

1 **Bryophyte-dominated biological soil crusts mitigate soil erosion**
2 **in an early successional Chinese subtropical forest**

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28 **Abstract.** This study investigated the development of biological soil crusts (biocrusts) in an early successional
29 subtropical forest plantation and their impact on soil erosion. Within a biodiversity and ecosystem functioning
30 experiment in Southeast China (BEF China), the effect of these biocrusts on sediment delivery and runoff was assessed
31 within micro-scale runoff plots under natural rainfall and biocrust cover was surveyed over a five-year period.

32 Results showed that biocrusts occurred widely in the experimental forest ecosystem and developed from initial light
33 cyanobacteria- and algae-dominated crusts to later-stage bryophyte-dominated crusts within only three years. Biocrust
34 cover was still increasing after six years of tree growth. Within later stage crusts, 25 bryophyte species were
35 determined. Surrounding vegetation cover and terrain attributes significantly influenced the development of biocrusts.
36 Besides high crown cover and leaf area index, the development of biocrusts was favoured by low slope gradients,
37 slope orientations towards the incident sunlight and the altitude of the research plots. Measurements showed that
38 bryophyte-dominated biocrusts strongly decreased soil erosion being more effective than abiotic soil surface cover.
39 Hence, their significant role to mitigate sediment delivery and runoff generation in mesic forest environments and
40 their ability to quickly colonise soil surfaces after disturbance are of particular interest for soil erosion control in early
41 stage forest plantations.

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56 **1 Introduction**

57 Biological soil crusts (hereinafter referred to as biocrusts) are a living soil cover, which plays significant functional
58 roles in many environments (Weber et al., 2016). In initial ecosystems, communities of cyanobacteria, algae, fungi,
59 lichens, bryophytes and bacteria in varying combinations are the first to colonise the substrate (Evans and Johansen,
60 1999). Biocrusts are often dominated by one organism group, with cyanobacterial crusts being indicators for early
61 stage crusts and drier conditions (Malam Issa et al., 1999; Malam Issa et al., 2007) and bryophyte-dominated crusts
62 being indicators for later stage crusts and moister conditions (Colesie et al., 2016; Seppelt et al., 2016). Those highly
63 specialised communities form a biological crust immediately on top or within the first millimetres of the soil surface
64 (Büdel, 2005). Biocrusts preferably occur under harsh conditions of temperature or light, where vascular vegetation
65 tends to be rare (Allen, 2010). Therefore, biocrusts are generally widespread under dryland conditions (Berkeley et
66 al., 2005; Belnap, 2006; Büdel et al., 2009), whereas under mesic conditions they mostly occur as a successional stage
67 after disturbance or in environments under regularly disturbed regimes (Büdel et al., 2014).

68 In direct competition with phanerogamic plants, biocrusts are generally in an inferior position and thus their
69 development is limited under closed plant canopies or when leaf litter layers occur (Belnap et al., 2003a). This
70 limitation is due to the competition for light (Malam Issa et al., 1999) and nutrients (Harper and Belnap, 2001).
71 Disturbance of the phanerogamic vegetation layers, however, changes this competitive situation. Such disturbances
72 can occur in forest ecosystems by natural treefall or human induced clear-cutting (Barnes and Spurr, 1998). Complete
73 removal of a forest causes a harsh shift in vegetation development and creates a starting point for new vascular plant
74 as well as biocrust communities (Bormann et al., 1968; Keenan and Kimmins, 1993; Beck et al., 2008). Biocrusts are
75 able to quickly colonise natural clearances in tree layers (Belnap et al., 2003a) as well as gaps appearing after human
76 disturbance (Dojani et al., 2011; Chiquoine et al., 2016). Generally, it can be stated that current knowledge on the
77 relation between the development of biocrust cover and vascular plant cover leaves room for further research (Kleiner
78 and Harper, 1977; Belnap et al., 2003b; Zhang et al., 2016). In particular, there are only few studies on the development
79 of biocrusts in early successional forest ecosystems (Su et al., 2007; Zhang et al., 2016), but we assume that they are
80 able to coexist in those mesic environments shortly after deforestation. Furthermore, descriptions of different biocrust
81 types in mesic vegetation zones and investigations in southeast Asia are rare (Büdel, 2003; Bowker et al., 2016).

82 Functional roles of biocrusts have been investigated for decades, but less attention has been paid to their spatial
83 distribution and characteristics (Allen, 2010). Biocrust cover varies across spatial scales (from centimetres to
84 kilometres) and it could be shown that it depends not only on the surrounding vascular vegetation cover, but also on
85 soils, geomorphology and (micro-)topography or terrain (Evans and Johansen, 1999; Ullmann and Büdel, 2003;
86 Kidron et al., 2009; Bowker et al., 2016) in arid, semi-arid, temperate and boreal environments. Different biocrust
87 distributions have been related to elevation and terrain-influenced microclimatic gradients (Kutiel et al., 1998),
88 different geomorphic zones (Eldridge, 1999), varying aspects (George et al., 2000) and soil types (Bu et al., 2016).
89 We assume that this is also true for mesic subtropical forest environments. To our knowledge, investigations on the
90 influence of small-scale (centimetres to metres) topographic variations on biocrust development are rare and further
91 studies will help to understand the role of these small-scale factors (Garcia-Pichel and Belnap, 2003; Bu et al., 2016;

92 Bowker et al., 2016). Furthermore, as the development of biocrusts is characterised by a high complexity and spatial
93 heterogeneity with many micro-climatic and micro-environmental factors, it is of great significance to conduct
94 comparative studies on the spatial distribution of biocrusts (Bu et al., 2013).

