Discussion started: 21 August 2018 © Author(s) 2018. CC BY 4.0 License.





- 1 Characterization of chromophoric dissolved organic matter in
- 2 lakes on the Tibet Plateau, China, using spectroscopic analysis
- 3 Kaishan Song<sup>1#\*</sup>, Sijia Li<sup>2#</sup>, Zhidan Wen<sup>1</sup>, Lili Lyu<sup>1</sup>, Yingxin Shang<sup>1</sup>
- <sup>1</sup> Northeast Institute of Geography and Agroecology, CAS, Changchun, 130102, China
- <sup>2</sup> School of Environmental, Northeast Normal University, Changchun, 136000, China
- 6 # first co-authors
- 7 \*authors correspondence should be addressed: songkaishan@neigae.ac.cn
- 8 Abstract Spatiotemporal variations in the characteristics of fluorescent dissolved
- 9 organic matter (FDOM) components from 63 lakes across the Tibet Plateau, China, are
- 10 examined using excitation-emission matrix spectra (EEM) and fluorescence regional
- integration (FRI) from 2014 to 2017. Freshwater (N=135) and brackish water (N=109)
- samples from 63 lakes were grouped according to salinity or electrical conductivity. In
- 13 order to compare results between the lakes, cumulative volumes beneath the EEM
- values ( $\varphi_i$ , i=I, II, III, IV, V) were normalized to a DOC concentration of 1 mg/L. EEM-
- FRI identified tyrosine-like ( $\phi_{II}$ ), tryptophan-like ( $\phi_{II}$ ), fulvic-like ( $\phi_{III}$ ), microbial
- protein-like  $(\phi_{IV})$ , and humic-like  $(\phi_{V})$  fluorescence regions, as well as their proportions
- 17 (Pi). Chromophoric dissolved organic matter (CDOM) absorption parameters,
- 18 fluorescence indices, average fluorescence intensities of the five fluorescent
- 19 components and total fluorescence intensities  $(\phi_T)$  differed under spatial variation
- among brackish and freshwater lakes (ANOVA, p<0.05). Principal component analysis
- 21 (PCA) was used to assess and group five normalized FDOM components for all of the
- water samples. These results show that microbial protein-like ( $\varphi_{IV}$ ), fulvic-like ( $\varphi_{III}$ )
- and humic-like ( $\varphi_V$ ) have positive correlations ( $R^2 > 0.79$ , t-test, p<0.01), indicating that
- 24 these FDOM components may originate from similar sources. A correlation also exists

© Author(s) 2018. CC BY 4.0 License.





- between normalized  $\varphi_i$  (i=I, II, III, IV, V) and DOC concentrations with a salinity >19‰
- 26 (averaged EC, 23764 $\mu$ s cm<sup>-1</sup>) (t-test, p<0.01), of which R<sup>2</sup> f regression analysis showed
- 27 a decreasing tendency with EC. Similar correlations between a(254) and DOC
- 28 concentrations (t-test, p<0.01) are also evident for sunshine hours > 2900 h.
- Redundancy analysis (RDA) indicates that a(254) and a(350) have a correlation with
- 30 CDOM in brackish lakes. a(254), HIX and a(350) were also correlated with water
- 31 quality. Strong evapoconcentration, intense ultraviolet irradiance and landscape
- 32 features of the Tibet Plateau may be responsible for the FDOM characteristics identified
- 33 in this study.
- 34 Keywords: CDOM; Tibet Plateau; Fluorescence; Brackish lakes; FRI

Discussion started: 21 August 2018 © Author(s) 2018. CC BY 4.0 License.



35

36

37

38

## 1. Introduction

and they are an indirect link between the oceans (via rivers). Inland lakes play an important role in the transportation and storage of carbon from 39 terrestrially imported substances (Cole et al., 2007; Tranvik et al., 2009). Carbon flux 40 41 and biogeochemical processes of lakes have a significant influence on the global carbon cycle, on the aquatic ecosystem, and they confer regional effects on climate (Battin et 42 al., 2009; Jiao et al., 2010; Ran et al., 2013; Carlson et al., 2011). However, 43 anthropogenic activities (i.e., industrial, agricultural and domestic sewage) can alter the 44 carbon balance and interfere with biogeochemical cycling of lakes, effects which can 45 be recorded in spatiotemporal variations of dissolved carbon within the catchment. It is 46 therefore important to investigate biogeocher cycling of carbon in lakes in different 47 regions that have distinct properties (Cole et al., 2007; Falkowski et al., 2000). 48 The Tibet Plateau, commonly known as the 'Third Pole' or the 'Asian water tower', 49 possesses an average elevation over 4500 m, and contains the largest ice mass outside 50 the polar regions (Song et al., 2016). This region also contains the greatest number of 51 large-scale lakes and glaciers in the world. The total area of lakes on the Tibet Plateau 52 account for about 49% of the total lake area in China (Zhang et al., 2011). As of 2011, 53 there were 312, 104, 7 and 3 lakes with surface areas greater than 10 km<sup>2</sup>, 100 km<sup>2</sup>, 500 54 km<sup>2</sup> and 1000 km<sup>2</sup>, respectively (Zhang et al., 2011). In addition, due to dry and thin 55 air with a low concentration of ozone in this area, there are strong Ultraviolet-B (UV-56 B) radiation-penetration inhibiting properties (Ren et al., 1997). Prolonged sunshine 57 and the arid environment has resulted in a high number of lakes in this region having a 58 59 high salt content, or having a significant accumulation of dissolved organic carbon

Inland lakes are a direct link between the land and atmospheric CO2 pools and rivers,

Manuscript under review for journal Biogeosciences

Discussion started: 21 August 2018

© Author(s) 2018. CC BY 4.0 License.





(DOC). DOC and dissolved organic matter (DOM) contents contained in brackish or 60 saline lakes, particularly in arid and semi-arid regions, contribute to the relatively high 61 average DOC concentrations and carbon budget of inland waters (Song et al., 2013; 62 63 Tranvik et al., 2009; Wen et al., 2016). Due to its high altitude, arid environment, low population density, urbanization, and economic development, the Tibet Plateau is 64 65 therefore of particular interest for climate change, environmental evolution and the 66 carbon cycle. There is also significant interest to investigate total DOM in brackish and saline lakes across the Tibet Plateau. 67 68 DOM (typically <0.45 μm) represents one of the largest pools of organic carbon on Earth (Hedges et al., 1992; McKnight et al., 2001). Chromophoric DOM (CDOM, 69 typically <0.22 µm), light-absorbing DOM in aquatic environments, originates from the 70 71 decomposition of algal by microorganisms (autochthonous), as well as through the 72 transport of the surrounding allochthonous environment (Singh et al., 2010; Zhang et al., 2010). Chemical properties cause CDOM to absorb energy and re-emit it as 73 fluorescence (FDOM) (Helms et al., 2008; Stedmon et al., 2003; Zhang et al., 2010). 74 Due to the high selectivity and sensitivity of FDOM, absorption and fluorescence 75 spectroscopy has provided detailed insights into its composition and components 76 (Stedmon et al., 2003; Zhang et al., 2010). Multivariate statistical parameters and tools, 77 i.e., spectroscopic characterization (specific ultraviolet absorbance and spectral slope 78 ratio), excitation-emission matrix (EEM), humification index (HIX), fluorescence index 79 (FI), parallel factor analysis (PARAFAC) and fluorescence regional integration (FRI), 80 have been utilized to identify bio-geochemically meaningful components of CDOM 81 (Coble, 1996; Helms et al., 2008; Stedmon et al., 2003). EEM-PARAFAC and EEM-82 FRI techniques can show dynamic and detailed components of FCDOM for each EEM, 83 84 techniques which have been widely used in aquatic environmental dynamics (source

Discussion started: 21 August 2018 © Author(s) 2018. CC BY 4.0 License.





and fate) (Chen et al., 2003; Zhang et al., 2010; Zhao et al., 2017). Compared to other 85 fluorescence tools, EEM-FRI (a quantitative technique) can integrate the volumes 86 87 beneath defined by regions of EEM largely based on supporting literature (Chen et al., 88 2003). This is related to all of the wavelength ranges of different fluorescence peaks in each EEM, and covers continuous fluorescence intensity at excitation-emission 89 90 wavelength of divided regions for further analysis (Chen et al., 2003). 91 It is believed that the high altitude and arid environment of the Tibet Plateau could 92 have an influence on CDOM in brackish and saline lakes. These influences may affect 93 DOC accumuation, result in a high photochemical degradation rate due to prolonged sunshine, decrease anthropogenic CDOM inputs, and result in an accumulation of 94 nutrients in lake catchment areas (Spencer et al. 2012; Yao et al., 2011; Song et al., 95 2017). Although CDOM optical characteristics and their effect on carbon budget 96 contribution have been reported in plateaus and high-mountain lakes (Wen et al., 2016; 97 Zhang et al., 2010), little is currently known about CDOM in the Tibet Plateau. Analysis 98 in this area could reveal a natural state of composition, sources, dynamics, and fate of 99 CDOM by comparing results with other brackish and saline lakes with high 100 eutrophication rates due to increased terrestrial nutrient input. Based on previous 101 studies, our investigation examines sources and fate of CDOM in brackish (31 lakes) 102 and saline lakes (32 lakes) across the Tibet Plateau using EEM-FRI. The study 103 objectives are to: (1) characterize the similarities and differences in CDOM absorption 104 and components among the 63 lakes with similar climatic, hydrologic and geological 105 conditions using EEM-FRI technology; (2) investigate and evaluate spatial dynamic 106 of each fluorescence component using EEM-FRI; (3) link FDOM by EEM-FRI to 107 CDOM absorption and fluorescence parameters, and to water quality; and (4) assess the 108 effects on FDOM by EEM-FRI caused by salinity, solar radiation and land c 109

Manuscript under review for journal Biogeosciences

Discussion started: 21 August 2018

110

111

112

113

114

115

116

117

118

119

120

121

122

123

124

125

126

127

128

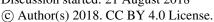
129

130

131

132

133







## 2. Materials and Methods

# 2.1 Overview of the Tibet lake

As the largest and most extensive plateau in the world, the Tibet Plateau covers an area in China of about 2.5 million km<sup>2</sup>, having an average elevation of more than 4500 m above sea level (Zhang et al., 2011). Lakes on the Tibeta Plateau are typically formed due to erosion and melting of glaciers, geological tectonic activity (fault and depression), barriers present on the land-surface, or due to melting on hot spots etc. The majority of these lakes are sensitive to global climate change (Liu and Chen, 2000; Qin et al., 2009). Due to the diverse climate (some airflows of tropospheric tropical easterly, subtropical westerly, and southwestern monsoon from the Indian Ocean) and complex topography (numerous different broad basins or valleys with high mountain ranges) in this area, annual precipitation ranges from 100 to 1300 mm. The majority of precipitation occurs during the summer period (June to September). Solar UV radiation in this area is strong due to dry and thin air, having a low ozone concentration (Ren et al., 1997). In the winter the climate is dominated by cold and dry westerly winds which are more pronounced with elevation. During the winter, the northwestern area of the plateau (where average elevation exceeds 5000 m) is the coldest, having average temperatures around -40 °C (Song et al., 2016). Owing to diverse climatic patterns, topographical patterns and few anthropogenic activities, the carbon cycle, climate change and environment evolution over the Tibet Plateau has seen an increase in interest recently (Zhao et al., 2017; Song et al., 2017).

