Re: bg-2018-100, "Plant responses to volcanically-elevated CO₂ in two Costa Rican forests", Revision 3

- Dear Dr Gillikin, Associate Editor,

4	We here submit our revised manuscript, "Plant responses to volcanically-elevated CO2 in two Costa
5	Rican forests".

We would like to thank you for your help throughout the editorial process, and would also like to thank the two anonymous referees for their helpful and positive reviews. While the paper only required minor revisions this time, we believe that these revisions have strengthened the paper due to the addition of the excellent references suggested by the referees and some other recently published articles that support our research.

The reviewers recognize the great potential for this method to contribute to major developments in both volcanology and ecology, while highlighting a few areas that would further strengthen the paper. We have made some notable changes as suggested by the referees to increase clarity and reinforce the basis for our interpretation of our results. We have updated the document name to REV3, and all changes made since the previous version (REV2) have been tracked.

- If you need any further information from us, we are more than happy to provide it. Thank you for again for considering our manuscript for publication in Biogeosciences.
- On behalf of the co-authors,
- Robert Bogue and Florian M Schwandner

- Detailed author replies to referee's comments on REV2, and implementation in REV3

34 AUTHOR - General Response to Referee #3 (Report #1): We thank the referee for their well thought out 35 review and their suggestion of several helpful references of which we were previously unaware. In this 36 revision, we have added further discussion of previous use of natural springs for similar studies and some 37 of the advantages volcanoes offer compared to springs, for this type of project. The reviewer was correct 38 that our discussion of previous studies which utilized ¹⁴C was overly brief, so we have expanded that 39 section to include more specific information on several studies which have found interesting results with 40 that method. Their suggestion of including Houlié et al. 2007 and Seiler et al. 2017 was appreciated, as we 41 were not familiar with these articles, but they are quite interesting in the context of our results and 42 strengthen our claim that volcanic CO₂ can have positive on effects local ecosystems. We have also added some material on the use of ¹³C at FACE sites, which provides support for our interpretation that our 43 observed variances in δ^{13} C are likely caused by elevated levels of CO₂ with a different isotopic signature. 44

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45 <u>AUTHOR – General Response to Referee #4 (Report #2):</u> We would like to thank the referee for spotting 46 a few formatting mistakes and suggesting some areas which needed additional clarity. We have edited 47 the first few mentions of the species studied in the paper to clarify how many species were actually 48 studied and which species they are.

49 AUTHOR's note: A variety of minor changes have been made throughout the document, such as removing
 50 unnecessary commas and minor wording changes. These changes have all been tracked but are not
 51 specifically commented on in the following responses.

Referee #3 (Comment #1): The use of volcanoes as experiments is certainly novel and of interest, but affected by the same problems, i.e., many other interacting factors, as the use of natural springs - as mentioned by the authors. This point should be discussed in the paper. Also, some fundamental work done at natural springs may help in interpreting the results and should be looked at (rather than Paoletti et al., which is confusing with tropospheric ozone effects): Miglietta and Körner Oecologia 1993, Hättenschwiler et al. GlChBiol 1997, Tognetti et al. New Phytol 2000, and there is even a book on natural springs by Raschi, Miglietta, Tognetti pioneering such works in Tuscany.

59 AUTHOR reply: The references suggested were quite helpful and have been added to the manuscript. The 60 reviewer is correct that the previous work on springs and how it relates to our work should have been 61 further discussed in the paper, and we have made changes to correct that.

AUTHOR's changes in revised manuscript: We added these references to our first mention of CO₂ springs in the paper (line 45-46). A reference to Tognetti et al. 2000 was also added to discussion of nitrogen limitation in elevated CO₂ conditions in section 4.2 We have also added some discussion of the spring studies and the potential advantages of using volcanoes, including a recent meta-analysis paper which compared spring studies to FACE experiments, in section 4.4 (Lessons learned for future studies).

67 Referee #3 (comment #2): I was also wondering if there is any work done on carbon stable isotopes at
68 FACE sites, which might help in the discussion.

69 AUTHOR reply: We searched the literature and found a reference from 2005 which used ¹³C as a tracer of 70 the amount of artificial CO₂ being assimilated by trees at a FACE experiment. There are other studies which

71 have used ¹³C to trace carbon cycling at FACE experiments, but most of the other ones we found focused

on plants other than trees or soil carbon, so we used this reference and added some discussion of it. We
 believe this will provide some additional context for our interpretation of our isotope results.

74 **AUTHOR's changes in revised manuscript:** We added a reference to Körner et al. 2005 and some 75 discussion of it to Section 4.1 (Long-term plant uptake of volcanic CO₂).

76 **Referee #3 (comment #3):** In my opinion, the results are of real remarkable importance because suggest

a possible future utilization of 13C stable isotopes, leaf fluorescence and chlorophyll content in vegetation
to detect changes in deep volcanic degassing processes. That's novel, promising and exciting! Is it the first
time it is shown? If so, it should be stated. Please, look at the NDVI on Mt. Etna and in Congo by Houlié et
al. EPSL 2007 and its interpretation by Seiler et al. PLoS ONE 2017.

AUTHOR reply: We were previously unaware of these two papers and would have included them if we had been aware of them because they are directly relevant to our work and provide some support for our claim that volcanic CO₂ has significant impacts on trees. We have added some discussion of these papers and how they relate to our work.

AUTHOR's changes in revised manuscript: We added some discussion of these two papers to the introduction. We also mention it to help our interpretation of results in section 4.2 (Short-term response to eCO₂). We added a citation to it near the end of section 4.4 (Lessons learned for future studies) to support our claim that we may be able to use trees to detect changes in degassing and volcanic activity in section 4.4.

Referee #3 (comment #4): One of the reviewers of the ms during the first round of review pointed out how
 14C may help in detecting the impact of fossil CO2 on trees, and the authors reply that it is expensive,
 which is right and good. However, in the discussion, some deeper analysis on what was found by other
 studies using 14C might probably help.

94 AUTHOR reply: We agree that a better understanding of these previous studies would help readers 95 understand the motivations for our study and our interpretations of the results. As such, we have added 96 discussion of several of these studies of ¹⁴C content of tree rings in a variety of volcanic settings. Some of 97 these studies even found direct correlations between ¹³C and ¹⁴C, strengthening our case that ¹³C can be 98 used as a cheaper effective tracer of volcanic CO₂.

AUTHOR's changes in revised manuscript: We added significant discussion of previous studies in the introduction. We also added some text discussing the link between ${}^{13}C$ and ${}^{14}C$ in trees that have assimilated volcanic CO₂ in section 4.4.

Referee #3 (comment #5): In the supplementary material the reference Allard et al is without publication
 year.

104 **AUTHOR reply:** We have fixed it in the revised version.

105 AUTHOR's changes in revised manuscript: The reference information has been corrected.

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107 **Referee #4 (comment on line 23-24):** add species names

108 AUTHOR reply: We have added the species names and clarified the number of species studied.

- AUTHOR's changes in revised manuscript: Species names and number of species studied have beenadded to this sentence.
- 111 Referee #4 (comment on line 83): there are two or three species?
- AUTHOR reply: We studied three species. We realize this was confusing when compared with the statement in the abstract that the previous comment was about. We think the changes discussed in the previous comment have made it clearer about how many species were studied.
- AUTHOR's changes in revised manuscript: No additional changes have been made, as the changes made for the previous comment are enough to clarify the number of species studied.
- Referee #4 (comment on line 90): Careful with this asseveration, for example Barva volcano is the largest
 volcanic mastiff in Central America, with an approximate area of 1120 to 1500 km2 (Alvarado, 2011).
- AUTHOR reply: We realize that this statement was perhaps difficult to quantify. It also is not important to the overall point of the paper, so we have removed it and revised the sentence.
- AUTHOR's changes in revised manuscript: We have changed this sentence to focus more on the two volcanoes that we studied, rather than comparing them to uncertain figures for all volcanoes generally.
- 123 Referee #4 (comment on line 182): erase comma
- 124 **AUTHOR reply:** This comment was an error and has been deleted.
- 125 AUTHOR's changes in revised manuscript: The necessary changes have been made.
- 126 Referee #4 (comment on line 195): .
- 127 AUTHOR reply: There was a period missing from the end of the sentence, which we have added.
- 128 AUTHOR's changes in revised manuscript: We have added the period.
- 129 Referee #4 (comment on line 232): separate upper slopes
- 130 AUTHOR reply: "Upperslopes" was mistakenly typed as a single word in the manuscript. We have fixed it.
- 131 AUTHOR's changes in revised manuscript: "Upperslopes" is now correctly typed as "upper slopes".
- 132 Referee #4 (comment on line 244): Campion et al. (2010).
- 133 **AUTHOR reply:** This reference was incorrectly formatted and has been adjusted.
- 134 AUTHOR's changes in revised manuscript: We have formatted the reference correctly.

