

Dear Editor,

We now submit a revised manuscript of “Phytoplankton growth and physiological responses to a plume front in the northern South China Sea”. We thank the reviewer for helpful comments. The manuscript has been carefully revised based on their suggestions. Below are our point-to-point responses to reviewers’ comments. Details of our revisions are also highlighted by yellow in the attached PDF file. Looking forward to hearing from you!

Sincerely,

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Response to Reviewer #1 (by K. Bjorkman)

1. "... However, I do have two main criticisms 1) that nutrient concentrations were not measured from the incubation experiments to assess nutrient drawdown over time. Having the nutrient's fate in the incubations may have shed light on if the loss of chlorophyll at day 2 was due to nutrient limitation, or something else. This would have added much value to this study. "

Response: Nutrient concentrations were actually measured during incubation experiments. We have added these data to the revised manuscript to discuss the changes of chlorophyll and nutrients over incubation time. P-limitation at stations S1, S2, S3, and S4 was confirmed by change of N and P during incubations: there were enhanced N consumptions by addition of P, but P consumptions were not stimulated by addition of N. For S6, N-limitation was supported by enhanced P consumptions by N-addition (but N consumption was not enhanced by P-addition). The nutrient data also suggested that N and P co-limitation at the second day of incubation found at S7 was due to running out of N by P-addition during the first day of incubation.

2. "2) The design of the plume water mixing experiment. The design of the plume water mixing experiment makes it difficult to interpret the relative importance of the seed community (structure, biomass) and the influence of nutrients and salinity changes. As it is presented here I do not think the results support the conclusions drawn. The mixing of whole plume water with whole 'sea-side' water at different ratios, is in effect a dilution of one community by the other, with the 100% and 0% being the end members. From data in Fig 7A, the chlorophyll based growth rates (μ) are more or less identical for all additions of plume water, indicating that the results are reflecting the dilution, not changes in growth rates, even if the final chl a is increasingly higher with higher plume water addition. I suggest that the authors carefully reexamine this experiment and its outcome in a revision of this manuscript."

Response: We agree with the reviewer that the design of the plume water mixing experiment cannot separate the different effects by the changes of nutrients, salinity, and phytoplankton population. However, we don't intend to separate these factors by the plume water mixing experiments. What we focus on is the combined effects of varying community, salinity and nutrients during the mixing experiments, given the relatively short distance of these two waters at the frontal zone. To separate the effects of nutrients and seed population inputted by PW, we had to chose a small percentage of FPW addition (12.5%) at station S6 to ensure that the initial chl-a concentration after FPW treatment is comparable to that of the control experiment (Figure 8A1-A4). In that case, the difference between the FPW treatment and the control should reflect the impact of nutrients, whereas the difference between PW and FPW treatments should reflect the impact of seed population inputted by PW. The chlorophyll-based community net growth rate ($\mu = \ln(\text{Chl}_t / \text{Chl}_0)$) is -0.6 d^{-1} for S4 (local water at the front), but it is 1.0 d^{-1} for S2 (the plume water). Since the initial chl-a concentration of S2 was about 6 time of that of S4, the mixed community (for 25%, 50%, 75% PW treatments) should be dominated by population from the plume community. That is why we can see a similar positive net growth rate for all additions of plume water. On the other

hand, the apparent net growth rate (μ) should be determined by the specific growth rate (A) and the grazing mortality rate (B) based on the equation of $\mu=A-B$ (e.g. Landry and Hassett 1982). Therefore, if the growth and grazing of phytoplankton are tightly coupled during the dilution, we should not expect to see a large change of net growth rate. We have carefully discussed these results in the revised manuscript.

3. P3, ln14. Suggest adding Mahaffey et al. (2012) here. This paper contains data on mixing experiments too that may be informative for this manuscript too. (Mahaffey et al., 2012. Phytoplankton response to deep seawater nutrient additions in the North Pacific Subtropical Gyre. MEPS 460:13-34)

Response: Done.

4. P4, ln 18, 19. What about station 8? Should it be listed here too? (p5, ln 22?)

Response: S8 is located at the same place as S4 but at different time, which had already been clearly stated in Table 1.

5. P5, ln 1-3. Choice of filter types. Why were the filters of such different materials? Do they have different retention characteristics apart from pore size? Where the chlorophyll fractions determined by difference or where these from sequential filtrations?

Response: The three types of filters have all been previously used for phytoplankton size-fractionation in the South China Sea (Huang et al., 2008; Chen et al., 2009). Chlorophyll fractions were determined by sequential filtrations using these filters during our cruises. The GF/F filter (0.7 μm) can be used for collecting picoplankton due to its high particle absorption ability leading to similar retention ability as 0.2 μm Millipore membrane filter.

**Huang et al., Spatial and temporal distribution of nanoflagellates in the northern South China Sea, Hydrobiologia, 605:143–157, 2008.
Chen et al., Trophic interactions within the microbial food web in the South China Sea revealed by size-fractionation method, Journal of Experimental Marine Biology and Ecology, 368: 59–66, 2009;**

6. P5, ln 4. Did you see any Si contamination from the glass fiber filter used?

Response: We did not see Si contamination for GF/F filter. Silicate concentration after GF/F filtration was not much different from that after 0.2 μm membrane filter.

7. P5, ln 13. What determined the final concentrations of N and P added? Perhaps also add that these are at ~16:1 or Redfield ratio for N:P.

Response: Thanks for pointing out this. The final In the revised manuscript, we

have clearly state that the additions of N and P for incubation experiments were based on the Redfield N:P ratio of 16 to 1.

8. P5, ln18. Suggest adding at what stations and at what dates these N+P nutrient addition experiments were performed.

Response: Done.

9. P6, ln 1. Please describe what question this experimental design was meant to answer, or test? Also, please add when these where performed.

Response: Done. The mixing experiment conducted on June 19th, 2016 is to simulate phytoplankton response to the intense mixing process by the dispersive river plume.

10. P7, ln 18-20. This sentence is confusing to me. What is meant by "...east of the PRE by eastward plume dispersion..."? That the low salinity tongue from the PRE was cut off by another water mass with low temperature and high salinity?

Response: We are sorry for confusing. We have rewritten these sentences in the revised manuscript. The surface low salinity tongue in the coastal water east of the PRE (generated by eastward plume dispersion) was cut off by another water mass of low temperature but high salinity during the June

11. P9 – plume water mixing experiment. This is my main problem with this manuscript. The design of this experiment does not allow for testing what I think was the intention to test. Which is to say, the effect on plume water mixing (with its extant community and nutrients) with seaside water (with its extant community and nutrients). However, the way this is set up, it is difficult to separate what changes in chl is derived from the seed population or the changes in available nutrients. This would have needed to also include reciprocal dilutions using filtered PW and/or surface seawater.

Response: The reviewer is right about that our design of the mixing experiment between S2 and S4 could not separate the effects between seed population and nutrients. Actually, we don't intend to separate these two as they should be both important for phytoplankton chl-a change at the frontal zone given the relatively short distance of the two waters. The including of reciprocal dilution experiments with the filtered plume water (FPW) and/or filtered surface sweater of S4 cannot separate the effect of varying nutrients from that of the change of seed phytoplankton. The reason is that the initial chl-a concentration will be largely diluted along with the increase of nutrients. To separate the effects of nutrients and seed population inputted by PW, we had chosen a small percentage of FPW addition (12.5%) at station S6 to ensure that the initial chl-a concentration after FPW treatment is comparable to that of the control experiment (Figure 8A1-A4). In this case, the difference between the FPW treatment and the control should reflect the impact of nutrients, whereas the difference between PW and FPW treatments should reflect the impact of seed population inputted by PW.

12. P9, ln 21. Are the nutrients running out? Are there data to show this?

Response: Yes, phosphate was almost running out during the second day of incubations. We have added nutrient data to the revised manuscript.

13. P12, ln 10. Have the effect of changing salinity on phytoplankton growth for the sea side versus plume water plankton been considered.

Response: We have added discussions of the impact of salinity on phytoplankton growth in the revised manuscript. Coastal phytoplankton species can generally tolerate a much larger range of salinity than estuarine and oceanic species (e.g. Brand 1984). The salinity of 6.6-30.7 during the mixing experiment at the frontal zone is higher than the lethal level of ~5 for most estuarine phytoplankton species due to osmotic pressure (Kies, 1997; Floder et al., 2010). However, inter-specific differences in salinity tolerances of phytoplankton may be important for phytoplankton growth at the lower ranch of the PRE where fluctuating salinities between 0-10 were found.

14. P13, ln 3. Suggest citing Mahaffey et al 2012 here too

Response: Done.

15. P18 Table 1. Should data from station 8 be included here too?

Response: Done. Data of station S8 was added to table 1.

16. Figures 6-9. It would be helpful to see the chl concentration of the size fractions at t0 in these graphs. Also, it would be good to add when each of these experiments were carried out.

Response: We decided to not show the initial size-fractionated chl-a data in these Figures. The initial size-fractionated chl-a concentrations for S1-S8 (Figure 6) had already been shown in Table 1. For other experiments in Figures 7-9, the initial size-fractionated chl-a concentrations were simply calculated based the fractions of waters mixed for these stations. The start dates of incubation experiments have been added to figures in the revised manuscript.

Response to Reviewer #2 (25 January 2018)

1. “The discussion is insufficient to address all the issues. It’s not surprise to see enhanced phytoplankton growth by elevated nutrients. But what about the N/P ratio? The increasing Chla production and net growth rate with mixed ratio in Fig. 7 in day 1 is not the response of S4 phytoplankton to PW addition, but essentially the response of mixed communities of S2 and S4 to different levels of nutrients concentrations and N/P ratios (Fig. 1). The differences in net growth rate may be related to the changes of N/P ratio. The discussion about the optimal N/P ratios for phytoplankton in plume waters is essential (Geider & Roche 2002). If we compare Fig. 8 with Fig. 9 and Fig. 6 for the same stations, such as S6 and S7, we can find different changes in size structure of Chla in day 1. Nano-phytoplankton showed greater responses to FPW than to BW/FBW and to nutrient addition. It may imply influences of N/P ratio on different species.”

Response: In the revised manuscript, we have added more discussions related to the influence of N/P ratio on phytoplankton chl-a production and growth rate. We agree with the reviewer that the mixing experiment shown in Figure 7 should reflect the response of the mixed community (S2 and S4) to varying mixing conditions (with different nutrient concentrations and N/P ratios). We have discussed the impact of the optimal N/P ratios on the different phytoplankton species as suggested by the reviewer.

2. “I find the incubations were made in May and June. But the manuscript has the April hydrographical data. It confuses me. What’s the additional value of April data to this paper?”

