

1           **In situ evidence of mineral physical protection and carbon stabilization**  
2                           **revealed by nano scale 3-D tomography**

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12  
13          **Abstract**

14                 An approach for nanoscale 3-D tomography of organic carbon (OC) and associated mineral  
15                 nanoparticles was developed to illustrate their spatial distribution and boundary interplay, using  
16                 synchrotron-based transmission X-ray microscopy (TXM). The proposed 3-D tomography  
17                 technique was first applied to in situ observation of a lab-made consortium of black carbon (BC)  
18                 and nano mineral (TiO<sub>2</sub>, 15 nm), and its performance was evaluated using dual-scan (absorption-  
19                 contrast and phase-contrast) modes. Then this novel tool was successfully applied to a natural OC-  
20                 mineral consortium from the mountain soil at a spatial resolution of 60 nm, showing the fine  
21                 structure and boundary of OC, the distribution of abundant nano-sized minerals, and the 3-D  
22                 organo-mineral association in situ. The stabilization of 3500-year-old natural OC was mainly  
23                 attributed to the physical protection of nano-sized Fe-containing minerals (Fe oxyhydroxides  
24                 including ferrihydrite, goethite, and lepidocrocite), and the strong organo-mineral complexation.

1 In situ evidence revealed an abundance of mineral nanoparticles, in dense thin layers or nano-  
2 aggregates/clusters, instead of crystalline clay-sized minerals on or near OC surfaces. The key  
3 working minerals for C stabilization were reactive short-range-order (SRO) mineral nanoparticles  
4 and poorly crystalline submicron-sized clay minerals. Spectroscopic analyses demonstrated that  
5 the studied OC was not merely in crisscross co-localization with reactive SRO minerals. There  
6 could be a significant degree of binding between OC and the minerals. The ubiquity and abundance  
7 of mineral nanoparticles on the OC surface, and their heterogeneity in natural environment may  
8 have been severely underestimated by traditional research approaches. Our in situ description of  
9 organo-mineral interplay at nano scale provides direct evidence to substantiate the importance of  
10 mineral physical protection for the long term stabilization of OC. This high resolution 3-D  
11 tomography approach is a promising tool for generating new insight into the interior 3-D structure  
12 of micro-aggregates, the in situ interplay between OC and minerals, and the fate of mineral  
13 nanoparticles (including heavy metals) in natural environments.

14

## 15 **1 Introduction**

16 Three main mechanisms for soil organic carbon (SOC) stabilization have been proposed:  
17 (1) chemical stabilization as a result of chemical or physiochemical binding between SOC and soil  
18 minerals (especially clay and silt in current opinions), namely organo-mineral complexation; (2)  
19 physical protection, which occurs predominantly at the microaggregate level and is built on top of  
20 the chemical organo-mineral complexation; and (3) biochemical stabilization in the form of  
21 recalcitrant SOC compounds (Six et al., 2002). The accumulation and subsequent loss of OC have  
22 been found largely driven by changes in the millennial scale cycling of mineral-stabilized C, and

1 a positive correlation between non-crystalline minerals and OC has been found in soils across a  
2 climate gradient (Torn et al., 1997). Metastable SRO minerals, which may only exist in small  
3 portions in the fine and dense soil fraction, are crucial for C stabilization (Cusack et al., 2012;  
4 Eusterhues et al., 2005; Kaiser et al., 2002a; Mikutta et al., 2005; Mikutta et al., 2006; Mikutta et  
5 al., 2007; Rasmussen et al., 2005). Even though SRO minerals are known for high reactivity  
6 (Eusterhues et al., 2011; Eusterhues et al., 2008), their development of strong organo-mineral  
7 complexation with OC relies on immediate contact with the reactive surface of OC. On the other  
8 hand, although SRO normally accounts for only a small portion of weight in the soil fraction, their  
9 distribution could be prevalent due to small size. In real soil environments, the actual key mineral  
10 players (crystalline micron-sized clay vs nanoscale SRO minerals) for in situ interaction with OC  
11 have not been identified, and their spatial and temporal variations are not known (Vogel et al.,  
12 2014). To date, little information is available on the in situ distribution of reactive minerals below  
13 clay size, and on the subsequent organo-mineral micro-assemblage in soils (Baldock and  
14 Skjemstad, 2000; Cusack et al., 2012; Mikutta et al., 2006; Torn et al., 1997; Vogel et al., 2014).  
15 Traditional fractionation methods based on size and external force for dissecting the association  
16 strength between OC and minerals in soils are limited to bulk samples and clay-sized mineral  
17 particles (Kaiser et al., 2002b; Kleber et al., 2007; Sollins et al., 2009). Multi-dimensional  
18 perspectives call for in situ observation of organo-mineral interplay and micro-assemblage at  
19 nanoscale (Kinyangi et al., 2006; Lehmann et al., 2007; Lehmann et al., 2008; Solomon et al.,  
20 2012). Advance of in situ interfacial details may lead to a breakthrough in mineral physical  
21 protection mechanism for the long term stabilization of OC. To overcome the limitations of  
22 routinely used electron microscopic methods (e.g. applicability limited to the surface layer or  
23 undesirable artifacts due to pretreatments), non-destructive high-resolution X-ray 3-D

1 tomographic technique is used in this study to explore the fine structure of OC and boundary  
2 interplay with nano-sized mineral particles. It is worth noting that while some 3-D tomography  
3 studies have been conducted on soil microstructure and porosity using X-ray micro-Computed  
4 Tomography (CT), the best resolution achieved only amounts to tens of microns (for example,  
5 Quin et al. (2014), down to 70 microns, with biochar amendment; Kravchenko et al. (2015), down  
6 to 13 microns), which far exceed the size of clay minerals (<2 microns); the micro-CT is therefore  
7 not fit for capturing the microstructure of clay and submicron minerals. High resolution  
8 synchrotron-based TXM has been demonstrated as a powerful tool for understanding the internal  
9 3-D structure of particles down to nano meter scale, due to its large penetration depth and superior  
10 spatial resolution (Kuo et al., 2011; Wang et al., 2015). This technique has been successfully  
11 applied to reveal the discrete three-dimensional micro-aggregation structure of clay (kaolinite) in  
12 natural aqueous environment and generated remarkable tomography that revealed precise inter-  
13 particle structure (Zbik et al., 2008). Clay particles with diameter below 500 nm were clearly  
14 visible and their pseudohexagonal symmetry was recognized in three-dimensional details.

