



1 **Large but decreasing effect of ozone on the European carbon**

2 **sink**

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26 **Abstract**

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28 The capacity of the terrestrial biosphere to sequester carbon and mitigate climate change is governed by the ability  
29 of vegetation to remove emissions of CO<sub>2</sub> through photosynthesis. Tropospheric O<sub>3</sub>, a globally abundant and  
30 potent greenhouse gas, is, however, known to damage plants, causing reductions in primary productivity, yet the  
31 impact of this gas on European vegetation and the land carbon sink is largely unknown. Despite emission control  
32 policies across Europe, background concentrations of tropospheric O<sub>3</sub> have risen significantly over the last  
33 decades due to hemispheric-scale increases in O<sub>3</sub> and its precursors. Therefore, plants are exposed to increasing  
34 background concentrations, at levels currently causing chronic damage. We use the JULES land-surface model  
35 recalibrated for O<sub>3</sub> impacts on European vegetation, with an improved stomatal conductance parameterization, to  
36 quantify the impact of tropospheric O<sub>3</sub>, and its interaction with CO<sub>2</sub>, on gross primary productivity (GPP) and  
37 land carbon storage across Europe. A factorial set of model experiments showed that tropospheric O<sub>3</sub> can  
38 significantly suppress terrestrial carbon uptake across Europe over the period 1901 to 2050. By 2050, simulated  
39 GPP was reduced by 4 to 9% due to plant ozone damage, however, the combined effects of elevated future CO<sub>2</sub>  
40 (acting to reduce stomatal opening) and reductions in O<sub>3</sub> concentrations resulted in reduced O<sub>3</sub> damage in the  
41 future, contrary to predictions from earlier studies. Reduced land carbon storage resulted from diminished soil  
42 carbon stocks consistent with the reduction in GPP. Regional variations are identified with larger impacts shown  
43 for temperate Europe compared to boreal regions. These results highlight that the effects of O<sub>3</sub> on plant physiology  
44 add to the uncertainty of future trends in the land carbon sink and, as such, this should be incorporated into carbon  
45 cycle assessments.

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## 58 **1 Introduction**

59

60 The terrestrial biosphere absorbs around 30% of anthropogenic CO<sub>2</sub> emissions and acts to mitigate climate change  
61 (Le Quéré et al., 2015). Early estimates of the European carbon balance suggest a terrestrial carbon sink of between  
62 135 to 205 TgC yr<sup>-1</sup> (Janssens et al., 2003). Schulze et al. (2009) determined a larger carbon sink of 274 TgC yr<sup>-1</sup>,  
63 and more recent estimates suggest a European terrestrial sink of between 146 to 184 TgC yr<sup>-1</sup> (Luyssaert et al.,  
64 2012). The carbon sink capacity of land ecosystems is dominated by the ability of vegetation to sequester carbon  
65 through photosynthesis and release it back to the atmosphere through respiration. Therefore, any change in the  
66 balance of these fluxes will alter ecosystem source-sink behaviour.

67

68 In recent decades much attention has focussed on the effects of rising atmospheric CO<sub>2</sub> on vegetation productivity  
69 (Ceulemans and Mousseau, 1994;Norby et al., 2005;Norby et al., 1999;Saxe et al., 1998). The Norby et al. (2005)  
70 synthesis of Free Air CO<sub>2</sub> Enrichment (FACE) experiments suggests a median stimulation (23 ± 2%) of forest  
71 NPP in response to a doubling of CO<sub>2</sub>. Similar average increases (20%) were observed for C<sub>3</sub> crops, although this  
72 translated into smaller gains in biomass (17%) and crop yields (13%) (Long et al., 2006). The long-term effects  
73 of CO<sub>2</sub> fertilization on plant growth and carbon storage are nevertheless uncertain (Baig et al., 2015;Ciais et al.,  
74 2013). Little attention, however, has been given to tropospheric ozone (O<sub>3</sub>), a globally abundant and increasing  
75 air pollutant recognised as one of the most damaging pollutants for forests (Karlsson et al., 2007;Royal-Society,  
76 2008;Simpson et al., 2014b). Tropospheric O<sub>3</sub> is a secondary air pollutant formed by photochemical reactions  
77 involving carbon monoxide, volatile organic compounds, methane and nitrogen oxides from both man-made and  
78 natural sources, as well as downward transport from the stratosphere and lightning. The phytotoxic effects of O<sub>3</sub>  
79 exposure are shown to decrease vegetation productivity and biomass, with consequences for terrestrial carbon  
80 sequestration (Felzer et al., 2004;Loya et al., 2003;Mills et al., 2011b;Sitch et al., 2007). Few studies, however,  
81 consider the simultaneous effects of exposure to both gases, and few Earth-system models (ESMs) currently  
82 explicitly consider the role of tropospheric O<sub>3</sub> in terrestrial carbon dynamics (IPCC, 2013), both of which are key  
83 to understanding the carbon sequestration potential of the land-surface, and future carbon dynamics regionally  
84 and globally.

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86 Due to increased anthropogenic precursor emissions over the industrial period, background concentrations of  
87 ground-level O<sub>3</sub> have risen (Parrish et al., 2012). As a result of controls on precursor emissions in Europe and  
88 North America, peak O<sub>3</sub> concentrations in these regions have decreased or stabilised over recent decades (Cooper  
89 et al., 2014;Logan et al., 2012;Parrish et al., 2012;Simpson et al., 2014b). Nevertheless, climate change may  
90 increase the frequency of weather events conducive to peak O<sub>3</sub> incidents in the future (e.g. summer droughts and  
91 heat-waves; e.g., (Sicard et al., 2013), and may increase biogenic emissions of the O<sub>3</sub>-precursors isoprene and  
92 NO<sub>x</sub>, although such impacts are subject to great uncertainty (Simpson et al., 2014b;Young et al., 2013;Young et  
93 al., 2009). Furthermore, intercontinental transport of air pollution means background O<sub>3</sub> concentrations have risen  
94 significantly over the last decades. Northern Hemisphere background concentrations of O<sub>3</sub> are now close to  
95 established levels for impacts on human health and the terrestrial environment (Royal-Society, 2008). Therefore,  
96 although peak O<sub>3</sub> concentrations are in decline across Europe, plants are exposed to increasing background levels,  
97 at levels currently causing chronic damage (Mills et al., 2011b). Intercontinental transport means future O<sub>3</sub>



98 concentrations in Europe are dependent on how O<sub>3</sub> precursor emissions evolve globally, including regions such  
99 as Asia that currently have poor emission controls (Cooper et al., 2010;Verstraeten et al., 2015).

100

101 Elevated O<sub>3</sub> concentrations impact agricultural yields and nutritional quality of major crops, with consequences  
102 for global food security (Tai et al., 2014). As well as being a significant air pollutant, O<sub>3</sub> is a potent greenhouse  
103 gas (Royal-Society, 2008). High levels of O<sub>3</sub> are damaging to ecosystem health and reduce the global land carbon  
104 sink (Arnth et al., 2010;Sitch et al., 2007). Reduced uptake of carbon by plant photosynthesis due to O<sub>3</sub> damage  
105 allows more CO<sub>2</sub> to remain in the atmosphere. This effect of O<sub>3</sub> on plant physiology represents an additional  
106 climate warming to the direct radiative forcing of O<sub>3</sub> (Collins et al., 2010;Sitch et al., 2007), the magnitude of  
107 which, however, remains highly uncertain (IPCC, 2013).

108

109 Dry deposition of O<sub>3</sub> to terrestrial surfaces, primarily uptake by stomata on plant foliage and deposition on external  
110 surfaces of vegetation, is a significant sink for ground level O<sub>3</sub> (Fowler et al., 2009). On entry to sub-stomatal  
111 spaces, O<sub>3</sub> reacts with other molecules to form reactive oxygen species (ROS). Plants can tolerate a certain level  
112 of O<sub>3</sub> depending on their capacity to scavenge and detoxify the ROS (Ainsworth et al., 2012). Above this critical  
113 level, long-term chronic O<sub>3</sub> exposure reduces plant photosynthesis and biomass accumulation (Ainsworth,  
114 2008;Ainsworth et al., 2012;Matyssek et al., 2010a;Wittig et al., 2007;Wittig et al., 2009), either directly through  
115 effects on photosynthetic machinery such as reduced Rubisco content (Ainsworth et al., 2012;Wittig et al., 2009)  
116 and/or indirectly by reduced stomatal conductance ( $g_s$ ) (Kitao et al., 2009;Wittig et al., 2007), alters carbon  
117 allocation to different pools (Grantz et al., 2006;Wittig et al., 2009), accelerates leaf senescence (Ainsworth,  
118 2008;Nunn et al., 2005;Wittig et al., 2009) and changes plant susceptibility to biotic stress factors (Karnosky et  
119 al., 2002;Percy et al., 2002).

120

121 Understanding the response of plants to elevated tropospheric O<sub>3</sub> is challenged by the large variation in O<sub>3</sub>  
122 sensitivity both within and between species (Karnosky et al., 2007;Kubiske et al., 2007;Wittig et al., 2009).  
123 Additionally, other environmental stresses that affect stomatal behaviour will affect the rate of O<sub>3</sub> uptake and  
124 therefore the response to O<sub>3</sub> exposure, such as high temperature, drought and changing concentrations of  
125 atmospheric CO<sub>2</sub> (Mills et al., 2016;Fagnano et al., 2009;Kitao et al., 2009;Löv et al., 2006), such that the  
126 response of vegetation to O<sub>3</sub> is a balance between opposing drivers of stomatal behaviour.

