



# The devil's in the disequilibrium: sensitivity of ocean carbon storage to climate state and iron fertilization in a general circulation model

Sarah Eggleston<sup>1,\*</sup> and Eric D. Galbraith<sup>1,2,3</sup>

<sup>1</sup>Institut de Ciència i Tecnologia Ambientals (ICTA), Universitat Autònoma de Barcelona, 08193 Barcelona, Spain <sup>2</sup>Institució Catalana de Recerca i Estudis Avançats (ICREA), Pg. Lluís Companys 23, 08010 Barcelona, Spain <sup>3</sup>Department of Earth and Planetary Science, McGill University, Montreal, Quebec H3A 2A7, Canada <sup>\*</sup>Now at: Laboratory for Air Pollution & Environmental Technology, EMPA, Überlandstrasse 129, 8600 Dübendorf, Switzerland

Correspondence to: S. Eggleston (sarah.eggleston@gmail.com)

Abstract. Ocean dissolved inorganic carbon (DIC) storage can be conceptualized as the sum of four components: saturation ( $DIC_{sat}$ ), disequilibrium ( $DIC_{dis}$ ), carbonate ( $DIC_{carb}$ ) and soft tissue ( $DIC_{soft}$ ). Among these,  $DIC_{dis}$  and  $DIC_{soft}$  have the potential for large changes that are relatively difficult to predict. Here we explore changes in  $DIC_{soft}$  and  $DIC_{dis}$  in a large suite of simulations with a complex coupled climate-biogeochemical model, driven by changes in orbital forcing, ice sheets

- 5 and the radiative effect of  $CO_2$ . Both  $DIC_{dis}$  and  $DIC_{soft}$  vary over a range of 40  $\mu$ mol/kg in response to the climate forcing, equivalent to changes in atmospheric  $CO_2$  on the order of 50 ppm for each. We find that, despite the broad range of climate states represented, changes in global  $DIC_{soft}$  can be well-approximated by the product of deep ocean ideal age and the global export production flux, while global  $DIC_{dis}$  is dominantly controlled by the fraction of the ocean filled by Antarctic Bottom Water (AABW). Because the AABW fraction and ideal age are inversely correlated between the simulations,  $DIC_{dis}$  and  $DIC_{soft}$
- 10 are also inversely correlated. This inverse correlation could be decoupled if changes in deep ocean mixing were to alter ideal age independently of AABW fraction, or if independent ecosystem changes were to alter export and remineralization, thereby modifying  $DIC_{soft}$ . As an example of the latter, iron fertilization causes  $DIC_{soft}$  to increase, and causes  $DIC_{dis}$  to also increase by a similar or greater amount, to a degree that depends on climate state. We propose a simple framework to consider the global contribution of  $DIC_{soft} + DIC_{dis}$  to ocean carbon storage as a function of the surface preformed nitrate and  $DIC_{dis}$  of
- 15 dense water formation regions, the global volume fractions ventilated by these regions, and the global nitrate inventory. More extensive sea ice increases  $DIC_{dis}$ , and when sea ice becomes very extensive it also causes significant  $O_2$  disequilibrium, which may have contributed to reconstructions of low  $O_2$  in the Southern Ocean during the glacial. Global  $DIC_{dis}$  reaches a minimum near modern  $CO_2$  because the AABW fraction reaches a minimum, which may have contributed to preventing further  $CO_2$  rise during interglacial periods.

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## 1 Introduction

The controls on ocean carbon storage are not yet fully understood. Although potentially very important, given the large inventory of dissolved inorganic carbon (DIC) the ocean contains (38,000 Pg C vs. 700 Pg C in the pre-industrial atmosphere), the nuances of carbon chemistry, the dependence of air-sea exchange on wind stress and sea ice cover, the intricacies of ocean circulation and the activity of the marine ecosystem all contribute to making it a very complex problem. The scale of the challenge is such that, despite decades of work, the scientific community has not yet been able to satisfactorily quantify the role

- of the ocean in the natural variations of  $CO_2$  between 180 and 280 ppm that occurred over ice age cycles. This failure reflects persistent uncertainty that also impacts our ability to accurately forecast future ocean carbon uptake.
- In order to help with process understanding, DIC can be theoretically divided among four components that, together, de-10 termine the air-sea partitioning of the "active" carbon inventory:  $DIC_{sat}$ ,  $DIC_{dis}$ ,  $DIC_{soft}$  and  $DIC_{carb}$  (Ito and Follows, 2013; Bernardello et al., 2014). The first two components are defined in the surface ocean and are carried passively by ocean circulation in the interior, while the latter two are equal to zero in the surface layer and accumulate in the interior due to biogeochemical activity.
- Saturation DIC (DIC<sub>sat</sub>) is simply determined by the atmospheric  $CO_2$  concentration and its solubility in seawater, which is a function of ocean temperature, salinity, and alkalinity. For example, cooling the ocean will increase  $CO_2$  solubility, thereby leading to an increase in DIC<sub>sat</sub>. Given known changes in temperature, salinity, alkalinity, and atmospheric  $CO_2$ , the effective storage of DIC<sub>sat</sub> can be calculated precisely.

The soft tissue pump (see e.g. Toggweiler et al., 2003) has been defined in various ways, but universally involves the uptake of DIC in the surface ocean by marine primary producers. The organic carbon that is formed then sinks or is subducted (as

- 20 dissolved or suspended organic matter) and is transformed into remineralized DIC within the water column (a small fraction is buried at depth). Here we define  $DIC_{soft}$  as that accumulated by the net respiration of organic matter below the top layer of the ocean (in our model, the uppermost 10 m). Thus,  $DIC_{soft}$  depends both on the export flux of organic matter, affected by surface ocean conditions including iron supply (Martin, 1990), and on the flushing rate of the deep ocean, which clears out accumulated  $DIC_{soft}$  (Toggweiler et al., 2003). The Southern Ocean (SO) is thought to be an important region for such
- changes on glacial/interglacial timescales, as the ecosystem there is currently iron-limited, and it also plays a major role in deep ocean ventilation (Martin, 1990; Toggweiler et al., 2003; Jaccard et al., 2016); furthermore, the degree of stratification may have changed significantly on these timescales (François et al., 1997; Sigman et al., 2010). Assuming a constant global oceanic phosphate inventory and constant C:P ratio, DIC<sub>soft</sub> would be stoichiometrically related to the preformed PO<sub>4</sub> (PO<sub>4pre</sub>) inventory of the ocean, where PO<sub>4pre</sub> is the concentration of PO<sub>4</sub> in newly-subducted waters, and a passive tracer in the interior.
- 30 The potential to use  $PO_{4_{pre}}$  as a metric of  $DIC_{soft}$  prompted significant efforts to understand how it could change over time (Ito and Follows, 2005; Marinov et al., 2008a; Goodwin et al., 2008), though it has been pointed out that the large variation in C:P of organic matter weakens the relationship between  $DIC_{soft}$  and  $PO_{4_{pre}}$  (Galbraith and Martiny, 2015). Dissolved O<sub>2</sub> can potentially serve as a better metric of  $DIC_{soft}$ , given the relatively small variations in the O<sub>2</sub>:C of respiration compared to the relatively high variability in C:P (Martiny et al., 2013). But Apparent Oxygen Utilization (AOU), typically taken as a measure





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of accumulated respiration, can be misleading if the preformed  $O_2$  concentration differed significantly from saturation (Ito et al., 2004; Duteil et al., 2013). Thus, despite being conceptually simple, DIC<sub>soft</sub> can be difficult to quantify observationally.

