Neogene Caribbean elasmobranchs: Diversity, paleoecology and paleoenvironmental significance of the Cocinetas Basin assemblage (Guajira Peninsula, Colombia)

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Abstract. The Cocinetas Basin is located on the eastern flank of La Guajira Peninsula, northern Colombia (South Caribbean). During <u>the</u> late Oligocene through Pliocene, much of the basin was submerged. The extensive deposits in this area suggest a transition from a shallow marine to a fluvio–deltaic system, with a rich record of invertebrate and vertebrate fauna. The elasmobranch assemblages of the early Miocene to late Pliocene succession in the Cocinetas Basin (Jimol, Castilletes and

- 5 Ware Formations, and Patsúa Valley) are described for the first time. The assemblages include at least 30 taxa of sharks (Squaliformes, Pristiophoriformes, Orectolobiformes, Lamniformes and Carcharhiniformes) and batoids (Rhinopristiformes and Myliobatiformes), of which 24 taxa are reported from the Colombian Neogene for the first time. Paleoecological interpretations are based on the feeding ecology, and on estimates of <u>the paleohydrology (relative salinity, temperature)paleosalinity</u> using stable isotope compositions of oxygen in the bioapatite of shark teeth. The isotopic composition of <u>the</u> studied specimens
- 10 corroborates the paleoenvironmental settings for the studied units suggested on the basis of other proxies that were previously estimated based on the sedimentology and biology of the taxa. These Neogene elasmobranch assemblages from the Cocinetas Basin, provide new insights of the shark and ray diversity inhabiting the coastal and estuarine environments of the northwestern margin of South America, both during the existence of the gateway between the Atlantic and Pacific Oceans, and following its closure.

15 1 Introduction

During the Neogene, large areas of the northern margin of South America were submerged (see Iturralde–Vinent and MacPhee, 1999) and influenced by the paleoceanographic connection between the Pacific and Atlantic oceans along the Central American Seaway (CAS). The CAS is defined here as a deep oceanic connection between the Pacific and Atlantic oceans along the tectonic boundary of the Caribbean and the South American plates (Jaramillo et al., 2017). The CAS existed throughout the

Cenozoic, but was reduced in width by the early Miocene (Farris et al., 2011), and the transfer of deep–water ceased by the late Miocene 12–10 Ma (Montes et al., 2015; Bacon et al., 2015; Jaramillo et al., 2017). Shallow marine connections between Caribbean and Pacific waters existed until about 4.2–3.5 Ma, when a complete closure occurred (Coates and Stallard, 2013). The Cocinetas Basin, located on the eastern flank of La Guajira Peninsula, northern Colombia, records a transition in marine

5 and terrestrial paleoenvironments during this regional change in conditions. This region presents extensive and well exposed sedimentary deposits spanning the last 25 Myr (Moreno et al., 2015). The paleoenvironments are characterized by a transition from shallow marine deposits to a fluvio–deltaic system (Moreno et al., 2015), with a rich fossil record of invertebrates (Hendy et al., 2015) and vertebrates (Aguilera et al., 2013, 2017b; Moreno et al., 2015; Cadena and Jaramillo, 2015; Amson et al., 2016; Carrillo–Briceño et al., 2016b; Moreno–Bernal et al., 2016; Pérez et al., 2016). Ages for many of the fossiliferous units

10 in the sequence have been estimated using Sr isotope stratigraphy (see Hendy et al., 2015).

Neogene marine chondrichthyan faunas from the southern Proto–Caribbean (especially from the northern margin of South America) are well known from Venezuela and <u>thesome</u> Lesser Antilles (e.g., Leriche, 1938, Casier, 1958, Casier, 1966, Aguilera, 2010, Aguilera and Lundberg, 2010, Carrillo–Briceño et al., 2015b, Carrillo–Briceño et al., 2016a, and references therein). But reports on chondrichthyans from the Neogene of Colombia are scarce. Previous reports from the Cocinetas Basin include

15 fossil elasmobranchs without taxonomic description (Lockwood, 1965), a checklist of 14 families (Moreno et al., 2015), and the description of a small assemblage of 13 taxa from the early Miocene Uitpa Formation (Carrillo–Briceño et al., 2016b).

A taxonomic revision is presented of the elasmobranch fauna collected in the Cocinetas Basin (Figs. 1–2), from the Jimol (Burdigalian), Castilletes (late Burdigalian–Langhian), Ware (Gelasian–Piacenzian) fFormations, and two localities of the Patsúa Valley (Burdigalian–Langhian). The assemblage includes 30 taxa, of which 24 are new reports for Colombian Neo-

20 gene deposits. Additionally, paleoecological and paleoenvironmental interpretations based on the feeding ecology of extant counterpart species, as well as <u>measurements of the ratioestimates of the paleosalinity using</u> of stable <u>oxygen isotopesisotope</u> <u>compositions of oxygen</u> in the bioapatite of shark teeth are discussed. The Cocinetas Basin represents a valuable window into dynamic changes in paleodiversity experienced by ancient Proto–Caribbean Neogene chondrichthyan faunas.

2 Material and Methods

- 25 The fossil elasmobranch assemblages (Table 1, Tables S1–S3; File S4) consists of 2529 specimens from 36 localities (Table S1) from the Cocinetas Basin, Guajira Peninsula, northeastern Colombia (Fig. 1). The elasmobranch faunas were collected in the early Miocene Jimol Formation (six localities and 113 specimens), early–middle Miocene Castilletes Formation (20 localities and 1232 specimens), and the late Pliocene Ware Formation (eight localities and 215 specimens) (Tables S1–S2). Localities STRI 290468 and 290472 (968 specimens) in the Patsúa Valley, close to Flor de Guajira, along the southern margin of the
- 30 Cocinetas Basin (Fig. 1) are from strata with distinct paleofauna and facies from those of that cannot be readily correlated with either Jimol andor Castilletes formationsFormation. Because of these difficulties, and differences in their facies, and invertebrate and vertebrate fauna, They are considered we treat them as undifferentiated Jimol/Castilletes Formation, and they are referred to herein as the Patsúa assemblage.

The samples were collected by JDCB, AH and other collaborators during several expeditions between 2010 and 2014. Large specimens were <u>surface collected andeollected directly from the outcrop</u>, while 50 kg bulk sediment <u>was collected</u>, sieved and <u>screen washed (mesh sizes: 0.5 and 2 mm)</u> for subsequent picking of smaller specimens were collected from the localities <u>290468</u> (Patsúa assemblage), <u>290632 and 390094</u> (Castilletes Formation).and Castilletes Formation (localities <u>290632 and</u>

charocles Agassiz, 1838, for which we follow the nomenclature discussed in Last et al. (2016), White and Naylor (2016) and

- 5 390094). The bulk sediments were sieved and screen washed (mesh sizes: 0.5 and 2 mm). <u>T</u>the overall Cocinetas Basin elasmobranch specimens (File S4) are housed in the paleontological collections of the Mapuka Museum of Universidad del Norte (MUN), Barranquilla, Colombia. <u>The N</u>nomenclature follows Cappetta (2012) and Compagno (2005), with the exception of Rhinopristiformes Last et al., 2016, Aetobatidae Agassiz, 1958 (Table 1) and *Car*-
- 10 Ward and Bonavia (2001), respectively. Identifications are based on literature review (e.g., Santos and Travassos, 1960, Müller, 1999, Purdy et al., 2001, Cappetta, 1970, Cappetta, 2012, Reinecke et al., 2011, Reinecke et al., 2014, Voigt and Weber, 2011, Bor et al., 2012, Carrillo–Briceño et al., 2014, Carrillo–Briceño et al., 2015a, Carrillo–Briceño et al., 2015b, Carrillo–Briceño et al., 2016a, Aguilera et al., 2017a, among others) and comparative analysis between fossil and extant specimens from several collections including Museu Paraense Emilio Goeldi (MPEG–V), Belém, Brazil; Fossil Vertebrate Section of the Museum für
- 15 Naturkunde, Berlin, Germany (MB.Ma.); Natural History Museum of Basel (NMB), Switzerland; the paleontological collections of the Alcaldía del Municipio Urumaco (AMU–CURS) and Centro de Investigaciones Antropológicas, Arqueológicas y Paleontológicas of the Universidad Experimental Francisco de Miranda (CIAAP, UNEFM–PF), both in Venezuela; Paleontological collection of the Institut des Sciences de l'Evolution, University of Montpellier (UM), France; Palaeontological Institute and Museum at the University of Zurich (PIMUZ), and René Kindlimann private collection, Uster, Switzerland.
- 20 Quantitative data includes percentages of specimens by order, <u>familyfamilies</u> and <u>genusgenera</u> recorded in the overall assemblages of the Cocinetas Basin (Table 1, Tables S1–S2, Fig. S5). <u>Paleoecological interpretations of fossil chondrichthyan</u> assemblages have limitations related to the scarce information offered by the fossil record. Extant sharks and rays as a whole have a wide range of diets; however, each taxon has specific food preferences (see Cortés et al., 2008; Klimley, 2013) that could be used to infer dietary strategies of their fossil relatives (e.g., Carrillo–Briceño et al., 2016a). Information regarding feeding
- ecology (dietary composition and behavior) of extant/relative species of the taxa recorded in the Cocinetas assemblages (Table S3) was compiled from Cortés et al. (2008), Compagno et al. (2005)₂; Voigt and Weber (2011)₂; Ebert and Stehmann (2013); and the FishBase website (Froese and Pauly, 2017).

