Neogene Caribbean elasmobranchs: Diversity, paleoecology and paleoenvironmental significance of the Cocinetas Basin assemblage (Guajira Peninsula, Colombia)

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Abstract. The Cocinetas Basin is located on the eastern flank of La Guajira Peninsula, northern Colombia (South Caribbean). During the late Oligocene through Pliocene, much of the basin was submerged. The extensive deposits in this area suggest a transition from a shallow marine to a fluvio–deltaic system, with a rich record of invertebrate and vertebrate fauna. The elasmobranch assemblages of the early Miocene to late Pliocene succession in the Cocinetas Basin (Jimol, Castilletes and Ware formations, and Patsúa Valley) are described for the first time. The assemblages include at least 30 taxa of sharks (Squaliformes, Pristiophoriformes, Orectolobiformes, Lamniformes and Carcharhiniformes) and batoids (Rhinopristiformes and Myliobatiformes), of which 24 taxa are reported from the Colombian Neogene for the first time. Paleoecological interpretations are based on the feeding ecology, and on estimates of the paleohydrology (relative salinity, temperature) using stable isotope compositions of oxygen in the bioapatite of shark teeth. The isotopic composition of the studied specimens corroborates paleoenvironmental settings for the studied units that were previously estimated based on the sedimentology and biology of the taxa. These Neogene elasmobranch assemblages from the Cocinetas Basin, provide new insights of the shark and ray diversity inhabiting the coastal and estuarine environments of the northwestern margin of South America, both during the existence of the gateway between the Atlantic and Pacific Oceans, and following its closure.

1 Introduction

During the Neogene, large areas of the northern margin of South America were submerged (see Iturralde–Vinent and MacPhee, 1999) and influenced by the paleoceanographic connection between the Pacific and Atlantic oceans along the Central American Seaway (CAS). The CAS is defined here as a deep oceanic connection between the Pacific and Atlantic oceans along the tectonic boundary of the Caribbean and South American plates (Jaramillo et al., 2017). The CAS existed throughout the Cenozoic, but was reduced in width by the early Miocene (Farris et al., 2011), and the transfer of deep water ceased by the

late Miocene 12–10 Ma (Montes et al., 2015; Bacon et al., 2015; Jaramillo et al., 2017). Shallow marine connections between Caribbean and Pacific waters existed until about 4.2–3.5 Ma, when a complete closure occurred (Coates and Stallard, 2013). The Cocinetas Basin, located on the eastern flank of La Guajira Peninsula, northern Colombia, records a transition in marine and terrestrial paleoenvironments during this regional change in conditions. This region presents extensive and well exposed sedimentary deposits spanning the last 25 Myr (Moreno et al., 2015). The paleoenvironments are characterized by a transition from shallow marine deposits to a fluvio–deltaic system (Moreno et al., 2015), with a rich fossil record of invertebrates (Hendy et al., 2015) and vertebrates (Aguilera et al., 2013, 2017b; Moreno et al., 2015; Cadena and Jaramillo, 2015; Amson et al., 2016; Carrillo–Briceño et al., 2016b; Moreno–Bernal et al., 2016; Pérez et al., 2016). Ages for many of the fossiliferous units in the sequence have been estimated using Sr isotope stratigraphy (see Hendy et al., 2015).

Neogene marine chondrichthyan faunas from the southern Proto–Caribbean (especially from the northern margin of South America) are well known from Venezuela and the Lesser Antilles (e.g., Leriche, 1938, Casier, 1958, Casier, 1966, Aguilera, 2010, Aguilera and Lundberg, 2010, Carrillo–Briceño et al., 2015b, Carrillo–Briceño et al., 2016a, and references therein). But reports on chondrichthyans from the Neogene of Colombia are scarce. Previous reports from the Cocinetas Basin include fossil elasmobranchs without taxonomic description (Lockwood, 1965), a checklist of 14 families (Moreno et al., 2015), and the description of a small assemblage of 13 taxa from the early Miocene Uitpa Formation (Carrillo–Briceño et al., 2016b).

A taxonomic list is presented of the elasmobranch fauna collected in the Cocinetas Basin (Figs. 1–2), from the Jimol (Burdigalian), Castilletes (late Burdigalian–Langhian), Ware (Gelasian–Piacenzian) formations, and two localities of the Patsúa Valley (Burdigalian–Langhian). The assemblage includes 30 taxa, of which 24 are new reports for Colombian Neogene deposits. Additionally, paleoecological and paleoenvironmental interpretations based on the feeding ecology of extant counterpart species, as well as measurements of the ratio of stable oxygen isotopes in the bioapatite of shark teeth are discussed. The Cocinetas Basin represents a valuable window into dynamic changes in paleodiversity experienced by ancient Proto–Caribbean Neogene chondrichthyan faunas.

2   Material and Methods

The fossil elasmobranch assemblages (Table 1, Tables S1–S3; File S4) consists of 2529 specimens from 36 localities (Table S1) from the Cocinetas Basin, Guajira Peninsula, northeastern Colombia (Fig. 1). The elasmobranch faunas were collected in the early Miocene Jimol Formation (six localities and 113 specimens), early–middle Miocene Castilletes Formation (20 localities and 1232 specimens), and the late Pliocene Ware Formation (eight localities and 215 specimens) (Tables S1–S2). Localities STRI 290468 and 290472 (968 specimens) in the Patsúa Valley, close to Flor de Guajira, along the southern margin of the Cocinetas Basin (Fig. 1) are from strata with distinct paleofauna and facies from those of Jimol and Castilletes formations. They are considered as undifferentiated Jimol/Castilletes Formation, and are referred to herein as the Patsúa assemblage.

The samples were collected by JDCB, AH and other collaborators during several expeditions between 2010 and 2014. Large specimens were surface collected and 50 kg bulk sediment was collected, sieved and screen washed (mesh sizes: 0.5 and 2 mm)

for subsequent picking of smaller specimens from the localities 290468 (Patsúa assemblage), 290632 and 390094 (Castilletes Formation).

The Cocinetas Basin elasmobranch specimens (File S4) are housed in the paleontological collections of the Mapuka Museum of Universidad del Norte (MUN), Barranquilla, Colombia. Nomenclature follows Cappetta (2012) and Compagno (2005), with the exception of Rhinopristiformes Last et al., 2016, Aetobatidae Agassiz, 1958 (Table 1) and *Carcharocles* Agassiz, 1838, for which we follow the nomenclature discussed in Last et al. (2016), White and Naylor (2016) and Ward and Bonavia (2001), respectively. Identifications are based on literature review (e.g., Santos and Travassos, 1960, Müller, 1999, Purdy et al., 2001, Cappetta, 1970, Cappetta, 2012, Reinecke et al., 2011, Reinecke et al., 2014, Voigt and Weber, 2011, Bor et al., 2012, Carrillo–Briceño et al., 2014, Carrillo–Briceño et al., 2015a, Carrillo–Briceño et al., 2015b, Carrillo–Briceño et al., 2016a, Aguilera et al., 2017a, among others) and comparative analysis between fossil and extant specimens from several collections including Museu Paraense Emilio Goeldi (MPEG–V), Belém, Brazil; Fossil Vertebrate Section of the Museum für Naturkunde, Berlin, Germany (MB.Ma.); Natural History Museum of Basel (NMB), Switzerland; the paleontological collections of the Alcaldía del Municipio Urumaco (AMU–CURS) and Centro de Investigaciones Antropológicas, Arqueológicas y Paleontológicas of the Universidad Experimental Francisco de Miranda (CIAAP, UNEFM–PF), both in Venezuela; Paleontological collection of the Institut des Sciences de l'Evolution, University of Montpellier (UM), France; Palaeontological Institute and Museum at the University of Zurich (PIMUZ), and René Kindlimann private collection, Uster, Switzerland.

Quantitative data includes percentages of specimens by order, family and genus recorded in the overall assemblages of the Cocinetas Basin (Table 1, Tables S1–S2, Fig. S5). Extant sharks and rays as a whole have a wide range of diets; however, each taxon has specific food preferences (see Cortés et al., 2008; Klimley, 2013) that could be used to infer dietary strategies of their fossil relatives (e.g., Carrillo–Briceño et al., 2016a). Information regarding feeding ecology (dietary composition and behavior) of extant/relative species of the taxa recorded in the Cocinetas assemblages (Table S3) was compiled from Cortés et al. (2008), Compagno et al. (2005), Voigt and Weber (2011), Ebert and Stehmann (2013) and the FishBase website (Froese and Pauly, 2017). For this paper we treated the term 'diversity' as species 'richness', since in terms of ecology 'diversity' takes into account the relative abundance of individuals living in a community (Putman and Wratten, 1984). Dealing with fossil taxa this requires a more careful sampling plan with well-represented layers, but few specimens could be found for some of our studied localities. Therefore, 'diversity' refers to the number of species without considering their abundance. Where the identification arrived only to the genus level, we considered at least one species was present from that group.

