

**Interactive comment on “Spatiotemporal transformation of dissolved organic matter along an alpine stream flowpath on the Qinghai-Tibetan Plateau: importance of source and permafrost degradation” by Yinghui Wang et al.**

**R. Jaffe (Referee)**

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On behalf of coauthors, I really appreciate Dr. Jaffe to acknowledge the merit of our work and gave many valuable comments. We accepted most of his comments and made corrections in the revised manuscript. The follows are our responses point by point. I also marked all changes in the revised manuscript.

**General Comments:**

The abovementioned manuscript describes research on the effect of climate change on permafrost degradation in the Tibetan Plateau and its potential impact on associated fluvial systems, in particular on the dynamics of dissolved organic matter. This research is of global significance as little is known about permafrost degradation in areas other than the arctic, and nearly 70% of alpine permafrost is located in the geographical area of this study. The research team is composed of highly qualified scientists with ample experience and expertise in the specific field of study, and applying ideal methodologies to reach the outlines objectives of this research initiative. The manuscript is well written, and the data properly presented. The literature is also properly reviewed and well represented. As such, this manuscript is well-suited for the journal Biogeosciences and I recommend it to be published.

However, some aspects of the manuscript should be improved prior to acceptance. For example, seasonal variability observed needs to be fully explained; explanations regarding the observed differences in DOM leachate composition between the AL vs PL needs to be better explained; discussion on instream generation of DOM through microbial primary productivity should be enhanced and variations along the sampling transect better described; etc. These pending issues are described in more detail below.

**Specific Comments:**

1) L43: “: in-stream metabolism:”: Throughout the manuscript make sure DOM degradation via molecular transformations vs mineralization to CO<sub>2</sub> is specified as needed. Similarly, dilution (concentration decrease) vs. ‘dilution’ (change in relative abundance) through mixing with in-stream DOM from microbial PP?

**Response:** This is a good comment. Since the DOM degradation process is very complex. Besides different types of degradation (photodegradation vs. biodegradation), DOM can be also completely degraded into CO<sub>2</sub> or partially degraded to other compounds. For the former, we prefer to call “transformation”, while for the latter, we used “mineralization”, although in many literatures, “degradation” was simply used for expressing DOM change. In the revised manuscript, we clarify this difference. From

line 41-43, we rewrote as “Our study thus demonstrates that hydrological conditions impact the mobilization of permafrost carbon in an alpine fluvial network, the signature of which is quickly lost through in-stream mineralization and transformation”. As for the dilution effect, we referred it to concentration decease at Line 292-304.

2) L 54: As in #1 – bio- and photo-transformation vs. mineralization? Both?

**Response:** As we mentioned above, we clarify this point in the revised manuscript. We rewrote the sentence as “When permafrost-derived carbon enters aquatic system, it can be rapidly mineralized and transformed by microbes and light” in line 55.

3) L61-62: Not sure ‘hydrologic inputs’ is the best way to word this! Please re-phrase.

**Response:** We use ‘hydrologic condition’ to replace ‘hydrologic inputs’.

4) L116: Please indicate distance in Km. This can be deduced from Fig. 1, but would be helpful here for the reader to easily gain a grasp of the spatial extension of the study.

**Response:** That’s a good suggestion. we supplied this information in the revised manuscript. In line 117-118, we wrote as ‘The water in the gully flows southward across the hillslope before draining into Qinghai Lake, and the total length of the stream is around 40 km (Fig. 1).’

5) L120-124: Please add more details on the methodology used for leachate collection.

**Response:** We already added the more detailed description of the sampling method in the revised manuscript (Line 122-125). We wrote as ‘At each sampling time, both AL and PL leachates were collected at the depth of 60 cm and 220 cm, respectively, of the gullies’ head. 20 L HDPE carboys were cleaned by pure water, 0.1 N hydrochloric acid and pure water prior to use. It usually took 2 days to gather > 15 L leaching waters. After that, the leachate samples were immediately kept on ice and in the dark by aluminum foil. They were transported to the temporary laboratory in the Gangcha County with six hours.’

6) L124-127+: Please add distances in m or Km as needed.

**Response:** We added this content in the revised manuscript, which is 8.5 km long for the first order stream and 6.9 km long for another order stream.

7) L156-160: Leachate/Water volumes used for the SPE? How did you avoid breakthrough?

**Response:** We actually realized this point. Before SPE, we estimated the maximum volume before loading samples based on the SPE recovery (60% in our case) and the final eluate concentration 40  $\mu$ g C/ml. The exact loading volumes vary among samples, but the eluate concentration is similar that might help reduce the selective ionization. In the revised manuscript, we added detailed information on this issue. From line 160 to 166, we wrote as ‘They were solid-phase extracted (SPE) using the Bond Elut PPL

(Agilent Technologies, 100 mg PPL in 3 ml cartridge), following the procedures of Dittmar et al. (2008). In order to avoid overloading of the SPE column, the aliquot volume of SPE DOM was calculated based on an average SPE recovery (60% for permafrost DOM; Ward, et al., 2015) and a final eluate concentration of 40  $\mu\text{g C/ml}$  (in ca. 2 ml methanol).” We also cited a reference ‘Ward, C. P. and Cory, R. M.(2015) Chemical composition of dissolved organic matter draining permafrost soils. *Geochimica Et Cosmochimica Acta*. 167, 63-79.’.

8) L180: ‘Freeze-dried retentates’? Meaning SPE-DOM? Explain or rephrase accordingly.

**Response:** We already changed into ‘Freeze-dried retentates from ultrafiltration’.

9) L206-207: Does that mean the in-stream microbial generation of DOM is negligible?

**Response:** In this section we use optical properties to show DOM characteristics, in that way we could quick screen the inter-annual variation between year 2015 and 2016. The lack of inter-annual change did not mean insignificant microbial generation of DOM in stream. Actually, from headwater to downstream water, we observed apparent change in optical parameters of DOM, suggesting substantial transform of DOM by photo or bio-degradation. We discussed this point in section 3.3 Spatiotemporal change of  $^{14}\text{C}$ -DOC age through fluvial networks.

10) L213-216: I do not see any detailed discussion on this inter-annual variability. Please add.

**Response:** We added the discussion on inter-annual variability in the revised manuscript. From line 204-211 as ‘Paired t-test based on S275-295 and SUVA<sub>254</sub> of water samples showed no significant inter-annual variation between year 2015 and 2016 ( $p = 0.716$  and  $p = 0.321$ , respectively). The mean S275-295 of 2015 and 2016 samples was  $(14.5 \pm 0.48) \times 10^{-3} \text{ nm}^{-1}$  for the AL leachates and  $(18.3 \pm 1.3) \times 10^{-3} \text{ nm}^{-1}$  for the PL leachates. In the stream waters, the S275-295 ranged from  $15.8 \times 10^{-3}$  to  $22.5 \times 10^{-3} \text{ nm}^{-1}$ , increasing in downstream reaches.’

In the stream waters, the  $S_{275-295}$  ranged from  $15.8 \times 10^{-3}$  to  $22.5 \times 10^{-3} \text{ nm}^{-1}$ , increasing in downstream reaches. Mean  $\text{SUVA}_{254}$  was  $3.52 \pm 0.24 \text{ L mg C}^{-1} \text{ m}^{-1}$  for the AL leachates and  $0.95 \pm 0.14 \text{ L mg C}^{-1} \text{ m}^{-1}$  for the PL leachates, and decreased in the stream from Q-1 to Q-10 ( $3.06$  to  $1.27 \text{ L mg C}^{-1} \text{ m}^{-1}$ ), and then remained low (Fig. 3).’, but for the radiocarbon age of the DOM, actually we did not do inter-annual analysis, here we discussed just temporally change in different months in 2015 as showed in line 219-221.

11) L243: Please expand on the discussion of these differences in chemical composition between AL and PL leachates. The information shown in the discussion is highly selective to age and very limited with regards to molecular composition and

optical properties. In the first paragraph on page 11 there is some discussion on this with regards to sample Q-1, but nothing much else (i.e. along the sampling transect). **Response:** This is good comment. Actually, we have addressed this issue previously. Please see Wang, et al., 2018, Selective leaching of dissolved organic matter from alpine permafrost soils on the Qinghai-Tibetan Plateau. *J. Geophys. Res. Biogeosci.*, 123, 1005-1016, doi: 10.1002/2017jg004343. In this article, we examined and compared the chemical composition of DOM leached from AL and PL. We found the selective leaching in Permafrost soils that upper AL leachates are enriched in aromatic components, whereas deep PL leachates are enriched in alkyl components. In current work, we focus on instream processes of DOM rather than leaching process from soil to headwater. Nevertheless, we added some sentences (line 249-252) as “This difference is likely attributed to selective release of aromatic components from upper AL soils and carbohydrate/protein components from deep PL soils during the thawing process which was observed in our previous study (Wang et al., 2018).” We also cite the reference of Want et al. (2018) in the revised manuscript.

12) L256: How were STDs obtained from n=2?

**Response:** We are sorry for this mistake. Here we calculated the average value and the average deviation based on two samples. In the revised manuscript, we corrected all the calculated data throughout the manuscript, and here rewrote as “The mean DOC concentration of the AL leachate based on samples from 2015 and 2016 ( $11.57 \pm 0.77 \text{ mg/L}$ ) is similar to that of the headstream (Q-1; ca.  $11.69 \pm 0.60 \text{ mg/L}$ ), but substantially lower than that of the PL leachates ( $126.40 \pm 14.80 \text{ mg/L}$ ), supporting a predominance of AL-leachate DOM in stream waters. In addition, the  $\text{SUVA}_{254}$  is  $3.52 \pm 0.17 \text{ L mg C}^{-1} \text{ m}^{-1}$  for AL leachates and  $0.95 \pm 0.10 \text{ L mg C}^{-1} \text{ m}^{-1}$  for PL leachates, whereas the  $S_{275-295}$  is  $(14.49 \pm 0.34) \times 10^{-3} \text{ nm}^{-1}$  for AL leachates and  $(18.05 \pm 0.94) \times 10^{-3} \text{ nm}^{-1}$  for PL leachates”.

13) L260: Remove the ‘‘ before ‘and’

**Response:** done.

14) L261-264: idem as above – explain differences in composition between AL and PL.

