# Model constraints on the anthropogenic carbon budget of the Arctic Ocean

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**Abstract.** The Arctic Ocean is projected to experience not only amplified climate change but also amplified ocean acidification. Modeling future acidification depends on our ability to simulate baseline conditions and changes over the industrial era. Such centennial-scale changes require a global model to account for exchange between the Arctic and surrounding regions. Yet the coarse resolution of typical global models may poorly resolve that exchange as well as critical features of Arctic Ocean

- 5 circulation. Here we assess how simulations of Arctic Ocean storage of anthropogenic carbon (C<sub>ant</sub>), the main driver of openocean acidification, differ when moving from coarse to eddy-admitting resolution in a global ocean circulation-biogeochemistry model (NEMO-PISCES). The Arctic's regional storage of C<sub>ant</sub> is enhanced as model resolution increases. While the coarseresolution model configuration ORCA2 (2°) stores 2.0 Pg C in the Arctic Ocean between 1765 and 2005, the eddy-admitting versions ORCA05 and ORCA025 (1/2° and 1/4°) store 2.4 and 2.6 Pg C. The difference in inventory between model resolutions
- 10 is only due to their divergence after 1958. It would be larger had all model resolutions been initialized in 1765 as was the intermediate resolution model (ORCA05). The ORCA25 results falls within the uncertainty range from a previous data-based C<sub>ant</sub> storage estimate (2.5 to 3.3 Pg C). Across the three resolutions, there was roughly three times as much C<sub>ant</sub> that entered the Arctic Ocean through lateral transport than via the flux of CO<sub>2</sub> across the air-sea interface. Wider comparison to nine earth system models that participated in the Coupled Model Intercomparison Project Phase 5 (CMIP5) reveals much larger diversity
- of stored  $C_{ant}$  and lateral transport. Only the CMIP5 models with higher lateral transport obtain  $C_{ant}$  inventories that are close to the data-based estimates. Increasing resolution also enhances acidification, e.g., with greater shoaling of the Arctic's average depth of the aragonite saturation horizon during 1960–2012, from 50 m in ORCA2 to 210 m in ORCA025. To assess the potential to further refine modeled estimates of the Arctic Ocean's  $C_{ant}$  storage and acidification, sensitivity tests that adjust model parameters are needed given that century-scale global ocean biogeochemical simulations still cannot be run routinely at
- 20 high resolution.

# 1 Introduction

The Arctic is experiencing amplified ocean acidification (Steinacher et al., 2009) and amplified climate change (Bekryaev et al., 2010), both of which may affect the marine ecosystem (Gattuso and Hansson, 2011). The main driver of the ongoing acidification of the open ocean is the increase in atmospheric  $CO_2$  during the industrial era and the ensuing uptake of anthropogenic

- 5 carbon from the atmosphere. Although this absorbed anthropogenic carbon cannot be measured directly, being dominated by the natural component, it has been estimated from other oceanographic data. For instance, Gruber et al. (1996) developed the  $\Delta C^*$  method, building on seminal studies (Brewer, 1978; Chen and Millero, 1979) and their criticism (Broecker et al., 1985) as well as large new global data sets with improved CO<sub>2</sub> system measurements. That back-calculation method first calculates the total dissolved inorganic carbon ( $C_T$ ) at equilibrium with atmosphere before the water parcel is subducted. That preformed  $C_T$
- 10 is then corrected for changes due to biological activity, as estimated from measurements of dissolved oxygen, total alkalinity  $(A_{\rm T})$ , and nutrients, after which an estimate of preindustrial carbon is removed, finally yielding  $\Delta C^*$ . Yet the  $\Delta C^*$  method's assumption of a constant air-sea CO<sub>2</sub> disequilibrium appears problematic in the high latitudes (Orr et al., 2001). A second approach approximates the invasion of anthropogenic CO<sub>2</sub> into the interior ocean by a Transient Time Distribution (TTD) method, itself constrained by observations of transient-tracers such as CFC-12 or SF<sub>6</sub> (Hall et al., 2002; Waugh et al., 2004).
- 15 A third approach uses a Green's function instead of a TTD while also exploiting multiple transient tracers to assess the ocean's temporally changing distribution of anthropogenic carbon (Khatiwala et al., 2009). A comparison of these methods suggests that by 2010 the ocean had absorbed  $155 \pm 31$  Pg C of anthropogenic carbon, around one-third of all emitted anthropogenic carbon (Khatiwala et al., 2013)