Analyses of $\delta^{18}O_{PO4}$ were made in the Stable Isotope Laboratory at the University of Lausanne (UNIL) (Table 2). Powder samples of 1–1.5 mg from shark tooth enameloid were obtained by abrasion of the crown surface using a micro–drill and small fragment samples were obtained by cutting off the tooth tips. In a few cases when only small or fragmented teeth were available bulk samples were taken (1–1.5 mg of enameloid and dentine). Based on previous studies, isotopic data provide valuable information about the paleoecology of sharks along stratigraphic sequences (Fischer et al., 2012, 2013a, b; Kocsis et al., 2014; Leuzinger et al., 2015; Aguilera et al., 2017a). All samples were cleaned in deionized water in an ultrasonic bath to reduce sedimentary contamination. International reference (NBS–120c phosphorite) and in–house laboratory standards were prepared in parallel with each sequence of samples. Pretreatment followed the method described by Koch et al. (1997),

where powdered teeth were first washed in 1M acetic acid–Ca acetate (pH = 4.5, 2h) to remove any exogenous carbonates and then were thoroughly rinsed several times in deionized water. To obtain the $\delta^{18}O_{PO4}$ values the phosphate group in apatite was separated via precipitation as silver phosphate (O'Neil et al., 1994; Dettman et al., 2001; Kocsis, 2011). The method was adapted from the last review on silver phosphate microprecipitations by Mine et al. (2017). Triplicates or duplicates of each Ag_3PO_4 sample were analyzed on a TC/EA (high–temperature conversion elemental analyzer) (Vennemann et al., 2002) coupled to a Finnigan MAT 253 mass spectrometer, where silver phosphate is converted to CO at 1450 °C via reduction with graphite. Measurements were corrected to in–house Ag_3PO_4 phosphate standards (LK–2L: 12.1 ‰ and LK–3L: 17.9 ‰) that had better than ± 0.3 ‰ (1σ) standard deviations during measurements. The NBS–120c phosphorite reference material had an average value of 21.7 ‰ ± 0.1 ‰ ($n = 6$). The isotope ratios are expressed in the δ–notation relative to Vienna Standard Mean Ocean Water (VSMOW).

The $\delta^{18}O_{PO4}$ value in shark teeth is a well known environmental proxy, especially when enameloid derived samples are employed (Vennemann et al., 2001; Zazzo et al., 2004a, b; Lécuyer, 2004; Kocsis, 2011). Longinelli and Nuti (1973a, b) recognized that the $\delta^{18}O_{PO4}$ values of several ectothermic fishes are related to two environmental parameters: water temperature (T) and the $\delta^{18}O$ value of the water ($\delta^{18}O_w$). Based on these studies, an equation that empirically represents the oxygen isotope fractionation between biogenic phosphate and water was calculated ([T (°C) = 111.4 – 4.3 ($\delta^{18}O_{PO4} - \delta^{18}O_w$)]), which was later revised (Kolodny et al., 1983; Pucéat et al., 2010; Lécuyer et al., 2013). This equation is used by paleontologists as a paleothermometer (Barrick et al., 1993; Lécuyer et al., 1993, 1996). Recently the $\delta^{18}O_{PO4}$ values have also been used to estimate the horizontal migrations of fishes into brackish environments (Kocsis et al., 2007; Klug et al., 2010; Fischer et al., 2012, 2013a, b; Leuzinger et al., 2015).

Paleotemperatures from the $\delta^{18}O_{PO4}$ values were also calculated using the latest equation of Lécuyer et al. (2013) [T (°C) = 117.4 – 4.5 × ($\delta^{18}O_{PO4} - \delta^{18}O_w$)]. For the late Pliocene samples (Ware Formation) a seawater value of 0 ‰ was used (VSMOW: Vienna Standard Mean Ocean Water), while for the early–middle Miocene samples (Patsúa assemblage, Jimol and Castilletes) a value of –0.4 ‰ was used following estimates of the global seawater isotopic composition (Lear et al., 2000; Billups and Schrag, 2002).

3 Geological and Stratigraphic setting

3.1 Jimol Formation (Burdigalian)

This formation is one of the most extensive Cenozoic units in the Cocinetas Basin (Fig. 1b), with a thickness of approximately 203 m. However, the formation is represented by a composite section with some poorly preserved beds in the middle portion (Moreno et al., 2015). The lower and upper contacts of the Jimol Formation are conformable with the Uitpa and Castilletes formations respectively (Fig. 1b). According to Moreno et al. (2015) and Hendy et al. (2015), the unit is characterized by coarse detritic and calcareous lithologies with few interbedded muddy levels deposited in a shallow marine paleoenvironment, likely an inner shelf environment (< 50 m). Abundant invertebrates (Hendy et al., 2015) and some vertebrate remains (Moreno

et al., 2015; Moreno–Bernal et al., 2016) have been recorded. A late Early Miocene (17.9–16.7 Ma) age is assigned to the unit on the basis of macroinvertebrate biostratigraphy and ^{87}Sr/^{86}Sr isotope chronostratigraphy (see Hendy et al., 2015).

3.2 Castilletes Formation (Burdigalian–Langhian)

This lithostratigraphic unit crops out along the eastern margin of the Cocinetas Basin (Fig. 1b). The lithology of the Castilletes Formation is characterized by successions of mudstones interbedded with thin beds of biosparites and sandstones, with an estimated thickness of 440 m. The lower contact is conformable with the underlying Jimol Formation and the upper is unconformable (angular contact) with the overlying Ware Formation (Moreno et al., 2015). The unit was deposited in shallow marine to fluvio–deltaic environments, with abundant marine, fluvio–lacustrine and terrestrial fossils (e.g., plants, mollusks, crustaceans, fishes, turtles, crocodilians, and mammals) (Aguilera et al., 2013, 2017b; Cadena and Jaramillo, 2015; Hendy et al., 2015; Moreno et al., 2015; Amson et al., 2016; Moreno–Bernal et al., 2016; Aguirre–Fernández et al., 2017). Isotope chronostratigraphy (^{87}Sr/^{86}Sr) supports an age of 16.2 Ma (range: 16.33–16.07) for the lower section, and 15.30 Ma (range: 15.14–15.43) for the middle part of the unit (Moreno et al., 2015).

3.3 Undifferentiated Jimol and Castilletes Formation (Burdigalian–Langhian)

Sediments of Bahia Cocinetas in the Patsúa Valley were previously mapped as the Castilletes Formation (Moreno et al., 2015; Moreno–Bernal et al., 2016). They unconformably overly carbonates of the Siamana Formation (late Oligocene–early Miocene), and are in turn overlain with an angular unconformity by the Ware Formation along the shoreline of Bahia Cocinetas. Despite these stratigraphic relationships, this succession cannot be physically correlated with any particular beds in either the Jimol or Castilletes formations in the central and northern parts of Cocinetas Basin. The lithofacies preserved in this succession includes fossiliferous conglomerate and coarse sands and distinct fossil assemblages (*Teredo*–bored wood, an oceanic fauna of mollusks and echinoderms, and diverse elasmobranch and bony fish faunas) which are anomalous. For the purposes of analyzing the biodiversity and paleoecology of elasmobranch faunas in Cocinetas Basin it is best to refer to these beds as the undifferentiated Jimol/Castilletes Formation. The underlying Siamana Formation may be as young as Aquitanian–early Burdigalian (Silva–Tamayo et al., 2017) thereby constraining the maximum age of these beds as Burdigalian.

3.4 Ware Formation (late Pliocene)

The type section of the Ware Formation is located immediately east of the village of Castilletes, and correlated deposits are distributed along the eastern margin of Cocinetas Basin (Fig. 1b), cropping out as conspicuous isolated hills with near horizontal strata (Hendy et al., 2015; Moreno et al., 2015). The lithology of the Ware Formation is composed of light gray mudstones, grayish–yellow fine sandstones, and muddy sandstones, reddish–gray pebbly conglomerates, yellowish–gray packstone biosparites, and sandy to conglomeratic biosparites, with an estimated thickness of approximately 52 m. The lower contact is unconformable with the underlying Castilletes Formation, and the upper contact is a fossiliferous packstone in the stratotype that marks the youngest preserved Neogene sedimentation in the Cocinetas Basin (Moreno et al., 2015; Pérez–Consuegra et al.,

2018). The basal section of the unit was deposited in a fluvio–deltaic environment, and abundant plant and vertebrate remains (including sharks herein referred, fishes, turtles, crocodilians, and mammals) have been found in the conglomeratic layers (Moreno et al., 2015; Amson et al., 2016; Moreno–Bernal et al., 2016; Pérez et al., 2016). Only marine invertebrates have been found in the top beds of the Ware Formation (e.g., Hendy et al., 2015), suggesting an exposed open–ocean shoreface and

5 nearshore settings including coral reefs (Moreno et al., 2015). A late Pliocene (Piacenzian) range of 3.40 Ma to 2.78 Ma age is assigned to the Ware Formation on the basis of macroinvertebrate biostratigraphy and ^{87}Sr/^{86}Sr isotope chronostratigraphy (Moreno et al., 2015).

4 Results

4.1 Elasmobranch paleodiversity

10 The taxonomic composition of the 36 fossiliferous localities (Table S1) includes at least 30 taxa of squalomorphs, galeomorphs and batoids (Table 1, Figs. 3–8). Squalomorphs are represented by two species, two genera and two families of Squaliformes and Pristiophoriformes. Galeomorphs are represented by at least 20 species, 13 genera and seven families of Orectolobiformes, Lamniformes and Carcharhiniformes (Table 1). Batoids include seven species, seven genera and seven families of Rhinopristiformes and Myliobatiformes (Table 1).

15 • **Squaliformes Goodrich, 1909.** This group (Table 1) is represented by two specimens referable to *Dalatias* cf. *D. licha* (Bonnaterre, 1788) (Fig. 3a–d, Table S2) from the Jimol Formation (Table S1). This taxon was previously identified in the Cocinetas Basin (Uitpa Formation) by Carrillo–Briceño et al. (2016b).

• **Pristiophoriformes Berg, 1958.** Five isolated crowns of rostral teeth of indet. *Pristiophorus* Müller and Henle, 1837 (Fig. 3e–g, Table 1, Table S2), were collected in the Patsúa Valley from the locality 290468 (Table S1). Similar specimens were

20 recorded from the Uitpa Formation by Carrillo–Briceño et al. (2016b).

• **Orectolobiformes Applegate, 1972.** Eight specimens referable to an indet. species of *Nebrius* Rüppell, 1837 (Fig. 3h–o, Table 1, Table S2), were collected exclusively from Burdigalian localities of the Castilletes Formation (Table S1). The specimens are morphologically similar to those of *Nebrius* sp. reported from the Cantaure Formation (Burdigalian) in the Falcon Basin, Venezuela and Pirabas Formation (Aquitanian–Burdigalian), Brazil (Aguilera et al., 2017a). For summarized informa-

25 tion about taxonomy and stratigraphic range of *Nebrius* in the Americas see Carrillo–Briceño et al. (2016a, p. 6).

