# <sup>1</sup> Impacts of the seasonal distribution of rainfall on vegetation productivity

# 2 across the Sahel

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8 Abstract Climate change in drylands has caused alterations in the seasonal distribution of rainfall including increased heavy 9 rainfall events, longer dry spells, and a shifted timing of the wet season. Yet, the aboveground net primary productivity 10 (ANPP) in drylands is usually explained by annual rainfall sums, disregarding the influence of the seasonal distribution of 11 rainfall. This study tested the importance of rainfall metrics in the wet season (onset and cessation of the wet season, number 12 of rainy days, rainfall intensity, number of consecutive dry days and heavy rainfall events) on growing season ANPP. We 13 focused on the Sahel and north-Sudanian region (100-800 mm yr<sup>-1</sup>) and applied daily satellite based rainfall estimates 14 (CHIRPS v2.0) and growing season integrated NDVI (MODIS) as a proxy for ANPP over the study period 2001-2015. 15 Growing season ANPP in the arid zone (100-300 mm  $yr^{-1}$ ) was found to be rather insensitive to variations in the seasonal 16 rainfall metrics, whereas vegetation in the semi-arid zone (300-700 mm yr<sup>-1</sup>) was significantly impacted by most metrics, 17 especially by the number of rainy days and timing (onset and cessation) of the wet season. We analyzed critical breakpoints 18 for all metrics to test if vegetation response to changes in a given rainfall metric surpasses a threshold beyond which 19 vegetation functioning is significantly altered. It was shown that growing season ANPP was particularly negatively impacted 20 after >14 consecutive dry days and that a rainfall intensity of ~13 mm day<sup>-1</sup> was detected for optimum growing season ANPP. 21 We conclude that number of rainy days and the timing of the wet season are seasonal rainfall metrics being decisive for

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favorable vegetation growth in semi-arid Sahel which needs to be considered when modelling primary productivity from rainfall in the dryland's of Sahel and elsewhere.

Keywords: Drylands, Seasonal rainfall metrics, Aboveground net primary production, Remote sensing
 25

#### 26 **1 Introduction**

27 Livelihoods in most drylands depend heavily on aboveground net primary production (ANPP) in the form of 28 rain-fed crops and fodder for livestock (Abdi et al., 2014; Leisinger and Schmitt, 1995). Annual ANPP thus plays 29 a decisive role in the context of livelihood strategies, food security and people's general wellbeing. ANPP in 30 drylands is primarily controlled by water availability with annual rainfall typically being limited to a short and 31 erratic wet season which can be highly variable in time and space. The current study focuses on the sub-Saharan 32 Sahel zone which is one of the largest dryland areas in the world. The Sahel has been referred to as the region 33 showing the largest rainfall anomalies worldwide during the last century (Nicholson, 2000). Throughout the 34 centuries, the Sahelian population has adapted to this high rainfall variability and the associated great inter-annual 35 differences in available ANPP are balanced for example by a temporary abandonment of agriculture or seasonal 36 livestock migration (Romankiewicz et al., 2016). However, 21st century climate change is predicted to threaten 37 established coping strategies; not only by increasing inter-annual variability of rainfall regimes as a whole (Field, 38 2012; Kharin et al., 2007), but also by an increasingly unpredictable seasonality and an altered number of heavy 39 rainfall and drought events (Fischer et al., 2013; Smith, 2011; Taylor et al., 2017). Improved knowledge on the 40 vegetation response to the seasonal variability of rainfall is thus crucial to better interpret the consequences of 41 climate predictions of an altered global hydrological cycle and to implement appropriate adaptation measures to 42 climate change and food security in arid and semi-arid lands like the Sahel.

43 While it is well known that the productivity of dryland vegetation is highly prone to variations in the 44 availability of water resources at the annual scale (Fensholt et al., 2013; Fensholt and Kjeld, 2011; Herrmann et 45 al., 2005; Huber et al., 2011), there is a current lack of understanding how the seasonal distribution of rainfall 46 impacts on growing season ANPP (Rishmawi et al., 2016). Several studies have demonstrated the vegetation 47 sensitivity to the timing and magnitude of rainfall events based on individual plot data and model estimates 48 (Bates et al., 2006; Fay et al., 2000; Guan et al., 2014; Thomey et al., 2011), but the impact of specific rainfall 49 metrics (such as wet season length/timing, number of rainy days, rainfall intensity, number of consecutive dry 50 days and extreme events) on dryland vegetation productivity has rarely been studied in spatially distributed 51 manner, potentially including different biotic and abiotic controls. A few studies show that there is a strong 52 dependency of Sahelian vegetation growth on the timing of the wet season (Diouf et al., 2016) and the frequency 53 and distribution of dry spells (Proud and Rasmussen, 2011), but currently no regional scale study have 54 systematically analyzed the importance of a variety rainfall metrics on vegetation growth as a function of mean 55 annual rainfall. Further, there is evidence showing that not only a shift in the timing of the wet season but also 56 increasing extreme events occur in Sahel (Panthou et al., 2014; Sanogo et al., 2015; Taylor et al., 2017; Zhang et 57 al., 2017), suggesting the need for a comprehensive understanding on how rainfall seasonality impacts on 58 vegetation production.

