1 Large but decreasing effect of ozone on the European carbon

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Abstract

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The capacity of the terrestrial biosphere to sequester carbon and mitigate climate change is governed by the ability of vegetation to remove emissions of CO₂ through photosynthesis. Tropospheric O₃, a globally abundant and potent greenhouse gas, is, however, known to damage plants, causing reductions in primary productivity. Despite emission control policies across Europe, background concentrations of tropospheric O₃ have risen significantly over the last decades due to hemispheric-scale increases in O₃ and its precursors. Therefore, plants are exposed to increasing background concentrations, at levels currently causing chronic damage. Studying the impact of O₃ on European vegetation at the regional scale is important for gaining greater understanding of the impact of O₃ on the land carbon sink at large spatial scales. In this work we take a regional approach and update the JULES landsurface model using new measurements specifically for European vegetation. Given the importance of stomatal conductance in determining the flux of O₃ into plants, we implement an alternative stomatal closure parameterization and account for diurnal variations in O₃ concentration in our simulations. We conduct our analysis specifically for the European region to quantify the impact of the interactive effects of tropospheric O₃ and CO₂, and its interaction with CO₂, on gross primary productivity (GPP) and land carbon storage across Europe. A factorial set of model experiments showed that tropospheric O₃ can suppress terrestrial carbon uptake across Europe over the period 1901 to 2050. By 2050, simulated GPP was reduced by 4 to 9% due to plant O₃ damage and land carbon storage by 3 to 7%. The combined physiological effects of elevated future CO₂ (acting to reduce stomatal opening) and reductions in O₃ concentrations resulted in reduced O₃ damage in the future. This alleviation of O₃ damage by CO₂ induced stomatal closure was around 1 to 2% for both land carbon and GPP, depending on plant sensitivity to O₃ for low and high sensitivity respectively (on both land carbon and GPP). Reduced land carbon storage resulted from diminished soil carbon stocks consistent with the reduction in GPP. Regional variations are identified with larger impacts shown for temperate Europe (GPP reduced by 10 to 20%) compared to boreal regions (GPP reduced by 2 to 8%). These results highlight that O₃ damage needs to be considered when predicting GPP and land carbon, and that the effects of O₃ on plant physiology need to be considered in regional land carbon cycle assessments.

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1 Introduction

The terrestrial biosphere absorbs around 30% of anthropogenic CO₂ emissions and acts to mitigate climate change (Le Quéré et al., 2015). Early estimates of the European carbon balance suggest a terrestrial carbon sink of between 135 to 205 TgC yr⁻¹ (Janssens et al., 2003). Schulze et al. (2009) determined a larger carbon sink of 274 TgC yr⁻¹, and more recent estimates suggest a European terrestrial sink of between 146 to 184 TgC yr⁻¹ (Luyssaert et al., 2012). The carbon sink capacity of land ecosystems is dominated by the ability of vegetation to sequester carbon through photosynthesis and release it back to the atmosphere through respiration. Therefore, any change in the balance of these fluxes will alter ecosystem source-sink behaviour.

In recent decades much attention has focussed on the effects of rising atmospheric CO₂ on vegetation productivity (Ceulemans and Mousseau, 1994; Norby et al., 2005; Norby et al., 1999; Saxe et al., 1998). The Norby et al. (2005) synthesis of Free Air CO₂ Enrichment (FACE) experiments suggests a median stimulation (23 ± 2%) of forest NPP in response to a doubling of CO₂. Similar average increases (20%) were observed for C₃ crops, although this translated into smaller gains in biomass (17%) and crop yields (13%) (Long et al., 2006). Little attention, however, has been given to tropospheric ozone (O₃), a globally abundant air pollutant recognised as one of the most damaging pollutants for forests (Karlsson et al., 2007; Royal-Society, 2008; Simpson et al., 2014b). Tropospheric O₃ is a secondary air pollutant formed by photochemical reactions involving carbon monoxide (CO), volatile organic compounds (VOCs), methane (CH₄) and nitrogen oxides (NO_x) from both man-made and natural sources, as well as downward transport from the stratosphere and lightning which is a source of NO_x. The phytotoxic effects of O₃ exposure are shown to decrease vegetation productivity and biomass, with consequences for terrestrial carbon sequestration (Felzer et al., 2004; Loya et al., 2003; Mills et al., 2011b; Sitch et al., 2007). Few studies, however, consider the simultaneous effects of exposure to both gases, and few Earth-system models (ESMs) currently explicitly consider the role of tropospheric O₃ in terrestrial carbon dynamics (IPCC, 2013), both of which are important to understanding the carbon sequestration potential of the land-surface, and future carbon dynamics regionally and globally (Le Quéré et al., 2016; Sitch et al., 2015).

Due to increased anthropogenic precursor emissions over the industrial period, background concentrations of ground-level O₃ have risen (Vingarzan, 2004). <u>Background O₃ is generally defined as the O₃ pollution present in a region that is not attributed to local anthropogenic sources (Vingarzan, 2004).</u> -O₃ levels at the start of the 20th century are estimated to be around 10 ppb for the site Montsouris Observatory near Paris, data for Arkona on the Baltic coast increased from ca. 15 ppb in the 1950s to 20-27 ppb by the early 1980s, and the Irish coast site Mace Head shows around 40 ppb by the year 2000 (Logan et al., 2012;Parrish et al., 2012). Present day annual average background O₃ concentrations reported in the review of Vingarzan (2004) show O₃ concentrations range between approximately 20 and 45 ppb, with the greatest increase occurring since the 1950s. Trends vary from site to site though, even on a decadal basis (Logan et al., 2012;Simpson et al., 2014b), depending, for example, on local/regional trends in precursor (especially NOx) emissions, elevation, and exposure to long-range transport of O₃. Nevertheless, there is some indication that background O₃ levels over the mid-latitudes of the Northern Hemisphere have continued to rise at a rate of approximately 0.5–2% per year, although not uniform (Vingarzan, 2004). As a result of controls on precursor emissions in Europe and North America, peak O₃ concentrations in

these regions have decreased or stabilised over recent decades (Cooper et al., 2014;Logan et al., 2012;Parrish et al., 2012;Simpson et al., 2014b). Nevertheless, climate change may increase the frequency of weather events conducive to peak O₃ incidents in the future (e.g. summer droughts and heat-waves; e.g., (Sicard et al., 2013)), and may increase biogenic emissions of the O₃-precursors isoprene and NO_x, although such impacts are subject to great uncertainty (Simpson et al., 2014b;Young et al., 2013;Young et al., 2009). Intercontinental transport of air pollution from regions such as Asia that currently have poor emission controls are thought to contribute substantially to rising background O₃ concentrations over the last decades (Cooper et al., 2010;Verstraeten et al., 2015). Northern Hemisphere background concentrations of O₃ are now close to established levels for impacts on human health and the terrestrial environment (Royal-Society, 2008). Therefore, although peak O₃ concentrations are in decline across Europe, plants are exposed to increasing background levels, at levels currently causing chronic damage (Mills et al., 2011b). Intercontinental transport means future O₃ concentrations in Europe will be partly dependent on how O₃ precursor emissions evolve globally (Auvray and Bey, 2005;Derwent et al., 2015).

Rising backgroundElevated O₃ concentrations impact agricultural yields and nutritional quality of major crops (Ainsworth et al., 2012; Avnery et al., 2011), with consequences for global food security (Tai et al., 2014). As well as being a significant air pollutant, O₃ is a potent greenhouse gas (Royal Society, 2008). High Increasing background levels of O₃ are damaging to ecosystem health and reduce the global land carbon sink (Arneth et al., 2010;Sitch et al., 2007). Reduced uptake of carbon by plant photosynthesis due to O₃ damage allows more CO₂ to remain in the atmosphere. This effect of O₃ on plant physiology represents an additional climate warming to the direct radiative forcing of O₃, a potent greenhouse gas (Collins et al., 2010;Sitch et al., 2007), the magnitude of which, however, remains highly uncertain (IPCC, 2013).

Dry deposition of O₃ to terrestrial surfaces, primarily uptake by stomata on plant foliage and deposition on external surfaces of vegetation (Fowler et al., 2001;Fowler et al., 2009), is a large sink for ground level O₃ (Wild, 2007;Young et al., 2013). On entry to sub-stomatal spaces, O₃ reacts with other molecules to form reactive oxygen species (ROS). Plants can tolerate a certain level of O₃ depending on their capacity to scavenge and detoxify the ROS (Ainsworth et al., 2012). Above this critical level, long-term chronic O₃ exposure reduces plant photosynthesis and biomass accumulation (Ainsworth, 2008;Ainsworth et al., 2012;Matyssek et al., 2010a;Wittig et al., 2007;Wittig et al., 2009), either directly through effects on photosynthetic machinery such as reduced Rubisco content (Ainsworth et al., 2012;Wittig et al., 2009) and/or indirectly by reduced stomatal conductance (*g*₅) (Kitao et al., 2009;Wittig et al., 2007), alters carbon allocation to different pools (Grantz et al., 2006;Wittig et al., 2009) and changes plant susceptibility to biotic stress factors (Karnosky et al., 2002;Percy et al., 2002).

|139 The response of plants to O_3 is very wide ranging as reported in the literature from different field studies. The Wittig et al. (2007) meta-analysis of temperate and boreal tree species showed <u>raised O_3 concentrations future</u> concentrations of O_3 -predicted for 2050 significantly reduced leaf level light saturated net photosynthetic uptake (-19%, range: -3% to -28% at a mean O_3 concentration of 85 ppb) and g_s (-10%, range: +5% to -23% at a mean O_3 concentration of 91 ppb) in both broadleaf and needle leaf tree species. In the Feng et al. (2008) meta-analysis of wheat, projected O_3 concentrations for the future reduced aboveground biomass (-18% at a mean O_3

concentration of 70 ppb) photosynthetic rate (-20% at a mean O_3 concentration of 73 ppb) and g_s (-22% at a mean O₃ concentration of 79 ppb). One of few long-term field based O₃ exposure studies (AspenFACE) showed that after 11 years of exposing mature trees to elevated O₃ concentrations (mean O₃ concentration of 46 ppb), O₃ decreased ecosystem carbon content (-9%), and decreased NPP (-10%), although the O₃ effect decreased through time (Talhelm et al., 2014). Zak et al. (2011) showed this was partly due to a shift in community structure as O₃tolerant species, competitively inferior in low O₃ environments, out competed O₃-sensitivie species. GPP was reduced (-12% to -19%) at two Mediterranean ecosystems exposed to high ambient O₃ concentrations (ranging between 20 to 72 ppb across sites and through the year) studied by Fares et al. (2013). Biomass of mature beech trees was reduced (-44%) after 8 years of exposure to elevated O₃ (~150 ppb) (Matyssek et al., 2010a). After 5 years of O₃ exposure (ambient +20 to +40 ppb) in a semi-natural grassland, annual biomass production was reduced (-23%), and in a Mediterranean annual pasture O₃ exposure significantly reduced total aboveground biomass (up to -25%) (Calvete-Sogo et al., 2014). However, these were empirical studies at individual sites, and these focus on O₃ effects on plant physiology and productivity, but do not quantify the impact on the land carbon sink. Modelling studies are needed to scale site observations to the regional and global scales. Models generally suggest that plant productivity and carbon sequestration will decrease with O₃ pollution, though the magnitudes vary. For example, based on a limited dataset to parameterise plant O₃ damage for a global set of plant functional types, Sitch et al. (2007) predicted a decline in global GPP of 14 to 23% by 2100. A second study by Lombardozzi et al. (2015) similarly predicted a 10.8% decrease of present-day (2002-2009) global GPP globally. Here we take a regional approach and take advantage of the latest measurements showing changes in plant productivity with accumulated exposure to O₃ specifically for a range of European vegetation from different regions (CLRTAP 2017) with which to calibrate the JULES model for plant sensitivity to O₃, and conduct our analysis specifically for the European region.

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Understanding the response of plants to elevated tropospheric O₃ is challenged by the large variation in O₃ sensitivity both within and between species (Karnosky et al., 2007;Kubiske et al., 2007;Wittig et al., 2009). Additionally, other environmental stresses that affect stomatal behaviour will affect the rate of O₃ uptake and therefore the response to O₃ exposure, such as high temperature, drought and changing concentrations of atmospheric CO₂ (Mills et al., 2016;Fagnano et al., 2009;Kitao et al., 2009;Löw et al., 2006). Increasing concentrations of atmospheric CO₂, for example, are suggested to provide some protection against O₃ damage by causing stomata to close (Harmens et al., 2007;Wittig et al., 2007), however the long-term effects of CO₂ fertilisation on plant growth and carbon storage remain uncertain (Baig et al., 2015;Ciais et al., 2013). Further, in some studies, stomata have been shown to respond sluggishly, losing their responsiveness to environmental stimuli with exposure to O₃ which can lead to higher O₃ uptake, increased water-loss and therefore greater vulnerability to environmental stresses such as drought (Mills et al., 2016;Mills et al., 2009;Paoletti and Grulke, 2010;Wilkinson and Davies, 2009).