15         The synchrotron-based TXM at the beamline BL01B1 of Taiwan Light Source (TLS),  
16 which has been used in this study, provides two-dimensional imaging and three-dimensional  
17 tomography at a spatial resolution of 30/60-nm with tunable energy (8-11keV). It provides an  
18 unprecedented opportunity for studying OC boundary interplays with mineral particles at nano  
19 meter scale. Two image acquisition modes, absorption contrast and phase contrast, can be used for  
20 recognizing OC and nano minerals. X-ray images are often taken in the absorption-contrast mode,  
21 and the resulting image contrast depends only on the difference of X-ray attenuation coefficient  
22 between materials. This mode is especially suitable for imaging materials consisting of high atomic

1 number compositions. However, for organic materials, the difference of X-ray attenuation  
2 coefficients between specimen and air is too small to distinguish them from each other. For this  
3 reason, the structure of organic materials is often difficult to recognize due to a low contrast in  
4 absorption-contrast images. Alternatively, phase-contrast technique which converts optical path  
5 length differences (optical phase) inside specimens into intensity contrast, can be used for imaging  
6 low atomic number materials with poor X-rays absorption. It provides a unique opportunity to  
7 observe fine structures of organic specimens such as OC. Few studies have been conducted on OC  
8 and mineral nanoparticles using high-resolution 3-D X-ray tomography, although non-  
9 synchrotron-based 3-D X-ray microscopy has been used to observe occluded carbon in phytolith  
10 structure and kerogen at micrometer scale (Alexandre et al., 2015; Bousige et al., 2016). We  
11 developed a new dual-scan method using phase-contrast and absorption-contrast modes of the  
12 TXM for observing OC and mineral consortiums inside lab-made and natural samples at  
13 nanometer scale. For the first time, synchrotron-based TXM was used to examine lab-made OC in  
14 the form of BC in the artificial consortium with added mineral nanoparticles (TiO<sub>2</sub>). Black C  
15 (biochar) has received increasing research interest globally due to its importance in global carbon  
16 cycling, soil fertility improvement and environmental pollutant remediation (Bond et al., 2013;  
17 Jeffery et al., 2015; Kuhlbusch, 1998; Lehmann et al., 2007; Liang et al., 2006; Liang et al., 2008;  
18 Schmidt, 2004). In addition to method development for 3-D tomography at nano meter scale, this  
19 study provides in situ evidence on the minerals physical protection on natural OC, and to explore  
20 the C stabilization mechanism in natural soil.

21 High resolution X-ray scanning and 3-D tomography is highly demanding in terms of  
22 technology, multi-disciplinarity and big-data analysis (Lafond et al., 2015). Except for the

1 adoption of high resolution X-ray objective lens, the fidelity of 3D tomography relies on the  
2 accurate alignment of the 2D projections in correct three-dimensional positions. However, non-  
3 negligible mechanical imperfection of the rotational stages at nanometer level, or the thermal  
4 effects may significantly degrade the spatial resolution of reconstructed tomography. We have  
5 developed a markless image auto-alignment algorithm for fast projection matching (**Faproma**,  
6 Wang et al., 2017) to overcome these challenges, and accomplished accurate reconstruction of 3-  
7 D tomography at the nanometer level.

8

## 9 **2 Methodology**

### 10 **2.1 Sample preparation and background**

11 Sample of BC was made in lab using leguminous plant (*Sesbania roxburghii*) of 80 days'  
12 harvest, which was first oven-dried (65 °C), and charred inside a muffle furnace at 300 °C in  
13 loosely sealed stainless containers (Chen et al., 2014b). This consortium of low temperature BC  
14 and mineral nanoparticles was constructed by dry deposition of commercial TiO<sub>2</sub> (15 nm) on lab-  
15 made BC (3 mm chunk), and then was embedded in Gatan G-1 epoxy. The blocks were cross  
16 sectioned to a thickness of 100 to 200 μm using a microtome (Leica Reichert Ultracut E ultra-  
17 microtome) and subsequently hand-polished to a thickness of 30 to 50 μm. Each section was  
18 transferred onto Kapton tape and mounted on a stainless steel sample holder for TXM observation.  
19 Before TXM analysis, gold nanoparticles (50-150 nm or 400-500 nm in diameter) were deployed  
20 on the section surface for image registration.

1           Thin section of natural OC and mineral consortium (NH) was prepared using micron to  
2 millimeter-sized particulate sample from the mountain soil. Millimeter-sized particulate organic  
3 matter with minerals embedded inside was taken from the lower dark layer at a depth of 72-93 cm  
4 in a Typic Humicryepts soil profile, located in Mt. Nanhua, Nantou County, Taiwan (24°03'00",  
5 121°17'02"). On top of this dark layer, iron stain was observed within the depth of 63-72 cm in  
6 the profile. The soil has developed on top of sandstone and slate, with some features of inceptisol  
7 and spodosol. The sampling elevation is 3092 m, the annual average temperature is 7.57 °C, and  
8 the yearly rainfall is 2203.1 mm. The primary vegetation is arrow bamboo (*Yushania*  
9 *nittakayamensis*), with sporadic Hemlock (*Tsuga chinensis*), fir (*Abies kawakamii*), and spruce  
10 (*Picea morrisonicola*). The sequestration environment represents weak leaching and chemical  
11 weathering conditions. The age of soil organic C was estimated to be 3500 years B.P.

12

## 13 **2.2 Working conditions of TXM**

14           A superconducting wavelength shifter source provides a photon flux of  $4 \times 10^{11}$  photons  $s^{-1}$   
15  $(0.1\% \text{ bw})^{-1}$  in the energy range of 5-20 keV at the BL01B1 beamline. A double crystal  
16 monochromator exploiting a pair of Ge (111) crystals selects X-rays within the energy range of 8-  
17 11 keV. The specimen is imaged using a Fresnel zone plate, which functions as an objective lens  
18 for an image magnification of  $44\times$  by the first order diffraction mode. Conjugated with a  $20\times$   
19 downstream optical magnification, the TXM provides a total magnification of  $880\times$  with a field of  
20 view of  $15 \times 15 \mu\text{m}^2$ . By acquiring a series of 2D images with the sample rotated  $1^\circ$  stepwise, 3-D  
21 tomography datasets are later reconstructed based on 151 sequential image frames that are captured  
22 with azimuth angle rotating from  $-75^\circ$  to  $+75^\circ$ .

