Dear Emilio Marañón,

We greatly appreciate your last comment on the possible impact of light intensity in the seasonality of coccolith weight and length on the observed changes in our traps. We have included this hypothesis in the discussion and corrected the conclusions accordingly. Moreover, two additional changes have been included:

- In the description of morphotype B/C, one of the co-authors suggested to remove the words "often straight or concave (Cubillos et al., 2007)" because this feature is not always observed in this morphotype.
- A short paragraph discounting carbonate chemistry influences has also been included.

Moreover, Please find a new version of the manuscript with tracked changes. All the new changes can be found in lines 397, 601-632, 655, 673-680, 696-713, 819-823 and 844-846. Additionally, the acknowledgement section has been updated.

Many thanks,

Andrés Rigual

1 **Coccolithophore populations and their contribution to carbonate export during an**

2 **annual cycle in the Australian sector of the Antarctic Zone**

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13 **Abstract**

14 The Southern Ocean is experiencing rapid and relentless change in its physical and

15 biogeochemical properties. The rate of warming of the Antarctic Circumpolar Current

16 exceeds that of the global ocean, and the enhanced uptake of carbon dioxide is causing

17 basin-wide ocean acidification. Observational data suggest that these changes are

18 influencing the distribution and composition of pelagic plankton communities. Long-term

19 and annual field observations on key environmental variables and organisms are a critical

20 basis for predicting changes in Southern Ocean ecosystems. These observations are

21 particularly needed, since high-latitude systems have been projected to experience the

22 most severe impacts of ocean acidification and invasions of allochthonous species.

23 Coccolithophores are the most prolific calcium carbonate producing phytoplankton

24 group, playing an important role in Southern Ocean biogeochemical cycles. Satellite

25 imagery has revealed elevated particulate inorganic carbon concentrations near the major

26 circumpolar fronts of the Southern Ocean, that can be attributed to the coccolithophore

27 *Emiliania huxleyi*. Recent studies have suggested changes during the last decades in the

28 distribution and abundance of Southern Ocean coccolithophores. However, due to limited

29 field observations, the distribution, diversity and state of coccolithophore populations in

30 the Southern Ocean remain poorly characterised.

We report here on seasonal variations in the abundance and composition of coccolithophore assemblages collected by two moored sediment traps deployed at the Antarctic Zone south of Australia (2000 and 3700 m depth) for one year in 2001-02. Additionally, seasonal changes in coccolith weights of *E. huxleyi* populations were estimated using circularly polarised micrographs analysed with *C-Calcita* software. Our findings indicate that (1) coccolithophore sinking assemblages were nearly monospecific for *E. huxleyi* morphotype B/C in the Antarctic Zone waters in 2001-2002; (2) coccoliths captured by the traps experienced weight and length reduction during summer (December – February); (3) the estimated annual coccolith weight of *E. huxleyi* at both sediment traps $(2.11 \pm 0.96$ and 2.13 ± 0.91 pg at 2000 m and 3700 m) was consistent with previous studies for morphotype B/C in other Southern Ocean settings (Scotia Sea and Patagonian shelf); (4) coccolithophores accounted for approximately 2-5% of the annual, deep-ocean $CaCO_3$ flux. Our results are the first annual record of coccolithophore abundance, composition and degree of calcification in the Antarctic Zone. They provide a baseline against which to monitor coccolithophore responses to changes in environmental conditions expected for this region in coming decades.

Key words: Southern Ocean, Antarctic Zone, coccolithophores, coccolith weight, sediment traps.

1. Introduction

1.1. Background and objectives

The rapid increase in atmospheric CO_2 levels since the onset of the industrial revolution is modifying the environmental conditions of marine ecosystems in a variety of ways. The enhanced greenhouse effect, mainly driven by increased atmospheric CO_2 levels, is causing ocean warming (Barnett et al., 2005), shallowing of mixed layer depths (Levitus et al., 2000) and changes in light penetration and nutrient supply (Bopp et al., 2001; Rost and Riebesell, 2004; Sarmiento et al., 2004b; Deppeler and Davidson, 2017). Moreover, the enhanced accumulation of CO_2 in the ocean is giving rise to changes in the ocean carbonate system, including reduction of carbonate ion concentrations and lowering of seawater pH. Most evidence suggests that the ability of many marine calcifying organisms to form carbonate skeletons and shells may be reduced with increasing seawater acidification including some species of (but not all) coccolithophores,

64 corals, pteropods and foraminifera (e.g. Orr et al., 2005; Moy et al., 2009; Lombard et al.,
65 2010; Beaufort et al., 2011; Andersson and Gledhill, 2013). Since phytoplankton are
66 extremely sensitive to global environmental change (Litchman et al., 2012) all predicted
67 changes in marine environmental conditions are likely to modify the abundance,
68 composition and distribution of phytoplankton communities.

69   Changes in the relative abundances of major phytoplankton functional groups are
70 likely to influence ocean biogeochemistry and ocean carbon storage, with feedbacks to
71 the rate of climate change (e.g. Boyd and Newton, 1995; Boyd et al., 1999; Falkowski et
72 al., 2004; Cermeño et al., 2008). The precipitation and sinking of $CaCO_3$ by
73 coccolithophores has the potential for complex contributions to carbon cycling.
74 Carbonate precipitation removes more alkalinity than dissolved inorganic carbon from
75 surface waters, thereby acting to increase pCO_2 in surface waters (the so-called carbonate
76 counter pump, e.g. Zeebe, (2012)). On the other hand, ballasting by carbonates appears
77 to increase transfer of organic carbon to the ocean interior (Armstrong et al., 2002; Klaas
78 and Archer, 2002). On seasonal timescales the counter pump contribution dominates
79 (Boyd and Trull, 2007), but more complex interactions can occur over longer timescales
80 as a result of changing extents of carbonate dissolution in sediments, including the
81 possibility that enhanced calcite dissolution in the Southern Ocean contributed to lower
82 atmospheric CO_2 levels during glacial maxima (Archer and Maier-Reimer, 1994; Sigman
83 and Boyle, 2000; Ridgwell and Zeebe, 2005).

84   The Southern Ocean is a critical component of the Earth's ocean–climate system
85 and plays a pivotal role in the global biogeochemical cycles of carbon and nutrients
86 (Sarmiento et al., 2004a; Anderson et al., 2009). Despite the fact that the Southern Ocean
87 accounts for about 25% of the global ocean, it contains ~40% of the global ocean
88 inventory of anthropogenic CO_2 (Khatiwala et al., 2009; Takahashi et al., 2009; Frölicher
89 et al., 2015), and it exports nutrients to more northern latitudes ultimately supporting ~
90 75% of the ocean primary production north of 30°S (Sarmiento et al., 2004a). Model
91 projections suggest that the reduction in the saturation state of $CaCO_3$ will reach critical
92 thresholds sooner in cold, high-latitude ecosystems such as the Southern Ocean (Orr et
93 al., 2005; McNeil and Matear, 2008; Feely et al., 2009). Therefore, calcifying organisms
94 living in these regions will be the first to face the most severe impacts of ocean
95 acidification.

96   In view of the rapid changes in climate and other environmental stressors presently
97 occurring in the Southern Ocean, a major challenge facing the scientific community is to

3

98 predict how phytoplankton communities will reorganise in response to global change. In
99 this regard, two main aspects of the distributions of coccolithophores are emerging.
100 Firstly, coccolithophores exhibit high concentrations in the Subantarctic Southern Ocean,
101 a feature termed by Balch et al. (2011) as the "Great Calcite Belt" based on satellite
102 reflectance estimates of PIC abundances. Although importantly the PIC accumulations
103 are significantly less than those that arise in the North Atlantic, and the satellite algorithm
104 is not reliable in Antarctic waters, where it badly overestimates PIC abundances (Balch
105 et al., 2016; Trull et al., 2017). Secondly, recent studies suggest that the magnitude and
106 geographical distribution of *E. huxleyi* blooms may be experiencing significant and rapid
107 changes. Cubillos et al. (2007) and Winter et al. (2014) postulated that *E. huxleyi* has
108 expanded its ecological niche south of the Polar Front in the recent decades.
109 Contrastingly, Freeman and Lovenduski (2015) suggested an overall decline in Southern
110 Ocean PIC concentrations using satellite records between 1998 and 2014. The
111 explanation of these contrasting results may lie in the methodologies applied. While
112 shipboard surface water observations provide a highly detailed picture of a given
113 ecosystem, they are very sparse, only represent a snapshot in time, and can easily miss
114 blooms of any given species. The satellite PIC signal has the great advantage of largescale
115 and repeated coverage, but can miss subsurface populations (e.g. Winter et al., 2014) and
116 be mimicked by the spectral characteristics of other scattering sources. The most
117 important among them are probably microbubbles (Zhang et al., 2002), glacial flour
118 (Balch et al., 2011) and noncalcifying organisms such as *Phaeocystis antarctica* (Winter
119 et al., 2014), a colonial prymnesiophyte algae very abundant in high latitude systems of
120 the Southern Ocean (e.g. Arrigo et al., 1999; Arrigo et al., 2000). Notably the PIC
121 algorithm performs particularly poorly in Antarctic waters (Balch et al., 2016; Trull et al.,
122 2017)

123   For these reasons, year-round field observations of areas representative of key
124 Southern Ocean regions are essential to determine the current state of coccolithophore
125 communities and to develop baselines against which long-term trends can be detected.
126 Moreover, a better understanding of coccolithophore distribution, ecology and seasonal
127 dynamics is required to improve our interpretations of the sedimentary record and our
128 models of biogeochemistry. Sediment traps are a direct method to collect data about
129 calcareous and siliceous micro and nanoplankton. Traps allow the monitoring of seasonal
130 and annual variability of plankton export, document species successions, and help to
131 determine the specific role of microplankton species in the biological and carbonate

132   pumps. The autonomous collection capacity of sediment traps is particularly useful in the

133   remote Southern Ocean, where inaccessibility and harsh working conditions prevent year-

134   round ship-based sampling.