136 Plant responses to volcanically-elevated CO₂ in two Costa Rican

137 forests

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154	Revised manuscript prepared for:	
155	Biogeosciences (Copernicus), https://www.biogeosciences.net/	
156	<u>REV3 (2019-02-17)</u>	Deleted: REV2 (2018-11-01)
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164 Abstract. We explore the use of active volcanoes to determine the short- and long-term effects of elevated CO2 on 165 tropical trees. Active volcanoes continuously but variably emit CO2 through diffuse emissions on their flanks, exposing the overlying ecosystems to elevated levels of atmospheric CO_2 . We found tight correlations (r^2 =0.86 and 166 167 $r^2=0.74$) between wood stable carbon isotopic composition and co-located volcanogenic CO₂ emissions for two of 168 three investigated species (Oreopanax xalapensis and Buddleja nitida), which documents the long-term 169 photosynthetic incorporation of isotopically heavy volcanogenic carbon into wood biomass. Measurements of leaf 170 fluorescence and chlorophyll concentration suggest that volcanic CO₂ also has measurable short-term functional 171 impacts on select species of tropical trees. Our findings indicate significant potential for future studies to utilize 172 ecosystems located on active volcanoes as natural experiments to examine the ecological impacts of elevated 173 atmospheric CO₂ in the tropics and elsewhere. Results also point the way toward a possible future utilization of 174 ecosystems exposed to volcanically elevated CO₂ to detect changes in deep volcanic degassing by using selected 175 species of trees as sensors.

176 1 Introduction

177 Tropical forests represent about 40% of terrestrial Net Primary Productivity (NPP) worldwide, store 25% of biomass 178 carbon, and may contain 50% of all species on Earth, but the projected future responses of tropical plants to globally 179 rising levels of CO2 are poorly understood (Leigh et al., 2004; Townsend et al., 2011). The largest source of uncertainty comes from a lack of understanding of long-term CO2 fertilization effects in the tropics (Cox et al., 2013). Reducing 180 181 this uncertainty would significantly improve Earth system models, advances in which would help better constrain 182 projections in future climate models (Cox et al., 2013; Friedlingstein et al., 2013). Ongoing debate surrounds the 183 question of how much more atmospheric CO2 tropical ecosystems can absorb-the "CO2 fertilization effect" (Gregory et al., 2009; Kauwe et al., 2016; Keeling, 1973; Schimel et al., 2015). 184

185 Free Air CO₂ Enrichment (FACE) experiments have been conducted to probe this question, but none have 186 been conducted in tropical ecosystems (e.g. Ainsworth and Long, 2005; Norby et al., 2016). Some studies have used 187 CO2-emitting natural springs to study plant responses to elevated CO2, but these have been limited in scope due to the 188 small spatial areas around springs that experience elevated CO2 (Hattenschwiler et al., 1997; Körner and Miglietta, 189 1994; Paoletti et al., 2007; Saurer et al., 2003), These studies have suffered from several confounding influences, 190 including other gas species that accompany CO2 emissions at these springs, human disturbances, and difficulty with 191 finding appropriate control locations. Additionally, none have been conducted in the tropics (Pinkard et al., 2010). A 192 series of studies in Yellowstone National Park (USA) used its widespread volcanic hydrothermal CO2 emissions for 193 the same purpose, though it is not in the tropics (Sharma and Williams, 2009; Tercek et al., 2008). Yellowstone was 194 particularly suitable for this type of study, due to its protected status as a National Park, and because the large areas 195 of CO2 emissions made control points more available (Sharma and Williams, 2009; Tercek et al., 2008). These studies 196 reported changes in rubisco, an enzyme central to CO₂ fixation, and sugar production in leaves similar to results from 197 FACE experiments, suggesting that volcanically-influenced areas like Yellowstone have untapped potential for 198 studying the long-term effects of elevated CO2 on plants.

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201 Tropical ecosystems on the vegetated flanks of active volcanoes offer large and diverse ecosystems that could 202 make this type of study viable. Well over 200 active volcanoes are in the tropics (Global Volcanism Program, 2013) 203 and many of these volcanoes are heavily forested. However, fewer of these tropical volcanic forests have sufficient 204 legal protection to be a source of long-term information, and the effects of diffuse volcanic flank gas emissions on the 205 overlying ecosystems remain largely unknown. Most previous studies focused on extreme conditions, such as tree kill 206 areas associated with extraordinarily high CO2 emissions at Mammoth Mountain, CA (USA) (Biondi and Fessenden, 207 1999; Farrar et al., 1995; Sorey et al., 1998). However, the non-lethal effects of cold volcanic CO₂ emissions—away 208 from the peak emission zones, but still in the theorized fertilization window-have received little attention, and could 209 offer a new approach to studying the effects of elevated CO₂ on ecosystems (Cawse-Nicholson et al., 2018; Vodnik 210 et al., 2018). The broad flanks of active volcanoes experience diffuse emissions of excess CO₂ because the underlying 211 active magma bodies continuously release gas, dominated by CO2 transported to the surface along fault lines (Chiodini 212 et al., 1998; Dietrich et al., 2016; Farrar et al., 1995). This process has frequently been studied to understand the 213 dynamics of active magma chambers and to assess potential volcanic hazards (Chiodini et al., 1998; Sorey et al., 214 1998). These emissions are released through faults and fractures on the flanks of the volcano (Burton et al., 2013; 215 Pérez et al., 2011; Williams-Jones et al., 2000)(see Supplementary Figure S1). Volcanic flanks through which these 216 gases emanate are broad, covering typically 50-200 km², often supporting well-developed, healthy ecosystems. Some 217 of these faults tap into shallow acid hydrothermal aquifers, but by the time these gases reach the surface of most 218 forested volcanoes, soluble and reactive volcanic gas species (e.g., SO₂, HF, HCl, H₂S) have been scrubbed out in the 219 deep subsurface, leading to a diffusely emanated gas mix of predominantly CO2 with minor amounts of hydrogen, 220 helium, and water vapor reaching the surface (Symonds et al., 2001).

221 Trees in these locations are continuously exposed to somewhat variably elevated concentrations of CO2 222 (eCO₂), although the specific effects of this eCO₂ on the trees are not well understood. Volcanic CO₂ has no ¹⁴C and 223 $a\delta^{13}C$ signature typically ranging from around -7 to -1 %, which is distinct from typical vegetation and noticeably 224 enriched in ¹³C compared to typical atmospheric values (Mason et al., 2017). If trees incorporate volcanic CO₂, then 225 the stable carbon isotopic composition of wood may document the long-term, possibly variable influence of volcanic 226 CO2 during the tree's growth. With this tracer available, volcanic ecosystems could become a valuable natural 227 laboratory to study the long-term effects of elevated CO2 on ecosystems, especially in understudied regions like the 228 tropics. Several studies have found correlations between variations in volcanic CO₂ flux and plant ¹⁴C records at 229 Mammoth Mountain, Yellowstone, and Naples, which agreed well with previous observations at these well-studied 230 sites (Cook et al., 2001; Evans et al., 2010; Lefevre et al., 2017; Lewicki et al., 2014). The Mammoth Mountain and 231 Yellowstone studies linked seismic swarms and accompanying increases in CO2 flux to decreases in ¹⁴C content in 232 tree rings in 1 or 2 trees, demonstrating the methods utility for uncovering yearly-scale variations in volcanic CO2 233 fluxes (Cook et al., 2001; Evans et al., 2010; Lewicki et al., 2014). The Naples study instead focused on using ¹⁴C in 234 grasses as short term (2 to 6 month) monitors of volcanic CO2 flux, which is useful for volcanic monitoring due to the 235 time-integrated signal they provide (Lefevre et al., 2017). A study of plants growing at Furnas volcano found very 236 strong (r^2 >0.85) correlations between depletions in ¹⁴C and enrichments in ¹³C from volcanic CO₂ in three species of 237 plants, although this study also had a relatively limited (5 samples per species) dataset (Pasquier-Cardin et al., 1999).

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245	$\underline{\text{The previously mentioned Naples study also found some correlation between {}^{13}\text{C} \text{ and } {}^{14}\text{C}, although it was not as strong}$
246	as the study in Furnas (Lefevre et al., 2017; Pasquier-Cardin et al., 1999). Additionally, short-term effects of eCO2
247	might be revealed by plant functional measurements at the leaf scale, where the additional CO_2 could increase carbon
248	uptake in photosynthesis. A series of studies at Mt. Etna in Italy and Mt. Nyiragongo in the Democratic Republic of
249	the Congo found linear anomalies in NDVI (normalized difference vegetation index), a measure of vegetation
250	greenness (Houlié et al., 2006). One to two years after the appearance of the NDVI anomalies, flank eruptions occurred
251	directly along the line of the anomaly, indicating a plant response to the volcano's pre-eruptive state which may be
252	due to increased CO ₂ emissions in the buildup to the eruption (Houlié et al., 2006). A follow-up study found that the
253	trees on Mt. Etna were relatively insensitive to changes in temperature and water availability, strengthening the case
254	that volcanic influence was indeed responsible for the NDVI anomaly (Seiler et al., 2017).
1	

Here we provide preliminary results on the short- and long-term non-lethal impacts of diffuse volcanic CO₂ emissions on three species of tropical trees on the flanks of two active volcanoes in Costa Rica. We also explore the viability of studying volcanically-influenced ecosystems to better understand potential future responses to elevated <u>CO₂ and</u> suggest adjustments to our approach that will benefit future, similarly-motivated studies.