• **Lamniformes Berg, 1937.** These sharks represent the second most diverse group from the Cocinetas elasmobranch assemblages (Fig. 9a), with records for the Jimol and Castilletes formations and Patsúa assemblage (locality 290468) (Fig. 9b, Tables S1–S2). *Isurus* cf. *I. oxyrinchus* Rafinesque, 1810 (Fig. 3p–t), †*Parotodus benedenii* (Le Hon, 1871) (Fig. 3u–v), †*Carcharocles chubutensis* (Ameghino, 1901) (Figs. 3w–z, 4a–d), *Alopias* cf. †*A. exigua* (Probst, 1879) (Fig. 4n–q), and †*Anotodus retroflexus*

30 (Agassiz, 1843) (Fig. 4r–s), are recorded exclusively at locality 290468 (Table S1), whereas *Carcharocles* sp. (Fig. 4m) occurs in the Jimol Formation, and †*Carcharocles megalodon* (Agassiz, 1843) (Fig. 4e–l) from only three localities of the late Burdigalian strata of the Castilletes Formation (Table S1). †*Carcharocles chubutensis* and †*C. megalodon* are the most abundant

lamniforms from all studied localities of the Cocinetas Basin (Table S1). Due to the relatively small size of the †*C. chubutensis* teeth from the localities 290468 and 290472, (Table S1), these likely belong to juvenile individuals (Figs. 3w–z, 4a–d).

• **Carcharhiniformes Berg, 1937.** With 14 taxa this is the most diverse and the second most abundant elasmobranch group from the Cocinetas assemblages (Fig. 9a). The Carcharhinidae Jordan and Evermann, 1896 with five genera and 11 species [†*Galeocerdo mayumbensis* Dartevelle and Casier, 1943 (Fig. 4x–z); †*Carcharhinus ackermannii* Santos and Travassos, 1960 (Fig. 5a–d); *Carcharhinus* cf. *C. brachyurus* (Günther, 1870) (Fig. 5e–h); †*Carcharhinus gibbesii* (Woodward, 1889) (Fig. 5k–o); *Carcharhinus leucas* (Müller and Henle, 1839) (Fig. 5p–s); *Carcharhinus* cf. *C. limbatus* (Müller and Henle, 1839) (Fig. 5t–u); *Carcharhinus* cf. *C. perezi* (Poey, 1876) (Fig. 5v–w); *Carcharhinus* cf. †*C. priscus* (Agassiz, 1843) (Figs. 5x–z', 6a–d); †*Isogomphodon acuarius* (Probst, 1879) (Fig. 6h–i); †*Negaprion eurybathrodon* (Blake, 1862) (Fig. 6j–n); †*Physogaleus contortus* (Gibbes, 1849) (Fig. 6o–r)] is the most diverse family represented in the Cocinetas assemblages (Fig. S5). Other less diverse group of carcharhiniforms are represented by the Sphyrnidae Gill, 1872 [†*Sphyrna arambourgi* Cappetta, 1970 (Fig. 6s–v); †*Sphyrna laevissima* (Cope, 1867) (Fig. 6w–z')] and the Hemigaleidae Hasse, 1879 [†*Hemipristis serra* (Agassiz, 1835) (Fig. 4t–w)], the latter being the most abundant taxon among the studied carcharhiniforms (Tables S1–S2). From the above referred taxa from the Cocinetas Basin, only †*N. eurybathrodon* shows a record from the early Miocene to the late Pliocene. Although taxonomic discussions are out of the scope of this contribution, teeth of †*N. eurybathrodon* are indistinguishable from extant species *Negaprion brevirostris* (Poey, 1868), which also have been noted in the fossil record of the Americas (see Carrillo–Briceño et al., 2015a, Table 2; 2016b, Table 2). As there is no detailed revision supporting or rejecting the above assumption, just as Carrillo–Briceño et al. (2016a), we use †*N. eurybathrodon* (for fossil specimens) sustained by the principle of priority of the International Code of Zoological Nomenclature. In reference to the *Carcharhinus* spp. teeth (Fig. 6e–g), we have referred all specimens that are broken, eroded and without any diagnostic features for specific identification.

• **Rhinopristiformes Last, Séret and Naylor, 2016.** Two taxa of this group of batoids are represented in the Cocinetas assemblages (Fig. 9, Table 1, Fig. S5). *Rhynchobatus* Müller and Henle, 1837 was recovered from the Castilletes Formation and are represented by a few isolated teeth (Fig. 7a–i, Table S1). Our *Rhynchobatus* sp. specimens resemble those from the Neogene of Venezuela and other locations in Tropical America (Carrillo–Briceño et al., 2016a; Aguilera et al., 2017a). We refrain taxonomic identification at the species level of our specimens because the range of dental variation in extant species is unknown, and little is known about fossil species from the Americas (Carrillo–Briceño et al., 2016a). *Pristis* Linck, 1790 is present in both the Castilletes and Ware formations and represented by rostral denticles and a fragment of rostrum (Fig. 7j–m, Table S1). Noted by Carrillo–Briceño et al. (2015b), rostral fragments and denticles are not diagnostic for accurate specific taxonomic determinations.

• **Myliobatiformes Compagno, 1973.** This order is represented by five taxa [†*Plinthicus stenodon* Cope, 1869 (Fig. 8u–x); indet. teeth of *Dasyatis* Rafinesque, 1810 (Fig. 7n–u); *Aetobatus* Blainville, 1816 (Fig. 7v–x); *Aetomylaeus* Garman, 1913 (Fig. 8a–j); and *Rhinoptera* Cuvier, 1829 (Fig. 8k–t)]. This group of batoids (Table 1) is the most abundant and the third most diverse group of chondrichthyans in the Cocinetas assemblages (Fig. 9, Tables S1–S2, Fig. S5). Teeth assigned to *Aetobatus* sp., †*P. stenodon* and *Dasyatis* sp. are scarce and only found in the Castilletes Formation and Patsúa assemblage (locality 290468) (Table S1). *Aetomylaeus* sp. is reported only in Jimol and Castilletes formations and the locality 290468; whereas, *Rhinoptera*

sp. has a record in the Cocinetas assemblages from the early Miocene to the late Pliocene and is the most abundant taxon (Tables S1–S2). More than 419 highly eroded and broken teeth without any diagnostic features for generic determination have been assigned to Myliobatoidea indet. (Table S1), however, they could belong to *Aetomylaeus* or *Rhinoptera*.

4.2 Dietary preferences

5 Although extant representatives of the fossil elasmobranchs present in the Cocinetas assemblage exhibit a wide range of diets, four feeding preferences of benthic–pelagic predators and filter feeders can be recognized (Table S3). For the Jimol Formation, piscivorous feeders are the most diverse group (Fig. 10), which is dominated by carcharhiniforms, lamniforms, and a few squaliforms (Table S3). The second most diverse group is durophagous/cancritrophic (mollusk, crustacean, coral feeders), which is the most abundant in the Jimol assemblages (Fig. 10) and dominated mainly by myliobatiform taxa (Table S3). †*Carcharocles*
10 sp. is the only possible eurytrophic/sarcophagous (diverse prey sources: fishes, reptiles, birds, mammals, etc.) representative of this unit. Like the Jimol Formation, the Castilletes Formation fauna also shows a diversity dominated by piscivorous taxa (Fig. 10) and abundance dominated by the durophagous/cancritrophic group (represented in the Castilletes assemblage mainly by myliobatiforms) (Table S3). In the Castilletes assemblage, †*Carcharocles megalodon* and †*Galeocerdo mayumbensis* are the only representatives of the eurytrophic/sarcophagous feeding niche, and the filter feeding niche (diet based mainly on plank-
15 tonic microorganisms) is represented only by the mobulid †*Plinthicus stenodon* (Fig. 10, Table S3). In contrast, the Patsúa assemblage (localities 290468 and 290472) is characterized by a higher diversity and abundance of piscivores, followed by durophagous/cancritrophic diets (Fig. 10, Table S3). Eurytrophic/sarcophagous and filter feeders also are represented in the localities 290468 and 290472 (Fig. 10, Table S3). In contrast with Jimol, Castilletes and Patsúa assemblages, the elasmobranch assemblage from the Ware Formation shows low diversity and abundance of taxa (Fig. 10, Tables S1–S3).

4.3 Stable isotope analysis of shark teeth

The $\delta^{18}O_{PO4}$ values of 73 shark teeth analyzed have a range from 15.7 ‰ to 21.7 ‰ (VSMOW, Table 2). Samples were grouped in accordance with their geochronological position in the stratigraphic column (Fig. 11). Adjacent layers were averaged to be representative for a wider period. The range of the $\delta^{18}O_{PO4}$ values within the same beds vary up to 4 ‰, and the highest is in the Patsúa assemblage (locality 290468), where many teeth from different species were available (seven species,
25 $n = 26$).

Results from sharks of the Patsúa assemblage are mainly discussed in terms of paleoecology, since the age of the assemblage is unknown. The average isotope compositions from the two stratigraphically uncertain Patsúa layers are very similar (localities 290468 and 290472, *t* test: $t(24) = 0.275$; p > 0.78), hence can be considered as one dataset.

In the Castilletes Formation, the mean $\delta^{18}O_{PO4}$ values do differ along the stratigraphic column (Fig. 11a). Statistical tests
30 performed in stratigraphic orders have not shown significant differences between the sample batches that are following each other, except for the uppermost locality 390093. Tukey's pairwise comparison distinguished the top bed as different from the two lower levels of 290438 and 430202–130024. Samples from this layer had the lowest average $\delta^{18}O_{PO4}$ value for this lithostratigraphic unit (18.7 ±1.3 ‰, $n = 4$).