1

## 2 **2.3 Image acquisition for 3-D tomography**

3 Under the most frequently used absorption-contrast mode, 2-D images are recorded based  
4 on the projection of the different X-ray absorption coefficient integration along the optical pathway  
5 through the samples on a detector. The absorption mode is useful for materials of high absorption  
6 coefficient, such as minerals or high atomic number materials, but it performs poorly for the  
7 observation of low atomic number materials, such as organic or polymer materials. To recognize  
8 the OC structure more accurately, 2-D/3-D images for the same sample region are recorded using  
9 absorption-contrast and phase-contrast modes, respectively.

10 In the phase-contrast mode, the gold-made phase ring positioned at the back-focal plane of  
11 the zone plate is used to retard or advance the phase of the non-diffracted light by  $\pi/2$ , generating  
12 (Zernike's) phase-contrast image recordings at the detector. The light diffracted by the specimen  
13 interferes with the retarded non-diffracted light, generating phase-contrast image. The intensity  
14 difference in a phase-contrast image shows the combination of optical phase difference and  
15 absorption difference through the specimens. This ability is especially important for the  
16 observation of OC which has a low X-ray absorption coefficient.

17

## 18 **2.4 Three-dimensional reconstruction and analysis**

19 Three-dimensional tomography reconstruction was performed using homemade software,  
20 which was coded based on iterative image registration (Faproma) (Wang et al., 2017) and filtered  
21 back projection (FBP) reconstruction algorithms. Firstly, a series of single TXM image captured



1 from  $-75^{\circ}$  to  $+75^{\circ}$  at rotational increments of  $1^{\circ}$  were loaded for automatic image registration using  
2 Faproma algorithm. Then, the reconstruction was processed using the FBP algorithm. The  
3 reconstructed datasets were exported in cross-sections for 3-D visualization using *Amira*. The  
4 intensity contrast of reconstructed datasets was inversed for better visualization. Compositions  
5 with higher absorption coefficients were shown in higher intensity and those with low absorption  
6 coefficients were shown in lower intensity. The exported cross-section of 3-D tomography  
7 (reconstructed datasets) showed the real distribution details and boundary interplay of OC and  
8 mineral particles. The final 3-D tomographic structures for visualization and illustration were  
9 generated using *Amira* 3-D software for image post-process and computation (Fig. S1).

10

## 11 **2.5 Elemental mapping by SEM-EDS**

12 For correlated spatial distribution of selected elements (C, O, Fe, Al etc.) in natural OC  
13 particles from the mountain soil, a low-vacuum scanning electron microscope (JEOL W-LVSEM,  
14 JSM-6360LV) equipped with an energy dispersive X-ray spectrometer (Oxford EDS) and a  
15 cathodoluminescence (CL) image detector (Gatan mini-CL) was used for elemental mapping, at  
16 an accelerating voltage of 15 keV.

17

## 18 **2.6 X-ray Diffraction for Mineralogy**

19 To analyze the forms of minerals associated with natural OC, particulate OC (with minerals  
20 on the surface and embedded inside) was ground and injected into capillary tubes (Special Glass  
21 10, Hampton Research, CA) for synchrotron high resolution X-ray diffraction analysis at the 09A  
22 beamline at Taiwan Photon Source (TPS), which was equipped with a set of high-resolution

1 monochromator (HRM). The wavelength was 0.8266 Å at the energy of 15 keV. The X-ray  
2 diffraction (XRD) spectra were recorded under room temperature for 240 s accumulation time.  
3 Specific X-ray diffraction peaks and patterns were assigned ICDD using the PDF-2/4 program.

4

## 5 **2.7 Carbon functionality and interfacial mineral forms using FTIR**

6 For the FTIR analysis, mineral-bearing OC (NH) particles were ground, dried (60 °C  
7 overnight), mixed with potassium bromide (KBr) at a ratio of 1:100, and molded into disks using  
8 a hydraulic press. During the pressing process, a vacuum pump was used for evacuating air and  
9 water. The samples were measured using infrared microspectroscopy at the BL14A1 beamline  
10 at the National Synchrotron Radiation Research Center (NSRRC), Taiwan. The FTIR spectra  
11 were collected with up to 1024 scans in the mid-infrared range of 4000-400 cm<sup>-1</sup> with a spectral  
12 resolution of 4 cm<sup>-1</sup>, using a FTIR spectrometer (Nicolet 6700, Thermo Fisher Scientific,  
13 Madison, WI, USA) with a self-equipped light source. The automatic atmospheric suppression  
14 function of OMNIC software (OMNIC 9.2, 2012; Thermo Fisher Scientific Inc., Waltham, MA,  
15 USA) for bulk sample analysis was activated for data analysis, to eliminate the rovibration  
16 absorptions of CO<sub>2</sub> and water vapor in ambient air.

17

## 18 **3 Results and Discussions**

### 19 **3.1 Distinguish the fine structure of BC and boundary interplay with mineral** 20 **nanoparticles**

1 High resolution 2-D X-ray photographs were captured for the identical regions in lab-made  
2 BC and nano mineral consortium using dual-scan absorption contrast and phase contrast modes  
3 (Fig. 1, a and e). The cross-section views exported from the reconstructed 3-D datasets reveal  
4 subtle details of BC and mineral nanoparticles, and clearly outline the fine boundary of BC and  
5 the distribution of TiO<sub>2</sub> nanoparticles (Fig. 1). The shape, size, and distribution of mineral  
6 nanoparticles were identified accurately using the absorption contrast mode due to their high X-  
7 ray absorptivity (Fig.1, b, c, and d). In comparison, the BC structure and contour of its boundary  
8 were revealed much more clearly using the phase-contrast mode (Fig.1, f, g and h). However, the  
9 bright halo artifacts in phase-contrast image enhance the intensity of margin texture for nano  
10 minerals, and may lead to overestimation of their volume (Fig. 1, e, f, g and h). The use of dual-  
11 scan mode allows cross-checking and validation of details.

