

1    **Spatiotemporal transformation of dissolved organic matter along an alpine stream**  
2    **flowpath on the Qinghai-Tibetan Plateau: importance of source and permafrost**  
3    **degradation**

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**Abstract** The Qinghai-Tibetan Plateau (QTP) accounts for approximately 70% of global alpine permafrost and is an area sensitive to climate change. The thawing and mobilization of ice and organic carbon-rich permafrost impact hydrologic conditions and biogeochemical processes on the QTP. Despite numerous studies of Arctic permafrost, there are no reports to date for the molecular-level in-stream processing of permafrost-derived dissolved organic matter (DOM) on the QTP. In this study, we examine temporal and spatial changes of chemical composition of DOM and  $^{14}\text{C}$  age of dissolved organic carbon (DOC) along an alpine stream (3850–3207 m above sea level) by Fourier transform ion cyclotron resonance mass spectrometry (FT-ICR MS), accelerator mass spectrometry (AMS) and UV-visible spectroscopy. Compared to downstream sites, the DOM at the headstream exhibited older radiocarbon ( $^{14}\text{C}$ -DOC) age, higher mean molecular weight, higher aromaticity and fewer polyunsaturated components. At the molecular level, 6409 and 1345 formulas were identified as unique to the active layer (AL) leachate and permafrost layer (PL) leachate, respectively. Comparing permafrost leachates to the downstream site, 59% of AL-specific formulas and 90% of PL-specific formulas were degraded, likely a result of rapid instream degradation of permafrost-derived DOM. From peak discharge in the summer to low flow in late autumn, the DOC concentration at the headstream site decreased from 13.9 to 10.2 mg/L, while the  $^{14}\text{C}$ -DOC age increased from 745 to 1560 years before present (BP), reflecting an increase in relative contribution of deep permafrost carbon due to the effect of changing hydrological conditions over the course of the summer on DOM source (AL vs. PL). Our study thus demonstrates that hydrological conditions impact the mobilization of permafrost carbon in an alpine fluvial network, the signature of which is quickly lost through instream mineralization and transformation.

Keywords: dissolved organic matter; permafrost; Qinghai-Tibet Plateau; FT-ICR MS;

radiocarbon age

## 1. INTRODUCTION

The amount of carbon stored in permafrost is roughly twice as much as that in the atmosphere and represents the largest component of the terrestrial carbon pool (Zimov et al., 2006; Tarnocai et al., 2009). Accelerated climate warming has led to a succession of changes associated with permafrost thaw, where liquid water once frozen in permafrost soils has changed watershed hydrology, topography and ecosystem biogeochemistry (Frey and Smith, 2005; Abbott et al., 2015; Vonk et al., 2015). When permafrost-derived carbon enters aquatic system, it can be rapidly mineralized and transformed by microbes and light (Cory et al., 2014; Drake et al., 2015; Vonk et al., 2015). Therefore, the mobilization of carbon from permafrost soils where it has been relatively stable for thousands of years into dissolved carbon could increase greenhouse gas emissions (Cory et al., 2013; Vonk et al., 2013; Mann et al., 2015; Ward and Cory, 2016; Selvam et al., 2017) and exacerbate climate warming via a positive feedback loop (Koven et al., 2011; Schuur et al., 2015).

The seasonal thawing-freezing cycle of permafrost soils could change hydrologic condition and restrict source water contributions to river flow, leading to variability in the flux and the chemical composition of dissolved organic matter (DOM) in permafrost-impacted watersheds (Petrone et al., 2006; Laudon et al., 2011). DOM in the Yukon River exhibits seasonal changes in aromaticity, molecular weight,  $^{14}\text{C}$  age and biodegradability (Striegl et al., 2007; Spencer et al., 2008; Wickland et al., 2012; O'Donnell et al., 2014). Since the persistence of DOM in aquatic systems is related to chemical composition (Kellerman et al., 2015; Kellerman et al., 2018), substituting space for time to trace changes in DOM composition along a hydrologic flowpath may

illustrate the environmental behavior and fate of seasonally exported permafrost carbon.

The Qinghai-Tibet Plateau (QTP), the world's largest and highest plateau, plays a critical role in the evolution of the Asian Monsoon (Sato and Kimura, 2007; Wu et al., 2007) and supplies water to several large rivers such as the Yangtze River, Yellow River and Yarlung Tsangpo (Yao et al., 2007; Kang et al., 2010). As a climate sensitive region, the QTP has experienced significant warming since the 1950s (Qiu, 2008) with the mean annual air temperature rising at a rate of 0.36 °C per decade from 1961 to 2007 (Wang et al., 2008). Consequently, the permafrost soils on the QTP have begun to thaw and collapse, causing abundant carbon loss from degradation, leaching and lateral displacement (Mu et al., 2016). However, compared with an abundance of studies on Arctic permafrost, biogeochemical studies on QTP permafrost are scant (Mu et al., 2016). This results in a limited understanding of the permafrost carbon cycle as a whole since the QTP represents nearly 10% of the global permafrost, what's more, the QTP differs from the Arctic in altitude, climate, and hydrology (Bockheim and Munroe, 2014).

Here, we conducted a study on the spatial and temporal change of permafrost-derived DOM on the northeastern QTP. We applied multiple analytical techniques including Fourier transform ion cyclotron resonance mass spectrometry (FT-ICR MS), AMS radiocarbon ( $^{14}\text{C}$ ), and UV-visible optical spectroscopy. Our objective is two-fold: 1) determine the dominant sources of alpine stream DOM on the QTP (active layer (AL) vs. permafrost layer (PL)), and 2) trace the persistence and degradation of permafrost-derived DOM in an alpine fluvial network. This work represents the first step in characterizing in-stream removal and transformation processes of permafrost carbon at the molecular level on the QTP.

## 2. MATERIALS AND METHODS

### 2.1. Study area and sampling

Our study area is located in Gangcha County, north of Qinghai Lake. The climate is typical plateau continental climate, characterized by extensive sunshine duration (~3000 hours per year), long cold and dry winters and short cool and humid summers (Peng et al., 2015). During 2013-2016, January had the lowest average monthly temperature ( $-11.82^{\circ}\text{C}$ ), while December had the lowest average monthly precipitation (0.3 mm). Meanwhile, the highest average monthly temperature and precipitation occurred in July ( $11.66^{\circ}\text{C}$ ) and August (124.67 mm), respectively. These climate data are available at <http://data.cma.cn>. The permafrost soil was developed in the late Quaternary, and accumulated > 2 m thick in mountainous areas of the Gangcha County. Due to the rapid climate warming on the QTP, the ice-rich permafrost began to thaw, and several thermo-erosion gullies formed a decade ago. In this study, we focused on a continuous system that starts with a thermo-erosion gully (> 200 m long), forms a stream which flows into Qinghai Lake, the largest lake in China with a surface area of ca. 4500 km<sup>2</sup>. Thawed permafrost slumping exposed soil profiles at the gullies' head (ca. 3850 meters above sea level; masl). The top 60 cm is an active layer (AL) that comprises abundant grass litter and roots, underlain by a dark permafrost layer (PL) without visible plant debris. The thaw depth reached 78 cm in August 2015. Seasonal thaw of the entire AL and the upper PL allows for both vertical and lateral percolation of rainwater, which mobilizes large amounts of particulate and dissolved organic matter. The water in the gully flows southward across the hillslope before draining into Qinghai Lake, and the total length of the stream is around 40 km (Fig. 1).