In the youngest unit of the Ware Formation low $^{18}O/^{16}O$ were measured for the bull shark *C. leucas* specimens (CL.1–CL.12: 17.6 $\pm$1.1 ‰, *n* = 12, Fig. 11a). Interestingly, when the average data of the Ware beds is compared to the youngest bed of the Castilletes Formation they do not show significant differences (*t* test: $t(16)$ = 0.748, p > 0.46).

From the older Jimol Formation only two teeth were analyzed, but their average is indistinguishable from that of the overall average value of both the Castilletes and Patsúa assemblages. When the Patsúa, Castilletes and Ware assemblages are compared on a boxplot, the averages of the first two are indistinguishable (Fig. 11b). However, both are different from the Ware samples. Outliers toward lower isotopic values were found in the Patsúa and Castilletes faunas, which are †*Carcharocles chubutensis* (290468) and †*Negaprion eurybathrodon* (390093) specimens, respectively.

5   Discussion

5.1   Diversity and biostratigraphy significance

Of the elasmobranch assemblages described here from the Cocinetas Basin ($\sim$30 taxa) at least half of the fauna is characterized by extinct taxa (Table 1). With the exception of *Alopias* cf. †*A. exigua* (Fig. 4n–q, Tables S1–S2), representing the first record of this taxon from Tropical America, the remaining taxa from the Cocinetas assemblages have been found in other Neogene deposits of the Americas (e.g., Kruckow and Thies, 1990, Purdy et al., 2001, Aguilera and Lundberg, 2010, Cappetta, 2012, Carrillo–Briceño et al., 2014, 2015b, 2016a, Landini et al., 2017; and references therein). From the Cocinetas assemblages, 17 shark taxa (*Nebrius* sp., †*P. benedenii*, †*C. chubutensis*, †*C. megalodon*, *Alopias* cf. †*A. exigua*, †*A. retroflexus*, †*G. mayumbensis*, †*C. ackermannii*, *Carcharhinus* cf. *C. brachyurus*, *C. leucas*, *Carcharhinus* cf. *C. limbatus*, *Carcharhinus* cf. *C. perezi*, *Carcharhinus* cf. †*C. priscus*, †*I. acuarius*, †*N. eurybathrodon*, †*P. contortus*, and †*S. arambourgi*) and seven batoids (*Rhynchobatus* sp., *Pristis* sp., *Dasyatis* sp., *Aetobatus* sp., *Aetomylaeus* sp., *Rhinoptera* sp., and †*P. stenodon*) are reported for the first time from Colombian Neogene deposits. The elasmobranch assemblages of the Jimol and Castilletes formations and the Patsúa assemblage, share certain similarities with the fauna previously described from the underlying Uitpa Formation (e.g., Carrillo–Briceño et al., 2016b).

The elasmobranch fauna of the Cocinetas assemblages show a clear differentiation in paleodiversity between geological units (see Fig. S5). The Castilletes Formation and Patsúa assemblage are the most diverse units of all the assemblages from the Cocinetas Basin (Tables S1–S2, Fig. S5). In contrast, the Jimol and Ware formations are the least diverse units (Tables S1–S2, Fig. S5). These paleodiversity differences between the geological units of the Cocinetas Basin, could be attributed to: 1) less intensive sampling, especially less systematic sieving of all studied localities (see Material and Methods section) and/or 2) different lithologic, taphonomic and preservational conditions, without dismissing a direct response to the paleoenvironmental and paleoecological conditions (see the below Paleoenvironments of the Cocinetas Basin subsection). The Castilletes Formation and Patsúa assemblage preserve one of the most diverse elasmobranch faunas known from the early–middle Miocene of the Americas (Fig. S6).

Of biostratigraphic significance to the elasmobranch fauna of the Cocinetas assemblages is the record of †*C. megalodon*, †*G. mayumbensis*, †*C. gibbesii* and †*C. ackermannii*. The presence of †*C. megalodon* in late Burdigalian sediments of the

Castilletes Formation (localities 130024, 290824 and 430202, Fig. 2b), confirms the presence of this species during late early Miocene, an assertion that has been previously discussed for other American localities by Carrillo–Briceño et al. (2016a, p. 21, and references therein). The age of the above referred localities of the Castilletes Formation have been estimated by $^{87}Sr/^{86}Sr$ isotope stratigraphy (Hendy et al., 2015, fig. 16, tab. 6). In the case of †*C. chubutensis*, this species is restricted to the Patsúa assemblage, which suggests that the previous specimens of †*Carcharocles* sp. referred to the Uitpa Formation by Carrillo–Briceño et al. (2016b, fig. 4.12–13), could belong to the former species. Due to the relatively small size of the †*C. chubutensis* teeth from the localities 290468 and 290472 (Table S1), these likely belong to juvenile and sub-adults individuals (Figs. 3w–z, 4a–d). The specimens assigned here to †*C. chubutensis* are characterized by the presence of pair of lateral cusplets that are not separated from the main cusp and a narrower cusp in the lower teeth, while those assigned to †*C. megalodon* have a wider crown in lower teeth and lack lateral cusplets.

†*Carcharhinus gibbesii* in Jimol Formation, besides being present in the Patsúa assemblage it is also present in the Burdigalian sediments of the Cantaure Formation in Venezuela (Carrillo–Briceño et al., 2016a). These records from the late part of the early Miocene are notable as the last appearance of †*C. gibbesii* has been regarded as Aquitanian (Carrillo–Briceño et al., 2016b). †*Carcharhinus ackermannii* is reported here from the Burdigalian sediments of the Castilletes Formation and Patsúa assemblage (Tables S1–S2). However, it has been exclusively reported previously from the early Miocene Cantaure (Venezuela) and Pirabas (Brazil) formations (Santos and Travassos, 1960; Carrillo–Briceño et al., 2016a; Aguilera et al., 2017a). Due to the scarce fossil record of this extinct species, it is difficult to propose a determined biostratigraphic and geographical range. The absence of this species in other geological units, younger than early Miocene in the Americas or other regions, could suggest that this species is restricted to the early Miocene.

With reference to †*Galeocerdo mayumbensis*, little is known about its distribution and chronostratigraphy, which has been figured in the scientific literature from a few early Miocene localities of Africa (Dartevelle and Casier, 1943; Andrianavalona et al., 2015; Argyriou et al., 2015) and South America (Carrillo–Briceño et al., 2016a; Aguilera et al., 2017a). According to the morphology of some illustrated teeth (resembling the morphology of those of †*G. mayumbensis*), taxonomical misidentifications could also include specimens from the early Miocene of Africa (Cook et al., 2010, Fig. 3c), Asia (Patnaik et al., 2014, Plate 2.12), Central America (Pimiento et al., 2013, Fig. 4b), and South America (Santos and Travassos, 1960, Fig. 3; Reis, 2005, Fig. 6; Costa et al., 2009, Fig. 1e, 2c), for which a more detailed review of these specimens would be necessary. Abundant unpublished teeth of †*G. mayumbensis* (labelled in public and private collections) from the east coast of the US, questionably have been assigned to a middle to late Miocene and Pliocene age without a detailed stratigraphic information. However, many specimens are certainly present at least in the earlier portion of the middle Miocene section of the Bone Valley Formation in Florida (DJE Ehret, personal communication, August 2, 2018). The absence of †*G. mayumbensis* in locations younger than early Miocene (with the exception of the above record Bone Valley Formation), and the tendency of the overall stratigraphical distribution of †*G. mayumbensis*, including the new referenced record of the Castilletes Formation and the Patsúa assemblage (Table S1), could suggest that this extinct tiger shark was probably restricted to the early Miocene and beginning of middle Miocene, with a widespread distribution.

5.2 Paleoenvironments of the Cocinetas Basin

5.2.1 Faunal assemblage evaluation

The Neogene sedimentary sequence of the Cocinetas Basin has been characterized by a transition from a shallow marine to a fluvio–deltaic paleoenvironment (e.g., Moreno et al., 2015; Pérez–Consuegra et al., 2018). The geological and paleontological evidence (mainly based on mollusks, see Hendy et al., 2015) of Jimol Formation indicate depositional conditions characterized by a shallow marine environment (inner shelf depth < 50 m). The elasmobranch fauna from the Jimol Formation is characterized by a higher diversity of piscivorous carchariniform and lamniform species (Figs. 9–10). However, in this assemblage, durophagous/cancritrophic representatives are the most abundant group (i. e., rays), which are potential prey in marginal marine and brackish environments for piscivirous sharks (see Hendy et al., 2015). This could support habitat and feeding preferences of carchariniform and lamniform species in the Jimol Formation. The elasmobranch fauna from the Castilletes Formation is mainly characterized by carcharhiniforms and myliobatiforms, where more than the 80% of the taxa correspond to durophagous/cancritrophic feeding preferences (Figs. 9–10) and commonly these fishes are related to marginal marine and brackish environments (see Carrillo–Briceño et al., 2015a, 2015b, 2016a and references therein). Abundant marine and terrestrial fossils such as plants, mollusks, crustaceans, fishes, turtles, crocodilians, and mammals in the Castilletes Formation suggest a shallow marine to fluvio–deltaic depositional environment, similar to those habitats that characterize the Neogene Urumaco sequence in Western Venezuela (Aguilera et al., 2013; Carrillo–Briceño et al., 2015b; Cadena and Jaramillo, 2015; Hendy et al., 2015; Moreno et al., 2015; Amson et al., 2016; Moreno–Bernal et al., 2016; Aguirre–Fernández et al., 2017). The elasmobranch fauna of the Castilletes Formation is similar to the Urumaco sequence because it is dominated by durophagous/cancritrophic taxa (such as *Aetomylaeus*, *Rhinoptera*, and Myliobatoidea indet.) (Carrillo–Briceño et al., 2015b). This similarity could be related to the abundance of their potential benthic prey of mollusks and crustaceans. The Patsúa assemblage, especially the locality 290468, is characterized by a high diversity and abundance of piscivorous carchariniform and lamniform species (Figs. 9–10). The presence of the lamniform *Isurus* cf. *I. oxyrinchus*, the otodontid †*Parotodus benedenii*, the alopiids *Alopias* cf. †*A. exigua* and †*Anotodus retroflexus*, and the pristiophoriform *Pristiophorus* sp., could suggest a fully marine environment. The associated bony fishes (Acanthuridae, Labridae, Scaridae, Sparidae, Sphyraenidae, Balistidae and Diodontidae, (see Fig. S7), corals, bryozoans, echinoderms and mollusks suggest a subtidal marine environment with limited influence from major freshwater input (see Hendy et al., 2015). The mollusks and echinoderms are distinctive from those of the Jimol and Castilletes formations that have been extensively sampled in central and eastern parts of the Cocinetas Basin. The Patsúa assemblage preserves a diversity of species that covers fully marine sandy bottom and reef habitats (e.g., *Spondylus*), while freshwater and brackish water species are absent. Other notable fossils include abundant fragments of wood that contain *Teredolites* (traces of *Teredo* or shipworm) and *Aturia* (nautiloid), which presumably were washed up onto a more exposed coastal setting. An isolated and incomplete Odontoceti tooth also was recorded from locality 290472 (specimen MUN–STRI–44517).