95 Biocrusts were recognised to have a major influence on terrestrial ecosystems (Buscot and Varma, 2005; Belnap,
96 2006) as they protect soil surfaces against erosive forces by both wind and water (Bowker et al., 2008; Zhao et al.,
97 2014). They can absorb the kinetic energy of rain drops (splash effect), decrease shear forces and stabilise soil particles
98 with protonemal mats and fine rhizoids and thus decrease particle detachment and enhance soil stability (Malam Issa
99 et al., 2001; Warren, 2003; Belnap and Lange, 2003). Those effects differ with regard to soil texture, surface
100 roughness, water repellency and finally different crust species and developmental stages (Warren, 2003; Belnap and
101 Büdel, 2016). However, studies that directly relate different types of biocrust cover to rates of soil erosion are few
102 (Allen, 2010). Furthermore, the influence of biocrusts on sediment delivery and runoff has mostly been investigated
103 in arid and semi-arid climates and humid climates have been largely disregarded (Belnap and Lange, 2003; Weber et
104 al., 2016).

105 This study aims to investigate the development of biocrust cover in an early successional subtropical forest ecosystem
106 after human disturbance and the impact of those biocrusts on soil erosion. Therefore, interrill erosion was measured
107 with runoff plots and the occurrence, distribution and development of biocrusts was recorded. The study was
108 conducted in an experimental forest plantation, which aims to study biodiversity and ecosystem functioning
109 relationships in southeast China (BEF China, for further information see Yang et al., 2013; Bruelheide et al., 2014;
110 Trogisch et al., 2017). During the study, the following hypotheses were addressed:

111 (1) Biocrusts are able to coexist in mesic early successional subtropical forest ecosystems, but crust cover decreases
112 with ongoing canopy closure and decreasing light intensity.

113 (2) The development of biocrusts in mesic subtropical forests is not only influenced by the surrounding vegetation
114 cover, but also by major soil attributes which influence biocrust growth, and terrain attributes which affect
115 microclimatic conditions.

116 (3) Biocrusts mitigate interrill soil erosion in early successional subtropical forest plantations.

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118 **2 Material and methods**

119 2.1 Study site and experimental design

120 The study was carried out within the biodiversity and ecosystem functioning (BEF) China experiment (Bruelheide et
121 al., 2014) in Xingangshan, Jiangxi Province, PR China (29°06.450' N and 117°55.450' E). The experimental area is
122 located in a mountainous landscape at an elevation of 100 m a.s.l. to 265 m a.s.l. with slopes from 15° to 41° (Scholten
123 et al., 2017). The bedrock is non-calcareous sandstones, siltstones and slates weathered to saprolite and predominant

124 soil types are Cambisols with Anthrosols in downslope positions and Gleysols in valleys (Scholten et al., 2017). The
125 particle size distribution was quite homogenous throughout the experimental area having loam as the main texture
126 class (Scholten et al., 2017). The mean annual temperature is 17.4 °C and the annual precipitation is 1635 mm with
127 about 50 % falling during May to August (Goebes et al., 2015). The climate is typical for summer monsoon subtropical
128 regions. The potential natural vegetation of this region is a subtropical broadleaved forest with dominating evergreen
129 species. It has been widely replaced by tree plantations of mostly *Cunninghamia lanceolata* for the purpose of
130 commercial forestry in the 1980's (Bruelheide et al., 2014). The experimental area (approx. 38 ha) is structured in 566
131 research plots (25.8 m × 25.8 m each) at two sites (A and B) and was clear-cut and replanted with 400 tree saplings
132 per plot in different tree species mixtures in 2009 and 2010 (Yang et al., 2013). A selection of 34 research plots was
133 used for this study (cf. Seitz et al., 2016). Shrubs and coppices were weeded once a year from 2010 to 2012 to help
134 the tree saplings grow, following common practice in forest plantations of this area.

135 2.2 Field methods

136 Biocrust cover was determined photogrammetrically in 70 selected micro-scale runoff plots (ROPs, 0.4 m × 0.4 m;
137 Seitz et al., 2015; Trogisch et al., 2017) at five timesteps (November 2011, May 2012, May 2013, May 2014 and May
138 2015). Biocrust species were first described in the field based on appearance and functional groups. Biocrust types
139 were then determined based on the dominating autotrophic component (highest share of total biocrust cover per ROP).
140 During the rainy season in summer 2013, an extended survey together with soil erosion measurements (see below)
141 was conducted in five ROPs on 34 research plots each (170 ROPs in total, Table 1). At each ROP, perpendicular
142 images were taken with a single lens reflex camera system (Canon 350D, Tokio, Japan) and processed by the grid
143 quadrat method in GIMP 2.8 using a digital grid overlay with 100 subdivisions (cf. Belnap et al., 2001). Stone cover
144 and biocrust cover were separated by hue distinction. A continuous leaf litter cover, which may impede analyses, was
145 not present during measurements. Biocrusts were collected in 2013 and samples were dried at 40 °C (Dörrex drying
146 unit, Netstal, Switzerland). The identification of those sampled species was carried out by morphological
147 characteristics using a stereomicroscope (Leitz TS, Wetzlar, Germany), a transmitted-light microscope (Leitz
148 Laborlux S, Wetzlar, Germany) and ultraviolet light. Bryophytes (dominating taxa in 2013) were determined to the
149 species level, wherever possible and separated into mosses (Bischler-Causse, 1989; Moos flora of China: Gao et al.,
150 1999; 2001; 2002; 2003; 2005; 2007; 2008; 2011) and liverworts (Zhu, 2006; Söderström et al., 2016 and Alfons
151 Schäfer-Verwimp, personal communication). Comparisons were conducted with specimen hosted in the herbarium of
152 the State Museum of Natural History in Stuttgart, Germany (Herbarium STU).