Similar to  $\text{DIC}_{\text{soft}}$ ,  $\text{DIC}_{\text{carb}}$  is defined here as the DIC generated by the dissolution of calcium carbonate shells below the ocean surface layer. Note that this does not include the impact that shell production has at the surface; calcification causes alkalinity to decrease in the surface ocean, raising surface  $pCO_2$  and shifting carbon to the atmosphere. Rather, within the framework used here, this effect on alkalinity distribution falls under  $\text{DIC}_{\text{sat}}$ , since it alters the solubility of DIC. Changes in  $\text{DIC}_{\text{carb}}$  on the timescales of interest are generally thought to be small compared to those of  $\text{DIC}_{\text{sat}}$  and  $\text{DIC}_{\text{soft}}$ .

Typically, only these three components are considered as the conceptual drivers behind changes in the air-sea partitioning of  $pCO_2$  (e.g., IPCC, 2007; Kohfeld and Ridgwell, 2009; Marinov et al., 2008a; Goodwin et al., 2008). However, a fourth

- 10 component, disequilibrium carbon (DIC<sub>dis</sub>), is also potentially significant as discussed by Ito and Follows (2013). Defined as the difference between preformed DIC and DIC<sub>sat</sub>, DIC<sub>dis</sub> can be relatively large (Takahashi et al., 2009) because of the slow timescale of atmosphere-surface ocean equilibrium of carbon compared to other gases, caused by the buffering capacity of seawater (e.g., Zeebe and Wolf-Gladrow, 2001; Broecker and Peng, 1974).
- Like  $DIC_{sat}$ ,  $DIC_{dis}$  is a conservative tracer determined in the surface ocean, with no sources or sinks in the ocean interior. 15 Since the majority of the ocean is filled by water originating from small regions of the Southern Ocean and the North Atlantic, the net whole-ocean disequilibrium carbon is approximately determined by the  $DIC_{dis}$  in these areas weighted by the fraction of the ocean volume filled from each of these sites. Unlike the other three components,  $DIC_{dis}$  could contribute either additional oceanic carbon storage ( $DIC_{dis} > 0$ ) or reduced oceanic carbon storage ( $DIC_{dis} < 0$ ). Studies using preformed nutrients as a metric for biological carbon storage have often ignored the potential importance of  $DIC_{dis}$  by assuming fast air-sea gas
- 20 exchange (e.g., Marinov et al., 2008a; Ito and Follows, 2005). In the pre-industrial ocean this is of little importance, given that global  $DIC_{dis}$  is small because the opposing effects of North Atlantic and Antarctic water masses largely cancel each other. However, Ito and Follows (2013) showed that  $DIC_{dis}$  can have a large impact by amplifying changes in  $DIC_{soft}$  under constant pre-industrial ocean circulation, and the possibility that  $DIC_{dis}$  varied in response to changes in ocean circulation states has not been thoroughly explored.
- Here, we use a fully-coupled general circulation model (GCM) to investigate the potential importance of  $DIC_{dis}$  in altering air-sea  $CO_2$  partitioning on long timescales. We make use of a large number of equilibrium simulations, conducted over a wide range of  $CO_2$ , orbital and ice sheet boundary conditions, as a "library" of contrasting ocean circulations in order to test the response of disequilibrium carbon storage to physically plausible changes in ocean circulation. We supplement these with a smaller number of iron fertilization experiments to examine the additional impact of ecosystem changes. In order to simplify
- 30 the interpretation, we chose to prescribe a constant  $CO_2$  for the air-sea exchange in all simulations. Thus, the changes in  $DIC_{sat}$  reflect only changes in temperature, salinity, alkalinity and ocean circulation, and not changes in  $pCO_2$ . Nor do they explicitly consider changes in the total carbon or alkalinity inventories, although these may have changed significantly due to changes in outgassing and/or burial (Roth et al., 2014; Tschumi et al., 2011). As such, the experiments here should be seen as idealized climate-driven changes, and should be further tested with more comprehensive models including interactive  $CO_2$ .





# 2 Methods

#### 2.1 Model description

The GCM used in this study is CM2Mc, the Geophysical Fluid Dynamics Laboratory's Climate Model version 2 but at lower resolution (3°), described in more detail by Galbraith et al. (2011). This includes the Modular Ocean Model version 5, a sea
ice module, static land and ice sheets, and a module of Biogeochemistry with Light, Iron, Nutrients and Gases (BLINGv1.5) (Galbraith et al., 2010). Unlike BLINGv0, BLINGv1.5 allows for variable stoichiometry and calculates the mass balance of phytoplankton in order to prevent unrealistic bloom magnitudes at high latitudes, reducing the magnitude of disequilibrium O<sub>2</sub>, which was very high in BLINGv0 (Duteil et al., 2013; Tagliabue et al., 2016).

#### 2.2 Experimental design

- 10 The basic setup of all model runs is identical to Galbraith and de Lavergne (submitted). A control run was conducted with atmospheric CO<sub>2</sub> set to 270 ppm and the Earth's obliquity and precession set to modern values (23.4° and 102.9°, respectively). Experimental simulations were run at values of obliquity (22°, 24.5°) and precession (90°, 270°) representing the astronomical extremes encountered over the last 5 My (Laskar et al., 2004). Solar radiation was varied at CO<sub>2</sub> levels equivalent to 180, 220, 270, 405, 607, and 911 ppm. The biologeochemical component of the model calculates air-sea carbon fluxes using a fixed atmospheric CO<sub>2</sub> of 270 ppm throughout all model runs, irrespective of the CO<sub>2</sub> used for radiative forcing.
- 15 atmospheric CO<sub>2</sub> of 270 ppm throughout all model runs, irrespective of the CO<sub>2</sub> used for radiative forcing. Eight additional runs were conducted using Last Glacial Maximum (LGM) ice sheets with CO<sub>2</sub> of 180 and 220 ppm and the same orbital parameters. Iron fertilization simulations use the glacial atmospheric dust field of Nickelsen and Oschlies (2015) instead of the standard pre-industrial dust field. Four iron fertilization experiments were run at an atmospheric CO<sub>2</sub> of 180 ppm with LGM ice sheets, as well as one model run similar to the control run. Finally, two simulations were run that were
- 20 identical to the pre-industrial setup, but the rate of remineralization of sinking organic matter is set to 75% of the default rate, approximately equivalent to the expected change due to a 5°C ocean cooling (Matsumoto et al., 2007); one of these runs also includes iron fertilization. All simulations are summarized in Table 1.