Our fieldwork was conducted in the summer and autumn of 2015 and 2016. In 2015, a time-series sampling campaign was conducted at the headstream (Q-1) from August

1<sup>st</sup> when the warm and humid climate caused the largest export of leachates, to October 15<sup>th</sup> when the leaching ceased due to little precipitation and low temperature. On August 1<sup>st</sup> of 2016 and 2017, AL and PL leachates were collected at the depth of 60 cm and 220 cm, respectively, of the gullies' head. 20 L HDPE carboys were cleaned by pure water, 0.1 N hydrochloric acid and pure water prior to use. It usually took 2 days to gather > 15 L leaching waters. After that, the leachate samples were immediately kept on ice and in the dark by aluminum foil. They were transported to the temporary laboratory in the Gangcha County within 6 hours. Besides soil leachates, water samples (20 L each) were collected from twenty sites along the stream (Fig. 1). Sampling sites Q-1 to Q-10 are located in a first-order stream (ca. 8.5 km long) that originates in the largest thermo-erosion gully, whereas sites Q-11 and Q-12 are located in another first-order stream nearby (ca. 6.9 km long). These two streams merge together to form the main stream, along which sampling sites Q-13 to Q-20 were located. Surface water samples were collected using pre-cleaned HDPE carboys and kept on ice and in the dark until filtering through Whatman GF/F filters (0.7  $\mu$ m) within 6 hours after sampling. To obtain enough carbon for <sup>14</sup>C analyses, aliquots of the 0.7  $\mu$ m filtrate were concentrated over a cross-flow ultrafiltration system with 1 kDa cut off (Millipore®, Pellicon 2 system). The retentates and the remaining filtrate were all stored at -20 °C until further analysis. All glassware and GF/F filters were combusted at 450 °C for at least 4 hours prior to use.

## **2.2. Hydrological condition, DOC concentration and spectral absorbance in alpine streams**

On Aug. 1<sup>st</sup> 2015, stream water temperature, pH and conductivity were measured with a portable Horiba W-23XD Water Quality Monitoring System. The water flux was calculated according to flow rate and cross-sectional area of the stream. The DOC

concentration of each water sample was determined by 3-5 injections on a Shimadzu TOC-V<sub>CPH</sub> analyzer using high temperature combustion, and the coefficient of variance across measurements was < 2%.

The optical properties of the water samples were determined using a Shimadzu UV-1800 spectrophotometer. The scan range was between 200 and 800 nm and Milli-Q water (18.2 MΩ cm<sup>-1</sup>) was used as the blank. A quartz cell with 1.0 cm path length was used. The spectral slope of the 275–295 nm region ( $S_{275-295}$ ), an indicator for the molecular weight of DOM (Helms et al., 2008), was determined by applying log linear fits across the wavelengths 275–295 nm. Specific UV absorbance (SUVA<sub>254</sub>), an indicator for relative aromatic C content, was calculated by dividing the decadic UV absorbance at 254 nm with DOC concentration (Weishaar et al., 2003).

### **2.3. Electrospray ionization Fourier transform ion cyclotron resonance mass spectrometry (ESI FT-ICR MS)**

Selected water samples collected in 2016 from headstream (Q-1), mid-stream (Q-9), and downstream (Q-17), as well as leachate samples collected from the AL and PL, were prepared for FT-ICR MS analyses. They were solid-phase extracted (SPE) using the Bond Elut PPL (Agilent Technologies, 100 mg PPL in 3 ml cartridge), following the procedures of Dittmar et al. (2008). In order to avoid overloading of the SPE column, the aliquot volume of SPE DOM was calculated based on an average SPE recovery (60% for permafrost DOM; Ward et al., 2015) and a final eluate concentration of 40 µg C/ml (in 2 ml methanol). The methanol extracts were analyzed on a 9.4 Tesla custom-built FT-ICR MS at the National High Magnetic Field Laboratory (NHMFL; Tallahassee, FL; Kaiser et al., 2011). The injection speed was 0.7 µL/min. A total of 100 broadband scans was accumulated for each mass spectra. Other instrumental parameters can be found in

Hodgkins et al. (2016). After internal calibration in MIDAS Predator Analysis (NHMFL), formulas were assigned based on published rules to peaks with intensities > 6 $\sigma$  baseline noise (Stubbins et al., 2010) using EnviroOrg®™ software and categorized by compound class based on the elemental composition of molecular formulas (Kujawinski, 2002; Stenson et al. 2003; Spencer et al., 2014; Corilo, 2015). A modified aromaticity index (AI<sub>mod</sub>) was calculated according to the definition of Koch and Dittmar (2006):  $AI_{mod} = \frac{1+C-0.5O-S-0.5(H+N+P)}{C-0.5O-S-N}$ , and if AI<sub>mod</sub> is negative, then AI=0. The groups referenced in this study are: 1) aliphatics (Ali.): H/C 1.5 - 2.0, O/C < 0.9, N = 0; 2) peptides (Pep.): H/C 1.5 - 2.0, O/C < 0.9, N > 0; 3) highly unsaturated compounds (Uns.): AI<sub>mod</sub> < 0.5, H/C < 1.5; 4) polyphenols (Pol.): 0.5 < AI<sub>mod</sub> < 0.67; 5) condensed aromatics (CA): AI<sub>mod</sub> ≥ 0.67. The relative abundance of the defined compound class was weighted by signal magnitude in each spectrum.

## 2.4. Radiocarbon analyses

Freeze-dried retentates from ultrafiltration were fumigated with concentrated hydrochloric acid (12 M) in order to remove inorganic carbon. After that, the samples were analyzed on the Keck Carbon Cycle Accelerator Mass Spectrometry (AMS) Facility at the University of California, Irvine, USA. Processing blank and sample preparation backgrounds were subtracted. Radiocarbon concentrations are given as conventional <sup>14</sup>C age following Stuiver and Reimer (1993).