59 The assessment of rainfall metrics capturing the seasonal variability and the associated impact on growing 60 season ANPP requires daily rainfall records and a robust methodology being able to extract the timing (onset and 61 cessation) and duration of the wet season (Dunning et al., 2016; Liebmann et al., 2012). The availability and quality of such data, and uncertainties in methods to extract the rainfall seasonality have complicated regionally 62 63 scaled studies on this topic (Fitzpatrick et al., 2015). However, a new generation of high spatial resolution 64 satellite based daily rainfall estimates blended with station data has recently opened up the possibility to fill the 65 gap between plot and model based studies. Here our study aims at applying daily rainfall estimates to analyze and 66 understand the impact of seasonal rainfall metrics on vegetation productivity for the entire Sahel.

### 68 2 Materials and methods

69 An empirical analysis was conducted based on gridded information of rainfall metrics based on daily 70 satellite estimates and seasonally integrated NDVI (hereafter  $\Sigma$ NDVI) as a proxy for the growing season ANPP. 71 The period of analysis covers 2001-2015 which allows for a per-pixel analysis including state of the art Earth 72 observation datasets of both Climate Hazards Group InfraRed Precipitation with Station (CHIRPS v2.0) and 73 Moderate Resolution Imaging Spectroradiometer (MODIS) vegetation. The rainfall metrics include: number of 74 rainy days, daily intensity, heavy rainfall events, number of consecutive dry days and seasonal rainfall amount 75 which were analyzed as explanatory variables for the observed spatial variability in seasonal vegetation 76 productivity along the gradient of mean seasonal rainfall.

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#### 78 **2.1 Study area**

79 The Sahelian zone covers arid and semi-arid biomes and is one of the world's largest dryland areas 80 bordering the Sahara Desert to the north (Fig. 1). The delineation of the Sahel is often done by using average 81 annual rainfall isohyets, with the northern boundary at 100 mm yr<sup>-1</sup> and the southern boundary defined by 700 82 mm yr<sup>-1</sup> (Lebel and Ali, 2009). For this study we expanded the southern limit towards the Sudanian zone until 800 mm yr<sup>-1</sup> to include also the zone where rainfall as the primary climatic forcing variable on vegetation 83 84 productivity is expected to level off (Fensholt et al., 2013; Huber et al., 2011; Kaspersen et al., 2011). The Sahel 85 is characterized by a unimodal rainfall regime and the landscape is generally flat and consists of large plains 86 interspersed with sand dunes and rocky formations. The large stretches of plains are mainly used for grazing and 87 subsistence cultivation. The northern parts of the Sahel are dominated by open and sparse grass- and shrublands, 88 while cropland, open woody vegetation and deciduous shrublands characterize the southern parts (Breman and 89 Kessler, 1995).

#### 91 2.2 CHIRPS rainfall data

The CHIRPS v2.0 data set uses TIR (Thermal InfraRed) imager and gauge data, as well as monthly precipitation climatology, CHPClim, and atmospherically modelled rainfall fields from the NOAA Climate Forecast System, version 2 (CFSv2) and TRMM 3B42 (Funk et al., 2015). The CHIRPS data are provided as grids at 0.05° and 0.25° spatial resolutions and extends from 1981 to present. In our study, the CHIRPS data at daily resolution with a spatial resolution of 0.05° were used to extract seasonal rainfall metrics.

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#### 98 **2.3 Deriving seasonal rainfall metrics**

The method used to identify the onset and cessation of the wet season is referred to Liebmann et al. (2012) and applicable to multiple datasets for precipitation seasonality analysis across the African continent (Dunning et al., 2016). As was described in Liebmann et al. (2012), the climatology wet season was initially determined by the climatological cumulative daily rainfall anomaly, A(d), calculated from the long-term (2001-2015) average rainfall ( $R_i$ ) for each day of the calendar year minus the long-term annual-mean daily average ( $\bar{R}$ ). The day with minimum value ( $d_s$ ) was defined as the start of the wet season and the maximum point ( $d_c$ ) marks the end of the wet season.

