# Suggestions for revision or reasons for rejection (will be published if the paper is accepted for final publication)

Dear authors,

1. In my initial assessment I raised 4 main points concerning methods and data handling, representation of uncertainty and validation. I am happy to say that you have well dressed most of the points raised. In particular, I appreciate that you now add uncertainties to all numbers (I suggest to even remove "mildly increased" based on the uncertainty). Furthermore data handling is much clearer now. Lastly, thank you for adding the cruise information from SOCAT.

Response: We agree with the reviewer's suggestion and have removed 'mildly'.

Overall, I believe the manuscript is technically sound and the results are well put in context. Therefore, I think the manuscript can be published after fixing a few remaining issues:

2. Methods: While the language is much simpler now and readability has improved, it still reads very difficult and it is certainly still the weakest bit. It is important for the reader to know why the inputs are in vector form. Between what are Euklidian distances calculated? What is a winning neuron? Maybe add a simple example with real data. Imagine and input vector (now with values I made up) with SST=3, SSS=32, CHI=1, MLD=10 and 2 neurons on the map with values (4,30,0.5,5) and (5,35,0,100) respectively. What is the winning neutron? after you have identified the winning neutron, what happens in step 1? and what happens in step 2? Then the link to the geography is also more visible, because the input vector values belong to a certain point in space, etc. That would be my suggestion here.

**Response:** Because during the first step, each neuron's weight vectors, which are linearly initialized, are repeatedly trained by being presented with the input vectors. The inputs are prepared in vector from. We have added more explanation in our manuscript. More detailed procedure comes from Nakaoka et al., 2013, we have added this reference in the text. We have added the equation to calculate the Euclidean distances to select a winner neuron as Eq.2 and added the explanation in the manuscript.

3. On line 142-144 I read: "The solubility of CO<sub>2</sub> is affected by temperature and salinity in the water as well as biological activities, such as phytoplankton taking up CO<sub>2</sub> through photosynthesis and organisms releasing CO<sub>2</sub> through respiration (Chen et al., 2011)." - I suppose this is a mistake from the authors (maybe awkward phrasing) as biology is not influencing the solubility.

**Response:** We have rephrased this part.

4. Throughout the manuscript spaces are missing (see as example abstract line 15 and line 27 last word(s) of the line. This is reoccurring but nothing major.

**Response:** It is a version problem. When our manuscript is opened in Word 2016 it is OK however when it is opened in other version the problem will occur. We have saved our manuscript to be in doc instead of docx and hope it will be OK.

5. lines 31-32: This is still awkwardly phrased as carbon uptake implies that the flux is from (and not "to or from") the atmosphere. Best is to remove the phrase "during the transport of  $CO_2$  to or from the atmosphere" as a whole.

**Response**: It has been removed.

6. line 88: satellites actually do measure CO<sub>2</sub> now - just not in the ocean (see GO-sat or OCO-2). So please say "measure sea surface pCO<sub>2</sub>"

**Response**: We have changed it.

7. line 139: remove of carbon cycling. **Response:** It has been removed.

Response: It has been removed.

8. line 169-170: please add a reference of some more text on the different methods. (better a reference)

Response: A reference about different methods to calculate MLD has been added.

- line 250: explain the Kriging method? or add a reference
   Response: The Kriging method we use is in SURFER software (version 7.3.0.35) and we have added it in the manuscript.
- 10. line 473: replace "could be" with "can be" you just did.

**Response:** It has been replaced.

# Variations in the Summer Oceanic pCO<sub>2</sub> and Carbon Sink in the Prydz

## **Bay Using the SOM Analysis Approach**

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## 12 Abstract

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- This study applies a neural network technique to produce maps of oceanic surface  $pCO_2$  in the
- 14 Prydz Bay in the Southern Ocean on a weekly 0.1° longitude · 0.1° latitude grid based on in situ
- measurements obtained during the 31st CHINARE cruise from February to early March of 2015.
- This study area was divided into three regions, namely, the Open-ocean region, Sea-ice region and
- Shelf region. The distribution of oceanic  $pCO_2$  was mainly affected by physical processes in the
- Open-ocean region, where mixing and upwelling were the main controls. In the Sea-ice region,
- oceanic pCO<sub>2</sub> changed sharply due to the strong change in seasonal ice. In the Shelf region,
- biological factors were the main control. The weekly oceanic  $pCO_2$  was estimated using a
- self-organizing map (SOM) with four proxy parameters (Sea Surface Temperature, Chlorophyll-a
- 22 concentration, Mixed Layer Depth, and Sea Surface Salinity) to overcome the complex
- relationship between the biogeochemical and physical conditions in the Prydz Bay region. The
- reconstructed oceanic  $pCO_2$  data coincide well with the in situ investigated  $pCO_2$  data from
- 25 SOCAT, with a root-mean-square error of 22.14 µatm. The Prydz Bay was mainly a strong CO<sub>2</sub>
- sink in February 2015, with a monthly averaged uptake of 23.57±6.36 TgC. The oceanic CO<sub>2</sub> sink
- is pronounced in the Shelf region due to its lowest oceanic  $pCO_2$  and peak biological production.

#### 1 Introduction

The amount of carbon uptake occurring in the ocean south of 60°S during the transport of CO<sub>2</sub> to or from the atmosphere is still uncertain despite its importance in regulating atmospheric carbon and acting as a net sink for anthropogenic carbon (Sweeney et al., 2000, 2002; Morrison et al., 2001; Sabine et al., 2004; Metzl et al., 2006; Takahashi et al., 2012). This uncertainty arises from both the strong seasonal and spatial variations that occur around Antarctica and the difficulty of obtaining field measurements in the region because of its hostile weather and remoteness.

Following the Weddell and Ross seas, the Prydz Bay is the third-largest embayment in the Antarctic continent. Situated in the Indian Ocean section, the Prydz Bay is located close to the Amery Ice Shelf to the southwest and the West Ice Shelf to the northeast, with Cape Darnley to the west and the Zhongshan and Davis stations to the east (Fig. 1). In this region, the water depth increases sharply northward from 200 m to 3000 m.

