



1 **Leaf phenology as one important driver of seasonal changes in isoprene emission in**  
2 **central Amazonia**

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## Abstract

Isoprene fluxes vary seasonally with changes in environmental factors (e.g., solar radiation and temperature) and biological factors (e.g., leaf phenology). However, our understanding of seasonal patterns of isoprene fluxes and associated mechanistic controls are still limited, especially in Amazonian evergreen forests. In this paper, we aim to connect intensive, field-based measurements of canopy isoprene flux over a central Amazonian evergreen forest with meteorological observations and with tower-camera leaf phenology to improve understanding of patterns and causes of isoprene flux seasonality. Our results demonstrate that the highest isoprene emissions are observed during the dry and dry-to-wet transition seasons, whereas the lowest emissions were found during the wet-to-dry transition season. Our results also indicate that light and temperature can not totally explain the isoprene flux seasonality. Instead, the camera-derived leaf area index (LAI) of recently mature leaf-age class (e.g. leaf ages of 3-5 months) exhibits the highest correlation with observed isoprene flux seasonality ( $R^2=0.59$ ,  $p<0.05$ ). Attempting to better represent leaf phenology in the Model of



46 Emissions of Gases and Aerosols from Nature (MEGAN 2.1), we improved the leaf age  
47 algorithm utilizing results from the camera-derived leaf phenology that provided LAI  
48 categorized in three different leaf ages. The model results show that the observations of  
49 age-dependent isoprene emission capacity, in conjunction with camera-derived leaf age  
50 demography, significantly improved simulations in terms of seasonal variations of  
51 isoprene fluxes ( $R^2=0.52$ ,  $p<0.05$ ). This study highlights the importance of accounting for  
52 differences in isoprene emission capacity across canopy leaf age classes and of  
53 identifying forest adaptive mechanisms that underlie seasonal variation of isoprene  
54 emissions in Amazonia.

55

## 56 **1. Introduction**

57 Isoprene is considered the dominant contribution to Biogenic Volatile Organic  
58 Compound (BVOC) emission from many landscapes and represents the largest input to  
59 total global BVOC emission, which has the magnitude of  $400\text{--}600 \text{ Tg C y}^{-1}$  ( see Table 1  
60 of Arneth et al., 2008). This compound regulates large-scale biogeochemical cycles. For  
61 example, once in the atmosphere, isoprene has implications for chemical and physical  
62 processes due to its reactivity, influences on the atmospheric oxidative capacity, as well  
63 as its potential to form secondary organic aerosols (Claeys et al., 2004), which interact  
64 with solar radiation and act as effective cloud condensation nuclei. Moreover, carbon  
65 dioxide is believed to be the fate of almost half of the carbon released in the form of  
66 BVOCs (Goldstein and Galbally, 2007) and, as BVOC emissions are regarded as highly  
67 significant for ecosystem productivity (Kesselmeier et al., 2002) with isoprene being the  
68 most emitted hydrocarbon, it thereby plays an important role in carbon balance.



69 Tropical forests are the largest source of isoprene to the atmosphere, contributing  
70 almost half of the estimated global annual isoprene emission, according to Model of  
71 Emissions of Gases and Aerosols from Nature (MEGAN) estimates (Guenther et al.,  
72 2006). Given that the Amazon basin is the largest territorial contribution to global  
73 tropical forests, this ecosystem is thought to be one of the most important sources of  
74 isoprene to the global atmosphere.

75 Recently, remotely sensed observations of multiple years have revealed seasonal  
76 changes in isoprene emission over the Amazonian rainforest (Barkley et al., 2008, 2009,  
77 2013, Bauwens et al., 2016). Apart from these remotely sensed data, only a few studies  
78 based on *in situ* data exist (Alves et al., 2016; Andreae et al., 2002; Kesselmeier et al.,  
79 2002; Kuhn et al., 2004b; Yáñez-Serrano et al., 2015). Some of these *in situ* studies  
80 indicate that environmental factors such as solar radiation and temperature are primary  
81 drivers of isoprene (Andreae et al., 2002; Kesselmeier et al., 2002; Kuhn et al., 2004b;  
82 Yáñez-Serrano et al., 2015).

83 Canopy phenology has been suggested as the primary cause of seasonal changes  
84 of photosynthesis in Amazonian ecosystems (Wu et al., 2016), a suggestion in agreement  
85 with reports on phenology effects at the Amazonian tree species level (Kuhn et al.,  
86 2004a). Given that photosynthesis provides substrates and energy for isoprene production  
87 and that isoprene is not stored within leaves, canopy phenology could therefore be an  
88 important seasonal driver in isoprene emissions (Alves et al., 2014, 2016). However,  
89 even though these factors – solar radiation, temperature, and leaf phenology – have been  
90 noted as important drivers of seasonal isoprene emissions, the way in which they control  
91 seasonal emissions remains poorly represented in biogeochemical models.



92           In terms of modeling of isoprene emission from Amazonia, when light and  
93   temperature are considered, MEGAN is a satisfactory tool for predicting short-term  
94   changes in isoprene emissions (Karl et al., 2004, 2007). However, when long-term  
95   changes are taking place, other factors, some still unknown, might be acting together,  
96   which add uncertainties to isoprene seasonal emission estimates.

97           In this study, we present observations of seasonal variation of isoprene flux, solar  
98   radiation, air temperature and canopy phenology from a primary rainforest site in central  
99   Amazonia. The questions addressed are: (i) how much can seasonal isoprene fluxes be  
100   explained by variations in solar radiation, temperature and leaf phenology, and (ii) how  
101   can a consideration of leaf phenology observed in the field help to improve model  
102   estimates of seasonal isoprene emissions. To this end, we correlate ground-based  
103   isoprene flux measurements with environmental factors (light and temperature) and a  
104   biological factor (leaf phenology). We compare seasonal ground-based isoprene flux  
105   measurements to OMI satellite-derived isoprene flux. Lastly, we perform two simulations  
106   with the MEGAN 2.1 to estimate isoprene fluxes: (1) with standard emission algorithms  
107   and (2) with a modification in the leaf age algorithm derived from observed leaf  
108   phenology.

