- C₅ glycolipids of heterocystous cyanobacteria track symbiont abundance in the diatom *Hemiaulus hauckii* across the tropical north Atlantic
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13 Abstract. Diatom-diazotroph associations (DDAs) include marine heterocystous cyanobacteria found as exo-14 and endosymbionts in multiple diatom species. Heterocysts are the site of N_2 fixation and have thickened cell 15 walls containing unique heterocyst glycolipids which maintain a low oxygen environment within the heterocyst. 16 The endosymbiotic cyanobacterium Richelia intracellularis found in species of the diatom genus Hemiaulus and 17 Rhizosolenia makes heterocyst glycolipids (HGs) which are composed of C₃₀ and C₃₂ diols and triols with 18 pentose (C_5) moieties that are distinct from limitic cyanobacterial HGs with predominantly hexose (C_6) 19 moieties. Here we applied a method for analysis of intact polar lipids (IPLs) to the study of HGs in suspended 20 particulate matter (SPM) and surface sediment from across the tropical North Atlantic. The study focused on the 21 Amazon plume region, where DDAs are documented to form extensive surface blooms, in order to examine the 22 utility of C5 HGs as markers for DDAs as well as their transportation to underlying sediments. C30 and C32 triols 23 with C5 pentose moieties were detected in both marine SPM and surface sediments. We found a significant 24 correlation between the water column concentration of these long-chain C_5 HGs and DDA symbiont counts. In 25 particular, the concentrations of both the C_5 HGs (1-(O-ribose)-3,27,29-triacontanetriol (C_5 HG₃₀ triol) and 1-(O-26 ribose)-3,29,31-dotriacontanetriol ($C_5 HG_{32}$ triol)) in SPM exhibited a significant correlation with the number of 27 Hemiaulus hauckii symbionts. This result strengthens the idea that long-chain C₅ HGs can be applied as 28 biomarkers for marine endosymbiotic heterocystous cyanobacteria. The presence of the same C₅ HGs in surface 29 sediment provides evidence that they are effectively transported to the sediment and hence have potential as 30 biomarkers for studies of the contribution of DDAs to the paleo-marine N-cycle.

31 1 Introduction

32 Cyanobacteria are cosmopolitan oxygenic photoautotrophs that play an important role in the global carbon and

- 33 nitrogen cycles. Marine cyanobacteria are the major fixers of dinitrogen (N₂) in modern tropical and subtropical
- oligotrophic oceans (Karl et al., 1997; Lee et al., 2002). Because N_2 fixation is sensitive to oxygen, cyanobacteria
- 35 have evolved a range of different strategies in order to combine the incompatible processes of oxygenic
- 36 photosynthesis and N₂ fixation. One strategy, found only in filamentous cyanobacteria, is to fix N₂ in

37 differentiated cells known as heterocysts (Wolk, 1973; Rippka et al., 1979). Free-living heterocystous 38 cyanobacteria are rare in the open ocean (Staal et al., 2003); however, heterocystous taxa are abundant as both 39 exo- and endosymbionts in diatoms (Foster et al., 2011; Gómez et al., 2005; Luo et al., 2012; Villareal, 1991; 40 Villareal et al., 2011, 2012). These diatom-diazotroph associations (DDAs) can fully support the nitrogen (N) 41 requirements of both host and symbiont (Foster et al., 2011; Villareal, 1990) which explains the presence of 42 these symbioses in oligotrophic offshore environments such as the North Pacific gyre (Venrick, 1974). In the 43 western tropical north Atlantic Ocean, these symbiotic associations produce nearly 70% of total N demand in the 44 surface waters (Carpenter et al., 1999) as non-symbiotic diatom blooms deplete N in the Amazon River plume 45 and create N-poor conditions with residual P and Si (Subramaniam et al., 2008; Weber et al., 2017).

46 In all heterocystous non-symbiotic cyanobacteria studied to date, the heterocyst cell walls contain heterocyst glycolipids (HGs) (Abreu-Grobois et al., 1977; Bauersachs et al., 2009a, 2014; Gambacorta et al., 47 1995; Nichols and Wood, 1968). These HGs almost universally comprise a hexose head group (C_6) 48 49 glycosidically bound to long chain diols, triols, or hydroxyketones (cf. Fig. 1) (Bauersachs et al., 2009b, 2011; 50 Bryce et al., 1972; Gambacorta et al., 1998). In contrast, the endosymbiotic heterocystous cyanobacterium 51 Richelia intracellularis (found within the marine diatoms Hemiaulus hauckii and H. membranaceus; Villareal, 52 (1991)) contained C_{30} and C_{32} diol and triol HGs with a pentose sugar head group (C_5), identified as D-ribose, 53 rather than a C₆ sugar (Fig. 1) (Schouten et al., 2013). The structural difference in the glycolipids of marine 54 endosymbotic heterocystous cyanobacteria compared to the free-living counterparts was hypothesized to be an 55 adaptation to the high intracellular O_2 concentrations within the host diatom (Schouten et al., 2013).

56 In the first study of the C_{30} and C_{32} diol and triol C_5 HGs in the natural environment, these compounds 57 were found in suspended particulate material (SPM) and surface sediment from the Amazon plume but not in 58 lake sediments or river SPM (Bale et al., 2015). HGs with a C5 sugar moiety comprising a shorter C26 carbon 59 chain (hereafter called short-chain C₅ HGs) were tentatively identified in a culture of freshwater cyanobacterium 60 Aphanizomenon ovalisporum UAM 290 and in suspended particulate matter from three freshwater environments 61 in Spain (Wörmer et al., 2012). Thus, it remains to be demonstrated whether C_{30} and C_{32} diol and triol C_5 HGs 62 (hereafter called long-chain C₅ HGs) are unambiguously associated with DDAs in the marine environment. In 63 addition, the genera Rhizosolenia, Guinardia and Hemiaulus all contain species harboring heterocystous 64 cyanobacteria. DDA taxonomic relationships and host-symbiont specificity are only partially defined (Hilton et 65 al 2014, Foster and Zehr 2006, Janson et al 1999), suggesting additional clarification of how diverse HGs are 66 distributed within DDAs is required.

