



1 Microbial decomposition processes and vulnerable Arctic soil organic carbon in the 21st century

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25 **Abstract**

26 **Inadequate representation of biogeochemical processes in current biogeochemistry models**
27 **contributes to a large uncertainty in carbon budget quantification. Here, detailed microbial**
28 **mechanisms were incorporated into a process-based biogeochemistry model, the Terrestrial**
29 **Ecosystem Model (TEM). Ensemble regional simulations with the model estimated the**
30 **carbon budget of the Arctic ecosystems is 76.0 ± 114.8 Pg C during the 20th century, -**
31 **3.1 ± 61.7 Pg C under the RCP 2.6 scenario and 94.7 ± 46 Pg C under the RCP 8.5 scenario**
32 **during the 21st century. Positive values indicate the regional carbon sink while negative**
33 **values are source to the atmosphere. Compared to the estimates using a simpler soil**
34 **decomposition algorithm in TEM, the new model estimated that the Arctic terrestrial**
35 **ecosystems stored 12 Pg less carbon over the 20th century, 19 Pg C and 30 Pg C less under**
36 **the RCP 8.5 and RCP 2.6 scenarios, respectively, during the 21st century. When soil carbon**
37 **within depths 30 cm, 100 cm and 300 cm was considered as initial carbon in the 21st**
38 **century simulations, the region was estimated to accumulate 65.4, 88.6, and 109.8 Pg C,**
39 **respectively, under the RCP 8.5 scenario. In contrast, under the RCP 2.6 scenario, the**
40 **region lost 0.7, 2.2, and 3 Pg C, respectively, to the atmosphere. We conclude that the**
41 **future regional carbon budget evaluation largely depends on whether or not the adequate**
42 **microbial activities are represented in earth system models and the sizes of soil carbon**
43 **considered in model simulations.**

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47 **1. Introduction**

48 Northern high-latitude soils and permafrost contain more than 1,600 Pg carbon (Tarnocai
49 et al 2009). Climate over this region has warmed in recent decades (Serreze and Francis 2006)
50 and the increase is 1.5 to 4.5 times the global mean (Holland and Bitz 2003). Warming-induced
51 changes in carbon cycling are expected to exert large feedbacks to the global climate system
52 (Davidson and Janssens 2006, Christensen and Christensen 2007, Oechel et al 2000).

53 Warming is expected to accelerate soil C loss by increasing soil respiration, but
54 increasing nutrient mineralization, thereby stimulating plant net primary production (NPP)
55 (Mack et al 2004, Hobbie et al 2002). Thus, the variation of climate may switch the role of the
56 Arctic system between a C sink and a source if soil C loss overtakes NPP (Davidson et al 2000,
57 Jobb gy and Jackson 2000). Process-based biogeochemical models such as TEM (Hayes et al
58 2014, Raich and Schlesinger 1992, McGuire et al 1992, Zhuang et al 2001, 2002, 2003, 2010,
59 2013), Biome-BGC (Running and Coughlan 1988), CASA (Potter et al 1993), CENTURY
60 (Parton et al 1994) and Biosphere Energy Transfer Hydrology scheme (BETHY) (Knorr et al
61 2000) have been widely used to quantify the response of carbon dynamics to climatic changes
62 (Todd-Brown et al 2012). An ensemble of process-based model simulations suggests that arctic
63 ecosystems acted as a sink of atmospheric CO₂ in recent decades (McGuire et al 2012, Schimel et
64 al 2013). However, the response of this sink to increasing levels of atmospheric CO₂ and climate
65 change is still uncertain (Todd-Brown et al 2013). The IPCC 5th report also shows that land
66 carbon storage is the largest source of uncertainty in the global carbon budget quantification
67 (Ciais et al 2013).



68 Much of the uncertainty is also due to the inadequate representation of ecosystem
69 processes that determine the exchanges of water, energy and C between land ecosystems and the
70 atmosphere (Wieder et al 2013), and ignorance of some key biogeochemical mechanisms
71 (Schmidt et al 2011). For example, heterotrophic respiration (R_H) is the primary loss pathway for
72 soil organic carbon (Hanson et al 2000, Bond-Lamberty and Thomson 2010). and it generally
73 increases with increasing temperature (Davidson and Janssens 2006) and moisture levels in well-
74 drained soils (Cook and Orchard 2008). Moreover, this process is closely related to soil nitrogen
75 mineralization that determines soil N availability and affects gross primary production (Hao et al
76 2015). To date, most models treated soil decomposition as a first-order decay process, i.e., CO_2
77 respiration is directly proportional to soil organic carbon. However, it is not clear if these models
78 are robust under changing environmental conditions (Lawrence et al 2011, Schimel and
79 Weintraub 2003, Barichivich et al 2013) since they often ignored the effects of changes in
80 biomass and composition of decomposers, while recent empirical studies have shown that
81 microbial abundance and community play a significant role in soil carbon decomposition
82 (Allison and Martiny 2008). The control that microbial activity and enzymatic kinetics imposed
83 on soil respiration suggests the need for explicit representation of microbial physiology,
84 enzymatic activity, in addition to the direct effects of soil temperature and soil moisture on
85 heterotrophic respiration (Schimel and Weintraub 2003). Recent mechanistically-based models
86 explicitly incorporated with the microbial dynamics and enzyme kinetics that catalyze soil C
87 decomposition have produced notably different results and a closer match to contemporary
88 observations (Wieder et al 2013, Allison et al 2010) indicating the need for incorporating these



89 microbial mechanisms into large-scale earth system models to quantify carbon dynamics under
90 future climatic conditions ((Wieder et al 2013, Allison et al 2010).

91 This study advanced a microbe-based biogeochemistry model (MIC-TEM) based on an
92 extant Terrestrial Ecosystem Model (TEM) (Raich and Schlesinger 1992, McGuire et al 1992,
93 Zhuang et al 2001, 2002, 2003, 2010, 2013, Hao et al 2015). In MIC-TEM, the heterotrophic
94 respiration is not only a function of soil temperature, soil organic matter (SOM) and soil
95 moisture, but also considers the effects of dynamics of microbial biomass and enzyme kinetics
96 (Allison et al 2010). The verified MIC-TEM was used to quantify the regional carbon dynamics
97 in northern high latitudes (north 45 °N) during the 20th and 21st centuries.