153 Sediment delivery and surface runoff were measured within 170 ROPs in summer 2013 together with an extended
154 biocrust survey (see above and Table 1), when tree saplings did not exceed three years of age and leaf litter fall was
155 still marginal. After four timesteps, 334 valid ROP measurements entered the analysis (for detailed information see
156 Seitz et al., 2016). Sediment delivery was sampled, dried at 40 °C and weighed, whereas surface runoff and rainfall
157 amount were measured in situ. At every ROP, crown cover and leaf area index (LAI) were measured with a fish-eye
158 camera system (Nikon D100 with Nikon AF G DX 180°, Tokio, Japan) and calculated with HemiView V.8 (Delta-T

159 devices, Cambridge, UK). Measurements of tree height and crown width were provided by Li et al. (2014) at research
160 plot scale (n=34). Tree species richness and tree composition resulted from the experimental setup of BEF China
161 (Bruehlheide et al., 2014).

162 Soil attributes (Table 1) were determined for every research plot (n=34, sampling in 2013) using pooled samples from
163 nine point measurements each (sampling depth 0-5 cm). Soil pH was measured in KCl (WTW pH-meter with Sentix
164 electrodes, Weilheim, Germany), bulk soil density was determined by the mass-per-volume method and total organic
165 carbon (TOC) was measured using heat combustion (Elementar Vario EL III, Hanau, Germany). Soil organic matter
166 (SOM) was calculated by multiplying TOC with the factor 2 (Pribyl, 2010).

167 2.3 Digital terrain analysis

168 Terrain attributes (Table 1) were derived from a digital elevation model (DEM, 5 m × 5 m, Scholten et al., 2017) at
169 research plot scale (n=34). Attributes were the terrain ruggedness index (TRI, Riley et al., 1999) to describe the
170 heterogeneity of the terrain, the Monte-Carlo based flow accumulation (MCCA, Behrens et al., 2008) to diagnose
171 terrain driven water availability, altitude above sea level to address elevation effects and the eastness and the northness
172 (Roberts, 1986) to describe plant related climatic conditions. Those terrain attributes cover major landscape features
173 of the experimental area and were not correlated. Slope was additionally measured with an inclinometer at every ROP
174 (n=170, see Seitz et al., 2016).

175

176 [Table 1]

177

178 2.4 Statistical methods

179 The temporal development of biocrust cover (1) from 2011 to 2015 was assessed at five timesteps within 70 ROPs
180 (see above) by an analysis of variance (ANOVA) and Tukey's Honestly Significant Difference (HSD) test (n=350).

181 The influences of vegetation, soil and topographic attributes on biocrust cover (2) in 170 ROPs (see above) were
182 assessed by linear mixed effects (LME) models (n=334). Crown cover, bulk soil density, SOM, pH, altitude, slope,
183 MCCA, TRI, eastness, northness and tree species richness were fitted as fixed effects and biocrust cover as response
184 variable. The attributes were tested with Pearson's correlation coefficient before fitting. LAI was fitted individually
185 in exchange to crown cover due to multi-collinearity. Experimental site and research plot were fitted as random effects
186 and hypotheses were tested with an ANOVA type 1 with Satterthwaite approximation for degrees of freedom.

187 The influences on soil erosion (3) were assessed by LME models with restricted maximum likelihood (n=334) and
188 sediment delivery and surface runoff as response variables, respectively. Crown cover, slope, surface cover, SOM,
189 rainfall amount and tree species richness were fitted as fixed effects. Surface cover was then split into surface cover
190 by biocrusts and by stones, which entered the analysis as fixed conjoined factors. Precipitation events nested in plot,

191 tree species composition, experimental site and ROP nested in plot were fitted as random effects. Attributes were not
192 correlated. The hypothesis was tested with an ANOVA type 1 with Satterthwaite approximation for degrees of
193 freedom. Moreover, the Wilcoxon rank sum test was applied to test for differences between biocrust cover and stone
194 cover on sediment delivery and surface runoff. Therefore, the dataset was split into data points where biocrust cover
195 exceeded stone cover (n=281) and data points where stone cover exceeded biocrust cover (n=53).

196 All response variables were log-transformed before modelling. The dataset was tested for multi-collinearity and met
197 all prerequisites to carry out ANOVAs. All analyses were performed with R 3.1.2 (R Core Team, 2014). LME
198 modelling was conducted with “lmerTest” (Kuznetsova et al., 2014) and rank sum tests with “exactRankTests”
199 (Hothorn and Hornik, 2015). Figures were designed with “ggplot2” (Wickham, 2009).

200

201 **3 Results**

202 3.1 Temporal development of biocrust cover

203 Biocrusts occurred in 94 % of all ROPs and their cover within ROPs ranged between 1 % and 88 % over the course
204 of five years. The mean biocrust cover of all ROPs more than tripled from their installation in 2011 to the last
205 measurement in 2015 (Fig. 1). The increases were significant from 2011 to 2015 and from 2012 to 2013, 2013 to 2014
206 and 2014 to 2015 ($p < 0.001$).

207

208 [**Figure 1**]

209

210 Whereas a clear bryophyte-dominance of biocrusts was evident at the time of sampling in 2013 (average ROP surface
211 cover 24 %), different successional stages were identified in the field and on ROP photos from 2011 to 2015 (Fig. 2).
212 In 2011, a smooth, light cyanobacteria- and algae-dominated crust with few lichens and bryophytes indicated an earlier
213 stage of biocrust development (Colesie et al., 2016). In 2013, 25 moss and liverwort species were classified (Table 2)
214 and formed a bryophyte-dominated crust with some cyanobacteria, algae, lichens and micro-fungi still observed within
215 ROPs. The same was true in 2015, but first evidence of vascular plants (*Selaginella* and *Poaceae*) indicated a further
216 change in the vegetation cover of the soil surface.