## 3. RESULTS

### 3.1. Hydrology and DOC concentration from headstream to downstream water

Discharge increased along the stream reach, from 0.15 m<sup>3</sup>/min at the headstream (Q-1) on August 1<sup>st</sup> 2015 to 24.14 m<sup>3</sup> /min (Q-19) (Fig. 2). pH increased from 7.4 at Q-



1 to 8.2 at Q-4 and remained elevated in the middle and lower stream (7.9 to 8.4). Conductivity was relatively constant from Q-1 to Q-6 (35 to 38  $\mu\text{S}/\text{cm}$ ), then increased at Q-7 and remained elevated downstream (48 to 60  $\mu\text{S}/\text{cm}$ ). The DOC concentration was high in headstream waters (e.g.,  $11.69 \pm 0.60$  mg/L at Q-1 and  $10.22 \pm 1.09$  mg/L at Q-2; Mean  $\pm$  AD, same hereafter) and decreased downstream ( $3.29 \pm 0.75$  to  $4.73 \pm 0.21$  mg/L from Q-5 to Q-20). The mean DOC concentration of the AL leachates ( $11.57 \pm 0.77$  mg/L) was an order of magnitude lower than that of the PL leachates ( $126.40 \pm 14.80$  mg/L).

### 3.2. Optical properties of DOM in leachates and stream waters

Paired t-test based on  $S_{275-295}$  and  $\text{SUVA}_{254}$  of water samples showed no significant inter-annual variation between year 2015 and 2016 ( $p = 0.716$  and  $p = 0.321$ , respectively). The mean  $S_{275-295}$  of 2015 and 2016 samples was  $(14.49 \pm 0.34) \times 10^{-3} \text{ nm}^{-1}$  for the AL leachates and  $(18.05 \pm 0.94) \times 10^{-3} \text{ nm}^{-1}$  for the PL leachates. In the stream waters, the  $S_{275-295}$  ranged from  $16.05 \times 10^{-3}$  to  $21.80 \times 10^{-3} \text{ nm}^{-1}$ , increasing in downstream reaches. The mean  $\text{SUVA}_{254}$  was  $3.53 \pm 0.17 \text{ L mg C}^{-1} \text{ m}^{-1}$  for the AL leachates and  $0.95 \pm 0.10 \text{ L mg C}^{-1} \text{ m}^{-1}$  for the PL leachates, and decreased in the stream from Q-1 to Q-11 (2.92 to  $1.66 \text{ L mg C}^{-1} \text{ m}^{-1}$ ), and then remained low (Fig. 3). A strong negative correlation was found between  $\text{SUVA}_{254}$  and  $S_{275-295}$  for water samples from both years ( $R^2 = 0.77$ ,  $P < 0.01$ ).

### 3.3. Spatiotemporal change of $^{14}\text{C}$ -DOC age through fluvial networks

$^{14}\text{C}$ -DOC age of the PL leachate was 4145 years BP, which was much older than that of the AL leachate (535 years BP; Fig. 4a). The  $^{14}\text{C}$ -DOC age decreased along the stream from 745 years BP for the headstream water (Q-1) to 160 years BP at Q-19, a

site close to Qinghai Lake. Besides apparent spatial variability, the  $^{14}\text{C}$ -DOC age also changed temporally. In 2015, the  $^{14}\text{C}$ -DOC age of the headstream water (Q-1) increased from 745 years BP on August 1<sup>st</sup>, to 1015 years BP on August 11<sup>th</sup> and 1560 years BP on September 5<sup>th</sup> (Fig. 4b).

### 3.4. FT-ICR MS characterization of SPE-DOM

Compared with the PL leachate, the AL leachate was characterized by higher molecular chemodiversity (14709 vs. 9645 assigned formulae), higher mean molecular weight (498.81 vs. 452.73 Da) and higher  $\text{AI}_{\text{mod}}$  (0.47 vs. 0.30). Molecular-level composition revealed that compounds containing both N and S were only detected in the AL leachates and headstream waters. The AL leachate contained 54.28% highly unsaturated compounds, 27.10% polyphenols and 17.23% condensed aromatic compounds, whereas the proportion of aliphatics and peptides was minor (ca. 1.30%). Compared with the AL leachate, the PL leachate comprised a higher proportion of polyunsaturated compounds (74.23%) and aliphatics + peptides (10.04%), but a lower proportion of polyphenols (11.33%) and condensed aromatics (4.32%).

Along the stream (Q-1, Q-9, and Q-17), the molecular chemodiversity, mean molecular weight and modified aromaticity index of SPE-DOM decreased from 14924 to 11074, 510.1 to 486.5 Da and 0.43 to 0.36, respectively (Table 1). The relative abundance of aromatics (condensed aromatics and polyphenols) decreased by 48% (35.7% at Q-1 vs. 18.4% at Q-17), whereas that of highly unsaturated compounds increased by 28% (62.8% at Q-1 vs. 80.3% at Q-17). Aliphatics and peptides were minor components of stream DOM (<1.3%) and did not exhibit a downstream trend.

## 4. DISSCUSSION

#### 4.1. AL leachates as a major source of stream DOM

The UV-visible optical parameters and molecular formulas resolved by FT-ICR MS show that the AL and PL leachates have different chemical compositions (Table 1 and 2). This difference is likely attributed to selective release of aromatic components from AL and carbohydrate/protein components from deep PL during the soil thawing process which was observed in our previous study (Wang et al., 2018). Since chemical composition impacts the reactivity of DOM (Kellerman et al., 2015), the differing chemical composition between the AL and PL leachates that enter the stream may influence bioavailability (Vonk et al., 2013) and photolability (Stubbins et al., 2017). Thus, distinguishing DOM source is crucial for understanding in-stream biogeochemical processes in permafrost-impacted systems. DOM may originate from a variety of sources including permafrost soil (AL and PL) leaching, in-situ microbial production, and wet deposition from snow and rain. At the headstream site (Q-1), however, the dominant source of DOM is permafrost soil leaching, as short residence times at the gully head restrict in-stream production and wet deposition is likely negligible due to low DOC concentrations in Tibetan glaciers ( $0.2\text{--}3.3\text{ }\mu\text{g/ml}$ ; Spencer et al., 2014). Assuming that headstream DOM is derived only from permafrost soil leaching, we are able to estimate the relative contributions of DOM from the AL and PL.

The mean DOC concentration of the AL leachate based on samples from 2015 and 2016 ( $11.57 \pm 0.77\text{ mg/L}$ ) is similar to that of the headstream (Q-1; ca.  $11.69 \pm 0.60\text{ mg/L}$ ), but substantially lower than that of the PL leachates ( $126.40 \pm 14.80\text{ mg/L}$ ), supporting a predominance of AL-leachate DOM in stream waters. In addition, the  $\text{SUVA}_{254}$  is  $3.52 \pm 0.17\text{ L mg C}^{-1}\text{ m}^{-1}$  for AL leachates and  $0.95 \pm 0.10\text{ L mg C}^{-1}\text{ m}^{-1}$  for PL leachates, whereas the  $S_{275-295}$  is  $(14.49 \pm 0.34) \times 10^{-3}\text{ nm}^{-1}$  for AL leachates and

