



1 **Distribution and drivers of symbiotic and free-living**
2 **diazotrophic cyanobacteria in the Western Tropical South**
3 **Pacific**

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1 **Abstract**

2 The abundance and distribution of cyanobacterial diazotrophs were quantified in two regions
3 (Melanesian archipelago, MA and subtropical gyre, SG) of the Western Tropical South
4 Pacific using *nifH* qPCR assays. UCYN-A1 and A2 host populations were quantified using
5 18S rRNA qPCR assays including one newly developed assay. All phylotypes were detected
6 in the upper photic zone (0-50 m), with higher abundances in the MA region. *Trichodesmium*
7 and UCYN-B dominated, composing 81-100% of *nifH* copies detected. Het-1 was the next
8 most abundant, and co-occurred with het-2 and het-3. The two UCYN-A lineages were least
9 abundant (<1.0-1.5 % of total *nifH* copies) and poorly detected (>47%). Abundance of the
10 UCYN-A hosts mirrored their respective symbionts; UCYN-A1 and A2 however were
11 detected while their respective hosts were below detection, suggesting a lower partner fidelity
12 or free-living life history. Pairwise comparisons of abundance and environmental parameters
13 supported two groups: deep (45 m) comprised of UCYN-A and surface (0-15m) comprised of
14 *Trichodesmium*, het-1 and het-2, while UCYN-B overlapped. Temperature, salinity, and PAR,
15 were positively correlated with the latter abundances except UCYN-A. Similar results were
16 identified in a meta-analysis of 11 external datasets. Combined, our results indicate that
17 conditions favoring the UCYN-A symbiosis differ from those of diatom diazotroph
18 associations and free-living diazotrophs.



1 **1 Introduction**

2 Biological di-nitrogen (N₂) fixation is considered a major source of new nitrogen (N)
3 to oceanic ecosystems (Karl et al., 1997). N₂ fixation is an energetically expensive process,
4 where N₂ gas is reduced to bioavailable ammonia (Howard and Rees, 1996) and is performed
5 by a small but diverse group of bacteria and archaea. The nitrogenase enzyme, which is
6 encoded by a suite of *nif*-genes, mediates N₂ fixation (Jacobson et al., 1989; Young, 2005).
7 Nitrogenase has a high iron (Fe) requirement (Howard and Rees, 1996), and often N₂ fixers,
8 or diazotrophs, are Fe- limited (Kustka et al., 2003; Raven, 1988). Nitrogenase is also
9 sensitive to oxygen (O₂), which has been shown to negatively influence N₂ fixation efficiency
10 (Meyerhof and Burk, 1928; Stewart, 1969). Thus, autotrophic diazotrophs (e.g. cyanobacteria)
11 have evolved strategies, such as temporal and spatial separation of the fixation process, to
12 protect their nitrogenase from O₂ evolution during photosynthesis (Berman-Frank et al., 2001;
13 Haselkorn, 1978; Mitsui et al., 1986). N₂ fixation is widespread and occurs in marine, limnic
14 and terrestrial habitats. In marine ecosystems it mainly occurs in the photic zone, closest to
15 the surface, however, more recently, evidence has shown activity in deeper depths below the
16 photic zone, including oxygen minimum zones (Benavides et al., 2016; Bonnet et al., 2013;
17 Fernandez et al., 2011; Halm et al., 2009; Löscher et al., 2015).

18 N₂ fixation in the photic zone is often attributed to a diverse group of cyanobacteria.
19 Traditionally, marine, photic dwelling diazotrophs are divided into two groups based on cell
20 diameter, e.g. > 10 μm and < 10 μm size fractions. Diatom diazotroph associations (DDAs),
21 symbioses between heterocystous cyanobacteria and a variety of diatom genera and large
22 filamentous non-heterocystous *Trichodesmium* spp., compose the larger size fraction (>10
23 μm). *Trichodesmium* spp. occurs as free filaments or often in two morphologies of colonies:
24 tufts/rafts and puffs. There are three defined lineages of the symbionts of DDAs based on their
25 *nifH* phylogeny: het-1 and het-2 refers to the two the *Richelia intracellularis* lineages which



1 associate with diatom genera, *Rhizosolenia* and *Hemiaulus*, respectively, while the third
2 lineage, het-3, is a symbiosis between the heterocystous *Calothrix rhizosoleniae* and
3 *Chaetoceros compressus* diatoms (Foster et al., 2010; Foster and Zehr, 2006).

4 The unicellular diazotrophic cyanobacterial groups are divided into: UCYN-A,
5 UCYN-B, and UCYN-C groups and are representatives of the <10 µm size fraction. The
6 UCYN-A (*Candidatus Atelocyanobacterium thalassa*) group can be further delineated into 4
7 sub-clades (lineages), two (UCYN-A1, UCYN-A2) are identified as symbiotic with small
8 prymnesiophyte microalgae (reviewed by Farnelid et al., 2016, see references within). The
9 UCYN-B group has its closest cultured relative as *Crocospaera watsonii* and lives freely,
10 colonially, and also in symbiosis with the diatom *Climacodium frauenfeldianum* (Bench et al.,
11 2013; Carpenter and Janson, 2000; Webb et al., 2009; Zehr et al., 2001). Often overlooked, is
12 the observation that UCYN-B, when colonial or symbiotic could also be associated with the >
13 10µm size fraction. Less is known about the UCYN-C, and given that its *nifH* nucleotide
14 sequence is 90% similar (Foster et al., 2007) to *Cyanothece* spp. ATCC51142, it is assumed to
15 be analogous, and thus co-occur with the other < 10 µm size fraction. A diverse group of free-
16 living heterotrophic bacteria (e.g. gamma proteobacteria) (Berthelot et al., 2015; Bombar et
17 al., 2016; Halm et al., 2012; Langlois et al., 2005) and archaea (Zehr et al., 2005) are also
18 within the < 10 µm size fraction.

19 The Tropical South Pacific Ocean (TSP) is considered one of the most oligotrophic
20 regions in the World's oceans (Claustre and Maritorena, 2003) with a widespread N
21 deficiency (Deutsch et al., 2007; Raimbault et al., 2007) and in the central SP gyre, some of
22 the lowest concentrations of dissolved Fe in the world have been reported (Blain et al., 2008).
23 One exception is the Western Tropical South Pacific (WTSP), harboring many islands with Fe
24 rich sediments adding to an island mass effect (Shiozaki et al., 2014) and being influenced by
25 multiple ocean currents, both surface and subsurface, that drive the distribution of dissolved



1 nutrients, micronutrients, and the biota (Fitzsimmons et al., 2014; Gourdeau et al., 2008;
2 Marchesiello and Estrade, 2010; Wells et al., 1999). The structure of these currents also
3 promotes shearing instabilities and strong eddies (Qiu et al., 2009). Moreover, Van Den
4 Broeck et al. (2004) suggested that the WTSP is phosphate limited, while Law et al. (2011)
5 hypothesized that primary production and N₂ fixation in the WTSP follows the seasonality of
6 cyclones, which in their wake, enrich surface waters with phosphate, and fuel primary and
7 new production. An earlier investigation along a transect in the western equatorial Pacific
8 estimated that 74% of the total N₂ fixation could be attributed to the <10 μm size fraction as
9 abundances of unicellular cyanobacteria were high (17 cells mL⁻¹) (Bonnet et al., 2009).
10 However, diazotroph quantification is lacking further South in tropical waters, despite being
11 recently recognized as a hot spot of N₂ fixation, with average rates of ~570 μmol N m⁻² d⁻¹
12 (Bonnet et al., this issue), i.e. in the upper range (100-1000 μmol N m⁻² d⁻¹) of rates gathered
13 in the global N₂ fixation MAREDAT database (Luo et al., 2012).

14 The distribution and activity of diazotrophs in open ocean ecosystems are governed by
15 different ambient environmental factors, including macronutrient availability (Moutin et al.,
16 2008; Sañudo-Wilhelmy et al., 2001) and temperature (Messer et al., 2016; Moisander et al.,
17 2010). There are also simultaneous influences by several factors (i.e. co-limitation of nutrients,
18 Mills et al., 2004). Moreover, most oceanic models of N₂ fixation assume that all diazotrophs
19 are equally controlled by the same environmental parameters (Deutsch et al., 2007; Hood et
20 al., 2004; Landolfi et al., 2015), despite well recognized differences in genetic repertoires for
21 assimilating dissolved nutrient pools (e.g. dissolved organic phosphate, Dyrhman et al., 2006;
22 Dyrhman and Ruttenberg, 2006), life histories (free, symbiotic, colonial), and cell sizes (μm
23 to mm).

24 The primary aim of this study was to quantify diazotroph abundance and distribution in
25 the WTSP with an emphasis on symbiotic N₂-fixing populations; both by 'at sea' and lab



1 based quantitative approaches. For a more comprehensive investigation of the symbiotic
2 diazotrophs we developed a new primer and probe set for quantification of the UCYN-A1
3 host. We also identified key environmental parameters, both biotic and abiotic, which
4 influenced the distribution of diazotrophs in the WTSP and tested the congruency of these
5 parameters in an additional 11 publicly available datasets. We hypothesized that the
6 distribution and the underlying factors of the diazotrophic symbioses should differ due to the
7 major differences in host taxonomy (e.g. diatom vs. prymnesiophyte), size (1-2 μm to 100's
8 μm), and life history (free vs. symbiotic; chain forming). For comparison and for similarly
9 divergent characteristics (symbiotic vs. free; colonial vs. single), several free-living (UCYN-
10 B, *Trichodesmium* spp.) cyanobacterial diazotrophs were also included.

