

Interactive comment on “Plants in movement – Floristic and climatic characterization of the New Jersey hinterland during the Palaeogene–Neogene transition in relation to major glaciation events” by Sabine Prader et al.

Sabine Prader et al.

sabine.prader@uni-hamburg.de

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General comment 1: I think you should provide a full pollen diagram. The present way of presenting the pollen data is not acceptable, especially since you want to trace possible correlations with climate change. It may be that rare exotic elements disappear from the pollen record at some point, but this is not seen in your very cryptic diagram. Secondly you must indicate hiatuses in Figures 2–4. It looks very odd as it is. We are going to provide a full pollen diagram. We will graphically indicate the hiatuses between the different sequences in Figures 2-4 via gaps between the different sequences

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and appropriate symbols. In this context I also wonder how you distinguish *Larix* from *Pseudotsuga*, and *Tsuga canadensis* from *T. caroliniana*. Please explain. Further, I wonder about the presence of *Cedrus* in E North America. This finding would need to be verified using SEM.

We agree with T. Denk that the differentiation between *Larix* and *Pseudotsuga* is not possible, thus we are going to combine *Pseudotsuga* and *Larix* (we will write *Larix/Pseudolarix*). *Tsuga canadensis* vs. *T. caroliniana* were distinguished via the presence or absence of echinae. We will discuss this differentiation in the text to make it clearer. Since we cannot be 100% sure that those grains we assigned to *T. caroliniana* cannot be other *Tsuga* pollen grains with echinae, we suggest that we use the neutral terms *Tsuga* sp. 1 and *Tsuga* sp. 2. For the climate reconstruction, we intend to use a combined climate data set of North American *Tsuga* spp.. Concerning *Cedrus*: Since we could not yet verify this finding via SEM, we will exclude this taxon from this publication. General comment 2: In Supplement S3 plant taxa are assigned to vegetation units and this assignment has implications for inferring altitudinal shifts of vegetation units (in response to cooling/warming). To my knowledge, it is impossible to score each taxon for one particular vegetation unit. Just taking Flora of North America it is clear that many of the reported taxa have wide ecological ranges and occur in more than one vegetation unit: A few examples are *Pinus* (2, 5 and 7), *Apiaceae* (4 and 7), *Artemisia* (1, 2, 6, 7), *Ericaceae* (1-6), *Fabaceae* (1-7), *Hamamelidaceae* (4, 5, 7) etc. I think this must be corrected.

We definitely agree with T. Denk here that many of the identified taxa have wider ecological optima this was the reason why we introduced the term “artificial” as we have mentioned in the text. The assignment of taxa to the different vegetation types goes back to Prader et al. (2017) and was similarly done by, e.g. Larsson et al. (2011). We have chosen the same system of assignment as in Prader et al. (2017) for a better comparability of both investigations. We suggest that we indicate the possible additional assignments of those taxa who can be assigned to more than one vegetation

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unit in the supplements. Additionally, we would discuss in more detail why the taxa were assigned to the respective groups and to which unit they may also have been assigned.

I also wondered about the vegetation unit "Cupressaceae". These may include almost everything, from swamp forest to sand dunes, to mixed mesophytic forest, to rocky cliffs etc.

We agree, taxa within this family are highly variable in their ecological range. Due to the problematic identification at genus level this family is hard to handle (not only concerning climate reconstructions, but also concerning vegetation reconstructions). This was the main reason why this family was not assigned to a specific vegetation unit (see above!) and why it was excluded from the climatic reconstructions.

We will discuss this "unit" in higher detail and point, e.g. to the fact that macrofossils of *Taxodium* sp. are reported from the Miocene Brandywine flora (McCarthy et al., 1990), which would probably underline that the main input of Cupressaceae pollen grains with a papilla (during the Oligocene) probably derived from this taxon.

General comment 3 (relates to section 3.3, line 25): It would be very illuminating to know which changes in taxon composition/pollen frequencies accounted for this purported drop in temperature. Also for the section further down on climate fluctuations, it would be helpful to name the taxa that account for changes in temperature parameters.

In the first order the presence or absence of *Gordonia* pollen grains is responsible for the strongest fluctuations in the estimated bioclimatic values. The stepwise drop in MAT around the OMB is as well caused by *Gordonia* (lacking in the lowest estimated value around the OMB). We are going to include this information in the text.