( $18.05 \pm 0.94$ )  $\times 10^{-3}$  nm<sup>-1</sup> for PL leachates. Similar optical properties and DOC concentrations between AL-leachates and the headstream water ( $3.52 \pm 0.17$  L mg C<sup>-1</sup> m<sup>-1</sup> vs  $2.92 \pm 0.13$  L mg C<sup>-1</sup> m<sup>-1</sup> for SUVA<sub>254</sub> and ( $14.49 \pm 0.34$ )  $\times 10^{-3}$  nm<sup>-1</sup> vs ( $16.05 \pm 0.28$ )  $\times 10^{-3}$  nm<sup>-1</sup> for  $S_{275-295}$ ) support DOM that leaches from the AL dominates stream DOM. Furthermore, the stream water at Q-1 has a <sup>14</sup>C-DOC age of 745 years BP, close to that of the AL leachate (535 years BP), and much younger than that of the PL leachate (4145 years BP). We estimate the portion of AL and PL-derived C by using a binary mixing model based on  $\Delta^{14}\text{C}$  values of bulk DOC (Criss, 1999):

$$\Delta^{14}\text{C}_{\text{DOM}} = f_{\text{AL}} \times \Delta^{14}\text{C}_{\text{AL}} + f_{\text{PL}} \times \Delta^{14}\text{C}_{\text{PL}}$$

$$1.0 = f_{\text{AL}} + f_{\text{PL}}$$

According to this model, ca. 94% of DOC collected from stream site Q-1 on Aug. 1, 2015 originated from the AL (Fig. 6a). Headstream <sup>14</sup>C-DOC age increased from summer to fall (Fig. 4b), reflecting an enhanced contribution of old carbon from the deeper soils (i.e., PL), however, the AL still accounted for  $\geq 72\%$  of total DOC exported (Fig. 6a). This binary mixing model may overestimate the contribution of AL to stream DOC since PL-derived DOC may be degraded faster than AL-derived DOC, due to the high biolability of ancient permafrost carbon as shown in Arctic ecosystems (Vonk et al., 2013). Nonetheless, the AL appears as a major contributor to stream DOC in the QTP.

Seasonal variation of <sup>14</sup>C-DOC (Fig. 4b) has been previously observed in high latitude permafrost areas in Alaska (Aiken et al., 2014; O'Donnell et al., 2014), with the most enriched <sup>14</sup>C values observed in the spring and becoming more depleted through summer-fall and/or during winter. Our result also shows seasonal variations in <sup>14</sup>C age and optical parameters of headstream DOM. From summer to fall, the SUVA<sub>254</sub> of stream DOM at Q-1 decreased from 2.79 to 2.36 mg C<sup>-1</sup> m<sup>-1</sup>, whereas the  $S_{275-295}$

increased from  $16.33 \times 10^{-3}$  to  $16.96 \times 10^{-3} \text{ nm}^{-1}$ . These temporal changes indicated that the proportion of aromatic components and high molecular weight compounds decreased with the deepening of permafrost thawing. The mean monthly air temperature of Gangcha County, after reaching the maximum in July (ca.  $10.5^\circ\text{C}$ ), decreases to  $2.1^\circ\text{C}$  in September (data from <http://data.cma.cn>). As air temperature drops, surface soils freeze earlier than deeper soils, leading to an increase in the relative contribution of deep soil carbon (i.e. PL) to stream DOM, although the DOC concentration in Q-1 decreased from  $13.87 \text{ mg/L}$  to  $10.22 \text{ mg/L}$  (Fig. 6b).

#### **4.2. Selective removal of DOM along the alpine stream on the QTP**

The DOC concentration decreased ( $11.69$  to  $3.29 \text{ mg/L}$ ) from upper to mid-stream (Q-1 to Q-5), which could be attributed to a dilution effect and/or in-stream degradation of DOM. Dilution from groundwater is likely since groundwater discharge sustains baseflow of rivers and streams in the QTP (Ge et al., 2008). Downstream groundwater inputs were further supported by the order of magnitude increase in discharge ( $1.49$  to  $24.14 \text{ m}^3/\text{min}$ ) and increase in conductivity ( $37$  to  $60 \text{ }\mu\text{S/cm}$ ). Moreover, downstream DOC concentrations remained about  $3.0$ - $4.0 \text{ mg/L}$  (Q-15 to Q-20), indicative of the low DOC concentrations of groundwater. Conversely, a tributary that originated from another thermo-erosion gully merged into the study stream, however, the different tributaries exhibited similar DOC concentrations (e.g., Q-9 and Q-10 vs. Q-11 and Q-12; Fig. 2d). The similarities in DOC concentrations were attributed to homogeneity in dominant vegetation, soil type and climate, and thus, homogeneity in DOM inputs to the different tributaries in our study area. Therefore, additional tributaries could not explain the spatial pattern of DOC concentration.

Despite evident dilution, DOC attenuation could be partly due to in-stream

degradation given several lines of evidence from optical properties, radiocarbon age and molecular composition. The UV-visible optical parameters,  $S_{275-295}$  and  $SUVA_{254}$ , have been widely used to reveal mean molecular weight and aromaticity of DOM, respectively (Weishaar et al., 2003; Helms et al., 2008; Spencer et al., 2009; Mann et al., 2012). A downstream increase for  $S_{275-295}$  regardless of sampling time (Fig. 3a) reflects selected degradation of high molecular weight compounds, leading to the enrichment of low molecular weight DOM. In addition to  $S_{275-295}$ ,  $SUVA_{254}$  varied from 1.50 to 2.92 L mg C<sup>-1</sup> m<sup>-1</sup>, showing a general decrease downstream (Fig. 3b). Lignin, an aromatic biopolymer specific for vascular plants (Hedges et al., 1997), is relatively resistant to biodegradation (Hedges et al., 1985), but highly photo-labile (Lanzalunga and Bietti, 2000). Cory et al. (2014) found that sunlight accounts for 70% to 95% of water column carbon processing in Arctic rivers and lakes. Given strong solar radiation and long sunshine duration (~3000 hours per year) on the QTP (Peng et al., 2015), photo-degradation could be an important pathway for carbon removal in QTP streams. A strong negative correlation between  $S_{275-295}$  and  $SUVA_{254}$  ( $R^2 = 0.73$ ,  $p < 0.01$ ) indicates that photodegradation of high molecular weight aromatic compounds (like lignin) may play a role in the decrease of mean molecular weight of DOM along the stream, despite that microbial degradation might also contribute the molecular modification in stream.

Similar to  $SUVA_{254}$  and  $S_{275-295}$ , the data from FT-ICR MS also show a downstream decrease in aromaticity ( $AI_{mod}$ : 0.43 to 0.36) and mean molecular weight of stream DOM (510.0 to 486.5 Da; Table 1). Compared with headstream DOM at Q-1, DOM at Q-9 and Q-17 was characterized by a lower proportion of condensed aromatics and polyphenols and enriched in highly unsaturated compounds (Table 1). The decrease in relative abundance of aromatic compounds is consistent with the reports for the

345 photolability of aromatic formulas within permafrost, river and ocean DOM (Stubbins  
346 and Dittmar, 2015; Stubbins et al., 2017).