Response: We think the hydrographic data of April cruise is valuable to this manuscript, since it can better present the temporal change of the plume front during the spring-summer.

3. “Fig. 1. You may zoom out a little bit, so we can see S3 and S7 clearly. You have the salinity contour in the graph. Is it a composite of three cruises or just the June cruise? You use different symbols for S1, S3, S5 and S2, S4, S6, S7, respectively. Do you mean S1, S3, S5 were in May, S2, S4, S6, S7 were in June? Were S5 and S6 in the same position? What is S8 in the map? You don’t have S8 in Table 1.”

Response: Done. We have redone Figure 1 as suggested by the reviewer. It is a composite of three cruises. S1, S3, and S5 are from May and S2, S4, S6, S7, and S8 are from June. S8 and S6 are located in the same places as S4 and S5, respectively. We have also added S8 to Table 1.

4. “Fig. 2. The title is “A Temperature vs. Salinity diagram during May-June 2016”. But you have April data in it.”

Response: Done. Thanks for pointing out this. We have corrected it the revised manuscript.

5. Fig. 4. The same question, is it a composite of three cruises or just the June cruise?

Response: It is a composite of three cruises.

6. P2-21, “affect the large area of” is “affect a large area”

Response: Done.

7. P2-25, “a P-limitation of phytoplankton” is “a P-limitation of phytoplankton growth”

Response: Done.

8. P5-16, what’s “black filter”, do you mean “neutral filter”?

Response: Yes, it is a neutral filter. We have corrected this in the revised manuscript.

9. P5-21, “These waters were used to dilute the local surface waters at S6, S7 and S8” in what percentage?

Response: The percentage of dilution was 12.5%. We have added this information to the paragraph in the revised manuscript.

10. P5-26, can you specify the “biological impact”?

Response: Done. It is the impact of vertical mixing and upwelling on phytoplankton growth. We have clarified this in the revised manuscript.

11. P6-1, the percentage of 100% for S2, literally means no S4 waters, but you said it’s a mixing experiment. It’s good to have a comparison. But it’s confusing. Maybe to say S2 instead of 100%.

Response: Done.

12. P7-4, “warming effect” is usually used when we are referring to an impact of global change, here I think it’s just a seasonal change of temperature.

Response: Done. We have replaced it by “increase of temperature”

13. P7-20, “This water”, I can see two water masses in the previous sentence. So, which one did you refer to?

Response: It is the water of low temperature and high salinity. We have clarified these in the revised manuscript.

14. P9-3, “controlled by” is “contributed by”

Response: Done.

15. P9-14-17, I can see a smaller value of nano-phytoplankton chl_a in 75% than that

in 50%. Does pico-phytoplankton chl_a in 75% statistically lower than that in 50%?

Response: We do not found statistical difference for picophytoplankton between 50% and 75%. We have corrected this in the revised manuscript.

16. P9-27 & P10-1, “At station S6, the raw plume water (PW) was also added to the surface water for incubation to account for the advective chlorophyll input by the river plume.” This necessary information should be in the method section.

Response: Done.

17. P10-8, you can do the maths for N/P ratios since you have the numbers in the table.

Response: Done.

18. P10-6, in the section 3.4, the discussion about the nutrient limitation status and grazing activity should be moved to the discussion section.

Response: Done.

Response to Reviewer # 3 (26 January 2018)

1. The nutrient concentrations were not measured to assess nutrients uptake by phytoplankton in the shipboard incubation experiments

Response: Nutrient concentrations were actually measured during incubation experiments. We have added these data to the revised manuscript to discuss the changes of chlorophyll and nutrients over incubation time. P-limitation at stations S1, S2, S3, and S4 was confirmed by change of N and P during incubations: there were enhanced N consumptions by addition of P, but P consumptions were not stimulated by addition of N. N-limitation of S6 was supported by enhanced P consumptions by N-addition (but N consumption was not enhanced by P-addition). N and P co-limitation at the second day of incubation found at S7 was due to running out of N by P-addition during the first day of incubation.

2. The incubation bottles with smaller volume. The phytoplankton in culture media with smaller volume would be diluted by addition of plume waters and bottom waters, and the water sample could not be enough to get chl a samples. I do not think incubation experiments lasted for two days was enough to evaluate the phytoplankton growth to inorganic nutrients because the culture time is too short.

Response: We thank the reviewer for constructive comments. The dilution effect had already been corrected in the initial chl-a concentration in our original manuscript. As chl-a concentrations of coastal waters were much higher than the offshore waters in the NSCS during our cruises, the bottle volume of 2.4L could already allow us to get enough chl-a samples. For stations near the outer shelf, we have parallel experiments to make sure we have enough water for chl-a sampling. We have clarified these in the revised manuscript. We agree with the reviewer that it would be better if the incubation experiments could continue longer than two days. However, we are not allowed to perform a long period of incubation due to limitation of cruise time. On the other hand, previous results over the NSCS shelf (Li et al., 2016) indicated that phytoplankton here would react fast in the first two days of incubation and then go stable. Our nutrient data also suggest that two days of incubation are long enough to evaluate phytoplankton responses to nutrient drawdown during our study periods (see our revised figure 6).

3. In the manuscript, there were no parameters concerning physiological response.

Response: We agree with the reviewer that we do not have direct measurements of physiological parameters. We have replaced “physiological response” in our title by “phytoplankton response” in the revised manuscript. Nevertheless, we believe the results of nutrient addition experiments and water mixing experiments should reflect physiological change of phytoplankton to varying nutrient conditions.

4. P6, line 1-2, the descending salinity would have obvious effect on phytoplankton growth, and the paper didn't evaluate the direct effects of salinity.

Response: We agree with the reviewer about the effect of salinity on phytoplankton growth. We have discussed this properly in the revised manuscript. Coastal phytoplankton species can generally tolerate a much larger range of salinity than estuarine and oceanic species (e.g. Brand 1984). The salinity of 6.6-30.7 during the mixing experiment at the frontal zone is higher than the lethal level of ~5 for most estuarine phytoplankton species due to osmotic pressure (Kies, 1997; Floder et al., 2010). However, inter-specific differences in salinity tolerances of phytoplankton may be important for phytoplankton growth at the lower ranch of the PRE where fluctuating salinities between 0-10 were found.

5. P8 line 16 delete “of”

Response: Done.

6. The incubation site S8 was not marked in Figure 1. The hydrographic and biogeochemical properties of S8 were not mentioned too.

Response: Done.

7. In Figure 1 the white salinity lines were marked as 22 and 32, which were described as 26 and 32.

Response: Thanks for pointing out this. We have corrected it to 26.

8. In Figure 2 “A Temperature vs. Salinity diagram during May-June 2016” should be corrected as April-June 2016.

Response: Done.

1 **Phytoplankton response to a plume front in the northern South China Sea**

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6

7 **Abstract.** Due to a strong river discharge during April-June 2016, a persistent salinity front, with
8 freshwater flushing seaward on the surface but seawater moving landward at the bottom, was formed in
9 the coastal waters west of the Pearl River Estuary (PRE) over the Northern South China Sea (NSCS)
10 shelf. Hydrographic measurements revealed that the salinity front was influenced by both river plume
11 and coastal upwelling. Shipboard nutrient-enrichment experiments with size-fractionation chlorophyll-*a*
12 measurements were performed on both sides of the front as well as the front zone to diagnose the spatial
13 variations of phytoplankton physiology across the frontal system. We also assessed the size-fractionated
14 responses of phytoplankton to the treatment of plume water at the frontal zone and the seaside of the
15 front. Biological impact of vertical mixing or upwelling was further examined by the response of
16 surface phytoplankton to the addition of local bottom water. Our results suggested that there was a large
17 variation of phytoplankton physiology on the seaside of the front driven by dynamic nutrient fluxes,
18 although P-limitation was prevailing on the shore-side of the front and at the frontal zone. The
19 spreading of plume water at the frontal zone would directly improve the growth of micro-phytoplankton,
20 while nano- and pico-phytoplankton growths could become saturated at high percentages of plume
21 water. Also, the mixing of bottom water would stimulate the growth of surface phytoplankton on both
22 sides of the front by altering the surface N/P ratio closer to the Redfield stoichiometry. In summary,
23 phytoplankton growth and physiology could be profoundly influenced by physical dynamics of the
24 frontal system during the spring-summer of 2016.

1 **1 Introduction**

2 It is well known that physical dynamics of coastal ocean can be strongly influenced by river input.
3 When there is a high river discharge, a large plume of brackish water can form near the estuary mouth
4 and the adjacent inner shelf regions, which is generally a low-salinity mesoscale feature that disperses
5 fresh river water across the coastal margin (Horner-Devine et al., 2015). River plumes can transport and
6 redistribute river-borne materials, such as nutrients and particles, and thus largely affect
7 biogeochemistry of the coastal ocean (Dagg et al., 2004; Lohrenz et al., 2008). Convergent surface
8 fronts over the shelf are a common feature associated with river plumes (e.g. Garvine and Monk, 1974).
9 These plume-induced fronts are often the places of high phytoplankton productivities (Acha et al., 2004)
10 and thus provide important feeding and reproductive habitats for higher trophic-level marine organisms,
11 such as zooplankton and fish (Morgan et al., 2005).

12 Biological production of the coastal Northern South China Sea (NSCS) is controlled by
13 monsoon-driven upwelling that brings nutrient-rich deep waters to the shelf (Liu et al., 2002). In
14 addition, there is an intense river discharge from the Pearl River Estuary (PRE) during the
15 spring-summer leading to the development of a strong river plume nearshore (Su, 2004). In the coastal
16 water west of the PRE, convergence between the northeastward coastal current and the southeastward
17 river plume can maintain a sharp salinity front along the shelf when the southwest monsoon is
18 prevailing over the region (Wong et al., 2003). Variability of the front is primarily controlled by the
19 river discharge and by the direction and magnitude of the regional wind field (Dong et al., 2004). On the
20 east of the PRE, the surface plume water can be entrained in the coastal current as a salinity tongue in
21 the summer and propelled eastward and offshore by wind-driven jets to affect a large area of the NSCS
22 shelf-sea (Gan et al., 2009).

23 The plume front over the NSCS shelf creates an interface between the river plume and the adjacent
24 marine waters with rapid changes of both salinity and nutrients at the frontal zone (e.g. Cai et al., 2004).
25 There is a P-limitation of phytoplankton growth in the river plume due to a high N/P ratio of the PRE
26 water (Zhang et al., 1999; Yin et al., 2001). In contrast, biological production is generally N-limited in
27 the offshore oceanic waters (Wu et al., 2003; Chen et al., 2004), as the upwelled deep-water with an N/P

1 ratio of ~14 is essentially N-deficient compared to the Redfield N/P ratio of 16 (Wong et al., 2007). A
2 shift from P-limitation to N-limitation of phytoplankton community across the plume edge to the sea
3 has been speculated based on results of the Hong Kong waters (Yin et al., 2001). Results of a
4 physical-biogeochemical coupling model in the NSCS indeed predict a fast decrease of N/P ratio from
5 ~120 in the near-field to <13.3 in the far-field of the plume front driven by a higher N/P consumption
6 ratio and by mixing with the ambient lower N/P water (Gan et al., 2014).