In contrast with the diverse early–middle Miocene elasmobranch assemblages of the Jimol and Castilletes formations and the Patsúa assemblage, the fauna of the late Pliocene Ware Formation is low in diversity and abundance (Fig. 9, Tables S1–S3, Fig. S5). In the same conglomeratic–fossiliferous layer where the elasmobranchs come from, abundant fishes, turtles, crocodilians,

and mammals have also been found (Moreno et al., 2015; Amson et al., 2016; Moreno–Bernal et al., 2016; Pérez et al., 2016). A fluvio–deltaic depositional environment has been described for the basal portion of the Ware Formation (Moreno et al., 2015; Pérez–Consuegra et al., 2018). *Carcharhinus leucas*, †*Negaprion eurybathrodon*, *Pristis* sp. and *Rhinoptera* sp. are the only representative chondrichthyan species for this unit (Table S1). These species are able to inhabit both marine and brackish
5   environments (Feldheim et al., 2002; Matich and Heithaus, 2013; Ebert and Stehmann, 2013; Ebert et al., 2013; Carlson et al., 2013; Carrillo–Briceño et al., 2015b). *Carcharhinus leucas* and *Pristis* also have the capacity to enter into rivers and live permanently in freshwater lakes (Voigt and Weber, 2011; Faria et al., 2013).

5.3 Paleoenvironmental reconstruction based on the $\delta^{18}O_{PO4}$ data

The $\delta^{18}O$ values of biogenic phosphate are related to the O-isotope composition of the water and their temperature of formation
10   (e. g., Longinelli and Nuti, 1973a; Kolodny et al., 1983). While open ocean waters are generally quite homogeneous in isotopic composition (close to 0 ‰), all meteoric waters are ultimately derived from marine waters by evaporation, which fractionates the isotopic composition of the H_2O molecules such that freshwater will generally have lower $\delta^{18}O$ values compared to seawater (Hoefs, 2015). As such, $\delta^{18}O_{PO4}$ values less than 18.4 ‰ likely formed in waters that are not exclusively marine ($\delta^{18}O_w = 0$ ‰). Fishes which form their bioapatite in freshwater influenced settings with less than 0 ‰ $\delta^{18}O_w$ values (e. g.,
15   rivers, lakes) also have lower $\delta^{18}O_{PO4}$ values at the same ambient temperature (Longinelli and Nuti, 1973a; Kolodny et al., 1983; Kocsis et al., 2007; Fischer et al., 2013a; Leuzinger et al., 2015). Samples with low $\delta^{18}O_{PO4}$ values may thus indicate the presence of brackish–like environments. Because the oceans are generally well mixed and freshwater influence in terms of absolute volume in many cases is minor compared to seawater, the temperatures calculated are estimates only and their accuracy is related to the amount of freshwater influence. For simplicity, we therefore take values of $\delta^{18}O$ below about 18.4 ‰
20   as a clear indication of a significant freshwater influence as the temperatures calculated based on an estimate of 0 ‰ seawater are too high for any typical shark habitat. Clearly, the exact temperature of formation cannot be estimated as this would require the precise knowledge of the $\delta^{18}O$ value for these brackish waters.

    Nonetheless, shark tooth $\delta^{18}O_{PO4}$ values can be used to qualitatively estimate paleoenvironmental conditions for the Patsúa assemblage and the Castilletes and Ware formations (Fig. 11).

25   • **Patsúa assemblage.** The age of this fauna is not as well established as it is for the other sites, therefore the obtained isotopic values represent paleoenvironmental conditions somewhere within the Burdigalian and Langhian periods. These shark teeth had predominantly "marine" isotopic compositions with one low $\delta^{18}O_{PO4}$ value measured from a †*Carcharocles chubutensis* specimen (CC.4: 17.4 ±0.3 ‰, Table 2, Fig. 11b). This isotopic composition is typical for brackish waters although †*Carcharocles chubutensis* utilized a habitat similar to the recent great white shark (*Carcharodon carcharias*). Most of the isotopic data for
30   the extant and fossil species of lamniform sharks are characteristic of cold waters, because of its long oceanic migrations and formation of bioapatite in such cold settings (Barrick et al., 1993; Vennemann et al., 2001; Amiot et al., 2008; Ebert et al., 2013; Aguilera et al., 2017a). Therefore, the low $\delta^{18}O_{PO4}$ value from this species is quite surprising and may indicate some hidden habitat trait for this ancient shark. Statistical comparisons using available datasets demonstrate this assemblage is indistinguishable from Castilletes Formation (Fig. 11b). Possibly these paleoenvironments were similar and based on the $\delta^{18}O_{PO4}$

values, the Patsúa assemblage was deposited mainly under marine conditions. Nevertheless, additional sampling and a precise chronological dating of this assemblage are necessary to improve the interpretation of its isotopic data.

• **Castilletes Formation.** The sedimentary sequence of the Cocinetas Basin is described as a transition from a shallow marine to a fluvio–deltaic paleoenvironment (i. e., a regression). Similar to the results from the Patsúa assemblage, the $\delta^{18}O_{PO4}$ values

5 are predominantly marine, except for a single tooth of †*Negaprion eurybathrodon* (NG.14: 16.7 ±0.2 ‰, Fig. 11a, b). Extant individuals of this genus inhabit marine inshore areas and commonly migrate through enclosed bays or river mouths, supporting an isotopic freshwater–influenced habitat (Castro, 1993; Feldheim et al., 2002). In fact, more samples covering the 'brackish' range were expected, since the fossil assemblage of Castilletes Formation suggests a deltaic influence at this interval (Moreno et al., 2015). Paleobathymetric estimates using mollusks have shown that the paleoenvironments were alternating quickly along

10 the stratigraphic succession, like a transgressive–regressive cycle (Hendy et al., 2015). The $\delta^{18}O_{PO4}$ mean values show a minor increase from the base towards the middle section of Castilletes (20.4 ±1.0 ‰, $n = 5$, Fig. 11a), decreasing thereafter to the lowest mean value in this formation (18.7 ±1.3 ‰, $n = 4$). This possibly indicates regional changes in the paleoenvironment of shark habitats (e. g., marine to estuarine). However, because the overall deviation is overlapping between the localities, more samples would be required to refine this interpretation. While the overall shark isotope data do not require brackish conditions

15 during the deposition of Castilletes Formation, the occasional outliers (Fig. 11a, b), notably for specimens known to migrate into freshwater, supports either a seasonal influence of freshwater and/or the presence of brackish waters into which some species may have migrated temporarily. This interpretation is in agreement with the higher resolution mollusk data from the region (Hendy et al., 2015).

• **Ware Formation.** The isotope data are significantly different for the Ware Formation from the Patsúa assemblage and

20 Castilletes Formation (except for locality 390093, Fig. 11a, b). The $\delta^{18}O_{PO4}$ values are generally lower in this formation, especially for *Carcharhinus leucas* (CL.1–CL.12: 17.6 ±1.1 ‰, $n = 12$). This euryhaline species, like *Negaprion brevirostris*, also inhabits marine inshore zones and occasionally migrates into brackish environments. However, modern *Carcharhinus leucas* is well-known for their ability to persist in coastal environments with brackish conditions, as individuals can also swim hundreds of meters upstream into freshwater (Matich and Heithaus, 2013; Ebert et al., 2013). The isotopic range for the

25 Ware Formation sharks is in a agreement with the fluvio–deltaic paleoenvironment of deposition described for this formation (Moreno et al., 2015; Pérez–Consuegra et al., 2018) and also with the euryhaline predominant fauna presented here (*Pristis* sp., *C. leucas*, *Rhinoptera* sp., †*Negaprion eurybathrodon*). The two samples of †*Negaprion eurybathrodon* have $\delta^{18}O_{PO4}$ values which probably formed under distinct marine conditions rather than under fluvial influence (NG.15: 20.7 ±0.1 ‰; NG.16: 20.5 ±0 ‰). The worn appearances of the teeth from the conglomerate beds of the Ware Formation indicate longer transport

30 and hence also probably a mixed, time–averaged fauna originating from different layers within a wider fluvio–deltaic system. Therefore, while the *Carcharhinus leucas* specimens reflect clear fluvial conditions, the †*Negaprion eurybathrodon* teeth may have been derived from layers originally deposited in a prodelta or nearby shallow coastal marine beds. Eventually, these *Negaprion* teeth grown under marine conditions could have been lost in the fluvio–deltaic paleoenvironment exploited by the sharks.