135   We present here the first record of composition, abundance, and seasonality of

136   coccolithophore assemblages in the Antarctic Zone of the Southern Ocean, collected by

137   two deep ocean sediment traps deployed on a single mooring during 10 months south of

138   Australia at the site of the Southern Ocean Iron Release Experiment (SOIREE) near 61°S,

139   140°E (Boyd et al., 2000a). Moreover, we report weight and length measurements on *E.*

140   *huxleyi* coccoliths, assessing the impact of seasonally varying environmental parameters

141   on *E. huxleyi* coccoliths. That provides a baseline of coccolith dimensions for the

142   populations living in this region. All the above information is needed for monitoring

143   coccolithophore responses, if any, to changing environmental conditions in the Antarctic

144   Zone south of Australia during coming decades.

145

146   **1.2 Regional setting and oceanography**

147   The southern Antarctic Zone (AZ-S; Parslow et al., 2001) is delimited in the north

148   by the southern branch of the Polar Front (PF) and in the south by the southern front of

149   the Antarctic Circumpolar Current (SACCF) (Fig. 1). Trull et al. (2001b) summarised the

150   seasonal evolution of water column properties in the study region. The intense heat loss

151   of surface waters during winter decreases Sea Surface Temperature (SST) to values <

152   1°C, resulting in strong vertical convection. Winter mixing extends to depths of about

153   120 m, replenishing the upper water column with nutrients. Chlorophyll-*a* levels during

154   winter are negligible throughout the region due to the reduced solar radiation and the

155   deep, continuous vertical mixing. During summer, increasing solar radiation warms the

156   surface ocean and a seasonal thermocline forms (Fig. 2). By late summer-early autumn

157   (March) SST ranges between 2 and 3 °C. Considerable nutrient depletion associated with

158   a moderate increase in algal biomass occurs within the mixed layer (Trull et al., 2001b).

159   Nonetheless, due to the limited sampling of the study region, the timing of the summer

160   nutrient minimum is not well constrained by the available data (Trull et al., 2001b).

161   Silicate exhibits the strongest summer draw-down of all the macronutrients, reaching

162   ~30% of its winter values (Fig. 2; Trull et al., 2001b), mainly due to diatom growth and

163   subsequent biogenic silica export to the deep sea (Rigual-Hernández et al., 2015a). The

164   low algal biomass accumulation in the region is attributed to the very low iron levels (0.1-

165 0.2 nM; Boyd et al., 2000a; Sohrin et al., 2000). Mesozooplankton analysis during the
166 SOIREE experiment by Zeldis (2001) indicates that zooplankton community in the study
167 region is dominated by copepods. Grazing pressure was low (<1% of the phytoplankton
168 standing stock removed per day) and, therefore, is thought not to play an important role
169 in the control of the micro-phytoplankton (primarily diatom) stocks, but nanoflagellate
170 grazer abundances were significant and were likely to have regulated smaller
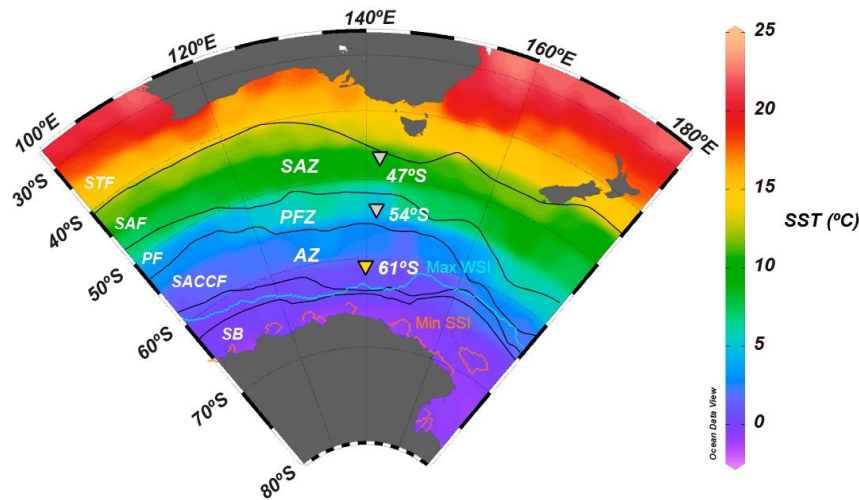
171 phytoplankton abundances (Hall and Safi, 2001).

172

173 **Figure 1**. Annual mean sea surface temperature map (World Ocean Atlas; Locarnini et
174 al., 2013) of the Australian sector of the Southern Ocean, showing the position of the
175 main frontal and zonal systems (adapted from Orsi et al., 1995) and the location of the
176 61°S, 54°S and 47°S sediment trap stations (inverted triangles). Abbreviations: STF -
177 Subtropical Front, SAZ - Subantarctic Zone, SAF - Subantarctic Front, PFZ - Polar
178 Frontal Zone, PF - Polar Front, AZ - Antarctic Zone, SACCF - Southern Antarctic
179 Circumpolar Current Front, SB - Southern Boundary, Max WSI - Maximum Winter Sea
180 Ice Extent (August 2001) and Min SSI – Minimum Summer Sea Ice Extent (February
181 2002) (Fetterer et al., 2017).
182

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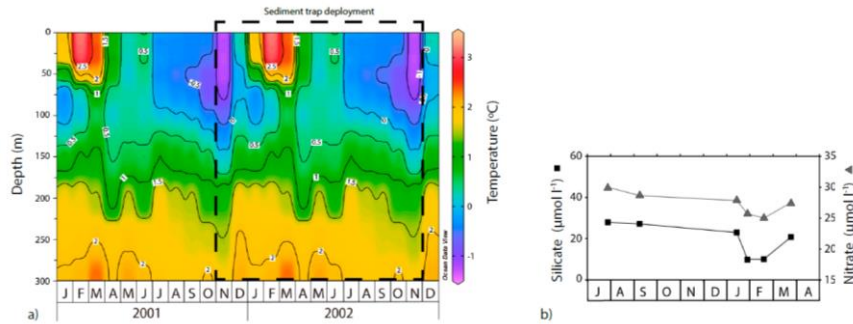
Figure 2: (a) Seasonal variation in the vertical structure of temperature (°C) between January 2001 and December 2002 for the 61°S site from the World Ocean Atlas 2009 (Locarnini et al., 2010). (b) Summary of seasonal evolution of macronutrient concentrations (silicate and nitrate) in the mixed layer at the 61°S site taken from the WOCE SR3 transects between 1993 and 1996 (modified from Trull et al., 2001b) (b).

1.3 Water carbonate chemistry in the study region

Calcite solubility increases at higher pressures and lower temperatures, so that dissolution increases with depth in the water column. Based on downward changes in the calcite dissolution rate, two critical depth horizons can be distinguished: the Calcite Saturation Horizon (CSH) that can be defined as the depth at which the water becomes undersaturated with respect to calcite (i.e. where $\Omega_{calcite} = 1$); and the $CaCO_3$ compensation depth (CCD), the depth at which the rate of calcite rain from the upper water column equals the dissolution rate. Figure 3 shows carbonate concentrations [CO_3^{2-}] and calcite saturation ($\Omega_{calcite}$) for the WOCE SR03 2001 transect between Antarctica and Tasmania along the 140°E meridian as estimated by Bostock et al. (2011). In the AZ-S waters south of Tasmania, the CSH and CCD occur at 3000 and 3700 m, respectively (Fig. 3). Therefore, the location of sediment traps at the 61°S site allows for the assessment of dissolution changes, if any, of coccolithophore assemblages between the two critical dissolution depth horizons: the CSH and CCD. Notably, both progressive uptake of anthropogenic CO_2 and increased upwelling of naturally CO_2-rich deep waters over the past 20 years is leading to shallowing of these features (Pardo et al., 2017)

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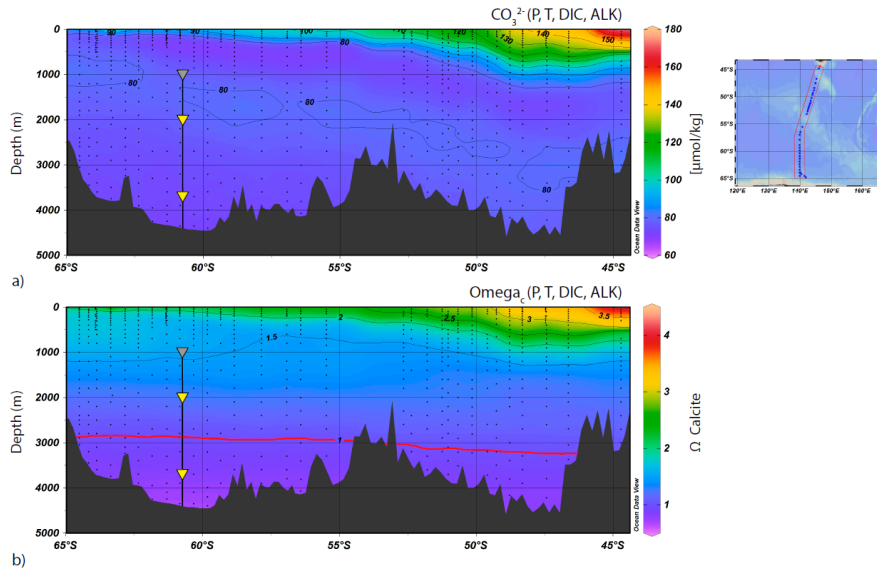
Figure 3. Cross section of the mooring location in comparison to regional seafloor bathymetry, carbonate concentrations [CO_3^{2-}] and calcite saturation ($\Omega_{calcite}$) for WOCE transect SR03 2001 from Bostock et al. (2011), who calculated them from the Dissolved Inorganic Carbon (DIC) and alkalinity in the CARINA database (CARINA, 2011) . The location of the transects is shown on the map on the right top. $\Omega_{calcite} = 1$ contour is highlighted with a red line to show the approximate depth of the CSH across the transect.