The simulations were run for 2100 - 6000 model years beginning with a pre-industrial spinup. While the model years presented here largely reflect runs after having reached steady state, it is important to note that the pre-industrial run (41 in Table 1) still has a drift of 1  $\mu$ mol/kg over the 100 y shown here and thus may not yet be at steady state.

#### 2.3 DIC decomposition

Following Ito and Follows (2013) and Bernardello et al. (2014), we separate the total DIC into preformed and remineralized DIC ( $DIC_{pre}$  and  $DIC_{rem}$ ).

$$DIC = DIC_{pre} + DIC_{rem}$$
(1)

30  $\text{DIC}_{\text{pre}}$  is set equal to DIC at the surface and is propagated into the ocean interior as a conservative tracer. It in turn is the sum of two components: the first is the DIC at equilibrium with the atmosphere (DIC<sub>sat</sub>), and the second is the disequilibrium





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term, defined as the degree of under- or oversaturation ( $DIC_{dis}$ ). Note that in all simulations,  $DIC_{sat}$  is calculated using a  $CO_2$  concentration of 270 ppm, as this was prescribed identically for the biogeochemical component in all simulations, as discussed above. The use of a constant  $CO_2$  concentration for biogeochemistry is not consistent with the  $CO_2$  used for radiative forcing, which changes between simulations, but it provides a relatively simple framework for comparison of this large suite of simulations.

$$DIC_{pre} = DIC_{sat} + DIC_{dis}$$
<sup>(2)</sup>

Within the mixed layer, plankton take up DIC to produce organic matter or calcium carbonate shells, both of which sink or are subducted and are remineralized in the water column to inorganic carbon ( $DIC_{soft}$  and  $DIC_{carb}$ , respectively).

$$DIC_{rem} = DIC_{soft} + DIC_{carb}$$
(3)

10  $\text{DIC}_{\text{sat}}$ ,  $\text{DIC}_{\text{soft}}$ , and  $\text{DIC}_{\text{carb}}$  can be calculated explicitly from the model tracers (for more details, see the appendix), and  $\text{DIC}_{\text{dis}}$  is then calculated as a residual.

$$DIC_{dis} = DIC - DIC_{sat} - DIC_{soft} - DIC_{carb}$$
(4)

#### **3** Results

#### **3.1** Climate experiments

- 15 Total DIC generally decreases from cold to warm simulations, under the constant  $CO_2$  of 270 ppm used for air-sea exchange. Changes in DIC<sub>sat</sub> drive the largest portion of this trend, decreasing approximately linearly with  $ln(CO_2)$  due to the temperaturedependence of  $CO_2$  solubility, resulting in a difference of 50  $\mu$ mol/kg over this range (see fig. 1). DIC<sub>carb</sub> is small in magnitude with a standard deviation of only 4  $\mu$ mol/kg over the entire range of  $CO_2$  values, and we do not discuss it further.
- In contrast to  $DIC_{sat}$ ,  $DIC_{dis}$  and  $DIC_{soft}$  vary nonlinearly with global temperatures, with a clear and shared turning point at a radiative forcing near a  $CO_2$  concentration of about 400 ppm. Both  $DIC_{dis}$  and  $DIC_{soft}$  are strongly correlated with ocean ventilation, quantified here by the global average of the ideal age tracer ( $r^2 = 0.69$  and 0.89, respectively), and thus with each other ( $r^2 = 0.74$ ). However, in contrast to the findings of Ito and Follows (2013), who found a positive correlation of  $DIC_{soft}$ and  $DIC_{dis}$  under nutrient depletion experiments with constant climate,  $DIC_{soft}$  and  $DIC_{dis}$  are negatively correlated under the range of climate states under constant iron supply explored here.

#### 25 3.2 Iron fertilization experiments

The impact of increasing the dust flux to the ocean on  $\text{DIC}_{\text{soft}}$  depends strongly on the ocean circulation state (see fig. 2). In the pre-industrial climate state (middle panel), half of the total oceanic C inventory change (14.6  $\mu$ mol/kg) is due to increased soft tissue pump storage ( $\Delta \text{DIC}_{\text{soft}} = 7.3 \mu$ mol/kg). This is qualitatively the same in the case that the remineralization rate of organic carbon is reduced by 25% (left panel). However, in the high-ventilation runs under glacial-like conditions (right panel),





the change in total DIC is somewhat reduced (11.1  $\mu$ mol/kg) and  $\Delta$ DIC<sub>soft</sub> is small (SO average 4.3  $\mu$ mol/kg; global average 2.9  $\mu$ mol/kg). DIC<sub>dis</sub> is also dependent on the ocean circulation, but in the opposite direction: the change is more significant (9.4  $\mu$ mol/kg global average) in the glacial-like simulations compared to the pre-industrial simulation (6.4  $\mu$ mol/kg). Each of these values rises when considering only the SO (13.4 and 9.0  $\mu$ mol/kg, respectively). In both the pre-industrial and glacial-like simulations compared to the pre-industrial and glacial-like simulations are simulations.

5 like simulations, changes in  $DIC_{dis}$  constitute an important part of the total change.  $DIC_{carb}$  is reduced to a small extent by iron fertilization, due to the reduced nutrient content of AAIW/SAMW and consequent decrease in low latitude carbonate production, which raises low latitude surface ocean alkalinity, causing an increase in  $DIC_{sat}$ .

# 4 Discussion

Simulated changes in DIC<sub>dis</sub> are of the same magnitude as the DIC<sub>soft</sub> changes, to which much greater attention has been paid. For a global average buffer factor between 8 and 14 (Zeebe and Wolf-Gladrow, 2001), a rough, back-of-the-envelope calculation shows that a 1  $\mu$ mol/kg change in DIC corresponds to a 0.9 – 1.6 ppm change in atmospheric *p*CO<sub>2</sub> based on a DIC concentration of 2300  $\mu$ mol/kg and CO<sub>2</sub> of 270 ppm. Thus, the increase in the global average DIC<sub>dis</sub> in these simulations of 16  $\mu$ mol/kg (pre-industrial control) to 62  $\mu$ mol/kg (LGM-like conditions with Fe fertilization) could have contributed the equivalent of a 40 – 70 ppm change in the atmospheric CO<sub>2</sub> stored in the ocean during the glacial compared to today. It

15 is important to recognize that the drawdown of  $CO_2$  by disequilibrium storage would have resulted in a decrease of  $DIC_{sat}$ , given the dependence of the saturation concentration on  $pCO_2$ , so this estimate should not be interpreted as a straightforward atmospheric  $CO_2$  change. Nonetheless, while this is only a first-order approximation and the model biases are potentially large, it seems very likely that the desquilibrium carbon storage was a significant portion of the net 90 ppm difference.

Below, we discuss the changes in DIC<sub>soft</sub> and DIC<sub>dis</sub> that result from the CO<sub>2</sub>, orbital and ice-sheet driven climate changes.
20 We then discuss related changes in disequilibrium O<sub>2</sub>, implications for preformed nutrient theory, and propose a new mechanism that may have helped to prevent the Earth from warming its way out of the ice age cycle.

