*, 2009; Isobe *et al.*, 2012).
76 Seasonal precipitation changes may affect N transformations by disturbing the seasonal
77 dynamics of microbial activities, soil moisture, temperature, plant nutrient uptake, and
78 carbon (C) and N availabilities (Reichmann *et al.*, 2013). Although the direct effects of soil
79 physicochemical properties and microbial communities on N transformations are well
80 documented, the dominant factors in determining N transformations under precipitation
81 changes are still debatable (Petersen *et al.*, 2012; Auyeung *et al.*, 2015).

82 Ammonium oxidation, the central and rate-limiting step in N cycling, is driven by
83 ammonia-oxidizing archaea (*AOA*) and bacteria (*AOB*), which are marked by the *amoA*
84 functional gene (van der Heijden *et al.*, 2008). The release and consumption of N₂O by
85 denitrification are mainly driven by nitrite-reducing bacteria carrying the *nirK* and *nirS*
86 genes and nitrous oxide-reducing bacteria carrying the *nosZ* gene (Schimel and Bennett,
87 2004; Levy-Booth *et al.*, 2014). Thus, changes in these functional microorganisms can shed
88 light on the underlying mechanisms of N transformation responses. The abundance,
89 composition and activity of these microbial functional groups largely depend on soil
90 moisture, temperature, O₂ diffusion, and C and N availabilities - all of these factors are

91 strongly influenced by precipitation (Bell et al., 2014). For instance, previous research has
92 shown that reduced precipitation decreases soil moisture and increases aeration and O₂
93 diffusion, which stimulates the activity of nitrifiers (*AOA/AOB*) and nitrification (Stark and
94 Firestone, 1995; Zhalnina et al., 2012). In contrast, reduced precipitation could constrain
95 the activity of denitrifiers, and consequently reduced the N₂O/N₂ emissions (Stark and
96 Firestone, 1995; Zhalnina et al., 2012). Both denitrifiers and nitrifiers might be suppressed
97 by decreased moisture and available C during drought (Bárta et al., 2010; Zhalnina et al.,
98 2012). In addition, increased precipitation may raise the NH₄⁺:NO₃⁻ ratio, as NO₃⁻ is easily
99 leached (Reichmann et al., 2013). High NH₄⁺:NO₃⁻ ratios can consequently alter the
100 predominant microbial groups (Nautiyal and Dion, 2008). The potential for mixotrophic
101 growth and starvation tolerance of nitrifying communities (Levy-Booth et al., 2014)
102 suggests a broader ecological niche occupied by the nitrifying groups. Therefore, the
103 nitrifying and denitrifying microorganisms may respond differently to seasonal
104 precipitation changes, leading to non-synchronous changes in nitrification and
105 denitrification, and consequently different changes in soil NO₃⁻, NH₄⁺ contents and N₂O
106 emission. However, the extent to which microorganisms control N transformations remains
107 unclear because soil physicochemical properties can also affect N pools through erosion,
108 leaching, plant uptake and physiological changes in microorganisms, regardless of
109 microbial composition or abundance (Cregger et al., 2014; Auyeung et al., 2015). As a
110 result, the effects of soil physicochemical properties and microbial communities on N
111 transformation rates are difficult to differentiate, which makes it difficult to uncover the
112 underlying drivers.

113 In order to investigate responses of N transformations to seasonal precipitation changes
114 and the main controlling factors, a precipitation manipulation experiment was conducted in
115 a subtropical forest in southern China, where the precipitation is predicted to increase in
116 wet seasons and decrease in dry seasons (Zhou et al., 2011). We simulated this seasonal
117 precipitation pattern for two years. Changes in soil physicochemical properties, net N
118 transformation rates, and nitrifying (bacterial and archaeal *amoA*) and denitrifying (*nirK*,
119 *nirS* and *nosZ*) gene abundance were analyzed and integrated in a hypothetical path model
120 which assumed that the precipitation-induced changes in soil physicochemical properties
121 and microbial abundance could alter N transformation rates (Fig. 1). The path coefficients
122 and model fitness were analyzed by a structure equation model (SEM) (Petersen et al.,
123 2012; Delgado-Baquerizo et al., 2014). We hypothesized that (1) decreasing precipitation
124 in the dry season will reduce N transformation rates by decreasing SWC, C and N
125 availabilities, and microbial abundance, but (2) precipitation addition during the wet season
126 will have little impact on N transformation due to the originally sufficient SWC and
127 substrate supply; (3) the responses of N transformation rates to the precipitation change
128 will be associated with changes in functional gene abundance, because N transformation
129 processes are primarily catalyzed by specific enzymes coded by functional genes; (4)
130 microbial abundance is directly influenced by soil physicochemical properties, but
131 denitrifiers will be more strongly affected than nitrifiers, because the nitrifiers have the
132 potential for mixotrophic growth and are tolerant of low N and C substrate availabilities.