109

## 110   **2. Material and methods**

### 111   **2.1. Site Description - Cuieiras Biological Reserve – K34 site**

112           Isoprene fluxes were measured at the 53 m K34 tower (2°36' 32.6" S, 60° 12'  
113   33.4" W) on the Cuieiras Biological Reserve plateau, a primary rainforest reserve  
114   approximately 60 km northwest of Manaus in Amazonas state, Brazil (Fig. 1). The K34



115 tower has been widely utilized for the past 15 years for a range of meteorological studies,  
116 including energy and trace gas fluxes (de Araújo et al., 2010; Artaxo et al., 2013; Tóta et  
117 al., 2012) and also tropospheric variables such as precipitable water vapor (Adams et al.,  
118 2011, 2015). This reserve has an area of about 230 km<sup>2</sup> and is managed by the National  
119 Institute for Amazonian Research (INPA). The site has a maximum altitude of 120 m and  
120 the topography is characterized by 31% plateau, 26% slope and 43% valley (Rennó et al.,  
121 2008). The vegetation in this area is considered mature, *terra firme* rainforest, and with  
122 typical canopy height of 30 m with variation (20–45 m) throughout the reserve. More  
123 details about soils and vegetation of this site are provided in Alves et al. (2016). Annual  
124 precipitation is about 2500 mm and is dominated by deep atmospheric convection and  
125 associated stratiform precipitation, December to May being the wet season and August to  
126 September the dry season, when the monthly cumulative precipitation is less than 100  
127 mm (Adams et al., 2013; Machado et al., 2004). Average air temperature ranges between  
128 24 °C (in April) and 27 °C (in September) (Alves et al., 2016).

129

## 130 **2.2. Isoprene flux – Relaxed Eddy Accumulation system (REA)**

131 Isoprene flux measurements were conducted during intensive campaigns of five to  
132 six days, during daytime (9:00–16:30, local time), from June 2013 to December 2013 at  
133 the K34 tower. The REA system utilized for the isoprene flux measurements was  
134 developed by the National Center for Atmospheric Research (NCAR)  
135 NCAR/BEACHON REA Cassette Sampler), and has two basic components: 1) the main  
136 REA box containing the adsorbent cartridges (stainless steel tubes filled with Tenax TA  
137 and Carbograph 5 TD adsorbents) for up/down/neutral reservoirs, microcontroller,



138 battery, selection valves, and mass flow controller (200 ml min<sup>-1</sup>) (MKS Instruments Inc.,  
 139 Model M100B01852CS1BV); and (2) a Sonic Anemometer (RM Young, Model  
 140 81000VRE) for high-rate wind velocity measurements (10 Hz). This REA system was  
 141 installed at a height of 48 m on the K34 tower (approximately 20 m above the mean  
 142 canopy height).

143 The technique segregated the sample flow according to sonic anemometer-derived  
 144 vertical wind velocity over the flux-averaging period (30 min). Isoprene fluxes ( $F$ ) from  
 145 the REA system over this period were estimated from:

$$146 \quad F = \overline{w'c'} = b\sigma_w(\overline{c_{up}} - \overline{c_{down}}) \quad (1)$$

147 where  $b$  is an empirical proportionality coefficient (described below),  $\sigma_w$  is the standard  
 148 deviation of  $w$ , and  $\overline{c_{up}}$  and  $\overline{c_{down}}$  are isoprene concentration averages in the up and  
 149 down reservoirs, respectively (Bowling et al., 1998). The  $b$ -coefficient was calculated  
 150 from the sonic temperature and heat flux by re-arranging the same equation, assuming  
 151 scalar similarity (Monin-Obukhov Similarity Theory):

$$152 \quad b = \frac{\overline{w'T'}}{\sigma_w(T_{up} - T_{down})} \quad (2)$$

153 The REA sampler was operated with a “deadband” - a range of small  $w'$  values,  
 154 centered on  $\bar{w}$ , over which the air was sampled through the “neutral” line. The deadband  
 155 used was  $\pm 0.6\sigma_w$ . The use of a deadband was advisable, because this increased the  
 156 differences in the measured concentrations ( $\overline{c_{up}} - \overline{c_{down}}$ ) by sampling only larger eddies  
 157 (with larger concentration fluctuations) into the up/down reservoirs, reducing the  
 158 precision required for the analytical measurements. The  $b$ -coefficient was also computed  
 159 (from Eq. (2)) using the same deadband. For this study, the  $b$ -coefficient was calculated



160 for every 30 min. flux sampling period. The  $b$ -coefficient averaged  $0.40 \pm 0.06$  and the  
161 flux measurements were filtered for  $b$ -coefficients in the range of 0.3 to 0.6.

162 The air sampling was carried out with two tubing lines for up (+w') and down (-  
163 w') and one tubing line for neutral sampling air ( $\pm 0.6\sigma_w$  - deadband), each consisting of  
164 approximately 1.5 m long tubes (polytetrafluoroethylene, PTFE) positioned such that  
165 they sampled air as close to the sonic anemometer as possible. Each inlet valve at the  
166 main REA box prevented air from entering the inactive tube (up- in the case of down  
167 sampling (-w') and down - in the case of up sampling (+w'), and both up and down in the  
168 case of deadband), which otherwise would compromise the concentration differences  
169 between up and down reservoirs and, consequently, the flux calculation.

170 The microcontroller recorded the sonic anemometer data and triggered the  
171 segregation valves based on this data. The REA technique requires two initial data points  
172 prior to each flux averaging period to be able to segregate the sample flow: (1) a mean  
173 vertical wind velocity,  $\bar{w}$  and (2)  $\sigma_w$ . The  $\bar{w}$  determined the direction of the instantaneous  
174 vertical wind velocity ( $w' = w(t) - \bar{w}$ ) and  $\sigma_w$  was required to calculate the deadband  
175 threshold. Both the value of  $\bar{w}$  and  $\sigma_w$  were based on the values obtained from the last  
176 flux-averaging period (30 min). The microcontroller stored all the necessary wind and  
177 temperature information to compute all the parameters required in the equations (1) and  
178 (2). More details on errors and uncertainties of the REA technique are found in section 1  
179 (Supplementary Information).