12 Cross-sectional views of the reconstructed 3-D tomography shared consistent and  
13 comparable features of BC and nano minerals in multi-angles (Fig. 2). According to the display of  
14 different slicing planes (XY, XZ, YZ), TiO<sub>2</sub> nanoparticles deposit inside BC has contacted only  
15 sporadically with the BC boundary (Fig. 2, b, e, c, and f) due to the treatment of dry deposition.  
16 The nano scale gap between BC and nano minerals was clearly observed in absorption and phase-  
17 contrast images (Fig. 2, b, e, c, and f). It was feasible to calculate the interplay surface and mineral  
18 volume quantitatively by examining each cross-sectional view in a selected region. Our approach  
19 was successful in thoroughly exploring OC and minerals' 3-D distribution and verifying their real  
20 in situ spatial correlation at nanoscale resolution.

21

## 1 **3.2 Three-dimensional tomography for illustrating in situ distribution of BC and mineral** 2 **nanoparticles**

3 Three-dimensional tomography for visualization was computed and generated based on the  
4 post-processing of the reconstructed 3-D datasets to illustrate the spatial correlation between BC  
5 and minerals. Unprecedented details of 3-D in situ distribution of BC and mineral nanoparticles  
6 were revealed in the computed 3-D tomography (Fig. 3; Fig. SMOV1, 2). Results from absorption-  
7 mode and phase-contrast mode were consistent and comparable. The fine boundary feature of BC  
8 was contoured for completion in the phase-contrast mode. The OC was rendered by transparent  
9 mode, and high absorptivity materials (such as minerals and gold particles) were rendered by solid  
10 mode with various colors. All renderings were combined to visualize their interactions. The  
11 computed 3-D tomography illustrations allows randomly tilted and set angles for image and  
12 animated video exports, thus any region of interest inside a specimen may be explored thoroughly.

13 The lab-made consortium was successfully tested by the dual-scan methodology using both  
14 absorption-contrast and phase-contrast acquisition modes (Figs. 1, 2, and 3). Compared with BC  
15 made at high temperatures, low-temperature BC is more similar to pyrogenic OC exposed to  
16 natural environment. Thus, low-temperature BC was specially made to test its applicability under  
17 absorption-contrast mode. Results showed that the fine structure and boundary of low-temperature  
18 BC can be clearly observed under absorption-contrast mode. Thus, for environmental OC samples,  
19 the use of absorption-contrast mode is very likely sufficient for capturing organo-mineral features.

20 Unlike field samples, the minerals observed within the lab-made consortium are often  
21 distributed in clusters and are only sparsely in contact with BC surfaces. The preservation of plant-  
22 like structures in BC could play a role for carbon stabilization in natural environment, as their

1 porosity and reactive surface provide large areas and sites for mineral coating, which may  
2 contribute to their long residence and physical endurance (Eusterhues et al., 2008; Rasmussen et  
3 al., 2005; Rawal et al., 2016).

#### 5 **3.4 Interplay of OC and minerals and C stabilization in the mountain soil**

6 The nano scale 3-D tomography in this study revealed a high heterogeneity within the  
7 natural OC-mineral consortium, and most of the particulate OC surface was coated by minerals.  
8 Natural OC exhibited strong organo-mineral association on its surface at nano scale (Fig. 4; Fig.  
9 SMOV3). Very few micron-sized or clay-sized, euhedral or crystalline minerals were observed.  
10 An Abundance of SRO minerals in the form of subhedral particle or anhedral nano-  
11 aggregates/clusters had direct association with the boundary of OC, and developed a coating on  
12 the tracheid surface (Fig. 4 b and c) (Mikutta et al., 2006). A sheet-like mineral coating was  
13 observed on the OC surface, in the form of dense and thin layers, which indicated possible  
14 origination from adsorption (Fig. 4 b, Fig. S2). The densely-packed mineral texture suggested  
15 significant physical protection on the OC surface (Kaiser and Guggenberger, 2007). The sorbed  
16 minerals not only provide physical protection, but can also shield OC from chemical weathering  
17 (Mikutta et al., 2006). The key working minerals for OC-mineral interplay are SRO mineral  
18 nanoparticles, and poorly crystalline submicron-sized clay minerals, instead of crystalline clay-  
19 sized minerals. The other distinct texture is recognized as OC-mineral nano-aggregates/clusters,  
20 with either minerals in the core and OC around (Fig. S2), or vice versa (Fig. S3). This type of  
21 texture indicates possible OC-mineral co-precipitation at microsites (Fig. 4 b). Many clusters of  
22 various shapes are observed (Fig. S3). Mineral aggregation by poorly crystalline nanoparticles

1 renders natural sub-micron porosity, which may contribute to an elevation of sorption capacity in  
2 soil (Rawal et al., 2016).

3 The high-resolution synchrotron-based X-ray diffraction confirmed the nature of  
4 associated minerals to be mainly SRO Fe oxyhydroxides, specifically ferrihydrite (ICDD 01-073-  
5 8408), goethite (ICDD 01-073-6522), lepidocrocite (ICDD 00-044-1415), and quartz (ICDD 00-  
6 033-1161) (Fig. 5; Table S1). Quartz may be at most a minor component on OC surface,  
7 considering their chemistry and particle size; yet siliceous mineral surfaces may be coated with a  
8 veneer of hydrous Al- and Fe- oxides, which could confer a net positive charge and promote their  
9 reactivity in tropical environments (Chen et al., 2014a; Sposito, 1989).