**Response:** We have added some brief information about AL and PL leachates at Line 267-269, but as mentioned above (response to comment 11), we did not give much detailed information in this study.

15) L280: What about seasonal variations in the optical properties and MS data? Missing important information here. Please add.

**Response:** It is a pity that we did not conduct FT-ICR MS analysis for seasonal samples. But a seasonal variation of DOM could be revealed by our optical analyses. In the revised manuscript, we added the sentence as ‘Our result also shows seasonal

variations in  $^{14}\text{C}$  age and optical parameters of headstream DOM. From summer to fall, the SUVA<sub>254</sub> of stream DOM at Q-1 decreased from 2.79 to 2.36 mg C-1 m<sup>-1</sup>, whereas the S<sub>275-295</sub> increased from  $16.33 \times 10^{-3}$  to  $16.96 \times 10^{-3}$  nm<sup>-1</sup>. These temporal changes indicated that the proportion of aromatic components and high molecular weight compounds decreased with the deepening of permafrost thawing.<sup>1</sup> Please see the details from line 292 to 297.

16) L285-288: This statement seems to make sense, but at the same time the DOC concentration from PL is significantly more elevated compared to AL. How much is 'percolation' due to freezing reduced?

**Response:** We agree it would be helpful to distinguish leaching and percolate if we could separate them. Unfortunately, it is very difficult to monitor percolation in fieldwork. So we just separate the whole soil profile into active layer and permafrost layer and discussed combined effects by collecting leaching waters at the Q-1. Nevertheless, several lines of evidence from optical, DOC concentration and FT-ICRMS support our statement that active layer is a major contributor to leachate DOM.

17) Section 4.2: I encourage the authors to actually calculate physical dilution to see if it indeed agrees with the estimation determined based on age variation. Mineralization and in-stream contributions could be roughly estimated by difference based on dilution only.

Response: This is a good comment. We qualitatively discussed the dilution effect in line 305-312. Several lines of evidence from DOC concentration, total water discharge and water conductivity all supported the existence of dilution effect in downstream waters. However, it is difficult to quantify this effect because the lack of DOC and water flux data of tributaries and groundwater. We may conduct more comprehensive survey in next year and address this issue in future. In current study, we circumvent this problem by tracing unique peaks of DOM by using FT-ICRMS. If these unique peaks disappear along the stream, it suggests the occurrence of biodegradation or photodegradation for the specific type of compounds.

18) L304-314: Not clear why the authors make comparisons with values observed in coastal systems. Seems irrelevant in this case.

**Response:** We accepted this suggestion and removed related contents in the revised manuscript.

19) L314-318: The size-reactivity continuum (Amon and Benner, 1996) applies well for marine systems. However, it is controversial for terrestrial systems as both similar and opposite trends have been reported in the literature. Considering this, I would focus on the photo-degradation process, which is more likely dominant in this case.

**Response:** We agree with this suggestion and deleted these sentences. In line 343-344, we rewrote the sentence as 'A strong negative correlation between S<sub>275-295</sub> and

SUVA254 ( $R^2 = 0.73$ ,  $p < 0.01$ ) indicates that photodegradation of high molecular weight aromatic compounds (like lignin) may play a role in the decrease of mean molecular weight of DOM along the stream, despite that microbial degradation might also contribute the molecular modification in stream to less extent.'

20) L346-353: I would like to see an effort by the authors in enhancing the interpretation of the MS data here. Can molecular formulas generated/added along the transect through microbial in-stream activity be identified? What about photo-transformation products? I assume not all photo-degraded DOM is mineralized to CO<sub>2</sub>.

**Response:** Yes, besides the mineralized molecules and new produced molecules, the partial transformations of DOM can also contribute the change in molecular characteristics in stream. This kind of transformations is a result from combined factors such as microbial degradation, photo degradation, and also new input from base flow and instream generation, among others. In the revised manuscript, we have added a supporting figure (Fig. S1) that shows the change of DOM molecular formula between Q-1 and Q-17, with the decrease of aromatics and the addition of highly unsaturated molecules. From line 363 to 369, we rewrote the sentences as "Concurrent with the rapid loss of AL-specific formulas, some new molecular formulas were detected by FT-ICR MS, which was mainly attributed to in-situ production by stream algae/microbes, and import from groundwater and molecular transformation of leachate DOM. The van Krevelen diagram showed that the new products were mainly composed of highly unsaturated molecules (Fig. S1). The addition of new molecular formulas was also reflected by the <sup>14</sup>C enrichment in middle and lower-stream (Fig. 3b)."

21) L392-393: This seems to make sense, but is still mainly speculative. Can you find partial evidence for this from your MS data (i.e. in-stream DOM)? Not sure it is possible.

**Response:** We identified some new formulas which give some evidence for in-stream production of new DOM, but as mentioned above, these new compounds could be also partially transformed from leachate DOM from bio-, and photo-degradation. In order to distinguish the different pathways, we are currently doing a series of incubation experiments in the laboratory, and wish we can publish those data soon..

22) L413: As above – seasonal variations discussion needs to be enhanced.

**Response:** We have added the discussion about seasonal changes in the revised manuscript. Please see our response to comment 15.

23) Figure 5: Color code 'dots' are VERY hard to see. Please enlarge accordingly.

**Response:** we have changed the figure legends and provide a new figure 5 in the revised

manuscript.

**Interactive comment on “Spatiotemporal transformation of dissolved organic matter along an alpine stream flowpath on the Qinghai-Tibetan Plateau: importance of source and permafrost degradation” by Yinghui Wang et al.**

**Anonymous Referee #1**

Received and published: 11 July 2018

We appreciate the anonymous reviewer to supply valuable comments, we accepted most of the comments. The follows are our point by point response, and the changes are marked in light blue in the revised manuscript.

General comments: The manuscript describes the use of multi techniques (UV, AMS, and FT-ICR MS) to characterize dissolved organic matter (DOM) and discuss the temporal and spatial transformation of DOM along an alpine stream. The methodologies are state-of-the-art, the study area will be of interest to readership, the discussion is appropriate, and the manuscript is well-written. There are some issues, listed below, to address prior to publication.

Specific comments:

Comment 1: Figure 5 was not mentioned in the context, which is my most concern. The “highly unsaturated compounds” was classified into L and H, how to define the classification?

**Response:** This is a good comment. In literatures on FT-ICRMS based chemical characterization for natural DOM, compounds are commonly divided into several groups according to elemental composition (e.g., C, H, O, N, S). In some case, the researchers further divided unsaturated compounds into high unsaturated and low unsaturated compounds according to a threshold value of O/C=0.5. In our manuscript, we actually did not distinguish the unsaturated low oxygen (O/C < 0.5) from the unsaturated high oxygen (O/C > 0.5). So we have grouped the ‘U.H’ and ‘U.L’ together and provides a new figure 5e in the revised manuscript.

Comment 2: From the distributions of H/C and O/C ratios shown in the van Krevelen diagrams in Figure 5, I trust the assignment of compounds is not credible. The authors directly used the data processing results from the software (EnviroOrg), however, the molecule assignment based on accurate mass value cannot guarantee a correct identification on the mass peak, especially on the high mass end.

**Response:** We somewhat agree with the reviewer. Assignment of molecular formulas in a complex mixture is challenging and this process would be nearly impossible, especially at relatively high m/z as the reviewer points out, if formulas were assigned to individual signals without context. However, in addition to exact mass, the compositional continuum of natural organic matter has been used for the past 15-20 years to assign molecular formulas to natural organic matter (Kujawinski ,E.B., 2002; Stenson, A., et al. 2003). The compositional patterns (homologous series) in natural organic matter enable us to assign molecular formulas to compounds associated with

high accurate signals at low m/z where there is only one possible formula. We then extrapolate formula assignment to those at high m/z (in combination with the use of accurate mass), as many researchers did. We added the references listed above to line 174 of the text, also at lines 513 and 568 as Kujawinski, E.B., (2002). Electrospray Ionization Fourier Transform Ion Cyclotron Resonance Mass Spectrometry (ESI FT-ICR MS): Characterization of Complex Environmental Mixtures. Environ. Forensics 3, 207-216., Stenson, A.C.; Marshall, A.G.; Cooper, W.T., (2003) Exact masses and chemical formulas of individual Suwannee River fulvic acids from ultrahigh resolution electrospray ionization Fourier transform ion cyclotron resonance mass spectra, Anal. Chem. 75, 1275-1284.

Comment 3: Most “compounds” classified into the CA area ( $O/C < 0.2$ ) should be incorrect assignment of mass peaks with high mass values. This does not mean the data processing and the discussion are wrong, in fact, most published papers in the past years have this problem.

**Response:** There have been some papers that show “islands” or isolate pockets (in van Krevelen space) of formulas that are classified as condensed aromatic compounds. In these cases, we agree with the reviewer that they might be misassigned formulas that are associated with signals of compounds at relatively high m/z. However, this is not the case here. In addition to using homologous series (see above) that is afforded to us by the compositional continuum of natural organic matter (NOM) to assign molecular formulas, we also ensure that the formulas assigned are continuous in carbon number molecular weight, aromaticity, and heteroatom content. The combination of these parameters along with homologous series and accurate mass provide several layers of verification for accurate formula assignments for complex mixtures. The van Krevelen diagrams in Figure 5 show that there are no discontinuities (islands) in the compositional continuum, an indicator (of several) that the formulas are assigned correctly.

Comment 4: In Table 1 and Table 2, as well as many places in the context, the number of assigned molecular formulas was used to discuss the composition of DOM and the degradation along the stream. This is not rigorous and could lead to misunderstanding for readers both on the mass spectrometry analysis and the environmental interpretation. Not like most other analysis instrument detectors, the limit of detection (LOD) of ESI FT-ICR MS for DOM analysis is uncertain, it partially determined by the most abundant peak in the spectrum. Briefly, less mass peaks do not mean the composition of the sample is “simple” and compounds not detected in the sample does not mean these compounds must be in lower concentration. I suggest the authors provide some raw mass spectrometry data in the supporting information, such as the broad bound mass spectra, expanded mass scale mass spectra.