General comment 4: relates to section 4.2, lines 15, 16 Even if just taking extant pines of E North America a great number of different ecologies are encountered: Forest, sandy soils, sand dunes, bogs, and typically occurring in flatwoods. The latter would

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correspond to your vegetation unit 5, I think, sand dunes to VU 7 etc. We agree that the ecological range of *Pinus* is much greater and that it occurs in more than one vegetation unit (see above). Considering that *Pinus* produces particularly high amounts of pollen, but that the percentages of the *Pinus* are still not particularly high in our record, we still assume that this taxon in our record is rather part of the highlands. If *Pinus* was also a frequent taxon within the lowland or even coastal vegetation, we would expect a higher input of this pollen grain type into the core sediments (compare Hooghiemstra et al., 2006; Kotthoff et al., 2008, who discuss records with *Pinus* dominating coastal vegetation during certain intervals). In addition, as discussed above, we also follow an approach which was already used for other datasets and which is used in our manuscript to allow a direct comparison. As suggested above, we will discuss the assignment in more detail.

The same is true for oaks, especially if you have sections *Quercus* and *Lobatae* both well-drained and wet soils forests are equally possible environments. You may want to update to the current classification of *Quercus* (Denk et al. 2017). We are going to update the classification of *Quercus*, and discuss the different environments in the corresponding section considering Denk et al. (2017). Again, we agree that many taxa can be assigned to two or more vegetation units.

General comment 5: page 7, line 29 Something is wrong here. The modern distribution of *Fagus grandifolia* is just exactly in E North America. Not precisely on the coast but close to it. Check in GBIF. See also Bennet 1985, J. Biogeography. I would expect fairly high percentages of *Fagus* pollen in a modern pollen diagram. Was *Fagus* less common during some of the time intervals investigated by you?

The related sentence is probably unclear, we will rephrase it according to T. Denk's comments. We agree, *F. grandifolia* is the only *Fagus* in North America (growing east of the continent). In our record, relative abundances of *Fagus* show an increasing trend towards the late mid Miocene. During the Burdigalian relative abundances reached 10% (unpublished data) and were even higher in the late mid Miocene (Prader et al.,

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2017). We have discussed this in section 4.2. Please also compare our answer to Thomas Denk's comment below concerning subgenus *Engleriana* in this context.

General comment 6: relates to section 4.3 I must admit that I had hard times reading this part. p. 8, line 16: Which Pinaceae? On the pollen diagram I see *Pinus* and *Cathaya* – *Cathaya* is more like a mesophytic element and fits well into vegetation unit 4. Also consider that “several conifer taxa may also have been part of hammocks within peat-forming vegetation (e.g. *Cathaya*, *Sequoia*, *Taiwania*) and of raised bogs (*Sciadopitys*; Schneider, 1992; Dolezych & Schneider, 2007).” [from Denk 2016]. All in all, I am not convinced by the claimed correlation between glaciations and fluctuations in the palynological record investigated for this study. Again, I think the entire pollen profile must be presented, and if the authors want to make a case for shifts in some Pinaceae taxa reflecting cooling or warming then this should be illustrated based on a detailed pollen diagram.

We can follow several points of Thomas Denk and agree that this aspect needs a more detailed discussion.

p.8. line 16. Instead of speaking of “meso- and microthermal Pinaceae” we will change this into: “peaks of *Pinus* together with single pollen grains of *Picea*”. The decision to include *Cathaya* into the Vegetation Unit 2 is connected to the previous investigation, which focused on vegetation fluctuations of the late Mid Miocene of the same area (Prader et al., 2017). We also want to mention that according to Liu and Basinger, (2000), *Cathaya* has had a wider ecological range during the Palaeogene and early Neogene, this has also been discussed in Prader et al. 2017.

Again, we also aim at a better comparability of both records (the one presented herein and in Prader et al. 2017). Similarly to *Pinus*, we also think that *Cathaya* would be more over-represented in our record if it was part of vegetation unit 4. As suggested above, however, we will discuss the taxa assignment in more detail and mention the aspect that *Cathaya* could also be part of vegetation unit 4. Similarly, we agree with T.

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Denk that Pinaceae and Cupressaceae do not only grow in higher elevated areas or in lowlands. As mentioned above we are going to include a complete pollen diagram showing all taxa and discuss climatic changes such as cooling and warming in respect to specific taxa, not only vegetation units.