127

128 Here we assess the impact of historical and projected changes in tropospheric O<sub>3</sub> concentration from 1901 to 2050  
129 on the European land-carbon sink using the Joint UK land environment simulator (JULES) (Best et al., 2011;Clark  
130 et al., 2011), the land-surface model of the UK Earth System Model (UKESM) (Collins et al., 2011). Plant O<sub>3</sub>  
131 sensitivity in JULES was re-calibrated (high and low plant O<sub>3</sub> sensitivity) using the latest observations for  
132 European vegetation in order to capture a range of plant sensitivities to O<sub>3</sub> – this includes separate sensitivities for  
133 Mediterranean regions, and for agricultural crops (wheat) versus natural grassland. We make a separate distinction  
134 for the Mediterranean region where possible because the work of Büker et al. (2015) showed that different O<sub>3</sub>  
135 dose-response relationships are needed to describe the O<sub>3</sub> sensitivity of dominant Mediterranean trees. We modify  
136 the representation of stomatal O<sub>3</sub> flux in JULES from Sitch *et al.*, (2007) by including a term for non-stomatal  
137 deposition of O<sub>3</sub> to leaf surfaces which is recognised as an important sink for ground-level O<sub>3</sub>. Given the critical



138 role  $g_s$  plays in the uptake of both  $\text{CO}_2$  and  $\text{O}_3$ , we use an improved representation and parameterisation of  $g_s$  in  
139 JULES by implementing the Medlyn *et al.* (2011)  $g_s$  formulation. Based on the optimal theory of stomatal  
140 behaviour, Medlyn *et al.*, (2011) has the following advantages over the current JULES  $g_s$  formulation: i) a single  
141 parameter ( $g_1$ ) which represents the marginal cost of water-use; ii) easy to parameterise with leaf or canopy level  
142 observations, and (iii) values of  $g_1$  are available for different plant functional types (PFTs) derived from a global  
143 data set of measured leaf stomatal conductance, photosynthesis and vapour pressure deficit (VPD) (Lin *et al.*,  
144 2015).

145

146 We use a factorial suite of model experiments to investigate the temporal and spatial evolution of  $\text{O}_3$  impacts on  
147 European vegetation from 1901 to 2050. We do not attempt to make a full assessment of the carbon cycle of  
148 Europe, instead we target  $\text{O}_3$  damage which is currently a missing component in earlier carbon cycle assessments.  
149 Accounting for the well-known differences in plant sensitivity to  $\text{O}_3$  is complex, therefore, here we provide a  
150 sensitivity assessment by using two sets of simulations – a high and lower plant  $\text{O}_3$  sensitivity parameterisation,  
151 with  $\text{O}_3$  sensitivities that vary by PFT and region. We investigate the interaction between  $\text{CO}_2$  and  $\text{O}_3$ , the two  
152 greenhouse gases that directly affect plant photosynthesis, and indirectly  $g_s$ . Our aim is to quantify the impact of  
153 these two gases on GPP and land carbon storage across Europe. We go beyond the present-day carbon budget and  
154 investigate the impact of state-of-art future scenarios up to year 2050.

155

## 156 2 Methods

157

### 158 2.1 Representation of $\text{O}_3$ effects in JULES

159

160 JULES calculates the land-atmosphere exchanges of heat, energy, mass, momentum and carbon on a sub-daily  
161 time step, and includes a dynamic vegetation model (Best *et al.*, 2011; Clark *et al.*, 2011; Cox, 2001). This work  
162 uses JULES version 3.3 (<http://www.jchmr.org>), which uses a multi-layer canopy radiation interception and  
163 photosynthesis scheme (10 layers in this instance) that accounts for direct and diffuse radiation, sun fleck  
164 penetration through the canopy, inhibition of leaf respiration in the light and change in photosynthetic capacity  
165 with depth into the canopy (Clark *et al.*, 2011; Mercado *et al.*, 2009). Soil water content also affects the rate of  
166 photosynthesis and  $g_s$ . It is modelled using a dimensionless soil water stress factor,  $\beta$ , which is related to the mean  
167 soil water concentration in the root zone, and the soil water contents at the critical and wilting point (Best *et al.*,  
168 2011).

169

170 To simulate the effects of  $\text{O}_3$  deposition on vegetation productivity and water use, JULES uses the flux-gradient  
171 approach of Sitch *et al.*, (2007), modified to include non-stomatal deposition following Tuovinen *et al.* (2009).  
172 JULES uses a coupled model of  $g_s$  and photosynthesis; because of the relationship between these two fluxes, the  
173 direct effect of  $\text{O}_3$  damage on photosynthetic rate also leads to a reduction in  $g_s$ . Changes in atmospheric  $\text{CO}_2$   
174 concentration also affect photosynthetic rate and  $g_s$ , consequently the interaction between changing concentrations  
175 of both gases is allowed for. Specifically, the potential net photosynthetic rate ( $A_p$ ,  $\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) is modified  
176 by an ' $\text{O}_3$  uptake' factor ( $F$ , the fractional reduction in photosynthesis), so that the actual net photosynthesis ( $A_{net}$ ,  
177  $\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) is given by equation 1 (Clark *et al.*, 2011, Sitch *et al.*, 2007).



178

$$179 \quad A_{net} = A_p F \quad (1)$$

180

181 The O<sub>3</sub> uptake factor ( $F$ ) is defined as:

182

$$183 \quad F = 1 - a * \max[F_{O_3} - F_{O_3crit}, 0.0] \quad (2)$$

184

185  $F_{O_3}$  is the instantaneous leaf uptake of O<sub>3</sub> (nmol m<sup>-2</sup> s<sup>-1</sup>),  $F_{O_3crit}$  is a PFT-specific threshold for O<sub>3</sub> damage (nmol  
 186 m<sup>-2</sup> PLA s<sup>-1</sup>, projected leaf area), and 'a' is a PFT-specific parameter representing the fractional reduction of  
 187 photosynthesis with O<sub>3</sub> uptake by leaves. Following Tuovinen et al. (2009), the flux of O<sub>3</sub> through stomata,  $F_{O_3}$ ,  
 188 is represented as follows:

189

$$190 \quad F_{O_3} = O_3 \left( \frac{g_b \left( \frac{g_l}{K_{O_3}} \right)}{g_b + \left( \frac{g_l}{K_{O_3}} \right) + g_{ext}} \right) \quad (3a)$$

191

192  $O_3$  is the molar concentration of O<sub>3</sub> at reference (canopy) level (nmol m<sup>-3</sup>),  $g_b$  is the leaf-scale boundary layer  
 193 conductance (m s<sup>-1</sup>, eq 3b),  $g_l$  is the leaf conductance for water (m s<sup>-1</sup>),  $K_{O_3}$  is the ratio of leaf resistance for O<sub>3</sub> to  
 194 leaf resistance for water vapour and takes a value of 1.51 after Massman (1998), and  $g_{ext}$  is the leaf-scale non-  
 195 stomatal deposition to external plant surfaces (m s<sup>-1</sup>) which takes a constant value of 0.0004 m s<sup>-1</sup> after Tuovinen  
 196 et al. (2009). The leaf-level boundary layer conductance ( $g_b$ ) is calculated as in Tuovinen *et al.* (2009)

197

$$198 \quad g_b = \alpha L d^{-1/2} U^{-1/2} \quad (3b)$$

199

200  $\alpha$  is a constant (0.0051 m s<sup>-1/2</sup>),  $Ld$  is the cross-wind leaf dimension (m) and  $U$  is wind speed at canopy height (m  
 201 s<sup>-1</sup>). The rate of O<sub>3</sub> uptake is dependent on  $g_s$ , which is dependent on photosynthetic rate. Given  $g_s$  is a linear  
 202 function of photosynthetic rate in JULES (Clark et al., 2011), from eq 1 it follows that:

203

$$204 \quad g_s = g_l F \quad (4)$$

205

206 The O<sub>3</sub> flux to stomata,  $F_{O_3}$ , is calculated at leaf level and then scaled to each canopy layer differentiating sunlit  
 207 and shaded leaf photosynthesis, and finally summed up to the canopy level. Because the photosynthetic capacity,  
 208 photosynthesis and therefore  $g_s$ , decline with depth into the canopy, this in turn affects O<sub>3</sub> uptake, with the top leaf  
 209 level contributing most to the total O<sub>3</sub> flux and the lowest level contributing least.

210

## 211 2.2 Calibration of O<sub>3</sub> uptake model for European vegetation

212

213 Each JULES PFT (broadleaf, needle leaf, C<sub>3</sub> and C<sub>4</sub> herbaceous, and shrub) was calibrated for a high and low  
 214 plant O<sub>3</sub> sensitivity to account for uncertainty in variation of species sensitivity to O<sub>3</sub>, using the approach of Sitch  
 215 *et al.*, (2007). For the C<sub>3</sub> herbaceous PFT – the dominant land cover type across Europe in this study (Fig. S1) -  
 216 the O<sub>3</sub> sensitivity was calibrated against observations for wheat to give a representation of agricultural regions



217 (high plant O<sub>3</sub> sensitivity), versus natural grassland (low plant O<sub>3</sub> sensitivity), with a separate function for  
 218 Mediterranean grasslands (low plant O<sub>3</sub> sensitivity) (Table S1 and Figure S2).