259 2 Methods

260 2.1 Investigated locations and sampling strategy

261 Irazú and Turrialba are two active volcanoes located ~25 and 35 km east of San José, Costa Rica (Fig. 1). These two 262 volcanoes are divided by a large erosional basin. The forested portions of the two volcanoes cover approximately 315 263 km2_The vast majority of the northern flanks of Irazú and Turrialba are covered in legally protected dense old-growth 264 forest, while the southern flanks are dominated by pasture land and agriculture. Turrialba rises 3,300 m above its base 265 and has been active for at least 75,000 years with mostly fumarolic activity since its last major eruption in 1866 266 (Alvarado et al., 2006). It has experienced renewed activity beginning in 2010, and its current activity is primarily 267 characterized by a near-constant volcanic degassing plume, episodic minor ash emissions, and fumarolic discharges 268 at two of the summit craters, as well as significant diffuse and fumarolic gas emissions across its flanks, focused along 269 fault systems (Martini et al., 2010). Turrialba's CO₂ emissions in areas proximal to the crater were calculated at 113 270 ± 46 tons/d (Epiard et al., 2017). The Falla Ariete (Ariete fault), a major regional fault, runs northeast-southwest 271 through the southern part of Turrialba's central edifice and is one of the largest areas of diffuse CO2 emissions on 272 Turrialba (Epiard et al., 2017; Rizzo et al., 2016). Atmospheric CO₂ has an average δ^{13} C value of -9.2 ‰ at Turrialba, 273 and the volcanic CO₂ released at the Ariete fault has significantly heavier δ^{13} C values clustered around -3.4 ‰ 274 (Malowany et al., 2017).

275 Irazú has been active for at least 3,000 <u>years and had minor phreato-magmatic eruptions in 1963 and a single</u>

hydrothermal eruption in 1994. Currently, Irazú's activity primarily consists of shallow seismic swarms, fumarolic
 crater gas emissions, small volcanic landslides, and minor gas emissions on its northern forested flank (Alvarado et

al., 2006; Barquero et al., 1995). Diffuse cold flank emissions of volcanic CO₂ represent the vast majority of gas

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discharge from Irazú, as the main crater releases $3.8 \text{ t} \text{ d}^{-1}$ of CO₂ and a small area on the north flank alone releases 15 t d⁻¹ (Epiard et al., 2017). Between the two volcanoes, a major erosional depression is partially occupied by extensive dairy <u>farms and</u> is somewhat less forested than their flanks.

286 In this study, we focused on accessible areas between 2,000 and 3,300 m on both volcanoes (Fig. 1). On 287 Irazú, we sampled trees and CO₂ fluxes from the summit area to the north, near the approximately north-south striking 288 Rio Sucio fault, crossing into the area dominated by dairy farms on Irazú's lower northeastern slope. Of significant 289 importance for this type of study is that all active volcanoes on Earth continuously emit CO2 diffusely through fractures 290 and diffuse degassing structures on their flanks, at distances hundreds to thousands of meters away from the crater (Dietrich et al., 2016; Epiard et al., 2017), and this elevated CO₂ degassing persists continuously and consistently over 291 292 decades to centuries (Burton et al., 2013; Delmelle and Stix, 1999; Nicholson, 2017). There is no inherent seasonal or 293 meteorological variability of the source gas pressure, and no dependence on shallow soil or vegetation chemistry or 294 biology (though increased soil moisture in the rainy season, wind, and atmospheric pressure can modulate gas 295 permeability of the shallow soil) (Camarda et al., 2006). The soil overlying deep reaching fracture systems acts as a 296 diffuser through which the volcanic gas percolates and enters the sub-canopy air. For our study sites, portions of the 297 volcanoes with active "cold" CO₂ degassing have already been assessed and mapped previously (Epiard et al., 2017; 298 Malowany et al., 2017).

299 Our sampling locations on Irazú were located along a road from the summit northward down into this low-300 lying area. On Turrialba, we focused on an area of known strong emissions but intact forests on the SW slope, uphill 301 of the same erosional depression, but cross-cut by the major NE-SW trending active fracture system of the Falla Ariete. 302 We sampled three main areas of the Falla Ariete, each approximately perpendicularly transecting the degassing fault 303 along equal altitude; the upper Ariete fault, the lower Ariete fault, and a small basin directly east of the old Cerro 304 Armado cinder cone on Turrialba's south-western flank. We took a total of 51 tree samples (17 were excluded after 305 stress screening) at irregular intervals depending on the continued availability and specimen maturity of three species 306 present throughout the transect.

307 All transects are in areas experiencing measurable CO2 enhancements from the Falla Ariete, but not high 308 enough in altitude to be in areas generally downwind of the prevailing crater emissions plume (Epiard et al., 2017). 309 We avoided areas that experience ash fall, high volcanic SO₂ concentrations, local anthropogenic CO₂ from farms, or 310 that were likely to have heavily acidified soil. Excessively high soil CO₂ concentrations can acidify soil, leading to 311 negative impacts on ecosystems growing there (McGee and Gerlach, 1998). Because such effects reflect by-products 312 of extreme soil CO₂ concentrations rather than direct consequences of elevated CO₂ on plants, we avoided areas with 313 CO2 fluxes high enough to possibly cause noticeable CO2-induced soil acidification. Light ash fall on some days likely 314 derived from atmospheric drift, as we were not sampling in areas downwind of the crater. The ash fall did not in any 315 noticeably way affect our samples, as trees showing ash accumulation on their leaves or previous damage were the 316 exception and avoided. Altitude, amount of sunlight during measurements, and aspect had no consistent correlations 317 with any of the parameters we measured.

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319 2.2 Studied tree species

320 Our study focused on three tree species found commonly on Turrialba and Irazú: Buddleja nitida, Alnus acuminata, and Oreopanax xalapensis. B. nitida is a small tree with a typical stem diameter (DBH) ranging from 5 to 40 cm that 321 322 grows at elevations of 2,000-4,000 m throughout most of Central America (Kappelle et al., 1996; Norman, 2000). The 323 DBH of the individuals we measured ranged from 11.5 to 51.3 cm, with an average of 29.85 cm. It averages 4-15 m 324 in height and grows primarily in early and late secondary forests (Kappelle et al., 1996; Norman, 2000). A. acuminata 325 is a nitrogen-fixing pioneer species exotic to the tropics that can survive at elevations from 1,500-3,400 m, although it is most commonly found between 2,000-2,800 m (Weng et al., 2004). The trees we measured had DBH ranging 326 327 from 14.3 to 112 cm, with an average of 57.14 cm. O. xalapensis thrives in early and late successional forests, although 328 it can survive in primary forests as well (Kappelle et al., 1996; Quintana-Ascencio et al., 2004). It had the smallest 329 average DBH of the three species, ranging from 6.6 to 40.9 cm, with an average of 22.71 cm.

330 2.3 CO₂ concentrations and soil diffuse flux measurements

331 Soil CO2 flux was measured with an accumulation chamber near the base of the tree (generally within 5 meters, terrain 332 permitting) at three different points and then averaged to provide a single CO₂ flux value to compare to the ¹³C 333 measurement of the corresponding tree sample. This technique is intended to provide a simple relative way to compare 334 the CO₂ exposure of different trees, as a tree with high CO₂ flux near its base should experience consistently higher 335 CO_2 concentrations than a tree with lower CO_2 flux. We also measured concentrations at ground level and 1.5 - 2.0336 m above ground level, though these were expectedly highly variable in time and location. We analyzed CO₂ fluxes, 337 not concentrations, because the diffuse emissions of excess volcanic CO₂ through the soil, fed from a deep magma 338 source and location-dependent on constant deep geological permeability, are highly invariant in time compared to 339 under-canopy air concentrations. In contrast, instantaneous concentration measurements in the sub-canopy air are 340 modulated by many factors including meteorology, respiration of vegetation and animals, uptake by plants for 341 photosynthesis, and diurnal dynamic and slope effects. An approach of instantaneous highly variable concentration 342 measurements is thus not representative of long-term exposure. The approach of measuring the largely invariant soil-343 to-atmosphere volcanic CO₂ fluxes is much more representative of long-term exposure, varying mostly spatially and 344 the site-to-site differences are therefore more representative of the lifetime of exposure of the trees.