133 **2 Materials and methods**

134 **2.1 Site description**

135 The study site is located at the Heshan National Field Research Station of Forest
136 Ecosystem, Chinese Academy of Sciences (112°54'E, 22°41'N), Heshan City,
137 Guangdong province, southern China. This area has a pronounced wet season (April
138 to September) receiving 80% of the annual rainfall, and a dry season (October to
139 March) with only 20% of the annual rainfall (Wang et al., 2009). The soil is typical
140 laterite (or Oxisols based on the USDA soil taxonomy), developed from sandstone,
141 and is easily leached. This study was conducted in a 35-year old evergreen
142 broadleaved mixed species (EBMS) forest dominated by *Schima superba*
143 and *Michelia macclurei*. The vegetation inventory was conducted in the study forest
144 by recording species name, diameter at breast height (DBH), tree height and density
145 prior to the experiment. Generally, the forest consists about 30 woody species, with
146 average tree height of 8 m, average diameter at breast height (DBH) of 9.5 cm, stem
147 density of 1430 trees ha⁻¹, and basal area of 11.6 m² ha⁻¹.

148 **2.2 Experimental design**

149 A replicated manipulative experiment of precipitation reduction in dry season and
150 precipitation addition in wet season was employed for two years from October 2012
151 to September 2014. Eight 12 m × 12 m experimental plots were randomly assigned to
152 4 replicates of each of the 2 treatment types: the seasonal precipitation change
153 manipulation (hereafter precip-change) and the trenched control (hereafter control).

154 Distance between the adjacent plots was at least 2 m. Prior to the experiment, the
155 stand characteristics between the precip-change and control plots were compared, and
156 no significant differences were detected. Generally, the four precip-change plots have
157 average tree height and DBH of 10.2 ± 5.0 m and 10.7 ± 6.3 , respectively, with
158 average crown width of 46 ± 11 m² and total number of 64 tree individuals. The
159 average tree height, DBH, crown width and total tree number in the four control plots
160 are 7.7 ± 3.5 m, 9.5 ± 5.2 cm, 49 ± 13 m² and 68, respectively. Around the perimeter
161 of each of the 8 plots, a 60-80 cm deep trench was excavated and 1 m height PVC
162 segregation board was imbedded to reduce the potential for lateral movement of soil
163 water from the surrounding areas into the plots. The precipitation reduction and
164 addition was realized by throughfall exclusion and water addition facilities,
165 respectively. Throughfall exclusion and water addition facilities were established in
166 the 4 precip-change plots, but not in the control. The facilities included supporting
167 structures, rainout shelters and water addition subsystems (Fig. S1). Within each of
168 the 4 precip-change plots, 16 galvanized steel pipes (2.5-3 m length \times 10 cm
169 diameter) were vertically fixed in concrete bases which were imbedded in soil for 60
170 cm depth, and were welded together with 8 horizontal stainless steel frames (12 m
171 length) at the top. Rainout sheets were fixed in two stainless steel frames and hanged
172 on the supporting system with steel hook rivets. There were about 8-12 rainout sheets
173 (with the width of 50-100 cm) within each precip-change plot depending on the
174 density of tree stems. The rainout sheets were made from polyethylene plastic with $>$
175 90% light transmission and installed at approximately 1.5 m height above the soil

176 surface. The total area of all the rainout sheets was 67% of the plot area (i.e., 144 m²).
177 The sheets were opened to exclude throughfall during dry season (October 1st to
178 March 31) but folded without throughfall exclusion during wet season (April 1st to
179 September 30th). Therefore, we reduced about 67% of the full incoming throughfall in
180 the dry season. The intercepted rainfall was routed into an iron gutter placed at the
181 lower slope of the plots, and then drained outside the plot with PVC pipes.

182 The water added into precip-change plots in the wet season was pumped from a
183 pond (about 800 m away from the experimental plots) and transported with PVC
184 pipes to the rubber sacs fixed on the supporting system, and then sprinkled out via 25
185 sprinklers distributed evenly in each plot. The pH was similar in the throughfall (6.42)
186 and pond water (6.19) but the nutrient (e.g. nitrogen and organic carbon) contents was
187 higher in throughfall than in the pond water (Zhao et al., 2017), which assures that we
188 did not enrich nutrients while adding water. The amount of water added into a precip-
189 change plot during the wet season was calculated as a product of the above-canopy
190 dry-season rainfall, the throughfall ratio, and the throughfall exclusion ratio (i.e.
191 0.67). The above-canopy rainfall was obtained from a standard meteorological station
192 (Davis, Vaisala, Finland) about 80 m away from the experimental site. The throughfall
193 ratio was 0.86 obtained from 8 rain gauges (TB4MM, Techno Solutions, Beijing,
194 China) installed about 80 cm above soil surface in the 8 plots. As a result, the intensity
195 of the dry season rainfall events was reduced and the frequency of large rainfall
196 events in wet season was increased, while the annually total quantity of the
197 throughfall was not changed. More specifically, the throughfall excluded was 220 mm

198 in the 2013 dry season (October 1st 2012 to March 31st 2013) and the same amount
199 water was added back into each precip-change plot with 4 large events (55 mm day⁻¹)
200 in June through September 2013 (i.e., each event in one month) to mimic the
201 projected occurrence of more large rainfall events in wet season in the region (Zhou et
202 al., 2011). The throughfall exclusion was 170 mm in the 2014 dry season (October 1st
203 2013 to March 31st 2014) and the same amount water was added back into each
204 precip-change plot with 3 large events (57 mm day⁻¹) in June through August 2014
205 (Fig. 2a).