11

12 **2 Materials and Methods**

13 **2.1 Sampling**

14 Sampling was conducted on a transect in the WTSP during austral summer (19 Feb-5 Apr,
15 2015), on board the R/V *L'Atalante* (Fig. 1a). Nucleic acid samples were taken from 18
16 stations: three long duration (LD A, B and C) stations (approximately eight days duration) and
17 15 short duration (SD 1-15) stations (approximately eight hours duration). The cruise transect
18 was divided into two geographic regions (Fig. 1a). The first region (Melanesian archipelago,
19 MA) included SD 1-12, LD A and LD B stations (160° E-178° E and 170°-175° W). The
20 second region (subtropical gyre, SG) included SD 13-15 and LD C stations (160° W-169° W).
21 LD stations were chosen based on hydrographic conditions, satellite imagery, microscopic
22 analyses of $>10 \mu\text{m}$ cyanobacterial diazotrophs and the results of 'at sea' qPCR analyses of
23 four unicellular diazotrophic targets (UCYN-A1, UCYN-A2, UCYN-B and UCYN-C) (see
24 below and Moutin et al., this issue). Seawater (2.5 L) was collected into clean (10% bleach



1 rinsed) 2.75 L polycarbonate bottles from 6-7 discrete depths based on surface incident light
2 intensity (100, 75, 54, 36, 10, 1, and 0.1%) once per station at both SD and LD stations using
3 Niskin bottles (12 L) arranged on a Conductivity Temperature Depth (CTD) rosette.

4 After collection from the CTD rosette, seawater was immediately filtered onto a 0.2 µm
5 pore size Supor filter (Pall Corporation, Pall Norden AB, Lund, Sweden) held within a 25 mm
6 diameter swinnex filter holder (Merck Millipore, Solna, Sweden) using a peristaltic pump
7 (Cole-Parmer, Masterflex, Easy-load II, USA). The filters were placed in pre-sterilized bead
8 beater tubes (Biospec Bartlesville, OK, USA) containing 30 µL of 0.1 mm and 0.5 mm glass
9 bead mixture, flash frozen in liquid nitrogen and archived at -80 °C. Four additional DNA
10 samples were collected from 4 discrete depths, (75, 50, 36, 10 % light), at 11 of the 18
11 stations, for the ‘at sea’ qPCR (see below) and filtered as described above.

12 **2.2 Nutrient analyses**

13 Seawater for nutrient analyses was collected from each station using the CTD rosette at the
14 same depths as those collected for the nucleic acids. Seawater for inorganic nutrient analysis
15 were collected in 20 mL high-density polyethylene HCL-rinsed bottles and poisoned with
16 HgCl₂ to a final concentration of 20 µg L⁻¹ and stored at 4°C until analysis. Dissolved nitrate
17 and nitrite (NO₃⁻+NO₂⁻, DIN), phosphate (PO₄³⁻, DIP) and silicate (Si (OH)₄, DiSi)
18 concentrations were determined by standard colorimetric techniques using a segmented flow
19 analyzer according to Aminot and K  rouel (2007) on a SEAL Analytical AA3 HR system
20 (SEAL Analytica, Serblabo Technologies, Entraigues Sur La Sorgue, France). Quantification
21 limits for nitrate, phosphate and silicate were all 0.05 µmol L⁻¹.

22 *Cell abundances and microscopy observations.* At the LD stations, 5 L of seawater was
23 collected at the same depths and parallel with the nucleic acid samples from the CTD-rosette.
24 Two sets of samples, one set each day, were taken on two different days (day 1 and 3 at each



1 LD station) and immediately filtered onto a 47 mm diameter Poretics (millipore) membrane
2 filter with a pore size of 5 μm using a peristaltic pump.

3 At the SD stations, the same collection was implemented, however a 25 mm diameter Poretics
4 membrane filter was used. The high densities of cells on the latter made it impossible to
5 properly enumerate the various cyanobacterial diazotrophs and as such these samples were
6 used only for qualitative observations (see below). Immediately after filtration, samples were
7 fixed in 1 % paraformaldehyde (v/v) for 30 min prior to storing at $-20\text{ }^{\circ}\text{C}$. For enumeration,
8 the filter was mounted on a glass slide and examined at under an Olympus BX60 microscope
9 equipped with a filter for blue (460-490 nm) and green (545-580 nm) excitation wavelengths.
10 Three areas (area = 0.94 mm^2) per filter were counted separately and values were averaged.
11 When abundances were low, the entire filter (area = 1734 mm^2) was observed and cells
12 enumerated. Due to weak fluorescence, only *Trichodesmium* colonies and free-filaments
13 could be accurately estimated by microscopy and in addition, the larger cell diameter
14 *Trichodesmium*, hereafter referred to as *Katagnemene pelagicum*, was enumerated separately
15 as these were often present albeit at lower cell densities. Other cyanobacterial diazotrophs, e.g
16 *C. watsonii*-like, *C. rhizosoleniae* (het-3) and *R. intracellularis* (het-1, het-2) were also
17 present on the larger 47 mm diameter samples, however fluorescence was weak and therefore
18 difficult to enumerate. Pico-eukaryote populations, identified as round 1-3 μm diameter cells,
19 with red excitation under the blue filter set, were also observed. For the latter populations,
20 qualitative observations of presence and some details on cell integrity (e.g. fluorescence,
21 frustule, free-living or symbiotic form) are included.

22 **2.3 DNA extraction**

23 The DNA from the 120 archived samples was extracted as described in Moisander et al.
24 (2008), with a 30 second reduction in the agitation step in a Fast Prep cell disrupter (Thermo,
25 Model FP120; Qbiogene, Inc. Cedex, France) and an elution volume of 70 μL . The nucleic



1 acid samples collected for the ‘at sea’ qPCR were extracted immediately after filtration using
2 a modified version of the DNeasy plant kit (Qiagen) total DNA extraction protocol. The
3 modifications were an initial 2-minute agitation step using a bead beater (Biospec
4 MiniBeadBeater-16, Model 607EUR; Biospec) and final elution volume was 25 µl.

5 **2.4 Oligonucleotide design**

6 A new primer and probe set was designed to amplify the UCYN-A1 host and was based on
7 published 18S rRNA sequence (accession number JX291893) reported from N. Pacific gyre
8 (station ALOHA) (Thompson et al., 2012). The design utilized the same 96 bp target region of
9 the 18S rRNA used to amplify UCYN-A2 hosts described in Thompson et al. 2014 (Suppl.
10 Table 1). The primers and probe for the UCYN-A1 host 18S rRNA gene assay are as follows:
11 Forward, 5’ AGGTTTGCCGGTCTGCCGAT-3’; Reverse, 5’
12 GAGCGGGTGTCCGAGACGGAT-3’; Probe, 5’-FAM-CTGGTAGAACTGTCCT-
13 TAMRA-3’. The forward, reverse and probe contain 2-4, 1, and 5 mismatches, respectively,
14 to UCYN-A2 host sequences (accession number KF771248-KF771254) and the following
15 closely related sequences (98-100%): uncultured eukaryote clones (station ALHOA:
16 EU50069; Cariaco Basin: GU824119) *Chrysochromulina parkeae*: AM490994),
17 *Braarudospaera bigelowii* TP056a: AB250784 *B. bigelowii* Furue-15: AB478413; *B.*
18 *bigelowii* Funahama T3: AB478413; *B. bigelowii* Yastushiro-1 AB478414. The UCYN-A1
19 oligonucleotides specificity was tested *de nova* against the following closely related sequences
20 derived from uncultured eukaryotic clonal sequences (accession numbers: EU500067-68;
21 FJ537341; EU500138-39; EF695227; EU500141; EU499958; EF695229; EF695220). Only
22 one mismatch was found in the forward probe for one sequence (EU500138). Finally, a cross
23 reactivity test between the newly designed UCYN-A1 host oligonucleotides and a dilution
24 series of the UCYN-A2 host template was run (see below).



1 **2.5 Quantitative PCR**

2 Abundances of selected diazotrophs *nifH* gene copies (UCYN-A1, UCYN-A2, UCYN-B,
3 UCYN-C, het-1, het-2, het-3 and *Trichodesmium* spp.) and the 18S rRNA of UCYN-A1 and
4 A2 hosts were performed using previously published oligonucleotides and TaqMAN assays
5 (Church et al., 2005; Foster et al., 2007; Moisander et al., 2010; Thompson et al., 2014) and
6 the newly designed UCYN-A1 host oligonucleotides (Suppl. Table 1). The qPCRs were
7 conducted in a StepOnePlus system (Applied Biosystems, Life Technologies, Stockholm
8 Sweden) in fast (>40 min) mode with the following parameters: 95 °C for 20 s, followed by
9 45 cycles of 95 °C for 1 s and 60 °C for 20 s.

10 Cross reactivity tests were run on two of the heterocystous symbiont (het-1 and het-2)
11 oligonucleotides, the UCYN-A1 and UCYN-A2 oligonucleotides, and the newly designed
12 UCYN-A1 host oligonucleotides and UCYN-A2 host primer and probe set. The standard
13 curve for a particular target was run in reactions with the other primers and probe sets. For
14 example, the UCYN-A1 TaqMAN host primers and probes were run in reactions with UCYN-
15 A2 template DNA. The cross reactivity for the het-1 and het-2 primer and probe sets has been
16 previously reported (Foster et al. 2007), however only when the assay is run in standard mode.
17 Standard mode runs the holding, denaturation and annealing stages at the following longer
18 intervals than in Fast mode: 11 min and 40 s, 14 s, and 40 s, respectively. Hence, we tested the
19 cross-reactivity for the het primers and probes when run in fast mode, as the fast mode was
20 used in our study. Similarly, the cross-reactivity between UCYN-A1 and UCYN-A2 were
21 tested in fast mode at two annealing temperatures 60 °C and 64 °C; 64 °C is the recommended
22 annealing temperature for the UCYN-A2 assay (Thompson et al. 2014).

