

1 **Title Page**

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3 **Title:** Simulating sustained yield harvesting adaptive to future climate change

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15

16 **Abstract**

17

18 Forests are the main source of biomass production from solar energy and take up globally  
19 around  $2.4 \pm 0.4$  PgC per year. Future changes in climate may affect forest growth and  
20 productivity. Currently, state-of-the-art Earth system models use prescribed wood harvest rates  
21 in future climate projections. These rates are defined by integrated assessment models (IAMs)  
22 only accounting for regional wood demand and largely ignoring the supply side from forests.  
23 Therefore, we apply the concept of “sustained yield” (SY) to represent a climate-adaptive forest  
24 management allowing a wood harvest rate oriented towards the actual rate of forest growth.  
25 Applying SY in “JSBACH”, the land component of the Max-Planck-Institute’s Earth System  
26 Model, forced by several future climate scenarios, we realized a potential wood harvest amount  
27 twice to four times ( $3-9$  PgCy<sup>-1</sup>) the rates prescribed by IAMs ( $1-3$  PgCy<sup>-1</sup>). This highlights the  
28 need to account for the dependence of forest growth on climate. To account for long term effects  
29 of wood harvest as integrated in IAMs, we added a life cycle analysis showing that the higher  
30 supply with SY as an adaptive forest harvesting rule may improve the net mitigation effects of  
31 forest harvest during the 21<sup>st</sup> century by sequestering carbon in anthropogenic wood products  
32 (max. 379 PgC).

33

34 **Keywords:** Adaptation to climate change, Mitigation, Mortality, Carbon forestry, sustainable  
35 forest management, Global forest model.

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Gelöscht: , Forest risks

41 1. Introduction

42 Forest ecosystems play a major role in taking up global CO<sub>2</sub> emissions and affect global climate  
43 conditions through a range of complex biophysical and biogeochemical processes. Forests are  
44 the main source of biomass production from solar energy through photosynthesis and are  
45 estimated to take up globally around  $2.4 \pm 0.4 \text{ PgCy}^{-1}$  (Pan et al., 2011) . A large part of this  
46 uptake can be attributed to direct and indirect human interference: Direct human impact by  
47 forest management creates young forests sequestering carbon during regrowth (Houghton et al.,  
48 2012), and provides material for fossil-fuel substitution (Nabuurs et al., 2007). However, forest  
49 utilization and interaction of management with large-scale natural disturbances, such as forest  
50 fires, may emit tonnes of CO<sub>2</sub> immediately to the atmosphere and act as a source of CO<sub>2</sub>  
51 emissions (Bonan, 2008). Indirect human impact alters environmental conditions, in particular  
52 climate and atmospheric CO<sub>2</sub> concentrations, which historically has caused a carbon uptake by  
53 the terrestrial vegetation (Le Quere et al., 2015). Any change in environmental conditions  
54 affects forest growth, risks of hazards, and productivity and, consequently, the amount of wood  
55 that can be harvested (Temperli et al., 2012; Sohngen and Tian, 2016).

56 The effects of changes in environmental conditions on the state of the biosphere are represented  
57 in state-of-the-art Earth system models (ESMs). However, the description of forest management  
58 in these models is largely independent of environmental changes: So far, ESMs employ  
59 prescribed wood harvest amounts. These are derived from national statistics for the historical  
60 period and from global integrated assessment models (IAMs) for future scenarios. IAMs  
61 determine the wood harvest rates based on the supply of woody materials from vegetation and  
62 demands of regional industries and population (van Vuuren et al., 2011). However, changes in  
63 the supply via forest growth and changed structural conditions especially under climate change,

64 and increasing CO<sub>2</sub> concentrations are ignored. The main drivers of these models are economic,  
65 i.e. market price, and population growth scenarios and forest harvest decisions are only reactive  
66 to the assumed socioeconomic scenarios and do not take forest ecosystem dynamics and growth  
67 into account.

68 In this study we investigate the relevance of allowing wood harvest decisions to respond to  
69 changes in environmental conditions. Moreover, we explore the ecological potential of world  
70 forest resources for wood production and the implications for carbon mitigation. For this we

71 design a thought experiment applying the concept of “sustained yield” (SY) to illustrate the  
72 potential consequences of representing adaptive forest management in ESMs and compare the  
73 results with wood harvest prescribed from IAMs. SY is a strong sustainability policy applied in  
74 sustainable forest management, which aims to maintain forest stocks as natural capital and  
75 controls wood extraction (Luckert and Williamson, 2005). According to SY, the maximum  
76 wood harvest rate to utilize forest resources equals the actual rate of forest growth. Exceeding  
77 regrowth rates would result in the exploitation of forest ecosystems and would decrease forest  
78 yield and productivity. On the other hand, minimalistic usage, i.e. falling below regrowth rates,  
79 would not be an optimal allocation of forest resources from the perspective of production.

80 Forest growth rates are highly dependent on the environmental conditions, especially climate  
81 and CO<sub>2</sub> concentrations, which are projected to be substantially altered for future climate  
82 scenarios as compared to the conditions observed in the past (Collins et al. 2013). Therefore,

83 any decision about forest management should take into account the effects of changes in climate  
84 and CO<sub>2</sub> concentrations on forest growth (Sohngen et al., 2016; Yousefpour et al., 2012; Hickler  
85 et al., 2015; Sohngen and Tian, 2016) and consequently on the harvest rate (Jönsson et al., 2015;  
86 Temperli et al., 2012; Jönsson et al., 2015). The traditional concept of SY, as defined above,

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89 does not account for changes in the regrowth rates (Luckert und Williamson, 2005). Therefore,  
90 the concept is idealized for this study, allowing for dependence of wood harvest on altered  
91 climate conditions and CO<sub>2</sub> concentrations. However, we remain consistent with the aim of  
92 applying sustained yield to safeguard the current level of wood stocks in the forest. We  
93 emphasize that our idealized SY approach realizes global forest resources potentials for wood  
94 production assuming full accessibility of all forest area.

95 Keeping in mind the above-mentioned problem of not accounting for changing environmental  
96 conditions in global forest utilization modelling, the goals of this study are (1) to establish a  
97 coupled, interactive harvest-climate module based on the concept of sustained yield to account  
98 for the reaction of the forest production system to climate change and increasing CO<sub>2</sub>  
99 concentration in the atmosphere, and (2) to assess the maximum potential of global forest  
100 resources for wood production and the long term CO<sub>2</sub> mitigation effects of wood harvest as  
101 applied in IAMs by an active and dynamic management strategy. We compare the outcome of  
102 the sustained yield modelling approach with the outcome when applying prescribed wood  
103 harvest amounts from three different Representative Concentration Pathways (RCPs) realized  
104 by IAMs and commonly used by ESMs as an external forcing (Hurt et al., 2011). Since  
105 harvested material is used in the IAMs to estimate the amount of bioenergy wood, which in turn  
106 are needed in the IAMs to analyse energy and carbon mitigation policies, we perform a first-  
107 order assessment of the CO<sub>2</sub> consequences of altering the harvest rates in response to climate.  
108 Similarly and to determine the mitigation potential by wood products we allocate the harvested  
109 material to products of different lifetimes according to FAO country-specific statistics  
110 (FAOSTAT, 2016). The change in atmospheric carbon content resulting from the release of  
111 CO<sub>2</sub> by the decay of these products is quantified accounting for compensating fluxes by the

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**Gelöscht:** we aim in this paper to integrate a novel forest decision-making process. This process is based on the sustained yield harvesting rule in JSBACH, which is the land component of the Max-Planck-Institute's Earth System Model (MPI-ESM; Giorgetta et al., 2013). JSBACH is a state-of-the-art dynamic vegetation model with an integrated wood harvest module (Reick et al., 2013). The

**Gelöscht:** CO<sub>2</sub> mitigation potentials

**Gelöscht:** We perform all simulations with JSBACH, driven by atmospheric fields derived from MPI-ESM simulations as part of the Coupled Model Intercomparison Project Phase 5 (see Methods for details). We implemented the sustained yield harvest in JSBACH such that above-ground wood carbon pools are stabilized, and defined the maximum level simulated for the present period (1996-2005) as the reference value for our study. To

129 ocean and the terrestrial vegetation (Maier-Reimer und Hasselmann, 1987). The net mitigation  
130 effect of wood harvest is then defined as the difference between the total amount of harvested  
131 material and the change in atmospheric carbon content.