**Response:** We agree with the reviewer that interpreting environmental relevance based solely on changes in the number of assigned molecular formulas could be ambiguous. We also agree that changes in the number of assigned formulas is not

indicative of compounds at lower concentration as FT-ICR MS is better regarded as a qualitative rather than quantitative method. However, in our study, thousands of specific mass peaks were detected in headwater samples but not detected in downstream waters, providing an unambiguous evidence on compositional change of DOM along the stream. In addition, before the SPE process, we had done calculation to make sure each sample containing similar DOM concentrations for FT-ICR MS analysis. This step largely removed the difference in background matrix between samples. Given these fact, we are confident that the peaks lost in downstream are not only caused by the detection limit. In addition, optical and radiocarbon data also showed substantial changes along stream, supporting our assignment of FT-ICRMS data. Nevertheless, we have changed the term “molecular richness” to “chemodiversity” (as described by Kellerman et al. 2014) in lines 227 and 237 for clarity. Frankly speaking, we do not see any necessity of the addition of raw mass spectrum in supporting information. There are over ten thousand peaks in raw mass spectrum for leachate DOM, which reveal little useful information to readers without further data processes. As such, we decided not to do so. But we already provided the raw data in the excel in supporting information.

Line 220. The numbers include the isotope formulae?

**Response:** We did not include the isotopologues here.

Line 221. Elemental analysis was not mentioned in the context.

**Response:** We changed ‘elemental’ to ‘molecular-level’ for clarity.

Line 232. I don’t think it is a good manner to compare the changes in MW and AI with percentage values.

**Response:** We removed the percentages from MW and AI.

1    **Spatiotemporal transformation of dissolved organic matter along an alpine stream**  
2    **flowpath on the Qinghai-Tibetan Plateau: importance of source and permafrost**  
3    **degradation**

4

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19

20 **Abstract** The Qinghai-Tibetan Plateau (QTP) accounts for approximately 70% of  
21 global alpine permafrost and is an area sensitive to climate change. The thawing and  
22 mobilization of ice and organic carbon-rich permafrost impact hydrologic conditions  
23 and biogeochemical processes on the QTP. Despite numerous studies of Arctic  
24 permafrost, there are no reports to date for the molecular-level in-stream processing of  
25 permafrost-derived dissolved organic matter (DOM) on the QTP. In this study, we  
26 examine temporal and spatial changes of chemical composition of DOM and  $^{14}\text{C}$  age  
27 of dissolved organic carbon (DOC) along an alpine stream (3850–3207 m above sea  
28 level) by Fourier transform ion cyclotron resonance mass spectrometry (FT-ICR MS),  
29 accelerator mass spectrometry (AMS) and UV-visible spectroscopy. Compared to  
30 downstream sites, the DOM at the headstream exhibited older radiocarbon ( $^{14}\text{C}$ -DOC)  
31 age, higher mean molecular weight, higher aromaticity and fewer polyunsaturated  
32 components. At the molecular level, 6409 and 1345 formulas were identified as unique  
33 to the active layer (AL) leachate and permafrost layer (PL) leachate, respectively.  
34 Comparing permafrost leachates to the downstream site, 59% of AL-specific formulas  
35 and 90% of PL-specific formulas were degraded, likely a result of rapid instream  
36 degradation of permafrost-derived DOM. From peak discharge in the summer to low  
37 flow in late autumn, the DOC concentration at the headstream site decreased from 13.9  
38 to 10.2 mg/L, while the  $^{14}\text{C}$ -DOC age increased from 745 to 1560 years before present  
39 (BP), reflecting an increase in relative contribution of deep permafrost carbon due to  
40 the effect of changing hydrological conditions over the course of the summer on DOM  
41 source (AL vs. PL). Our study thus demonstrates that hydrological conditions impact  
42 the mobilization of permafrost carbon in an alpine fluvial network, the signature of  
43 which is quickly lost through instream mineralization and transformation.

44 Keywords: dissolved organic matter; permafrost; Qinghai-Tibet Plateau; FT-ICR MS;

45 radiocarbon age

46

47 **1. INTRODUCTION**

48 The amount of carbon stored in permafrost is roughly twice as much as that in the  
49 atmosphere and represents the largest component of the terrestrial carbon pool (Zimov  
50 et al., 2006; Tarnocai et al., 2009). Accelerated climate warming has led to a succession  
51 of changes associated with permafrost thaw, where liquid water once frozen in  
52 permafrost soils has changed watershed hydrology, topography and ecosystem  
53 biogeochemistry (Frey and Smith, 2005; Abbott et al., 2015; Vonk et al., 2015). When  
54 permafrost-derived carbon enters aquatic system, it can be rapidly mineralized and  
55 transformed by microbes and light (Cory et al., 2014; Drake et al., 2015; Vonk et al.,  
56 2015). Therefore, the mobilization of carbon from permafrost soils where it has been  
57 relatively stable for thousands of years into dissolved carbon could increase greenhouse  
58 gas emissions (Cory et al., 2013; Vonk et al., 2013; Mann et al., 2015; Ward and Cory,  
59 2016; Selvam et al., 2017) and exacerbate climate warming via a positive feedback loop  
60 (Koven et al., 2011; Schuur et al., 2015).

61 The seasonal thawing-freezing cycle of permafrost soils could change hydrologic  
62 condition and restrict source water contributions to river flow, leading to variability in  
63 the flux and the chemical composition of dissolved organic matter (DOM) in  
64 permafrost-impacted watersheds (Petrone et al., 2006; Laudon et al., 2011). DOM in  
65 the Yukon River exhibits seasonal changes in aromaticity, molecular weight, <sup>14</sup>C age  
66 and biodegradability (Striegl et al., 2007; Spencer et al., 2008; Wickland et al., 2012;  
67 O'Donnell et al., 2014). Since the persistence of DOM in aquatic systems is related to  
68 chemical composition (Kellerman et al., 2015; Kellerman et al., 2018), substituting  
69 space for time to trace changes in DOM composition along a hydrologic flowpath may

70 illustrate the environmental behavior and fate of seasonally exported permafrost carbon.

71 The Qinghai-Tibet Plateau (QTP), the world's largest and highest plateau, plays a  
72 critical role in the evolution of the Asian Monsoon (Sato and Kimura, 2007; Wu et al.,  
73 2007) and supplies water to several large rivers such as the Yangtze River, Yellow River  
74 and Yarlung Tsangpo (Yao et al., 2007; Kang et al., 2010). As a climate sensitive region,  
75 the QTP has experienced significant warming since the 1950s (Qiu, 2008) with the  
76 mean annual air temperature rising at a rate of 0.36 °C per decade from 1961 to 2007  
77 (Wang et al., 2008). Consequently, the permafrost soils on the QTP have begun to thaw  
78 and collapse, causing abundant carbon loss from degradation, leaching and lateral  
79 displacement (Mu et al., 2016). However, compared with an abundance of studies on  
80 Arctic permafrost, biogeochemical studies on QTP permafrost are scant (Mu et al.,  
81 2016). This results in a limited understanding of the permafrost carbon cycle as a whole  
82 since the QTP represents nearly 10% of the global permafrost, what's more, the QTP  
83 differs from the Arctic in altitude, climate, and hydrology (Bockheim and Munroe,  
84 2014).

85 Here, we conducted a study on the spatial and temporal change of permafrost-derived  
86 DOM on the northeastern QTP. We applied multiple analytical techniques including  
87 Fourier transform ion cyclotron resonance mass spectrometry (FT-ICR MS), AMS  
88 radiocarbon ( $^{14}\text{C}$ ), and UV-visible optical spectroscopy. Our objective is two-fold: 1)  
89 determine the dominant sources of alpine stream DOM on the QTP (active layer (AL)  
90 vs. permafrost layer (PL)), and 2) trace the persistence and degradation of permafrost-  
91 derived DOM in an alpine fluvial network. This work represents the first step in  
92 characterizing in-stream removal and transformation processes of permafrost carbon at  
93 the molecular level on the QTP.

94

95 **2. MATERIALS AND METHODS**

96 **2.1. Study area and sampling**

97 Our study area is located in Gangcha County, north of Qinghai Lake. The climate is  
98 typical plateau continental climate, characterized by extensive sunshine duration  
99 (~3000 hours per year), long cold and dry winters and short cool and humid summers  
100 (Peng et al., 2015). During 2013-2016, January had the lowest average monthly  
101 temperature ( $-11.82^{\circ}\text{C}$ ), while December had the lowest average monthly precipitation  
102 (0.3 mm). Meanwhile, the highest average monthly temperature and precipitation  
103 occurred in July ( $11.66^{\circ}\text{C}$ ) and August (124.67 mm), respectively. These climate data  
104 are available at <http://data.cma.cn>. The permafrost soil was developed in the late  
105 Quaternary, and accumulated  $> 2$  m thick in mountainous areas of the Gangcha County.  
106 Due to the rapid climate warming on the QTP, the ice-rich permafrost began to thaw,  
107 and several thermo-erosion gullies formed a decade ago. In this study, we focused on a  
108 continuous system that starts with a thermo-erosion gully ( $> 200$  m long), forms a  
109 stream which flows into Qinghai Lake, the largest lake in China with a surface area of  
110 ca.  $4500 \text{ km}^2$ . Thawed permafrost slumping exposed soil profiles at the gullies' head  
111 (ca. 3850 meters above sea level; masl). The top 60 cm is an active layer (AL) that  
112 comprises abundant grass litter and roots, underlain by a dark permafrost layer (PL)  
113 without visible plant debris. The thaw depth reached 78 cm in August 2015. Seasonal  
114 thaw of the entire AL and the upper PL allows for both vertical and lateral percolation  
115 of rainwater, which mobilizes large amounts of particulate and dissolved organic matter.

116 The water in the gully flows southward across the hillslope before draining into Qinghai  
117 Lake, and the total length of the stream is around 40 km (Fig. 1).