2. Material and Methods

2.1 Sediment trap experiment

As part of the SAZ collaborative research program (Trull et al., 2001c), a sediment trap experiment was carried out at the 61°S site (60° 44.43´S; 139° 53.97´E) in the Australian sector of the southern Antarctic Zone within the region where the Southern Ocean Iron Release Experiment (SOIREE) was conducted (Boyd et al., 2000a). The 61°S site is characterised by weak currents with a mean eastward geostrophic surface velocity of approximately 0.03 ± 0.02 m s^{-1} (Trull et al., 2001b). The site is north of the Seasonal Sea-Ice Zone (Massom et al., 2013; Rigual-Hernández et al., 2015a) and remote from any known iceberg pathway (Gladstone et al., 2001).

8

The mooring was equipped with three McLane Parflux time series sediment traps (Honjo and Doherty, 1988) for approximately one year (November 30, 2001 to September 29, 2002, 317 days). The traps were located at 1000, 2000 and 3700 m below the surface in a water column of 4393 m (Figures 3a and 3b). Each trap was provided with 21 cups. Sampling intervals were synchronised between traps and in order to resolve the seasonal flux cycle ranged from 8 days (in austral summer) to 55 days in austral winter. No samples were recovered from the shallowest trap owing to equipment malfunction and, therefore, only results for the 2000 and 3700 m traps are presented here. Each trap was paired with an Aanderaa current meter and temperature sensors. The 250 ml collection cups were filled with a buffered solution of sodium tetraborate (1 g L^{-1}), sodium chloride (5 g L^{-1}), strontium chloride (0.22 g L^{-1}), and mercury chloride (3 g L^{-1}) in unfiltered, deep (> 1000 m) seawater from the region. Risk of sample contamination by the unfiltered seawater is considered negligible due to the fact that the deep water exhibits low particle abundance and also because particle concentration in sea water is of the order of µg/L while concentration in the trap cups after recovery was of the order of mg/L.

The two deeper traps completed their collection sequence as programmed, providing continuous time-series for a year. Due to the low particle fluxes during the winter, insufficient material remained for phytoplankton analysis of cup 1 from the 2000 m trap and cups 1, 2, 19, 20 and 21 from the 3700 m trap (Table 1).

2.2 Sample processing and coccolithophore counting procedure

The sediment trap cup contents were washed through a 1 mm sieve after recovery and then divided into 10 aliquots using a rotary splitter (McLane, Inc.). A description of the analytical procedures for estimation of geochemical fluxes is provided in Trull et al. (2001a) and Rigual-Hernández et al. (2015a). One aliquot was used for siliceous and calcareous micro- and nano-plankton analyses. Each fraction for plankton analysis was refilled with distilled water to 40 ml, from which 10 ml was subsampled and buffered with a solution of sodium carbonate and sodium hydrogen carbonate (pH 8) and kept refrigerated for calcareous nannoplankton analysis. Samples for coccolithophore analysis were prepared following the methodology of Flores and Sierro (1997). In short, 300 µl were extracted with a micropipette and dropped onto a glass Petri dish previously filled with a buffered solution and with a cover slip on its bottom. After settling for 12 hours, the buffer solution was removed using short strips of filter paper placed at the edge of the

dish. Then, the cover slip was left to dry completely and mounted on a glass slide using Canada balsam. Coccoliths were identified and counted using a Nikon Eclipse 80i polarised light microscope at 1000× magnification. A minimum of 400 coccoliths were counted in each sample. Coccospheres occurred in much lower numbers than loose coccoliths in these preparations. The coccolith counts were transformed into daily fluxes of specimens m^{-2} d^{-1} following the formula:

$$F = \frac{N \times \dfrac{A}{n \times a} \times V \times S}{d \times T}$$

where "F" is the daily coccolith flux, "N" the number of coccoliths, "A" the total area of a Petri dish, "n" the number of fields-of-view analysed, "a" the area of a single field of view, "V" the dilution volume, "S" the split of the cup, "d" the number of days of collection and "T" the aperture area of the sediment trap.

Since the sediment trap collection period was shorter than a full calendar year, an estimate of the annual coccolith flux of the 2000 m trap was calculated. This estimate takes into account the fact that the unsampled days occurred in winter when particle fluxes were low, and were obtained using the flux for the last winter cup (#21 in 2002) to represent mean daily fluxes during the unobserved interval. Due to the lack of samples corresponding to winter 2002 for the 3700 m sediment trap record, the annualization of the coccolith fluxes for this trap was made based only on the samples with available data. Therefore, the annualised and annual flux data for the 3700 m trap presented in Table 1 should be used with caution.

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61_2000

Cup	Sampling period mid point	Length days	Total Mass Flux mg m^{-2} d^{-1}	CaCO$_3$ mg m^{-2} d^{-1}	%	POC mg m^{-2} d^{-1}	%	Diatoms 10^6 valves m^{-2} d^{-1}	Coccolithophore flux 10^8 coccoliths m^{-2} d^{-1}	Relative abundance E. huxleyi	C. leptoporus	Other
1	nov. 30, 2001	8	48	14	30	0.7	1.5	-	-	-	-	-
2	dic. 08, 2001	8	78	17	22	1.7	2.2	9	2.5	98.8	1.2	0.0
3	dic. 16, 2001	8	326	62	19	6.9	2.1	82	2.7	98.5	1.3	0.2
4	dic. 24, 2001	8	509	140	28	6.4	1.3	85	8.2	99.5	0.5	0.0
5	ene. 01, 2002	8	1151	44	4	26.9	2.3	408	12.3	99.8	0.2	0.0
6	ene. 09, 2002	8	1069	170	16	14.8	1.4	200	22.3	99.8	0.2	0.0
7	ene. 17, 2002	8	656	60	9	11.3	1.7	159	9.2	99.3	0.7	0.0
8	ene. 25, 2002	8	702	38	5	11.0	1.6	296	8.4	99.3	0.7	0.0
9	feb. 02, 2002	8	666	39	6	12.0	1.8	184	5.4	98.8	1.2	0.0
10	feb. 10, 2002	8	595	24	4	8.2	1.4	295	6.0	99.5	0.5	0.0
11	feb. 18, 2002	8	534	20	4	6.2	1.2	149	9.8	99.0	0.5	0.5
12	feb. 26, 2002	8	524	19	4	4.7	0.9	152	5.0	100.0	0.0	0.0
13	mar. 06, 2002	8	586	15	3	6.9	1.2	120	6.4	99.8	0.2	0.0
14	mar. 14, 2002	8	285	11	4	3.2	1.1	71	2.0	99.8	0.2	0.0
15	mar. 22, 2002	8	290	7	3	3.2	1.1	66	2.0	97.6	1.0	1.5
16	mar. 30, 2002	8	263	8	3	2.6	1.0	87	0.9	99.2	0.8	0.0
17	abr. 08, 2002	10	264	7	3	2.2	0.8	97	1.3	98.1	1.9	0.0
18	may. 08, 2002	50	130	5	4	1.2	1.0	47	0.8	99.8	0.2	0.0
19	jun. 29, 2002	54	65	2	4	0.7	1.0	10	0.7	98.8	0.8	0.4
20	ago. 22, 2002	55	56	2	4	0.8	1.5	19	0.9	99.5	0.2	0.2
21	sep. 29, 2002	20	42	2	4	0.5	1.3	6	0.9	98.0	2.0	0.0
Annualised values			232	17	7.4	3.3	1.4	67	2.8			
Annual flux			85 g m^{-2} y^{-1}	6 g m^{-2} y^{-1}		1.2 g m^{-2} y^{-1}		24 10^9 valves m^{-2} y^{-1}	1.03 10^{11} coccoliths m^{-2} y^{-1}	99.4	0.5	0.1

61_3700

Cup	Sampling period mid point	Length days	Total Mass Flux mg m^{-2} d^{-1}	CaCO$_3$ mg m^{-2} d^{-1}	%	POC mg m^{-2} d^{-1}	%	Diatoms 10^6 valves m^{-2} d^{-1}	Coccolithophore flux 10^7 coccoliths m^{-2} d^{-1}	Relative abundance E. huxleyi	C. leptoporus	Other
1	nov. 30, 2001	8	38	9	23	0.4	1.1	-	-	-	-	-
2	dic. 08, 2001	8	31	9	28	0.4	1.2	-	-	-	-	-
3	dic. 16, 2001	8	99	29	30	1.4	1.4	4	1.3	99.0	0.7	0.2
4	dic. 24, 2001	8	231	59	26	1.4	0.6	12	5.5	99.3	0.5	0.2
5	ene. 01, 2002	8	873	87	10	17.3	2.0	118	11.6	99.8	0.2	0.0
6	ene. 09, 2002	8	1157	154	13	19.8	1.7	479	15.9	100.0	0.0	0.0
7	ene. 17, 2002	8	828	166	20	9.4	1.1	354	20.0	100.0	0.0	0.0
8	ene. 25, 2002	8	490	34	7	6.4	1.3	169	11.0	99.8	0.2	0.0
9	feb. 02, 2002	8	491	32	6	6.5	1.3	385	4.6	100.0	0.0	0.0
10	feb. 10, 2002	8	419	19	4	6.0	1.4	281	4.2	99.8	0.2	0.0
11	feb. 18, 2002	8	584	36	6	6.2	1.1	254	15.9	99.1	0.7	0.2
12	feb. 26, 2002	8	581	31	5	5.2	0.9	238	12.2	100.0	0.0	0.0
13	mar. 06, 2002	8	849	23	3	7.6	0.9	326	15.0	99.8	0.2	0.0
14	mar. 14, 2002	8	369	18	5	3.3	0.9	44	6.6	99.2	0.8	0.0
15	mar. 22, 2002	8	218	8	4	2.6	1.2	32	6.6	99.5	0.2	0.2
16	mar. 30, 2002	8	258	10	4	2.5	1.0	43	6.8	99.3	0.7	0.0
17	abr. 08, 2002	10	257	9	3	2.3	0.9	32	4.8	99.5	0.2	0.2
18	may. 08, 2002	50	118	5	4	1.2	1.0	8	1.2	99.8	0.0	0.2
19	jun. 29, 2002	54	0	0	4	0.0	1.0	-	-	-	-	-
20	ago. 22, 2002	55	0	0	4	0.0	1.0	-	-	-	-	-
21	sep. 29, 2002	20	0	0	4	0.0	1.0	-	-	-	-	-
Annualised values			188	17	9	2.3	1.2	62	3.3			
Annual flux			69 g m^{-2} y^{-1}	6 g m^{-2} y^{-1}		0.9 g m^{-2} y^{-1}		23 10^9 valves m^{-2} y^{-1}	1.20 10^{11} coccoliths m^{-2} y^{-1}	99.7	0.2	0.1