In this study, we applied a novel Ultra High Pressure Liquid Chromatography- High Resolution Mass Spectrometry (UHPLC-HRMS) method to analyze the concentration of HG lipids in SPM from the oligotrophic open Atlantic Ocean to the region affected by the Amazon River plume. We compared lipid concentrations with the number of diazotrophic symbionts to examine the applicability of HGs to trace these organisms. Furthermore, we also analyzed HG lipids in the surface sediment along the transect to examine the transport of these

72 compounds to the geological record and potential for use as a molecular tracer for DDA N₂ fixation.

73 **2 Methods**

74 2.1 Cruise track and physiochemical parameters

75 Sampling was carried out during a 4 week research cruise (64PE393) onboard the R/V Pelagia from 26th August 76 -21st September 2014. The cruise followed a >5000 km transect and sampling occurred at 23 stations, starting 77 at Cape Verde and finishing at the island of Barbados (Fig. 2). The cruise track began close to the Cape Verde 78 EEZ boundary and proceeded approximately south-westerly across the Atlantic (Fig. 2). Aquarius sea-surface 79 salinity (SSS) satellite data (30 day composite, centered on 01-Sept-14) clearly indicated the influence of the 80 freshwater Amazon discharge in the region, i.e. surface salinity < 33 (Fig. 2). Discrete CTD measurements of 81 salinity (contour lines Fig. 3a, Table 1) generally agreed with the satellite data as to the geographical spread of 82 the Amazon River plume. However, the region was highly dynamic with the plume location shifting hundreds of 83 km over the course of the cruise as noted in the sequential 7-day Aquarius SSS composites (Fig. S1 -84 Supplemental material).

85 Temperature and salinity were measured using a Sea-Bird SBE911+ conductivity-temperature-depth 86 (CTD) system equipped with a 24×12 L Niskin bottles rosette sampler. Fluorescence was measured with a 87 Chelsea Aquatracka MKIII fluorometer. Chlorophyll fluorescence was not calibrated against discrete chlorophyll and is reported as relative fluorescence units (RFU). Seawater samples for dissolved inorganic nutrient analysis 88 89 were taken from the Niskin bottles in 60 ml high-density polyethylene syringes with a three way valve and 90 filtered over Acrodisc PF syringe filters (0.8/0.2 µm Supor Membrane, PALL Corporation) into pre-rinsed 5 mL polyethylene vial. Dissolved orthophosphate (PO₄³⁻) and nitrogen (NO₃⁻, NO₂ and NH₄⁺) were stored in dark at 91 92 4°C until analysis onboard (within 18 h) using a QuAAtro autoanalyzer (Grasshoff, 1983; Murphy and Riley, 93 1962). Samples for dissolved reactive silicate (Si) analysis (Strickland and Parsons, 1968) were stored dark at 94 4°C until analysis using the same system as above upon return to NIOZ. The detection limits were calculated as: PO₄³⁻ 0.004 µmol L⁻¹, NH₄⁺ 0.030 µmol L⁻¹, NO₃⁻⁺NO₂ 0.005 µmol L⁻¹ and NO₂ 0.002 µmol L⁻¹. 95

96 2.2 Phytoplankton pigment composition and enumeration of diazotrophs

97 Samples for diazotroph enumeration were collected in polycarbonate bottles of which 500-1170 ml was filtered 98 under gentle vacuum (< 5 psi) through a 10 µm pore-size polycarbonate filter (47 mm diameter). Filters were 99 placed onto 75 X 50 mm glass slides (Corning 2947) and 2-3 drops of non-fluorescent immersion oil (Cargille 100 type DF) placed on the slide. A glass cover slip (45 x 50 mm; Fisherbrand 12-545-14) was placed on the filter 101 sample and allowed to sit while the immersion oil cleared the filter. The sample was subsequently viewed under 102 transmitted light and epi-fluorescence illumination light filter (530-560 nm excitation, 572-648 nm emission; 103 Olympus BX51) for counting/identifying trichomes and host cells as well as photomicrography (Olympus 104 DP70).

For phytoplankton pigment analysis, seawater was filtered through 0.7 μ m glass fiber GF/F filters (Pall Corporation, Washington). The filters were extracted in 4 mL 100% methanol buffered with 0.5 mol L⁻¹ ammonium acetate, homogenized for 15 s, and analyzed by high performance liquid chromatography (HPLC). The relative abundances of the different taxonomic groups were determined using CHEMTAX (Mackey et al., 1996; Riegman and Kraay, 2001).

110 2.3 SPM and surface sediment collection

Three McLane *in situ* pumps (McLane Laboratories Inc., Falmouth) were used to collect suspended particulate matter (SPM) from the water column for lipid analysis. They were generally deployed at three depths: the surface (3 - 5 m), the bottom wind mixed layer (BWML) and the deep chlorophyll-*a* maximum (DCM), with some additional sampling at 200 m (Table 1). They pumped between 90 and 380 L with a cut-off at a preprogrammed pressure threshold and the SPM was collected on pre-ashed 0.7 μ m, 142 mm, GF/F filters (Pall Corporation, Washington) and immediately frozen at -80°C. At Station 10, as part of a different study (Besseling et al., in prep), 12 additional sampling points were carried out to produce a high resolution depth profile (Table

118 2) where the SPM was collected on pre-ashed 0.3 μm GF75 filters (Avantec, Japan).