7 Nutrient variations, in addition to light fluctuation, can affect the partitioning of phytoplankton
8 biomass between different size classes (Marañón et al., 2012, 2015). The change of phytoplankton size
9 structure can be controlled by size-dependent trade-off processes for resource acquisition and use
10 (Marañón, 2015). Small phytoplankton has a higher nutrient affinity for growth under nutrient limiting
11 conditions (Suttle, 1991; Raven et al., 1998), whereas large phytoplankton shows higher growth
12 efficiency under favorable nutrient conditions (Cermeño et al., 2005). A large shift of phytoplankton
13 assemblage from small to large cells could arise following the addition of nutrients from deep seawater
14 in the North Pacific Subtropical Gyre (McAndrew et al., 2007; Mahaffey et al., 2012). The success of
15 large phytoplankton in the oligotrophic ocean would highly depend on external environmental dynamics,
16 although it has the metabolic potential of enhance production (Alexander et al., 2015). It is thus
17 important to understand not only the mechanisms for nutrient variations, but also the response of
18 size-fractionated phytoplankton community to the diverse nutrient supplies, particularly at the frontal
19 zone where the patchiness of phytoplankton can be affected by complex physical dynamics (Li et al.,
20 2012).

21 Three field surveys were carried out to study the biological response to a strong salinity front over
22 the NSCS shelf during the April-June of 2016. Besides comprehensive hydrographic and
23 biogeochemical measurements, such as temperature, salinity, nutrients, and chlorophyll-*a*, we
24 performed nutrient-enrichment experiments with size-fractionation chlorophyll-*a* measurements at the
25 shore-side, the frontal zone, and the seaside of the front to examine the spatial change of phytoplankton
26 physiology. Phytoplankton response to the river plume at the frontal zone was addressed by mixing the
27 local surface water with a varying percentage of plume water from the shore-side of the front. The

1 impact of river plume on the seaside of the front was further examined by incubations of the surface
2 seawater with the treatment of plume water. In addition to these experiments, the bottom water was
3 added to the surface water for incubation at various zones of the frontal system to estimate the impact of
4 vertical mixing or upwelling on surface phytoplankton community. We hope to use these experimental
5 approaches to address the responses of phytoplankton growth and physiology to the strong salinity front
6 over the shelf. Based on these field results, we will also discuss the impacts of river plume, vertical
7 mixing and coastal upwelling on physical and biogeochemical dynamics of the frontal systems in the
8 NSCS shelf-sea.

9

10 **2. Material and methods**

11 **2.1 Description of the field work**

12 Three field cruises aboard *R/V Zhanjiang Kediao* were performed during April, May, and June in 2016
13 with hydrographic and biogeochemical samplings over the NSCS shelf (Fig.1). A vertical transect
14 across the salinity front from the inner estuary to the shelf was intensively sampled during the June
15 (Section A in Fig. 1). There were three other transects (Section B, C, and D in Fig. 1) outside the PRE
16 with intense size-fractionation chlorophyll-*a* measurements during both May and June. Section B
17 transited across the frontal zone with Sections C and D on the seaside of the front. Surface waters at
18 different zones of the salinity front were selected for nutrient-enrichment experiments, including the
19 shore-side of the front (S1 and S2), the frontal zone (S3 and S4), and the seaside of the front (S5, S6 and
20 S7) during May and June 2016. Station S8 is located at the same place as S4 but 9 days later.

21

22 **2.2 Measurements of hydrography, chlorophyll-a, nutrients and phytoplankton size structure**

23 Seawater temperature, salinity, pressure, and fluorescence were acquired using a SeaBird model
24 SBE9/11 conductivity-temperature-depth (CTD) recorder and a Chelsea Aqua fluorometer. Discrete
25 water samples at 1m, 20m, 40m, 60m, 80m, and 100m were collected with Niskin bottles mounted onto
26 a Rosette sampling assembly (General Oceanic). After filtration onto a Whatman GF/F glass fiber filter,

1 the chlorophyll-*a* (Chl-*a*) sample was extracted by 90% acetone in darkness at 4°C for 24 h and
2 determined using a Turner Design fluorometer (Knap et al., 1996). Three types of filters (20 µm Nylon
3 filter, 2 µm Polycarbonate filter, and 0.7 µm GF/F filter) were used to produce three different
4 size-classes including micro- (>20 µm), nano- (2-20 µm), and pico-phytoplankton (0.7-2 µm). Nutrient
5 samples were collected inline through a Whatman GF/F filter and frozen immediately at -20°C until
6 analyzed. After thawing at room temperature, they were analyzed by an AA3 nutrient auto-analyzer
7 using colorimetric methods (Knap et al., 1996) with detection limits of 0.02, 0.02, and 0.03 µmol L⁻¹,
8 for nitrate plus nitrite (N+N), soluble reactive phosphate (SRP), and silicate (Si), respectively.

9 10 **2.3 Setup of the ship-board incubation experiments**

11 There were four different treatments prepared in duplicate for nutrient-enrichment experiments
12 including the control (C), nitrogen alone (+N), phosphorus alone (+P), and nitrogen plus phosphorus
13 (+NP). Nutrients were added to the incubation bottle based on the Refield N:P ratio to obtain final
14 concentrations of 4.8 µM NaNO₃ and 0.3 µM NaH₂PO₄. Seawater samples were prescreened through a
15 200 µm mesh to remove large grazers. These samples were incubated in 2.4 L transparent acid-cleaned
16 polycarbonate bottles and placed in a shipboard incubation chamber equipped with a flow-through
17 seawater system. The incubator was shaded to mimic 30% sunlight using a neutral filter with each bottle
18 manually stirred twice a day. Nutrient addition experiments were performed at S1, S3, S5 during May
19 2016 and S2, S4, S6, S7, S8 during June 2016 (Table 1). Each incubation experiment was conducted
20 immediately upon reaching the station and lasted for two days with size-fractionated chlorophyll-*a* and
21 nutrient samples taken once a day.

22 Surface water (~50L) collected at S2 outside the PRE mouth was saved as the plume water (PW).
23 Half of the PW was filtered through a 0.2 µm Millipore membrane filter (GTTP Isopore™) to produce
24 the filtered plume water (FPW). The FPW was used to dilute the local surface waters at S6, S7 and S8
25 by a fraction of 12.5%. At station S6, the raw plume water (PW) was also added to the surface water for
26 incubation to test the possible advective chlorophyll input by the river plume. Under the in-situ
27 temperature and light, the mixture was incubated on board for two days with size-fractionation

1 chlorophyll-*a* collected each day. In order to examine the response of a mixed phytoplankton
 2 community at the frontal zone to various mixing conditions driven by the dispersive river plume, we
 3 also conducted a series of mixing experiments between surface waters of S2 and S4 on June 19th, 2016,
 4 with the final percentages of S4, 25% S2 + 75% S4, 50% S2 + 50% S4, 75% S2 + 25% S4, and S2
 5 corresponding to the final salinity of 30.7, 24.7, 18.7, 12.7, and 6.6, respectively. The bottom waters
 6 (BW) were collected at S2, S4, S6 and S7 and stored in clean HDPE carboy. A 0.2- μ m-filtration was
 7 used to create the filtered bottom water (FBW). Both BW and FBW, with a final percentage of 12.5%,
 8 were added to the local surface water for incubation to study the impact of vertical mixing or upwelling
 9 on phytoplankton growth at these stations.

10 For each size class, the rate of daily chlorophyll-*a* production ($\mu\text{g L}^{-1} \text{d}^{-1}$) was calculated by the
 11 difference of size-fractionated chlorophyll-*a* concentration during each incubation day. We also
 12 estimated the net growth rates μ (d^{-1}) for the water mixing experiment between S2 and S4 by μ
 13 $=\ln(\text{Chl}_1/\text{Chl}_0)/\Delta t$, with Chl_0 and Chl_1 the initial and final size-fractionated chlorophyll-*a* concentrations
 14 every day ($\Delta t = 1$ day). The specific growth rate approach could not work for other experiments, as large
 15 errors of μ would arise when the initial chlorophyll-*a* of a certain size-class (Chl_0) was close to zero.

16

17 **2.4 Estimations of horizontal advection and vertical mixing at the seaside of the front**

18 Assuming a salinity balance at the seaward front (Fong and Geyer, 2001), we have

$$19 \quad U_e (S_0 - S) = K_H \frac{\partial S}{\partial z} \quad (1)$$

20 where S and S_0 are salinity of the plume front and ambient water, K_H is the eddy diffusivity, and the
 21 bulk entrainment rate U_e is computed by $U_e \approx 0.038 Ri^{-0.5} (\tau/\rho)^{0.5}$ with the Richardson number (Ri) given
 22 by

$$23 \quad Ri = \frac{g\rho}{\tau\rho_0} \int_0^h (\rho_0 - \rho) dz \quad (2)$$

1 with g the gravitational acceleration, ρ_0 the ambient density, h the thickness of plume front and τ the
2 wind stress (Fong and Geyer, 2001).

3 Horizontal nitrate flux to the surface water on the seaside of the front can thus be estimated by J_h
4 $=U_e(N-N_0)$ with N and N_0 the nutrient concentrations of the plume front and the ambient water. The
5 vertical nitrate flux can be estimated by $J_v=K_H(\partial N/\partial z)$.

6

7 **3 Results**

8 **3.1 Physical and biogeochemical setting of the NSCS shelf during the spring-summer**

9 The temperature versus salinity diagram revealed a large change of hydrography during the three
10 cruises (Fig. 2). There was a regional **increase of temperature** over shelf from April to June (Fig.
11 3A1-A3), along with the increase of wind strength (with a regional shift to upwelling favorable wind
12 after the May, data no shown). The riverine input was clearly evidenced with low salinity waters in all
13 the three cruises (Fig. 2). Spatially, there was a large area of low salinity in the coastal water west of the
14 PRE (Fig. 3B1-B3), leading to a strong salinity front in the inner shelf. The plume water was mostly on
15 the shore side of the front when the river-outflow flowing westward along the shore. The shore-side of
16 the front was defined by a salinity of <26 , the nearshore boundary of the plume (Wong et al., 2003),
17 with the seaside of the front by a salinity of >32 , the offshore boundary of the plume (Ou et al., 2007).
18 The frontal zone is thus located in between the nearshore and offshore boundaries of river plume (Fig.
19 1).