10 Considering their large surface area and high reactivity, the abundant nano-sized Fe  
11 oxyhydroxides could play a significant role for the long-term stabilization of OC through chemical  
12 bonding and physical shielding (Eusterhues et al., 2005; Kaiser et al., 2002b; Kiem and Kogel-  
13 Knabner, 2002; Mikutta et al., 2006), and contribute to the longevity of OC in the mountain  
14 environments. According to elemental mapping, aluminosilicates may also be present, however,  
15 their portion and crystallization levels should be low judging from their minimal signal in the XRD  
16 spectra (Figs. 5, 6). The primary minerals in the mountain soil were quartz, amesite (Kaolin-  
17 serpentine), and muscovite (Fig. S4). Minimal Fe oxyhydroxides signal was observed in the  
18 mountain soil. The mountain soil was not rich in Fe oxyhydroxides; however, the studied  
19 particulate organic C was rich in Fe oxyhydroxides. The key working minerals for physical  
20 protection and chemical stabilization of OC could be nano-sized SRO minerals in a soil that is not  
21 rich in Fe oxyhydroxides. The FTIR analyses demonstrated the chemistry of organo-mineral  
22 association (Fig. 7; Table S2). The aged OC is highly aromatic when it is highly reactive, similar

1 to pyrogenic C that has been exposed to natural environment (Liang et al., 2006). Broad bands are  
2 observed at  $1596\text{ cm}^{-1}$  for aromatic C=C stretching and  $1706\text{ cm}^{-1}$  for carboxylic  $\nu\text{ C=O}$  (Özçimen  
3 and Ersoy-Meriçboyu, 2010; Sharma et al., 2004). Both aromatic and carboxyl C functional groups  
4 normally have high affinity with Fe (III) (Hall et al., 2016; Mikutta et al., 2007; Zhao et al., 2016).  
5 Broad bands likely indicate a significant degree of association between OC and minerals (Chen et  
6 al., 2016; Gu et al., 1994; Kaiser and Guggenberger, 2007). The sorption of OC to Fe  
7 oxyhydroxides through organo-mineral multiple complex bonds could occupy their reactive  
8 surface sites, and enhance their respective stabilization (Chorover and Amistadi, 2001; Cornell  
9 and Schwertmann, 2006; Hall et al., 2016; Kaiser and Guggenberger, 2007; Mikutta et al., 2007).  
10 For example, the discovery of SRO mineral ferrihydrite in air-dried and ground OC particles  
11 indirectly validates its stabilization due to organo-mineral interplay. It is difficult to accurately  
12 estimate ferrihydrite in dry soil samples due to its transient nature and the limitation of traditional  
13 extraction and spectroscopic methods (Cornell and Schwertmann, 2006). The phase of mineral in  
14 point-to-point direct contact with OC surface warrants future research at nanoscale (Fig. SMOV3).  
15 In situ mineral mapping of different SRO minerals including Fe oxyhydroxide on OC surface may  
16 provide more insight into OC stabilization. Mineral physical protection on OC may represent the  
17 end stage of carbon stabilization, especially in weak leaching and weathering environments.

18 Our in situ description of organo-mineral interplay at nanoscale provides direct evidence  
19 on the importance of mineral physical protection for the long term stabilization of OC. Large  
20 amounts of ferrihydrite and other Fe oxyhydroxides were also found associated with lignin-like  
21 OC in soil under an aquic moisture regime (Eusterhues et al., 2011). The abundance of mineral  
22 nanoparticles, and their high heterogeneity and short-range-order nature could be common in a

1 humid environment, however, they may have been severely underestimated by traditional analysis  
2 methods such as electron microscopy, X-ray diffraction and fractionation approaches, which  
3 mainly narrow down to clay-sized minerals (Mikutta et al., 2005). We recommend future research  
4 to: (1) explore whether it is a general phenomenon that the minerals interacting with OC surface  
5 are essentially mineral nanoparticle and submicron-sized clay minerals, and (2) explore whether  
6 the mineral for C stabilization is primarily nano-sized SRO minerals instead of clay-sized minerals  
7 in soils. Perspectives on C stabilization and saturation may be revolutionized once the role of SRO  
8 minerals is considered in modeling soil C dynamics, in addition to parameters such as clay type  
9 and content. We suggest that the modeling of SOC turnover should also include BC and pyrogenic  
10 OC into the biochemically protected pool as such organic C can persist over millennia under  
11 natural exposure (Liang et al., 2008).

#### 12 **4 Conclusion**

13 In summary we have developed a high resolution 3-D tomography approach using dual-scan  
14 modes and successfully applied it to study the in situ interplay of OC and minerals in a lab-made  
15 and natural OC-mineral consortium at nanoscale. We discovered that the stabilization of the 3500-  
16 year-old natural OC was mainly attributed to the physical protection of nano-sized Fe-containing  
17 mineral (Fe oxyhydroxides) and to the strong organo-mineral complexation. We provided in situ  
18 evidence and revealed an abundance of mineral nanoparticles, in dense thin layers or nano-  
19 aggregates/clusters, instead of crystalline clay-sized minerals on or near OC surfaces. The key  
20 working minerals for C stabilization were reactive SRO mineral nanoparticles and poorly  
21 crystalline submicron-sized clay minerals. Nano scale 3-D tomography provides new insight into  
22 the mineral physical protection of OC in soil. This high resolution 3-D tomography approach is a



1 promising technique for probing the multi-interfacial features between OC and minerals in lab and  
2 field samples. It is potentially a powerful tool for tracking the fate of nanoparticles including heavy  
3 metals in the natural environments. **Figure Captions**

4 **Figure 1.** The 2-D X-ray images for BC and mineral nanoparticle consortium. Two images are  
5 taken of the same region using absorption-contrast mode (**a**) and phase-contrast mode (**e**),  
6 respectively. Cross-section views of the reconstructed 3-D tomography under each mode at  
7 different depths relative to the position of gold nanoparticle along Z-axis as a reference. Figures  
8 (**b**) and (**f**) are sections extracted at the position of the gold particle. (**c**) and (**g**) are sections  
9 extracted at 800 nm above the gold particle. (**d**) and (**h**) are sections extracted at 800 nm below the  
10 gold particle. The scale bar is 5  $\mu\text{m}$ .

11 **Figure 2.** Three-directional orthogonal sections of lab-made BC and mineral nanoparticle  
12 consortium. The upper row sections were extracted from absorption-contrast tomography (**a, b, c**),  
13 and the lower row sections are extracted from phase-contrast tomography (**d, e, f**), specifically (**a**)  
14 and (**d**) are for XY plane, (**b**) and (**e**) are for YZ plane, and (**c**) and (**f**) are for XZ plane. The scale  
15 bar is 5  $\mu\text{m}$ .

