



SOUTHERN SEAS ECOLOGY LABORATORIES
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Dear Prof. Treude,

Please consider our **REVISED** manuscript entitled “Effects of hypoxia and non-lethal shell damage on shell mechanical and geochemical properties of a calcifying polychaete” for publication as a research article in *Biogeosciences*.

We appreciate the anonymous reviewers for their suggestions to further improve the impact of our manuscript. The changes have been stated in the Response with the line number and shown in the highlighted version of revised manuscript. Thank you very much for considering our manuscript again and we look forward to your positive reply at your earliest convenience.

Yours sincerely,

A handwritten signature in black ink, appearing to read 'Jonathan Leung'.

Dr. Jonathan Leung
Corresponding author
On behalf of Napo Cheung

Reviewer 1

This manuscript presents clear results from an interesting experiment investigating the impacts of hypoxia and shell damage on a species of calcifying polychaete. The results suggest calcification can be maintained after shell damage and during exposure to 3 weeks of hypoxia, however mechanical and physical properties change with exposure to shell damage and hypoxia.

RESPONSE: We are pleased to see that the reviewer found this study interesting.

Introduction

This was generally well written with a clear scientific question and means to answer it. I feel the concept of changing shell mineralogy should be introduced in more detail with clearer rationale behind the expected outcomes in the experiment. Introducing the different energetic costs of aragonite/calcite production in polychaetes would make the scientific significance more robust.

RESPONSE: We agree that the concept of changing shell mineralogy should be introduced more, especially concerning the energy cost of calcification. We have now introduced how the energy cost of calcification could be altered by changing calcite/aragonite, Mg/Ca and ACC content (Ln 57-68), which can further clarify the rationale of our hypotheses.

Methods

I have a few minor problems with the methodology section. Oxygen consumption measurements were generally well explained however I do not understand the sentence "the final dissolved oxygen concentration of FSW was recorded when it becomes steady by gently stirring the FSW inside the syringe" (L142).

RESPONSE: The dissolved oxygen concentration of FSW at the bottom of the syringe was lower than that on the top due to the respiration of polychaetes (i.e. uneven dissolved oxygen concentration in the water column). To obtain an accurate reading, gently stirring the FSW inside the syringe is needed to ensure uniform dissolved oxygen concentration of the FSW. This reason has been added (Ln 153).

Additionally, a clear justification regarding the exclusive use of non-parametric tests for all the data should be provided.

RESPONSE: Please note that PERMANOVA is not a non-parametric test. While it is regarded as a semi-parametric test, it has been widely used as a more robust substitute for the traditional ANOVA because it is distribution-free (i.e. no need to meet the normality assumption) and it has the same statistical power as the traditional ANOVA with the same F-statistics. We have added an appropriate reference (Anderson, 2001) for those who feel interested in the theory about PERMANOVA.

Results

Slightly more detail could be given in the written description of the results however the figures and tables are excellent and all relevant data is presented.

RESPONSE: We are pleased to have reviewer's commendation for the presentation of data and we have described the results slightly more in the text as requested.

Discussion

This section was generally excellent however there are some patterns in the results which should be better discussed. The authors state the energy demand for calcification is enormous (L227). In relative terms compared to organic tissue production, calcification is a minute cost being ~ 15 x lower than the cost of organic tissue synthesis (Palmer 1983, Marine Biology). This idea should also be discussed further as in the non-lethal shell damage treatment, calcified structures contained more organic material than in the non damage treatment. This would suggest and even higher cost of shell production.

RESPONSE: This is a good suggestion. We have now provided further information that the enormous energy demand for calcification is mainly due to the production of organic matrix (Ln 241). In addition, we have mentioned that the polychaetes can invest a substantial amount of energy not only for shell growth, but also for production of energy-costly organic matrix to enhance shell mechanical strength in the life-threatening situation (Ln 271-274). This can clearly show that defence response is prioritized following non-lethal shell damage, regardless of the high energy cost of calcification.

I also feel that the changes in clearance rates are extremely important as the energy income dictates the magnitude of energy partitioning to different growth and metabolic processes. It is interesting that in the normoxic treatment, calcification rate was ~ 4 x higher in the shell damage treatment than control despite a ~ 3 x decrease in food consumption compared to the control! This is quite contradictory and should be discussed with relation also to somatic tissue growth and maybe reproduction.

RESPONSE: We have mentioned this unexpected result in the discussion and agree with the reviewer that factors other than mineralogical properties may also cause this unexpected result. While we have now suggested that energy reserves may be used to support the increased shell growth (Ln 299-302), it is still premature to speculate too much without solid evidence of somatic growth and reproduction.

Lastly I think the discussion would benefit from a slight expansion of the section discussing changes in shell mineralogy. The authors correctly state that producing calcite shells is more energetically favourable than aragonite shells, however what is the magnitude of this change? If it is in the region of half the cost then it is indeed a significant change, however I don't believe that changing shell mineralogy will have that much of a significant effect on the energetic cost of shell production. Rather changing mineralogy will have more of an impact on dissolution capacity and shell strength.

RESPONSE: Based on the literature, producing calcite is less energy-costly than producing aragonite, but the magnitude is unfortunately not reported probably because the energy cost of calcification also depends on other factors, especially organic matter content. Given the changes in calcite/aragonite, Mg/Ca, ACC content and total organic matter content, we found that the polychaetes tend to minimize the cost of calcification under hypoxia. We have slightly expanded the

discussion by adding relevant examples to show that precipitation of calcite is boosted under metabolic stress conditions (Ln 284-287). The trade-offs of shell growth against shell quality have been discussed (Ln 305-308).

Additionally, there are also some grammatical mistakes at several occurrences in the manuscript which need correcting (L96, L244, L276, L294 among others.)

RESPONSE: We have polished the manuscript carefully throughout and believe that the English writing can meet the publication standard based on our experience.

References

Palmer, A. (1983). Relative cost of producing skeletal organic matrix versus calcification: evidence from marine gastropods. *Marine Biology*, 75, 287–292. Retrieved from <http://link.springer.com/article/10.1007/BF00406014>

Reviewer 2

Suggestions:

Line 177: I feel that the authors have provided the necessary particle size that I requested in the initial review but missed the point of my initial suggestion. Please read Kristova et al (2015, full reference provided in the initial review) to understand that in particle sizes such as the ones observed here, FTIR ratios of the two peaks used to estimate relative ACC abundance are affected by particle size in calcium carbonates.

RESPONSE: We have already read this paper and recognized that particle size can affect the peak ratio in the FTIR spectrum. Nevertheless, the particle size of shell powder used in our study was consistent (i.e. no bias) between treatment groups, meaning that the difference in the peak ratio across treatments was not caused by particle size. The particle size of shell powder used was already provided (Ln 187).

Line 107: Please provide company of hot melt adhesives.

RESPONSE: The company name (i.e. 3M) has now been added (Ln 117).

Please provide units (or specify if they are arbitrary) for figure A2.

RESPONSE: The unit is arbitrary. We have now added "(a.u.)" for Figure A2.

Please write CT correctly in Table A1 where C is italicized, and T is subscript. Further, the fourth line in the legend should read as "Temperature and salinity were measured daily..." The next sentence should also be corrected to "measured weekly".

RESPONSE: All suggestions for Table A1 have been adopted.

Effects of hypoxia and non-lethal shell damage on shell mechanical and geochemical properties of a calcifying polychaete

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Abstract. Calcification is a vital biomineralization process where calcifying organisms construct their calcareous shells for protection. While this process is expected to deteriorate under hypoxia which reduces the metabolic energy yielded by aerobic respiration, some calcifying organisms were shown to maintain normal shell growth. The underlying mechanism remains largely unknown, but may be related to changing shell mineralogical properties, whereby shell growth is sustained at the expense of shell quality. Thus, we examined whether such plastic response is exhibited to alleviate the impact of hypoxia on calcification by assessing the shell growth and shell properties of a calcifying polychaete in two contexts (life-threatening and unthreatened conditions). Although hypoxia substantially reduced respiration rate (i.e. less metabolic energy produced), shell growth was only slightly hindered without weakening mechanical strength under unthreatened conditions. Unexpectedly, hypoxia did not undermine defence response (i.e. enhanced shell growth and mechanical strength) under life-threatening conditions, which may be attributed to the changes in mineralogical properties (e.g. increased calcite/aragonite) to reduce the energy demand for calcification. While more soluble shells (e.g. increased Mg/Ca in calcite) were produced under hypoxia as the trade-off, our findings suggest that mineralogical plasticity could be fundamental for calcifying organisms to maintain calcification under metabolic stress conditions.