Analyses of $\delta^{18}O_{PO4}$ were made in the Stable Isotope Laboratory at the University of Lausanne (UNIL) (Table 2). Powder samples of <u>1–1.5 mg from</u> shark toothteeth enameloid were obtained by abrasion of the crown surface using a micro–drill, and

- 30 smallmicro fragment samples were obtained by cutting off the tooth tipsthe tip of teeth. In a few cases when only small or fragmented teeth were available bulk samples were taken (1–1.5 mg of enameloid and dentine). Based on previous studies, isotopic data still provide valuable information about the paleoecology of sharks along stratigraphicgeochronological sequences (Fischer et al., 2012, 2013a, b; Kocsis et al., 2014; Leuzinger et al., 2015; Aguilera et al., 2017a). All samples were cleaned in deionizedultrapure water in an ultrasonic bath to reduce sedimentary contamination. International reference (of-NBS-120c)
- 35 phosphorite) and in-house laboratory standards were prepared parallel with each sequence of samplesthe batch. Pretreatment

followed the method described by Koch et al. (1997), where powdered teeth were first washed in 1M acetic acid–Ca acetate (pH = 4.5, 2h) to remove any exogenous carbonates and, then were thoroughly rinsed several times in deionizedultrapure water. To obtain the $\delta^{18}O_{PO4}$ values the phosphate group in apatite was separated via precipitation as silver phosphate (O'Neil et al., 1994; Dettman et al., 2001; Kocsis, 2011). The method was adapted from the last review on silver phosphate microprecipita-

- 5 tions by Mine et al. (2017). Triplicates or duplicates of each Ag₃PO₄ sample were analyzed on a TC/EA (high-temperature conversion elemental analyzer) (Vennemann et al., 2002) coupled to a Finnigan MAT 253 mass spectrometer, where silver phosphate is converted to CO at 1450 °C via reduction with graphite. Measurements were corrected to in-house Ag₃PO₄ phosphate standards (LK-2L: 12.1 ‰ and LK-3L: 17.9 ‰) that had better than ±0.3 ‰ (1σ) standard deviations during measurements. The NBS-120c phosphorite reference material had an average value of 21.7 ‰ ±0.1 ‰ (n = 6). The isotope ratios
- 10 are expressed in the δ -notation relative to Vienna Standard Mean Ocean Water (VSMOW).

The $\delta^{18}O_{PO4}$ values in shark teeth is a well known environmental proxy, especially when enameloid derived samples are employed (Vennemann et al., 2001; Zazzo et al., 2004a, b; Lécuyer, 2004; Kocsis, 2011). Longinelli and Nuti (1973a, b) were the first who recognized that the $\delta^{18}O_{PO4}$ values of several ectothermic fishes are related to two environmental parameters: the water temperature (T) and the $\delta^{18}O$ value of the water ($\delta^{18}O_w$). Based on these studies, an equation that empirically

15 represents the oxygen isotope fractionation between biogenic phosphate and water was <u>calculated</u><u>suggested</u> ([T (°C) = 111.4 – 4.3 ($\delta^{18}O_{PO4} - \delta^{18}O_w$)]), which was later revised (Kolodny et al., 1983; Pucéat et al., 2010; Lécuyer et al., 2013). This equation is used by paleontologists as a paleothermometer (Barrick et al., 1993; Lécuyer et al., 1993, 1996). Recently the $\delta^{18}O_{PO4}$ values have also been used to estimate the horizontal migrations of fishes into brackish <u>sub</u>-environments (Kocsis et al., 2007; Klug et al., 2010; Fischer et al., 2012, 2013a, b; Leuzinger et al., 2015).

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Paleotemperatures from the $\delta^{18}O_{PO4}$ values were also calculated using the latest equation of Lécuyer et al. (2013) [T (°C) = 117.4 - 4.5 × ($\delta^{18}O_{PO4} - \delta^{18}O_w$)]. For the late Pliocene samples (Ware Formation) a seawater value of 0 ‰ was used (VSMOW: Vienna Standard Mean Ocean Water), while for the early–middle Miocene samples (Patsúa assemblage, Jimol and Castilletes) a value of -0.4 ‰ was used following estimates of the global seawater isotopic composition (Lear et al., 2000; Billups and Schrag, 2002).

25 3 Geological and Stratigraphic setting

3.1 Jimol Formation (Burdigalian)

This formation is one of the most extensive Cenozoic units in the Cocinetas Basin (Fig. 1b), with a thickness of approximately 203 $m_{.5}$ However, the formation is represented by a composite section with some poorly preserved beds in the middle portion (Moreno et al. 2015)although this composiste section was poorly exposed in the middle parts of the Formation (Moreno et al.

30 2015). The lower and upper contacts of the Jimol Formation are conformable with the Uitpa and Castilletes <u>fF</u>ormations respectively (Fig. 1b). According to Moreno et al. (2015) and Hendy et al. (2015), the unit is characterized by coarse detritic and calcareous lithologies with fewer interbedded muddy levels deposited in a shallow marine paleoenvironment, <u>likely anim</u> inner shelf <u>depthenvironment</u> (< 50 m). Abundant invertebrates (Hendy et al., 2015) and some vertebrate remains (Moreno

et al., 2015; Moreno–Bernal et al., 2016) have been recorded. A late <u>E</u>early Miocene (17.9–16.7 Ma) age is assigned to the unit on the basis of macroinvertebrate biostratigraphy and 87 Sr/ 86 Sr isotope chronostratigraphy (see Hendy et al., 2015).

3.2 Castilletes Formation (Burdigalian–Langhian)

This lithostratigraphicgeological unit crops out along the eastern margin of the Cocinetas Basin (Fig. 1b). The lithology of the

- 5 Castilletes Formation is characterized by successions of mudstones interbedded with thin beds of biosparites and sandstones, with an estimated thickness of 440 m., being <u>T</u>the lower contact is conformable with the underlying Jimol Formation, and the upper is unconformable (angular contact) with the overlying Ware Formation (Moreno et al., 2015). The unit was deposited in shallow marine to fluvio–deltaic environments, with abundant marine, fluvio–lacustrine and terrestrial fossils (e.g., plants, mollusks, crustaceans, fishes, turtles, crocodilians, and mammals) (Aguilera et al., 2013, 2017b; Cadena and Jaramillo, 2015;
- Hendy et al., 2015; Moreno et al., 2015; Amson et al., 2016; Moreno–Bernal et al., 2016; Aguirre–Fernández et al., 2017). Isotope chronostratigraphy (⁸⁷Sr/⁸⁶Sr) supports an age of 16.2 Ma (range: 16.33–16.07) for the lower section, and 15.30 Ma (range: 15.14–15.43) for the middle part of the unit (Moreno et al., 2015).

3.3 Undifferentiated Jimol and Castilletes Formation (Burdigalian–Langhian)

Sediments of Bahia Cocinetas in the Patsúa Valley werehave been previously mapped as the Castilletes Formation (Moreno
 et al., 2015; Moreno–Bernal et al., 2016). They unconformably overly carbonates of the Siamana Formation (late Oligocene–early Miocene), and are in turn overlain with an angular unconformity by the Ware Formation along the shoreline of Bahia Cocine-tas. Despite these stratigraphic relationships, this succession cannot be physically correlated with any particular beds in either the Jimol or Castilletes ffFormations in the central and northern parts of Cocinetas Basin. The lithofacies preserved in this succession, which includes fossiliferous conglomerate and coarse sands, and distinct fossil assemblages (*Teredo*Teredo–bored

20 wood, an oceanic fauna of mollusks and echinoderms, and diverse elasmobranch and bony fish faunas), which are also anomalous. For the purposes of analyzing the biodiversity and paleoecology of elasmobranch faunas in Cocinetas Basin it is best to refer to these beds as the undifferentiated Jimol/Castilletes Formation. The underlying Siamana Formation may be as young as Aquitanian–early Burdigalian (Silva–Tamayo et al., 2017) thereby constraining the maximum age of these beds as Burdigalian.

3.4 Ware Formation (late Pliocene)

- 25 The type section of the Ware Formation is located immediately east of the village of Castilletes, and correlated deposits are distributed along the eastern margin of Cocinetas Basin (Fig. 1b), cropping out as conspicuous isolated hills with near horizontal strata (Hendy et al., 2015; Moreno et al., 2015). The lithology of the Ware Formation is composed of light gray mudstones, grayish–yellow fine sandstones, and muddy sandstones, reddish–gray pebbly conglomerates, yellowish–gray packstone biosparites, and sandy to conglomeratic biosparites, with an estimated thickness of approximately 52 m. The lower contact is
- 30 unconformable with the underlying Castilletes Formation, and the upper contact is a fossiliferous packstone in the stratotype that marks the youngest preserved Neogene sedimentation in the Cocinetas Basin (Moreno et al., 2015; Pérez–Consuegra

et al., 2018). The basal section of the unit was deposited in a fluvio-deltaic environment, and abundant plant and vertebrate remains (including sharks herein referred, fishes, turtles, crocodilians, and mammals) have been found in the conglomeratic layers (Moreno et al., 2015; Amson et al., 2016; Moreno-Bernal et al., 2016; Pérez et al., 2016). Only marine invertebrates have been found in the top beds of the Ware Formation (e.g., Hendy et al., 2015), suggesting an exposed open-ocean shoreface

5 and nearshore settings near coral reefs (Moreno et al., 2015). A late Pliocene (Piacenzian) range of 3.40 Ma to 2.78 Ma age is assigned to the Ware Formation on the basise of macroinvertebrate biostratigraphy and ⁸⁷Sr/⁸⁶Sr isotope chronostratigraphy (Moreno et al., 2015).