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$$(d) = \sum_{i=1 \text{ Jan}}^{d} R_i - \bar{R}$$

Subsequently, the onset and cessation were calculated individually for each year and each grid point. For each year the extraction of the rainfall metrics of the wet season was based on equation (2). The daily cumulative rainfall anomaly A(D) on a certain day ( $P_i$ ) was computed for each day in the range  $d_s$  -50 to  $d_c$  +50 for each year and the day with minimum value was considered as the onset of the wet season.

112 (2)

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$$(D) = \sum_{j=d_s-50}^{D} P_i - \bar{R}$$

Once the onset and cessation dates of the wet season for each year were found, the remaining metrics were 114 115 calculated (Table 1). Fig. 2a illustrated an example of daily rainfall for the grid point (13.5° N, 5.0° W) in 2001 116 and the corresponding cumulative daily anomaly curves were shown in Fig 2b. The blue and red lines signify 117 A(d) and A(D), respectively. The range of minimum and maximum points in the blue line denoted the 118 climatological wet season (Liebmann et al., 2012). The wet season of each individual year was then determined 119 based on the daily precipitation observations covered by the climatological wet season. Areas where the annual 120 minimum occurs after the 1st of October (desert areas) were excluded from further analysis (Diaconescu et al., 121 2015). 122 123 2.4 Estimation of growing season ANPP 124 The MODIS/Terra surface reflectance product (MOD0901, collection 6) was used to derive a time series of 125 NDVI for the period 2001-2015. NDVI was calculated from the MODIS red and near-infra red bands (8 day 126 composites). The growing season integrated NDVI ( $\Sigma$ NDVI) was used as a proxy for the growing season ANPP.

127 The method was well established and proven to be a reliable proxy for the growing season ANPP in Sahel

128 (Fensholt et al., 2013; Olsson et al., 2005). The  $\sum$ NDVI (defined by the area under the curve delimited by start

129 and end of the season) was derived using the TIMESAT software (Jönsson and Eklundh, 2004), which was a

130 widely used tool to extract vegetation seasonal metrics. For this study, we applied the Savitzky-Golay filter

131 implemented in TIMESAT with the following settings: A window size of 4 was applied and a seasonal parameter

132 of 0.5 to fit one season per year. Both the number of iterations for upper envelope adaptation and strength of the

133 envelope adaptation were set to 2 and the start and end of season were determined as 20% and 50% of the

- amplitude respectively. The  $\sum$ NDVI data was then aggregated to the spatial resolution of CHIRPS (0.05°) using a
- bilinear resampling method. Both Globeland30 (Chen et al., 2014) and ESA CCI (2010) land cover maps
- 136 (https://www.esa-landcover-cci.org/) were used to mask water bodies, irrigated and flooded areas if one or both
- 137 of the land cover products indicated the presence of water in a pixel.
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#### 139 **2.5 Statistical analyses**

140 An exponential regression was used to quantify the relationship between growing season ANPP and 141 seasonal rainfall metrics for the entire study area (Fig. 5) and the non-parametric Spearman's rank correlation 142 coefficient was used to measure the relationship between growing season ANPP and seasonal rainfall metrics as a 143 function of seasonal rainfall amount (Fig. 6). Additionally, Generalized Additive Models (GAMs) implemented 144 using the MGCV package (Wood, 2017) in the R computing environment (R Team, 2014) were applied to derive 145 smooth response curves with seasonal rainfall amount as the explanatory variable and the linear coefficients 146 (averaged over 10 mm rainfall steps) as the response variable (Fig. 7). The models were parameterized assuming 147 normal error distributions. Furthermore, a random forest ensemble learning method (Breiman, 2001) was used to 148 analyze the relative importance of individual seasonal rainfall metrics on growing season ANPP as a function of 149 the seasonal rainfall amount (Fig. 8a). This algorithm produces multiple decision trees based on bootstrapped 150 samples and the nodes of each tree are built up by an iterative process of choosing and splitting nodes to achieve 151 maximum variance reduction. Thus the metrics with highest difference are considered as the most important 152 factors. All pixels based on 15-year averages of seasonal rainfall metrics and ANPP were used for this analysis. 153 Additionally, a multiple regression analysis was applied to identify and map the spatial distribution of the relative 154 importance of the three most important seasonal rainfall metrics (onset and cessation of the wet season and rainy 155 days) explaining the growing season ANPP at the per-pixel level (based on a 15-year time series) (Fig. 8b).

