



## ~~Ocean acidification reduces mechanical properties of the Portuguese oyster shell with impaired microstructure: a hierarchical analysis~~

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**Abstract.** The rapidly intensifying process of ocean acidification (OA) in coastal areas due to anthropogenic CO<sub>2</sub> is not only depleting carbonate ions necessary for calcification but also causing acidosis and disrupting internal pH homeostasis in several marine organisms. These negative consequences of OA on marine communities, particularly to shellfish oyster species, has been very well documented in recent studies, however, the consequences of these reduced or impaired calcification processes on the end-product, shells or skeletons, still remains one of the major research gaps. Shells produced by marine organisms under OA are expected to be corroded with disorganized or impaired crystal orientation or microstructures with reduced mechanical property. To bridge this knowledge gap and to test the above hypothesis, we investigated the effect of OA on shell of the commercially important oyster species (*Crassostrea angulata*) at ecologically and climatically relevant OA levels (using pH 8.1, 7.8, 7.5, 7.2 as proxies). In decreased pH conditions, a drop of shell hardness and stiffness was revealed by nanoindentation tests, while an evident loosened internal microstructure was detected by scanning electron microscopy (SEM). In contrary, the crystallographic orientation of oyster shell showed no significant difference with decreasing pH by Electron Back Scattered Diffraction (EBSD) analyses. These results indicate the loosened internal microstructure may be the cause of the OA-induced reduction in shell hardness and stiffness. Micro-computed tomography analysis (Micro-CT) indicated that an overall “down-shifting” of mineral density in the shell with decreasing pH, which implied the loosened internal microstructure may run through the shell, thus inevitably limiting the effectiveness of the shell defensive function. This study surfaces potential bottom-up deterioration induced by OA on oyster shells, especially in their early juvenile life stage. This knowledge is critical to forecast the survival and production of edible oysters in future ocean.

### 1 Introduction

35 Edible oysters belong to the genus “*Crassostrea*” have a complex life cycle, in which the free-swimming larvae attach onto a suitable hard substrate and then metamorphose into sessile juveniles within a few hours (Medaković et al., 1997). The oyster larval shell is primarily made of aragonite, a denser and mechanically stronger form of calcium carbonate (CaCO<sub>3</sub>) compared to calcite, which is a stable but brittle polymorphous CaCO<sub>3</sub>. Upon metamorphosis, the fraction of calcite rapidly increases





and becomes the main component in the juvenile and adult oyster shell (Medaković et al., 1997; Weiner and Addadi, 1997).  
40 The composition of the mineral ~~polymorphs and the occluded~~ organic matrix ~~contribute to~~ a wide array of fascinating  
~~hierarchical composites, which determine~~ the mechanical strengths of ~~shell structures in~~ each of these life stages (Lee et al.,  
2011). ~~These~~ early-life stages of marine invertebrates, ~~including oysters,~~ are highly vulnerable to predators (Newell et al.,  
2007) and environmental stressors (Thomsen et al., 2015) when compared to the adult stages. Production of mechanically  
stronger and ~~structurally integrated~~ shells during larval and juvenile ~~period, therefore,~~ is essential to ~~accomplish~~ the post-  
45 larval phase because ~~their~~ shell integrity and strength ~~protect them~~ shell-breaking and drilling predators.

The oceans currently absorb about a third of ~~man-made~~ CO<sub>2</sub>, which dissolves in seawater forming carbonic acid and  
~~increases the seawater acidity,~~ known as ocean acidification (OA). A ~~meta-analysis~~ showed that the calcification rate of  
marine organisms, ~~including oysters,~~ is highly vulnerable to high-~~pCO<sub>2</sub>~~ driven decreases in seawater pH (Feely et al., 2009;  
De Bodt et al., 2010). If the early-life stages of edible oysters are vulnerable to ~~this~~ near-future OA ~~process,~~ then it could  
50 directly harm oyster survival and ~~their~~ aquaculture production. ~~There is already~~ evidence of the negative effects of OA; ~~for~~  
~~example, spat production in oyster hatcheries on the west coast of USA has started to decline, partially due to poorly~~  
~~calcified larval shells under upwelled high-CO<sub>2</sub> waters~~ (Barton et al., 2012). Previous studies on calcifying organisms,  
~~including oysters,~~ suggest that OA not only reduces calcification rates, but also increases dissolution of formed shells (Ries,  
2011; Bednarsek et al., 2012). The decreased pH depletes carbonate ions necessary for CaCO<sub>3</sub> mineralization ~~chemically,~~ as  
55 well as weakens marine organisms physiologically by causing acidosis and impairing internal pH homeostasis needed for  
optimal calcification (Dupont and Portner, 2013). Recently, an increasing number of studies ~~capture~~ the importance of the  
mechanical properties of calcareous ~~shell,~~ the end-products of calcification, under OA ~~scenario~~ (Dickinson et al., 2012;  
Ivanina et al., 2013; Li et al., 2014; Fitzer et al., 2015; Collard et al., 2016; Tenniswood et al., 2016; Milano et al., 2016).  
For instance, it has been reported that the ~~Pacific oyster and the Eastern oyster~~ produced softer shells with reduced  
60 mechanical strength under OA ~~condition~~ (Beniash et al., 2010; Dickinson et al., 2012). Despite these OA threats to oyster  
calcification process, studies are yet to demonstrate the ~~hierarchical~~ structural organization of oyster shells under elevated  
CO<sub>2</sub> and OA conditions. Importantly, ~~the~~ modulating ~~effect~~ of OA on the inherent relationship between shell structural and  
mechanical features is yet to be studied in detail.

This study is designed specifically to fill this gap in ~~our current~~ knowledge using the ecologically and economically  
65 important edible oyster (*Crassostrea angulata*) as model species. Here, the quantitative relationship between ~~microstructural~~  
and mechanical properties was examined using ~~the newly formed~~ juvenile oyster shells. Specifically, the effect of OA on this  
relationship was tested using three ~~levels of~~ environmentally and climatically relevant levels of high-CO<sub>2</sub> induced ~~decreased~~  
pH. As the calcitic foliated layer is the major shell structure for mechanical support in oysters (Lee et al., 2008), we  
specifically examined its structural and mechanical properties by using ~~variety of materials science~~ techniques such as  
70 scanning electron microscopy (SEM), ~~crystallography by~~ electron backscatter diffraction (EBSD) and nanoindentation tests.  
To further evaluate the overall ~~structural~~ integrity, we quantified shell ~~mineral~~ density, ~~mineral~~ density-volume ratio  
relationships using high-resolution micro-computed tomography scanning (Micro-CT).