Table 1: Daily export fluxes of total mass flux, calcium carbonate (CaCO$_3$), particulate organic carbon (POC), diatom valves and coccoliths registered at the 61°S site from November 2001 through October 2002. Mass fluxes listed as zero were too small to measure (<1 mg).

2.3 SEM analysis

As the resolution of the light microscope is insufficient to differentiate *E. huxleyi* morphotypes, the samples of the 2000 m trap record were analysed using a Scanning Electron Microscope (SEM). Glass cover-slips were prepared following the method outlined by Flores and Sierro (1997). The dried cover-slips were mounted on aluminium stubs and coated with gold. An EVO HD25 SEM (Carl Zeiss) was used to determine the morphotype of *E. huxleyi* coccoliths found in the samples (magnification 5000-20000x). Due to the large abundance of diatom valves and the scarcity of coccoliths in the samples, a compromise between number of identified coccoliths and time spent had to be reached. Therefore, a target minimum of thirty *E. huxleyi* coccoliths per sample were identified. The taxonomic concepts of Young and Westbroek (1991), Young et al. (2003), Cubillos

11

296 et al. (2007) and Hagino et al. (2011) were followed to classify the *E. huxleyi* coccoliths

297 into different morphotypes.

298

299 **2.4 C-Calcita analyses**

300   The glass slides used for coccolith counts were also analysed for coccolith mass

301 and size measurements using a Nikon Eclipse LV100 POL polarised light microscope

302 equipped with circular polarization and a Nikon DS-Fi1 8-bit colour digital camera.

303 Calibration images were performed on an apical rhabdolith of the genus *Acanthoica*

304 collected by a sediment trap at the 47°S site (46°48′S, 142°6′E), located in the Australian

305 sector of the Subantarctic Zone. Camera parameters and microscope light settings were

306 maintained constant throughout the imaging session. Depending on coccolith

307 concentration, between 13-28 random fields of view per sample were photographed. The

308 images were then analysed by the image processing software *C-Calcita* (Fuertes et al.,

309 2014). The output files for single *E. huxleyi* coccoliths were visually selected. Length and

310 weight measurements were automatically performed by *C-Calcita* software. A total of

311 2328 coccoliths were analysed with a minimum of 50 coccoliths per sample. For more

312 methodological details see Fuertes et al. (2014).

313   An estimated range of annual contributions of coccoliths to total $CaCO_3$ export

314 was calculated for the 2000 m trap record by multiplying the coccolith flux of each

315 sampling interval by the maximum and minimum standard deviations of coccolith weight

316 values measured on each sample. Then, the minimum and maximum estimates of

317 coccolith-$CaCO_3$ fluxes for each sampling interval (i.e. cup) were used to estimate the

318 minimum and maximum annual contribution of coccoliths to total carbonate following

319 the same procedure as for the annual coccolith fluxes.

320

321 **2.5 Satellite imagery, meteorological and oceanographic data**

322   Weekly mean SST for the 2001-2002 interval were obtained from the NOAA

323 Optimum Interpolation SST Analysis database (Reynolds et al., 2002). Seasonal SST

324 variation range was low, with maximum SSTs of 2.94 °C observed during March 2002

325 and minimum of 0.12 °C, in early October 2002. SST variations mirrored changes in the

326 vertical structure of the water column temperature profile (Fig. 4) that displayed vertical

327 homogeneity of the water column in autumn and winter and a seasonal thermocline during

328 the austral summer (Fig. 2b).

329 Sea surface salinity (SSS) climatology for the study site was obtained from the NOAA
330 World Ocean Atlas 2005 (Antonov et al., 2006). SSS exhibited very low seasonal
331 variability with values ranging between 33.7 and 33.9 psu.

332 Photosynthetically Active Radiation (PAR), monthly chlorophyll-*a* concentration
333 and Particulate Inorganic Carbon (PIC) concentration estimates were obtained from
334 NASA's Giovanni program (Acker and Leptoukh, 2007) (Fig. 4) for the region: 130°E,
335 62.5°S, 150°E, 59.5°S. Chlorophyll-*a* concentration was low throughout the year (ranging
336 from 0.07 to 0.30 mg m^{-3}) and in line with previous observations in the study region (Trull
337 et al., 2001b). Algal biomass responded rapidly to the solar radiation increase in
338 September 2001 and reached its highest levels in November 2001 (Fig. 4). Chlorophyll-
339 *a* concentration declined throughout the summer, reaching negligible values in autumn
340 and winter (i.e. from March to August 2002). Satellite-derived PIC concentration
341 exhibited a clear seasonal pattern similar to that of the chlorophyll-*a* with peak
342 concentrations in November (up to 0.003 mol m^{-3}) and values below detection limit in
343 winter (Fig. 4).

344
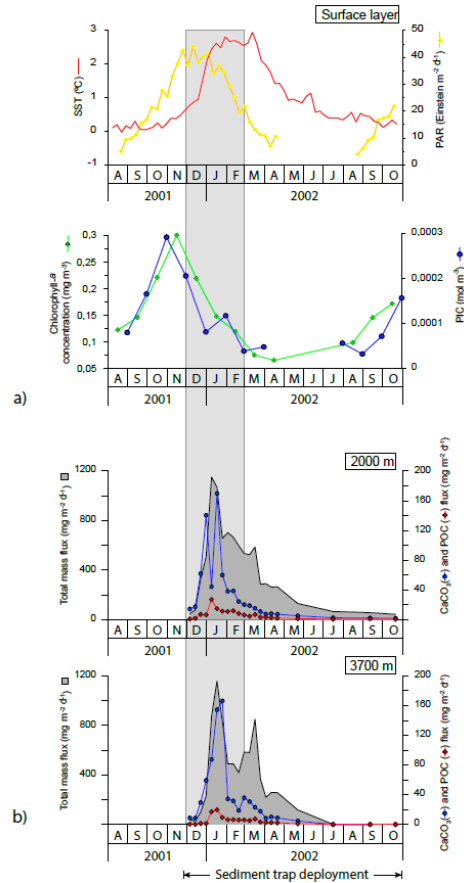
Figure 4: (a) Satellite-derived SST (°C), PAR (Einstein m^{-2} d^{-1}), chlorophyll-*a* concentration (mg m^{-3}) and PIC concentration (mol m^{-3}) for the period November 2001 to September 2002. It is important to note that satellite PIC concentration estimates have been reported to be biased for high latitudes systems of the Southern Ocean where the satellite algorithm is thought to produce overestimates (Balch et al., 2016; Trull et al., 2017). Therefore PIC data presented here should be viewed with caution. (b) Temporal variability of the total mass, CaCO$_3$ and POC the < 1mm fraction at 2000 and 3700 m water depth from November 2001 through to November 2002 at the 61°S site (Rigual-Hernández et al., 2015a). Grey strips represent summer.

3. Results

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3.1 Seasonal dynamics of coccolith export fluxes

Coccolith fluxes showed a pronounced seasonal pattern at both sediment trap depths, roughly following the chlorophyll-*a* dynamics in the surface layer with maximum fluxes during the austral summer and minima during winter (Fig. 4 and 5). The summer coccolith flux exhibited a bimodal distribution with a major peak registered in early January (2.2 x 10^9 coccoliths m^{-2} d^{-1} at 2000 m) and a secondary maximum recorded in mid-February (9.8 x 10^8 coccoliths m^{-2} d^{-1}). Coccolith flux was low in autumn and winter (down to ~7 x 10^7 coccoliths m^{-2} d^{-1}). Coccolith fluxes in the deeper trap (3700 m) followed a similar pattern to that in the 2000 m trap with a delay of about one sampling interval.

The fluxes of all biogeochemical components were closely correlated (Table 2 in Rigual-Hernández et al., 2015a). Coccolith fluxes at both traps were broadly in line with biogenic particle fluxes estimated by Rigual-Hernández et al. (2015a) showing strongest correlations with biogenic silica ($R^2 = 0.74$ at 2000 m and $R^2 = 0.71$ at 3700 m), followed by PIC ($R^2 = 0.62$ at 2000 m and $R^2 = 0.47$ at 3700 m) and POC ($R^2 = 0.56$ at 2000 m and $R^2 = 0.41$ at 3700 m).