4 Results

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4.1 Elasmobranch paleodiversity

- 10 The taxonomical composition of the 36 fossiliferous localities (Table S1) includes at least 30 taxa of squalomorphs, gale-omorphs and batoids (Table 1), Figs. 3–8). Squalomorphs are represented by two species, two genera and two families of Squaliformes and Pristiophoriformes. Galeomorphs are represented by at least 20 species, 13 genera and seven families of Orectolobiformes, Lamniformes and Carcharhiniformes (Table 1). Batoids include seven species, seven genera and seven families of Rhinopristiformes and Myliobatiformes (Table 1).
- Squaliformes Goodrich, 1909. This group (Table 1) is represented by two specimens referable to *Dalatias* cf. *D. licha* (Bonnaterre, 1788) (Fig. 3a–d, Table S2) from the Jimol Formation (Table S1). This taxon was previously <u>identified inrecorded</u> from the Cocinetas Basin (Uitpa Formation) by Carrillo–Briceño et al. (2016b).

Pristiophoriformes Berg, 1958. Five isolated crowns of rostral teeth of indet. *Pristiophorus* Müller and Henle, 1837 (Fig. 3e–g, Table 1, Table S2), were collected in the Patsúa Valley from the locality 290468 (Table S1). Similar specimens were
 recorded from the Uitpa Formation by Carrillo–Briceño et al. (2016b).

• Orectolobiformes Applegate, 1972. Eight specimens referable to an indet. species of <u>Nebrius</u> Rüppell, 1837 (Fig. 3h–o, Table 1, Table S2), were collected exclusively from Burdigalian localities of the Castilletes Formation (Table S1). The specimens are morphologically similar to those of *Nebrius* sp. reported from the Cantaure Formation (Burdigalian) in the Falcon Basin, Venezuela and Pirabas Formation (Aquitanian–Burdigalian), Brazil (Aguilera et al., 2017a). For summarized information about taxonomy and stratigraphic range of *Nebrius* in the Americas see Carrillo–Briceño et al. (2016a, p. 6).

• Lamniformes Berg, 1937. These sharks represent the second most diverse group from the Cocinetas elasmobranch assemblages (Fig. 9a), with records for the Jimol and Castilletes Formations and Patsúa assemblage (locality 290468) (Fig. 9b, Tables S1–S2). *Isurus* cf. *I. oxyrinchus* Rafinesque, 1810 (Fig. 3p–t), †*Paroatodus benedenii* (Le Hon, 1871) (Fig. 3u–v), †*Carcharocles chubutensis* (Ameghino, 1901) (Figs. 3w–z, 4a–d), *Alopias* cf. †*A. exigua* (Probst, 1879) (Fig. 4n–q), and

30 *†Anotodus retroflexus* (Agassiz, 1843) (Fig. 4r–s), are recorded exclusively <u>atfor-the</u> locality 290468 (Table S1), whereas *Carcharocles* sp. (Fig. 4m) occurs in the Jimol Formation, and *†Carcharocles megalodon* (Agassiz, 1843) (Fig. 4e–l) from only three localities of the late Burdigalian strata of the Castilletes Formation (Table S1). *†Carcharocles chubutensis* and *†C. megalodon* are the most abundant lamniforms from all studied localities of the Cocinetas Basin (Table S1). Due to the rela-

tively small size of the $\dagger C$. *chubutensis* teeth from the localities 290468 and 290472, (Table S1), these likely belong to juvenile individuals (Figs. 3w-z, 4a-d).

• Carcharhiniformes Berg, 1937. With 14 taxa this is the most diverse and the second most abundant elasmobranch group from the Cocinetas assemblages (Fig. 9a). The Carcharhinidae Jordan and Evermann, 1896 with five genera and 11 species

- 5 [†Galeocerdo mayumbensis Dartevelle and Casier, 1943 (Fig. 4x-z); †Carcharhinus ackermannii Santos and Travassos, 1960 (Fig. 5a-d); Carcharhinus cf. C. brachyurus (Günther, 1870) (Fig. 5e-h); †Carcharhinus gibbesii (Woodward, 1889) (Fig. 5k-o); Carcharhinus leucas (Müller and Henle, 1839) (Fig. 5p-s); Carcharhinus cf. C. limbatus (Müller and Henle, 1839) (Fig. 5t-u); Carcharhinus cf. C. perezi (Poey, 1876) (Fig. 5v-w); Carcharhinus cf. †C. priscus (Agassiz, 1843) (Figs. 5x-z', 6a-d); †Isogomphodon acuarius (Probst, 1879) (Fig. 6h-i); †Negaprion eurybathrodon (Blake, 1862) (Fig. 6j-n); †Physogaleus
- 10 contortus (Gibbes, 1849) (Fig. 60–r)] is the most diverse family represented in the Cocinetas assemblages (Fig. S5). Other less diverse group of carcharhiniforms are represented by the Sphyrnidae Gill, 1872 [†*Sphyrna arambourgi* Cappetta, 1970 (Fig. 6s–v); †*Sphyrna laevissima* (Cope, 1867) (Fig. 6w–z')] and the Hemigaleidae Hasse, 1879 [†*Hemipristis serra* (Agassiz, 1835) (Fig. 4t–w)], the latter being the most abundant taxon among the studied carcharhiniforms of this group of sharks (Tables S1–S2). From the above referred taxa from the Cocinetas Basin, only †*N. eurybathrodon* shows a record from the early Miocene
- to the late Pliocene. Although taxonomic discussions are out of the scope of this contribution, teeth of †*N. eurybathrodon* are indistinguishable from extant species *Negaprion brevirostris* (Poey, 1868), which also have been noted in the fossil record of the Americas (see Carrillo–Briceño et al., 2015a, <u>T</u>table 2; 2016b, <u>T</u>table 2). As there is no detailed revision supporting or rejecting the above assumption, just as Carrillo–Briceño et al. (2016a), we use †*N. eurybathrodon* (for fossil specimens) sustained by the principle of priority of the International Code of Zoological Nomenclature. In reference to the *Carcharhinus* spp. teeth (Fig. 6e–g), we have referred all specimens that are broken, eroded and without any diagnostic features for specific
- identification.

• Rhinopristiformes Last, Séret and Naylor, 2016. Two taxa of this group of batoids are represented in the Cocinetas assemblages (Fig. 9, Table 1, Fig. S5). <u>Rhynchobatus Müller and Henle, 1837 was recovered from the Castilletes FormationFm.</u> and are represented by a few isolated teeth (Fig. 7a–i, Table S1)One of them is represented by few isolated and indet. teeth

of Rhynchobatus Müller and Henle, 1837 (Fig. 7a–i), which are recorded only for the Castilletes Formation (Table S1). Our *Rhynchobatus* sp. specimens resemble those from the Neogene of Venezuela and other locations inof Tropical America (Carrillo–Briceño et al., 2016a; Aguilera et al., 2017a). W, however, we refrain any taxonomic identification at the species level of our specimens, because the range of dental variation in extant species is unknown, and little is known about fossil species from the Americas (Carrillo–Briceño et al., 2016a). *Pristis* Linck, 1790 is present in both the Castilletes and Ware formations and

30 represented by rostral denticles and a fragment of rostrum (Fig. 7j-m, Table S1) The other taxon is represented by a fragment of rostrum and a few rostral denticles of indet. *Pristis* Linck, 1790 (Fig. 7j-m) from the Castilletes and Ware fFormations (Table S1). NAs noted by Carrillo–Briceño et al. (2015b), rostral fragments and denticles are not diagnostic for accurate specific taxonomic determinations.

Myliobatiformes Compagno, 1973. <u>This order is r</u>Represented by five taxa [†*Plinthicus stenodon* Cope, 1869 (Fig. 35 8u-x);and indet. teeth of *Dasyatis* Rafinesque, 1810 (Fig. 7n-u); *Aetobatus* Blainville, 1816 (Fig. 7v-x); *Aetomylaeus* Garman,

1913 (Fig. 8a–j); and *Rhinoptera* Cuvier, 1829 (Fig. 8k–t)]. <u>T</u>, this group of batoids (Table 1) is the most abundant and the third most diverse group of chondrichthyans inelasmobranch representatives of the Cocinetas assemblages (Fig. 9, Tables S1–S2, Fig. S5). Teeth assigned to *Aetobatus* sp., †*P. stenodon* and *Dasyatis* sp. are scarce and only found in the Castilletes Formation and Patsúa assemblage (locality 290468) (Table S1). *Aetomylaeus* sp. is reported only in Jimol and Castilletes fFormations,

5 and the locality 290468; whereas, *Rhinoptera* sp. has a record in the Cocinetas assemblages from the early Miocene to the late Pliocene and is, being the most abundant taxon (Tables S1–S2). More than 419 highlyhardly eroded and broken teeth without any diagnostic features for generic determination have been assigned to Myliobatoidea indet. (Table S1), however, they we do not rule out that these teeth could belong to *Aetomylaeus* or *Rhinoptera*.