20 In the coastal water west of the PRE, there was an intense chlorophyll-*a* bloom ($\text{Chl-}a > 5 \mu\text{g/L}$)
21 on the shore-side of the front during all the three cruises (Fig. 3C1-C3), although the surface
22 temperature of the bloom area increased from $\sim 22^\circ\text{C}$ in April, to $\sim 26^\circ\text{C}$ in May and to $\sim 31^\circ\text{C}$ in June.
23 The surface distributions of nitrate, silicate, and phosphate generally follow that of salinity for all the
24 three cruises with much higher concentrations on the shore-side of the front than the seaside of the front
25 (Fig. 3D and 3F). Interestingly, the surface low salinity tongue in the coastal water east of the PRE
26 **(generated by eastward plume dispersion)** was cut off by **another** water mass of low temperature but

1 high salinity during the June (Fig. 3A3 and 3B3). This colder and saltier water presumably should come
2 from the subsurface via coastal upwelling, which was further supported by its higher phosphate
3 concentration but lower N/P ratio compared to the ambient waters (Fig. 3D3 and 3F3).

4 There were substantial vertical changes of temperature, salinity, and chlorophyll-*a* while crossing
5 the salinity front (Fig. 4A-4C) from the estuary to the shelf (Section A). The surface front was located
6 in the inner shelf with the subsurface frontal zone going deep to the bottom of the estuary mouth
7 (Fig.4A). Vertical distributions of nutrients generally followed that of salinity in the PRE with higher
8 surface concentrations, whereas there was large drawdown of nutrients on the shore-side of the front
9 when approaching the edge of the river plume (Fig. 4D-4F), corresponding to a fast decrease of N/P
10 ratio from the shore-side of the front to the frontal zone. The dominant size-class shifted from micro- to
11 pico-cells while crossing the salinity front from the shore in Section B for both the May and June
12 cruises (Fig. 5). Variations in the percentages of micro- and nano-cells in Sections C and D were due to
13 a spatial change of the frontal zone (Fig. 5).

14

15 **3.2 Variations of phytoplankton nutrient limitation over the NSCS shelf**

16 Surface water properties of the incubation stations were summarized in Table 1. The highest
17 concentrations of nutrients and chlorophyll-*a* were in S1 and S2 on the shore-side of the front where
18 micro- and nano-cells dominated. A P-deficiency of the plume water can be inferred from the high N/P
19 ratios there. There was higher salinity (~30) but lower chlorophyll-*a* (~1 µg/L) in S3 and S4 at the
20 frontal zone, which should reflect a reduced impact of river plume. The surface waters of S5, S6 and S7
21 on the seaside of the front were dominated by pico-phytoplankton and showed the typical characteristics
22 of the open NSCS with low nutrients and chlorophyll-*a* but high salinity.

23 Phytoplankton total chlorophyll-*a* on the shore-side of the front (S1 and S2) and at the frontal zone
24 (S3 and S4) showed responses to P-addition but not N-addition, suggesting P-limitation in these waters
25 (Fig. 6A1-6D1). Results of nutrient variations during the incubations confirmed that N consumptions in
26 these stations were significantly enhanced by addition of P (Fig. 6A2-6D2), but P consumptions were

1 not stimulated by addition of N (Fig. 6A3-6D3). In contrast, phytoplankton nutrient limitation varied
2 substantially at S5, S6, and S7 on the seaside of the front (Fig. 6E1-6G1). Total chlorophyll showed no
3 response to N-addition, P-addition, and N-plus-P addition at S5 (Fig. 6E1), which should suggest a
4 relief of phytoplankton community from N- and P-stresses there. Indeed, there was no difference of
5 nutrient consumption between N and P additions (Fig. 6E2 and 6E3). There was a N-limitation of
6 phytoplankton at S6, as the total chlorophyll-*a* increasing with N-addition but not with P-addition (Fig.
7 6F1), which was consistent with its low N+N concentration of <0.5 μM at the surface (Table 1). The
8 N-limitation of S6 was further supported by nutrient data with enhanced P consumption by N addition
9 in Fig. 6F3 (but no difference of N consumption by P addition, Fig. 6F2). Phytoplankton growth was
10 P-limited at S7 during the first day of incubation (Fig. 6G1 and 6G2), but it became co-limited by both
11 N and P during the second day of incubation (Fig. 6G1) as the substrate N was running out (Fig. 6G2).
12 This station (S7) was on the shelf edge, far away from the frontal zone, but was influenced by the
13 eastward extension of the plume as indicated by its relatively low surface salinity.

14 Interestingly, the response of phytoplankton total chlorophyll-*a* to nutrient treatments was mostly
15 mediated by micro-cells at stations S1, S2, and S3 where high nutrient concentrations and N/P ratios
16 were found (Fig. 6A4-6C4). In contrast, for stations S5, S6 and S7 on the seaside of the front, the
17 change of phytoplankton total chlorophyll-*a* at the surface layer was largely contributed by
18 pico-phytoplankton (Fig. 6D4-6G4). This result is consistent with the contention that larger
19 phytoplankton grow faster than small cell under nutrient replete conditions.

20

21 3.3 Responses of surface phytoplankton to the addition of plume water

22 We considered the mixing of both nutrients and phytoplankton between the plume water and the local
23 seawater at the frontal zone, given the relatively short distance of these two waters. The result of mixing
24 experiments between the surface waters of S2 and S4 was shown in Fig.7. The total chlorophyll-*a* of the
25 mixed phytoplankton community was proportional to the amount of PW (the surface water of S2) (Fig.
26 7A), as the PW had more chlorophyll-*a* than S4 (Table 1). Given a P-limitation of the mixed
27 phytoplankton community, the substrate phosphate was quickly consumed within the first day of

1 incubation (Fig. 7B). The three phytoplankton size-classes showed distinct responses to the ascending
2 PW percentage during the first day of incubation (Fig. 7C and 7D). There was a linear increase of the
3 daily chlorophyll-*a* production rate of micro-cells with the percentage of PW ($r^2=0.9$, $p<0.01$), whereas
4 the production rate of nano-cells first increased with the PW percentage from 0% to 50% and then
5 remained relatively stable from 50% to 100%. Apart from both micro- and nano-cells,
6 pico-phytoplankton reached the maximal production rates at 50-75% of PW treatments. The responses
7 of net growth rates to various PW treatments (Fig. 7D) were slightly different from those of the
8 chlorophyll-*a* production rates (Fig. 7C). The net growth rate of micro-phytoplankton increased with the
9 PW percentage before becoming saturated at 75-100% PW. Pico-phytoplankton showed a higher net
10 growth rate but lower daily chlorophyll-*a* production rate than nano-phytoplankton during the first day
11 of incubation in the cases of 50-100% PW treatments. As the phosphate was running out (Fig. 7B),
12 there were decreases of net growth rates for all the size-classes during the second day of incubation (Fig.
13 7D).

14 The chlorophyll-*a* biomass, as well as the daily chlorophyll-*a* production rate, of phytoplankton
15 was substantially enhanced by the addition of FPW at S6, S7, and S8 (Fig. 8A1-8C1), regardless the
16 type of nutrient limitation the surface phytoplankton originally experienced. This should be expected as
17 the plume water had much more nutrients than the local waters on the seaside of the front (Fig.
18 8A2-8C2 and Fig. 8A3-8C3). The small percentage of FPW addition (12.5%) was to ensure that the
19 initial chlorophyll-*a* concentration after FPW dilution is comparable with that of the control experiment.
20 The response of phytoplankton community to FPW was largely determined by nano- and pico-cells at
21 these stations (Fig. 8A4-8C4). Interestingly, although the amount of the raw plume water (PW) added
22 was only 12.5%, it contributed about half of the chlorophyll biomass to the mixed community for S6,
23 which was due to the high chlorophyll-*a* concentration of PW (Table 1). That is why a stronger
24 response of phytoplankton chlorophyll-*a* to PW than to FPW was observed (Fig. 8A1).

25

26 3.4 Responses of surface phytoplankton to the addition of bottom waters

27 The addition of FBW increased the total chlorophyll-*a* of S2, which was largely contributed by

1 micro-cells (Fig. 9A1 and 9A4). At this station, the inclusion of FBW (a lower N/P ratio of ~28)
2 reduced the N concentration (Fig. 9A2) but not P concentration (Fig. 9A3), leading to a lower N/P ratio
3 of the surface water (~87) and thus the P-stress of surface phytoplankton. We found no difference in
4 chlorophyll responses to FBW and BW at S2, which could be due to the low chlorophyll-*a* of BW.
5 Interestingly, there was a net loss of phytoplankton chlorophyll-*a* with time at S4, which was not
6 affected by the FBW treatment (Fig. 9B1). This is because nitrate and phosphate concentrations of the
7 surface water were similar to those of the FBW, although there was 9-fold increase of silicate in the
8 FBW (Table 1). The elevated silicate after FBW treatment did not stimulate a diatom growth given the
9 sparse of micro-cells in the surface water there. The addition of BW substantially decreased the total
10 chlorophyll-*a* (Fig. 9B1), although the consumptions of N and P were similar to those of the control
11 (Fig. 9B2 and 9B3). Both the additions of FBW and BW were found to stimulate phytoplankton growth
12 at S6 (Fig. 9C1) due to elevated N concentration (Fig. 9B2), whereas the magnitude of promotion by
13 FBW is much higher than that by BW (Fig. 9C1). There was no significant difference found in growth
14 responses of phytoplankton to FBW and BW treatments at S7 (Fig. 9D1). This is because the BW of S7
15 was from the depth of 109 m with higher nutrients but negligible chlorophyll-*a* compared to the surface
16 water (Fig. 9D1-9D3).

17

18 **4 Discussion**

19 The persistent salinity front we observed from April to May of 2016 was a plume-induced buoyant front
20 (e.g. Ou et al., 2007), which could appear when the freshwater discharge was much stronger than the
21 tidal effect (Garvine and Monk, 1974). While governed by buoyancy, planetary rotation, and wind
22 forcing (Wong et al., 2003), the impact of the plume front on the coastal NSCS was large, as the low
23 salinity water spreading westward and eastward onto the large area of the shelf. A chlorophyll bloom on
24 the shore-side of the front was a direct response of phytoplankton to the river plume (Harrison et al.,
25 2008), as nutrient replenishment from the subsurface could be restricted by the salinity front with a
26 persistent stratification at the frontal zone. On the other hand, there was an intense upwelling found near
27 the coastal water east of the PRE, which could be due to an intensified cross-isobath transport of the

1 bottom boundary layer driven by an amplified alongshore current (Gan et al., 2009). Therefore, the
2 frontal system was affected by both river plume and coastal upwelling during the spring-summer of
3 2016.