217

218 [**Figure 2**]

219

220 [**Table 2**]

221

222 3.2 The influence of vegetation, soil and terrain on biocrust cover

223 The development of biocrust cover in 2013 was positively influenced by crown cover and LAI as attributes of the
224 surrounding vegetation (Table 3). Furthermore, it was negatively affected by slope and northness and slightly
225 positively affected by the altitude of the research plots as terrain attributes (Table 3). Further terrain attributes or any
226 soil attributes did not affect the development of biocrust cover.

227

228 [Table 3]

229

230 3.3 The impact of biocrust cover on soil erosion

231 Results reveal that biocrusts strongly affect soil erosion. ROPs with biocrust cover below 10 % showed a mean
232 sediment delivery of 302 g m⁻² and a mean runoff volume of 39 L m⁻², whereas ROPs with biocrust cover above 50 %
233 showed a mean sediment delivery of 74 g m⁻² and a mean runoff volume of 29 L m⁻². Both biocrust and stone cover,
234 as well as total soil surface cover (comprising both biocrust and stone cover, p<0.001) negatively affected sediment
235 delivery (Table 4). In addition, soil surface cover negatively affected surface runoff (p=0.003). However, only biocrust
236 but not stone cover mediated the effect of runoff. Furthermore, crown cover, SOM and rainfall amount affected
237 sediment delivery, whereas runoff was affected by crown cover and rainfall amount. ROPs with increased stone cover
238 showed higher sediment delivery and surface runoff compared to those with increased biocrust cover (Fig. 3).

239

240 [Table 4]

241

242 [Figure 3]

243

244 4 Discussion

245 4.1 Temporal development of biocrust cover

246 Biocrusts were detected widely within the experiment and occupied a considerable area in the interspaces of the
247 growing tree community. Thus, the first part of hypothesis 1, stating that biocrusts are able to coexist in mesic early
248 successional subtropical forests, can be confirmed, as they successfully colonised the newly created habitats
249 originating from the disturbance by forest clear-cutting and weeding (Bruehlheide et al., 2014). Although biocrusts

250 have been mainly defined to occur in dryland regions (Weber et al., 2016), they can also appear as a transient feature
251 in mesic environments after major singular or repeated disturbance events (Büdel et al., 2014, Fischer et al., 2014). In
252 the current study, deforestation provided a local arid microenvironment, which initiated early biocrust development.
253 At this young stage of forest development, biocrusts were able to coexist with upcoming tree saplings and formed a
254 pioneer vegetation on the soil surface (Langhans et al., 2009), which provides the basis for the growth of other plants
255 by the input of carbon and nitrogen (West, 1990; Evans and Johansen, 1999). Biocrusts are known to facilitate the
256 succession of vascular plants to more advanced stages (Bowker, 2007), but tree growth and thus crown cover can also
257 lead to an advancement in biocrust development, e.g. due to the protection from direct sunlight (Zhao et al., 2010;
258 *Tinya and Ódor, 2016*). The bryophyte-dominance of biocrusts in 2013 documented this development into a later and
259 somewhat moister successional stage. Later-stage bryophytes have received comparatively little attention in forest
260 understorey (Gilliam, 2007) and biocrust studies (Weber et al., 2016) and in Asia only 23 different species have been
261 reported within biocrusts up to now (Seppelt et al., 2016). Thus, this study with 25 recorded moss and liverwort
262 species, most of them being new records within Asian biocrusts (Burkhard Büdel, personal communication)
263 substantially increases the knowledge on biocrusts of this region.

264 The extent of biocrusts was strongly increasing since 2012 i.e. three years after tree replantation and still gaining
265 coverage in the sixth year after the experimental setup. Thus, the second part of hypothesis 1, stating that crust cover
266 decreases with ongoing canopy closure, has to be rejected. Even if single trees were already up to 7.4 m high (Li et
267 al., 2014) and LAI was up to 5.35 in 2013, biocrusts still remained coexisting within the early stage forest ecosystem.
268 Furthermore, increasing crown cover and LAI seemed to foster the development of bryophyte-dominated biocrusts at
269 this ecological stage. By the end of this study in summer 2016 (LAI up to 6.18), there were indications that biocrust
270 cover may start to be pushed back, as first vascular plants appeared in between. This is in line with existing literature,
271 demonstrating that continuing tree growth will cause biocrust communities to adapt with an altered composition of
272 moss and liverwort species (Eldridge and Tozer, 1997; Fenton and Frego, 2005; Goffinet and Shaw, 2009). It has been
273 shown, that bryophytes switch from species favouring sunny habitats to more shade-tolerant species (Zhao et al., 2010;
274 Müller et al., 2016). In addition, there might also be a reduction in bryophyte diversity due to shady conditions, where
275 only a smaller number of species could prevail. In later stages, biocrust cover will be replaced by vascular vegetation
276 (in light forests) or buried under persisting leaf litter (under darker conditions). In this context, the ecological roles of
277 biocrusts in succession models and plant restoration are of interest (Hawkes, 2004; Bowker, 2007). In particular,
278 biocrust succession in temperate climates has received limited scientific attention (Read et al., 2016). Furthermore,
279 there are several projects under way to establish successful restoration techniques in arid and semi-arid environments
280 (Rosentreter et al., 2003; Bowker, 2007; Chiquoine et al., 2016; Condon and Pyke, 2016), which could be adapted to
281 mesic environments. Nevertheless, it has to be stated that biocrust restoration might be dispensable in some mesic
282 systems, as natural reestablishment appeared to be very fast in this study.