4.2 Dietary preferences

- 10 Although extant representatives of the fossil elasmobranchs present in the Cocinetas assemblages exhibit a wide range of diets, four feeding preferences of benthic-pelagic predators and filter feeders can be recognizednoted (Table S3). For the Jimol Formation, the most diverse feeding group is piscivorous, which isfeeder group is that of the piscivorous (Fig. 10), dominated by carcharhiniforms, lamniforms, and a fewminority of squaliforms representatives(Fig. 10, Table S3). The second most diverse group is durophagous/cancritrophic group(mollusk, crustacean, coral feeders), which is the most abundant
- 15 in the Jimol assemblages (Fig. 10) and dominated mainly by myliobatiforms taxa (Table S3). †*Carcharocles* sp. is the only possible eurytrophic/sarcophagous (diverse prey sources: fishes, reptiles, birds, mammals, etc.) representatives of this unit. Like the Jimol Formation, the Castilletes Formation fauna also shows a diversity dominated by piscivorous taxa (Fig. 10), and abundance dominated by the durophagous/cancritrophic group (represented in the Castilletes assemblages mainly by myliobatiforms) (Table S3). In the Castilletes assemblage, †*Carcharocles megalodon* and †*Galeocerdo mayumbensis* are the only
- 20 representatives of the eurytrophic/sarcophagous <u>niche</u>, and the filter <u>feeding nichefeeders</u> (diet based mainly on planktonic microorganisms) is represented only by the mobulid *Plinthicus stenodon*, being the less abundant and diverse groups of the Castilletes assemblages (Fig. 10, Table S3). In contrast-with the assemblages of the Jimol and Castilletes fFormations, the Pat-súa assemblage (localities 290468 and 290472) is characterized by a higher diversity and abundance of <u>piscivorespiscivorous</u>, followed by durophagous/cancritrophic diets (Fig. 10, Table S3). Eurytrophic/sarcophagous and filter feeders also are repre-
- sented in the localities 290468 and 290472 (Fig. 10, Table S3). In contrast with Jimol, Castilletes and Patsúa assemblages, the elasmobranch assemblage from the Ware Formation shows low diversity and abundance of taxa (Fig. 10, Tables S1–S3).

4.3 Stable isotope analysis of shark teeth

The $\delta^{18}O_{PO4}$ values of the 73 shark teeth <u>analyzed have a</u> ranged from 15.7 % to 21.7 % (VSMOW, Table 2). Samples were grouped in accordance with their geochronological position in the stratigraphic column (Fig. 11). <u>Adjacent lLayers containing</u>

30 few teeth and/or very close to adjacent levels were averaged to be representative for a wider period for better representation. The range Variability of the $\delta^{18}O_{PO4}$ values within the same beds vary is up to 4 ‰, and the highest is in the Patsúa assemblage (locality 290468), where many teeth from different species were available (seven species, n = 26) however, a large variation was not exclusively found in levels where many samples were analyzed (e. g., Castilletes, locality 390093). <u>Results from sharks of the Patsúa assemblage are mainly discussed in terms of paleoecology, since the age of the assemblage is unknownSeveral teeth were available from the Patsúa assemblage (n = 26) and these were carefully interpreted since the age of the assemblage is unknown. Still, the ecological data from the seven shark species present on both localities (290468, 290472) can be discussed. The average isotope compositionsdata from the two stratigraphically uncertain Patsúa layerslevels</u>

- 5 are very similar (localities 290468 and 290472, *t* test: t(24) = 0.275; p > 0.78), hence can be considered as one whole-dataset. InRegarding the Castilletes Formation, the mean $\delta^{18}O_{PO4}$ values do differ along the stratigraphic columnsedimentary profile (Fig. 11a). Statistical tests performed in the following stratigraphic orders have not shown significant differences between the sample batches that are following each other, except for the uppermost locality 390093 Isotopic values increase towards the middle Miocene (localities 130024, 430202: 20.4 ± 1.0%, n = 5), but then decrease in the following intervals (locality 390093;
- 10 $18.7 \pm 1.3 \%$, n = 4). However, importantly when pairwise Student's t tests are performed following stratigraphic orders then no significant differences are observed between the sample batches that are following each other. Still, the top youngest data of the Castilletes Formation gives the lowest average $\delta^{18}O_{PO4}$ value for this lithostratigraphic unit. WhenTukey's pairwise comparison <u>distinguished</u> to the data of the Castilletes layers, then the top bed <u>asis significantly</u> different from the two <u>lowermiddle</u> levels of 290438 and 430202–130024. <u>Samples from this layer had the lowest average $\delta^{18}O_{PO4}$ value for</u>
- 15 this lithostratigraphic unit (18.7 \pm 1.3 %, *n* = 4).

In the youngest unit of the Ware Formation low ¹⁸O/¹⁶O were measured for the bull shark *C. leucas* specimens (CL.1–CL.12: 17.6 \pm 1.1 ‰, *n* = 12, Fig. 11a). Interestingly, when the average data of the Ware beds is compared to the youngest bed of the Castilletes Formation they do not show significant differences (*t* test: *t*(16) = 0.748, p > 0.46).

From the older Jimol Formation only two teeth were analyzed, but their average is inundistinguishable from that of the
overall average value of both the Castilletes and Patsúa assemblages. When the Patsúa, Castilletes and Ware assemblages are compared on a boxplot, the averages of the first two are indistinguishable (Fig. 11b) The three larger assemblages of Patsúa, Castilletes and Ware can be compared on a boxplot (Fig. 11b). The averages of the first two are undistinguishable; h. However, both are significantly different from thethat of Ware samplesdataset. Outliers toward lower isotopic values were found in There is one outlier from each of the Patsúa and Castilletes faunas, which are teeth of a †*Carcharocles chubutensis* (290468) and a †*Negaprion eurybathrodon* (390093) specimens, respectively.

5 Discussion

5.1 Diversity and biostratigraphy significance

Of the elasmobranch assemblages described here from the Cocinetas Basin (~30 taxa) at least half of the fauna is characterized by extinct taxa (Table 1). With the exception of *Alopias* cf. †*A. exigua* (Fig. 4n–q, Tables S1–S2), representing the first record
of this taxon from Tropical America, the remaining taxa from the Cocinetas assemblages have been found in other Neogene deposits of the Americas (e.g., Kruckow and Thies, 1990, Purdy et al., 2001, Aguilera and Lundberg, 2010, Cappetta, 2012,

bensis, †C. ackermannii, Carcharhinus cf. C. brachyurus, C. leucas, Carcharhinus cf. C. limbatus, Carcharhinus cf. C. perezi, Carcharhinus cf. †C. priscus, †I. acuarius, †N. eurybathrodon, †P. contortus, and †S. arambourgi) and seven batoids (*Rhynchobatus* sp., *Pristis* sp., *Dasyatis* sp., *Aetobatus* sp., *Aetomylaeus* sp., *Rhinoptera* sp., and †P. *stenodon*) are reported for the first time from Colombian Neogene deposits. The elasmobranch assemblages of the Jimol and Castilletes fFormations and the

5 Patsúa assemblage, share certain <u>similaritiesfaunal similarity</u> with the fauna previously described from the underlying Uitpa Formation (e.g., Carrillo–Briceño et al., 2016b).

The elasmobranch fauna of the Cocinetas assemblages show a clear differentiation in paleodiversity between the geological units (see Fig. S5). The Castilletes Formation and Patsúa assemblage are the most diverse units of <u>all</u> the overall assemblages from the Cocinetas Basin (Tables S1–S2, Fig. S5). In contrast, the Jimol and Ware fFormations are the least diverse units

- 10 (Tables S1–S2, Fig. S5). These paleodiversity differences between the geological units of the Cocinetas Basin, in fact, could be attributedable to: 1) less intensive sampling, and especially to the less systematic sieving of all studied localities (see Material and Methods section) and/or 2) different lithologic, taphonomic and preservational conditions, without dismissingleaving aside a direct response to the paleoenvironmental and paleoecological conditions (see the below Paleoenvironments of the Cocinetas Basin subsection). The Castilletes Formation and Patsúa assemblage preserve one of the most diverse elasmobranch faunas
- 15 known from the early-middle Miocene of the Americas (Fig. S6).

Of biostratigraphic significance to the elasmobranch fauna of the Cocinetas assemblages is the record of $\dagger C.$ *megalodon*, $\dagger G.$ *mayumbensis*, $\dagger C.$ *gibbesii* and $\dagger C.$ *ackermannii*. The presence of $\dagger C.$ *megalodon* in late Burdigalian sediments of the Castilletes Formation (localities 130024, 290824 and 430202, Fig. 2b), confirms the presence of this species during late early Miocene, an assertion that too has been previously discussed for another American localities by Carrillo–Briceño et al. (2016a, p. 21,

- 20 and references therein). The age of the above referred localities of the Castilletes Formation, have been estimated by ⁸⁷Sr/⁸⁶Sr isotope stratigraphy (Hendy et al., 2015, fig. 16, tab. 6). In the case of †*C. chubutensis*, this species is restricted to the Patsúa assemblage, which suggests that the previous specimens of †*Carcharocles* sp. referred to the Uitpa Formation by Carrillo–Briceño et al. (2016b, fig. 4.12–13), could belong to the former species. Due to the relatively small size of the †*C. chubutensis* teeth from the localities 290468 and 290472 (Table S1), these likely belong to juvenile and sub-adults individuals (Figs. 3w–z, 4a–d). The
- 25 specimens assigned here to $\dagger C$. *chubutensis* are characterized by the presence of pair of lateral cusplets that are not separated from the main cusp and a narrower cusp in lower teeth, while those assigned to $\dagger C$. *megalodon* have a wider crown in lower teeth and lack lateral cusplets.