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Given the critical role g_s plays in the uptake of both CO₂ and O₃, we use an alternative representation and parameterisation of g_s in JULES by implementing the Medlyn *et al.* (2011) g_s formulation. This model is based on the optimal theory of stomatal behaviour and has advantages over the current JULES g_s formulation of Jacobs (1994) including i) a single parameter (g_1) compared to two parameters in Jacobs (1994), ii) the g_1 parameter is

related to the water-use strategy of vegetation and is easier to parameterise with commonly measured leaf or canopy level observations of photosynthesis, g_s and humidity, and (iii) values of g_1 are available for many different plant functional types (PFTs) derived from a global data set of leaf-level measurements (Lin et al., 2015).

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The main objective of this work is to assess the impact of historical and projected (1901 to 2050) changes in tropospheric O₃ and atmospheric CO₂ concentration on predicted GPP and the land-carbon sink for Europe. These are the two greenhouse gases that directly affect plant photosynthesis and g_s . We use a factorial suite of model experiments, using the Joint UK land environment simulator (JULES) (Best et al., 2011; Clark et al., 2011), the land-surface model of the UK Earth System Model (UKESM) (Collins et al., 2011) to simulate plant O₃ uptake and damage, and to investigate the impact of both O₃ and CO₂ on plant water-use and carbon uptake. In this work, the JULES model is re-calibrated using the latest observations of vegetation sensitivity to O₃, with the addition of a separate parameterisation for temperate/boreal regions versus the Mediterranean. The O₃ sensitivity of each PFT in JULES was re-calibrated for both a high and low sensitivity to account for uncertainty in the O₃ response, in part due to the observed variation in O₃ sensitivity between species. This includes O₃ sensitivities for agricultural crops (wheat - high sensitivity) versus natural grassland (low sensitivity), with separate sensitivities for Mediterranean grasslands. For forests JULES is parameterised with O₃ sensitivities for broadleaf and needle leaf trees (with a high and low O₃ sensitivity for both), with separate sensitivities (high and low) for Mediterranean broadleaf species. We make a separate distinction for the Mediterranean region where possible because the work of Büker et al. (2015) showed that the sensitivity of dominant Mediterranean trees to O₃ is different to temperate species different O₃ dose response relationships are needed to describe the O₃ sensitivity of dominant Mediterranean trees. In addition, we introduce an alternative g_s scheme into JULES as described above. JULES is forced with spatially varying daily O₃ concentrations from a high resolution atmospheric chemistry model for Europe that are disaggregated to hourly concentrations, therefore our simulations account for diurnal variations in O₃ concentration and O₃ responses allowing for improved estimates of O₃ uptake by vegetation. We do not attempt to make a full assessment of the carbon cycle of Europe, instead we target O₃ damage, which is currently a missing component in earlier carbon cycle assessments (Le Quéré et al., 2017; Sitch et al., 2015). To this end, we prescribe changing O₃ and CO₂ concentrations from 1901 to 2050, but use a fixed pre-industrial climate. We acknowledge the use of a 'fixed' pre-industrial climate omits the additional uncertainty of the interaction between climate change and g_s which will affect the rate of O_3 uptake and therefore O₃ concentrations. In addition, using uncoupled chemistry and climate is a further source of uncertainty. To understand the impact of these complex feedback mechanisms is an important area for future work, but in the current study our aim is to isolate the physiological response of plants to both O₃ and CO₂, and determine the sensitivity of predicted GPP and the land carbon sink to this process, as the impact of O₃ on the land carbon sink currently remains largely unknown at large spatial scales for Europe.

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2.1 Representation of O₃ effects in JULES

JULES calculates the land-atmosphere exchanges of heat, energy, mass, momentum and carbon on a sub-daily time step, and includes a dynamic vegetation model (Best et al., 2011;Clark et al., 2011;Cox, 2001). This work uses JULES version 3.3 (http://www.jchmr.org) at 0.5° x 0.5° spatial resolution and hourly model time step, the spatial domain is shown in Fig. S15. JULES has a multi-layer canopy radiation interception and photosynthesis scheme (10 layers in this instance) that accounts for direct and diffuse radiation, sun fleck penetration through the canopy, inhibition of leaf respiration in the light and change in photosynthetic capacity with depth into the canopy (Clark et al., 2011;Mercado et al., 2009). Soil water content also affects the rate of photosynthesis and g_s . It is modelled using a dimensionless soil water stress factor, β , which is related to the mean soil water concentration in the root zone, and the soil water contents at the critical and wilting point (Best *et al.*, 2011).

To simulate the effects of stomatal O₃ deposition on vegetation productivity and water use, JULES uses the fluxgradient approach of Sitch et al., (2007), modified to include non-stomatal deposition following Tuovinen et al. (2009). A similar approach is taken by Franz et al. (2017) in the OCN model, however plant O₃ damage is a function of accumulated O₃ exposure over time. In JULES, plant O₃ damage is instantaneous, because the impact of cumulative O₃ exposure on plant productivity has already been calibrated with observations (described below), the degree to which photosynthesis and g, are modified at each time step with O₃ exposure having already been calibrated against observations of the change in plant productivity with cumulative O₃ exposure for each PFT (i.e. O_3 dose response functions described later). JULES uses a coupled model of g_s and photosynthesis, the potential net photosynthetic rate $(A_p, \text{ mol CO}_2 \text{ m}^{-2} \text{ s}^{-1})$ is modified by an 'O₃ uptake' factor (F, the fractional F)reduction in photosynthesis), so that the actual net photosynthesis (A_{net}, mol CO₂ m⁻² s⁻¹) is given by equation 1 (Clark et al., 2011, Sitch et al., 2007). Because of the relationship between these two fluxes, the direct effect of O_3 damage on photosynthetic rate also leads to a reduction in g_3 . An alternative approach was taken by Lombardozzi et al. (2012) in the CLM model where photosynthesis and g_s are decoupled, so that O_3 exposure affects carbon assimilation and transpiration independently. In JULES, changes in atmospheric CO₂ concentration also affect photosynthetic rate and g_s, consequently the interaction between interactive effects of changing concentrations of both CO2 and O3 is allowed for.

$$248 A_{net} = A_p F (1)$$

The O_3 uptake factor (F) is defined as:

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$$F = 1 - a * max[F_{03} - F_{03crit}, 0.0]$$
 (2)

 F_{03} is the instantaneous leaf uptake of O₃ (nmol m⁻² s⁻¹), F_{O3crit} is a PFT-specific threshold for O₃ damage (nmol m⁻² PLA s⁻¹, projected leaf area), and 'a' is a PFT-specific parameter representing the fractional reduction of photosynthesis with O₃ uptake by leaves. Following Tuovinen et al. (2009), the flux of O₃ through stomata, F_{O3} , is represented as follows:

$$F_{O3} = O_3 \left(\frac{g_b \left(\frac{g_l}{K_{O3}} \right)}{g_b + \left(\frac{g_l}{K_{O3}} \right) + g_{ext}} \right)$$
(3a)

 O_3 is the molar concentration of O_3 at reference (canopy) level (nmol m⁻³), g_b is the leaf-scale boundary layer 261 262 conductance (m s⁻¹, eq 3b), g_l is the leaf conductance for water (m s⁻¹), K_{o3} accounts for the different diffusivity of ozone to water vapour and takes a value of 1.51 after Massman (1998), and gest is the leaf-scale non-stomatal 263 deposition to external plant surfaces (m s⁻¹) which takes a constant value of 0.0004 m s⁻¹ after Tuovinen et al. 264 265

(2009). The leaf-level boundary layer conductance (g_b) is calculated as in Tuovinen et al. (2009)

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$$267 g_b = \alpha L d^{-1/2} U^{-1/2} (3b)$$

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 α is a constant (0.0051 m s^{-1/2}), Ld is the cross-wind leaf dimension (m) defined per PFT as 0.05 for trees, 0.02 for grasses (C_3 and C_4) and 0.04 for shrubs, U is wind speed at canopy height (m s⁻¹). The rate of C_3 uptake is dependent on g_s , which is dependent on photosynthetic rate. Given g_s is a linear function of photosynthetic rate in JULES (Clark et al., 2011), from eq 1 it follows that:

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$$g_s = g_l F \tag{4}$$

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The O_3 flux to stomata, F_{O_3} , is calculated at leaf level and then scaled to each canopy layer differentiating sunlit and shaded leaf photosynthesis, and finally summed up to the canopy level. Because the photosynthetic capacity, photosynthesis and therefore g_3 decline with depth into the canopy, this in turn affects O_3 uptake, with the top leaf level contributing most to the total O₃ flux and the lowest level contributing least.

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2.2 Calibration of O₃ uptake model

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Here we use the latest literature on flux based O₃ dose-response relationships derived from observed field data across Europe (CLRTAP, 2017) to determine the key PFT-specific O₃ sensitivity parameters in JULES (a and Fo_{3crit}). Synthesis of information expressed as O₃ flux based dose-response relationships derived from field experiments is carried out by The United Nations Convention on Long-Range Transboundary Air Pollution (CLRTAP Convention), this information is then used as a policy tool to inform emission reduction strategies in Europe to improve air quality (CLRTAP, 2017; Mills et al., 2011a). Derivation of O₃ flux based dose-response relationships for different vegetation types uses the accumulated stomatal O₃ flux above a threshold (often referred to as the phytotoxic O₃ dose above a threshold of 'y' i.e. POD_v) as the dose metric, and the percentage change in biomass as the response metric (Emberson et al., 2007; Karlsson et al., 2007). We use these observation based O₃ dose-response relationships to calibrate each JULES PFT for sensitivity to O₃ using available relationships for the closest matching vegetation type. For JULES, Fo_{3crit} is the threshold for O_3 damage, and values for this parameter are taken from the O₃ dose-response relationships as the POD_y value (see CLRTAP, 2017 and Buker et al. 2015) for derivation of POD_y values). The actual sensitivity to O_3 is determined by the slope of the O_3 dose-response relationship, i.e. how much biomass changes with accumulated stomatal uptake of O₃ above the damage threshold, this relates to the parameter a in JULES. The parameter 'a' is a PFT-specific parameter representing the fractional reduction of photosynthesis with O_3 uptake by leaves. Values for this parameter are found for each PFT by running JULES with different values of 'a', which alter the instantaneous photosynthetic rate, but then calculating the accumulated stomatal flux of O_3 and the change in productivity, until the slope of this relationship produced by the JULES simulations matches that of the O_3 dose-response relationships derived from observations. Essentially we calibrate each JULES PFT for sensitivity to O_3 by reproducing the observation-based O_3 dose-response relationships.

Each PFT was calibrated for a high and low plant O₃ sensitivity to account for uncertainty in the sensitivity of different plant species to O₃, using the approach of Sitch et al., (2007). Therefore, when using our results to assess the impact of O₃ at the land surface, we are able to provide a range in our estimates to help address some of the uncertainty in the O₃ response of different vegetation types. In addition, where possible owing to available data, a distinction was made for Mediterranean regions. This was because the work of Büker et al. (2015) showed that different O₃ dose-response relationships are needed to describe the O₃ sensitivity of dominant Mediterranean trees. For the C₃ herbaceous PFT, the dominant land cover type across the European domain in this study (Fig. S2+), the high plant O₃ sensitivity was calibrated against observations for wheat to give a representation of agricultural regions and wheat is one of the most sensitive grasses to O_3 (Fig. S32, Table S1). For the low plant O_3 sensitivity JULES was calibrated against the dose-response function for natural grassland to give a representation of natural grassland and this vegetation has a much lower sensitivity to O₃ damage, for the Mediterranean region we used a function for Mediterranean natural grasslands, all taken from CLRTAP (2017) (Fig. S32, Table S1). Tree/shrub PFTs were calibrated against observed O₃ dose-response functions for the high plant O₃ sensitivity: broadleaf trees (temperate/boreal) = Birch/Beech dose-response relationship, broadleaf trees (Mediterranean) = deciduous oaks dose-response relationship, needle leaf trees = Norway spruce dose-response relationship, shrubs = Birch/Beech dose-response relationship, all from CLRTAP (2017) (Fig. S₂₂, Table S1). Data on O₃ dose-response relationships for different vegetation types is very limited, therefore for the low plant O₃ sensitivity calibration for trees/shrubs we assumed a 20% decrease in sensitivity to O₃ based on the difference in sensitivity between high and low sensitive tree species in the Karlsson et al. (2007) study. Due to limitations in data availability, the shrub parameterisation uses the observed dose-response functions for broadleaf trees. Similarly, the parameterisation for C₄ herbaceous uses the observed dose-responses for C₃ herbaceous, however the fractional cover of C₄ herbs across Europe is low (Fig. S24), so this assumption affects a very small percentage of land cover.