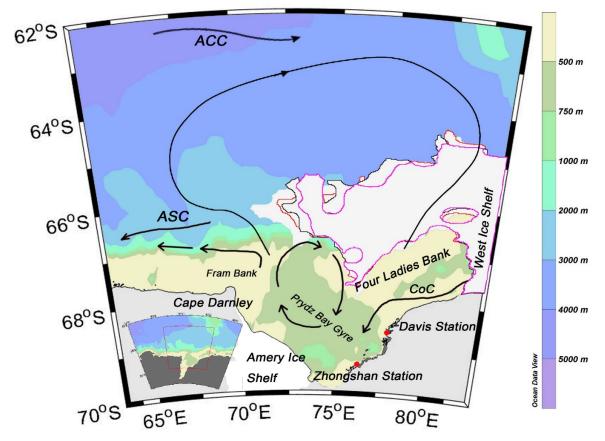


Fig. 1 Ocean circulations in the Prydz Bay derived from Roden et al. (2013)-, Sun et al. (2013), Wu et al. (2017). ASC: Antarctic Slope Current; CoC: Antarctic Coastal Current; ACC: Antarctic Circumpolar Current. During

the 4-week cruise, the sea ice extent varied as indicated by the contoured white areas: the pink line is for week-1(20150202-20150209), the black line is for week-2 (20150210-20150217), the red line is for the week-3 (20150218-20150225) and a fourth contoured area is for week-4 (20150226-20150305).

The inner continental shelf is dominated by the Amery Depression, which mostly ranges in

depth from 600 to 700 m. This depression is bordered by two shallow banks (<200 m): the Fram Bank and the Four Ladies Bank, which form a spatial barrier for water exchange with the outer oceanic water (Smith and Trégure, 1994). The Antarctic Coastal Current (CoC) flows westward, bringing in cold waters from the east. When the CoC reaches the shallow Fram Bank, it turns north and then partly flows westward, while some of it turns eastward, back to the inner shelf, resulting in the clockwise-rotating Prydz Gyre (see Fig.1). The circulation to the north of the bay is characterized by a large cyclonic gyre, extending from within the bay to the Antarctic Divergence at approximately 63°S (Nunes Vaz and Lennon, 1996; Middleton and Humphries, 1989; Smith et al., 1984; Roden et al., 2013; Wu et al., 2017). The inflow of this large gyre hugs the eastern rim of the bay and favours the onshore intrusions of warmer modified Circumpolar Deep Water across the continental shelf break (Heil et al., 1996). Westward flow along the shelf, which is part of the wind-driven Antarctic Slope Current (ASC), supplies water to the Prydz Bay.

It has been reported that the Prydz Bay is a strong carbon sink, especially in the austral summer (Gibsonab et al., 1999; Gao et al., 2008; Roden et al., 2013). Moreover, studies have shown that the Prydz Bay region is one of the source regions of Antarctic Bottom Water as well as the Weddell and Ross seas (Jacobs and Georgi, 1977; Yabukiet al., 2006). It is thus important to study the carbon cycle in the Prydz\_Bay. However, the analysis of the temporal variability and spatial distribution mechanism of oceanic  $pCO_2$  in the Prydz Bay is limited to cruises or stations due to its unique physical environment and complicated marine ecosystem (Smith et al., 1984; Nunes Vaz et al., 1996; Liu et al., 2003). To estimate regional sea-air CO<sub>2</sub> fluxes, it is necessary to interpolate between in situ measurements to obtain maps of oceanic  $pCO_2$ . Such an interpolation approach, however, is still difficult, as observations are too sparse over both time and space to capture the high variability in pCO<sub>2</sub>. Satellites do not measure sea surface pCO<sub>2</sub>, but they do provide access to the parameters related to the processes that control its variability. The seasonal and geographical variability of surface water  $pCO_2$  is indeed much greater than that of atmospheric  $pCO_2$ . Therefore, the direction of sea-air  $CO_2$  transfer is mainly regulated by oceanic  $pCO_2$ , and the method of spatially and temporarily interpolating in situ measurements of oceanic pCO<sub>2</sub> has long been used (Takahashi et al., 2002 and 2009; Olsen et al., 2004; Jamet et al., 2007; Chierici et al., 2009). In earlier studies, a linear regression extrapolation method was applied to expand cruise data to study the carbon cycle in the Southern Ocean (Rangama et al., 2005; Chen et al., 2011; Xu et al., 2016). However, this linear regression relied simply on either chlorophyll-a (CHL) or sea surface temperature (SST) parameters. Thus, this method can not sufficiently represent all controlling factors. In this study, we applied self-organizing map (SOM) analysis to expand our observed data sets and estimate the oceanic  $pCO_2$  in the Prydz Bay from February to early March of 2015.

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The SOM analysis, which is a type of artificial neural network, has been proven to be a useful method for extracting and classifying features in the geosciences, such as trends in (and between) input variables (Gibson et al., 2017; Huang et al., 2017b). The SOM uses an unsupervised learning algorithm (i.e., with no need for a priori, empirical or theoretical descriptions of input-output relationships), thus enabling us to identify the relationships between the state variables of the phenomena being analysed, where our understanding of these cannot be fully described using mathematical equations and thus where applications of knowledge-based models are limited (Telszewski et al., 2009). In the field of oceanography, SOM has been applied for the analysis of various properties of seawater, such as sea surface temperature (Iskandar, 2010; Liu et al., 2006), and chlorophyll concentration (Huang et al., 2017a; Silulwane et al., 2001). In the past decade, SOM has also been applied to produce basin-scale pCO<sub>2</sub> maps, mainly in the North Atlantic and Pacific Ocean, by using different proxy parameters (Lafevre et al., 2005; Friedrich &Oschlies, 2009a, 2009b; Nakaoka et al., 2013; Telszewski et al., 2009; Hales et al., 2012; Zeng et al., 2015; Laruelle et al., 2017). SOM has been proven to be useful for expanding the spatial-temporal coverage of direct measurements or for estimating properties whose satellite observations are technically limited. One of the main benefits of the neural network method over more traditional techniques is that it provides more accurate representations of highly variable systems of interconnected water properties (Nakaoka et al., 2013).

We conducted a survey during the  $31^{\rm st}$  CHINARE cruise in the Prydz Bay (Fig. 2). This study aimed to apply the SOM method, combined with remotely sensed data, to reduce the spatiotemporal scarcity of contemporary  $\triangle p{\rm CO}_2$  data and to obtain a better understanding of the capability of carbon absorption in the Prydz Bay from 63°E to 83°E and 64°S to 70°S from February to early March of 2015.