118 Our fieldwork was conducted in the summer and autumn of 2015 and 2016. In 2015,  
119 a time-series sampling campaign was conducted at the headstream (Q-1) from August

120 1<sup>st</sup> when the warm and humid climate caused the largest export of leachates, to October  
121 15<sup>th</sup> when the leaching ceased due to little precipitation and low temperature. On August  
122 1<sup>st</sup> of 2016 and 2017, AL and PL leachates were collected at the depth of 60 cm and 220  
123 cm, respectively, of the gullies' head. 20 L HDPE carboys were cleaned by pure water,  
124 0.1 N hydrochloric acid and pure water prior to use. It usually took 2 days to gather >  
125 15 L leaching waters. After that, the leachate samples were immediately kept on ice and  
126 in the dark by aluminum foil. They were transported to the temporary laboratory in the  
127 Gangcha County within 6 hours. Besides soil leachates, water samples (20 L each) were  
128 collected from twenty sites along the stream (Fig. 1). Sampling sites Q-1 to Q-10 are  
129 located in a first-order stream (ca. 8.5 km long) that originates in the largest thermo-  
130 erosion gully, whereas sites Q-11 and Q-12 are located in another first-order stream  
131 nearby (ca. 6.9 km long). These two streams merge together to form the main stream,  
132 along which sampling sites Q-13 to Q-20 were located. Surface water samples were  
133 collected using pre-cleaned HDPE carboys and kept on ice and in the dark until filtering  
134 through Whatman GF/F filters (0.7 µm) within 6 hours after sampling. To obtain enough  
135 carbon for <sup>14</sup>C analyses, aliquots of the 0.7 µm filtrate were concentrated over a cross-  
136 flow ultrafiltration system with 1 kDa cut off (Millipore®, Pellicon 2 system). The  
137 retentates and the remaining filtrate were all stored at -20 °C until further analysis. All  
138 glassware and GF/F filters were combusted at 450 °C for at least 4 hours prior to use.  
139

140 **2.2. Hydrological condition, DOC concentration and spectral absorbance in alpine  
141 streams**

142 On Aug. 1<sup>st</sup> 2015, stream water temperature, pH and conductivity were measured  
143 with a portable Horiba W-23XD Water Quality Monitoring System. The water flux was  
144 calculated according to flow rate and cross-sectional area of the stream. The DOC

145 concentration of each water sample was determined by 3-5 injections on a Shimadzu  
146 TOC-V<sub>CPH</sub> analyzer using high temperature combustion, and the coefficient of variance  
147 across measurements was < 2%.

148 The optical properties of the water samples were determined using a Shimadzu UV-  
149 1800 spectrophotometer. The scan range was between 200 and 800 nm and Milli-Q  
150 water (18.2 M $\Omega$  cm<sup>-1</sup>) was used as the blank. A quartz cell with 1.0 cm path length was  
151 used. The spectral slope of the 275–295 nm region ( $S_{275-295}$ ), an indicator for the  
152 molecular weight of DOM (Helms et al., 2008), was determined by applying log linear  
153 fits across the wavelengths 275–295 nm. Specific UV absorbance (SUVA<sub>254</sub>), an  
154 indicator for relative aromatic C content, was calculated by dividing the decadic UV  
155 absorbance at 254 nm with DOC concentration (Weishaar et al., 2003).

156

157 **2.3. Electrospray ionization Fourier transform ion cyclotron resonance mass  
158 spectrometry (ESI FT-ICR MS)**

159 Selected water samples collected in 2016 from headstream (Q-1), mid-stream (Q-9),  
160 and downstream (Q-17), as well as leachate samples collected from the AL and PL,  
161 were prepared for FT-ICR MS analyses. They were solid-phase extracted (SPE) using  
162 the Bond Elut PPL (Agilent Technologies, 100 mg PPL in 3 ml cartridge), following  
163 the procedures of Dittmar et al. (2008). In order to avoid overloading of the SPE column,  
164 the aliquot volume of SPE DOM was calculated based on an average SPE recovery (60%)  
165 for permafrost DOM; Ward et al., 2015) and a final eluate concentration of 40  $\mu$ g C/ml  
166 (in 2 ml methanol). The methanol extracts were analyzed on a 9.4 Tesla custom-built  
167 FT-ICR MS at the National High Magnetic Field Laboratory (NHMFL; Tallahassee, FL;  
168 Kaiser et al., 2011). The injection speed was 0.7  $\mu$ L/min. A total of 100 broadband scans  
169 was accumulated for each mass spectra. Other instrumental parameters can be found in

170 Hodgkins et al. (2016). After internal calibration in MIDAS Predator Analysis  
171 (NHMFL), formulas were assigned based on published rules to peaks with intensities >  
172  $6\sigma$  baseline noise (Stubbins et al., 2010) using EnviroOrg®™ software and categorized  
173 by compound class based on the elemental composition of molecular formulas  
174 (Kujawinski, 2002; Stenson et al. 2003; Spencer et al., 2014; Corilo, 2015). A modified  
175 aromaticity index ( $AI_{mod}$ ) was calculated according to the definition of Koch and  
176 Dittmar (2006):  $AI_{mod} = \frac{1+C-0.5O-S-0.5(H+N+P)}{C-0.5O-S-N}$ , and if  $AI_{mod}$  is negative, then  $AI=0$ . The  
177 groups referenced in this study are: 1) aliphatics (Ali.): H/C 1.5 - 2.0, O/C < 0.9, N = 0;  
178 2) peptides (Pep.): H/C 1.5 - 2.0, O/C < 0.9, N > 0; 3) **highly** unsaturated compounds  
179 (Uns.):  $AI_{mod} < 0.5$ , H/C < 1.5; 4) polyphenols (Pol.):  $0.5 < AI_{mod} < 0.67$ ; 5) condensed  
180 aromatics (CA):  $AI_{mod} \geq 0.67$ . The relative abundance of the defined compound class  
181 was weighted by signal magnitude in each spectrum.

182

#### 183 **2.4. Radiocarbon analyses**

184 **Freeze-dried retentates from ultrafiltration** were fumigated with concentrated  
185 hydrochloric acid (12 M) in order to remove inorganic carbon. After that, the samples  
186 were analyzed on the Keck Carbon Cycle Accelerator Mass Spectrometry (AMS)  
187 Facility at the University of California, Irvine, USA. Processing blank and sample  
188 preparation backgrounds were subtracted. Radiocarbon concentrations are given as  
189 conventional  $^{14}\text{C}$  age following Stuiver and Reimer (1993).

190

### 191 **3. RESULTS**

#### 192 **3.1. Hydrology and DOC concentration from headstream to downstream water**

193 Discharge increased along the stream reach, from  $0.15 \text{ m}^3/\text{min}$  at the headstream  
194 (Q-1) on August 1<sup>st</sup> 2015 to  $24.14 \text{ m}^3/\text{min}$  (Q-19) (Fig. 2). pH increased from 7.4 at Q-

195 1 to 8.2 at Q-4 and remained elevated in the middle and lower stream (7.9 to 8.4).  
196 Conductivity was relatively constant from Q-1 to Q-6 (35 to 38  $\mu\text{s}/\text{cm}$ ), then increased  
197 at Q-7 and remained elevated downstream (48 to 60  $\mu\text{s}/\text{cm}$ ). The DOC concentration  
198 was high in headstream waters (e.g.,  $11.69 \pm 0.60 \text{ mg/L}$  at Q-1 and  $10.22 \pm 1.09 \text{ mg/L}$   
199 at Q-2; Mean  $\pm$  AD, same hereafter) and decreased downstream ( $3.29 \pm 0.75$  to  $4.73 \pm$   
200  $0.21 \text{ mg/L}$  from Q-5 to Q-20). The mean DOC concentration of the AL leachates ( $11.57 \pm$   
201  $0.77 \text{ mg/L}$ ) was an order of magnitude lower than that of the PL leachates ( $126.40 \pm$   
202  $14.80 \text{ mg/L}$ ).

203

### 204 3.2. Optical properties of DOM in leachates and stream waters

205 Paired t-test based on  $S_{275-295}$  and SUVA<sub>254</sub> of water samples showed no  
206 significant inter-annual variation between year 2015 and 2016 ( $p = 0.716$  and  $p = 0.321$ ,  
207 respectively). The mean  $S_{275-295}$  of 2015 and 2016 samples was  $(14.49 \pm 0.34) \times 10^{-3}$   
208  $\text{nm}^{-1}$  for the AL leachates and  $(18.05 \pm 0.94) \times 10^{-3} \text{ nm}^{-1}$  for the PL leachates. In the  
209 stream waters, the  $S_{275-295}$  ranged from  $16.05 \times 10^{-3}$  to  $21.80 \times 10^{-3} \text{ nm}^{-1}$ , increasing in  
210 downstream reaches. The mean SUVA<sub>254</sub> was  $3.53 \pm 0.17 \text{ L mg C}^{-1} \text{ m}^{-1}$  for the AL  
211 leachates and  $0.95 \pm 0.10 \text{ L mg C}^{-1} \text{ m}^{-1}$  for the PL leachates, and decreased in the stream  
212 from Q-1 to Q-11 ( $2.92$  to  $1.66 \text{ L mg C}^{-1} \text{ m}^{-1}$ ), and then remained low (Fig. 3). A strong  
213 negative correlation was found between SUVA<sub>254</sub> and  $S_{275-295}$  for water samples from  
214 both years ( $R^2 = 0.77$ ,  $P < 0.01$ ).

215

### 216 3.3. Spatiotemporal change of $^{14}\text{C}$ -DOC age through fluvial networks

217  $^{14}\text{C}$ -DOC age of the PL leachate was 4145 years BP, which was much older than  
218 that of the AL leachate (535 years BP; Fig. 4a). The  $^{14}\text{C}$ -DOC age decreased along the  
219 stream from 745 years BP for the headstream water (Q-1) to 160 years BP at Q-19, a

220 site close to Qinghai Lake. Besides apparent spatial variability, the  $^{14}\text{C}$ -DOC age also  
221 changed temporally. In 2015, the  $^{14}\text{C}$ -DOC age of the headstream water (Q-1) increased  
222 from 745 years BP on August 1<sup>st</sup>, to 1015 years BP on August 11<sup>th</sup> and 1560 years BP  
223 on September 5<sup>th</sup> (Fig. 4b).