283 4.2 The influence of vegetation, soil and terrain on biocrust cover

284 In the current study, the development of biocrusts was influenced by vegetation and terrain, but not by the three soil
285 attributes investigated in this study. Thus, hypothesis 2, stating that the biocrust development is not only influenced

286 by surrounding vegetation, but also by soil and terrain, can only partly be confirmed for this ecosystem. As
287 demonstrated above, high crown cover and LAI positively affected the development of biocrust cover in 2013. This
288 increase in biocrust cover is likely caused by successional alteration of biocrusts towards bryophyte-dominance.
289 Mosses and liverworts profit from humid conditions and a higher protection from light compared to cyanobacteria- or
290 lichen-dominated crusts (Ponzetti and McCune, 2001; Marsh et al., 2006; Williams et al., 2013). The successional
291 development of biocrusts within the BEF China experiment was faster than reported by Zhao et al. (2010) for Chinese
292 grasslands (Loess Plateau), who claimed biocrusts from a 3-year old site as early successional and dominated by
293 cyanobacteria. The recovery rate was also faster than described by Eldridge (1998) and Read et al. (2011) for semi-
294 arid Australia, each one of the very few studies on biocrust recovery under woodland. In the study presented here, the
295 rapid change in biocrust community composition is mainly linked to the growth rates of surrounding trees in this
296 subtropical forest. As functions of biocrusts, such as erosion reduction, are species-dependent, the rapid change in
297 species composition might also lead to considerable variations in functional responses. Further studies are required to
298 investigate species changeover times in different environments and particularly in disturbed mesic ecosystems.

299 Furthermore, several terrain attributes affected biocrust cover. Slope was the most prominent of those factors, causing
300 a considerable decline in biocrust cover with increasing slope. This finding was explained by their decreasing ability
301 to fix themselves on the soil surface at high slope angles and thus their tendency to erode from the soil surface, when
302 large surface water flows occur during rainfall events (Chamizo et al., 2013; Bu et al., 2016). Thus, the surface-
303 protecting effect of biocrusts decreases at steep plantation sites and during heavy monsoon rainfall events, which
304 frequently occur in the broader research area in Jiangxi Province, China (Yang et al., 2013; Goebes et al., 2015).
305 Moreover, microclimatic factors played a role in the development of biocrusts. Northness showed a positive impact
306 on biocrust cover and indicated that slope orientations towards the incident sunlight directly influence the biocrust
307 development. This was also observed in other studies in arid and semi-arid areas (Bowker et al., 2002; Zaady et al.,
308 2007). Furthermore, biocrust development depended on the altitude, which is probably also by affecting microclimatic
309 conditions (Kutiel et al., 1998; Chamizo et al., 2016; Bu et al., 2016). Those microclimatic factors are additionally
310 altered by the growing tree vegetation itself.

311 Interestingly, SOM and pH did not affect biocrust cover in this study, whereas generally, underlying substrates are a
312 main factor for bryophyte development (Spitale, 2017) and soil attributes are known to strongly influence biocrust
313 cover (Bowker et al., 2016). At the experimental area, increased organic matter contents and acidic conditions have
314 been determined (Scholten et al., 2017), which favour the development of bryophyte-dominated biocrusts (Eldridge
315 and Tozer, 1997; Seppelt et al., 2016). Nevertheless, the variation between the research plots was small and apparently
316 not large enough to cause prominent differences in biocrust development. Comparisons between forest plantations on
317 different substrates would help to clarify the influence of soil attributes on biocrust development in those environments
318 and to assess their effect in a broader environmental context (Spitale, 2017). Furthermore, a broader range of soil
319 parameters should be included in future studies.

320 4.3 The impact of biocrust cover on soil erosion

321 Biocrust cover clearly mitigated interrill soil erosion in this early stage ecosystem and thus hypothesis 3 was
322 confirmed. Sediment delivery was strongly reduced with increasing biocrust cover. For arid environments, e.g. Cantón
323 et al. (2011) and Maestre et al. (2011) showed that sediment delivery from soil surfaces covered with biocrusts
324 decreases compared to bare soil surfaces with physical crusting (from 20 g m⁻² to <1 g m⁻² and 40 g m⁻² to <5 g m⁻²,
325 respectively), both studies using micro-scale runoff plots (0.25 m²). Bu et al. (2015) and Zhao and Xu (2013) found
326 similar erosion-reducing patterns for the sub-arid temperate Chinese Loess Plateau. The study presented here shows,
327 that biocrusts fulfil this key ecosystem service also within a particular mesic habitat, even if their biomass and soil
328 penetration depth is low compared to trees. This functional role is due to the fact that biocrusts attenuate the impact
329 of raindrops on the soil surface and greatly improve its resistance against sediment detachment (Eldridge and Greene,
330 1994; Goebes et al., 2014; Zhao et al., 2014). Moreover, they have the ability to glue loose soil particles by
331 polysaccharides extruded by cyanobacteria and green algae (Buscot and Varma, 2005). In the current study,
332 protonemata and rhizoids of mosses and liverworts were observed to be most effective by weaving and thus fixing the
333 first millimetres of the top soil, as also described by Bowker et al. (2008). *Pogonatum inflexum* and *Atrichum*
334 *subserratum* for example have shown positive effects on erosion control due to their sustained protonema system
335 (personal observation). Furthermore, bryophytes increase the formation of humus, which in turn assists to bind primary
336 particles into aggregates (Scheffer et al., 2010; Zhang et al., 2016).