 \dagger *Carcharhinus gibbesii* in Jimol Formation, <u>besides being presentas well</u> in the Patsúa assemblage <u>it</u> is also present in the Burdigalian sediments of the Cantaure Formation in Venezuela (Carrillo–Briceño et al., 2016a). These records from the late

30 part of <u>the</u> early Miocene are notable as the last appearance of *†C. gibbesii* has been regarded as Aquitanian (Carrillo–Briceño et al., 2016b). *†Carcharhinus ackermannii* is reported here from the Burdigalian sediments of the Castilletes Formation and Patsúa assemblage (Tables S1–S2). However, previously it has been exclusively reported previously from the early Miocene Cantaure (Venezuela) and Pirabas (Brazil) <u>f</u>Formations (Santos and Travassos, 1960; Carrillo–Briceño et al., 2016a; Aguilera et al., 2017a). Due to the scarce fossil record of this extinct species, it is difficult to propose a determined biostratigraphic and

geographical range. The absence of this species in other geological units, younger than early Miocene in the Americas or other regions, could suggest that this species is restricted to the early Miocene.

<u>WithIn</u> reference to *†Galeocerdo mayumbensis, still* little is known about its distribution and chronostratigraphy, which has been figured in the scientific literature only from a few early Miocene localities of Africa (Dartevelle and Casier, 1943;

- 5 Andrianavalona et al., 2015; Argyriou et al., 2015) and South America (Carrillo–Briceño et al., 2016a; Aguilera et al., 2017a). According to the morphology of some illustrated teeth (resembling the morphology of those of †*G. mayumbensis*), taxonomical misidentifications could also include specimens from the early Miocene of Africa (Cook et al., 2010, Fig. 3c), Asia (Patnaik et al., 2014, Plate 2.12), Central America (Pimiento et al., 2013, Fig. 4b), and South America (Santos and Travassos, 1960, Fig. 3; Reis, 2005, Fig. 6; Costa et al., 2009, Fig. 1e, 2c), for which a more detailed review of these specimens would
- 10 be necessary. Abundant unpublished teeth of †*G. mayumbensis* (labelled in public and private collections) from the east coast of the US, questionably have been assigned to a middle to late Miocene and Pliocene age without a detailed stratigraphic information. However, many specimens are certainly present at least in the earlier portion of the middle Miocene section of the Bone Valley Formation in Florida (DJE Ehret, personal communication, August 2, 2018). The absence of †*G. mayumbensis* in locations younger than early Miocene (with the exception of the above record Bone Valley Formation), and the tendency of the
- 15 overall stratigraphical distribution of †*G. mayumbensis*, including the new referenced record of the Castilletes Formation and the Patsúa assemblage (Table S1), could suggest that this extinct tiger shark was probably restricted to the early Miocene and beginning of middle Miocene, with a widespread distribution. Some taxonomical misidentifications also include †*G. mayumbensis* from the early Miocene of Africa (Cook et al., 2010, fig. 3c), Asia (Patnaik et al., 2014, plate 2.12), Central America (Pimiento et al., 2013, fig. 4b), and South America (Santos and Travassos, 1960, fig. 3; Reis, 2005, fig. 6; Costa et al., 2009, fig. 1e, 2e). There
- 20 is not a consensus about unpublished †*G. mayumbensis* teeth (labelled/collections) and their localities from the eastern coast of the US, which questionably have been assigned to a middle to late Miocene and Pliocene age. The absence of †*G. mayumbensis* in locations younger than early Miocene (with the exception of the above record from US), and the tendency of the overall stratigraphical distribution of †*G. mayumbensis*, including the new referred record of the Castilletes Formation and the Patsúa assemblage (Table S1), could suggest that this extinct tiger shark was probably restricted to the early Miocene with a widespread
- 25 distribution in tropical environments.

5.2 Paleoenvironments of the Cocinetas Basin

5.2.1 Faunal assemblage evaluation

The Neogene sedimentary sequence of the Cocinetas Basin has been characterized by a transition from a shallow marine to a fluvio-deltaic paleoenvironment (e.g., Moreno et al., 2015; Pérez-Consuegra et al., 2018). The geological and paleon-

tological evidence (mainly based on mollusks, see Hendy et al., 2015) of Jimol Formation indicate depositional conditions characterized by a shallow marine environment (inner shelf depth < 50 m). The elasmobranch fauna from the Jimol Formation is characterized by a higher diversity of <u>piscivorous</u> carchariniforms and lamniforms <u>piscivorous</u> species (Figs. 9–10). However, in this assemblage, durophagous/cancritrophic representatives are the most abundant group (i. e., rays), which are

potential prey in marginal marine and brackish environments for piscivirous sharks (see Hendy et al., 2015). This could support habitat and feeding preferences of carchariniform and lamniform species in the Jimol Formation., which could support habitat and feeding preferences of this later group, related mainly with the abundance of potential prey in marginal marine and brackish environments (see Hendy et al., 2015). The elasmobranch fauna from the Castilletes Formation is mainly charac-

- 5 terized by carcharhiniforms and myliobatiforms, where more than the 80% of the <u>taxaabundance</u> corresponds to species of durophagous/cancritrophic feeding preferences (Figs. 9–10) and commonly these fishes are. Extant representatives, as well as fossils of the elasmobranch species of the Castilletes Formation, suggest that these taxa are closely related to marginal marine and brackish environments (see Carrillo–Briceño et al., 2015a, 2015b, 2016a and references therein). Abundant marine and terrestrial fossils such as plants, mollusks, crustaceans, fishes, turtles, crocodilians, and mammals in the Castilletes
- 10 Formation (Aguilera et al., 2013; Cadena and Jaramillo, 2015; Hendy et al., 2015; Moreno et al., 2015; Amson et al., 2016; Moreno–Bernal et al., 2016; Aguirre–Fernández et al., 2017), suggest a depositional environment associated to a shallow marine to fluvio–deltaic depositional environment, similar to those habitats that characterize the Neogene Urumaco sequence in Western Venezuela (Aguilera et al., 2013; Carrillo–Briceño et al., 2015); Cadena and Jaramillo, 2015; Hendy et al., 2015; Moreno et al., 2015; Amson et al., 2016; Moreno–Bernal et al., 2016; Aguirre–Fernández et al., 2017).(Carrillo–Briceño et al., Carrillo–Briceño et al., 2016; Moreno–Bernal et al., 2016; Aguirre–Fernández et al., 2017).
- 15 2015b). The elasmobranch fauna of the Castilletes Formation is similar to the Urumaco sequence because it is dominated by durophagous/cancritrophic taxa (such as Aetomylaeus, Rhinoptera, and Myliobatoidea indet.) (Carrillo–Briceño et al., 2015b) Similar also to the elasmobranch fauna from the Urumaco sequence (Carrillo–Briceño et al., 2015b), durophagous/cancritrophic taxa with capacity to triturate hard shells (Aetomylaeus, Rhinoptera and Myliobatoidea indet.) are the most abundant elasmobranch remains in the Castilletes Formation. This similarity could be related to the abundance of their potential benthic prey of mol-
- 20 lusks and crustaceans. As well as the presence of †*Carcharocles megalodon* in the brackish paleoenvironments of the Urumaco sequence (Aguilera and de Aguilera, 2004; Carrillo–Briceño et al., 2015b), its presence in marine/fluvio–deltaic environment of the Castilletes Formation, support possible physiological capabilities that allowed it to withstand the variations in salinity in estuarine and possibly river mouth habitats (see Carrillo–Briceño et al., 2015b, p. 24). The Patsúa assemblage, especially the locality 290468, is characterized by a high diversity and abundance of piscivorous carchariniforms and lamniforms piscivorous
- 25 species (Figs. 9–10). The presence of the lamniform *Isurus* cf. *I. oxyrinchus*, the otodontid †*Paroatodus benedenii*, the alopiids *Alopias* cf. †*A. exigua* and †*Anotodus retroflexus*, and the pristhiophoriform *Pristiophorus* sp., could suggest <u>a</u> fully marine environment. It is supported by tThe associated bony fishes (Acanthuridae, Labridae, Scaridae, Sparidae, Sphyraenidae, Balistidae and Diodontidae, (see Fig. S7), corals, bryozoans, echinoderms and mollusks, suggesting a subtidal marine environment with limited influence from major freshwater input (see Hendy et al., 2015). The mollusks and echinoderms, in particular,
- 30 are distinctive from those of the Jimol and Castilletes <u>f</u>Formations that have been extensively sampled in central and eastern parts of the Cocinetas Basin. The Patsúa assemblage preserves a diversity of species that covers fully marine sandy bottom and reef habitats (e.g., *Spondylus*), while freshwater and brackish water species are absent. Other notable fossils include abundant fragments of wood that contain *Teredolites* (traces of *Teredo* Teredo or shipworm), and *Aturia* (nautiloid), which presumably were washed up onto a more exposed coastal setting. An isolated and incomplete Odontoceti tooth also was recorded fromin
- 35 the locality 290472 (specimen MUN–STRI–44517).