Sediment was collected at each station in 10 cm diameter, 60 cm length multicores. For each sediment sampling site, triplicate cores were collected, always from a single multicore deployment (with a maximum of 60 cm between core centers). The cores were sliced into 1 cm slices using a hydraulic slicer and each slice was stored separately in a geochemical bag and immediately frozen at -80°C. For this study, we analyzed the 0–1 cm (surface sediment) slice. For analysis of the content of total organic carbon (TOC), sediment was freeze dried, decalcified in silver cups with 2M HCl and analysis was carried out using a Flash 2000 series Elemental

125 Analyzer (Thermo Scientific) equipped with a TCD detector.

126 2.4 Lipid extraction

127 The extraction of lipids from freeze dried filters or sediment samples was carried out using a modified Bligh-

- 128 Dyer extraction (Bale et al., 2013). The samples were extracted in an ultrasonic bath for 10 min with 5 20 ml of
- 129 single-phase solvent mixture of methanol (MeOH): dichloromethane (DCM): phosphate buffer (2:1:0.8, v:v:v). 130 After centrifugation ($1000 \times g$ for 5 min, room temperature, Froilabo Firlabo SW12 with swing out rotor) to
- 131 separate the solvent extract and residue, the solvent mixture was collected in a separate flask. This was repeated
- three times before DCM and phosphate buffer were added to the single-phase extract to induce phase separation,
- producing a new ratio of MeOH:DCM:phosphate buffer (1:1:0.9 v:v:v). After centrifugation (1000 \times g for 5
- 134 min), the DCM phase was collected in a glass round-bottom flask and the remaining MeOH:phosphate buffer
- phase was washed two additional times with DCM. Rotary evaporation was used to reduce the combined DCM
- 136 phase before it was evaporated to dryness under a stream of N₂.

137 **2.5 Analysis of intact polar lipids**

- Whereas previous studies of heterocyst glycolipids have applied high performance liquid chromatography 138 multiple reaction monitoring (MRM) mass spectrometry (HPLC-MS²) method (e.g., Bale et al. (2015)), in this 139 140 study we used an Ultra High Pressure Liquid Chromatography-High Resolution Mass Spectrometry (UHPLC-141 HRMS) method, designed for the analysis of a wide range of intact polar lipids (Moore et al., 2013). The 142 UHPLC-HRMS method was adapted by replacement of hexane with heptane as the non-polar solvent in the 143 eluent, to reduce the toxic nature of hexane relative to heptane in terms of a work place health hazard (Buddrick et al., 2013; Carelli et al., 2007; Daughtrey et al., 1999). Our UHPLC-HRMS method was as follows: we used an 144 Ultimate 3000 RS UHPLC, equipped with thermostatted auto-injector and column oven, coupled to a Q Exactive 145
- 146 Orbitrap MS with Ion Max source with heated electrospray ionization (HESI) probe (Thermo Fisher Scientific,

147 Waltham, MA). Separation was achieved on an Acquity UPLC BEH HILIC column (150 x 2.0 mm, 2.1 µm 148 particles, pore size 12 nm; Waters, Milford, MA) maintained at 30 °C. Elution was achieved with (A) heptane-149 propanol-formic acid-14.8 mol L⁻¹ aqueous NH₃ (79:20:0.12:0.04, v/v/v/) and (B) propanol water-formic acid-150 14.8 mol L⁻¹ aqueous NH₃ (88:10:0.12:0.04, v/v/v/v) starting at 100% A, followed by a linear increase to 30% B 151 at 20 min, followed by a 15 min hold, and a further increase to 60% B at 50 min. Flow rate was 0.2 ml min⁻¹, 152 total run time was 70 min, followed by a 20 min re-equilibration period. Positive ion ESI settings were: capillary 153 temperature, 275°C; sheath gas (N₂) pressure, 35 arbitrary units (AU); auxiliary gas (N2) pressure, 10 AU; spray 154 voltage, 4.0 kV; probe heater temperature, 275°C; S-lens 50 V. Target lipids were analyzed with a mass range of 155 m/z 350–2000 (resolution 70,000 ppm at m/z 200), followed by data-dependent tandem MS² (resolution 17,500 156 ppm), in which the ten most abundant masses in the mass spectrum were fragmented successively (normalized 157 collision energy, 35; isolation width, 1.0 m/z). The Q Exactive was calibrated within a mass accuracy range of 1 158 ppm using the Thermo Scientific Pierce LTQ Velos ESI Positive Ion Calibration Solution. During analysis 159 dynamic exclusion was used to temporarily exclude masses (for 6 s) in order to allow selection of less abundant 160 ions for MS². In addition, an inclusion list (within 3 ppm) was used, containing all known HGs, in order to obtain 161 confirmatory fragment spectra.

- Before analysis, the extracts were re-dissolved in a mixture of heptane, isopropanol and water (72:27:1, v:v:v) which contained two internal standards (IS), a platelet-activating factor (PAF) standard (1-O-hexadecyl-2acetyl-sn-glycero-3-phosphocholine, 5 ng on column) and a C₁₂ alkyl chain glycolipid standard, n-dodecyl- β -Dglucopyranoside (\geq 98% Sigma-Aldrich, 20 ng on column; cf. Bale et al. (2017)). The samples were then filtered through 0.45 µm mesh True Regenerated Cellulose syringe filters (4 mm diameter; Grace Alltech).
- The injection volume was 10 μl for each sample. For quantification the relative response factor (RRF) between the n-dodecyl-β-D-glucopyranoside IS and an isolated C_6 HG (1-(O-hexose)-3,25-hexacosanediol (Bale et al., 2017) was determined to be 6.63. It is not currently possible to isolate enough of a naturally occurring pentose-glycolipid due to the limitations of culturing sufficient diatom-diazotroph biomass. As we do not expect significant differences in ionization efficiency between a hexose and a pentose glycolipid, we assume that the RRF of the internal standard and the hexacosanediol C_6 HG is similar to that of C_5 HGs. Nevertheless, quantification of the pentose-glycolipids should be interpreted with care.
- The 12 samples collected at Station 10 (0.3 μ m GF75 filters, Table 2) were analyzed on the same UHPLC-HRMS system, but with hexane instead of heptane in the mobile phase. Also, the n-dodecyl- β -Dglucopyranoside IS was not added, so quantification was based the PAF IS and correcting for the RRF between the n-dodecyl- β -D-glucopyranoside IS and the PAF IS.

178 2.6 Statistical analysis

179 T-tests and Pearson correlations were determined using Sigmaplot software (version 13.0). Regression curves

180 were plotted and analyzed in Windows Excel.