The paper is organized as follows. Section 2 provides descriptions of the in situ measurements and SOM methods. Section 3 presents the analysis and discussion of the results, and section 4 presents a summary of this research.

#### 2 Data and methods

#### 2.1 In situ data

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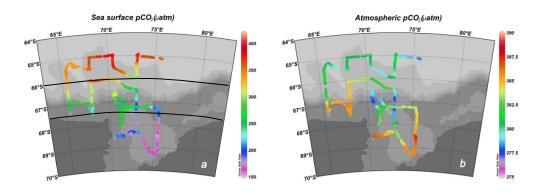
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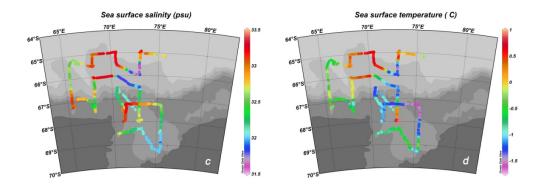
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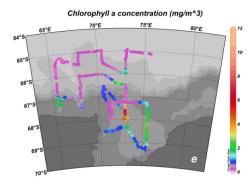
The in situ underway  $pCO_2$  values of marine water and the atmosphere were collected during the 31st CHINARE cruise, when the R/V Xuelong sailed from east to west from the beginning of February to early March, 2015 (see Fig.2a, b). Sea water at a depth of 5 metres beneath the sea surface was pumped continuously to the GO system (GO Flowing pCO<sub>2</sub> system, General Oceanics Inc., Miami FL, USA), and the partial pressure of the sea surface water was measured by an infrared analyser (LICOR, USA, Model 7000). The analyser was calibrated every 2.5-3 h using four standard gases supplied by NOAA's Global Monitoring Division at pressures of 88.82 ppm, 188.36 ppm, 399.47 ppm, and 528.92 ppm. The accuracy of the measured pCO<sub>2</sub> data is within 2 μatm (Pierrot et al., 2009). Underway atmospheric pCO<sub>2</sub> data were simultaneously collected by the GO system. Due to tThe biological and physical pumps of carbon cycling in the ocean (Hardman-Mountford et al., 2009; Bates et al., 1998a, 1998b; Barbini et al., 2003; Sweeney, 2002), the are the key factors controlling its the variation gradient in sea air in sea surface  $pCO_2$ . levels is the solubility of CO<sub>2</sub>. In terms of the physical pumps, The solubility of CO<sub>2</sub> is affected by temperature and salinity, but the biological pumps, such as, in the water as well as biological activities, such as phytoplankton, takeing up CO<sub>2</sub> through photosynthesis and while organisms releaseing CO<sub>2</sub>-it through respiration (Chen et al., 2011). There are several processes that can influence the distribution of oceanic  $pCO_2$ . Sea ice melt has a significant impact on the local stratification and circulation in polar regions. During freezing, brine is rejected from ice, thereby increasing the sea surface salinity. When ice begins to melt, fresher water is added into the ocean, thereby diluting the ocean water, i.e., reducing its salinity. Changes in salinity thus record physical processes. In this study, we treat salinity as an index for changes in sea ice. The underway SST and conductivity data were recorded by a Conductivity-Temperature-Depth sensor (CTD, Seabird SBE 21) along the cruise track. Later, sea surface salinity was calculated based on the recorded conductivity and temperature data. The distributions of underway SST and SSS are shown in Fig.2c and d.

In austral summer, when sea ice started to melt, ice algae were released into the seawater, and the amount of living biological species and primary productivity increased; thus, high chlorophyll-a values were observed (Liu et al., 2000; Liu et al., 2003). Previous studies have reported that the summer sink in the Prydz Bay is biologically driven and that the change in  $pCO_2$  is often well correlated with the surface chlorophyll-a concentration (Rubin et al., 1998; Gibsonab et al., 1999; Chen et al., 2011; Xu et al., 2016). The chlorophyll-a value is regarded as an important controlling factor of  $pCO_2$ . Remote sensing data of chlorophyll-a obtained from MODIS with a resolution of 4 km (http://oceancolor.gsfc.nasa.gov) were interpolated according to the cruise track (Fig.2e).

The ocean mixed layer is characterized as having nearly uniform physical properties throughout the layer, with a gradient in its properties occurring at the bottom of the layer. The mixed layer links the atmosphere to the deep ocean. Previous studies have emphasized the importance of accounting for vertical mixing through the mixed layer depth (MLD, Dandonneau, 1995; Lüger et al., 2004). The stability and stratification of this layer prevent the upward mixing of nutrients and limit biological production, thus affecting the sea-air CO<sub>2</sub> exchange. There are tTwo main methods are used to calculate the MLD (Chu and Fan, 2010): one is based on the difference criterion, and one is based on the gradient criterion. Early studies suggested that the MLD values determined in the Southern Ocean using the difference criterion are more stable (Brainerd and Gregg, 1995; Thomson and Fine, 2003). Thus, following Dong et al. (2008), we calculated the mixed layer depth (see Fig.2f) based on the difference criterion, in which sigma theta changed by 0.03 kg/m³. The MLD values at the stations along the cruise were later gridded linearly to match the spatial resolution of the underway measurements.







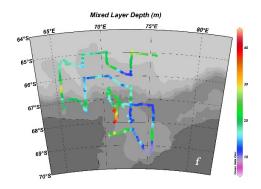


Fig.2 The distributions of underway oceanic and atmospheric *p*CO<sub>2</sub>, SST, SSS, and CHL gridded from MODIS, as well as MLD gridded from station surveys, from February to early March.

## 2.2 SOM method and input variables

We hypothesize that oceanic  $pCO_2$  can be reconstructed using the SOM method with four proxy parameters (Eq. 1): sea surface temperature (SST), chlorophyll-a concentration (CHL), mixed layer depth (MLD), and sea surface salinity (SSS).

$$pCO_2^{sea}$$
=SOM (SST, CHL, MLD, SSS) (1)

The SOM is trained to project the input space of training samples to a feature space (Kohonen, 1984), which is usually represented by grid points in two-dimensional space. Each grid point, which is also called a neuron cell, is associated with a weight vector having the same number of components as the vector of the input data (Zeng et al., 2017). During the SOM analysis, three steps are taken following Nakaoka et al. (2013) to estimate the oceanic  $pCO_2$  fields (see Fig. 3). Because the four input environmental parameters (SST, CHL, MLD, and SSS) are used to estimate  $pCO_2$  in this study, each input data set is prepared in 4-D vector form. The input environmental parameters (in this study, SST, CHL, MLD, and SSS) used to estimate  $pCO_2$  are prepared as a

vector. Here, the SOM analysis was carried out using the MATLAB SOM tool box 2.0 (Vesanto, 2002). It has been developed by the Laboratory of Computer and Information Science in the Helsinki University of Technology and is available from the following web page: <a href="http://www.cis.hut.fi/projects/somtoolbox">http://www.cis.hut.fi/projects/somtoolbox</a>.