16 **Figure 3.** 3-D tomography illustration of lab-made BC and mineral nanoparticle consortium  
17 observed at  $-45^\circ$  (**a, d**),  $0^\circ$  (**b, e**), and  $+45^\circ$  (**c, f**) azimuthal viewing angles under absorption-  
18 contrast (**a, b, c**) and phase-contrast mode (**d, e, f**). The scale bar is 5  $\mu\text{m}$ .

19 **Figure 4.** Three-directional orthogonal sections of natural mineral-bearing OC from absorption-  
20 contrast tomography (**a** for XY plane, **b** for XZ plane, and **c** for YZ plane). . The scale bar is 5  $\mu\text{m}$ .  
21 Minerals mainly exhibit two types of textures, subhedral particles and anhedral nano-

1 aggregates/clusters. The images in the lower row highlight the free surface of specimen (red line  
2 in **d**), the boundary of OC (green dotted-line in **e**), and the subhedral mineral particles (pink arrow  
3 in **e** and **f**)

4 **Figure 5.** The X-ray diffraction pattern of minerals within OC particles from the mountain soil.  
5 Highly reactive Fe oxyhydroxides are identified and denoted with lines of different colors:  
6 ferrihydrite (ICDD 01-073-8408, orange), goethite (ICDD 01-073-6522, blue), and lepidocrocite  
7 (ICDD 00-044-1415, green). Q stands for Quartz (ICDD 00-033-1161). Details are included in  
8 Table S1.

9 **Figure 6.** Elemental mapping by SEM-EDS for the mineral-bearing OC from the mountain soil.  
10 Left: SEM backscattering image (The bright spots inside are gold nanoparticles for coating). Right:  
11 Elemental mapping of C, O, Fe and Al. Scale bars are 20  $\mu\text{m}$ .

12 **Figure 7.** The FTIR spectra for the chemistry of organo-mineral association. The aged OC is  
13 highly aromatic ( $1596$  and  $1386\text{ cm}^{-1}$ ), and highly reactive with obvious carboxyl functional group  
14 ( $1706\text{ cm}^{-1}$ ). The broad bands point to likely a significant degree of association between OC and  
15 minerals. Some minor bands near  $1274$ ,  $1062$ ,  $1024$ , and  $989\text{ cm}^{-1}$  indicate the lignin-derived  
16 nature of OC. The bands near  $1025$ ,  $910$ ,  $798$ ,  $534$ ,  $476\text{ cm}^{-1}$  have similar characteristics of soil  
17 inorganic/mineral matrix. More details are included in Table S2.

18

19

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9

10

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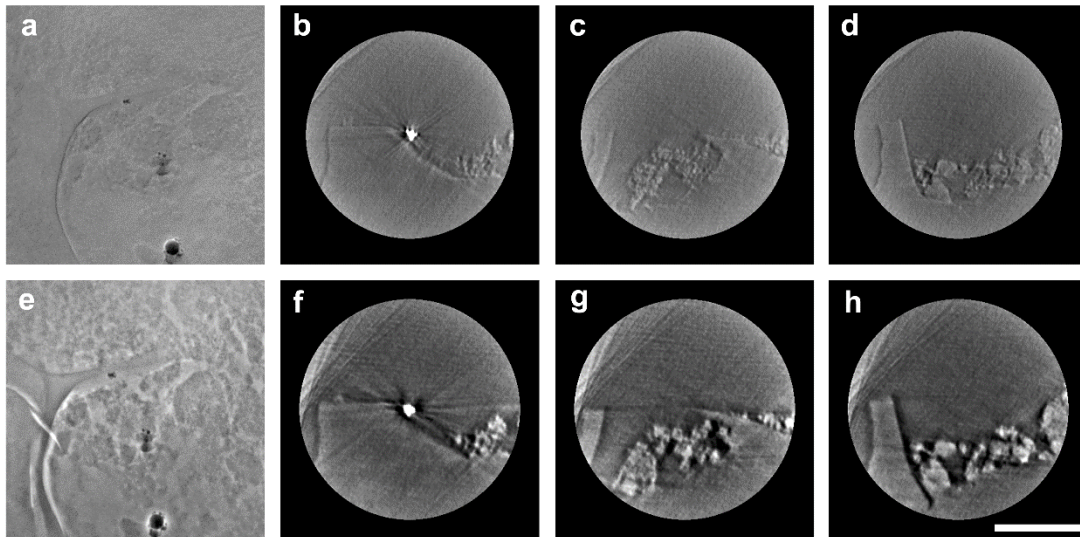
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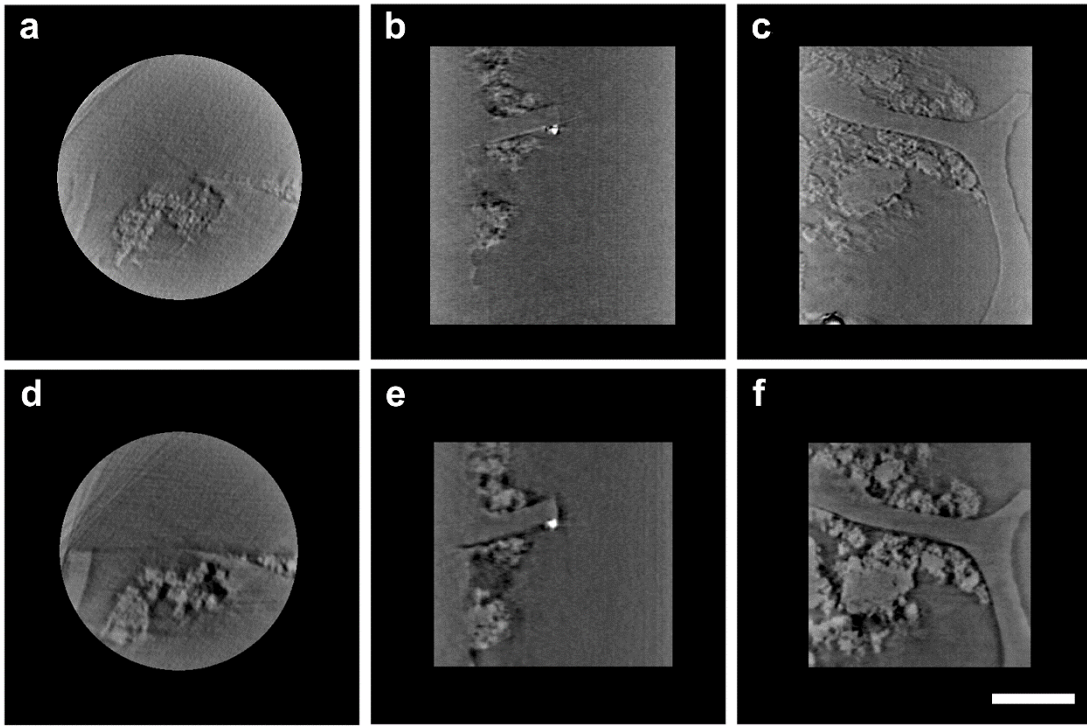