98

99 **2. Methods**

100 **2.1 Overview**

101 Below we first briefly describe how we advanced the MIC-TEM by modifying the soil
102 respiration process in TEM (Zhuang et al 2003) to better represent carbon dynamics in terrestrial
103 ecosystems. Second, we describe how we parameterized and verified the new model using
104 observed net ecosystem exchange data at representative sites and how simulated net primary
105 productivity (NPP) was evaluated with Moderate Resolution Imaging Spectroradiometer
106 (MODIS) data to demonstrate the reliability of new model at regional scales. Third, we present
107 how we applied the model to the northern high latitudes for the 20th and 21st centuries. Finally,
108 we introduce how we conducted the sensitivity analysis on initial soil carbon input, using
109 gridded observation-based soil carbon data of three soil depths during the 21st century.

110



111 2.2 Model description

112 TEM is a highly aggregated large-scale biogeochemical model that estimates the dynamics of
113 carbon and nitrogen fluxes and pool sizes of plants and soils using spatially referenced
114 information on climate, elevation, soils and vegetation (Raich and Schlesinger 1992, McGuire et
115 al 1992, Zhuang et al 2003, 2010, Melillo et al 1993). To explicitly consider the effects of
116 microbial dynamics and enzyme kinetics on large-scale carbon dynamics of northern terrestrial
117 ecosystems, we developed MIC-TEM by coupling version 5.0 of TEM (Zhuang et al 2003, 2010)
118 with a microbial-enzyme module (Hao et al 2015, Allison et al 2010). Our modification of the
119 TEM improved the representation of the heterotrophic respiration (R_H) from a first-order
120 structure to a more detailed structure (Fig. S1).

121 In TEM, heterotrophic respiration R_H is calculated as a function of soil organic carbon
122 (SOC), soil temperature (Q_{10}), soil moisture ($f(\text{MOIST})$), and the gram-specific decomposition
123 constant K_d :

$$124 \quad R_H = K_d * \text{SOC} * Q_{10}^{\frac{DT}{10}} * f(\text{MOIST}) \quad (1)$$

125 where DT is soil temperature at top 20 cm. CO_2 production from SOC pool is directly
126 proportional to the pool size, and the activity of decomposers only depends on the built-in
127 relationships with soil temperature and moisture (Todd-Brown et al 2012). Therefore, the
128 changes in microbial community composition or adaption of microbial physiology to new
129 conditions were not represented in TEM. However, current studies indicate that soil C
130 decomposition depends on the activity of biological communities dominated by microbes
131 (Schimel and Weintraub 2003), implying that the biomass and composition of the decomposer
132 community can't be ignored (Todd-Brown et al 2012).



133 We thus revised the first-order soil C structure in TEM to a second-order structure
 134 considering microbial dynamics and enzyme kinetics according to Allison et al 2010. In MIC-
 135 TEM, heterotrophic respiration (R_H) is calculated as:

$$136 \quad R_H = \text{ASSIM} * (1 - \text{CUE}) \quad (2)$$

137 Where ASSIM and CUE represent microbial assimilation and carbon use efficiency, respectively.

138 ASSIM is modeled with a Michaelis-Menten function:

$$139 \quad \text{ASSIM} = V_{\text{max_uptake}} * \text{MIC} * \frac{\text{DOC}}{K_{\text{m_uptake}} + \text{DOC}} \quad (3)$$

140 Where $V_{\text{max_uptake}}$ is the maximum velocity of the reaction and calculated using the Arrhenius
 141 equation:

$$142 \quad V_{\text{max_uptake}} = V_{\text{max_uptake}_0} * e^{-\frac{E_{\text{a_uptake}}}{R * (\text{temp} + 273)}} \quad (4)$$

143 $V_{\text{max_uptake}_0}$ is the pre-exponential coefficient, $E_{\text{a_uptake}}$ is the activation energy for the reaction
 144 (Jmol^{-1}), R is the gas constant ($8.314 \text{ Jmol}^{-1}\text{K}^{-1}$), and temp is the temperature in Celsius under the
 145 reaction occurs.

146 Besides, $K_{\text{m_uptake}}$ value is calculated as a linear function of temperature:

$$147 \quad K_{\text{m_uptake}} = K_{\text{m_uptake_slope}} * \text{temp} + K_{\text{m_uptake}_0} \quad (5)$$

148 Microbial biomass MIC is modeled as:

$$149 \quad \frac{d\text{MIC}}{dt} = \text{ASSIM} * \text{CUE} - \text{DEATH} - \text{EPROD} \quad (6)$$

150 Where microbial biomass death (DEATH) and enzyme production (EPROD) are modeled as
 151 constant fraction of microbial biomass:

$$152 \quad \text{DEATH} = r_{\text{death}} * \text{MIC} \quad (7)$$

$$153 \quad \text{EPROD} = r_{\text{EnzProd}} * \text{MIC} \quad (8)$$



154 Where r_{death} and r_{EnzProd} are the ratio of microbial death and enzyme production, respectively.

155 Dissolved organic carbon (DOC) is part of soil organic carbon:

$$156 \quad \frac{d\text{DOC}}{dt} = \text{DEATH} * (1 - \text{MICtoSOC}) + \text{DECAY} + \text{ELOSS} - \text{ASSIM} \quad (9)$$

157 where MICtoSOC is carbon input as dead microbial biomass to SOC, representing the fraction of
 158 microbial death that flows into SOC, and is set as a constant value according to Allison et al
 159 2010. SOC dynamics are modeled:

$$160 \quad \frac{d\text{SOC}}{dt} = \text{Litterfall} + \text{DEATH} * \text{MICtoSOC} - \text{DECAY} \quad (10)$$

161 Where Litterfall is estimated as a function of vegetation carbon (Zhuang et al 2010). The
 162 enzymatic decay of SOC is calculated as:

$$163 \quad \text{DECAY} = V_{\text{max}} * \text{ENZ} * \frac{\text{SOC}}{K_m + \text{SOC}} \quad (11)$$