337 Whereas a partial stone cover did not decrease surface runoff in this study, bryophyte-dominated biocrusts positively
338 influenced the hydrological processes in the top soil layer regarding erosion control. Thus, they actively mitigated
339 initial soil erosion compared to abiotic components such as stones and pebbles. Biocrusts have been frequently shown
340 to influence hydrological processes such as surface runoff and infiltration rates (Cantón et al., 2011; Chamizo et al.,
341 2012; Rodríguez-Caballero et al., 2013). Recently, Chamizo et al. (2016) showed that biocrusts decrease runoff
342 generation at larger scale (>2 m²), but converse behaviour has also been found (Cantón et al., 2002; Maestre et al.,
343 2011). Reducing effects on runoff are related to biocrusts species composition (Belnap and Lange, 2003) and later
344 developmental biocrust stages with higher biomass levels provide more resistance to soil loss (Belnap and Büdel,
345 2016). Especially bryophyte-dominated crusts have shown to enhance infiltration and reduce runoff due to their
346 rhizome system, causing soil erosion rates to stay low (Warren, 2003; Yair et al., 2011). Also other field studies
347 revealed that later stage biocrusts, containing both lichens and bryophytes, offer more protection against soil erosion
348 than cyanobacterial crusts (Belnap and Gillette, 1997), as they provide higher infiltration potential (Kidron, 1995). On
349 the other hand, Drahorad et al. (2013) found an increase in water repellency and a decrease in water sorptivity with
350 ongoing biocrust succession on a temperate forest glade, which could also strongly affect runoff and sediment transport
351 on subtropical forest soil surfaces. Moreover, biocrusts dominated by bryophytes increase surface roughness and thus
352 slow down runoff (Kidron et al., 1999; Rodríguez-Caballero et al., 2012). Finally, they also absorb water and provide
353 comparably high water storage capacity (Warren, 2003; Belnap, 2006). For example, *Leucobryum juniperoideum*,
354 which was widely found in the study area, showed a high water absorbing capacity (personal observation). Thus, the
355 observed rapid change in biocrust composition from cyanobacteria to bryophyte dominance improved soil erosion
356 control in this forest environment. This effect should be considered for the replantation of forests in regions
357 endangered by soil erosion.

358

359 **5 Conclusion**

360 This study investigated the development and distribution of biocrusts in an early stage subtropical forest plantation as
361 well as their impact on interrill soil erosion after human disturbance. The following conclusions were obtained:

362 (1) Biocrusts occurred widely in this mesic early successional forest ecosystem in subtropical China and were already
363 dominated by bryophytes after three years of tree growth (25 bryophyte species classified). After six years of
364 continuing canopy closure, biocrust cover was still increasing. Further monitoring under closing tree canopy is of
365 importance to detect changes in biocrust cover and species composition. As this study discusses a very particular
366 subtropical forest environment, where trees were replanted after clear-cutting, results have to be viewed with this
367 particular setup in mind. Further studies on biocrust development in different disturbed forest ecosystems appear to
368 be of high interest.

369 (2) The surrounding vegetation and underlying terrain affected biocrust development, whereas soil attributes did not
370 have an effect at this small experimental scale. Besides high crown cover and LAI, the development of biocrusts was
371 favoured by low slope gradient, slope orientations towards the incident sunlight and altitude. Further research appears
372 to be necessary to explain effects of terrain attributes such as aspect or elevation and effects of underlying soil and
373 substrates.

374 (3) Soil surface cover of biocrusts largely affected soil erosion control in this early stage of the forest plantation.
375 Bryophyte-dominated crusts showed erosion-reducing characteristics with regard to both sediment delivery and
376 surface runoff. Furthermore, they were more effectively decreasing soil losses than abiotic soil surface covers. The
377 erosion-reducing influence of bryophyte-dominated biocrusts and their rapid development from cyanobacteria-
378 dominated crusts should be considered in management practices in early stage forest plantations. Further research is
379 required on functional mechanisms of different biocrust and bryophyte species and their impact on soil erosion
380 processes.

381

382 **Data availability**

383 Data are publicly accessible and archived at the BEF China data portal (<http://china.befdata.biow.uni-leipzig.de>).

384 **Author contribution**

385 Steffen Seitz and Thomas Scholten designed the experiment and Steffen Seitz, Zhengshan Song, Kathrin Käppeler
386 and Carla L. Webber carried it out. Martin Nebel and Kathrin Käppeler classified biocrust types and determined
387 bryophyte species. Steffen Seitz, Philipp Goebes and Karsten Schmidt performed the statistical models. Steffen Seitz,
388 Xuezheng Shi and Bettina Weber prepared the manuscript with contributions from all co-authors. The authors declare
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720 **Tables**

721 **Table 1: Erosion, soil, soil cover, vegetation and terrain attributes in 170 runoff plots (ROPs) and on 34 research plots**
 722 **(with five ROPs each) in Xingangshan, Jiangxi Province, PR China in 2013.**

	<i>Min</i>	<i>Mean</i>	<i>Max</i>	<i>Sd</i>
<i>Runoff plots (ROPs, four measured rainfall events, n=334)</i>				
Sediment delivery [g m ⁻²]	21.6	195.5	989.0	165.8
Surface runoff [L m ⁻²]	3.1	40.3	111.8	21.7
Rainfall amount [mm]	25	94	178	28
<i>Runoff plots (ROPs in use, n=170)</i>				
Slope [°]	5	29	60	6
Soil cover [%]	0	19	62	14
- Biological soil crust cover [%]	0	24	62	14
- Stone cover [%]	0	4	42	6
Crown cover [%]	0.00	0.32	1.00	0.34
Leaf area index (LAI)	0.00	0.73	5.35	1.04
<i>Research plots (n=34)</i>				
Bulk soil density [g cm ⁻²]	0.83	0.98	1.12	0.06
Soil organic matter [%]	4.2	6.5	9.7	1.7
pH (KCl)	3.24	3.66	4.00	0.18
Altitude [m]	119	167	244	37
MCCA	0.98	2.07	3.81	0.83
TRI	0.72	2.39	3.86	0.59
Eastness	-0.86	0.09	0.99	0.56
Northness	-0.87	0.23	0.99	0.62