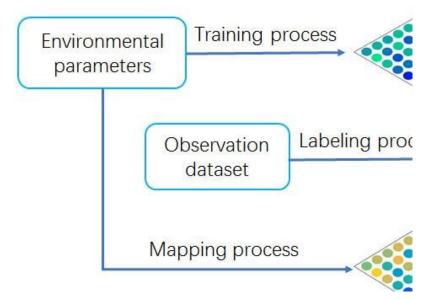


Fig. 3. Schematic diagram of the main three steps involved in the SOM neural network calculations used to obtain weekly  $pCO_2$  maps for February to early March of 2015.

During the training process, each neuron's weight vectors  $(P_i)$ , which are linearly initialized, are repeatedly trained by being presented with the input vectors  $(Q_i)$  of environmental parameters in the SOM training function. Because SOM analysis is known to be a powerful technique with which to estimate  $pCO_2$  based on the non-linear relationships of the parameters (Telszewski et al., 2009), we assumed that the non-linear relationships of the proxy parameters are sufficiently represented after the training procedure. During this step, Euclidean distances (D) are calculated between the weight vectors of neurons and the input vectors as shown in Eq.2, and the neuron with the shortest distance is selected as the winner. This process results in the clustering of similar neurons and the self-organization of the map. The observed oceanic  $pCO_2$  data are not needed in the first step.\_

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$$\underline{D(P_{i,}Q_{j})} = \sqrt{(P_{i,SST} - Q_{j,SST})^{2} + (P_{i,CHL} - Q_{j,CHL})^{2} + (P_{i,MLD} - Q_{j,MLD})^{2} + (P_{i,SSS} - Q_{j,SSS})^{2}}$$
213 ... (Eq. 2)

During the second part of the process, each preconditioned SOM neuron is labelled with an observation dataset of in situ oceanic *p*CO<sub>2</sub>values—, and the labelling process technically follows the same principles as the training process. The labelling dataset, which consists of the observed *p*CO<sub>2</sub> and normalized SST, CHL, MLD and SSS data, is presented to the neural network. We used Euclidean distances (i.e., the shortest distances) to select the winner neurons. We calculated the D values between trained neurons and observational environmental data sets. The winner neuron is selected as in step1 and labelled with an observed *p*CO<sub>2</sub> value. After the labelling process, the neurons are represented as five-dimensional-5-D vectors.

Finally, during the mapping process, the labelled SOM neurons created by the second process and the trained SOM neurons created by the first process are used to produce the oceanic  $pCO_2$  value of each winner neuron based on its geographical grid point in the study area.

Before the training process, the input training dataset and labelling dataset are analysed and prospectively normalized to create an even distribution. The statistics and ranges of the values of all variables are presented in Table 1. When the datasets of the four proxy parameters were logarithmically normalized, the skewness values of CHL and MLD changed, especially for the training dataset. The *N* coverage represents the percentage of the training data that are labelled. The data *N* coverage values of the training data sets of CHL, MLD and SSS are 82.1%, 85% and 81.1%, respectively, which may be due to their insufficient spatiotemporal coverage and/or bias between the labelling and training data sets. The *N* coverage of the logarithmic datasets changed to 93.6% and to 98.7% for CHL and MLD, respectively. Thus, the common logarithms of the CHL and MLD values are used for both the training and labelling datasets to resolve the data coverage issue arising from significantly increasing the data coverage as well as to overcome the weighting issue arising from the different magnitudes between variables (Ultsch and Röske, 2002).

Table 1. Statistics of labelling and training data sets showing the distribution and coverage of each variable.

Coverage of each variable		SST[C]	CHL[mg/m <sup>3</sup>	MLD[m]	SSS[psu]
Labelling	Max	0.81	11.13	40.69	33.81
	Min	-1.44	0.17	7.84	32.43
	Mean	-0.27	3.80	14.41	33.27
	Skewness	0.4(-0.2)#	0.8(-0.3)	0.9(0.4)	0.6(0.6)
Training	Max	2.48	40.17	48.95	34.17
	Min	-1.8	0.06	10.46	28.64

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	Skewness	0.5(-0.6)	4.3(0.5)	2.6(0.8)	-0.9(-1.0)		
	N coverage* (%)	91.3(92.5)+	82.1(93.6)	85.0(98.7)	81.1(80.4)		
# The skewness of the	common logarithm of	each variable is	shown in pare	ntheses.			
* [number of training of	data within the labellin	g data range]/[to	otal number of	training data]			
+ The percent labelling	g data coverage of nor	malized variable	s is shown in p	arentheses			
In this study, v	ve construct weekl	y oceanic pCo	O <sub>2</sub> maps from	m February	to early March of		
2015 using four da	tasets, i.e., SST, C	HL, MLD, an	d SSS. Cons	sidering the	size of our study		
region, we chose a	spatial resolution	of 0.1° latitud	e by 0.1° lo	ngitude. For	SST, we used daily		
data from AVHRR ONLY (https://www.ncdc.noaa.gov/oisst) with a 1/4° spatial resolution (see							
Fig.S1). CHL data represent the 8-D composite chlorophyll-a data from MODIS-Aqua							
(http://oceancolor.gsfc.nasa.gov) with a spatial resolution of 4 km (see Fig.S2). We also used the							
daily SSS and ML	D data (see Fig.S3-	4) from the 1	/12° global a	analysis and	forecast product from		
the Copernicus Ma	rine Environment	Monitoring So	ervice (CME	MS, http://r	marine.copernicus.eu/).		
Sea ice concentrati	on data are from th	ne daily 3.125	-km AMSR	2 dataset (S <sub>1</sub>	preen et al., 2008,		
available on <a href="https://seaice.uni-bremen.de">https://seaice.uni-bremen.de</a> , see Fig.S5).							
All daily datasets were first averaged to 8-day fields, which are regarded as weekly in this							
study. The period from the beginning of February to early March comprises four independent							
week series: week-	1 (from 02/02/201	5 to 02/09/20	15), week-2	(from 02/10	0/2015 to 02/17/2015),		
week-3 (from 02/1	8/2015 to 02/25/20	015), and wee	k-4 (from 02	2/26/2015 to	03/05/2015). The		
Traduly mayr mone	matara (SCMS) w	ana funthan na	amiddad ta a	horizontal r	escalution of 0.10 0.10		