206 **2.3 Soil sampling and analyses**

207 Soil samples were collected at the beginning and end of January, March, May, August
208 and October from May 2012 to September 2014 for physicochemical properties, and
209 from January 2013 to September 2014 for microbial functional genes analyses. Soil
210 samples were collected from 0 to 10 cm depth with an auger (Φ 35 mm), sieved
211 through a 2 mm mesh to remove litter and stones. One composite soil sample,
212 consisting of six subsamples randomly collected within each plot, was used for the
213 physicochemical (stored at 4 °C) and microbial (stored at -20 °C) analyses. All
214 samples were analyzed within two weeks.

215 Soil physicochemical properties were measured using the methods as described by
216 Liu et al. (1996). Briefly, soil water content (SWC) was obtained by drying fresh soils
217 in an oven at 105 °C for 24 h. Total nitrogen (TN) and total phosphorus (TP) were
218 determined using the H₂SO₄ digestion-indophenol blue colorimetry and H₂SO₄

219 digestion-Mo-Sb colorimetry methods, respectively. NH_4^+ and NO_3^- contents were
220 determined from the 2 M KCl extraction liquid by using the indophenol blue
221 colorimetry and copperized cadmium reduction methods, respectively.

222 Soil extractable organic carbon (EOC) and microbial biomass carbon (MBC) were
223 measured immediately after the soil sampling using the fumigation extraction method
224 described as Vance, Brookes and Jenkinson (1987). In detail, a pair of fresh soil
225 subsamples (10 g) was placed into two glass breakers. One was fumigated in a
226 vacuum dryer with alcohol-free chloroform and NaOH solution for 24 h in dark, and
227 the other one was placed in dark for 24 h without fumigation. The two subsamples
228 were extracted with 0.5 M K_2SO_4 after fumigation, and the EOC concentration was
229 determined using a total organic C analysis instrument (TOC-VCSH, Shimadzu,
230 Japan). The difference of EOC concentration between the fumigated and un-
231 fumigated was multiplied by 0.45 to calculate MBC content.

232 Soil total DNA was extracted from 0.3 g fresh soil using the HiPure Soil DNA Mini
233 Kit (Magen, Guangzhou, China), quantified with a NanoDrop 2000
234 spectrophotometer (Thermo Fisher Scientific Inc., USA) and stored at $-20\text{ }^\circ\text{C}$ for
235 further analyses. The abundance of bacterial and archaeal ammonia-monooxygenase
236 gene (*amoA*), nitrite reductase genes (*nirK* and *nirS*) and nitrous oxide reductase gene
237 (*nosZ*) were quantified by using absolute Real-time PCR on an ABI 7500
238 thermocycler system with primers and thermal profiles presented in the
239 supplementary material (Table S1). The Real-time PCR reactions was performed on
240 96-well plates (Axygen, USA), with 20 μl volume in each well including 12.5 μl

241 SYBR Premix Ex Taq (TaKaRa Biotechnology, Japan), 1 μl of each primer (10 mmol
242 L^{-1}), 2 μl of DNA template (10 ng), 1 μl Dimethyl sulfoxide and 4.5 μl RNase free
243 Ultra-Pure water. The standards were constructed using the method described in
244 Henry et al. (2006) and Isobe et al. (2011). Briefly, the target functional gene PCR
245 products were obtained with the same primers used in real-time PCR and the
246 extracted soil DNA as template. The PCR products were cloned using the pMD20-T
247 vector (TaKaRa, Dalian Division), and then transformed into *Escherichia coli* JM109
248 strains. The recombinant *Escherichia coli* JM109 strains carrying the target functional
249 gene recombinant plasmids were inoculated into LB broth with ampicillin and
250 incubated at 37°C overnight. The plasmid DNA was then extracted using the Hipure
251 Plasmid Mini Kit (Magen, Guangzhou, China) and quantified on a NanoDrop 2000
252 spectrophotometer (Thermo Fisher Scientific Inc., USA). The DNA copy numbers of
253 the extracted plasmid DNA carrying the target functional gene was calculated from
254 the plasmid DNA size, concentration, and average base pair molecular weight, which
255 could stand for the copy numbers of the standard functional gene. Finally, the
256 standard curve was generated from a tenfold serial dilution (10^3 - 10^8 copies per μl) of
257 the plasmid DNA.