156 A piecewise regression was used to identify breakpoints (Muggeo, 2003), i.e. critical thresholds in the 157 relationship between rainfall metrics and vegetation growth (Fig. 9). A breakpoint is an indication that the 158 vegetation response to changes in a given rainfall metric surpasses a threshold beyond which vegetation 159 functioning is significantly altered. Such a threshold, at the level of individual rainfall seasonality metric, 160 provides an indication of rainfall conditions beyond which vegetation does not tolerate further stress without a 161 marked impact on the growing season ANPP. The 95th percentile of  $\Sigma$ NDVI was selected to represent the 162 potential vegetation productivity attainable for a given seasonal rainfall metric (Donohue et al., 2013). Seasonal 163 rainfall metrics were binned according to the dynamic range of the individual metrics and the average 95th 164 percentile of  $\Sigma$ NDVI was calculated for each bin (for onset, cessation and RD bins with an interval of 1 were 165 used; for SDII a bin of 0.3 was applied; for R95sum bin intervals were set to 0.02; finally we used bins 0.5 for 166 CDD). The breakpoint regression was then applied to the potential vegetation productivity and corresponding seasonal rainfall metrics. 167

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#### 169 **2.6 Data availability**

The CHIRPS data are available at <u>http://chg.geog.ucsb.edu/data/chirps/</u>. The MODIS surface Reflectance product (MOD09Q1) can be downloaded at <u>https://lpdaac.usgs.gov/dataset\_discovery/</u>modis/ modis\_products\_table. The global land cover 30 is available at <u>http://www.globeland30.org/</u> and ESA CCI land cover is accessed from https://www.esa-landcover-cci.org/.

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# 176 **3 Results**

### 177 **3.1 Spatial pattern of seasonal rainfall metrics**

A clear north-south gradient was observed for the onset, rainy days (RD), heavy rainfall events (R95sum) and consecutive dry days (CDD) based on a 15-year (2001-2015) average value, with an earlier onset, more rainy days and less heavy rainfall events towards the south (Fig.3a,c,e). The cessation of the wet season (Fig.3b) showed some longitudinal differences with the latest dates found in the eastern Sahel, followed by the western Sahel and the central Sahel showing the earliest cessation dates. A considerable difference between southeastern and southwestern Sahel (Fig. 3d) was observed in the rainfall intensity (SDII). The relatively low rainfall intensity in the southeastern Sahel was mirrored by considerably higher numbers of rainy days.

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### 186 **3.2 General response of growing season ANPP to rainfall metrics**

187 The median growing season ANPP clearly followed the mean seasonal rainfall gradient (Fig.4) with other 188 biotic and abiotic factors (e.g. soil texture, nutrients, species composition, fire regime and seasonal rainfall 189 distribution) causing a wide range of values as indicated by the different quantile values.

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191 The relationships between all the rainfall metrics and growing season ANPP were found to be significant 192 (p < 0.001) and the coefficient of determination  $(r^2)$  modelled by exponential regression varied between 0.27 and 193 0.73 (Fig.5). For the region as a whole, the number of rainy days was identified as the most important metric 194 impacting on the growing season ANPP ( $r^2 = 0.73$ ) (Fig.5c), closely followed by heavy rainfall events (R95sum) 195  $(r^2 = 0.72)$  (Fig.5e), consecutive dry days (CDD)  $(r^2 = 0.54)$  (Fig.5f) and cessation  $(r^2 = 0.37)$  (Fig.5b) of the wet 196 season. The impact of the onset on growing season ANPP was also relatively strong ( $r^2 = 0.47$ ) (Fig.5a), whereas 197 a rather weak relationship was observed between growing season ANPP and rainfall intensity (SDII) ( $r^2 = 0.27$ ) 198 (Fig.5d). For all seasonal rainfall metrics except RD, R95sum and CCD, the plots showed some signs of a 199 bimodal distribution of the points, which was caused by the differences in the east-west patterns of the spatial

200 distribution of seasonal rainfall metrics (onset, cessation and SDII) in Sahel as reported in Fig.3.

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## 202 **3.3 Response of growing season ANPP to seasonal rainfall metrics along the rainfall gradient**

203 Although the relationship between growing season ANPP and seasonal rainfall amount (R) obviously changed 204 along the rainfall gradient (Fig. 4), variations in seasonal rainfall distribution (e.g., onset and R95sum) can cause 205 considerable changes in growing season ANPP under the same rainfall gradient (Fig. 5). The influence of 206 seasonal rainfall distribution on growing season ANPP was thus analyzed under different mean annual rainfall 207 values along the north (low rainfall) to south (high rainfall) gradient (Fig. 6). The dependency of growing season 208 ANPP on seasonal rainfall metrics was clearly seen to be a function of the seasonal rainfall amount. Below ~300 209 mm yr<sup>-1</sup>, the vegetation seems to be stable and rather insensitive to variations of the rainfall metrics, however, 210 above 300 mm yr<sup>-1</sup>, the impacts can be clearly seen by a larger spread in growing season ANPP values for a given 211 amount of seasonal rainfall (Fig. 6). For example, the growing season ANPP decreased strongly with a later onset, 212 an earlier cessation of the wet season, a smaller number of rainy days, a higher rainfall intensity, more heavy 213 rainfall events and longer dry spells. Above  $\sim 700 \text{ mm yr}^{-1}$ , the vegetation showed again a reduced sensitivity to variations of the wet season by a convergence of growing season ANPP values irrespective of the seasonal 214 215 rainfall metric value.