Less attention has been paid to anthropogenic carbon storage in the Arctic. Sabine et al. (2004) estimated that the Arctic

- Ocean had absorbed 4.9 Pg C by 1994. Yet without estimates for anthropogenic carbon in the Arctic itself, Sabine et al. scaled the Arctic inventory to be 5% of their  $\Delta C^*$ -based estimate for global anthropogenic carbon storage, assuming the same Arctic:Global ocean ratio as in the global gridded distribution of observed CFC-12 (Willey et al., 2004). More recently, Tanhua et al. (2009) used Arctic observations of CFC-11, CFC-12, and SF<sub>6</sub> and the TTD approach, revising the former Arctic anthropogenic carbon storage estimate downward to a range of 2.5 to 3.3 Pg C for year 2005. With that estimate, they emphasized
- that while the Arctic Ocean represents only 1% of the global ocean volume, it stores 2% of the global ocean's anthropogenic carbon. Although these numbers are relatively small, Arctic concentrations of anthropogenic  $C_{\rm T}$  must be relatively large, thus driving enhanced acidification in the Arctic Ocean.

To provide an alternate approach to estimate anthropogenic carbon in the Arctic and to assess its budget and the mechanisms that control it, we made carbon cycle simulations over the industrial era using a coupled ocean circulation-biogeochemical

30 model. A global-scale configuration is used to account for the Arctic in the context of the global carbon cycle, while avoiding artifacts from lateral boundary conditions that are needed for regional models. Simulations with the same model (NEMO-PISCES) are made at three resolutions, from coarse to eddy admitting, to help assess the extent to which coarse-resolution models may need to be improved to adequately simulate anthropogenic carbon storage in the Arctic Ocean.

Coarse resolution may be insufficient to adequately represent Arctic Ocean bathymetry, shelf, slopes, and ridges, all of which affect Arctic Ocean circulation (Rudels et al., 1994). The bathymetry of the Arctic Ocean differs from that in other oceans in part because of the preponderance of shelf seas, comprising 53% of the total surface area (Jakobsson, 2002) (Figure 1). The remaining 47% of the surface area covers 95% of the total volume of the Arctic Ocean, split across four deep basins: the

- 5 Nansen basin, the Amundsen basin, the Makarov basin, and the Canadian basin. Water masses enter these deep basins (1) via deep inflow from the Atlantic through the Fram Strait into the Nansen basin, (2) via deep-water inflow from the Barents Sea to the Nansen basin through the St Anna Trough, as cooling increases density, (3) and via density flows along the continental shelves that are driven by brine rejection from sea-ice formation (Jones et al., 1995). These three local processes are difficult to resolve in coarse-resolution models, e.g., local density flows necessitate much higher resolution (Proshutinsky et al., 2016).
- 10 Model resolution also affects the simulated interior circulation of the Arctic Ocean by its connection to the global ocean circulation via four relatively narrow and shallow passages: (1) the Canadian Archipelago, (2) the Fram Strait, (3) the Barents Sea Opening and (4) the Bering Strait (Aksenov et al., 2016). Lateral exchange of water, carbon, and nutrients across these sections also affects Arctic Ocean primary production and acidification (Popova et al., 2013; Luo et al., 2016).

Here we use a three-dimensional model to help refine the estimate of the total anthropogenic carbon in the Arctic Ocean while assessing the dominant pathways by which anthropogenic carbon enters the Arctic Ocean and the relative importance of that lateral input relative to the air-sea flux. Three simulations made at increasingly higher grid resolution allow us to assess the extent to which the coarse resolution used by typical global ocean models may need to be improved to adequately estimate storage of anthropogenic carbon in the Arctic Ocean and associated ocean acidification.