1 Introduction

25 Calcification is a biomineralization process where many marine organisms, such as corals, molluscs, polychaetes and echinoderms, deposit carbonate minerals and form their calcareous shells or skeletons. This process is highly associated with the fitness and survival of calcifying organisms because shell growth not only allows continuous somatic growth, but also strengthens protection against physical and chemical damages. The protective role of shells is particularly important under life-threatening conditions (e.g. following non-lethal shell damage), where many calcifying organisms are able to produce stronger shells at a higher rate to increase physical protection (Cheung et al., 2004; Brookes and Rochette, 2007; Hirsch et al., 2014). Indeed, such inducible defence response via enhanced calcification plays an important role in the survival of calcifying organisms (Harvell, 1990).

In view of the accelerated anthropogenic emission of carbon dioxide, calcification and hence defence response of calcifying organisms may be dampened by climate change stressors, such as ocean acidification and hypoxia (Bijma et al., 2013). While ocean acidification was expected to retard calcification (Orr et al., 2005), it is now realized that calcification is not primarily driven by the pH and carbonate saturation state of seawater (Roleda et al., 2012), meaning that the impact of ocean acidification on calcifying organisms through the changes in seawater carbonate chemistry is less deleterious than previously thought (e.g. Garilli et al., 2015; Ramajo et al., 2016; Leung et al., 2017a,b). Indeed, calcification is an energy-dependent physiological process actively regulated by calcifying organisms (Roleda et al., 2012); therefore, this process is likely determined by the energetics of calcifying organisms. As such, hypoxia (i.e. dissolved oxygen concentration in seawater $\leq 2.8 \text{ mg O}_2 \text{ L}^{-1}$ or $\leq 63 \text{ } \mu\text{mol L}^{-1}$, Wu, 2002) can probably compromise calcification through its direct, adverse effect on aerobic metabolism and hence production of metabolic energy (Wu, 2002; Leung et al., 2013a). Since calcification is an energy-demanding process (Palmer, 1992), the impaired aerobic metabolism under hypoxia could be the underlying mechanism causing the reduced calcification as previously observed (e.g. Cheung et al., 2008; Findlay et al., 2009; Wijgerde et al., 2014). As the occurrence of hypoxia is predicted to become more prevalent in future marine ecosystems owing to ocean warming and human-induced eutrophication (Diaz and Rosenberg, 2008; Keeling et al., 2010; Bijma et al., 2013), the impact of hypoxia on calcifying organisms would be continuously escalated.

However, few previous studies showed that some calcifying organisms are able to maintain calcification under hypoxia (Mukherjee et al., 2013; Frieder et al., 2014; Keppel et al., 2016), and even anoxia (Nardelli et al., 2014). These unexpected results suggest potential mechanisms which can help compensate for the reduced metabolic energy under hypoxia in order to sustain calcification. This could be mediated by phenotypic plasticity, which involves trade-offs between phenotypic traits in response to altered conditions (Malausa et al., 2005). For example, shell growth may be maintained under hypoxia at the expense of shell quality or other physiological processes (e.g. soft tissue growth, reproduction and somatic maintenance) via energy trade-offs (Nisbet et al., 2012; Sokolova et al., 2012). Alternatively, energy demand for calcification may be reduced by changing geochemical properties of shells and thus favours shell growth when metabolic energy is reduced (Ramajo et al., 2015; Leung et al., 2017a). For instance, bimineralic calcifying organisms (i.e. organisms which can produce both calcite and aragonite) may precipitate a greater proportion of calcite to promote shell growth under metabolic stress conditions (e.g. ocean acidification, Chan et al., 2012; Leung et al., 2017a) because calcite has a lower packing density and its production requires less metabolic energy than aragonite (Weiner and Addadi, 1997; Hautmann, 2006). For calcite-producing organisms, a small quantity of magnesium ions is incorporated into the calcite lattice and impacts the quality of shells (e.g. solubility). While Mg incorporation could be physiologically regulated by calcifying organisms *per se* (Bentov and Erez, 2006), this energy-consuming regulation may be reduced under hypoxia so that more energy can be allocated to shell growth. To form crystalline calcium carbonate, metabolic energy is required for stabilization of amorphous calcium carbonate (ACC) because it involves some matrix proteins and transport of carbonate ions (Addadi et al., 2006; Bentov, 2010; Weiner and Addadi, 2011). In order to conserve energy for shell growth, therefore, less crystalline shells may be produced under hypoxia as the trade-off. Whether calcifying organisms can exhibit these plastic responses to alleviate the impact

70 of hypoxia-induced metabolic depression on calcification and defence response remains largely unknown and deserves a comprehensive investigation.

In this study, we examined how hypoxia affects calcification and defence response of a common calcifying polychaete (*Hydroides diramphus*), which is tolerant to hypoxia (Vaquer-Sunyer and Duarte, 2008; Leung et al., 2013b). Calcification was indicated by shell growth, while defence response by both shell growth and fracture toughness. We analysed the mineralogical properties of shells (organic matter content, calcite to aragonite ratio, 75 magnesium to calcium ratio in calcite and relative amorphous calcium carbonate content) to indicate the possible changes in calcifying mechanism in response to hypoxia. Respiration rate and feeding rate were measured to reflect aerobic metabolism and energy gain, respectively. Given the possible impact of hypoxia on aerobic metabolism, we hypothesized that (1) the mineralogical properties of newly-produced shells would be modified to reduce the energy demand for calcification so that shell growth can be sustained; (2) defence response would be undermined as the 80 reduced metabolic energy is possibly insufficient to enhance both shell growth and fracture toughness. If changing mineralogical properties of shells can help alleviate the impact of hypoxia on calcification and even defence response without causing significant adverse effects by trade-offs, this suggests that some calcifying organisms would be more robust to metabolic stress conditions than previously thought.

85 2 Materials and methods

2.1 Collection and maintenance of specimens

A calcifying polychaete *Hydroides diramphus* was selected as the study species, which lives on hard substrate and is widely distributed within circumtropical regions (Çinar, 2006). Adult polychaetes (tube length: 35 – 45 mm) were collected from a fish farm at Yung Shue O (22°25'N, 114°16'E), Hong Kong, in summer when hypoxia was 90 commonly observed (Leung et al., 2013a). Other fouling organisms on the calcareous tube of *H. diramphus*, such as mussels and tunicates, were carefully removed. Then, the polychaetes were temporarily reared in plastic tanks (50 cm × 40 cm × 30 cm) filled with natural seawater under laboratory conditions (dissolved oxygen concentration: 6.00 ± 0.10 mg O₂ L⁻¹, pH: 8.10 ± 0.05 , temperature: 28.0 ± 1.0 °C and salinity: 33.0 ± 0.5 psu). Algal suspension containing live *Isochrysis galbana* and *Dunaliella tertiolecta* (1:1, v/v) was daily provided as food. The polychaetes were allowed 95 to acclimate under these laboratory conditions for one week before experimentation.

2.2 Experimental design and rearing method

The impact of hypoxia on the calcification and defence response of adult *H. diramphus* was examined using a full factorial experimental design, involving two dissolved oxygen levels (normoxia vs. hypoxia) and two contexts 100 (unthreatened vs. threatened). Thus, there were four treatment conditions based on their crossed combinations: (1) normoxia and unthreatened, (2) normoxia and threatened, (3) hypoxia and unthreatened, and (4) hypoxia and threatened. Normoxia (~ 6.0 mg O₂ L⁻¹, i.e. control) and hypoxia (~ 2.0 mg O₂ L⁻¹) were achieved by continuously

aerating seawater with air and a mixture of nitrogen and air, respectively (Leung et al., 2013b). Digital flow meters (Vögtlin Instruments, Switzerland) were used to adjust the flow rate of each gas (i.e. nitrogen and air) so that the desired dissolved oxygen concentration for hypoxia was maintained. To induce life-threatening condition for the polychaetes, non-lethal shell damage was made by carefully trimming the calcareous tube until the radioles were exposed, while the body was still fully covered. The polychaetes with “intact” (tube length: ~40 mm; body length: ~20 mm) and “damaged” (tube length: ~20 mm; body length: ~20 mm) tubes were then allowed to acclimate under either normoxia or hypoxia for another week before experimentation, which can particularly help the “damaged” polychaetes to recover from the stress induced by tube trimming (i.e. fight-or-flight response) so that they were only subject to the stress induced by non-lethal shell damage in the following experiments.