180

### 181 **2.3. Isoprene concentrations**

182 The isoprene accumulated in the adsorbent cartridges was determined from  
183 laboratory analysis. The tube samples were analyzed with a thermal desorption system





(TD) (Markes International, UK) interfaced with a gas chromatograph/flame ionization detector (GC-FID) (19091J-413 series, Agilent Technologies, USA). After loading a tube in the ULTRA Automatic Sampler (Model Ultra1, Markes International, UK), which was connected to the thermal desorption system, the collected samples were dried by purging for 5 minutes with 50 sccm of ultra-high purity helium (all flow vented out of the split vent) before being transferred (300°C for 10 min with 50 sccm of ultra-pure nitrogen) to the thermal desorption cold trap held at -10 °C (Unity Series1, Markes International, UK). During GC injection, the trap was heated to 300°C for 3 min while back flushing with carrier gas (helium) at a flow rate of 6.0 sccm directed into the column (Agilent HP-5 5% Phenyl Methyl Siloxane Capillary 30.0 m X 320 µm X 0.25 µm). The oven ramp temperature was programmed with an initial hold of 6 min at 27 °C followed by an increase to 85 °C at 6 °C min<sup>-1</sup> followed by a hold at 200 °C for 6 min. The identification of isoprene from samples was confirmed by comparison of retention time with a solution of an authentic isoprene liquid standard in methanol (10 µg/ml in methanol, Sigma-Aldrich, USA). The GC-FID was calibrated to isoprene by injecting 0.0, 23, 35, and 47 nL of the gas standard into separate tubes. The gas standard is 99.9% of 500 ppb of isoprene in nitrogen (Apel & Riemer Environmental Inc., USA) and was injected into separate tubes at 11 ml min<sup>-1</sup>. The calibration curve (0.0, 23, 35, and 47 nL) was made thrice before the analysis of the sample tubes of each campaign, with a mean correlation coefficient equal to  $R^2=0.98$ . In addition, two standard tubes (with 35 nL of isoprene) were run at every 20 sample tubes to check the system sensitivity. The limit of detection of isoprene was equal to 48.4 ppt. All tube samples were analyzed as described above with the exception of tube samples from June 2013 and July 2013. These were analyzed



207 in a TD/GC-MS-FID system from the Atmospheric Chemistry Division, NCAR (see  
208 section 1 of supplementary information for more details).

209 Isoprene concentration was determined using the sample volume that was passed  
210 through each tube. This volume was measured by integration of the mass flow meter  
211 signal and stored within the REA data file. While sampling, the concentration found in  
212 the blank tubes connected to the cartridge cassette in the REA box, but without flow, was  
213 subtracted from the sample tube concentrations. The resulting concentration was used to  
214 calculate isoprene flux (Eq. (1)) in  $\text{mg m}^{-2} \text{h}^{-1}$ .

215

#### 216 **2.4. Tower-camera derived leaf phenology and demography**

217 Upper canopy leaf phenology was monitored with Stardot RGB cameras (model  
218 Netcam XL 3MP) installed at 53 m height on the K34 tower (Lopes et al., 2016; Wu et  
219 al., 2016). The camera monitored forest on well-drained, infertile clay-rich soils of low  
220 plateaus. Views were wide-angle and fixed, monitoring the same crowns over time and  
221 excluding sky, so that auto-exposure was based only on the forest. Images were  
222 automatically logged every two minutes from 09:00h to 12:30h, local time. Only images  
223 acquired near local noon and under overcast sky (having even diffuse illumination) were  
224 analyzed. Images were selected at six-day intervals. The camera monitored upper crown  
225 surfaces of 53 living trees over 24 months (1 December 2011 to 31 November 2013).

226 We used a camera-based tree inventory approach to monitor leaf phenology at this  
227 forest site (Lopes et al., 2016; Wu et al., 2016). Specifically, we tracked the temporal  
228 trajectory of each tree crown, and assigned them into one of three classes: “leaf flushing”  
229 (crowns which showed a large abrupt greening), “leaf abscising” (crowns which showed



230 large abrupt greying, which is the color of bare upper canopy branches) or “no change”.  
 231 We then aggregated our census to the monthly scale to derive the monthly-average  
 232 percentages of trees with new leaf flushing and with old leaf abscission. The percentage  
 233 of tree crowns with green leaves (1 – the percentage of tree crowns with leaf abscission)  
 234 is termed as “green crown fraction” (Wu et al., 2016). We obtained a camera-based  
 235 canopy LAI by applying the same linear relationship between ground-measured LAI and  
 236 camera-derived green crown fraction, fitted at another central Amazon evergreen forest,  
 237 the Tapajós K67 tower site (Wu et al., 2016).

238 We also estimated the monthly canopy leaf demography by tracking the post-leaf-  
 239 flush age of each crown's leaf cohort and sorting them into three leaf age classes  
 240 throughout the year (young:  $\leq 2$  months; mature: 3-5 months; and old:  $\geq 6$  months)  
 241 (Nelson et al., 2014; Wu et al., 2016). By multiplying camera-derived total LAI by the  
 242 camera-derived fraction of crowns in a given age class, LAIs were derived for the three  
 243 leaf age classes: young leaf LAI, mature leaf LAI, and old leaf LAI. More details on  
 244 camera-derived LAI are in section 2 (Supplementary Information).

245

## 246 **2.5. Modeled isoprene flux estimates - MEGAN 2.1**

247 Isoprene fluxes measured by REA (K34 site) were compared with those estimated  
 248 by MEGAN 2.1. Isoprene emissions estimated by MEGAN 2.1 account for the main  
 249 processes driving variations in emissions (Guenther et al., 2012). The isoprene flux  
 250 activity factor for isoprene ( $\gamma_i$ ) is proportional to emission response to light ( $\gamma_P$ ),  
 251 temperature ( $\gamma_T$ ), leaf age ( $\gamma_A$ ), soil moisture ( $\gamma_{SM}$ ), leaf area index (LAI) and CO<sub>2</sub>  
 252 inhibition ( $\gamma_{CO_2}$ ) according to Eq. (3):



$$\gamma_i = C_{CE} LAI \gamma_P \gamma_T \gamma_A \gamma_{SM} \gamma_{CO_2} \quad (3)$$

where  $C_{CE}$  is the canopy environment coefficient. For this study, the canopy environment model of Guenther et al. (2006) was used with a  $C_{CE}$  of 0.57. MEGAN 2.1 was run accounting for variations in light, temperature, and LAI. Based on changes in LAI, the model estimated foliage leaf age. Both soil moisture and  $CO_2$  inhibition activity factors were set equal to a constant of 1, assuming these parameters do not vary. Details on model settings are found in Guenther et al. (2012).