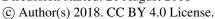
# [Insert Figure 1 about here]

## 2.2 Field sampling

A total of 244 water samples were collected from 63 lakes across the Tibet Plateau from

Manuscript under review for journal Biogeosciences

Discussion started: 21 August 2018







2014 to 2017. Sample locations for each lake were recorded using a GPS receiver (Table 134 S1 and Fig. 1). Water samples were collected from lake surfaces (0-50 cm) in 1 L acid-135 cleaned plastic bottles. The collected water samples were filtered through a pre-136 combusted Whatman GF/F filter (0.7 µm) and then further filtered through a pre-rinsed 137 25 mm Millipore membrane cellulose filter (0.22 µm) into brown plastic bottles. 138 139 Samples were prepared for DOC analysis by being filtered through a pre-combusted Whatman GF/F filter (0.45 µm) under a low vacuum. The filtered samples were stored 140 at 4°C and transported to the laboratory for CDOM absorption and fluorescence 141 142 analysis within 2 days. 2.3 Water quality measurements 143 Electrical conductivity (EC) and pH were measured using a portable multi-parameter 144 145 water quality analyzer (YSI EXO1, US). DOC concentrations were determined by hightemperature catalytic oxidation (680 °C) using a total organic carbon analyzer (TOC-146 VCPN, Shimadzu, Japan). Potassium hydrogen phthalate was used in this analysis as a 147 148 reference. Chlorophyll a (Chl-a) analysis was undertaken by initially filtering the water 149 samples through Whatman cellulose acetone filters (0.45µm) before being extracted with 90% acetone and measured at 664, 647, 630 and 750 nm wavelengths using a 150 Shimadzu UV-2006 PC spectrophotometer. Total nitrogen (TN) and Total phosphorus 151 (TP) were measured following methods highlighted in standard methods 152 (APHA/AWWA/WEF, 1998). 153 2.4 CDOM absorption measurements 154 Absorption spectra of filtered samples were measured between 200 and 800 nm at 1 nm 155 156 increments using a Shimadzu UV-2006 PC spectrophotometer with a 1 cm (or 5 cm) quartz cuvette and Milli-Q water as a reference. The absorption coefficient acpom was 157

Discussion started: 21 August 2018 © Author(s) 2018. CC BY 4.0 License.

182





calculated from the measured sample optical absorption  $a(\lambda)$ : 158  $a_{\text{CDOM}}(\lambda) = 2.303 \text{OD}(\lambda)/\gamma$ (1) 159 where,  $OD(\lambda)$  is the corrected optical density at wavelength  $\lambda$ ;  $\gamma$  is the cuvette path 160 length (0.01 or 0.05 m); and the factor of 2.303 converts the results from a base 10 to a 161 base natural logarithm (Zhang et al., 2011). The SUVA254, S275-295 and M (E250:E36 162 were used to characterize CDOM features (Helms et al., 2008). 163 2.5 Excitation-emission matrix (EEM) fluorescence 164 Three-dimensional excitation-emission matrix (EEM) spectra of CDOM were 165 measured at room temperature (20±2 °C) using a Hitachi F-7000 fluorescence 166 spectrometer with a 700 volt xenon lamp. Scanning band pass widths of excitation and 167 emission spectra were obtained using wavelengths of 220-450 nm (with intervals of 5 168 nm) and 250-600 nm (1 nm intervals), respectively, with a scanning speed of 2400 169 nm·min<sup>-1</sup>. A Milli-Q water blank was analyzed, the result of which was subtracted from 170 the resulting EEM of the water sample spectrum to eliminate Raman scatter peaks. In 171 order to eliminate the inner filter effect, the EEMs were normalized by subtracting the 172 173 integral area under the curve of the Milli-Q water Raman peak according to the methods 174 recommended by Zhang et al. (2010) and Zhou et al. (2016). These EEM spectra were then calibrated in quinine sulfate units (QSU) (Lawaetz and Stedmon, 2009). 175 176 The fluorescence indices  $FI_{370}$  and  $FI_{310}$ , defined as Ex/Em=(370/450)nm)/(370/500 nm) and Ex/Em=(310/380 nm)/(310/430 nm), introduced by McKnight 177 et al. (2001), were used to characterize CDOM source. FI<sub>370</sub> is used to distinguish fulvic 178 acids derived from terrestrial ( $FI_{370}$ <1.4) and microbial ( $FI_{370}$ >1.9) sources, and  $FI_{31}$ 179 is used to distinguish autochthonous ( $FI_{310} < 0.7$ ), autochthonous biological activity 180  $(FI_{310}>0.8)$  and intermediate autochthonous  $(0.7 < FI_{310}<0.8)$  (Zhang et al., 2010). The 181

humification index (HIX) s calculated from fluorescence EEMs, as indices for the





- humification degree and DOM sources (Huguet et al., 2009). Further details of these
- methods are provided in Zhang et al. (2010).

# **2.6** EEM fluorescence regional integration

- 186 EEM Fluorescence Regional Integration (EEM-FRI) divides EEM boundaries into five
- 187 regions associated with humic-like, tyrosine-like, tryptophan-like or phenol-like
- organic compounds, based on the findings of Chen et al. (2003). Fluorescence peaks a
- 189 Ex<250 nm and Em<350, defined as Regions I and II, relate to aromatic proteins such
- as tyrosine. Peaks at shorter Ex<250 nm and longer Em>350 nm are fulvic acid-like
- materials, deemed as Region III. Peaks at intermediate 250 nm <Ex<280 nm and
- 192 Em<380 nm are microbial protein-like, defined as Region IV. Peaks at longer Ex>280
- nm and Em>380 nm are related to humic acid-like organics, denoted as Region V. The
- integrated area beneath the EEM spectra can be calculated using:

$$\varphi_i = \int_{\mathrm{ex}} \int_{\mathrm{em}} \mathrm{I}(\lambda_{\mathrm{ex}} \lambda_{\mathrm{em}}) \Delta \lambda_{\mathrm{ex}} \Delta \lambda_{\mathrm{ex}}$$
 (2)

- where,  $\Delta \lambda_{ex}$  is Ex (interval 5 nm);  $\Delta \lambda_{em}$  is Em (interval 1 nm);  $I(\lambda_{ex}, \lambda_{em})$  is fluorescence
- intensity at each EEM pair; and i represents the regions of EEM divided by EEM-FRI.
- 198 The cumulative volume in the five regions beneath the EEM can be calculated using  $\phi_T$
- 199 (i = I, II, IV, V; unit: nm):

204

$$\varphi_{T,n} = \sum_{i=1}^{5} \varphi_{i,n}$$
 (3)

- 201 where, n represents the numbers of cumulative regions in the five regions. The
- cumulative volume beneath the EEM ( $\varphi_I$  and  $\varphi_I$ ) values were normalized to per unit of
- 203 DOC concentration (in mg/L) for comparison of EEMs from different sources. The unit
- \_

of DOC-normalized EEM-FRI is QSU-nm<sup>2</sup>-[mg/LC]<sup>-1</sup>. The percent fluorescenc

response in a specific region ( $P_{i,n}$ , i=I, II, II, IV, V) was calculated as:

206 
$$P_{i,n} = \frac{\varphi_{i,n}}{\varphi_{T,n}} \times 100\%$$
 (4)

Manuscript under review for journal Biogeosciences

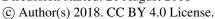
Discussion started: 21 August 2018

207

210

215

216







## 2.7 Statistical analysis

208 Statistical analyses, regression and correlation analyses were performed using SPSS

209 16.0 (Statistical Program for Social Sciences) to examine the relationships between

variations (CDOM absorption and fluorescence parameters) among lakes. Significance

levels are reported as non-significant (NS) (p>0.05), significant (\*, 0.05>p>0.01) or

212 highly significant (\*\*, p < 0.01). Redundancy analysis (RDA) and principal components

analysis (PCA) was undertaken using CANOCO 4.5 from two principal components

analyses (Microcomputer Power, Ithaca, NY, USA).

# 3. Results

#### 3.1 Biogeochemical characteristics

217 Water quality parameters (TN, TP, Chl-a, TSM, pH, EC, turbidity and salinity) for the

218 63 lakes (244 water samples) are shown in Table 1. Thirty one lakes were classified as

brackish (N=109; 35%>salin 10%) and 32 lakes were classified as freshwater

(N=135; salinity < 1%). The average values of all water quality parameters in each lake

221 were calculated and selected to represent overall water quality of the lake.

Concentrations of TN (average,  $2.31 \pm 2.64$  mg L<sup>-1</sup>), TP (average,  $0.04 \pm 0.03$  mg L<sup>-1</sup>)

223 and Chl-a (average,  $1.45 \pm 2.65 \,\mu g \, L^{-1}$ ) were relatively low in fresh lakes (N=135),

224 coinciding with low turbidity. Brackish lakes, having a eutrophic state, recorded high

225 Chl-a (average,  $2.57 \pm 5.73 \ \mu g \ L^{-1}$ ), TN (average,  $4.54 \pm 4.32 \ mg \ L^{-1}$ ) and TP (average,

226  $0.45 \pm 1.35$  mg L<sup>-1</sup>), results related to their high salt (average,  $6.01 \pm 5.59$  %) and EC

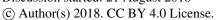
(average,  $8880.24 \pm 8235.9 \,\mu\text{S cm}^{-1}$ ) contents. The water quality parameters of the

228 trophic states slightly higher than the average values for brackish lakes in

229 northeastern China (average, TP=0.11 mg L<sup>-1</sup>and TN=4.07 mg L<sup>-1</sup>; ) in Northeast of

230 China (Zhao et al., 2017), and lakes (average, TP=0.033 mg L<sup>-1</sup> and TN=0.59 mg L<sup>-1</sup>; )

255



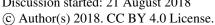




231	in Yungui Plateau of China (Zhang et al., 2010), and lower than those in Hulun Lake
232	(average, TP=1.52 mg L <sup>-1</sup> and TN=4.58 mg L <sup>-1</sup> ; Wen et al., 2016). Zhang et al. (2010)
233	found that, with an increase in altitude (> 4000 m), oligotrophic lakes increased due to
234	the natural changes in catchment properties and low human activities. However, for
235	terminal lakes with less anthropogenic density, there is an accumulation of nutrients
236	generally derived from allochthonous substances.
237	[Insert Table 1 about here]
238	High concentrations of DOC in brackish waters were found to accumulate in
239	lakes with high salinity concentrations (Fig. 2a). DOC values for the brackish lakes
240	were also found to be variable, ranging from 0.27 mg L <sup>-1</sup> in Lake XRC 4.8 mg L <sup>-1</sup>
241	in Lake CCL, with a mean DOC of 35.69 (± 43.52) mg L <sup>-1</sup> (Fig. S1). Mean DOC
242	concentrations in the fresh lakes were $7.94 \pm 12.05$ mg $L^{-1}$ , recording lower values than
243	those in brackish lakes. These results are in agreement with the findings of Song et al.
244	(2013), Zhao et al., (2016) and Wen et al (2016) for brackish lakes in arid and semi-arid
245	regions. Although brackish lakes have high spatial heterogeneity results indicate
246	that decreasing salinity generally coincides with DOC concentrations (Fig. 2b). In
247	addition, owing to UV-B radiation-penetration inhibiting properties in the Tibet Plateau
248	(Ren et al., 1997), the tendency linear equation of average DOC centration showed
249	a decreased trend with increasing elevation (Fig. 2a). However, variations in DOC
250	concentrations can also be explained by DOC flux related to physical/chemical
251	properties, hydrology, and land use/land cover within a specific drainage watershed for
252	each lake (Heinz et al., 2015; Wen et al., 2016).
253	[Insert Figure 2 about here]
254	3.2 CDOM absorption

Previous studies have indicated that high salinity could have a direct or indirect impact

Discussion started: 21 August 2018







on water quality, and they highlighted different structures and composition of DOM 256 (Waiser and Robarts, 2000; Song et al., 2013; Zhang et al., 2010). Generally, Helms et 257 al. (2008) and Weishaar et al. (2003) showed that the absorption coefficient a(350) is 258 259 seen as a proxy to characterize CDOM concentration. a(350) absorption coefficients in our study ranged from 0.09-8.45 m<sup>-1</sup> and 0-13.49 m<sup>-1</sup> for brackish and fresh lakes, with 260 mean values of 2.38 ( $\pm$  3.14 SD) m<sup>-1</sup> and 1.74 ( $\pm$  1.99 SD) m<sup>-1</sup>, respectively (Fig. 3). 261 These values were found to be significantly different from each other (ANOVA, 262 p < 0.05). a(254) represents the optical properties of DOC aromaticity, and SUVA<sub>254</sub> (the 263 ratio of a(254) and DOC) can be used to characterize the optical properties of DOC 264 aromaticity (Helms et al., 2008; Spencer et al., 2012). Higher SUVA<sub>254</sub> values are 265 related to allochthonous-dominated sources, having a higher percentage of DOC 266 aromaticity and microbial-dominated substances in DOC; lower SUVA254 values 267 indicate the opposite (Spencer et al., 2012; Weishaar et al., 2003). Mean SUVA<sub>254</sub> values 268 ranged from 1.47 ( $\pm 2.55$  SD) mg C<sup>-1</sup> m<sup>-1</sup> in brackish lakes to 2.29 ( $\pm 1.36$  SD) mg C<sup>-1</sup> 269 m<sup>-1</sup> in fresh lakes (Fig. 2). ANOVA analysis indicated there are significant differences 270 (p<0.05) between SUVA<sub>254</sub> values for brackish and fresh lakes. SUVA<sub>254</sub> values for 271 brackish lakes recorded lower values than those recorded in terminal water on the Inner 272 Mongolia Plateau (Brackish, SUVA<sub>254</sub>=1.90±0.57 mg C<sup>-1</sup> m<sup>-1</sup>; 273 274 SUVA<sub>254</sub>=2.74±1.08 mg C<sup>-1</sup> m<sup>-1</sup>) or in brackish lakes of northeastern China (2.8-5.7 mg C<sup>-1</sup> m<sup>-1</sup>) (Zhao et al., 2016; Wen et al., 2016). In this study, the lower SUVA<sub>254</sub> values 275 in the brackish lakes indicated that aromatic moieties of CDOM in this environment 276 were lower than those in fresh lakes, or other brackish environments in China. These 277 differences are due to the effect of photo-degradation and microbial degradation, with 278 prolonged water residence times. For brackish water lakes, M (E<sub>250</sub>:E<sub>365</sub>) ranged from 6.82 in Dajiacuo Lake (DJC) 280