347 As discussed in section 4.1, AL is the principal contributor to stream DOM. Thus,  
348 tracing AL-derived DOM is paramount in estimating biogeochemical processes of  
349 carbon in the stream. FT-ICR MS identified 6409 molecular formulas specific to AL-  
350 leachates (i.e. not observed in the PL, Table 2). Through various stream processes, some  
351 AL specific formulas were removed from the DOM pool (from 17% by Q-1 up to 59%  
352 by Q-17), which accounted for 66% of the aromatic compounds and 51% of the highly  
353 unsaturated compounds (Table 2). Molecular formulas containing N and/or S were more  
354 labile in the fluvial networks than CHO formulas, with 84% of S-containing formulas  
355 and 100% of S and N-containing formulas lost (Table 2). Furthermore, the removal of  
356 DOM formulas (ca. 83% of AL-specific formulas, and >95% of AL-specific formulas)  
357 occurred in upper and mid-stream (leachates to Q-9). Concurrent with the rapid loss of  
358 AL-specific formulas, some new molecular formulas were detected by FT-ICR MS,  
359 which was mainly attributed to in-situ production by stream algae/microbes, an import  
360 from groundwater and molecular transformation of leachate DOM. The van Krevelen  
361 diagram showed that the new products were mainly composed of highly unsaturated  
362 molecules (Fig. S1). The addition of new molecular formulas was also reflected by the  
363  $^{14}\text{C}$  enrichment in middle and lower-stream (Fig. 3b).

364 Overall, our multiple analyses demonstrate a rapid and selective degradation of  
365 stream DOM on the QTP. The attenuation of aromatic compounds and enrichment of  
366 highly unsaturated compounds could change the environmental photo- and bio-lability  
367 of DOM, increasing relative importance of photodegradation in upper stream and  
368 biodegradation in lower stream. The continuous change in chemical properties of DOM  
369 along the alpine stream flowpath has a potential to shift the aquatic microbial

community since DOM serves as an important energy and nutrient source(Wild et al., 2014).

### **4.3. Prediction of in stream carbon dynamic under continued warming**

The DOC concentrations, UV-visible optical parameters and FT-ICR MS all suggest that currently, PL is a minor source to stream DOM (see 4.1). However, the QTP is a sensitive area to global warming, with a rate of air temperature rise that is approximately three times the global warming rate (Qiu, 2008). According to climate model predictions, spatial average temperatures of the QTP will increase by 0.68–0.98 °C for the period of 2015–2050 (Zhu et al., 2013), and in 2050, the mean AL thickness on the QTP permafrost will increase by approximately 0.3-0.8 m more than that in 2010 (Zhang and Wu, 2012). With the deepening of the AL, carbon that is currently stable in the PL will be thawed and mobilized into the downslope aquatic environments, which inevitably changes the proportion of AL vs. PL contributions to stream DOM. Thus, it is worth to trace chemical change of PL leachates along the stream. The PL leachate contained only 1345 formulas unique to the PL leachate in comparison to the AL, accounting for 14% of total assigned formulas (Table 2). Most PL-specific formulas were more biolabile components, e.g. aliphatics and peptides (73%), followed by highly unsaturated formulas (23.6%) and aromatics (1.9%). At the downstream site (i.e., Q-17), 90% of these PL-specific molecular formulas were lost, substantially higher than that of AL-specific formulas (59%). Furthermore, the vast majority of PL-specific formulas were lost within < 1 km (Q-1: 83%) whereas only 17% of AL-specific formulas were lost by Q-1 (Table 2). Therefore, the FT-ICR MS data demonstrate that permafrost thaw can trigger a rapid degradation of old carbon that was frozen in soils for thousands of years (Fig. 3a). This is consistent with findings in Arctic fluvial



networks that show the utilization of ancient permafrost carbon in headstream waters was rapid (Mann et al., 2015; Frey et al., 2016). Therefore, we hypothesize that with enhanced leaching of deep soil C under continued warming on the QTP, DOM in alpine streams will be more enriched in biolabile aliphatics/peptides and depleted in photolabile aromatics

Finally, despite substantial in-stream degradation, some old permafrost-derived carbon (i.e., polyphenols and highly unsaturated compounds) could persist downstream. In addition, CO<sub>2</sub> produced by respiration of old DOC could be utilized by stream algae to biosynthesize new DOM with an old carbon age. These effects resulted in a sustained deviation from modern <sup>14</sup>C-DOC age in the alpine stream (e.g., 160 years BP at Q-19), and were even detected in large rivers on the QTP (e.g., Yangtze River and Yellow River; Qu et al., 2017). Thus, under continued warming, a greater quantity of older C may be transported into large watersheds on the QTP, and thereby exert an important role in biogeochemical cycles there since older carbon has different photo and bio-lability from young carbon in AL soils.

## 5. CONCLUSIONS

Permafrost thaw represents positive feedbacks to climate change, but its carbon alteration and removal mechanism is not well known, particularly for the alpine permafrost such as the QTP. Here we use multiple analytical methods (e.g., FT-ICR MS, radiocarbon and UV-visible spectroscopy) to trace spatial and temporal variability of permafrost DOM along an alpine stream in the northeastern QTP, from which four conclusions have been reached.

- 1) Presently, the AL is the major source to stream DOM with relatively high aromaticity. This character, combined with strong solar radiation on the QTP, suggests

420 sunlight may be an important driver for DOM removal in alpine fluvial networks, which  
421 was corroborated by an almost 60% loss of AL specific formulas from the thermo-  
422 erosion gully head to downstream waters.

423 2) From summer to fall (seasonal permafrost thawing to freezing cycle), the  
424 concentrations and chemical composition of stream DOM varied significantly at the  
425 thermo-erosion gully head. Even though the total amount of the leached DOC decreased,  
426 the contribution of deep permafrost carbon with lower aromaticity and lower MW  
427 increased, reflected by an increase of  $^{14}\text{C}$ -DOC age and a decrease in aromaticity of  
428 DOM.

429 3) Although both the AL and PL leachate DOM underwent rapid degradation in  
430 the alpine stream, some components with old  $^{14}\text{C}$ -DOC age (mainly highly unsaturated)  
431 were recalcitrant to degradation and could be transported downstream, causing  $^{14}\text{C}$ -  
432 DOC values that were more depleted than modern radiocarbon age downstream in our  
433 study, and even in large watersheds as observed in Qu et al. (2017).

434 4) With deepening of the AL under continued climate warming on the QTP,  
435 currently stable PL soils will thaw and release greater amounts of old, aliphatic/peptide-  
436 rich DOM to downstream waters. This change in source and chemical composition will  
437 make microbial degradation more important for carbon removal and may shift  
438 downstream microbial communities, even in large watershed systems. All these factors  
439 should be taken into account when interpreting alpine permafrost carbon dynamics  
440 under the amplified climate warming trend on the QTP.

## 441 442 **ACKNOWLEDGEMENTS**

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## Figure and table captions

**Fig. 1.** Location of the QTP and sampling sites Q1 to Q20. Sites marked by a star were selected for FT-ICR MS and  $^{14}\text{C}$ -DOC analyses. The AL and PL denote the sampling locations of the active and permafrost layers. The blue line and the red line represent the first order and second order stream, respectively, and the blue dashed line denotes stream without GPS data.