General comment 7: Aspects of the paper that are insufficiently addressed in the current version Biogeographic aspects could be discussed when making use of the full pollen diagram. For example, I noted that *Eotrigonobalanus*, “*Eleagnus*”, and *Cedrus* provide links to Europe. *Eucommia*, *Cathaya*, *Sciadopitys* provide geographic links with East Asia but were widespread in the N Hemisphere during the Cenozoic and *Cedrelospermum* and *Eotrigonobalanus* are extinct taxa. Are these taxa represented in all time slices covered by this study, is there a pattern of extinction? How does the pollen assemblage studied here compare to the Brandon Lignite?

No, *Cedrelospermum* and *Eotrigonobalanus* are not represented in all time slides, (pollen grains similar to e.g. *Eotrigonobalanus* were found 15 times). Both extinct genera were still present in an unpublished dataset of the Burdigalian time interval. These taxa did not become extinct during the Oligocene.

We are going to expand the discussion about *Eotrigonobalanus* and are going to show its sporadic occurrence as well in the pollen diagram. We will discuss biogeographical links to Europe and Asia in the discussion section.

We did not compare the dataset with the Brandon Lignite because the age model says that the terrestrial record is rather a middle early Miocene than an very early Miocene record, but we agree that it is a good point to compare this record with the Brandon Lignite investigation as well as with the late Middle record of the New Jersey area (Prader et al., 2017).

I also noted that the *Fagus* pollen you figure is very interesting: it has a long and narrow colpus reaching almost to the poles of the grain. This is typical of subgenus *Engleriana* and the most distinct species *Fagus grandifolia* within subgenus *Fagus*.

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We are thankful for this remark. We are going to discuss the topic *Fagus* subgenus *Engleriana* as suggested.

Specific comments. Throughout the text:

It is early Eocene, middle Miocene, etc. not Early Eocene, Middle Miocene

We are going to change these word to lower case.

Page 1, line 15. "altitudinal spatial and long-term temporal vegetation migration" Very much information in one sentence. I don't understand what you mean with "altitudinal spatial" Are you assigning taxa to vertical vegetation zones? Same with "long-term temporal". Perhaps better to just say long-term.

We agree that this specific sentence is too complicated, we will rephrase and probably divide the information into two sentences. In accordance with the comment below, we will replace the phrase "altitudinal spatial" with "topographic palaeovegetation movements" to be consistent with the sentence in line 18.

Page 1, line 18. "To infer possible topographic palaeovegetation movements" Does this mean the same as "altitudinal spatial" above. This is very confusing, please re-phrase.

Yes, we meant the same, see above.

In case of the following comment, we are going to change all sentences just as T. Denk recommended. Page 1, line 23. "Biotic responds to environment change" change to: Biotic responses to environmental change. Page 7, line 6. "rule out that this extinct lineage" change to: rule out that *Trigonobalanopsis* Page 7, line 14. "had a greater ecological range" change to: had a wider ecological range Page 7, line 14. "had a greater ecological range" change to: had a wider ecological Range

Page 7, line 29. "persistent" do you mean "common"? Yes, we meant common, we are going to change it respectively.

Page 7, line 31. "Contrary to *Fagus* and its spatiotemporal distribution, the Atlantic east

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coast is currently a hot spot. . ." Please re-phrase. We will rephrase this in accordance with the comments above.

Page 8, line 1. "where *Carya* became the prevalent genus" Please re-phrase. We will rephrase this sentence to make it clearer.

Page 8, line 13. Do you want to say that the maritime setting of your sample sites buffered possible regional climate change. And that this could explain the weak signal in the palynological record? Yes. We conclude that this sentence also need rephrasing to state more clearly what is meant.

Page 8, lines 27, 28. Please re-phrase. We will rephrase this sentence.

Page 9, line 1. "might" or: is?

We meant might in this context.

Page 9, lines 17, 18. "a contrasted spatiotemporal distribution ..." This does not make sense, please re-phrase. What exactly do you want to say? Same for "enhanced floral turnover". Please re-phrase.

We will rephrase these sentences.

Page 9, lines 25 ff. Please re-phrase. And re-think the possible movements.

We agree that in context with the points discussed above, the conclusions need rephrasing. While we still think that the way we assigned taxa to palaeovegetation units in the MS is appropriate (if discussed and explained in more detail), we will alter the conclusions considering certain taxa. Concerning movements, we will add the aspect that we may not only have altitudinal movements of *Pinus* and other taxa, but also changes in the lower altitudes of hinterlands.