Photosynthetic photon flux density (PPFD) and air temperature inputs for all model simulations were obtained from measurements at K34 tower. PPFD and air temperature measured at tower top, every 30 minutes, were hourly averaged. Data gaps during certain months occurred in 2013, but at least 15 days of hourly average PPFD and air temperature were obtained for model input. LAI inputs were acquired from the Moderate Resolution Imaging Spectroradiometer (MODIS) satellite observations for the same period of the isoprene flux measurements. The level-4 LAI product is composited every 8 days at 1-km resolution on a sinusoidal grid (MCD15A2H) (Myneni, 2015). Additionally, by comparison with the standard MEGAN 2.1 model that uses MODIS-derived LAI variation, here we also used LAI fractionated into different leaf ages, which were obtained from tower camera observations (as described in the section above). The number of data inputs to the MEGAN simulations is summarized in table 1.

272

## 273 2.6. Satellite-derived isoprene flux estimates

Top-down isoprene emission estimates over the 0.5 degree region around the tower were obtained by applying a grid-based source inversion scheme (Stavrakou et al., 2009, 2015)



276 constrained by satellite formaldehyde (HCHO) columns, measured in the UV-visible by  
277 the Ozone Monitoring Instrument (OMI) onboard the Aura satellite launched in 2004.  
278 HCHO is a high yield intermediate product in the isoprene degradation process  
279 (Stavrakou et al., 2014). The source inversion was performed using the global chemistry-  
280 transport model IMAGESv2 (Intermediate Model of Annual and Global Evolution of  
281 Species) at a resolution of  $2^\circ \times 2.5^\circ$  and 40 vertical levels from the surface to the lower  
282 stratosphere (Stavrakou et al., 2014, 2015). The a priori isoprene emission inventory was  
283 taken from MEGAN-MOHYCAN (Stavrakou et al., 2014, <http://emissions.aeronomie.be>,  
284 Bauwens et al. 2017). Given that the OMI overpass time is in the early afternoon (13:30,  
285 local time), and the mostly delayed production of formaldehyde from isoprene oxidation,  
286 the top-down emission estimates rely on the ability of MEGAN to simulate the diurnal  
287 isoprene emission cycle and on the parameterization of chemical and physical processes  
288 affecting isoprene and its degradation products in IMAGESv2. For this study, we use  
289 daily (24 hours), mean satellite-derived isoprene emissions derived from January 2005 to  
290 December 2013. More details can be found in Stavrakou et al. (2009, 2015) and  
291 Bauwens et al. (2016).

292

### 293 **3. Results**

294 The experimental site of this study showed seasonal variation in air temperature  
295 and in photosynthetic active radiation (PAR) (Fig. 2a,b) that was comparable to the  
296 seasonality presented by the OMI satellite-derived isoprene fluxes for the K34 site  
297 domain (Fig. 2c). The interannual variation in the seasonality of these environmental  
298 factors, air temperature and PAR, was correlated to the one presented by the satellite-



299 derived isoprene fluxes, with the highest correlation found between satellite-derived  
300 isoprene fluxes and air temperature. Isoprene fluxes and PAR -  $R^2$  ranged from 0.34 to  
301 0.83  $p<0.05$ ; isoprene fluxes and air temperature -  $R^2$  ranged from 0.61 to 0.91,  $p<0.01$ ,  
302 from 2005 to 2013. Maxima and minima of PAR, air temperature, and satellite-derived  
303 isoprene fluxes were observed during the dry and the dry-to-wet transition seasons, and  
304 the wet and the wet-to-dry transition seasons, respectively.

305 As opposed to the average (2005-2013) flux peaking in September, the 2013  
306 results suggest a maximum in October, and are found to be substantially lower during the  
307 2013 dry season compared to the average of the dry season estimates (reduction of ~31%)  
308 (Fig. 2c). The timing of the maximum is not supported by the ground-based observations,  
309 peaking in September, but the magnitude of flux estimates in these two months are in  
310 good agreement. In the wet-to-dry transition period, the small reduction in satellite-based  
311 isoprene fluxes in July 2013, compared to the neighboring months, is corroborated by a  
312 similar behavior in the ground-based isoprene fluxes (Fig. 3d). However, the drop in the  
313 observations is much stronger than in the top-down estimates (factor of 3 vs. a 70%  
314 difference).

315 Different from satellite-derived fluxes, ground-based isoprene fluxes measured  
316 with the REA system have not shown significant correlation with PAR and air  
317 temperature for the year 2013 (Table 2 and Fig. 3). Ground-based isoprene fluxes also  
318 showed the maximum emission during the dry season (September), but emissions  
319 remained high in the beginning of the wet season (December), which was not observed in  
320 the seasonal behavior of PAR and air temperature. When averages of air temperature and  
321 PAR measured only during the same days of REA isoprene flux measurements were



322 compared to isoprene fluxes, the correlations coefficients increased, but were still not  
323 statically significant (Table 2).

324 The forest leaf quantity, shown as Leaf Area Index (LAI), varied little over the  
325 year when the total LAI was examined. However, when total LAI was fractionated into  
326 three different leaf age classes – young LAI ( $\leq 2$  months), mature LAI (3-5 months), and  
327 old LAI ( $\geq 6$  months), seasonal variation of each age class appears (Fig. 4). To  
328 understand how those LAI age fractions are related to the isoprene seasonality, ground-  
329 based fluxes of this compound were compared to the LAI age fractions estimated over the  
330 entire year (Fig. 4). The highest emissions were observed when the number of trees with  
331 mature leaves (mature LAI) was increasing and the number of trees with old leaves (old  
332 LAI) was decreasing. Considering seasonal changes in PAR, air temperature, and mature  
333 LAI, the latter presented the highest correlation coefficient, explaining 59% of the  
334 seasonal isoprene emission variations (Table 2).

335 Isoprene flux simulations carried out with MEGAN 2.1 reveal similarities with the  
336 magnitudes observed during several months. But, MEGAN 2.1 did not fully capture the  
337 observed seasonal behavior (Fig. 5). Even though the leaf age algorithm of MEGAN 2.1  
338 was parameterized with local leaf phenology observations, giving the highest correlation  
339 coefficient with observed fluxes (Table 2), isoprene flux simulations with local  
340 CAMERA-LAI inputs showed only a reduction in isoprene flux magnitudes. The  
341 seasonal behavior observed was the same as in the estimates from the default MEGAN  
342 2.1 with MODIS-LAI inputs. Regressions between averages of observations and  
343 MEGAN 2.1 estimates, with CAMERA-LAI and MODIS-LAI inputs, were weak and not  
344 statistically significant (Table 2).