#### 4.1 Climate-driven changes in DIC<sub>soft</sub>

The biogeochemical model used here is relatively complex, with limitation by three nutrients (N, P and Fe), denitrification and N<sub>2</sub> fixation, in addition to the temperature- and light-dependence typical of biogeochemical models. The climate model is also
complex, including a full atmospheric model, a highly-resolved dynamic ocean mixed layer, and many nonlinear subgridscale parameterizations, and uses short (< 3 h) timesteps. The simulations we show span a wide range of behaviours, including major changes in ocean ventilation pathways and patterns of organic matter export.</li>

Thus, it is perhaps surprising that the net global result of the biological pump, as quantified by DIC<sub>soft</sub>, has highly predictable behavior. As shown in fig. 3, the global DIC<sub>soft</sub> varies closely with the product of the global sinking flux of organic matter at 100 m and the ideal age of the global ocean. Qualitatively this is not a surprise, given that greater export pumps more organic matter to depth, and a large age provides more time for respired carbon to accumulate within the ocean. But the quantitative strength of the relationship is striking.





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It is difficult to assess the likelihood that the real ocean follows this relationship to a similar degree. One reason it might differ is if remineralization rates vary spatially, or with climate state. In the model here, as in most biogeochemical models, organic matter is respired according to a globally-uniform power law relationship vs. depth (Martin et al., 1987). Kwon et al. (2009) showed that ocean carbon storage is sensitive to changes in these remineralization rates, and this would provide an additional degree of freedom. It is not currently known how much remineralization rates can vary naturally; they may vary as a function of temperature (Matsumoto et al., 2007) or ecosystem structure. As a result, the relationship between DIC<sub>soft</sub> and ideal ocean age multiplied by global export may be stronger in the model than in the real ocean.

Nonetheless, the results suggest that, as a useful first-order approximation, the global change in  $DIC_{soft}$  between two states can be given by a simple linear regression:

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$$\Delta \text{DIC}_{\text{soft}}[\mu \text{mol } \text{kg}^{-1}] = 0.036 \cdot \Delta(\text{export}[\text{Pg C } \text{y}^{-1}] \cdot \text{age}[\text{y}])$$
 (5)

or in CO<sub>2</sub> terms and assuming a buffer factor of 10:

$$\Delta \text{CO}_{2,\text{soft}}[\text{ppm}] \approx m \cdot \Delta(\text{export}[\text{Pg C y}^{-1}] \cdot \text{age}[y]) \tag{6}$$

where m = 0.065, 0.042, 0.029 for modern, pre-industrial and glacial conditions respectively. This simple meta-model may provide a useful substitute for full ocean-ecosystem calculations, and could be further tested against other ocean-ecosystem
coupled models. Note that, as for the disequilibrium estimate above, the soft tissue pump CO<sub>2</sub> drawdown would be partially compensated by a decrease in saturation carbon storage, so this should not be interpreted as a net atmospheric effect. In addition, we have not accounted for consequent changes in the surface ocean carbonate chemistry (including changes in the buffer factor). It would be useful to check this relationship with models including interactive CO<sub>2</sub>.

- It is important to point out that the simulated change in  $DIC_{soft}$  between interglacial and glacial states is in conflict with 20 reconstructions. Proxy records appear to show that LGM dissolved oxygen concentrations were lower throughout the global ocean, with the exception of the North Pacific, implying greater  $DIC_{soft}$  concentrations during the glacial then during the Holocene (Galbraith and Martiny, 2015). In contrast, the model suggests that greater ocean ventilation rates in the glacial state would have led to reduced global  $DIC_{soft}$ . As discussed by Galbraith and de Lavergne (submitted), radiocarbon observations imply that the model ideal age is approximately 200 y too young under glacial conditions, compared to the LGM, suggesting
- a circulation bias that may reflect incorrect diapycnal mixing or non-steady-state conditions. Whatever the cause, if we take this 200 y bias into account, the regression implies an additional 33  $\mu$ mol kg<sup>-1</sup> DIC<sub>soft</sub> were stored in the glacial ocean. This would bring the simulated glacial DIC<sub>soft</sub> close to, but still less than, the simulated pre-industrial value. We propose that the apparent remaining shortfall in simulated glacial DIC<sub>soft</sub> could reflect one or more of the following non-exclusive possibilities: 1. the model does not capture changes in remineralization rates caused by ecosystem changes; 2. the model underestimates the
- 30 glacial increase in the nitrate inventory, perhaps due to changes in the iron cycle; 3. the ocean was not in steady state during the LGM, and therefore not directly comparable to the "glacial" simulation; 4. the inference of DIC<sub>soft</sub> from proxy oxygen records is incorrect due to significant changes in preformed oxygen disequilibrium (see below). If either of the first two possibilities is important, it would imply an inaccuracy in the meta-model derived here.



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#### 4.2 Climate-driven changes in DIC<sub>dis</sub>: volume fractions

The ocean basins below 1 km depth are largely filled by surface waters subducted to depth in regions of deepwater formation (Gebbie and Huybers, 2011). In our simulations, water originating in the surface North Atlantic, termed NADW, and the Southern Ocean, termed AABW, make up 80-96% of this total deep ocean volume. Thus, to first order, the deep average  $DIC_{dis}$  concentration can be approximated by a simple mass balance:

 $\text{DIC}_{\text{dis}_{\text{deep}}} \approx f_{\text{AABW}} \cdot \text{DIC}_{\text{dis}_{\text{AABW}}} + f_{\text{NADW}} \cdot \text{DIC}_{\text{dis}_{\text{NADW}}}$ 

(7)

Here,  $f_{AABW}$  and  $f_{NADW}$  represent the fraction of deepwater originating in the SO and North Atlantic and  $DIC_{dis_{AABW,NADW}}$  the  $DIC_{dis}$  concentration at the sites of deepwater formation (see fig. 4). North Atlantic deep waters form with negative  $DIC_{dis}$ , reflecting surface undersaturation, while the Southern Ocean is supersaturated ( $DIC_{dis} > 0$ ), and these opposing tendencies between NADW and AABW cause a partial cancellation of  $DIC_{dis}$  when globally averaged. Although the exact values of  $DIC_{dis}$  in the two polar oceans vary over time in response to climate (the reasons for which are discussed in more detail below),

these changes are small relative to the consistent large contrast between AABW and NADW, so that deep  $DIC_{dis}$  is strongly controlled by the global balance of AABW vs. NADW in each simulation (see fig. 5). Global  $DIC_{dis}$  becomes much larger when  $f_{AABW}$  is larger, similar to the dynamic evoked by Skinner (2009).

- In this model, both the cold and the hot climate states show increased AABW production, with a minimum at intermediate values. The AABW/NADW fractions are determined by the relative density of surface waters in the two polar ocean basins, as discussed in more detail by Galbraith and de Lavergne (submitted). The minimum in AABW is thus responsible for the minimum in global ocean DIC<sub>dis</sub> (fig. 1). In addition, expanded terrestrial ice sheets shift the ratio of AABW to NADW to higher values, due to their impact on NADW temperature and downstream expansion of Southern Ocean sea ice (Galbraith and Calbraith and Cal
- 20 de Lavergne), further increasing  $DIC_{dis}$  in glacial-like conditions.