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The non-parametric Spearman's rank correlation coefficient was used to quantify the strength of the impact of individual seasonal rainfall metrics on growing season ANPP as a function of seasonal rainfall (Fig. 7). In general, the impacts of rainfall metrics on vegetation were distinctive along the 100-800 mm yr<sup>-1</sup> gradient with a peak in *r* values (respectively positive or negative dependent on the rainfall metric) for most metrics around 650 mm yr<sup>-1</sup> followed by a sharp drop-off (Fig. 7). It should be noted that modelling uncertainties increased for all rainfall metrics due to fewer observations in the lowermost seasonal rainfall total bins. For the wet season the pattern of RD, SDII, R95sum and CDD showed a sharp decrease in r values from 100-300 mm yr<sup>-1</sup>. The number of consecutive dry days (CDD) showed moderate r values balancing around zero generally indicating less importance of the CDD variable on growing season ANPP along the rainfall gradient analyzed.

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228 The relative importance of the individual rainfall metrics on growing season ANPP was assessed based on a 229 random forest model (Fig. 8a). The explained variance of growing season ANPP explained by rainfall metrics 230 (blue line in Fig. 8a) was increasing with mean annual rainfall up to  $600-700 \text{ mm yr}^{-1}$  from where the degree of 231 explained variance decreases, which corresponds with the results presented in Fig. 4 (the widest belt of the 232 quantile values was observed for rainfall of 600-700 mm yr<sup>-1</sup>, suggesting that the seasonal rainfall metrics 233 additional to the seasonal rainfall amount was increasingly important in this rainfall zone) and in Fig. 7. The 234 cessation of the wet season was identified as the most important factor controlling growing season ANPP in 235 semi-arid areas of Sahel (400-700 mm yr<sup>-1</sup>), followed by the onset and number of rainy days. As measured by the 236 relative importance, these three rainfall metrics together accounted for 60-70% of the variance explained by all rainfall metrics for all seasonal rainfall amounts. In arid areas (100-300 mm yr<sup>-1</sup>) the number of rainy days was 237 238 found to be the most important variable.

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The spatial distribution of the relative importance of the onset and cessation of the wet season and number of rainy days on growing season ANPP was identified at the pixel-level for 2001 to 2015 (Fig. 8b). At Sahel scale, the number of rainy days (bluish colors) was observed to be the dominating factor, followed by the onset of the wet season (reddish colors) and cessation (greenish colors). There were no clear signs of a latitudinal or longitudinal dependency on which rainfall metric was dominating, but some clustering was evident with a predominance of rainy days influence in the Western Sahel with limited influence by the cessation date on the growing season ANPP.

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#### 248 **3.4 Critical points for growing season ANPP**

249 A piecewise regression between growing season ANPP and seasonal rainfall metrics was applied to identify 250 if critical breakpoints in the relationship between growing season ANPP and rainfall metrics exist (Fig. 9). We 251 found that the most evident thresholds (average values for the Sahel zone) in relation to seasonal rainfall metrics 252 influence on growing season ANPP relate to the onset of the wet season (Fig. 9a), the rainfall intensity (SDII) 253 (Fig. 9d) and consecutive dry days (CDD) (Fig. 9f). If the onset of the wet season was later than day of year 140 254 this will have an increasingly negative effect on growing season ANPP as a function of the onset delay and 255 contrastingly if the onset starts earlier than day of year 140 this will also have an increasingly adverse effect on 256 growing season ANPP. Also, an optimum of rainfall intensity of 13 mm day<sup>-1</sup> was detected; if rainfall intensity 257 exceeds 13 mm day<sup>-1</sup>, vegetation productivity starts to be negatively affected, whereas a lower intensity will also 258 negatively impact on growing season ANPP. There was a pronounced decline in growing season ANPP as a 259 function of number of consecutive dry days. However, when CDD exceeds ~14 days a breakpoint in the curve 260 was detected, as dry spells of this magnitude led to a strong reduction in vegetation growth. The number of rainy 261 days (Fig. 9c) was linearly related to growing season ANPP until 69 days, beyond which RD became less 262 decisive for the amount of growing season ANPP. Similarly, heavy rainfall events (fraction of annual rainfall 263 events exceeding the 95th percentile) (Fig. 9e) were negatively related to growing season ANPP, until a certain 264 threshold (larger than 0.80), from where the vegetation loses sensitivity to the impact from an increased 265 frequency of heavy rainfall events. Finally, the cessation date of the wet season (Fig. 9b) was shown to be nearly 266 linearly related to growing season ANPP. A breakpoint was detected by the piecewise regression algorithm around day 285, beyond which the delay in cessation date was slightly more favorable for higher growing seasonANPP yields as compared to a cessation date before day 285.