A total of 120 adult polychaetes were evenly and randomly assigned to each of the four treatment conditions (i.e. $n = 30$ polychaetes per treatment). The rearing method for the polychaetes was previously described (Leung and Cheung, 2017). Briefly, polychaetes with their initial tube length measured (see the section below) were individually transferred into 2-mL labelled microcentrifuge tubes with the radioles pointing upward. A small hole (~2 mm) was drilled at the bottom of each microcentrifuge tube to allow water exchange. The microcentrifuge tubes were glued together by hot-melt adhesives (3M, USA) to maintain an upright position and put into a lidded glass bottle (10 polychaetes per bottle; 3 bottles per treatment) containing 180 mL filtered seawater (FSW) (pore size: 0.45 μm). Bottles assigned to the same dissolved oxygen level (i.e. normoxia or hypoxia) were connected to the same gas inlet and had the target dissolved oxygen concentration manipulated as described above. Stable equilibrium between gases in seawater was achieved rapidly by this constant aeration (< 5 min) and thus the target dissolved oxygen concentration in seawater, which was daily recorded using an optical dissolved oxygen probe (SOO-100, TauTheta Instruments, USA), was very stable over time (Fig. A1). To simulate the summer seawater temperature at the collection site, the whole setup was incubated in a water bath with temperature maintained at 28°C using a heating bath circulator. The polychaetes were reared under a day/light cycle of 14:10 h. Algal suspension (20 mL) containing live *I. galbana* and *D. tertiolecta* (1:1, v/v) at $\sim 1 \times 10^6$ cells mL^{-1} was provided daily as food to ensure adequate food supply for normal shell growth. The microcentrifuge tubes were cleaned and the seawater was gently renewed once every three days to prevent accumulation of excreted waste. The exposure lasted for 3 weeks, excluding the initial acclimation period. After the 3-week exposure period, only 4 out of 120 polychaetes died across treatments (2 from “Intact, Normoxia” and 2 from “Damaged, Hypoxia”), meaning that the treatment conditions *per se* did not cause fatality.

2.3 Shell growth

Shell growth was indicated by the increase in tube length over time, where the newly-produced shells can be easily identified by the difference in colour from the original shells (Fig. 1). The tube length of all individuals was measured on Day 1, Day 11 and Day 21 to estimate shell growth ($n = 30$ polychaetes per treatment). During the tube length measurement, a polychaete was temporarily placed in a Petri dish (diameter: 90 mm) filled with seawater at their respective dissolved oxygen concentration to avoid potential desiccation. Tube length was measured under a dissecting microscope with a scale to the nearest 0.1 mm, followed by putting the polychaete back to the respective

140 glass bottle immediately (< 30 s for each measurement). Since tube growth can be measured with sufficient accuracy and precision under the dissecting microscope, the tube growth of each individual was analysed as a replicate.

2.4 Physiological performance

145 Following the 3-week exposure period, the respiration rate and feeding rate of polychaetes were measured using the method described in Leung et al. (2013a) with minor modifications. Briefly, 25 individuals from the same treatment were randomly sampled and evenly transferred into five airtight polypropylene syringes (Terumo® hypodermic syringe without needle, Terumo Corporation, Japan) each containing ~35 mL FSW with dissolved oxygen concentration adjusted to the corresponding treatment level ($n = 5$ replicate syringes per treatment). They were allowed to rest in the syringe for 15 min. Then, the initial dissolved oxygen concentration of FSW was measured using an optical dissolved oxygen probe (SOO-100, TauTheta Instruments, USA), calibrated according to the manual of 150 manufacturer. The atmospheric air inside the syringe, which helps buffer the change in dissolved oxygen concentration during the resting period, was then fully expelled and the tip of the syringe was sealed by Blu Tack to ensure an airtight condition. After one hour, the final dissolved oxygen concentration of FSW was recorded when it becomes steady by gently stirring the FSW to ensure uniform dissolved oxygen concentration inside the syringe. Blank samples without individuals were prepared to correct the background change in dissolved oxygen concentration, which fluctuated less than 1%. Respiration rate was expressed as $\mu\text{g O}_2 \text{ ind}^{-1} \text{ hr}^{-1}$. 155

To measure feeding rate, we determined the decrease in concentration of microalgae in a given period of time (i.e. clearance rate), as previously described (Riisgård, 2001; Contreras et al., 2012; Leung et al., 2013a; Leung and Cheung, 2017). For each treatment, 25 randomly selected individuals, which had been starved for one day to standardize their hunger level, were put into five glass vials (i.e. $n = 5$ replicate glass vials per treatment) each 160 containing 80 mL FSW with an initial concentration of $\sim 1 \times 10^6 \text{ cell mL}^{-1}$ live *D. tertiolecta*. After feeding for one hour under light conditions, 1 mL seawater was taken from the bottle and the microalgae were enumerated using a haemocytometer (6 trials per bottle). Prior to counting, 1% Lugol's solution was used to fix the microalgae. Clearance rate was calculated using the following formula to represent feeding rate (Coughlan, 1969):

$$CR = \frac{V}{nt} \times \ln \frac{C_o}{C_t}$$

165 where CR is the clearance rate ($\text{mL ind}^{-1} \text{ hr}^{-1}$); V is the volume of seawater; n is the number of individuals; t is the feeding time; C_o and C_t are the initial and final concentrations of microalgae, respectively.

2.5 Shell properties

170 After measuring respiration rate and feeding rate, the newly-produced shells for the analyses of mechanical and geochemical properties were carefully removed using a pair of forceps and then rinsed with deionized water to remove the microalgae and other debris on the shell surface.

175 Fracture toughness was measured using a micro-hardness tester (Fischerscope HM2000, Fischer, Germany) to indicate mechanical strength. For each treatment, five shell fragments from five randomly selected individuals were mounted firmly onto a metal disc with the inner shell surface facing upwards using cyanoacrylate adhesives ($n = 5$ fragments per treatment). Then, the fragment was indented by a Vickers 4-sided diamond pyramid indenter for 10 s in the loading phase (Peak load: 300 mN; Creep: 2 s). In the unloading phase, the load decreased at the same rate as the loading phase until the loading force became zero. At least five random locations on each fragment were indented. Vickers hardness (H) and elastic modulus (E) were calculated based on the load-displacement curve using software WIN-HCU (Fischer, Germany). Vickers hardness to elastic modulus ratio (H/E) was calculated to indicate the fracture toughness of shells (Marshall et al., 1982). Organic matter content of the newly-produced shells collected from another five individuals was determined by mass loss upon ignition at 550°C in a muffle furnace for six hours ($n = 5$ replicates per treatment).

185 Given the limited amount of newly-produced shells, shells from three to five individuals from the same treatment were powdered to make one composite shell powder sample as a replicate for the analyses of the following geochemical properties. Shell powder was prepared by removing the newly-produced shells using a pair of forceps, rinsing them with deionized water to remove the microalgae and other debris, drying them at room temperature and finally grinding them into powder (particle size: $\sim 5 \mu\text{m}$) using a mortar and pestle. Carbonate polymorphs were analysed using an X-ray diffractometer (D4 ENDEAVOR, Bruker, Germany). A small quantity of shell powder was transferred onto a tailor-made sample holder and then scanned by Co $K\alpha$ radiation (35 kV and 30 mA) from 20° to 190 70° 2 θ with step size of 0.018° and step time of 1 s ($n = 3$ replicates per treatment). Carbonate polymorphs were identified based on the X-ray diffraction spectrum using the EVA XRD analysis software (Bruker, Germany). Calcite to aragonite ratio was calculated using the following equation (Kontoyannis and Vagenas, 2000):

$$\frac{I_C^{104}}{I_A^{221}} = 3.157 \times \frac{X_C}{X_A}$$

195 where I_C^{104} and I_A^{221} are the intensity of calcite 104 peak (34.4° 2 θ) and aragonite 221 peak (54.0° 2 θ), respectively; X_C/X_A is the calcite to aragonite ratio.

200 Magnesium to calcium ratio was determined by energy dispersive X-ray spectroscopy under the Philips XL30 field emission scanning electron microscope (Ries, 2004; Zhang et al., 2010; Leung et al., 2017b). A small quantity of shell powder was transferred onto a stub and coated by carbon ($n = 3$ replicates per treatment; 3 trials per replicate). The shell powder was irradiated by an electron beam with an accelerating voltage of 12 kV to obtain the energy spectrum with background correction. Elements were identified and magnesium to calcium ratio was calculated using software Genesis Spectrum SEM Quant ZAF (EDAX, USA). To determine relative amorphous calcium carbonate (ACC) content, 1 mg shell powder was mixed with 10 mg potassium bromide, followed by compressing the mixture

into a disc (diameter: 13 mm) using a manual hydraulic press ($n = 3$ replicates per treatment) (Chan et al., 2012). An infrared absorption spectrum ranging from 600 cm^{-1} to 1800 cm^{-1} with background calibration for the baseline was obtained using a Fourier transform infrared spectrometer (Avatar 370 DTGS, Nicolet, USA). The relative ACC content was estimated as the intensity ratio of the peak at 856 cm^{-1} to that at 713 cm^{-1} (Beniash et al., 1997).

2.6 Statistical analysis

Two-way permutational analysis of variance (PERMANOVA) was applied (number of permutations: 999; Euclidean distance calculated) to test the effects of hypoxia and non-lethal shell damage on the shell growth, fracture toughness, organic matter content, calcite to aragonite ratio, magnesium to calcium ratio, relative ACC content, respiration rate and clearance rate using software PRIMER 6 with PERMANOVA+ add-on (Anderson, 2001).