-0.53

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14.79

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week series: week-1 (from 02/02/2015 to 02/09/2015), week-2 (from 02/10/2015 to 02/17/2015), week-3 (from 02/18/2015 to 02/25/2015), and week-4 (from 02/26/2015 to 03/05/2015). The weekly proxy parameters (**SCMS**) were further re-gridded to a horizontal resolution of 0.1°·0.1° using the Kriging method in SURFER software (version 7.3.0.35). In the SOM analyses, input vectors with missing elements are excluded. We compared the assimilated datasets of SST from AVHRR with the in situ measurements obtained by CTD along the cruise. Their correlation is 0.97, and their root-mean-square error (RMSE) is 0.2°C. Comparing the SSS and MLD fields from the Global Forecast system with the in situ measurements yields correlations of 0.76 and 0.74 and RMSEs of 0.41 psu and 5.15 m, respectively. The uncertainty of the MODIS CHL data in the Southern Ocean is approximately 35% (Xu et al., 2016). For the labelling procedure, the observed oceanic *p*CO<sub>2</sub> together with the corresponding in situ SST, SSS, MLD, and MODIS CHL products in vector form are used as the input dataset.

#### 2.3 Validation of SOM-derived oceanic pCO<sub>2</sub>

Mean

More realistic *p*CO<sub>2</sub> estimates are expected from SOM analyses when the distribution and variation ranges of the labelling variables closely reflect those of the training data sets (Nakaoka et al., 2013). However, our underway measurements of *p*CO<sub>2</sub>values have spatiotemporal limitations preventing them from covering the range of variation of the training data sets. To validate the oceanic *p*CO<sub>2</sub>values reconstructed by the SOM analysis, we used the fugacity of oceanic CO<sub>2</sub> datasets from the Surface Ocean CO<sub>2</sub> Atlas (hereafter referred to as "SOCAT" data, <a href="http://www.socat.info">http://www.socat.info</a>) version 5 database (Bakker et al., 2016). We selected the dataset from SOCAT(the EXPOCODE is 09AR20150128, see cruise in Fig. 4a) that coincided with the same period as our study. The cruise lasted from Feb. 6 to Feb. 27, 2015, and *f*CO<sub>2</sub> measurements were made every 1 min at a resolution of 0.01°. We recalculated *p*CO<sub>2</sub> values based on the obtained *f*CO<sub>2</sub> values provided by the SOCAT data using the fugacity correction (Pfeil et al., 2013).

#### 2.4 Carbon uptake in the Prydz Bay

The flux of CO<sub>2</sub> between the atmosphere and the ocean was determined using  $\Delta p$ CO<sub>2</sub> and the transfer velocity across the sea-air interface, as shown in Eq. 23, where K is the gas transfer velocity (in cm h<sup>-1</sup>), and the quadratic relationship between wind speed (in units of m s<sup>-1</sup>) and the Schmidt number is expressed as (Sc/660)<sup>-0.5</sup>. L is the solubility of CO<sub>2</sub> in seawater (in mol litre<sup>-1</sup> atm<sup>-1</sup>) (Weiss, 1974). For the weekly estimation in this study, the scaling factor for the gas transfer rate is changed to 0.251 for shorter time scales and intermediate wind speed ranges (Wanninkhof, 2014). Considering the unit conversion factor (Takahashi et al., 2009), the weekly sea-air carbon flux in the Prydz Bay can be estimated using Eq. (34):

$$Flux_{\text{sea-air}} = K \times L \times \Delta p \text{CO}_2$$

$$Flux_{\text{sea-air}} [g \text{ C/(m}^2 \cdot \text{week})] = 30.8 \times 10^{-4} \times U^2 \times (\rho \text{CO}_2^{\text{sea}} - \rho \text{CO}_2^{\text{air}})$$
(34)

where U represents the wind speed 10 m above sea level, and  $pCO_2^{\text{sea}}$  and  $pCO_2^{\text{air}}$  are the partial pressures of  $CO_2$  in sea water and the atmosphere, respectively.

We downloaded weekly ASCAT wind speed data (<a href="http://www.remss.com/">http://www.remss.com/</a>, see Fig. S6) with a resolution of  $1/4^{\circ}$  and then gridded the dataset to fit the  $0.1^{\circ}$  longitude  $\cdot$   $0.1^{\circ}$  latitude spatial resolution of the SOM-derived oceanic  $pCO_2$ . We gridded the atmospheric  $pCO_2$  data collected along the cruise track to fit the spatial resolution of the SOM-derived oceanic  $pCO_2$  data using a linear method. The total carbon uptake was then obtained by accumulating the flux of each grid in

each area according to Jiang et al. (2008) and using the proportion of ice-free areas (Takahashi et al., 2012). When the ice concentration is less than 10% in a grid, we regard the grid box as\_comprising all water. When the ice concentration falls between 10% and 90%, the flux is computed as being proportional to the water area. In the cases of leads or polynyas due to the dynamic motion of sea ice (Worby et al., 2008), we assume the grid box to be 10% open water when the satellite sea ice cover is greater than 90%.

#### 3 Results and discussion

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#### 3.1 The distributions of underway measurements

During austral summer, daylight lasts longer and solar radiation increases. With increasing sea surface temperature, ice shelves break and sea ice melts, resulting in the stratification of the water column. Starting in the beginning of February, the R/V Xuelong sailed from east to west along the sea ice edge, and its underway measurements are shown in Fig.2. Based on the water depth and especially the different ranges of oceanic  $pCO_2$  (see Fig.2a and Table2), the study area can be roughly divided into three regions, namely, the Open-ocean region, Sea-ice region and Shelf region (see Table2).