4 Phytoplankton growth over the shore-side of the front was essentially P-limited, which is
5 consistent with previous findings (Zhang et al., 1999; Yin et al., 2001). Phytoplankton P-stress here is a
6 physiological response to the P-deficiency of the river plume due to the stoichiometric lack of P relative
7 to N (Moore et al., 2013). However, we found a spatial difference of phytoplankton physiology on the
8 seaside of the front, where there was less influence of river plume from the perspective of salinity.
9 Phytoplankton growth over the seaside of the front, dominated by small pico-cells, could be P-limited,
10 or N-limited, or not limited by N and P. There was no evidence of Si-limitation since micro-cell was not
11 stimulated by the filtered bottom water with a much higher silicate concentration. The spatial difference
12 of phytoplankton physiology is consistent with the nutrient variation of the developing plume front,
13 which should be regulated by both biological uptake and physical dynamics (Gan et al., 2014).

14 A balance between horizontal advection and vertical mixing can be approximately maintained at
15 the seaward front by an Ekman straining mechanism (Fong and Geyer, 2001) with salinity gradients
16 created by cross-shore Ekman current but destroyed by vertical mixing. Based on the hydrographic data,
17 we can estimate a horizontal entrainment rate U_e of $0.5-1.0 \times 10^{-5}$ m/s and a vertical diffusivity K_H of
18 $0.8-1.7 \times 10^{-4}$ m²/s across frontal boundary, which are comparable to those previously found over the
19 NSCS shelf (St. Laurent, 2008; Li et al., 2016). Horizontal nitrate flux to the seaside of the front is thus
20 0.2-3.6 mmolN/m²/d. If we assume the same K_H for the seaside of the front, we can also roughly
21 estimate a vertical nitrate diffusive flux of 0.6-4.7 mmolN/m²/d, which is on the same order of
22 magnitude as the horizontal nutrient fluxes. Therefore, the varying nutrient supply driven by physical
23 dynamics, including cross-front advection and vertical mixing, might be responsible for the variability
24 of phytoplankton physiology on the seaside of the front.

25 **Phytoplankton community at the frontal zone during our mixing experiment between S2 and S4**
26 **should be consist of coastal phytoplankton species, as the salinity of 6.6-30.7 is higher than the lethal**
27 **level of ~5 for most estuarine phytoplankton due to osmotic pressure (Kies, 1997; Flöder et al., 2010).**

1 Coastal phytoplankton would generally tolerate a much larger range of salinity than estuarine and
2 oceanic species (e.g. Brand 1984). Therefore, the salinity effect could be less important for the change
3 of chlorophyll-*a* concentration during our experiments. The observed chlorophyll-*a* response of the
4 mixed community to the PW treatments at the frontal zone should reflect the combined effects of
5 varying nutrient concentrations and phytoplankton populations induced by the addition of PW. The
6 relative contributions of these two factors were roughly assessed at station S6 with the additions of a
7 small percentage (12.5%) of FPW and PW, respectively (Fig. 8A1-8A4). Due to a large increase of
8 initial nutrient concentrations by the addition of FPW, phytoplankton growth was significantly
9 enhanced compared to that of the control experiment with a similar initial chlorophyll-*a* concentration.
10 A stronger chlorophyll-*a* response to the PW treatment than to the FPW treatment at S6, however, was
11 caused by an enhanced initial phytoplankton population by PW, which also resulted in a larger nutrient
12 drawdown during the PW addition experiment (Fig. 8A2-8A3).

13 The mixing of plume water at the frontal zone was found to directly stimulate micro-phytoplankton
14 growth, while a community P-limitation was still prevailing. Although the growths of nano- and
15 pico-cells were improved by low percentages of PW (<50%), they were inhibited by high percentages
16 of PW (>50%). The finding is consistent with the different nutrient uptake kinetics of the three
17 phytoplankton size-classes (Finkel et al., 2009). Micro-phytoplankton generally has a larger
18 half-saturation constant for nutrient uptake than nano- and pico-phytoplankton (Cermeno et al., 2005;
19 Litchman et al., 2007). Therefore, small phytoplankton (nano- and pico-cells) could become saturated
20 with the ascending nutrients before micro-phytoplankton did. At the frontal zone, nano-phytoplankton
21 growth even well exceeded micro-phytoplankton at a low percentage of PW (<50%), which could
22 explain the enhanced biomass percentage of nano-cells at the frontal zone. The difference of
23 chlorophyll-*a* production and net growth rate among three phytoplankton size-classes could also be
24 related to the change of seawater N/P ratio. It has been known that the optimal N/P ratio of
25 phytoplankton may vary substantially among different phytoplankton species (Geider and Roche 2002)
26 and may increase with ascending N/P of the available nutrients (Hillebrand et al., 2013). Faster-growing
27 small phytoplankton, such as cyanobacteria, often has a lower optimal N:P ratio leading to its
28 domination in eutrophic waters with lower N/P ratios (Vrede et al., 2009; Hillebrand et al., 2013), which

1 is consistent with our finding of reduced net growth rates of small phytoplankton at higher percentages
2 of PW treatments (higher N/P ratios).

3 Different from the shore-side of the front with a sharp decrease of nutrients at depths, the bottom
4 water on the seaside of the front showed much higher nutrient concentrations (but lower N/P ratios)
5 than the surface water, which was due to the intrusion of the deep water (Gan et al., 2014). Thus,
6 surface nutrient concentrations after vertical mixing or upwelling should decrease on the shore-side of
7 the front but increase on the seaside of the front. The final consequence of vertical mixing on both sides
8 of the front was to alter the N/P ratio of surface water closer to the Redfield ratio of 16 and thus
9 improved the growth of phytoplankton. Indeed, phytoplankton growth was substantially promoted by
10 the FBW addition at S6 (Fig. 9C1), as the N-stress of phytoplankton was relieved by the FBW with
11 higher nitrate concentration (Fig. 9C2) and N/P ratio (Fig. 9C3). At station S7, both FBW and BW
12 additions increased surface phytoplankton growth (Fig. 9D1), which could be attributed to a reduced
13 P-stress of phytoplankton in response to a lower N/P ratio (~29) of the surface water. While
14 microplankton growth was slightly stimulated by BW addition, our results on the seaside of the front
15 did not show a shift of phytoplankton community from pico- to micro-cells in response to upwelled
16 nutrients from deep-water-additions found in the western South China Sea (Cui et al., 2016) and in the
17 open ocean (McAndrew et al., 2007; Mahaffey et al., 2012).

18 In addition to nutrient stresses by varying nutrient concentrations and ratios, phytoplankton growth
19 at the frontal zone should also be influenced by other factors such as the change of grazing pressure (Li
20 et al., 2012). There were indeed evidences of enhanced grazing activity at stations S4 and S6 when
21 comparing incubation results of the filtered bottom water (BW) with those without filtration (FBW). We
22 found a reduced phytoplankton growth with the addition of BW compared to that of FBW at both S4
23 and S6 (Fig. 9B1 and 9C1). The finding should indicate of an intense grazing activity of BW since both
24 N and P consumptions were very similar between BW and FBW treatments at these stations (Fig.
25 9B-9C). Therefore, a further study of grazing impact of zooplankton on various sizes of phytoplankton
26 and subsequent biomass accumulation at the frontal zone of the NSCS shelf may be a future research
27 priority. Since we have only focused on phytoplankton physiology of the surface layer, the future study

1 may also need to address the response of subsurface phytoplankton community to the frontal dynamics
2 over the shelf, since both the light field and nutrient conditions may vary substantially at the subsurface
3 layer across the salinity front.

4

5 **5 Conclusions**

6 Overall, the importance of physical-biological interaction in driving the patterns of phytoplankton
7 physiology and size-fractionated growths within a strong plume-induced salinity front over the NSCS
8 shelf was investigated by intense field measurements and shipboard incubation experiments during
9 April-June 2016. The current study suggested that variability of phytoplankton nutrient limitation and
10 size-fractionated growth on the shore-side, the seaside, and the frontal zone of the shelf-sea frontal
11 system could be attributed to varying nutrient supplies driven by physical dynamics of the frontal
12 system. While the impact of river plume was to directly increase the growth rates of all the three
13 phytoplankton size-classes, both nano- and pico-cells could become saturated with a high percentage of
14 plume water at the frontal zone. Vertical mixing or upwelling was found to substantially improve
15 surface phytoplankton growth over both sides of the front by altering the nutrient concentrations and
16 ratios. These results are important for a better understanding of physical control of coastal ecosystem
17 dynamics in the NSCS shelf-sea.

18

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24

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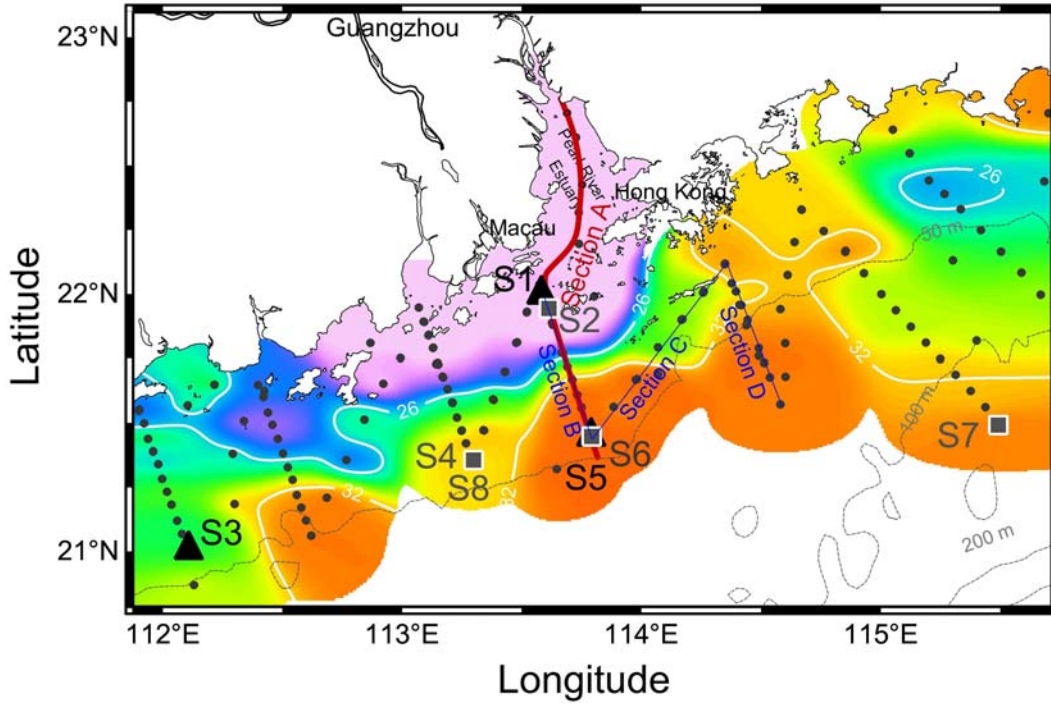
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1 **Table 1.** Hydrographic and biogeochemical properties of the surface and bottom waters for incubations
 2 over the NSCS shelf during May and June 2016. Nutrient addition experiments and water mixing
 3 experiments were conducted immediately after we reached these stations.