# 2 Methods

#### 20 2.1 Models

For our study, we used the global ocean circulation model NEMO-v3.2 (Nucleus for European Modeling of the Ocean - version 3.2) including the biogeochemical component PISCES-v1 (Pelagic Interactions Scheme for Carbon and Ecosystem Studies) (Aumont and Bopp, 2006). The NEMO model has three parts: (1) the ocean dynamics and thermodynamics model OPA (Madec, 2008), (2) the sea-ice model LIM (Vancoppenolle et al., 2009), and (3) the passive tracer module TOP. This physical model is coupled via TOP to version 1 of PISCES. For this study we used NEMO at three resolutions: a laminar 2°-configuration (ORCA2) typical of coarse-resolution ocean models (Madec et al., 1998), which does not resolve eddies; an intermediate 0.5°-configuration (ORCA05) that just begins to allow eddies to appear spontaneously (Bourgeois et al., 2016); and a higher-resolution, eddy admitting version, i.e., 0.25°-configuration (ORCA025), which is still not eddy resolving (Barnier et al., 2006). All three configurations have a tripolar, curvilinear horizontal grid. One grid pole (singularity) is located at

30 the geographical South Pole while the conventional North-Pole grid singularity over the Arctic Ocean has been replaced by two singularities, both displaced over land, one over Canada and the other over Russia (Madec et al., 1998), thereby saving computational costs and avoiding numerical artifacts.

From 90°S to 20°N, the grid is a normal Mercator grid; north of 20°N, it is distorted into ellipses to create the two northern singularities (Barnier et al., 2006; Madec, 2008). The grid size changes depending on resolution and location (Table 1). The mean horizontal grid size in the Arctic Ocean (average length of the 4 horizontal edges of surface grid cells in the Arctic Ocean) is 121 km in ORCA02, 29 km in ORCA05, and 14 km in ORCA025. The minimum horizontal grid size in the Arctic is 63 km

- 5 in ORCA2, 9 km in ORCA05, and 3 km in ORCA025. Vertically, all three model configurations have the same discretization, where the full-depth water column is divided into 46 depths levels, whose thicknesses increase from 6 m at the surface to 500 m in the deepest grid box. For its bathymetry, the ocean model relies on the 2-minute bathymetry file ETOPO2 from the National Geophysical Data Center, which is based on satellite derived data (Smith and Sandwell, 1997) except for the highest latitudes: the IBCAO bathymetric data is used in the Arctic (Jakobsson et al., 2000) and BEDMAP bathymetric data is used
- 10 for the Southern Ocean south of 72°S (Lythe and Vaughan, 2001). To interpolate the bathymetry on the model grid, the median of all data points in one model grid cell was computed. NEMO uses the partial-step approach for the model to better match the observed topography. In this approach, the bathymetry of the model is not tied directly to the bottom edge of the deepest ocean grid level, which varies with latitude and longitude; rather, the deepest ocean grid level for each column of grid cells is partially filled in to better match the observed ocean bathymetry. For the parameter values and numerical characteristics of
- 15 the ORCA025 configuration, we followed Barnier et al. (2006). The lateral isopycnal diffusion and viscosity coefficients were chosen depending on the resolution (Table 2). In ORCA2, a Laplacian viscosity operator was used, whereas a bi-Laplacian operator was used in ORCA05 and ORCA025. To simulate the effect of eddies on the mean advective transport in the two coarser resolution configurations, the eddy parameterization scheme of Gent and Mcwilliams (1990) was applied with eddy diffusion coefficients indicated in Table 2.
- The biogeochemical model PISCES (Aumont and Bopp, 2006) includes four plankton functional types: two phytoplankton (nanophytoplankton and diatoms) and two zooplankton (micro- and meso-zooplankton). The growth of phytoplankton is limited by the availability of five nutrients: nitrate, ammonium, total dissolved inorganic phosphorus  $P_{\rm T}$ , total dissolved silicon  $Si_{\rm T}$ , and iron. The nanophytoplankton and diatoms are distinguished by their need for all nutrients, with only diatoms requiring silicon. While the Fe:C and Chl:C ratios of both phytoplankton groups as well as the Si:C ratio of diatoms are predicted prog-
- nostically by PISCES, the remaining macronutrient ratios are held constant at C:N:P = 122:16:1 (Takahashi et al., 1985). The same ratio holds for nonliving compartments: dissolved organic matter (DOM) and both small and large sinking particles, which differ in their sinking velocity. In PISCES, nutrients are supplied by three external pathways: atmospheric dust deposition, river delivery, and sediment mobilization of iron. Dust deposition was taken from a simulation by Tegen and Fung (1995). River discharge of  $C_{\rm T}$  and dissolved organic carbon (DOC) is based on the Global Erosion Model (GEM) by Ludwig et al. (1998).
- 30 Riverine DOC was assumed to be labile, being transformed into  $C_{\rm T}$  as soon as it enters the ocean. River delivery of the other four nutrients (Fe, N, P, and Si) were calculated from  $C_{\rm T}$ , assuming constant ratios of C:N:P:Si:Fe = 320:16:1:53.3:3.64 × 10<sup>-3</sup> (Meybeck, 1982). For sediment mobilization, dissolved iron input was parameterized as 2  $\mu$ mol Fe m<sup>-2</sup> day<sup>-1</sup> for depths shallower than 1100 m following Moore et al. (2004).