To calibrate the JULES O₃ uptake model, JULES was run across Europe forced using the WFDEI observational climate dataset (Weedon, 2013) at 0.5° X 0.5° spatial and three hour temporal resolution. JULES uses interpolation to disaggregate the forcing data down from 3 hours to an hourly model time step. The model was spun-up over the period 1979 to 1999 with a fixed atmospheric CO₂ concentration of 368.33 ppm (1999 value from Mauna Loa observations (Tans and Keeling, 2014), (Tans and Keeling)). Zero tropospheric ozone concentration was assumed for the control simulation, for the simulations with O₃, spin-up used spatially explicit fields of present day O₃ concentration produced using the UK Chemistry and Aerosol (UKCA) model with standard chemistry from the run evaluated by O'Connor et al. (2014). A fixed land cover map was used based on IGBP (International Geosphere-Biosphere Programme) land cover classes (IGBP-DIS), therefore as the vegetation distribution was fixed and the calibration was not looking at carbon stores, a short spin-up was adequate to equilibrate soil

temperature and soil moisture. JULES was then run for the year 2000 with a corresponding CO₂ concentration of 369.52 ppm (from Mauna Loa observations (Tans and Keeling, 2014), (Tans and Keeling)) and monthly fields of spatially explicit tropospheric O₃ (O'Connor et al., 2014) as necessary.

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Calibration was performed using four simulations: with i) zero tropospheric O₃ concentration, this was the control simulation (control), ii) tropospheric O₃ at current ambient concentration (O₃), iii) ambient +20 ppb (O₃+20) and iv) ambient +40 ppb (O3+40). The different O₃ simulations (i.e. O₃, O3+20 and O3+40) were used to capture the range of O₃ conditions in the data used in the observation-based O₃ dose-response relationships used in this study for calibration, often data were from experiments using artificially manipulated conditions of ambient + 40 ppb O_3 for example. For each JULES O_3 simulation, the value of F_{O3crit} was taken from the vegetation specific O_3 dose-response relationship as the threshold O₃ concentration above which damage to vegetation occurs. An initial estimate of the parameter 'a' was used, then for each PFT and each simulation, hourly estimates of NPP (our proxy for biomass – although not identical they are related) and O_3 uptake in excess of F_{O3crit} were accumulated over a PFT dependent accumulation period. The accumulation periods were ~6 months for broadleaf trees and shrubs, all year for needle leaf trees, and ~3 months for herbaceous species, through the growing season, following guidelines in CLRTAP (2017). Additionally, in accordance with the methods used in the CLRTAP (2017) that describe how the O₃ dose-response relationships are derived from observations, we use the stomatal O₃ flux per projected leaf area to top canopy sunlit leaves. The percentage change in total NPP was calculated for each O₃ simulation and plotted against the cumulative uptake of O₃ over the PFT-specific accumulation period. The linear regression of this relationship was calculated, and slope and intercept compared against the slope and intercept of the observed dose-response relationships. Values of the parameter 'a' were adjusted, and the procedure repeated until the linear regression through the simulation points matched that of the observations (Fig. S32, Table S1).

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2.3 Representation of stomatal conductance and site level evaluation

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In JULES, g_s (m s⁻¹) is represented following the closure proposed by (Jacobs, 1994):

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$$g_s = 1.6RT_l \frac{A_{net}\beta}{c_\alpha - c_i}$$
 (5)

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In this parameterisation, c_i is unknown and in the default JULES model is calculated as in equation 6, hereafter called JAC:

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$$c_i = (c_a - c_*) f 0 \left(1 - \frac{dq}{dqcrit} \right) + c_*$$
 (6)

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 β is a soil moisture stress factor, the factor 1.6 accounts for g_s being the conductance for water vapour rather than CO₂, R is the universal gas constant (J K⁻¹ mol⁻¹), T_i is the leaf surface temperature (K), c_a and c_i (both Pa) are the leaf surface and internal CO_2 partial pressures, respectively, c_* (Pa) is the CO_2 photorespiration compensation point, dq is the humidity deficit at the leaf surface (kg kg⁻¹), dq_{crit} (kg kg⁻¹) and f_0 are PFT specific parameters

representing the critical humidity deficit at the leaf surface, and the leaf internal to atmospheric CO_2 ratio (c_i/c_a) at the leaf specific humidity deficit (Best *et al.* 2011), values are shown is Table S1.

In this work, we replace equation 6 with the closure described in Medlyn et al. (2011), using the key PFT specific model parameter g_I (kPa^{0.5}), and dq is expressed in kPa, shown in eq 7, hereafter called MED:

$$382 c_i = c_a \left(\frac{g_1}{g_1 + \sqrt{dq}} \right) (7)$$

PFT specific values of the g_I parameter were derived for European vegetation from the data base of Lin et al. (2015) and are shown in Table S1. The g_I parameter represents the sensitivity of g_s to the assimilation rate, i.e. plant water use efficiency, and was derived as in Lin et al. (2015) by fitting the Medlyn *et al.*, (2011) model to observations of g_s , photosynthesis, and VPD, assuming an intercept of zero-with no g_g term.

The impact of g_s model formulation (JAC versus MED) on simulated water, O_3 , carbon and energy fluxes is compared for two contrasting grid points - wet (low soil moisture stress) and dry (high soil moisture stress) in the European domain. JULES was spun-up for 20 years (1979-1999) at two grid points in central Europe representing a wet (low soil moisture stress, lat: 48.25; lon:, 5.25) and a dry site (high soil moisture stress, lat: 38.25; lon:, -7.75). The modelled soil moisture stress factor (fsmc) at the wet site ranged from 0.8 to 1.0 over the year 2000 (1.0 indicates no soil moisture stress), and at the dry site fsmc steadily declined from 0.8 at the start of the year to 0.25 by the end of the summer. The WFDEI meteorological forcing dataset was used (Weedon, 2013), along with atmospheric CO₂ concentration for the year 1999 (368.33 ppm), and either no O₃ (i.e. the O₃ damage model was switched off) for the control simulations, or spatially explicit fields of present day O₃ concentration produced using the UK Chemistry and Aerosol (UKCA) model from the run evaluated by O'Connor et al. (2014) for the simulations with O₃. Following the spin-up period, JULES was run for one year (2000) with corresponding atmospheric CO₂ concentration, and tropospheric O₃ concentrations as described above. The control and O₃ simulations were performed for both JAC and MED model formulations. Land cover for the spin-up and main run was fixed at 20% for each PFT. For the simulations including O₃ damage, the high plant O₃ sensitivity parameterisation was used. The difference between these simulations was used to assess the impact of g_s model formulation on the leaf level fluxes of carbon and water. We calculate and report (results section 3.1) the difference in mean annual water-use that results from the above simulations using the different g_s models. For each day of the simulation we calculate the percentage difference in water-use between the two simulations, we then calculate the mean and standard deviation over the year to give the annual mean leaf-level water-use.

Site level evaluation of the two g_s models compared to FLUXNET observations was carried out to evaluate the seasonal cycles of latent and sensible heat using the two g_s models JAC and MED compared to observations. Seven Fluxnet towers were selected to represent a range of land cover types as shown in Table S2. JULES was setup for each site using observed site-level hourly meteorology, and the vegetation cover was prescribed according to the fractional covers of the different JULES surface types shown in Table S2. Following a spin-up period, simulations were run at each site for the years shown in Table S2.

2.4 Model simulations for Europe

2.4.1 Forcing datasets

We used the WATCH meteorological forcing data set (Weedon et al., 2010; Weedon et al., 2011) at 0.5° x 0.5° spatial and three hour temporal resolution for our JULES simulations. JULES interpolates this down to an hourly model time step. For this study, the climate was kept constant by recycling over the period 1901 to 1920, to allow us to focus on the impact of O_3 , CO_2 and their interactive effectsion.

JULES was run with prescribed annual mean atmospheric CO₂ concentrations. Pre-industrial global CO₂ concentrations (1900 to 1960) were taken from Etheridge et al. (1996), 1960 to 2002 were from Mauna Loa (Keeling and Whorf, 2004), as calculated by the Global Carbon Project (Le Quéré et al., 2016), and 2003-2050 were based on the IPCC SRES A1B scenario and were linearly interpolated to gap fill missing years (Fig. 1).

JULES was run including dynamic vegetation with a land cover mask giving the fraction of agriculture in each 0.5° x 0.5° grid cell based on the Hurtt et al. (2011) land cover database for the year 2000. The agricultural mask is fixed and does not change over the simulation period. This means that whilst the model is allowed to evolve its own vegetation cover outside of the agricultural mask, within the agricultural mask only C₃/C₄ herbaceous PFTs are allowed to grow, with no competition from other PFTs. Therefore, through the simulation period, regions of agriculture are maintained as such and not out-competed by forests for example, allowing for a more accurate representation of the land cover of Europe in the model. No form of land management is simulated (i.e. no crop harvesting, ploughing, rotation or grazing), growth and leaf area index (LAI) are determined by resource availability and phenology. Outside of the agricultural mask, dynamic vegetation means that grid cell PFT coverage and LAI are the result of resource availability, phenology and simulated competition. Across the model domain, simulated mean annual LAI was dominantly within the range of 2 to 5 m²/m² (Fig. S43 and S54). Following a full spin-up period (to ensure equilibrium vegetation, carbon and water states), there was no significant change in the fractional cover of each PFT over the simulation period (1901 - 2050). By 2050, increases in boreal forest cover occurred, but this was less than 2% and limited to very small areas, given this small change we show just the land cover for 2050 in Fig. S24.

Tropospheric O₃ concentration was produced by the EMEP MSC-W model at 0.5° x 0.5° (Simpson et al., 2012), driven with meteorology from the regional climate model RCA3 (Kjellström et al., 2011;Samuelsson et al., 2011), which provides a downscaling of the ECHAM A1B-r3 (simulation 11 of Kjellström *et al.*, 2011). This setup (EMEP+RCA3) is also used by Langner et al. (2012a), Simpson et al. (2014a), Tuovinen et al. (2013), Franz et al. (2017) and Engardt et al. (2017), where further details and model evaluation can be found. Unfortunately, the 3-dimensional RCA3 data needed by the EMEP model was not available prior to 1960, but as in Engardt et al. (2017) the meteorology of 1900-1959 had to be approximated by assigning random years from 1960 to 1969. This procedure introduces some uncertainty of course, although Langner et al. (2012b) show that for the period 1990 to 2100 it is emissions change, rather than meteorological change, that drives modelled O₃ concentrations. The

emissions scenarios for 1900-2050 merge data from the International Institute of Applied System Analysis (IIASA) for 2005-2050 (the so-called ECLIPSE 4a scenario), recently revised EMEP data for 1990, and a scaling back from 1990 to 1900 using data from Lamarque et al. (2013). The trend in emissions of the major O₃ precursors NO_x, NMVOC and Isoprene are shown from 1900 to 2050 over Europe in Fig. S<u>6</u>5. Isoprene emissions are not inputs to the EMEP model, but rather calculated at each time-step using temperature, radiation, and land-cover specific emission factors (Simpson et al., 2012). Changes in the assumed background concentration of CH₄ (from RCP6.0) (van Vuuren et al., 2011) are also shown in Fig. S<u>6</u>5. Engardt et al. (2017) show the trend in emissions of SO₂ and NH₃ from 1900 to 2050 over Europe. The EMEP model accounts for changes in BVOC emissions as a result of predicted ambient temperature changes.