Station	Date	Depth [m]*	T [°C]	S [‰]	Chl- <i>a</i> [µg L ⁻¹]	micro [%]	nano [%]	pico [%]	Si [µM]	N+N [µM]	SRP [µM]	N/P
S1	5/18	1	24.5	20.4	7.01	73.8	14.3	11.9	34.1	33.3	0.22	151
		10	23.6	31.7	7.93	37.4	5.6	56.9	16.4	20.1	0.32	63
S2	6/19	1	29.1	6.6	6.82	19.9	65.8	14.2	192.5	80.4	0.83	97
		8	25.6	34.0	0.31	12.1	65.3	22.5	43.9	16.7	0.65	28
S3	5/15	1	27.9	30.9	0.91	35.3	39.2	25.5	3.2	16.6	0.13	127
		50	20.9	34.5	0.34	17.9	43.2	38.9	4.3	7.7	0.22	35
S4	6/18	1	30.0	30.7	1.24	5.5	43.8	50.7	1.2	6.6	0.21	32
		39	21.7	34.6	0.91	4.9	32.6	62.5	10.8	6.1	0.23	26
S5	5/19	1	26.6	34.4	0.26	1.3	8.8	89.9	1.4	1.0	0.09	12
		36	23.8	34.3	0.15	15.0	27.9	57.1	2.0	1.3	0.11	11
S6	6/19	1	30.7	34.5	0.73	0.3	23.8	75.8	2.2	0.5	0.14	3
		47	21.7	34.7	0.45	9.2	21.0	69.8	9.3	3.6	0.17	21
S7	6/21	1	30.8	32.1	0.59	0.7	45.1	54.2	1.3	3.3	0.07	46
		109	19.2	34.7	0.07	1.4	11.0	87.6	13.3	9.2	0.61	15
S8	6/27	1	31.3	30.9	0.33	10.0	44.7	45.3	2.65	3.0	0.18	17
		39	21.7	34.6	0.91	4.85	32.9	64.7	6.69	4.9	0.27	18

4 *The depth of surface water is always at ~1 m with the depth of bottom water 5-10 m above the topography.

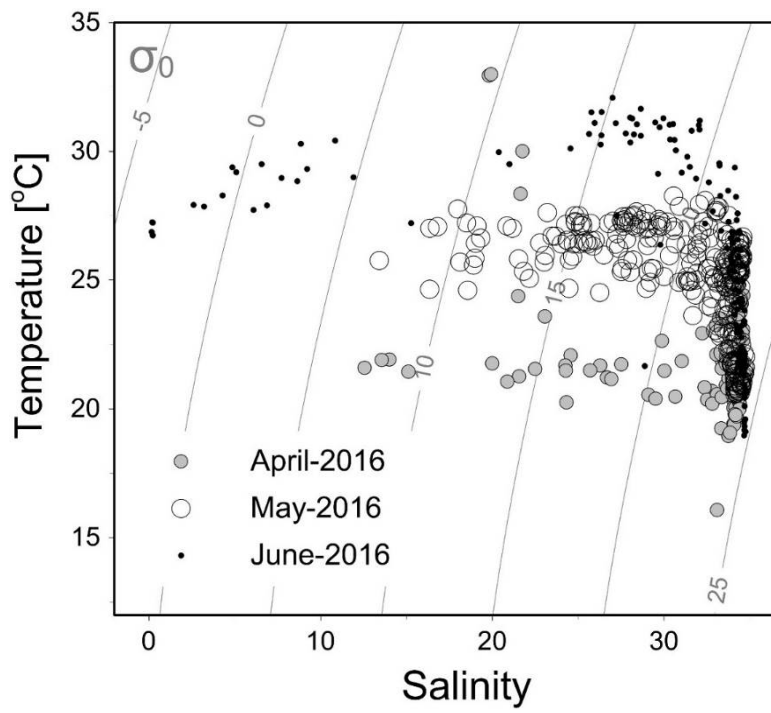
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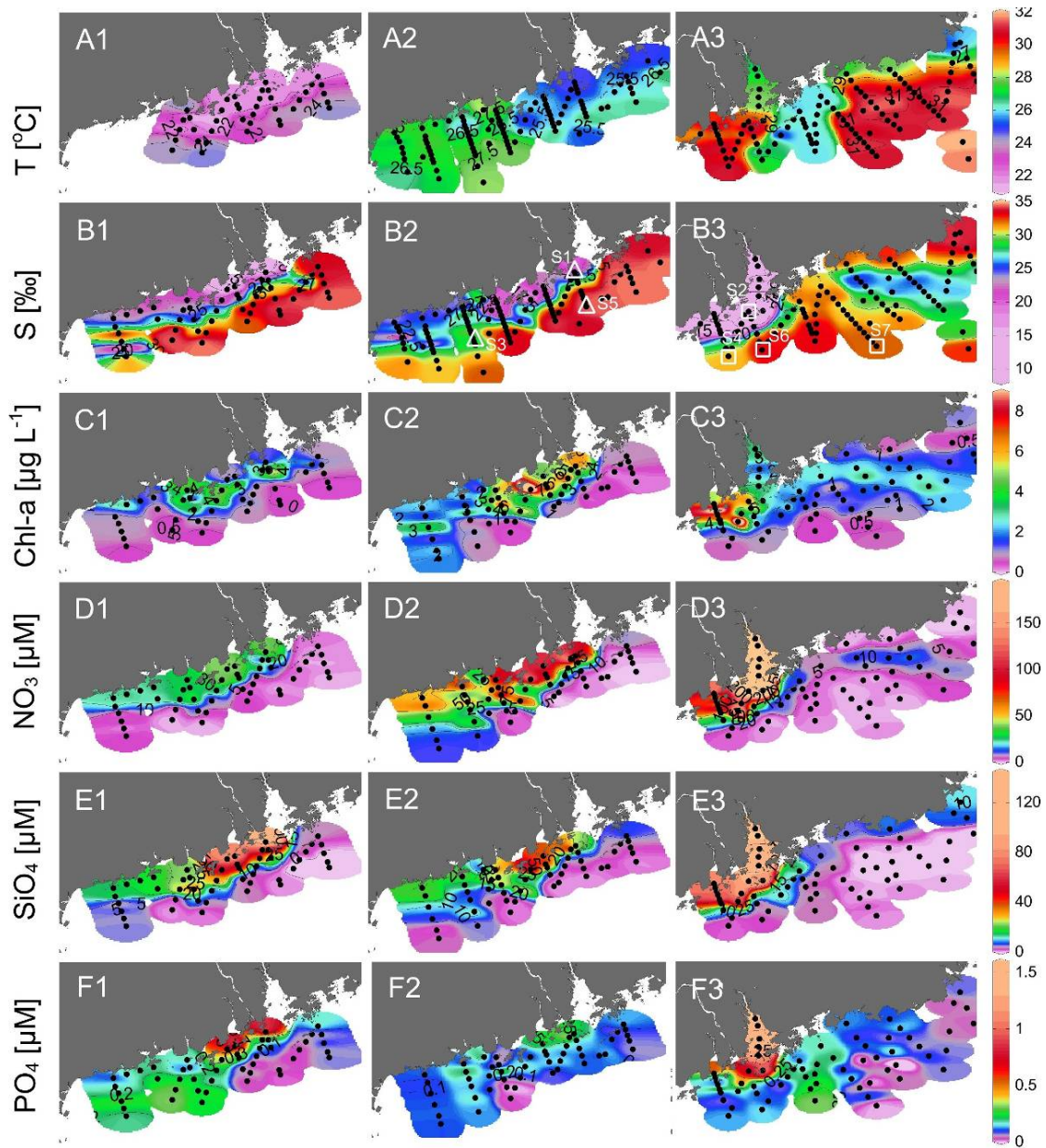
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4 **Figure 1.** Sampling map in the NSCS shelf during May-June 2016. Color is the surface salinity of three
5 cruises with the frontal zone by white lines of 26 and 32 (nearshore and offshore boundaries of the
6 plume); Section A across the front from the PRE to the shelf; section B across the front with sections C
7 and D on the seaside; triangles are incubation sites S1, S3, S5 during May 2016 and squares are
8 incubation sites S2, S4, S6, S7, and S8 during June 2016; locations of S6 and S8 are overlaid with S5
9 and S4, respectively; dots are the stations with dash lines the isobaths.



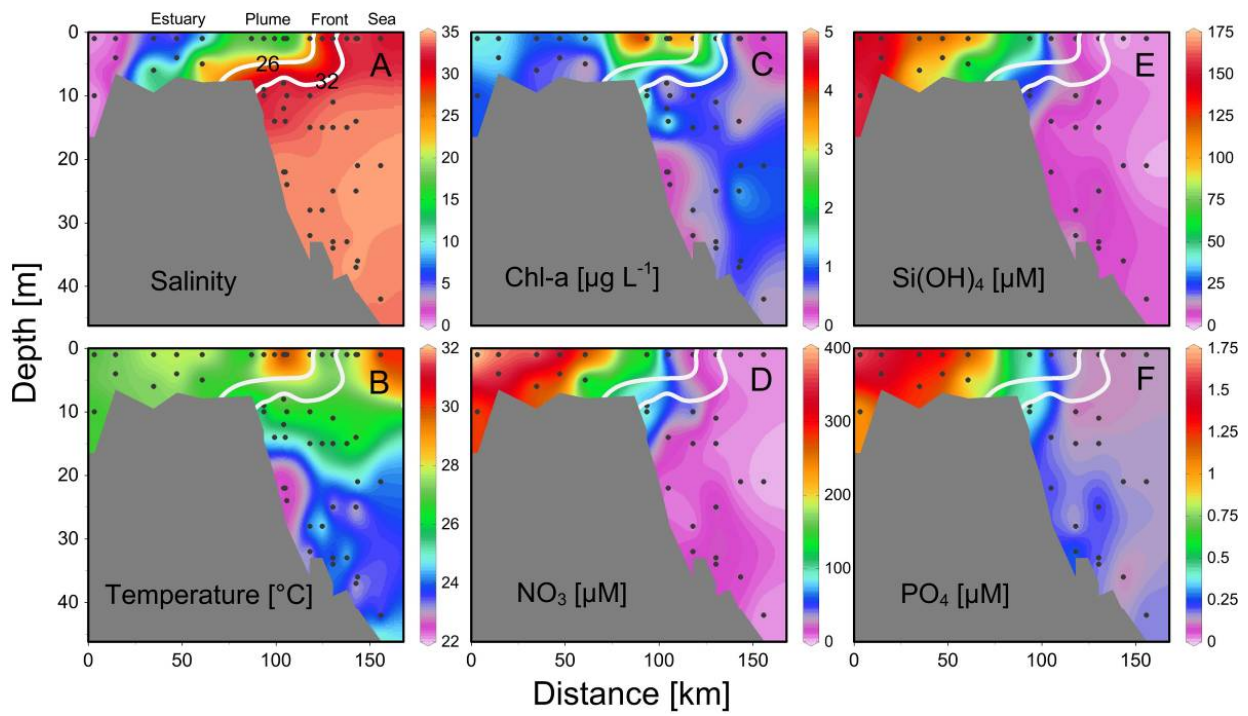
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3 **Figure 2.** A Temperature vs. Salinity diagram during April-June 2016. Filled circles, open circles, and
4 dots are data of April, May and June cruises, respectively.