#### 2.2 Biogeochemical simulations

For initial conditions, we used observational climatologies for temperature and salinity combined from three sources (Barnier et al., 2006), for dissolved oxygen and nutrients (nitrate,  $P_T$ , and  $Si_T$ ) from the 2001 World Ocean Atlas (Conkright et al., 2002), and for preindustrial  $C_T$  and  $A_T$  from the observation-based Global Data Analysis Product (GLODAP) (Key et al.,

5 2004). As comparable observational climatologies for DOC and iron are lacking, those variables were initialized from output of a 3000-year spin up of an ORCA2 simulation including PISCES. Other tracers have short recycling times and were thus initialized with globally uniform constants.

For physical boundary conditions, all simulations were forced with the same DRAKKAR Forcing Set (DFS) constructed by Brodeau et al. (2010). This historical reanalysis data set provides surface air temperature and humidity at 2 m, wind fields at

10 10 m, shortwave and longwave radiation, and the net surface freshwater flux (evaporation minus precipitation). This data set covers 55 years, including 1958–2001 from version 4.2 and then 2002–2012 from version 4.4.

A 50-year spin up was first made from rest in the ORCA05 NEMO-PISCES model (coupled circulation-biogeochemistry), after initializing the model variables with the above-mentioned fields. The resulting simulated physical and biogeochemical fields were then used to initialize the ORCA05 NEMO-PISCES simulations, and that model was subsequently integrated

15 during 1870-1957. Since no atmospheric reanalysis is available during that period, we simply looped the DRAKKAR Forcing Set. Then at the beginning of 1958, the ORCA05 simulated fields were interpolated to the ORCA2 and ORCA025 grids, and simulations were continued in each of the three configurations during 1958 to 2012 (Fig. 2).

For this study we made two types of simulations, historical and control, both forced with the same reanalysis fields. In addition, the control simulations were forced with the preindustrial  $CO_2$  concentration of 287 ppm in the atmosphere over

20 the entire period from 1870 to 2012. The historical simulations were forced with yearly averaged historical atmospheric CO<sub>2</sub> concentrations reconstructed from ice cores and atmospheric records over 1870 to 2012 (Le Quéré et al., 2015). Both, the control and the historical simulations, were made for all three resolutions between 1958 to 2012 to correct potential model drifts. We defined the difference between the historical simulation and the control simulation as the anthropogenic component. While the ORCA2 and ORCA025 simulations are presented for the first time, the ORCA05 simulations were previously used 25 by Bourgeois et al. (2016) to assess the budget of anthropogenic carbon in the coastal ocean.