## 2 Materials and methods

### 2.1 Experimental animal and design

75 Sexually matured adult oysters of the Portuguese oyster ~~species,~~ *Crassostrea angulata*, were collected from the coastal area  
in Fujian, China (26°05'53.36"N 119°47'45.81"W) in the South China Sea on July 29<sup>th</sup>, 2014. The adults were transported to  
the laboratory at the Swire Institute of Marine Science (SWIMS), The University of Hong Kong. They were acclimated in



flow-through tanks in natural seawater at ambient conditions (31 psu salinity, 29 °C and  $\text{pH}_{(\text{NBS})}$  8.1) for a week. They were fed with a mixed algae diet (*Isochrysis galbana* and *Chaetoceros gracilis*). Sperm and eggs were obtained from more than 10 males and 10 females by the “strip spawning” method (Dineshram et al., 2013), and cultured under ambient conditions. After 24 h postfertilization, embryos developed into D-shaped veliger larvae. Larvae were subjected to pH perturbation to study the effects of ocean acidification (OA) process on oyster shell structure and mechanical features in the early stages of development.

Four environmentally and climatically relevant pH levels (pH 8.1, 7.8, 7.5, and 7.2) were selected as proxies to investigate the effect of CO<sub>2</sub>-driven OA on oyster shells. According to IPCC projections, the average pH of oceans (currently pH 8.1) is expected to drop to pH 7.8 and 7.5 by the year 2100 and 2300, respectively (Feely et al., 2009). The very low pH 7.2 treatment was included in this study to understand the impact of extreme environmental conditions in the coastal habitats of *C. angulata*. In pre-seawater pH naturally fluctuates and may decrease by as much as 0.8 units due to river runoff and microbial respiration (Duarte et al., 2013; Thiyagarajan and Ko, 2012). Treatment pH levels were maintained by bubbling filtered natural seawater with air enriched with CO<sub>2</sub> at the required concentrations using gas flow meters/controllers (Cole-Parmer, USA). Oyster larvae were raised from the D-shaped veliger stage to the juvenile stage under the four pH levels with four biologically independent replicate tanks for each treatment. Briefly, D-shaped larvae (10 larvae/mL, 50L replicate tanks, 1 µm FSW, 31 psu salinity, at 29°C ± 2°C) were reared until the pediveliger stage following previously described methods (Dineshram et al., 2013). After about 2 to 3 weeks, larvae attained competency for attachment and metamorphosis. They were transferred from each 50 L replicate tanks to 1 L replicate tanks containing plastic substrates coated with 7-day-old natural biofilms. Attachment and metamorphosis took place within 24 h, and attached oyster were reared in 1 L replicate tanks with the same pH level before attachment for 35 days until shell collection for subsequent analysis. Larvae and juveniles were fed twice a day using mixture of live *Isochrysis galbana* and *Chaetoceros gracilis* (5-10×10<sup>6</sup> cells/mL, 1:1 ratio). Seawater pH (NBS scale) and the temperature were measured using a Metter-Toledo (SG2) probe and salinity with a refractometer (ATAGO, S/Mill0E; Japan). The probe was calibrated using NIST buffers (pH =4.01, 7.00, and 9.21; Mettler Toledo, Gmbh Analytical CH8603 Schwerzenbach, Switzerland). In each culture, tanks levels of pH, temperature and salinity were measured daily. Daily measurements were firstly averaged within and among days per each replicate tank. Afterwards, the treatment level (Mean ± SD; Table S1) was calculated, by averages of the replicate culture tanks within each treatment (n = 4). Samples of seawater (50 ml) from each culture tank were collected every 4 days and poisoned with 10 µl of 250 mM mercuric chloride for total alkalinity (TA) analysis using the Alkalinity Titrator (AC-A2, Apollo SciTech's Inc., U.S.). The TA measurement was standardized with a certified seawater reference material (Batch 106, A.G. Dickson, Scripps Institution of Oceanography, U.S.). The carbonate system parameters, i.e. carbon dioxide partial pressure ( $p\text{CO}_2$ ; µatm), carbonate ion concentration ( $\text{CO}_3^{2-}$ ; µmol kg<sup>-1</sup>), calcite and aragonite saturation state ( $\Omega_{\text{Ca}}$ ,  $\Omega_{\text{Ar}}$ ), were calculated using the CO2SYS software program (Pierrot et al., 2006) with equilibrium constants  $K_1$ ,  $K_2$  and  $K_{\text{SO}_4}$  (Mehrbach et al., 1973; Dickson and Millero, 1987) (Table S1). On the 35<sup>th</sup> day post-metamorphosis, juveniles were sacrificed and preserved in ethanol for the following analyses.

## 2.2 Shell microstructure analysis

The sessile juvenile oyster permanently cements the left valve of its shell to substratum, whereas its right valve provides protection from predators and the environment. In this study, only the right valve was used in the shell analysis. The surface topography of the intact shell was examined under variable pressure at 30 kV using a scanning electron microscope (SEM; Hitachi S-3400N VP SEM, Hitachi, Japan). To examine sectional surface microstructures, shells were embedded in epoxy resin (EpoxyCure, Buehler) and sliced along the dorsal-ventral axis using a diamond trim saw blade. This allows for a more



controlled comparison between the hinge region and the middle region of the shell. The hinge region (hereafter also referred to as “older shell”) is the part of the shell that is deposited first by the juvenile oyster, whereas the middle region (hereafter also referred to as “younger shell”) is the part of the shell that is deposited more recently. The **bill** region, formed most recently, was not included in this **study because it is too fragile to handle.** The sectioned surfaces were polished for 2 to 5 min using grit papers (P320, P800, P1200, P2500, and P4000) and etched for 20 seconds using 1% acetic acid, and then washed with distilled water and air dried. The sectioned resin blocks were mounted on aluminium stubs using carbon adhesive tape with the polished side up. The area surrounding the specimen was painted with silver to reduce charge build-up, and the sectioned surfaces were sputter-coated with 50-nm thick gold–palladium alloy. The shell **microstructures were** examined under **an** accelerating voltage of 5 kV using a LEO 1530 Gemini FSEM (Zeiss, Germany). The cross-sectional porosity of foliated laminated structure was calculated using ImageJ software by **standardizing and converting an SEM image to thresholding.** The pore area was then calculated by using the ImageJ “Analyse Particles” feature due to the divergence in the size of pores. The pores area was sized with a confidence area of greater than  $0.001 \mu\text{m}^2$ . Three to four specimens from each treatment were randomly selected and examined ( $n = 3\sim 4$ ). All data was tested for normality of residuals, normality, and homogeneity of variance before analysing by ANOVA. Student-Newman-Keuls test was used to compare the means following one-way ANOVA.