Tree height [m]	1.0	2.2	7.4	1.7
Crown width [m]	0.4	1.2	3.0	0.8

723 **Soil cover: proportion of soil surface area covered by biocrusts or stones, crown cover: proportion of soil surface area**
724 **covered by crowns of live trees, leaf area index: one-sided green leaf area per unit soil surface area, MCCA: Monte-Carlo**
725 **based flow accumulation (Behrens), TRI: terrain ruggedness index (Riley), Eastness and Northness: state of being east or**
726 **north (Roberts), tree height: distance from stem base to apical meristem, crown width: length of longest spread from edge**
727 **to edge across the crown. Min: minimum, Max: maximum, Sd: standard deviation.**

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750 **Table 2: Liverwort and moss species sampled in the BEF China experiment in Xingangshan, Jiangxi Province, PR China**
 751 **in 2013.**

Family	Species	Author
<u>Liverworts</u>		
<i>Calypogeiaceae</i>	<i>Calypogeia fissa</i>	(L.) Raddi
<i>Conocephalaceae</i>	<i>Conocephallum salebrosum</i>	Szweyk., Buczk. et Odrzyk.
<i>Lophocoleaceae</i>	<i>Heteroscyphus zollingeri</i>	(Gottsche) Schiffn.
<i>Marchantiaceae</i>	<i>Marchantia emarginata</i>	Reinw., Blume et Nees
<i>Acrobolbaceae</i>	<i>Notoscyphus lutescens</i>	(Lehm. et Lindenb.) Mitt.
<u>Mosses</u>		
<i>Polytrichaceae</i>	<i>Atrichum subserratum</i>	(Harv. et Hook. f.) Mitt.
<i>Pottiaceae</i>	<i>Barbula unguiculata</i>	Hedw.
<i>Bryaceae</i>	<i>Bryum argenteum</i>	Hedw.
<i>Leucobryaceae</i>	<i>Campylopus atrovirens</i>	De Not.
<i>Dicranellaceae</i>	<i>Dicranella heteromalla</i>	(Hedw.) Schimp.
<i>Pottiaceae</i>	<i>Didymodon constrictus</i>	(Mitt.) K. Saito
<i>Pottiaceae</i>	<i>Didymodon ditrichoides</i>	(Broth.) X.J. Li et S. He
<i>Ditrichaceae</i>	<i>Ditrichum pallidum</i>	(Hedw.) Hampe
<i>Entodontaceae</i>	<i>Entodon spec.</i>	sterile
<i>Hypnaceae</i>	<i>Hypnum cupressiforme</i>	Hedw.
<i>Hypnaceae</i>	<i>Hypnum macrogynum</i>	Besch.
<i>Leucobryaceae</i>	<i>Leucobryum juniperoideum</i>	(Brid.) Müll. Hal.
<i>Bartramiaceae</i>	<i>Philonotis marchica</i>	(Hedw.) Brid.
<i>Bartramiaceae</i>	<i>Philonotis mollis</i>	(Dozy et Molk.) Mitt.
<i>Bartramiaceae</i>	<i>Philonotis roylei</i>	(Hook. f.) Mitt.
<i>Mniaceae</i>	<i>Plagiomnium acutum</i>	(Lindb.) T.J. Kop.
<i>Polytrichaceae</i>	<i>Pogonatum inflexum</i>	(Lindb.) Sande Lac.
<i>Thuidiaceae</i>	<i>Thuidium glaucinoides</i>	Broth.
<i>Mniaceae</i>	<i>Trachycystis microphylla</i>	(Dozy et Molk.) Lindb.
<i>Pottiaceae</i>	<i>Trichostomum crispulum</i>	Bruch

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760 **Table 3: Results of the final linear mixed effects (LME) model for vegetation, soil and terrain attributes on biological soil**
 761 **crust cover in Xingangshan, Jiangxi Province, PR China in 2013 (***: p < 0:001, **: p < 0:01, *: p < 0:05, .: p < 0:1, ns:**
 762 **not significant, n=215).**

Biological soil crust cover				
	denDF	F	Pr	estim.
<i>Fixed effects</i>				
Crown cover	136	12.9	***	10.8
Bulk soil density	37	0.03	ns	3.65
SOM	39	1.11	ns	(-)0.95
pH (KCl)	38	2.47	ns	(-)16.7
Altitude	37	3.69	.	0.80
Slope	191	7.53	**	(-)2.72
MCCA	39	0.02	ns	0.33
TRI	38	0.04	ns	(-)0.40
Eastness	37	2.73	ns	(-)4.23
Northness	37	9.14	**	5.99
Tree species richness	38	1.22	ns	(-)0.27
<i>Random effects</i>		<i>Sd</i>	<i>Variance</i>	
Site		<0.01	<0.01	
Plot		<0.01	<0.01	
<i>Vegetation attribute fitted in exchange to crown cover</i>				
Leaf area index	107	42.8	***	5.98

763 SOM: soil organic matter, MCCA: monte carlo based flow accumulation, TRI: topographic roughness index, denDF:
 764 denominator degrees of freedom, F: F value, Pr: significance, estim.: estimates, Sd: standard deviation

765 **Table 4: Results of the final linear mixed effects (LME) models for sediment delivery and surface runoff with surface**
 766 **cover split into biological soil crust cover and stone cover in Xingangshan, Jiangxi Province, PR China in 2013 (***: p <**
 767 **0:001, **: p < 0:01, *: p < 0:05, .: p < 0:1, ns: not significant, n=334).**