**Fig. 2.** (a) Stream water discharge, (b) pH, and (c) conductivity at the sampling sites in 2015; and (d) DOC concentration in stream water and PL/AL leachates collected in 2015 (filled circles) and 2016 (open circles).

**Fig. 3.** UV-visible optical indices of the stream water and PL/AL leachate samples collected in 2015 (filled circles) and 2016 (open circles) on the QTP:  $S_{275-295}$  (a) and  $\text{SUVA}_{254}$  (b).

**Fig. 4.** Variations of  $^{14}\text{C}$ -DOC age across the alpine stream spatially (a), and at headstream Q-1 temporally (b).

**Fig. 5.** van Krevelen diagrams of AL leachate DOM (a), PL leachate DOM (b), headstream DOM Q-1 (c), downstream DOM Q-17 (d), the relative abundance of defined compound class in different samples (e). CA = condensed aromatics, Pol. = polyphenols, Uns. = highly unsaturated compounds, Ali. = aliphatics, Pep. = peptides; and Sug. = Sugar.

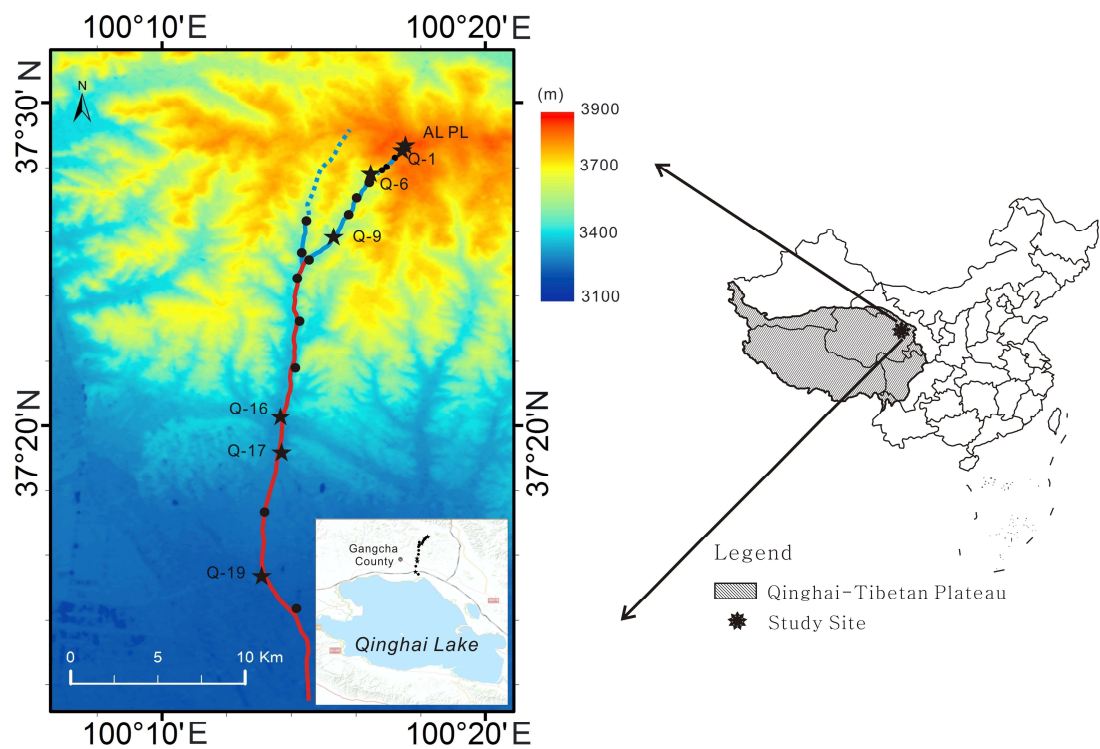
**Fig. 6.** (a) Relative contribution of AL leachate DOM to headstream DOM (Q-1); and (b) temporal variation of the DOC concentration at headstream Q-1.

**Table 1** The number of molecular formulas assigned, modified aromaticity index ( $\text{AI}_{\text{mod}}$ ), mean molecular weight (mean MW) and relative abundance of defined compound classes detected by FT-ICR MS for DOM samples from the QTP, including soil leachates (AL and PL) and stream waters (Q-1, Q-9 and Q-17). CA = condensed aromatics, Pol. = polyphenols, Uns. = highly unsaturated compounds, Ali. = aliphatic, Pep. = peptides.

**Table 2** The number of specific molecules identified in the AL leachate DOM and the PL leachate DOM within the fluvial network, and the percentage of peaks totally degraded during the transportation.

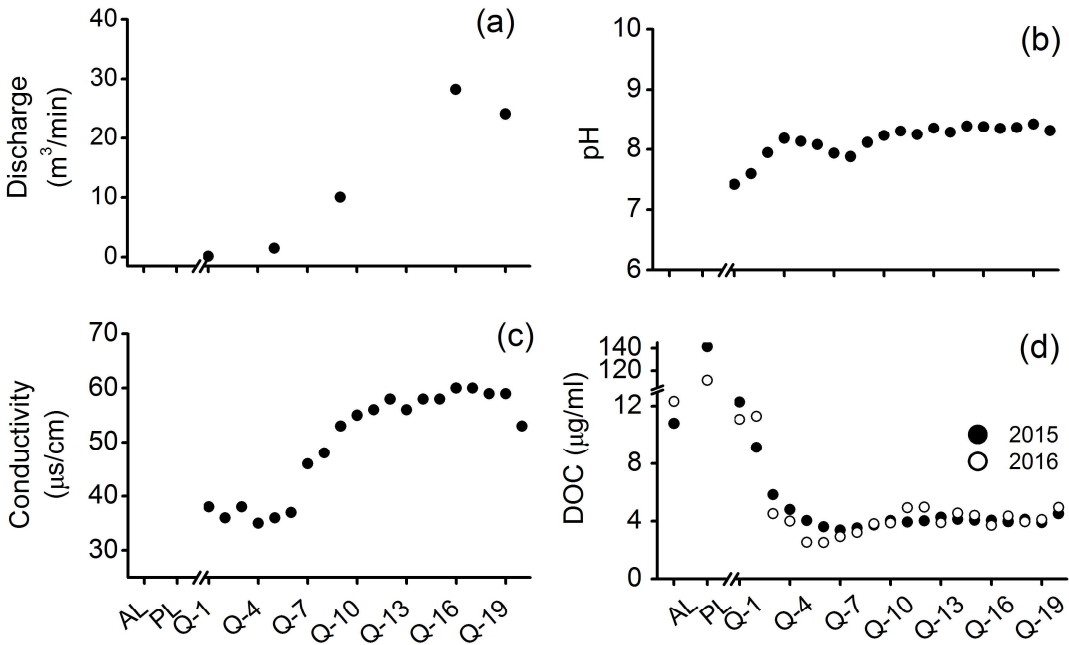
**Supplementary Figure S1.** Van Krevelen diagrams showing the molecular changes of DOM from head to down-stream on the QTP. The blue and red dots denote decreasing trends and increasing trends, respectively. The color gradient shows the percentage of change. The lines separating compound categories [a]aliphatics and peptides [b] highly unsaturated compounds [c] polyphenols [d] condensed aromatics based on rules in the methods are just for visualization, and the exact categorization may differ.