224

### 225 **3.4. FT-ICR MS characterization of SPE-DOM**

226 Compared with the PL leachate, the AL leachate was characterized by higher  
227 **molecular chemodiversity** (14709 vs. 9645 assigned formulae), higher mean molecular  
228 weight (498.81 vs. 452.73 Da) and higher  $\text{AI}_{\text{mod}}$  (0.47 vs. 0.30). **Molecular-level**  
229 **composition** revealed that compounds containing both N and S were only detected in  
230 the AL leachates and headstream waters. The AL leachate contained 54.28% highly  
231 unsaturated compounds, 27.10% polyphenols and 17.23% condensed aromatic  
232 compounds, whereas the proportion of aliphatics and peptides was minor (ca. 1.30%).  
233 Compared with the AL leachate, the PL leachate comprised a higher proportion of  
234 polyunsaturated compounds (74.23%) and aliphatics + peptides (10.04%), but a lower  
235 proportion of polyphenols (11.33%) and condensed aromatics (4.32%).

236 Along the stream (Q-1, Q-9, and Q-17), the **molecular chemodiversity**, mean  
237 molecular weight and modified aromaticity index of SPE-DOM decreased from 14924  
238 to 11074, 510.1 to 486.5 Da and 0.43 to 0.36, respectively (Table 1). The relative  
239 abundance of aromatics (condensed aromatics and polyphenols) decreased by 48%  
240 (35.7% at Q-1 vs. 18.4% at Q-17), whereas that of highly unsaturated compounds  
241 increased by 28% (62.8% at Q-1 vs. 80.3% at Q-17). Aliphatics and peptides were  
242 minor components of stream DOM (<1.3%) and did not exhibit a downstream trend.

243

### 244 **4. DISSCUSSION**

245 **4.1. AL leachates as a major source of stream DOM**

246 The UV-visible optical parameters and molecular formulas resolved by FT-ICR MS  
247 show that the AL and PL leachates have different chemical compositions (Table 1 and  
248 2). This difference is likely attributed to selective release of aromatic components from  
249 AL and carbohydrate/protein components from deep PL during the soil thawing process  
250 which was observed in our previous study (Wang et al., 2018). Since chemical  
251 composition impacts the reactivity of DOM (Kellerman et al., 2015), the differing  
252 chemical composition between the AL and PL leachates that enter the stream may  
253 influence bioavailability (Vonk et al., 2013) and photolability (Stubbins et al., 2017).  
254 Thus, distinguishing DOM source is crucial for understanding in-stream  
255 biogeochemical processes in permafrost-impacted systems. DOM may originate from  
256 a variety of sources including permafrost soil (AL and PL) leaching, in-situ microbial  
257 production, and wet deposition from snow and rain. At the headstream site (Q-1),  
258 however, the dominant source of DOM is permafrost soil leaching, as short residence  
259 times at the gully head restrict in-stream production and wet deposition is likely  
260 negligible due to low DOC concentrations in Tibetan glaciers (0.2-3.3 µg/ml; Spencer  
261 et al., 2014). Assuming that headstream DOM is derived only from permafrost soil  
262 leaching, we are able to estimate the relative contributions of DOM from the AL and  
263 PL.

264 The mean DOC concentration of the AL leachate based on samples from 2015 and  
265 2016 ( $11.57 \pm 0.77$  mg/L) is similar to that of the headstream (Q-1; ca.  $11.69 \pm 0.60$   
266 mg/L), but substantially lower than that of the PL leachates ( $126.40 \pm 14.80$  mg/L),  
267 supporting a predominance of AL-leachate DOM in stream waters. In addition, the  
268 SUVA<sub>254</sub> is  $3.52 \pm 0.17$  L mg C<sup>-1</sup> m<sup>-1</sup> for AL leachates and  $0.95 \pm 0.10$  L mg C<sup>-1</sup> m<sup>-1</sup> for  
269 PL leachates, whereas the  $S_{275-295}$  is  $(14.49 \pm 0.34) \times 10^{-3}$  nm<sup>-1</sup> for AL leachates and

270  $(18.05 \pm 0.94) \times 10^{-3}$  nm<sup>-1</sup> for PL leachates. Similar optical properties and DOC  
271 concentrations between AL-leachates and the headstream water ( $3.52 \pm 0.17$  L mg C<sup>-1</sup>  
272 m<sup>-1</sup> vs  $2.92 \pm 0.13$  L mg C<sup>-1</sup> m<sup>-1</sup> for SUVA<sub>254</sub> and  $(14.49 \pm 0.34) \times 10^{-3}$  nm<sup>-1</sup> vs  $(16.05 \pm 0.28) \times 10^{-3}$  nm<sup>-1</sup> for S<sub>275-295</sub>) support DOM that leaches from the AL dominates stream  
273 DOM. Furthermore, the stream water at Q-1 has a <sup>14</sup>C-DOC age of 745 years BP, close  
274 to that of the AL leachate (535 years BP), and much younger than that of the PL leachate  
275 (4145 years BP). We estimate the portion of AL and PL-derived C by using a binary  
276 mixing model based on  $\Delta^{14}\text{C}$  values of bulk DOC (Criss, 1999):  
277

$$278 \Delta^{14}\text{C}_{\text{DOM}} = f_{\text{AL}} \times \Delta^{14}\text{C}_{\text{AL}} + f_{\text{PL}} \times \Delta^{14}\text{C}_{\text{PL}}$$

$$279 1.0 = f_{\text{AL}} + f_{\text{PL}}$$

280 According to this model, ca. 94% of DOC collected from stream site Q-1 on Aug.  
281 1, 2015 originated from the AL (Fig. 6a). Headstream <sup>14</sup>C-DOC age increased from  
282 summer to fall (Fig. 4b), reflecting an enhanced contribution of old carbon from the  
283 deeper soils (i.e., PL), however, the AL still accounted for  $\geq 72\%$  of total DOC exported  
284 (Fig. 6a). This binary mixing model may overestimate the contribution of AL to stream  
285 DOC since PL-derived DOC may be degraded faster than AL-derived DOC, due to the  
286 high biolability of ancient permafrost carbon as shown in Arctic ecosystems (Vonk et  
287 al., 2013). Nonetheless, the AL appears as a major contributor to stream DOC in the  
288 QTP.

289 Seasonal variation of <sup>14</sup>C-DOC (Fig. 4b) has been previously observed in high  
290 latitude permafrost areas in Alaska (Aiken et al., 2014; O'Donnell et al., 2014), with the  
291 most enriched <sup>14</sup>C values observed in the spring and becoming more depleted through  
292 summer-fall and/or during winter. Our result also shows seasonal variations in <sup>14</sup>C age  
293 and optical parameters of headstream DOM. From summer to fall, the SUVA<sub>254</sub> of  
294 stream DOM at Q-1 decreased from 2.79 to 2.36 mg C<sup>-1</sup> m<sup>-1</sup>, whereas the S<sub>275-295</sub>

295 increased from  $16.33 \times 10^{-3}$  to  $16.96 \times 10^{-3}$  nm<sup>-1</sup>. These temporal changes indicated that  
296 the proportion of aromatic components and high molecular weight compounds  
297 decreased with the deepening of permafrost thawing. The mean monthly air temperature  
298 of Gangcha County, after reaching the maximum in July (ca. 10.5 °C), decreases to 2.1  
299 °C in September (data from <http://data.cma.cn>). As air temperature drops, surface soils  
300 freeze earlier than deeper soils, leading to an increase in the relative contribution of  
301 deep soil carbon (i.e. PL) to stream DOM, although the DOC concentration in Q-1  
302 decreased from 13.87 mg/L to 10.22 mg/L (Fig. 6b).

303

#### 304 **4.2. Selective removal of DOM along the alpine stream on the QTP**

305 The DOC concentration decreased (11.69 to 3.29 mg/L) from upper to mid-stream  
306 (Q-1 to Q-5), which could be attributed to a dilution effect and/or in-stream degradation  
307 of DOM. Dilution from groundwater is likely since groundwater discharge sustains  
308 baseflow of rivers and streams in the QTP (Ge et al., 2008). Downstream groundwater  
309 inputs were further supported by the order of magnitude increase in discharge (1.49 to  
310 24.14 m<sup>3</sup>/min) and increase in conductivity (37 to 60  $\mu$ s/cm). Moreover, downstream  
311 DOC concentrations remained about 3.0-4.0 mg/L (Q-15 to Q-20), indicative of the low  
312 DOC concentrations of groundwater. Conversely, a tributary that originated from  
313 another thermo-erosion gully merged into the study stream, however, the different  
314 tributaries exhibited similar DOC concentrations (e.g., Q-9 and Q-10 vs. Q-11 and Q-  
315 12; Fig. 2d). The similarities in DOC concentrations were attributed to homogeneity in  
316 dominant vegetation, soil type and climate, and thus, homogeneity in DOM inputs to  
317 the different tributaries in our study area. Therefore, additional tributaries could not  
318 explain the spatial pattern of DOC concentration.

319 Despite evident dilution, DOC attenuation could be partly due to in-stream

320 degradation given several lines of evidence from optical properties, radiocarbon age  
321 and molecular composition. The UV-visible optical parameters,  $S_{275-295}$  and  $SUVA_{254}$ ,  
322 have been widely used to reveal mean molecular weight and aromaticity of DOM,  
323 respectively (Weishaar et al., 2003; Helms et al., 2008; Spencer et al., 2009; Mann et  
324 al., 2012). ~~In our study, the  $S_{275-295}$  of stream waters varied from  $15.8 \times 10^{-3}$  to  $22.5 \times$~~   
325  ~~$10^{-3} \text{ nm}^{-1}$  (Fig. 3a), comparable to typical riverine DOM values ( $13.19 \times 10^{-3}$  to  $22.96$~~   
326  ~~$\times 10^{-3} \text{ nm}^{-1}$ ), but much lower than that of DOM from continental shelf and slope ( $29.7$~~   
327  ~~$\times 10^{-3}$  to  $48.5 \times 10^{-3} \text{ nm}^{-1}$ ) (Fichot and Benner, 2012), suggesting a moderate degradation~~  
328 ~~of stream DOM on the QTP.~~ A downstream increase for  $S_{275-295}$  regardless of sampling  
329 time (Fig. 3a) reflects selected degradation of high molecular weight compounds,  
330 leading to the enrichment of low molecular weight DOM. ~~This spatial trend is in~~  
331 ~~accordance with the size-reactivity continuum model (Amon and Benner, 1996) that the~~  
332 ~~bioreactivity of DOM decreases along a continuum of size (from large to small).~~ In  
333 addition to  $S_{275-295}$ ,  $SUVA_{254}$  varied from 1.50 to 2.92  $\text{L mg C}^{-1} \text{ m}^{-1}$ , showing a general  
334 decrease downstream (Fig. 3b). Lignin, an aromatic biopolymer specific for vascular  
335 plants (Hedges et al., 1997), is relatively resistant to biodegradation (Hedges et al.,  
336 1985), but highly photo-labile (Lanzalunga and Bietti, 2000). Cory et al. (2014) found  
337 that sunlight accounts for 70% to 95% of water column carbon processing in Arctic  
338 rivers and lakes. Given strong solar radiation and long sunshine duration ( $\sim$ 3000 hours  
339 per year) on the QTP (Peng et al., 2015), photo-degradation could be an important  
340 pathway for carbon removal in QTP streams. A strong negative correlation between  
341  $S_{275-295}$  and  $SUVA_{254}$  ( $R^2 = 0.73$ ,  $p < 0.01$ ) indicates that photodegradation of high  
342 molecular weight aromatic compounds (like lignin) may play a role in the decrease of  
343 mean molecular weight of DOM along the stream, despite that ~~microbial degradation~~  
344 ~~might also contribute the molecular modification in stream.~~