O₃ concentrations from EMEP MSC-W were calculated at canopy height for two land-cover categories: forest and grassland (Fig. S₂6 and Fig. S₈7), which are taken as surrogates for high and low vegetation, respectively. These canopy-height specific concentrations allow for the large gradients in O₃ concentration that can occur in the lowest 10s of metres, giving lower O₃ for grasslands than seen at e.g. 20 m in a forest canopy (Gerosa et al., 2017;Simpson et al., 2012;Tuovinen et al., 2009). These canopy level O₃ concentrations are used as input to JULES, using the EMEP O₃ concentrations for forest for the forest JULES PFTs (broadleaf/needle leaf tree and shrub), and the EMEP O₃ concentrations for grassland for the grass/herbaceous JULES PFTs (C₃ and C₄). This study used daily mean values of tropospheric O₃ concentration from EMEP disaggregated down to the hourly JULES model time-step. The daily mean O₃ forcing was disaggregated to follow a mean diurnal profile of O₃, this was generated from hourly O₃ output from EMEP MSC-W for the two land cover categories (forest and grassland as described above) across the same model domain. O₃ concentrations follow a diurnal cycle and peak during the day, therefore accounting for the diurnal variation in O₃ concentrations allows for a more realistic estimation of O₃ uptake.

Figure 1 shows large increases in tropospheric O₃ from pre-industrial to present day (2001), this is in line with modelling studies (Young et al., 2013) and site observations (Derwent et al., 2008;Logan et al., 2012;Parrish et al., 2012), and is predominantly a result of increasing anthropogenic emissions (Young et al., 2013). Figures S₂₆ and S₈₇ show this large increase in ground-level O₃ concentrations from 1901 to 2001 occurs in all seasons. Present day O₃ concentration show a strong seasonal cycle, with a spring/summer peak in concentrations in the mid-latitudes of the Northern Hemisphere (Derwent et al., 2008;Parrish et al., 2012;Vingarzan, 2004). Seasonal cycles have been changing over the past decades however, attributed to changes in NO_x and other emissions, as well as changes in transport patterns (Parrish et al., 2013). These changes will likely continue in future as emissions and meteorological factors impact photo-chemical O₃ production and transport patterns. Indeed, the O₃ concentrations used in the simulations in this study show increased O₃ levels in winter and in some regions in autumn and spring in 2050 compared to present day, this may be due to reduced titration of O₃ by NO as a result of reduced NO_x emissions in the future (Royal Society, 2008). Summer O₃ concentrations are lower in 2050 however, compared to 2001.

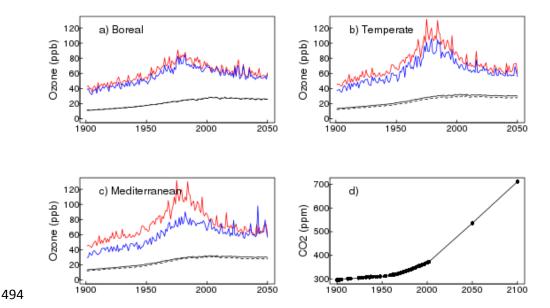


Figure 1. Regional time series of canopy height O₃ (ppb) forcing from EMEP a) to c), and d) global atmospheric CO₂ (ppm) concentration (this does not vary regionally; black dots show data points, the black line shows interpolated points). Each panel for the O₃ forcing shows the regional annual average (woody PFTs, black solid line; herbaceous PFTs, black dashed line) and the annual maximum O₃ concentration above: woody PFTs (red) and herbaceous PFTs (blue).

2.4.2 Spin up and factorial experiments

JULES was spun-up by recycling the climate from the early part of the twentieth century (1901 to 1920) using atmospheric CO_2 (296.1 ppm) and O_3 concentrations from 1901 (Fig. S₂₃ & Fig. S₈₄). Model spin-up was 2000 years by which point the carbon pools and fluxes were in steady state with zero mean net land – atmosphere CO_2 flux. We performed the following transient simulations for the period 1901 to 2050 with continued recycling of the climate as used in the spin-up, for both high and low plant O_3 sensitivities:

run_O3 : Fixed 1901 CO₂, Varying O₃
 run_CO2 : Varying CO₂, Fixed 1901 O₃
 run_both_CO2+O3 : Varying CO₂, Varying O₃

We use these simulations to investigate the direct effects of changing atmospheric CO_2 and O_3 concentrations, individually and combined, on plant water-use, GPP and the land C sink through the twentieth century and into the future, specifically over three time periods: historical (1901-2001), future (2001-2050) and over the full time series (1901-2050). For each time period we calculate the difference between the decadal means calculated at the start and end of the analysis period for each variable of interest. Therefore our results report the change in GPP, for example, over the analysis period. For each variable analysed (GPP, NPP, vegetation carbon, soil carbon, total land carbon and gs), we use the mean over 10 years to represent each time period, e.g. the mean over 2040 to 2050 is what we call 2050, 1901 to 1910 is what we refer to as 1901. The difference between the simulations gives the effect of O_3 and CO_2 either separately or in combination over the different time periods. We look at the percentage

change due to either O_3 at pre-industrial CO_2 concentration (i.e. without the additional effect of atmospheric CO_2 on stomatal behaviour — run_O3-simulation), CO_2 (at fixed pre-industrial O_3 concentration, run_CO2-simulation) or the combined effect of both gases (run_both_CO2+O3-simulation), e.g. $100 * (varO_3[2050] - varO_3[1901]) / varO_3[1901]$ gives the O_3 effect (at fixed CO_2) over the full experimental period. The meteorological forcing is prescribed in these simulations and is therefore the same between the model runs. Other climate factors, such as VPD, temperature and soil moisture availability are accounted for in our simulations, but our analysis isolates the effects of O_3 , CO_2 and $O_3 + CO_2$. We also use paired t-test to determine statistically significant differences between the different (high and low) plant O_3 sensitivities.

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- 531 $\frac{100 * (var[y_1] var[y_2]) / var[y_2]}{}$ (
- Where var[y_{*}] represents the variable in time period y,
- **2.4.3 Evaluation**
 - To evaluate our JULES simulations we compare mean GPP from 1991 to 2001 for each of the JULES scenarios and both high and low plant O₃ sensitivities against the observation based globally extrapolated Flux Network model tree ensemble (MTE) (Jung et al., 2011). We use paired t-test to determine statistically significant differences in the mean responses.

539 3 Results

3.1 Impact of g_s model formulation and site level evaluation

The impact of g_s model on simulated g_s is shown for the site with low soil moisture stress (wet site, Fig. 2). For the broadleaf tree and C₃ herbaceous PFT, the MED model simulates a larger conductance compared to the JAC model. In other words, with the MED model these two PFTs are parameterised with a less conservative water use strategy, which, for the grid point shown in Fig. 2, increased the annual mean water use by 35% (±29%) and 45% (±32%), respectively. In contrast, the needle leaf tree, C₄ herbaceous and shrub PFTs are parameterised with a more conservative water use strategy with the MED model, and the mean annual g_s was decreased by 13% (\pm 12%), 27% (±10%) and 36% (±13%), respectively, compared to the JAC model. This comparison was also done for a dry site (high soil moisture stress), and similar results were found (Fig. S28). The effect of g_s formulation on simulated photosynthesis was much smaller because of the lower sensitivity of the limiting rates of photosynthesis to changes in c_i in the model compared to the effect of the same change in c_i on modelled g_s (Fig. S109 & S1114). Changes in g_s impact the partitioning of simulated energy fluxes. In general, increased g_s results in increased latent heat and thus decreased sensible heat flux, and vice versa where g_s is decreased (Fig. S109 & S11140). Also shown is the effect of the MED model on O₃ flux into the leaf (Fig. S1244 and Fig. S98 bottom panel). For the broadleaf tree and C₃ herbaceous PFT, the MED model simulates a larger conductance and therefore a greater flux of O₃ through stomata compared to JAC, and this is indicative of the potential for greater reductions in photosynthesis (Fig. S109 & S1110 top row). The reverse is seen for the needle leaf tree, C₄ herbaceous and shrub PFTs.

Site level evaluation of the seasonal cycles of latent and sensible heat with both JAC and MED models compared to FLUXNET observations showed in general, the MED model improved the seasonal cycle of both fluxes (lower RMSE), but the magnitude of this varied from site to site (Fig. S1312). At the deciduous broadleaf site, US-UMB, MED resulted in improvements of the simulated seasonal cycle particularly in the summer months for both fluxes (RMSE decreased from 42.7/31.5 to 38.5/28.0 W/m² for latent/sensible heat respectively). At the second deciduous broadleaf site IT-CA1 however, there was almost no difference between the two g_s models. Both evergreen needle leaf forest sites (FI-Hyy and DE-Tha) saw improvements in the simulated seasonal cycles of latent and sensible heat with the MED model, primarily as a result of lower latent heat flux in the spring and summer months, and higher sensible heat flux over the same period. At FI-Hyy, RMSE decreased from 10.1/7.4 to 6.7/6.7 W/m² for latent/sensible heat respectively, and at DE-Tha, RMSE decreased from 16.0/11.9 to 10.5/10.6 W/m² for latent/sensible heat respectively. With the MED model the monthly mean latent heat flux was improved at the C₃ grass site (CH-Cha) as a result of increased flux in the summer months (RMSE decreased from 15.7 to 13.8 W/m²), however there was no improvement in the sensible heat flux and RMSE with MED was increased (from 3.9 to 4.9 W/m²). At the C₄ grass site (US-SRG), small improvements were made in the seasonal cycle of both latent and sensible heat with the MED model. At the deciduous savannah site (CG-Tch) which included a high proportion of shrub PFT in the land cover type used in the site simulation, large improvements in the seasonal cycle of both fluxes were simulated with the MED model, as a result of a decrease in the latent heat flux and an increase in the sensible heat flux (RMSE decreased from 39.5/31.6 to 30.4/24.4 W/m² for latent/sensible heat respectively).

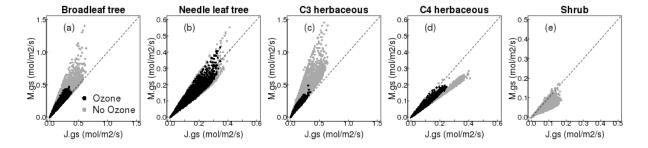


Figure 2. Comparison of simulated g_s with MED (y axis) versus JAC (x axis) for all five JULES PFTs at one grid point (lat: 48.25; lon:, 5.25) shown are hourly values for the year 2000 (see SI section S3 for further details).

3.2 Evaluation of the JULES O₃ model

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590 |591 For all JULES scenarios similar spatial patterns of GPP are simulated compared to MTE (Fig. 3 and Fig. S<u>1413</u>). MTE estimates a mean GPP for present day in Europe of 938 gC m² yr⁻¹ (Fig. 3). JULES tends to under-predict GPP relative to the MTE product, estimates of GPP from JULES with both transient CO₂ and O₃ (<u>run_both_CO2+O3-simulation</u>) gives a mean across Europe of 813 gC m² yr⁻¹ (high plant O₃ sensitivity) to 881 gC m² yr⁻¹ (low plant O₃ sensitivity), both of which are significantly different to the MTE product (t=27, d.f.=5750, $p<2.2e^{-16}$ (high); t=4.3, d.f.=5750, $p<1.5e^{-05}$ (low); Fig. 3). Forcing with CO₂ alone (<u>run_CO2-simulation</u>) gives a mean GPP across Europe of 900 to 923 gC m² yr⁻¹ (high and low plant O₃ sensitivity respectively), and O₃ alone (<u>run_O3-simulation</u> - without the protective effect of CO₂) reduces estimated GPP to 732 to 799 gC m² yr⁻¹ (Fig.

S1413). At latitudes >45°N JULES has a tendency to under-predict MTE-GPP, and at latitudes <45 °N JULES tends to over-predict MTE-GPP (Fig. S1514). These regional differences are highlighted in Fig. S1615, where in the Mediterranean region, JULES tends to over-predict compared to MTE-GPP, so simulations with O_3 reduce the simulated GPP bringing it closer to MTE. In the temperate region however, JULES tends to under-estimate MTE-GPP, so the addition of O_3 reduces simulated GPP further (Fig. S1615). In the boreal region, JULES under-predicts GPP, but to a lesser extent than in the temperate region, and the addition of O_3 has less impact on reducing the GPP further (Fig. S1615).



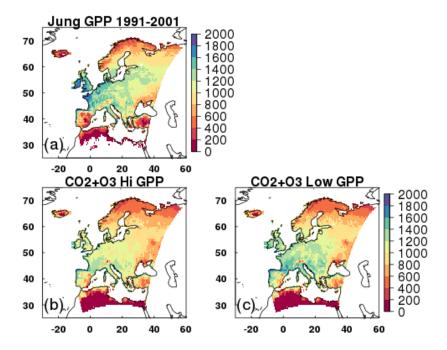


Figure 3. Mean GPP (g C m² yr⁻¹) from 1991 to 2001 for a) the observationally based globally extrapolated Flux Network model tree ensemble (MTE) (Jung *et al.*, 2011); b, c) model simulations with transient CO₂ and transient O₃ (<u>run_both_</u>CO2+O3), high and low plant O₃ sensitivity respectively.