Biogeosciences Discuss., https://doi.org/10.5194/bg-2018-259 Manuscript under review for journal Biogeosciences Discussion started: 21 August 2018 © Author(s) 2018. CC BY 4.0 License. Biogeosciences

Discussions



281	to 74.7 in Gemangcuo Lake (GMC), having an average value of 28.3± 20.3 (Fig. 3)	
282	across all brackish lakes, Results for M ( $E_{250}$ : $E_{365}$ ) in fresh lakes ranged from 5.7 in	
283	Tongzecuo Lake (TZC) to 89.5 in Lang'angcuo Lake (LAC), having an average value	
284	of $16.27 \pm 20.6$ . This suggests a significant difference (ANOVA, $p$ <0.05) between fresh	
285	and brackish waters in M ( $E_{250}$ : $E_{365}$ ). The spectral $S_{275-295}$ (275–295 nm) was used to	$\overline{\mathcal{L}}$
286	represent DOM molecular weight, with higher values signifying lower average	
<mark>287</mark>	molecular weights of DOC (Helms et al., 2008). Then S <sub>275-295</sub> can be regarded as an	
<mark>288</mark>	indicator for terrestrial DOC percentage (Gonnelli et al., 2013). As shown in Figure 3,	
289	the higher $S_{275-295}$ values $(0.0380 \pm 0.009 \text{ nm}^{-1})$ in brackish lakes than those in presented	$\mathcal{L}$
<mark>290</mark>	in fresh lakes $(0.0324 \pm 0.01 \text{ nm}^{-1}; \text{Fig. 3})$ , indicating lower average molecular weight	
291	of DOM. This result showed a significant difference between fresh and brackish lakes	
292	(ANOVA, p<0.05). This implies that chromophores associated with high molecular	
293	weight were destroyed by chemical bond rupture ow molecular weight pool in the	
294	photolysis process with a prolonged hydraulic retention time and irradiation (McKnight	
295	et al., 2001). The difference in CDOM absorption rameters is probably associated to	
296	spatial variations influencing terrestrial inputs from soil and microbial activities due to	
297	plant decay.	
298	[Insert Figure 3 about here]	
299	3.3 EEM-FRI component	
300	EEM spectra of CDOM referred to the major fluorescent components and location	
301	information identified using the peak-picking method of Coble (1996) and PARAFAC	
	from previous studies (Stedmon et al. 2003; Kowalczuk et al., 2010). Typical EEM	
302		
303	spectra of CDOM for four water samples [منط] ined from brackish and fresh lakes in the	
304	Tibet Plateau are shown in Figure 4 (a-d). Traditional EEM fluorescence peaks, i.e.,	
305	phytoplankton production ('N' peak), tyrosine-like ('B' peak), humic-like ('M' peak)	$\bar{\supset}$

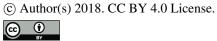
Discussion started: 21 August 2018 © Author(s) 2018. CC BY 4.0 License.





and tryptophan-like ('T' peak) were observed in the 244 EEM spectra (Coble, 1996). 306 According to Chen et al. (2003), EMM-FRI divides the EEM signal into five regions (I, 307 II, III, IV and V; Fig. 3a). In the Tibet Plateau, these regions varied with changes in 308 309 intensity of the five marked fluorescence fractions between brackish lakes and the fresh lakes. EEM-FRI results from the lakes were used to demonstrate CDOM fluorescence 310 311 characteristics. The excitation-emission area volumes  $\varphi_i$  (i = I, II, II, IV, V) and their proportion to total fluorescence intensity  $P_i$  (i= I, II, III, IV, V) for the five different 312 regions are shown in Figure 4 (e and f), respectively. A significant difference (ANOVA 313 p < 0.05) of total fluorescence intensity  $\phi_T$  was observed between the brackish and fresh 314 lakes.  $\phi_T$  ranged from  $1.94 \times 10^8$  nm to  $3.5 \times 10^{10}$  nm for brackish lakes, having an 315 average of  $1.44 \times 10^{10}$  nm ( $\pm 8.1 \times 10^{9}$  SD), and it ranged from  $3.54 \times 10^{8}$  nm to  $3.5 \times 10^{8}$ 316  $10^{10}$  nm for freshwater lakes, with an average of  $1.38 \times 10^{10}$  nm ( $\pm 7.9 \times 10^9$  SD). For 317 both lake types, the area volume of  $\varphi_i$  in the five integrated regions identified by EEM-318 319 FRI were in the order of:  $\varphi_V$  (Humic-like)  $\geq \varphi_{III}$  (Fulvic-like)  $\geq \varphi_{IV}$  (Microbial proteinlike)  $> \varphi_{\rm I}$  (Tyrosine-like) $> \varphi_{\rm II}$  (Tryptophan-like). This result indicates that the 320 allochthonous humic-like and fulvic-like materials are predominate in these DOM, and 321 the content of protein-like materials and phenolic compounds were low. Furthermore, 322 a significant difference for the fluorescence intensities of humic-like φ<sub>V</sub> and fulvic-like 323 φ<sub>III</sub> was found in brackish lakes and fresh lakes (ANOVA, p<0.05). The fluorescence 324 intensities with  $\varphi_V$  accounting for  $P_V = 62.4\%$  ( $\pm 14.6$  SD) in brackish lakes ranged from 325 34.1% in Gemangcuo Lake (GMC) to 96.8% in Chuocuolong Lake (CCL). Then fresh 326 lakes recorded a range of 32.8% (Garencuo Lake; GRC-2) to 87.5% (Cuolongque Lake; 327 CLQ), having an average  $P_V$  of 53.7% ( $\pm 13.0$  SD) is result indicates that humic-like 328 substances both in brackish and fresh lakes dominated fluorescence intensities. In 329 330 addition, the on (fulvic-like) fluorescence intensities also showed a significant





331	difference (ANOVA, $p$ <0.05) between $P_{III}$ in fresh lakes (24.8%; $\pm$ 7.4 SD) and those in
332	brackish lakes (15.9 %; $\pm 8.8$ SD). The fluorescence intensities for $\phi_{IV}$ (microbial
333	protein-like) accounted for a greater proportion in brackish lakes ( $P_{IV}$ of 15.5%; $\pm 8.2$
334	SD) than in fresh lakes (12.5%; $\pm 6.8$ SD). These results demonstrated that the
335	fluorescence intensities of the five components $\varphi_i$ ( $i$ = I, II, IV, V) and the relative
336	proportions to the total fluorescence intensities P <sub>i</sub> ( <i>i</i> = I, II, II, IV, V) differed in brackish
337	and fresh lakes.
338	[Insert Figure 4 about here]
339	3.4 Norm d EEM-FRI components and fluorescence indices
340	With various hydrological, geograph and climatic characteristics, the fluorescence
341	of CDOM components in different lakes shows spatial heterogeness. The water
342	samples collected from each lake were combined to examine spatial variation order
343	to eliminate the influence of spatial heterogeneity, the cumulative volumes beneath th
344	EEM $(\phi_i)$ values were normalized to a DOC concentration of 1 mg/L <sup>-1</sup> . The average
345	normalized total fluorescence intensities $\phi_T$ in brackish lakes was $1.1 \times 10^9$ QSU-nm <sup>2</sup> -
346	[mg L-1 C] ( $\pm 8.8$ SD), with a maximum value of 3.3 $\times 10^9$ QSU-nm <sup>2</sup> -[mg L-1 C] in
347	Gongzhucuo Lake (GZC) and a minimum value of $4.8\times10^7$ QSU-nm <sup>2</sup> -[mg L <sup>-1</sup> C] in
348	Qinghaihu Lake (QHH). Results for the fresh lakes showed that $\phi_{\text{T}}  \text{ranged}$ from 2.1
349	$\times 10^7~QSU\text{-nm}^2\text{-}[mg~L^{\text{-}1}~C]$ in Tongzecuo Lake (TZC) to $9.5\times 10^9~QSU\text{-nm}^2\text{-}[mg~L^{\text{-}1}~C]$
350	in Wurucuo Lake (WRC), having an average $\phi_T$ of $3.3\times10^9$ QSU-nm²-[mg L¹ C] ( $\pm2.6$
351	SD). There was a significant difference of normalized total fluorescence intensities $\phi_T$
352	in brackish and fresh lakes (ANOVA, $p$ <0.001), which is opposite to the non-
353	normalized EEM-FRI result in Figure 4e. This difference may be attributed to DOC
354	accumulation in terminal brackish lakes, having a prolonged hydraulic retention time

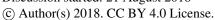
Discussion started: 21 August 2018 © Author(s) 2018. CC BY 4.0 License.





and irradiation, and the presence of a greater volume of colorless DOC (Table S1). By 355 contrast, it can be seen that the inflow rivers of a certain lake generally showed lower 356 DOC concentrations (Fig. S2). Although photochemist e to strong UV-B caused 357 358 the different composition of CDOM, allochthonous substances are important for the accumulation of DOC in brackish lakes. 359 360 In addition, the normalized volumes  $\varphi_i$  in the five integrated regions identified 361 EEM-FRI also presented normalized φ<sub>V</sub> (humic-like), φ<sub>III</sub> (fulvic-like) and φ<sub>IV</sub> (microbial protein-like), these being more predominate in CDOM than  $\varphi_I$  (tyrosine-like) 362 and φ<sub>II</sub> (tryptophan-like). Percentage distributions (P<sub>i</sub>) of EEM-FRI extracted FDOM in 363 brackish and fresh lakes also showed significant differences (ANOVA, p<0.001). 364 365 Normalized humic-like ( $\phi_{\rm V}$ ) and fulvic-like ( $\phi_{\rm III}$ ) were terrestrial sources, accounting for  $P_{\text{III+V}} = 77.7\%$  (±10.1 SD) in brackish lakes and 77.7% (±7.3 SD) in fresh lakes. 366 Protein-like fluorescence, including tyrosine-like and tryptophan-like (φ<sub>I+II</sub>), recorded a 367 greater proportion in brackish water ( $P_{I+II} = 6.47\%$ ;  $\pm 2.6$  SD) than in fresh lakes ( $P_{I+II}$ 368 =22.3% ±4.0 SD) (Fig. 5c and d). Although autochthonous and microbial occupied 369 small proportions of normalized volumes  $\varphi_T$ , FDOM in brackish lakes generally 370 indicated more allochthonous inputs. 371 372 [Insert Figure 5 about here] shown in Fig. 6, the average values of the fluorescence indices  $FI_{370}$  and  $FI_{310}$ 373 introduced by McKnight et al. (2001) were derived to characterize CDOM sources in 374 the Tibet Plateau (Fig. 6). FI<sub>370</sub> in brackish lakes ranged from 0.11 (Peikucuo Lake; 375 PKC) to 1.93 (Chuocuolong Lake; CCL), with a mean value of 0.64 ( $\pm 0.21$  SD);  $FI_{310}$ 376 ranged from 0.58 (PKC) to 1.93 (Gemangcuo Lake; GMC), having a mean value of 377  $1.14 (\pm 0.36 \text{ SD})$ . In contrast, fresh lake results ranged from 0.11 (Taruocuo Lake; TRC) 378 379 to 2.87 (Weizhi-1 Lake; WZ-1), with a mean value of 0.79 ( $\pm$ 0.75 SD) and from 0.57