345 Similar to SUVA<sub>254</sub> and  $S_{275-295}$ , the data from FT-ICR MS also show a downstream  
346 decrease in aromaticity (AI<sub>mod</sub>: 0.43 to 0.36) and mean molecular weight of stream  
347 DOM (510.0 to 486.5 Da; Table 1). Compared with headstream DOM at Q-1, DOM at  
348 Q-9 and Q-17 was characterized by a lower proportion of condensed aromatics and  
349 polyphenols and enriched in highly unsaturated compounds (Table 1). The decrease in  
350 relative abundance of aromatic compounds is consistent with the reports for the  
351 photolability of aromatic formulas within permafrost, river and ocean DOM (Stubbins  
352 and Dittmar, 2015; Stubbins et al., 2017).

353 As discussed in section 4.1, AL is the principal contributor to stream DOM. Thus,  
354 tracing AL-derived DOM is paramount in estimating biogeochemical processes of  
355 carbon in the stream. FT-ICR MS identified 6409 molecular formulas specific to AL-  
356 leachates (i.e. not observed in the PL, Table 2). Through various stream processes, some  
357 AL specific formulas were removed from the DOM pool (from 17% by Q-1 up to 59%  
358 by Q-17), which accounted for 66% of the aromatic compounds and 51% of the highly  
359 unsaturated compounds (Table 2). Molecular formulas containing N and/or S were more  
360 labile in the fluvial networks than CHO formulas, with 84% of S-containing formulas  
361 and 100% of S and N-containing formulas lost (Table 2). Furthermore, the removal of  
362 DOM formulas (ca. 83% of AL-specific formulas, and >95% of AL-specific formulas)  
363 occurred in upper and mid-stream (leachates to Q-9). Concurrent with the rapid loss of  
364 AL-specific formulas, some new molecular formulas were detected by FT-ICR MS,  
365 which was mainly attributed to in-situ production by stream algae/microbes, an import  
366 from groundwater and molecular transformation of leachate DOM. The van Krevelen  
367 diagram showed that the new products were mainly composed of highly unsaturated  
368 molecules (Fig. S1). The addition of new molecular formulas was also reflected by the  
369 <sup>14</sup>C enrichment in middle and lower-stream (Fig. 3b).

370 Overall, our multiple analyses demonstrate a rapid and selective degradation of  
371 stream DOM on the QTP. The attenuation of aromatic compounds and enrichment of  
372 highly unsaturated compounds could change the environmental photo- and bio-lability  
373 of DOM, increasing relative importance of photodegradation in upper stream and  
374 biodegradation in lower stream. The continuous change in chemical properties of DOM  
375 along the alpine stream flowpath has a potential to shift the aquatic microbial  
376 community since DOM serves as an important energy and nutrient source(Wild et al.,  
377 2014).

378

### 379 **4.3. Prediction of in stream carbon dynamic under continued warming**

380 The DOC concentrations, UV-visible optical parameters and FT-ICR MS all suggest  
381 that currently, PL is a minor source to stream DOM (see 4.1). However, the QTP is a  
382 sensitive area to global warming, with a rate of air temperature rise that is  
383 approximately three times the global warming rate (Qiu, 2008). According to climate  
384 model predictions, spatial average temperatures of the QTP will increase by 0.68–0.98  
385 °C for the period of 2015–2050 (Zhu et al., 2013), and in 2050, the mean AL thickness  
386 on the QTP permafrost will increase by approximately 0.3-0.8 m more than that in 2010  
387 (Zhang and Wu, 2012). With the deepening of the AL, carbon that is currently stable in  
388 the PL will be thawed and mobilized into the downslope aquatic environments, which  
389 inevitably changes the proportion of AL vs. PL contributions to stream DOM. Thus, it  
390 is worth to trace chemical change of PL leachates along the stream. The PL leachate  
391 contained only 1345 formulas unique to the PL leachate in comparison to the AL,  
392 accounting for 14% of total assigned formulas (Table 2). Most PL-specific formulas  
393 were more biolabile components, e.g. aliphatics and peptides (73%), followed by highly  
394 unsaturated formulas (23.6%) and aromatics (1.9%). At the downstream site (i.e., Q-

395 17), 90% of these PL-specific molecular formulas were lost, substantially higher than  
396 that of AL-specific formulas (59%). Furthermore, the vast majority of PL-specific  
397 formulas were lost within < 1 km (Q-1: 83%) whereas only 17% of AL-specific  
398 formulas were lost by Q-1 (Table 2). Therefore, the FT-ICR MS data demonstrate that  
399 permafrost thaw can trigger a rapid degradation of old carbon that was frozen in soils  
400 for thousands of years (Fig. 3a). This is consistent with findings in Arctic fluvial  
401 networks that show the utilization of ancient permafrost carbon in headstream waters  
402 was rapid (Mann et al., 2015; Frey et al., 2016). Therefore, we hypothesize that with  
403 enhanced leaching of deep soil C under continued warming on the QTP, DOM in alpine  
404 streams will be more enriched in biolabile aliphatics/peptides and depleted in  
405 photolabile aromatics

406 Finally, despite substantial in-stream degradation, some old permafrost-derived  
407 carbon (i.e., polyphenols and highly unsaturated compounds) could persist downstream.  
408 In addition, CO<sub>2</sub> produced by respiration of old DOC could be utilized by stream algae  
409 to biosynthesize new DOM with an old carbon age. These effects resulted in a sustained  
410 deviation from modern <sup>14</sup>C-DOC age in the alpine stream (e.g., 160 years BP at Q-19),  
411 and were even detected in large rivers on the QTP (e.g., Yangtze River and Yellow River;  
412 Qu et al., 2017). Thus, under continued warming, a greater quantity of older C may be  
413 transported into large watersheds on the QTP, and thereby exert an important role in  
414 biogeochemical cycles there since older carbon has different photo and bio-lability from  
415 young carbon in AL soils.

416

## 417 **5. CONCLUSIONS**

418 Permafrost thaw represents positive feedbacks to climate change, but its carbon  
419 alteration and removal mechanism is not well known, particularly for the alpine

420 permafrost such as the QTP. Here we use multiple analytical methods (e.g., FT-ICR MS,  
421 radiocarbon and UV-visible spectroscopy) to trace spatial and temporal variability of  
422 permafrost DOM along an alpine stream in the northeastern QTP, from which four  
423 conclusions have been reached.

424 1) Presently, the AL is the major source to stream DOM with relatively high  
425 aromaticity. This character, combined with strong solar radiation on the QTP, suggests  
426 sunlight may be an important driver for DOM removal in alpine fluvial networks, which  
427 was corroborated by an almost 60% loss of AL specific formulas from the thermo-  
428 erosion gully head to downstream waters.

429 2) From summer to fall (seasonal permafrost thawing to freezing cycle), the  
430 concentrations and chemical composition of stream DOM varied significantly at the  
431 thermo-erosion gully head. Even though the total amount of the leached DOC decreased,  
432 the contribution of deep permafrost carbon with lower aromaticity and lower MW  
433 increased, reflected by an increase of  $^{14}\text{C}$ -DOC age and a decrease in aromaticity of  
434 DOM.

435 3) Although both the AL and PL leachate DOM underwent rapid degradation in  
436 the alpine stream, some components with old  $^{14}\text{C}$ -DOC age (mainly highly unsaturated)  
437 were recalcitrant to degradation and could be transported downstream, causing  $^{14}\text{C}$ -  
438 DOC values that were more depleted than modern radiocarbon age downstream in our  
439 study, and even in large watersheds as observed in Qu et al. (2017).

440 4) With deepening of the AL under continued climate warming on the QTP,  
441 currently stable PL soils will thaw and release greater amounts of old, aliphatic/peptide-  
442 rich DOM to downstream waters. This change in source and chemical composition will  
443 make microbial degradation more important for carbon removal and may shift  
444 downstream microbial communities, even in large watershed systems. All these factors

445 should be taken into account when interpreting alpine permafrost carbon dynamics  
446 under the amplified climate warming trend on the QTP.

447

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454

455

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641

642 **Figure and table captions**

643 **Fig. 1.** Location of the QTP and sampling sites Q1 to Q20. Sites marked by a star were  
644 selected for FT-ICR MS and  $^{14}\text{C}$ -DOC analyses. The AL and PL denote the sampling  
645 locations of the active and permafrost layers. The blue line and the red line represent  
646 the first order and second order stream, respectively, and the blue dashed line denotes  
647 stream without GPS data.

648 **Fig. 2.** (a) Stream water discharge, (b) pH, and (c) conductivity at the sampling sites in  
649 2015; and (d) DOC concentration in stream water and PL/AL leachates collected in  
650 2015 (filled circles) and 2016 (open circles).

651 **Fig. 3.** UV-visible optical indices of the stream water and PL/AL leachate samples  
652 collected in 2015 (filled circles) and 2016 (open circles) on the QTP:  $S_{275-295}$  (a) and  
653  $\text{SUVA}_{254}$  (b).