The Open-ocean region ranges northward from 66°S to 64°S, where the Antarctic Divergence Zone is located and water depths are greater than 3000 m. In the Open-ocean region, the oceanic pCO<sub>2</sub> was the highest, varying from 291.98 μatm to 379.31 μatm, with a regional mean value of 341.48 µatm. The Antarctic Divergence Zone was characterized by high nutrient concentrations and low chlorophyll concentrations, with high  $pCO_2$  attributed to the upwelling of deep waters, thus suggesting the importance of physical processes in this area (Burkill et al., 1995; Edwards et al., 2004). The underway sea surface temperatures in this region are relatively high, with an average value of -0.23°C due to the upwelling of Circumpolar Deep Water (CDW), while at the sea ice edge (73°E, 65.5°S to 72°E, 65.8°S), the SST decreased to less than-1°C. From 67.5°E westward, affected by the large gyre, cold water from high latitudes lowered the SST to less than 0°C. Near the sea ice edge, SSS decreased quickly to 31.7 psu due to the diluted water; along the 65°S cruise, it reached 33.3 psu; then, moving westward from 67.5°E, affected by the fresher and colder water brought by the large gyre, it decreased to 32.5 psu. The satellite chlorophyll-a image showed that the regional mean was as low as 0.45 mg/m<sup>3</sup>, except when the vessel near the sea ice edge recorded CHL values that increased to 2.26 mg/m<sup>3</sup>. The lowest pCO<sub>2</sub> value was found near the sea ice edge due to biological uptake. The distribution of MLD varied along the cruise. Near

the sea ice edge, because of the melting of ice and direct solar warming, a low-density cap existed over the water column, and the MLD was as shallow as 10.21 m. The maximum value of MLD in the Open-ocean region was 31.67 m. In the Open-ocean region, atmospheric  $pCO_2$  varied from 374.6 µatm to 387.8 µatm. Along the  $65^{\circ}E$  cruise in the eastern part of the Open-ocean region, the oceanic  $pCO_2$  was relatively high, reaching equilibrium with atmospheric  $pCO_2$ . In the western part of this region, the oceanic  $pCO_2$  decreased slightly due to the mixture of low  $pCO_2$  from higher latitudes brought by the large gyre. Mixing and upwelling were the dominant factors affecting the oceanic  $pCO_2$  in this region.

The seasonal Sea-ice region (from 66°S to 67.25°S) is located between the Open-ocean region and the Shelf region. In this sector, sea ice changed strongly, and the water depth varied sharply from 700 m to 2000 m. The oceanic *p*CO<sub>2</sub> values ranged from 190.46 μatm to 364.43 μatm, with a regional mean value of 276.48 μatm. Sea ice continued to change and reform from late February to the beginning of March (Fig. 6). The regional mean sea surface temperature decreased slightly compared to that in the Open-ocean region, and the average value was -0.72°C. With the rapid changes in sea ice, the sea surface temperature and salinity varied sharply from -1.3°C to 0.5°C and from 31.8 psu to 33.3 psu, respectively. When sea ice melted, the water temperature increased, biological activity increased, and the chlorophyll-a value increased slightly to reach a regional average of 0.59 mg/m³. Due to the rapid change in sea ice cover, the value of MLD varied from 12.8 m to 30.9 m.

The Shelf region (from 67.25°S southward) is characterized by shallow depths of less than 700 m, and it is surrounded by the Amery Ice Shelf and the West Ice Shelf. Water inside the Shelf region is formed by the modification of low-temperature and high-salinity shelf water (Smith et al., 1984). The Prydz Bay coastal current flows from east to west in the semi-closed bay. The oceanic *p*CO<sub>2</sub> values in this region were the lowest of those in all three sectors; these values ranged from 151.70 μatm to 277.78 μatm, with a regional average of 198.72 μatm. A fresher, warmer surface layer is always present over the bay, which is known as the Antarctic Surface Water (ASW). During our study period, the Shelf region was the least ice-covered region. A large volume of freshwater was released into the bay, resulting in low sea surface temperature (an average of -0.61°C) and salinity (an average of 32.4 psu) values. As shown in Fig.2f, the mixed layer depth in most of the inner shelf is low. Due to the vast shrinking of sea ice and strong stratification in the upper water, algal blooming occurred and chlorophyll values were high, with an average of 1.93

mg/m³. The chlorophyll-a value was remarkably high, reaching 11.04 mg/m³when sea ice retreated eastwardly from 72.3°E, 67.3°S to 72.7°E, 68°S. The biological pump became the dominant factor controlling the distribution of oceanic  $pCO_2$ . In the bay mouth close to the Fram Bank, due to local upwelling, the water salinity increased remarkably to approximately 33.2 psu.

Table 2 The regional mean values of underway measurements in three sub-regions

	pCO <sub>2</sub> [μatm]	SST [°]	CHL [mg/m <sup>3</sup> ]	MLD [m]	SSS [psu]
Open-ocean region (66°S - 64°S)	341.48	-0.23	0.45	20.13	32.61
Sea-ice region (66°S - 67.25°S)	276.48	-0.72	0.59	19.44	32.42
Shelf region (67.25°S - 70°S)	198.72	-0.61	1.95	16.84	32.46

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### 3.2 Quality and maps of SOM-derived oceanic pCO<sub>2</sub>

We selected SOM-derived oceanic *p*CO<sub>2</sub> values to fit the cruise track of SOCAT for the same period in February 2015 using a nearest-grid method. The RMSE between the SOCAT data and the SOM-derived result was calculated as follows:

$$RMSE = \sqrt{\frac{\sum (pco_2^{sea}(SOM) - pco_2^{sea}(SOCAT))^2}{n}}$$
(4)

where n is the number of validation datasets. The RMSE can be interpreted as an estimation of the uncertainty in the SOM-derived oceanic  $pCO_2$  in the Prydz Bay. In this study, the RMSE of the SOM-derived oceanic  $pCO_2$  and SOCAT datasets is 22.14  $\mu$ atm, and the correlation coefficient R<sup>2</sup> is 0.82. The absolute mean difference is 23.58  $\mu$ atm. The RMSE obtained in our study is consistent with the accuracies (6.9  $\mu$ atm to 24.9  $\mu$ atm) obtained in previous studies that used neuron methods to reconstruct oceanic  $pCO_2$  (Nakaoka et al., 2013; Zeng et al., 2002; Sarma et al., 2006; Jo Y H et al., 2012; Hales et al., 2012; Telszewshi et al., 2009). The precision of this study is on the high side of those that have been previously reported. The slope of the scatter plot indicates that the SOM-derived oceanic  $pCO_2$  data are lower than the SOCAT data (see Fig. 4b). Thus, the precision of these data may have greater uncertainty because the SOCAT dataset does not cover the low- $pCO_2$  area towards the south. Thus, increasing the spatial coverage of the labelling data will help increase the precision of the SOM-derived oceanic  $pCO_2$ .