Discussion started: 21 August 2018







(WZ-1) to 2.38 (La'angcuo Lake; LAC), with a mean value of 1.04 (± 0.43 SD), for 380  $FI_{370}$  and  $FI_{310}$ , respectively. Average  $FI_{370}$  (<1.4) and  $FI_{310}$  (>0.8) in most brackish and 381 fresh lakes indicated that CDOM sources were derived from terrestrial humic-like 382 383 substances and autochthonous biological activity. There may be no differences between  $FI_{310}$  and  $FI_{370}$ , signifying no difference for CDOM sources between brackish and fresh 384 385 lakes (ANOVA, p > 0.05). However, average HIX (3.15 ± 3.5) in fresh lakes showed a higher degree of humification than in brackish lakes (average HIX  $.8 \pm 1.7$ ). 386 [Insert Figure 6 about here] 387 3.5 Pea of normalized EEM-FRI components 388 PCA (principal component analysis) was undertaken to calculate the relative scores of 389 normalized cumulative volume  $\varphi_i$  by EEM-FRI, and to assess the spatial distributions 390 of water samples in brackish and fresh lakes. Our results indicate that PCA factor 1 and 391 factor 2 axes (Fig. 7) could explain 92.8% of total variance, and they account for 66.9% 392 and 25.9%, respectively. The Kaiser-Meyer-Olkin result showed that the statistical 393 magnitude was larger than 0.8, and that the five normalized EEM-FRI fluorescent 394 components exhibited positive factor 1 loadings (Fig. 7a). Factor analysis showed PAC 395 factor 1 and factor 2 to be associated with five cumulative volumes  $\varphi_i$  (i=I, II, III, IV, 396 397 V) in a linear formula. Factor 1 and factor 2 were expressed as: factor  $1 = -0.543 \varphi_{\text{I}} - 1.35 \varphi_{\text{II}} + 0.788 \varphi_{\text{III}} + 0.856 \varphi_{\text{IV}} + 0.98 \varphi_{\text{V}}$ 398 399 factor  $2 = 0.899 \varphi_{I} + 1.78 \varphi_{II} - 0.559 \varphi_{III} - 0.636 \varphi_{IV} - 0.774 \varphi_{V}$ . 400  $\varphi_{III}$  (fulvic-like),  $\varphi_{V}$  (humic-like) and  $\varphi_{IV}$  (microbial protein-like) showed a positive factor 1 loading, and concurrently showed negative factor 2 loading. This correlation 401 402 result indicated that PCA in our study could separate normalized cumulative volume  $\varphi_i$ 403 by EEM-FRI into two groups: Group 1 ( $\varphi_{III}$ , fulvic-like;  $\varphi_{V}$ , humic-like;  $\varphi_{IV}$ , microbial

Discussion started: 21 August 2018 © Author(s) 2018. CC BY 4.0 License.





protein-like) and Group 2 (φ<sub>I</sub>, tyrosine-like; φ<sub>II</sub>, tryptophan-like). This finding was 404 contrary to the results of Zhao et al. (2017), Yao et al. (2011) and Yamashita et al., (2010) 405 from other water bodies. Differences in results from our study and previous 406 407 investigations may be due to the majority of the microbial protein-like fluorescence of CDOM in lakes in our study being derived from terrestrial microbial decomposition. 408 409 The spatial variation of PCA factors 1 and 2 scores for all water samples is shown in 410 Figure 7b. Water samples from brackish lakes were mainly distributed in the range of -1 to 1 for both PCA factor scores. This finding confirms that the contributions of 411 412 allochthonous substances (including microbial protein-like) were obvious in brackish lakes. Differences in FDOM results are likely to be due to spatial variations influencing 413 414 terrestrial inputs from soil and microbial activities from plant decay. However, PCA scores from areas of fresh lakes were sporadic, signifying that normalized cumulative 415 volume  $\varphi_i$  were affected by regional hydrological and geographical lake conditions. 416 417 [Insert Figure 7 about here] 3.6 Correlation analysis of CDOM spectroscopic indices 418 In general, there was strong correlation between tyrosine-like φ<sub>I</sub> and tryptophan-like φ<sub>II</sub> 419 in fresh ( $R^2$ =0.86, N=135; t-test, p<0.01) and brackish lakes ( $R^2$ =0.80, N=109; t-test, 420 421 p<0.01), suggesting that they may have similar sources (Fig. 8a). A moderate correlation between  $\varphi_I$  and microbial protein-like  $\varphi_{IV}$  was observed in brackish lakes 422 423  $(R^2=0.70, N=109; t-\text{test}, p<0.01)$ , and a weak correlation was recorded in fresh lakes 424  $(R^2=0.57, N=135; t\text{-test}, p<0.01)$  (Fig. 8b), demonstrating that parts of the two FRI fluorescent components may have some common sources in brackish lakes. However, 425 426 strong correlations between tryptophan-like  $\varphi_{II}$  and microbial protein-like  $\varphi_{IV}$  were not observed (Fig. 8c), a finding that is consistent with the results of Chen et al. (2003) and 427

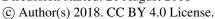
Manuscript under review for journal Biogeosciences

Discussion started: 21 August 2018

428

429

451







430 independent in fresh lakes. Furthermore, EEM can be divided into two groups in the 431 PCA results (Fig. 7), and there was a positive correlation between the total normalized cumulative volume  $\varphi_{\text{III\&IV\&V}}$  and  $\varphi_{\text{I\&II}}$  (R<sup>2</sup> = 0.76, N= 109; t-test, p<0.01) in brackish 432 433 lakes (Fig. 8d). Then a weak correlation in fresh lakes ( $R^2 = 0.54$ , N = 135; t-test, p < 0.540.01). It indicated that the autochthonous substances and they affected by 434 microorganism activity in brackish lakes was not strong. Arts et al. (200 ported that 435 436 increasing salinity could limit the microbial activity by reduce the cell permeability. In addition, moderate correlations between the a(350),  $FI_{370}$  and HIX were observed in the 437 fresh lakes ( $R^2 > 0.66$ , N = 135; t-test, p < 0.01) (Fig. 8 e and f), showing that  $FI_{370}$  and 438 HIX represented similar indications in CDOM sources for most fresh lakes. This result 439 was consistent with the findings of Zhang et al. (2010) and Zhao et al. (2016). In 440 brackish lakes, a(350) and HIX showed a more moderate correlation (R<sup>2</sup>=0.65, N= 109; 441 *t*-test, *p*<0.01). 442 [Insert Figure 8 about here] 443 3.7 Correlation between CDOM and water quality 444 445 Redundancy analysis (RDA) between water quality parameters for the brackish and fresh lakes (Fig. 9) showed that the forward selected environment explanatory variables 446 (CDOM absorption and fluorescence; a(254), a(350), S275-295, SUVA254, M (E250:E365), 447 448  $FI_{310}$ ,  $FI_{370}$ , HIX and  $\varphi_i$  (i=I, II, III, IV, V)), could explain the variability of species variables (water quality parameters; DOC, Chl-a, TN, TP, salinity and turbidity). 449 450 Species-environment correlations of brackish and freshlakes were 0.43 and 0.33, respectively. For brackish lakes (N=109), the first two RDA axes accounted for 86.3%

Zhao et al. (2017). This lack of correlation may be due to the sources of the three protein

fluorescence materials (tyrosine-like, tryptophan-like and microbial protein-like) being





of total water quality parameter variability (axis one, 48 %; axis two, 38.3 %). 452 Coefficients between environmental variables with RDA axes indicated that a(254), 453 a(350) and HIX were correlated with CDOM, followed by M(E<sub>250</sub>:E<sub>365</sub>) and S<sub>275-295</sub>. For 454 455 the fresh lakes (N=135), the first two RDA axes accounted for 82.4 % of total variability (axis one, 66.7 %; axis two, 15.7 %). a(254), HIX and a(350) were correlated with water 456 457 quality, followed by  $FI_{370}$  and  $\varphi_{IV}$ . The CDOM absorption a(254) can generally characterize DOC aromaticity and CDOM concentration (Baker, 2001). 458 [Insert Figure 9 about here] 459 In addition, regression analysis was undertaken between DOC concentration and 460 normalized cumulative volume  $\varphi_i$  (i=I, II, III, IV, V) for all water samples (Table 2). 461 Salinity of the brackish lakes was divided into four parts: salinity >19% (average EC 462 23764 µs cm<sup>-1</sup>), salinity >7‰ (average EC 10945 µs cm<sup>-1</sup>), salinity >2‰ (average EC 463 5708 µs cm<sup>-1</sup>) and salinity <1‰ (average EC 2119 µs cm<sup>-1</sup>). Salinity <1‰ was 464 consistent with that of fresh lakes (average EC 586 µs cm<sup>-1</sup>). There were moderately 465 strong negative correlations between the normalized cumulative volume  $\varphi_i$  (*i*=I, II, IV, 466 V) and DOC concentration, with R<sup>2</sup> ranging from 0.51 to 0.73. This result suggests that 467 parts of the FDOM components and DOC potentially derived from common sources in 468 brackish lakes (salinity >19% or averaged EC 23764µs cm<sup>-1</sup>). In particular, R<sup>2</sup> values 469 showed a consistent decreasing tendency with salinity (EC), suggesting that DOC with 470 high salinity (EC) was dominant with allochthonous substances. The link of the five 471 FDOM components to DOC was complicated due to various hydrological, geographical and climatic characteristics. 473 [Insert Table 2 about here] 474 4. Discussion C 475

Discussion started: 21 August 2018 © Author(s) 2018. CC BY 4.0 License.

500





# 4.1 The effect of EC/salinity 476 Previous studies have reported that DOC concentrations in inland waters showed a 477 decreased tendency with the prolongation of water residence times in humid regions 478 due to prolonged photobleaching and possible dilution (Curtis and Adams, 1995; 479 Spencer et al., 2012). For lakes in the study area having a long retention period (Table 480 S1), brackish lakes were found to have higher DOC concentrations (35.69 $\pm$ 43.52 mg 481 $(L^{-1})$ compared with fresh lakes (7.94 ± 12.05 mg $(L^{-1})$ ) (Table 1). Substantial variations 482 483 for both DOC and CDOM spectroscopic parameters were also observed between the fresh and brackish lakes (ANOVA, p<0.05) (Table 1 and Fig. 3). Previous investigations 484 have attributed this pattern to evapo-concentrated and accumulation processes in semi-485 486 arid regions (Twardowski and Donaghay, 2002; Song et al., 2013; Wen et al., 2016). However, the affined characteristics of brackish lakes in our study area could be due to 487 a weak connection between salinity (EC) and DOC (un-exhibited; $R^2=0.3$ , t-test, 488 489 p<0.01). Comparably, opposite results from brackish lakes in the northeastern plain $(R^2 = 0.93, p < 0.01;$ Zhao et al., 2016) and on the Inner Mongolia Plateau $(R^2 = 0.72,$ 490 491 p<0.01; Wen et al., 2016) have been previously noted. Generally, organic carbon with 492 different sources (allochthonous or autochthonous) and composition may result in different relationships existing between DOC and salinity (EC) (Spencer et al., 2012). 493 This indicated that regional hydrogeological and climatic conditions may play at 494 495 important role in driving DOC variability in brackish lakes. Although the lakes in the study area have high spatial heterogeneity, decreasing 496 497 salinity generally showed a consistent tendency of DOC concentrations (Fig. 2b). Furthermore, salinity was divided into four groups (>19%; >7%; >2%; >1%) in 498 brackish lakes (Table 2). The normalized cumulative volume $\varphi_i$ ( $\varphi_I$ , $\varphi_{II}$ , $\varphi_{IV}$ and $\varphi_V$ ) of 499

water samples with a salinity >19% (average EC 23764µs cm <sup>-1</sup>) by EEM-FRI showed

Discussion started: 21 August 2018 © Author(s) 2018. CC BY 4.0 License.