Figure 4. Oxygen isotope curve. You may want to colour warming and cooling trends using red and blue. This would make it easier to read the figure.

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Thanks, we are going to include colours to represent warming and cooling trends.

Supplement, Plate S4-ii. "Eleagnus" looks similar to *Boehlensipollis hohli* from Rupelian to Aquitanian strata of France, Belgium, and Poland (Sittler et al., 1975; Stuchlik et al., 2014). Affinities are possibly with *Eleagnus* but possibly also with other genera. Still, you have a nice example of a European-E North American disjunction here.

We are thankful for this remark, we will go again into the identification and taxonomy of this pollen grain and we will change it in the following. As mentioned above we will discuss biogeographical links to Europe and Asia in the discussion section.

Literature cited Bennet, K., D., 1985. The spread of *Fagus grandifolia* across eastern North America during the last 18 000 years. *Journal of Biogeography* 12, 147-164. Denk, T. 2016. Palaeoecological interpretation of the late Miocene landscapes and vegetation of northern Greece: a comment to Merceron et al., 2016 (*Geobios*, doi:10.1016/j.geobios.2016.01.004). *Geobios* 49, 135-146. Denk, T., Grimm, G. W., Manos, P. S., Deng, M., Hipp, A., 2017. An updated infrageneric classification of the oaks: review of previous taxonomic schemes and synthesis of evolutionary patterns. In: Gil-Peregrin, E., Peguero-Pina, J.J., Sancho-Knapik, D. (eds) *Oaks Physiological Ecology. Exploring the Functional Diversity of Genus Quercus*. *Tree Physiology* 7, pp. 13-38. Springer Nature, Cham, Switzerland. Hooghiemstra, H., Lézine, A.-M., Leroy, S.A.G., Dupont, L., Marret, F., 2006. Late Quaternary palynology in marine sediments: A synthesis of the understanding of pollen distribution patterns in the NW African setting. *Quaternary International* 148, 29-44. Kotthoff, U., Müller, U.C., Pross, J., Schmiedl, G., Lawson, I.T., van de Schootbrugge, B., Schulz, H., 2008. Late Glacial and Holocene vegetation dynamics in the Aegean region: an integrated view based on pollen data from the marine and terrestrial archives. *Holocene* 18, 1019-1032. Larsson, L.M., Dybkjær, K., Rasmussen, E.S., Piasecki, S., Utescher, T., Vajda, V., 2011. Miocene climate evolution of northern Europe: A palynological investigation from Denmark. *Palaeogeography, Palaeoclimatology, Palaeoecology* 309, 161-175. Liu, Y.S., Basinger, J.F., 2000. Fossil *Cathaya* (Pinaceae) Pollen from the Canadian

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High Arctic. *International Journal of Plant Sciences* 161, 829-847. McCartan, L., Tiffney, B.H., Wolfe, J.A., Ager, T.A., Wing, S.L., Sirkin, L.A., Ward, L.W., Brooks, J., 1990. Late Tertiary floral assemblage from upland gravel deposits of the southern Maryland Coastal Plain. *Geology* 18, 311-314. Prader, S., Kotthoff, U., McCarthy, F.M.G., Schmiedl, G., Donders, T.H., Greenwood, D.R., 2017. Vegetation and climate development of the New Jersey hinterland during the late Middle Miocene (IODP Expedition 313 Site M0027). *Palaeogeography, Palaeoclimatology, Palaeoecology* 485, 854-868. Sittler, C., Schuler, M., Caratini, C., Chateauneuf, J.J., Gruas-Cavagnetto, C., Jardine, S., Ollivier, M.F., Roche, E., Tissot, C., 1975. Extension stratigraphique, répartition géographique et écologie de deux genres polliniques paléogènes observés en Europe occidentale: *Aglaoreidia* et *Boehlensipollis*. *Bulletin de la Société Botanique de France* 122, 231-245. Stuchlik, L., Ziemińska-Tworzydło, M., Kohlman-Adamska, A., Grabowska, I., Słodkowska B., Worobiec E., Durska, E., 2014. Atlas of pollen and spores of the Polish Neogene. Volume 4. Angiosperms (2). Kraków: W. Szafer Institute of Botany, Polish Academy of Science.

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