### 2.3 Shell **crystallography analysis**

Shell crystallographic orientation was analysed by Electron Backscatter Diffraction (EBSD). Shells were prepared according to the above method, **but without** etching. The shell surfaces were ultra-polished for 4 min using cloths with  $1 \mu\text{m}$  and  $0.3 \mu\text{m}$  Alpha alumina powders and for 2 min using colloidal silica. In order to investigate both larva aragonite and juvenile calcite composition, an area throughout the sectional surface of the older hinge regions were selected. The EBSD analyses were carried out under low vacuum mode ( $\sim 50 \text{ Pa}$ ) with a beam voltage of 20 kV using an FEI Quanta 200F with the stage tilted at  $70^\circ$  to examine backscatter Kikuchi patterns (Perez-Huerta and Cusack, 2009). Diffraction intensity, phase, and crystallographic orientation maps were produced using the OIM Analysis 6.2 software. Data was partitioned through two clean-up procedures to display grains with a confidence index (CI) greater than 0.1. **Pole figures** were used to illustrate the spread of crystallographic orientation (Perez-Huerta and Cusack, 2009). The colours in the crystallographic orientation maps and pole figures were used to quantify the crystallographic orientation. Two randomly selected specimens were examined per treatment.

### 145 2.4 Shell **mechanical properties analysis**

After SEM and EBSD analysis, the resin blocks were re-polished for 5 min using grit papers (P2500 and P4000) and for another **5min** using cloth with colloidal silica to remove the gold–palladium coating and etched shell surface. The mechanical properties of the polished longitudinal cross sections were determined by measuring the hardness (H) and stiffness (E) using load and displacement sensing nanoindentation tests (Perez-Huerta et al., 2007). Hardness and stiffness of foliated layers were measured in the older hinge and younger middle regions of the specimens used in the SEM analysis. The nanoindentation tests were carried out from the interior to the exterior shell in these regions at ambient temperature with a Hysitron TriboIndenter TI 900 (TI 900, Hysitron, MN, USA) equipped with a Berkovich indenter (with a half-angle of  $63.5^\circ$ ). Indentations were made in each specimen using a 6–11 indent-per-row pattern and a maximum load of  $2000 \mu\text{N}$  with valid contact depth of 16 to 184 nm. The hardness and stiffness from each indentation were obtained from the loading-unloading curve using the Oliver-Pharr model (Doerner and Nix, 1986; Oliver and Pharr, 1992). Five to six specimens of each treatment were randomly selected for nanoindentation tests. Measurements were firstly averaged within per specimen



and then per replicate tank. Finally, three to four replicate values per treatment were compared ( $n = 3\sim 4$ ). All data was tested for normality of residuals, normality, and homogeneity of variance before analysing by ANOVA. Student-Newman-Keuls test was used to compare the means following one-way ANOVA.

## 160 2.5 Shell mineral density analysis

The three-dimensional shell density maps, the overall mineral density and the mineral density-volume ratio relationships were obtained using a high-resolution micro-CT scanning system (SkyScan 1076, Skyscan, Kontich, Belgium) with a spatial resolution of  $9\ \mu\text{m}$ . Individual shells were placed in a small plastic container held securely in the chamber of the micro-CT scanner. Shell densities and volume ratios of partial density were calculated by relative comparison using standardized phantoms used for bone density measurement in the analytical software CT-Analyser v 1.14.4.1 (SkyScan) (Celenk and Celenk, 2012). The 3D digital data was converted from  $\sim 1000$  2D layers using reconstruction software CT-Volume v 2.2.1.0 (SkyScan). Three randomly selected specimens were used per treatment ( $n = 3$ ). The volume ratio with partial density ranges of  $0$  to  $0.5\ \text{g/cm}^3$ ,  $0.5$  to  $1\ \text{g/cm}^3$ , and  $>1.5\ \text{g/cm}^3$ , and density of the treatment groups were compared with the controls by one-way ANOVAs. For the datasets that did not meet the requirement of variance homogeneity, i.e., the volume ratio with a partial density range of  $1$  to  $1.5\ \text{g/cm}^3$ , Kruskal-Wallis tests were used to compare the effect of pH on these shell properties. For all other datasets, Student-Newman-Keuls test was used to compare the means following one-way ANOVA. Otherwise, Dunn's test was used after Kruskal-Wallis test. Linear regressions ( $\text{Volume ratio (\%)} = b \times \text{mineral density (\text{g/cm}^3)} + a$ ) was utilized to determine the relationships between mineral density and volume ratio,  $a$  is the y-intercept and  $b$  is the scaling exponent of consumption. To compare slopes of the resulting linear models, analysis of covariance (ANCOVA) was performed by using  $\log_{10}$  transformed volume ratio as the dependent variable, pH levels as the independent variable, and mineral density range as covariates. All data met the homogeneity of variance and normality assumptions of parametric tests. ANCOVA were implemented in R 3.3.2 using the statistical package Linear and Nonlinear Mixed Effects Models (Team, 2013).