3.3 European simulations - Historical Period: 1901-2001

Over the historical period (1901-2001), $\underline{\text{run}}_{O_3}$ (O3 simulation) reduced GPP under both the low and high plant O₃ sensitivity parameterizations by -3% to -9% respectively (Table 1), and this difference in simulated GPP was significant (t=102.2, d,f.=6270, p<2.2e⁻¹⁶). Figure 4 highlights regional variations, however, where simulated reductions in GPP are up to 20% across large areas of Europe, and up to 30% in some Mediterranean regions under the high plant O₃ sensitivity. Some Boreal and Mediterranean regions show small increases in GPP over this period, associated with O₃ induced stomatal closure enhancing water availability in these drier regions (Fig. 5). This allows for greater stomatal conductance later in the year when soil moisture may otherwise have been limiting to growth (up to 10%, Fig. 5), and therefore higher GPP, but these regions comprise only a small area of

the entire domain. Indeed, over much of the Europe, O_3 -induced stomatal closure led to reduced g_s (up to 20%) across large areas of temperate Europe and the Mediterranean, and even greater reductions in some smaller regions of southern Mediterranean (Fig. 6), and these are not associated with notable increases in soil moisture availability (Fig. 5), resulting in depressed GPP over much of Europe as described above. Under the low plant O_3 sensitivity, similar spatial patterns occur, but the magnitude of GPP change (up to -10% across much of Europe) and g_s change (-5% to -10%) are lower compared to the high sensitivity. Over the twentieth century the land carbon sink is suppressed (-2% to -6%, Table 1). Large regional variation is shown in Figure 4, with temperate and Mediterranean Europe seeing a large reduction in land carbon storage, particularly under the high plant O_3 sensitivity (up to -15%).

Combined, the physiological response to changing CO₂ and O₃ concentrations (<u>run_both_CO2+O3-simulation</u>) results in a net loss of land carbon over the twentieth century under the high plant O₃ sensitivity (-2%, Table 1), dominated by loss of soil carbon (Table S3). This reflects the large increases in tropospheric O₃ concentration observed over this period (Fig. 1). Under the low plant O₃ sensitivity, the land carbon sink has started to recover by 2001 (+1.5%) owing to the recovery of the soil carbon pool beyond 1901 values over this period (Table S3).

To gain perspective on the magnitude of the O₃ induced flux of carbon from the land to the atmosphere we relate changes in total land carbon to carbon emissions from fossil fuel combustion and cement production for the EU-28-plus countries from the data of Boden et al. (2013). We recognise that our simulation domain is slightly larger than the EU28-plus as it includes a small area of western Russia so direct comparisons cannot be made, but this still provides a useful measure of the size of the carbon flux. For the period 1970 to 1979 the simulated loss of carbon from the European terrestrial biosphere due to O₃ effects on vegetation physiology was on average 1.32 Pg C (high vegetation sensitivity) and 0.71 Pg C (low vegetation sensitivity) (Table 2). This O₃ induced reduced C uptake of the land surface is equivalent to around 8% to 16% of the emissions of carbon from fossil fuel combustion and cement production over the same period for the EU28-plus countries (Table 2). Currently the emissions data availability goes up to 2011, over the last observable decade (2002 to 2011) the simulated reduction in land carbon due to O₃ has declined, but is still equivalent to 2% to 4% of the emissions of carbon from fossil fuels and cement production for the EU28-plus countries (Table 2). By comparison with one of the largest anthropogenic emissions of carbon for Europe, we show here the potential effect of O₃ on reducing the size of the European land carbon sink is notable.

3.4 European simulations - Future Period: 2001-2050

Over the 2001 to 2050 period, region-wide GPP with O₃ only changing (<u>run_O3-simulation</u>) increased marginally (+0.1% to +0.2%, high and low plant O₃ sensitivity, Table 1, with a significant difference between the two plant O₃ sensitivities (*t*=57, *d.f.*=6270 *p*<2.2e⁻¹⁶)), although with large spatial variability as discussed below (Fig. 4g & h). Figures S<u>76</u> and S<u>87</u> show that despite decreased tropospheric O₃ concentrations by 2050 in summer compared to 2001 levels, all regions are exposed to an increase in O₃ over the wintertime, and some regions of Europe, particularly temperate/Mediterranean experience increases in O₃ concentration in spring and autumn. Therefore, although in the O₃ simulation, overall simulated GPP for Europe shows a small increase, large spatial variability

is shown in Fig's 4g &h because of the variability in O₃ concentration with region and season. Increased GPP (dominantly 10%, but up to 20% in some areas) on 2001 levels is simulated across areas of Europe, however, decreases of up to 21% are simulated in some areas of the Mediterranean, up to 15% in some areas of the boreal region and up to 27% in the temperate zone (Fig. 4g & h).

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When O₃ and CO₂ effects are combined (<u>run_both_CO2+O3-simulation</u>), simulated GPP increases (+15% to +18%, high/low plant O₃ sensitivities respectively, Table 1). This increase is greater than the enhancement simulated when CO₂ affects plant growth independently (<u>run_CO2-simulation</u>), because additional O₃ induced stomatal closure increases soil water availability in some regions, which enhances growth more in the <u>run_both_CO2+O3-simulation</u>, compared to the <u>run_CO2-simulation</u>. Nevertheless, although the percentage gain is larger, the absolute value of GPP by 2050 remains lower in <u>run_both_CO2+O3</u> compared to GPP in the <u>run_CO2-simulations</u>, highlighting the negative impact of O₃ at the land surface (Table S4).

Despite small increases in GPP in the run_O3-simulation, the land carbon sink continues to decline from 2001 levels (-0.7% to -1.6%, low and high plant O₃ sensitivity respectively, Table 1). This is because the soil and vegetation carbon pools continue to lose carbon as they adjust slowly to small changes in input (GPP), i.e. the soil carbon pool is not in equilibrium in 2001, and is declining in response to reduced litter input as a result of 20th C O₃ impacts on GPP. Nevertheless, the negative effect of O₃ on the future land sink is markedly reduced relative to the historical period. Figure 4e & f however highlights regional differences. Boreal regions and parts of central Europe see minimal O₃ damage, whereas some areas of southern and northern Europe see further losses of up to 8% on 2001 levels. The run_both_CO2+O3 simulation isare dominated by the physiological effects of changing CO₂, with land carbon sink increases of up to 7% (Table 1).

3.5 European simulations – Full experimental period: 1901-2050

From 1901 to 2050, the run_O3 simulation reduces GPP (-4% to -9%, with a significant difference between the low and high plant O3 sensitivity (*t*=95, *d.f.*=6270 *p*<2.2e⁻¹⁶)) and land carbon storage (-3% to -7%, Table 1). Regionally, O3 damage is lowest in the boreal zone, GPP decreases are largely between 5% to 8% / 2% to 4% for the high/low plant O3 sensitivity respectively, with large areas minimally affected by O3 damage (Figure 7), consistent with lower g5 of needle leaf trees that dominate this region, and so lower O3 uptake (Fig. S1716 & S1817). In the temperate region, O3 damage is extensive with reductions in GPP dominantly from 10% to 15% for the low and high plant O3 sensitivity respectively. Across significant areas of this region reductions in GPP are up to 20% under high plant O3 sensitivity (Figure 7). In the Mediterranean region, O3 damage reduces GPP by 5% to 15% / 3% to 6% for the high/low plant O3 sensitivity respectively, with some areas seeing greater losses of up to 20% under the high plant O3 sensitivity, but this is less extensive than that seen in the temperate zone (Figure 7). In these drier regions, O3 induced stomatal closure can increase available soil moisture (Fig. S1716 & S1817).

The <u>run_both_CO2+O3</u> simulation shows that CO₂ induced stomatal closure can help alleviate O₃ damage by reducing the uptake of O₃ (Table S6). In these simulations, CO₂-induced stomatal closure was found to offset O₃-suppression of GPP, such that GPP by 2050 is 3% to 7% lower due to O₃ exposure (<u>run_both_CO2+O3</u>), rather

than 4% to 9% lower in the absence of increasing CO₂ (<u>run_O3_simulation</u>, Table S6). Figure 6 shows this spatially, O₃ damage is reduced when the effect of atmospheric CO₂ on stomatal closure is accounted for, however despite this, the land carbon sink and GPP remain significantly reduced due to O₃ exposure.

From 1901 to 2050, the run both CO2+O3 simulation results in an increase in European land carbon uptake (+5% to +9%), and an increase in GPP (+20% to +23%) by 2050 for the high and low plant O3 sensitivity, respectively (Table 1). Nevertheless, despite this increase there remains a large negative impact of O3 on the European land carbon sink (Fig. S1948). By 2050 the simulated enhancement of land carbon and GPP in response to elevated CO2 alone (run CO2-simulation) is reduced by 3% to 6% (land carbon) and 4% to 9% (GPP) for the low and high plant O3 sensitivity respectively, when O3 is also accounted for (run both CO2+O33 simulation, Table 1). This is a large reduction in the ability of the European terrestrial biosphere to sequester carbon.

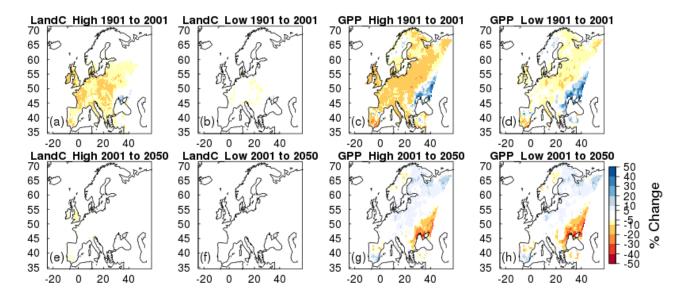


Figure 4. Simulated percentage change in total carbon stocks (Land C) and gross primary productivity (GPP) due to O₃ effects at fixed pre-industrial atmospheric CO₂ concentration (<u>run_O3-simulation</u>). Changes are shown for the periods 1901 to 2001, and 2001 to 2050 for the high and low plant O₃ sensitivity.

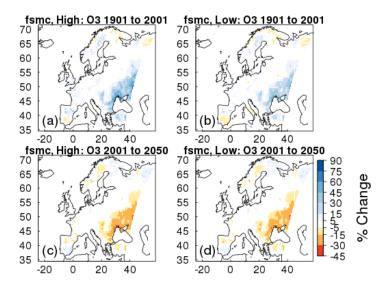


Figure 5. Simulated percentage change in plant available soil moisture (fsmc) due to O_3 effects at fixed preindustrial atmospheric CO_2 concentration (\underline{run} O3-simulation). Changes are shown for the periods 1901 to 2001, and 2001 to 2050 for the high and low plant O_3 sensitivity.

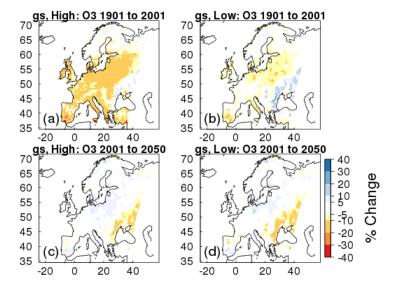


Figure 6. Simulated percentage change in stomatal conductance (g_s) due to O_3 effects at fixed pre-industrial atmospheric CO_2 concentration ($\underline{\text{run}}$ _O3- $\underline{\text{simulation}}$). Changes are shown for the periods 1901 to 2001, and 2001 to 2050 for the high and low plant O_3 sensitivity.