## 132 2. Materials and methods

### 133 2.1. Dynamic global vegetation model JSBACH

134 We implemented the sustained yield harvesting rule in JSBACH, the land component of the  
135 MPI-ESM (Reick et al., 2013). With this model we simulated the effect of forest management  
136 activities, i.e. wood harvest according to different harvesting rules, on the future (2006-2100)  
137 carbon balance of terrestrial ecosystems.

138 In the applied version of JSBACH vegetation is represented by 12 plant functional types (PFTs)  
139 including six woody PFTs. Each PFT is globally endowed with properties in relation to  
140 integrated processes in JSBACH and PFT-specific phenology, albedo, morphology, and  
141 photosynthetic parameters (Pongratz et al., 2009). Organic carbon is stored in three vegetation  
142 pools: living tissue as “green”, woody material as “wood”, sugar and starches as “reserve pool”,  
143 and two soil pools with a fast (about 1 year) and a slow (about 100 years) turnover time (Raddatz  
144 et al., 2007). Wood harvest activities do not change the area or characteristics of different PFTs,  
145 but affect the carbon pools of woody PFTs (forests and shrubs) by removing carbon from the  
146 wood pool, resembling trees’ stem and branches removal via harvesting (Reick et al., 2013).  
147 Harvest thus affects the vegetation carbon stocks, but the model does not represent a feedback  
148 of the harvest activity on the forest productivity.

149 We applied JSBACH in ‘offline’ mode, i.e. not coupled to the atmosphere, but driven by the  
150 CMIP5 output of the MPI-ESM (Giorgetta et al., 2013) from experiments with CO<sub>2</sub> forcing  
151 according to three different RCP scenarios (RCP2.6, RCP4.5 and RCP8.5). We used a T63/1.9°

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154 horizontal resolution and conducted our simulations with disturbances due to fire and wind.  
155 The simulations were conducted without dynamic vegetation and without land-use transitions  
156 to prevent changes in the areas occupied by the different PFTs and to be thus able to isolate the  
157 effects of forest management activities. Further details on the model version and the simulation  
158 setup are given in the supplementary material (S1).

## 159 2.2.RCPs wood harvest

160 The current standard module for anthropogenic land cover and land-use change in JSBACH is  
161 based on the harmonized land-use protocol (Reick et al., 2013), which provides land-use  
162 scenarios for the period 1500-2100 (Hurt et al., 2011). As part of this protocol, a set of globally  
163 gridded harvest maps from the IAM implementations of the RCPs is provided (Hurt et al.,  
164 2011). In JSBACH simulations, the harvest prescribed in these maps is fulfilled taking above-  
165 ground carbon of all vegetation pools and all PFTs proportionally to the different pool sizes. In  
166 this paper, however, we concentrate on the carbon harvested from the wood pool of the woody  
167 PFTs, which by far contributes most of the harvested volume.

## 168 2.3.Sustained yield (SY) harvesting rule

169 As an alternative for the prescribed harvest maps, we implemented the SY harvesting rule,  
170 which allows for adaptive wood harvesting reacting to changes in wood increments, and  
171 accordingly dependent on climate and CO<sub>2</sub> conditions. We define the SY rule as the allowance  
172 to harvest specific volumes of wood to the extent of the average increment (i.e. the average  
173 annual growth). Applying the SY rule, we aim to stabilize the wood carbon pool in the woody  
174 PFTs at the level of a selected reference period. In the current paper we selected the maximum  
175 level for the present period (1996-2005) simulated with JSBACH (see S1). Using a reference  
176 level determined from the last ten years of the historical simulation allows us to keep the

177 standing wood on the present level and to account for the dependence of forest growing stocks  
178 (carbon pools) to disturbances, silvicultural interventions and varying environmental  
179 conditions.

180 Under the SY harvesting rule, the wood harvest is only allowed to reduce the wood carbon pool  
181 down to the reference level. Aside from environmentally driven decreases, the wood carbon  
182 pool thus nearly remains constant over the whole simulation time.

#### 183 2.4.Simulation runs with JSBACH

184 We conducted six simulations (Table 1) from 2006-2100, all starting from the same initial state  
185 (see S1). The simulations differ in the applied harvest rule and in their climate and CO<sub>2</sub> forcing.  
186 While the different RCP harvest maps were applied in simulations with the corresponding MPI-  
187 ESM RCP forcing, each MPI-ESM RCP forcing was additionally run applying SY harvest.

#### 188 **Table 1**

#### 189 2.5.Analysis of wood harvest impacts on forest disturbances and natural mortality

190 To analyze the mechanisms driving differences in SY and RCP wood harvest amounts we can  
191 formulate changes in above-ground wood carbon stocks over time ( $dC_w/dt$ ) as carbon gains  
192 from net primary production allocated to the wood pools ( $NPP_w$ ) minus losses due to natural  
193 disturbances and anthropogenic management (i.e., wood harvest,  $h$ ):

$$194 \frac{dC_w}{dt} = NPP_w - \frac{C_w}{\tau} - h \quad (1)$$

195 In this conceptual formulation, the loss due to natural disturbances depends on the size of the  
196 carbon stock and a time constant ( $\tau$ ). As net primary production in our model does not depend  
197 on harvest, RCP and SY harvest can be related as

198 
$$h_{SY} = h_{RCP} + \left( \frac{C_{WRCP}}{\tau_{RCP}} - \frac{C_{WSY}}{\tau_{SY}} \right) + \left( \frac{dC_{WRCP}}{dt} - \frac{dC_{WSY}}{dt} \right) \quad (2)$$

199 The amount of sustained yields can thus be split into several terms: The first term is the  
 200 reference harvest rate of the RCPs. The second term accounts for the difference in loss due to  
 201 natural disturbances in the RCP and the SY simulation. In JSBACH this can further be split into  
 202 differences in losses due to background mortality, such as self-thinning of forests, due to fire,  
 203 and due to windbreak. JSBACH explicitly integrates two modules for the simulation of fire and  
 204 wind disturbances depending on climate and carbon pools. The third term accounts for the  
 205 changes in the above-ground wood pool realized over time in the simulations. As shown below,  
 206 the RCP harvest results in an increase of above-ground woody biomass over the 21<sup>st</sup> century  
 207 for all three scenarios. For SY, on the other hand,  $dC_{WSY}/dt$  should theoretically be close to zero  
 208 over time as SY aims to sustain the above-ground carbon pools of woody PFTs; however,  
 209 reductions in NPP due to less favorable climatic conditions or increased disturbances can entail  
 210 negative  $dC_{WSY}/dt$ . To summarize, SY includes the RCP wood harvest and, moreover, makes  
 211 use of additionally accumulated carbon and eventually reduced mortalities to adapt harvest  
 212 decisions to the novel climate and forest growing conditions.