## 3 Results

### 180 3.1 Deceased pH alters shell surface and internal microstructure

~~As shown by the SEM, decreased pH altered both shell topography (Fig. 1) and internal microstructure (Fig. 2). Mineral dissolution or erosion was prominent on the outer surface layers of shells under decreased pH. The shells of juveniles raised at pH 7.8 (Fig. 1b, f) and pH 7.5 (Fig. 1c, g) showed signs of erosion or physical damage when compared to the controls (Fig. 1a, e). At the lowest pH of 7.2, with undersaturated calcite conditions, the outer prismatic layer was completely absent at the older hinge and younger middle regions of the shell (Fig. 1d, h). Though the overall calcitic foliated laminae alignment were retained, those in the shells of untreated juveniles (controls) were compactly arranged and well-ordered with minimal gaps between layers (Fig. 2c, e). In contrast, the foliated layers in shells under all three decreased pH treatments were less tightly packed and irregularly arranged (Fig. 2g, i, k, m, o and q). The area porosity of foliated layers was significantly increased by decreased pH treatments, regardless of older and younger shell (Older region:  $F_{(3,11)} = 3.683$ ,  $p = 0.045$ ; Younger region:  $F_{(3,11)} = 7.480$ ,  $p = 0.005$ ) (Fig. 2r, s).~~

### 185 3.2 Decreased pH does not affect the crystallographic orientation of foliated layer

Electron backscatter diffraction (EBSD) intensity mapping analysis showed diffraction patterns for both calcite and aragonite crystals of older hinge regions in the juvenile shells (Fig. 3). From crystallographic orientation maps, though the foliated



layers of shells under decreased pH showed colour variations within a limited area (~ 5-10 foliated laminae) close to the interior, the majority of calcite crystal units showed uniform orientation, the same as those in the control (Fig. 3.i and ii). The spread of data points in the pole figures (Fig. 3.ii) confirmed the identical preferred crystallographic orientation of foliated layers, resulting in the extent of the variation in crystal orientation of 40 degree regardless of pH treatments, which corresponded to the colours in the orientation maps (Fig. 3.i). The aragonite crystal units appeared to be similarly distributed (Fig. 3.iii), but notably, there was an absence of aragonite in the shells formed under pH 7.2 (Fig. 3.iv). Though decreased pH had a restricted effect on the marginal foliated laminae closed to interior of oyster shell, the overall crystallographic orientation of main shell regions was not affected.

### 3.3 Decreased pH reduces shell mechanical properties

All decreased pH treatments significantly reduced the shell hardness when compared to shells in the controls (Fig. 4a, b) (Older region:  $F_{(3,11)} = 21.987$ ,  $p < 0.001$ ; Younger region:  $F_{(3,11)} = 4.135$ ,  $p = 0.034$ ). Similarly, shells at pH 7.5 and 7.2 had reduced Young's modulus compared to the controls (Fig. 4c, d) (Older region:  $F_{(3,11)} = 4.525$ ,  $p = 0.027$ ; Younger region:  $F_{(3,11)} = 7.369$ ,  $p = 0.006$ ). The reduced mechanical features due to decreased pH were observed in both the older hinge regions and younger middle regions (Fig. 4).



### 3.4 An overall "down-shifting" of shell density with decreased pH

Three-dimensional (3D) shell density maps, the overall shell density and mineral density-volume ratio relationships by micro-computed tomography (Micro-CT) showed an overall "down-shifting" of mineral density in the shell with decreasing pH (Fig. 5). The shell mineral density was significantly reduced by decreased pH (Fig. 5e) ( $F_{(3,8)} = 5.318$ ,  $p = 0.026$ ) which may be due to the altered mineral density-volume ratio relationships (Fig. 5f). Volume ratios were decreased with the increased mineral density in all pH treatments (ANCOVA; mineral density,  $F_{(1,263)} = 1253.14$ ,  $p < 0.001$ ). There was an interaction between pH and mineral density (ANCOVA; pH  $\times$  mineral density,  $F_{(3,263)} = 4.994$ ,  $p = 0.002$ ), indicating that the effect of pH on the mineral density-volume ratio was different. The lower scaling of consumptions at pH 7.8 (mean exponent -0.063), pH 7.5 (mean exponent -0.065), pH 7.2 (mean exponent -0.062) versus the control pH level of 8.1 (mean exponent -0.052), indicating the volume ratio of denser shell was reduced with decreased pH while the volume ratio of less denser shell was increased correspondingly (Fig. 5f). 3D shell density map (Fig. 5 a-d) reinforced the effect of decreased pH on the mineral density-volume ratio relationships. In the controls, shells were produced with denser minerals compared to shells in decreased pH (Fig. 5a). Shells in pH 7.8, pH 7.5 and pH 7.2 had larger proportions of lower mineral density regions or "pores" (Fig. 5b-d). These pores were observed in the 3D density maps as density values below the detection threshold (Fig. 5a-d). With classifying the shell volumes into four density categories, i.e.,  $< 0.5 \text{ g/cm}^3$ ,  $0.5\text{-}1 \text{ g/cm}^3$ ,  $1\text{-}1.5 \text{ g/cm}^3$  and  $> 1.5 \text{ g/cm}^3$ , the proportions of high ( $> 1.5 \text{ g/cm}^3$ ) and low ( $< 0.5 \text{ g/cm}^3$ ) shell mineral density areas were significantly affected by decreasing pH (Fig. 5a-d). The volume ratios of high density areas were significantly reduced in all three decreased pH treatments (pH 7.8, pH 7.5 and pH 7.2) when compared to the controls ( $F_{(3,8)} = 4.856$ ,  $p = 0.033$ ). Meanwhile, the volume ratios of low density areas ( $< 0.5 \text{ g/cm}^3$ ) significantly increased in decreased pH treatments (pH 7.8, pH 7.5 and pH 7.2) when compared to the controls ( $F_{(3,8)} = 6.945$ ,  $p = 0.013$ ). There were no significant differences in the volume ratios of the middle mineral densities ( $0.5\text{-}1 \text{ g/cm}^3$ :  $\chi^2_{(2)} = 5.615$ ,  $p = 0.132$ ;  $1\text{-}1.5 \text{ g/cm}^3$ :  $F_{(3,8)} = 3.713$ ,  $p = 0.061$ ) among treatments (Fig. 5a-d).

230 **4 Discussion**

This study provided new **compiling** information of structure - property relationships in calcareous shells of commercially important oyster species at different spatial scales and under a variety of environmentally and climatically relevant ~~conditions of elevated CO<sub>2</sub> driven decreased pH~~. The **reveled** structural information and subsequent analysis of mechanical features in this study provided an important experimental basis for developing ~~predictive~~ models to forecast the impact of ocean acidification ~~process~~ on marine calcifying organisms. The rate of ~~calcareous shell formation~~ of many marine organisms is expected to be significantly reduced in near-future oceans with a reduced pH of 7.8 due to ~~ocean acidification (OA) process~~ (Ries, 2011; Bednarsek et al., 2012). ~~We have also observed a similar trend on calcification process~~ in the *Crassostrea angulata* because ~~decreased pH due to~~ OA is not only depleting carbonate ions necessary for CaCO<sub>3</sub> mineralization, but ~~also~~ metabolically weakening marine organisms through the altered physiological processes, i.e. acidosis (Dupont and Portner, 2013). Importantly, this study provided ~~a~~ strong evidence to support the argument that shells produced by oysters under OA ~~are corroded~~ with disorganized or impaired crystal orientation ~~of microstructures with~~ reduced mechanical properties. The possible mechanisms and consequences underlying such a negative effects of decreased pH on mechanics of shell structure are discussed in the following sections.