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## 270 **4 Discussion**

271 This study presents first empirical evidence on the impact of rainfall seasonality on vegetation productivity at 272 regional scale and the results provide a clear picture on the importance of six seasonal rainfall metrics on growing 273 season ANPP under different rainfall conditions (i.e. mean annual rainfall). Uncertainty in the rainfall data is 274 inevitably to have an impact on the extraction of seasonal rainfall metrics which further impacts the relationship 275 between seasonal rainfall metrics and ANPP. Based on improved climatologies systematic bias in the CHIRPS 276 dataset has been removed and the data is considered state-of-the-art within quasi-global, high spatial resolution 277 rainfall datasets (Funk et al., 2015). As this study does not address temporal changes in the seasonal rainfall 278 metrics or  $\Sigma$ NDVI, but merely presents results on the general coupling between rainfall metrics and vegetation 279 productivity, we consider the results to be statistically robust. We conducted a parallel set of analyses based on 280 the RFE-2.0 rainfall product developed by the NOAA Climate Prediction Center (CPC) (Herman et al., 1997), 281 which, like CHIRPS, is also a gauge-satellite blended and the outcome of these analyses (not shown) yielded 282 nearly similar results as to what was presented here. At the same time  $\sum$ NDVI derived from MODIS will also be 283 impacted from cloud cover during the growing season, but the use of the Savitzky-Golay filtering algorithm has 284 proven to be an effective way of overcoming residual noise effects in the NDVI time-series (Fensholt et al., 2015). 285 The Sahel zone is often defined from isohyets of annual rainfall as a common denominator of the hydrological 286 conditions of the region. It was however shown here, that considerable east-west differences occurred in several 287 of the seasonal rainfall metrics analyzed with a much higher number of rainy days and corresponding lower 288 rainfall intensity in the southeastern Sahel as compared to the southwestern part. Variability in the rainfall 289 intensity was shown here to influence the growing season ANPP generated over a growing season (Fig. 9d) and

290 hence spatio-temporal changes in rainfall intensity (but characterized by the same amount of seasonal rainfall) 291 will impact vegetation productivity. This has important implications for the use of the rain use efficiency (RUE) 292 (Houerou, 1984) or Residual Trend Analysis (RESTREND) approach (regressing **SNDVI** from annual 293 precipitation and subsequently calculating the residuals as the difference between observed  $\Sigma NDVI$  and  $\Sigma NDVI$ 294 as predicted from annual precipitation) (Evans and Geerken, 2004), which is derived from annually or seasonally 295 summed rainfall and commonly used as an indicator for land degradation (Archer, 2004; Bai et al., 2008; 296 Fensholt and Kjeld, 2011; Prince et al., 1998; Ratzmann et al., 2016; Wessels et al., 2007) as discussed in 297 Ratzmann et al. (2016). Interestingly, a limited impact of rainfall seasonality on growing season ANPP was found 298 in arid lands below 300 mm yr<sup>-1</sup> rainfall, suggesting that the species composition was adapted to rainfall variation, 299 and that the rather sparse vegetation cover was able to effectively utilize rainfall independent of the seasonal 300 distribution. This was very different in the semi-arid and northern sub-humid zone (300-700 mm yr<sup>-1</sup>), where 301 variations in rainfall seasonality were found to be more closely linked with variations in growing season ANPP. 302 This implied that a favorable distribution of rainfall may lead to increased productivity, as it was observed in 303 Senegal between 2006 and 2011 (Brandt et al., 2017), but below average rainfall conditions with an unfavorable 304 distribution led to an immediate reduction in vegetation cover and growing season ANPP.

305 Not surprisingly, the number of rainy days showed the highest relationship with growing season ANPP (Fig. 306 5c), with increasing productivity along with increasing rainy days, up to 69 days, where the relation weakens (Fig. 307 9c). The importance of this metric was closely followed by the heavy rainfall events, which were negatively 308 correlated with growing season ANPP (Fig. 5e), and decreases the productivity until heavy rainfall events 309 reached a share of 80%, which led to a constant level of low vegetation productivity (Fig. 9e). The importance of 310 the timing of the wet season, i.e. the onset and cessation, increases rapidly along the rainfall gradient, having the 311 highest impact on growing season ANPP in the semi-arid zone (300-700 mm yr<sup>-1</sup>). The reason for the importance 312 of the timing of the onset of the growing season on the growing season ANPP (Fig. 9a) with an almost linear