258 **2.4 Measurement of N transformation rates**

259 Net N mineralization and nitrification rates were measured through the *in situ* field
260 soil incubation using the resin-core method (Reichmann et al., 2013). Six paired soil
261 cores (0-10 cm) were randomly sampled within each plot at the beginning of January,

262 March, May, August and October from May 2012 to September 2014. One core of
263 each pair was sieved through a 2-mm sieve after removing litter and stones, and
264 stored at 4 °C for the initial pre-incubation measurements of SWC, NO₃⁻ and NH₄⁺.
265 The other core was incubated for one month in a PVC pipe that was open on both
266 sides and was oriented vertically with an ion exchange resin bag placed at the bottom
267 to collect inorganic N leached from the core. Soil cores and resin bags in the PVC
268 pipes were collected after the one-month incubation, and the soil was sieved and
269 stored at 4 °C for the final post-incubation measurements of SWC, NO₃⁻ and NH₄⁺.
270 The net N mineralization rate was calculated as the final NO₃⁻ and NH₄⁺ content
271 minus the initial NO₃⁻ and NH₄⁺ content, and the net nitrification rate was calculated
272 as the final NO₃⁻ content minus the initial NO₃⁻ content (Reichmann et al., 2013).
273 Concentrations of NO₃⁻ and NH₄⁺ extracted from the resin were considered as the
274 leaching rates of NO₃⁻ and NH₄⁺ per month.

275 Soil nitrous oxide (N₂O) effluxes were measured twice per month, from October
276 2012 to September 2014, using static chamber and gas chromatography techniques.
277 The static chambers were made from white PVC materials and consisted of a
278 removable cover box (26 cm diameter × 35 cm height) and a base (33 cm diameter ×
279 11 cm height). The bottom of the base was inserted into soil depth of 5 cm. Two
280 months before gas sampling, four static chambers were deployed randomly at each
281 plot to minimize effects of installation disturbance. The N₂O samples were collected
282 between 09:00 and 11:00 a.m. local time. The static chamber was closed for 30
283 minutes, and gas samples (80 ml) were taken using 100 ml plastic syringes at the

284 initial closed time as well as every 10 minute thereafter during the closed period. At
285 the same time, values of atmospheric pressures and air temperatures inside static
286 chambers were measured for three times. N₂O concentrations were analyzed in the
287 laboratory by gas chromatography (Agilent 7890A, Agilent Technologies, USA)
288 equipped with an electron capture detector set at 300 °C and a stainless porapak-Q
289 column set at 70 °C within 24 hours following gas sampling. The N₂O flux was
290 calculated by changes of N₂O concentrations inside static chamber during periods of
291 gas sampling, with the equation as follows:

$$292 \quad \mathbf{F} = \rho \times \frac{V}{A} \times \frac{P}{P_0} \times \frac{T_0}{T} \times \frac{dC}{dt}$$

293 where F stands for the flux of N₂O (mg m⁻² hr⁻¹), ρ stands for the density of N₂O
294 under standard condition (g L⁻¹), V stands for the effective volume of chamber (m³), A
295 stands for the area of soil covered by chamber (m²), P and T stand for the atmospheric
296 pressures (Pa) and absolute air temperature inside chamber (K) when gas sampling, P₀
297 and T₀ stand for the atmospheric pressures (Pa) and the absolute temperature (K)
298 under standard condition, and $\frac{dC}{dt}$ stands for changes of N₂O concentrations in the
299 chamber during gas sampling.

300 **2.5 Statistical analyses**

301 Two-way repeated-measures analysis of variance (ANOVA) with sampling time as the
302 repeated factor was used to examine the effects of precip-change and sampling time
303 on all measured parameters. Pillai's trace from multivariate test was used for within-
304 subjects test when the assumption of multisample sphericity was not met. Independent

305 samples *t* tests were used to detect the difference of each variable between precip-
306 change and control at each sampling time. All the parameters were explored for
307 normality (Kolmogorov-Smirnov test) and homogeneity of variances (Levène test)
308 prior to the analyses, and log-transformed if necessary. All statistical analyses
309 described above were performed using SPSS v.16.0 (SPSS Inc., Chicago, IL, USA).

310 Structural equation modeling (SEM) is often used to detect complex relationships
311 between one or more dependent or independent variables by using a series of
312 statistical methods. The complex relationships among the target variables are
313 expressed as paths in a hypothetical model, and finally tested by a series of statistical
314 methods, such as univariate and multivariate regressions, ANOVA and factor analysis
315 (Bagozzi and Yi, 2012). In this study, we used SEM to test the hypothetical causal
316 relationships among soil physicochemical properties, microbial abundance and N
317 transformation rates in the conceptual model (Fig. 1), and the SEM was performed
318 with AMOS 21.0 (SPSS Inc., Chicago, IL, USA). How the effects of soil
319 physicochemical properties and microbial abundance determine the responses of N
320 transformation rates were evaluated. In order to explicitly illustrate the pathways of
321 soil physicochemical properties and microbial abundance involved in each N
322 transformation process, three individual models were constructed corresponding to the
323 conceptual model to explain the responses of (a) net nitrification, (b) net N
324 mineralization and (c) N₂O emission rates. The hypothetical relationships among
325 variables in the models are constructed based on the results of correlation analyses
326 (Fig. S2). We used three models since it would be easier to discover the controlling