	Sediment delivery				Surface runoff			
	den DF	F	Pr	estim.	den DF	F	Pr	estim.
<i>Fixed effects</i>								
Crown cover	130	6.53	*	(-)0.15	173	9.11	**	(-)0.14
Slope	151	1.23	ns	0.06	168	2.25	ns	(-)0.06
Surface cover								
- Biocrust	151	50.2	***	(-)0.38	159	8.11	**	(-)0.12
- Stone	136	10.3	**	(-)0.19	188	1.66	ns	(-)0.06
SOM	44	5.71	*	(-)0.08	72	2.43	ns	0.12
Rainfall	95	5.46	*	(-)0.08	302	13.2	***	0.14
Tree species richness	22	0.46	ns	0.05	68	0.11	ns	(-)0.03
<i>Random effects</i>								
		<i>Sd</i>	<i>Var.</i>			<i>Sd</i>	<i>Var.</i>	
Precip. event : plot		0.199	0.040			0.537	0.288	
Tree composition		0.292	0.085			0.000	0.000	
Site		0.466	0.217			0.443	0.196	
Plot : ROP		0.441	0.195			0.269	0.073	

768 **SOM: soil organic matter, denDF: denominator degrees of freedom, F: F value, Pr: significance, estim.: estimates, Sd:**
 769 **standard deviation, Var.: variance**

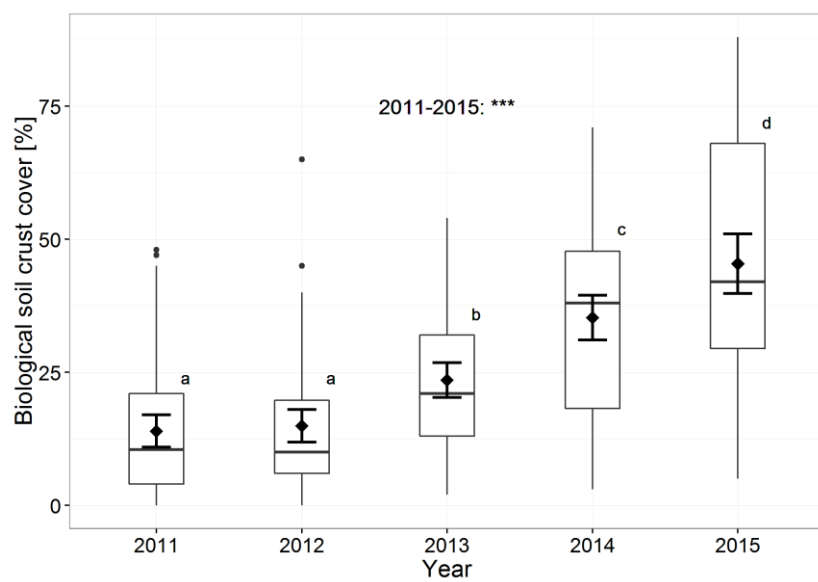
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774 **Figures**



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776 **Figure 1: The development of biological soil crust cover in runoff plots of the BEF China experiment from 2011 to 2015 in**
777 **Xingangshan, Jiangxi Province, PR China (n=350). Horizontal lines within boxplot represent medians and diamonds**
778 **represent means with standard error bars. Points signify outliers and small letters significant differences (p<0.001).**

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787 **Figure 2: Successional stages of biological soil crusts in two exemplary runoff plots (top row and bottom row, 0.4 m × 0.4**
788 **m each) in 2011, 2013 and 2015 (from left to right) at the BEF China experiment in Xingangshan, Jiangxi Province, PR**
789 **China.**

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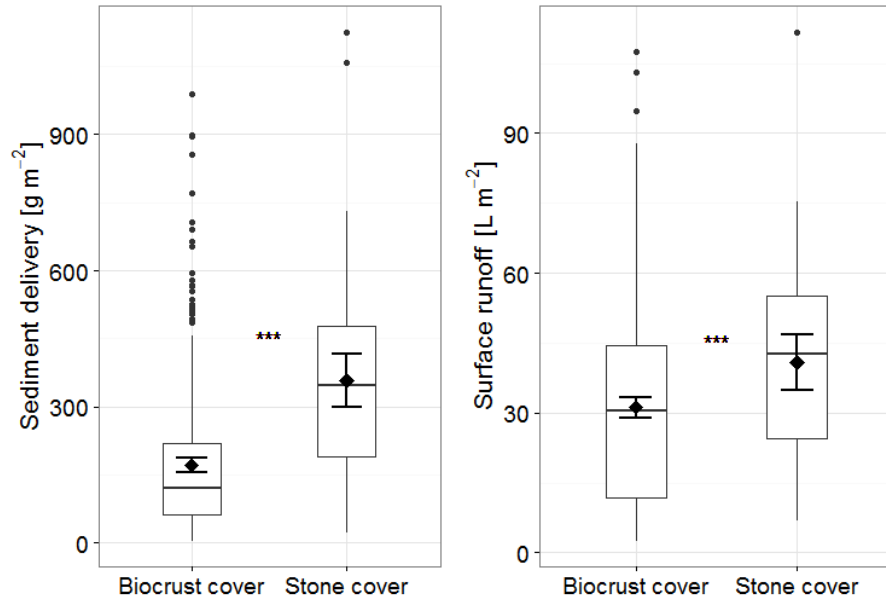
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798 **Figure 3: The influence of runoff plots dominated by biological soil crust cover (n=281) and stone cover (n=53) on**
 799 **sediment delivery and surface runoff in Xingangshan, Jiangxi Province, PR China in 2013. Horizontal lines within box**
 800 **plots represent median and diamonds represent mean with standard error bars.**