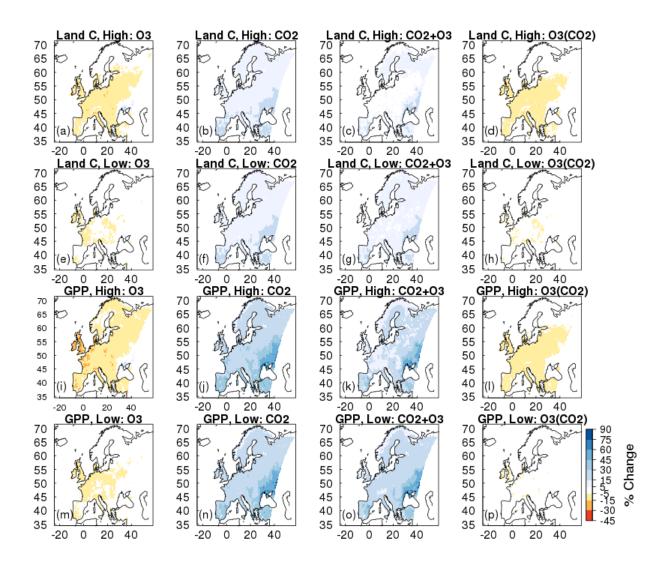


Figure 7. Simulated percentage change in total carbon stocks (Land C) and gross primary productivity (GPP) due to i) (a, e, i, m) O₃ effects at fixed pre-industrial atmospheric CO₂ concentration (<u>run_O3-simulation</u>), ii) (b, f, j, n) CO₂ fertilisation at fixed pre-industrial O₃ concentration (<u>run_CO2-simulation</u>), iii) (c, g, k, o) the interaction between O₃ and CO₂ effects (<u>run_both_CO2+O3-simulation</u>) iv) (d, h, l, p) O₃ effects with changing atmospheric CO₂ concentration (i.e. O₃ damage accounting for the effect of CO₂ induced stomatal closure; <u>run_both_CO2+O3-run_CO2</u>). Changes are depicted for the periods 1901 to 2050 for high and lower ozone plant sensitivity.

	High Plant O ₃ Sensitivity						
	1901 -	2001	2001 -	2050	1901 - 2050		
	GPP Land C		GPP Land C		GPP	Land C	
	(Pg C yr ⁻¹)	(Pg C)	(Pg C yr ⁻¹)	(Pg C)	(Pg C yr ⁻¹)	(Pg C)	
Value in 1901:	9.05	167	-	-	9.05	167	
Absolute Change:							
O3	-0.81	-9.21	0.01	-2.44	-0.80	-11.65	
CO2	1.16	4.24	1.42	12.98	2.58	17.22	
CO2 + O3	0.13	-3.28	1.66	11.11	1.79	7.83	
% Change:							
03	-8.95	-5.51	0.12	-1.55	-8.84	-6.98	
CO2	12.82	2.54	13.91	7.58	28.51	10.31	
CO2 + O3	1.44	-1.96	18.08	6.79	19.78	4.69	
	Low Plant O ₃ Sensitivity						

	Low Plant O ₃ Sensitivity						
	1901 -	2001	2001 -	2050	1901 - 2050		
	GPP	Land C	GPP	Land C	GPP	Land C	
	(Pg C yr ⁻¹)	(Pg C)	(Pg C yr ⁻¹)	(Pg C)	(Pg C yr ⁻¹)	(Pg C)	
Value in 1901:	9.34	167.5	-	=	9.34	167.5	
Absolute Change:							
O3	-0.30	-3.59	0.02	-1.07	-0.40	-4.66	
CO2	1.15	6.43	1.35	13.14	2.50	19.57	
CO2 + O3	0.65	2.50	1.50	12.35	2.15	14.85	
% Change:							
O <u>32</u>	-3.21	-2.14	0.22	-0.65	-4.28	-2.78	
CO2	12.31	3.84	12.87	7.55	26.77	11.68	
CO2 + O3	6.96	1.49	15.02	7.26	23.02	8.87	

Table 1. Simulated changes in the European land carbon cycle due to changing O₃ and CO₂ concentrations (independently and together). Shown are changes in total carbon stocks (Land C) and gross primary productivity (GPP), over three different periods (historical: 1901 to 2001, future: 2001 to 2050, and full time series: 1901 to 2050). Absolute (top) and relative (bottom) differences are shown. For 2001 to 2050, please refer to Table S4 for the initial value for each run. See the SI for details of the estimation of the O₃ and CO₂ effects and their interaction.

_	Mean (Pg C)						
	1970-1979	1980-1989	1990-1999	2000-2009	2002-2011		
Modelled O ₃ effect on land C sink:							
Higher sensitivity	-1.32	-1.01	-0.97	-0.53	-0.50		
Low sensitivity	-0.71	-0.58	-0.50	-0.29	-0.26		
Sum of C emissions from fossil fuel combustion and cement production (Pg C)	8.39	8.63	12.26	12.83	12.75		
C lost from O ₃ effect as a % of fossil fuel and cement emissions (%):							
Higher sensitivity	-15.73	-11.70	-7.91	-4.13	-3.92		
Low sensitivity	-8.46	-6.72	-4.08	-2.26	-2.04		

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Table 2. Simulated change in total land carbon due to O₃ damage with changing atmospheric CO₂ concentration for the two vegetation sensitivities. The sum of carbon emissions for each decade from fossil fuel combustion and cement production for the EU-28 countries plus Albania, Bosnia and Herzegovina, Iceland, Belarus, Serbia, Moldova, Norway, Turkey, Ukraine, Switzerland and Macedonia (EU28-plus) are shown, the data is from Boden *et al.*, 2013. The simulated change in land carbon as a result of O₃ damage is depicted as a percentage of the EU28-plus emissions to demonstrate the magnitude of the additional source of carbon to the atmosphere from plant O₃ damage.

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4 Discussion

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4.1 Evaluation of g_s models and JULES O₃ model

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Comparison of the new g_s model implemented in this study (MED) with the g_s model currently used as standard in JULES (JAC) revealed large differences in g_s for each PFT, principally as a result of the data-based parameterisation of the new model. Water use increased for the broadleaf tree and C₃ herbaceous PFTs using the MED model compared to JAC, but decreased for the needle leaf tree, C4 herbaceous and shrub PFTs which displayed a more conservative water use strategy compared to JAC. These changes are in line with the work of De Kauwe et al. (2015) who found a reduction in annual transpiration for evergreen needle leaf, tundra and C₄ grass regions when implementing the Medlyn g_s model into the Australian land surface scheme CABLE. Sitelevel evaluation of the models against Fluxnet observations showed that in general the MED model improved simulated seasonal cycles of latent and sensible heat. The magnitude of the improvement varied with site, large improvements were seen at the deciduous savanna site, and at the NT sites and BT site (US UMB) in the spring and summer. However, much smaller improvements were seen at the grass sites. Changes in g_s in this study resulted in differences in latent and sensible heat fluxes. Changes in the partitioning of energy fluxes at the land surface could have consequences for the intensity of heatwaves (Cruz et al., 2010; Kala et al., 2016), runoff (Betts et al., 2007; Gedney et al., 2006) and rainfall patterns (de Arellano et al., 2012), although fully coupled simulations would be necessary to detect these effects. The differences in simulated g_s led to differences in uptake of O_3 between the two models because the rate of g_s is the predominant determinant of the flux of O_3 through stomata.

Higher O_3 uptake is indicative of greater damage. Therefore, given that C_3 herbaceous vegetation is the dominant land cover class across the European domain used in this study, this suggests a greater O_3 impact for Europe would be simulated with MED model compared to JAC in our simulations where chemistry is uncoupled from the land surface.

We evaluated the JULES O₃ model by comparing modelled GPP against the Jung et al (2011) MTE product. Similar spatial patterns of GPP were simulated by JULES compared to MTE. Zonal means also showed similar patterns of GPP, although JULES under predicted GPP compared to MTE at latitudes >45°N (temperate and boreal regions; all simulations) and over predicted GPP at latitudes <45°N (Mediterranean region; all simulations). The simulations with transient O₃ (i.e. O3 and CO2+O3) showed large differences in GPP between the high and low plant O₃ sensitivity simulations, this is to be expected given that the high plant O₃ sensitivity simulations were parameterised to be 'damaged' more by O_3 , i.e. greater reduction of photosynthesis/ g_s with O_3 exposure compared to the low plant O₃ sensitivity simulations. This difference was largest in the temperate zone, largely because of C₃ grass cover being the dominant land cover here and the difference in the sensitivity to O₃ between the high and low calibrations is significantly larger for C₃ grasses compared to the needle leaf trees that dominate in the boreal region. Additionally, a longer growing season in the temperate region may allow for greater uptake of O₃ into vegetation. C₃ grass is also the dominant land cover in the Mediterranean region with a different calibration used for Mediterranean grasses for the low plant O₃ sensitivity which is less sensitive to O₃ than the temperate C₃ grasses, but high soil moisture stress is common throughout the growing season in the Mediterranean limiting the uptake of O₃ through stomata, which likely diminishes the difference between the high and low calibrations. In general, incorporating plant O₃ damage into JULES leads to worse agreement with the MTE GPP product, however, this is expected to some degree as we are adding an explicit representation of O₃ damage to a model calibrated to reproduce current day GPP and draw down of atmospheric CO₂. Inevitably this implicitly includes O₃ damage to vegetation. Explicit representation of plant O₃ damage is important to investigate how O₃ damage changes through time, under different emissions scenario's, and the interactive effects with other gases (such as CO₂) and with climate change. The percentage changes we simulate are therefore important to demonstrate the sensitivity of modelled GPP and land Carbon to this process.

4.2 Lower than ex Comparison of modelled estimates of O₃ damage?

Our estimates suggest-present day O₃ (run O₃) reduced GPP by 2001 by 3% to 9% on average across Europe and NPP by 5% to 11% for the low and high plant O₃ sensitivities respectively. (Table S3). Anavet al. (2011) simulated a 22% reduction of GPP across Europe for 2002 using the ORCHIDEE model. Present day O₃ exposure reduced GPP by 10% to 25% in Europe, and 10.8% globally in the study by Lombardozzi et al. (2015) using the Community land model (CLM). O₃ reduced NPP by 11.2% in Europe from 1989 to 1995 using the Terrestrial Ecosystem Model (TEM) (Felzer et al., 2005). Globally, concentrations of O₃ predicted for 2100 reduced GPP by 14% to 23% using a former parameterisation of O₃ sensitivity in JULES (Sitch et al., 2007). The recent study by Franz et al. (2017) showed mean GPP declined by 4.7% over the period 2001 to 2010 using the OCN model over

the same European domain and using the same O₃ forcing produced by EMEP MSC-W as used in this study. Our estimates of changes in current day GPP and NPP are at the lower end of previously modelled estimates. Simulated O₃ impacts will be dependent on model O₃ concentrations, meteorology, plant sensitivity to O₃, and process representation of O₃ damage. Simulated O₃ impacts will depend in a large part on the scenario of O₃ concentrations used as forcing, meteorological forcing and how sensitive vegetation is parameterised to be to O₃ damage, in addition to the different process representation of O₃ damage in each model. It is therefore difficult to hypothesise as to exactly why modelled estimates differ, but suggests that an ensemble approach to modelling O₃ impacts on the terrestrial biosphere would be beneficial to understand some of these differences and provide estimates of O₃ damage with uncertainties.

4.3 Impacts of O₃ at the land surface

In this study, O₃ has a detrimental effect on the size of the land carbon sink for Europe. This is primarily through a decrease in the size of the soil carbon pool as a result of reduced litter input to the soil, consistent with reduced GPP/NPP. Field studies show that in some regions of Europe, soil carbon stocks are decreasing (Bellamy et al., 2005; Capriel, 2013; Heikkinen et al., 2013; Sleutel et al., 2003). The study of Bellamy et al. (2005), for example, showed that carbon was lost from soils across England and Wales between 1978 to 2003 at a mean rate of 0.6% per year with little effect of land use on the rate of carbon loss, suggesting a possible link to climate change. It is understood that climate change is likely to affect soil carbon turnover. Increased temperatures increase microbial decomposition activity in the soil, and therefore increase carbon losses through higher rates of respiration (Cox et al., 2000; Friedlingstein et al., 2006; Jones et al., 2003). However, some studies have found that O₃ can decrease soil carbon content. Talhelm et al. (2014), for example, found O₃ reduced carbon content in near surface mineral soil of forest soils exposed to 11 years of O₃ fumigation. Hofmockel et al. (2011) found elevated O₃ reduced the carbon content in more stable soil organic matter pools, and Loya et al. (2003) showed that the fraction of soil carbon formed in forest soils over a 4 year experimental period when fumigated with both CO2 and O3 was reduced by 51% compared to the soil fumigated with CO₂ alone. It is agreed that amongst other factors that change with O₃ exposure such as litter quality and composition, reduced litter quantity also has significant detrimental consequences for soil carbon stocks (Andersen, 2003; Lindroth, 2010; Loya et al., 2003). Results from this study therefore suggest that increasing tropospheric O₃ may be a contributing factor to the declining soil carbon stocks observed across Europe as a result of reduced litter input to the soil carbon pool consistent with reduced NPP.