501

502

503

504

505

506

507

508

509

510

511

512

513

514

515

516

517

518

519

520

521

522

523

524

525





moderate correlations with DOC concentrations (R<sup>2</sup> ranged from 0.52 to 0.73). R<sup>2</sup> correlation values showed a consistent decreasing tendency with salinity or EC. Based on previous research which showed brackish lakes to always contain higher concentrations of DOC than freshwater lakes in arid regions, this result may reflect water residence times and DOM accumulation. DOM, along with other nutrients, could accumulate via soil leaching and runoff passing through various landscapes (Song et al., 2013). These DOM could be available for the microorganisms and sink to the bottom, or be transformed into inorganic carbon (including CO<sup>2</sup>) (Cole et al., 2007; Tranvik et al., 2009). Increasing salinity (EC) could increase DOM solubility, resulting in an impact on microbial activity due to a decrease of osmotic potential (Mavi et al., 2012). Likewise, saturating small humic-like molecules formed colloidal particles which could continue to form macromolecular structures (globular aggregates and ring-like) in high ionic strength environments (Chin et al., 1998; Myneni et al., 1999; Zhao et al., 2016). Therefore, DOC accumulates in brackish (terminal) waters at significantly higher rates than those in fresh (open) waters (Duarte et al., 2005; Song et al., 2013). A higher humic-like averaged percentage (P<sub>V</sub> 60.2%) by normalized EEM-FRI was presented in brackish lakes compared with freshwater lakes (51.8%) (Fig. 5), signifying a greater formation of macromolecular structures of humic-like substances. These processes could account for DOC and nutrients accumulating in terminal brackish lakes. RDA results also indicated that environmental variables (CDOM absorption and fluorescence) showed a relatively more positive correlation with water quality in brackish lakes than in fresh lakes (Fig. 9). Zhao et al. (2016) reported that the formed macromolecular structures of humic-like substances in brackish aquatic environments can regulate the solubility of heavy metals and organic pollutants in water. For areas of brackish lakes in the study site, elevated DOC concentrations could be

Discussion started: 21 August 2018 © Author(s) 2018. CC BY 4.0 License.

527





attributed to evapo-concentration and accumulation due to long residence times.

#### 4.2 The effect of solar radiation/ elevation

In these synchronous processes (arid environment, terminal lakes and terrestrial inputs), 528 it is also important to highlight that these lakes receive higher levels of ultraviolet 529 radiation due to increasing altitude and a thin atmosphere compared to other studies 530 (Ren et al., 1997) (Fig. 1c). These attributes result in increased exposure to sunlight, an 531 increase in water residence times and strong UV radiation with an increase of altitude. 532 These factors may have an important influence on the photochemical oxidation 533 processes of DOC/CDOM and the mineralization of DOC (Duarte et al., 2005; Tobias 534 and Bohlke, 2011). Among the 63 lakes (N=224), the average M( $E_{250}$ :  $E_{365}$ ) (28.3±20. 535  $S_{275-295}$  (0.0380 ± 0.009 nm<sup>-1</sup>) and SUVA<sub>254</sub> (1.47 ± 2.55 mg C<sup>-1</sup> m<sup>-1</sup>) in the brackish 536 lakes (N=109) were distinctly different from fresh lake results (N=135): a(350) (1.74 ± 537  $(1.99 \text{ m}^{-1})$ , M(E<sub>250</sub>:E<sub>365</sub>) (16.27 ± 20.6), S<sub>275-295</sub> (0.0324 ± 0.01 nm<sup>-1</sup>) and SUVA<sub>254</sub> (2.29) 538 ±1.36), respectively. This pattern is similar to that reported by Boehme et al. (2004) in 539 540 the Gulf of Mexico. In contrast with previous research indicating that brackish (terminal) lakes always contain terrestrial DOM accumulation, our results show that they were 541 provided with low aromatic moieties of CDOM and average molecular weight of DOC 542 compared within fresh lakes (Helms et al., 2008; Gonnelli et al., 2013). Results also 543 highlighted significant differences in total fluorescence intensities  $\phi_T$  between brackish 544 lakes  $(1.44 \times 10^{10} \pm 8.1 \times 10^{9} \text{ nm})$  and fresh lakes  $(1.38 \times 10^{10} \pm 7.9 \times 10^{9} \text{ nm})$  (ANOVA 545 p < 0.001) (Fig. 5 and Fig. 6), respectively. However, we found that the average 546 normalized total fluorescence intensities  $\varphi_T$  between brackish lakes  $(1.1 \times 10^9 \pm 8.8 \text{ QSU})$ 547  $nm^2$ -[mg L<sup>-1</sup>C]) and fresh lakes  $(3.3\times10^9\pm2.6 \text{ QSU-nm}^2$ -[mg L<sup>-1</sup>C]) showed opposite 548 vitiation tendency when the  $\varphi_T$  was normalized to a DOC concentration of 1 mg L<sup>-1</sup>. 549 This finding may account for relatively higher colorless DOC present in the brackish 550

© Author(s) 2018. CC BY 4.0 License.





lakes compared with the fresh lakes, a finding linked to solar radiation and prolonged 551 hydraulic retention time (Table S1). 552 In order to evaluate the influence of solar irradiance to CDOM optical 553 characteristics (Fig. 10), solar irradiance was divided into three groups (>2900 h; >2800 554 h; >2700 h) based on the consistent result of decreasing tendency between elevation 555 556 (solar radiation) and DOC concentration (Fig. 2). Strong solar radiance and time could accelerate chromophores associated with high molecular weight being destroyed by 557 chemical bond rupture into low molecular weight pools in photolysis processes with a 558 559 prolonged hydraulic retention time and intensive solar radiation (McKnight et al., 2001). However, for water samples with a solar radiance >2900 h (averaged solar irradiance), 560 DOC recorded a moderate positive correlation with a(254) concentrations ( $R^2 = 0.73$ , t-561 test, p < 0.01), and a correlation with  $FI_{370}$  ( $R^2 = 0.50$ , t-test, p < 0.01). This indicated that, 562 in areas of the Tibet Plateau with intensive UV-B radiation (solar irradiance, >2900 h), 563 parts of the colored DOM (mainly from allochthonous inputs) have similar sources with 564 DOC. In addition, the PCA result of normalized cumulative volume φ<sub>i</sub> by EEM-FRI in 565 this study exhibited that  $\phi_{IV}$  (microbial protein-like) was consistent with  $\phi_{V}$  (humic-like) 566 and  $\varphi_{III}$  (fuvic-like), signifying that they have common sources (Fig. 7). A positive 567 correlation between the total normalized cumulative volume φ<sub>III&IV&V</sub> and φ<sub>I&II</sub> (R<sup>2</sup>= 568 0.76, N=109; t-test, p<0.01) in brackish lakes also demonstrated that microbial protein-569 570 like FDOM in these lakes had high DOC concentrations associated with products from terrestrial microbial decomposition (Fig. 8d). Zhang et al. (2013) identified correlations 571 between total bacterial community structure and altitude in Tibet, and they did not found 572 573 more microorganism usually dominate in other lake environments, even though a relative high average percentage of  $P_{\text{IV}}$  (brackish 15.8%; freshwater 13.3%) were 574 575 identified for normalized cumulative volume (Fig. 5). In the Tibet Plateau, intensive

Manuscript under review for journal Biogeosciences

Discussion started: 21 August 2018

576

577

578

579

580

581

582

583

584

585

586

587

588

589

590

591

592

593

594

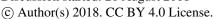
595

596

597

598

599







solar radiance has the potential to enhance photochemical degradation of allochthonous CDOM and high molecular weight CDOM, resulting in an increase in absorption parameters with the production of low molecular weight CDOM. These findings are contrary to those recorded from rivers in intermontane plateaus in the USA (Spencer et al., 2012), lakes in the Songnen Plain, China (Song et al., 2013), Hulun Lake, China (Wen et al., 2016) and basin rivers in China (Zhao et al., 2016). In arid and semi-arid regions, brackish lakes commonly support highly active biological communities which can actively break down refractory organic matter into DOC and accumulate in waters (Wen et al., 2016). However, due to strong UV-B radiation and terminal lakes in the Tibet Plateau, long sunlight duration may result in photobleaching of CDOM which will limit microbial activities and increase mineralization of DOC (Granéli et al., 1996; Duarte et al., 2005). These Characteristics characters result in CDOM and DOC in brackish lakes in the study area being similar to that in marine environments. Zhang et al. (2013) reported that the majority of lakes in Tibet were affiliated with SAKTI-III clade, similar to observations from Chesapeake Bay bacterio plankton. These findings show that solar radiation has a non-negligible effect on CDOM photo-absorption characteristics, and that it contributes to DOC variability and fate. In addition, a comparatively prolonged hydraulic retention time (Duarte et al., 2005) and terrestrial allochthonous inputs could cause higher DOC production and accumulation.

#### [Insert Figure 10 about here]

## 4.3 Effects of land-cover variation on lakes

Land-cover types within and around each lake affect soil runoff and leaching, having an important effect on CDOM inputs and nutrient levels. These effects result in obvious differences in physicochemical properties between the lakes (Bai et al., 2008; Heinz et

© Author(s) 2018. CC BY 4.0 License.





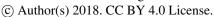
al., 2015; Song et al., 2013). In particular, for water samples dominated by 600 allochthonous substances in terminal lakes, spatial variations influenced terrestrial 601 602 inputs from soil and microbial activities due to plant decay. The land-cover in the basin 603 can also affect CDOM components and FDOM with similar climatic and hydrological conditions. In order to acquire the integrated land-cover area of each basin, 20 basins 604 605 (B1-B20) were extracted using a 30 m resolution DEM (Digital Elevation Model; http://www.gscloud.cn/). The proportion of different land use types to total basin area 606 is shown in Figure S2. In the Tibet Plateau, grass with plentiful organic-rich ecosystems 607 608 were the major land-cover types, accounting for amounts of total basin area (Fig. S2). CDOM optical parameters of lake samples in each basin were averaged to analyze the 609 influence of land-cover, results showing a moderate correlation between DOC and 610 normalized humic-like  $\varphi_V$  for 20 basins (R<sup>2</sup>=0.54, *t*-test, *p*<0.01; Fig. 11). Due to the 611 grass area accounting for amounts of basins (Fig. S3), normalized  $\varphi_{III}$ ,  $\varphi_{IV}$  and  $\varphi_{V}$  in 612 basins with large grass areas (average area 14876 km<sup>2</sup>; N=10 basins) exhibited higher 613 values than in basins with small grass areas (averaged area 1976 km<sup>2</sup>; N=10 basins) 614 (ANOVA, p<0.05). Similar results were also found for forest and unused land, although 615 they accounted for small proportions of total area (Fig. 11). The Tibet Plateau is located 616 in an arid climatic zone with low rainfall, and the impoundment of lakes mainly depends 617 on surface runoff. Grasslands and forests where characterized by high nitrogen and 618 organic matter export rates (Bai et al., 2008; Heinz et al., 2015). High DOC 619 concentrations in the lake waters highlights the organic-rich nature of these ecosystems 620 (Zheng et al., 2015). As a result of climatic and geographical conditions, these 621 environment factors may change the optical characteristic of CDOM and water quality 622 in the Tibet Plateau. 623

624

Manuscript under review for journal Biogeosciences

Discussion started: 21 August 2018

625







#### 5. Conclusions

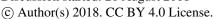
Little is currently known about CDOM fluorescence and its relationship with water 626 quality in lakes across the Tibet Plateau. This area has a unique environmental condition 627 with strong ultraviolet radiation and low anthropogenic impact. In this study, EEM-FRI 628 629 was applied to characterize CDOM from 63 lakes (N=244) under spatial variation between brackish lakes (salinity>1%) and fresh lakes (salinity<1%). Significant 630 631 differences of CDOM absorption parameters, normalized  $\phi_T$  and DOC concentrations were found between the two lake types (ANOVA, p<0.05), indicating lower average 632 molecular weight of DOM in brackish lakes. 633 Although the terrestrial component ( $\varphi_{III}$  and  $\varphi_{V}$ ) accounted for large amounts of 634 fluorescence, PCA results indicated that the majority of microbial protein-like 635 fluorescence  $\phi_{IV}$  of CDOM in the lakes derived from terrestrial microbial 636 decomposition products. This was attributed to DOC accumulation in terminal brackish 637 lakes having a prolonged hydraulic retention time and solar radiation. In addition, 638 correlations between average DOC concentrations and a(254) in annual total sunshine 639 hours > 2900 h or salinity > 19\% (averaged EC, 23764\mus cm<sup>-1</sup>) were identified, while 640 R<sup>2</sup> values of regression analysis had a decreasing tendency with sunshine hours and 641 salinity, respectively. Findings from our study also demonstrated that CDOM 642 components were affected by spatial variation in land-cover (mainly grass) (ANOVA, 643 p<0.05), with a moderate relationship between average normalized  $\varphi_V$  and DOC 644 concentration from 20 basins ( $R^2=0.54$ , t-test, p<0.01). These results demonstrate that 645 salinity, solar hours and land-cover may contribute to CDOM and DOC properties. The 646 EEM-FRI method was also shown to be very useful for evaluating the spatial dynamics 647 of FDOM components. 648