Carcharhinus leucas teeth are also smaller compared to other specimens (and species) utilized in this study. Modern representatives of adult *Carcharhinus leucas* normally have anterior teeth around 2 cm in height (Ebert et al., 2013, personal observation), a size considerably larger than our sampled teeth (< 1 cm, Fig. S8). In previous stable isotope investigations, only samples from juvenile specimens from Lake Nicaragua provided $\delta^{18}O_{PO4}$ values characteristic of a brackish condition (Kocsis et al., 2015; Aguilera et al., 2017a). Today, young specimens of this group are known for using brackish lagoons from areas adjacent to the Cocinetas Basin as a nursery ground (e.g., Maracaibo Lake, Rodríguez, 2001, Tavares and Sánchez, 2012). Moreover, the predominant brackish–like $\delta^{18}O_{PO4}$ values in this species may imply that at least since the late Pliocene they were already adapted to live in waters with reduced salinity and face the constant environmental changes (global and regional) of their paleohabitats.

6 Conclusions

• A diverse elasmobranch fauna containing 30 taxa of sharks and rays was identified, with the most diverse groups being Carcharhiniformes and Lamniformes, respectively. The fossil assemblage seems to agree with paleoenvironmental descriptions from previous studies for the fossiliferous formations of Cocinetas Basin (Jimol, Castilletes and Ware).

• An elasmobranch assemblage (Patsúa fauna) is reported from undifferentiated facies of the Jimol and Castilletes formations and represents a subtidal marine environment with limited freshwater influence.

• The biogenic phosphate $\delta^{18}O_{PO4}$ values of 73 shark teeth are evaluated within the sedimentary sequence of the Cocinetas Basin. The isotopic data are used to estimate paleoenvironmental settings (e.g., marine vs brackish vs freshwater), corroborating with descriptions for Castilletes and Ware formations.

• A predominant brackish–like $\delta^{18}O_{PO4}$ value was measured for *Carcharhinus leucas*, suggesting that at least since the late Pliocene this species was already well adapted to migrate into habitats with reduced salinity.

• More samples and additional proxies are recommended to refine our interpretations. Nevertheless, this multidisciplinary study certainly complements the knowledge about the paleoenvironmental context and evolution of Tropical America.