327 factors than using one complex model that implicates all the measured processes
328 (Delgado-Baquerizo et al., 2014). In these models, the precip-change treatments are
329 categorical exogenous variables with two levels: 0 representing control and 1
330 representing seasonal precipitation changes (Delgado-Baquerizo et al., 2014).
331 Abundance of both *nirK* and *nirS* genes were evidenced correlated with nitrification
332 or N mineralization rates (Levy-Booth et al., 2014). Therefore, *nirK* and *nirS*
333 abundance were added as one (*nirK+nirS*) endogenous factors in model. Net
334 nitrification rate was included in model (b) as an endogenous factor because it may
335 influence N₂O emission through altering the production of NO₃⁻ as the substrate for
336 N₂O production. Prior to the SEM analyses, normal distribution of all the involved
337 variables were examined, and genes abundance were log-transformed. Goodness of
338 model fits was evaluated by chi-square test ($p > 0.05$), comparative fit index (CFI >
339 0.95), and root square mean errors of approximation (RMSEA < 0.05) (Hu and
340 Bentler, 1998; Schermelleh-Engel et al., 2003). Pathways without significant effects
341 were not shown ($p > 0.05$) in the final models.

342 **3 Results**

343 **3.1 Responses of soil physicochemical properties, N transformation** 344 **rates and microbial abundance to precipitation changes**

345 Before the precipitation manipulation from May to September in 2012, average net N
346 transformation (i.e. N nitrification, mineralization and leaching) rates, N (NO₃⁻, NH₄⁺,
347 TN) and organic C (MBC, EOC, TOC) contents as well as soil temperature were similar

348 among all plots (Table S2). In the two dry seasons with precipitation reduction, SWC
349 decreased by 16 % in 2013 and by 21 % in 2014 ($p < 0.01$, Table S3 and Fig. 2d).
350 Similarly, NO_3^- concentration decreased by 35 % and 24 % in 2013 and 2014,
351 respectively ($p < 0.01$, Table S3 and Fig. 2j). Opposite patterns were observed for NH_4^+
352 concentration, which increased with the precipitation reduction (Fig. 2l). In the wet
353 seasons with precipitation addition, SWC, NO_3^- concentration, EOC and MBC
354 remained lower in the precip-change plots than in the control plots in both years (Table
355 S3 and Fig. 2d, f, h and j). After the experiment, soil pH in the precip-change plots was
356 3.82 ± 0.02 in dry seasons and 3.78 ± 0.07 in wet seasons. In the control plots, it was
357 4.06 ± 0.05 in dry and 3.86 ± 0.1 in wet seasons. It has no significant changes when
358 compared with the pH values before experiment, with 4.01 ± 0.04 and 4.05 ± 0.08 in
359 dry and wet seasons of the precip-change plots, and 4.23 ± 0.01 and 4.11 ± 0.07 in dry
360 and wet seasons of the control plots.

361 Precipitation reduction strongly decreased the average dry-season net nitrification
362 rate by 13 % in 2013 and by 20 % in 2014, and decreased net N mineralization rate by
363 16 % in 2013 and by 18 % in 2014 ($p < 0.1$, Table S4 and Fig. 3b and d). The NO_3^-
364 leaching also declined with precipitation reduction, especially in 2014 with a marked
365 decrease by 22 % ($p < 0.001$, Table S4 and Fig. 3e and f). Contrastingly, the rates of
366 three N transformation processes increased by 50% with precipitation addition in the
367 2013 wet season whereas changed little in the 2014 wet season (Fig. 3b, d and f).
368 Throughout the two years, moderate decreases were detected in N_2O emission either
369 during dry-season precipitation reduction (35%) or during wet-season precipitation

370 addition (15%) (Table S4 and Fig. 3j).

371 No amplification of bacterial *amoA* gene was detected in soil neither from the
372 precip-change plots nor from the control plots, which was mainly because soil *AOB*
373 community abundance in the studied forest was under the detect limitation caused by
374 low soil pH (4.08 ± 0.05) (Isobe et al., 2012). The average seasonal archaeal *amoA*
375 gene was $6.5 \times 10^6 \pm 1.9 \times 10^6$ copies g^{-1} dry soil, and varied significantly according
376 seasonal precipitation changes. With precipitation reduction, the archaeal *amoA* gene
377 abundance changed little in the 2013 dry season but decreased by 70% in the 2014 dry
378 season (Fig. 4a and b). The abundance of three denitrifying genes (*nirK*, *nirS* and
379 *nosZ*) increased with precipitation reduction by 30-80% in the 2013 dry season ($p <$
380 0.05 , Table S5 and Fig. 4d, f and h). In both seasons of 2014, neither dry-season
381 precipitation reduction nor wet-season precipitation addition had significant impacts
382 on the abundance of the three denitrifying genes (Table S5 and Fig. 4c, d, e, f, g and
383 h).