23 Reaction volume was 20 µL in all qPCRs and consisted of 10 µL of 2X TaqMan fast buffer
24 (Applied Biosystems, 5.5 µL of nuclease free water (Sigma Aldrich Sweden AB, Stockholm
25 Sweden), 1 µL each of the forward and reverse primers (10 µM), 0.5 µL of fluorogenic probe



1 (10 μ M) and 2 μ L of DNA extract. For standard mode runs, the latter master mix was
2 identical with the exception of replacing the fast 2X buffer with the standard 2X buffer. For
3 reactions quantifying *Trichodesmium* spp. *nifH* copies, SD 9 was excluded and 1 μ L of DNA
4 template was used for the remaining stations due to low template volume, and total reaction
5 volume was adjusted by addition of 1 μ L of nuclease free water. Reactions were performed in
6 duplicates for the ‘at sea’ qPCR and in triplicates for the archived samples and lab based
7 qPCR. For the ‘at sea’ qPCR, only four targets (UCYN-A1, UCYN-A2, UCYN-B, and
8 UCYN-C) were quantified and only at the SD stations. No assays were processed at SD 5-6,
9 10-12, and 14 for the ‘at sea’ qPCR. Two μ L of nuclease free water was used as template in
10 no template controls (NTCs); no *nifH* copies were detected in the NTCs.

11 Gene copy abundance was calculated from the mean Ct value of the 3 replicates and the
12 standard curve for the appropriate oligonucleotides in the lab based qPCRs. For the ‘at sea’
13 qPCR, a mean Ct value of 2 replicates was used to maximize the number of samples run on
14 one amplification plate (96 well). In samples where 1 or 2 out of 3 replicates produced an
15 amplification, signals were noted as detectable, but not quantifiable (dnq) and no
16 amplification was noted as below detection (bd).

17 **2.6 Standard curves and PCR efficiency**

18 Standard curves were plotted and analyzed in Excel for each target based on the qPCR cycle
19 threshold (Ct) values from known dilutions of synthesized target gene fragments (gBlocks®;
20 Integrated DNA Technologies, Leuven Belgium) (359 bp *nifH* and 733 bp 18S rRNA for
21 UCYN-A hosts). Tenfold dilutions were made starting with 10^8 to 10^1 gene copies L^{-1} . The
22 PCR efficiency was determined as previously described (Short et al., 2004) for 12 samples run
23 on the het-1, het-2, and het-3 primers and probe tests. The qPCR efficiency ranged from 90-
24 99 % with an average of 94 % efficiency for the diazotroph targets het-1, het-2 and het-3.



1 2.7 Statistics and data analysis

2 Skewness and normal distribution tests by descriptive statistics was performed in IBM SPSS
3 (ver. 23) on the following parameters recorded during sample collection in the WTSP from
4 the CTD package: depth (m), oxygen (ml L^{-1}), temperature ($^{\circ}\text{C}$), chlorophyll fluorescence (μg
5 L^{-1}), photosynthetically active radiation (PAR; $\mu\text{mol photons m}^{-2} \text{s}^{-1}$), salinity (PSU), and gene
6 copy abundances determined by qPCR. Significant skew was noted when skewness, divided
7 by its standard deviation, exceeded 1.95. All but three targets (het-1, UCYN-B and
8 *Trichodesmium* spp.) and three environmental parameters (temperature, salinity and oxygen)
9 were significantly skewed (not normally distributed) even after LOG10 transformation.
10 Therefore a non-parametric Spearman's rank correlation was conducted to test possible
11 correlations between the targets and environmental parameters, where we assume that the het
12 groups and UCYN-A clade is symbiotic, while UCYN-B is free living. The resulting
13 correlation matrices were visualized in the form of a heat map of hierarchical clustering in R
14 (ver. 3.2.2) using packages 'hmisc' and 'gplots'. Multivariate statistics by redundancy
15 analysis (RDA) was conducted using the R package 'vegan'. T-tests, in IBM SPSS (ver. 23)
16 were performed to characterize the different regions along the cruise transect based on
17 environmental parameters, including nutrients, measured between stations and was reported as
18 mean concentrations. For meta-analysis on the external dataset from 11 publically available
19 datasets, sampled in the Atlantic, Pacific and South China Sea, data was acquired from the
20 PANGAEA database and previous publications (Benavides et al., 2016; Bombar et al., 2011;
21 Church et al., 2005, 2008, Foster et al., 2007, 2009; Goebel et al., 2010; Kong et al., 2011;
22 Langlois et al., 2008; Moisaner et al., 2008, 2010). We included only datasets with a
23 minimum of 10 datapoints on the previously mentioned diazotrophic targets. Note that in all
24 datasets the two UCYN-A phylotypes (A1 and A2) were not distinguished, and het-3 was
25 excluded since it was rarely quantified. The meta-analysis was conducted using the software



1 OpenMEE (based on R package ‘metafor’), where correlation coefficients from Spearman’s
2 rank were z-transformed (Fisher’s) and tested using weighted random effect models.
3 Graphical visualization of the mean abundances of the most numerous diazotrophs across the
4 cruise transect was also performed in IBM SPSS (ver. 23).

5 **3 Results**

6 **3.1 Hydrographic conditions**

7 Near surface (0-5m) DIN concentrations were below the quantification limit (bq) in both the
8 MA and SG regions, while the mean surface DIP and DiSi concentrations were below the
9 quantification limit or low across all stations in the MA (bq-0.08 μM and 0.54-0.56 μM ,
10 respectively) and significantly ($p < 0.001$; t-test) higher ($0.18 \pm 0.07 \mu\text{M}$ and $0.79 \pm 0.04 \mu\text{M}$,
11 respectively) at the stations in the SG (Table 1). The upper 25-30 m of depth had stable
12 temperatures of 29-30 °C. The depth of the deep chlorophyll maximum (DCM) was between
13 70-165 m, except for LD B (DCM at 35 m), which was sampled during a degrading surface
14 phytoplankton bloom, and a 30-day composite of the surface chlorophyll *a* (Chl *a*) confirmed
15 the decreasing level of surface fluorescence measured by the CTD package at LD B (data not
16 shown).

17 **3.2 Comparison of ‘at sea’ and lab-based qPCR**

18 In order expedite the sample processing for the ‘at sea’ qPCR, a shortened and modified DNA
19 extraction protocol was performed, 4 depths were sampled, and 4 targets run (UCYN groups).
20 In total, 44 samples can be compared with results from the parallel archive samples and we
21 considered only when there was at least one order of magnitude difference in detection. A
22 summary of the comparison, including the difference in *nifH* copy abundance is provided in
23 Suppl. Table 2.



1 In general, the ‘at sea’ and lab based qPCR were similar in quantifying the targets.
2 Discrepancies were noted in 7, 8 and 11 samples, which had higher detection in the ‘at sea’
3 analyses for UCYN-A1, UCYN-A2 and UCYN-B, respectively. There were fewer instances
4 (3, 4, and 5, respectively) of samples processed in the lab with the full extraction that had
5 higher abundances for the UCYN-A1, UCYN-A2 and UCYN-B, respectively.

6 *Horizontal and vertical distributions.* *Trichodesmium* and UCYN-B were the most abundant
7 diazotrophs and abundances ranged 10^4 - 10^6 *nifH* copies L^{-1} at multiple depths (4-6 depths) in
8 the upper water column (0-35 m) (Fig. 1-2; Suppl. Tables 3). *Trichodesmium* represented 80-
9 99% of total *nifH* genes detected at 9 out of 17 stations with highest detection in the MA and
10 low to undetected in the SG. Microscopy observations and abundances of *Trichodesmium* spp.
11 confirmed a high abundance of free filaments of *Trichodesmium* and *C. watsonii*-like cells at
12 LD B, while colonies were in general rarely observed (Suppl. Table 5).

13 At stations where *Trichodesmium* was not the most abundant diazotroph (e.g. SD 2, 6,
14 7, 14, 15, and LD C), UCYN-B had the highest depth integrated *nifH* copy abundance.
15 UCYN-B was also the most consistently detected diazotroph, and was quantifiable from all
16 stations sampled accounting for for 81-100% of the total detected *nifH* gene copies in the SG.
17 There was also a depth dependency for maximum abundance such that the average depth
18 maximas of *Trichodesmium* and UCYN-B at the stations in the MA were 10 and 25 m,
19 respectively. In the SG, the average depth maximum was the same for UCYN-B (25 m), while
20 the average depth of the *Trichodesmium* maximum deepened to 31m.

21 Of the three heterocystous cyanobacterial symbiont lineages (het-1, het-2, het-3), het-1
22 was the most dominant (60% detection in total samples, 72 of 120 samples), and similar to
23 *Trichodesmium*, had higher detection in the stations of the MA region. For example, at
24 stations SD 2, 4 and 9, het-1 represented 10-15% of the total *nifH* genes quantified in the
25 depth profiles, but in the total *nifH* genes quantified across the entire transect, het-1 only



1 represented 1.5 %. Abundances for het-1 ranged between 10^3 - 10^5 *nifH* copies L^{-1} (15 of the 18
2 stations) at multiple depths (0-90 m) and the average depth maximum at MA stations was
3 closer to the surface (15 m) compared to the SG stations (60 m) (Fig. 1; Suppl. Table 3). Het-
4 2 and het-3 co-occurred with het-1, however at lower abundances (10^2 - 10^4 *nifH* copies L^{-1})
5 and unlike het-1, were bd at all depths sampled in 1 and 3 stations, respectively, located in the
6 SG. The average depth of maximum abundance (17 m) for het-2 was similar to het-1 (15 m),
7 while het-3 was deeper at 33 m (considering only the MA stations). Microscopy observations
8 confirmed the presence of *R. intracellularis* at 5 SD stations of the MA and LD B and absence
9 at the SD stations and LD C of the SG. Noticeable was the co-occurrence of free filaments of
10 *R. intracellularis* and degrading diatom cells (mainly belonging to the genus *Rhizosolenia*),
11 especially at the SD 5, 6 and 7.