# 4.3 Climate-driven changes in DIC<sub>dis</sub>: end members

Due to the general dominance of AABW in the deep ocean, the concentration of  $DIC_{dis}$  in the regions of AABW formation,  $DIC_{dis_{AABW}}$ , is another important factor determining global  $DIC_{dis}$ . This varies less significantly than  $f_{AABW}$  over the range of simulations, in part due to competing effects of different processes. Surface ocean  $DIC_{dis}$  in the Southern Ocean grows in 25 response to upwelling of deepwater, which brings DIC-charged waters to the surface, thus contributing to the carbon supersaturation. Thus, when deep convection is occurring, the rapid injection of carbon to the surface tends to inflate  $DIC_{dis}$ . Like the  $f_{AABW}$ , ventilation rates (as quantified by global ideal age) are high at both the cold and hot extremes (Galbraith and de Lavergne, submitted), also contributing to the intervening minimum in  $DIC_{dis}$  in the AABW formation regions.

Sea ice in the Southern Ocean exerts a further control over  $DIC_{dis}$ , as this reduces air-sea gas exchange, thus allowing carbon to accumulate beneath the ice. The total sea ice cover decreases continuously from the low to high CO<sub>2</sub> simulations (fig. 6), but  $DIC_{dis}$  is markedly higher in the glacial-like scenarios compared to  $CO_2 = 270$  or 911 ppm (fig. 7 and 8). In the glacial state, high  $DIC_{dis}$  is a widespread characteristic of the sea surface, whereas in the 270 and 911 ppm simulations, high  $DIC_{dis}$ 





only occurs in regions of AABW formation during episodes of deep convection. Terrestrial ice sheets cause an increase in the magnitude of  $DIC_{dis}$  both in the Southern Ocean and the North Atlantic. Due to the opposing signs in the two regions, these effects partially compensate each other, but the volumetric dominance of AABW causes its  $DIC_{dis}$  increase to win out, raising global  $DIC_{dis}$ .

# 5 4.4 Disequilibrium O<sub>2</sub>

 $O_{2_{dis}}$  is also sensitive to sea ice cover, but with a pronounced difference from  $DIC_{dis}$ . Because  $O_2$  has a much shorter time scale of exchange at the ocean-atmosphere interface, equilibrating one order of magnitude faster than  $CO_2$ , it is not sensitive to sea ice as long as there remains a fair degree of open water (Stephens and Keeling, 2000). But as the sea ice concentration approaches complete coverage,  $O_2$  equilibration rapidly becomes quite sensitive to sea ice. If there is a significant undersaturation of  $O_2$ 

10 in upwelling waters, the disequilibrium can become quite large (fig. 9).

In the model simulations, the magnitude of  $O_{2_{dis}}$  in the Southern Ocean is as high as 100  $\mu$ mol/kg. Because the disequilibrium depends on the  $O_2$  depletion of waters upwelling at the Southern Ocean surface, it could potentially be even higher, if upwelling waters had lower  $O_2$ . This suggests the hypothesis that very extensive sea ice cover over most of the exposure pathway in the Southern Ocean might have made a significant contribution to the low  $O_2$  concentrations reconstructed for the glacial (Jaccard et al. 2016; Lu et al. 2015).

15 et al., 2016; Lu et al., 2015).

20

# 4.5 Iron fertilization-driven changes in DIC<sub>soft</sub> and DIC<sub>dis</sub>

As expected, both the global export and  $DIC_{soft}$  increase when dust deposition is increased. However, the  $DIC_{soft}$  increase is significantly lower in the well-ventilated glacial-like simulations (2.9  $\mu$ mol/kg) compared to the interglacial-like simulation (7.3  $\mu$ mol/kg). This difference is qualitatively in accordance with the age multiplied by export relationship (fig. 3), though with a smaller increase of  $DIC_{soft}$  than would be expected from the export increase, compared to the broad spectrum of climate-driven changes. This reduced sensitivity to export can be attributed to the fact that the iron-enhanced export occurs in the Southern Ocean, where the remineralized carbon can be quickly returned to the surface by upwelling when ventilation is

strong. Thus, the impact of iron fertilization on DIC<sub>soft</sub> is strongly dependent on Southern Ocean circulation.

- The iron addition also causes an increase of  $DIC_{dis}$ , of approximately equal magnitude to  $DIC_{soft}$  in the interglacial-like simulation, and of relatively greater proportion in the glacial-like simulations. Because the ocean in the glacial-like simulations is strongly ventilated, with extensive sea ice cover in the Southern Ocean, the increase in export leads to an increase of  $DIC_{dis}$ , as remineralized  $DIC_{soft}$  is rapidly returned to the Southern Ocean surface, where it has a relatively short residence time and the extensive sea ice inhibits outgassing to the atmosphere. Thus, with rapid Southern Ocean circulation and extensive sea ice cover, a good deal of the DIC sequestered by iron fertilization ends up in the form of  $DIC_{dis}$ , rather than  $DIC_{soft}$  as frequently
- 30 assumed. Furthermore, experiments in which the remineralization rate was reduced by 25% indicate that the effects of iron fertilization alone on both  $DIC_{soft}$  and  $DIC_{dis}$  are quite insensitive to the remineralization rate (see fig. 2) Thus, the effects of iron fertilization and changes in the remineralization rate can be well-approximated as being linearly additive.





5

The tendency to sequester carbon as  $DIC_{dis}$  vs.  $DIC_{soft}$  can be quantified by the global ratio  $\Delta DIC_{dis}/\Delta DIC_{soft}$ . Our experiments suggest that this ratio is 0.9 for the pre-industrial state and 3.3 for the glacial-like state. Because of the circulation dependence of this ratio, it is expected that there could be significant variation between models. It is worth noting that Parekh et al. (2006) found  $\Delta DIC_{dis}/\Delta DIC_{soft}$  of 2 in response to iron fertilization, using a modern ocean circulation, as analyzed by Ito and Follows (2013). We also caution that the quantitative values of  $DIC_{soft}$  and  $DIC_{dis}$  resulting from the altered iron flux

should be taken with a grain of salt, given the very large uncertainty in models of iron cycling (Tagliabue et al., 2016).