Coccolithophore sinking assemblages captured by the traps were nearly monospecific, with an overwhelming dominance of *E. huxleyi* that represented >99% of the annual coccolith sinking assemblage at both trap depths. Background concentrations of *Calcidiscus leptoporus* (*sensu lato*), *Gephyrocapsa* spp. and *Helicosphaera* spp. were also registered, together representing 0.6% and 0.3% of the coccolith assemblage at 2000 and 3700 m, respectively, of the total annual coccolith fluxes (Table 1). The seasonal changes in the coccolithophore species flux and relative abundance can be found in Supplementary Figure 1. The seasonal pattern of *C. leptoporus* and *Gephyrocapsa* spp. followed that of *E. huxleyi* with peak values during the summer and minima during winter. The numbers of coccospheres found in the samples were negligible in both sediment trap records.
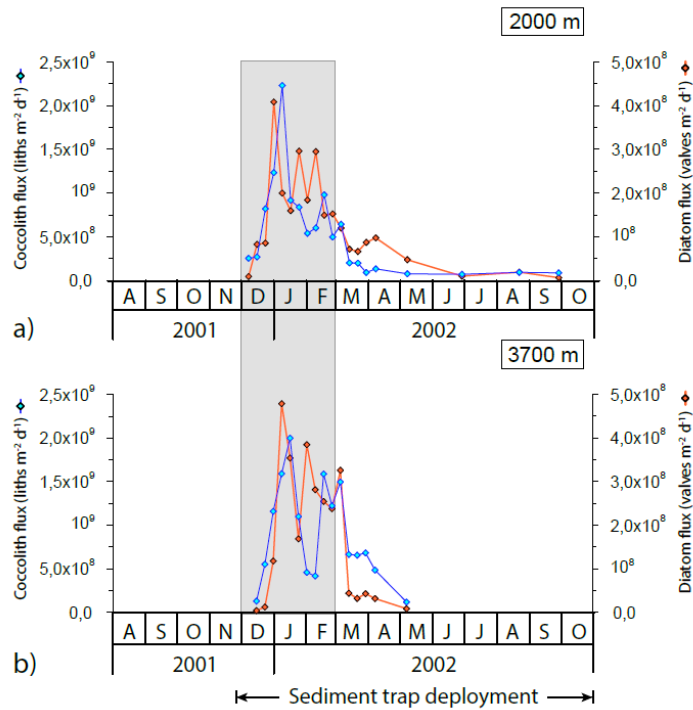
Figure 5: Seasonal variation of total coccolith and diatom valve flux at the 2000 and 3700 m sediment traps at the 61°S site. Grey strips represent summer.

3.2 SEM analyses

Emiliania huxleyi coccoliths correspond to morphotype B/C, having proximal shields slightly wider than the distal ones and with a central area usually filled by several (usually 5 to 11) flat, wide and thin tile-like elements (see Plate 1, image a). Distal shields of several are partially missing, most likely due to the slender and delicate structure of the laths. Distal shield measures ranged between 2 to 4.35 µm in the samples recovered from the 2000 m sediment trap. The coccoliths captured by the traps were clearly different than those of morphotype A which is the other morphotype that has been reported in the Australian sector of the Southern Ocean (Cubillos et al. 2007). Morphotype A has a central area composed of curved elements (Young et al., 2003) and its distal shield elements are more robust than those of B/C (Young et al., 2003). Since the size of the coccoliths has been reported to vary significantly on the same coccosphere, coccolith size

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Eliminado: often straight or concave (Cubillos et al., 2007) and

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403 was not used as a discriminatory feature to differentiate between morphotypes following

404 Cubillos et al. (2007).

405   It is conspicuous that most of the coccoliths display a morphology which is

406 compatible with a secondary recrystallisation. Small spherules like recrystallisations are

407 present on these coccoliths, especially on the laths (Plate 1, images c-f). However, some

408 coccoliths, mostly from cup 10 (February) have no spherules covering them (Plate 1,

409 images a and b). Aside from this sample, no relationship between the morphology of the

410 coccoliths and collection time was found. These coccoliths present very thin slender laths

411 (usually from 20 to 26) and wider central areas than the coccoliths having spherules.

412

413 **3.3 Coccolith weight and length changes**

414   Average coccolith weight at both sediment trap depths exhibited a clear seasonal

415 pattern with high values (2.28 ± 1.16 and 2.09 ± 0.80 pg/coccolith at 2000 m and 3700

416 m, respectively) at the onset of the coccolithophore productive period in early spring,

417 followed by a pronounced decrease (down to 1.65 ± 0.63 and 1.88 ± 0.63 pg at 2000 m

418 and 3700 m, respectively) in approximately January – early February. Average coccolith

419 weight followed a gradual increasing trend from approximately mid-February into winter,

420 reaching values up to 2.71 ± 1.20 pg in August 2002 at 2000 m and up to 2.43 ± 1.00 in

421 May at 3700 m, respectively. Average annual coccolith weight was 2.11 ± 0.96 and 2.13

422 ± 0.91 pg at 2000 and 3700 m, respectively. The annual amplitude of the mean coccolith

423 weight was approximately 1 pg at 2000 m and 0.5 pg at 3700 m. The lower annual

424 amplitude exhibited by the coccolith assemblages captured at the 3700 m trap is attributed

425 to the lower sampling duration at that depth over the winter season.

426   Mean coccolith length was greatest in early spring 2001 (2.54 ± 0.44 and $2.55 \pm$

427 0.40 μm at 2000 and 3700 m, respectively), followed by a decrease in early summer

428 (down to 2.35 ± 0.43 and 2.44 ± 0.41 μm at 2000 and 3700 m, respectively) (Fig. 6). From

429 late February coccolith length increased again reaching the highest values of the record

430 in winter 2002 (up to 2.71 ± 0.42 and 2.64 ± 0.41 μm at 2000 and 3700 m, respectively).

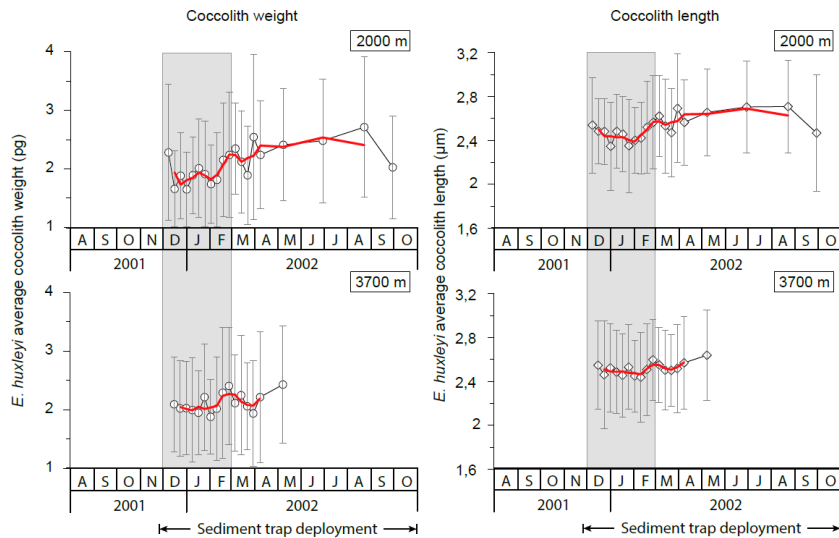431   Seasonal variations of coccolith length and weight exhibited a strong correlation

432 at both depths ($R^2 = 0.84$, n = 20 at 2000 m; $R^2 = 0.61$, n = 16 at 3700m), indicating a

433 clear, dependable relationship between the two variables (Fig. 7).
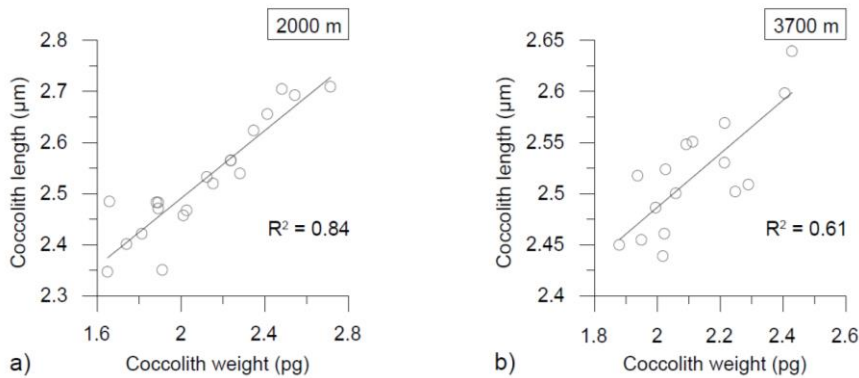
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Figure 6: Mean and standard deviation of coccolith weight and length over the sediment trap deployment period at 2000 m and 3700 m at the 61°S site. The red solid line represents a 3-point running average. Grey strips represent summer.

Figure 7: Regression plots between *E. huxleyi* coccolith mass (pg) and length (µm) at the 2000 m (a) and 3700 m (b) sediment traps.

4. Discussion

4.1 Origin, magnitude and composition of the coccolithophores

Since there is a current debate about the potential expansion of *E. huxleyi* populations south of the PF during recent decades (Cubillos et al., 2007; Saavedra-

450 Pellitero et al., 2014; Winter et al., 2014; Malinverno et al., 2015; Patil et al., 2017), it is
451 important to evaluate the likely origins of the sinking coccolith assemblages collected at
452 station 61°S. This assessment is particularly needed in the case of deep-moored,
453 sediment-trap experiments because the source area of the particles collected by the traps
454 can be as wide as hundreds of square kilometres (Buesseler et al., 2007).