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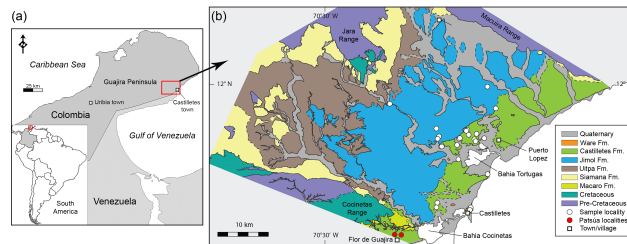
Figure 1. Location **(a)** and geological map of the southeastern Cocinetas Basin **(b)**. Abbreviation: Fm. (Formation).
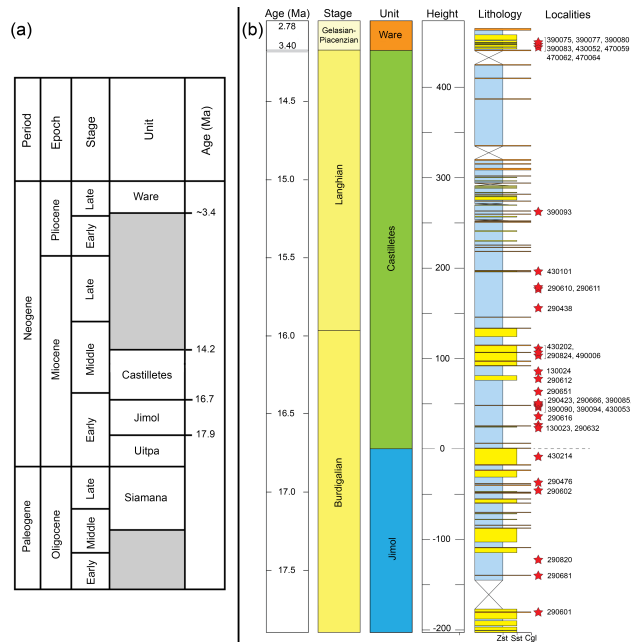
Figure 2. Stratigraphy of the Cocinetas Basin. **(a)** Generalized stratigraphy (after Moreno et al., 2015). **(b)** Stratigraphic section and studied localities. Localities of the Patsúa Valley (290468 and 290472) (details in Table S1) are not represented, because these localities belong to another section of the basin without stratigraphic column.
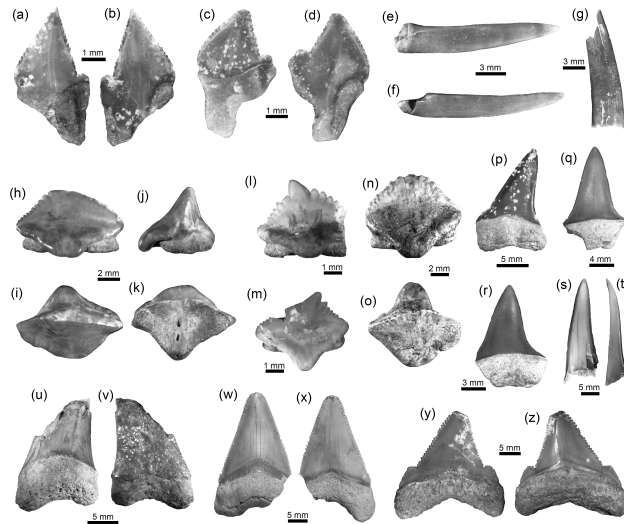
Figure 3. Squaliformes, Pristiophoriformes, Orectolobiformes and Lamniformes of the Cocinetas Basin. **(a–d)** *Dalatias* cf. *D. licha* (MUN–STRI–41205). **(e–g)** *Pristiophorus* sp. (MUN–STRI–34788). **(h–o)** *Nebrius* sp. (h–m: MUN–STRI–41136; n–o: MUN–STRI–41180). **(p–t)** *Isurus* cf. *I. oxyrinchus* (MUN–STRI–37671). **(u–v)** †*Parotodus benedenii* (MUN–STRI–43742). **(w–z)** †*Carcharocles chubutensis* (MUN–STRI– 40375). Jaw position: upper (y–z?), lower (a–d, w–x) and indet. (h–v), rostral (e–g). View: labial (b, d, h, l, n–o, v, x–y), lingual (a, c, p–s, u, w, z), profile (j, t), occlusal (i, m) dorsal (e–g), and basal (k). Geological unit: Jimol Fm. (a–d), Castilletes Fm. (h–o), Patsúa assemblage–locality 290468 (e–g, p–z).
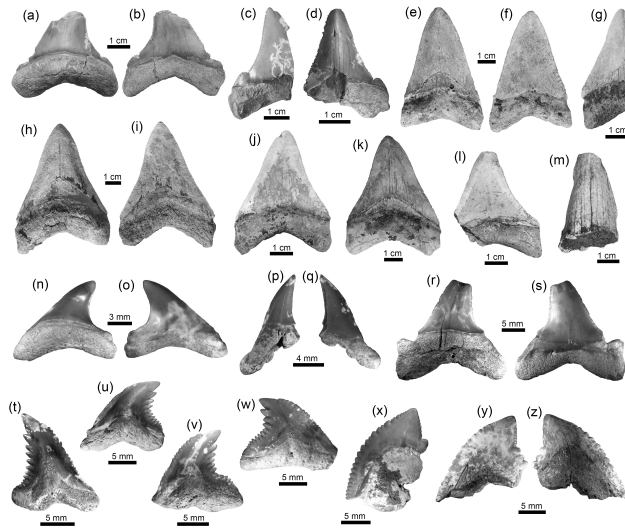
Figure 4. Lamniformes and Carcharhiniformes of the Cocinetas Basin. **(a–d)** †*Carcharocles chubutensis* (MUN–STRI–40375). **(e–l)** †*Carcharocles megalodon* (e–g: MUN–STRI–37812; h–i: MUN–STRI–38067; j–l: MUN–STRI–41145). **(m)** †*Carcharocles* sp. (MUN–STRI– 41138). **(n–q)** *Alopias* cf. *A. exigua* (MUN–STRI–43745). **(r–s)** †*Anotodus retroflexus* (MUN–STRI–43740). **(t–w)** †*Hemipristis serra* (MUN–STRI–34790). **(x–z)** †*Galeocerdo mayumbensis* (x: MUN–STRI–41135; y–z: MUN–STRI–40377). Jaw position: upper (j–l, n, u–w), lower (a–b?, c–f, h–i?, p–q?, t) and indet. (g, m, r–s, x–z). View: labial (b–c, f, i–j, l, o, q, s, y), lingual (a, d–e, g–h, k, m–n, p, r, t–x, z). Geological unit: Jimol Fm. (m), Castilletes Fm. (e–l, x), Patsúa assemblage–locality 290468 (a–d, n–w, y–z).
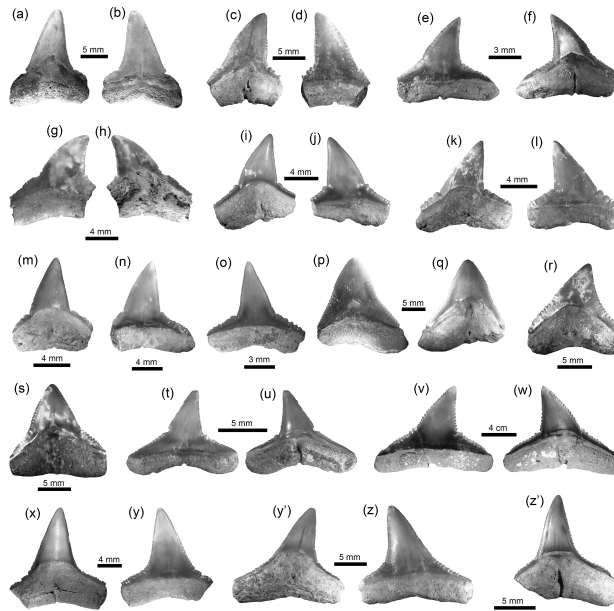
Figure 5. Carcharhiniformes of the Cocinetas Basin. **(a–d)** †*Carcharhinus ackermannii* (a–b: MUN–STRI–41128; c–d: MUN–STRI–43743). **(e–h)** *Carcharhinus* cf. *C. brachyurus* (MUN–STRI–41207). **(i–o)** †*Carcharhinus gibbesii* (MUN–STRI–43808). **(p–s)** *Carcharhinus leucas* (p–q: MUN–STRI–37646; r: MUN–STRI–21937; s: MUN–STRI–16287). **(t–u)** *Carcharhinus* cf. *C. limbatus* (MUN–STRI–41153). **(v–w)** *Carcharhinus* cf. *C. perezi* (MUN–STRI–41129). **(x–z')** *Carcharhinus* cf. †*C. priscus* (MUN–STRI–43804). Jaw position: upper (a–z'). View: labial (b, d–e, g, j, l, n–p, t, v, y, z), lingual (a, c, f, h–i, k, m, q–s, u, w–x, y', z'). Geological unit: Jimol Fm. (a–b, e–h, t–w), Castilletes Fm. (t–u). Ware (P–S), Patsúa assemblage–locality 290468 (c–d, i–o, x–z').
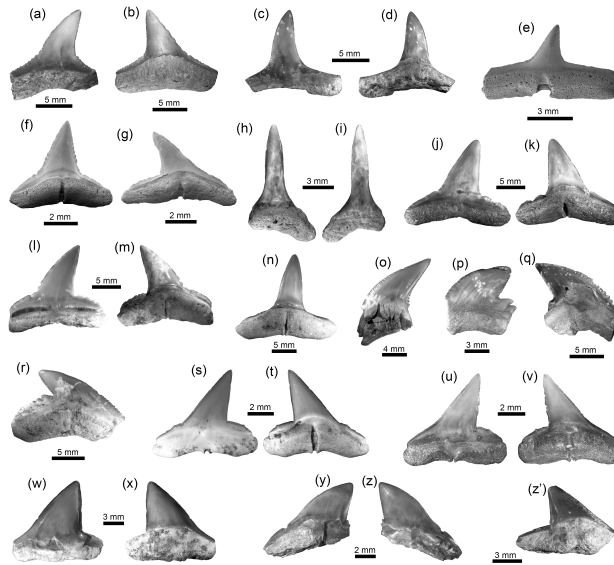
Figure 6. Carcharhiniformes of the Cocinetas Basin. **(a–d)** *Carcharhinus* cf. †*C. priscus* (MUN–STRI–43804). **(e–g)** *Carcharhinus* spp. (e: MUN–STRI–42136; f–g: MUN–STRI–42128). **(h–i)** †*Isogomphodon acuarius* (MUN–STRI–41184). **(j–n)** *Negaprion eury-bathrodon* (MUN–STRI–41133). **(o–r)** †*Physogaleus contortus* (o–q: MUN–STRI–40378; r: MUN–STRI–41132). **(s–v)** †*Sphyrna aram-bourgi* (MUN–STRI–41143). **(w–z')** †*Sphyrna laevissima* (MUN–STRI–43741). Jaw position: upper (a–b, f–g, j–m, s–z, z'?), lower (c–e, h–i, n) and indet. (o–r). View: labial (a, c, e, i–j, l, p, s, u, w, z), lingual (b, d, f–h, k, m–o, q–r, t, v, x–y, z'). Geological unit: Castilletes Fm. (e–n, r–v), Patsúa assemblage–locality 290468 (a–d, o–q, w–z').
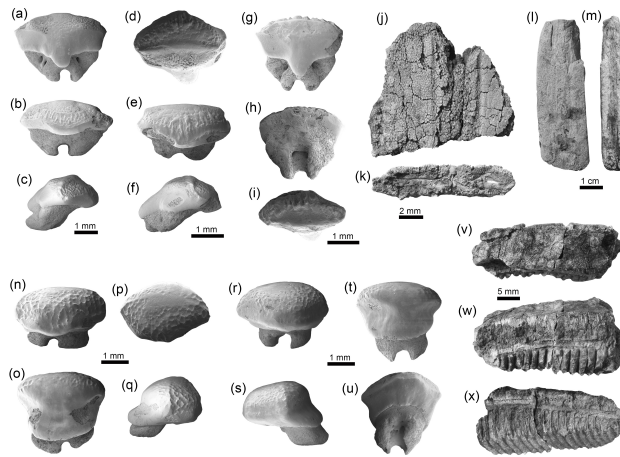
Figure 7. Rhinopristiformes and Myliobatiformes of the Cocinetas Basin. **(a–i)** *Rhynchobatus* sp. (MUN–STRI– 42132). **(j–m)** *Pristis* sp. (fragment of rostrum j–k: MUN–STRI–37397; rostral denticle l–m: MUN–STRI–34762). **(n–u)** *Dasyatis* sp. (MUN–STRI–42135). **(v–x)** *Aetobatus* sp. (MUN–STRI–34465). Jaw position: indet. (a–i, n–x). View: labial (b, e, n, r, x), lingual (a, g, o, t, w), profile (c, f, q, s), occlusal (d, i, p, v), dorsal (j, l), posterior (k), basal (h, u). Geological unit: Castilletes Fm. (a–x).
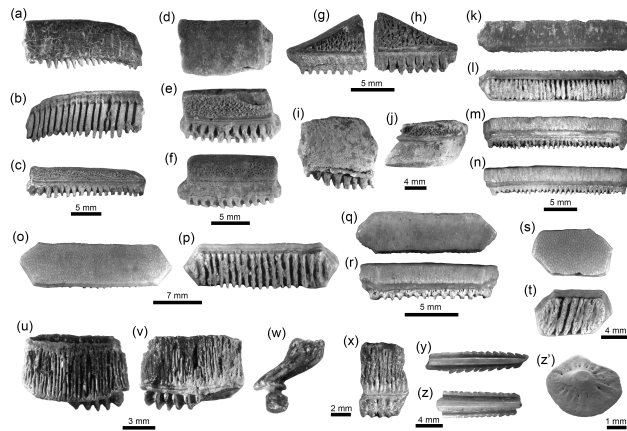
Figure 8. Myliobatiformes of the Cocinetas Basin. **(a–j)** *Aetomylaeus* sp. (a–c: MUN–STRI–41134; d–f: MUN–STRI–43746; g–j: MUN–STRI–41134). **(k–t)** *Rhinoptera* sp. (MUN–STRI–41138). **(u–x)** †*Plinthicus stenodon* (MUN–STRI–41203). **(y–z')** Myliobatiformes indet. (caudal spines y–z: MUN–STRI–34785; denticle z': MUN–STRI–42134). Jaw position: indet. (a–x). View: labial (f, g, n, r, u), lingual (c, e, h, m, v, x), profile (j, w), occlusal (a, d, i, k, o, q, s), ventral (y–z), basal (b, l, p, t). Geological unit: Castilletes Fm. (a–c, g–x, z'), Ware Fm. (y–z), Patsúa assemblage–locality 290468 (d–f).
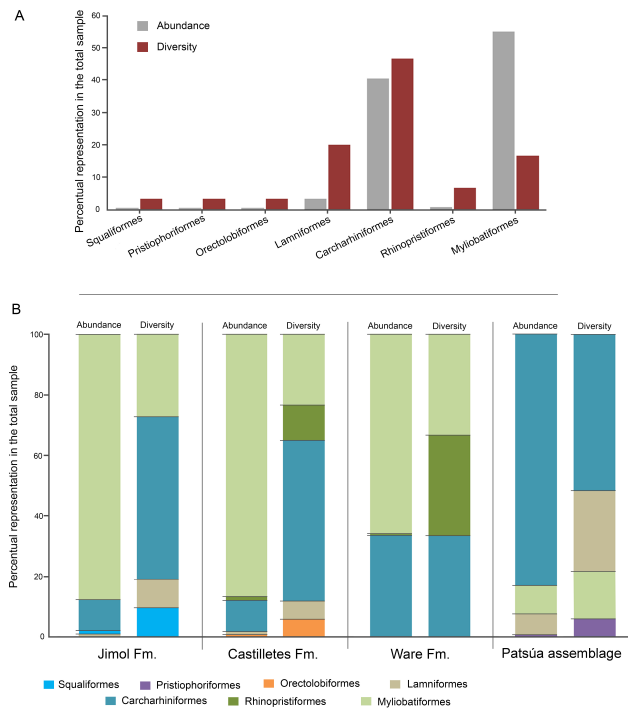
Figure 9. Elasmobranch paleodiversity (orders) of the Cocinetas Basin. **(a)** Overall assemblages. **(b)** Assemblages by geological units.
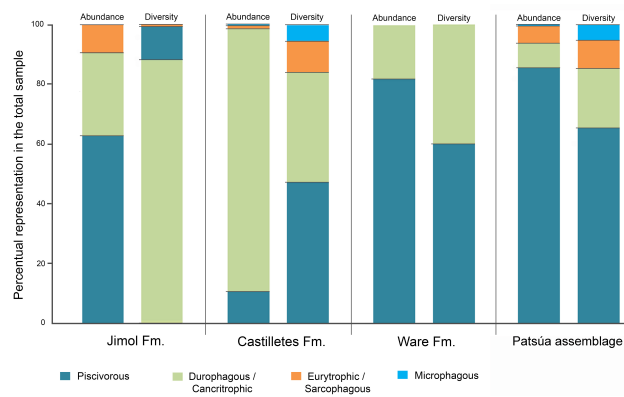
Figure 10. Dietary preferences of the elasmobrach paleofauna from Cocinetas Basin.
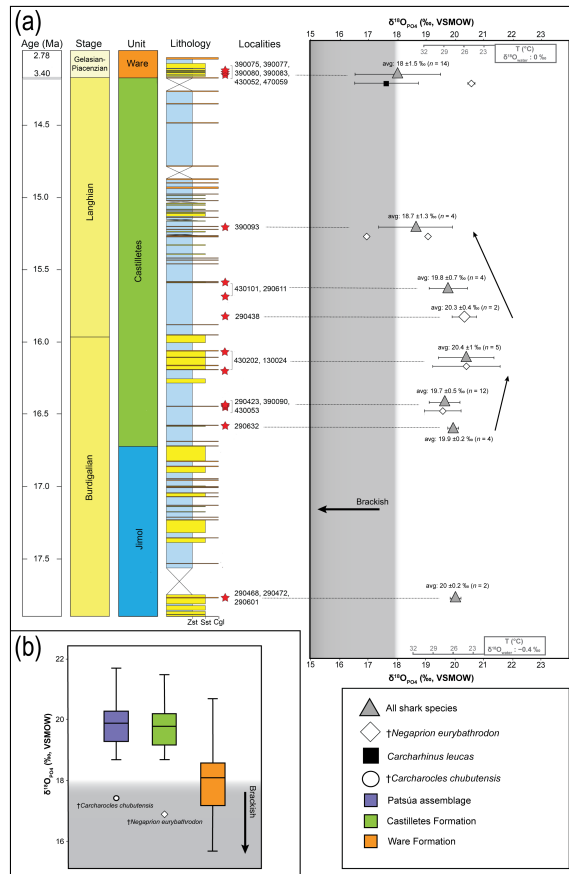
Figure 11. Stratigraphic distribution of the $\delta^{18}O_{PO4}$ from sharks of the Cocinetas Basin. The gray–shaded area marks the isotopic range representative of brackish environments. Big symbols give the average of all shark data within the same layer and its standard deviation, while smaller icons are for specific species data. Triangles group all shark species sampled in that layer; while diamonds show the results from †*Negaprion eurybathrodon*, well represented along the sedimentary sequence (the icon is large for locality 290438 because only *Negaprion* specimens were sampled); and the squares are values from *Carcharhinus leucas* of Ware Formation. Temperature bars were estimated from the equation of Lécuyer et al. (2013) are shown at the top (Ware) and at the bottom (Jimol and Castilletes) at $\delta^{18}O_w$ of 0 ‰ and –0.4 ‰, respectively (Lear et al., 2000; Billups and Schrag, 2002). **(a)** The mean $\delta^{18}O_{PO4}$ values show a minor increase along the middle Miocene, with maximum mean value for localities of the late Burdigalian. In the following intervals, the mean values decrease during the early Langhian. Ware Formation samples have $\delta^{18}O_{PO4}$ values predominantly characteristic of brackish environments. **(b)** Boxplot of the $\delta^{18}O_{PO4}$ values from samples of the Patsúa assemblage, Castilletes and Ware formations. Each outlier from the Patsúa assemblage and Castilletes are teeth with $\delta^{18}O_{PO4}$ values considered to form under 'brackish' conditions.