164 Where V_{max} is the maximum velocity of the reaction and calculated using the Arrhenius equation:

$$165 \quad V_{\text{max}} = V_{\text{max}_0} * e^{-\frac{E_a}{R * (\text{temp} + 273)}} \quad (12)$$

166 The parameters K_m and carbon use efficiency (CUE) are temperature sensitive, and calculated
 167 as a linear function of temperature between 0 and 50 °C:

$$168 \quad K_m = K_{m_{\text{slope}}} * \text{temp} + K_{m_0} \quad (13)$$

$$169 \quad \text{CUE} = \text{CUE}_{\text{slope}} * \text{temp} + \text{CUE}_0 \quad (14)$$

170 Where $\text{CUE}_{\text{slope}}$ and CUE_0 are parameters for calculating CUE. The values of $\text{CUE}_{\text{slope}}$ and
 171 CUE_0 were derived from Allison et al 2010.

172 ELOSS is also a first-order process, representing the loss of enzyme:

$$173 \quad \text{ELOSS} = r_{\text{enzloss}} * \text{ENZ} \quad (15)$$

174 Where r_{enzloss} is the ratio of enzyme loss. Enzyme pool (ENZ) is modeled:



175
$$\frac{dENZ}{dt} = EPROD - ELOSS \quad (16)$$

176 Heterotrophic respiration (R_H) is an indispensable component of soil respiration (Bond-
177 Lamberty and Thomson 2010), and closely coupled with soil nitrogen (N) mineralization that
178 determines soil N availability, affecting gross primary production (GPP).

179

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181 **2.3 Model parameterization and validation**

182 The variables and parameters of these microbial dynamics and their impacts on soil C
183 decomposition were detailed in Allison et al 2010 (Table S5). Here we parameterized MIC-TEM
184 for representative ecosystem types in northern high latitudes based on monthly net ecosystem
185 productivity (NEP, $gCm^{-2} mon^{-1}$) measurements from AmeriFlux network (Davidson et al 2000)
186 (Table S1). The results for model parameterization was presented in Fig. S2. Another set of level
187 4 gap-filled NEP data was used for model validation at site level (Table S2). The site-level
188 monthly climate data of air temperature ($^{\circ}C$), precipitation (mm) and cloudiness (%) were used
189 to drive the model. Gridded MODIS NPP data from 2001 to 2010 were used to evaluate regional
190 NPP simulation.

191 The parameterization was conducted with a global optimization algorithm SCE-UA
192 (Shuffled complex evolution) (Duan et al 1994) to minimize the difference between the monthly
193 simulated and measured NEE at these sites (Fig. S2). The cost function of the minimization is:

194
$$Obj = \sum_{i=1}^k (NEP_{obs,i} - NEP_{sim,i})^2 \quad (17)$$

195 Where $NEP_{obs,i}$ and $NEP_{sim,i}$ are the observed and simulated NEP, respectively. k is the number
196 of data pairs for comparison. Other parameters used in MIC-TEM were default values from TEM



197 5.0 (Zhuang et al 2003, 2010). The optimized parameters were used for model validation and
198 regional extrapolations.

199

200 **2.4 Regional simulations**

201 Two sets of regional simulations for the 20th century using MIC-TEM and TEM at a spatial
202 resolution of 0.5 °latitude × 0.5 °longitude were conducted. Gridded forcing data of monthly air
203 temperature, precipitation, and cloudiness were used, along with other ancillary inputs including
204 historical atmospheric CO₂ concentrations, soil texture, elevation, and potential natural
205 vegetation. Climatic inputs vary over time and space, whereas soil texture, elevation, and land
206 cover data are assumed to remain unchanged throughout the 20th century, which only vary
207 spatially. The transient climate data during the 20th century was organized from the Climatic
208 Research Unit (CRU TS3.1) from the University of East Anglia (Harris et al 2014). The spatial-
209 explicit data include potential natural vegetation (Melillo et al 1993), soil texture (Zhuang et al
210 2003) and elevation (Zhuang et al 2015).

211 Similarly, two sets of simulations were conducted driven with two contrasting climate
212 change scenarios (RCP 2.6 and RCP 8.5) over the 21st century. The future climate change
213 scenarios were derived from the HadGEM2-ES model, which is a member of CMIP5 project
214 (<https://esgf-node.llnl.gov/search/cmip5/>). The future atmospheric CO₂ concentrations and
215 climate forcing from each of the two climate change scenarios were used. The simulated NPP, R_H
216 and NEP by both models (TEM 5.0 and MIC-TEM) were analyzed. The positive NEP represents
217 a CO₂ sink from the atmosphere to terrestrial ecosystems, while a negative value represents a
218 source of CO₂ from terrestrial ecosystems to the atmosphere.



219 Besides, in order to test the parameter uncertainty in our model, we conducted the
220 regional simulations with 50 sets of parameters for both historical and future studies. The 50 sets
221 of parameters were obtained according to the method in Tang and Zhuang 2008. The upper and
222 lower bounds of the regional estimations were generated based on these simulations.

223

224 **2.5 Sensitivity to initial soil carbon input**

225 Future carbon dynamics can be affected by varying initial soil carbon amount. In the standard
226 simulation of TEM, the initial soil carbon amount for transient simulations was obtained from
227 equilibrium and spin-up periods directly for each grid cell in the region. To test the sensitivity to
228 the initial soil carbon amount in transient simulations for the 21st century, we used empirical soil
229 organic carbon data extracted from the Northern Circumpolar Soil Carbon Database (NCSCD)
230 (Tarnocai et al 2009), as the initial soil carbon amount. The $0.5^\circ \times 0.5^\circ$ soil carbon data products
231 for three different depths of 30cm, 100cm and 300cm were used. The sensitivity test was
232 conducted for transient simulations under the RCP 2.6 and RCP 8.5 scenarios. To avoid the
233 instability of C-N ratio caused by replacing the initial soil carbon pool with observed data at the
234 beginning of transient period, initial soil nitrogen values were also generated based on the soil
235 carbon data and corresponding C-N ratio map for transient simulations (Zhuang et al 2003, Raich
236 and Schlesinger 1992).