213 2.6.Accounting for the mitigation potential of forest management in the Earth system

214 We account for long term effects of wood harvest, as in IAMs, by approaching a life cycle  
 215 analysis. Many wood products have lifetimes of decades to centuries. Here, we assess the effect  
 216 on atmospheric carbon content when harvested carbon is transferred, at least to a part, to longer-  
 217 lived product pools, instead of entering the atmosphere immediately. We compare this  
 218 “mitigation effect” achievable by the wood products harvested under the SY concept to those  
 219 achievable according to the three RCP harvest maps. To this end, we distinguish three  
 220 anthropogenic wood product pools -- bioenergy, paper, and construction -- with 1, 10, and 100

221 year life times, respectively, as are typically assumed in global modeling studies (Houghton et  
222 al., 1983; McGuire et al., 2001).

223 To allocate the wood biomass harvested in our JSBACH simulations to different product pools,  
224 we made use of FAO country-specific statistics reporting wood production in fourteen different  
225 categories (FAOSTAT, 2016). For our analysis, we assume that the production technology and  
226 allocated percentage of each country's total wood production to these fourteen categories  
227 remains constant at 2005 levels over the 21<sup>st</sup> century and used these percentages to allocate  
228 wood biomass harvest from JSBACH (remapped to countries - see a calculus example in  
229 supplementary material S2). The fourteen categories are then assigned to the three distinguished  
230 anthropogenic wood product pools. We assume that the harvested material entering one of these  
231 three product pools in a year decays at a rate of 1/lifetime, i.e. that all material used for  
232 bioenergy is respired to the atmosphere within the same year it is harvested, while the material  
233 entering the paper and construction pool is emitted at a constant rate over the following 10 or  
234 100 years, respectively. The emissions at a given year for paper and construction pools are  
235 therefore composed of a fraction of that year's harvest, but also of the legacy of material  
236 harvested earlier, yielding annual emissions E from all three product pools as follows:

$$237 \quad E(t) = f_b h(t) + \sum_{s=t-9}^t \frac{1}{10} f_p h(s) + \sum_{s=t-99}^t \frac{1}{100} f_c h(s) \quad (1)$$

238 Here, f for bioenergy (b), paper (p), and construction wood (c) are the fractions with which the  
239 harvested biomass is assigned to the product pools (see S2). We call E “emissions from product  
240 decay” in the following.

241 To account for the fact that the emissions from product decay leave the atmosphere over time  
242 to be taken up by the terrestrial biosphere and the ocean, we apply the response function (Eq.

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244 2) by Maier-Reimer and Hasselmann (1987). Convolution of this response function with the  
245 time series of annual emissions from product decay until year  $t$  results in the change in  
246 atmospheric carbon content in that year,  $C(t)$  (Eq. 3).

247

$$248 \quad G(t) = A_0 + \sum_{p=1}^4 A_p \exp^{-t/\tau_p} \quad (2)$$

$$249 \quad C(t) = \int_0^t G(t-s) \cdot E(s) ds \quad (3)$$

250

251 Emissions are present in the atmosphere as they occur and, therefore,  $G(0) = 1$  and  $A_0 = 1 -$   
252  $\sum_p A_p$ . The constants  $A_p$  and the time constants  $\tau_p$  are fitted for  $p > 0$  using one of the best fits  
253 found by Maier-Reimer und Hasselmann (1987): the sum of four exponential terms with time  
254 constants  $\tau_1, \tau_2, \tau_3$  and  $\tau_4$  of approximately 1.9, 17.3, 73.6, and 362.9 years, and constants  $a_1,$   
255  $a_2, a_3$  and  $a_4$  of 0.098, 0.249, 0.321, and 0.201. Accordingly, Equation 2 is an exponential  
256 function that accounts for the uptake of  $\text{CO}_2$  by ocean and land over time and Eq. (3) integrates  
257 the accumulated amount of total  $\text{CO}_2$  concentrations in the atmosphere at each time step  
258 regarding past and present emissions. The mitigation effect of wood products is then determined  
259 as the difference between the harvested material and the change in atmospheric carbon content.

### 260 3. Results

#### 261 3.1. Comparison of sustained-yield and RCP harvest rates

262 Above-ground woody biomass is simulated to increase by the end of the 21<sup>st</sup> century for the  
263 RCP wood harvest (Figure 1a), despite an increase of the amounts of wood harvest (Figure 1b).  
264 This implies that the changes in environmental conditions lead to a larger accumulation of  
265 woody biomass than is removed by the increased harvest. The temporal pattern of this increase,

266 with strong increase only in the first half of the century for RCP2.6 or throughout the century  
267 for RCP8.5 reflects the projected evolution of changes in CO<sub>2</sub> and climate (Collins et al., 2013).  
268 For the SY rule, woody biomass remains more or less constant over time (Figure 1a), as the  
269 average annual increment is removed by harvest by definition of the SY rule (see Methods).  
270 Consequently, the wood harvest potential of global forest resources under SY is simulated to  
271 be as high as 9 PgCy<sup>-1</sup> at the end of the century subject to the realization of RCP8.5 climatic  
272 conditions, or about 4 to 6 PgCy<sup>-1</sup> for the other two scenarios (Figure 1b). SY wood harvest  
273 amounts are thus twice to four times as high as those of prescribed wood harvest simulated by  
274 IAMs for the RCPs. Depending on RCPs, the simulated increase in above-ground woody  
275 biomass may reach 133% (425 PgC in 2100) of the initial level in 2005 (320 PgC) for RCP8.5  
276 and substantially higher levels of 128% and 117% for RCP4.5 and RCP2.6, respectively (Figure  
277 1b). All the figures are harvestable wood biomass amount and differ from commercially useable  
278 timber including bioenergy, paper, and construction woody biomass (see 2.6 and 3.3).

279 We map the geographical allocation of harvest potentials, applying both SY and RCPs wood  
280 harvest, to recognize the regional hotspots (Figures 2). Central Latin America including the  
281 Amazon forests, large parts of North America, central Africa, eastern Asia and Europe  
282 including Russia can be recognized in all maps as hotspots for allocation of simulated SY  
283 harvest activities. The large harvest potentials of the supply based SY harvest in the tropics  
284 contrast with the patterns of the demand based RCP harvest; in particular in RCP2.6 and  
285 RCP4.5 much of the global harvest is provided from eastern North America, central Europe and  
286 East Asia.

287 **Figure 1**

288 **Figure 2**

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290 3.2. Wood harvest impacts on forest disturbances and regrowth

291 The realized SY harvest in JSBACH exceeds RCPs wood harvest defined by IAMs not only  
292 because of taking into account changes in growth rates caused by changed climatic conditions,  
293 but also due to avoided mortality and disturbances (see methods section). Figure 3 shows the  
294 separation of the realized SY harvest into changes in standing wood as compared to RCP  
295 harvest, avoided background mortality, natural fire, and wind disturbances, and the amount  
296 prescribed originally by RCPs. The largest contribution to the higher harvesting potential under  
297 SY is the lower background mortality, which is directly related to lower accumulation of woody  
298 biomass (see Figure 1a). This lower accumulation also leads to the decreased carbon losses  
299 from fire and wind disturbances. Depending on the climate scenario (RCPs) the simulated  
300 reduction of mortality and disturbances add up to 2-5 PgCy<sup>-1</sup> at the end of the century and thus  
301 to sustained yield and increased harvest potentials of over 100%, over 200%, and over 250%  
302 for RCP2.6, and RCP4.5 and RCP8.5, respectively. Under the RCP harvest, woody biomass is  
303 simulated to mostly increase beyond what is required by the increasing harvest rates (see Figure  
304 1). Harvesting this “surplus”, i.e. the increase of standing biomass over time by applying RCP  
305 and harvesting lower biomass than growth, also contributes to the larger SY harvest potentials.  
306 The temporal evolution is different from that of avoided mortality and disturbances, reflecting  
307 the projected changes in CO<sub>2</sub> and climate. Greater fluctuation of yearly wood harvest from  
308 sustained yield comparing to the RCPs’ wood harvest amounts is because of the direct  
309 dependency to climate and accordingly fluctuation of forest growth and productivity.