#### Acknowledgments

649

Manuscript under review for journal Biogeosciences

Discussion started: 21 August 2018







The research was jointly supported by the "One Hundred Talents" program from 650 Chinese Academy of Sciences, and the National Natural Science Foundation of China 651 (41730104). The authors thank all staff in Northeast Institute of Geography and 652 653 agricultural ecology of Chinese Academy of Sciences for their persistent assistance with both field sampling and laboratory analysis. The authors also would like to thank 654 655 anonymous reviewers for their instructive and valuable comments that really 656 strengthened this manuscript. 657 References 658 APHA, AWWA and WEF,: Standard Methods for the Examination of Water and 659 Wastewater, American Public Health Association, Washington DC, 1998. 660 Arts, M. T., Robarts, R. D., Kasai, F., Waiser, M. J., Tumber, V. P., Plante, A. J., Rai, 661 H., and Lange, H. J.: The attenuation of ultraviolet radiation in high dissolved 662 organic carbon waters of wetlands and lakes on the northern Great Plains, 663 Limnology and Oceanography, 45(2), 292-299, 664 https://doi.org/10.4319/lo.2000.45.2.0292, 2000. 665 Baker, A.: Fluorescence excitation-Emission matrix characterization of some sewage-666 impacted rivers, Environmental Science & Technology, 35(5), 948-953, 667 https://doi.org/10.1021/es000177t, 2001. 668 Battin, T. J., Luyssaert, S., Kaplan, L. A., Aufdenkampe, A. K., Richter, A., and Tranvik, 669 L. J.: The boundless carbon cycle, Nature Geoscience, 2(9), 598, 670 https://doi.org/10.1038/ngeo618, 2009. 671 Boehme, J., Coble, P., Conmy, R., and Stovall-Leonard, A.: Examining CDOM 672





fluorescence variability using principal component analysis: seasonal and regional 673 modeling of three-dimensional fluorescence in the Gulf of Mexico, Marine 674 675 Chemistry, 89(1-4), 3-14, https://doi.org/10.1016/j.marchem.2004.03.019, 2004. Carlson, C. A., Hansell, D. A., and Tamburini, C. (Eds): DOC persistence and its fate 676 after export within the ocean interior, Microbial Carbon Pump in the Ocean, Jiao 677 N, Azam F, Sanders S, Washington DC, 57–59, 2011. 678 Chen, W., Westerhoff, P., Leenheer, J. A., and Booksh, K.: Fluorescence excitation-679 emission matrix regional integration to quantify spectra for dissolved organic 680 37(24), 5701-5710. Environmental science technology, 681 matter, https://doi.org/10.1021/es034354c, 2003. 682 Chin, W. C., Orellana, M. V., and Verdugo, P.: Spontaneous assembly of marine 683 dissolved organic matter into polymer gels, Nature, 391(6667), 568, 684 685 https://doi.org/10.1038/35345, 1998. Cole, J. J., Prairie, Y. T., Caraco, N. F., McDowell, W. H., Tranvik, L. J., Striegl, R. G., 686 Duarte, C. M., Kortelainen, P., Downing, J. A., Middelburg, J. J., and Melack, J.: 687 Plumbing the global carbon cycle: integrating inland waters into the terrestrial 688 carbon budget, Ecosystems, 10(1), 172-185. https://doi.org/10.1007/s10021-006-689 690 9013-8, 2007. Coble, P. G.: Characterization of marine and terrestrial DOM in seawater using 691 excitation-emission matrix spectroscopy, Marine chemistry, 51(4), 325-346, 692 https://doi.org/10.1016/0304-4203(95)00062-3, 1996. 693 Curtis, P. J., and Adams, H. E.: Dissolved organic matter quantity and quality from 694 freshwater and saltwater lakes in east-central Alberta, Biogeochemistry, 30(1), 59-695 76, https://doi.org/10.1007/BF02181040, 1995. 696





Duarte, C. M., and Prairie, Y. T.: Prevalence of heterotrophy and atmospheric CO<sub>2</sub> 697 emissions from Ecosystems, 8(7), 862-870, 698 aquatic ecosystems, https://doi.org/10.1007/s10021-005-0177-4, 2005. 699 Falkowski, P., Scholes, R. J., Boyle, E., Canadell, J., Canfield, D., Elser, J., Gruber, N., 700 Hibbard, K., Högberg, P., Linder, S., Mackenzie, F. T., Moore III, B., Pedersen, 701 T., Rosenthal, Y., Seitzinger, S., Smetacek, V., and Steffen, W.: The global carbon 702 703 cycle: a test of our knowledge of earth as a system, science, 290(5490), 291-296, 704 https://doi.org/10.1126/science.290.5490.291, 2000. Gonnelli, M., Vestri, S., and Santinelli, C.: Chromophoric dissolved organic matter and 705 microbial enzymatic activity. A biophysical approach to understand the marine 706 carbon Biophysical chemistry, 182, 79-85, 707 cycle, 708 https://doi.org/10.1016/j.bpc.2013.06.016, 2013. 709 Granéli, W., Lindell, M., and Tranvik, L.: Photo-oxidative production of dissolved inorganic carbon in lakes of different humic content, Limnology and 710 Oceanography, 41(4), 698-706, https://doi.org/10.4319/lo.1996.41.4.0698, 1996. 711 Hedges, J. I.: Global biogeochemical cycles: progress and problems, Marine 712 chemistry, 39(1-3), 67-93, https://doi.org/10.1016/0304-4203(92)90096-S, 1992. 713 Heinz, M., Graeber, D., Zak, D., Zwirnmann, E., Gelbrecht, J., and Pusch, M. T.: 714 Comparison of organic matter composition in agricultural versus forest affected 715 716 headwaters with special emphasis on organic nitrogen, Environmental science & technology, 49, 2081-2090, https://doi.org/10.1021/es505146h, 2015. 717 Helms, J. R., Stubbins, A., Ritchie, J. D., Minor, E. C., Kieber, D. J., and Mopper, K.: 718 Absorption spectral slopes and slope ratios as indicators of molecular weight, 719 source, and photobleaching of chromophoric dissolved organic matter, Limnology 720





- and Oceanography, 53(3), 955-969, https://doi.org/10.4319/lo.2008.53.3.0955,
- 722 2008.
- Huguet, A., Vacher, L., Relexans, S., Saubusse, S., Froidefond, J.M., and Parlanti, E.:
- Properties of fluorescent dissolved organic matter in the Gironde Estuary, Organic
- 725 Geochemistry, 40(6), 706-719, https://doi.org/10.1016/j.orggeochem.2009.03.002,
- 726 2009.
- Kowalczuk, P., Cooper, W. J., Durako, M. J., Kahn, A. E., Gonsior, M., and Young, H.:
- 728 Characterization of dissolved organic matter fluorescence in the South Atlantic
- 729 Bight with use of PARAFAC model: Relationships between fluorescence and its
- 730 components, absorption coefficients and organic carbon concentrations, Marine
- 731 Chemistry, 118(1-2), 22-36, https://doi.org/10.1016/j.marchem.2009.10.002,
- 732 2010.
- 733 Jiao, N., Herndl, G. J., Hansell, D. A., Benner, R., Kattner, G., Wilhelm, S. W.,
- Kirchman, D. L., Weinbauer, M. G., Luo, T., Chen, F., and Azam, F.: Microbial
- 735 production of recalcitrant dissolved organic matter: long-term carbon storage in
- the global ocean, Nature Reviews Microbiology, 8(8), 593,
- 737 https://doi.org/10.1038/nrmicro2386, 2010.
- 738 Lawaetz, A. J., and Stedmon, C. A.: Fluorescence intensity calibration using the Raman
- scatter peak of water, Applied spectroscopy, 63(8), 936-940,
- 740 https://doi.org/10.1366/000370209788964548, 2009.
- Liu, X., and Chen, B.: Climatic warming in the Tibetan Plateau during recent decades,
- 742 International journal of climatology, 20(14), 1729-1742,
- 743 https://doi.org/10.1002/1097-0088(20001130)20:14<1729::AID-
- 744 JOC556>3.0.CO;2-Y, 2000.





- Mavi, M. S., Marschner, P., and Chittleborough, D. J.: Salinity and sodicity affect soil
- 746 respiration and dissolved organic matter dynamics differentially in soils varying
- in texture, Soil biology and biochemistry, 45, 8-13,
- 748 https://doi.org/10.1016/j.soilbio.2011.10.003, 2012.
- 749 McKnight, D. M., Boyer, E. W., Westerhoff, P. K., Doran, P. T., Kulbe, T., and
- 750 Andersen, D. T.: Spectrofluorometric characterization of dissolved organic matter
- 751 for indication of precursor organic material and aromaticity, Limnology and
- 752 Oceanography, 46(1), 38-48, https://doi.org/10.4319/lo.2001.46.1.0038, 2001.
- 753 Myneni, S. C. B., Brown, J. T., Martinez, G. A., and Meyer-Ilse, W.: Imaging of humic
- substance macromolecular structures in water and soils, Science, 286(5443),
- 755 1335-1337, https://doi.org/10.1126/science.286.5443.1335, 1999.
- 756 Qin, J., Yang, K., Liang, S., and Guo, X.: The altitudinal dependence of recent rapid
- varming over the Tibetan Plateau, Climatic Change, 97(1-2), 321,
- 758 https://doi.org/10.1007/s10584-009-9733-9, 2009.
- 759 Ran, L. S., X. X. Lu, H. G. Sun, Han, J. T., Li, R. H., and Zhang, J.M.: Spatial and
- seasonal variability of organic carbon transport in the Yellow River, China,
- Journal of Hydrology, 498, 76-88, https://doi.org/10.1016/j.jhydrol.2013.06.018,
- 762 2013.
- 763 Ren, P. B. C., Sigernes, F., and Gjessing, Y.: Ground-based measurements of solar
- 764 ultraviolet radiation in Tibet: Preliminary results, Geophysical research
- 765 letters, 24(11), 1359-1362, https://doi.org/10.1029/97GL01319, 1997.
- 766 Singh, S., D'Sa, E. J., and Swenson, E. M.: Chromophoric dissolved organic matter
- 767 (CDOM) variability in Barataria Basin using excitation–emission matrix (EEM)





- fluorescence and parallel factor analysis (PARAFAC), Science of the total 768
- environment, 408(16), 3211-3222, 769
- https://doi.org/10.1016/j.scitotenv.2010.03.044, 2010. 770
- Song, K. S., Zang, S. Y., Zhao, Y., Li, L., Du, J., Zhang, N. N., Wang, X. D., Shao, T. 771
- T., Guan, Y., and Liu, L.: Spatiotemporal characterization of dissolved carbon for 772
- inland waters in semi-humid/semi-arid region, China, Hydrology and Earth 773
- System Sciences, 17(10), 4269-4281, https://doi.org/10.5194/hess-17-4269-2013, 774
- 2013. 775
- Song, K., Wang, M., Du, J., Yuan, Y., Ma, J. H., Wang, M., and Mu, G. Y.: 776
- Spatiotemporal variations of lake surface temperature across the Tibetan Plateau 777
- 778 using **MODIS** LST product, Remote Sensing, 8(10), 854,
- 779 https://doi.org/10.3390/rs8100854, 2016.
- 780 Spencer, R. G., Butler, K. D., and Aiken, G. R.: Dissolved organic carbon and
- chromophoric dissolved organic matter properties of rivers in the USA, Journal of 781
- Research: Biogeosciences, 117(G3), G03001, 782 Geophysical
- https://doi.org/10.1029/2011JG001928, 2012. 783
- Stedmon, C. A., Markager, S., and Bro, R.: Tracing dissolved organic matter in aquatic 784
- 785 environments using a new approach to fluorescence spectroscopy, Marine
- 786 Chemistry, 82(3-4), 239-254, https://doi.org/10.1016/S0304-4203(03)00072-0,
- 787 2003.
- Tranvik, L. J., Downing, J. A., Cotner, J. B., Loiselle, S. A., Striegl, R. G., Ballatore, 788
- T. J., Dillon, P., Finlay, K., Fortino, K., Knoll, L. B., Kortelainen, P. L., Kutser, 789
- 790 T., Larsen, S., Laurion, I., Leech, D. M., McCallister, S. L., McKnight, D. M.,
- Melack, J. M., Overholt, E., Porter, J. A., Prairie, Y., Renwick, W. H., Roland, F., 791