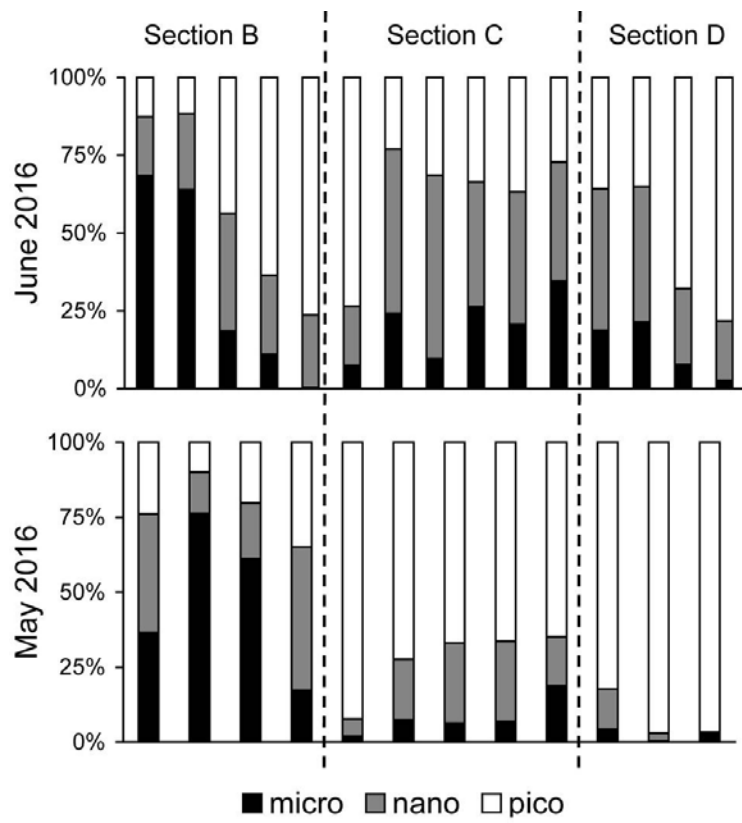
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3 **Figure 3.** Surface distributions of (A1-A3) temperature, (B1-B3) salinity, (C1-C3) chlorophyll-*a*,
 4 (D1-D3) nitrate, (E1-E3) silicate, and (F1-F3) phosphate in the NSCS during April, May, and June
 5 2016. Small dots are the data points; open triangles and squares in B2-B3 show the positions of S1-S7.



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3 **Figure 4.** Vertical distribution of (A) salinity, (B) temperature, (C) chlorophyll-*a*, and (D) nitrate, (E)
4 silicate, and (F) phosphate across the front from the estuary to the sea. Location of the section during
5 the three cruises is in Fig.1. Two white lines overlaid are salinity of 26 and 32 for nearshore and
6 offshore boundaries of the plume (see text for detail).

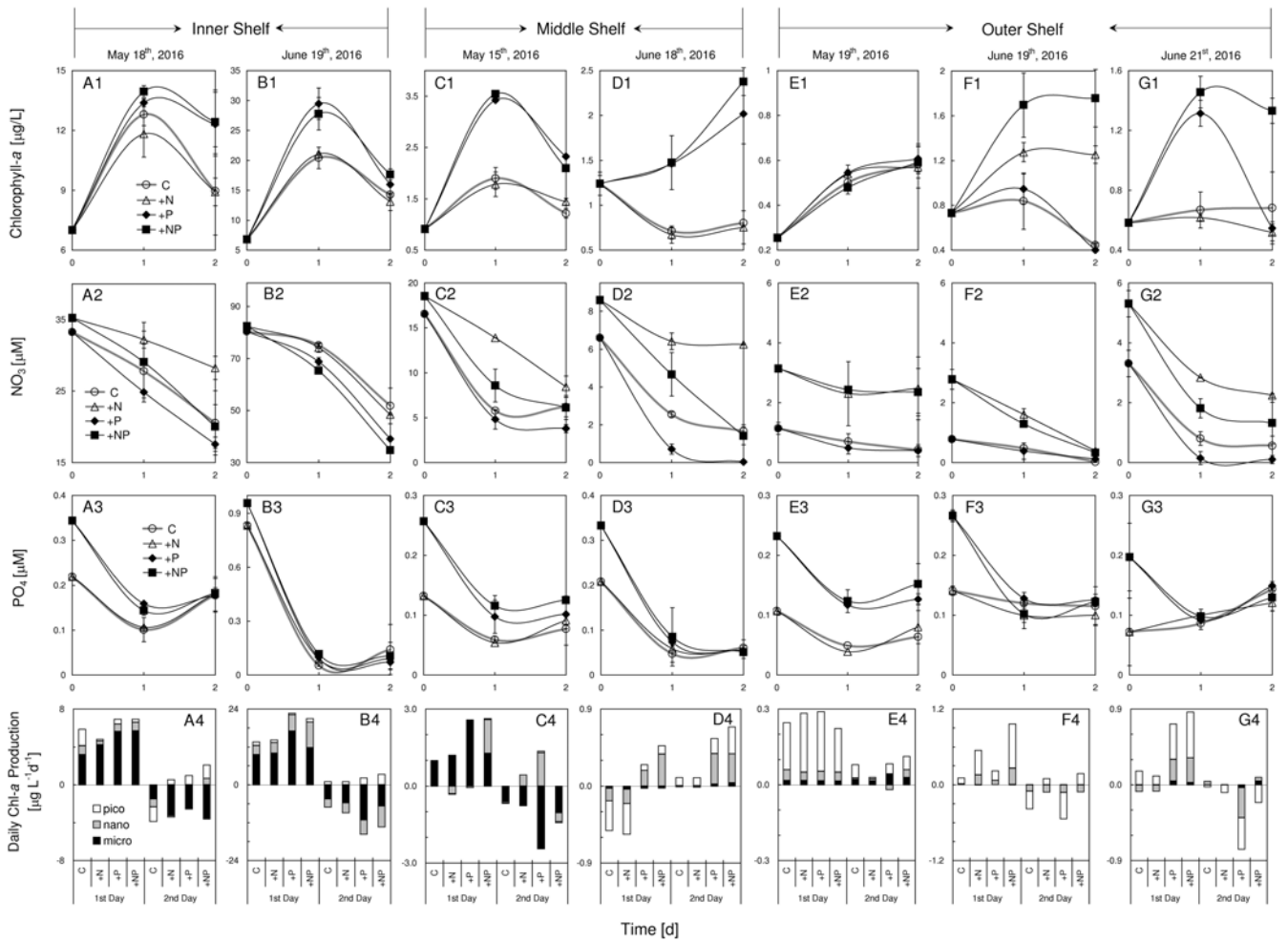


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3 **Figure 5.** Comparisons of size-fractionation chlorophyll-*a* for sections B, C, and D between May and

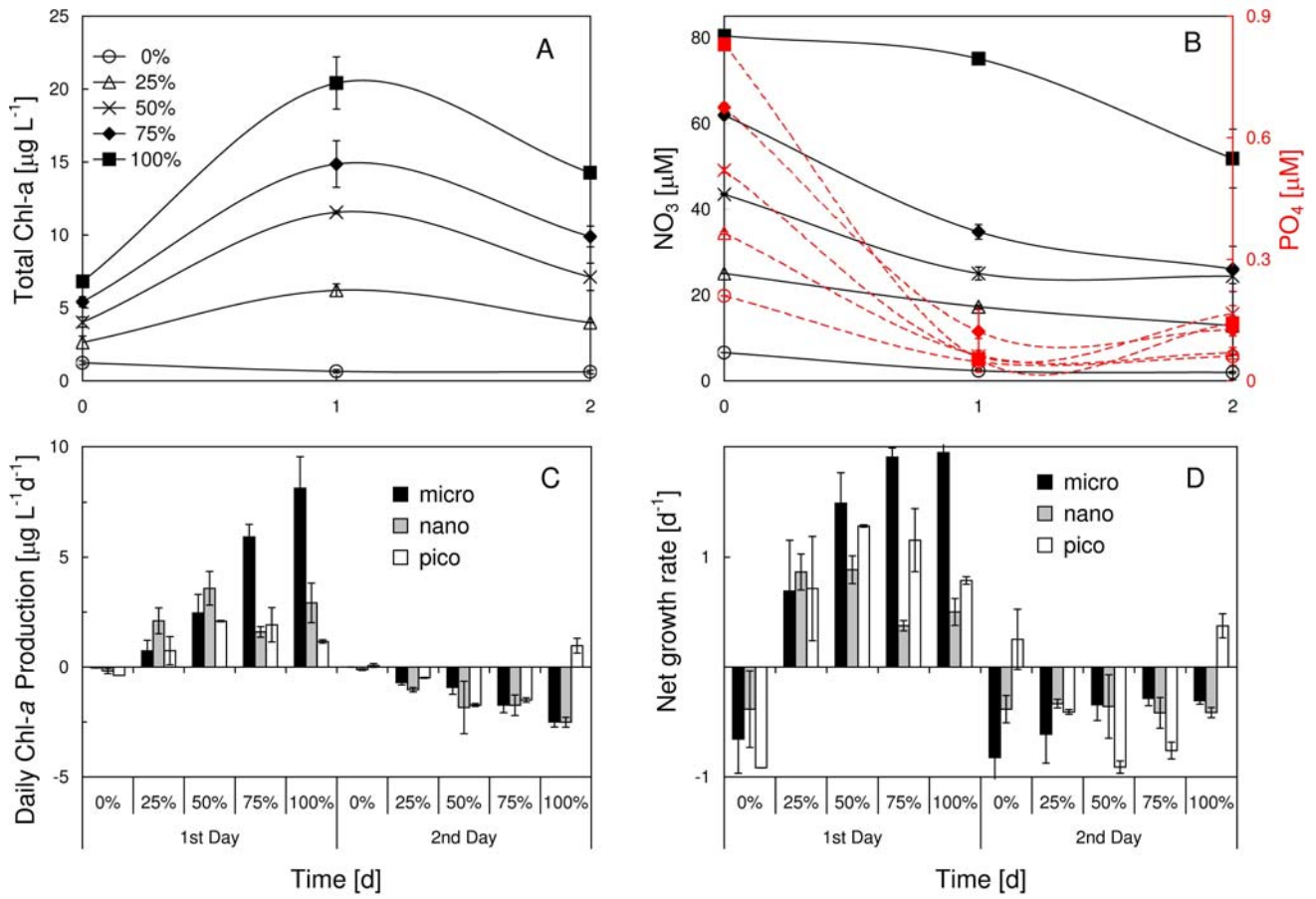
4 June 2016.