313 decrease in growing season ANPP as a function of onset delay should be found in the predominance of annual 314 grasses which are photoperiodic (Penning de Vries and Diiteve, 1982). The cessation of season was thus 315 controlled by day length and do therefore not compensate for a delay in the onset. Also, a too early onset was 316 found to decrease growing season ANPP, which is likely to be associated with so-called "false starts" of the 317 growing season. Often, an early start of the growing season is accompanied by a significant number of dry-days 318 occurring shortly after the start of the wet season with a detrimental impact upon plant growth (Proud and 319 Rasmussen, 2011). Finally, we found that the general impact of consecutive dry days was difficult to quantify 320 (Fig.7f). However, a rather clear critical threshold of 14 consecutive days without rainfall was found to have an 321 increased adverse impact on the growing season ANPP. This threshold of 14 days as an average for Sahel was 322 related to the depletion time of the upper layer soil water and the root depth of the herbaceous stratum (primarily 323 annual grasses) but will vary spatially with different soil and vegetation types (Penning de Vries and Djiteye, 324 1982).

325 Several studies have reported a tendency towards an earlier start of the wet season (Sanogo et al., 2015; Zhang 326 et al., 2017), but projections predict a delay of the wet season in the later 21th century (Biasutti and Sobel, 2009; 327 Guan et al., 2014). Moreover, an increase in heavy rainfall events and prolonged dry spells were observed and 328 projected for the future (Sanogo et al., 2015; Taylor et al., 2017; Zhang et al., 2017). Our results showed that the 329 semi-arid zone will be most prone to these projected changes, and an increase in heavy rainfall events, a delay in 330 the onset of the wet season and dry spells exceeding 14 days will cause a significant reduction in vegetation 331 productivity, although the annual rainfall amount may be constant or even increasing. Disregarding the 332 importance and impact of varying rainfall distribution on vegetation productivity leads to a bias in any ANPP 333 prediction, and this knowledge should be implemented in any prediction and estimation of ANPP, both for 334 ecosystem models and remote sensing based analyses, especially in the context of food security. Although this 335 study did not include a temporal change component of the metrics analyzed, the length of high quality time series

data of both vegetation productivity and rainfall with a daily temporal resolution does provide the possibility foradding a temporal dimension which will be pursued in a future study.

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## **339 5 Summary and conclusion**

340 In this study we analyzed the impact of seasonal rainfall distribution (represented by onset and cessation of the 341 wet season, number of rainy days, rainfall intensity, number of consecutive dry days and heavy rainfall events) on 342 growing season ANPP for the Sahelian zone. Overall, a clear north-south gradient was observed for the onset, 343 rainy days, heavy rainfall events and consecutive dry days, but also considerable differences in cessation date, 344 number of rainy days and the rainfall intensity were observed between Eastern and Western Sahel. We found the 345 strongest relationship between growing season ANPP and the number of rainy days ( $r^2=0.73$ ), closely followed 346 by a relationship between growing season ANPP and heavy rainfall events ( $r^2=0.72$ ). Growing season ANPP in 347 the arid zone (100-300 mm  $vr^{-1}$ ) was rather insensitive to variations in the seasonal rainfall metrics, whereas 348 vegetation in the semi-arid zone (300-700 mm yr<sup>-1</sup>) was significantly impacted by most metrics, especially by the 349 number of rainy days and timing (onset and cessation) of the wet season. Finally, the critical breakpoints analysis 350 between growing season ANPP and all rainfall metrics showed that the growing season ANPP were particularly 351 negatively impacted after >14 consecutive dry days and that a rainfall intensity of 13 mm day<sup>-1</sup> was detected for 352 optimum growing season ANPP. Overall, it can be concluded that seasonal rainfall distribution significantly 353 influence ANPP and the effect of different rainfall metrics was observed to vary along the north-south rainfall 354 gradient. These findings have important implications for the sheer amount of dryland studies in which annually or 355 seasonally summed rainfall and ANPP are used to derive indicators of land degradation or anthropogenic 356 influence (e.g. the use of RUE and RESTREND). When studying subtle changes in dryland vegetation 357 productivity based on time series of satellite data, as caused by both climate and anthropogenic forcing, it is 358 essential also to consider the potential effect from changes in the rainfall regime as expressed in the seasonal