345

346 We used a custom-built soil flux chamber system which contained a LI-COR 840A non-dispersive infrared CO2 sensor 347 (LI-COR Inc., Lincoln NE, USA) to measure soil CO2 flux. A custom-built cylindrical accumulation chamber of 348 defined volume was sealed to the ground and remained connected to the LI-COR sensor. The air within the 349 accumulation chamber was continuously recirculated through the sensor, passing through a particle filter. The sensor 350 was calibrated before deployment and performed within specifications. We recorded cell pressure and temperature, 351 ambient pressure, air temperature, GPS location, time stamps, location description, wind speed and direction, relative 352 humidity, and slope, aspect, and altitude as ancillary data. In typical operation, each measurement site for flux 353 measurements was validated for leaks (visible in the live data stream display as spikes and breaks in the CO₂ concentration slope), and potential external disturbances were avoided (such as vehicle traffic, generators, or breathing animals and humans). Measurements were recorded in triplicate for at least 2 minutes per site. Data reduction was performed using recorded time stamps in the dataset, with conservative time margins to account for sensor response dead time, validated against consistent slope sections of increasing chamber CO₂. Fluxes were computed using ancillary pressure and temperature measurements and the geometric chamber constant (chamber volume at inserted depth, tubing volume, and sensor volume). Care was taken to not disturb the soil and overlying litter inside and adjacent to the chamber.

361 2.4 Leaf function measurements

362 Chlorophyll fluorescence measurements were conducted on leaves of all three species during the field campaign to 363 obtain information on instantaneous plant stress using an OS30p+ fluorometer (Opti-Sciences Inc., Hudson, NH, 364 USA). Five mature leaves from each individual tree were dark adapted for at least 20 minutes to ensure complete 365 relaxation of the photosystems. After dark adaptation, initial minimal fluorescence was recorded (F_0) under conditions where we assume that photosystem II (PSII) was fully reduced. Immediately following the F0 measurement, a 6,000 366 367 umol m⁻² s⁻¹ saturation pulse was delivered from an array of red LEDs at 660 nm to record maximal fluorescence 368 emission (Fm), when the reaction centers are assumed to be fully closed. From this, the variable fluorescence was 369 determined as $F_v/F_m = (F_m-F_o)/F_m$. F_v/F_m is a widely used chlorophyll fluorescence variable used to assess the 370 efficiency of PSII and, indirectly, plant stress (Baker and Oxborough, 2004). The five F_v/F_m measurements were 371 averaged to provide a representative value for each individual tree. Some trees had less than five measurements due 372 to the dark adaptation clips slipping off the leaf before measurements could be taken. Ten trees had four measurements, 373 and another six had three measurements.

374 Chlorophyll concentration index (CCI) was measured with a MC-100 Apogee Instruments chlorophyll 375 concentration meter (Apogee Instruments, Inc., Logan, UT, USA). CCI was converted to chlorophyll concentration 376 (µmol m⁻²) with the generic formula derived by Parry et al., 2014. Depending on availability, between three and six 377 leaves were measured for CCI for each tree, and then averaged to provide a single value for each tree. If leaves were 378 not within reach, a branch was pulled down or individual leaves were shot down with a slingshot and collected. 379 Photosynthetically active radiation was measured at each tree with a handheld quantum meter (Apogee Instruments, 380 Logan, UT, USA) (Table S2). Stomatal conductance to water vapor, g_s (mmol m⁻² s⁻¹) was measured between 10:00-381 14:00 hours using a steady-state porometer (SC-1, Decagon Devices, Inc., Pullman, WA, USA), calibrated before use 382 and read in manual mode. This leaf porometer was rated for humidity<90%, and humidity was sometimes above this 383 limit during our field work. Consequently, we have fewer stomatal conductance measurements than our other data 384 types.

385 2.5 Isotopic analysis

We collected wood cores from 31 individual trees at a 1.5 m height using a 5.15 mm diameter increment borer (JIMGEM, Forestry Suppliers Inc., Jackson, MS, USA). Since no definable tree rings were apparent, we created a fine

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389 powder for isotope analysis by drilling holes into dried cores using a dry ceramic drill bit (Dremel) along the outermost 5 cm of wood below the bark, which was chosen to represent the most recent carbon signal for ¹³C analyses. The fine 390 powder (200 mesh, 0.2 - 5 mg) was then mixed and a random sample was used to extract ${}^{13}C/{}^{12}C$ ratios (to obtain 391 392 δ^{13} C values against the VPDB standard), which we estimated to be representative of at least the last 2-3 years, based 393 on analogous literature growth rate values: O. xalapensis and A. acuminata range from 0.25 - 2.5 cm/y and 0.6 - 0.9 394 cm/y, respectively (Kappelle et al., 1996; Ortega-Pieck et al, 2011). These rates result in a 5 cm range of at least 2 and 395 5.5 years, though the high rates were determined for very young trees under very different conditions and it is explicitly 396 unknown in our study. Since we only sample the most recent years, no isotopic discrimination against atmospheric 397 ¹³C due to preferential diffusion and carboxylation of ¹²C, was conducted. Rather, we assume that δ^{13} C values are 398 representative of the relative amount of volcanic CO₂ vs. atmospheric CO₂ sequestered by the tree over the period of 399 growth represented in the sample. δ^{13} C values were determined by continuous flow dual isotope analysis using a 400 CHNOS Elemental Analyzer and IsoPrime 100 mass spectrometer at the University of California Berkeley Center for 401 Stable Isotope Biogeochemistry. External precision for C isotope determinations is ± 0.10 %. Ten δ^{13} C measurements 402 did not have corresponding soil CO2 flux measurements due to the flux measurements being unavailable for the final 403 two days of sampling, and another 5 samples were from trees that showed signs of extreme stress, such as browning 404 leaves or anomalously low fluorescence measurements. Since the purpose of our study was to explore the non-lethal 405 effects of volcanic CO2 on trees, during analysis we excluded all trees that were observed in the field to show visible 406 signs of stress, or that were not fully mature. After these exclusions, all remaining tree cores with co-located CO₂ flux 407 measurements were from Turrialba.

408 2.6 Sulfur dioxide probability from satellite data

409 To assess the likelihood of trees having been significantly stressed in the past by volcanic sulfur dioxide (SO₂) from 410 the central crater vents, we took two approaches. First, we were guided by in-situ measurements taken in the same 411 areas by Jenkins et al. (2012), who assessed the physiological interactions of SO2 and CO2 on vegetation on the upper 412 slopes of Turrialba and demonstrated a rapid exponential decay of SO₂ away from the central vent. Second, for long-413 term exposure we derived the likelihood of exposure per unit area using satellite data sensitive to SO₂ (Fig. 2). The 414 Advanced Spaceborne Thermal Emission and Reflection Radiometer (ASTER), launched in December 1999 on 415 NASA's Terra satellite, has bands sensitive to SO₂ emission in the thermal infrared (TIR), at ~60 m x 60 m spatial 416 resolution. We initially used ASTER Surface Radiance TIR data (AST_09T), using all ASTER observations of the 417 target area over the entirety of the ASTER mission (October 2000 until writing in late 2017). The TIR bands were 418 corrected for downwelling sky irradiance and converted into units of W m⁻² µm⁻¹. For each observation, an absorption 419 product is calculated by subtracting SO₂-insensitive from SO₂-sensitive bands:

$$S^t = (b_{10} + b_{12}) - 2 \cdot b_{11} \tag{1}$$

421 Where S is the SO₂ index, t is an index representing the time of acquisition, b_{10} is the radiance at band 10 (8.125 -

- 422 8.475 μ m), b_{11} is the radiance at band 11 (8.475 8.825 μ m), and b_{12} is the radiance at band 12 (8.925 9.275 μ m).
- 423 This is similar to the method of Campion et al_{$\sqrt{2010}$}. The granules were then separated into day and night scenes,

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projected onto a common grid, and then thresholded to $S > 0.1 \text{ W m}^{-1}$, and converted into a probability. The 426 427 output is a spatial dataset that describes the probability of an ASTER observation showing an absorption feature above 428 a 0.1 W m⁻² µm⁻¹ threshold across the entirety of the ASTER observations for day or night separately. The number of 429 scenes varies per target, but they tend to be between 200-800 observations in total, over the 17 year time period of 430 satellite observations. However, certain permanent features, such as salt pans, show absorption features in band 11 431 and therefore have high ratios for the algorithm used. We therefore used a second method that seeks to map transient 432 absorption features. For this method, we subtract the median from each S^t , yielding a median deviation stack. By 433 plotting the maximum deviations across all observations, we then get a map of transient absorption features, in our 434 case this is mostly volcanic SO₂ plumes, which map out the cumulative position of different plume observations well. 435 To speed up processing, some of the retrieval runs were binned in order to increase the signal-to-noise ratio, since the 436 band difference can be rather noisy.