In contrast with the diverse early–middle Miocene elasmobranch assemblages of the Jimol and Castilletes <u>f</u>Formations, and the Patsúa assemblage, the fauna of the late Pliocene Ware Formation is low in diversity and abundance (Fig. 9, Tables S1–S3, Fig. S5). In the same conglomeratic–fossiliferous layer where the elasmobranch<u>s</u> come from, abundant <u>vertebrate</u> fishes, turtles, crocodilians, and mammals, also have also been found (Moreno et al., 2015; Amson et al., 2016; Moreno–Bernal et al.,

- 5 2016; Pérez et al., 2016). A fluvio-deltaic depositional environment has been described for <u>thethis</u> basal <u>portionsection</u> of the Ware Formation (Moreno et al., 2015; Pérez-Consuegra et al., 2018). The sharks *Carcharhinus leucas*, and *†Negaprion eurybathrodon*, as well the batoids *Pristis* sp. and *Rhinoptera* sp.; are the only representative <u>chondrichthyan</u> species for this unit (Table S1). <u>TAll</u> these species are able to inhabit both marine and brackish environments (Feldheim et al., 2002; Matich and Heithaus, 2013; Ebert and Stehmann, 2013; Ebert et al., 2013; Carlson et al., 2013; Carrillo–Briceño et al., 2015b)
- 10 (see Carrillo–Briceño et al., 2015b, fig. 10). *Carcharhinus leucas* and *Pristis* also have the capacity to enter into rivers and live permanently in freshwater lakes (Voigt and Weber, 2011; Faria et al., 2013).

5.3 Paleoenvironmental reconstruction based on the $\delta^{18}O_{PO4}$ data The shark bioapatite and paleosalinity

Samples with $\delta^{18}O_{PO4}$ values less than 18.4 ‰ are likely to have been formed in waters that are not other than exclusively marine ($\delta^{18}O_w = 0$ ‰), since the paleotemperatures calculated from much low<u>er</u> $\delta^{18}O_{PO4}$ <u>values</u> are too high to represent

- 15 typical shark habitats. However, fishes which form their bioapatite in a freshwater influenced settings with less than $0 \% \delta^{18} O_w$ values (e. g., rivers, lakes) also have lower $\delta^{18} O_{PO4}$ values at the same <u>ambient</u> temperature <u>of formation</u> (Longinelli and Nuti, 1973a; Kolodny et al., 1983; Kocsis et al., 2007; Fischer et al., 2013a; Leuzinger et al., 2015). <u>STherefore, samples with a such</u> low $\delta^{18} O_{PO4}$ values may thus indicate the presence of brackish–like environments<u>, due to the mixing of seawater with, for</u> example, river water.
- 20 <u>Therefore, The</u> shark tooth $\delta^{18}O_{PO4}$ values can hence be used to estimate paleoenvironmental and relative salinity conditions for the Patsúa assemblage and two of the three studied formations: Castilletes and Ware formations (Fig. 11).

• Patsúa assemblage. The age of this fauna is not as well established as it is for the other sites, therefore the obtained isotopic values represent paleoenvironmental conditions somewhere within the Burdigalian and Langhian periods. The samples from the Patsúa assemblage have not been separately dated but the teeth from this locality were in situ and their isotopic

- 25 composition represent the sediment deposited somewhere within the Burdigalian and Langhian periods. These shark teeth had predominantly "marine" isotopic compositions with one low $\delta^{18}O_{PO4}$ value measured from a †*Carcharocles chubutensis* specimen (CC.4: 17.4 ±0.3 ‰, Table 2, Fig. 11b). This <u>isotopic</u> composition <u>is</u> typical for brackish waters <u>although</u> <u>†*Carcharocles chubutensis* utilized a habitat similar to the recent great white shark (*Carcharodon carcharias*). was measured for an extinct species, which has analogous habitat, and Mmost of the isotopic data forin the extant and fossil species of lamniform</u>
- 30 <u>sharks</u> are characteristic of cold waters, because of its long oceanic migrations and formation of bioapatite in such cold settings (Barrick et al., 1993; Vennemann et al., 2001; Amiot et al., 2008; Ebert et al., 2013; Aguilera et al., 2017a). <u>Therefore, the</u> low $\delta^{18}O_{PO4}$ value from this species is quite surprising and may indicate some hidden habitat trait for this ancient shark. Statistical comparisons <u>using against the</u> available datasets demonstrate this assemblage <u>is as inun</u>distinguishable from Castilletes Formation (Fig. 11b). Possibly these paleoenvironments were similar and based on the $\delta^{18}O_{PO4}$ values, the Patsúa assemblage

was deposited mainly under marine conditions. Nevertheless, additional sampling and a precise chronological dating of this assemblage are necessary to improve the paleointerpretation of its isotopic data.

- Castilletes FormationFm. The sedimentary sequence of the Cocinetas Basin is described as a transition from a shallow marine to a fluvio-deltaic paleoenvironment (i. e., a regression). Similar toLike the results from the Patsúa assemblage, the 5 δ¹⁸O_{PO4} values are predominantly marine, except forbesides a single tooth of †*Negaprion eurybathrodon* (NG.14: 16.7 ±0.2 %*o*, Fig. 11a, b), a species from the same genus of the modern lemon shark (*Negaprion brevirostris*). Extant individuals of this genusgroup inhabit marine inshore areas and commonly migrate through enclosed bays or river mouths, supporting an isotopic freshwater-influenced habitat (Castro, 1993; Feldheim et al., 2002)a freshwater influence on the isotopic composition measured. In fact, more samples covering the 'brackish' range were expected we expected more samples covering the 'brackish'
- 10 range, since the fossil assemblage of Castilletes Formation suggests a deltaic influence at this interval (Moreno et al., 2015). Paleobathymetric estimateions using mollusks-invertebrates have shown that in the Castilletes Formation, the paleoenvironments were alternating quickly along the stratigraphic succession, changing between a marine setting to a freshwater influenced environment and vice-versa like a transgressive-regressive cycle (Hendy et al., 2015). The $\delta^{18}O_{PO4}$ mean values show a minor increase from the base towards the middle section of Castilletes (20.4 ±1.0 ‰, *n* = 5, Fig. 11a), decreasing thereafter to the
- 15 lowest mean value in this formation (18.7 \pm 1.3 ‰, *n* = 4). <u>This possibly Possibly this</u> indicates regional changes in the paleoenvironment of the shark habitats (e. g., marine to estuarine). <u>However, because, but since</u> the overall deviation is overlapping between the localities, more samples would be required to refine such <u>an</u> isotopic fluctuation. <u>While the overall shark isotope</u> <u>data represent marine conditions during the deposition of the Castilletes Formation, Nevertheless, the overall shark isotope data</u> <u>represent those parts of Castilletes Formation when fully marine conditions existed in the region. The</u> few outlier specimens
- 20 (Fig. 11a, b) clearly indicate the nearby presence of rather brackish conditions <u>nearby</u> into which some sharks ventured. This interpretation is in agreement with the higher resolution mollusks data from the region (Hendy et al., 2015).

• Ware <u>FormationFm. Here</u> <u>T</u>the isotope data are significantly different for the Ware Formation</u> from the result from Patsúa <u>assemblage</u> and Castilletes <u>Formation</u> (except forvs locality 390093, Fig. 11a, b). The $\delta^{18}O_{PO4}$ values are generally lower in this formation, especially for the bull sharks (*Carcharhinus leucas* (-CL.1–CL.12: 17.6 ±1.1 ‰, n = 12). This

- 25 euryhaline species, like <u>Negaprion brevirostris</u>the lemon shark, also inhabits in marine inshore zones and occasionally migrates into brackish environments. However, <u>Carcharhinus leucas</u> is today well-known bull sharks are currently well recognized for their ability to persist <u>inthrough</u> coastal sub-environments with brackish conditions, as individuals can also swim hundreds of meters upstream <u>intoeven in</u> freshwater (Matich and Heithaus, 2013; Ebert et al., 2013). The isotopic range <u>for the Ware</u> Formationfrom Ware sharks are in a agreement with the fluvio-deltaic paleoenvironment of deposition described for this
- 30 <u>F</u>formation (Moreno et al., 2015; Pérez–Consuegra et al., 2018) and also with the euryhaline predominant fauna presented here (*Pristis* sp., *C. leucas*, *Rhinoptera* sp., †*Negaprion eurybathrodon*). The two samples of <u>†*Negaprion eurybathrodon*lemon</u> shark relatives have $\delta^{18}O_{PO4}$ values which probably have been formed under distinct marine conditions rather than under fluvial influence (NG.15: 20.7 ±0.1 ‰; NG.16: 20.5 ±0 ‰). The worn appearances of the teeth from the conglomerate beds of the Ware Formation indicate longer transport and hence also probably a mixed, time–averaged fauna origin<u>atingated</u> from
- 35 different layers withinof a wider fluvio-deltaic system. Therefore, while the Carcharhinus leucas specimensbull shark teeth

reflect clear fluvial conditions, the <u>†*Negaprion eurybathrodon* teethlemon shark remains</u> may have <u>been</u> derived from layers originally deposited in <u>athe</u> prodelta or nearby shallow coastal marine beds. <u>Eventually, these *Negaprion* teeth grown under</u> marine conditions could have been lost in the fluvio–deltaic paleoenvironment exploited by sharks.