674 Fig. 1

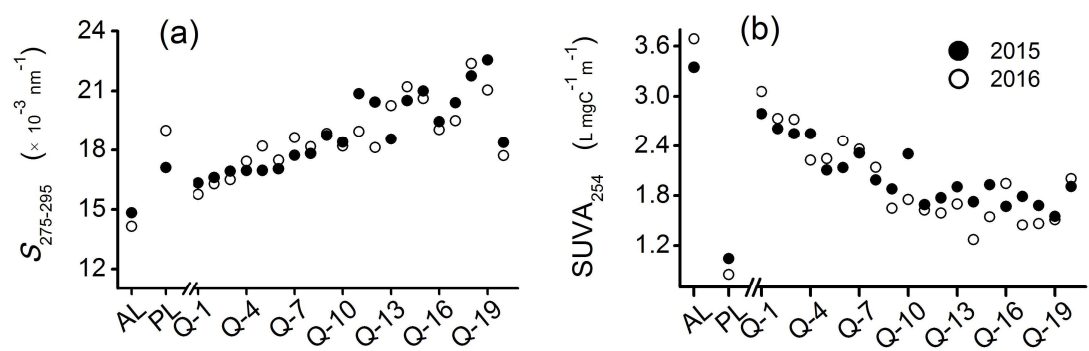


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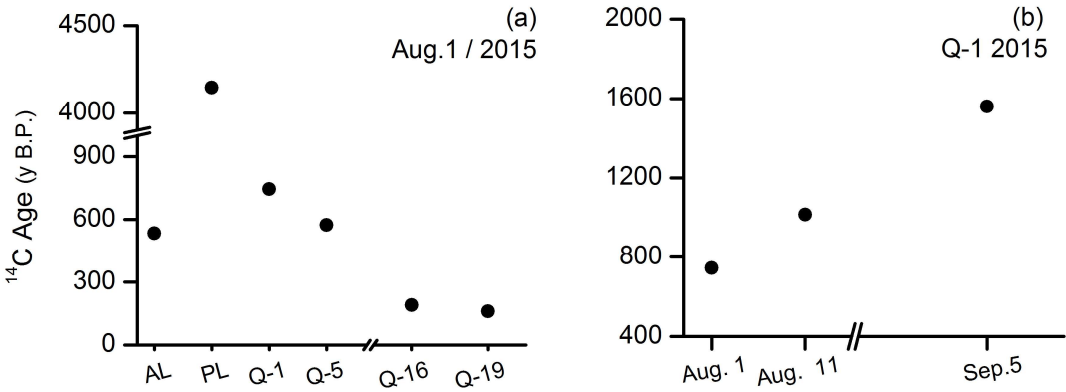
680 Fig. 3



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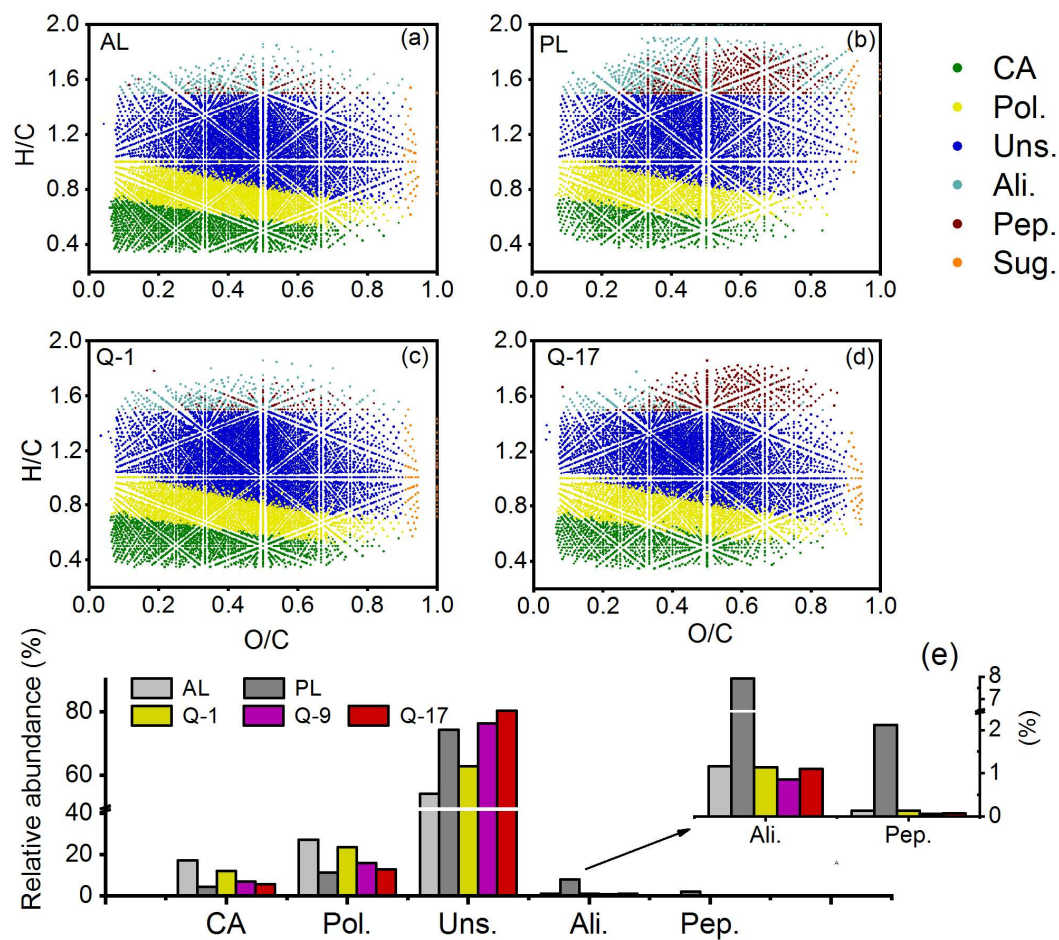
683 Fig. 4