310 **Figure 3**

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313 3.3.Mitigation potential of SY versus RCP wood harvest

314 We show the mitigation potential of forest resources in the 21<sup>st</sup> century applying SY harvest  
315 rule versus the RCP wood harvest prescribed from IAMs in Figure 4a-f. Due to the larger  
316 harvested amounts, the mitigation potential is higher for SY compared to RCP harvest and the  
317 magnitude depends on the underlying climate scenario (RCPs). The advantage of SY lies in  
318 storing a larger amount of carbon in wood products whilst keeping above-ground woody carbon  
319 pools constant. These aspects are largely ignored by IAMs. Table 2 below shows the net  
320 mitigation potentials of world forest resources (SY against RCP harvest) by wood harvest at  
321 the middle and end of the 21<sup>st</sup> century (2050 and 2100). The highest mitigation effect is achieved  
322 in the SY8.5 scenario with 140.6 PgC and 379.1 PgC up to 2050 and 2100, respectively. These  
323 figures account for 287% and 286% more global carbon storage than in the RCP8.5 scenario  
324 with prescribed RCP wood harvest with 50.6 and 132.1 PgC mitigation up to 2050 and 2100,  
325 respectively.

326 **Table 2**

327 **Figure 4**

328 4. Discussion

329 RCPs define wood harvest in each region according to scenarios realized by IAMs about social  
330 and economic developments in the 21<sup>st</sup> century, but independent of ecological capacities of  
331 forest ecosystems. Although SY realizes potentially a larger wood harvest amount than the  
332 RCPs, it remains as per definition a sustained-yield forest harvesting approach and guarantees  
333 sustainability of the current ecological conditions at each region with respect to standing  
334 biomass. However, as a consequence, regions with low standing biomass, for example due to  
335 extensive historical harvest, will maintain these low biomass levels. Below we discuss the

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338 effectivity of SY in adapting to new environmental conditions and the mitigation potential and  
339 highlight the missing issues in our simulation analysis, especially about the provisioning of  
340 multiple goods and services (e.g. biodiversity, forest health), and the future research themes  
341 about integration of diversified management strategies in ESMs.

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342 Accounting for the climate state in simulating future forest harvest is crucial (Temperli et al.,  
343 2012; Sohngen and Tian, 2016). Accordingly, the novelty of the applied SY in this study is the  
344 dynamic nature of this management approach based on the ecology of forest ecosystems and  
345 climatic and atmospheric conditions. According to Schelhaas et al. (2010), an accelerated level  
346 of wood harvest to reduce the vulnerability of European old forests to wind and fire disturbances  
347 is needed to stop the current built-up of growing stock. Applying SY in this study realized an  
348 increased wood harvest rate for European forests (see Figure 2) showing first signs of carbon  
349 sink saturation and high vulnerability to natural disturbances (Nabuurs and Maseret, 2013).  
350 Global studies of this nature are largely missing due to the lack of data and forest ecosystems  
351 complexity on global scale. Our idealised simulations suggest that SY does not only effectively  
352 safeguard sustainability of the current forest biomass on the global scale, but also positively  
353 affects the resistance of forest resources against natural disturbances and efficiently utilizes  
354 forest growth and productivity potentials. Our estimates are, of course, sensitive to the choice  
355 of reference level: In this study, we applied the maximum current (1996-2005) above-ground  
356 wood biomass as the reference level. Any changes in this reference may affect the realized  
357 harvest amounts and should be carefully defined regarding ecological potentials and economic  
358 implications.

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359 In our simulations, future environmental changes are mostly beneficial for accumulation of  
360 forest biomass, apparent from increasing standing biomass in the RCP harvest scenarios or the

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366 increase of SY harvest rates over the 21<sup>st</sup> century. This is in line with other studies projecting  
367 above-ground forest carbon storage to increase in the future (e.g. Tian et al., 2016). These  
368 effects of environmental changes on forest growth are largely missing in the IAMs providing  
369 the wood harvest scenarios to DGVMs and ESMs. The RCP wood harvest rates are based on  
370 the demand for wood and bioenergy as the main driver of decisions by IAMs on forest harvest.  
371 For example, RCP8.5 applies the forest sector model DIMA (Riahi et al., 2011), which is a  
372 spatial model for simulating forestry processes to meet specific regional demand on wood and  
373 bioenergy. RCP4.5 bases wood harvest rates solely on the price of carbon affected by emissions  
374 and mitigation potentials of forestry and agricultural activities (Hurtt et al., 2011). Finally,  
375 RCP2.6 relies on the forecasted demand on timber and fuelwood from forest resources and  
376 applies a series of forest management rules (plantation, clear cutting, selective logging) to meet  
377 this demand as the only driver of wood harvest rate in the IMAGE model (Stehfest et al., 2014).  
378 IAMs do not account for the fact that the demand side may also be influenced by the availability  
379 of the resource and, accordingly, the increased biomass stocks projected for the future would  
380 likely lead to larger wood harvest rates than IAMs simulate by assuming present-day growth  
381 conditions. The extent to which accounting for environmental changes may influence estimates  
382 of harvestable material (e.g. apparent from comparisons of SY harvest rates under RCP2.6 as  
383 compared to RCP8.5, see Figure 1) highlights the need to include these effects in models, such  
384 as IAMs, that estimate future wood harvest. Our study is limited to considering biomass growth,  
385 albeit in interaction with soil conditions also responding to the altered climate. In reality, harvest  
386 decisions would consider further variables that depend on environmental conditions, such as  
387 the maximum soil expectation value, which are not explicitly simulated neither in our model  
388 nor in IAMs.

**Gelöscht:** cumulatively over the 21<sup>st</sup> century,

**Gelöscht:** amounts

**Gelöscht:** the SY rule are simulated in our study

**Gelöscht:** be about three times higher than under the respective RCP harvest

**Gelöscht:** Table

395 Note that the estimates of SY wood harvest as provided by our model are not meant as plausible  
396 estimates of actual future harvest, which as described before depends not just on resource  
397 availability, but is demand driven by other economic and political considerations, including  
398 considerations e.g. of conservation and accessibility of forests. Also, actual future harvest will  
399 interact with other land-use decisions such as changes in forest cover due to agricultural  
400 expansion, but also afforestation. We have further not accounted for the effects of wood harvest  
401 on biodiversity, forest health, and other ecosystem services. Chaudhary et al. (2015) state that  
402 the effect of forest management on the species richness, for example, highly depends on the  
403 management regime applied. They refer to literature reporting a positive effect of logging  
404 activities on species richness as a result of establishing early successional colonizers.  
405 Additionally, applying selective logging approaches (e.g. future crop trees of targeted species)  
406 for forest management may enhance forest recovery and reduce unintended changes in species  
407 composition (Luciana de Avila et al., 2017). Instead of actual forest harvest that considers all  
408 these aspects in its decision-making, our study provides an estimate of the ecological potentials  
409 for wood harvest. However, the change in resource potentials with climate change forms the  
410 ecological basis for realistic decision-making.