219

220 To calibrate the JULES O<sub>3</sub> sensitivity (parameter ‘*a*’ in eq 2), JULES was run to be as directly comparable as  
 221 possible to the dose-based O<sub>3</sub> risk indicator used in CLRTAP (2017), using the O<sub>3</sub> flux per projected leaf area to  
 222 top canopy sunlit leaves. Hourly averaged  $F_{O_3}$  in excess of  $F_{O_3crit}$  were accumulated over a species specific  
 223 accumulation period. Values of  $F_{O_3crit}$  came from observations, the parameter ‘*a*’ was modified until the modelled  
 224 change in response variable with cumulative uptake of O<sub>3</sub> above the specified threshold matched the observations  
 225 (see further method details in SI).

226

### 227 2.3 Representation of stomatal conductance

228

229 In JULES,  $g_s$  (m s<sup>-1</sup>) is represented following the closure proposed by (Jacobs, 1994):

230

$$231 \quad g_s = 1.6RT_l \frac{A_{net}\beta}{c_a - c_i} \quad (5)$$

232

233 Where in the default JULES model:

234

$$235 \quad c_i = (c_a - c_*)f_0 \left(1 - \frac{dq}{dq_{crit}}\right) + c_* \quad (6)$$

236

237  $\beta$  is a soil moisture stress factor, the factor 1.6 accounts for  $g_s$  being the conductance for water vapour rather than  
 238 CO<sub>2</sub>,  $R$  is the universal gas constant (J K<sup>-1</sup> mol<sup>-1</sup>),  $T_l$  is the leaf surface temperature (K),  $c_a$  and  $c_i$  (both Pa) are the  
 239 leaf surface and internal CO<sub>2</sub> partial pressures, respectively,  $c_*$  (Pa) is the CO<sub>2</sub> photorespiration compensation  
 240 point,  $dq$  is the humidity deficit at the leaf surface (kg kg<sup>-1</sup>),  $dq_{crit}$  (kg kg<sup>-1</sup>) and  $f_0$  are PFT specific parameters  
 241 representing the critical humidity deficit at the leaf surface, and the leaf internal to atmospheric CO<sub>2</sub> ratio ( $c_i/c_a$ )  
 242 at the leaf specific humidity deficit (Best *et al.* 2011), values are shown in Table S1.

243

244 In this work, we replace equation 6 with the Medlyn *et al.* (2011) closure using the key PFT specific model  
 245 parameter  $g_l$  (kPa<sup>0.5</sup>) and  $dq$  is expressed in kPa, shown in eq 7:

246

$$247 \quad c_i = c_a \left( \frac{g_l}{g_l + \sqrt{dq}} \right) \quad (7)$$

248

249 PFT specific values of the  $g_l$  parameter were derived for European vegetation from the data base of Lin *et al.*  
 250 (2015) and are shown in Table S1. The  $g_l$  parameter represents the sensitivity of the  $g_s$  to the assimilation rate,  
 251 i.e. plant water use efficiency. It was derived from fitting the Medlyn *et al.*, (2011) model to observations of  $g_s$ ,  
 252 photosynthesis, and VPD (Lin *et al.*, 2015). The study of Hoshika *et al.* (2013) found an effect of O<sub>3</sub> on  $g_l$  for  
 253 beech trees (*Fagus crenata*) only at the start of the growing season (June), but not during the following months  
 254 (August and October). Quantifying an O<sub>3</sub> effect directly on  $g_l$  would require a detailed meta-analysis of empirical  
 255 data on photosynthesis and  $g_s$  for different PFTs, which is currently lacking in the literature. As explained above,



256 here we take an empirical approach to modelling plant O<sub>3</sub> damage, essentially by applying a reduction factor to  
257 the simulated plant photosynthesis based on observations of whole plant losses of biomass with O<sub>3</sub> exposure, for  
258 which there is a lot more available data (e.g. CLRTAP, 2017). The impact of the  $g_s$  model formulation is shown  
259 for two contrasting grid points (wet/dry) in central Europe (see SI).

260

## 261 **2.4 Model simulations for Europe**

262

### 263 **2.4.1 Forcing datasets**

264

265 We used the WATCH meteorological forcing data set (Weedon et al., 2010; Weedon et al., 2011) at 0.5° x 0.5°  
266 spatial and three hour temporal resolution for our JULES simulations. JULES interpolates this down to an hourly  
267 model time step. For this study, the climate was kept constant by recycling over the period 1901 to 1920, to allow  
268 us to fully understand the impact O<sub>3</sub>, CO<sub>2</sub> and their interaction.

269

270 Pre-industrial global CO<sub>2</sub> concentrations (1900 to 1960) were taken from Etheridge et al. (1996), 1960 to 2002  
271 were from Mauna Loa (Keeling and Whorf, 2004), as calculated by the Global Carbon Project (Le Quééré et al.,  
272 2016), and 2003-2050 were based on the IPCC SRES A1B scenario and were linearly interpolated to gap fill  
273 missing years (Fig. 1).

274

275 JULES was run including dynamic vegetation with a land cover mask giving the fraction of agriculture in each  
276 grid cell based on the Hurtt et al. (2011) land cover database for the year 2000. Within the agricultural mask, only  
277 C<sub>3</sub>/C<sub>4</sub> herbaceous PFTs are allowed to grow and all other PFTs are assumed absent. By including dynamic  
278 vegetation, grid cell PFT coverage and Leaf Area Index (LAI) is a result of resource availability and simulated  
279 competition. Following a full spin-up period (to ensure equilibrium vegetation, carbon and water states), the  
280 fractional cover of each PFT changed little over the simulation period (1901 - 2050), the land cover for 2050 is  
281 shown in Fig. S1. The model experiments in this study are run for both a high and low plant O<sub>3</sub> sensitivity: for the  
282 high plant O<sub>3</sub> sensitivity, all herbaceous PFT fractional cover uses the O<sub>3</sub> sensitivity for wheat, and for the low  
283 plant O<sub>3</sub> sensitivity, all herbaceous PFT fractional cover uses the O<sub>3</sub> sensitivity for natural grasslands.

284

285 Tropospheric O<sub>3</sub> concentration was produced by the EMEP MSC-W model (Simpson et al., 2012), driven with  
286 meteorology from the regional climate model RCA3 (Kjellström et al., 2011; Samuelsson et al., 2011), which  
287 provides a downscaling of the ECHAM A1B-r3 (simulation 11 of Kjellström *et al.*, 2011). This setup  
288 (EMEP+RCA3) is also used by Langner et al. (2012), Simpson et al. (2014a), Tuovinen et al. (2013), Franz et al.  
289 (2017) and Engardt et al. (2017), where further details and model evaluation can be found. Unfortunately, the 3-  
290 dimensional RCA3 data needed by the EMEP model was not available prior to 1960, but as in Engardt et al.  
291 (2017) the meteorology of 1900-1959 had to be approximated by assigning random years from 1960 to 1969. This  
292 procedure introduces some uncertainty of course, but Langner et al. (2012) show that it is emissions change, rather  
293 than meteorological change, that drives modelled ozone concentrations. The emissions scenarios for 1900-2050  
294 merge data from the International Institute of Applied System Analysis (IIASA) for 2005-2050 (the so-called

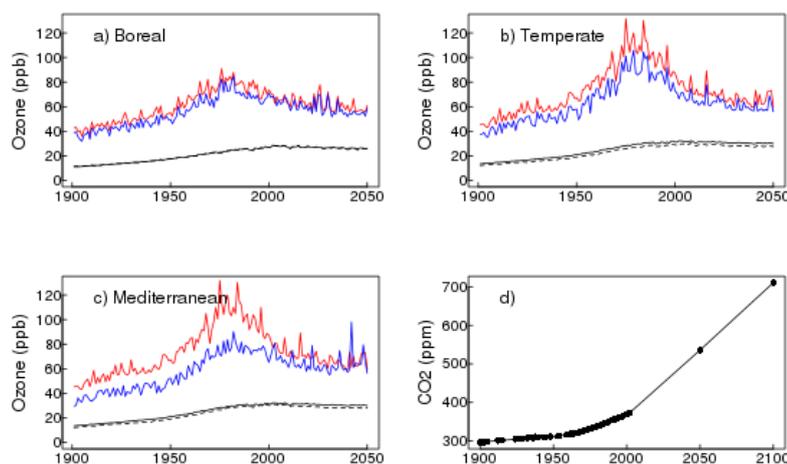


295 ECLIPSE 4a scenario, Klimont *et al.* (2016)), recently revised EMEP data for 1990, and a scaling back from 1990  
296 to 1900 using data from Lamarque *et al.* (2013). The EMEP model accounts for changes in BVOC emissions as a  
297 result of predicted ambient temperature changes, however as with all uncoupled modelling studies, there is no  
298 interaction between changes in leaf-level  $g_s$ , BVOCs and  $O_3$  formation.

299

300 This study used daily mean values of tropospheric  $O_3$  concentration from EMEP MSC-W disaggregated down to  
301 the hourly JULES model time-step. Hourly  $O_3$  values allow for variation in the diurnal response to  $O_3$  exposure  
302 resulting in more accurate estimation of  $O_3$  uptake.  $O_3$  concentrations from EMEP were calculated at canopy  
303 height for two land-cover categories: forest and grassland (Fig. S3 and Fig. S4), which are taken as surrogates for  
304 high and low vegetation, respectively. These canopy-height specific concentrations allow for the large gradients  
305 in  $O_3$  concentration that can occur in the lowest 10s of metres, giving lower  $O_3$  for grasslands than seen at e.g. 20  
306 m in a forest canopy (Simpson *et al.*, 2012; Tuovinen *et al.*, 2009). Figure 1 shows the regional mean annual  $O_3$   
307 concentration (regions are depicted in Fig. S5) along with the annual maximum. Together these clearly show the  
308 trend of increasing  $O_3$  concentration on pre-industrial levels in all regions, although notably lower increases in the  
309 Boreal region. Around the 1990's  $O_3$  concentrations stabilise and then start to decrease into the future.