237

238 **3. Results**

239 **3.1 Model verification at site and regional levels**



240 With the optimized parameters, MIC-TEM reproduces the carbon dynamics well for alpine
241 tundra, boreal forest, temperate coniferous forest, temperate deciduous forest, grasslands and wet
242 tundra with R^2 ranging from 0.70 for Ivotuk to 0.94 for Bartlett Experimental Forest (Fig. S3,
243 table S3). In general, model performs better for forest ecosystems than for tundra ecosystems.
244 The temporal NPP from 2001 to 2010 simulated by MIC-TEM and TEM were compared with
245 MODIS NPP data (Fig. S4). Pearson correlation coefficients are 0.52 (MIC-TEM and MODIS)
246 and 0.34 (TEM and MODIS). NPP simulated by MIC-TEM showed higher spatial correlation
247 coefficients with MODIS data than TEM (Fig. S5). By considering more detailed microbial
248 activities, the heterotrophic respiration is more adequately simulated using the MIC-TEM. The
249 simulated differences in soil decomposition result in different levels of soil available nitrogen,
250 which influences the nitrogen uptake by plants, the rate of photosynthesis and NPP. The spatial
251 correlation coefficient between NPP simulated by MIC-TEM and MODIS is close to 1 in most
252 study areas, suggesting the reliability of MIC-TEM at the regional scale.

253

254 **3.2 Regional carbon dynamics during the 20th century**

255 The equifinality of the parameters in MIC-TEM was considered in our ensemble regional
256 simulations to measure the parameter uncertainty (Tang and Zhuang 2008). Here and below, the
257 ensemble means and the inter-simulation standard deviations are shown for uncertainty measure,
258 unless specified as others. These ensemble simulations indicated that the northern high latitudes
259 act from a carbon source of 38.9 PgC to a carbon sink of 190.8 PgC by different ensemble
260 members, with the mean of 64.2 ± 21.4 Pg at the end of 20th century while the simulation with the
261 optimized parameters estimates a regional carbon sink of 77.6 Pg with the interannual standard



262 deviation of 0.21 PgC yr^{-1} during the 20th century (Fig 1). Simulated regional NEP with
263 optimized parameters using TEM and MIC-TEM showed an increasing trend throughout the 20th
264 century except a slight decrease during the 1960s (Fig. 2). The Spatial distributions of NEP
265 simulated by MIC-TEM for different periods in 20th century also show the increasing trend (Fig
266 3). Positive values of NEP represent sinks of CO_2 into terrestrial ecosystems, while negative
267 values represent sources of CO_2 to the atmosphere. From 1900 onwards, both models estimated a
268 regional carbon sink during the 20th century. With optimized parameters, TEM estimated higher
269 NPP and R_H at 0.6 PgC yr^{-1} and 0.3 PgC yr^{-1} than MIC-TEM, respectively, at the end of the 20th
270 century (Fig. 2). The MIC-TEM estimated a carbon sink increase from 0.64 to 0.83 PgCyr^{-1}
271 during the century while the estimated increase by TEM was much higher (0.28 PgCyr^{-1}) (Fig. 2).
272 At the end of the century, MIC-TEM estimated NEP reached 1.0 PgCyr^{-1} in comparison with
273 TEM estimates of 0.3 PgCyr^{-1} . TEM estimated NPP and R_H are 0.5 PgCyr^{-1} and 0.3 PgCyr^{-1}
274 higher, respectively. As a result, TEM estimated that the region accumulated 11.4 Pg more
275 carbon than MIC-TEM. Boreal forests are a major carbon sink at 0.55 and 0.63 PgCyr^{-1}
276 estimated by MIC-TEM and TEM, respectively. Alpine tundra contributes the least sink. Overall,
277 TEM overestimated the sink by 12.5% in comparison to MIC-TEM for forest ecosystems and
278 16.7% for grasslands. For wet tundra and alpine tundra, TEM overestimated about 20% and 33%
279 in comparison with MIC-TEM, respectively (Table 1).

280

281 **3.3 Regional carbon dynamics during the 21st century**

282 Regional annual NPP and R_H increases under the RCP 8.5 scenario according to simulations with
283 both models (Fig. 4). With optimized parameters, MIC-TEM estimated NPP increases from 9.2



284 in the 2000s to 13.2 PgCyr^{-1} in the 2090s, while TEM predicted NPP is 2.0 PgCyr^{-1} higher in the
285 2000s and 0.3 PgCyr^{-1} higher in the 2090s (Fig. 4). Similarly, TEM also overestimated R_H by 1.7
286 PgCyr^{-1} in the 2000s and 0.25 PgCyr^{-1} higher in the 2090s, respectively (Fig. 4). As a result, the
287 regional sink increases from 0.53 PgCyr^{-1} in the 2000s, 1.4 PgCyr^{-1} in the 2070s, then decreases
288 to 1.1 PgCyr^{-1} in the 2090s estimated by MIC-TEM (Fig. 4). Given the uncertainty in parameters,
289 MIC-TEM predicted the region acts as a carbon sink ranging from 48.7 to 140.7 Pg , with the
290 mean of $71.7 \pm 26.6 \text{ Pg}$ at the end of 21st century, while the simulation with optimized parameters
291 estimates a regional carbon source of 79.5 Pg with the interannual standard deviation of 0.37
292 PgC yr^{-1} during the 21st century (Fig 4). TEM predicted a similar trend for NEP, which
293 overestimated the carbon sink with magnitude of 19.2 Pg compared with the simulation by MIC-
294 TEM with optimized parameters. Under the RCP 2.6 scenario (Fig. 4), the increase of NPP and
295 R_H is smaller from 2000 to 2100 compared to the simulation under the RCP 8.5. MIC-TEM
296 predicted that NPP increases from 9.1 to 10.9 PgCyr^{-1} , TEM estimated 1.6 PgCyr^{-1} higher at the
297 beginning and 0.9 PgCyr^{-1} higher in the end of the 21st century (Fig. 4). Consequently, MIC-
298 TEM predicted NEP fluctuates between sinks and sources during the century, with a neutral
299 before 2070, and a source between -0.2 - $-0.3 \text{ Pg C yr}^{-1}$ after the 2070s. As a result, the region
300 acts as a carbon source of 1.6 Pg C with the interannual standard deviation of 0.24 PgC yr^{-1}
301 estimated with MIC-TEM and a sink of 27.6 Pg C with the interannual standard deviation of 0.2
302 PgC yr^{-1} estimated with TEM during the century (Fig. 4). When considering the uncertainty
303 source of parameters, MIC-TEM predicted the region acts from a carbon source of 64.8 Pg C to a
304 carbon sink of 58.6 Pg C during the century with the mean of $-3.3 \pm 20.3 \text{ Pg}$ at the end of 21st
305 century (Fig 4).