345 As a sensitivity test, observations of isoprene emission capacity at different leaf  
346 ages of a central Amazonian hyper-dominant tree species, *Eschweilera coriacea* (Alves et  
347 al., 2014), were used to parameterize the MEGAN 2.1 leaf age algorithm. Leaf level  
348 measurements of isoprene emission capacity are scarce in Amazonia. To the authors'  
349 knowledge, Alves et al. (2014) is the only available data of leaf level isoprene emission  
350 capacity at different leaf ages of a central Amazonian tree species, which were therefore  
351 used for the MEGAN sensitivity test.

352 Further simulations were performed with modifications in the leaf age emission  
353 activity factor (EAF), which is dimensionless and is defined as the emission relative to  
354 the emission of mature leaves that are, by definition, set equal to one. A new EAF was  
355 assigned for each age class, based on observations of emissions of *E. coriacea* (Fig. 6).  
356 Leaf age fraction distribution was provided with input of LAI from MODIS (MODIS-  
357 LAI) and from LAI-derived field observations (CAMERA-LAI) (Fig. 4). The simulation  
358 with the leaf age algorithm parameterized for EAF changes and with MODIS-LAI was  
359 similar to the one without changes in the EAF (MEGAN 2.1 default). The simulation  
360 with leaf age algorithm parameterized with changes in the EAF and with CAMERA-LAI  
361 inputs showed reduced emissions, but a seasonal curve closer to that of isoprene flux  
362 observed at K34 ( $R^2 = 0.52$ ,  $p < 0.05$ ) (Table 2).

363

#### 364 4. Discussion

365 This study addressed two main questions with respect to the seasonality of  
366 isoprene fluxes in central Amazonia and identified possible limitations in our current  
367 understanding related to these questions.





368 **4.1. How much can seasonal isoprene fluxes be explained by variations in solar**  
369 **radiation, temperature, and leaf phenology?**

370 Our finding that isoprene emissions are higher during the warmer season is  
371 consistent with previous findings that emissions from tropical tree species are light  
372 dependent and stimulated by high temperatures (Alves et al., 2014; Harley et al., 2004;  
373 Jardine et al., 2014; Kuhn et al., 2002, 2004a, 2004b). Indeed, satellite-derived isoprene  
374 fluxes (2005-2013 years) were well correlated to PAR and even more to air temperature  
375 for all years. However, high ground-based isoprene emissions were observed until late of  
376 dry-to-wet transition season, when mean PAR and air temperature were already  
377 decreasing.

378 The reasons why satellite-derived isoprene fluxes are weakly correlated to  
379 ground-based isoprene fluxes can be attributed to either the difference in the studied  
380 scales (e.g. local effects could have major influences on ground-based isoprene fluxes)  
381 and/or the uncertainties associated with the methodologies used to estimate or calculate  
382 fluxes. The high correlation between satellite-based fluxes and air temperature or PAR is  
383 not unexpected, because higher temperatures and solar radiation fluxes favor isoprene  
384 emissions. Note however that the satellite-derived fluxes might also be subject to inherent  
385 uncertainties, due to the existence of other HCHO sources, in particular biomass burning  
386 (during the dry season) and methane oxidation. Since these latter contributions are  
387 favored by high temperature and radiation levels, they could possibly contribute to the  
388 high correlation found between satellite-based isoprene and meteorological variables.

389 For the ground-based emission, isoprene fluxes were determined by REA  
390 measurements that were carried out for six days per month. Therefore, the low correlation



391 between ground-based isoprene fluxes and air temperature and PAR could partially result  
392 from limited qualified data.

393 Another factor correlated to ground-based isoprene fluxes is the leaf phenology  
394 (in this study, LAI fractionated into age classes). The variation of mature LAI correlated  
395 better to ground-based isoprene fluxes than to other factors (K34 site –  $R^2=59\%$ ,  $p<0.05$ ),  
396 suggesting that the increasing isoprene emissions could partially follow the increasing of  
397 mature leaves (Fig. 4). Wu et al. (2016) suggested that leaf demography (canopy leaf age  
398 composition) and leaf ontogeny (age-dependent photosynthetic efficiency) are the main  
399 reasons for the seasonal variation of the ecosystem photosynthetic capacity in Amazonia.  
400 Since photosynthesis supplies the carbon to the methyl erythritol phosphate pathway to  
401 produce isoprene (Delwiche and Sharkey, 1993; Harley et al., 1999; Lichtenthaler et al.,  
402 1997; Loreto and Sharkey, 1993; Rohmer, 2008; Schwender et al., 1997), and as isoprene  
403 emissions are strongly dependent on leaf age and mainly emitted by mature leaves (Alves  
404 et al., 2014), seasonal changes in the forest leaf-age fractions may also influence the  
405 seasonality of isoprene emissions, suggesting higher emissions in the presence of more  
406 mature leaves and during high ecosystem photosynthetic capacity efficiency.

407 Understanding the correlations among light, temperature, leaf phenology (LAI  
408 fractionated into age classes), and isoprene is not straightforward. The weak correlation  
409 of seasonal changes between isoprene and light and temperature might be due to seasonal  
410 changes in the isoprene dependency to environmental factors and biological factors. Light  
411 and temperature peaked at the dry season; mature LAI, Gross Primary Productivity (GPP)  
412 and photosynthetic capacity peaked at the wet season (Wu et al., 2016); and ground-  
413 based isoprene fluxes were high from the end of the dry to the dry-to-wet transition



414 seasons. This might suggest that isoprene emissions are stimulated by light and high  
415 temperature during the beginning of the dry season and offset by the lower amount of  
416 mature leaves. During the wet season, isoprene emissions could be stimulated by the  
417 higher abundance of mature leaves and offset by the lower light availability and lower  
418 temperature. But, at the end of the dry and at dry-to-wet transition seasons, there is a  
419 combination of high light and high temperature with high amount of mature leaves,  
420 possibly favoring high isoprene emissions.

421 This is supported by findings of a temperate plant species showing that LAI  
422 dependency (changes in leaf age) was the most important factor affecting isoprene  
423 emission capacity, but when LAI decreased, and senescence started at the end of the  
424 summer, the isoprene dependency to PAR and air temperature was as high as the period  
425 when PAR and air temperature reached their maximum (Brilli et al., 2016). This shows  
426 seasonal variation in the strength of dependency to each factor that affects emissions.