384 **3.2 Paths determining N transformation rates and functional** 385 **microbial abundance**

386 Although the annual precipitation amount was kept constant, the redistribution of
387 seasonal precipitation imposed an overall negative impact on SWC and NO_3^-
388 concentration (Fig. 5). SWC affected net nitrification and N mineralization through a
389 direct negative path and N_2O emission through a direct positive path (Fig. 5). Net N
390 mineralization, nitrification and N_2O emission rates were also affected by the functional

391 genes abundance and MBC paths. Since bacterial *amoA* gene was not detected, we only
392 use the archaeal *amoA* abundance as the dominant nitrifying microbial abundance in
393 the SEM analyses. Specifically, the archaeal *amoA* gene abundance and MBC had direct
394 positive impacts on net N mineralization and nitrification rates, whereas the *nosZ* gene
395 abundance had a direct negative impact on N₂O emission (Fig. 5). As a result, 21% and
396 22% of the net N mineralization and nitrification variability are explained, respectively
397 (see the r^2 in Fig. 5a and b). Among the direct influential factors, archaeal *amoA*
398 abundance showed the strongest correlations either with net N mineralization or with
399 net nitrification rates. Soil N₂O emission was mostly affected by positive effects of net
400 nitrification rate and SWC, followed by negative effects of *nosZ* abundance and MBC,
401 and as much as 42% of the total variation could be explained (see the r^2 in Fig. 5c).

402 Precip-change-induced changes in SWC had no direct impacts on functional genes
403 abundance. Instead, the functional genes abundance was indirectly affected by the
404 precip-change-induced alterations in NO₃⁻, NH₄⁺ concentrations and EOC (Fig. 5).
405 Specifically, NO₃⁻ and NH₄⁺ had direct positive effects on archaeal *amoA* abundance
406 whereas EOC had a direct negative effect on *nirK + nirS* abundance. Both NH₄⁺ and
407 EOC concentration had direct positive impacts on the *nosZ* abundance (Fig. 5c).
408 Changes in MBC were directly positively influenced by SWC and EOC.

409 **4 Discussion**

410 **4.1 Drivers of N transformation processes**

411 Consistent with our hypotheses, seasonal precipitation redistribution induced

412 significant changes in net N mineralization and nitrification rates by altering SWC,
413 MBC and archaeal *amoA* gene abundance. N₂O emission was decreased by both
414 precipitation enhancement (wet season) and precipitation reduction (dry season), which
415 indicated that soil N loss by N₂O emission in subtropical forests would be alleviated by
416 the predicted seasonal precipitation changes. In contrast, increased NO₃⁻ leaching
417 during precipitation addition in the wet seasons led to significant losses from the soil
418 NO₃⁻ pool. During the two-year experiment, SWC was always lower in precip-change
419 plots than in control plots, despite the precipitation addition in the wet seasons (Fig. 2c
420 and d). One reason is the higher transpiration loss resulting from relatively bigger trees
421 in the precip-change plots (tree height: 10.2 ± 5.0 m, DBH: 10.7 ± 6.3 cm) than that in
422 the control plots (tree height: 7.7 ± 3.5 m, DBH: 9.5 ± 5.2 cm). There were no
423 significant differences in these stand characteristics, but the bigger trees in precip-
424 change plots might have greater transpiration rates and therefore caused more soil water
425 loss in the summer wet season (Gao et al., 2017). Another reason might be the large
426 amount of precipitation added (55 mm per event). Large precipitation events may result
427 in flood-irrigation that can break the soil pores or reduce pore number, leading to soil
428 structural decline (Barber et al., 2001). These changes in soil structure may affect soil
429 water content, as soil water retention capacity is related to pore size and pore
430 distribution (Loll and Moldrup, 2000).

431 Initially, we hypothesized that decreased precipitation in the dry season would
432 suppress N transformation, and precipitation addition during the wet season would have
433 little impact on N transformation processes because the soils are water-saturated and

434 substrate sufficient. In agreement with the first hypothesis, net nitrification and N
435 mineralization rates decreased sharply with the reduction of throughfall in the dry
436 season (Fig. 3a, b, c and d). However, contrary to the second hypothesis, nitrification
437 and N mineralization rates increased markedly with precipitation supplementation in
438 the wet seasons (Fig. 3 a, b, c and d). These results can be explained by the interactions
439 between microbial abundance, soil moisture and substrate availability (Fig. 5a, b and
440 S3). Specifically, soil EOC of the dry season was less in the precip-change plots than
441 in the control plots (Fig. 2e and f), probably attributable to reduced C input due to lower
442 root production and exudation after drying (Kuzyakov and Domanski, 2000; Borken
443 and Matzner, 2009). The reduced supply of soil C substrate (i.e., EOC) could have
444 restricted the growth of soil microorganisms (e.g. MBC and *AOA*), resulting in
445 decreased net nitrification and mineralization rates (Fig. 5a and b). Although increased
446 NH_4^+ concentrations with reduced precipitation could provide more N substrate for
447 nitrifiers, the negative effects of decreased SWC and EOC may have outweighed the
448 positive effects of increased NH_4^+ . Instead, the accumulated NH_4^+ after dry season
449 precipitation reduction might have had a positive legacy effect on soil microbial activity
450 in the wet season, leading to increased N transformations. In addition, SWC differences
451 are also known to directly affect N transformations by stimulating physiological
452 changes in microbial activity, regardless of microbial abundance and composition
453 (Auyeung et al., 2015). The increased N transformation rates (Fig. 3b, d) in response to
454 decreased SWC, MBC (Fig. 2d, h) and archaeal *amoA* gene abundance (Fig. 4a) with
455 precipitation addition might be such a case (also see Fig. S2). A 10% lower SWC in the