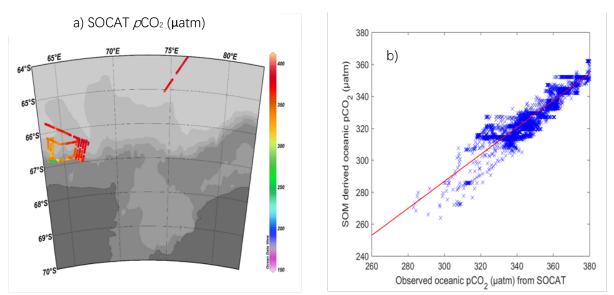


Fig. 4 a) The cruise lines from SOCAT used to validate the SOM-derived oceanic *p*CO<sub>2</sub> for the study period in 2015; b) comparison between the SOM-derived and observed SOCAT oceanic *p*CO<sub>2</sub> data.

## 3.3 Spatial and temporal distributions of SOM-derived oceanic $pCO_2$

The weekly mean maps of SOM-derived oceanic  $pCO_2$  in the Prydz Bay are shown in Fig. 5. In the Open-ocean region, the oceanic  $pCO_2$  values were higher than those in the other two regions due to the upwelling of the CDW. During all four weeks, this region was nearly ice-free, while the average sea ice coverage was 18.14% due to the presence of permanent sea ice (see Fig.6). The oceanic  $pCO_2$  distribution decreased from east to west in the Open-ocean region, with lower values observed at the edge of sea ice. In the western part of the Open-ocean region, oceanic  $pCO_2$  decreased due to mixing with low oceanic  $pCO_2$  flowing from high-latitude regions caused by the large gyre. From week-1 to week-4, the maximum oceanic  $pCO_2$  increased slightly and reached 381.42  $\mu$ atm, which was equivalent to the  $pCO_2$  value of the atmosphere.

In the Sea-ice region, sea ice continued to rapidly melt and reform. The weekly mean sea ice coverage percentage was 29.54%, occupying nearly one-third of the Sea-ice region. As shown in Fig.5, the gradient of the oceanic  $pCO_2$  distribution increased from south to north affected by the flow coming from the Shelf region by the large gyre. In the eastern part of this region, adjacent to the sea ice edge, the oceanic  $pCO_2$  values were lower. The oceanic  $pCO_2$  changed sharply from 155.86 µatm (near the sea ice edge) to 365.11 µatm (close to the Open-ocean region).

In austral winter, the entire Prydz Bay basin is fully covered by sea ice, except in a few areas, i.e., the polynyas, which remain open due to katabatic winds (Liu et al., 2017). When the austral summer starts, due to coincident high wind speeds, monthly peak tides, and/or the effect of penetrating ocean swells, the sea ice in the Shelf region starts to melt first in early summer (Lei et al., 2010), forming the Prydz Bay Polynya. The semi-closed polynya functions as a barrier for water exchange in the Shelf region and causes a lack of significant bottom water production, hindering the outflow of continental shelf water and the inflow of Antarctic circle deep water, resulting in the longer residence time of vast melting water and enhanced stratification (Sun et al., 2013). Due to vast melting of the sea ice, the sea surface salinity decreased and algae bloomed; biological productivity promptly increased, and the chlorophyll-a concentration reached its peak value. As shown in Fig. 5, the distribution of oceanic pCO<sub>2</sub> in the Shelf region was characterized by its lowest values. The obvious drawdown of oceanic pCO<sub>2</sub> occurred in the Shelf region due to phytoplankton photosynthesis during this summer bloom. The lowest oceanic  $pCO_2$  in the Shelf region was 153.83 μatm, except at the edge of the West Ice Shelf, where the Shelf oceanic pCO<sub>2</sub> exceeded 300 µatm. The oceanic pCO<sub>2</sub> was the lowest in week-1, which coincided with a peak in chlorophyll-a, as evidenced by satellite images. The regional oceanic pCO<sub>2</sub> increased slightly in week-4 compared to the other three weeks.

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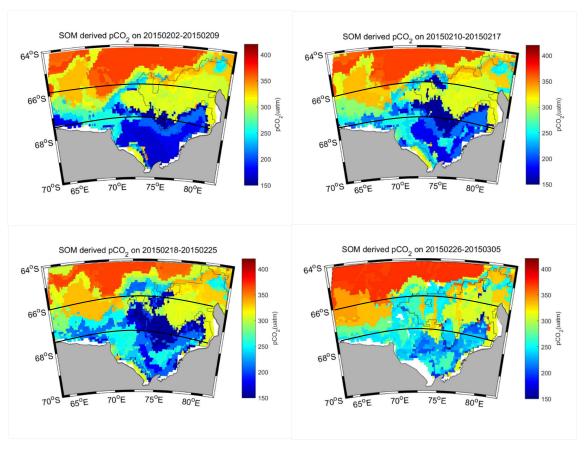


Fig.5 Distribution of weekly mean SOM-derived oceanic *p*CO<sub>2</sub> in the Prydz Bay (unit: μatm) from Feb. 2, 2015 to Mar. 5, 2015. The black contour represents a sea ice concentration of 15%.

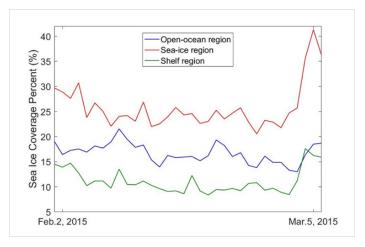


Fig. 6 Percentage of sea ice coverage in three sub-regions from Feb. 2, 2015 to Mar. 5, 2015 (blue: Open-ocean region; red: Sea-ice region; green: Shelf region).