310



311

312 **Figure 1.** Regional time series of canopy height  $O_3$  (ppb) forcing from EMEP a) to c), and d) global atmospheric  
313  $CO_2$  (ppm) concentration (this does not vary regionally; black dots show data points, the black line shows  
314 interpolated points). Each panel for the  $O_3$  forcing shows the regional annual average (woody PFTs, black solid  
315 line; herbaceous PFTs, black dashed line) and the annual maximum  $O_3$  concentration above: woody PFTs (red  
316 and herbaceous PFTs (blue).

317

#### 318 2.4.2 Spin up and factorial experiments

319

320 JULES was spun-up by recycling the climate from the early part of the twentieth century (1901 to 1920) using  
321 atmospheric  $CO_2$  (296.1 ppm) and  $O_3$  concentrations from 1901 (Fig. S3 & Fig. S4). Model spin-up was 2000



322 years by which point the carbon pools and fluxes were in steady state with zero mean net land – atmosphere CO<sub>2</sub>  
323 flux. We performed the following transient simulations for the period 1901 to 2050 with continued recycling of  
324 the climate as used in the spin-up, for both high and low plant O<sub>3</sub> sensitivities:

325

- 326 • **O3** : Fixed 1901 CO<sub>2</sub>, Varying O<sub>3</sub>
- 327 • **CO2** : Varying CO<sub>2</sub>, Fixed 1901 O<sub>3</sub>
- 328 • **CO2 + O3** : Varying CO<sub>2</sub>, Varying O<sub>3</sub>

329

330 We use these simulations to investigate the direct effects of changing atmospheric CO<sub>2</sub> and O<sub>3</sub> concentrations,  
331 individually and combined, on plant physiology through the twentieth century and into the future, specifically  
332 over three time periods: historical (1901-2001), future (2001-2050) and over the full time series (1901-2050). See  
333 more details in the SI.

334

### 335 **3 Results**

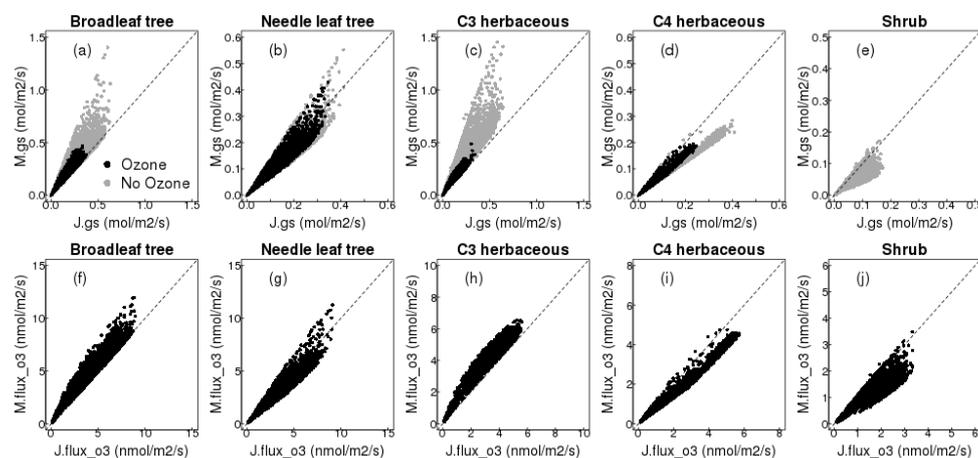
336

#### 337 **3.1 Impact of *g<sub>s</sub>* model formulation**

338

339 The impact of *g<sub>s</sub>* model on simulated *g<sub>s</sub>* is shown for the wet site (Fig. 2). For the broadleaf tree and C<sub>3</sub> herbaceous  
340 PFT, the Medlyn *g<sub>s</sub>* model simulates a significantly larger conductance compared to the Jacobs *g<sub>s</sub>* model. In other  
341 words, with the Medlyn *g<sub>s</sub>* model these two PFTs are parameterised with a less conservative water use strategy,  
342 which, for the grid point used in the simulation, increased the annual mean leaf-level water use by 22 % and 45  
343 %, respectively. In contrast, the needle leaf tree, C<sub>4</sub> herbaceous and shrub PFTs are parameterised with a more  
344 conservative water use strategy with the Medlyn *g<sub>s</sub>* model, and the mean annual *g<sub>s</sub>* was decreased by 16 %, 32 %  
345 and 41 %, respectively, compared to the Jacobs *g<sub>s</sub>* model. This comparison was also done for a dry site, and similar  
346 results were found (Fig. S6), suggesting these results are representative across the domain. The effect of *g<sub>s</sub>* model  
347 on simulated photosynthesis was much smaller because of the low sensitivity of the limiting rates of  
348 photosynthesis to changes in *c<sub>i</sub>* (Fig. S7 & S8). Changes in leaf-level *g<sub>s</sub>* impact the partitioning of simulated energy  
349 fluxes. In general, increased *g<sub>s</sub>* results in increased latent heat and thus decreased sensible heat flux, and vice versa  
350 where *g<sub>s</sub>* is decreased (Fig. S7 & S8). Also shown is the effect of the Medlyn *g<sub>s</sub>* model on O<sub>3</sub> flux into the leaf  
351 (Fig. 2 and Fig. S6, bottom panels). For the broadleaf tree and C<sub>3</sub> herbaceous PFT, the Medlyn model simulates a  
352 larger conductance and therefore a greater flux of O<sub>3</sub> through stomata compared to Jacobs, and this is indicative  
353 of the potential for greater reductions in photosynthesis (Fig. S7 & S8). The reverse is seen for the needle leaf  
354 tree, C<sub>4</sub> herbaceous and shrub PFTs.

355



356

357 **Figure 2.** Comparison of simulated  $g_s$  with the Medlyn *et al.*, (2011) (y axis) versus the Jacobs (1994) formulation  
 358 (x axis) currently used in JULES for all five JULES PFTs, for stomatal conductance ( $g_s$ , top row) and the flux of  
 359 O<sub>3</sub> through the stomata ( $flux_{o3}$ , bottom row).

360

### 361 3.2 European simulations - Historical Period: 1901-2001

362

363 Over the historical period (1901-2001), the physiological effect of O<sub>3</sub> reduced GPP (-3% to -9%) for the low and  
 364 high plant O<sub>3</sub> sensitivity parameterizations, respectively (Table 1). Figure 3 highlights regional variations,  
 365 however, where simulated reductions in GPP are up to 20% across large areas of Europe, and up to 30% in some  
 366 Mediterranean regions under the high plant O<sub>3</sub> sensitivity. Some Boreal and Mediterranean regions show  
 367 increased GPP over this period, associated with O<sub>3</sub> induced stomatal closure enhancing water availability in these  
 368 drier regions (Fig. 4), enhancing stomatal conductance later in the year (up to 10%, Fig. 5) and therefore GPP, but  
 369 these regions comprise only a small area of the entire domain. Indeed, over much of the Europe, O<sub>3</sub>-induced  
 370 stomatal closure led to reduced  $g_s$  (up to 20%) across large areas of temperate Europe and the Mediterranean, and  
 371 even greater reductions in some smaller regions of southern Mediterranean (Fig. 5), and these are not associated  
 372 with significant increases in soil moisture availability (Fig. 4), resulting in depressed GPP over much of Europe  
 373 as described above. Under the low plant O<sub>3</sub> sensitivity, similar spatial patterns occur, but the magnitude of GPP  
 374 change (up to -10% across much of Europe) and  $g_s$  change (-5% to -10%) are lower compared to the high  
 375 sensitivity. Over the twentieth century the land carbon sink is significantly suppressed (-2% to -6%, Table 1).  
 376 Large regional variation is shown in Figure 3, with temperate and Mediterranean Europe seeing a large reduction  
 377 in land carbon storage, particularly under the high plant O<sub>3</sub> sensitivity (up to -15%). Combined, the physiological  
 378 response to changing CO<sub>2</sub> and O<sub>3</sub> concentrations results in a net loss of land carbon over the twentieth century  
 379 under the high plant O<sub>3</sub> sensitivity (-2%, Table 1), dominated by loss of soil carbon (Table S2). This reflects the  
 380 large increases in tropospheric O<sub>3</sub> concentration observed over this period (Fig. 1). Under the low plant O<sub>3</sub>  
 381 sensitivity, the land carbon sink has started to recover by 2001 (+1.5%) owing to the recovery of the soil carbon  
 382 pool beyond 1901 values over this period (Table S2).