12 The unicellular symbiotic groups, UCYN-A1 and A2 (and their respective hosts), were
13 the least detected targets. For example, UCYN-A1 was bd in 53% (63 of 120 samples) and
14 UCYN-A2 was bd in 66% (79 of 120 samples) of samples. UCYN-A1 and A2 represented <
15 0.4 % of total *nifH* genes detected and UCYN-A symbionts were bd in the SG, except at LD
16 C. When detected, average *nifH* abundance for UCYN-A1 and A2 were 8.60×10^4 and $4.60 \times$
17 10^4 *nifH* copies L^{-1} , respectively, and usually accounted for <1.0-1.5 % of the total *nifH*
18 copies enumerated per station. One exception was at LD C in the SG, when UCYN-A1 and
19 A2 accounted for 4 and 11%, respectively, of the total *nifH* gene copies, and were the second
20 most abundant diazotroph (3.19×10^4 and 8.53×10^4 *nifH* copies L^{-1}). The average depth of
21 maximum *nifH* abundance for the UCYN-A1 and A2 symbionts was consistently recorded at
22 deeper depths (e.g. 55 and 58 m, respectively; 10 % light level).

23 The detection of the UCYN-A1 and A2 hosts mirrored the detection of their respective
24 symbionts. However, in 22 and 15 samples, respectively, the UCYN-A1 and A2 symbionts
25 were quantified while their hosts were bd. The UCYN-A hosts were never detected in samples



1 where their respective symbionts were bd or dnq. When both UCYN-A host and symbiont
2 were present, the abundances of the hosts were always one order of magnitude less than their
3 respective symbionts, with the exception of two samples for UCYN-A1 symbionts where their
4 respective host abundances were half, or nearly equal in abundance. UCYN-C was the least
5 abundant unicellular diazotroph and was only quantified in the ‘at-sea’ qPCR where detection
6 was poor and limited to the MA region (3 of 11 stations: 1-3 of 4 depths sampled) and
7 abundances never exceeded 10^2 *nifH* copies L^{-1} (Suppl. Table 3).

8 3.3 Diazotroph and UCYN-A host covariation

9 Several significant correlations between the target diazotrophs and hosts were identified (Fig.
10 3; Suppl. Table 4a). The *nifH* gene copy abundances of *Trichodesmium* and UCYN-B were
11 significantly positively correlated with each other ($p < 0.01$). In addition, UCYN-B *nifH* gene
12 copy abundance was significantly positively correlated with those of both UCYN-A
13 symbionts (A1 and A2; $p < 0.01$) and UCYN-A2 host abundance ($p < 0.05$). Abundances of
14 UCYN-A1 and A2 were significantly positively correlated with each other, and in addition,
15 with their respective host abundances ($p < 0.01$). Lastly, the *nifH* copy abundances for het-1,
16 het-2 and het-3 were significantly positively correlated with one another, and with the *nifH*
17 copy abundances of *Trichodesmium* and UCYN-B ($p < 0.01$). The only correlations that were
18 not significant were between the UCYN-A (including their hosts) and *Trichodesmium* and the
19 het-groups (with the exception of het-3, which correlated with the UCYN-A2 host ($p < 0.05$)).

20 3.4 Influence of environmental conditions on diazotroph and UCYN-A host abundances 21 in the WTSP

22 The abundances of UCYN-A1 and A2 were significantly positively correlated with salinity
23 and depth ($p < 0.02$ and $p < 0.03$, respectively) (Fig. 3; Suppl. Table 4b). However, all other
24 diazotrophs were significantly negatively correlated with salinity and depth ($p < 0.01$).
25 Moreover, *Trichodesmium*, UCYN-B, and the het-group (except het-3) were significantly



1 positively correlated ($p < 0.01$) with PAR and temperature while UCYN-A1 and A2 were
2 significantly negatively correlated ($p < 0.02$) with the latter parameters. All diazotrophic
3 targets, except UCYN-A1, UCYN-A2, and het-3, were significantly negatively correlated
4 ($p < 0.02$) with DIN concentration. Similarly, all diazotrophs, except UCYN-A2, were
5 significantly negatively correlated ($p < 0.02$) with DIP concentration, and all diazotrophs
6 except UCYN-A1, A2 and het-3 were significantly negatively correlated ($p < 0.001$) with DiSi
7 concentration. The abundances of UCYN-A hosts, UCYN-A1 and UCYN-A2, and UCYN-B
8 were significantly correlated ($p < 0.001$ and < 0.05) with dissolved oxygen. In general, the
9 correlations between abundances and several hydrographic parameters divided the diazotrophs
10 into two groups: the UCYN-A symbionts (and respective hosts) and all other diazotrophs.

11 Hierarchical clustering based on the Spearman's rank analyses resulted in the two major
12 groups: (1) a shallow and (2) deeper euphotic zone, inferred from the negative and positive
13 correlations, respectively, with depth (Fig. 3). For example, *Trichodesmium* and the symbiotic
14 het-1 and het-2 lineages characterize an upper water column group 1 with significant
15 clustering and positive correlations with temperature ($p < 0.001$) and PAR ($p < 0.003$), while
16 only UCYN-A1 and A2 symbionts and their respective hosts represent group 2. UCYN-B was
17 unique in an overlapping distribution, and resulted in positive significant correlations with
18 both the shallow (group 1) and deep (group 2) euphotic zone diazotrophs (e.g.
19 *Trichodesmium*, $p < 0.001$ and UCYN-A1, $p < 0.004$, respectively). The deeper dwelling group
20 2 significantly clustered and correlated positively with oxygen, depth, salinity and
21 fluorescence ($p < 0.03$, except for UCYN-A2 and fluorescence, $p < 0.053$). Despite clustering
22 with group 1, het-3 was less robust in a negative correlation with salinity ($p < 0.01$).

23 The results from the Spearman's rank correlations were further confirmed and
24 visualized in the RDA biplot (Fig. 4a), which explains parameter importance (Fig. 4b).
25 Correlations with nutrients and PAR were omitted due to the limited number of data points.



1 Fluorescence, depth and salinity correlated positively with each other and negatively with
2 temperature, while oxygen was uncorrelated with all other environmental parameters. The
3 response variables UCYN-A1 and A2 and their respective hosts clustered with the
4 explanatory variables: fluorescence, salinity and depth, with a dependency towards oxygen.
5 On the other hand, the shallower euphotic group 1 (response variables *Trichodesmium*, het-1
6 and het-2) clustered closer to explanatory variable temperature. In addition, most of the
7 observed variance is explained by the two axes RDA1 (72 %) and RDA2 (22 %), indicative of
8 depth and temperature, respectively, as the most important environmental parameters for
9 diazotroph abundance in our study. Together they form a depth-temperature gradient (RDA1)
10 where *Trichodesmium* occupies the warmest and shallowest waters, and UCYN-A occupies
11 the coldest and deepest waters, among the investigated cyanobacterial diazotrophs.

12 **3.5 Global drivers of diazotrophic abundance**

13 We found consistency between our results in the WTSP and the correlations identified in the
14 11 external datasets by the non-parametric correlation analyses and meta-analyses (Suppl.
15 Table 6). For example, in three of the external datasets, abundances of *Trichodesmium* spp.,
16 UCYN-B, and het-1, were significantly positively correlated with temperature and negatively
17 correlated with the same three parameters as in our study in the WTSP: salinity, DIP, and
18 DIN. The latter correlations were identified in two regions of the WTSP (tropical and
19 subtropical) and in the northern South China Sea (NSCS). In contrast to a significant positive
20 correlation between UCYN-A abundance and depth reported here in the WTSP, UCYN-A
21 abundance was negatively correlated with depth in 4 of the 11 external datasets (two regions
22 of the WTSP, Tropical Atlantic (TA), and NSCS). Moreover, and consistent with several of
23 the other diazotrophs (*Trichodesmium*, UCYN-B, het-1), UCYN-A abundance was negatively
24 correlated with DIP and DIN concentrations (5 and 3 additional external datasets,
25 respectively) (Suppl. Table 6).



1 Meta-analysis revealed similar groupings (e.g. shallow and deep) as observed in the
2 WTSP, however, the significance was less robust (Suppl. Table 6). For example abundances
3 of *Trichodesmium* and het-1 and het-2 were significantly positively correlated with
4 temperature and negatively correlated with salinity ($p < 0.05$). No significance was found for
5 UCYN-A abundance for the latter parameters, and UCYN-B abundance was un-correlated
6 with salinity and significantly positively correlated with temperature ($p < 0.05$). In addition,
7 UCYN-A was the only diazotroph that was uncorrelated with het-2, while all other
8 diazotrophs had a significant positive correlation with het-2 ($p < 0.05$). Similar to our findings
9 reported for the WTSP, all diazotrophs, except UCYN-A, correlated significantly negatively
10 with depth, DIP and DIN concentrations ($p < 0.05$) (except het-2 with DIP which was not
11 significant). Finally, UCYN-B and het-1 abundances were significantly negatively correlated
12 with chl *a* ($p < 0.05$), while *Trichodesmium*, UCYN-A and het-2 were uncorrelated.

13 **3.6 Cross reactivity tests**

14 No amplification was detected for the newly designed UCYN-A1 host oligonucleotides run
15 with the UCYN-A2 as template DNA and vice versa (Suppl. Fig. 1a).