**4.1 Effect of ocean acidification on shell mechanical features: a hierarchical analysis**

245 In any given biologically formed ~~materials~~, mechanical properties ~~at macroscale is~~ generally depends on composition of ~~material component~~ and ~~materials micro~~ structural features (Rodriguez-Navarro et al., 2002). In this study, oyster shell material is composed of two ~~inorganic CaCO<sub>3</sub> compounds~~, calcite and aragonite. Oysters begin their life (larvae) with aragonite-based shell, but it is completely replaced ~~by~~ calcite in ~~adults though juvenile shells may retain a tiny portion of aragonite~~. **Calcite is a relatively less soluble form of CaCO<sub>3</sub> to decreased environmental pH when compared to aragonite.**

250 This chemical feature of calcite may have made feasible for the juvenile oysters to successfully mineralize and retain the laminated calcareous structure even under undersaturated CaCO<sub>3</sub> saturation levels, e.g. decreased pH 7.4 (Fig. 2).

Like a previously described oyster shell ~~microstructure~~, the materials used in this study ~~composed of~~ structurally organized layers. The bulk of the microstructure is characterized by ~~the foliated layer with laminated lamellar structure of crystal units~~. In order to understand the modulating effect of environmental pH on the relationship between the shell structural and mechanical features, we ~~have~~ quantified the “space or ~~gap or~~ pore” size between laminated layers within the folia. The decreased pH significantly increased size and quantity of the pore in the folia ~~layer~~. The presence of such a **loosened** laminated folia with pores or gaps was an obvious ~~impairment of~~ decreased pH. This ~~micro~~-structural impairment was observed even under the near-future level of decreased pH 7.8, where the porosity was increased by 10 folds (Fig. 2r). On the other hand, the preferred orientation of crystal units within the folia layer showed no difference in all ~~decreased~~ pH treatments, with *c*-axis of calcite units approximately perpendicular to the outer and inner shell surface. **Nevertheless, hardness and stiffness of the folia layer were significantly reduced under decreased pH, possibly due to the impaired microstructure with significantly higher pore size and numbers.**

Furthermore, we ~~have~~ measured the impacts of decreased pH on whole shell ~~mineral~~ density and thus on “pores or gaps” in foliated layers using micro-CT analysis. Notably, higher density ~~mineral~~ volume ~~has~~ started reducing with decreasing pH. This result supports our finding on the effect of decreased pH on ~~microscale~~ structure and mechanical features in the folia. **Calcite shell materials are brittle in nature, like egg shells or ceramics, therefore their resistance to deformation (or breaking force) is largely depend on stiffness parameter of the shell.** Here, we ~~have~~ found that both the hardness and stiffness of the folia layer ~~has~~ started to reduce with decreasing pH, which may have triggered **shell failure** phenomenon under ~~stimulated~~ external attack. ~~Under the condition of same external forces, the~~ folia layer with lower



270 stiffness and hardness ~~owing to loosened~~ laminated ~~micro-structure under decreased pH~~ is expected to be highly  
vulnerable to predatory attack even though the preferred orientation ~~of the brittle material (i.e. calcite) is~~ unaffected  
(Kemeny and Cook, 1986). In addition, the overall “~~down-shifting~~” of mineral density detected by Micro-CT analysis  
indicates the ~~loosed~~ internal ~~microstructure~~ may run through the juvenile shell ~~with decreasing pH, thus the above~~  
conclusion ~~may be applicable for the entire oyster shell~~. In other words, the juvenile oyster shell with impaired  
275 ~~microstructural features~~ is more prone to predator attack under near-future level of decreased pH due to OA processes.

#### 4.2 Effect of ocean acidification on shell ~~microstructure~~ and crystallography

The outermost prismatic layers of the older hinge and younger middle regions ~~was~~ completely disappeared when juvenile  
oysters ~~exposed to the extreme, but still environmentally and climatically relevant, the decreased pH of 7.2 with calcite~~  
~~undersaturation ( $\Omega_{\text{calc}} \approx 0.66$ )~~ (Fig. 1h and Fig. 2n, p). ~~This may be because of the corrosive effect of the calcite-~~  
280 ~~undersaturated seawater in the environment (Bednarek et al., 2012). Similar impacts were observed in the juvenile scallop~~  
~~(pH 7.8 and pH 7.5), *Argopecten irradians* (Talmage and Gobler, 2010), juvenile hard-shell clams (pH 7.7), *Mercenaria*~~  
~~*mercenaria* (Dickinson et al., 2013) and the rock oyster (pH 7.8 and pH 7.6), *Saccostrea glomerata* (Watson et al., 2009).~~


The juvenile oysters exposed to decreased pH exhibited ~~loosened~~ ~~microstructure~~ in foliated layers (Fig. 2). Firstly, it  
may be due to the decreased calcification rate resulted from the metabolic depression and/or energy shortage in the decreased  
285 pH conditions (Gobler and Talmage, 2014; Lannig et al., 2010). Secondly, ~~the~~ dissolution of ~~the newly formed minerals of~~  
the inner surface in ~~the decreased~~ pH conditions may be another probable reason (Melzner et al., 2011). ~~Based on the~~  
~~calcification mechanism of mollusc, undersaturated calcite conditions may be in contact with the inner shell surface (Addadi~~  
~~et al., 2006; Thomsen et al., 2010), where the newly formed minerals grow as the structural building blocks for foliated~~  
~~layers, in the decreased pH conditions.~~ Thus, the newly formed minerals may still be prone to dissolution. When the  
290 dissolution rate is faster than the calcification rate, organisms ~~may tend to produce loosened microstructure of~~ foliated layers.  
Similarly, mussel shells grown in ~~decreased~~ pH conditions (pH 7.65) showed inner shell surface dissolution (Melzner et al.,  
2011) and impaired shell ~~microstructure~~ (Hahn et al., 2012), which were consistent with the results in this study. ~~The~~  
~~crystallography of marine shell is the other important proxy to environmental stressors (Milano et al., 2017).~~ Compared to  
calcite, aragonite ~~occupies much less amount of oyster shells~~ and is more soluble under decreased pH conditions (Fitzer et al.,  
295 2014). ~~Therefore, because of the same reasons discussed above, an absent of~~ aragonite in the older hinge regions at pH 7.2  
(Fig. 3.iv) is observed in this study. A similar absence of aragonite ~~also was~~ reported in mussel shells in high  $p\text{CO}_2$  (1000  
 $\mu\text{atm}$ ) conditions (Fitzer et al., 2014). ~~Nevertheless, the aragonitic portion in the adult oyster shell is insignificant and it plays~~  
~~no role in determining the ultimate mechanical properties of the calcite predominant adult shells.~~