Sherman, B. S., Schindler, D. W., Sobek, S., Tremblay, A., Vanni, M. J., 792 Verschoor, A. M., Wachenfeldt, E. V., and Weyhenmeyer, G. A.: Lakes and 793 reservoirs as regulators of carbon cycling and climate, Limnology and 794 795 Oceanography, 54(6part2), 2298-2314, https://doi.org/10.4319/lo.2009.54.6\_part\_2.2298, 2009. 796 Tobias, C., and Böhlke, J. K.: Biological and geochemical controls on diel dissolved 797 inorganic carbon cycling in a low-order agricultural stream: Implications for reach 798 799 scales and beyond, Chemical Geology, 283(1-2),18-30, 800 https://doi.org/10.1016/j.chemgeo.2010.12.012, 2011. Twardowski, M. S., and Donaghay, P. L.: Photobleaching of aquatic dissolved 801 materials: Absorption removal, spectral alteration, and their interrelationship, 802 803 Journal of Geophysical Research: Oceans, 107(C8), 6-1-6-12, https://doi.org/10.1029/1999JC000281, 2002. 804 Waiser, M. J., and Robarts, R. D.: Changes in composition and reactivity of 805 allochthonous DOM in a prairie saline lake, Limnology and Oceanography, 45(4), 806 763-774, https://doi.org/10.4319/lo.2000.45.4.0763, 2000. 807 Weishaar, J. L., Aiken, G. R., Bergamaschi, B. A., Fram, M. S., Fujii, R., and Mopper, 808 809 K.: Evaluation of specific ultraviolet absorbance as an indicator of the chemical composition and reactivity of dissolved organic carbon, Environmental science & 810 811 technology, 37(20), 4702-4708, https://doi.org/10.1021/es030360x, 2003. Wen, Z. D., Song, K. S., Zhao, Y., Du, J., and Ma, J. H.: Influence of environmental 812 factors on spectral characteristics of chromophoric dissolved organic matter 813 814 (CDOM) in Inner Mongolia Plateau, China, Hydrology and Earth System Sciences, 20(2), 787, https://doi.org/10.5194/hess-20-787-2016, 2016. 815





Yao, X., Y. L. Zhang, G. W. Zhu, Qin, B. Q., Feng, L. Q., Cai, L. L., and Gao, G.: 816 Resolving the variability of CDOM fluorescence to differentiate the sources and 817 818 fate of DOM in Lake Taihu and its tributaries, Chemosphere, 82, 145-155, 819 https://doi.org/10.1016/j.chemosphere.2010.10.049, 2011. Yamashita, Y., Maie, N., Briceno, H., and Jaffé, R.: Optical characterization of 820 dissolved organic matter in tropical rivers of the Guayana Shield, Venezuela, 821 822 Journal of Geophysical Research: Biogeosciences, 115(G1), https://doi.org/10.1029/2009JG000987, 2010. 823 Zhang, R., Wu, Q., Piceno, Y. M., Desantis, T. Z., Saunders, F. M., Andersen, G. L., 824 and Liu, W. T.: Diversity of bacterioplankton in contrasting Tibetan lakes revealed 825 by high-density microarray and clone library analysis, FEMS microbiology 826 827 ecology, 86(2), 277-287, https://doi.org/10.1111/1574-6941.12160, 2013. 828 Zhang, G., Xie, H., Kang, S., Yi, D., and Ackley, S. F.: Monitoring lake level changes on the Tibetan Plateau using ICESat altimetry data (2003–2009), Remote Sensing 829 of Environment, 115(7), 1733-1742, https://doi.org/10.1016/j.rse.2011.03.005, 830 2011. 831 Zhang, Y., Yin, Y., Feng, L., Zhu, G., Shi, Z., Liu, X., and Zhang, Y.: Characterizing 832 833 chromophoric dissolved organic matter in Lake Tianmuhu and its catchment basin using excitation-emission matrix fluorescence and parallel factor analysis, Water 834 835 research, 45(16), 5110-5122, https://doi.org/10.1016/j.watres.2011.07.014, 2011. Zhang, Y. L., E. L. Zhang, Y. Yin, Dijk, M. A.V., Feng, L. Q., Shi, Z. Q., Liu, M. L., 836 and Qin, B. Q.: Characteristics and sources of chromophoric dissolved organic 837 matter in lakes of the Yungui Plateau, China, differing in trophic state and altitude, 838 Limnology Oceanography, 55, 2645-2659, 839 and

Discussion started: 21 August 2018 © Author(s) 2018. CC BY 4.0 License.





840	https://doi.org/10.4319/lo.2010.55.6.2645, 2010.
841	Zhao, Y., Song, K., Shang, Y., Shao, T., Wen, Z., and Lv, L.: Characterization of
842	CDOM of river waters in China using fluorescence excitation-emission matrix and
843	regional integration techniques, Journal of Geophysical Research: Biogeosciences,
844	122(8): 1940-1953, https://doi.org/10.1002/2017JG003820, 2017.
845	Zhao, Y., Song, K., Wen, Z., Li, L., Zang, S., Shao, T., Li, S., and Du, J.: Seasonal
846	characterization of CDOM for lakes in semiarid regions of Northeast China using
847	excitation-emission matrix fluorescence and parallel factor analysis (EEM-
848	PARAFAC), Biogeosciences, 13(5), 1635-1645, https://doi.org/10.5194/bg-13-
849	1635-2016, 2016.
850	Zhou, Y., Jeppesen, E., Zhang, Y., Shi, K., Liu, X., and Zhu, G.: Dissolved organic
851	matter fluorescence at wavelength 275/342 nm as a key indicator for detection of
852	point-source contamination in a large Chinese drinking water lake, Chemosphere,
853	144, 503-509, https://doi.org/10.1016/j.chemosphere.2015.09.027, 2016.
854	
855	
856	
857	



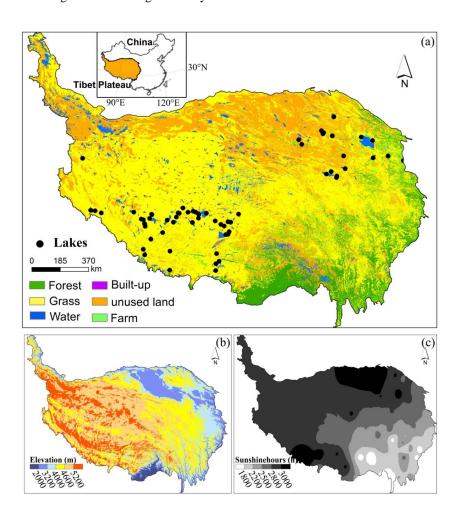


859

860

861

Figure 1 (a) Map of sampling locations from lakes in Tibet Plateau with various land use/land cover types; (b) the elevation (m) of Tibet Plateau; (c) sunshine duration characteristics for the Tibet Plateau. The total sunshine hours in 2016 were from China meteorological data sharing service system.







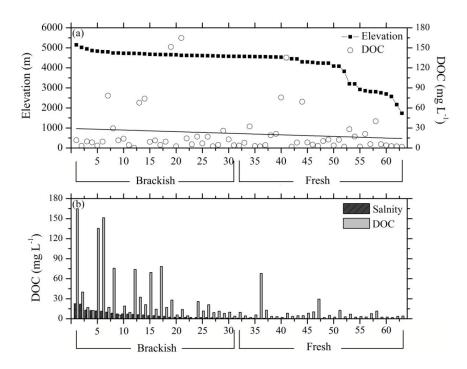
864

865

866

867

**Figure 2** The DOC, salinity and elevation from 63 lakes collected in Tibet Plateau, (a) The elevation (m) of 63 lakes in Tibet Plateau and corresponding DOC concentrations, and (b) Mean DOC and salinity (EC) of 63 lakes. The full line represents the tendency linear equation of average DOC concentrations. The numbers was the lake name according to Table S1.







870

871

872

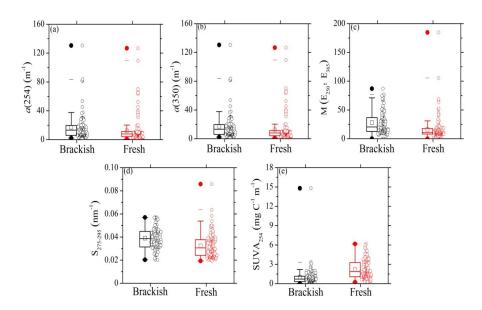
873

874

875

**Figure 3**. Box plots of a(254) (a), a(350) (b),  $M(E_{250}; E_{365})$  (c),  $S_{275-295}$  (d) and  $SUVA_{254}$  (d) for brackish and fresh waters in the Tibet Plateau. The black line and the hollow squares represent the median and mean values, respectively. The horizontal edges of the boxes denote the 25th and 75th percentiles; the whiskers denote the 10th and 90th percentiles. The black circles represent samples of brackish lakes, and red were fresh lakes. Then the unit of  $SUVA_{254}$  is  $mg C^{-1} m^{-1}$ ,  $S_{275-295}$  is  $nm^{-1}$ , and CDOM absorption

## at 254 nm and 350 nm is m<sup>-1</sup>.







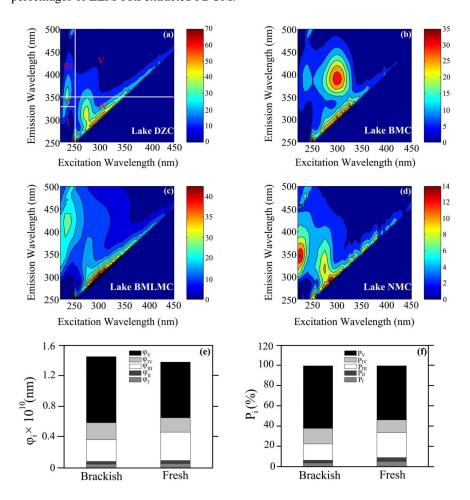
878

879

880

881

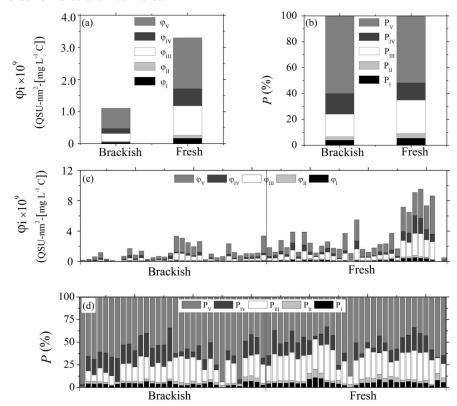
**Figure 4.** Four typical EEM fluorescence spectra (a-d) and FRI results, (a) Lake DZC, (b) Lake BMC, (c) Lake BMLMC, (d) Lake NMC, (e) The proportion and cumulative volume proportion of **EEMFRI**-extracted average FDOM components from five regions in brackish lakes and fresh lakes in Tibet Plateau and (f) distributions of percentages of EEM-FRI extracted FDOM.







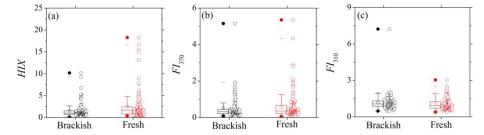
**Figure 5**. Normalized EEM-FRI fluorescence component and spatial characteristics from 63 lakes in Tibet Plateau, (a) normalized cumulative volume  $\varphi_i$  of EEM-FRI extracted average FDOM components from five regions in brackish lakes and fresh lakes, (b) percentages  $P_i$  of EEM-FRI extracted FDOM in brackish lakes and fresh lakes, (c) spatial distributions of normalized cumulative volume  $\varphi_i$  in brackish lakes and fresh lakes and (d) spatial distributions of percentages  $P_i$  of EEM-FRI extracted FDOM in brackish lakes and fresh lakes.







**Figure 6.** Box plots of HIX (a),  $FI_{370}$  (b) and  $FI_{310}$  (c) for brackish and fresh waters in the Tibet Plateau. The black line and the hollow squares represent the median and mean values, respectively. The horizontal edges of the boxes denote the 25th and 75th percentiles; the whiskers denote the 10th and 90th percentiles. The black circles represent samples of brackish lakes, and red were fresh lakes.