16 Running the het assay in fast mode showed a lower cross-reactivity between the het-1 assay
17 and the het-2 template than vice versa (the het-2 assay and het-1 template) (Suppl. Fig. 1b). In
18 fact, no amplification was detected in the last two template additions and the Ct differences
19 were > 9 when het-1 assay was run with het-2 templates. The UCYN-A2 assay detected the
20 UCYN-A1 template in all but the last template addition and with Ct differences > 3 (1 order of
21 magnitude) while there was a 18-20 difference in Ct value (less gene copies) when UCYN-A1
22 assay was run in fast mode with UCYN-A2 templates at either annealing temperature (60°C
23 or 64°C) and only the first three template additions (10^8 - 10^6 *nifH* copies μL^{-1}) were detected
24 (Suppl. Fig. 1c-d).



1 **4 Discussion**

2 **4.1 Environmental conditions in the WTSP**

3 The SP is one of the most oligotrophic regions of the world's oceans with chronically low
4 dissolved nutrient concentrations, especially DIN, and thus, is considered an area primed for
5 N₂ fixation. Likewise, we encountered surface hydrographic conditions in the WTSP that
6 were consistently low in dissolved nutrient concentrations and similar to earlier reports for the
7 equatorial Pacific (Bonnet et al., 2009; Dufour et al., 1999; Moutin et al., 2008; Van Den
8 Broeck et al., 2004). The conservative tracers of temperature and salinity remained constant in
9 the surface between the MA and SG regions, hence the elevated nutrient concentrations in the
10 SG is likely not related to an eddy intrusion. The deviation away from a 16:1 relationship
11 (Redfield ratio) (data not shown) in the upper 125 m in both regions (MA and SG) was
12 indicative of DIN limitation. The low DIP concentrations in MA waters suggest utilization of
13 DIP by diazotrophs in the absence of DIN, and likely other sources of nitrogen were available,
14 e.g. dissolved organic nitrogen or N₂ fixation (Karl et al., 2001).

15 **4.2 Detection of diazotrophs and application of 'at sea' qPCR**

16 *Trichodesmium*, UCYN-B, and the het-groups are easily identifiable by standard epi-
17 fluorescence microscopy, and so these populations can readily be observed 'at sea'. However,
18 the UCYN-A1 and UCYN-A2, and their respective hosts, require a lengthy fluorescent *in situ*
19 hybridization (FISH) protocol that is difficult to implement in the field. On the other hand,
20 nowadays oceanographers have a suite of other molecular genetic tools, some of which are
21 also 'sea-going' and autonomous (e.g. Robidart et al. 2014; Ottesen et al. 2013; Preston et al.
22 2011), thereby making quantification of microscopically unidentified microorganisms
23 tangible by quantifying their genes, simultaneous with collection of hydrographic data. Here,
24 we showed a rather efficient, steadfast (within 3 hrs of sample collection), and 'sea-going'
25 nucleic acid extraction and qPCR to quantify diazotrophs by their *nifH* gene, which was used



1 in real time during the OUTPACE cruise to help locate the LD stations for the purpose of the
2 project (see Moutin et al., this issue). The comparisons of the ‘at sea’ assays to the lab-based
3 full extraction protocol and qPCR on archived samples indicated that the assays were
4 consistent, and surprisingly the shortened DNA extraction performed ‘at sea’ had higher
5 abundances for all three targets (UCYN-A1, UCYN-A2 and UCYN-B) in 16-25 % of the
6 samples processed, depending on the target diazotroph. The ‘at sea’ (and lab-based) qPCRs
7 could be appended with a multi-plexing approach to both increase and broaden the number of
8 metabolic pathways (e.g. *narB*, *rbcL*, *nirS*) and/or phylotypes quantified simultaneously.

9 **4.3 Abundance and vertical distribution of diazotrophs in the WTSP**

10 Earlier work based on N isotope ratios ($\delta^{15}\text{N}$) of suspended particulate matter and
11 dissolved organic N (DON) in the WTSP suggested that new production is likely fueled by N_2
12 fixation in this region (Hansell and Feely, 2000; Yoshikawa et al., 2005). The SP is also an
13 area where high abundances of the unicellular diazotrophs, in particular UCYN-A and
14 UCYN-B, have been previously reported (Biegala and Raimbault, 2008; Bonnet et al., 2009,
15 2015; Moisaner et al., 2010) and account for a significant (74%) portion of the areal N_2
16 fixation (Bonnet et al., 2009). Hence, it was likely to encounter the presence of diazotrophic
17 populations.

18 Recently UCYN-A and its various lineages have been highlighted as one of the most
19 widespread and abundant diazotrophs (Farnelid et al., 2016 and references therein), which has
20 led to the dramatic shift in the canonical paradigm of *Trichodesmium* as the only significant
21 diazotroph. Surprisingly, here, we report abundances of the UCYN-A1 and UCYN-A2
22 lineages that are comparatively lower than earlier reports. In fact, UCYN-A1 and A2 were the
23 least detected diazotrophs. Both UCYN-A phylotypes were largely restricted to the MA, with
24 the exception of high densities (3.2×10^4 and 8.5×10^4 *nifH* copies L^{-1} , respectively) found at
25 one depth (60 m) of LD C, which borders the MA region. Consistent with higher UCYN-A



1 biomass at depth at LDC were microscopy observations of high abundances of picoeukaryotes
2 similar in size and shape previously reported for the UCYN-A hosts (Krupke et al. 2013).
3 The vertical distribution of UCYN-A1 (and A2) was similar to Moisander's et al. (2010) and
4 others, including earlier studies in the North Pacific Ocean (NP) and NA, where maximum
5 abundances of UCYN-A are common to deeper depths in the euphotic zone (below 45 m)
6 (e.g. Bonnet et al., 2015; Foster et al., 2007; Goebel et al., 2010; Needoba et al., 2007).
7 Likewise, we also observed as others (Cabello et al., 2016) that the UCYN-A based
8 symbioses co-occur and typically have decreased abundance towards the DCM, and
9 maximum abundances slightly above the nitracline.

10 Unlike UCYN-A phylotypes, UCYN-B and *Trichodesmium* were the most abundant
11 diazotrophs in the WTSP, and UCYN-B in particular was the most detected phylotype (99%
12 detection; dnq or higher in 119 of 120 samples). High abundances of *Trichodesmium* in the
13 upper 10 m, including presence of surface slicks and free filaments, was widespread in the
14 MA region and consistent with earlier observations of high surface densities further north in
15 the SP (Moisander et al., 2010; Shiozaki et al., 2014). Surface slicks have also been reported
16 elsewhere, e.g. the North Atlantic (NA) (Goebel et al., 2010; Langlois et al., 2005). The depth
17 of maximum abundance for *Trichodesmium* deepened from the MA (10 m) region to the open
18 gyre (SG, 31 m), which was similar to earlier reports in the equatorial Pacific (Bonnet et al.,
19 2009). A niche partitioning has been suggested for *Trichodesmium* and unicellular diazotrophs
20 in the SP (Bonnet et al., 2015; Moisander et al., 2010) and elsewhere (Goebel et al., 2010;
21 Langlois et al., 2005; Messer et al., 2015). However, here in the WTSP, *Trichodesmium*
22 abundance was correlated with UCYN-B, which is consistent with previous studies in other
23 ocean basins, e.g. Atlantic Ocean (Foster et al., 2007, 2009; Langlois et al., 2008), and the
24 South China Sea (Moisander et al., 2008). UCYN-B co-occurred with *Trichodesmium* in the
25 surface samples, although at lesser *nifH* copy abundances, and more often UCYN-B had



1 subsurface maxima (35-70 m) in both regions (MA and SG) of the transect. The latter is also
2 consistent with Moisander et al. (2010) who observed maximum abundances of UCYN-B
3 north of the Fijian islands at 37m.

4 All 3 heterocystous symbiont phylotypes co-occurred and were widespread in the MA,
5 with het-1 as the most abundant and most highly detected het group (70% detection or 84 of
6 120 samples). The early work of Moisander et al. (2010) detected het-1 in all but one of 26
7 stations sampled (56% detected, or 56 of 100 samples), and highest *nifH* copy densities were
8 reported north east of our cruise transect. Moreover, Bonnet et al. (2015) detected het-1 and
9 het-2 at the surface of one out of 10 stations west (approximately 10 degrees W) of our cruise
10 transect. Het-2 and het-3 were not quantified by Moisander et al. (2010) and het-3 was not
11 quantified by Bonnet et al. (2015). Therefore our study is among the first to report on the
12 abundances and distributions for all 3 heterocystous diazotrophs in a large expanse of the SP.
13 The 3 het phylotypes were however recently reported from a mesocosm (enclosed design)
14 experiment in the Noumea lagoon, a low nutrient low chlorophyll (LNLC) region located
15 along the New Caledonian coast (Turk-Kubo et al., 2015). In fact, het-1 and het-2 were among
16 the most abundant diazotrophs in the first half of the experiment (Turk-Kubo et al., 2015).
17 Two additional earlier studies have also reported microscopic observations of free-living
18 *Richelia* in the same lagoon (Biegala and Raimbault, 2008; Garcia et al., 2007).