383



384 To gain perspective on the magnitude of the O<sub>3</sub> induced flux of carbon from the land to the atmosphere we relate  
385 changes in total land carbon to carbon emissions from fossil fuel combustion and cement production for the EU-  
386 28-plus countries from the data of Boden et al. (2013). We recognise that our simulation domain is slightly larger  
387 than the EU28-plus as it includes a small area of western Russia so direct comparisons cannot be made, but this  
388 still provides a useful measure of the size of the carbon flux. For the period 1970 to 1979 the simulated loss of  
389 carbon from the European terrestrial biosphere due to O<sub>3</sub> effects on vegetation physiology was on average 1.32  
390 Pg C (high vegetation sensitivity) and 0.71 Pg C (low vegetation sensitivity) (Table 2). This O<sub>3</sub> induced reduced  
391 C uptake of the land surface is equivalent to around 8% to 16% of the emissions of carbon from fossil fuel  
392 combustion and cement production over the same period for the EU28-plus countries (Table 2). Currently the  
393 emissions data availability goes up to 2011, so over the last observable decade (2002 to 2011) this land carbon  
394 loss has declined but is still equivalent to 2% to 4% of the emissions of carbon from fossil fuels and cement  
395 production for the EU28-plus countries (Table 2). Therefore, the indirect O<sub>3</sub> effect on the land carbon sink  
396 potentially represents a significant additional source of anthropogenic carbon.

397

### 398 **3.3 European simulations - Future Period: 2001-2050**

399

400 Over the 2001 to 2050 period, region-wide GPP with O<sub>3</sub> only changing increased marginally (+0.1% to +0.2%,  
401 high and low plant O<sub>3</sub> sensitivity, Table 1), although with large spatial variability (Fig. 3). This reflects changes  
402 in tropospheric O<sub>3</sub> concentration as emission control policies reduce O<sub>3</sub> concentrations. Figures S4 and S5 show  
403 that despite decreased tropospheric O<sub>3</sub> concentrations by 2050 in summer compared to 2001 levels, all regions are  
404 exposed to an increase in O<sub>3</sub> over the wintertime, and some regions of Europe, particularly  
405 temperate/Mediterranean experience increases in O<sub>3</sub> concentration in spring and autumn. Therefore, although  
406 increased GPP (dominantly 10%, but up to 20% in some areas) on 2001 levels is simulated across large areas of  
407 Europe, decreases of up to 21% are simulated in some areas of the Mediterranean, up to 15% in some areas of the  
408 boreal region and up to 27% in the temperate zone. When O<sub>3</sub> and CO<sub>2</sub> effects are combined, simulated GPP  
409 increases (+15% to +18%, high/low plant O<sub>3</sub> sensitivities respectively, Table 1). This increase is greater than the  
410 enhancement simulated when CO<sub>2</sub> affects plant growth independently, because additional O<sub>3</sub> induced stomatal  
411 closure increases soil water availability in some regions, which enhances growth more in the O<sub>3</sub> and CO<sub>2</sub>  
412 simulations, compared to the CO<sub>2</sub> only run. Nevertheless, although the percentage gain is larger, the absolute  
413 value of GPP by 2050 remains lower compared to GPP with CO<sub>2</sub> only changing (Table S3).

414

415 Despite small increases in GPP in the O<sub>3</sub>-only simulation, the land carbon sink continues to decline from 2001  
416 levels (-0.7% to -1.6%, low and high plant O<sub>3</sub> sensitivity respectively, Table 1). This is because the soil and  
417 vegetation carbon pools continue to lose carbon as they adjust slowly to small changes in input (GPP).  
418 Nevertheless, the negative effect of O<sub>3</sub> on the future land sink is markedly reduced relative to the historical period.  
419 Figure 3 however highlights regional differences. Boreal regions and parts of central Europe see minimal O<sub>3</sub>  
420 damage, whereas some areas of southern and northern Europe see further losses of up to 8% on 2001 levels. The  
421 combined O<sub>3</sub> and CO<sub>2</sub> effects are dominated by the physiological effects of changing CO<sub>2</sub>, with land carbon sink  
422 increases of up to 7% (Table 1).

423



#### 424 3.4 European simulations - Anthropocene: 1901-2050

425

426 Over the Anthropocene, O<sub>3</sub> reduces GPP (-4% to -9%) and land carbon storage (-3% to -7%, Table 1, Fig. S9).

427 Regionally, O<sub>3</sub> damage is lowest in the boreal zone, GPP decreases are largely between 5% to 8% / 2% to 4% for

428 the high/low plant O<sub>3</sub> sensitivity respectively, with large areas minimally affected by O<sub>3</sub> damage (Figure 6),

429 consistent with lower g<sub>s</sub> of needle leaf trees that dominate this region, and so lower O<sub>3</sub> uptake (Fig. S10 & S11).

430 In the temperate region, O<sub>3</sub> damage is extensive with reductions in GPP dominantly from 10% to 15% for the low

431 and high plant O<sub>3</sub> sensitivity respectively. Across significant areas of this region reductions in GPP are up to 20%

432 under high plant O<sub>3</sub> sensitivity (Figure 6). In the Mediterranean region, O<sub>3</sub> damage reduces GPP by 5% to 15% /

433 3% to 6% for the high/low plant O<sub>3</sub> sensitivity respectively, with some areas seeing greater losses of up to 20%

434 under the high plant O<sub>3</sub> sensitivity, but this is less extensive than that seen in the temperate zone (Figure 6). In

435 these drier regions, O<sub>3</sub> induced stomatal closure can increase available soil moisture (Fig. S10 & S11).

436

437 Varying CO<sub>2</sub> and O<sub>3</sub> together shows that CO<sub>2</sub> induced stomatal closure can help alleviate O<sub>3</sub> damage by reducing

438 the uptake of O<sub>3</sub> (Table S5). In these simulations, CO<sub>2</sub>-induced stomatal closure was found to offset O<sub>3</sub>-

439 suppression of GPP, such that GPP by 2050 is 3% to 7% lower due to O<sub>3</sub> exposure, rather than 4% to 9% lower

440 in the absence of increasing CO<sub>2</sub> (Table S5). Figure 6 shows this spatially, O<sub>3</sub> damage is reduced when the effect

441 of atmospheric CO<sub>2</sub> on stomatal closure is accounted for, however despite this, the land carbon sink and GPP

442 remain significantly reduced due to O<sub>3</sub> exposure.

443

444 Over the Anthropocene, changing O<sub>3</sub> and CO<sub>2</sub> in tandem results in an increase in European land carbon uptake

445 (+5% to +9%), and an increase in GPP (+20% to +23%) by 2050 for the high and low plant O<sub>3</sub> sensitivity,

446 respectively (Table 1). Nevertheless, despite this increase there remains a large negative impact of O<sub>3</sub> on the

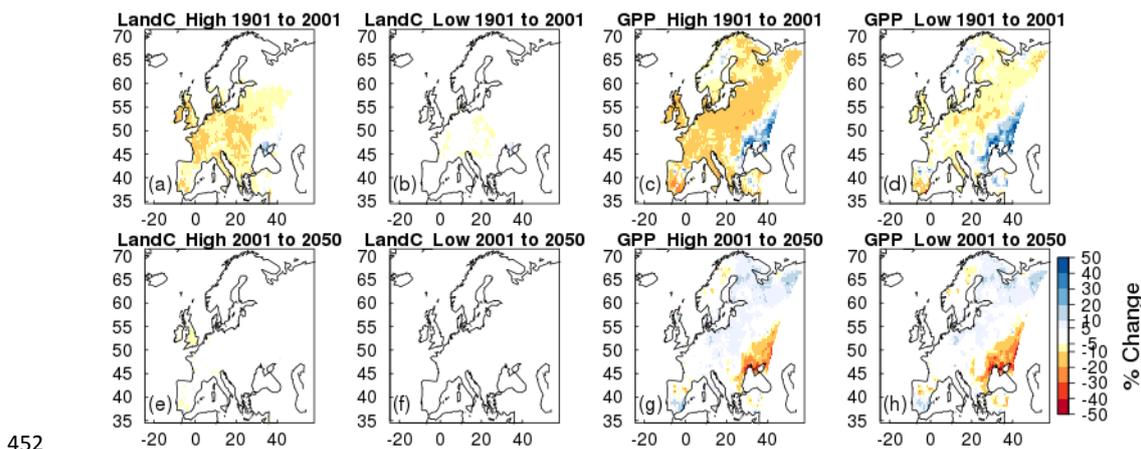
447 European land carbon sink (Fig. S9). By 2050 the simulated enhancement of land carbon and GPP in response to

448 elevated CO<sub>2</sub> alone is reduced by 3% to 6% (land carbon) and 4% to 9% (GPP) for the low and high plant O<sub>3</sub>

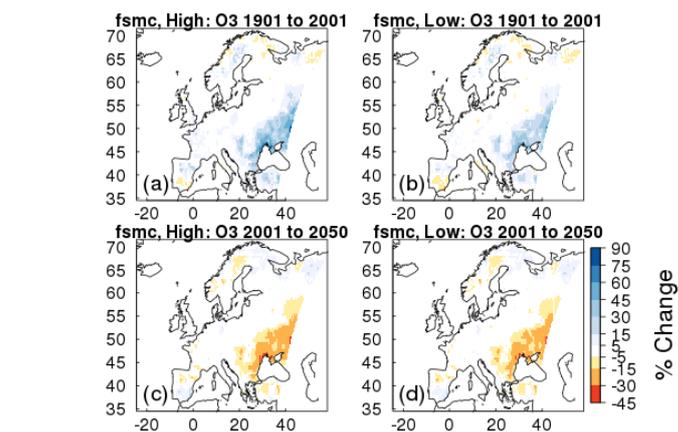
449 sensitivity respectively, when O<sub>3</sub> is also accounted for (Table 1). This is a significant reduction in the ability of

450 the European terrestrial biosphere to sequester carbon.