3 Results

H. diramphus had continuous shell growth throughout the 3-week exposure period, but the growth was faster after non-lethal shell damage (Fig. 2, Table A2). Hypoxia slightly, but significantly, hindered shell growth in both contexts. As a result, shell growth was the lowest for those undamaged individuals reared under hypoxia. The fracture toughness of newly-produced shells was enhanced by approximately two times after non-lethal shell damage (c.f. control), while hypoxia had no significant effect (Fig. 3, Table A2). As for the geochemical properties of newly-produced shells, organic matter content was elevated by ~2% after non-lethal shell damage, whereas the effect of hypoxia was indiscernible (Fig. 4a, Table A2). Calcite was the dominant carbonate polymorph and its proportion increased under hypoxia (Fig. 4b, Table A2). *H. diramphus* produced high-Mg calcite (i.e. Mg/Ca > 0.04) and the Mg/Ca in calcite increased to ~0.22 under hypoxia (Fig. 4c, Table A2). The relative ACC content was slightly elevated under hypoxia, meaning that less crystalline shells were produced (Fig. 4d, Table A2, Fig. A2 for the IR spectra). Calcite/Aragonite, Mg/Ca in calcite and relative ACC content were not significantly affected by non-lethal shell damage (Table A2). Regarding the physiological performance of *H. diramphus*, respiration rate was significantly reduced by both hypoxia and non-lethal shell damage (Fig. 5a, Table A2), but the impact of hypoxia was much greater. Clearance rate decreased significantly not only under hypoxia, but also after non-lethal shell damage under normoxia (Fig. 5b, Table A2). It is clear that the feeding rate of *H. diramphus* can be substantially impacted by either hypoxia or non-lethal shell damage.

4 Discussion

Hypoxia is expected to diminish the fitness and survival of marine organisms, probably leading to serious ramifications on marine ecosystems, such as changes in species populations, community structure and ecosystem functioning (Wu, 2002; Diaz and Rosenberg, 2008). Nevertheless, many less mobile marine organisms (e.g. molluscs,

polychaetes and echinoderms) are generally tolerant to hypoxia in the short term (Vaquer-Sunyer and Duarte, 2008), suggesting their potential capacity to accommodate its impacts. Despite the substantial reduction in respiration rate and feeding rate under hypoxia, we found that calcification and defence response of a calcifying polychaete were generally maintained, which could be associated with mineralogical plasticity, such as increased calcite to aragonite ratio and magnesium to calcium ratio.

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Since energy demand for calcification is enormous **mainly due to the production of organic matrix** (Palmer, 1983, 1992), the reduction in energy gain by feeding and energy production by aerobic respiration under hypoxia would undermine both quality and quantity of shells produced by calcifying organisms (Cheung et al., 2008; Wijgerde et al., 2014). Under unthreatened conditions (i.e. without shell damage), we found that hypoxia slightly hinders the shell growth of *H. diramphus*. However, hypoxia did not affect the fracture toughness (i.e. mechanical strength) of newly-produced shells. The retarded shell growth under hypoxia could be pertinent to the reduced feeding rate, and hence energy reserves for calcification. While energy gain by feeding is suggested to be fundamental for shell growth (Melzner et al., 2011; Thomsen et al., 2013; Leung et al., 2017a), aerobic respiration is necessary to efficiently **convert** energy reserves into metabolic energy for various biological processes, including calcification. As such, the retarded shell growth is more likely ascribed to the hypoxia-induced metabolic depression, which reduces the amount of metabolic energy allocated to calcification. The quantity of organic matter (e.g. matrix proteins) occluded in the shell is **a** key factor affecting mechanical strength (Weiner and Addadi, 1997; Addadi et al., 2006; Marin et al., 2008). Since the organic matter content **of newly-produced shells** was not affected by hypoxia, mechanical strength can be maintained. Our results imply that similar amount of metabolic energy is allocated to the production of organic matter for shell **strength**, while less to inorganic components (i.e. calcium carbonate) for shell growth under hypoxia. This strategy (i.e. shell quality over shell quantity) is favourable under energy-limiting conditions because there is no exigency to expedite shell growth when risk is not imminent and the shell can already offer sufficient protection.

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Under life-threatening conditions (i.e. following non-lethal shell damage), *H. diramphus* exhibited defence response, indicated by the production of tougher shells at a higher rate. As *H. diramphus* is sessile, enhancing the protective function of shells is probably the most effective defence response. Therefore, more organic matter was produced and occluded in the newly-produced shell to augment mechanical strength. Additionally, the carbonate crystals in the shell appeared to be more compacted (Fig. 6), which could also strengthen the shell. Such inducible defence response is commonly exhibited by calcifying organisms because shell repair should be prioritized to restore and enhance protection (Cheung et al., 2004; Hirsch et al., 2013; Brom et al., 2015). However, trade-offs are involved to activate defence response, such as reduction in the less essential biological processes or activities (Rundle and Brönmark, 2001; Trussell and Nicklin, 2002; Hoverman and Relyea, 2009; Babarro et al., 2016). For example, Brookes and Rochette (2007) showed that the calcification rate of a grazing gastropod is promoted under predation risk at the expense of grazing activity and somatic growth. Here, similar trade-offs were observed in *H. diramphus* (i.e. enhanced shell growth against reduced feeding rate). Indeed, when animals are under life-threatening conditions and the chance of survival becomes very low, they have to prioritize defence response (e.g. production of stronger shells for calcifying organisms) as the last resort to maximize survival rate (Bourdeau, 2009). **This proposition is**

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corroborated by our results showing that *H. diramphus* allocated more metabolic energy not only to enhance shell growth, but also to synthesize more energy-demanding organic matrix to augment the mechanical strength of shells following non-lethal shell damage.

275 We expected that defence response would deteriorate under hypoxia in view of the substantial energy demand for shell production. Contrary to this prediction, *H. diramphus* can still produce tougher shells at a higher rate (c.f. Intact), meaning that the effect of hypoxia on defence response is mild in view of the slight impact on the shell growth. This unexpected finding not only reveals the strong tolerance of *H. diramphus* to hypoxia, but also suggests potential mechanisms that enable efficient calcification under hypoxia despite the reduced metabolic energy. We propose that

280 changing mineralogical properties could help compensate for the reduced metabolic energy in order to sustain defence response. In fact, the mineralogical properties of *H. diramphus* were altered consistently in response to hypoxia, irrespective of context. We found that hypoxia resulted in a greater proportion of calcite in the shell. When metabolic energy is reduced, precipitation of calcite is favourable because it requires less metabolic energy and allows faster shell growth than that of aragonite (Weiner and Addadi, 1997; Hautman, 2006; Ries, 2011). For instance, Ramajo et al. (2015) showed that gastropod *Concholepas concholepas* increases calcite precipitation under metabolic depression;

285 Chan et al. (2012) found that the calcite to aragonite ratio in the shell of polychaete *Hydroides elegans* is elevated at pH 7.4, which incurs metabolic cost for acid-base regulation. Apart from changing carbonate minerals, we found that more magnesium ions were incorporated into the newly-produced shell under hypoxia. It is evident that the incorporation of magnesium ions into calcite is actively regulated through various biological mechanisms, such as

290 active extrusion of excess magnesium ions at the calcification site (Bentov and Erez, 2006). The elevated Mg/Ca in calcite under hypoxia may suggest that the energy-requiring regulation of magnesium ions is reduced to conserve energy, which warrants further investigation. Furthermore, crystallization of amorphous calcium carbonate was slightly reduced by hypoxia, indicated by the higher relative ACC content. Since crystallization requires metabolic energy for the transport of carbonate ions (Addadi et al., 2006; Weiner and Addadi, 2011), our results suggest that

295 metabolic energy allocated to crystallographic control also decreased. Given the aforementioned changes in mineralogical properties, the energy cost for sustaining shell growth could be lessened. Such plastic response, also shown in some calcifying organisms under metabolic stress conditions (Ramajo et al., 2015; Leung et al., 2017a), may explain why the defence response of *H. diramphus* can generally be maintained under mild hypoxia in the short term. Interestingly, we found that such maintenance can last for at least three weeks, even though the energy intake by

300 feeding was markedly reduced by hypoxia. While the change in somatic tissue was not examined in this study, it is likely that *H. diramphus* consumes its energy reserves to enable the boosted shell growth (Palmer, 1983, Leung et al., 2013b).

Despite the benefit of changing mineralogical properties as the plastic response, trade-offs against other phenotypic traits are inevitably incurred (Malausa et al., 2005; Leung et al., 2013b). For instance, shell solubility

305 increases due to the higher relative ACC content and Mg/Ca in calcite (Fernandez-Diaz, 1996; Ries, 2011; Fitzer et al., 2014). In other words, while the changes in mineralogical properties may allow sustained shell growth and

mechanical strength under hypoxia, the chemical stability of shells may be weakened. Nevertheless, our results suggest that the benefit of defence response probably outweighs the cost of this trade-off under life-threatening conditions.