#### 2.3 C<sub>ant</sub> perturbation simulation

Because of computational limitations, it was necessary to start the anthropogenic  $CO_2$  perturbation of our reference ORCA05-PISCES simulation in 1870 as opposed to the traditional earlier reference of 1765 (Sarmiento et al., 1992), a more realistic approximation of the start of the industrial-era  $CO_2$  increase. A similar compromise was adopted for CMIP5 (Taylor et al.,

30 2012). During that missing 105 years, atmospheric  $xCO_2$  increased from 277.86 to 287.29 ppm, a 9 ppm difference that seems small relative to today's total perturbation with atmospheric  $xCO_2$  now above 400 ppm. However, Bronselaer et al. (2017) estimated that global ocean uptake of C<sub>ant</sub> in 1995 is actually underestimated by ~30% (29 Pg C) for simulations that reference the natural preindustrial state to 1850 rather than 1765. The cause is partly due to carbon uptake during the missing 1765–1850 period, but mostly it is due to the higher preindustrial reference for atmospheric  $xCO_2$  that results in the air-sea flux of  $C_{ant}$  being underestimated throughout the entire simulation. Unfortunately, we cannot use Bronselaer et al.'s results to correct our biogeochemical simulations because their reference date in the mid 19th century is 20 years earlier than ours and because they do not include the Arctic Ocean in their global data-based assessment.

- 5 Instead, to correct for the late starting date of our biogeochemical simulations, we made additional simulations using the more efficient single-tracer perturbation approach (Sarmiento et al., 1992) rather than the full PISCES biogeochemical model (24 tracers). To account for the missing carbon, we added the difference between two perturbation simulations, one starting in 1765 (P1765) and the other one in 1870 (P1870). For consistency, we applied the same initialization strategy as for the biogeochemical simulations, i.e. using ORCA05 until the end of 1957 with that output serving as the initial fields for subsequent
- 10 1958–2012 simulations in all three configurations. The difference of C<sub>ant</sub> between P1765 and P1870 was later added to the NEMO-PISCES simulations, for each resolution separately.

The perturbation approach of Sarmiento et al. (1992) avoids the computationally intensive standard CO<sub>2</sub> system calculations by only accounting for the perturbation (C<sub>ant</sub>), assuming it is independent of the natural carbon cycle. By focusing only on anthropogenic carbon, this approach exploits a linear relationship between the anthropogenic change in oceanic pCO<sub>2</sub> [ $\mu$ atm] and its ratio with the ocean's corresponding change in  $C_{\rm T}$  (C<sub>ant</sub>):

$$\frac{\delta p \text{CO}_{2o}}{\text{C}_{\text{ant}}} = z_0 + z_1 \delta p \text{CO}_{2o},\tag{1}$$

where  $\delta p CO_{2o}$  is the perturbation in oceanic  $p CO_2$  and the coefficients  $z_0$  and  $z_1$  are each quadratic functions of temperature [°C],

$$z_0 = a_0 + a_1 T + a_2 T^2 \tag{2}$$

(3)

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$$z_1 = b_0 + b_1 T + b_2 T^2$$
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In the model, Eq. (1) was rearranged to solve for surface-ocean  $\delta p CO_{2o}$  in terms of  $C_{ant}$  (Sarmiento et al., 1992, Eq. (11)), as needed to compute the air-sea flux (Sarmiento et al., 1992, Eq. (2)). In the air-sea flux equation, the atmospheric  $xCO_2$  was corrected for humidity and atmospheric pressure to convert to  $pCO_{2atm}$ . The atmospheric  $xCO_2$  history for 1765–1869 is from Meinshausen et al. (2017), while the history for 1870 and beyond is the same as used in the NEMO-PISCES simulations. One set of coefficients was derived for our reference atmospheric  $xCO_2$  in 1765; another set was derived for our reference

- atmospheric xCO<sub>2</sub> in 1870 (Table 3). The original approach was only updated to use the equilibrium constants recommended for best practices (Dickson et al., 2007) and to cover a perturbation of up to 280 ppm (see Supplement). The relative error introduced by approximating the perturbation to the ocean CO<sub>2</sub> system equilibria with Eq. (1) remains less than  $\pm 0.3\%$  across the global ocean's observed temperature range when  $\delta p CO_2^{oc} < 280$  ppm.
- 30 To correct our biogeochemical simulations for the late starting date, we used the time-varying difference in  $C_{ant}$  for every grid cell between the two perturbation simulations (P1765 P1870), adding that to the  $C_{ant}$  simulated in the biogeochemical simulations.