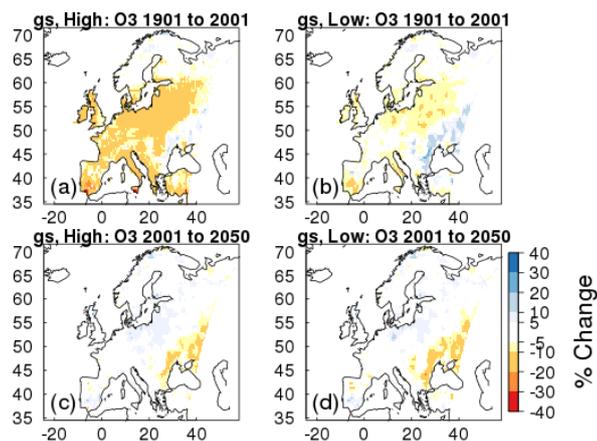
451



453 **Figure 3.** Simulated percentage change in total carbon stocks (Land C) and gross primary productivity (GPP) due  
454 to O<sub>3</sub> effects at fixed pre-industrial atmospheric CO<sub>2</sub> concentration. Changes are shown for the periods 1901 to  
455 2001, and 2001 to 2050 for the high and low plant O<sub>3</sub> sensitivity.  
456



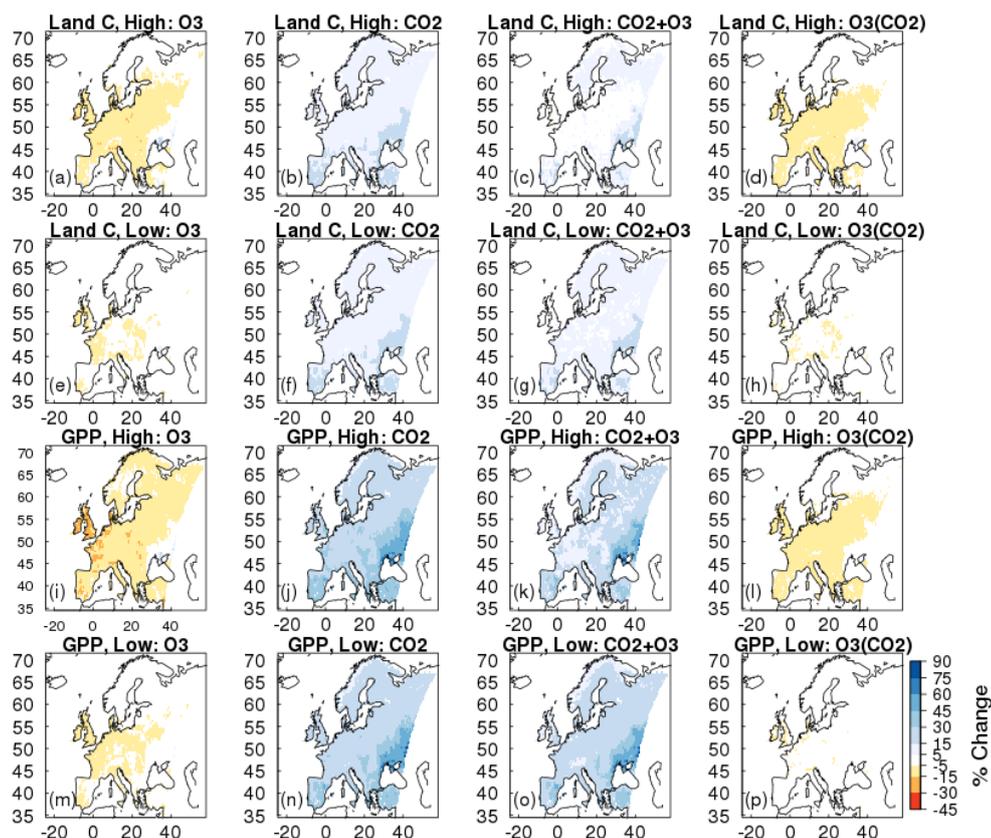
458 **Figure 4.** Simulated percentage change in plant available soil moisture (*fsmc*) due to O<sub>3</sub> effects at fixed pre-  
459 industrial atmospheric CO<sub>2</sub> concentration. Changes are shown for the periods 1901 to 2001, and 2001 to 2050 for  
460 the high and low plant O<sub>3</sub> sensitivity.  
461



462

463 **Figure 5.** Simulated percentage change in stomatal conductance ( $g_s$ ) due to  $O_3$  effects at fixed pre-industrial  
464 atmospheric  $CO_2$  concentration. Changes are shown for the periods 1901 to 2001, and 2001 to 2050 for the high  
465 and low plant  $O_3$  sensitivity.

466



467

468 **Figure 6.** Simulated percentage change in total carbon stocks (Land C) and gross primary productivity (GPP) due  
 469 to i) O<sub>3</sub> effects at fixed pre-industrial atmospheric CO<sub>2</sub> concentration (O<sub>3</sub>), ii) CO<sub>2</sub> fertilisation at fixed pre-  
 470 industrial O<sub>3</sub> concentration (CO<sub>2</sub>), iii) the interaction between O<sub>3</sub> and CO<sub>2</sub> effects (CO<sub>2</sub> + O<sub>3</sub>) iv) O<sub>3</sub> effects with  
 471 changing atmospheric CO<sub>2</sub> concentration (i.e. O<sub>3</sub> damage accounting for the effect of CO<sub>2</sub> induced stomatal  
 472 closure; O<sub>3</sub>(CO<sub>2</sub>)). Changes are depicted for the periods 1901 to 2050 for high and lower ozone plant sensitivity.

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	High Plant O <sub>3</sub> Sensitivity					
	1901 - 2001		2001 - 2050		1901 - 2050	
	GPP (Pg C yr <sup>-1</sup> )	Land C (Pg C)	GPP (Pg C yr <sup>-1</sup> )	Land C (Pg C)	GPP (Pg C yr <sup>-1</sup> )	Land C (Pg C)
Value in 1901:	9.05	167	-	-	9.05	167
Absolute Change:						
O <sub>3</sub>	-0.81	-9.21	0.01	-2.44	-0.80	-11.65
CO <sub>2</sub>	1.16	4.24	1.42	12.98	2.58	17.22
CO <sub>2</sub> + O <sub>3</sub>	0.13	-3.28	1.66	11.11	1.79	7.83
% Change:						
O <sub>3</sub>	-8.95	-5.51	0.12	-1.55	-8.84	-6.98
CO <sub>2</sub>	12.82	2.54	13.91	7.58	28.51	10.31
CO <sub>2</sub> + O <sub>3</sub>	1.44	-1.96	18.08	6.79	19.78	4.69
	Low Plant O <sub>3</sub> Sensitivity					
	1901 - 2001		2001 - 2050		1901 - 2050	
	GPP (Pg C yr <sup>-1</sup> )	Land C (Pg C)	GPP (Pg C yr <sup>-1</sup> )	Land C (Pg C)	GPP (Pg C yr <sup>-1</sup> )	Land C (Pg C)
Value in 1901:	9.34	167.5	-	-	9.34	167.5
Absolute Change:						
O <sub>3</sub>	-0.30	-3.59	0.02	-1.07	-0.40	-4.66
CO <sub>2</sub>	1.15	6.43	1.35	13.14	2.50	19.57
CO <sub>2</sub> + O <sub>3</sub>	0.65	2.50	1.50	12.35	2.15	14.85
% Change:						
O <sub>3</sub>	-3.21	-2.14	0.22	-0.65	-4.28	-2.78
CO <sub>2</sub>	12.31	3.84	12.87	7.55	26.77	11.68
CO <sub>2</sub> + O <sub>3</sub>	6.96	1.49	15.02	7.26	23.02	8.87

480

481 **Table 1.** Simulated changes in the European land carbon cycle due to changing O<sub>3</sub> and CO<sub>2</sub> concentrations  
 482 (independently and together). Shown are changes in total carbon stocks (Land C) and gross primary productivity  
 483 (GPP), over three different periods (historical: 1901 to 2001, future: 2001 to 2050, and Anthropocene: 1901 to  
 484 2050). Absolute (top) and relative (bottom) differences are shown. For 2001 to 2050, please refer to Table S3 for  
 485 the initial value for each run. See the SI for details of the estimation of the O<sub>3</sub> and CO<sub>2</sub> effects and their interaction.

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	Mean (Pg C)				
	1970-1979	1980-1989	1990-1999	2000-2009	2002-2011
<b>Modelled O<sub>3</sub> effect on land C sink :</b>					
Higher sensitivity	-1.32	-1.01	-0.97	-0.53	-0.50
Low sensitivity	-0.71	-0.58	-0.50	-0.29	-0.26
<b>Sum of C emissions from fossil fuel combustion and cement production (Pg C)</b>					
	8.39	8.63	12.26	12.83	12.75
<b>C lost from O<sub>3</sub> effect as a % of fossil fuel and cement emissions (%):</b>					
Higher sensitivity	-15.73	-11.70	-7.91	-4.13	-3.92
Low sensitivity	-8.46	-6.72	-4.08	-2.26	-2.04

496

497 **Table 2.** Simulated change in total land carbon due to O<sub>3</sub> damage with changing atmospheric CO<sub>2</sub> concentration  
 498 for the two vegetation sensitivities. The sum of carbon emissions for each decade from fossil fuel combustion and  
 499 cement production for the EU-28 countries plus Albania, Bosnia and Herzegovina, Iceland, Belarus, Serbia,  
 500 Moldova, Norway, Turkey, Ukraine, Switzerland and Macedonia (EU28-plus) are shown, the data is from Boden  
 501 *et al.*, 2013. The simulated change in land carbon as a result of O<sub>3</sub> damage is depicted as a percentage of the EU28-  
 502 plus emissions to demonstrate the magnitude of the additional source of carbon to the atmosphere from plant O<sub>3</sub>  
 503 damage.