#### 4.3 Ecological implications and conclusion

300 Although previous studies showed that early larval life stages of several edible oyster species were relatively physiologically  
tolerant to the near-future ~~pH 7.8 due to OA~~ (Dineshram et al., 2013; Ko et al., 2013; Ko et al., 2014), this study shows that  
they are still vulnerable due ~~to the softer and less stiff shells in decreased pH conditions~~. Similar negative impact of OA on  
shell mechanical properties ~~was~~ reported in various marine calcifiers. For example, the pearl oyster, *Pinctada fucata*,  
produced a 25.9% weaker shell after exposure to acidified seawater at pH 7.8 (Welladsen et al., 2010). ~~Decreasing shell~~  
305 ~~hardness in decreased pH conditions was also observed in the California mussel (pH 7.95 and pH 7.75), *Mytilus*~~  
~~*californianus* (Gaylord et al., 2011), the hard clam (pH 7.7), *Mercenaria mercenaria* (Dickinson et al., 2013; Ivanina et al.,~~  
~~2013), and the serpulid tubeworm (pH 7.8), *Hydroides elegans* (Li et al., 2014).~~ However, the effects of increased  $p\text{CO}_2$  on  
shell mechanical properties are species-specific. Near-future ~~decreased pH 7.8~~ did not affect shell hardness in the sea urchin





310 *Paracentrotus lividus* (Collard et al., 2016) or in the barnacle *Amphibalanus amphitrite* (McDonald et al., 2009). Indeed, juvenile oysters of *C. gigas* significantly increased their shell strength and size as a compensatory adaptive response to the high  $p\text{CO}_2$  condition (i.e.,  $p\text{CO}_2$  1000  $\mu\text{atm}$ ) (Wright et al., 2014), and the blue mussel, *Mytilus edulis* produced a stiffer and harder calcite layer under in increased  $p\text{CO}_2$  condition (i.e.,  $p\text{CO}_2$  1000  $\mu\text{atm}$ ) (Fitzer et al., 2015) 

The long-term survival strategy of oysters with ~~mechanical~~ softer and ~~less stiff~~ shells as yet to be studied. However, as shown in a recent study (Sanford et al., 2014), it appears that ~~the mechanically weaker shell~~ will result in compromised defence ability, ~~thus lead to potential experience of increased predation which may act as a strong selective pressure for oysters in the decreased pH condition.~~ Moreover, results from a recent study suggest that oysters with reduced and impaired calcification mechanisms have lower ~~capability to repair their shells once natural damage occurs~~ (Coleman et al., 2014). This hierarchical study revealed that the ~~OA-induced decreased pH~~ conditions may cause a ~~bottom-up~~ deterioration on oyster shells, thus pose a serious threat to oyster survival and the health of coastal oyster reef structures in the near-future ocean. 

320 This biological effect of OA on shell structures and mechanical features should be incorporated to the coastal oceanographic biophysical models to accurately project the survival of oysters in near-future coastal oceans.

**Author contributions.** Y.M. and T.V. conceived and designed the study. Z.G and H.Y. performed the nanoindentation test and contributed materials and analysis equipment. S.C.F. and M.C. performed the EBSD analyses and contributed analysis equipment. Y.M. and A.U. performed the  $\text{CO}_2$  propagation experiment. Y.M. and C.L. performed the SEM imaging. K.W.K.Y. contributed materials and analysis equipment for Micro-CT scanning. Y.M. analysed the data. Y.M. drafted the manuscript, V.B.S.C., and T.V. contributed to the revisions.

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## Figures

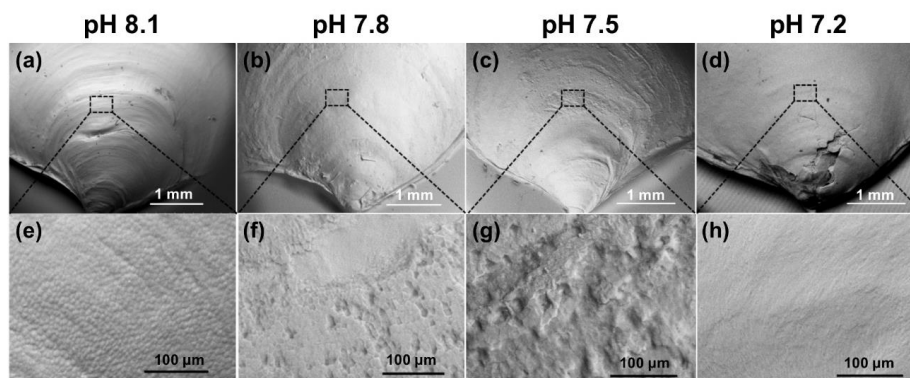


Figure 1: Scanning electron micrographs of 35-day-old juvenile *Crassostrea angulata* shells cultured at ambient or control pH 8.1 (a and e), treatment pH 7.8 (b and f), pH 7.5 (c and g) and pH 7.2 (d and h) were compared. Top row: low magnification tomography of the juvenile shells. Bottom row: enlarged view of the crystallite units (top view). (e) The prism units were arranged in compact prismatic structures at pH 8.1; (f) prismatic arrangement was partially lost at pH 7.8; (g) rough surface was observed demonstrating a much lower level of organization at pH 7.5; (h) a smooth surface was observed with no prismatic arrangement due to corrosion by environmental seawater.