427 Furthermore, we demonstrate a lack of a general correlation between ecosystem  
428 seasonal cycles of photosynthetic capacity or GPP and isoprene emissions (Table 2). This  
429 is consistent with previous studies that provide evidence that alternative non-  
430 photosynthetic pathways may contribute to isoprene synthesis under stress (Loreto and  
431 Delfine, 2000), which may then lead to a decoupling of isoprene emission from  
432 photosynthesis at high temperatures (Foster et al., 2014). In this light, it could be  
433 suggested that the strong correlation between GPP and isoprene emission during leaf  
434 phenology (Kuhn et al., 2004a) is reduced during conditions of high temperature.

435 As discussed above, separating the effects of changing temperature and light from  
436 leaf phenology in canopy isoprene fluxes could allow for a more accurate quantification



437 and for a better understanding of seasonal isoprene flux. Here, we indicate that leaf  
438 phenology plays an important role in seasonal variation of isoprene emissions, especially  
439 because different leaf ages present different isoprene emission capacity and the  
440 proportion of leaf age changes seasonally in Amazonia. However, when air temperature  
441 is the highest, isoprene emission could be more stimulated by this factor, even though  
442 mature LAI is still not at its maximum. We suggest future research to verify whether tree  
443 species that present a regular seasonal leaf flushing are isoprene emitters and the strength  
444 of those emissions by leaf age.

445

446 **4.2. How can a consideration of leaf phenology observed in the field help to improve**  
447 **model estimates of seasonal isoprene emissions?**

448 Modeling of isoprene emissions from the Amazonian rainforest has been carried  
449 out for around thirty years. The first models were simplified and parameterized with  
450 observations from a few short field campaigns (see Table 1 of Alves et al., 2016). With  
451 the increase in available data, more driving forces of isoprene emission were accounted  
452 for in the latest versions of models, as the case of the MEGAN 2.1, which has been  
453 improved with a multi-layer canopy model that accounts for light interception and leaf  
454 temperature within the canopy, and includes changes in emissions due to leaf age that are  
455 typically driven by satellite retrievals of LAI development (Guenther et al., 2012).

456 Results presented here are from MEGAN 2.1 estimates with local observations of  
457 PAR, air temperature, and satellite-based leaf phenology. Initially, the default MEGAN  
458 2.1 simulations did not fully capture the seasonal pattern of observed isoprene emission,  
459 with none-significant correlation between model estimates and observations ( $R^2 = 0.16$ ,



460  $P > 0.05$ , Table 2). This could be due to the near saturation of LAI seasonality in  
461 Amazonian evergreen forests and poor representation of leaf age effect on isoprene  
462 emission capacity of tropical tree species in the default MEGAN 2.1. Further, by using  
463 the camera-derived LAI phenology and the leaf age demographics to update the leaf age  
464 algorithm of the default MEGAN 2.1, we improved estimates of the proportion of leaves  
465 in different leaf age categories for the site, but there were a lack of observations for  
466 assigning the relative isoprene emission capacity for each age class.

467 It has been suggested that MEGAN uncertainties are mostly related to short-term  
468 and long-term seasonality of the isoprene emission capacity (Niinemets et al., 2010). For  
469 instance, for an Asian tropical forest, isoprene emission capacity was reported to be four  
470 times lower than the default value of the MEGAN model (Langford et al., 2010), whereas  
471 aircraft flux measurements in the Amazon were 35% higher than the MEGAN values (Gu  
472 et al., 2017); and satellite retrievals suggested significantly lower isoprene emissions (30-  
473 40 % in Amazonia and northern Africa) with respect to the MEGAN-MOHYCAN  
474 database (Bauwens et al., 2016). These all demonstrate that isoprene emission capacity is  
475 not well represented in the model for regions where there are few or no measurements.

476 With a sensitivity test, we parameterized the MEGAN 2.1 leaf age algorithm with  
477 observed isoprene emission capacity among different leaf ages of *E. coriacea* (Alves et  
478 al., 2014). The resulting simulation showed that by knowing the leaf age class  
479 distribution and the isoprene emission capacity for each age class, MEGAN 2.1 estimates  
480 can be improved and better agree with observations in terms of seasonal behavior. To  
481 date, there is very little information about isoprene emission capacity for different leaf  
482 ages of Amazonian plant species (Alves et al., 2014; Kuhn et al., 2004a). The scarcity of



483 observational studies in the field, along with the huge biodiversity and heterogeneity of  
484 the Amazonian ecosystems, creates a challenge to optimize the isoprene emission  
485 capacity parameterization in MEGAN and other models. Therefore, while introducing  
486 local seasonal changes of canopy leaf age fractions in the model should improve  
487 estimates, seasonal variations in isoprene emission capacity also need to be characterized  
488 to better represent the effects of leaf phenology on ecosystem isoprene emissions.

489

#### 490 **4.3. Possible limitations**

491 This study correlates available data of different scales and approaches. Thus, there  
492 are limitations that need to be considered. One is the uncertainty related to the method  
493 used to measure ground-based isoprene fluxes. The uncertainties of the REA flux  
494 measurements ranged from 27.1% to 44.9% (more details in section 1 of Supplementary  
495 Information). However, this study shows the largest dataset of seasonal isoprene fluxes in  
496 Amazonia presented to date and results presented here are similar to previous  
497 investigations, when same seasons are compared (see Table 1 of Alves et al., 2016).

498 Another limitation is the uncertainty of MEGAN estimates. It has been shown that  
499 models tend to agree with observations within ~30% for canopy scale studies with site-  
500 specific parameters (Lamb et al., 1996). Here, part of the weak correlation between  
501 observations and MEGAN 2.1 estimates is possibly due to short periods of measurements  
502 and data gaps. There were data gaps of PAR and temperature for a few months in 2013.  
503 This could influence the mean flux obtained from model estimates. Also, REA  
504 measurements were carried out in intensive campaigns of six days per month, which may



505 not represent the flux for the entire month. Therefore, the limited data availability is still  
506 challenging our understanding of isoprene emission seasonality.