306

307 **3.4 Model sensitivity to initial soil carbon**

308 Under the RCP 2.6, without replacing the initial soil carbon with inventory-based estimates¹ in
309 model simulations, TEM estimated that the regional soil organic carbon (SOC) is 604.2 Pg C and
310 accumulates 12.1 Pg C during the 21st century. When using estimated soil carbon¹ within depths
311 of 30cm, 100cm and 300cm as initial pools in simulations, TEM predicted that regional SOC is
312 429.5, 689.3 and 1003.4 Pg C in 2000, and increases by 9.9, 16.0 and 22.8 Pg C at the end of the
313 21st century, and the regional cumulative carbon sink is 20.4, 34.0, and 48.1 Pg C, respectively
314 during the century. In contrast, using the same inventory-based SOC estimates, MIC-TEM
315 projected that the region acts from a cumulative carbon sink to a source at 0.7, 2.2, and 3.0 Pg C,
316 respectively. Under the RCP 8.5, both models predicted that the region acts as a carbon sink,
317 regardless of the magnitudes of initial soil carbon pools used, with TEM projected sink of 71.7,
318 120, and 155.6 Pg C and a much smaller cumulative sink of 65.4, 88.6, and 109.8 Pg C estimated
319 with MIC-TEM, respectively (Table 2).

320 **4. Discussion**

321 During the last few decades, a greening accompanying warming and rising atmospheric
322 CO₂ in the northern high latitudes (>45 °N) has been documented (McGuire et al 1995, McGuire
323 and Hobbie 1997, Chapin and Starfield 1997, Stow et al 2004, Callaghan et al 2005, Tape et al
324 2006, Giorgi et al 2006). The large stocks of carbon contained in the region (Tarnocai et al 2009)
325 are particularly vulnerable to climate change (Schuur et al 2008, McGuire et al 2009). To date,
326 the degree to which the ecosystems may serve as a source or a sink of C in the future are still



327 uncertain (McGuire et al 2009, Wieder et al 2013). Therefore, accurate models are essential for
328 predicting carbon–climate feedbacks in the future (Todd-Brown et al 2013). Our regional
329 simulations indicate the region is currently a carbon sink, which is consistent with many previous
330 studies (White et al 2000, Houghton et al 2007), and this sink will grow under the RCP 8.5
331 scenario, but shift to a carbon source under the RCP 2.6 scenario by 2100. MIC-TEM shows a
332 higher correlation between NPP and soil temperature ($R=0.91$) than TEM ($R=0.82$), suggesting
333 that MIC-TEM is more sensitive to environmental changes (Table S4).

334 Our regional estimates of carbon fluxes by MIC-TEM are within the uncertainty range
335 from other existing studies. For instance, Zhuang et al 2003 estimated the region as a sink of 0.9
336 PgCyr^{-1} in extratropical ecosystems for the 1990s, which is similar to our estimation of 0.83
337 PgCyr^{-1} by MIC-TEM. White et al 2000 estimated that, during the 1990s, regional NEP above
338 50°N region is 0.46PgCyr^{-1} while Qian et al 2010 estimated that NEP increased from 0 to 0.3
339 PgCyr^{-1} for the high-latitude region above 60°N during last century, and reached 0.25PgCyr^{-1}
340 during the 1990s. White et al 2000 predicted that, from 1850 to 2100, the region accumulated
341 134PgC in terrestrial ecosystems, in comparison with our estimates of 77.6PgC with MIC-TEM
342 and 89PgC with TEM. Our projection of a weakening sink during the second half of the 21st
343 century is consistent with previous model studies (Koven et al 2011, Schaphoff et al 2013). Our
344 predicted trend of NEP is very similar to the finding of White et al 2000, indicating that NEP
345 increases from 0.46PgCyr^{-1} in the 2000s and reaches 1.5PgCyr^{-1} in the 2070s, then decreases to
346 0.6PgCyr^{-1} in the 2090s.

347 The MIC-TEM simulated NEP generally agrees with the observations. However, model
348 simulations still deviate from the observed data, especially for tundra ecosystems. The deviation



349 may be due to the uncertainty or errors in the observed data, which do not well constrain the
350 model parameters. Uncertain driving data such as temperature and precipitation are also a source
351 of uncertainty for transient simulations. In addition, we assumed that vegetation will not change
352 during the transient simulation. However, over the past few decades in the northern high latitudes,
353 temperature increases have led to vegetation changes (Hansen et al 2006), including latitudinal
354 treeline advance (Lloyd et al 2005) and increasing shrub density (Sturm et al 2001). Vegetation
355 can shift from one type to another because of competition for light, N and water (White et al
356 2000). For example, needleleaved trees tend to replace tundra gradually in response to warming.
357 In some areas, forests even moved several hundreds of kilometers within 100 years (Gear and
358 Huntley 1991). The vegetation changes will affect carbon cycling in these ecosystems. In
359 addition, we have not yet considered the effects of management of agriculture lands (Cole et al
360 1997), but Zhuang et al 2003 showed that the changes in agricultural land use in northern high
361 latitudes have been small.