181 3. Results

182 **3.1** Physicochemical conditions and phytoplankton assemblage

183 Stations 1-6, 12 (close to coast, north of Amazon plume) and 22 correspond to oceanic stations (SSS >35, following the convention of Subramaniam et al. (2008)), with Station 7-10, 13-21 and 23 in the intermediate 184 185 salinity range (30 - 35). Originally termed mesohaline (Subramaniam et al., 2008), we use 'intermediate salinity' to avoid confusion with the older use of mesohaline in coastal systems to refer to 5-18 waters (Elliott and 186 187 McLusky, 2002). Only Station 11, with a SSS of 29.2, was in the low salinity range defined by Subramaniam et al. (2008). Temperature was uniformly high across the cruise track (>27°C in the euphotic zone) with the 25° C 188 isotherm deepening along the cruise track (Fig. 3a). Oceanic stations 1-6 exhibited depleted surface (3 - 5 m)189 inorganic nutrient concentrations (on average $0.01 \pm 0.01 \mu mol L^{-1} PO_4^{3-}$, $0.02 \pm 0.20 \mu mol L^{-1} NO_+^{-1}NO_2$ and 190 $0.86 \pm 0.09 \text{ }\mu\text{mol }L^{-1}$ Si (Fig. 3c,d)). Surface NO₃⁻⁺NO₂ and PO₄⁻³⁻ concentration remained low at the subsequent 191 intermediate salinity stations (Stations 7 - 11; Fig. 3c), while Si concentrations increased > 10-fold to an average 192 of $12.1 \pm 4.4 \mu mol L^{-1}$ (Fig. 3d). From the coastal shelf of French Guiana (Stations 11 and 12), the cruise 193 progressed in a northerly direction towards the Caribbean. The Amazon River influence was again evident after 194 195 Station 13, but decreased with distance, ranging from 32.8 to a maximum of 35.6 (Station 22). Surface NO₃⁻, NO₂ remained low at these intermediate salinity stations (on average $0.01 \pm 0.00 \text{ }\mu\text{mol }\text{L}^{-1}$), while PO₄³⁻ was variable 196 but generally decreased to open ocean levels (from 0.01 µmol L⁻¹ to below the limit of detection) and Si dropped 197 from 10.4 μ mol L⁻¹ to 3.94 μ mol L⁻¹. 198

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The deep chlorophyll (Chl) maximum (DCM; cf. maxima in Chl fluorescence (Fig. 3b) was associated with the nutricline (cf. Fig. 3c) over most of the transect, with the highest DCM fluorescence at the oceanic stations and a secondary surface Chl fluorescence maximum at the low salinity station (Station 11).

The phytoplankton pigment composition analysis at the oceanic stations (1-6) was dominated by the cyanobacteria *Prochlorococcus*, which made up around 50% of total Chl a in the surface waters (Table S1). At the intermediate salinity stations Stations 7-10 and 18-23, the phycoerythrin-containing cyanobacteria (e.g. *Synechococcus*) dominated the phytoplankton community. In general, at Stations 7-10 the share of Chrysophytes and Prymnesiophycea pigments was relatively larger. The share of Chrysophyceae was particularly large at the DCM, even dominating the phytoplankton community biomass at Stations 15-23 (Table S1). Diatoms (Bacillariophyceae) contributed substantially in the surface waters of Station 8, up to 21% of total Chl a.

210 **3.2 Diazotroph enumeration**

211 The diazotroph cyanobacteria were divided into 5 categories: three of them are symbionts, i.e. with the diatoms

- 212 Rhizosolenia cf. clevei, Hemiaulus hauckii, and Guinardia cylindrus DDAs, and two are non-symbionts, i.e.
- 213 Trichodesmium colonies (>10 trichomes organized into a coherent structure), and free Trichodesmium trichomes
- 214 (Fig. 4a-e, Table S3). Total DDA abundance was low (0-21 combined DDA *Richelia* trichomes L⁻¹) at the
- 215 oceanic stations (Stations 1-6). *Hemiaulus* DDA abundance was greatest at Station 8 (ca. 4.0 x10³ trichomes L⁻¹)
- 216 with a secondary maximum at Station 17 (0.8 $\times 10^3$ trichomes L⁻¹), both in the surface (<5 m) waters.
- 217 Rhizosolenia DDA abundance was lower than Hemiaulus DDA abundance at Station 7 (Rhizosolenia DDA ca.
- 218 60 trichomes L^{-1}) and at Stations 15 and 16 (*Rhizosolenia* DDA, ca. 80 trichomes L^{-1}). *Rhizosolenia* DDAs were
- not observed below 31.6 salinity (Fig. 4a). Hemiaulus DDA were observed down to 27.1-27.6 salinity at ~80-100

- 220 trichomes L⁻¹ (Fig, 4b). Free *Trichodesmium* trichomes were broadly distributed (Fig. 4d) and often occurred
- across a wide depth range, down to 75 m at Station 17. *Trichodesmium* colonies were seen sporadically and with
- distributions dominated by two sampling points (Station 6, 32 m and Station 21, 60 m) where colony abundance
- 223 > 25 colonies L⁻¹. A single observation of colonies at depth under the low salinity plume generated contour lines
- suggesting a generalized presence at depth. However, removal of this observation (Station 14, 61 m) removed
- this trend and resulted in distinct separation of the colony distributions, i.e. two areas of increased biomass
- associated with salinity gradients at the edge of the river plume.