507

## 508 **5. Summary and Conclusions**

509 To understand the pattern of isoprene seasonal fluxes in Amazonia is a difficult  
510 task when considering the important role of Amazonian forests in accounting for global  
511 BVOC and very limited field based observations in Amazonia. Seasonal variation of light  
512 and temperature are thought to primarily drive isoprene seasonal emissions. However,  
513 less notable factors might also influence ecosystem isoprene emission. Here, we suggest  
514 that leaf phenology, especially when accounting for the effect of leaf demography  
515 (canopy leaf age composition) and leaf ontogeny (age-dependent isoprene emission  
516 capacity), has an important effect on seasonal changes of the ecosystem isoprene  
517 emissions, which could play even more important role in regulating ecosystem isoprene  
518 fluxes than light and temperature at seasonal timescale.

519 Albeit there are uncertainties related to measurements and modeling, results  
520 presented here suggested that the unknown isoprene emission capacity for the different  
521 leaf age classes found in the forest may be the main reason why MEGAN 2.1 did not  
522 represent well the observed seasonality of isoprene fluxes. Additionally, part of these  
523 model uncertainties arises because of a lack of representations of canopy structure and  
524 light interception, including within-canopy variation in leaf functional traits; the leaf  
525 phenology within the canopy; the physical processes by which isoprene is transported  
526 within and above the forest canopy; chemical reactions that can take place within the  
527 canopy; and, the most difficult to assess, emission variation due to the huge biodiversity



528 in Amazonia. Therefore, more detailed measurements of source and sink processes are  
529 encouraged to improve our understanding of the seasonality of isoprene emissions in  
530 Amazonia, which will improve surface emission models and will subsequently lead to a  
531 better predictive vision of atmospheric chemistry, biogeochemical cycles, and climate.

532

## 533 **6. Data Availability**

534 Even though the data are still not available in any public repository, the data are  
535 available upon request from the main author.

536

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545

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## 805 Tables

806 **Table 1: Environmental and biological factors used to input the MEGAN 2.1: number of**  
 807 **days with data available for each variable for the year 2013**

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
PAR	n=31	n=28	n=31	n=30	n=31	n=30	n=31	n=15	n=30	n=18	n=19	n=15
Air temperature	n=31	n=28	n=31	n=30	n=31	n=30	n=31	n=15	n=30	n=18	n=19	n=15
CAMERA-LAI*	n=5	n=4	n=5	n=5	n=5	n=5	n=5	n=5	n=5	n=5	n=5	n=5
MODIS-LAI**	n=4	n=4	n=4	n=3	n=5	n=4	n=4	n=4	n=4	n=3	n=4	n=4

808 \* Number of days with images analyzed to derive CAMERA-LAI as described in section 2.4.

809 \*\* Number of days that the satellite passed over the site domain.

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815 **Table 2: Correlation coefficient,  $R$ , of regressions for ground-based isoprene flux,**  
 816 **satellite-derived isoprene flux, environmental factors, biological factors, and**  
 817 **MEGAN 2.1 simulations**

	Ground-based isoprene flux	Satellite-derived isoprene flux (2013 year)
PAR	0.007 <sup>a</sup>	0.55 <sup>c</sup>
PAR – REA measurement days	0.11 <sup>a</sup>	-----
Air temperature	0.15 <sup>a</sup>	0.79 <sup>c</sup>
Air temperature – REA measurement days	0.39 <sup>a</sup>	-----
young LAI	0.04 <sup>a</sup>	0.35 <sup>b</sup>
mature LAI	0.59 <sup>b</sup>	0.05 <sup>a</sup>
old LAI	-0.6 <sup>b</sup>	-0.4 <sup>b</sup>
Photosynthetic capacity*	0.49 <sup>a</sup>	-----
GPP*	0.36 <sup>a</sup>	-----
MEGAN (MODIS-LAI)	0.16 <sup>a</sup>	0.76 <sup>c</sup>
MEGAN (CAMERA-LAI)	0.11 <sup>a</sup>	0.67 <sup>c</sup>
MEGAN (MODIS-LAI) EAF changed	0.19 <sup>a</sup>	0.66 <sup>c</sup>
MEGAN (CAMERA-LAI) EAF changed	0.52 <sup>b</sup>	0.59 <sup>c</sup>
Ground-based isoprene flux	-----	0.13 <sup>a</sup>

818 PAR, photosynthetic active radiation; GPP, gross primary productivity;

819 EAF, emission activity factor;

820 \* Data from Wu *et al.* (2016)

821 <sup>a</sup> not statistically significant ( $P > 0.05$ )

822 <sup>b</sup> statistically significant ( $P < 0.05$ )

823 <sup>c</sup> statistically significant ( $P < 0.001$ )

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## 825 **Figure captions**

826 **Figure 1.** Location of the experimental site in central Amazonia – K34 tower. Hill-  
 827 shaded digital elevation data used as background topography is from the Shuttle Radar  
 828 Topography Mission, with resolutions of ~900m (top panel) and ~30m (lower panel).  
 829 White ring indicates two km radius around the flux tower. Elevation scale for lower panel  
 830 is "meters above sea level".



831 **Figure 2.** Monthly averages of photosynthetic active radiation (PAR) (a) and air  
 832 temperature (b) from 2005 to 2013 at the K34 tower site (measured every 30 min during -  
 833 6:00-18:00h, local time). OMI satellite-derived isoprene flux in a resolution of 0.5°  
 834 centered on K34 tower site from 2005 to 2013 (c). Monthly averages of isoprene flux  
 835 were scaled to 10:00-14:00, local time. Error bars represent one standard error of the  
 836 mean.

837 **Figure 3.** Monthly cumulative precipitation given by the Tropical Rainfall Measuring  
 838 Mission (TRMM) for the K34 tower domain in 2013 (a) Monthly averages of PAR (b)  
 839 and air temperature (c), both measured every 30 minutes during 6:00-18:00h, local time,  
 840 at the K34 tower site in 2013. Isoprene flux measured with the REA system at the K34  
 841 tower site in 2013 (d).

842 **Figure 4.** CAMERA-LAI derived for the K34 tower site. CAMERA-LAI data are  
 843 presented in three different leaf age classes: young LAI, mature LAI and old LAI. Error  
 844 bars represent one standard deviation from the mean. Background color shadings indicate  
 845 each season and are explicit in the legend. DWT season and WDT season stand for the  
 846 dry-to-wet transition season and the wet-to-dry transition season, respectively.

847 **Figure 5:** Isoprene flux observed (REA) and estimated with MEGAN 2.1 default mode,  
 848 leaf age algorithm driven by MODIS-LAI, and with MEGAN 2.1 leaf age algorithm  
 849 driven by CAMERA-LAI. EAF stands for emission activity factor, which was changed  
 850 for the different leaf age classes based on emissions of *E. coriacea* (Alves et al., 2014).