- *Carcharhinus leucas* teeth are also smaller compared to other specimens (and species) <u>utilized-employed</u> in this study. 5 Modern representatives of adult *Carcharhinus leucas* normally have anterior teeth around 2 cm in height (Ebert et al., 2013, personal observation), a size considerably <u>largerbigger</u> than our sampled teeth (< 1 cm, Fig. S8). Even when taking into consideration more curved and possibly posterior teeth of adult specimens, we estimate that most of our *Carcharhinus leucas* $\delta^{18}O_{PO4}$ data were obtained from juvenile and subadult individuals. In previous stable isotope investigations, only samples from young specimens from Lake Nicaragua provided $\delta^{18}O_{PO4}$ values characteristic of a brackish condition (Kocsis et al.,
- 10 2015; Aguilera et al., 2017a). Since our *Carcharhinus leucas* teeth yielded predominantly $\delta^{18}O_{PO4}$ values typical of brackish waters, possibly they were using the coastal zone of Cocinetas Basin as a paleonursery habitat. Nevertheless, our results highlight the ecological importance of the paleoenvironments from Cocinetas Basin for the bull sharks, even suggesting the usage of this coastal zone as a paleonursery habitat. Today, young specimens of this group are known for using brackish lagoons of adjacent areas as a nursery ground (e.g., Maracaibo Lake, Rodríguez, 2001, Tavares and Sánchez, 2012). Moreover,
- 15 the predominant brackish–like $\delta^{18}O_{PO4}$ values in this species may imply that at least since the late Pliocene they were already adapted to live in waters with reduced salinity and face the constant environmental changes (global and regional) of their paleohabitats.

6 Conclusions

A diverse elasmobranch fauna containing 30 taxa of sharks and rays was identified, with the most diverse groups being
 respectively Carcharhiniformes and Lamniformes respectively. The fossil assemblage seems to agree with paleoenvironmental descriptions from previous studies to represent the paleoenvironments described for the fossiliferous formations of Cocinetas Basin (Jimol, Castilletes and Ware).

• An<u>distinctive</u> assemblage is reported from undifferentiated facies of the Jimol and Castilletes Formation, and represents a subtidal marine environment with limited freshwater influence.

• The biogenic phosphate $\delta^{18}O_{PO4}$ values of 73 shark teeth were evaluated <u>withinfor</u> the sedimentary sequence of the Cocinetas Basin. The isotopic data <u>werewas</u> used <u>tofor</u> estimate <u>paleoenvironmental settingsthe paleosalinity</u> (e.g., marine vs brackish vs freshwater), <u>corroborating with previous descriptions and corroborated the paleoenvironments described</u> for Castilletes and Ware formations.

A predominant brackish–like δ¹⁸O_{PO4} value was measured for <u>Carcharhinus leucas</u>bull sharks, which are <u>likelyprobably</u>
 juveniles, suggesting that at least since the late Pliocene this species was already well adapted to migrate <u>into habitatsthrough</u> conditions with reduced salinity.

• More samples and additional proxies are recommended to refine our interpretations. Nevertheless, this multidisciplinary study certainly complements further the knowledge about the paleoenvironmental context and evolution of Tropical America.

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Figure 1. Location (a) and geological map of the southeastern Cocinetas Basin (b). Abbreviation: Fm. (Formation).



Figure 2. Stratigraphy of the Cocinetas Basin. (a) Generalized stratigraphy (after Moreno et al., 2015). (b) Stratigraphic section and studied localities. Localities of the Patsúa Valley (290468 and 290472) (details in Table S1) are not represented, <u>because these localities</u> belong to another section of the basin without stratigraphic column.



Figure 3. Squaliformes, Pristiophoriformes, Orectolobiformes and Lamniformes of the Cocinetas Basin. (**a-d**) *Dalatias* cf. *D. licha* (MUN–STRI–41205). (**e-g**) *Pristiophorus* sp. (MUN–STRI–34788). (**h-o**) *Nebrius* sp. (h–<u>mk, n–o</u>: MUN–STRI–41136; <u>n–ol–m</u>: MUN–STRI–41180). (**p–t**) *Isurus* cf. *I. oxyrinchus* (MUN–STRI–37671). (**u–v**) †*Paroatodus benedenii* (MUN–STRI–43742). (**w–z**) †*Carcharocles chubutensis* (MUN–STRI–40375). Jaw position: upper (y–z?), lower (a–d, w–x) and indet. (h–v), rostral (e–g). View: labial (b, d, h, l, n–o, v, x–y), lingual (a, c, p–s, u, w, z), profile (j, t), occlusal (i, m) dorsal (e–g), and basal (k). Geological unit: Jimol Fm. (a–d), Castilletes Fm. (h–o), Patsúa assemblage–locality 290468 (e–g, p–z).



Figure 4. Lamniformes and Carcharhiniformes of the Cocinetas Basin. (a–d) †*Carcharocles chubutensis* (MUN–STRI–40375). (e–l) †*Carcharocles megalodon* (e–g: MUN–STRI–37812; h–i: MUN–STRI–38067; j–l: MUN–STRI–41145). (m) †*Carcharocles* sp. (MUN–STRI– 41138). (n–q) *Alopias* cf. *A. exigua* (MUN–STRI–43745). (r–s) †*Anotodus retroflexus* (MUN–STRI–43740). (t–w) †*Hemipristis serra* (MUN–STRI–34790). (x–z) †*Galeocerdo mayumbensis* (x: MUN–STRI–41135; y–z: MUN–STRI–40377). Jaw position: upper (j–l, n, u–w), lower (a–b?, c–f, h–i?, p–q?, t) and indet. (g, m, r–s, x–z). View: labial (b–c, f, i–j, l, o, q, s, y), lingual (a, d–e, g–h, k, m–n, p, r, t–x, z). Geological unit: Jimol Fm. (m), Castilletes Fm. (e–l, x), Patsúa assemblage–locality 290468 (a–d, n–w, y–z).



Figure 5. Carcharhiniformes of the Cocinetas Basin. (**a-d**) †*Carcharhinus ackermannii* (a–b: MUN–STRI–41128; c–d: MUN–STRI–43743). (**e–h**) *Carcharhinus* cf. *C. brachyurus* (MUN–STRI–41207). (**i–o**) †*Carcharhinus gibbesii* (MUN–STRI–43808). (**p–s**) *Carcharhinus leucas* (p–q: MUN–STRI–37646; r: MUN–STRI–21937; s: MUN–STRI–16287). (**t–u**) *Carcharhinus* cf. *C. limbatus* (MUN–STRI–41153). (**v–w**) *Carcharhinus* cf. *C. perezi* (MUN–STRI–41129). (**x–z**^{*}) *Carcharhinus* cf. *†C. priscus* (MUN–STRI–43804). Jaw position: upper (a–z^{*}). View: labial (b, d–e, g, j, l, n–p, t, v, y, z), lingual (a, c, f, h–i, k, m, q–s, u, w–x, y^{*}, z^{*}). Geological unit: Jimol Fm. (a–b, e–h, t–w), Castilletes Fm. (t–u). Ware (P–S), Patsúa assemblage–locality 290468 (c–d, i–o, x–z^{*}).



Figure 6. Carcharhiniformes of the Cocinetas Basin. (**a-d**) *Carcharhinus* cf. †*C. priscus* (MUN–STRI–43804). (**e-g**) *Carcharhinus* spp. (e: MUN–STRI–42136; f–g: MUN–STRI–42128). (**h–i**) †*Isogomphodon acuarius* (MUN–STRI–41184). (**j–n**) †*Negaprion eurybathrodon* (MUN–STRI–41133). (**o–r**) †*Physogaleus contortus* (o–q: MUN–STRI–40378; r: MUN–STRI–41132). (**s–v**) †*Sphyrna arambourgi* (MUN–STRI–41143). (**w–z**) †*Sphyrna laevissima* (MUN–STRI–43741). Jaw position: upper (a–b, f–g, j–m, s–z, z'?), lower (c–e, h–i, n) and indet. (o–r). View: labial (a, c, e, i–j, l, p, s, u, w, z), lingual (b, d, f–h, k, m–o, q–r, t, v, x–y, z'). Geological unit: Castilletes Fm. (e–n, r–v), Patsúa assemblage–locality 290468 (a–d, o–q, w–z').



Figure 7. Rhinopristiformes and Myliobatiformes of the Cocinetas Basin. (**a–i**) *Rhynchobatus* sp. (MUN–STRI– 42132). (**j–m**) *Pristis* sp. (fragment of rostrum j–k: MUN–STRI–37397; rostral denticle l–m: MUN–STRI–34762). (**n–u**) *Dasyatis* sp. (MUN–STRI–42135). (**v–x**) *Aetobatus* sp. (MUN–STRI–34465). Jaw position: indet. (a–i, n–x). View: labial (b, e, n, r, x), lingual (a, g, o, t, w), profile (c, f, q, s), occlusal (d, i, p, v), dorsal (j, l), posterior (k), basal (h, u). Geological unit: Castilletes Fm. (a–x).



Figure 8. Myliobatiformes of the Cocinetas Basin. (**a**-**j**) *Aetomylaeus* sp. (a-c: MUN–STRI–41134; d–f: MUN–STRI–43746; g–j: MUN–STRI–41134). (**k**-**t**) *Rhinoptera* sp. (MUN–STRI–41138). (**u**-**x**) †*Plinthicus stenodon* (MUN–STRI–41203). (**y**-**z**') Myliobatiformes indet. (caudal spines y–z: MUN–STRI–34785; denticle z': MUN–STRI–42134). Jaw position: indet. (a–x). View: labial (f, g, n, r, u), lingual (c, e, h, m, v, x), profile (j, w), occlusal (a, d, i, k, o, q, s), ventral (y–z), basal (b, l, p, t). Geological unit: Castilletes Fm. (a–c, g–x, z'), Ware Fm. (y–z), Patsúa assemblage–locality 290468 (d–f).