310 Based on the present findings, we support the paradigm that calcification is mainly driven by the physiology
of calcifying organisms rather than the seawater carbonate chemistry (Pörtner, 2008; Roleda et al., 2012). For example,
the shell growth of *H. diramphus* decreased when the carbonate saturation state slightly increased under hypoxia. This
is contradictory to the paradigm that calcification generally increases with carbonate saturation state, *vice versa* (Orr
et al., 2005). Indeed, most calcifying organisms do not directly utilize carbonate ions, but bicarbonate ions, as the
315 substrate for calcification, meaning that formation of calcareous shells is not a chemical reaction between calcium and
carbonate ions (Pörtner, 2008; Roleda et al., 2012; Bach, 2015). This concept based on physiology explains why many
calcifying organisms can maintain or even enhance calcification when carbonate saturation state is reduced (e.g. Ries
et al., 2009; Garilli et al., 2015; Ramajo et al., 2016; Leung et al., 2017a).

320 Hypoxia can last for a long period of time (e.g. month) as observed in many coastal and marine open waters
worldwide (Helly and Levin, 2004; Diaz and Rosenberg, 2008), and is predicted to be more prevalent in future due to
ocean warming and human-induced eutrophication (Bijma et al., 2013). In order to maintain populations under
hypoxia, calcifying organisms have to counter its impact on calcification. Despite the impaired aerobic metabolism,
this study revealed that hypoxia only mildly hampers the shell growth of a calcifying polychaete, whereas its defence
response (i.e. harder shells produced at a higher rate) can be sustained in the short term. This is likely mediated by
325 modifying mineralogical properties of shells to reduce the energy demand for calcification. While some potential
trade-offs are incurred, such plastic response could be the cornerstone of calcifying organisms to acclimate to
metabolic stress conditions, and hence sustain their populations and ecological functions in coastal and marine
ecosystems.

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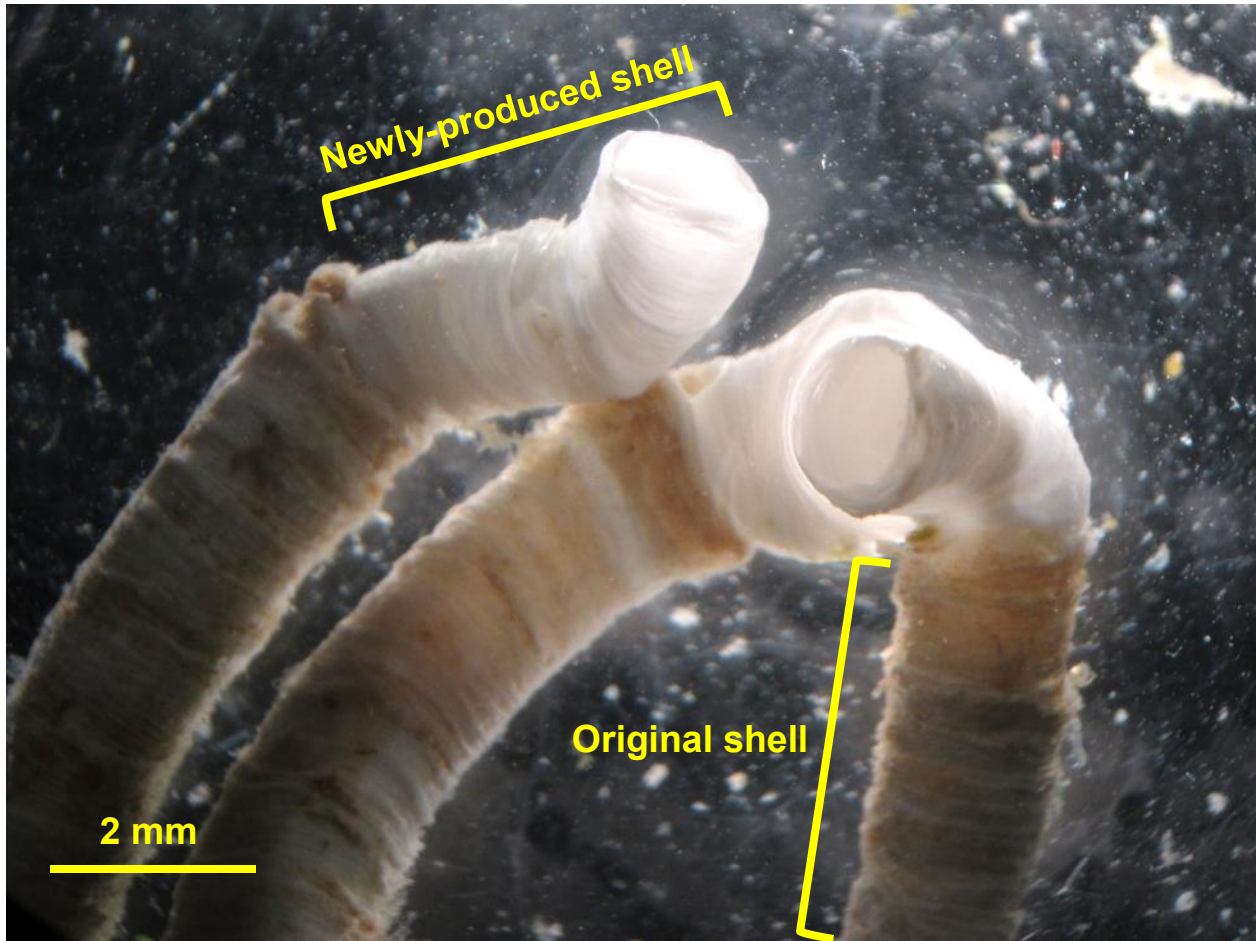
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475 Figure 1 A micrograph showing the newly-produced shell and original shell of *H. diramphus*, where the former is easily distinguished from the latter by the white colour. The original shell appears slightly coloured due to the biofilm (e.g. bacteria, algae, etc.) growing on the surface in the field.

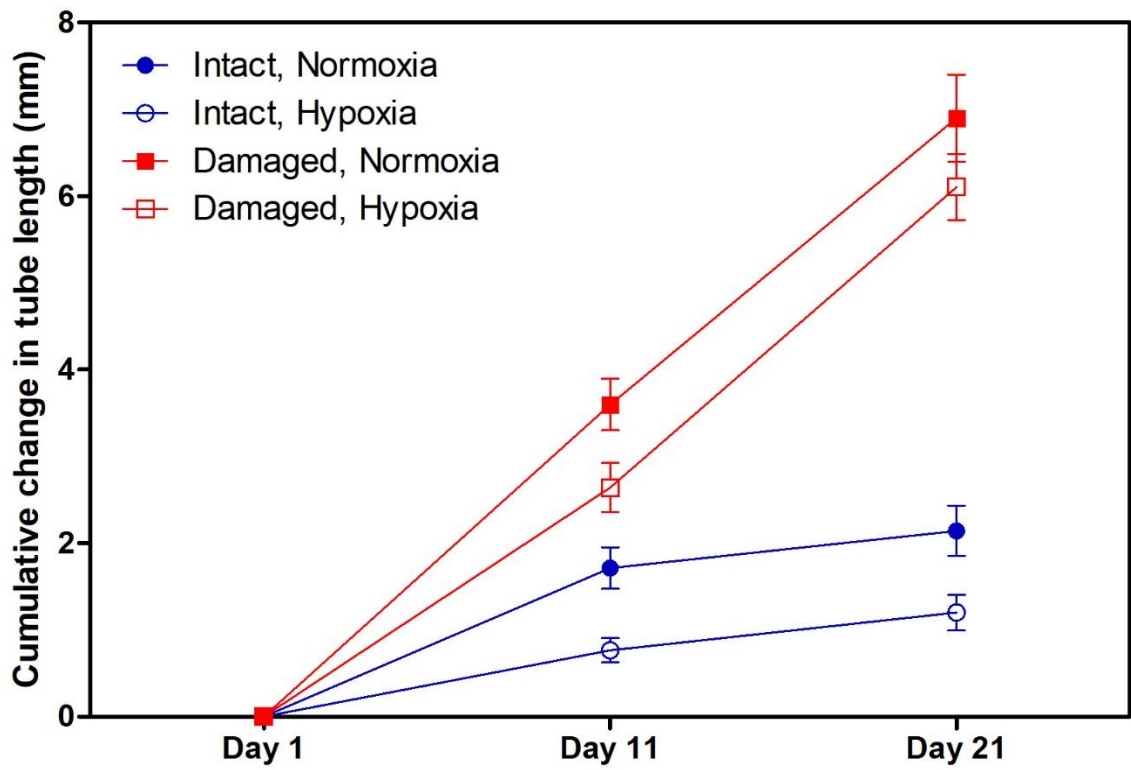
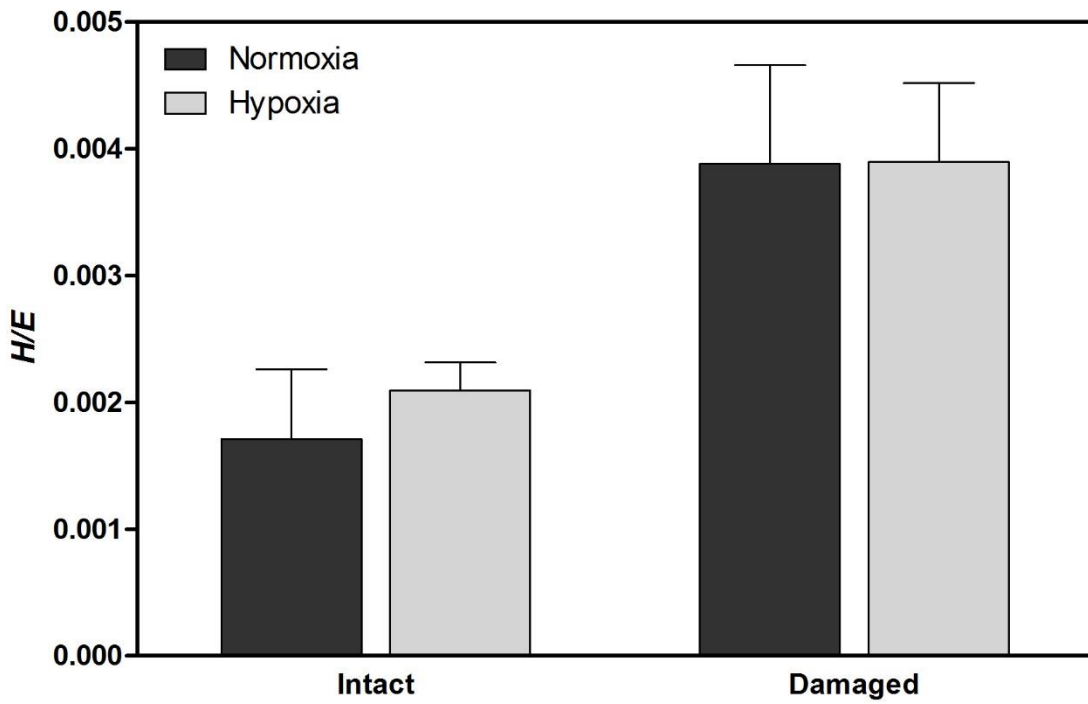