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686 Fig. 5

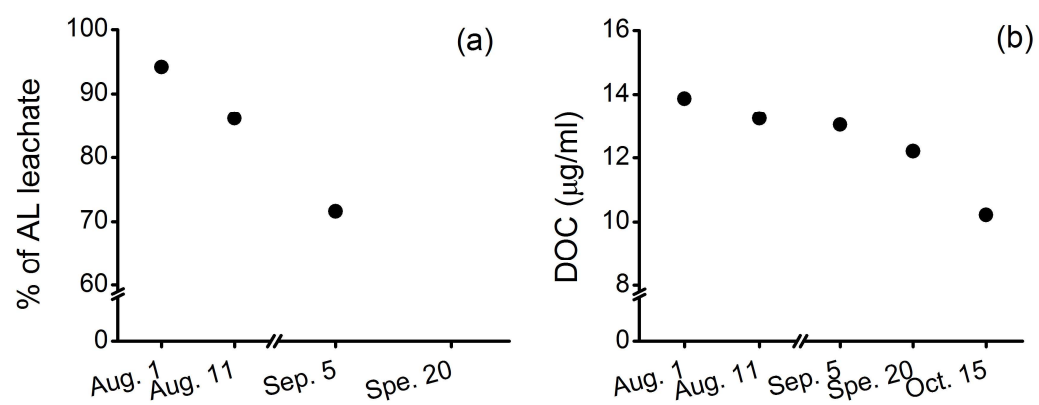


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689 Fig. 6



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**Table 1.** The number of molecular formulas assigned, modified aromaticity index ( $AI_{mod}$ ), mean molecular weight (mean MW) and relative abundance of defined compound classes detected by FT-ICR MS for DOM samples from the QTP, including soil leachates (AL and PL) and stream waters (Q-1, Q-9 and Q-17). CA = condensed aromatics, Pol. = polyphenols, Uns. = highly unsaturated compounds, Ali. = aliphatic, Pep. = peptides.

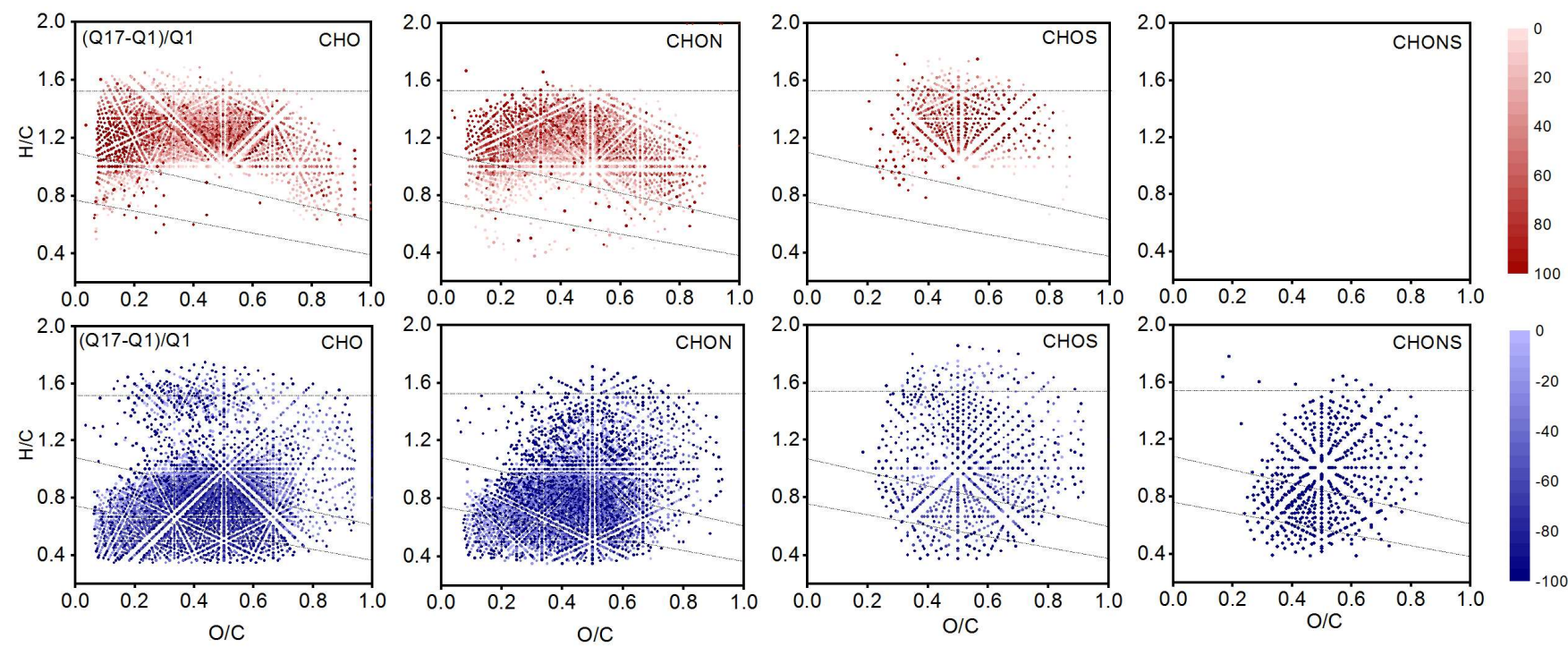
Sample	Formulas assigned	Mean MW	$AI_{mod}$	CA (%)	Pol. (%)	Uns. (%)	Ali. (%)	Pep. (%)
AL	14709	498.81	0.47	17.23	27.10	54.28	1.16	0.14
PL	9645	452.73	0.30	4.32	11.33	74.23	7.92	2.12
Q-1	14924	510.07	0.43	12.05	23.69	62.85	1.14	0.14
Q-9	11724	500.19	0.38	6.86	15.82	76.32	0.86	0.06
Q-17	11074	486.50	0.36	5.53	12.91	80.31	1.11	0.08

701 **Table 2:** The number of specific molecules identified in the AL leachate DOM and the PL leachate DOM within the fluvial network, and the  
702 percentage of peaks totally degraded during the transportation.

Samples		All peaks	Only CHO	Contains N	Contains S	Contains N& S	Condensed aromatics	Polyhoenols	Unsatuated	Aliphatics	Peptides
AL specific	AL	6409	1793	3370	424	822	1620	1720	2970	38	23
	Q-1	5311 (17%)	1653 (8%)	2791 (17%)	349 (18%)	517 (37%)	1278 (21%)	1416 (18%)	2549 (14%)	20 (47%)	14 (39%)
	Q-9	3365 (47%)	1294 (28%)	1917 (43%)	153 (64%)	0 (100%)	748 (54%)	838 (51%)	1759 (41%)	6 (84%)	1 (96%)
	Q-17	2623 (59%)	985 (45%)	1570 (53%)	67 (84%)	0 (100%)	550 (66%)	602 (65%)	1453 (51%)	5 (87%)	0 (100%)
PL specific	PL	1345	515	551	278	0	2	23	318	597	385
	Q-1	222 (83%)	90 (83%)	102 (81%)	30 (89%)	0	0 (100%)	11 (52%)	126 (60%)	46 (92%)	36 (91%)
	Q-9	117 (91%)	44 (91%)	46 (92%)	27 (90%)	0	2 (0%)	14 (39%)	96 (70%)	1 (100%)	4 (99%)
	Q-17	130 (90%)	47 (91%)	55 (90%)	28 (90%)	0	2 (0%)	13 (43%)	104 (67%)	6 (99%)	5 (99%)

703

704 **Figure S1.**



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