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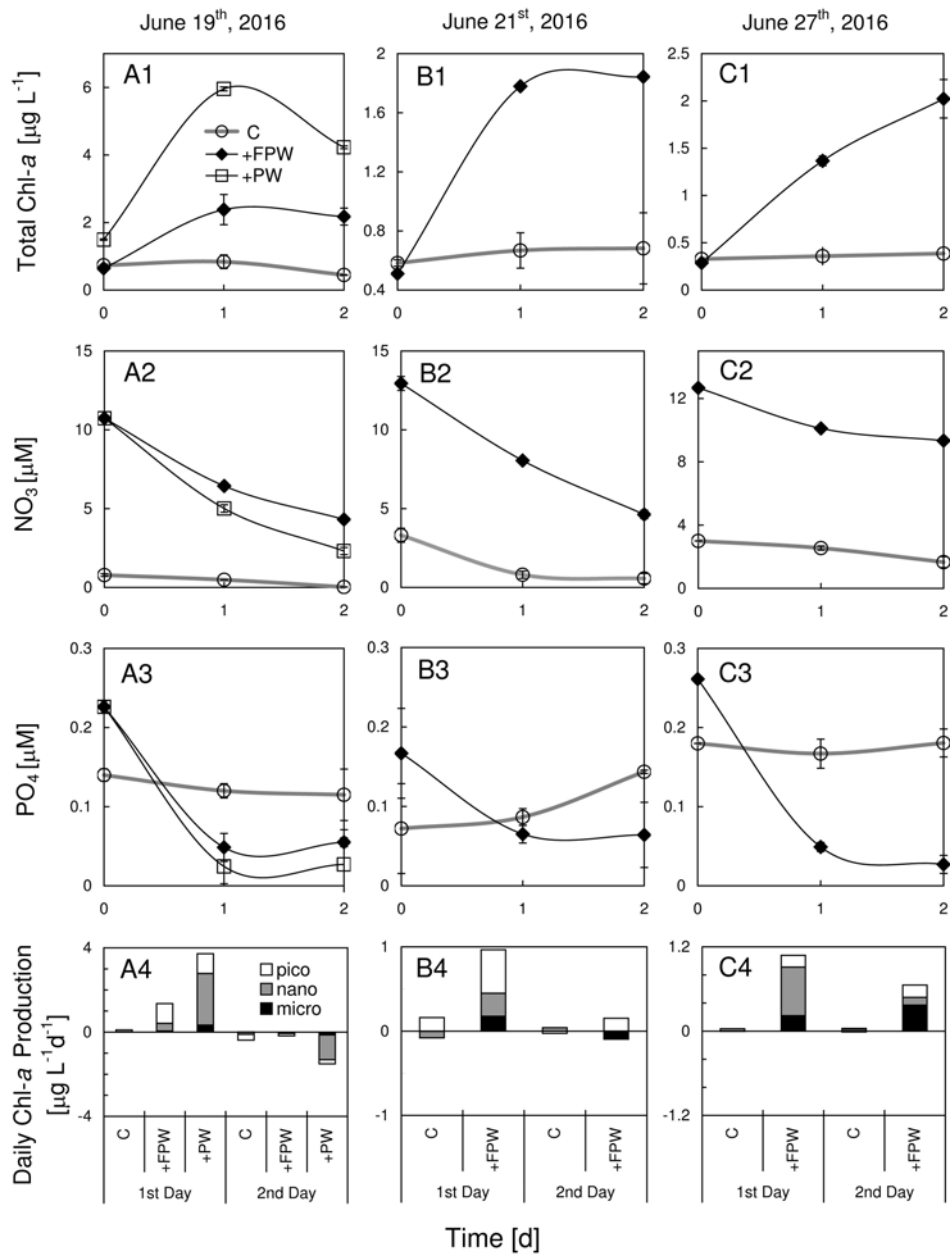
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3 **Figure 6.** Responses of total chlorophyll-*a*, **nitrate, phosphate,** and size-fractionated daily chlorophyll-*a*
 4 production rate of the surface water to various nutrient enrichments at **(A1-A4)** S1, **(B1-B4)** S2, **(C1-C4)**
 5 S3, **(D1-D4)** S4, **(E1-E4)** S5, **(F1-F4)** S6, and **(G1-G4)** S7 during May and June 2016. Station locations
 6 are in Figure 1 with the initial conditions in Table 1; Treatments include control (C), nitrogen alone
 7 (+N), phosphorus alone (+P), and nitrogen plus phosphorus (+NP), respectively; **Chlorophyll-*a* size**
 8 **fractionations of the initial waters for these stations are shown in Table 1.**



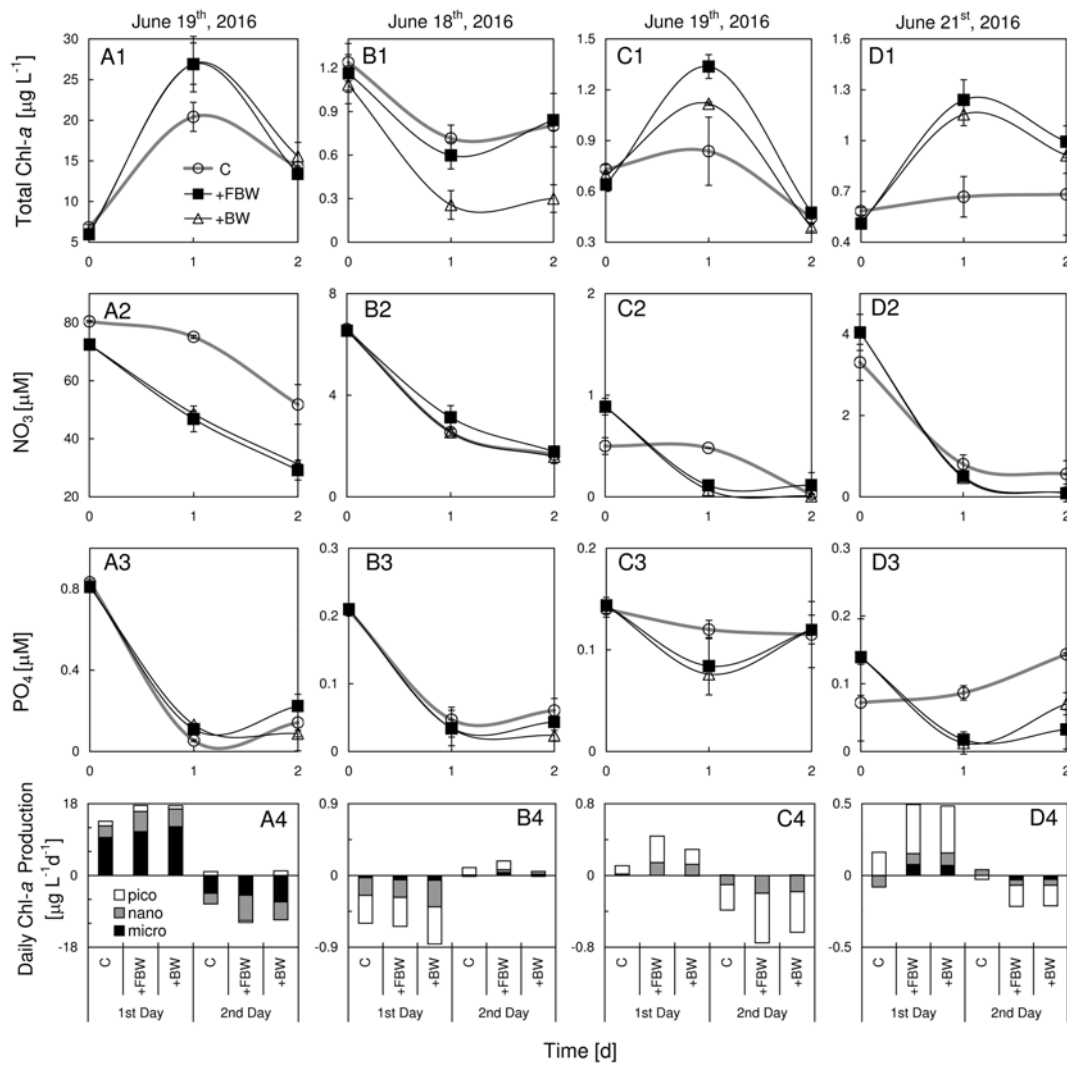
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3 **Figure 7.** Responses of (A) total chlorophyll-*a*, (B) nitrate and phosphate, (C) size-fractionated rate of
 4 daily chlorophyll-*a* production, and (D) size-fractionated net growth rate of the surface water at S4 to a
 5 various percentage of plume water from S2. The experiment was started on June 19th, 2016.



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3 **Figure 8.** Responses of total chlorophyll-*a*, nitrate, phosphate, and size-fractionated rate of daily
 4 chlorophyll-*a* production of the surface water to the addition of plume water at (A1-A4) S6, (B1-B4) S7,
 5 and (C1-C4) S8. PW is the plume water with FPW the filtered plume water.



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Figure 9. Responses of total chlorophyll-*a*, nitrate, phosphate, and size-fractionated rate of daily chlorophyll-*a* production of the surface water to the addition of local bottom waters at station (A1-A4) S2, (B1-B4) S4, (C1-C4) S6, and (D1-D4) S7 during June 2016. BW is the bottom water with FBW the filtered bottom water.