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20 **Figure 1.**



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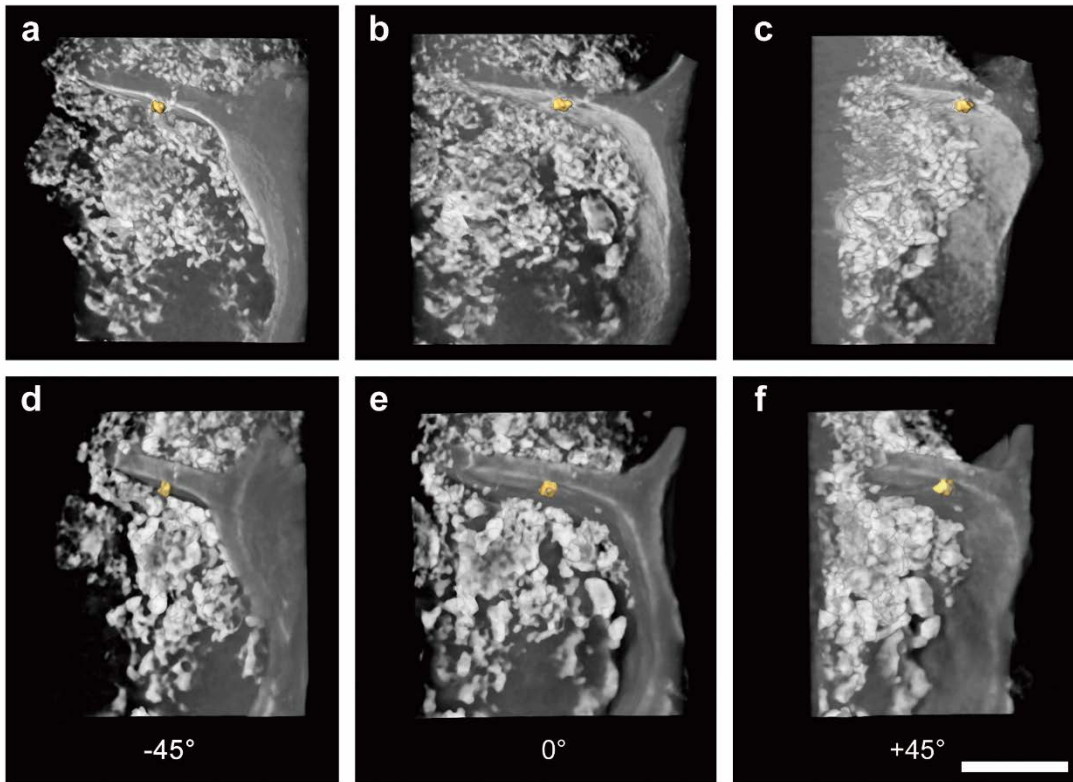


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4 **Figure 2.**

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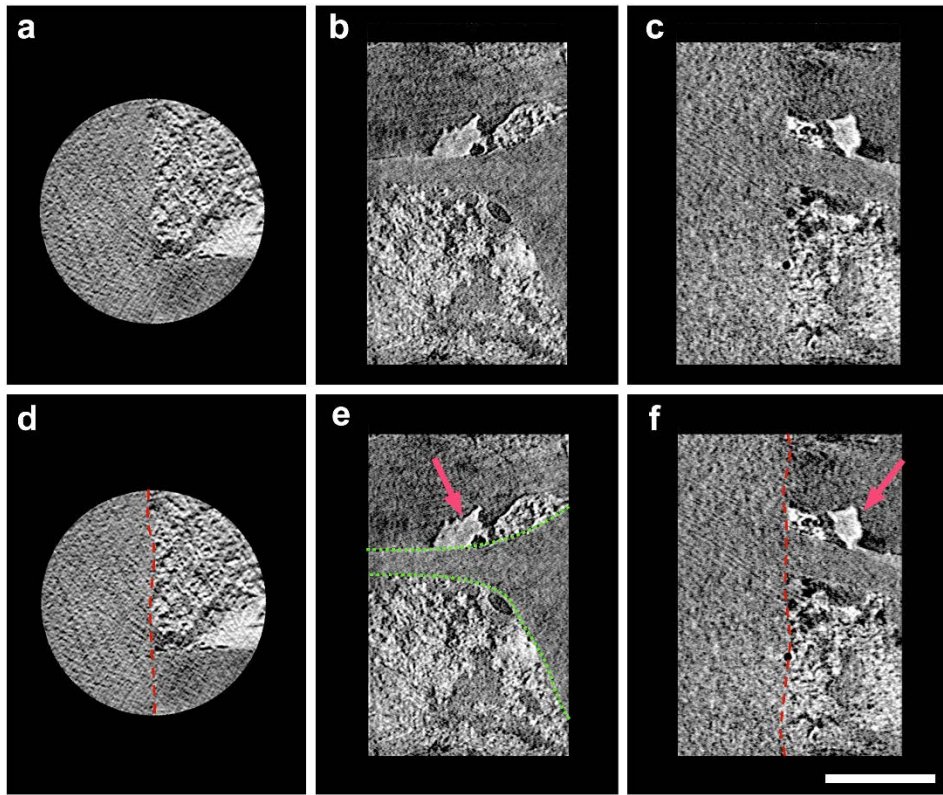
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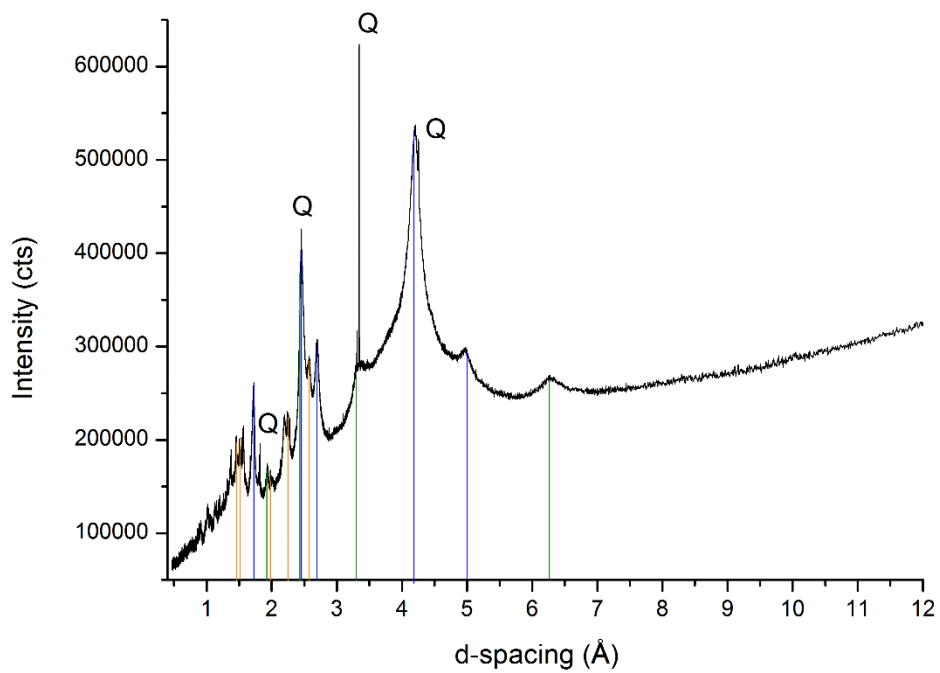
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**Figure 4.**