227 **3.3 Heterocyst glycolipids in suspended particulate matter**

- We analyzed heterocyst glycolipids (HGs) in SPM from along the cruise transect collected at the surface, bottom wind mixed layer (BWML) and the DCM. Two long-chain C₅ HGs were detected in the SPM, i.e. 1-(Oribose)-3,27,29-triacontanetriol and 1-(O-ribose)-3,29,31-dotriacontanetriol (C₅ HG₃₀ and C₅ HG₃₂ triol respectively, Fig. 1). C₅ HG₃₂ triol represented on average 98 % ± 4 of the summed abundance of the two HGs. Previous studies of C₅ HGs have identified 1-(O-ribose)-3,29-triacontanediol (C₅ HG₃₀ diol, Fig. 1) in both cultures and environmental samples (Bale et al., 2015; Schouten et al., 2013), but these were not seen in the SPM or surface sediment analyzed in this study.
- The concentrations of the two C₅ HGs were highest in the surface waters of Station 8 and showed a second local maxima at Station 16 (Table 1 and Fig. 4f). The surface concentration of the dominant HG, i.e. C₅ HG₃₀ triol, ranged between 0 and 4800 pg L⁻¹. The range in concentration was 50-fold lower at the DCM (0-200 pg L⁻¹, Table S2). The three samples from 200 m depth showed lowest concentrations, ranging between 20.6 and 127 pg L⁻¹. Overall, the C₅ HG₃₀ triol was consistently present in the higher concentration of the two (Fig. 4f). The minor HG, i.e. C₅ HG₃₂ triol, ranged between 0 – 10% of their summed abundance at the surface and BWML,
- 241 was between 0 5% at the DCM and 0 17% at 200 m (cf. Fig. 4f contour lines and Table S2).
- HGs with a C₆ sugar head group were not detected in any SPM samples with the exception of one sample, taken at Station 20a from the DCM (65 m). 1-(O-hexose)-3,25-hexacosanediol (C₆ HG₂₆ diol, Fig. 1) and 1-(O-hexose)-3-keto-25-hexacosanol (C₆ HG₂₆ keto-ol) were confidently identified from their [M+H]⁺ accurate mass (m/z 577.4674 and 575.4517 respectively) and their fragmentation patterns, which followed published reports (Bauersachs et al., 2009b). C₆ HG₂₆ diol and C₆ HG₂₆ keto-ol were present at concentrations of 0.3 and 0.4 ng L⁻¹ respectively (data not shown), both ~10 times higher than the concentration of the C₅ HG₃₀ triol in this sample (Table 1).
- At Station 10, besides SPM samples collected on 0.7 μ m GF/Fs, SPM samples were also collected at depths down to 3000 m using 0.3 μ m GF75 filters (Table 2). As with the 0.7 μ m SPM samples at station 10, C₅ HG₃₀ triol was consistently present in higher concentration than C₅ HG₃₂ triol (which represented on average only 1.4 % ± 0.7 of their summed abundance). The concentrations and depth trends (to 200 m) of the two C₅ HGs did not differ between the 0.3 μ m and 0.7 μ m filter SPM samples (Fig. 5). For both the 0.3 μ m samples and the 0.7 μ m samples, the summed abundance of C₅ HG₃₀ triol and C₅ HG₃₂ triol was highest at 200 m, 108 pg L⁻¹. In
- 255 the 0.3 μ m samples, both concentrations decreased below 200 m, although both C₅ HGs remained detectable at
- 256 3000 m depth.

257 3.4 Heterocyst glycolipids and bulk properties in surface sediment

- As with the SPM, $C_5 HG_{30}$ triol and $C_5 HG_{32}$ triol were detected in the surface sediment of seventeen stations
- 259 (Table 1). C₅ HG₃₀ triol was also here consistently present in the higher concentration of the two (C₅ HG₃₂ triol
- represented on average 9.4 $\% \pm 3.0$ of their summed abundance). The C₅ HG₃₀ diol was not detected in any
- surface sediment, alike the SPM samples. HGs with a C₆ sugar head group were also not detected in any surface
- sediment. In the sediment underlying the high-salinity open ocean stations (1, 3, 5) the summed abundance of the
- two C₅ HGs was low (2.0 3.7 ng g⁻¹, Table 1). It was high at Station 7 and 8 (10.6 and 16.3 ng g⁻¹), while Station 9 – 17 contained mid-range concentrations (5.2 – 14.8 ng g⁻¹), with the exception of the two coastal-shelf
- Station 9 17 contained mid-range concentrations $(5.2 14.8 \text{ ng g}^{-1})$, with the exception of the two coastal-shelf stations (11 and 12) where the concentration was at its lowest (0.2 and 0.3 ng g⁻¹). At the final 4 stations (20a,
- 266 21a, 22 and 23) the summed abundance returned to high levels $(11.2 19.0 \text{ ng g}^{-1})$. For context, the TOC was
- relatively stable between Station 1 and 10 (av. 0.6 ± 0.1 %, n=7) then low at Station 11 and 12 (av. 0.2 ± 0.1 %).
- Station 13 exhibited the highest TOC of all the stations $(1.2 \pm 0.0 \%)$, and TOC decreased steadily at all stations
- thereafter, and was 0.6 ± 0.0 % at Station 23.

4. Discussion

271 4.1 Heterocyst glycolipids and DDAs in the water column

272 The Amazon plume has been extensively documented to support high numbers of the diatom-diazotroph 273 associations (DDA) such as Hemiaulus hauckii-Richelia intracellularis and Rhizosolenia clevei-Richelia 274 intracellularis (Carpenter et al., 1999; Foster et al., 2007; Goes et al., 2014; Subramaniam et al., 2008; Weber et 275 al., 2017). Our study took place outside the high Amazon flow period and the Chl concentrations and DDA 276 counts encountered on this cruise did not reach the values seen in 'bloom conditions' described during previous 277 studies in the region (Carpenter et al., 1999; Subramaniam et al., 2008). However, the DDA counts in certain 278 stations were up to 3 orders of magnitude higher than surrounding waters and comparable to the open ocean 279 DDA blooms seen in the North Pacific gyre (Villareal et al., 2011, 2012). These strong gradients permitted 280 investigation of relationships between DDA and HG distributions.