437 2.7 Modelling the anthropogenic CO₂ influence from inventory data

438 We assessed the likelihood of anthropogenic CO2, enhancements of air from San Jose, Costa Rica's capital and main 439 industrial and population center, influencing our measurements. We used a widely applied Flexible Particle Dispersion 440 Model (Eckhardt et al., 2017; Stohl et al., 1998, 2005; Stohl and Thomson, 1999) in a forward mode (Stohl et al., 441 2005), Flexpart, to simulate the downwind concentrations of CO_2 in the atmosphere (e.g., Belikov et al., 2016), due 442 to inventory-derived fossil fuel (FF) emissions in our study area for the year 2015 (Fig. 2). The National Centers for 443 Environmental Prediction (NCEP) - Climate Forecast System Reanalysis (CFSR) 2.5° horizontal resolution 444 meteorology (Saha et al., 2010b, 2010a), and 1-km Open-Source Date Inventory for Anthropogenic CO₂ (ODIAC; 445 Oda and Maksyutov, 2011) emissions for 2015 were used to drive the Flexpart model. The CO2 concentrations were 446 generated at a 1 km spatial resolution within three vertical levels of the atmosphere (0-100, 100-300, 300-500 meters) 447 that are possibly relevant to forest canopies in Costa Rica. However, to assess the magnitude of enhancements we only 448 used CO2 concentrations observed within the lowest modelled level of the atmosphere, from 0-100 meters. Validation 449 of the model with direct observations was not required because we were only interested in ensuring that anthropogenic 450 CO2 dispersed upslope from San José was not having a significant effect on our study area, we were not aiming to 451 capture intra-canopy variability, typically at tens to hundreds of ppm variable, which is not relevant to the better 452 mixed, distal single-digit or less ppm signal from San Jose. The actual concentration of CO2 and any biogenic influence 453 in the modelled area was irrelevant because the spatial distribution of anthropogenic CO₂ was the only factor relevant 454 for this test. 2015 was used as a representative year for simulating the seasonal cycle of CO₂ concentrations that would 455 be present in any particular year.

456 3 Results

457 3.1 Volcanic CO₂ emissions through the soil

We measured CO_2 flux emitted through the soil at 66 points over four days (Fig. 1). The first eight points were on Irazú, and the rest were located near the Ariete fault on Turrialba. Mean soil CO_2 flux values over the entire sampling 460 area varied from 3 to 37 g m⁻² day⁻¹, with an average of 11.6 g m⁻² day⁻¹ and a standard deviation of 6.6 g m⁻² day⁻¹. A 461 12-bin histogram of mean CO_2 flux shows a bimodal right-skewed distribution with a few distinct outliers (Fig. 3). 462 Fluxes were generally larger on Irazú than on Turrialba. This result agrees with previous studies which showed that 463 the north flank of Irazú has areas of extremely high degassing, whereas most of our sampling locations on Turrialba 464 were in areas that had comparatively lower diffuse emissions (Epiard et al., 2017; Stine and Banks, 1991). We used a 465 cumulative probability plot to identify different populations of CO_2 fluxes (Fig. 3) (Cardellini et al., 2003; Sinclair, 466 1974).

467 We created an inventory-based model of anthropogenic CO2 emissions from the San José urban area, parts 468 of which are less than 15 km from some of our sampling locations (Fig. 2). Our model shows that CO₂ emitted from San José is blown west to south-west by prevailing winds. Our study area is directly east of San José, and as such is 469 470 unaffected by anthropogenic CO2 from San Jose, which is the only major urban area near Turrialba and Irazú. Since 471 the trees sampled are spatially close to each other, they are exposed to the same regional background CO2 variability. Additionally, we used ASTER data to map probabilities of SO2 across Costa Rica, as a possible confounding factor. 472 473 The active craters of both Turrialba and Irazú emit measurable amounts of SO2, which is reflected by the high SO2 474 probabilities derived there (Fig. 2). Tropospheric SO₂ quickly converts to sulfate, a well-studied process intensified 475 by the presence of volcanic mineral ash, plume turbulence, and a humid tropical environment (Oppenheimer et al., 476 1989; Eatough et al., 1994); furthermore, the bulk of the SO₂ emissions is carried aloft. Consequently, any remaining 477 SO_2 causing acid damage effects on trees at Turrialba is limited to a narrow band of a few 100 m around the mostly 478 quietly steaming central vent, which has been thoroughly ecologically evaluated for acid damage (Jenkins et al., 2012). 479 D'Arcy (2018) has assessed this narrow, heavily SO₂-affected area immediately surrounding the central crater vent of 480 Turrialba, which we avoided, and our sampling sites are mostly within their control zone not considered majorly 481 affected by SO₂, but where diffuse CO₂ degassing dominates the excess gas phase (Epiard et al, 2017). Our study area 482 is on the flanks of the volcano, where ASTER-derived SO₂ probability is minimal, and SO₂ influence not detectable 483 on the ground (Jenkins et al., 2012; Campion et al., 2012). Most other volcanoes in Costa Rica emit little to no SO2 484 on a decadal time scale, shown by the low or non-existent long-term SO₂ probabilities over the other volcanoes in 485 Costa Rica (white polygons in Fig. 2).

486 3.2 Tree core isotopes

487 Bulk wood δ¹³C measurements of all samples in this study, independent of exposure, ranged from -24.03 to -28.12 ‰, 488 with most being clustered around -26 % (Fig. 4). A 5-bin histogram of all δ^{13} C measurements shows a slightly right-489 skewed unimodal normal distribution, with an average of -26.37 ‰ and a standard deviation of 0.85 ‰. A. acuminata 490 and O. xalapensis have nearly identical averages (-26.14 and -25.97 ‰, respectively), while B. nitida has a noticeably 491 lighter average of -27.02 ‰. Diffuse excess CO₂ emissions throughout the investigation areas reflect a deep volcanic 492 source which typically varies little in time (Epiard et al., 2017), but such diffuse emissions spatially follow geological 493 subsurface structures (Giammanco et al., 1997). Their temporal variability therefore reflects long-term low-amplitude 494 modulation of the volcanic heavy- δ^{13} CO₂ signal, and their spatial distribution is mostly constant over tree lifetimes (Aiuppa et al., 2004; Peiffer et al., 2018; Werner et al., 2014), providing a constant long-term spatial gradient of CO_2 exposure to the forest canopy. Our data show that in areas where CO_2 flux is higher, the wood cores contained progressively higher amounts of ¹³C for two of the three species. Interestingly, our tree core $\delta^{13}C$ showed no relationship with instantaneous stomatal conductance for any species, indicating that no stress threshold was exceeded during measurement across the sample set.

500 3.3 Plant function (Fluorescence, Chlorophyll, Stomatal Conductance)

501 Our measurements and literature data confirm that ecosystems growing in these locations are consistently exposed to excess volcanic CO2, which may impact chlorophyll fluorescence, chlorophyll concentrations, and stomatal 502 503 conductance of nearby trees. After excluding visibly damaged trees, leaf fluorescence, expressed as Fv/Fm, was very high in most samples. Fv/Fm ranged from 0.75 to 0.89, with most measurements clustering between 0.8 and f0.85 504 505 (Fig. 5). The fluorescence data has a left-skewed unimodal distribution. The leaf fluorescence (Fv/Fm) values for A. 506 acuminata had a strong positive correlation with soil CO_2 flux ($r^2=0.69$, p<.05), while the other two species showed 507 no correlation. No confounding factors measured were correlated with Fv/Fm for any species. In general, B. nitida 508 had the highest Fv/Fm values, and A. acuminata and O. xalapensis had similar values except for a few O. xalapensis 509 outliers. Chlorophyll concentration measurements were highly variable, ranging from 260 to 922 µmol m⁻², with an 510 average of 558 µmol m⁻² and a standard deviation of 162 µmol m⁻² (Fig. 6). Chlorophyll concentration had a 511 complicated right-skewed bimodal distribution, likely due to the noticeably different averages for each species. A. 512 acuminata and O. xalapensis both displayed weak correlations between chlorophyll concentration and soil CO2 flux 513 (r²=0.38 and r²=0.28, respectively), but their trendlines were found to be almost perpendicular (Fig. 6). As CO₂ flux 514 increased, A. acuminata showed a slight increase in chlorophyll concentration, while O. xalapensis had significant 515 decreases in chlorophyll concentration. B. nitida individuals growing on steeper slopes had significantly lower 516 chlorophyll concentration measurements (r²=0.42, p<.05) than those on gentler slopes, a trend not expressed by either 517 of the other two species (r²=0.01 for both), demonstrating no significant influence of slope across the majority of 518 samples. Stomatal conductance ranged from 83.5 to 361 mmol $H_2Q_m^{-2}s^{-1}$, with an average of 214 mmol $H_2Q_m^{-2}s^{-1}$ 519 and a standard deviation of 73.5 mmol H₂Q m⁻² s⁻¹. Distribution was bimodal, with peaks around 150 and 350 520 mmol H₂Q m⁻² s⁻¹. A. acuminata had a moderate positive correlation ($r^2=0.51$) with soil CO₂ flux, but it was not 521 statistically significant due to a lack of data points (Fig. 7) - however this is a result consistent with the observed 522 higher chlorophyll concentration (Fig. 6). The other two species displayed no correlation with soil CO2 flux. B. nitida 523 had a moderate negative correlation (r^2 =0.61) with slope, similar to its correlation between chlorophyll concentration 524 and slope.