# 4.6 A unified framework for DIC<sub>dis</sub> and preformed nutrients

The concept of preformed nutrients allowed the production of a very useful body of work, striving for simple predictive principles. This work highlighted the importance of the nutrient concentrations in polar oceans where deep waters form (Sigman

- 10 and Boyle, 2000; Ito and Follows, 2005; Marinov et al., 2008a) as well as changes in the ventilation fractions of AABW and NADW, given their very different preformed nutrient concentrations (Schmittner and Galbraith, 2008; Marinov et al., 2008b). Although the variability of P:C ratios implies significant uncertainty for the utility of  $PO_{4_{pre}}$  in the ocean, the relative constancy of N:C ratios suggests that  $NO_{3_{pre}}$  is indeed linked to  $DIC_{soft}$ , inasmuch as the global N inventory is fixed (Galbraith and Martiny, 2015).
- However, as shown by the analyses here,  $DIC_{soft}$  reflected by the preformed nutrients is only half the story. Changes in  $DIC_{dis}$  can be of equivalent magnitude, and can vary independently of  $DIC_{soft}$  as a result of changes in ocean circulation and sea ice. Nonetheless, we find that the same conceptual approach developed for  $DIC_{soft}$  can be used to predict  $DIC_{dis}$  from the end member  $DIC_{dis}$  and the global volume fractions. The preformed relationships and  $DIC_{dis}$  can therefore be unified as follows (see fig. 10):

$$20 \quad \text{DIC}_{\text{soft}} = \text{NO}_{3_{\text{rem}}} \cdot r_{\text{C:N}}$$
(8)

Remineralized nitrate can be expressed in terms of the global nitrate inventory and the accumulated nitrate loss due to pelagic and benthic denitrification, analogous to AOU:

$$NO_{3_{rem}} = NO_{3_{global}} - NO_{3_{pre}} + NO_{3_{den}}$$

$$\tag{9}$$

$$NO_{3_{\text{pre}}} \approx f_{SO} \cdot NO_{3_{\text{pre}_{SO}}} + f_{NAtl} \cdot NO_{3_{\text{pre}_{NAtl}}} + f_{NPac} \cdot NO_{3_{\text{pre}_{NPac}}}$$
(10)

Above, for simplicity, we consider only the deep ocean  $DIC_{dis}$ . Now, however, we would like to include the upper ocean (above 1 km) as well. Because there is production of intermediate water but no deep convection in the North Pacific, we calculate this mass balance for the upper ocean (above 1 km) and deep ocean separately, dropping the final term in Eq. 10 in the calculation below 1 km:

$$\mathrm{DIC}_{\mathrm{soft}_{\mathrm{deep}}} \approx r_{\mathrm{C:N}} \cdot \left[ \mathrm{NO}_{\mathrm{3}_{\mathrm{deep}}} + \mathrm{NO}_{\mathrm{3}_{\mathrm{den},\mathrm{deep}}} - \left( f_{\mathrm{SO},\mathrm{deep}} \cdot \mathrm{NO}_{\mathrm{3}_{\mathrm{pre}_{\mathrm{SO},\mathrm{deep}}}} + f_{\mathrm{NAtl},\mathrm{deep}} \cdot \mathrm{NO}_{\mathrm{3}_{\mathrm{pre}_{\mathrm{NAtl},\mathrm{deep}}}} \right) \right]$$
(11)





The same calculations, including the North Pacific component, are performed to obtain  $DIC_{soft_{upper}} + DIC_{dis_{upper}}$ ; here we expand only the deep ocean component for simplicity.

$$DIC_{dis_{deep}} + DIC_{soft_{deep}} \approx r_{C:N} \cdot (NO_{3_{deep}} + NO_{3_{den,deep}}) + f_{SO,deep} \cdot (DIC_{dis_{SO,deep}} - r_{C:N} \cdot NO_{3_{pre_{SO,deep}}}) + f_{NAtl,deep} \cdot (DIC_{dis_{NAtl,deep}} - r_{C:N} \cdot NO_{3_{pre_{NAtl,deep}}})$$
(12)

5 Finally, the global average is computed by summing the volume-weighted values in the upper and deep ocean:

$$DIC_{dis_{global}} + DIC_{soft_{global}} \approx [V_{upper} \cdot (DIC_{dis_{upper}} + DIC_{soft_{upper}}) + V_{deep} \cdot (DIC_{dis_{deep}} + DIC_{soft_{deep}})]/V_{total}$$
(13)

Fully expanded, this yields:

10

$$DIC_{dis_{global}} + DIC_{soft_{global}} \approx \frac{V_{upper}}{V_{total}} [r_{C:N} \cdot (NO_{3_{upper}} + NO_{3_{den,upper}}) + f_{SO,upper} \cdot (DIC_{dis_{SO,upper}} - r_{C:N} \cdot NO_{3_{pre_{SO,upper}}}) + f_{NAtl,upper} \cdot (DIC_{dis_{NAtl,upper}} - r_{C:N} \cdot NO_{3_{pre_{NAtl,upper}}})] + \frac{V_{deep}}{V_{total}} [r_{C:N} \cdot (NO_{3_{deep}} + NO_{3_{den,deep}}) + f_{SO,deep} \cdot (DIC_{dis_{SO,deep}} - r_{C:N} \cdot NO_{3_{pre_{SO,deep}}}) + f_{NAtl,deep} \cdot (DIC_{dis_{NAtl,deep}} - r_{C:N} \cdot NO_{3_{pre_{NAtl,deep}}})]$$

$$(14)$$

which can be generalized for any number n of ventilation regions i as:

$$\mathrm{DIC}_{\mathrm{dis}_{\mathrm{global}}} + \mathrm{DIC}_{\mathrm{soft}_{\mathrm{global}}} \approx r_{\mathrm{C:N}} \cdot (\mathrm{NO}_{3_{\mathrm{global}}} + \mathrm{NO}_{3_{\mathrm{den,global}}}) + \sum_{i=1}^{n} f_{i} \cdot (\mathrm{DIC}_{\mathrm{dis}_{i}} - r_{\mathrm{C:N}} \cdot \mathrm{NO}_{3_{\mathrm{pre}_{i}}})$$
(15)

Thus, total carbon storage as soft and disequilibrium carbon (i.e. everything other than  $DIC_{sat}$  and  $DIC_{carb}$ ) varies with the 15 global nitrate inventory, corrected for NO<sub>3</sub> loss to denitrification, and the difference between  $DIC_{dis}$  and  $r_{C:N} \cdot NO_{3_{pre}}$  in the polar oceans, modulated by their respective volume fractions. It is interesting that this relationship is more accurate than either  $DIC_{dis}$  or  $DIC_{soft}$ , among our suite of simulations, with an RMSE of 3.6, 7.0 and 5.2  $\mu$ mol kg<sup>-1</sup> for  $DIC_{dis} + DIC_{soft}$ ,  $DIC_{dis}$ and  $DIC_{soft}$ , respectively.

#### 4.7 DIC<sub>dis</sub> nadir and peak CO<sub>2</sub>

- 20 The model simulations show a clear minimum of  $DIC_{dis}$  at intermediate  $CO_2$  (270 405 ppm). As we have shown, this occurs as a result of the minimum contribution of AABW to the global ocean, and to a lesser extent, a minimum in the  $DIC_{dis}$  of AABW. It is important to note that  $DIC_{dis}$  is not just a function of ocean circulation, soft tissue pump and sea ice, which are simulated here, but also the  $CO_2$  driving gas exchange due to its impacts on saturation  $CO_2^*$  and  $DIC_{sat}$ , which here is held fixed at 270 ppm. Because  $DIC_{dis}$  is calculated as the departure from equilibrium with atmospheric  $CO_2$  at 270 ppm, we expect
- that the resulting changes in  $DIC_{dis}$  under interactive  $CO_2$  will differ only due to minor, non-linear effects. So, although this simplification (in combination with other model biases) prevents a strict interpretation of the  $CO_2$  and orbital combination at which this nadir is likely to occur, its robust emergence from the relative density control on deep water ventilation volumes makes its existence appear reasonable (Galbraith and de Lavergne, submitted).