We acknowledge, however, that our model simulations do not include coupling of Nitrogen and Carbon cycles, or land management practices. We include a representation of agricultural regions through the model calibration against the wheat O_3 sensitivity function (CLRTAP, 2017), and in our simulations the high plant O_3 sensitivity scenario uses this calibration against wheat for all C_3/C_4 land cover which dominates our model domain. Wheat is known to be one of the most O_3 sensitive crop species however, so it is possible that our simulations over-estimate the O_3 impact at the land surface. However, the low plant O_3 sensitivity calibration against natural grasslands provides a counter estimate of the impact of O_3 at the land surface, therefore it is important to consider the range our results provide (i.e. both the high and low plant O_3 sensitivity) as an indicator of the impact of O_3 on the land surface. As with all uncoupled modelling studies, a change in g_3 and flux will impact the O_3 concentration itself.

Therefore adopting the Medlyn formulation with a higher g_3 and subsequently higher O_3 flux for broadleaf and C_3 PFTs (Fig 2) would lead to reduced O₃ concentration, which in turn may would act to dampen the effect of higher g_s on O₃ flux, although the higher uptake of O₃ by vegetation may lead to more damage and increase O₃ concentrations, in an uncoupled chemistry-land modelling system such as this it is not possible to predict which process would dominate. Additionally, this version of JULES does not have a crop module; it has no land management practices such as harvesting, ploughing or crop rotation – processes which may have counteracting effects on the land carbon sink. Further, without a coupled Carbon and Nitrogen cycle, it is likely that the CO₂ fertilisation response of GPP and the land carbon sink is over estimated in some regions of our simulations since nitrogen availability limits terrestrial carbon sequestration of natural ecosystems in the temperate and boreal zone (Zaehle, 2013). This would have consequences for our modelled O₃ impact, particularly into the future where the large CO₂ fertilisation effect was responsible for partly offsetting the negative impact of O₃. Although in our simulations a high fraction of land cover is agricultural which we assume would be optimally fertilised. Our simulations also use a fixed climate, so we do not include the effect of climate change on shifting plant phenology. Therefore, our results may underestimate plant O₃ damage, since if the growing season started earlier or finished later, plants in some regions would be exposed to higher O₃ concentrations. Nevertheless, we emphasise that this study provides a sensitivity assessment of the impact of plant O₃ damage on GPP and the land carbon sink.

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Another caveat we fully acknowledge is that at the leaf-level JULES is parameterised to reduce g_s with O_3 exposure. Whilst this response is commonly observed (Wittig et al., 2007; Ainsworth et al., 2012), there is evidence to suggest that O₃ impairs stomata in some species, making them non-responsive to environmental stimuli (Hayes et al., 2012; Hoshika et al., 2012a; Mills et al., 2009; Paoletti and Grulke, 2010). In drought conditions the mechanism is thought to involve O₃ stimulated ethylene production which interferes with the stomatal response to ABA signalling (Wilkinson and Davies, 2009; Wilkinson and Davies, 2010). Such stomatal sluggishness can result in higher O₃ uptake and injury, increased water-loss, and therefore greater vulnerability to environmental stresses (Mills et al., 2016). McLaughlin (2007a;2007b) and Sun et al. (2012) provide evidence of increased transpiration and reduced streamflow in forests at the regional scale in response to ambient levels of O₃, and suggest this could increase the frequency and severity of droughts. Hoshika et al. (2012b) however found that despite sluggish stomatal control in O₃ exposed trees, whole tree water use was lower in these trees because of lower gas exchange and premature leaf shedding of injured leaves. To our knowledge, the study of Hoshika et al. (2015) is the first to include an explicit representation of sluggish stomatal control in a land-atmosphere model, they show that sluggish stomatal behaviour has implications for carbon and water cycling in ecosystems. However, it is by no means a ubiquitous response, and it is not fully understood which species respond this way and under what conditions (Mills et al., 2016; Wittig et al., 2007). Nevertheless, this remains an important area of future work.

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In this work we implement the stomatal closure proposed in Medlyn et al., (2011), this uses the parameter g_I . Hoshika et al. (2013) show a significant difference in the g_I parameter (higher in elevated O_3 compared to ambient) in Siebold's beech in June of their experiment. However, this is only at the start of the growing season, further measurements show no difference in this parameter between O_3 treatments. Quantifying an O_3 effect directly on g_I would require a detailed meta-analysis of empirical data on photosynthesis and g_S for different PFTs, which is

currently lacking in the literature. With such information lacking, here we take an empirical approach to modelling plant O₃ damage, essentially by applying a reduction factor to the simulated plant photosynthesis based on observations of whole plant losses of biomass with accumulated O₃ exposure, for which there is a lot more available data (e.g. CLRTAP, 2017).

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A further caveat of this study is that the O₃ concentrations used to force the model are offline, in this case generated by the EMEP MSC-W model. This means the depositional sink is different in JULES (Medlyn formulation), compared to the EMEP model which uses the g_s formulation presented in Emberson et al. (2000) and Emberson et al. (2001). Because we link two different model systems, the g_s values in the EMEP model differ from those obtained using the Medlyn formulation, which would ultimately lead to different O₃ concentrations. The role of EMEP in this study is to provide O_3 concentrations at the top of the vegetation canopy to force JULES and not g_{s_a} how the different depositional sinks would affect simulated O₃ concentrations at canopy height has not been investigated. The calculation of O₃ deposition in the EMEP model uses the stomatal conductance formulation presented in Emberson et al., which depends on temperature, light, humidity and soil moisture (commonly referred to as DO₃SE). Because we link two different model systems, the gs values in the EMEP model differ from those obtained using the Medlyn formulation. We acknowledge this inconsistency as a caveat of our study, however comparison of gmax (maximum g_s) values from both models (EMEP (gmax is an input parameter determining the maximum g_t) and JULES (gmax is not used as an input parameter in JULES, instead we calculated gmax for each PFT taking the mean across the model domain for the year 2001) suggests the differences are small for deciduous forest (EMEP 150-200, JULES ~180, all units in mmol O₃/m² (PLA)/s), and C₃/C₄ crops (EMEP 270-300, JULES ~260-390), but are larger for coniferous forest (EMEP 140-200, JULES ~60-70) and shrubs (EMEP 60-200, JULES 360-390). It should be noted that the role of EMEP in this study is not to provide g_s, but to provide O₃ at the top of the vegetation canopy. This firstly entails a calculation of the large-scale ozone concentrations for Europe, which are represented by the gridded values of grid-cell average concentration, and secondly to calculate the vertical gradients between these grid-cell centres (at ca. 45m) and the top of the vegetation canopy. O₃-deposition is important for both steps; it is known to have a substantial impact on the lifetime and concentrations of O₃ in the planetary boundary layer (Garland and Derwent, 1979; Val Martin et al., 2014), and also in determining the local vertical gradients above different land-covers (CLRTAP, 2017; Gerosa et al., 2017; Tuovinen et al., 2009). Vertical gradients between the 45m level and the top of forest canopies tend to be limited (Fuentes et al., 2007; Karlsson et al., 2006) due to the good mixing normally induced by forest roughness. Vertical gradients between 45m and the top of shorter vegetation such as grasslands or crops can be larger however (CLRTAP, 2017; Gerosa et al., 2017). Accounting for such land-cover specific gradient effects

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has been shown to have large impacts on estimates of O₃ metrics (Simpson et al., 2007).

These offline simulations show the sensitivity of GPP and the land carbon sink to tropospheric O₃, suggesting that O₃ is an important predictor of future GPP and the land carbon store across Europe. There are uncertainties in our estimates however from the use of uncoupled tropospheric chemistry, meteorology and stomatal function. For example, increased frequency of drought in the future would reduce stomatal conductance (assuming no sluggish stomatal response) and thus O₃ uptake. Since our offline simulations do not include this feedback it is possible the O₃ effect is over estimated here. Given the complexity of potential interactions and feedbacks it remains difficult to diagnose the importance of individual factors (e.g. the direct physiological response) in a fully coupled simulation. Once the importance of a process is demonstrated offline, it provides evidence of the need to incorporate such process in coupled regional and global simulations.

4.4 O₃ as a missing component of carbon cycle assessments?

Comprehensive analyses of the European carbon balance suggest a large biogenic carbon sink (Janssens et al., 2003; Luyssaert et al., 2012; Schulze et al., 2009). However, estimates are hampered by large uncertainties in key components of the land carbon balance, such as estimates of soil carbon gains and losses (Ciais et al., 2010; Janssens et al., 2003; Schulze et al., 2009; Schulze et al., 2010). We suggest that the effect of O₃ on plant physiology is a contributing factor to the decline in soil carbon stores observed across Europe, and as such this O₃ effect is a missing component of European carbon cycle assessments. Over the full experimental period (1901 to 2050), our results show elevated O₃ concentrations reduce the amount of carbon that can be stored in the soil by 3% to 9% (low and high plant O₃ sensitivity, respectively), which almost completely offsets the beneficial effects of CO₂ fertilisation on soil carbon storage under the high plant O₃ sensitivity. This would contribute to a change in the size of a key carbon sink for Europe, and is particularly important when we consider the evolution of the land carbon sink into the future given the impact of O₃ on soil carbon sequestration and the high uncertainty of future tropospheric O₃ concentrations. Schulze et al. (2009) and Luyssaert et al. (2012) extended their analysis of the European carbon balance to include additional non-CO₂ greenhouse gases (CH₄ and N₂O). Both studies found that emissions of these offset the biogenic carbon sink, reducing the climate mitigation potential of European ecosystems. This highlights the importance of accounting for all fluxes and stores in carbon/greenhouse gas balance assessments, of which O₃ and its indirect effect on the CO₂ flux via direct effects on plant physiology is currently missing.

4.5 The iInteractive effects of between O3 and CO2

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We looked at the <u>interactive effects of interaction between</u> CO_2 and O_3 -<u>effects</u>. Our results support the hypothesis that elevated atmospheric CO_2 provides some protection against O_3 damage because of lower g_s that reduces uptake of O_3 through stomata (Harmens et al., 2007; Wittig et al., 2007). In the present study, reductions in GPP and the land carbon store due to O_3 exposure were lower when simulated with concurrent changes in atmospheric CO_2 . Despite acclimation of photosynthesis after long-term exposure to elevated atmospheric CO_2 of field grown plants (Ainsworth and Long, 2005; Medlyn et al., 1999), there is no evidence to suggest that g_s acclimates (Ainsworth et al., 2003; Medlyn et al., 2001). This suggests the protective effect of elevated atmospheric CO_2 against O_3 damage will be sustained in the long term. However, although meta-analysis suggest a general trend

of reduced g_s with elevated CO₂ (Ainsworth and Long, 2005;Medlyn et al., 1999), this is not a universal response. Stomatal responses on exposure to elevated CO₂ with FACE treatment varied with genotype and growth stage in a fast-growing poplar community (Bernacchi et al., 2003;Tricker et al., 2009). In other mature forest stands, limited stomatal response to elevated CO₂ was observed after canopy closure (Ellsworth, 1999;Uddling et al., 2009). Also, some studies found that stomatal responses to CO₂ were significant only under high atmospheric humidity (Cech et al., 2003;Leuzinger and Körner, 2007;Wullschleger et al., 2002). These examples illustrate that stomatal responses to elevated atmospheric CO₂ are not universal, and as such the protective effect of CO₂ against O₃ injury cannot be assumed for all species, at all growth stages under wide ranging environmental conditions.

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5 Conclusion

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What is abundantly clear is that plant responses to both CO2 and O3 are complicated by a host of factors that are only partly understood, and it remains difficult to identify general, global patterns given that effects of both gases on plant communities and ecological interactions are highly context and species specific (Ainsworth and Long, 2005; Fuhrer et al., 2016; Matyssek et al., 2010b). This study quantifies the sensitivity of the land carbon sink for Europe and GPP to changing concentrations of atmospheric CO₂ and O₃ from 1901 to 2050. We have used a state of the art land surface model calibrated for European vegetation to give our best estimates of this sensitivity within the limits of data availability to calibrate the model for O₃ sensitivity, current knowledge and model structure. In summary, this study has shown that potential gains in terrestrial carbon sequestration over Europe resulting from elevated CO₂ can be partially offset by concurrent rises in tropospheric O₃ over 1901-2050. Specifically, we have shown that the negative effect of O₃ on the land carbon sink was greatest over the twentieth century, when O₃ concentrations increased rapidly from pre-industrial levels. Over this period soil carbon stocks were diminished over agricultural areas, consistent with reduced NPP and litter input. This loss of soil carbon was largely responsible for the decrease in the size of the land carbon sink over Europe. The O₃ effect on the land carbon store and flux was reduced into the future as CO₂ concentration rose considerably and changes in O₃ concentration were less pronounced. However, there remained a large cumulative negative impact on the land carbon sink for Europe by 2050. The interaction between the two gases was found to reduce O₃ injury owing to reduced stomatal opening in elevated atmospheric CO₂. However, primary productivity and land carbon storage remained suppressed by 2050 due to plant O₃ damage. Expressed as a percentage of the emissions from fossil fuel and cement production for the EU28-plus countries, the carbon emissions from O₃-induced plant injury are a source of anthropogenic carbon previously not accounted for in carbon cycle assessments. Our results demonstrate the sensitivity of modelled terrestrial carbon dynamics to the direct effect of tropospheric O₃ and its interaction with atmospheric CO₂ on plant physiology, demonstrating this process is an important predictor of future GPP and trends in the land-carbon sink. Nevertheless, this process remains largely unconsidered in regional and global climate model simulations that are used to model carbon sources and sinks and carbon-climate feedbacks.