411 There is uncertainty in simulating ecosystem response to environmental changes. Regional  
412 forest inventories show an increase in biomass due to historical environmental changes  
413 (excluding effects of land-use change) (Pan et al., 2011). The largest sinks are found by these  
414 studies to be in the tropical regions, coinciding with our simulated regions of largest potentials  
415 for additional wood harvest. Also the other regions showing larger potential for wood harvest  
416 under SY than RCP, such as North America, Europe, Russia and East Asia, currently exhibit  
417 carbon uptake due to historical environmental changes. This gives some confidence in the

**Gelöscht:** demand driven by other economic and political considerations. Instead, our study provides an estimate of the ecological potentials for wood harvest.

421 robustness of our results for SY harvest, in particular since most models project the carbon sink  
422 in vegetation to continue for the future; however, its magnitude is uncertain (Sitch et al., 2008).  
423 A large source of uncertainty is the strength of the CO<sub>2</sub>-fertilization effect (Hickler et al., 2015;  
424 Kauwe et al., 2013), which reflects in a large spread across models in estimates of global total  
425 (vegetation plus soil) terrestrial carbon stocks (Arora et al., 2013) and of vegetation productivity  
426 (Zaehle et al., 2014). To better assess these effects, we additionally simulated the future SY  
427 harvest amount under present-day climate and CO<sub>2</sub> conditions (see supplementary material  
428 (S1)). This simulation led to a wood harvest biomass larger than that with RCPs harvest rates  
429 and rather constant harvest over time (~3.2 PgC annually, see SI-Figure1). The harvest amount  
430 is equal to RCP8.5 harvest amount at the end of the century in our simulations. Differences  
431 between SY under present-day climate and the SY simulations forced by the different RCPs as  
432 well as differences among the latter illustrate the effects of changes in climate and CO<sub>2</sub>  
433 concentration on forest growth and resulting harvest potentials. The differences in wood harvest  
434 amounts between the SY harvest simulations and those with RCPs prescribed wood harvest  
435 rates in the first simulation year show differences of applying the supply-based SY harvest rule  
436 versus the demand-based RCPs under current environmental conditions. The geographical  
437 allocation of harvest potentials for SY under present-day climate (see S1-Figure 2) resembles  
438 SY under RCPs, however, with lower global harvest amount. That the SY harvest under  
439 present-day climate is higher than the RCP harvest implies that the larger potentials we  
440 simulated under SY as compared to RCP harvest are partly attributable to the harvest simulated  
441 by IAMs not using the full sustained, ecological potential (e.g. due to real-world constraints  
442 such as conservation and accessibility issues discussed above). However, the SY harvest rates  
443 under RCP climate all grow substantially larger than the SY harvest under present-day climate.  
444 This depicts the isolated effect of environmental changes on potential harvesting.

**Gelöscht:** The model we used

446  
447 A further uncertainty in the model we used is that our model did not account for nitrogen, which  
448 may become a limiting factor for the additional uptake of carbon in vegetation, although future  
449 climate change might also lead to higher nutrient availability due to faster decomposition rates  
450 (Friedlingstein and Prentice, 2010). Further, nitrogen deposition may reduce nitrogen limitation  
451 (Churkina et al., 2010), and it is not predictable if artificial fertilization of managed forests may  
452 find wide-spread application in the future. Overall, therefore, quantifications of effects of future  
453 climate change on global carbon stocks derived from individual models have to be treated with  
454 care. However, the location of the largest potentials of SY harvest simulated in our study being  
455 in the tropical forests is consistent with the large carbon sinks derived from inventories for past  
456 environmental change (Pan et al., 2011).  
457 SY harvest was simulated to mitigate 255-380 PgC, depending on the realized RCP, through  
458 wood product usage for the period 2005-2100. Moreover, SY accounted for sustaining the  
459 above-ground wood carbon pool at the reference level of 1996-2005. A comprehensive  
460 mitigation study, however, should take into account the total carbon balance of forest  
461 ecosystems including soil plus litter carbon. Growth enhanced by environmental changes, as  
462 simulated to lead to accumulation of woody biomass in the RCP harvest simulations (Fig. 1a),  
463 may lead to larger input to the soil (if not removed by wood harvest). However, soil carbon  
464 pools respond differently to environmental changes than forest biomass. In particular, soil  
465 carbon models generally assume enhanced soil respiration under higher temperatures  
466 (Friedlingstein et al., 2006), which may substantially offset the additional carbon uptake by the  
467 vegetation (Ciais et al., 2013). As these processes act the same in our simulations of SY and  
468 RCP harvest (as they share the same climate scenarios), effects of environmental changes on  
469 soil carbon will likely not substantially affect our comparison of SY and RCP harvest in relative

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470 terms, but may alter the net carbon balance in each of them. Further, the usage of wood products  
471 implies removal of carbon off-field. This can lead to depletion of soil plus litter carbon stocks.  
472 Observational data generally found small decreases of soil carbon, but substantial reduction of  
473 deadwood material (Erb et al., 2017). Such effects must be expected to be stronger for SY  
474 harvest with its larger harvested amounts than for RCP harvest, reducing on-site carbon stocks,  
475 but consequently also soil respiration. Estimating a mitigation potential based on the net carbon  
476 balance of vegetation, soil plus litter and product pools therefore would depend on the actual  
477 size of soil and vegetation carbon pools and the lifetimes of products relative to the lifetimes of  
478 the on-site carbon, which are further subject to a changing climate. There is not a unique life  
479 time for anthropogenic wood products pools in the literature. Lifetime of construction wood,  
480 for example, spanning from 67 years in Härtl et al. (2017), up to 160-200 years in van Kooten  
481 et al. (2007) are applied in recent studies. Regarding global variation of carbon turnover rate,  
482 Carvalhais et al. (2013) find mean turnover times of 15 and 255 years for carbon residing in  
483 vegetation and soil near to Equator and higher Latitude over 75°, respectively. Regarding the  
484 uncertainty about life time of anthropogenic wood pools, we stay consistent with the applied  
485 figures in FAO statistics (FAOSTAT, 2016) and other land carbon budget studies (Houghton  
486 et al., 1983; McGuire et al., 2001).

487 Despite carbon fluxes being the focus of land use change as mitigation tool (e.g., UNFCC,  
488 2012), forest management may enhance or mitigate climate change by a range of other  
489 mechanisms such as a change in surface albedo (e.g., Rautiainen et al., 2011; Otto et al., 2014)  
490 or turbulent heat fluxes (e.g., Miller et al., 2011). Such biogeophysical effects needed to be  
491 accounted for in a complete assessment of the mitigation potentials, as has been done for global  
492 land cover change (Pongratz et al., 2011) or for forest management on local (Bright et al., 2011)  
493 or regional scale (Naudts et al., 2016). These biogeophysical effects are particularly important

494 for the local climate, [\(Winckler et al., 2017\)](#). In our study, we restrict estimates of mitigation  
495 potential to carbon fluxes only and thus focus on the perspective of mitigating global  
496 greenhouse gas concentrations. This further allows for a direct comparison of the wood harvest  
497 scenarios provided as part of the RCPs.

Gelöscht: .