A minimum global extent of AABW during interglacials has been documented from  $\epsilon_{Nd}$  (Piotrowski et al., 2005), and it has been shown that AABW likely ceased to form entirely for a brief portion of the last interglacial, marine isotope stage 5e (Hayes et al., 2014). We suggest that the minimum AABW extent produced a nadir of DIC<sub>dis</sub> that may have contributed to a "soft" upper limit on CO<sub>2</sub> during interglacials. Galbraith and Eggleston (2017) showed that the lower limit of CO<sub>2</sub> over glacial

- 5 cycles is quite firm and that low  $CO_2$  is very common. In addition, they showed that, although the peak values of  $CO_2$  during interglacials vary significantly, interglacial  $CO_2$  levels are relatively common, suggesting that they are also a preferred state. We propose that the  $DIC_{dis}$  nadir would have contributed to preventing a significant  $CO_2$  rise above interglacial  $CO_2$ , since a rise of temperatures above that which gives the  $DIC_{dis}$  nadir will increase AABW formation and thereby draw down  $CO_2$  as  $DIC_{dis}$ . Of course this would be counteracted by an increase of  $DIC_{soft}$ , if it were to behave opposite of  $DIC_{dis}$ , as occurs in our
- 10 simulations whether or not this is true depends on unresolved climate dependencies in the marine ecosystem, which currently remains an open question. We do not claim that this soft upper limit was significant, but simply propose the possibility as a hypothesis that can be tested.

#### 5 Conclusions

Carbon storage in the ocean can be quantified as the sum of the saturation, soft tissue, carbonate, and disequilibrium compo-

- 15 nents. Our simulations indicate that the latter may play a very important role, which has been largely neglected in other studies. Changes in the climate state tend to drive the soft tissue pump and the disequilibrium pump in opposite directions. However, this is not necessarily true in the real ocean, given that the simulated anticorrelation is not mechanistically required, but instead arises from the fact that  $f_{AABW}$  and ideal age  $\cdot$  export are anticorrelated in the simulations. There is plenty of scope for these to have varied in additional ways, not captured by our idealized simulations.
- Iron fertilization experiments are a popular method of testing the sensitivity of  $pCO_2$  to an increase in dust flux to the Southern Ocean; here, these simulations illustrate the non-linearity of the effects of circulation and increased primary productivity on DIC. At moderate ventilation rates (the pre-industrial control run), an increase in iron results in an increase both in  $DIC_{soft}$ , due to higher biological export, and  $DIC_{dis}$ , because of the upwelling of C-rich water resulting from higher remineralization. However, under a "glacial" state, high ventilation in this model produces only a small increase in  $DIC_{soft}$  in response to in-
- creased Fe in the surface ocean, as the remineralized carbon is quickly returned to the surface, thus producing a significant increase in  $DIC_{dis}$  only. This also raises an important warning for iron fertilization studies: the  $CO_2$  impact of Southern Ocean iron addition can actually be dominated by the  $DIC_{dis}$ , so that the overall impact may be significantly larger than would be predicted from  $DIC_{soft}$  and/or  $O_2$  utilization.
- The results presented here suggest that disequilibrium carbon should be considered as a major component of ocean carbon 30 storage, linked to ocean circulation, sea ice and biological export in non-linear and interdepenent ways. Despite these nonlinearities, we suggest that the resulting global carbon storage can be well-approximated by a simple relationship including the global nitrate inventory, and the DIC<sub>dis</sub> and preformed CO<sub>3</sub> in ocean ventilation regions (eq. 15). The glacial simulations suggest that disequilibrium carbon may have been the dominant component of oceanic carbon uptake during cold phases of





the ice age cycles. As this study represents the result of a single model, prone to bias, it would be very useful to test our results using other GCMs. It would also be useful to consider how disequilibrium carbon can change under future  $pCO_2$  levels, including developing observational constraints on its past and present magnitude, and exploring the degree to which inter-model variations in DIC<sub>dis</sub> may contribute to uncertainty in climate projections.

5 Code availability. Code is available on request; please contact E. D. Galbraith (eric.galbraith@icrea.cat).

# Appendix A: DIC decomposition

DIC is treated as the sum of four components:

$$DIC = DIC_{sat} + DIC_{dis} + DIC_{soft} + DIC_{carb}$$
(A1)

 $DIC_{sat}$  is the DIC at equilibrium with the atmosphere given the surface ocean temperature, salinity, and alkalinity and the 10 atmospheric  $pCO_2$  calculated following Zeebe and Wolf-Gladrow (2001):

$$DIC_{sat} = f(T, S, alk_{pre}, pCO_2)$$
(A2)

In this model,  $DIC_{soft}$  is proportional to the utilized  $O_2$ , which is defined as the difference between preformed and total  $O_2$ , where the ratio of remineralized C to  $O_2$  ( $r_{C:O_2}$ ) is 106:150.

$$\text{DIC}_{\text{soft}} = r_{\text{C:O}_2} \cdot (\text{O}_{2_{\text{pre}}} - \text{O}_2) \tag{A3}$$

15 DIC derived from CaCO<sub>3</sub> dissolution is proportional to the change in alkalinity, correcting for the additional change in alkalinity due to hydrogen ion addition during organic matter remineralization.

$$\text{DIC}_{\text{carb}} = 0.5 \cdot \left[ (\text{alk} - \text{alk}_{\text{pre}}) + r_{\text{N:O}_2} \cdot (\text{O}_{2_{\text{pre}}} - \text{O}_2) \right]$$
(A4)

Preformed alkalinity, defined as the total alkalinity at the surface and treated as a conservative tracer, was reconstructed here annually through multilinear regressions as a function of century-averaged salinity (S), temperature (T), and preformed O<sub>2</sub>,
20 NO<sub>3</sub><sup>-</sup> and PO<sub>4</sub><sup>3-</sup>.

$$alk_{pre} = (a_0 + a_1 \cdot \mathbf{S}' + a_2 \cdot \mathbf{T}' + a_3 \cdot \mathbf{O}_{2_{pre}} + a_4 \cdot \mathbf{NO}_{3_{pre}} + a_5 \cdot \mathbf{PO}_{4_{pre}}) \cdot \mathbf{NAtl} + (b_0 + b_1 \cdot \mathbf{S}' + b_2 \cdot \mathbf{T}' + b_3 \cdot \mathbf{O}_{2_{pre}} + b_4 \cdot \mathbf{NO}_{3_{pre}} + b_5 \cdot \mathbf{PO}_{4_{pre}}) \cdot \mathbf{SO}$$
(A5)  
+  $(c_0 + c_1 \cdot \mathbf{S}' + c_2 \cdot \mathbf{T}' + c_3 \cdot \mathbf{O}_{2_{pre}} + c_4 \cdot \mathbf{NO}_{3_{pre}} + c_5 \cdot \mathbf{PO}_{4_{pre}}) \cdot (1 - \mathbf{SO} - \mathbf{NAtl})$ 

where S' = S - 35,  $T' = T - 20^{\circ}C$ , the  $a_i$  are determined by a regression in the surface North Atlantic, the  $b_i$  for the SO, and the  $c_i$  using the model output elsewhere in the surface. The tracers SO and NAtl are set to 1 in the surface Southern Ocean (south of 30°S) and the North Atlantic (north of 30°N), respectively, and are conservatively mixed into the ocean interior. This



(A6)



parametrization induces an uncertainty on the order of 1  $\mu$ mol/kg in globally averaged DIC<sub>dis</sub> (see fig. 11). As discussed above, however, this is small compared to the signal seen over all simulations.