896

891

892

893

894



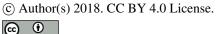
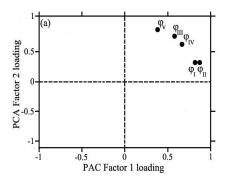
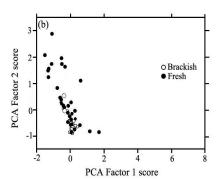


Figure 7. Principal component analysis (PCA) results of normalized cumulative
volume φ<sub>i</sub> by EEM-FRI. (a) Loadings of PCA factors and (b) property-property plots
of PCA factor scores of 63 lakes. The unit of normalized cumulative volume φ<sub>i</sub> (*i*=I, II,
III, IV, V) is QSU-nm²-[mg L<sup>-1</sup> C].

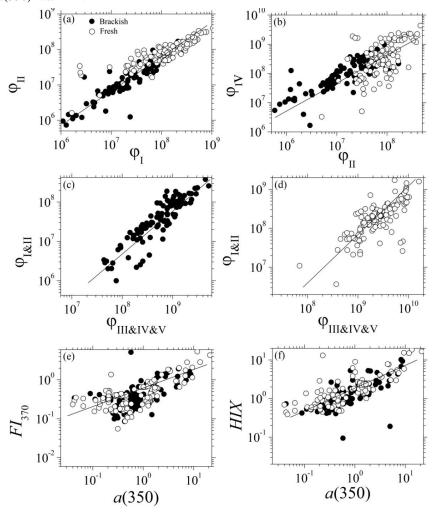








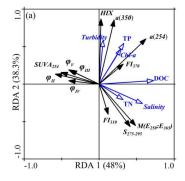
**Figure 8.** The correlations between normalized cumulative volume  $φ_I$  and  $φ_{II}$  by EEM-FRI for water samples in brackish lakes and fresh lakes (a); the correlations between normalized  $φ_I$  and  $φ_{IV}$  (b); the correlations between normalized  $φ_{II}$  and  $φ_{IV}$  (c); the correlations between normalized  $φ_{III}$  by EEM-FRI (d); the correlations between a(350) and  $FI_{370}$  (e), and the correlations between a(350) and HIX (f). The unit of normalized cumulative volume  $φ_I$  (i=I, II, III, IV, V) is QSU-nm<sup>2</sup>-[mg L<sup>-1</sup> C], and a(350) was nm<sup>-1</sup>.

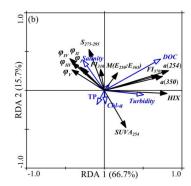






**Figure 9.** Redundancy analysis (RDA) of CDOM spectroscopic parameters and the water quality parameters **ib** (a) brackish lakes and (b) fresh lakes Tibetan Plateau.  $φ_I$  was deleted due to large inflation factor (>20). The solid arrows and black font represent the environmental explanatory variables, and hollow allows and blue fonts were species variables, respectively. (c) and (d) are the correlation between a(254), DOC and  $FI_{370}$  in brackish and fresh lakes. The unit of TN, TP and DOC was mg L<sup>-1</sup>; Chl-a was μg L<sup>-1</sup>; salinity is ‰; turbidity is NTU (nephelometric turbidity unit). Then the unit of a(254) and a(350) is m<sup>-1</sup>; SUVA<sub>254</sub> is L mg C<sup>-1</sup> m<sup>-1</sup>; $φ_i$  (i=I, II, III, IV, V) is QSU-nm<sup>2</sup>-[mg L<sup>-1</sup> C].

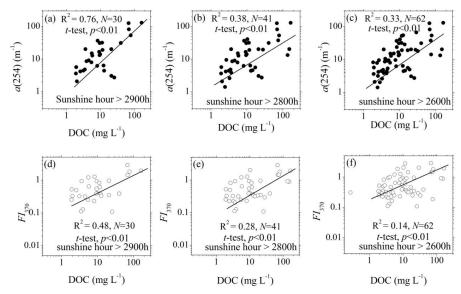








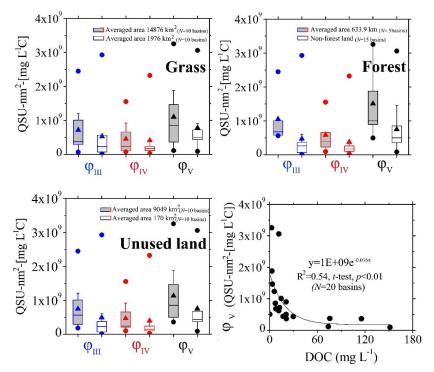
**Figure 10.** The correlation between average DOC concentrations and a(254) in annual total sunshine hours > 2900h (a), annual total sunshine hours > 2800h (b) and annual total sunshine hours > 2600h (c). Then the correlation between average DOC concentrations and  $FI_{370}$  in annual total sunshine hours > 2900h (d), annual total sunshine hours > 2800h (e) and annual total sunshine hours > 2600h (f). The annual total sunshine hours in Tibet are from the China metrological data sharing service system.







**Figure 11.** (a) Box plots of normalized  $φ_{III}$ ,  $φ_{IV}$  and  $φ_{V}$  in basins with large grass area (averaged area 14876 km²; N=10 basins, B1, B10, B19, B2, B11, B17, B12, B5, B20, B14), and basins with small grass area (averaged area 1976 km²; N=10 basins, B4, B6, B8, B9, B3, B15, B18, B16, B13, B17). (b) Box plots of normalized  $φ_{III}$ ,  $φ_{IV}$  and  $φ_{V}$  in basins with large forest area (averaged area 633.9 km²; N=5 basins, B2, B1 B4, B11, B10), and in non-forest land. (c) Box plots of normalized  $φ_{III}$ ,  $φ_{IV}$  and  $φ_{V}$  in basins with large unused land area (averaged area 9049 km²; N=10 basins, B1, B4, B2, B17, B3, B10, B11, B20, B19, B12), and basins with small grass area (averaged area 170 km²; N=10 basins, B6, B14, B8, B9, B15, B16, B7, B13, B5, B18). The black line and the hollow squares represent the median and mean values, respectively. The horizontal edges of the boxes denote the 25th and 75th percentiles; the whiskers denote the 10th and 90th percentiles. (d) The correlation between DOC and normalized humic like  $φ_{V}$  of 20 basins in Tibet Plateau.



Biogeosciences Discuss., https://doi.org/10.5194/bg-2018-259 Manuscript under review for journal Biogeosciences Discussion started: 21 August 2018

© Author(s) 2018. CC BY 4.0 License.

944

945

946 947





## **Table 1** Water quality parameters of samples from 63 lakes (*N*=244) in Tibet Plateau

Parameters	Brackish Lakes (N=109)		Fresh Lakes (N=135)	
	Mean	Max-Min	Mean	Max-Min
Turbidity	14.63 <mark>±24.40</mark>	0-87.78	16.7±43.61	0-212.51
EC	$8880.23\pm8235.912$	1673-33141.2	536.55±332.29	120.1-1369.2
Salinity	$6.01\pm5.60$	1.14-22.54	$0.36 \pm 0.22$	0.08-0.93
TN	4.54±4.32	0.31-15.56	2.31±2.64	0.16-10.15
TP	0.45±1.35	0.006-6.79	$0.04 \pm 0.03$	0.001-0.08
Chl-a	2.57±5.73	0-31.37	$1.4\pm2.68$	0.09-14.68
DOC	35.69±43.52	0.27-164.8	$7.94\pm12.17$	1.84-67.79

TN, TP, DOC, DTC, and DIC represent total nitrogen, total phosphorus, dissolved organic carbon, dissolved total carbon and dissolved inorganic carbon concentrations, respectively (mg  $L^{-1}$ ). EC represents the electrical conductivity of water samples ( $\mu$ s cm $^{-1}$ ). Chl-a, chlorophyll-a concentration ( $\mu$ g  $L^{-1}$ ). The unit of turbidity is NTU, nephelometric turbidity unit, and salinity is ‰.





Table 2 Regression analysis equations of DOC concentration and normalized cumulative volume  $\phi_i$ 

949 (*i*=I, II, III, IV, V) for all the water samples from 63 lakes (*N*=244) in Tibet Plateau

Salinity	Averaged EC	Regression equation	R <sup>2</sup>					
DOC & φ <sub>1</sub> (Tyrosine like)								
>19	23764	$y=3E+07e^{-0.013x}$ , ( $N=29$ )	0.73					
>7	10945	$y=3E+07e^{-0.014x}$ , ( $N=64$ )	0.42					
>2	5708	$y=3E+07e^{-0.014x}$ , (N=84)	0.34					
>1	2119	$y=4E+07e^{-0.015x}, (N=109)$	0.34					
<1	586	$y = 1E + 08e^{-0.034x}, (N=135)$	0.03					
DOC & φ <sub>II</sub> (Tryptophan like)								
>19	23764	$y=1E+07e^{-0.009x}$ , (N=29)	0.64					
>7	10945	$y=2E+07e^{-0.012x}$ , (N=64)	0.41					
>2	5708	$y=2E+07e^{-0.012x}$ , (N=84)	0.34					
>1	2119	$y=2E+07e^{-0.014x}$ , (N=109)	0.34					
<1	586	$y = 8E + 07e^{-0.023x}, (N=135)$	0.03					
	DOC	& φ <sub>III</sub> (Fulvic like)						
>19	23764	$y = 9E + 07e^{-0.009x}, (N=29)$	0.30					
>7	10945	$y = 1E + 08e^{-0.01x}, (N=64)$	0.15					
>2	5708	$y = 2E + 08e^{-0.01x}, (N=84)$	0.08					
>1	2119	$y = 2E + 08e^{-0.01x}, (N=109)$	0.08					
<1	586	$y = 7E + 08e^{-0.023x}, (N=135)$	0.02					
	DOC & OIV	(Microbial protein like)						
>19	23764	y=1E+08 e <sup>-0.010x</sup> , (N=29)	0.52					
>7	10945	$y=1E+08 e^{-0.012x}, (N=64)$	0.37					
>2	5708	$y=1E+08 e^{-0.012x}, (N=84)$	0.27					
>1	2119	$y=1E+08 e^{-0.012x}, (N=109)$	0.28					
<1	586	$y = 4E + 08e^{-0.034x}, (N=135)$	0.02					
	DOC	& φ <sub>v</sub> (Humic like)						
>19	23764	$y=4E+08 e^{-0.008x}, (N=29)$	0.59					
>7	10945	$y=4E+08 e^{-0.009x}, (N=64)$	0.28					
>2	5708	$y=4E+08 e^{-0.009x}, (N=84)$	0.23					
>1	2119	$y=5E+08 e^{-0.010x}, (N=109)$	0.25					
<1	586	$y = 2E + 09e^{-0.02x}, (N=135)$	0.03					
DOC &	Omeviev (Humie lib	e& Microbial protein like & Fulvi	c like)					
>19	23764	$y = 5E + 08e^{-0.009x}$ , (N=29)	0.58					
>7	10945	$y = 6E + 08e^{-0.01x}, (N=64)$	0.30					
~ /	10773	y ob. ooc , (N o-)	0.50					

Biogeosciences Discuss., https://doi.org/10.5194/bg-2018-259 Manuscript under review for journal Biogeosciences

Discussion started: 21 August 2018 © Author(s) 2018. CC BY 4.0 License.





>2	5708	$y = 7E + 08e^{-0.01x}, (N=84)$	0.24				
>1	2119	$y = 8E + 08e^{-0.011x}$ , (N=109)	0.26				
<1	586	$y = 3E + 09e^{-0.025x}, (N=135)$	0.03				
DOC & φ <sub>I&amp;II</sub> (Tyrosine like & Tryptophan like)							
>19	23764	$y = 4E + 07e^{-0.013x}, (N=29)$	0.74				
>7	10945	$y = 5E + 07e^{-0.014x}, (N=64)$	0.45				
>2	5708	$y = 5E + 07e^{-0.014x}, (N = 84)$	0.32				
>1	2119	$y = 6E + 07e^{-0.015x}$ , $(N=109)$	0.34				
<1	586	$y = 2E + 08e^{-0.03x}$ , (N=135)	0.03				

The unit of EC is  $\mu$ s cm  $^{-1}$ ; salinity is %; DOC concentration is mg L  $^{-1}$ ;  $\phi_i$  (i=I, II, III, IV, V) is QSU-

<sup>951</sup> nm<sup>2</sup>-[mg L<sup>-1</sup> C].