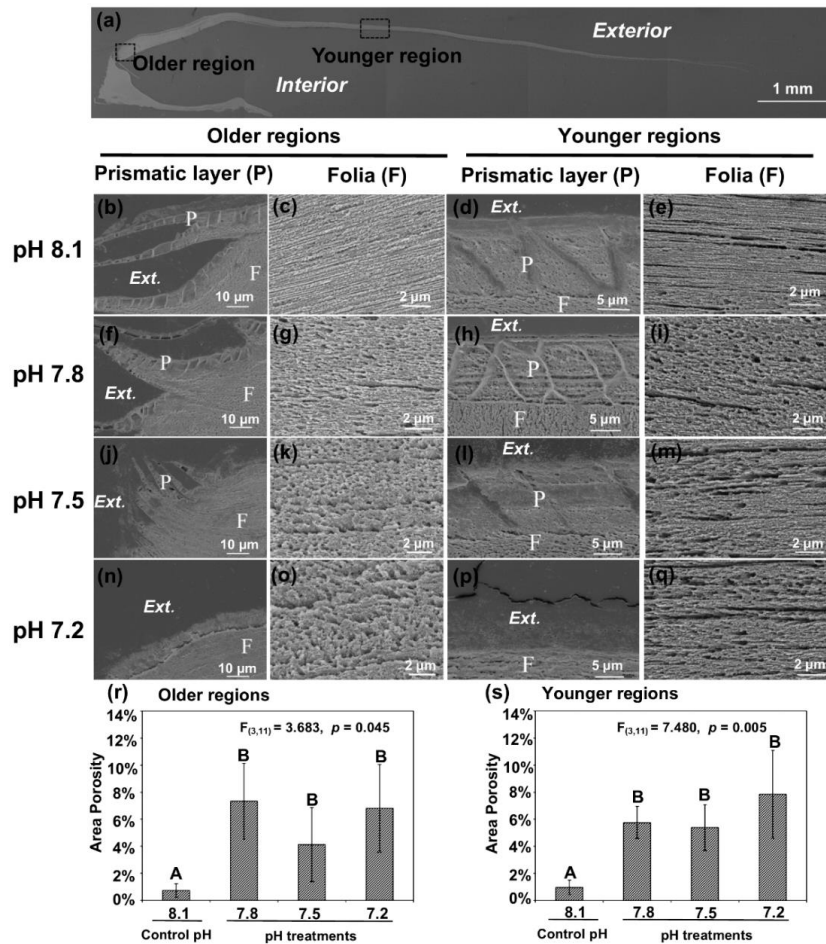


Figure 2: Microstructures were observed in the cross-sectional shell surfaces of 35-day-old juvenile *Crassostrea angulata*. Scanning electron micrographs were taken near the older hinge region (b, c, f, g, j, k, n and o) and the younger middle region (d, e, h, i, l, m, p and q). First row: scanning electron micrograph of the full shell cross-sectional surface (a). Second row: the prismatic layer (b and d) and tightly packed foliated structure (c and e) at pH 8.1. Third row: the prismatic layer (f and h) and the foliated structure with more and bigger pores (g and i) at pH 7.8 compared with at pH 8.1. Fourth row: the incomplete prismatic layer (j and l) and more porous foliated structure (k and m) at pH 7.5 compared with at pH 8.1. Fifth row: the prismatic layer was not detectable (n and p) with porous foliated structure (o and q) at pH 7.2. The porosity of foliated layers at the older (r) and younger regions (s) of the shell reared under control and low pH treatments. The mean values are presented in the bar chart (mean  $\pm$  SD, n = 3 - 4). Annotations: P-prismatic layer; F-foliated layer.

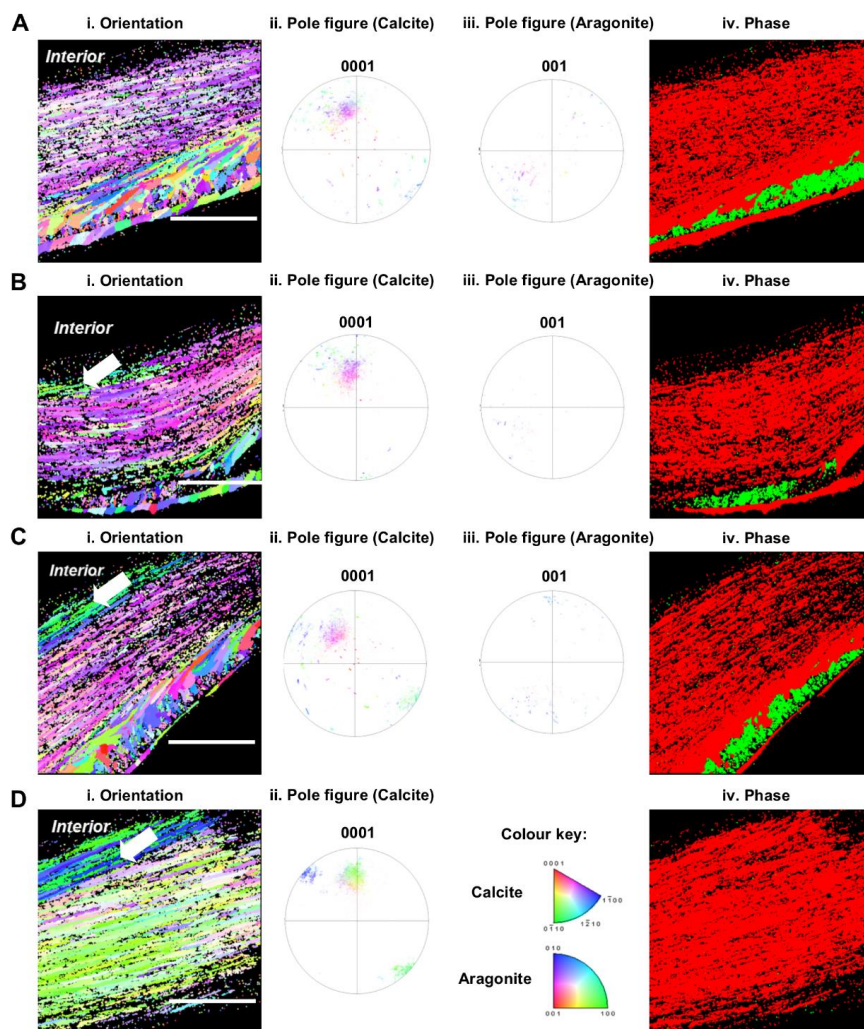


Figure 3: Electron Backscatter Diffraction analyses of shells grown for 35 days at control pH 8.1 (a), treatment pH 7.8 (b), pH 7.5 (c) and pH 7.2 (d). Crystallographic orientation maps (i) of calcite crystals in reference to the {0001} plane and aragonite crystals in reference to the {001} plane. Crystallographic planes of calcite are colour-coded according to the normal crystallographic direction shown in the colour key (Perez-Huerta and Cusack, 2009). Pole figures for calcite (ii) and aragonite (iii) corresponding to the crystallographic orientation maps with the same colour key. (iv) Phase map of calcite exhibited in red and aragonite in green. White arrow: change in colour of 5 to 10 marginal foliated laminates. Scale bar = 45  $\mu\text{m}$ .