455   Several lines of evidence strongly suggest that the coccolithophore fluxes
456 registered by the traps were produced in waters of the Antarctic Zone. Firstly, the mooring
457 was deployed in a quiescent area of the AZ-S (Trull et al., 2001b), between the stronger
458 flows associated with the southern branch of the PF and the SACCF (Fig. 1). The
459 relatively weak currents around the sediment trap location greatly reduce the area of likely
460 origins of the particles intercepted by the traps, i.e. the statistical funnel (Siegel and
461 Deuser, 1997; Siegel et al., 2008). Moreover, the large magnitude of the coccolith export
462 fluxes at both depths, plus the long duration of the period of enhanced coccolith flux
463 (about 3 months), rule out the likelihood of a transient lateral transport event (e.g.,
464 transport by mesoscale eddies) of a coccolithophore bloom produced in more northerly
465 latitudes. Lastly, the composition of the biogeochemical fluxes and diatom assemblages
466 collected by the traps are characteristic of AZ waters (Rigual-Hernández et al., 2015a),
467 further supporting the idea that the coccolithophores captured by the traps were produced
468 close to the site. All this clearly indicates that in 2001 *E. huxleyi* was an established
469 member of the phytoplankton communities of the Antarctic Zone to the south of
470 Australia.

471   The annual coccolith export to the deep ocean at the 61°S site (1.03 x 10^{11}
472 coccoliths m^{-2} yr^{-1}) is one sixth that registered by Wilks et al. (2017) (6.5 x 10^{11} coccolith
473 m^{-2} yr^{-1}) in the SAZ waters (station 47°S; Fig. 1) north of the study site. The lower
474 abundance of coccolithophores at the sampling site is most likely due to the negative
475 effects of low temperature and low light levels on coccolithophore growth (Paasche,
476 2002; Boyd et al., 2010), but important also is the competitive advantage of diatoms over
477 coccolithophores in the silicate-rich waters of the AZ-S. The lower coccolithophore
478 production in the AZ-S is also reflected in the lower carbonate export at this site, i.e. 6 g
479 m^{-2} y^{-1} versus 10-13 g m^{-2} y^{-1} at the 47°S site (Rigual-Hernández et al., 2015b; Wilks et
480 al., 2017). The non-proportional latitudinal change in coccolith and carbonate fluxes (i.e.
481 sixfold versus twofold changes, respectively) is most likely due to variations in the
482 contribution of heterotrophic calcifiers (i.e. foraminifers and pteropods) to total carbonate
483 export. There are also differences in the carbonate content per coccolith of the

484 coccolithophore species and the morphotypes of *E. huxleyi* dwelling in each zonal system.
485 Indeed, mean coccolith weight can vary up to two orders of magnitude between small
486 species such as *E. huxleyi* (2-3.5 pg) and large and heavily calcified taxa such as
487 *Coccolithus pelagicus* (~150 pg) (Giraudeau and Beaufort, 2007). Intraspecific size
488 variability is also common in most coccolithophore species, mainly due to growth
489 variations driven by different environmental factors and by genotypic variability (e.g.
490 Knappertsbusch et al., 1997; Poulton et al., 2011).

491   Based on the significant genetic variability found between Southern Ocean
492 populations of morphotypes A and B/C, Cook et al. (2011) classified these morphotypes
493 as *E. huxleyi* var. *huxleyi* and *E. huxleyi* var. *aurorae*, respectively. Since only
494 morphotype B/C had been reported at and south of the Antarctic Polar Front, Cook et al.
495 (2013) concluded that the rapid drop in water temperature occurring at the Antarctic Polar
496 Front may act as an open-ocean barrier to gene flow between these the two Southern
497 Ocean *E. huxleyi* morphotypes/varieties. The nearly monospecific coccolith assemblages
498 of *E. huxleyi* morphotype B/C collected by the 61°S site traps (Plate 1) are consistent with
499 those studies and supports the idea that the physiological differences in light-harvesting
500 pigments of morphotype B/C compared to other *E. huxleyi* varieties (Cook et al., 2011)
501 may represent a critical ecological advantage in the cold and low-light waters of the AZ-
502 S south of Australia.

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504

a)   b)   c)

d)   e)   f)

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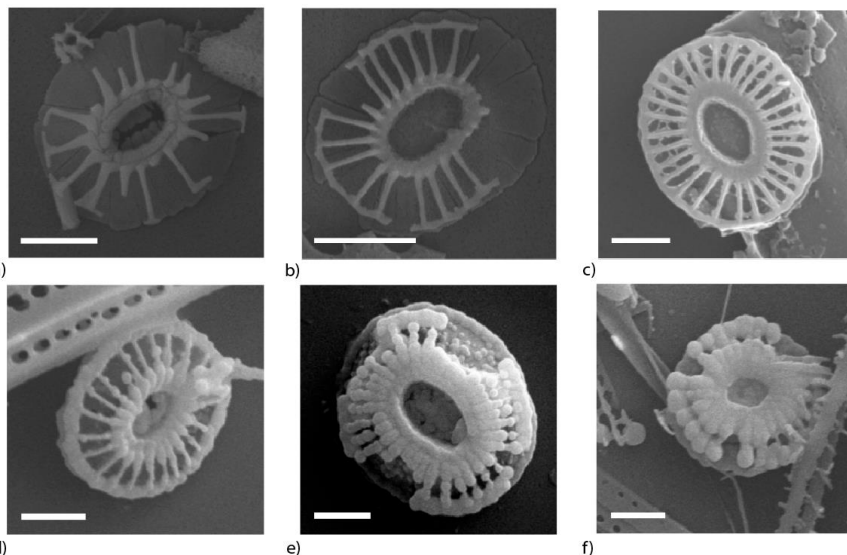
506   **Plate 1:** SEM photos showcasing the different morphologies of *Emiliania huxleyi*

507   morphotype B/C coccoliths found in the sediment traps of the 61°S site. Scale bars =1

508   μm.

509

510   **4.2 Seasonal dynamics of the calcareous and siliceous phytoplankton fluxes**

511   The eight-day sampling resolution during spring and summer enabled us to

512   monitor the detailed temporal dynamics of phytoplankton fluxes at the 61°S site.

513   Comparison of satellite-derived PIC and chlorophyll-*a* concentrations for the study region

514   with coccolith fluxes registered by the sediment trap suggests a time lag of about two

515   months between their surface maxima and peak coccolith fluxes registered by the

516   shallower trap (Fig. 4). Therefore, the growth phase of the *E. huxleyi* bloom probably

517   took place between October and December 2001, a period characterised by very low SSTs

518   (0.1-0.9 °C). It was before development of any significant stratification in the upper water

519   column (Fig. 2b and 4a). These observations indicate that the very cold temperatures (near

520   0°C) and strong mixing of the water column in the Antarctic waters during spring are not

521   an impediment for the development of an *E. huxleyi* bloom. The very low *C. leptoporus*

522   and *Gephyrocapsa* spp. fluxes throughout the annual cycle suggest that the environmental

523   conditions of the AZ-S must represent an ecological limit of these species. Peak fluxes of

524   *C. leptoporus* and *Gephyrocapsa* spp at both sediment traps coincide with those of *E.*
525   *huxleyi* indicating that the summer solar irradiance increase is the main factor stimulating
526   coccolithophore growth irrespectively of the species.

527   The onset of seasonal increase in coccolithophore arrivals in the traps occurred at
528   the same time as that of diatoms, suggesting a rapid response of both phytoplankton
529   groups to enhanced light levels. Although both coccolith and diatom fluxes exhibited a
530   pronounced and nearly parallel increase throughout December (Fig. 5), coccolith fluxes
531   peaked one week later than those of diatoms. A similar succession was observed in late
532   summer, when coccoliths displayed a secondary flux maximum, one sampling interval
533   later (8 days) than that of diatoms (Fig. 5). These observations agree with the bloom-
534   dynamics scheme proposed by Barber and Hiscock (2006) (the so-called coexistence
535   theory), in that neither phytoplankton group seems to outcompete the other during the
536   development of the bloom. Interestingly, diatoms seem to decline earlier than
537   coccolithophores, a feature often (but not always) observed in other parts of the world
538   ocean (e.g. Margalef, 1978; Holligan et al., 1983; Lochte et al., 1993; Sieracki et al., 1993;
539   Thunell et al., 1996; Balch, 2004). Indeed, a recent study of the phenological
540   characteristics of coccolithophore blooms by Hopkins et al. (2015) concluded that they
541   often follow those of diatoms in many regions, the sequencing driven by increasing
542   stabilization and/or nutrient depletion (mainly silicate and/or iron, and possibly also
543   favoured by associated increase of carbonate saturation; Merico et al, 2004) of the surface
544   layer. The slightly different seasonal pattern observed at both sampling depths (Fig. 5) is
545   mainly attributed to the fact that the area of the ocean from which the particles have been
546   produced increases with depth (Siegel and Deuser, 1997).

547   Lack of nutrient and mixed-layer-depth measurements during the sediment trap
548   deployment precludes us from establishing robust links between changes in physical and
549   chemical parameters in the upper water column and the observed phytoplankton
550   succession. Nonetheless, some shipboard observations of mixed-layer properties from
551   years previous to the sediment trap deployment (Fig. 2; Trull et al., 2001b) can provide
552   some insight about the mechanisms driving the phytoplankton succession. Macronutrient
553   measurements indicate that, although considerable nutrient draw-down often occurs by
554   mid-summer, the AZ-S waters never reach potentially limiting concentrations (i.e. below
555   10 µM) of silicate, nitrate or phosphate (Fig. 2a; Trull et al., 2001b). Thus, macronutrient
556   limitation was not a likely driver of the observed phytoplankton succession at the 61°S
557   site traps. Iron levels in the AZ-S, on the other hand, are low year-round (0.1-0.2 nM;

558 Boyd et al., 2000b; Sohrin et al., 2000) and exhibit clear seasonality in the AZ (Tagliabue
559 et al., 2014). So, iron availability does represent a potential driver for the observed
560 phytoplankton succession. Indeed, laboratory experiments have shown that *E. huxleyi* has
561 lower minimum iron requirements for growth than oceanic diatoms (Brand et al., 1983;
562 Muggli and Harrison, 1997). This physiology likely provides an ecological advantage
563 over diatoms in the later stages of the spring-summer bloom, when most iron has been
564 stripped from the mixed layer.