The concentrations of the C₅ HG₃₀ triol and C₅ HG₃₂ triol were correlated with the cell counts of different diazotrophs. The concentrations of both the C₅ HGs (C₅ HG₃₀ triol and C₅ HG₃₂ triol) exhibited the most significant positive Pearson correlation with the number of *Hemiaulus* symbionts ($p \le 0.001$, r = 0.79 and 0.78 respectively, n=54). While these long-chain C₅ heterocyst glycolipids (HGs) have been found in cultures of DDAs (Bale et al., 2015; Schouten et al., 2013), our study of the tropical north Atlantic provides to the best of our knowledge for the first time, environmental evidence that long-chain C₅ HGs track the abundance and distribution of DDAs.

Interestingly, there was no significant correlation found between the number of *Rhizosolenia* symbionts and the concentration of the C₅ HGs (C₅ HG₃₀ triol: p = 0.07, r = 0.23 and C₅ HG₃₂ triol: p = 0.14, r = 0.19), except when the surface and BWML of Station 8 were excluded from the analysis (C₅ HG₃₀ triol: $p \le 0.001$, r =0.88; and C₅ HG₃₂ triol: $p \le 0.001$, r = 0.83). This difference may in part be due to the lower number of *Rhizosolenia/Guinardia* symbionts relative to *Hemiaulus* symbionts (on average *Rhizosolenia* symbionts in this study represented 24 ± 34 % of the sum of *Rhizosolenia* and *Hemiaulus* symbionts), similar to previous findings that *Hemiaulus* dominated over *Rhizosolenia* in the Amazon plume (Foster et al., 2007) and Caribbean region 295 (Villareal, 1994). Furthermore, culture studies have shown that *Rhizosolenia* symbionts contain only trace 296 amounts of C_5 HG₃₀ triol, (Bale et al., 2015), whereas this is a dominant HG in *Hemiaulus* symbionts (Schouten 297 et al., 2013). Unfortunately, a unique biomarker for Rhizosolenia and Guinardia symbionts has not been 298 identified to date (Bale et al., 2015; Schouten et al., 2013). There was also a significant correlation between C_5 299 HG₃₂ triol, (but not C₅ HG₃₀ triol) and the counts of *Guinardia cylindrus* (formerly *Rhizosolenia cylindrus*) ($p \le$ 300 0.03, r = 0.49, n=21). This was the only species for which there was a correlation with C₅ HG₃₂ triol but not C₅ 301 HG₃₀ triol. This DDA has not been cultured and nothing is known about the heterocyst lipid composition of this 302 species. These results suggest C₅ HG₃₀ triol may be synthesized by this species.

303 At approximately half of the sampling points, glycolipids could be detected in SPM where no DDAs 304 were observed by microscopy. This difference may be result of the difference in total sampling volumes between 305 the two methods which led to a higher probability that the lipid samples would contain symbiont chains than the 306 microscopy samples. In addition, microscopic examinations may have missed heterocysts that were incorporated 307 into unrecognizable masses in aggregates, whereas UHPLC-HRMS may have still detected the associated HGs. 308 Indeed, copepod grazing in the plume (Conroy et al., 2016) will repackage Richelia trichomes, and little is 309 known of the effects of gut passage on heterocyst and HG integrity. It should also be noted that because 310 sampling for diazotroph enumeration and for lipid analysis occurred via different methods, there was a time 311 offset of \leq 5 h and a depth offset of \leq 20 m between the two sampling events representing the same water column 312 phenomena (surface, BWML and DCM).

313 Unexpectedly, a significant correlation was also found for $C_5 HG_{30}$ triol and $C_5 HG_{32}$ triol and the number of *Trichodesmium* colonies ($p \le 0.001$, r = 0.68 and 0.67, n=54), and for C₅ HG₃₀ triol and the number of 314 315 Trichodesmium filaments ($p \le 0.05$, r = 0.30, n=54). These correlations could be coincidental as C₅ HG 316 producing organisms have not been described in association with Trichodesmium nor would Trichodesmium be 317 expected to produce HGs itself as it does not use heterocysts to fix nitrogen. A recent study in the North Pacific Subtropical Gyre found that Trichodesmium colonies were harboring an endobiontic heterocystous cyanobacteria 318 319 of the genus Calothrix (Momper et al., 2015). However, analyses of the HG content of both freshwater and marine Calothrix cultures have to date only revealed the presence of C₆ HGs, not C₅ HGs (Bauersachs et al., 320 321 2009a; Schouten et al., 2013; Wörmer et al., 2012). Furthermore, no heterocystous cyanobacteria were observed 322 in Trichodesmium from the Caribbean (Borstad, 1978) or southwest Sargasso Sea (Siddiqui et al., 1992). 323 Trichodesmium is reported to have a physiological differentiated cell (diazocyte) that permits N₂-fixation in an 324 oxygenated colony or trichome, and which lacks the thickened cell envelope of heterocysts where HGs are 325 localized (Sandh et al., 2012).

326 While elevated HGs were statistically more associated with the DDA blooms than either free or 327 colonial Trichodesmium, there was frequently a co-occurrence of Trichodesmium with the DDA taxa (Fig. 4) 328 which could also contribute to the unexpected correlation. The Trichodesmium distribution appears to contrast 329 with the findings of Foster et al. (2007), Goes et al. (2014) and Subramaniam et al. (2008), who all concluded 330 that changing nutrient availability as reflected in the salinity gradient along the Amazon River plume led to 331 zonation of the diazotroph community. However, their data were examining more pronounced DDA cell abundance concentrations under much higher Amazon plume flow conditions. The broader features of our 332 333 observations, i.e. a low salinity region with higher nutrient concentrations and few diazotrophs transitioning to 334 strong diazotroph gradients in the salinity gradient to oceanic conditions, are in concordance with their 335 observations.