498 Different from economic models, ESMs do not consider costs associated with early mitigation  
499 measures and thereby implicitly assume a zero social discount rate, meaning that there is no  
500 preference for immediate mitigation. However, the discount rate plays a major role to find  
501 economically the most efficient mitigation action (Stern, 2007), van Kooten et al. (1999)  
502 analyzed the sensitivity of investments for carbon sequestration to discount rate in western  
503 Canada and found that applying zero discount may not provide enough incentive for increasing  
504 carbon storage. However, most forest carbon cost studies are inconsistent in using terms,  
505 geographic scope, assumptions, program definitions, and methods (Richards and Stokes, 2004)  
506 and may not truly assess carbon sequestration potentials of forest ecosystems. Therefore, if  
507 there were a social preference for prompt climate change mitigation, carbon sinks later in the  
508 century should be discounted. [Regarding the discussion on discount rate, Johnston and van](#)  
509 [Kooten \(2015\) argue that applying sufficiently high discount rates in substituting biomass for](#)  
510 [fossil fuels never leads to carbon neutrality.](#)

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## 511 5. Conclusions

512 We recommend that future research on integration of management strategies in DGVMs and  
513 ESMs should regard ecological sustainability as well as socio-economic challenges. In reality  
514 and today, forest management is more of a gamble than a scientific debate (Bellassen and  
515 Luyssaert, 2014) and there is no consensus in applying a certain forest harvest rule (e.g. SY)  
516 among forest owners, decision-makers and local users. The rationale to manage forest resources

Gelöscht: Finally,

519 sustainably and efficiently is generally recognized and implemented (Luckert and Williamson,  
520 2005; Elbakidze et al., 2013). However, the process of forest management decision-making is  
521 based on the past experiences with business-as-usual strategy (BAU). Adaptation to future  
522 environmental change and minimizing the risks associated with climate change impacts is  
523 recently fully integrated in forest research (Lindner et al., 2014), however, remains in  
524 experimental level in implementation (Yousefpour and Hanewinkel, 2015). Mitigation, in turn,  
525 is of public interest and there are some attempts internationally to account for mitigation effects  
526 of forest management in carbon policy. International programs such as the Kyoto protocol  
527 encourage forest managers to store carbon in the forest stocks on the ground applying financial  
528 instruments such as tax reduction and direct purchase of carbon offsets. Therefore, inclusion of  
529 financial aspects in global forest management modelling and decision-making may help to put  
530 scientific results into practice (Hanewinkel et al., 2013). This suggestion is in line with van  
531 Vuuren et al. (2011) about the necessity of strengthening the cooperation between integrated  
532 assessment models (IAMs) and Earth system modelling communities to improve the  
533 understanding of interactions and joint development of environmental and human systems. Our  
534 study of SY is the first implementation to account for the climate-dependence of forest growth  
535 on global scale for harvest potentials. It suggests the importance of considering this dependence:  
536 the sustained-yield approach as applied in this study may realize wood harvest rates twice to  
537 four times as high as those of prescribed wood harvest simulated by IAMs for the RCPs and  
538 may triple the net mitigation effects of wood products. To move from estimates of potentials to  
539 actual harvest rates, climate-dependent forest growth needs to be integrated with socio-  
540 economic factors to fully incorporate economic aspects of forestry practices within a dynamic  
541 forest growth and yield modelling system.

542 Code availability

543 Scripts used in the analysis and other supplementary information that may be useful in  
544 reproducing the authors' work are archived by the Max Planck Institute for Meteorology and  
545 can be obtained by contacting [publications@mpimet.mpg.de](mailto:publications@mpimet.mpg.de).

546 Data availability

547 Primary data are archived by the Max Planck Institute for Meteorology and can be obtained by  
548 contacting [publications@mpimet.mpg.de](mailto:publications@mpimet.mpg.de).

549 Sample availability

550 None

551 Appendices

552 None

553 Supplement link (will be included by Copernicus)

554 Supplementary includes two main files: a word document on the “details on JSBACH, its model  
555 version and the simulation setup” and a zipped excel file as example of how “mitigation  
556 potentials of woody products in their life time”.

557 Team list

558 See authors list

559 Author contribution

560 R.Y. and J.P. initiated the study. R.Y. performed the model simulations. J.N. implemented the  
561 code changes. All authors contributed to analyzing the simulations and writing the manuscript.

562 Competing interests

563 The authors declare that they have no conflict of interest.

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758 Figure's Captions

759 **Figure 1 Development of wood carbon pools (1a) and realized wood harvest (1b) forced**  
760 **by three different RCP scenarios and subject to the harvesting rules of the representative**  
761 **concentration pathways (RCP2.6, RCP4.5 and RCP8.5) and sustained yield (SY2.6,**  
762 **SY4.5, and SY8.5). Lines are smoothed over 10 years.**

763 **Figure 2 Allocation of wood harvest applying sustained yield (three left figures) and**  
764 **representative concentration pathways (three right figures) rules to different forest**  
765 **regions summed over the entire simulated period (2006-2100).**

766 **Figure 3 Composition of sustained-yield (SY) harvest forced by different climate change**  
767 **scenarios (RCP2.6, RCP4.5, and RCP8.5 in figures a, b, and c, respectively).  $dC_w/dt$  refers**  
768 **to the difference in changes in above-ground woody biomass between representative**  
769 **concentration pathways' and SY harvest (where changes in biomass in SY are by construction**  
770 **of the harvest rule close to 0), BGmort refers to the difference in woody carbon losses between**  
771 **RCP and SY harvest due to background mortality, Fire to that due to fire disturbance, and Wind**  
772 **to that due to wind disturbance. SY and RCP harvest are as in Figure 1b.**

773 **Figure 4 Mitigation potentials of simulated wood harvest from sustained yields (SY) (a, b,**  
774 **c) and representative concentration pathways' (RCP) harvest (d, e, f). Left axes show the**  
775 **annual carbon fluxes due to harvested material and product decay changing atmospheric CO<sub>2</sub>**  
776 **concentration, and the mitigation potential of wood products as the difference of both. Right**  
777 **axes accumulates the annual figures over time.**

778

779 **Table 1: JSBACH simulations conducted in this study with the applied harvesting rule**  
780 **and climate and CO<sub>2</sub> forcing.**

Name	Harvest rule	MPI-ESM forcing
SY2.6	SY	RCP2.6
SY4.5	SY	RCP4.5
SY8.5	SY	RCP8.5
RCP2.6	RCP2.6 map	RCP2.6
RCP4.5	RCP4.5 map	RCP4.5
RCP8.5	RCP8.5 map	RCP8.5

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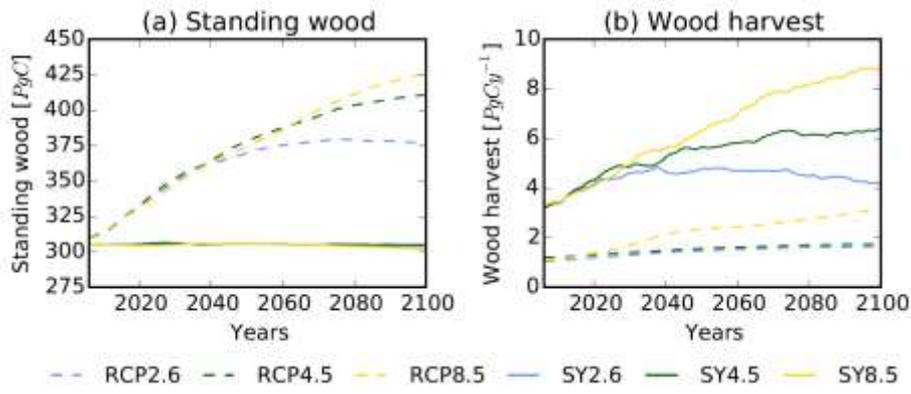
783 **Table 2 Mitigation potentials of SY and RCP harvest at the middle and end of the 21st**  
 784 **century**

Applied harvest rule	Harvested wood (PgC)		Mitigation effect (PgC)	
	2050	2100	2050	2100
RCP2.6	58.1	137.6	38.3	85.1
RCP4.5	62.9	147.2	40.7	90.2
RCP8.5	76.5	211.8	50.6	132.1
SY2.6	192.7	421.3	124.5	255.0
SY4.5	210.0	513.9	136.4	314.7
SY8.5	215.0	609.4	140.6	379.1