504

#### 505 4 Discussion

506

507 Comparison of the new  $g_s$  model implemented in this study (Medlyn *et al.*, 2011) with the  $g_s$  model currently used  
 508 as standard in JULES (Jacobs 1994) revealed large differences in leaf-level  $g_s$  for each PFT, principally as a result  
 509 of the data-based parameterisation of the new model. Leaf-level water use increased for the broadleaf tree and C<sub>3</sub>  
 510 herbaceous PFTs using the Medlyn  $g_s$  model compared to Jacobs, but decreased for the needle leaf tree, C<sub>4</sub>  
 511 herbaceous and shrub PFTs which displayed a more conservative water use strategy compared to the Jacobs  
 512 parameterisation. These changes are in line with the work of De Kauwe *et al.* (2015) who found a reduction in  
 513 annual transpiration for evergreen needle leaf, tundra and C<sub>4</sub> grass regions when implementing the Medlyn  $g_s$   
 514 model into the Australian land surface scheme CABLE. Changes in leaf-level  $g_s$  in this study resulted in  
 515 differences in latent and sensible heat fluxes. Changes in the partitioning of energy fluxes at the land surface could  
 516 potentially have consequences for the intensity of heatwaves (Cruz *et al.*, 2010; Kala *et al.*, 2016), runoff (Betts *et al.*,  
 517 2007; Gedney *et al.*, 2006) and rainfall patterns (de Arellano *et al.*, 2012), although fully coupled simulations  
 518 would be necessary to detect these effects. The effect of the  $g_s$  model on simulated stomatal conductance led to  
 519 differences in the uptake of O<sub>3</sub> between the two  $g_s$  models because the leaf-level rate of  $g_s$  is the predominant  
 520 determinant of the flux of O<sub>3</sub> through stomata. Higher O<sub>3</sub> uptake is indicative of greater damage. Therefore, given  
 521 that C<sub>3</sub> herbaceous vegetation is the dominant land cover class across the European domain used in this study, this  
 522 suggests a greater O<sub>3</sub> impact for Europe could be simulated with the Medlyn  $g_s$  model, and that studies using the  
 523 Jacobs  $g_s$  formulation may underestimate the O<sub>3</sub> impact for Europe.

524



525 We compare results from the present study to values found in literature. The Wittig et al. (2007) meta-analysis of  
526 temperate and boreal tree species showed future concentrations of  $O_3$  predicted for 2050 significantly reduced leaf  
527 level light saturated net photosynthetic uptake (-19%, range: -3% to -28%) and  $g_s$  (-10%, range: +5% to -23%) in  
528 both broadleaf and needle leaf tree species. In the Feng et al. (2008) meta-analysis of wheat, projected  $O_3$   
529 concentrations for the future reduced aboveground biomass (-18%, CI -13% to -24%), photosynthetic rate (-20%)  
530 and  $g_s$  (-22%). One of few long-term field based  $O_3$  exposure studies (AspenFACE) showed that after 11 years of  
531 exposing mature trees to elevated  $O_3$  concentrations,  $O_3$  decreased ecosystem carbon content (-9%), and decreased  
532 NPP (-10%), although the  $O_3$  effect decreased through time (Talhelm et al., 2014). GPP was reduced (-12% to -  
533 19%) at two Mediterranean ecosystems (dominated by either *Pinus* species or *Citrus* species) studied by Fares et  
534 al. (2013). Biomass of mature beech trees was reduced (-44%) after 8 years of exposure to elevated  $O_3$  (Matyssek  
535 et al., 2010a). After 5 years of  $O_3$  exposure in a semi-natural grassland, annual biomass production was reduced  
536 (-23%), and in a Mediterranean annual pasture  $O_3$  exposure significantly reduced total aboveground biomass (up  
537 to -25%) (Calvete-Sogo et al., 2014). Results from the present study suggest projected  $O_3$  concentrations for 2050  
538 will reduce mean GPP for Europe (-4% to -9%), NPP (-6% to -11%), total carbon content (-3% to -7%) and  $g_s$  (-  
539 4% to -9%). Using GPP as a proxy for  $A_{sat}$  (these variables are not identical but they are related), our mean GPP  
540 and  $g_s$  estimates fall within the range given by the meta-analysis of Wittig et al. (2007). The remaining studies are  
541 not meta-analyses, so are site- and species- specific, our estimates appear to compare more conservatively with  
542 these, however these are a mean value for Europe and spatially our estimates show greater variability.

543

544 The impact of  $O_3$  on present day European GPP simulated in this study is slightly lower compared to previous  
545 studies. Our estimates suggest present day  $O_3$  reduced GPP by 3% to 9% on average across Europe and NPP by  
546 5% to 11%. Anav et al. (2011) simulated a 22% reduction of GPP across Europe for 2002 using the ORCHIDEE  
547 model. Present day  $O_3$  exposure reduced GPP by 10% to 25% in Europe, and 10.8% globally in the study by  
548 Lombardozzi et al. (2015) using the Community land model (CLM).  $O_3$  reduced NPP by 11.2% in Europe from  
549 1989 to 1995 using the Terrestrial Ecosystem Model (TEM) (Felzer et al., 2005). Globally, concentrations of  $O_3$   
550 predicted for 2100 reduced GPP by 14% to 23% using a former parameterisation of  $O_3$  sensitivity in JULES (Sitch  
551 et al., 2007). The recent study by Franz et al. (2017) showed mean GPP declined by 4.7% over the period 2001 to  
552 2010 using the OCN model over the same European domain used in this study. These similarly 'lower than  
553 expected' results are likely the result of using the same domain, and, more importantly,  $O_3$  forcing produced by  
554 the same model (EMEP MSC-W).

555

556 In this study,  $O_3$  has a detrimental effect on the size of the land carbon sink for Europe. This is primarily through  
557 a decrease in the size of the soil carbon pool as a result of reduced litter input to the soil, consistent with reduced  
558 GPP/NPP. Field studies show that in some regions of Europe, soil carbon stocks are decreasing (Bellamy et al.,  
559 2005;Capriel, 2013;Heikkinen et al., 2013;Sleutel et al., 2003). The study of Bellamy et al. (2005), for example,  
560 showed that carbon was lost from soils across England and Wales between 1978 to 2003 at a mean rate of 0.6%  
561 per year with little effect of land use on the rate of carbon loss, suggesting a possible link to climate change. It is  
562 understood that climate change is likely to affect soil carbon turnover. Increased temperatures increase microbial  
563 decomposition activity in the soil, and therefore increase carbon losses through higher rates of respiration (Cox et  
564 al., 2000;Friedlingstein et al., 2006;Jones et al., 2003). However, some studies have found that  $O_3$  can decrease



565 soil carbon content. Talhelm et al. (2014), for example, found O<sub>3</sub> reduced carbon content in near surface mineral  
566 soil of forest soils exposed to 11 years of O<sub>3</sub> fumigation. Hofmockel et al. (2011) found elevated O<sub>3</sub> reduced the  
567 carbon content in more stable soil organic matter pools, and Loya et al. (2003) showed that the fraction of soil  
568 carbon formed in forest soils over a 4 year experimental period when fumigated with both CO<sub>2</sub> and O<sub>3</sub> was reduced  
569 by 51% compared to the soil fumigated with CO<sub>2</sub> alone. It is agreed that amongst other factors that change with  
570 O<sub>3</sub> exposure such as litter quality and composition, reduced litter quantity also has significant detrimental  
571 consequences for soil carbon stocks (Andersen, 2003; Lindroth, 2010; Loya et al., 2003). Results from this study  
572 therefore suggest that increasing tropospheric O<sub>3</sub> may be a contributing factor to the declining soil carbon stocks  
573 observed across Europe as a result of reduced litter input to the soil carbon pool consistent with reduced NPP.

574

575 We acknowledge, however, that our model simulations do not include coupling of Nitrogen and Carbon cycles,  
576 or land management practices. Although we include a representation of agricultural regions through the model  
577 calibration against the wheat O<sub>3</sub> sensitivity function (CLRTAP, 2017), wheat is known to be one of the most O<sub>3</sub>  
578 sensitive crop species. As with all uncoupled modelling studies, a change in  $g_s$  and flux will impact the O<sub>3</sub>  
579 concentration itself. Therefore adopting the Medlyn formulation with a higher  $g_s$  and subsequently higher O<sub>3</sub> flux  
580 for broadleaf and C<sub>3</sub> PFTs (Fig 2) would lead to reduced O<sub>3</sub> concentration, which in turn would act to dampen the  
581 effect of higher  $g_s$  on O<sub>3</sub> flux. Essentially this study provides an ‘upper bound’ as in the high plant O<sub>3</sub> sensitivity  
582 scenario, all C<sub>3</sub>/C<sub>4</sub> fractional cover uses the wheat O<sub>3</sub> sensitivity. Additionally, this version of JULES does not  
583 have a crop module; it has no land management practices such as harvesting, ploughing or crop rotation –  
584 processes which may have counteracting effects on the land carbon sink. Further, without a coupled Carbon and  
585 Nitrogen cycle, it is likely that the CO<sub>2</sub> fertilisation response of GPP and the land carbon sink is over estimated in  
586 some regions of our simulations since nitrogen availability limits terrestrial carbon sequestration of natural  
587 ecosystems in the temperate and boreal zone (Zaehle, 2013). This would have consequences for our modelled O<sub>3</sub>  
588 impact, particularly into the future where the large CO<sub>2</sub> fertilisation effect was responsible for partly offsetting  
589 the negative impact of O<sub>3</sub>. Although in our simulations a high fraction of land cover is agricultural which we  
590 assume would be optimally fertilised. Nevertheless, we emphasise that this study provides a sensitivity assessment  
591 of the impact of plant O<sub>3</sub> damage on GPP and the land carbon sink.