Visual examination of the correlations between the C5 HG concentration and the four major diazotrophs 336 337 groups (Hemiaulus symbionts, Rhizosolenia symbionts, Trichodesmium colonies and Trichodesmium filaments) 338 showed a clear outlier in the Hemiaulus symbiont regression curve, i.e. station 8 at 10 m water depth. As the 339 DDAs and Trichodesium are all surface dwellers (upper 5 m) we postulated that this depth contained detrital 340 HGs not reflecting living heterocystous cyanobacteria. Hence we also plotted the four regressions for only 341 surface data (n=19, Fig. S2b). The correlation between the number of Hemiaulus symbionts and the C5 HG 342 concentration became substantially stronger (p < 0.001, $r^2 = 0.97$), as did that of the *Trichodesmium* colonies (p343 $< 0.001, r^2 = 0.94$). However, closer examination showed that one station, again station 8, with unusually high 344 levels of both Hemiaulus symbionts and Trichodesmium colonies (station 8) was responsible for these high 345 correlation coefficients. Removal of station 8 from the regressions (n = 18, Fig. S2c) revealed that the number of *Hemiaulus* symbionts still correlated with the C₅ HG concentration (p < 0.001, $r^2 = 0.67$) but the correlation with 346 347 *Trichodesmium* colonies had disappeared (p = 0.47, $r^2 = 0.03$,). Interestingly in this third sample subset there was also a significant correlation between the number of *Rhizosolenia* symbionts and the C₅ HG concentration (p <348 $0.001, r^2 = 0.56$). 349

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351 Two C_6 HGs, generally associated with free-living heterocyst forming cyanobacteria from freshwater or brackish environments (Bale et al., 2015, 2016, Bauersachs et al., 2009b, 2010, 2011; Bühring et al., 2014; 352 353 Wörmer et al., 2012) were identified only in the DCM of Station 20a (C₆ HG₂₆ diol and C₆ HG₂₆ keto-ol). 354 Whereas in this study the two C₆ HGs were found at a similar concentration to each other, previous studies have 355 reported that C₆ HG₂₆ keto-ol was detected as a minor component relative to the more abundant C₆ HG₂₆ diol 356 (Bale et al., 2015, 2016, Bauersachs et al., 2009a, 2009b, 2011; Schouten et al., 2013; Wörmer et al., 2012). An 357 earlier study executed nearer to the mouth of the Amazon river detected trace levels of C₆ HG₂₆ diol (but not C₆ 358 HG₂₆ keto-ol) in surface sediments (Bale et al., 2015). In contrast, both C₆ HG₂₆ diol and C₆ HG₂₆ keto-ol were 359 recorded in freshwater Amazon River water and floodplain lake sediment.

360 There are reports of cyanobacterial species in cohabitation with other planktonic organisms such as the 361 floating macroalgae Sargassum (Carpenter, 1972; Hanson, 1977; Phlips et al., 1986) and Trichodesmium 362 (Momper et al., 2015). While the HG content of the cyanobacteria in these co-habitations has not been investigated, these cyanobacteria are in the same families as known C_6 HG producers (Bauersachs et al., 2009a; 363 Schouten et al., 2013; Wörmer et al., 2012). Trichodesmium was not detected by microscopy at this sampling 364 365 point, however as stated above, there is an apparent difference regarding the limit of detection between counting by microscopy and lipid analysis by UHPLC-HRMS. Floating 'fields' of Sargassum were regularly encountered 366 367 during the research cruise, with the maximum observations occurring around Station 16. Further work on the HG 368 composition of the cyanobacteria found in these cohabitations would be necessary to draw conclusions as to 369 whether they contributed to the source of the two C₆HGs detected at this sampling point.

370 **4.2** C₅ Heterocyst glycolipids below the DCM

While the concentration of the C_5 HGs was generally highest within the mixed layer (ML, cf. Fig. 4f), Station 10 exhibited an increase in C_5 HG concentration with depth with C_5 HGs in both the 0.3 μ m and 0.7 μ m samples

increasing with depth to a maximum at 200 m (Fig. 5). The two size fraction profiles were carried out 373 374 approximately 12 hours apart and suggests that the HG maxima at 200 m was a feature for at least this period of time. Station 9 was the only other station where the C_5 HG concentration (0.7 μ m) at the DCM was higher than 375 in the ML (cf. Table S1). Foster et al. (2007) reported that DDAs are high in the ML but can increase below the 376 377 ML down to at least 100 m. Sediment trap studies in the North Pacific and tropical North Atlantic ocean have 378 found significant contributions by DDAs to the vertically exported particulate organic carbon (Karl et al., 2012; 379 Scharek et al., 1999; Subramaniam et al., 2008). While our study did not utilize sediment traps to collect sinking particles, a proportion of the matter collected by in situ filtration is probably sinking rather than suspended 380 381 (Abramson et al., 2010). C₅ HGs have been found in surface sediment at depths up to 3000 m underlying our 382 water column sampling points (this study and Bale et al. (2015)), supporting the hypothesis that DDAs are 383 effectively transported in this environment from the water column to the sediment. These sinking particles could 384 be due to bloom-termination and aggregation or sinking of zooplankton fecal pellets.

385 **4.3** C₅ Heterocyst glycolipids in surface sediment

386 As was found in a previous study concentrating on a smaller area close to the mouth of the Amazon (Bale et al., 387 2015), the presence of a similar distribution of C_5 HGs in SPM and surface sediment indicates that HG producers sink, probably enhanced by the mineral ballast as well as matrix protection provided by the association with 388 389 diatom silica skeletons. The total C_5 HG concentration in surface sediments was more spatially homogenous than the distribution in the SPM (Table 1). Other than the two stations very close to the coast (where currents were 390 391 high and the TOC content was at its lowest), the HGs were detected in comparably high levels from Station 7 392 onwards. This reflects the wide spatial range of the HG-producers through an 'integrated' multi-decadal record 393 of their deposition. Each year between June and January, the Amazon plume is retroflected offshore, across the 394 Atlantic towards Africa due to the actions of the North Brazil Current and the North Equatorial Countercurrent, 395 which may account for the presence of the C_5 HGs in the surface sediments of Station 1 - 10. The rest of the year 396 the Amazon water flows northwestward towards the Caribbean Sea as the countercurrent and the retroflection 397 weaken or vanish (Muller-Karger et al., 1988), in turn accounting for the C_5 HGs in the surface sediments of 398 Station 13 - 23.