565 In regard to the mechanism underlying the second diatom-coccolith succession
566 observed at both depths in February (Fig. 5), it is possible that a vertical mixing event –
567 as frequently reported in the AZ (e.g. Brzezinski et al., 2001) – supplied waters rich in
568 iron and macronutrients to the euphotic zone, resetting the phytoplankton succession.
569 Alternatively, the part of the *E. huxleyi* populations accumulated at or just above the
570 nutricline may have increased using the iron moved by diapycnal diffusion through the
571 pycnocline (Tagliabue et al., 2014). Their deposition in February could have been
572 triggered by a drop of the light levels (Fig. 4). This second hypothesis is also consistent
573 with the following observations: (1) the presence of a sub-surface chlorophyll-*a*
574 maximum in the study region during spring and summer (Parslow et al., 2001; Trull et
575 al., 2001b); (2) reports of high *E. huxleyi* cell accumulations associated with the nutricline
576 in other settings of the world ocean (Beaufort et al., 2008; Henderiks et al., 2012) and (3)
577 peak annual sedimentation in late February of the diatom *Thalassiothrix antarctica*
578 (Rigual-Hernández et al., 2015a), a typical component of the "shade flora" (Kemp et al.,
579 2000; Quéguiner, 2013). Further sampling and taxonomic analysis of the vertical
580 distributions of phytoplankton in the AZ-S south of Australia are required to asses these
581 hypotheses.

582

583 **4.3 Seasonal variability in coccolith calcification**
584 Two main factors have been proposed as driving seasonal changes in coccolith
585 weights of *E. huxleyi*: a seasonal shift in the dominant morphotypes/ecotypes — each
586 with a different degree of calcification (Poulton et al., 2011) — and the physiological
587 response of a given morphotype to the seasonal variation of environmental parameters
588 (e.g. Smith et al., 2012; Meier et al., 2014). SEM analysis of the sediment trap samples
589 revealed that only morphotype B/C, *sensu* Young et al. (2003), thrives in the AZ-S waters
590 south of Tasmania. That is consistent with a report by Cubillos et al. (2007) of dominance
591 of B/C south of 50°S. Therefore, a seasonal shift in the dominant morphotype can be ruled

out in respect to changing coccolith weight. The observed decrease in coccolith weight could have been caused by a change in coccolith calcification or reduction in coccolith dimensions. Young and Ziveri (2000) showed that coccolith weight is approximately linearly correlated to the cube of coccolith length. Applying that, the decrease in length by 7.5% (a reduction to 92.5%) observed from the pre-bloom to the summer bloom in the 2000 m traps (i.e. difference in minimum coccolith lengths in cups 5 and 8) corresponds to a coccolith weight loss of 21% ($0.925^3 \approx 0.79$). That is similar to the observed weight reduction in the 2000 m trap between the pre-bloom and summer bloom coccolith assemblages (16.2 - 27.6%, respectively Fig. 6). When the linear correlation between coccolith length and weight proposed by Young and Ziveri (2000) is also applied to the 3700 m trap coccoliths, the predicted reduction of coccolith weight between the pre-bloom and bloom assemblages is 12%. That is again very similar to the reduction in coccolith weight observed in the *E. huxleyi* coccoliths intercepted by the 3700 trap (10%). It is strongly suggested that the seasonal changes in coccolith weight at the 61°S site were mainly driven by changes in coccolith length and were not due to significant changes in their degrees of calcification.

Laboratory, mesocosm and field studies have shown that multiple environmental factors including light, temperature, salinity, seawater carbonate chemistry, macronutrient concentrations and iron availability affect coccolith formation by *E. huxleyi* cells (e.g. Paasche, 2002; Zondervan, 2007; Langer and Benner, 2009; Feng et al., 2017). We examine each of these factors in turn, but note that all exhibit correlated seasonal cycles and thus identification of a single driver is particularly difficult.

Since calcification in *E. huxleyi* is a light-dependent process (Paasche, 1999, 2002), the observed decrease in coccolith weight during summer in both traps is not an obvious response to increasing light in summer. However, Paasche and Brubak (1994) observed that calcification is less strongly curtailed than photosynthesis under low light conditions, so that perhaps high calcification relative to growth in winter could lead to heavier coccolith weights in that part of the seasonal cycle. Interestingly, this would contrast with a recent synthesis of results for another coccolithophore, *Gephyrocapsa oceanica*, in which optimal light for calcification was found to be slightly higher than for photosynthesis or growth (Gafar et al., 2018), emphasizing that the sensitivities of these processes may be organism and possibly even strain specific. Smith et al. (2010) previously documented a reduction in coccolith calcification of *E. huxleyi* coccospheres during the summer months in the Bay of Biscay, but advised caution in associating this

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with light intensity because calcification rates may not necessarily covary with the amount of calcite content per coccolith. Therefore, the possible effect of light intensity on coccolith weight in our traps is plausible but not demonstrable with our current data.

In terms of temperature effects, Saruwatari et al. (2016) described a decrease in coccolith size with increasing temperature cultivating *E. huxleyi* strains (morphotype B/C, strains MR57N and MR70N) from the Bering and Chukchi Seas. However, comparison of our results with those of Saruwatari et al. (2016) should be done with great caution due to two reasons. Firstly, the *E. huxleyi* coccolithophores living in the Arctic seas most likely correspond to a different ecotype than those dwelling in the AZ waters, and therefore they may potentially exhibit different physiological responses to water temperature changes. Secondly, the SST range in our study site was remarkably lower (0 - 3°C) than that used by Saruwatari et al. (2016) in their cultures (5 - 20°C). These limitations make drawing inferences from Saruwatari et al. (2016) difficult. Feng et al. (2017), on the other hand, showed that optimal temperature for calcification of *E. huxleyi* cells retrieved in the Southern Ocean (morphotype A, strain NIWA1108) was ~20°C, while temperatures below 10°C resulted in a dramatic reduction of calcification rates and severe malformations of coccoliths, such as incomplete distal shield elements. Although *E. huxleyi* morphotype B/C found at the 61°S site likely represents an ecotype more tolerant to low temperatures than morphotype A (Cubillos et al., 2007; Cook et al., 2013), the frequent variations in the structure of the coccoliths (e.g. incomplete distal shield elements; Plate 1) captured by the traps suggest some degree of low-temperature stress. Despite the important role of temperature in coccolithophore growth (Paasche, 2002), enhanced summer SSTs may lead to an increase in coccolith weight, a response opposite to that observed at both traps. Therefore, it is unlikely that seasonal SST variations at the 61°S are behind the observed variability in coccolithophore weight.

For salinity, Bollmann and Herrle (2007) identified a close relationship between changes in SSS (gradient from 33 to 38) and the length of *E. huxleyi* coccoliths using a global compilation of core top and plankton samples. However, based on the almost negligible annual variability in SSS (values ranging between 33.7 to 33.9 psu) in the study region, salinity most likely did not play a significant role on the observed seasonal variability in coccolith morphology observed in our traps.

In regard to the possible impact of macronutrient concentrations on coccolith weight, both nitrate and phosphate are known to have a pronounced effect on coccolith

calcite content and morphology (Zondervan, 2007). However, as mentioned previously, none of these macronutrients reach limiting concentrations throughout the annual cycle in the AZ-S (Fig. 2; Trull et al., 2001b). and therefore, their influence in the calcification of coccolithophores is likely to be low or negligible.

Seawater carbonate chemistry is a known driver of calcification in coccolithophores, with decreased growth and calcification attributable to both lower pH and carbonate saturation state, e.g. as summarized in a recent model capable of reproducing a wide range of experimental observations (Bach et al., 2015). But the seasonal cycle in carbonate saturation and pH in Antarctic waters is driven by net community production so that both are higher in summer (Shadwick et al., 2013; 2015a; 2015b) and thus would be more likely to favour higher shell weights in opposition to the observations.

On the other hand, low iron levels have been reported to have a pronounced negative effect on $CaCO_3$ production by *E. huxleyi* cells (Schulz et al., 2004), so it represents a candidate driver of seasonal changes in coccolith weight. During winter, deep water mixing re-stocks the mixed layer with iron (Tagliabue et al., 2014). As soon as light levels become sufficient for photosynthesis in early spring, phytoplankton rapidly develops under non-limiting concentrations of macro- and micronutrients. These favourable conditions for coccolithophore growth could explain the heavier and larger coccoliths registered in early December (Fig. 6). As the phytoplankton bloom develops, the dissolved iron stock is rapidly depleted in the photic zone possibly resulting in a size and weight reduction of coccoliths of the already substantial *E. huxleyi* populations. From late summer throughout autumn, some recycling of iron in the upper water column by increasing summer populations of zooplankton feeding on the bloom (Tagliabue et al., 2014), coupled with increasing light levels and the continued shallowing of the mixed layer, would allow coccolithophores to produce again longer and heavier coccoliths (Fig. 6).

Changes in light intensity in the mixed layer and/or iron-limitation, therefore, represent the most likely environmental driving factors for the seasonal variability in coccolith weight and length of *E. huxleyi* assemblages at the 61°S site. However, we note again that the lack of fitness response experiments on Southern Ocean strains of *E. huxleyi* morphotype B/C to varying environmental conditions and lack of *in situ* measurements of chemical and physical parameters of the water column, mean that control of coccolith

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weight by light and/or iron availability in the AZ-S remain as an hypothesis needing
validation by future studies.