592

593 Another caveat we fully acknowledge is that at the leaf-level JULES is parameterised to reduce  $g_s$  with O<sub>3</sub>  
594 exposure. Whilst this response is commonly observed (Wittig et al., 2007; Ainsworth et al., 2012), there is evidence  
595 to suggest that O<sub>3</sub> impairs stomata in some species, making them non-responsive to environmental stimuli (Hayes  
596 et al., 2012; Hoshika et al., 2012; Mills et al., 2009; Paoletti and Grulke, 2010). In drought conditions the mechanism  
597 is thought to involve O<sub>3</sub> stimulated ethylene production which interferes with the stomatal response to ABA  
598 signalling (Wilkinson and Davies, 2009; Wilkinson and Davies, 2010). Such stomatal sluggishness can result in  
599 higher O<sub>3</sub> uptake and injury, increased water-loss, and therefore greater vulnerability to environmental stresses  
600 (Mills et al., 2016). McLaughlin (2007a; 2007b) and Sun et al. (2012) provide evidence of increased transpiration  
601 and reduced streamflow in forests at the regional scale in response to ambient levels of O<sub>3</sub>, and suggest this could  
602 increase the frequency and severity of droughts. To our knowledge, the study of Hoshika et al. (2015) is the first  
603 to include an explicit representation of sluggish stomatal control in a land-atmosphere model, they show that  
604 sluggish stomatal behaviour has significant implications for carbon and water cycling in ecosystems. However, it



605 is by no means a ubiquitous response, and it is not fully understood which species respond this way and under  
606 what conditions (Mills et al., 2016; Wittig et al., 2007). Nevertheless, this remains an important area of future  
607 work.

608

609 Comprehensive analyses of the European carbon balance suggest a significant biogenic carbon sink (Janssens et  
610 al., 2003; Luysaert et al., 2012; Schulze et al., 2009). However, estimates are hampered by large uncertainties in  
611 key components of the land carbon balance, such as estimates of soil carbon gains and losses (Ciais et al.,  
612 2010; Janssens et al., 2003; Schulze et al., 2009; Schulze et al., 2010). We suggest that the effect of O<sub>3</sub> on plant  
613 physiology is a contributing factor to the decline in soil carbon stores observed across Europe, and as such this O<sub>3</sub>  
614 effect is a missing component of European carbon cycle assessments. Over the Anthropocene, our results show  
615 elevated O<sub>3</sub> concentrations reduce the amount of carbon that can be stored in the soil by 3% to 9% (low and high  
616 plant O<sub>3</sub> sensitivity, respectively), which almost completely offsets the beneficial effects of CO<sub>2</sub> fertilisation on  
617 soil carbon storage under the high plant O<sub>3</sub> sensitivity. This would contribute to a significant change in the size  
618 of a key carbon sink for Europe, and is particularly important when we consider the evolution of the land carbon  
619 sink into the future given the impact of O<sub>3</sub> on soil carbon sequestration and the high uncertainty of future  
620 tropospheric O<sub>3</sub> concentrations. Schulze et al. (2009) and Luysaert et al. (2012) extended their analysis of the  
621 European carbon balance to include additional non-CO<sub>2</sub> greenhouse gases (CH<sub>4</sub> and N<sub>2</sub>O). Both studies found  
622 that emissions of these offset the biogenic carbon sink, reducing the climate mitigation potential of European  
623 ecosystems. This highlights the importance of accounting for all fluxes and stores in carbon/greenhouse gas  
624 balance assessments, of which O<sub>3</sub> and its indirect effect on the CO<sub>2</sub> flux via direct effects on plant physiology is  
625 currently missing.

626

627 We looked at the complex interaction between CO<sub>2</sub> and O<sub>3</sub> effects. Our results support the hypothesis that elevated  
628 atmospheric CO<sub>2</sub> provides some protection against O<sub>3</sub> damage because of lower  $g_s$  that reduces uptake of O<sub>3</sub>  
629 through stomata (Harmens et al., 2007; Wittig et al., 2007). In the present study, reductions in GPP and the land  
630 carbon store due to O<sub>3</sub> exposure were lower when simulated with concurrent changes in atmospheric CO<sub>2</sub>. Despite  
631 acclimation of photosynthesis after long-term exposure to elevated atmospheric CO<sub>2</sub> of field grown plants  
632 (Ainsworth and Long, 2005; Medlyn et al., 1999), there is no evidence to suggest that  $g_s$  acclimates (Ainsworth et  
633 al., 2003; Medlyn et al., 2001). This suggests the protective effect of elevated atmospheric CO<sub>2</sub> against O<sub>3</sub> damage  
634 will be sustained in the long term. However, although meta-analysis suggest a general trend of reduced  $g_s$  with  
635 elevated CO<sub>2</sub> (Ainsworth and Long, 2005; Medlyn et al., 1999), this is not a universal response. Stomatal responses  
636 on exposure to elevated CO<sub>2</sub> with FACE treatment varied with genotype and growth stage in a fast-growing poplar  
637 community (Bernacchi et al., 2003; Tricker et al., 2009). In other mature forest stands, limited stomatal response  
638 to elevated CO<sub>2</sub> was observed after canopy closure (Ellsworth, 1999; Uddling et al., 2009). Also, some studies  
639 found that stomatal responses to CO<sub>2</sub> were significant only under high atmospheric humidity (Cech et al.,  
640 2003; Leuzinger and Körner, 2007; Wullschleger et al., 2002). These examples illustrate that stomatal responses to  
641 elevated atmospheric CO<sub>2</sub> are not universal, and as such the protective effect of CO<sub>2</sub> against O<sub>3</sub> injury cannot be  
642 assumed for all species, at all growth stages under wide ranging environmental conditions.

643

644 **5 Conclusion**



645

646 What is abundantly clear is that plant responses to both CO<sub>2</sub> and O<sub>3</sub> are complicated by a host of factors that are  
647 only partly understood, and it remains difficult to identify general, global patterns given that effects of both gases  
648 on plant communities and ecological interactions are highly context and species specific (Ainsworth and Long,  
649 2005;Fuhrer et al., 2016;Matyssek et al., 2010b). This study quantifies the sensitivity of the land carbon sink for  
650 Europe and GPP to changing concentrations of atmospheric CO<sub>2</sub> and O<sub>3</sub> from 1901 to 2050. We have used a state  
651 of the art land surface model calibrated for European vegetation to give our best estimates of this sensitivity within  
652 the limits of data availability to calibrate the model for O<sub>3</sub> sensitivity, current knowledge and model structure. In  
653 summary, this study has shown that potential gains in terrestrial carbon sequestration over Europe resulting from  
654 elevated CO<sub>2</sub> can be partially offset by concurrent rises in tropospheric O<sub>3</sub> over 1901-2050. Specifically, we have  
655 shown that the negative effect of O<sub>3</sub> on the land carbon sink was greatest over the twentieth century, when O<sub>3</sub>  
656 concentrations increased rapidly from pre-industrial levels. Over this period soil carbon stocks were significantly  
657 diminished over agricultural areas, consistent with reduced NPP and litter input. This loss of soil carbon was  
658 largely responsible for the decrease in the size of the land carbon sink over Europe. The O<sub>3</sub> effect on the land  
659 carbon store and flux was reduced into the future as CO<sub>2</sub> concentration rose considerably and changes in O<sub>3</sub>  
660 concentration were less pronounced. However, there remained a large cumulative negative impact on the land  
661 carbon sink for Europe by 2050. The interaction between the two gases was found to reduce O<sub>3</sub> injury owing to  
662 reduced stomatal opening in elevated atmospheric CO<sub>2</sub>. However, primary productivity and land carbon storage  
663 remained significantly suppressed by 2050 due to plant O<sub>3</sub> damage. Expressed as a percentage of the emissions  
664 from fossil fuel and cement production for the EU28-plus countries, the additional carbon emissions from O<sub>3</sub>-  
665 induced plant injury is a potential significant additional source of anthropogenic carbon. Our results demonstrate  
666 the sensitivity of modelled terrestrial carbon dynamics to tropospheric O<sub>3</sub> and its interaction with atmospheric  
667 CO<sub>2</sub>, highlighting that such effects of O<sub>3</sub> on plant physiology significantly add to the uncertainty of future trends  
668 in the land carbon sink and climate-carbon feedbacks. Given the potential to limit the climate mitigation effect of  
669 European terrestrial ecosystems, we suggest plant O<sub>3</sub> damage should be incorporated into carbon cycle  
670 assessments.

671

#### 672 **Data availability**

673

674 The JULES model can be downloaded from the Met Office Science Repository Service  
675 (<https://code.metoffice.gov.uk/trac/jules> - see here for a helpful how to <http://jules.jchmr.org/content/getting-started>). Model output data presented in this paper and the exact version of JULES with namelists are available  
676 upon request from the corresponding author.  
677

678

#### 679 **Supplementary Information**

680

681 Supplementary\_Information\_Oliver\_et\_al.docx

682

#### 683 **Competing Interests**

684 The authors declare that they have no conflict of interest



685

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687

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694

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