525 4 Discussion

526 4.1 Long-term plant uptake of volcanic CO₂

527 Turrialba and Irazú continuously emit CO_2 through their vegetated flanks, but prior to this study it was unknown if

528 the trees growing there were utilizing this additional isotopically heavy volcanic CO₂. All tree cores with

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533 corresponding CO₂ flux measurements were from areas proximal to the Ariete fault on Turrialba, where atmospheric and volcanic δ^{13} C have significantly different values (-9.2 and -3.4 ‰, respectively) (Malowany et al., 2017). If the 534 535 trees assimilate volcanic CO₂ through their stomata, then we would expect wood δ^{13} C to trend towards heavier values 536 as diffuse volcanic CO2 flux increases. Studies at FACE sites have found that altering the isotopic composition of the 537 air by artificially adding CO₂ with a different carbon isotope composition than the atmosphere leads to significant 538 changes in the δ^{13} C value of plant matter and tree rings growing there, leading us to expect similar effects from the 539 naturally added volcanic CO₂ (Körner, 2005). It is worth noting that the FACE CO₂ ($\delta^{13}C = -29.7 \%$), is significantly 540 depleted in ${}^{13}C$ compared the atmosphere whereas volcanic CO₂ is enriched ($\delta^{13}C = -3.4$ % at Turrialba) compared to 541 atmosphere (Körner, 2005). After excluding damaged samples and stressed trees, \delta¹³C was strongly correlated with 542 soil CO2 flux for both B. nitida and O. xalapensis (Fig. 4). A. acuminata did not have a statistically significant 543 correlation between soil CO₂ flux and δ^{13} C, likely because it had the fewest data points and a minimal range of CO₂ 544 and δ^{13} C values. The difference in regression slope between *B. nitida* and *O. xalapensis* (Fig. 4) may be due to 545 physiological differences across traits or species, and/or due to differences in exposure owing to canopy height 546 differences. Resolving this question would require a much larger multi-species sample size which could only be 547 sufficiently obtained using remote sensing methods. The strong positive correlations between CO₂ flux and 548 increasingly heavy δ^{13} C values suggest that the trees have consistently photosynthesized with isotopically heavy 549 excess volcanic CO₂ over the last few years and are therefore growing in eCO₂ conditions. Assuming that most of the 550 variations in δ^{13} C are caused by incorporation of heavy volcanic CO₂, we can calculate the average concentration of 551 the mean volcanic excess CO2 in the air the plants are exposed to, with a mass balance equation (Eq. 2):

552

553 where Cv is the mean volcanic excess component of the CO2 concentration in air, Ca is the atmospheric "background" (i.e., non-volcanic) CO₂ concentration, δ_a is atmospheric δ^{13} C, δ_b is the most negative δ^{13} C measurement for the species 554 555 being studied, δ_1 is the δ^{13} C value for the tree that volcanic CO₂ exposure is being calculated, and δ_v is δ^{13} C of the 556 volcanic CO₂. Background wood δ^{13} C is the value of the point for each species with the lowest CO₂ flux (Fig. 4), and 557 the other wood δ^{13} C measurement is any other point from the same species. Values for δ_{y} , δ_{a} , and C_{a} are taken from 558 Malowany et al., 2017. For the tree core with the highest measured CO₂ flux for O. xalapensis, this equation yields a 559 mean excess volcanic CO2 concentration of 115 ppm, bringing the combined mean atmospheric (including volcanic) 560 CO2 concentration tree exposure to potentially around ~520 ppm. For B. nitida this equation yields 133 ppm of mean 561 excess volcanic CO₂ at the highest flux location, for a combined total mean of potentially ~538 ppm CO₂. These 562 numbers may be on the high side as the calculation assumes that carbon isotope discrimination remains constant for 563 all trees within a given species, but they serve as estimate of the approximate magnitude of the average amount of 564 CO_2 that these trees are exposed to. A ¹⁴C tree ring study at Mammoth Mountain found an average yearly volcanic 565 excess CO₂ exposure of 20-70 ppm over a 15-year period (Lewicki et al., 2014). Turrialba is significantly more active 566 than Mammoth Mountain, so trees growing in high emission areas of Turrialba may be exposed to similar or higher 567 amounts of CO₂ than the tree in the Mammoth Mountain study, Additional measurements of tree core $\delta^{13}C$ and 568 associated soil CO₂ fluxes would help corroborate our observations, which were based on a limited number of data 16

 $C_v = \frac{(\delta_b - \delta_t)}{(\delta_a - \delta_v)} C_a$

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571 points. Though tree ring ¹⁴C content in volcanically active areas has been linked to variations in volcanic CO₂ 572 emissions, and comparing patterns of $\delta^{13}C$ to ^{14}C measurements for the same wood samples could provide additional 573 confirmation of this finding (Evans et al., 2010; Lefevre et al., 2017; Lewicki et al., 2014), this additional dimension 574 was outside the scope of this exploratory study. However, beyond such pattern confirmation, using ¹⁴C dating of trees 575 exposed to naturally isotopically distinct excess CO₂ is, in fact, unfortunately not a reliable method for these 576 environments due to the well-known δ^{14} C deficiency in trees exposed to excess volcanic CO₂ which is isotopically 577 "dead" with respect to ¹⁴C, creating spurious patterns that preclude dating by ¹⁴C (e.g., Lefevre et al., 2017; Lewicki 578 et al., 2014).

579 Our data demonstrate that CO_2 fluxes through the soil may be a representative relative measure for eCO_2 580 exposure of overlying tree canopies. Forest canopy exposure to volcanic CO2 will vary over time, as will volcanic 581 eCO2, once emitted through the soil into the sub-canopy atmosphere, the gas experiences highly variable thermal and 582 wind disturbances which significantly affect dispersion of CO2 on minute to minute, diurnal, and seasonal timescales (Staebler and Fitzjarrald, 2004; Thomas, 2011). These processes cause in-canopy measurements of CO2 concentration 583 584 to be highly variable, making instantaneous concentration measurements in a single field campaign not representative 585 of long-term relative magnitudes of CO2 exposure. Soil CO2 fluxes are less tied to atmospheric conditions, and are 586 primarily externally modulated by rainfall which increases soil moisture and therefore lowers the soil's gas 587 permeability (Camarda et al., 2006; Viveiros et al., 2009). These fluxes can also be affected by variations in barometric 588 pressure, but both of these factors are easily measurable and therefore can be factored in when conducting field work 589 (Viveiros et al., 2009). Assuming the avoidance of significant rainfall and pressure spikes during sampling 590 (measurements were conducted in the dry season and no heavy rains or significant meteorological variations in 591 pressure occurred during field work), measuring the input of CO2 into the sub-canopy atmosphere as soil CO2 fluxes 592 is therefore expected to better represent long-term input and exposure of tree canopies to eCO2 than direct 593 instantaneous measurements of sub-canopy CO₂ concentration. Previous studies at Turrialba have shown that local 594 volcanic CO2 flux is relatively constant on monthly to yearly timescales (de Moor et al., 2016). Therefore, current soil 595 CO₂ fluxes should give relatively accurate estimates of CO₂ exposure over time. This paper corroborates that 596 expectation by demonstrating strong spatial correlations between volcanically enhanced soil CO2 emissions with co-597 located stable carbon isotope signals of these emissions documented in the trees' xylem.