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Data availability

The JULES model can be downloaded from the Met Office Science Repository Service (https://code.metoffice.gov.uk/trac/jules - see here for a helpful how to http://jules.jchmr.org/content/getting-started). Model output data presented in this paper and the exact version of JULES with namelists are available upon request from the corresponding author.

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Supplementary Information

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Supplementary Information Oliver et al_vn4.0.docx

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Competing Interests

The authors declare that they have no conflict of interest

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Response to Reviewers comments We would like to thank the reviewer again for their time to read the manuscript and comment on it. This has improved the manuscript and we hope the reviewer finds our changes satisfactory. Please find our comments below. Thanks to the authors for moving the discussion of the calibration to the main text. I think this strengthens the paper, and clarifies the novelty of the work. I think the work should be published after the authors address minor revisions. General comments. I don't find the naming of the different simulations to O3, CO2, and CO2+O3 very helpful especially when the authors are referring to the gases as O3 and CO2 at the same time. Something like run O3, or run CO2, run both O3 CO2? We have renamed the simulations as advised above. I'm a bit confused with respect to the title of the paper, especially because the authors have section dedicated to their findings that the impact isn't as large as expected. The title reflects that our simulations show a large impact of O₃ from 1901 to present day, but this decreases significantly into the future. Line-by-line comments. Lines 39: "its interaction with CO2" does not sit well with me because CO2 and O3 are not directly interacting — rather there are interactive effects of CO2 and O3. Please revise. This has been amended (Line 39): "We conduct our analysis specifically for the European region to quantify the impact of the interactive effects of tropospheric O₃ and CO₂ on gross primary productivity (GPP) and land carbon storage across Europe." Lines 44-46: At this point in the abstract the readers don't know what high and low sensitivity This has been amended (Line 45-46): "This alleviation of O₃ damage by CO₂ induced stomatal closure was around 1 to 2% for both land carbon and GPP, depending on plant sensitivity to O₃." Line 62: citation should be parenthetical This has been changed. Line 86: authors should define what they mean by background ozone We define background ozone (Line88-89): "Background O₃ is generally defined as the O₃ pollution present in a region that is not attributed to local anthropogenic sources (Vingarzan, 2004)." Line 94: "long-range transport of ozone" This has been changed (Line 96).

Line 100: why are there two "e.g."s? What is Sicard et al. (2013) a reference here for?

This has been changed (Line 102).

This has been changed (Line 102).

Line 103: I would suggest cutting "currently have poor emission controls"

16231624 This has been removed (Line 105).

Line 108-109: Is there a lot of transport of ozone into Europe? I think references are needed here, or this line should be cut.

1629 Two references were added here (Line 111):

1631 (Auvray and Bey, 2005; Derwent et al., 2015)

Line 112-113: Having this statement here could be misleading — for example, if a reader thought the impact of ozone on vegetation was through it's ability to trap heat. It would be more clear if after "direct radiative forcing of ozone", the authors added ", a potent greenhouse

1637 gas, "

This sentence has been removed, and suggested changes made (Line 113 to 120):

 "Rising background O₃ concentrations impact agricultural yields and nutritional quality of major crops (Ainsworth et al., 2012; Avnery et al., 2011), with consequences for global food security (Tai et al., 2014). Increasing background levels of O₃ are damaging to ecosystem health and reduce the global land carbon sink (Arneth et al., 2010; Sitch et al., 2007). Reduced uptake of carbon by plant photosynthesis due to O₃ damage allows more CO₂ to remain in the atmosphere. This effect of O₃ on plant physiology represents an additional climate warming to the direct radiative forcing of O₃, a potent greenhouse gas (Collins et al., 2010; Sitch et al., 2007), the magnitude of which, however, remains highly uncertain (IPCC, 2013)."

Lines 112-114: I find this a bit confusing - the authors discuss "high levels" and "elevated" ozone, but in the paragraph prior discuss mostly background concentrations. Can the authors

make the transition a little smoother?

We have amended this, please see the paragraph above (Lines 113-120).

Lines 113: Please cut "future concentrations of ozone predicted for 2050"

This has been removed and replaced with (Line 135):

"The Wittig et al. (2007) meta-analysis of temperate and boreal tree species showed raised O_3 concentrations significantly...."

Line 139: Here the authors refer to 46 ppb as "elevated" - in the previous sentences much higher concentrations are used. I would recommend just giving the concentrations, not qualifying them here and elsewhere

As recommended by the reviewer, we just give the concentrations of O₃ and do not qualify them (Lines 134 - 148).

Line 153: What's the time frame for Lombardozzi?

This has been added (Line 156):

"A second study by Lombardozzi et al. (2015) predicted a 10.8% decrease of present-day (2002-2009) GPP globally." Line 194: please define ozone dose-response relationship We have modified this sentence as follows (Line 197-199): "We make a separate distinction for the Mediterranean region where possible because the work of Büker et al. (2015) showed that the sensitivity of dominant Mediterranean trees to O₃ is different to temperate species." We remove mention of dose-response relationships here as it is not necessary at this point, and these are introduced and defined later in section 2.2 lines 279 – 284. Line 220: I thought the authors re-arranged the supplementary figures to reflect the order are mentioned in the text? This is the first occurrence of a supplementary figure and it's figure S5. Apologies, this was an oversight and has now been changed. Line 227: of "stomatal ozone deposition" This has been modified (Line 231). Line 231-233: "because the impact of cumulative ozone exposure on plant productivity has already been calibrated with observations (described below)" This sentence has been changed (Line 234-236). Line 240: Can this be changed to interactive effects of CO2 and O3? This has been changed (Line 245). Line 225: Reference is missing the year - here and in line 332 - also I'm not sure why there comma before the parenthetical citation The citation references data downloaded from a web page. We have included the year the data was downloaded and removed the comma's (Lines 332 - 339). Line 288: But how is the actually PODy/ FO3crit determined? Please specify here The values for PODy/FO3crit are taken from the observation-based dose-response relationships. As to how these values are determined is a literature in itself and beyond the scope of this paper to describe here, so we

refer the readers to the relevant papers (Line 294):

"For JULES, Fo_{3crit} is the threshold for O₃ damage, and values for this parameter are taken from the O₃ doseresponse relationships as the POD_v value (see CLRTAP, 2017 and Buker et al. 2015 for derivation of POD_v values)."

Line 298: Is "a" the ozone plant sensitivity? Please specify here

This isn't referring to the parameter 'a' here, so has been removed to stop confusion (Line 305). The parameter is always referred to as 'a'.

Line 302: It's unclear to me how the authors incorporate the work of Büker et al. from this

paragraph. The work of Büker et al. (2015) shows that different O₃ dose-response relationships are needed to describe the O₃ sensitivity of dominant Mediterranean trees, so we use the different functions provided for Mediterranean trees instead of applying the function that has been derived dominantly from temperate/boreal tree species. Line 380: The q0 term has not previously been defined. I would just say a version of the Medlvn (2011) model that does not have an intercept We amend the sentence as follows, as when there is no g0 term the intercept is forced through zero (Line 387): "The g_I parameter represents the sensitivity of g_s to the assimilation rate, i.e. plant water use efficiency, and was derived as in Lin et al. (2015) by fitting the Medlyn et al., (2011) model to observations of g_s, photosynthesis, and VPD, assuming an intercept of zero." Line 416: "of" is missing. Can interaction be changed to interactive effects? This has been changed (Line 423): ".....to allow us to focus on the impact of O₃, CO₂ and their interactive effects." Line 431: phenology is misspelled This has been changed (Line 439). Lines 460-474: The point I wanted clarified here is that the agricultural mask does not change from 1900 to 2100 We have added the following sentence to clarify this point (Line 431 - 432): "The agricultural mask is fixed and does not change over the simulation period." Lines 517 & 519: I don't think an equation for the percentage change is necessary. This has been removed (Line 524 - 528). Figure S5 - Tg of N in b)? Tg Carbon in c)?, Gg of carbon in d)? Please specify. Are the NOx numbers for anthropogenic sources or anthropogenic and natural? What about NMVOCs? Units have been added to Figure S6. Table 1 - One of the "O3"s actually reads "O2" This has been changed. Line 829: I would not say there are large improvements 'Large' has been removed (Line 777). Lines 841-855: A discussion of how incorporating ozone damage into JULES leads to a worse agreement with the MTE product & relevance for the authors' work is needed here We have added the following to the discussion (Lines 803-810):

"In general, incorporating plant O₃ damage into JULES leads to worse agreement with the MTE GPP product, however, this is expected to some degree as we are adding an explicit representation of O₃ damage to a model calibrated to reproduce current day GPP and draw down of atmospheric CO₂. Inevitably this implicitly includes O₃ damage to vegetation. Explicit representation of plant O₃ damage is important to investigate how O₃ damage changes through time, under different emissions scenario's, and the interactive effects with other gases (such as CO₂) and with climate change. The percentage changes we simulate are therefore important to demonstrate the sensitivity of modelled GPP and land Carbon to this process."

Line 860-1: Can the authors give the time frame here? Does the range represent high vs.

sensitivity? Is this for O3 +CO2?

This sentence has been clarified (Line 814-815):

"Our estimates suggest O₃ (simulation O₃) reduced GPP by 2001 by 3% to 9% on average across Europe and NPP by 5% to 11% for the low and high plant O₃ sensitivities respectively (Table S3)."

Line 870: "Simulated ozone impacts will dependent on model ozone concentrations, meteorology, plant sensitivity to ozone, and process representation of ozone damage"

Thank you for the simplification! This has been changed (Line 823-825).

Lines 857-876: This section title is a bit of an oversell if the authors can't explain the differences. How can the authors "expect" results if they end of concluding the studies are so different anyway?

We have changed the title of this section to (Line 812):

"4.2 Comparison of modelled estimates of O₃ damage"

Line 907: It seems like here the authors need to discuss the caveat that both high and low sensitivity simulations underpredict GPP

This has already been addressed in the discussion relating to the comparison of the JULES simulations to the MTE product (Section 4.1 Lines 787 to 808).

Lines 909-910: "may dampen"

This has been changed (Line 863).

Line 941: Can this be changed to interactive effects of O3 and CO2?

This has been changed (Line 970).

Line 884-886: please cut this discussion - the authors' point is made - the information is lacking.

This has been removed (Line 902-905).

Line 888-908: The authors need to spell out the transition at the beginning of this paragraph

i.e., that another caveat of their study is that ozone is offline and the depositional sink is

different here and in the model that was used to create forcing dataset. The comparison of

two gmax values is an apples-to-oranges comparison (one is model input, one is model output!) and I think it should be cut. I find the rest of the discussion not appropriate here - it's already discussed in the methods. Please clearly state the caveat and cut most of this discussion (i.e., after Lines 891-908). This paragraph has been changed as follows (Lines 908 - 915): "A further caveat of this study is that the O₃ concentrations used to force the model are offline, in this case generated by the EMEP MSC-W model. This means the depositional sink is different in JULES (Medlyn formulation), compared to the EMEP model which uses the g_s formulation presented in Emberson et al. (2000) and Emberson et al. (2001). Because we link two different model systems, the g_s values in the EMEP model differ from those obtained using the Medlyn formulation, which would ultimately lead to different O₃ concentrations. The role of EMEP in this study is to provide O₃ concentrations at the top of the vegetation canopy to force JULES and not g_s , how the different depositional sinks would affect simulated O_3 concentrations at canopy height has not been investigated." Line 933-934: Why are the future tropospheric ozone concentrations highly uncertain? Future tropospheric O₃ concentrations will depend in a large part on how emissions of O₃ precursors change locally, regionally and globally. This is uncertain.