Figure 9. Elasmobranch paleodiversity (orders) of the Cocinetas Basin. (a) Overall assemblages. (b) Assemblages by geological units.



Figure 10. Dietary preferences of the elasmobranch paleofauna from overall Cocinetas Basin assemblages by geological units.



Figure 11. Stratigraphic distribution of the $\delta^{18}O_{PO4}$ from sharks of the Cocinetas Basin. The gray–shaded area marks the isotopic range representative of brackish environments. Big symbols give the average of all shark data within the same layer and its standard deviation, while smaller icons are for specific species data. Triangles group all shark species sampled in that layer; while diamonds show the results from $\frac{18}{Negaprion eurybathrodon, a lemon shark}$, well represented along the sedimentary sequence (the icon is large for locality 290438 because only *Negaprion* specimens were sampled); and the squares are values from *Carcharhinus leucas*bull sharks of Ware Formation. Temperature bars were estimated from the equation of Lécuyer et al. (2013) are shown at the top (Ware) and at the bottom (Jimol and Castilletes) at $\delta^{18}O_w$ of 0 % and -0.4 %, respectively (Lear et al., 2000; Billups and Schrag, 2002). (a) The mean $\delta^{18}O_{PO4}$ values show a minor increase along the middle Miocene, with maximum mean value for localities of the late Burdigalian. In the following intervals, the mean values decrease during the early Langhian. Ware Formation samples haveyielded $\delta^{18}O_{PO4}$ values predominantly characteristic of brackish environments. (b) Boxplot of the $\delta^{18}O_{PO4}$ values from samples of the Patsúa assemblage, Castilletes and Ware from the Patsúa assemblage and Castilletes are teeth with $\delta^{18}O_{PO4}$ values considered to form under 'brackish' conditions.

Table 1. Elasmobranchii paleodiversity of the Cocinetas Basin.

Superorder	Order	Family	Genus	Taxon
Squalomorphii	Squaliformes	Dalatiidae	Dalatias	Dalatias cf. D. licha (Bonnaterre, 1788)
	Pristiophoriformes	Pristiophoridae	Pristiophorus	Pristiophorus sp.
Galeomorphii	Orectolobiformes	Ginglymostomatidae	Nebrius	Nebrius sp.
	Lamniformes	Lamnidae	Isurus	Isurus cf. I. oxyrinchus Rafinesque, 1810
		†Otodontidae	†Par <u>o</u> atodus	†Par <u>oa</u> todus benedenii (Le Hon, 1871)
			$\dagger Carcharocles$	†Carcharocles chubutensis (Ameghino, 1901)
				<i>†Carcharocles megalodon</i> (Agassiz, 1843)
				† <i>Carcharocles</i> sp.
		Alopiidae	Alopias	Alopias cf. A. exigua (Probst, 1879)
			†Anotodus	†Anotodus retroflexus (Agassiz, 1843)
	Carcharhiniformes	Hemigaleidae	Hemipristis	<i>†Hemipristis serra</i> (Agassiz, 1835)
		Carcharhinidae	Galeocerdo	†Galeocerdo mayumbensis Dartevelle and Casier, 1943
			Carcharhinus	†Carcharhinus ackermannii Santos and Travassos, 1960
				Carcharhinus cf. C. brachyurus (Günther, 1870)
				†Carcharhinus gibbesii (Woodward, 1889)
				Carcharhinus leucas (Müller and Henle, 1839)
				Carcharhinus cf. C. limbatus (Müller and Henle, 1839)
				Carcharhinus cf. C. perezi (Poey, 1868)
				Carcharhinus cf. †C. priscus (Agassiz, 1843)
				Carcharhinus spp.
			†Isogomphodon	†Isogomphodon acuarius (Probst, 1879)
			Negaprion	<i>†Negaprion eurybathrodon</i> (Blake, 1862)
			†Physogaleus	†Physogaleus contortus (Gibbes, 1849)
		Sphyrnidae	Sphyrna	†Sphyrna arambourgi Cappetta, 1970
				†Sphyrna laevissima (Cope, 1867)
Batomorphii	Rhinopristiformes	Rhynchobatidae	Rhynchobatus	Rhynchobatus sp.
		Pristidae	Pristis	Pristis sp.
	Myliobatiformes	Dasyatidae	Dasyatis	Dasyatis sp.
		Aetobatidae	Aetobatus	Aetobatus sp.
		Myliobatidae	Aetomylaeus	Aetomylaeus sp.
		Rhinopteridae	Rhinoptera	Rhinoptera sp.
				Myliobatoidea indet.
		Mobulidae	Plinthicus	†Plinthicus stenodon Cope, 1869
				Myliobatiformes indet.

Sample ID	Taxon	Formation	Locality	$\delta^{18}\mathbf{O}_{PO4}$ (%, VSMOW)	$\delta^{18}\mathbf{O}_{PO4}$ std dev.
HS.1	†Hemipristis serra	Jimol	290601	19.9	0.1
HS.2				20.2	0.2
HS.3		Patsúa assemblage	290472	20.1	0.1
HS.4				20	0.1
HS.5				20.6	0.1
CC.1	†Carcharocles chubutensis			19.9	0.1
CC.2				19.1	0.2
CC.3				19.4	0.1
HS.6	†Hemipristis serra		290468	19.3	0.1
HS.7				20.2	0.3
HS.8				19.9	0.1
NG.1	†Negaprion eurybathrodon			18.9	0.2
NG.2				19.9	0.2
GM.1	†Galeocerdo mayumbensis			20.5	0.1
GM.2				20.3	0.1
GM.3				19.3	0.2
SL.1	†Sphyrna laevissima			19.9	0.0
SL.2				19.1	0.1
SL.3				18.7	0.3
CC.4	<i>†Carcharocles chubutensis</i>			17.4	0.3
CC.5				19.2	0.2
CC.6				20.7	0.0
IO.1	Isurus cf. I. oxyrinchus			21.7	0.3
IO.2				20.8	0.0
IO.3				19.3	0.3
PC.1	†Physogaleus contortus			19.8	0.0
PC.2				20.5	0.0
PC.3				19.4	0.1
HS.9	†Hemipristis serra	Castilletes	290632	19.8	0.3
HS.10				19.8	0.1
CS.1	Carcharhinus sp.			20.1	0.2
CS.2				20.1	0.1
HS.11	†Hemipristis serra		290423	19.1	0.2
NG.3	†Negaprion eurybathrodon			19.5	0.3
HS.12	†Hemipristis serra		390090	19.6	0.0

 Table 2. Shark teeth specimens used in geochemical investigation.

Sample ID	Taxon	Formation	Locality	$\delta^{18}\mathbf{O}_{PO4}$ (%, VSMOW)	$\delta^{18}\mathbf{O}_{PO4}$ std dev.
HS.13	†Hemipristis serra	Castilletes	390090	19.5	0.0
NG.4	†Negaprion eurybathrodon			20.1	0.2
NG.5				18.8	0.2
SA.1	†Sphyrna arambourgi			20.1	0.3
SA.2				19.2	0.1
HS.14	†Hemipristis serra		430053	20.1	0.2
HS.15				20.4	0.0
NG.6	†Negaprion eurybathrodon			20.4	0.1
NG.7				19.2	0.1
NG.8			130024	19.2	0.2
HS.16	†Hemipristis serra		430202	21.1	0.0
HS.17				19.7	0.1
NG.9	†Negaprion eurybathrodon			21.5	0.2
NG.10				20.5	0.2
NG.11			290438	20.1	0.3
NG.12				20.6	0.1
CS.3	Carcharhinus sp.		290611	18.9	0.2
CS.4				20.3	0.2
CS.5				20.2	0.1
HS.18	†Hemipristis serra		430101	19.8	0.1
NG.13	†Negaprion eurybathrodon		390093	19.1	0.1
NG.14				16.9	0.2
CS.6	Carcharhinus sp.			18.7	0.0
CS.7				19.9	0.1
CL.1	Carcharhinus leucas	Ware	430059	18.1	0.1
CL.2				18	0.1
CL.3			430052	18	0.1
CL.4				18.4	0.0
CL.5			390083	18	0.1
CL.6				18.9	0.0
CL.7			390080	18.6	0.1
CL.8				15.7	0.2
CL.9			390077	15.7	0.2
CL.10				18.3	0.0
CL.11			390075	16.4	0.3

 Table 2. Continued. Shark teeth specimens used in geochemical investigation.

 Table 2. Continued. Shark teeth specimens used in geochemical investigation.

Sample ID	Taxon	Formation	Locality	$\delta^{18}\mathbf{O}_{PO4}$ (%, VSMOW)	$\delta^{18}\mathbf{O}_{PO4}$ std dev.
CL.12	Carcharhinus leucas	Ware	390075	17.2	0.2
NG.15	<i>†Negaprion eurybathrodon</i>			20.7	0.1
NG.16				20.5	0.0

SC 1 (Alberto Collareta)

Dear Mr. Collareta,

Many thanks for your considerations about our submitted manuscript. We revised our first version and added few references following recommendations. We hope that now the manuscript is more adequate to be a useful contribution about elasmo-

5 branchs of South America.

Best regards,

Zoneibe Luz.

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