654 **Fig. 4.** Variations of  $^{14}\text{C}$ -DOC age across the alpine stream spatially (a), and at  
655 headstream Q-1 temporally (b).

656 **Fig. 5.** van Krevelen diagrams of AL leachate DOM (a), PL leachate DOM (b),  
657 headstream DOM Q-1 (c), downstream DOM Q-17 (d), the relative abundance of  
658 defined compound class in different samples (e). CA = condensed aromatics, Pol. =  
659 polyphenols, Uns. = highly unsaturated compounds, Ali. = aliphatics, Pep. = peptides;  
660 and Sug. = Sugar.

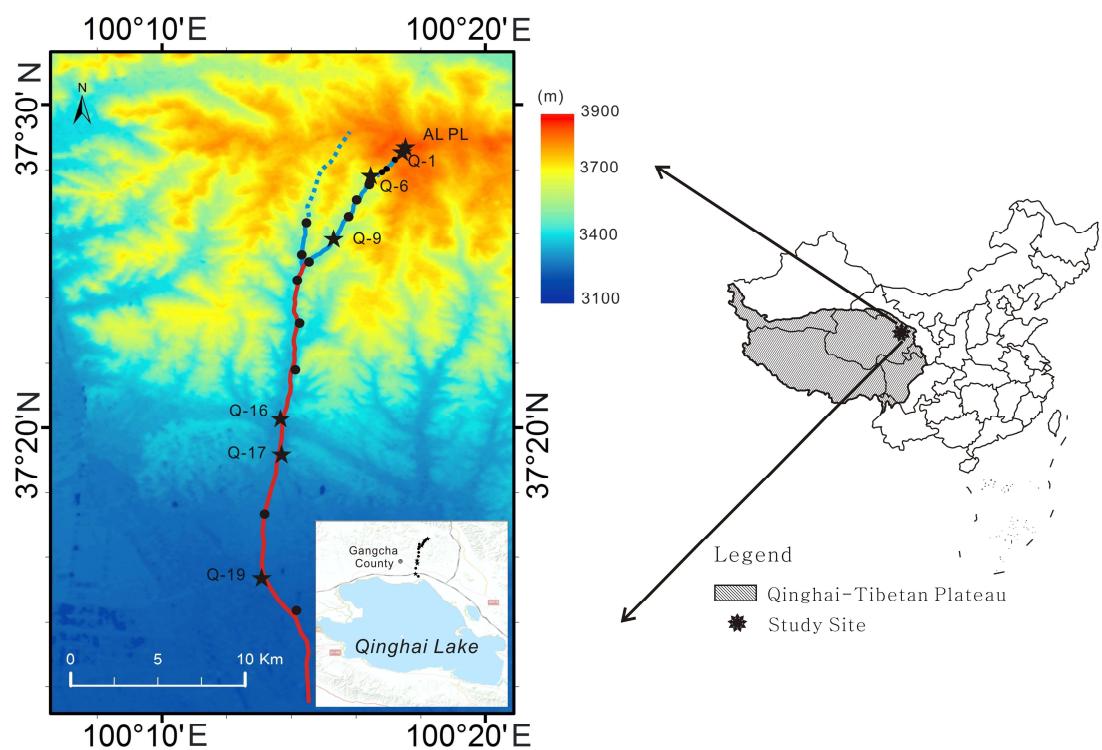
661 **Fig. 6.** (a) Relative contribution of AL leachate DOM to headstream DOM (Q-1); and  
662 (b) temporal variation of the DOC concentration at headstream Q-1.

663 **Table 1** The number of molecular formulas assigned, modified aromaticity index  
664 ( $\text{AI}_{\text{mod}}$ ), mean molecular weight (mean MW) and relative abundance of defined  
665 compound classes detected by FT-ICR MS for DOM samples from the QTP, including  
666 soil leachates (AL and PL) and stream waters (Q-1, Q-9 and Q-17). CA = condensed  
667 aromatics, Pol. = polyphenols, Uns. = highly unsaturated compounds, Ali. = aliphatic,  
668 Pep. = peptides.

669 **Table 2** The number of specific molecules identified in the AL leachate DOM and the  
670 PL leachate DOM within the fluvial network, and the percentage of peaks totally  
671 degraded during the transportation.

672  
673 **Supplementary Figure S1.** Van Krevelen diagrams showing the molecular changes  
674 of DOM from head to down-stream on the QTP. The blue and red dots denote  
675 decreasing trends and increasing trends, respectively. The color gradient shows the  
676 percentage of change. The lines separating compound categories [a]aliphatics and  
677 peptides [b] highly unsaturated compounds [c] polyphenols [d] condensed aromatics  
678 based on rules in the methods are just for visualization, and the exact categorization  
679 may differ.

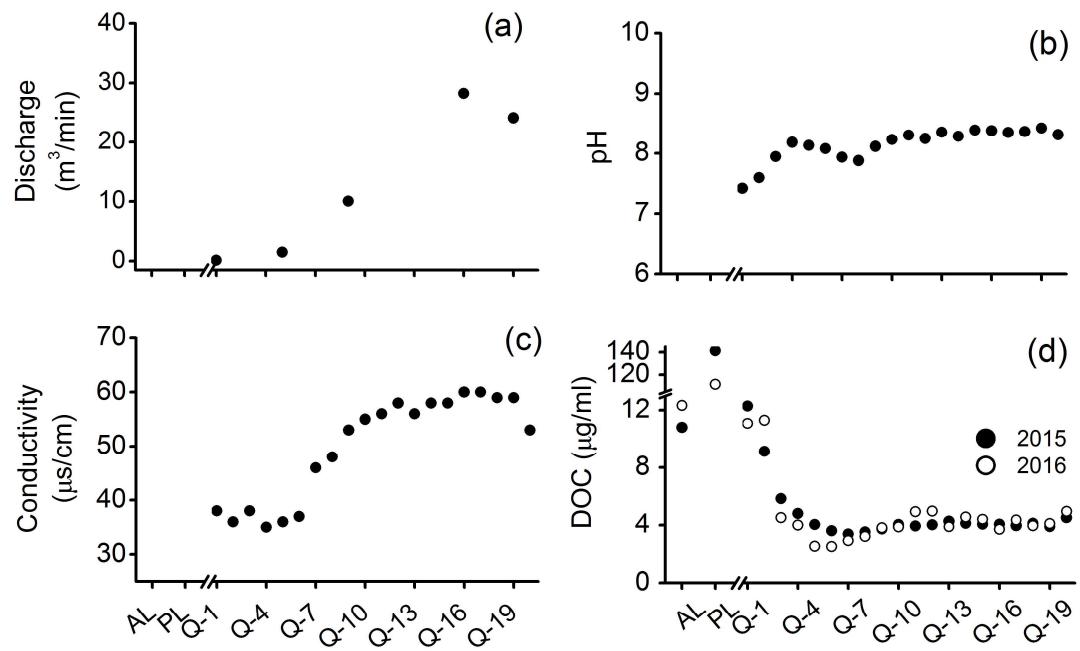
680 Fig. 1



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683 Fig. 2



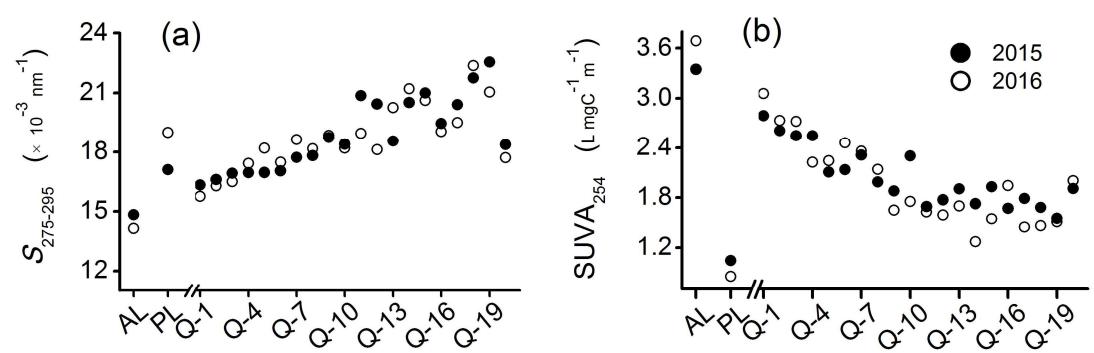
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686 Fig. 3