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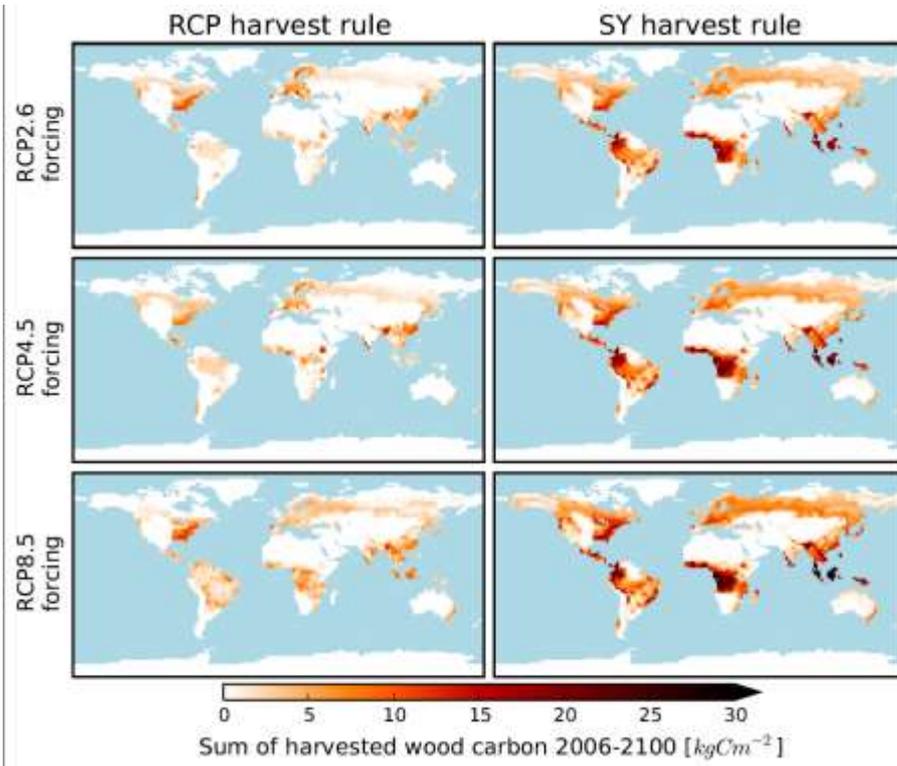
787 **Figure 1**



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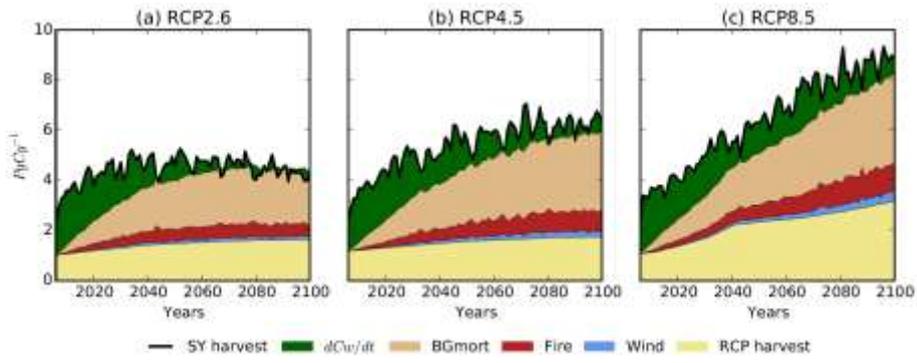
790 **Figure 2**



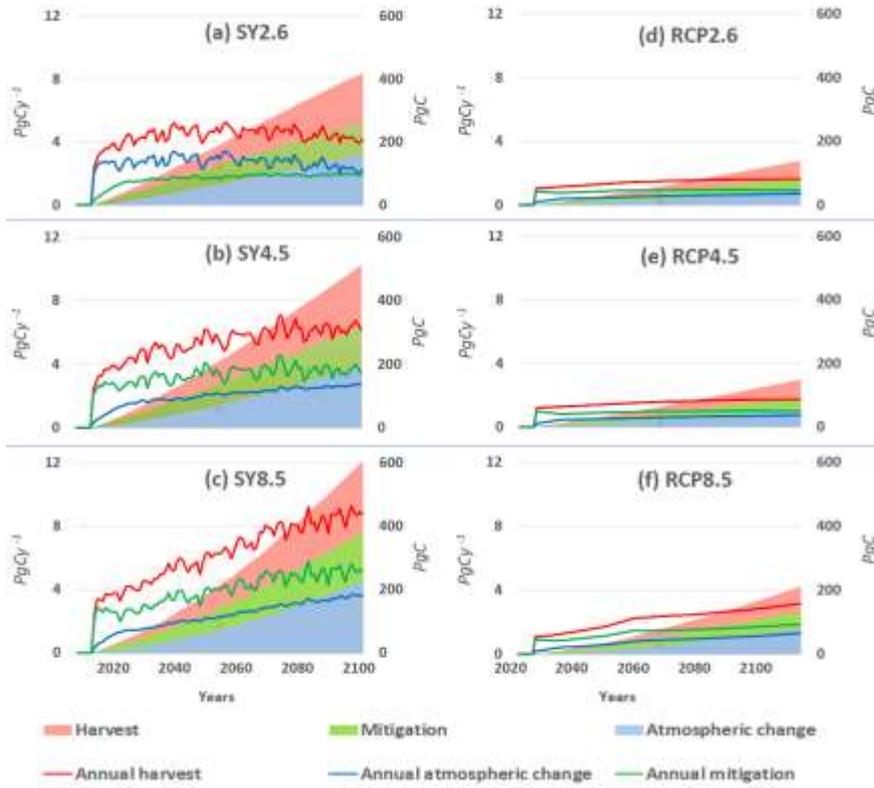
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793 **Figure 3**



796 **Figure 4**



797

## S1: Supplementary material 1

### A1. JSBACH simulations

Simulations were conducted with revision 7277 of *cosmos-landveg-fom*, a svn branch of revision 7215 of *cosmos-landveg*, the JSBACH development branch of the department "The Land in the Earth System" of the Max Planck Institute for Meteorology.

Simulations were executed on the IBM Power 6 machine BLIZZARD at the German Climate Computing Center (DKRZ).

Sustained-yield (SY) forest harvesting is implemented in this model version as described in the methods section of the main text. A modification over earlier JSBACH versions is that wood harvest applies just to harvesting from all woody PFTs and specifically from the above-ground wood carbon pool. To isolate the effects of different wood harvest rules, we do not apply **land-cover** change and dynamic biogeographic vegetation shifts for our future scenarios. We take into account changes in wood carbon pool, natural mortality and forest disturbances to determine the net annual increment of the above-ground wood carbon pool as the maximum amount to be harvested from forest areas.

#### A1.1 Initial state in 2006

All simulations described in the paper started in 2006 from the same initial conditions. These conditions base on a spin-up of the terrestrial system state using the MPI-ESM climate from the historical (1850-2005) CMIP5 experiment (Giorgetta et al., 2013) and land-use change and wood harvest data from Hurtt et al. (2011).

The initial state was derived carrying out three consecutive simulations. (I) An initial simulation with JSBACH to spin-up photosynthesis, phenology, hydrology and running climatic means required by the disturbance module of JSBACH. This simulation was forced by cycling the first

30 years (1850-1879) of the historical CMIP5 experiment for four times. Wood harvest was fixed to the level of the initial year 1850 and no land-use change was applied. (II) A simulation with the stand-alone carbon cycle module of JSBACH to equilibrate the carbon pools with respect to the driving climate. This simulation was forced by NPP, LAI and climatic means, resulting from the preceding JSBACH simulation. (III) A second JSBACH simulation resuming the first JSBACH simulation, but starting from the equilibrated carbon pools. In this second simulation the full transient (1850-2005) climate from the historical CMIP5 experiment was used and land-use change and wood harvest were prescribed according to the data from Hurtt et al. (2011).