362 The largest limitation to this study is that we have not explicitly considered the fire
363 effects. Warming in the northern high latitudes could favor fire in its frequency, intensity,
364 seasonality and extent (Kasischke and Turetsky 2006, Johnstone and Kasischke 2005, Soja et al
365 2007, Randerson, et al 2006, Bond-Lamberty et al 2007). Fire has profound effects on northern
366 forest ecosystems, altering the N cycle and water and energy exchanges between the atmosphere
367 and ecosystems. Increase in wildfires will destroy most of above-ground biomass and consume
368 organic soils, resulting in less carbon uptake by vegetation (Harden et al 2000), leading to a net
369 release of carbon in a short term. However, a suite of biophysical mechanisms of ecosystems
370 including post-fire increase in the surface albedo and rates of biomass accumulation may in turn,



371 exert a negative feedback to climate warming (Amiro et al 2006, Goetz et al 2007), further
372 influence the carbon exchanges between ecosystems and the atmosphere.

373 Moreover, carbon uptake in land ecosystems depends on new plant growth, which
374 connects tightly with the availability of nutrients such as mineral nitrogen. Recent studies have
375 shown that when soil nitrogen is in short supply, most terrestrial plants would form symbiosis
376 relationships with fungi; hyphae provides nitrogen to plants, in return, plants provide sugar to
377 fungi (Hobbie and Hobbie 2008, 2006, Schimel and Hätenschwiler 2007). This symbiosis
378 relationship has not been considered in our current modeling, which may lead to a large
379 uncertainty in our quantification of carbon and nitrogen dynamics.

380 Shift in microbial community structure was not considered in our model, which could
381 affect the temperature sensitivity of heterotrophic respiration (Stone et al 2012). Michaelis-
382 Menten constant (K_m) could also adapt to climate warming, and it may increase more
383 significantly with increasing temperature in cold-adapted enzymes than in warm-adapted
384 enzymes (German et al 2012, Somero et al 2004, Dong and Somero 2009). Carbon use efficiency
385 (CUE) is also a controversial parameter in our model. Empirical studies in soils suggest that
386 microbial CUE declines by at least $0.009\text{ }^{\circ}\text{C}^{-1}$ (Steinweg et al 2008), while other studies find that
387 CUE is invariant with temperature (López-Urrutia and Morán 2007). Another key microbial trait
388 lacking in our modeling is microbial dormancy (He et al 2015). Dormancy is a common, bet-
389 hedging strategy used by microorganisms when environmental conditions limit their growth and
390 reproduction (Lennon and Jones 2011). Microorganisms in dormancy are not able to drive
391 biogeochemical processes such as soil CO_2 production, and therefore, only active
392 microorganisms should be involved in utilizing substrates in soils (Blagodatskaya and Kuzyakov



393 2013). Many studies have indicated that soil respiration responses to environmental conditions
394 are more closely associated with the active portion of microbial biomass than total microbial
395 biomass (Hagerty et al 2014, Schimel and Schaeffer 2012, Steinweg et al 2013). Thus, the
396 ignorance of microbial dormancy could fail to distinguish microbes with different physiological
397 states, introducing uncertainties to our carbon estimation.

398 5. Conclusions

399 This study used a more detailed microbial biogeochemistry model to investigate the carbon
400 dynamics in the region for the past and this century. Regional simulations using MIC-TEM
401 indicated that, over the 20th century, the region is a sink of 77.6 Pg. This sink could reach to 79.5
402 Pg under the RCP 8.5 scenario or shift to a carbon source of 1.6 Pg under the RCP 2.6 scenario
403 during 21st century. On the other hand, traditional TEM overestimated the carbon sink under the
404 RCP 8.5 scenario with magnitude of 19.2 Pg than MIC-TEM, and predicted this region acting as
405 carbon sink with magnitude of 27.6 Pg under the RCP 2.6 scenario during 21st century. Using
406 recent soil carbon stock data as initial soil carbon in model simulations, the region was estimated
407 to shift from a carbon sink to a source, with total carbon release at 0.7- 3 Pg by 2100 depending
408 on initial soil carbon pools at different soil depths under the RCP 2.6 scenario. In contrast, the
409 region acts as a carbon sink at 55.4 - 99.8 Pg C in the 21st century under RCP 8.5 scenario.
410 Without considering more detailed microbial processes, models estimated that the region acts as
411 a carbon sink under both scenarios. Under the RCP 2.6 scenario, the cumulative sink ranges
412 from 9.9 to 22.8 Pg C. Under the RCP 8.5 scenario, the cumulative sink is even larger at 71.7 -
413 155.6 Pg C. This study indicated that more detailed microbial physiology-based
414 biogeochemistry models estimate carbon dynamics very differently from using a relatively



415 simple microbial decomposition-based model. The comparison with satellite products or other
416 estimates for the 20th century suggests that the more detailed microbial decomposition shall be
417 considered to adequately quantify C dynamics in northern high latitudes.

418

419 **Acknowledgments**

420 This research was supported by a NSF project (IIS-1027955), a DOE project (DE-SC0008092),
421 and a NASA LCLUC project (NNX09AI26G) to Q. Z. We acknowledge the Rosen High
422 Performance Computing Center at Purdue for computing support. We thank the National Snow
423 and Ice Data center for providing Global Monthly EASE-Grid Snow Water Equivalent data,
424 National Oceanic and Atmospheric Administration for North American Regional Reanalysis
425 (NARR), and Hugelius and his group by making available pan-Arctic permafrost soil C maps.
426 We also acknowledge the World Climate Research Programme's Working Group on Coupled
427 Modeling Intercomparison Project CMIP5, and we thank the climate modeling groups for
428 producing and making available their model output. The data presented in this paper can be
429 accessed through our research website (<http://www.eaps.purdue.edu/ebdl/>)

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724 **Author contributions.** Q.Z. designed the study. J.Z. conducted model development, simulation
725 and analysis. J.Z. and Q. Z. wrote the paper.

726

727 **Competing financial interests.** The submission has no competing financial interests.