Finally, DIC<sub>dis</sub> has been back-calculated from the model output as a residual.

 $DIC_{dis} = DIC - DIC_{sat} - DIC_{soft} - DIC_{carb}$ 

5 *Author contributions*. E. D. Galbraith conducted the model simulations, S. Eggleston performed the analysis, and both contributed to writing the manuscript.

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**Figure 1.** Global average DIC and separate components in simulations 1-36 as a function of  $CO_2$ . Orange and blue represent high and low obliquity scenarios, respectively; triangles pointing upward and downward represent greater northern and southern hemisphere seasonality, respectively; outlines are scenarios with LGM ice sheets; light shading indicates scenarios with LGM ice sheet topography but PI albedo.







Figure 2. Changes in total global average DIC and each of the components (iron fertilization simulation minus associated control run). Simulations were either run under pre-industrial or glacial-like conditions (in the case of the latter, results represent the average of four runs at  $CO_2 = 180$  ppm with LGM ice sheets), as well as 100% and 75% of the default remineralization rate of organic matter. The close agreement of the left and middle panels indicates that the effects of iron fertilization and changes in the remineralization rate are linearly additive in this model.







**Figure 3.** Globally averaged  $DIC_{soft}$ , or remineralized carbon from the soft tissue pump, can be approximated remarkably well by the global export flux at 100 m multiplied by the average age of the ocean. The latter is an ideal age tracer in the model that is set to 0 at the surface and ages by 1 y each model year in the ocean interior. Markers as in fig. 1 with the size of the symbols corresponding to the  $CO_2$  level.







Figure 4. (a) Global average fraction of northern- (reddish colors) and southern-sourced (bluish colors) water. (b) Average values of  $DIC_{dis}$  of these water masses determined at 100 m depth in the model where convection down to 200 m occurs. Markers are identical to fig. 1 with lighter colors representing high and darker colors low obliquity.







Figure 5. Global average  $DIC_{dis}$  as a function of the fraction of the ocean below 500 m derived from the surface Southern Ocean; symbols as in fig. 1 with the size of the symbols corresponding to the  $CO_2$  level.







Figure 6. Surface ocean DIC<sub>dis</sub> and annually averaged sea ice cover (contours showing 20% intervals) in simulations emulating the following states: (a) glacial; (b) interglacial; (c)  $CO_2 = 911$ .







**Figure 7.** Zonally averaged (a) DIC flux to the atmosphere; (b)  $O_2$  flux to the atmosphere; (c) surface ocean  $DIC_{dis}$ ; (d) surface ocean  $O_{2_{dis}}$ . Results from three simulations are shown: glacial (black); interglacial (red);  $CO_2 = 911$  (green). Fractional sea ice cover (0 - 100%) is shown in each plot as a reference.







**Figure 8.**  $DIC_{dis}$  ( $\mu$ mol kg<sup>-1</sup>) for simulations (a) glacial; (b) interglacial; (c) CO<sub>2</sub> = 911. Depth transects represent the North Atlantic (left) - Southern Ocean (center) - North Pacific (right).







Figure 9. As in fig. 8 for  $O_{2_{dis}}$  ( $\mu$ mol kg<sup>-1</sup>)







Figure 10. Using globally averaged NO<sub>3</sub> and the NO<sub>3</sub> denitrification sink as well as values of NO<sub>3pre</sub> and DIC<sub>dis</sub> in regions of deepwater formation in the Southern Ocean and the North Atlantic weighted by the fractions of the world oceans derived from each of these sites, it is possible to reconstruct the sum of the globally averaged DIC<sub>dis</sub> and DIC<sub>soft</sub>:

$$\mathrm{DIC}_{\mathrm{dis}_{\mathrm{global}}} + \mathrm{DIC}_{\mathrm{soft}_{\mathrm{global}}} \approx r_{\mathrm{C:N}} \cdot (\mathrm{NO}_{\mathrm{3global}} + \mathrm{NO}_{\mathrm{3den},\mathrm{global}}) + \sum_{i=1}^{n} f_i \cdot (\mathrm{DIC}_{\mathrm{dis}_i} - r_{\mathrm{C:N}} \cdot \mathrm{NO}_{\mathrm{3pre}_i})$$

Here, we take into account upper-ocean water masses (above 1 km) in the North Pacific, North Atlantic, and Southern Ocean, as well as deep water masses in the North Atlantic and Southern Ocean. In each plot, the full model output is shown on the x-axis and the result of the mass balance approximation on the y-axis. Markers as in fig. 1 with the size of the symbols corresponding to the CO<sub>2</sub> level. The purple squares represents pre-industrial simulations (runs 41 - 42), and a red square around the symbol indicates runs with iron fertilization.







**Figure 11.** Shown is the difference between the exact  $DIC_{dis}$  surface field, where  $DIC_{sat}$  has been calculated using the surface alkalinity  $(alk[z=0] = alk_{pre}[z=0])$  and  $DIC_{soft}[z=0] = DIC_{carb}[z=0] = 0$ . Differences are shown for (a) glacial; (b) interglacial; (c)  $CO_2 = 911$ .





**Table 1.** A total of 44 simulations were analyzed with varying  $CO_2$ , obliquity, precession, ice sheets (PI = pre-industrial; LGM = Last Glacial Maximum reconstruction; LGM\* = topography of LGM ice sheets but with PI albedo), and with and without iron fertilization. Runs 43 and 44 and identical to 41 and 42 but the remineralization rate of sinking organic matter is reduced by 25%.

run	<b>CO</b> <sub>2</sub> ( <b>ppm</b> )	obliquity	precession	IS	Fe	remin
1-24	180, 220, 270, 405, 607, 911	22°, 24.5°	90°, 270°	PI		
25-28	220	22°, 24.5°	90°, 270°	LGM		
29-32	180	22°, 24.5°	90°, 270°	LGM*		
33-36	180	22°, 24.5°	90°, 270°	LGM		
37-40	180	22°, 24.5°	90°, 270°	LGM	X	
41	270	23.4°	102.9°	PI		
42	270	23.4°	102.9°	PI	X	
43	270	23.4°	102.9°	PI		75%
44	270	23.4°	102.9°	PI	X	75%