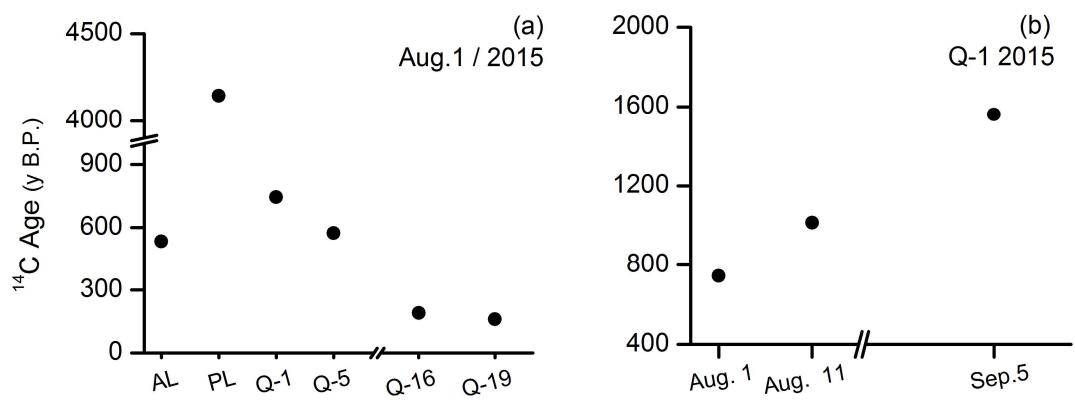
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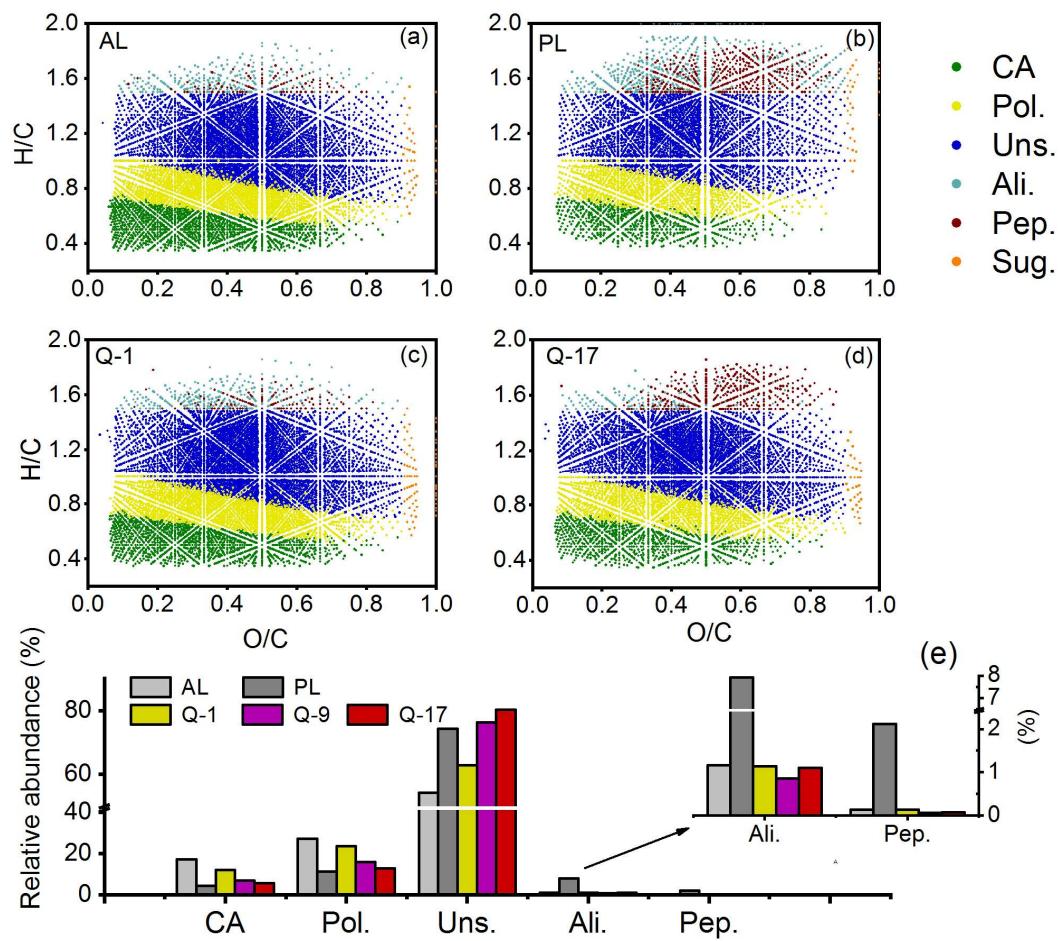


689 Fig. 4

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692 Fig. 5



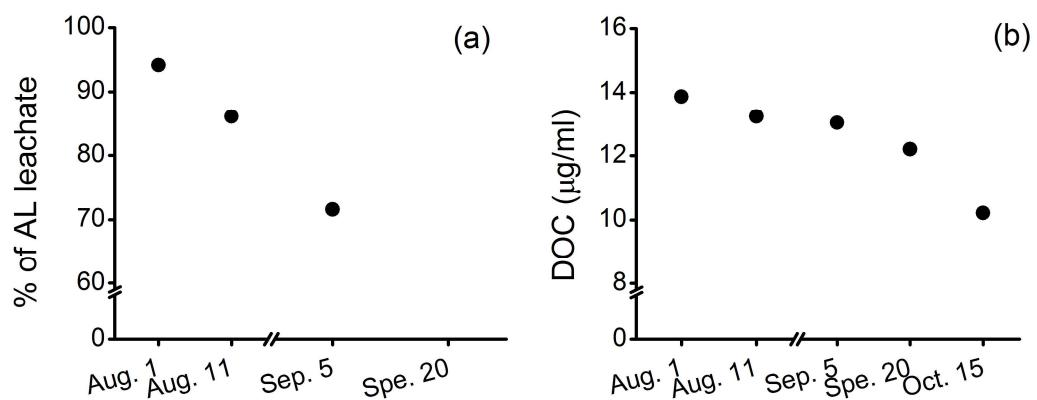
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695 Fig. 6

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697



698 **Table 1.** The number of molecular formulas assigned, modified aromaticity index  
 699 ( $AI_{mod}$ ), mean molecular weight (mean MW) and relative abundance of defined  
 700 compound classes detected by FT-ICR MS for DOM samples from the QTP, including  
 701 soil leachates (AL and PL) and stream waters (Q-1, Q-9 and Q-17). CA = condensed  
 702 aromatics, Pol. = polyphenols, Uns. = highly unsaturated compounds, Ali. = aliphatic,  
 703 Pep. = peptides.

704

Sample	Formulas assigned	Mean MW	$AI_{mod}$	CA (%)	Pol. (%)	Uns. (%)	Ali. (%)	Pep. (%)
AL	14709	498.81	0.47	17.23	27.10	54.28	1.16	0.14
PL	9645	452.73	0.30	4.32	11.33	74.23	7.92	2.12
Q-1	14924	510.07	0.43	12.05	23.69	62.85	1.14	0.14
Q-9	11724	500.19	0.38	6.86	15.82	76.32	0.86	0.06
Q-17	11074	486.50	0.36	5.53	12.91	80.31	1.11	0.08

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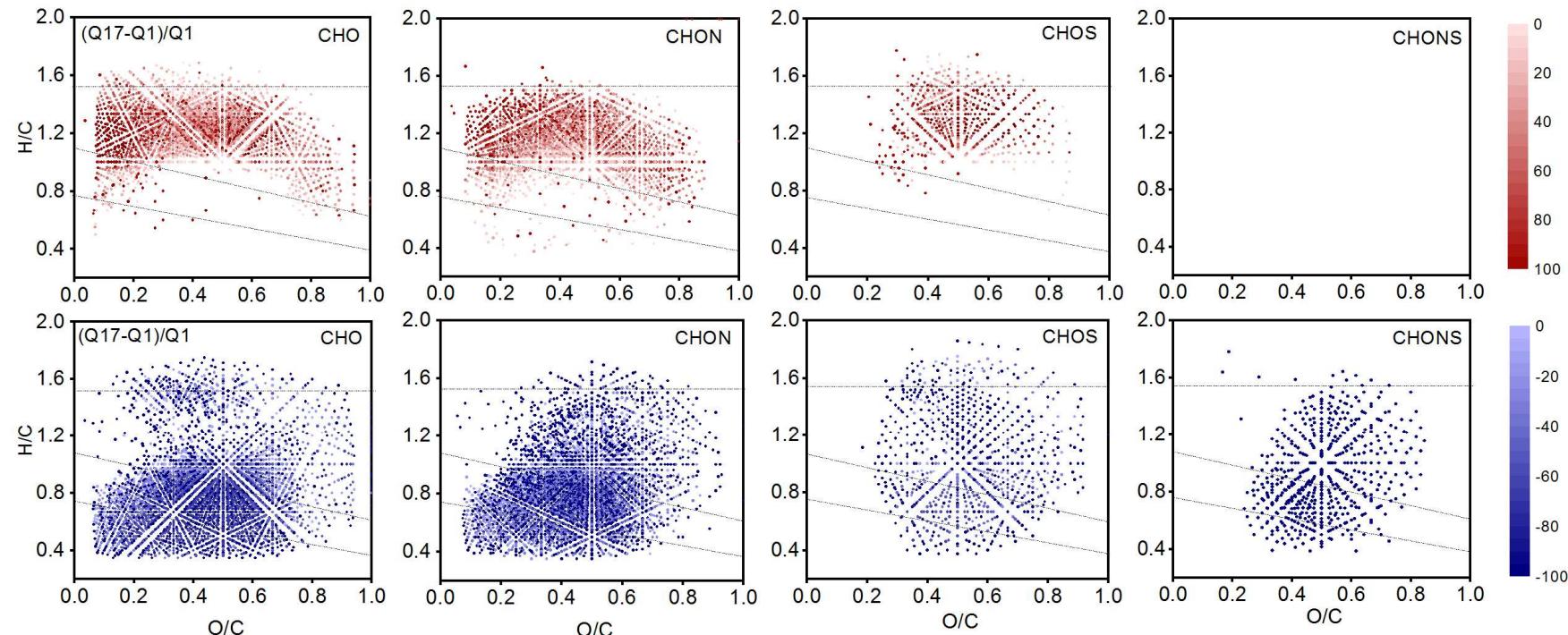
706

707 **Table 2:** The number of specific molecules identified in the AL leachate DOM and the PL leachate DOM within the fluvial network, and the  
 708 percentage of peaks totally degraded during the transportation.

Samples		All peaks	Only CHO	Contains N	Contains S	Contains N& S	Condensed aromatics	Polyphenols	Unsaturated	Aliphatics	Peptides
specific	AL	6409	1793	3370	424	822	1620	1720	2970	38	23
	Q-1	5311 (17%)	1653 (8%)	2791 (17%)	349 (18%)	517 (37%)	1278 (21%)	1416 (18%)	2549 (14%)	20 (47%)	14 (39%)
	Q-9	3365 (47%)	1294 (28%)	1917 (43%)	153 (64%)	0 (100%)	748 (54%)	838 (51%)	1759 (41%)	6 (84%)	1 (96%)
	Q-17	2623 (59%)	985 (45%)	1570 (53%)	67 (84%)	0 (100%)	550 (66%)	602 (65%)	1453 (51%)	5 (87%)	0 (100%)
specific	PL	1345	515	551	278	0	2	23	318	597	385
	Q-1	222 (83%)	90 (83%)	102 (81%)	30 (89%)	0	0 (100%)	11 (52%)	126 (60%)	46 (92%)	36 (91%)
	Q-9	117 (91%)	44 (91%)	46 (92%)	27 (90%)	0	2 (0%)	14 (39%)	96 (70%)	1 (100%)	4 (99%)
	Q-17	130 (90%)	47 (91%)	55 (90%)	28 (90%)	0	2 (0%)	13 (43%)	104 (67%)	6 (99%)	5 (99%)

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710 **Figure S1.**



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