728

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732

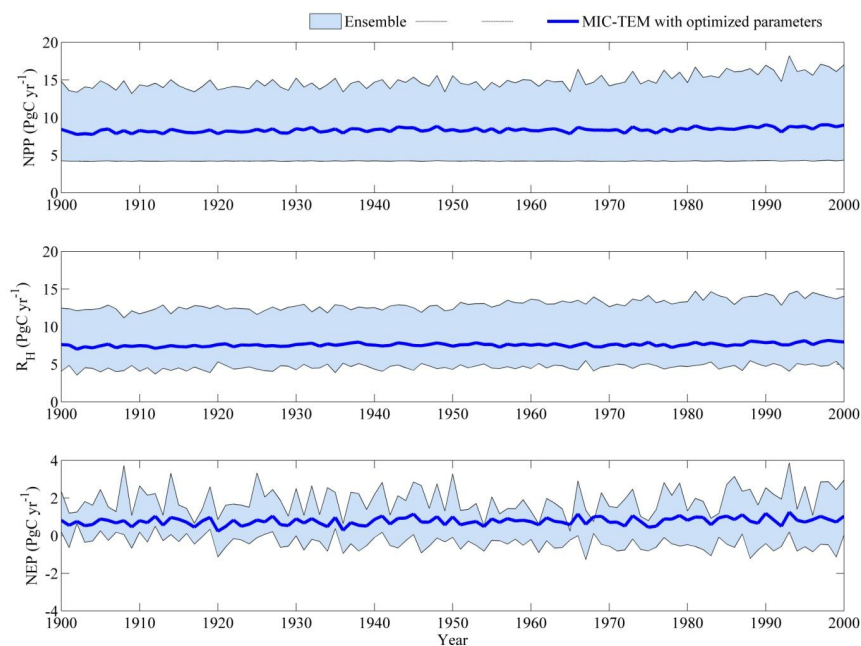


Figure 1. Simulated annual net primary production (NPP, top panel), heterotrophic respiration (R_H , center panel) and net ecosystem production (NEP, bottom panel) by MIC-TEM with ensemble of parameters.

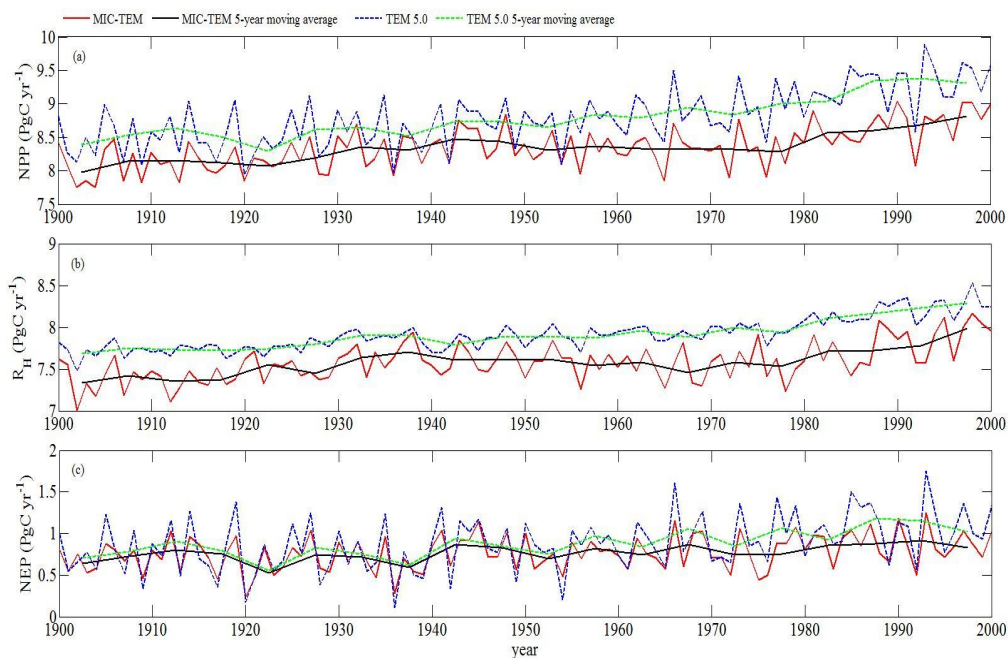


Figure 2. Simulated annual net primary production (NPP, top panel), heterotrophic respiration (R_H , center panel) and net ecosystem production (NEP, bottom panel) by MIC-TEM and TEM, respectively.