Lastly, we also made a perturbation simulation with using only ORCA2 from 1765 to 2012, which enables us to evaluate our simulation strategy, i.e. using ORCA05 until 1957 and then all three configurations from 1858 to 2012 (ORCA2, ORCA05, and ORCA025).

#### 2.4 CFC-12 simulation

5 CFC-12 is a purely anthropogenic tracer, a sparingly soluble gas whose concentration began to increase in the atmosphere in the early 1930's, part of which has been transferred to the ocean via air-sea gas exchange. Its uptake and redistribution in the ocean has been simulated following OCMIP-2 protocols (Dutay et al., 2002). The CFC-12 flux ( $F_{CFC}$ ) at the air-sea interface was calculated as follows:

$$F_{CFC} = k_w (\alpha_{CFC} \ p\text{CFC} - C_s)(1 - I), \tag{4}$$

10 where k<sub>w</sub> is the gas-transfer velocity (piston velocity) in m s<sup>-1</sup> (Wanninkhof, 1992), pCFC the atmospheric partial pressure of CFC-12 in atm from the reconstructed atmospheric history by Bullister (2015), C<sub>s</sub> is the sea surface concentration of CFC-12 (mol m<sup>-3</sup>), α<sub>CFC</sub> is the solubility of CFC-12 (mol m<sup>-3</sup>atm<sup>-1</sup>) from Warner and Weiss (1985), and *I* is the model's fractional sea-ice cover. Once in the ocean, CFC-12 is an inert tracer that is distributed by advection and diffusion; it has no internal sources and sinks. Many high-precision measurements of CFC-12 are available throughout the ocean, in sharp contrast to C<sub>ant</sub>
15 which cannot be measured directly.

# 2.5 Arctic Ocean

To assess the anthropogenic carbon budget in the Arctic Ocean, we adopt the regional domain defined by Bates and Mathis (2009) (Fig. 1). That domain's lateral boundaries and the volume of water contained within them vary slightly among the three model versions due to their different resolutions and bathymetries (Table 1). The signature of these different volumes is also apparent in the integrated quantity of anthropogenic carbon that is stored in the Arctic in 1958, although the fields for all three

20 apparent in the integrated quantity of anthropogenic carbon that is stored in the Arctic in 195 models are based on the same 1957 field from the ORCA05 model (Fig. 2).

# 2.6 Transport across boundaries

Transects are defined (Fig. 1) along the four boundaries as consistently as possible for the three resolutions. Water transport across each of the four boundaries is calculated for each model configuration by using monthly average water velocities at each boundary grid cell along a transect multiplied by the corresponding area of the face of the grid cell through which the water flows. For boundaries defined by a row of cells (Fram Strait, Canadian Arctic Archipelago [CAA], and Bering Strait), the transport is calculated across the northern face of each cell. Conversely, for the boundary that is a jagged line (Barents Sea Opening), for each cell the transport is calculated at the northern and eastern faces of each cell and the two transports are summed. Then for each transect, transport across all of its cells are summed to obtain the transect's monthly

30 net transport. For the  $C_{ant}$  transport, we do the same but also multiply the water transport at the boundary between two grid

cells with their volume-weighted monthly-average concentration. This multiplication of monthly means introduces an error into the transport calculation owing to neglect of shorter term variability. To shed light on that error, we sum results from those monthly calculations across all four sections, integrate them over time from 1960 to 2012, and compare that to the net transport of  $C_{ant}$  into the Arctic Ocean implied by the inventory change minus the cumulative air-sea flux over the same time

5 period. The inventory of  $C_{ant}$  is the total mass of  $C_{ant}$  inside the Arctic Ocean at a given time, while the cumulative flux is the time-integrated air-sea flux of anthropogenic  $CO_2$  over the Arctic Ocean since the beginning of the simulation. The difference between these two spatially integrated values is the reference value for the net lateral flux into the Arctic Ocean to which is compared the less exact total lateral flux of anthropogenic carbon computed from monthly mean velocity and concentration fields integrated over time. The relative error for transport of  $C_{ant}$  across the separate boundaries introduced by the monthly

10 average calculations is 28% for ORCA2, 7% for ORCA05, and 3% for ORCA025. Note that this error applies neither to the C<sub>ant</sub> inventory, nor to the cumulative air-sea flux or the lateral fluxes, which are all calculated 'online', during the simulations.