#### 3.4 Carbon uptake in the Prydz Bay

During our study period, the entire region was undersaturated, with CO<sub>2</sub> being absorbed by the ocean. The regional averaged ocean-air  $pCO_2$  difference ( $\Delta pCO_2$ ) was highest in the Shelf region, followed by the Sea-ice region and Open-ocean region (see Table3). The regional and weekly mean ΔpCO<sub>2</sub> in the Shelf region changed from -184.31 μatm in week-1 to -141.00 μatm in week-2 as chlorophyll decreased. The  $\Delta pCO_2$  in the Sea-ice region and Open-ocean region showed the same patterns, increasing from week-1 to week-3 and then decreasing in week-4. Based on the  $\Delta p$ CO<sub>2</sub> and wind speed data, the uptake of CO<sub>2</sub> in these three regions is presented in Table 3. The uncertainty of the carbon uptake depends on the errors associated with the wind speed, the scaling factor and the accuracy of the SOM-derived  $pCO_2$  according to Eq. 34. The scaling factor will yield a 20% uncertainty in the regional flux estimation. The errors in the wind speeds of the ASCAT dataset are assumed to be 6% (Xu et al., 2016); the error in the quadratic wind speed is 12%. The RMSE of the SOM-derived  $pCO_2$  is 22.14 µatm. Considering the errors described above and the uncertainty occurring when the sea-air computation expression is simplified (1.39%, Xu et al., 2016), the total uncertainty of the final uptake is 27%. In the Shelf region, the low oceanic  $pCO_2$  levels drove relatively intensive  $CO_2$  uptake from the atmosphere. The carbon uptake in the Shelf region changed mildly from week-1 (2.51±0.68 TgC, 10<sup>12</sup> gram=Tg) to week-2 (2.77±0.75 TgC). In contrast, in week-3, the wind speed slowed down, resulting in the uptake of CO<sub>2</sub> in the Shelf region decreasing to  $2.10\pm0.57$  TgC. In week-4, even though the  $\Delta p$ CO<sub>2</sub> was the lowest of all four weeks, the total absorption still increased to 2.63±0.715 TgC due to the high wind speed (with an average value of 7.92 m/s). The total carbon uptake in the three regions of the Prydz Bay, integrated from February to early March of 2015, was 23.57 TgC, with an uncertainty of  $\pm 6.36$  TgC.

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Table3 Regional and weekly mean  $\Delta p$ CO<sub>2</sub>, wind speed and uptake of CO<sub>2</sub> in three sub-regions (negative values represent directions moving from air to sea).

		Week-1	Week-2	Week-3	Week-4	Uptake in 4 weeks[Tg]
Open-ocean region	$\Delta p \text{CO}_2 \text{ [}\mu \text{atm]}$	-34.11	-42.69	-51.94	-34.08	
$(66^{\circ}S - 64^{\circ}S)$	Wind speed [m/s]	7.82	8.54	7.02	9.31	-5.74
	Uptake [Tg]	-1.08	-1.55	-1.51	-1.60	
Sea-ice region	$\Delta p$ CO <sub>2</sub> [ $\mu$ atm]	-115.92	-119.83	-127.74	-86.72	
(66°S - 67.25°S)	Wind speed[m/s]	7.67	8.17	6.39	8.36	-7.82
(00 5 - 07.25 5)	Uptake [Tg]	-2.11	-2.35	-1.73	-1.63	
Shelf region	$\Delta p$ CO <sub>2</sub> [ $\mu$ atm]	-184.32	-170.23	-158.61	-141.03	
(67.25°S - 70°S)	Wind speed[m/s]	6.92	7.27	6.67	7.92	-10.01
(07.23 5 - 70 5)	Uptake [Tg]	-2.51	-2.77	-2.10	-2.63	

Roden et al. (2013) estimated the coastal Prydz Bay to be an annual net sink for CO<sub>2</sub> of 0.54±0.11 mol/(m²·year), i.e., 1.48±0.3 g/(m²·week). Gibsonab et al. (1999) estimated the average sea-air flux in the summer ice-free period to be more than 30 mmol/(m²·day), i.e., 9.2 g/(m²·week). Our study suggests that the sea-air flux during the strongest period of the year, i.e., February, could be much larger. The average flux obtained here, 18.84 g/(m²·week), is twice as large as the average value estimated over a longer period (November to February) reported by Gibsonab et al. (1999).

As the region recording the strongest surface unsaturation of these three regions in summer, the Shelf region has a potential carbon uptake of  $10.01\pm2.7~Tg~C$  from February to early March, which accounts for approximately 5.0%-6.7% of the net global ocean  $CO_2$  uptake according to Takahashi et al. (2009), even though its total area is only  $78*10^3~km^2$ . Due to the sill constraint, there is limited exchange between water masses in and outside the Prydz Bay. During winter, the dense water formed by the ejection of brine in the Bay can potentially uptake more anthropogenic  $CO_2$  from the atmosphere that can descend to greater depths, thus enhancing the acidification in deep water. According to Shadwick et al. (2013), the winter values of pH and  $\Omega$  decrease more remarkably than those in summer. As the bottom water in the Prydz Bay is a possible source of Antarctic Bottom Water (Yabuki et al., 2006), the Shelf region may transfer anthropogenic  $CO_2$  at the surface to deep water and may thus influence the acidification of the deep ocean over long timescales.

#### 4 Summary

Based on the different observed ranges of the distribution of ocean  $pCO_2$ , the Prydz Bay region was divided into three sectors from February to early March of 2015. In the Shelf region, biological factors exerted the main control on oceanic  $pCO_2$ , while in the Open-ocean region, mixing and upwelling were the main controls. In the Sea-ice region, due to rapid changes in sea ice, oceanic  $pCO_2$  was controlled by both biological and physical processes. SOM is an important tool for the quantitative assessment of oceanic  $pCO_2$  and its subsequent sea-air carbon flux, especially in dynamic, high-latitude, and seasonally ice-covered regions. The estimated results revealed that the SOM technique canould be used to reconstruct the variations in oceanic  $pCO_2$  associated with biogeochemical processes expressed by the variability in four proxy parameters: SST, CHL, MLD and SSS. The RMSE of the SOM-derived oceanic  $pCO_2$  is 22.14  $\mu$ atm for the SOCAT dataset.

- From February to early March of 2015, the Prydz Bay region was a strong carbon sink, with a
- carbon uptake of 23.57±6.36 TgC. The strong potential uptake of anthropogenic CO<sub>2</sub> in the Shelf
- region will enhance the acidification in the deep water region of the Prydz Bay and may thus
- influence the acidification of the deep ocean in the long run because it contributes to the formation
- 484 of Antarctic Bottom Water.

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