#### A1.2 Reference level for SY

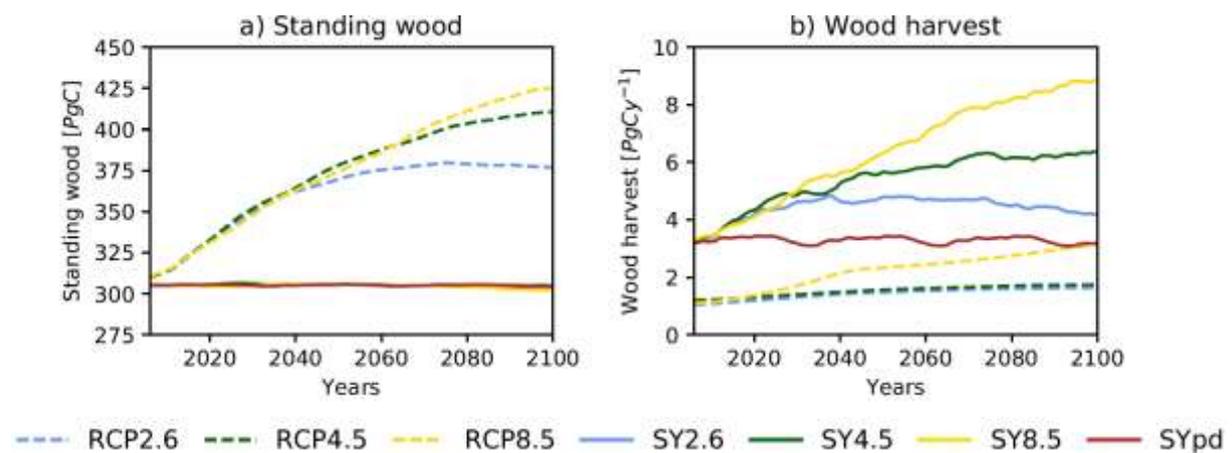
An important decision for our study is the definition of the reference level of the wood carbon pool to be kept constant in the future applying SY. As one of the main goals of our study is to estimate potentials for wood harvesting under future climate scenarios, consistent with the historic past, we refer to the current level of wood carbon pools. The reference level for the SY simulations was therefore derived from the maximum simulated wood carbon per grid-cell and PFT in the period from 1996 to 2005 under the historical JSBACH simulation (see A1.1 simulation III). Because the historical JSBACH simulation was subject to land-use and **land-cover** changes maximum wood carbon densities were used instead of wood carbon stocks.

#### A1.3 Simulation of SY under present-day climate

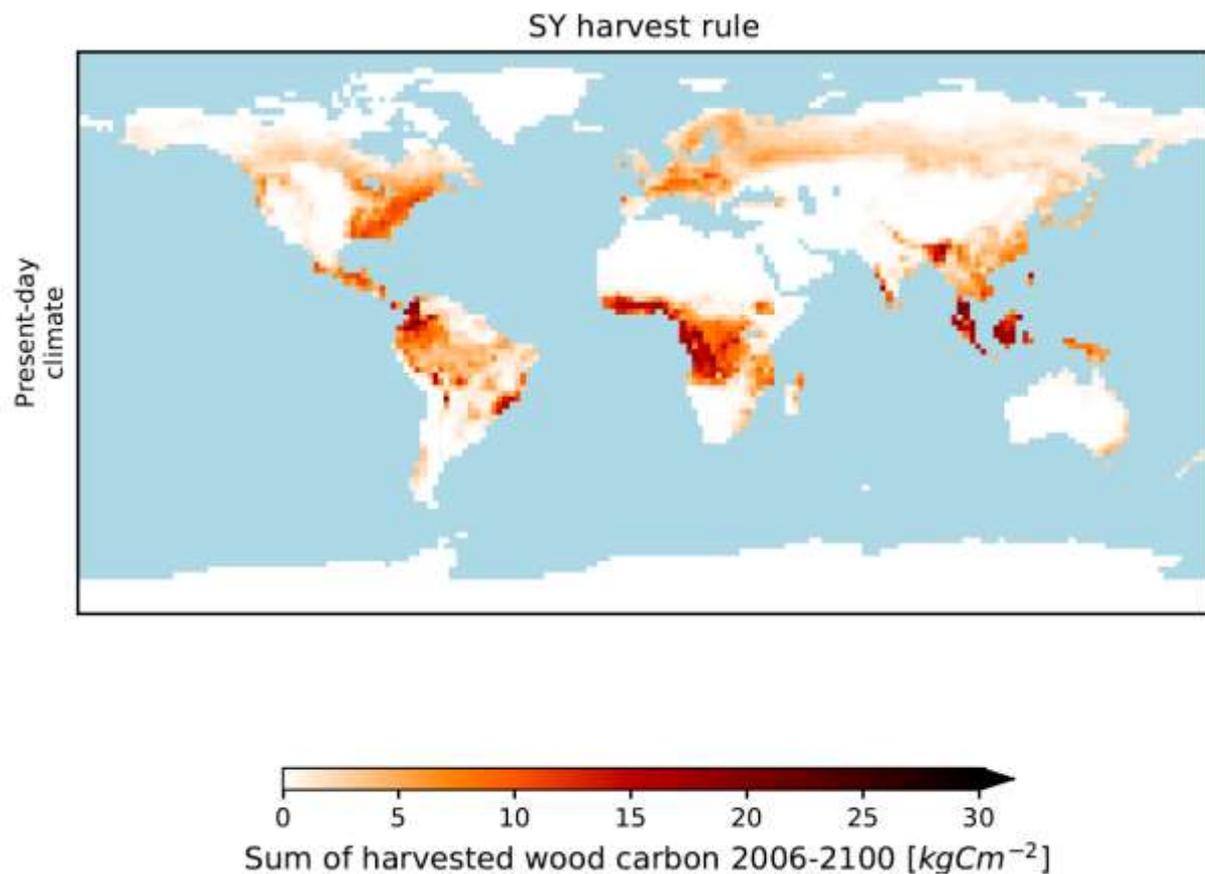
This simulation (SYpd) keeps the current level of wood carbon pools constant as described above in A1.2. However, it is not forced by a transient but a cycled detrended present-day climate of the period 1991-2020 with a constant CO<sub>2</sub> concentration (381 ppm) as the average value of the period (1991-2020). S1-Figure 1 shows the development of wood carbon pool and harvested amount resulting in the simulation SYpd compared to the 6 simulations described in the main text. SYpd realizes a higher wood harvest (+3.2 PgC) than RCPs (~1.2 PgC) at the

beginning of simulations and equals the RCP8.5 wood harvest at the end of century. SYpd diverges from wood harvest amount by SY2.6, SY4.5, and SY8.5 largely towards the end of the century and remains below these figures. The geographical allocation of realized wood harvest amount as shown below in SYpd in S1-Figure 2 resembles largely the other SYs (see Figure 2 in the manuscript), however, the amount of harvested wood is lower.

**S1-Figure 1 Development of wood carbon pools (1a) and realized wood harvest (1b) forced by three different RCP scenarios, a present-day (pd) climate, and subject to the harvesting rules of the representative concentration pathways (RCP2.6, RCP4.5 and RCP8.5) and sustained yield (SY2.6, SY4.5, SY8.5, and SYpd). Lines are smoothed over 10 years.**



**S1-Figure 2 Allocation of wood harvest applying sustained yield under present-day climate to different forest regions summed over the entire simulated period (2006-2100).**



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