456 precip-change plots in natural humid wet season might create better redox conditions
457 for microbial nitrification, as excessive soil moisture could reduce soil oxygen
458 concentration. According to Borken & Matzner (2009), the increases of soil microbial
459 activity by rewetting usually occurred due to an increased pulse in organic substrate
460 availability as well as reconstituting mineralization of SOM . Substantial decreases in
461 MBC and archaeal *amoA* gene abundance in our study indicated that some
462 microorganisms may die from starvation or competition caused by limited substrate
463 concentrations, and consequently release MBC and microbial biomass nitrogen (MBN).
464 These available substrates released by dead microorganisms could be reused by the
465 surviving microorganisms, which could support the increased energy demand of
466 accelerated microbial processes (Borken and Matzner, 2009).

467 We also hypothesized that N transformation processes are associated with functional
468 microbial abundance. As expected, net N mineralization and nitrification rates showed
469 stronger relationships with archaeal *amoA* abundance than with MBC or other soil
470 properties (Fig. 5a and b). However, MBC and denitrifying gene abundance had similar
471 effects on N₂O emission. Our results also showed that only *nosZ* gene abundance
472 exerted a pronounced effect on N₂O emission (Fig. 5c), probably by reducing N₂O
473 consumption (Henderson et al., 2010; Levy-Booth et al., 2014). No significant
474 correlation between N₂O emission and *nirK* + *nirS* gene abundance was detected, in
475 contrast to previous studies (Levy-Booth et al., 2014; Gao et al., 2016). The N₂O
476 emission-related denitrification can also be performed by nitrifiers and fungi in soils
477 with high aeration and limited substrate availability (Levy-Booth et al., 2014). The

478 experimental seasonal precipitation strongly decreased SWC and EOC content (Fig. 1),
479 leading to higher aeration while lowering substrate availability. These changes in soil
480 physicochemical properties could enhance the role of nitrifier and fungi denitrification
481 in controlling N₂O emission. In addition, SWC and nitrification rate also directly
482 affected N₂O emission by altering substrate availability and consequently microbial
483 activity, despite high microbial abundance (Fig. 5c). Although functional microbial
484 abundance showed the most significant correlations with N transformation rates and
485 could explain more than 20% of their variation, a large proportion of the variation
486 remained unexplained (Fig. 5). This unexplained variation is mainly attributed to the
487 changes in other functional microbial genes involved in the nitrogen cycle, such as
488 *narG* and *napA* responsible for NO₃⁻ reduction, and *nifH* responsible for N fixation
489 (Widmer et al., 1999; Tavares et al., 2006). Moreover, gene abundance based on DNA
490 may not fully reflect gene expression.

491 **4.2 Determinants of nitrifying and denitrifying gene abundance**

492 The responses of both nitrifying and denitrifying genes were mainly related to the
493 changes in substrate concentrations. SEM analysis showed that both *amoA* and *nosZ*
494 gene abundance was positively affected by EOC and NH₄⁺ concentration, suggesting
495 substrate constraints for these two functional microbial groups. This disagreed with
496 previous studies that reported that the *AOA* community had greater potential for
497 mixotrophic growth and better low-substrate tolerance than its counterpart *AOB*
498 (Erguder et al., 2009; Shen et al., 2012). However, these previous results were mainly

499 due to greater competitiveness of *AOA* than *AOB*, as these studies mainly focused on
500 the comparison of effects of substrate availability on *AOA* and *AOB* communities.
501 Both *nosZ* and *amoA* gene abundance increased with EOC and NH_4^+ concentration
502 (Fig. 5), which indicated that the *AOA* community could be constrained by C and N
503 substrates when competing with other microbes that have different functions.
504 Otherwise, the existing *AOA* species that have the potential for mixotrophic growth
505 and starvation tolerance would not dominate in the studied subtropical forest, as the
506 soil is rich in SOM (Zhou et al., 2006; Chen et al., 2015). Therefore, the *AOA*
507 community in the studied soil could be strongly influenced by changes in soil C and N
508 availability.