19 Highest densities (10^4 - 10^6 *nifH* copies L⁻¹) of the *Richelia* phylotypes were restricted to
20 the western region of the MA, and in the upper 12 m, which is shallower than the subsurface
21 maximum (25 m) commonly reported for het-1 (and het-2) in the Western Tropical North
22 Atlantic (WTNA) and NP (Church et al., 2005; Foster et al., 2007; Goebel et al., 2010). Our
23 microscopy observations from SD 5-7 and LD A indicated that near surface *Rhizosolenia*
24 populations were in a moribund state since frustules were broken and free filaments of
25 *Richelia* were observed. Our observations also coincide with a region of high backscattering



1 measurements in the upper water column (5-30 m) (Dupouy et al., this issue). Het-1 *nifH*
2 copies were 4 orders of magnitude higher in abundance in the moored sediment traps of LD A
3 (325 m: 2.0×10^7 *nifH* copies L⁻¹) and LD B (325 and 500m: 5.8×10^6 and 1.10×10^7 *nifH*
4 copies L⁻¹, respectively) (Caffin et al., this issue) than the *nifH* copies detected in the
5 overlying waters (3.11×10^3 *nifH* copies L⁻¹ and 4.1×10^2 *nifH* copies L⁻¹, respectively).
6 Combined, the latter observations suggest that a higher density of the het-1 population was
7 likely present prior to our sampling and perhaps derived from a ‘seed’ population originating
8 in the coastal regions of New Caledonia.

9 The UCYN-C phylotype was poorly detected in the ‘at sea’ assays (61% samples were
10 bd and maximum abundance was 5.0×10^2 *nifH* copies L⁻¹), and as such was not enumerated in
11 the archived samples. The low detection of UCYN-C is consistent with Taniuchi et al. (2012),
12 who estimated that UCYN-C only represented a small portion of diazotrophs detected in the
13 NW Pacific (Kuroshio Current). However, a recent study reported relatively high UCYN-C
14 abundances in the open waters of the Solomon Sea (north of the MA) (Berthelot et al.,
15 submitted). UCYN-C has also been observed in the New Caledonian lagoon (Turk-Kubo et
16 al., 2015), where it was the most dominant diazotroph in the first part of the aforementioned
17 mesocom experiment (Turk-Kubo et al., 2015). Like most plankton, abundances can be
18 patchy as was observed with UCYN-C in our study.

19 **4.4 UCYN-A and host (co)-occurrence**

20 Earlier and recent work has suggested a high host dependency (e.g. smaller and streamlined
21 genomes), and selectivity in the UCYN-A based symbioses (Cabello et al., 2016; Cornejo-
22 Castillo et al., 2016; Farnelid et al., 2016; Krupke et al., 2013, 2014; Thompson et al., 2012;
23 Tripp et al., 2010). Moreover, the UCYN-A partnerships are also considered mutualistic,
24 where the host and symbiont both benefit by exchange of metabolites (e.g. reduced C and N,
25 respectively) (Krupke et al., 2014; Thompson et al., 2012); hence one would expect parallel



1 distributions for both partners. Some have argued that the partnership is also obligatory since
2 few observations of free-living hosts have been reported and abundances of free symbionts
3 assumed to be derived from disruption during sample preparation are always correlated with
4 their hosts (Cabello et al., 2016; Krupke et al., 2014; Thompson et al., 2012). Thus, by use of
5 our newly designed oligonucleotides for the UCYN-A1 host and previously designed
6 oligonucleotides for the UCYN-A2 host (Thompson et al., 2014), we unexpectedly found that
7 both UCYN-A1 and A2 were often (89% and 59%, respectively; not considering dnq)
8 detected in the absence (or bd) of their respective hosts, while the hosts, when detected,
9 always coincided with increased UCYN-A abundance. Our observations could result if the
10 UCYN-A lineages can live freely, or in either a loose association, or perhaps with a wider
11 range of hosts than previously thought and detected by the UCYN-A host assays. Presence of
12 UCYN-A in the absence of their respective hosts could also indicate that the growth of
13 symbiont and host is asynchronous, a pattern reported once in the het-1 or *Rhizosolenia*-
14 *Richelia* symbioses (Villareal 1989).

15 The number of cells per partner lineage is considered specific as well, such that 1-2
16 UCYN-A1 cell is associated with a prymnesiophyte partner (UCYN-A1 host) and the larger
17 *B. bigelowii* (UCYN-A2 host) host associates with multiple and variable numbers of UCYN-
18 A2 cells to compensate for its higher N requirement (Cornejo-Castillo et al., 2016). On the
19 contrary, we found evidence that there are multiple UCYN-A1 and A2 symbionts in both host
20 types, which is somewhat surprising given that the host target gene (18S rRNA) is a multiple
21 copy gene, meaning that we would expect higher gene copy numbers for each host.
22 Nonetheless, we consistently observed higher abundances for the UCYN-A1 and A2
23 symbionts than their respective hosts. UCYN-A1 and A2 were 2-10 and 6-34 times,
24 respectively, more abundant than their hosts. A symbiosome-like compartment has also been
25 described attached to the UCYN-A2 host or residing free (Cornejo-Castillo et al., 2016).



1 Thus, one plausible explanation for the higher abundances of the UCYN-A2, in particular, in
2 the absence of their respective host, could result if our assays quantified UCYN-A2 residing
3 in a dislodged free-floating symbiosome, or an overestimate of the UCYN-A2 due to cross-
4 reactivity with UCYN-A3 lineage as expected by *in silico* tests (Farnelid et al. 2016). It is
5 less likely that the UCYN-A2 was overquantified due to cross-reaction with UCYN-A1
6 templates since our cross-reactivity tests showed a weak cross reaction (see below).

7 **4.5 Environmental influence on diazotroph abundances and distributions**

8 The annual N inputs through biological N₂ fixation in the oceans is considered high, ranging
9 100-200 Tg N (Eugster and Gruber, 2012; Luo et al., 2012), yet large uncertainties remain in
10 what factor(s) influence the abundance, distribution, and activity of marine diazotrophs.
11 Initially, we hypothesized that conditions favoring a particular cyanobacterial diazotroph
12 would differ given the contrasting life histories (free-living, colonial, and symbiotic).
13 Moreover, we also suspected that the conditions promoting DDAs would differ from those
14 influencing the UCYN-A based symbioses given the vast differences in the symbionts and
15 hosts (e.g. genome content of symbiont, cell size of symbiont and hosts in the two systems;
16 expected number of symbionts/host; host phylogeny: diatom vs. prymnesiophyte). Thus,
17 determining the condition or sets of conditions that drive cyanobacterial diazotroph
18 distribution, abundance, and activity is of great interest.

19 Hydrographic conditions and dissolved nutrient concentrations measured at the time of
20 sampling were used to correlate diazotrophic abundance with various environmental
21 parameters. Consistently, in two independent statistical tests, two groups emerged in the
22 WTSP: 1) UCYN-A1 and A2 and their respective hosts 2) het-1, het-2 and het-3, UCYN-B
23 and *Trichodesmium*. Thus, agreeing with our initial hypothesis that conditions favoring the
24 UCYN-A based symbioses does differ from the conditions for DDAs, and in addition for the
25 free-living cyanobacterial diazotrophs.



1 Temperature is often cited as the most important driver of diazotroph abundance and
2 distribution (Messer et al., 2016; Moisander et al., 2010). As shown earlier in the WTSP, both
3 *Trichodesmium* spp. and UCYN-B were most abundant in warmer surface waters (> 27 °C) in
4 the north, while UCYN-A dominated in the cooler (24-26 °C) southern waters of WTSP
5 (Bonnet et al., 2015; Moisander et al., 2010). Likewise, we found similar abundances and
6 temperature optima for the latter three diazotrophs and significant correlations between the
7 various diazotrophs and temperature. In fact, all diazotrophs, except the UCYN-A lineages
8 were significantly positively correlated with temperature in the WTSP. In addition to
9 temperature, environmental parameters PAR, salinity and depth were also significantly
10 influencing abundance and distribution. Moreover, the latter two variables drove the
11 abundances of UCYN-A symbioses (A1 and A2) apart from the rest of the diazotrophs in the
12 WTSP, including both free-living phylotypes and the symbiotic heterocystous lineages.

13 The maximum abundances at depth for UCYN-A1 and UCYN-A2 were slightly above
14 or at the nitracline and coincided with higher measures of fluorescence from the CTD. The
15 latter is consistent with observations of high UCYN-A abundances in coastal habitats
16 (Bombar et al., 2014), estuaries (Messer et al., 2015), or in waters that are recently entrained
17 with new nutrients (Moisander et al., 2010). Increased *nifH* copies and/or *nifH* gene
18 expression for UCYN-A have also been reported from bioassay experiments amended with
19 nutrients, including DIN, phosphate and iron (Krupke et al., 2015; Langlois et al., 2012;
20 Moisander et al., 2012). The latter is in contrast with the data reported here in the WTSP
21 (including the meta-analysis) and several of the external datasets (e.g. WTSP, TA, NA,
22 NSCS), which finds a negative correlation between DIN and DIP concentrations and
23 abundance of most of the diazotrophs, including UCYN-A. In the WTNA, waters with high
24 DiSi concentration and low N:P ratios, driven by a disproportionate utilization of N relative to
25 P, results in consistent and widespread blooms of the *Hemiaulus-Richelia* symbioses (het-2)



1 (Foster et al., 2007; Subramaniam et al., 2008). Across the cruise transect, DIP and DiSi
2 concentrations were considered not limiting (Thierry Moutin, this issue), while DIN was
3 below detection, hence conditions favoring symbiotic diatoms, and as reported here, the
4 higher abundances of het-1 *nifH* gene copies and observations of *Rhizosolenia* hosts in the
5 MA.