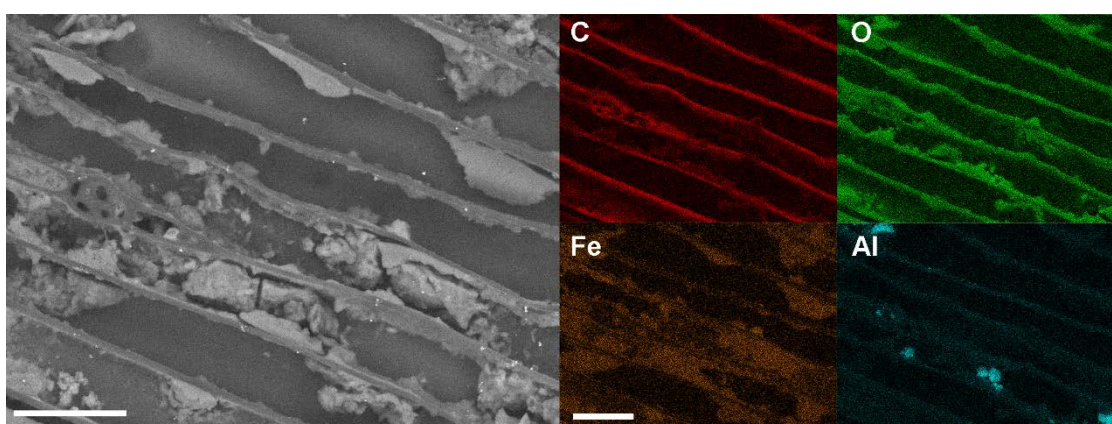


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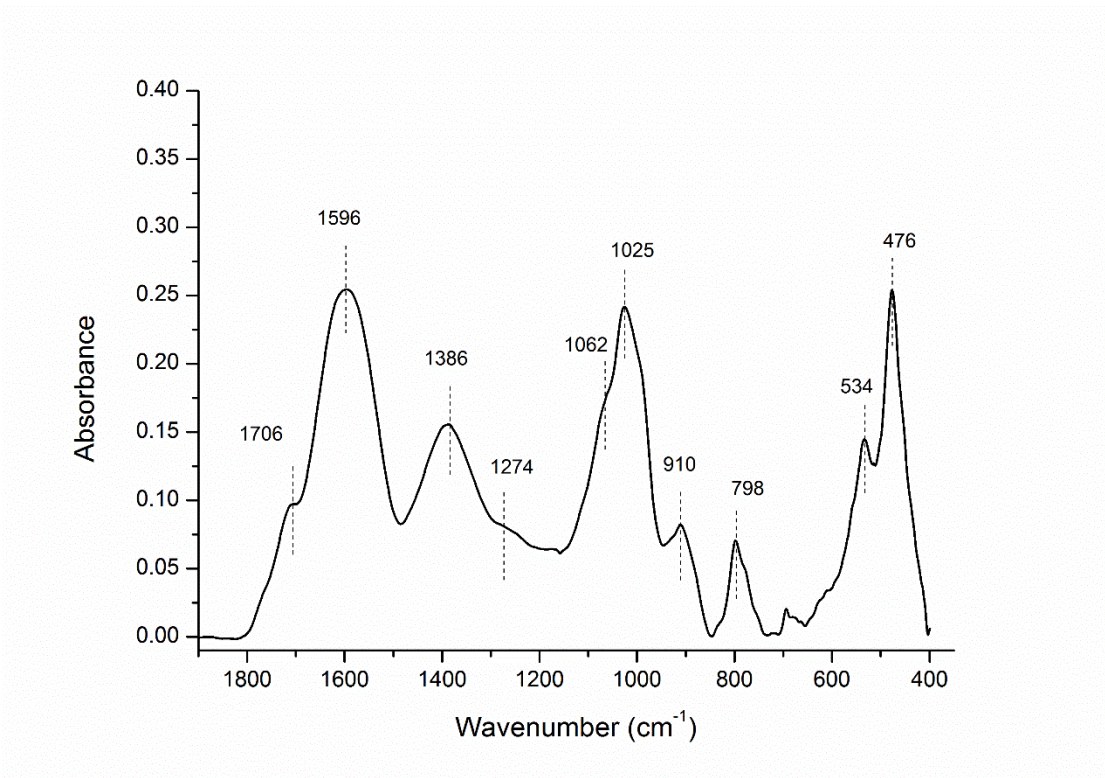
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6 **Figure 6.**



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2 **Figure 7.**

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