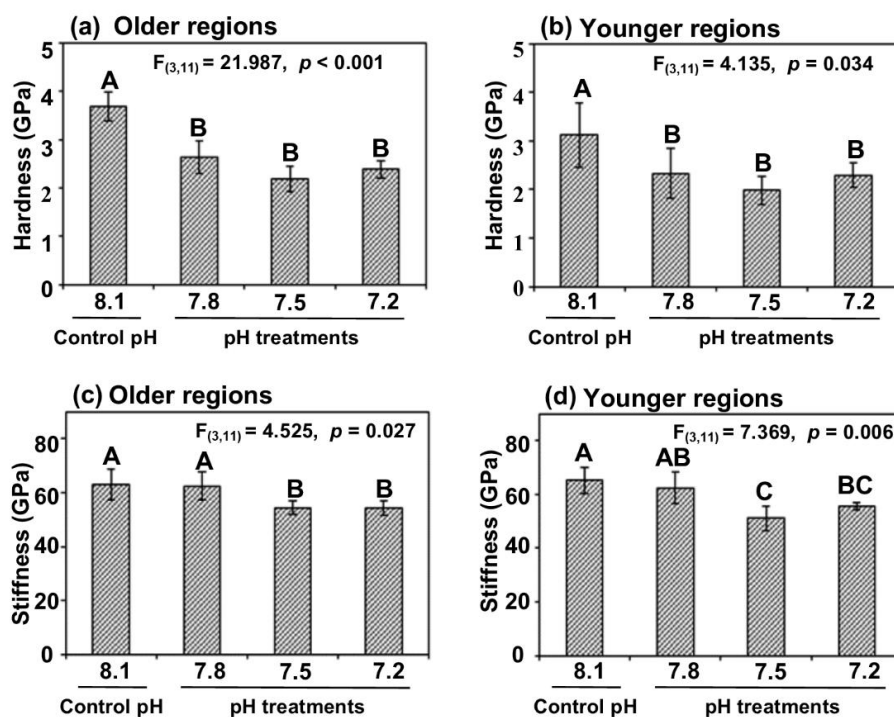


Figure 4: Shell mechanical properties in terms of hardness (a and b) and stiffness (c and d) with longer and shorter exposures in older hinge regions (a and c) and younger middle regions (b and d) in cross-sectional shell surfaces of *Crassostrea angulata* were compared. Data of mechanical properties are presented as mean  $\pm$  SD of three to four replicates ( $n = 3$  or  $4$ ).

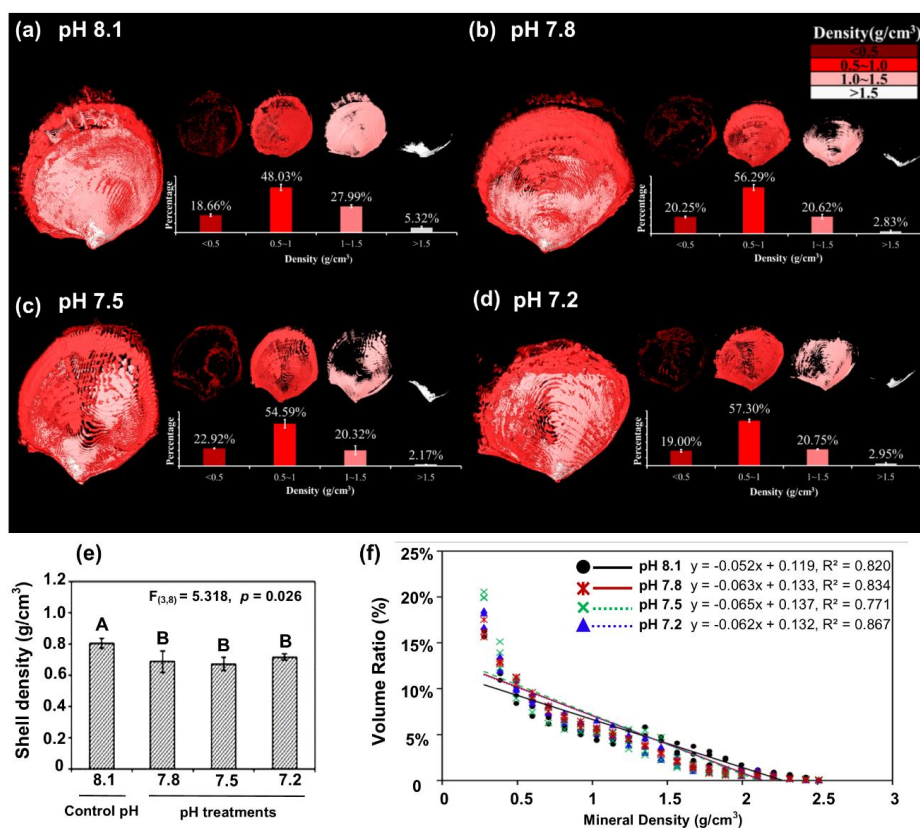


Figure 5: Effects of low pH on the shell density map (a-d), overall density (e), and mineral density - volume ratio relationships (f) for the four experimental pH treatment groups were examined by micro-CT of shells of *Crassostrea angulata*. Three dimensional reconstructions represent the density distribution of the shells produced in ambient or control pH 8.1 (a), treatment pH 7.8 (b), pH 7.5 (c) and pH 7.2 (d). The volume ratios of density categories of <0.5 g/cm<sup>3</sup>, 0.5-1.0 g/cm<sup>3</sup>, 1.0-1.5 g/cm<sup>3</sup>, and > 1.5 g/cm<sup>3</sup> were quantified. (e) The overall density was presented as mean  $\pm$  SD of three replicates (n = 3). (f) Mineral density–volume ratio relationships for the four experimental pH treatment groups of *C. angulata*. Regression lines for the three low pH treatments closely overlap and are partly obscured.