598 A study at the previously mentioned Mammoth Mountain tree kill area examined the connection between 599 δ^{13} C and volcanic CO₂ fluxes, but focused on the difference between trees killed by extreme CO₂ conditions and those 600 that were still alive (Biondi and Fessenden, 1999). They concluded that the changes in δ^{13} C that they observed were 601 due to extreme concentrations of CO₂ (soil CO₂ concentrations of up to 100%) impairing the functioning of root 602 systems, leading to closure of stomata and water stress (Biondi and Fessenden, 1999). CO2 does not inherently harm 603 trees, but the extreme CO₂ concentrations (up to 100% soil CO₂) at the Mammoth Mountain area caused major soil 604 acidification, which led to the tree kill (McGee and Gerlach, 1998). We have evidence that those acidification 605 processes are not affecting our $\delta^{13}C$ measurements, and that variations in our $\delta^{13}C$ measurements are more likely to be caused by direct photosynthetic incorporation of isotopically heavy volcanic CO_2 . Our $\delta^{13}C$ measurements have no 606

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607 statistically significant correlation with stomatal conductance, which suggests that our heavier $\delta^{13}C$ measurements are 608 not linked to stomatal closure. None of the trees included in the analysis (displayed obvious signs of stress, from water 609 or other factors, as indicated by their high fluorescence and chlorophyll concentration values and lack of visible 610 indicators of stress; specifically, our values of Fv/Fm ~0.8 indicate that PSII was operating efficiently in most of the 611 trees we measured (Baker and Oxborough, 2004). The Mammoth Mountain tree kill areas have several orders of 612 magnitude higher CO₂ fluxes (well over 10,000 g m⁻² day⁻¹) than the areas we sampled (up to 38 g m⁻² day⁻¹), making 613 it much more likely that stress from soil acidification would be causing stomatal closure and affecting wood δ^{13} C 614 measurements at Mammoth Mountain (Biondi and Fessenden, 1999; McGee and Gerlach, 1998; Werner et al., 2014). 615 In contrast, most of the diffuse degassing at Turrialba does not lead to soil acidification or pore space saturation, as is 616 evident in our own and others' field data (e.g., Epiard et al 2017). Thus, changes in our δ^{13} C values are best explained 617 by direct photosynthetic incorporation of isotopically heavy volcanic CO₂. To the best of our knowledge, this is the 618 first time that a direct correlation between volcanic soil CO₂ flux and wood δ^{13} C has been documented. Future studies

should explore this correlation further, as our findings are based on a limited sample size.

620 4.2 Short-term species response to eCO₂

621 Short-term plant functional responses at the leaf level to elevated CO2 were highly species-dependent. B. nitida had 622 no statistically significant functional responses to soil CO2 flux and O. xalapensis only had a weak negative correlation 623 between soil CO₂ flux and chlorophyll concentration (Fig. 6.). A. acuminata, a nitrogen fixing species, was the only 624 species with a consistent and positive functional response to elevated CO₂, displaying a strong positive correlation 625 with fluorescence and a weak positive correlation with chlorophyll concentration and stomatal conductance (Figs. 5-626 7). Previous studies which linked changes in NDVI to pre-eruptive volcanic activity on the flanks of Mt. Etna and Mt. 627 Nyiragongo support our observation of a correlation between plant function and volcanic CO2 flux (Houlié et al., 628 2006; Seiler et al., 2017). This link raises the question of why only one of three species displayed strong functional 629 responses to volcanic CO2. The lack of response in B. nitida and O. xalapensis could be due to nitrogen limitation, a 630 factor that would not affect A. acuminata due to its nitrogen fixing capability. Previous studies have found that nitrogen 631 availability strongly controls plant responses to both naturally and artificially elevated CO2 concentrations in a variety 632 of ecosystems, including grasslands and temperate forests (Garten et al., 2011; Hebeisen et al., 1997; Lüscher et al., 633 2000; Norby et al., 2010; Tognetti et al., 2000, Nitrogen limitation has been posited to be an important factor in 634 tropical montane cloud forests, and may be contributing to the lack of responses in B. nitida and O. xalapensis (Tanner 635 et al., 1998). Due to the exploratory nature of our study, we do not have a large enough dataset to conclude that the 636 nitrogen fixing capability of species like A. acuminata is the cause for its positive response to volcanically elevated 637 CO₂ concentrations, as has been speculated before (Schwandner et al., 2004), but it is a possible correlation that 638 deserves further investigation.

639 4.3 Time constraints

640 To support these results, we further assessed the possibility of effects of time constraints on growth rates and isotopic

641 signals, despite the compelling spatial variability of the independent variable (naturally isotopically labelled excess

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648 volcanic CO₂) in our study (Helle and Schleser, 2004; Verheyden et al., 2004). As tropical trees typically lack tree 649 rings, it is difficult to directly constrain the precise time period that the data represent. However, since we sampled 650 from the outside in, all the samples appear to at least have the most recent growth period in common. To assess how 651 far back in time our samples could likely represent, we compared our sampled core depths to reported growth rates for the same species in similar environments. Reported growth rates for two of our species, O. xalapensis and A. 652 653 acuminata, range from 0.25 - 2.5 cm y-1 and 0.6 - 0.9 cm y-1, respectively (Kappelle et al., 1996; Ortega-Pieck et al., 654 2011). Given that our samples are bulk measurements of the outer 5 cm of wood, each sample would represent between 655 2 and 5.5 years, although the conditions that these growth rates were measured in were different than in our study. 656 Clear time constraints would be necessary for higher resolution analysis, but this need is somewhat mitigated by the 657 continuous, long-term, and over multiple decades mostly invariant nature of diffuse volcanic CO₂ emissions, which is 658 completely independent of any non-volcanic environmental influences on growth rates. By providing an upper and 659 lower bound in the expected growth span represented in our samples, we believe that these samples represent similar 660 time frames during the continuous exposure to excess volcanic CO₂ over the lifetimes of the trees sampled. Due to the 661 continuous nature of the volcanic CO₂ enhancement, we are not investigating and analyzing transient events, and our 662 results instead represent spatial variability in excess CO₂ availability averaged over similar time periods.

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664 Although we do not believe our samples represent a long enough time period for long term variations in $\delta^{13}C$ (Seuss 665 effect) to be relevant, if it does affect our samples it would be beneficial for detection of volcanic CO₂ as the Seuss effect is gradually increasing the gap between atmospheric and volcanic $\delta^{13}C$. Since our $\delta^{13}C$ values likely represent 666 667 several years of growth, small scale temporal variations in excess volcanic CO₂ release are unlikely to significantly 668 impact the results. Larger trees tend to grow slower than smaller trees, so the outer 5 cm of wood should represent a 669 longer time period on larger trees. Thus, if temporal variations had a significant effect on our δ^{13} C measurements, we 670 would expect this to be represented by some correlation between DBH and δ^{13} C, which is not present for any species 671 studied. Three of the five B. nitida individuals measured were very large (150-190 cm DBH), whereas the other two 672 are much smaller (11.5 and 15.3 cm DBH). Although the age and growth rates of these two groups of trees likely vary significantly, we found no correlation between DBH and δ^{13} C; though we did find a strong correlation between the 673 674 completely independent diffuse excess (volcanic) CO₂ flux and wood δ^{13} C. Furthermore, the relationships presented 675 are on a per species basis to avoid complications resulting from different growth rates across species. This is important 676 because δ^{13} C values provide an integral value of assimilated carbon by the entire tree (not just individual leaves). The 677 depth of tree core sample was identical for each species (the outermost part of the trunk) and we can safely assume 678 that the volcanic CO₂ exposure has been consistent over the time period under investigation.

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Because individual time variability of growth rates can possibly affect these signals as well, future studies that attempt to study tree ring isotopes in this context at higher resolutions will likely require stricter and more detailed time constraints and cell-level stress analysis, to average out the effects of long term variations in δ^{13} C (Seuss effect), seasonal cycles, potential short-term transient stress-induced growth rate variations, effects of water use efficiency (WUE), and potential short-term variations in CO₂ flux, all of which may result in time-averaged isotopic shifts over different growth periods (Helle and Schleser, 2004; Verheyden et al., 2004). We include these notes as guidance in Section 4.4: Lessons Learned for Future Studies. Despite the additional difficulty of conducting higher time resolution analysis, this type of study holds great potential for attempting to reconstruct volcanic CO₂ histories and to study its potential fertilization effect, due to the completely independent nature of the volcanic excess CO₂ supply to the subcanopy air.

690 4.4 Lessons Learned for Future Studies

691 This exploratory study reveals significant new potential for future studies to utilize the volcanically enhanced CO₂ 692 emissions approach to study tropical ecosystem responses to eCO2-one of the largest uncertainties in climate 693 projections. Costa Rica's volcances are host to large areas of relatively undisturbed rainforest, making them ideal 694 study areas for examining responses of ecosystems to eCO2. However, there are several challenges future studies 695 should take into consideration if attempting to expand upon this preliminary study. Given the enormous tropical 696 species diversity and the need to control for confounding factors, large datasets will be needed to answer these 697 questions conclusively. One open question for example is how WUE in upper and lower canopy leaves of same and 698 different individuals within a species may affect isotopic sequestration of CO₂. Since the excess volcanic CO₂ is 699 naturally isotopically labelled, this could be assessed by a much more detailed by-individual tree leaf, branch, and 700 xylem core study coupled with long-term measurements of evapotranspiration, heat stress, and stomatal conductance, 701 the latter of which in our study showed no significant correlation with the δ^{13} C signal in the wood cores across spatial 702 gradients. Field data can be difficult to acquire in these rugged and challenging environments. A remote sensing 703 approach using airborne measurements, validated by targeted representative ground campaigns, could provide 704 sufficiently large data sets to represent species diversity and conditions appropriately. Many of the datatypes that 705 would be useful for this type of study can be acquired from airborne platforms, and remote sensing instruments can 706 quickly produce the massive datasets required to provide more comprehensive answers to these questions. A recent 707 meta-analysis showed that studies at natural CO2 producing springs and FACE experiments have found similar results 708 in a variety of plant traits, which significantly strengthens the case that volcanoes are a potentially extremely valuable 709 resource for determining plant responses to elevated CO₂ concentrations (Saban et al., 2019). While the spring studies 710 have yielded valuable results, volcanoes could offer several advantages over springs for future studies. Active 711 volcanoes are significantly larger systems than non-volcanic springs and often feature several CO2-producing springs 712 and also several dry gas seeps, which offers more data, more control points to compare to, greater species diversity, 713 and greater potential for comprehensive measurements of a statistically meaningful dataset from remote sensing 714 platforms. Due to their volcanic hazards potential, volcanoes are also more likely to already have long-term 715 volcanological monitoring programs for CO₂ fluxes and ecological disturbances, which may be utilized to analyze the 716 long-term effects of enhanced levels of CO2 emissions on these volcanically active tropical ecosystems.