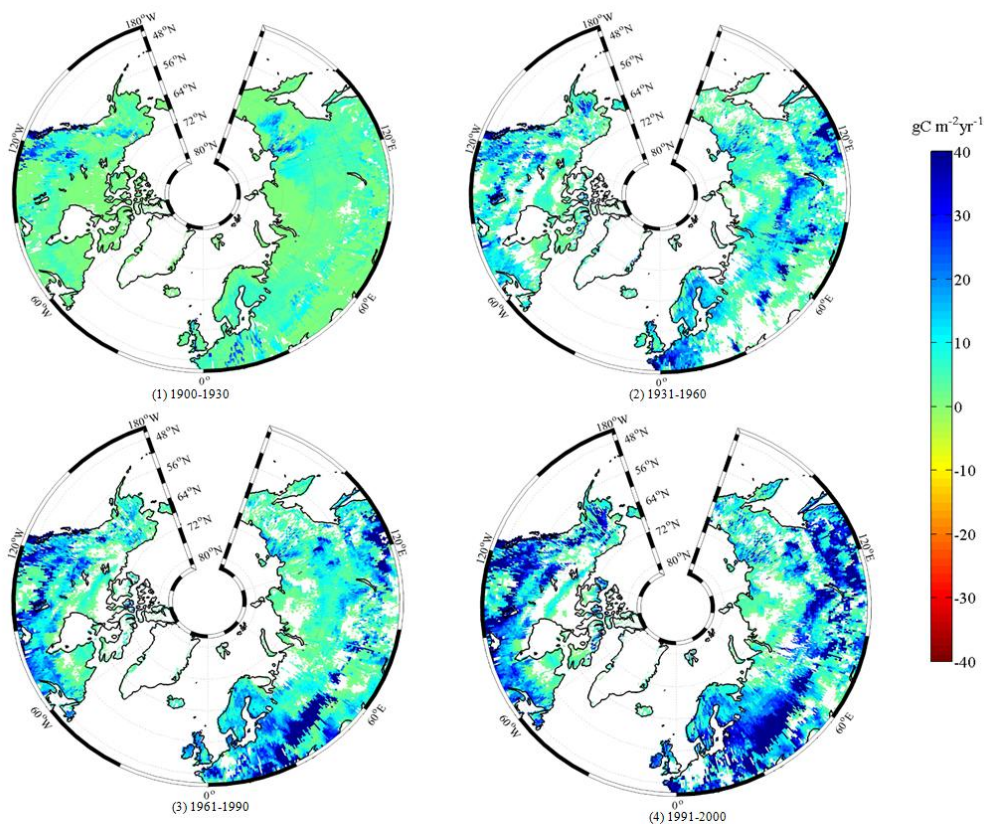


Figure 3. Spatial distribution of NEP simulated by MIC-TEM for the periods: (1) 1900-1930, (2) 1931-1960, (3) 1961-1990, and (4) 1991-2000. Positive values of NEP represent sinks of CO_2 into terrestrial ecosystems, while negative values represent sources of CO_2 to the atmosphere.

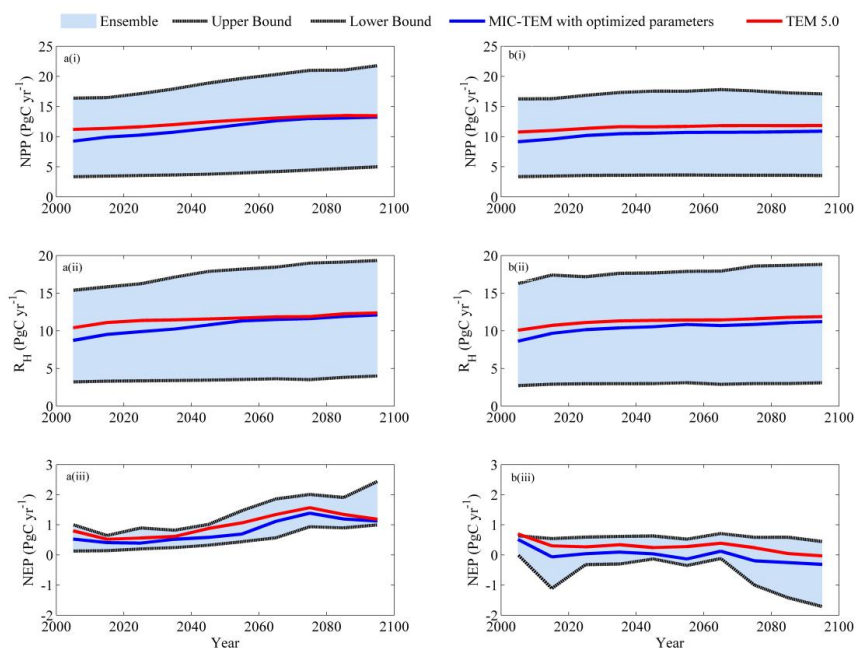


Figure 4. Predicted changes in carbon fluxes: (i) NPP, (ii) R_H, and (iii) NEP for all land areas north of 45°N in response to transient climate change under (a) RCP 8.5 scenario and (b) RCP 2.6 scenario with MIC-TEM and TEM 5.0, respectively. The decadal running mean is applied. The grey area represents the upper and lower bounds of simulations.



Table 1. Partitioning of average annual net ecosystem production (as Pg C per year) for six vegetation types during the 20th century

	MIC-TEM (PgC yr ⁻¹)	TEM 5.0 (PgC yr ⁻¹)
Alpine tundra	0.03	0.04
Boreal forest	0.39	0.45
Conifer forest	0.09	0.09
Deciduous forest	0.16	0.18
Grassland	0.06	0.07
Wet tundra	0.05	0.06
Total	0.78	0.89



Table 2. Increasing of SOC, vegetation carbon (VGC), soil organic nitrogen (SON), vegetation nitrogen (VGN) from 1900 to 2000, and total carbon storage during the 21st century predicted by two models with observed soil carbon data of three different depths under (a) RCP 2.6 and (b) RCP 8.5.

(a)

Model	Units: Pg	Without (control)	30cm	100cm	300cm
	SOC/SON in 2000	604.2/27.0	429.5/19.0	689.3/31.6	1003.4/46.2
	Increase of SOC during the 21 st century	12.1	9.9	16.0	22.8
	VGC/VGN in 2000	318.3/1.48	238.4/1.05	394.2/1.80	556.7/2.53
TEM 5.0	Increase of VGC during the 21 st century	15.5	10.5	18.0	25.3
	Increase of total carbon storage during the 21 st century	27.6	20.4	34.0	48.1
	SOC/SON in 2000	591.5/26.8	420.3/18.6	686.0/31.2	990.7/45.3
	Increase of SOC during the 21 st century	-2.0	-1.2	-2.4	-2.9
	VGC/VGN in 2000	309.7/1.42	230.1/1.02	374.4/1.71	548.6/2.45
MIC-TEM	Increase of VGC during the 21 st century	0.4	0.5	0.2	-0.1
	Increase of total carbon storage during the 21 st century	-1.6	-0.7	-2.2	-3.0

(b)



Model	Units: Pg	Without (control)	30cm	100cm	300cm
TEM 5.0	SOC/SON in 2000	610.2 /27.9	431.9/19.1	693.8/31.8	1007.1/46.4
	Increase of SOC during the 21 st century	44.2	33.0	56.5	74.6
	VGC/VGN in 2000	324.9/1.50	242.1/1.07	399.6/1.83	570.2/2.57
	Increase of VGC during the 21 st century	54.5	38.7	63.5	81.0
	Increase of total carbon storage during the 21 st century	98.7	71.7	120.0	155.6
MIC-TEM	SOC/SON in 2000	596.0/27.1	424.6/18.8	689.1/31.5	995.5/46.1
	Increase of SOC during the 21 st century	33.3	27.4	36.9	42.9
	VGC/VGN in 2000	316.0/1.44	233.5/1.02	380.0/1.72	568.3/2.56
	Increase of VGC during the 21 st century	46.2	37.0	51.7	56.9
	Increase of total carbon storage during the 21 st century	79.5	65.4	88.6	109.8