509 The abundance of *nirK* and *nirS* genes was positively controlled by soil NH_4^+
510 concentration and negatively controlled by EOC content (Fig. 5). This confirmed that
511 higher NH_4^+ content could favor more abundant microorganisms containing *nirK* or
512 *nirS* genes (Yi et al., 2015), because higher NH_4^+ concentration could supply
513 sufficient NO_3^- as the direct substrate or provide optimum pH values for growth of the
514 denitrifying microorganisms. The negative effect of EOC on *nirK* and *nirS* gene
515 abundance was inconsistent with previous reports that denitrifiers are primarily
516 heterotrophic (Bárta et al., 2010). One reason is that high EOC concentrations can
517 constrain the growth of microorganisms carrying *nirK* and *nirS* genes through effects
518 on other factors, such as pH and C:N ratio (Henderson et al., 2010; Levy-Booth et al.,
519 2014). Generally, the abundance of both nitrifying and denitrifying genes changed
520 with precipitation redistribution, and the direction and magnitude of the changes

521 depended mainly on soil N and C substrate availabilities.

522 **5 Conclusion**

523 Soil net nitrification and N mineralization rates responded significantly to seasonal
524 precipitation redistribution. More than 20% of the variation could be explained by the
525 effects of microbial abundance, SWC, and soil C and N substrates. *AOA* community
526 abundance was the main factor in regulating these two N transformation processes.
527 N₂O emission during the two-year experiment decreased moderately, and as much as
528 42% of the total variation in N₂O emission was attributed to the combined effects of
529 SWC, nitrification rate, MBC and *nosZ* gene abundance. The accumulation of NH₄⁺
530 due to dry-season precipitation reduction may stimulate nitrification in the wet season,
531 and consequently accelerate N loss by NO₃⁻ leaching. Therefore, the predicted long-
532 term seasonal precipitation changes in subtropical forests may result in profound
533 changes to different N pools and fluxes, including reduced N₂O emission and
534 enhanced NO₃⁻ leaching. These, in turn, could exert a feedback to climate and
535 environmental changes. Meanwhile, changes in functional microbial abundance
536 induced by soil EOC and NH₄⁺ substrate availabilities will determine the extent and
537 direction of soil N transformation changes.

538 **Author contribution**

539 Jie Chen and Guoliang Xiao carried out the experiment, analyzed the data and wrote
540 the draft manuscript. Weijun Shen conceived the study. All authors contributed to
541 manuscript writing and revision.

542 **Acknowledgements**

543 We thank Mr. Y. Lin, Z. Chen, M. Li and S. Fu for their help in the field; Mrs. C.
544 Long and X. Zhou for their help with laboratory assays; Mr. K. Mason-Jones for his
545 help with the English revision. Three anonymous referees provided constructive
546 comments that improved the manuscript. Financial support came from the Natural
547 Science Foundation of China (31130011, 31425005 and 31290222) and the Natural
548 Science Foundation of Guangdong Province, China (S2012020011084).

549 **Competing interests**

550 The authors declare that they have no conflict of interest.

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733 **Figure captions**

734 **Fig. 1.** A conceptual model illustrating the effects of physiochemical properties and
735 functional microorganisms on N transformation rates. Soil water content (SWC),
736 ammonium (NH_4^+), nitrate (NO_3^-) and extractable organic carbon (EOC)
737 concentrations were included in the group of soil physiochemical property. Microbial
738 biomass carbon (MBC), nitrifying (*amoA*) and denitrifying (*nirK*, *nirS* and *nosZ*) gene
739 abundance were included in the microbial attributes group. The solid lines with
740 arrows indicate the direction of the effect.

741 **Fig. 2.** Seasonal dynamics of precipitation and soil physiochemical properties in
742 control and precipitation change (precip-change) plots over the course of experiment.
743 Points and bars with standard error ($n = 4$) show mean values at each sampling time
744 and in dry (DS) and wet (WS) seasons. Grey shades indicate the periods of
745 precipitation reduction. The significance levels are presented as: $*p < 0.05$.

746 **Fig. 3.** Nitrogen transformation rates measured in control and precipitation change
747 (precip-change) plots over the course of experiment. Points and bars with standard
748 error ($n = 4$) show mean values at each sampling time and in dry (DS) and wet (WS)
749 seasons. Grey shades indicate the periods of precipitation reduction. The significance
750 levels are presented as: $*p < 0.05$.

751 **Fig. 4.** Copy numbers of archaeal *amoA*, *nirK*, *nirS* and *nosZ* gene per gram dry soil
752 measured in control and precipitation change (precip-change) plots over the course of
753 experiment. Points and bars with standard error ($n = 4$) show mean values at each

754 sampling time and in dry (DS) and wet (WS) seasons. Grey shades indicate the
755 periods of precipitation reduction. The significance levels are presented as: $*p < 0.05$.

756 **Fig. 5.** Path diagrams demonstrating the effects of soil physicochemical properties
757 and functional genes abundance on net nitrification, N mineralization and N₂O efflux
758 rates in response to precipitation change (precip-change) during two years. Numbers
759 adjacent to arrows are path coefficients, which indicate the relationships between the
760 two variables on both sides of the arrows. Solid and dash lines represent positive and
761 negative paths, respectively. The r^2 above or below each response variable in the
762 model denotes the proportion of variance which could be explained. Size of the lines
763 indicate significant levels of path coefficients.