399 5. Conclusions

Long-chain C_5 HGs were detected in the water column of the tropical North Atlantic and their concentrations correlated strongly with DDAs. Furthermore, the HGs tracked the movement of the DDAs to the surface sediments in areas known to be impacted by high seasonal DDA input (under the Amazon plume) whereas the HG concentration in sediment farther away from plume was low. We conclude that long-chain C_5 HGs provide a robust, reliable method for detecting DDAs in the marine environment. The apparent stability and specificity of C_5 HGs mean that they have high potential for use in future work examining the presence and N-cycling role of DDAs in the past.

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591 Figure legends

- 592 Figure 1. Structures of the heterocyst glycolipids detected in this study C_6 glycolipids: 1-(O-hexose)-3,25-
- hexacosanediol (C₆ HG₂₆ diol), 1-(O-hexose)-3-keto-25-hexacosanol (C₆ HG₂₆ keto-ol). C₅ glycolipids: 1-(O-
- ribose)-3,29-triacontanediol ($C_5 HG_{30}$ diol), 1-(O-ribose)-3,27,29-triacontanetriol ($C_5 HG_{30}$ triol), 1-(O-ribose)-
- 3,29,32-dotriacontanetriol (C₅ HG₃₂ triol). Grey box indicates glycolipids associated with DDAs
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Figure 2. Map of tropical North Atlantic showing the study site. Location of the stations indicated. Aquarius seasurface salinity (SSS) satellite data from ERDAPP (30 day composite, centered on 01-Sept-14, https://coastwatch.pfeg.noaa.gov/erddap/index.html).

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Figure 3. Water column characteristics along the cruise track. Color scales show a) temperature, b) chlorophyll fluorescence (from fluorometer on CTD), c) PO_4^{3-} (color scale) and d) Si. Contour lines show salinity (a, b, d) and $NO_3^- + NO_2$ (c). Station numbers noted above plots, distance along transect from the Cape Verde Islands below.

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Figure 4. Diazotroph abundance along the cruise track. Color scales show a) *Rhizosolenia* symbionts (trichomes L^{-1}), b) *Hemiaulus* symbionts (trichomes L^{-1}), c) *Guinardia* symbionts (trichomes L^{-1}), d) *Trichodesmium* (free trichomes L^{-1}) and e) *Trichodesmium* (colonies L^{-1}) while contour lines show salinity (a – e). f) Color scale shows concentration of C₅ HG₃₀ triol (pg L^{-1}) while contour lines show C₅ HG₃₂ triol % (of C₅ total sum). Station numbers above plots, distance along transect from the Cape Verde Islands below. Dots in Fig. 4a-c indicate sampling depth for the salinity contours. Fig. 4d-e indicate sampling depth for HG lipids (Fig. 4f.). See comments in text regarding *Trichodesmium* colony contouring artifacts.

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Figure 5. Station 10, down column profile of C_5 HG sum (C_5 HG₃₀ triol + C_5 HG₃₂ triol, pg L⁻¹) from 0.7 μ m GF/F filters (grey dashed line) and 0.3 μ m GF/5 filters (solid black line).

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620 Table 1. Glycolipid concentrations from sea surface (3 - 5m) and surface sediment for all stations. For 621 concentrations at bottom wind mixed layer (BWML) and deep chl maximum (DCM) see Table S3. $\dagger = No$ 622 sediment collected, ns = not sampled.

Table 2. The additional SPM samples collected for high resolution depth profile at Station 10 (0.3 μ m GF/F). * = 625 Deep chlorophyll maximum.

Sampling depth (m)	Salinity	Temperature (°C)	C ₅ HG ₃₀ triol (pg L ⁻¹)	C ₅ HG ₃₂ triol (pg L ⁻¹)	Sum (pg L ⁻¹)
20	35.3	28.6	5.6	0.0	5.6
50*	36.4	27.3	9.6	0.0	9.6
200	35.2	11.4	108	0.3	108
400	34.7	7.4	24.4	0.1	24.5
600	34.6	6.3	29.0	0.1	29.1
800	34.6	5.1	22.5	0.4	22.9
1000	34.7	4.7	11.9	0.2	12.2
1200	34.8	4.8	12.6	0.2	12.8
1500	35.0	4.5	16.2	0.3	16.5
2000	35.0	3.4	18.3	0.3	18.6
2500	34.9	2.8	20.7	0.4	21.0
3000	34.9	2.4	22.3	0.3	22.6

Supplement

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Supplementary Figures

Figure S1. Aquarius sea-surface salinity (SSS) satellite data (7 day composites), centered on (DD/MM/YY) a)
27/08/14, b) 03/09/14, c) 10/09/14, d) 17/09/14 and e) 24/09/14 showing highly dynamic plume location.
Approximate location of R/V *Pelagia* indicated with purple circle.

Figure S2. Regression curves of the C₅ HG concentration against the number of *Hemiaulus* symbionts, *Rhizosolenia* symbionts, *Trichodesmium* colonies and *Trichodesmium* filaments for a) all the data (n=54) b) only surface data (n=19) and c) surface data without station 8 (n = 18).

Supplementary Tables

Table S1. Phytoplankton composition from Chemtax software based on pigment analysis. Numbers represent fraction of total Chl a. Fractions greater than 0.5 are highlighted in red and fractions between 0.1 and 0.2 are

640 highlighted in purple. BWML = bottom wind mixed layer, DCM = deep chl maximum.

Table S2. Diazotroph enumeration data. 5 categories: three are symbionts (syms, trichomes L^{-1}), with the diatoms *Rhizosolenia clevei*, *Hemiaulus hauckii*, and *Guinardia cylindrus*, and two non-symbionts, *Trichodesmium* colonies (colonies L^{-1}) and free *Trichodesmium* trichomes (trichomes L^{-1}).

Table S3. Glycolipid concentration data. Concentration of $C_5 HG_{30}$ triol and $C_5 HG_{32}$ triol (pg L⁻¹) along with concentration of Chl a (ng L⁻¹) as measured by HPLC.

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