6 All the diazotrophs described here are either photoautotrophic or associated with
7 photoautotrophic partners (UCYN-A, het-group). Therefore, light irradiance (e.g PAR) and
8 availability will impact the abundance and distribution of the diazotrophic populations.
9 Moreover, and related to light availability is the influence of day length or changes in the
10 photoperiod which can influence diazotroph distribution, in particular the symbiotic diatoms
11 (Karl et al., 2012). Results from CARD-FISH observations of the UCYN-A1 and A2
12 symbioses have reported a strong dependency on light intensity, which results in higher
13 abundances nearer to the surface (Cabello et al., 2016). Presence in shallower waters is also
14 thought to be strategic for avoiding competition (Cabello et al. 2016). However, in the WTSP,
15 in 11 of the 14 stations where UCYN-A1 and A2 were detected at sub-surface depth maxima,
16 the same lineages (and corresponding hosts) were undetected at the surface and a negative
17 correlation was found with PAR. Microscopy observations also confirmed higher numbers of
18 pico-eukaryotes at depth. Hence, it would appear that low light was a pre-requisite for high
19 abundances of UCYN-A; while the other free-living diazotrophs and symbiotic het-1 and het-
20 2 were positively correlated with PAR, and had maxima closer to the surface with higher
21 PAR. Interestingly and unexpected was the lack of correlation between PAR and the UCYN-
22 A host lineages, especially since it is the host partners that require light for photosynthesis.

23 In an attempt to identify the consistency in the correlation patterns identified in the
24 WTSP with other regions of the world's ocean, the same statistical analyses were performed
25 on 11 publically available datasets and subsequently run through a meta-analysis. Our



1 statistical analyses provided coefficients and p-values for easy evaluation and comparisons
2 between data sets for the influence of environmental parameter(s) and diazotrophs abundance.
3 It confirmed that UCYN-A indeed stands out from the other diazotrophs in terms of
4 environmental parameter influence, mainly by being uncorrelated with temperature, which for
5 all other diazotrophs was a positive correlation. For most other environmental variables the
6 pattern for UCYN-A does not hold true in the meta-analysis. However, for the other
7 diazotrophs depth and salinity follow the same pattern as observed in the WTSP (except for
8 UCYN-B being uncorrelated with salinity). Furthermore, what did unify all diazotrophs in the
9 meta-analysis were their consistent negative correlations between abundance and
10 concentrations of DIP and DIN, which was also observed in the WTSP and again UCYN-A
11 was the exception.

12 In summary, the correlations observed in the WTSP were not always consistent with the
13 meta-analysis of the external datasets. We attribute the inconsistencies in part to seasonal
14 differences in sample collections, and the impact of an individual environmental parameter or
15 sets of parameters on a local and regional scale that make it difficult to unambiguously
16 explain the abundance and distribution patterns. Unlike our initial hypotheses, determining the
17 condition or sets of conditions favoring one diazotroph or life history strategy (free-living vs.
18 symbiotic) is complex and likely not all diazotrophs are influenced by the same condition in
19 time and space.

20 **4.6 Estimation of diazotrophs by nifH qPCR**

21 When interpreting abundance estimates by qPCR there are a few assumptions to keep in mind.
22 A caveat of qPCR assays assumes that there is one gene copy per cell. However, recent
23 evidence in filamentous and heterocystous cyanobacteria reports evidence of polyploidy
24 dependent on cell cycle (Griese et al., 2011; Sargent et al., 2016; Sukenik et al., 2012).
25 Moreover, *Trichodesmium* may contain up to 100 genome copies per cell (Sargent et al.,



1 2016), thus a potential for overestimation. On the other hand, underestimation by qPCR is
2 also plausible if one considers that DNA extraction efficiency is not 100% and can vary
3 between species and DNA extraction kits (Mumy and Findlay, 2004), and if high probe
4 specificity favors exclusion of closely related phylotypes for a particular target or lineage.

5 A final consideration with qPCR as shown here, is the degree of cross-reactivity in
6 assays targeting closely related lineages (e.g. UCYN-A and het). Oligonucleotide specificity
7 as a source of underestimation of the UCYN-A lineages was recently reviewed by a *de nova*
8 analyses (Farnelid et al., 2016) showing the potential to underestimate UCYN-A sublineages
9 since the widely used oligonucleotides for UCYN-A1 contains several mismatches to the
10 other UCYN-A sublineages. The latter becomes important when the sublineages co-occur.
11 Here, however, we highlight the potential to overestimate. For example, UCYN-A2
12 oligonucleotides amplified the UCYN-A1 templates, indicating a tendency to overquantify
13 UCYN-A2 in the presence of A1. Moreover, when the annealing temperature was set to 64
14 °C, to distinguish between UCYN-A1 and A2 as recommended by Thompson et al. (2014),
15 the assay still failed to separate the two sub-lineages when run in fast mode. Thus, the fast
16 mode feature has a shortcoming that could influence a wider range of targets than the ones
17 presented here. We observed the same cross-reactivity reported earlier (Foster et al., 2007) for
18 het-1 and het-2 when run in fast mode and highlights the potential to overestimate het-2 if het-
19 1 co-occurs at densities approximately 10^6 *nifH* copies L⁻¹. The latter observation has never
20 been reported.

21 **Conclusions**

22 Consistent with earlier observations in the WTSP, we found diazotrophic cyanobacteria
23 to be abundant. The most abundant cyanobacterial diazotrophs were UCYN-B,
24 *Trichodesmium* and the symbiotic *Richelia* lineage het-1. Although the cell integrity and
25 detection of het-1 in water column samples and those from depth (e.g. sediment traps)



1 indicated that the populations were in a senescent state, our work represents one of the first
2 documentation of the three DDA populations in a wide expanse of the WTSP. In contrast to
3 earlier work in the SP and other recent reports from global ocean surveys (Farnelid et al.,
4 2016; Martínez-Pérez et al., 2016), we observed low abundances and poor detection of both
5 UCYN-A (A1 and A2) lineages. According to our qPCR results, UCYN-A was also
6 enumerated when their respective hosts were below detection, which contrasts to the assumed
7 high fidelity and dependency in the partnerships; however, we cannot discount that the
8 disparity in host-symbiont detection was not a result from qPCR oligonucleotide assay bias
9 and/or overestimations indicated by our cross-reactivity tests.

10 Our initial hypothesis was that the condition or sets of conditions, which promote the
11 distribution of one diazotroph, would differ. Moreover, the parameters for symbiotic
12 diazotrophs should also differ from that of free-living phylotypes, and given the vast
13 difference in hosts (diatoms and prymnesiophyte, respectively) and genome content for the het
14 and UCYN-A symbionts, we further hypothesized divergent conditions favoring one
15 symbiosis over another. In the WTSP, the same conditions favored abundances of both the
16 free-living phylotypes and the diatom (het groups) symbioses. However, the same conditions
17 impacted the abundance of UCYN-A based symbiosis negatively, hence, somewhat
18 supporting our initial hypothesis that conditions for one symbiosis type would differ. In the
19 external datasets, however, we observed differences in environmental conditions favoring
20 abundances of the investigated diazotrophs compared to the WTSP, which underscores that
21 diazotrophs are not similarly influenced by the same condition in time and space.

22 Multivariate approaches on numerous parameters and with high spatial resolution are
23 required to understand the complex and often indirect effects that govern species distribution.
24 Finally, this study highlights reliable quantification of *nifH* genes for various N₂ fixing
25 cyanobacteria ‘at sea’ in the tropical open ocean and how environmental parameters influence



- 1 distribution and abundance of diazotrophs differently both regionally and across ocean basins.
- 2 However, it is of great interest to know, if the same parameters influence gene expressions
- 3 (e.g. *nifH*), and ultimately N₂ fixation rates, in the same manner, thus, understanding the
- 4 weight of environmental parameters influencing diazotrophic abundance and distribution.
- 5 Given the global significance of N₂ fixation as a major new source of N to the oceans, the
- 6 metanalysis presented here could be directly applicable to improving parameter constraints on
- 7 model-based approaches for predicting areas prone to diazotrophy.



1 **Competing interests**

2 The authors declare that they have no conflict of interest.

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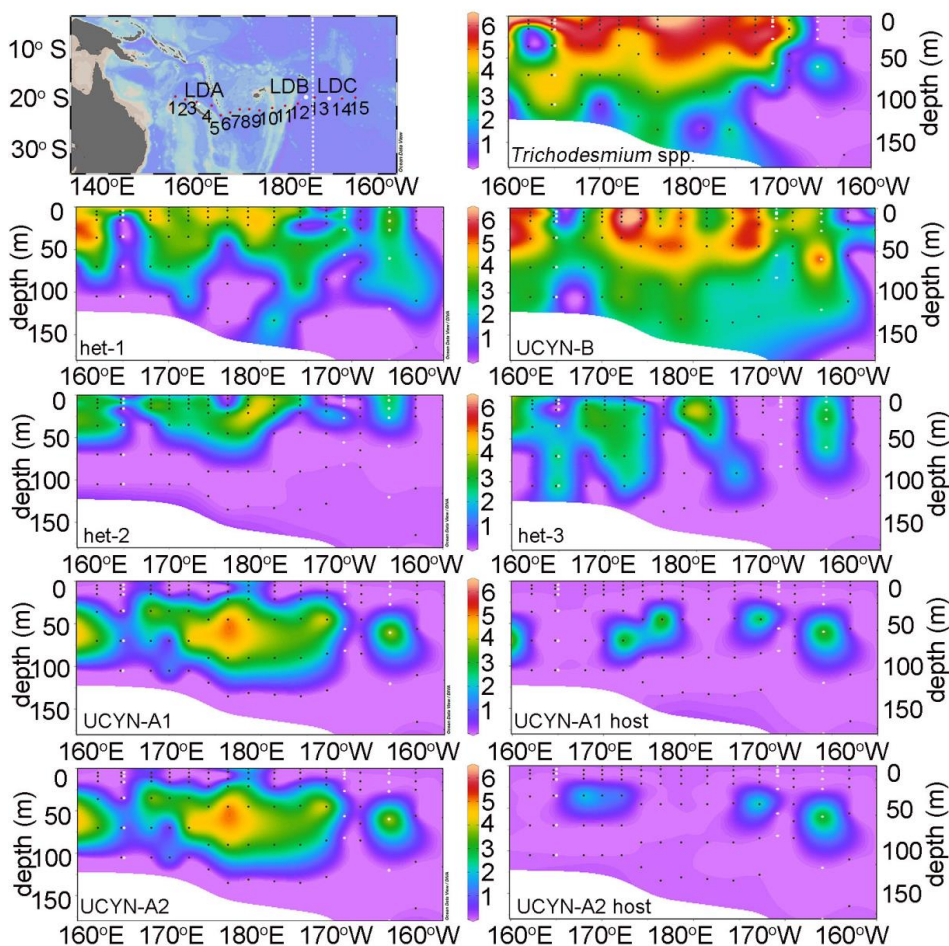
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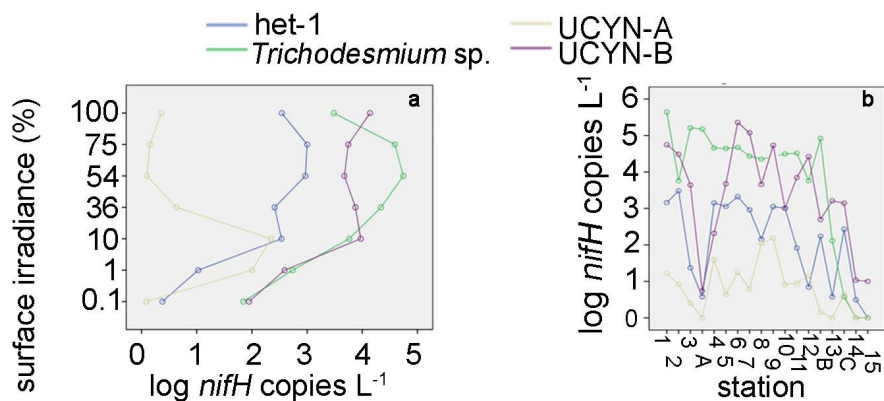
1 Table 01. Summary of environmental conditions in the surface along the cruise transect.