359	rainfall metrics studied here. Inter-annual differences in the seasonal distribution of rainfall is known to have an
360	impact on species composition in Sahel (Mbow et al., 2013) and it is likely that the herbaceous vegetation is able
361	to adapt to changes seasonal rainfall distribution expressed by a shift in the abundance of species favored by
362	increased heavy rainfall events and longer dry spells.
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364	Competing interests. The authors declare that they have no conflict of interest.
365	
366	Acknowledgements. This study is jointly supported by the European Union's Horizon 2020 research and
367	innovation programme under the Marie Sklodowska-Curie grant agreement (project BICSA number 656564),
368	China Scholarship Council (CSC, 201506190076), and the Danish Council for Independent Research (DFF)
369	project: Greening of drylands: Towards understanding ecosystem functioning changes, drivers and impacts on
370	livelihoods, and Chinese National Science and Technology Major Project (03-Y20A04-9001-15/16). Also, we
371	would like to thank the associate editor Jochen Schöngart, the reviewer M.Marshall and the anonymous reviewer
372	for providing detailed comments and constructive suggestions.
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504	Table 1 Rainfall metrics describing the seasonality and extreme events				
-	Index name	Definitions	Units		
	Onset of wet season (Onset)	The minimum value in the accumulative anomaly of daily rainfall	day of year		
	Cessation of wet season (Cessation)	The maximum value of the accumulative anomaly of daily rainfall	day of year		
	Rainy days (RD)	Number of days with rainfall $>=1$ mm between the onset and the cessation of the wet season	days		
	Rainfall intensity (SDII)	Ratio of annual total rainfall and number of rainy days $\geq 1 \text{ mm}$	mm day-1		
	Heavy rainfall events (R95sum):	Fraction of annual rainfall events exceeding the (2001–2015) 95th percentile	%		
	Consecutive dry days (CDD):	Maximum number of consecutive days with rainfall <1 mm during wet season	days		
	Seasonal rainfall amount (R)	Rainfall amount during the wet season	mm yr <sup>-1</sup>		



Fig 1. Study area outlining the Sahelian region (black color; 100-800 mm yr<sup>-1</sup>).  $\sum$ NDVI (seasonal integral) is based on a 15year (2001-2015) average using MODIS data; the red grid point (13.5° N, 5.0° W) is used to illustrate the extractions of onset and cessation of the wet season shown in Fig. 2. Isohyets are based on a 15-year (2001-2015) average of the seasonal rainfall amount (CHIRPS v2.0).



Fig.2. (a) Daily rainfall distribution and (b) anomalous accumulative curve for the grid point 13.5° N, 5.0° W (shown in Fig.
1) for the year of 2001. The blue line (accumulated anomaly) is computed from a 15-year (2001-2015) average of daily
rainfall.

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Fig.3. Spatial distribution of average seasonal rainfall metrics a) onset of wet season (day of year); b) cessation of wet season 521 (day of year); c) rainy days (days); d) daily intensity (mm day<sup>-1</sup>); e) heavy rainfall events (%); f) consecutive dry days (days) 522 based on 15-year averages (2001-2015). Pixels within the study area are masked (white color) in accordance with the 523 description in the methods section.



526 Fig.4. Growing season ANPP ( $\sum$ NDVI) as a function of mean seasonal rainfall amount (R) plotted as quantiles for the Sahel-

- 527 Sudanian zone (pixel averages for 2001-2015).
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Fig.5. Density scatterplot showing the relationships between seasonal rainfall metrics and growing season ANPP ( $\sum$ NDVI). All analyses are based on 15-year averages (2001-2015). The black lines are exponential regression curves. All points (n=30862) are located between 100 and 800 mm annual rainfall.

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Fig. 6. Relationships between seasonal rainfall metrics and growing season ANPP as a function of seasonal rainfall amount
based on 15-year averages (2001-2015).





542 Fig.7. Effects of seasonal rainfall metrics on growing season ANPP as a function of seasonal rainfall amount. The non-543 parametric Spearman's rank correlation between growing season ANPP and rainfall metrics are shown for each 10 mm 544 interval. The lines are GAM fitting curves and shading represents the 95% confidence intervals of the fitting.



548 Fig.8. a) Generalized relationships between growing season ANPP and Onset, Cessation, RD, SDII, R95sum, CDD as a 549 function of seasonal rainfall amount (100 mm intervals) based on 15-year average values (relative importance in %). The 550 blue line shows the overall variance of growing season ANPP explained by the rainfall metrics per 100 mm seasonal rainfall 551 amount based on the random forest method. b) Spatial distribution of the relative importance of onset, cessation of wet season 552 and rainy days to growing season ANPP for 2001-2015 based on a multiple regression. Pixels within the study area are 553 masked (white color) in accordance with the description in the methods section.





Fig. 9. Growing season ANPP (individual points represent 95th percentile of ∑NDVI value for each seasonal rainfall metric
bins) plotted against rainfall metrics. Solid red lines denote piecewise regression between growing season ANPP and
seasonal rainfall metrics and dashed lines indicate the breakpoint (bp) for rainfall individual metrics.