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726 Our results also offer significant new tools for the volcanology, where reconstructing past volcano behavior through 727 eruption histories is hampered by severe preservation gaps in the stratigraphic record. A strong link between $\delta^{13}C$ and 728 volcanic CO2 could be a game-changer by establishing long-term histories of volcanic CO2 emission variations. These 729 proxy signals could be traced back in time using living and preserved dead trees, in order to fill gaps in the historical 730 and monitoring records - a boon for volcano researchers and observatories to improve eruption prediction capabilities 731 (Newhall et al., 2017; Pyle, 2017; Sparks et al., 2012). While variations in tree ring ¹⁴C content have been shown to 732 correlate well with variations in volcanic CO₂ flux (Evans et al., 2010; Lefevre et al., 2017; Lewicki and Hilley, 2014), 733 14 C is relatively expensive to measure, limiting the spatial and temporal coverage of data that can be acquired, 13 C is 734 an inexpensive alternative to ¹⁴C and can be measured at more laboratories, allowing for substantially more data to be 735 acquired. Some previously mentioned studies (Lefevre et al., 2017; Pasquier-Cardin et al., 1999) have found 736 correlations between ¹³C and ¹⁴C in plants that have incorporated volcanic CO₂, strengthening the potential for using 737 13 C in this type of study. Further development of the 13 C approach to tracking volcanic CO₂ emissions would prove 738 beneficial to future studies attempting to use plants to study large areas and time scales of volcanic degassing. 739 Independent validation, and calibration by wood core dendrochronology via ¹⁴C, tree rings, or chemical event tracers 740 like sulfur isotopes, could significantly advance the concept of using wood carbon as archives of past degassing 741 activity. Crucially, these tree ring archives could provide temporal records of degassing at dangerous volcanoes which 742 have previously been poorly monitored or not monitored at all, significantly improving the accuracy of hazard 743 assessments. Furthermore, knowledge of the short-term real-time response of leaves to diffusely emitted eCO2, which 744 is more likely to represent deeper processes inside volcanoes than crater-area degassing (Camarda et al., 2012), may 745 permit the use of trees as sensors of transient changes in volcanic degassing indicative of volcanic reactivation and 746 deep magma movement possibly leading up to eruptions (Camarda et al., 2012; Houlié et al., 2006; Pieri et al., 2016; 747 Schwandner et al., 2017; Seiler et al., 2017; Shinohara et al., 2008; Werner et al., 2013), To the best of our knowledge, 748 we are the first to propose utilizing the combination of short-term leaf functional responses to volcanic CO₂ with long-749 term changes in δ^{13} C values of wood for assessment of past and present volcanic activity in a single study.

750 5 Conclusions

751 Multiple areas of dense tropical forest on two Costa Rican active volcanoes are consistently and continuously exposed 752 to volcanically-elevated levels of atmospheric CO₂, diffusively cold-emitted through soils into overlying forests. 753 These isotopically heavy volcanic CO₂ emissions, which are mostly invariant, not accompanied by acidic gases, and 754 independent of processes affecting growth rates, are well correlated with increases in heavy carbon signatures in wood 755 cores from two species of tropical trees, possibly suggesting long-term incorporation of enhanced levels of 756 volcanically emitted CO2 into biomass. Each tree studied was co-located with a soil CO2 flux measurement and their 757 soil CO2 flux signals vary spatially around a continuous long-term local natural excess volcanic CO2 source, which 758 creates a local CO2 gradient within which all the sampled trees are found. The excess volcanic CO2 through local fault-759 bound gas seeps provides continuous exposure to all sampled trees over time scales much greater than the lifetimes of 760 individual trees. Based on our limited exploratory measurements, confounding factors that are known to influence

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 δ^{13} C values in wood appear not to have significantly affected our measurements, indicating that the heavier wood δ^{13} C values could be caused by photosynthetic incorporation of volcanic excess CO₂. One of the three species studied (*A. acuminata*) has consistent positive correlations between instantaneous plant function measurements and diffuse CO₂ flux measurements, indicating that short-term variations in elevated CO₂ emissions may measurably affect trees growing in areas of diffuse volcanic gas emissions. These observations reveal significant potential for future studies to use these areas of naturally elevated CO₂ to study ecosystem responses to elevated CO₂, and to use trees as sensors of changing degassing behavior of volcanic flanks, which is indicative of deep magmatic processes.

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Data availability. Data can be found in Table S1 and Table S2 in the supplement or can be requested from Florian
 Schwandner (Florian.Schwandner@jpl.nasa.gov).

779

Author contributions. FMS and JBF designed the study, and RRB, FMS, JBF, and ED conducted the field work and collected all samples and data with some of the equipment borrowed from GN, who helped interpret the results. TSM processed the samples for analysis. JPL conducted the SO₂ analysis, wrote the related methods subsection, and helped interpret the results. VY modelled the anthropogenic CO₂ emissions, wrote the related methods subsection, and helped interpret the results. CAF created the combined figure showing the CO₂ and SO₂ results and assisted in writing the manuscript. RRB wrote the publication, with contributions from all co-authors.

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787 *Competing interests.* The authors declare that they have no conflict of interest.

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Fig. 1: Overview of measurement locations in two old-growth forests on the upper flanks of two active volcanoes in Costa Rica, Turrialba and Irazú. Distribution of mean soil CO_2 flux across north flank of Irazú (left) and south flank of Turrialba (right). Colors of dots correspond to flux populations (see Fig. 3).



Fig. 2: The influence of two potentially confounding gases on our study area (right hand white polygon) in Costa Rica is low to non-existent: anthropogenic CO_2 from San José (blue to red color scale), and volcanic SO_2 (purple color scale). White polygons are drawn around locations of the forested active volcanic edifices in Costa Rica. The dashed red line indicates the rough border of the San José urban area. Prevailing winds throughout the year consistently blow all anthropogenic CO_2 away from our study area and from all other white polygons.



Fig 3: Soil CO₂ flux into the sub-canopy air of forests on the Turrialba-Irazú volcanic complex is pervasively and significantly influenced by a deep volcanic gas source. At least four different overlapping populations of soil CO₂ flux were identified, using a cumulative probability plot, where inflection points indicate population boundaries (Sinclair 1974). 69% of sampling locations (45 total) are exposed to varying degrees of volcanically derived elevated CO₂. Populations are color-coded based on the same color scale as Fig. 1.





Fig 4: Bulk wood δ^{13} C of trees on Costa Rica's Turrialba volcano shows strong correlations with increasing volcanic CO₂ flux for two species, *O. xalapensis* and *B. nitida*, indicating long-term photosynthetic incorporation of isotopically heavy volcanic CO₂. Stable carbon isotope ratio (δ^{13} C) of wood cores are plotted against soil CO₂ flux measured immediately adjacent to the tree that the core sample was taken from. Background and volcanic influence labels apply to both axes – higher CO₂ flux and heavier (less negative) δ^{13} C values are both characteristic of volcanic CO₂ emissions.



Fig. 5: Photosynthetic activity of some tree species in old-growth forests on the upper flanks of two active volcanoes in Costa Rica, Turrialba and Irazú, may show short-term response to volcanically elevated CO₂. Leaf fluorescence (Fv/Fm) and soil CO₂ flux were strongly correlated for *A. acuminata*, but not for other species.



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Fig. 6: Some tree species in old-growth forests on the upper flanks of two active volcanoes in Costa Rica, Turrialba and Irazú, may express their short-term response to volcanically elevated CO₂ by producing more chlorophyll. A species that showed strong short-term response (*A. Acuminata*, Fig. 5) also shows a positive correlation between chlorophyll concentration and mean soil CO₂ flux.



Fig. 7: Leaf stomatal conductance of a tree species that strongly responds to volcanically elevated CO_2 (Figs. 5, 6) has positive correlations with volcanic CO_2 flux, consistent with increased gas-exchange.