Region	Stations	surface* DIN‡ μM	surface* DIP μM	surface* DiSi μM	surface* salinity (PSU)	surface* temp. °C
Melanesian archipelago (MA) 160° E-178° E 170 °W - 175 °W	SD1-12 LDA LDB	0.02 ± 0.01	0.03 ± 0.02	0.55 ± 0.10	35.13 ± 0.27	29.33 ± 0.45
Subtropical gyre (SG) 160 °W- 169°W	SD13- 15 LDC	0.01 ± 0.01	0.18 ± 0.07	0.79 ± 0.04	35.12 ± 0.10	29.34 ± 0.18

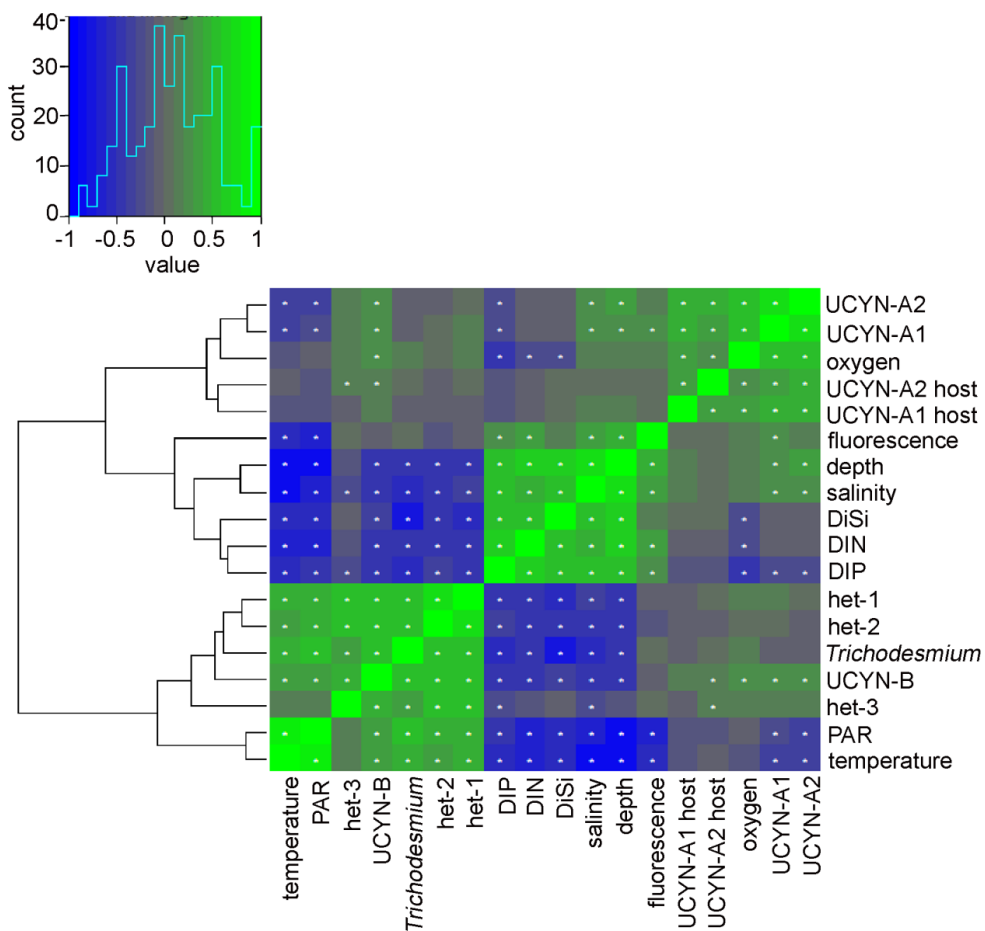
2 *5m depth, ‡NO₂+NO



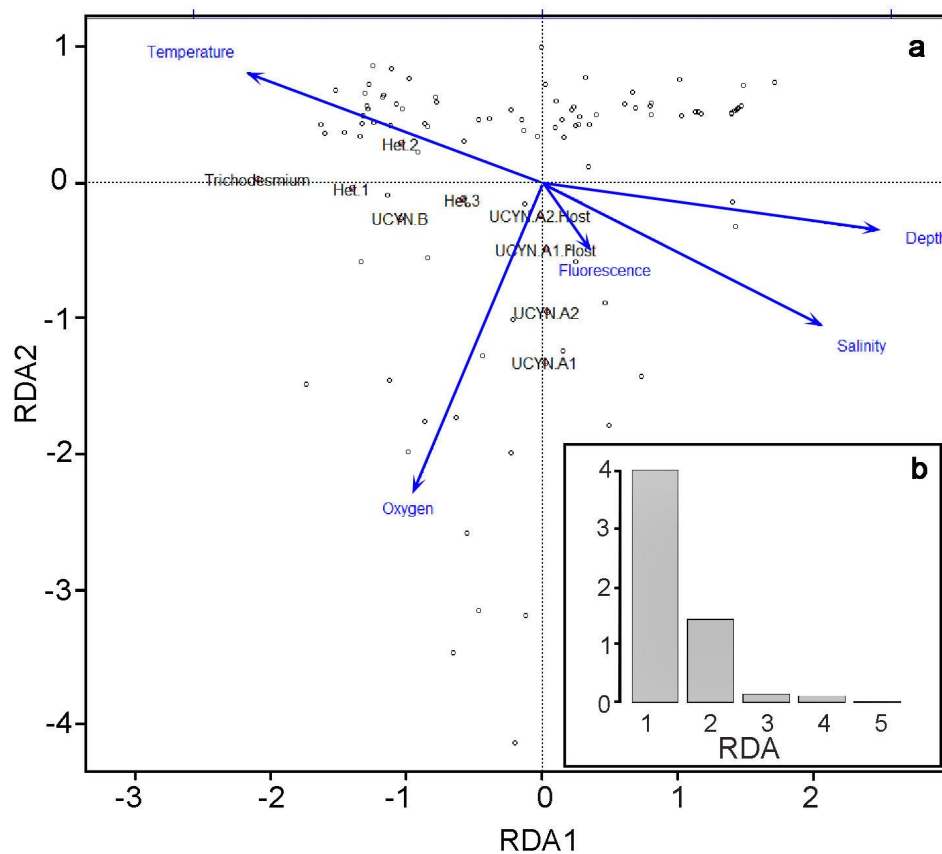
1
 2 **Figure 1.** Sampling locations and the horizontal and vertical distributions of diazotrophs and
 3 the UCYN-A1 and UCYN-A2 hosts in the study area. Sampling depths are indicated as black
 4 dots (white for LD stations) and the abundances are the log *nifH* gene copy L⁻¹ for the
 5 diazotrophs and 18S rRNA gene copies L⁻¹ for the UCYN-A host lineages.



1
2 **Figure 2 a-b.** LOG10 transformed mean abundances for the 4 most abundant diazotrophs
3 across the transect: het-1 (blue), *Trichodesmium* (green), UCYN-A (yellow) and UCYN-B
4 (red). The mean *nifH* abundance values (log *nifH* copies L⁻¹) shown as a function of (a)
5 percent (%) surface irradiance and (b) at each station
6



1
 2 **Figure 3.** Hierarchical clustering heat map of Spearman's Rho results. The histogram shows
 3 negative (blue) and positive (green) values of correlation strength between parameters. Stars
 4 within cells mark significant correlations ($p < 0.05$).
 5



1
2 **Figure 4 a-b.** Multivariate RDA biplot (a), which also depicts variance of included
3 parameters (b). As can be seen, a majority of the variance in the dataset is explained by the
4 RDA1 and RDA2 axes meaning that most of the variance observed is explained by the
5 included environmental parameters. The arrows are the constrained explanatory vectors with
6 the dots representing the superimposed unconstrained response variables. PAR and nutrients
7 (DIP and DIN) were omitted due to limited data.