4.4 Effects of calcite dissolution on the sinking coccolith assemblages

The similar average annual coccolith weight registered at both traps indicates that negligible coccolith dissolution occurs at meso- and bathypelagic depths in the AZ-S south of Australia. That is despite the fact that coccolith sinking assemblages captured by the deeper trap were exposed to potentially intense dissolution after crossing the CSH (located at 3000 m in the study region; Fig. 2). The similar coccolith values observed at both depths can be attributed to the formation of algal and faecal aggregates in the mixed layer that include fine mineral particles (Passow and De La Rocha, 2006) and provide protection against dissolution. They also facilitate rapid transport of the coccoliths down through the water column. The aggregate-formation hypothesis is supported by the findings of Closset et al. (2015) who estimated that sinking rates at the 61°S site were, at least 213 m d^{-1} during the productive period, a value consistent with the sinking rates of algal and/or faecal aggregates (Turner, 2002, 2015).

Despite not finding increased dissolution with water depth between 2000 and 3700 m, it is possible that coccoliths experienced some carbonate dissolution before reaching the traps. Milliman et al. (1999) suggested that the same biological processes that facilitate aggregate formation and flocculation, such as ingestion, digestion and egestion by grazers, may be responsible for significant carbonate dissolution at epipelagic depths (i.e. depths shallower than 800-1000 m. Indeed, the negligible amounts of coccospheres found in both traps, together with the high sinking velocities, suggest that grazing could have been an important influence on export. That is supported by findings of Ebersbach et al. (2011) in the PFZ north of our study location. They documented that an important fraction of the particles sinks from the mixed layer as faecal aggregates. On the other hand, the small spherules often observed on the coccoliths captured by the traps suggest some degree of coccolith dissolution followed by remineralisation. We speculate that some of the coccoliths captured by the traps could have experience partial dissolution in the upper water column leading to the exposure of their organic coccolith scaffold (Gal et al., 2016; Lee et al., 2016) to the environment. It is possible that salts dissolved in the water column subsequently precipitated over this scaffold structures resulting in the formation of the recrystalised structures observed in some coccoliths (Plate I, e-g). However, the available data are insufficient to evaluate the impact of carbonate

756 dissolution in the upper water column and processes leading to secondary recrystallisation
757 in the coccoliths.
758
759 **4.5 Calcium carbonate content of *Emiliania huxleyi* coccoliths**
760   A broad range of calcite contents for *E. huxleyi* coccoliths (1.4 - 7.0 pg) has been
761 proposed in the literature (e.g. Young and Ziveri, 2000; Beaufort, 2005; Holligan et al.,
762 2010; Poulton et al., 2011). The differences in these estimates are most likely due to
763 variability in the amount of coccolith calcite between morphotypes and to the varied
764 methodological biases associated with the three main approaches for estimating coccolith
765 mass: morphometrics, regression and birefringence. Since *E. huxleyi* morphotype B/C is
766 considered to be geographically restricted to the Southern Ocean (Cubillos et al., 2007;
767 Cook et al., 2013) we limit the comparison of our results to studies conducted only in the
768 Southern Ocean reporting this morphotype.
769   Average annual coccolith quotas at both trap depths at the 61°S site (2.11 ± 0.96
770 and 2.13 ± 0.91 pg per coccolith at 2000 m and 3700 m, respectively) are almost identical
771 to that estimated by Holligan et al. (2010) (2.20 ± 0.60 pg ; morphotype B/C) in the Scotia
772 Sea using a regression line between the number of coccoliths against PIC. Moreover, our
773 estimates are slightly higher, but with a considerable overlap in the ranges of coccolith
774 weight, than those estimated by Poulton et al (2011) for the *E. huxleyi* morphotype B/C
775 populations found in Patagonian shelf waters (1.40 ± 0.6 pg). The greater standard
776 deviation of our data is most likely due to the time periods compared. While the average
777 coccolith weight estimated for our traps reflects an integration of the annual variability in
778 coccolith weight, the shipboard observations by Poulton et al. (2011) provide a snapshot
779 of the summer coccolithophore populations, that likely exhibit lower coccolith size and,
780 thus, variability.
781   Because our coccolith weight estimates are similar to those of Poulton et al. (2011)
782 and Holligan et al. (2010), we can estimate the fractional contribution of coccolithophores
783 to total carbonate production in the AZ-S south of Australia. Coccolithophores account
784 for approximately 2-5% of the annual deep-ocean $CaCO_3$ fluxes at mesopelagic depths at
785 the 61°S site. The contribution of coccolithophores to the annual $CaCO_3$ budget in the
786 AZ-S south of Australia is similar to the estimate by Salter et al. (2014) for the
787 macronutrient-rich, but iron deficient M6 site in the Indian sector of the AZ (12%) and
788 remarkably lower than an estimate for the iron-fertilised station A3 over the central
789 Kerguelen Plateau (85%; Rembauville et al., 2016). Due to the different methodologies

790 for estimating coccolithophore contributions to carbonate production, comparison of our
791 results with these other studies should be treated with caution. While only whole
792 coccoliths were counted for our calculation, therefore providing a conservative estimate,
793 Salter et al. (2014) and Rembauville et al. (2016) estimated the weight of the < 20 µm
794 fraction using inductively coupled plasma-atomic emission spectrometry. That approach
795 often results in overestimates of the coccolith contribution to bulk carbonate content.
796 There can be non-negligible contributions of non-coccolith fragments to the fine fraction
797 (Giraudeau and Beaufort, 2007). Despite the biases associated with both methodologies,
798 the general trend appears clear: the fractional contributions of coccolithophores to bulk
799 carbonate export are lower in the iron-limited waters of the AZ compared to those in
800 naturally iron-fertilised settings of the Southern Ocean. These findings underscore the
801 secondary role of this phytoplankton group in the biological carbon pumps (both the in
802 organic carbon and carbonate counter pumps) south of the PF where non-calcifying
803 phytoplankton - mainly diatoms and *Phaeocystis* - largely control the biologically-
804 mediated CO_2 exchange between the ocean and the atmosphere.

805

806 **Conclusions**

807 Analysis of the materials captured by two sediment traps deployed at the 61°S site
808 allowed for the characterization and quantification of coccolith assemblages in Australian
809 sector of the Antarctic Zone. The data presented here provide a baseline of the state of
810 coccolithophore populations in this region against which future changes can be assessed.
811 More specifically, our study has shown the following:

812 • Coccolithophores were a consistent member of the phytoplankton communities of the
813 Antarctic Zone south of Australia in year 2001. Coccolithophore assemblages in this
814 region are monospecific being composed almost entirely of *Emiliania huxleyi*
815 morphotype B/C. This observation supports the hypothesis that the physiological
816 differences in light-harvesting pigments of morphotype B/C (or *E. huxleyi* var.
817 *aurorae*), compared to other Southern Ocean *E. huxleyi* varieties (Cook et al., 2011),
818 may represent an ecological advantage in the cold, low-light and iron-limited
819 environment of the Antarctic Zone.

820 • The onset of the coccolithophore productive period took place at the same time as that
821 of diatoms, indicating that neither phytoplankton group outcompetes the other during
822 the development of the bloom. We speculate that the diatom-coccolithophore
823 succession observed during the peak phase of the productive period could result from

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the lower minimum iron requirements for growth of *E. huxleyi*, a feature that may confer a competitive advantage over diatoms.

- A decrease in coccolith weight and size during the summer months was observed at both sediment trap depths. After assessing the potential influence of several environmental parameters, changes in light intensity in the mixed layer and increasing iron limitation seem to be the most likely candidates to drive this change. These hypotheses, however, will need to be validated in future field and laboratory culture experiments with morphotype B/C.

- The similar weight of *E. huxleyi* coccolith assemblages captured by the 2000 and 3700 m sediment traps indicates that negligible coccolith dissolution occurs during transit through meso- and bathypelagic depths in the study region. This is most likely due to a rapid transport of the coccoliths in algal and/or faecal aggregates.

- Coccolith weight values calculated for both sediment trap records using a birefringence-based approach were similar to previous estimates of *E. huxleyi* morphotype B/C in other Southern Ocean settings using regression and morphometric methods (Holligan et al., 2010; Poulton et al., 2011, respectively).

- Coccolithophore fluxes at the 61°S site account for only 2-5% of the annual deep-ocean $CaCO_3$ fluxes, suggesting that heterotrophic calcifiers must represent the main biogenic carbonate producer in the AZ-S south of Australia.

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866

867 **Supplements**

868
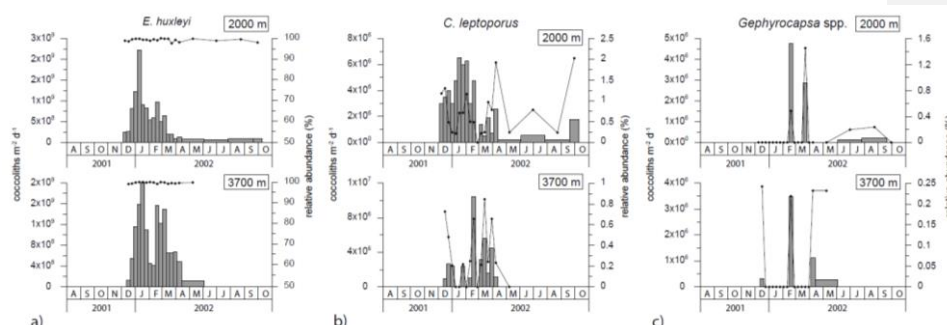
869 **Supplementary Figure 1**: Seasonal variation of flux and relative abundance of the main

870 coccolithophore species captured by the 2000 and 3700 m trap: (**a**) *Emiliania huxleyi*, (**b**)

871 *Calcidiscus leptoporus* and (**c**) *Gephyrocapsa* spp..

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