#### 2.7 CFC-12 observational data

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Model simulations were evaluated indirectly by comparing simulated to observed CFC-12. We choose CFC-12 to evaluate the model, because it is an anthropogenic, passive, conservative, and inert tracer, and in contrast to anthropogenic carbon, it is directly measurable. The CFC-12 atmospheric concentration increased from zero in the 1930s to its peak in the 2000s, since declining as a result of the Montreal protocol. Thus CFC-12 is a transient tracer similar to anthropogenic carbon but for which there exist extensive direct measurements, all carried out with high precision during WOCE (World Ocean Circulation

Experiment) and CLIVAR (Climate and Ocean - Variability, Predictability and Change) era. Nowadays, ocean models are often evaluated with CFC-11 or CFC-12, especially those destined to be used to assess anthropogenic carbon uptake (Dutay et al., 2002; Orr et al., 2017).

The CFC-12 observations used in this study come from two trans-Arctic cruises: the 1994 Arctic Ocean Section (AOS94) (Jones et al., 2007) and the Beringia 2005 expedition (Anderson et al., 2011) (Fig. 1). AOS94 started on 24 July and finished on 1 September, during which CFC-12 measurements were made at 39 stations. That section starts in the Bering Strait, enters the Canada basin adjacent to Mendeleev ridge, continues to the Makarov basin, and ends at the boundary of the Nansen basin

25 and the Barents Sea. The Beringia expedition started on 19 August and ended on 25 September 2005. It started off the coast of Alaska, went through the Canada and Makarov basins, crossed the Lomonosov ridge, and its last CFC-12 station was taken on the Gakkel ridge. These two cruises were chosen among other cruises because of their geographically similar placement and because they cross large parts of the Arctic, including almost all four major basins.

#### 2.8 Data-based estimates of anthropogenic carbon

30 Our simulated  $C_{ant}$  was compared to data-based estimates from Tanhua et al. (2009) for the year 2005 and from GLODAPv2 for the year 2002 (Lauvset et al., 2016), both based on the TTD approach.

# 3 Results

### 3.1 Physical Evaluation

#### 3.1.1 Lateral water fluxes

The lateral water flux across each the four Arctic boundaries is a fundamental reference for the simulated physical transport,

- 5 especially when the goal is to construct a budget that includes lateral transport of passive tracers. Results for lateral water transport in the three model resolutions may be grouped into two classes: coarse resolution and higher resolutions. In ORCA2, water enters the Arctic Ocean from Barents Sea and the Bering Strait (2.1 Sv split evenly), with 86% of that total leaving the Arctic via the Fram Strait and the remaining 14% flowing out via the CAA (Table 4). Conversely, outflow through the CAA is seven times larger for ORCA05 and nine times larger for ORCA025, being fueled by 26% to 46% more inflow via the Bering
- 10 Strait and 110% to 170% more inflow via the Barents Sea. Outflow via the Fram Strait is 1.76 Sv in ORCA2, 1.42–1.75 Sv in ORCA05, and 1.46–1.80 Sv in ORCA025, depending on the time period (Table 4).

Relative to the observed CAA outflow of 2.7 Sv (Curry et al., 2014; Straneo and Saucier, 2008), only ORCA05 and ORCA025 simulate similar results. In contrast, ORCA2's simulated CAA outflow is about one ninth of that observed. Likewise, its inflow via the Barents Sea is half of that observed, while the two higher resolution simulations have Barents Sea