Figure 2 Cumulative change in the tube length of *H. diramphus* in different treatments across the 3-week exposure period (mean \pm S.E.; $n = 30$ for “Intact, Hypoxia” and “Damaged, Normoxia”; $n = 28$ for “Intact, Normoxia” and “Damaged, Hypoxia” due to the mortality).



480 Figure 3 Vickers hardness to elastic modulus ratio (H/E), indicating fracture toughness, of *H. diramphus* shells produced in different treatments (mean + S.E.; $n = 5$).

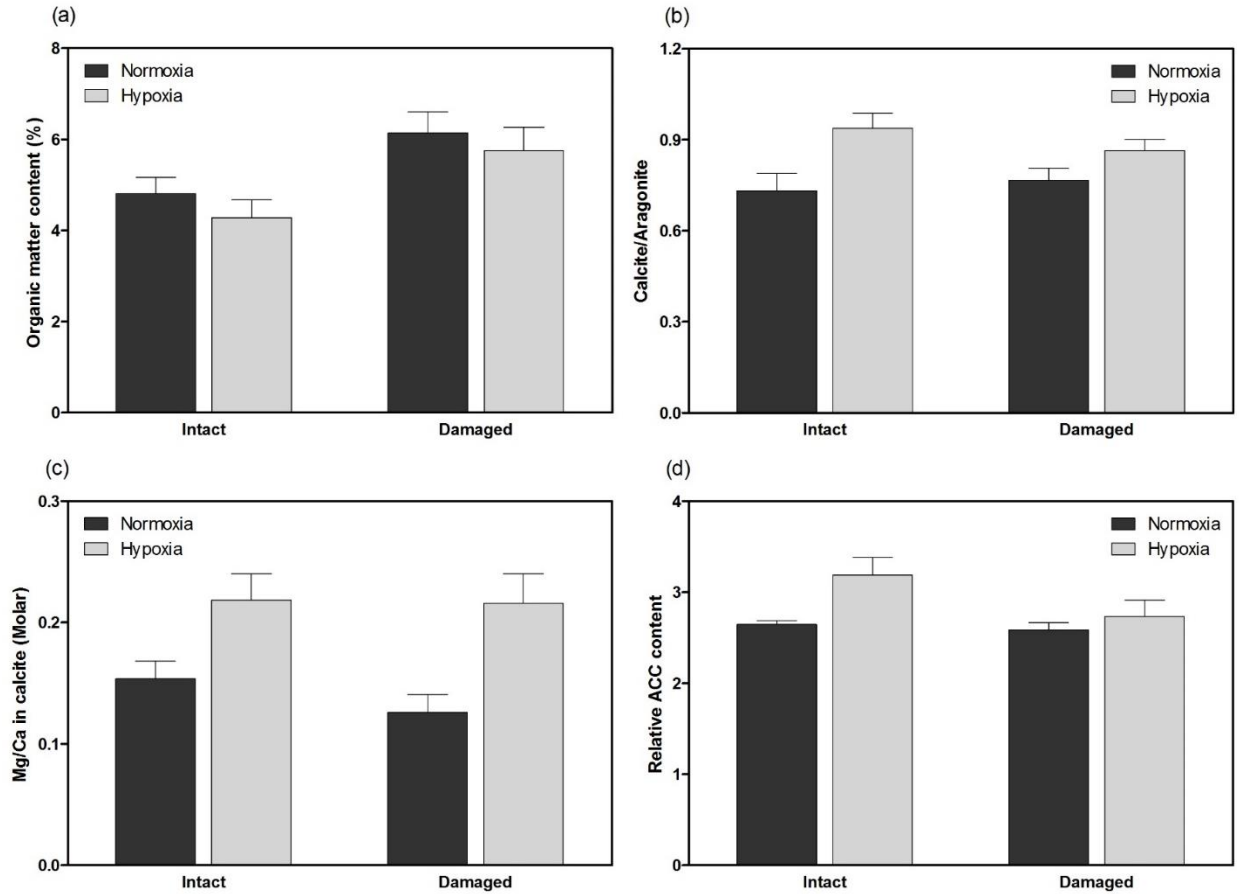


Figure 4 Geochemical properties of *H. diramphus* shells, including (a) organic matter content, (b) calcite to aragonite ratio, (c) magnesium to calcium ratio in calcite and (d) relative amorphous calcium carbonate content, in different treatments (mean + S.E.; $n = 3$, except $n = 5$ for organic matter content).