851 **Figure 6.** Emission activity factor (EAF) of isoprene for each leaf age class assigned in  
 852 the default mode of MEGAN 2.1 proportional to leaf age class distribution derived from  
 853 field observations (CAMERA-LAI) (a) Isoprene EAF for each leaf age class, obtained

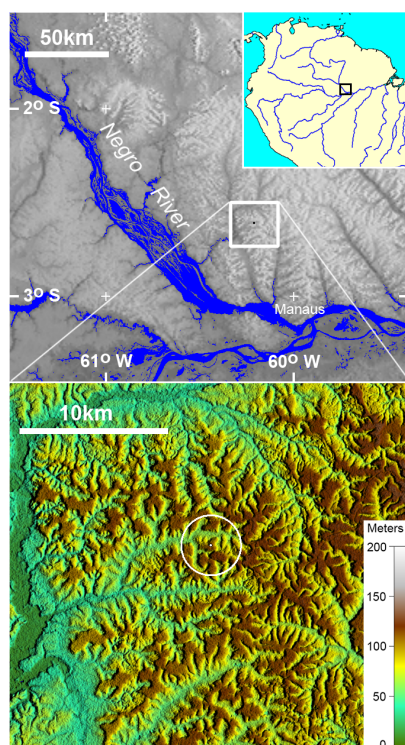




854 from leaf level measurements of the tree species *E. coriacea*, proportional to leaf age  
 855 class distribution derived from field observations (CAMERA-LAI) (b) Observations of  
 856 the tree species *E. coriacea* (Alves *et al.*, 2014) and CAMERA-LAI are both from the  
 857 K34 site.

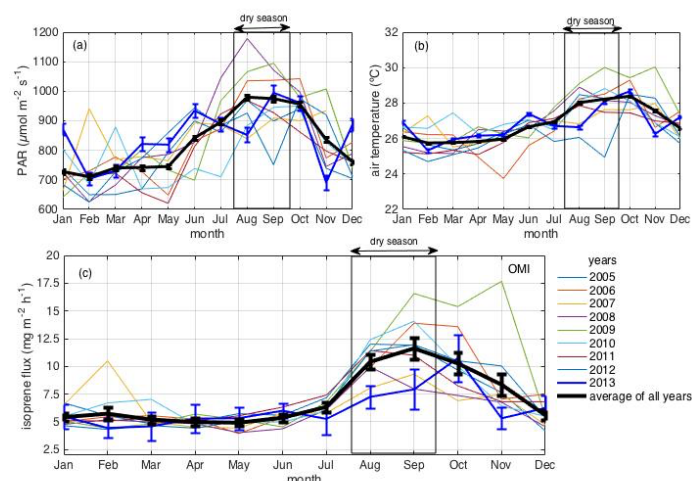
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## 859 Figures



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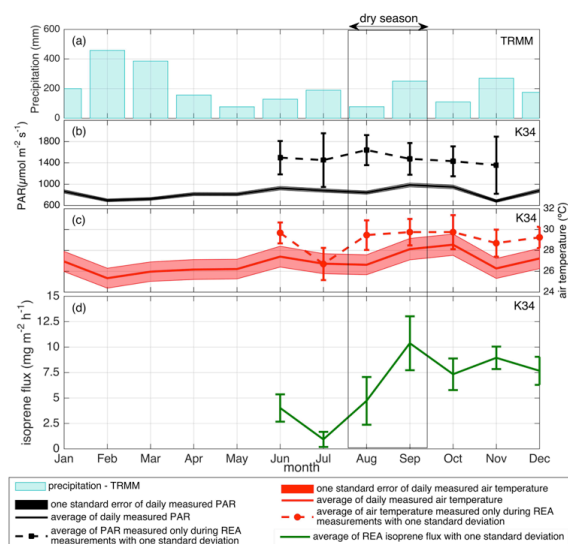
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 871 were scaled to 10:00-14:00, local time. Error bars represent one standard error of the  
 872 mean.

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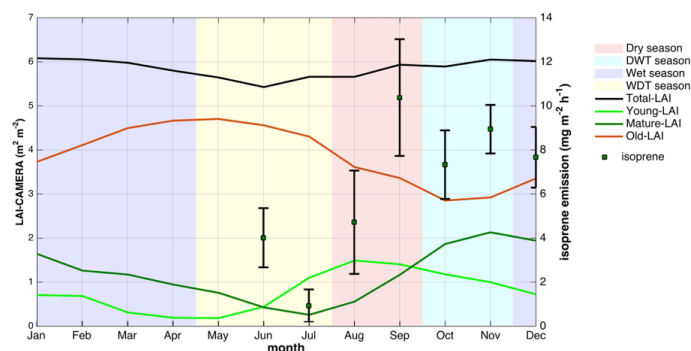
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 879 tower site in 2013 (d).

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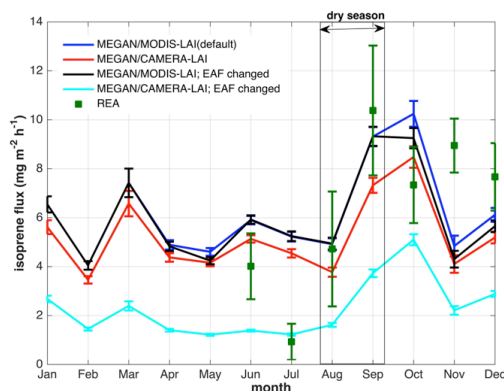
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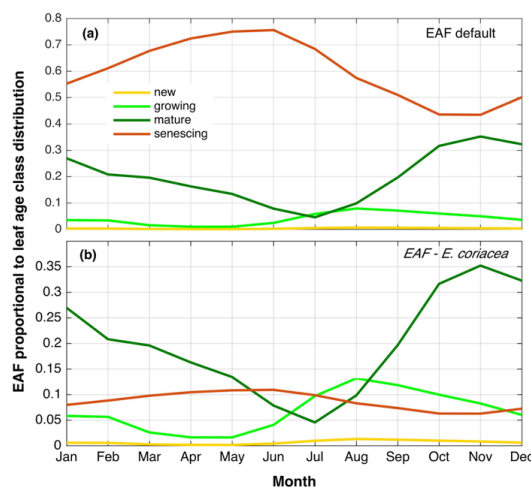
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