Table 1. Elasmobranchii paleodiversity of the Cocinetas Basin.

Superorder	Order	Family	Genus	Taxon
Squalomorphii	Squaliformes	Dalatiidae	*Dalatias*	*Dalatias* cf. *D. licha* (Bonnaterre, 1788)
	Pristiophoriformes	Pristiophoridae	*Pristiophorus*	*Pristiophorus* sp.
Galeomorphii	Orectolobiformes	Ginglymostomatidae	*Nebrius*	*Nebrius* sp.
	Lamniformes	Lamnidae	*Isurus*	*Isurus* cf. *I. oxyrinchus* Rafinesque, 1810
		†Otodontidae	†*Parotodus*	†*Parotodus benedenii* (Le Hon, 1871)
			†*Carcharocles*	†*Carcharocles chubutensis* (Ameghino, 1901)
				†*Carcharocles megalodon* (Agassiz, 1843)
				†*Carcharocles* sp.
		Alopiidae	*Alopias*	*Alopias* cf. *A. exigua* (Probst, 1879)
			†*Anotodus*	†*Anotodus retroflexus* (Agassiz, 1843)
	Carcharhiniformes	Hemigaleidae	*Hemipristis*	†*Hemipristis serra* (Agassiz, 1835)
		Carcharhinidae	*Galeocerdo*	†*Galeocerdo mayumbensis* Dartevelle and Casier, 1943
			Carcharhinus	†*Carcharhinus ackermannii* Santos and Travassos, 1960
				Carcharhinus cf. *C. brachyurus* (Günther, 1870)
				†*Carcharhinus gibbesii* (Woodward, 1889)
				Carcharhinus leucas (Müller and Henle, 1839)
				Carcharhinus cf. *C. limbatus* (Müller and Henle, 1839)
				Carcharhinus cf. *C. perezi* (Poey, 1868)
				Carcharhinus cf. †*C. priscus* (Agassiz, 1843)
				Carcharhinus spp.
			†*Isogomphodon*	†*Isogomphodon acuarius* (Probst, 1879)
			Negaprion	†*Negaprion eurybathrodon* (Blake, 1862)
			†*Physogaleus*	†*Physogaleus contortus* (Gibbes, 1849)
		Sphyrnidae	*Sphyrna*	†*Sphyrna arambourgi* Cappetta, 1970
				†*Sphyrna laevissima* (Cope, 1867)
Batomorphii	Rhinopristiformes	Rhynchobatidae	*Rhynchobatus*	*Rhynchobatus* sp.
		Pristidae	*Pristis*	*Pristis* sp.
	Myliobatiformes	Dasyatidae	*Dasyatis*	*Dasyatis* sp.
		Aetobatidae	*Aetobatus*	*Aetobatus* sp.
		Myliobatidae	*Aetomylaeus*	*Aetomylaeus* sp.
		Rhinopteridae	*Rhinoptera*	*Rhinoptera* sp.
				Myliobatoidea indet.
		Mobulidae	*Plinthicus*	†*Plinthicus stenodon* Cope, 1869
				Myliobatiformes indet.

Table 2. Shark teeth specimens used in geochemical investigation.

Sample ID	Taxon	Formation	Locality	$\delta^{18}O_{PO4}$ (‰, VSMOW)	$\delta^{18}O_{PO4}$ std dev.
HS.1	†*Hemipristis serra*	Jimol	290601	19.9	0.1
HS.2				20.2	0.2
HS.3		Patsúa assemblage	290472	20.1	0.1
HS.4				20	0.1
HS.5				20.6	0.1
CC.1	†*Carcharocles chubutensis*			19.9	0.1
CC.2				19.1	0.2
CC.3				19.4	0.1
HS.6	†*Hemipristis serra*		290468	19.3	0.1
HS.7				20.2	0.3
HS.8				19.9	0.1
NG.1	†*Negaprion eurybathrodon*			18.9	0.2
NG.2				19.9	0.2
GM.1	†*Galeocerdo mayumbensis*			20.5	0.1
GM.2				20.3	0.1
GM.3				19.3	0.2
SL.1	†*Sphyrna laevissima*			19.9	0.0
SL.2				19.1	0.1
SL.3				18.7	0.3
CC.4	†*Carcharocles chubutensis*			17.4	0.3
CC.5				19.2	0.2
CC.6				20.7	0.0
IO.1	*Isurus* cf. *I. oxyrinchus*			21.7	0.3
IO.2				20.8	0.0
IO.3				19.3	0.3
PC.1	†*Physogaleus contortus*			19.8	0.0
PC.2				20.5	0.0
PC.3				19.4	0.1
HS.9	†*Hemipristis serra*	Castilletes	290632	19.8	0.3
HS.10				19.8	0.1
CS.1	*Carcharhinus* sp.			20.1	0.2
CS.2				20.1	0.1
HS.11	†*Hemipristis serra*		290423	19.1	0.2
NG.3	†*Negaprion eurybathrodon*			19.5	0.3
HS.12	†*Hemipristis serra*		390090	19.6	0.0

Table 2. Continued. Shark teeth specimens used in geochemical investigation.

Sample ID	Taxon	Formation	Locality	$\delta^{18}O_{PO4}$ (‰, VSMOW)	$\delta^{18}O_{PO4}$ std dev.
HS.13	†*Hemipristis serra*	Castilletes	390090	19.5	0.0
NG.4	†*Negaprion eurybathrodon*			20.1	0.2
NG.5				18.8	0.2
SA.1	†*Sphyrna arambourgi*			20.1	0.3
SA.2				19.2	0.1
HS.14	†*Hemipristis serra*		430053	20.1	0.2
HS.15				20.4	0.0
NG.6	†*Negaprion eurybathrodon*			20.4	0.1
NG.7				19.2	0.1
NG.8			130024	19.2	0.2
HS.16	†*Hemipristis serra*		430202	21.1	0.0
HS.17				19.7	0.1
NG.9	†*Negaprion eurybathrodon*			21.5	0.2
NG.10				20.5	0.2
NG.11			290438	20.1	0.3
NG.12				20.6	0.1
CS.3	*Carcharhinus* sp.		290611	18.9	0.2
CS.4				20.3	0.2
CS.5				20.2	0.1
HS.18	†*Hemipristis serra*		430101	19.8	0.1
NG.13	†*Negaprion eurybathrodon*		390093	19.1	0.1
NG.14				16.9	0.2
CS.6	*Carcharhinus* sp.			18.7	0.0
CS.7				19.9	0.1
CL.1	*Carcharhinus leucas*	Ware	430059	18.1	0.1
CL.2				18	0.1
CL.3			430052	18	0.1
CL.4				18.4	0.0
CL.5			390083	18	0.1
CL.6				18.9	0.0
CL.7			390080	18.6	0.1
CL.8				15.7	0.2
CL.9			390077	15.7	0.2
CL.10				18.3	0.0
CL.11			390075	16.4	0.3

Table 2. Continued. Shark teeth specimens used in geochemical investigation.

Sample ID	Taxon	Formation	Locality	$\delta^{18}O_{PO4}$ (‰, VSMOW)	$\delta^{18}O_{PO4}$ std dev.
CL.12	*Carcharhinus leucas*	Ware	390075	17.2	0.2
NG.15	†*Negaprion eurybathrodon*			20.7	0.1
NG.16				20.5	0.0