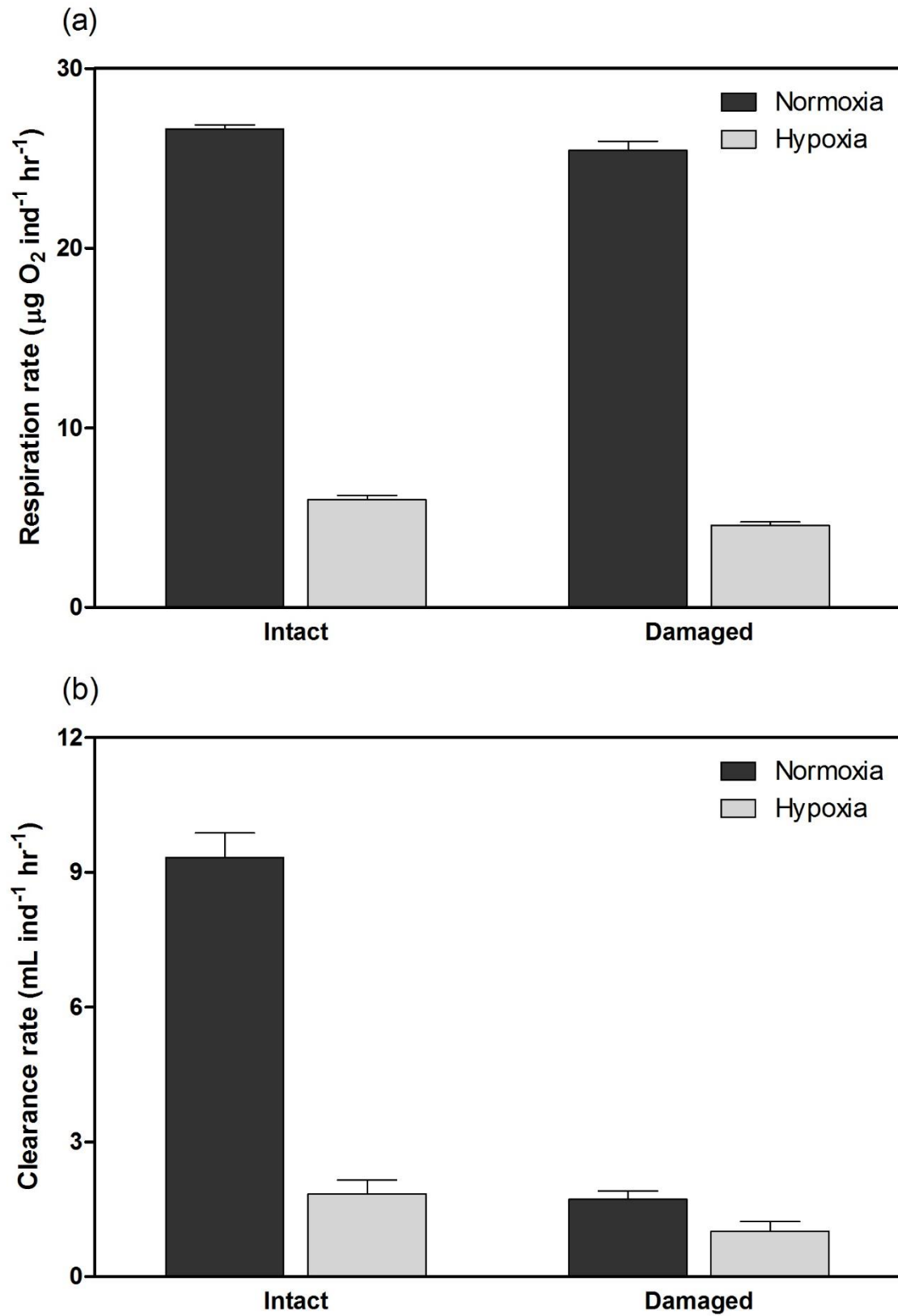


Figure 5 (a) Respiration rate and (b) clearance rate of *H. diramphus* in different treatments (mean + S.E.; $n = 5$).

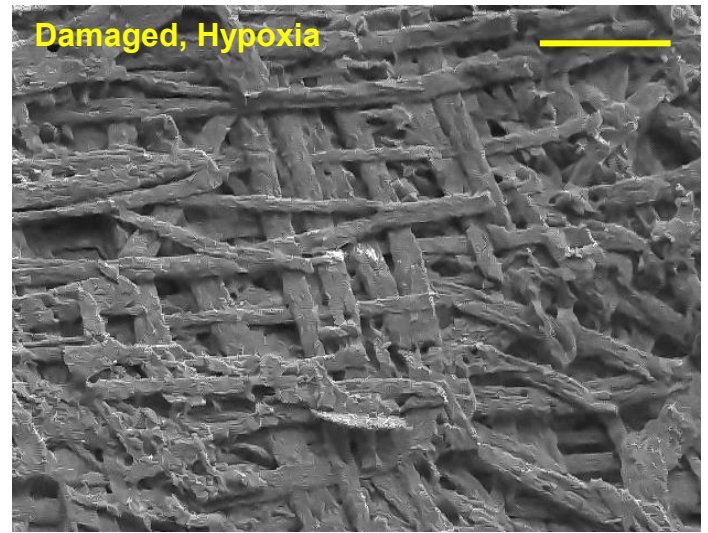
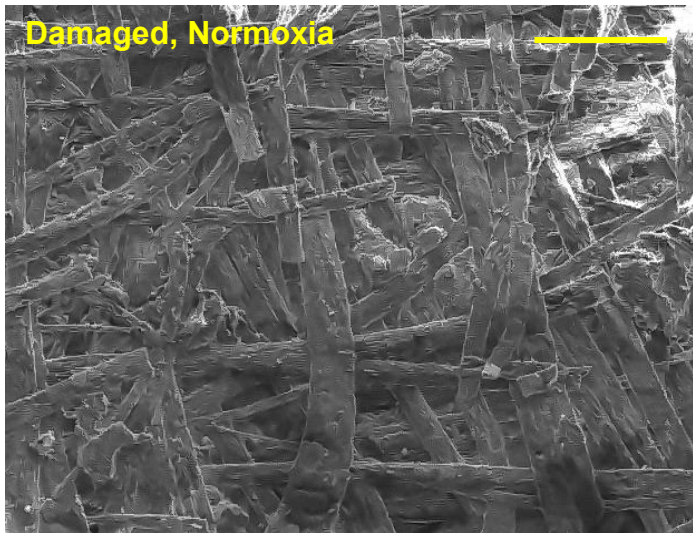
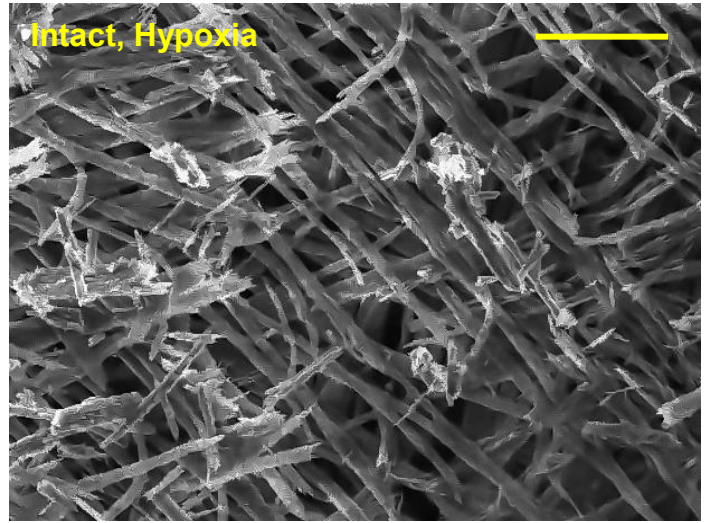
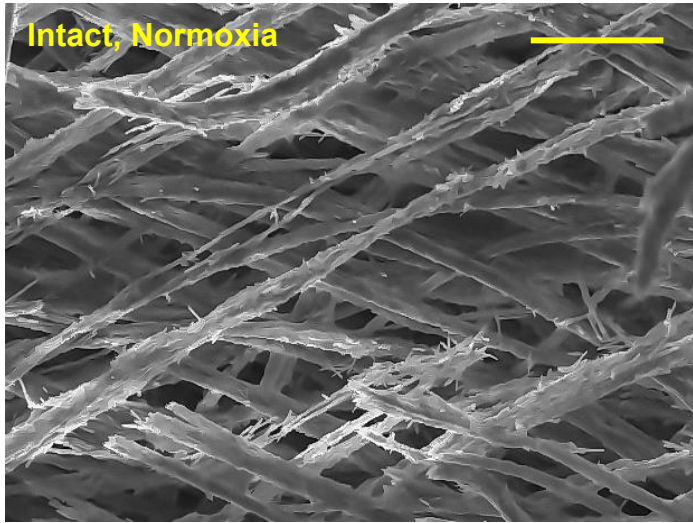


Figure 6 SEM images of the inner surface of *H. diramphus* shells produced in different treatments, indicating the shell integrity. The carbonate crystals of newly-produced shells appear to be thicker and more compact following non-lethal shell damage, regardless of the dissolved oxygen level. Scale bar: 20 μ m.

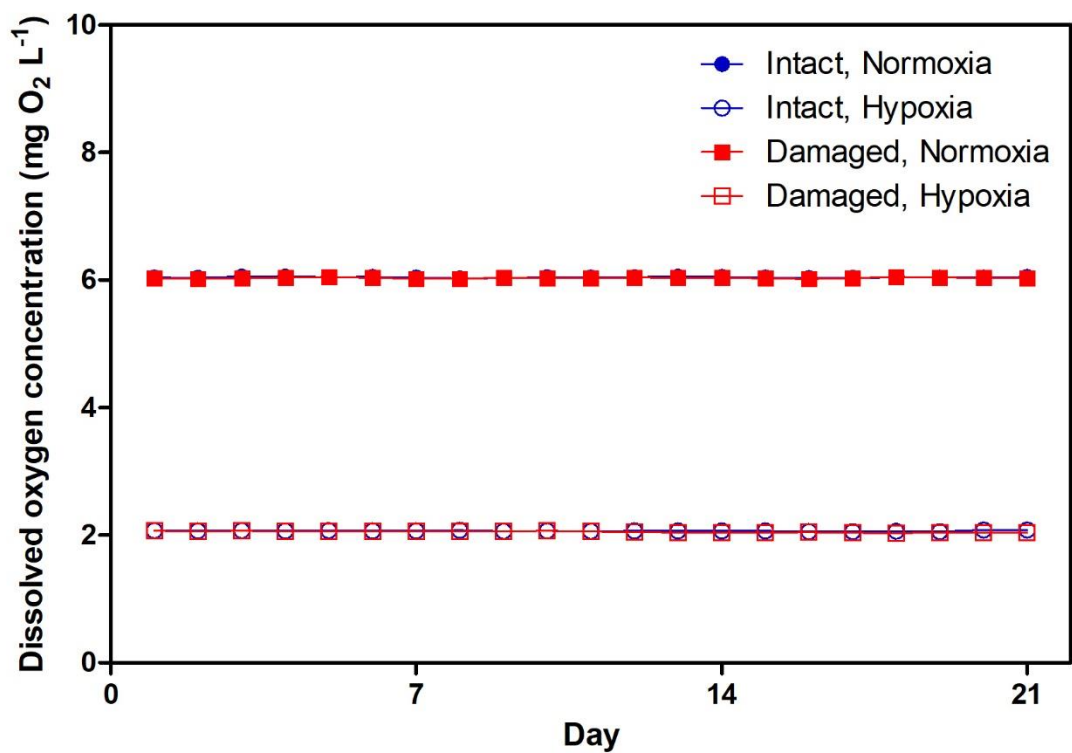


Figure A1 Dissolved oxygen concentration of seawater in different treatments across the 3-week experimental period (mean \pm S.D., $n = 3$).

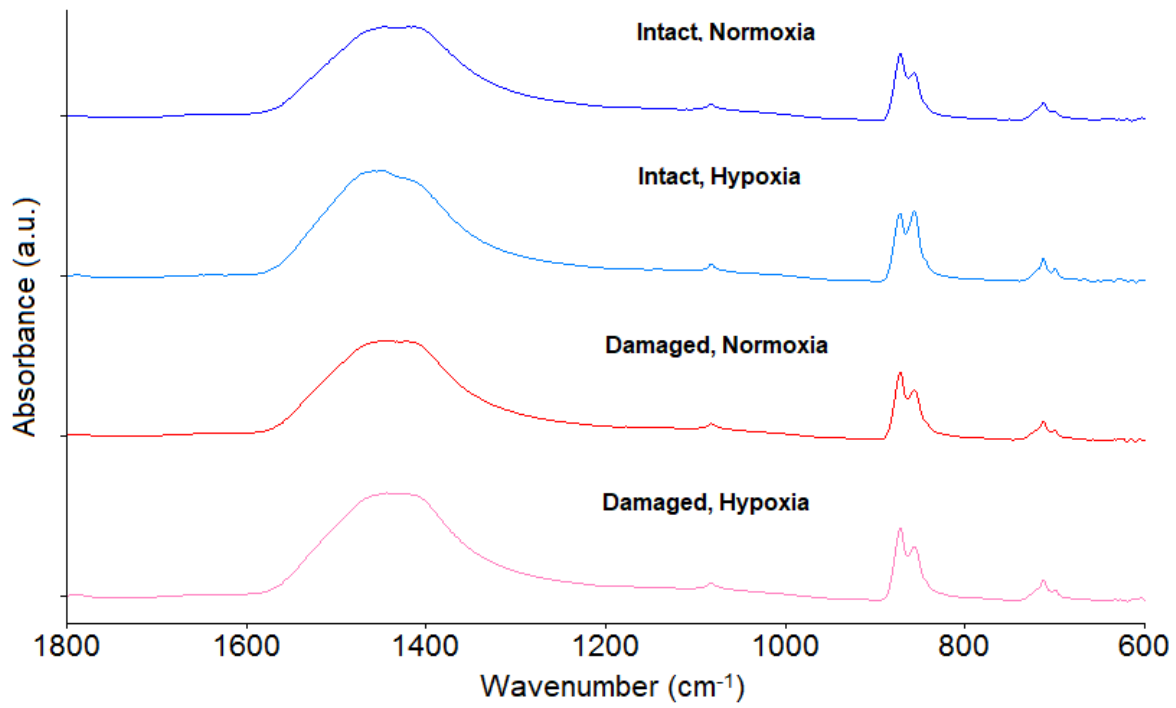


Figure A2 Infrared spectra for the newly-produced shells of *H. diramphus* growing under different treatment conditions.

Table A1 The seawater parameters under different treatment conditions throughout the exposure period (mean \pm S.D.). Dissolved oxygen concentration was daily measured using an optical dissolved oxygen probe (SOO-100, TauTheta Instruments, USA). pH was daily measured using a pH meter (HI 9025, HANNA Instruments, USA). Temperature and salinity were **measured daily** using a thermometer and refractometer, respectively. Total alkalinity was **measured weekly** using a titrator (HI 84431, HANNA Instruments, Germany). Saturation states (Ω) of calcite and aragonite were calculated using the CO2SYS program (Pierrot et al., 2006), with dissociation constants from Mehrbach et al. (1973) refitted by Dickson and Millero (1987).

	Intact, Normoxia	Intact, Hypoxia	Damaged, Normoxia	Damaged, Hypoxia
<u>Measured parameters</u>				
Dissolved oxygen (mg O ₂ L ⁻¹)	6.04 \pm 0.02	2.07 \pm 0.03	6.03 \pm 0.01	2.05 \pm 0.03
pH (NBS scale)	8.10 \pm 0.05	8.26 \pm 0.04	8.09 \pm 0.05	8.26 \pm 0.04
Temperature (°C)	28.2 \pm 0.08	28.2 \pm 0.09	28.2 \pm 0.08	28.2 \pm 0.10
Salinity (psu)	32.9 \pm 0.35	33.0 \pm 0.25	33.0 \pm 0.42	33.1 \pm 0.25
Total alkalinity (μ mol kg ⁻¹)	2241 \pm 8.96	2231 \pm 12.2	2241 \pm 9.11	2243 \pm 9.44
<u>Calculated parameters</u>				
C_T (μ mol kg ⁻¹)	1984 \pm 26.1	1885 \pm 27.9	1988 \pm 29.0	1895 \pm 24.3
HCO ₃ ⁻ (μ mol kg ⁻¹)	1784 \pm 40.2	1632 \pm 44.3	1790 \pm 45.0	1641 \pm 38.5
CO ₃ ²⁻ (μ mol kg ⁻¹)	187 \pm 16.1	244 \pm 17.6	184 \pm 18.3	246 \pm 15.4
Ω_{calcite}	4.61 \pm 0.40	6.01 \pm 0.43	4.54 \pm 0.45	6.05 \pm 0.38
$\Omega_{\text{aragonite}}$	3.04 \pm 0.26	3.98 \pm 0.29	3.01 \pm 0.30	4.01 \pm 0.25

Operation manual of titrator for total alkalinity:

<https://www.manualslib.com/manual/530078/Hanna-Instruments-Hi-84431.html#manual>

Operation manual of optical dissolved oxygen probe for dissolved oxygen concentration:

https://in-situ.com/wp-content/uploads/2015/05/Stable_Optical_Oxygen_System_-SOO-100_Manual.pdf

Table A2 PERMANOVA table showing the effects of dissolved oxygen (DO) and context on shell growth, fracture toughness, organic matter content, calcite/aragonite, Mg/Ca in calcite, relative ACC content, respiration rate and clearance rate.

	<i>df</i>	Mean square	Pseudo-F	<i>p</i>	Comparison of means
<u>Shell growth (Day 21)</u>					
DO	1	21.8	5.66	0.019	Normoxia > Hypoxia
Context	1	676	175	0.001	Damaged > Intact
DO × Context	1	0.163	0.042	0.838	
<u>Fracture toughness</u>					
DO	1	2.00×10^{-7}	0.119	0.734	
Context	1	1.97×10^{-5}	11.7	0.004	Damaged > Intact
DO × Context	1	1.69×10^{-7}	0.101	0.755	
<u>Organic matter content</u>					
DO	1	1.04	1.10	0.309	
Context	1	9.83	10.5	0.005	Damaged > Intact
DO × Context	1	0.022	0.024	0.880	
<u>Calcite/Aragonite</u>					
DO	1	0.070	11.0	0.017	Hypoxia > Normoxia
Context	1	1.14×10^{-3}	0.178	0.623	
DO × Context	1	8.84×10^{-3}	1.39	0.249	
<u>Mg/Ca in calcite</u>					
DO	1	0.018	16.0	0.004	Hypoxia > Normoxia
Context	1	6.92×10^{-4}	0.618	0.455	
DO × Context	1	4.83×10^{-4}	0.431	0.530	
<u>Relative ACC content</u>					
DO	1	0.355	6.02	0.047	Hypoxia > Normoxia
Context	1	0.199	3.37	0.104	
DO × Context	1	0.116	1.97	0.206	
<u>Respiration rate</u>					
DO	1	2.15×10^{-3}	4.36×10^3	0.001	Normoxia > Hypoxia
Context	1	8.52×10^{-6}	14.2	0.001	Intact > Damaged
DO × Context	1	7.40×10^{-8}	0.150	0.715	
<u>Clearance rate</u>					
DO	1	84.0	140	0.001	Within Intact: Normoxia > Hypoxia Within Damaged: Normoxia > Hypoxia
Context	1	89.1	148	0.001	Within Normoxia: Intact > Damaged Within Hypoxia: N.S.
DO × Context	1	57.2	95.5	0.001	