- 15 inflows that are 20% and 40% larger than observed. Yet for inflow through the Bering Strait, it is ORCA2 that is closest to the observed estimate, overestimating it by 30%, while ORCA05 and ORCA025 overestimate it by 60% and 90%. Thus too much Pacific water appears to be entering the Arctic Ocean. All resolutions underestimate the central observational estimate for the Fram Strait outflow by  $\sim$ 12% but still easily fall within the large associated uncertainty range. Summing up, the net water transport across all four boundaries is not zero. A net outflow between 0.12 and 0.17 Sv is found for the three model
- 20 resolutions owing to river inflow and precipitation as well as artifacts caused by using monthly averages. In contrast, when the observed water transport estimates at all four boundaries are summed up, there is a net outflow of 1.9 Sv, more than ten times larger. This strong net outflow is also much larger than freshwater input from rivers (0.08 Sv) (McClelland et al., 2006) and precipitation (0.12 Sv) (Yang, 1999). It can only be explained by uncertainties in the data-based estimates of water transport, which are at least  $\pm 2.7$  Sv for the net transport based on the limited uncertainties available for transport across the individual
- 25 boundaries (Table 4). The excessive central observational estimated for the net outflow might be explained by a data-based estimate for the Barents Sea inflow that is too weak combined with a data-based estimate for the Fram Strait outflow that is too strong, a possibility that is consistent with results from the higher resolution models ORCA05 and ORCA025.

#### 3.1.2 Sea ice

Because sea-ice cover affects the air-sea CO<sub>2</sub> flux and hence anthropogenic carbon concentrations in the ocean, we compare
the modeled sea-ice cover to that observed by the U.S. National Snow and Ice Data Center (Walsh et al., 2015). Yearly averages of sea-ice extent are quite similar between the observations and models. Only in summer are simulated sea-ice concentrations slightly too high (by 0.25–0.5 × 10<sup>6</sup> km<sup>3</sup>). Despite this overall agreement in integrated sea-ice extent, regional differences are

larger. During winter (Fig. 3), all three model configurations marginally overestimate the sea-ice extent northeast of Iceland and north of the Labrador Sea, while the simulated sea-ice extent in the Barents Sea and the Bering Strait are similar to observations. During summer, the simulated sea-ice extent resembles that observed in the eastern Arctic particularly near the Atlantic, but all model resolutions overestimate sea-ice extent north of the Kara Sea, the Laptev Sea, and the East Siberian

5 Sea. This overestimation should reduce air-sea  $CO_2$  fluxes locally in these regions. The close model-data agreement for sea-ice extent in terms of the total amount, its trend and seasonal coverage, as well as regional coverage in winter contrasts with the tendency of the models to overpredict sea-ice cover in summer in the highest latitudes of the eastern Arctic.

#### 3.1.3 Atlantic water

In the Arctic Ocean, water temperature is used to help identify water masses, with values above 0°C typically coming from the

- 10 Atlantic Ocean (Woodgate, 2013). The observed temperature along the 1994 and 2005 sections (Fig. 4) indicates that Atlantic Water (AW) is found between 200 and 1000 m, penetrating laterally below the strongly stratified Arctic Ocean surface waters. In ORCA025, this AW layer is deeper and more diffuse, lying between 500 and 1500 m, thus leading to a cold bias around 500 m and a warm bias around 1000 m. The Beringia station at the boundary between the Barents Sea and the Nansen basin indicates AW lies between 200 m (2.5°C) and the seafloor at 1000 m (0°C). Conversely in the same location in ORCA025,
- 15 model temperatures remain above 1.5°C throughout the water column. That lower maximum temperature and weaker vertical gradient suggests that when ORCA025's Atlantic water enters the Arctic Ocean through the Barents Sea it is too diffuse, being well mixed throughout the water column. Weaker maxima in the simulated temperature relative to observations are also found further west in the Canada basin along both sections. There observed temperatures reach maxima of 1.1°C, while ORCA025's maxima reach only 0.5°C.
- 20 The other two resolutions represent Atlantic water circulation more poorly than does ORCA025. Both simulations show water with temperatures higher than 0°C only at the southern end of the Nansen basin. Vertically, these water masses are situated around 400 m for ORCA2 and between 200 and 1300 m for ORCA05.

# 3.2 CFC-12

Simulated CFC-12 was compared among the three resolutions and with observations, focusing first on basin-scale tendencies
based on vertical profiles of the distance-weighted means along the 2005 section (Fig. 5). That comparison reveals that among resolutions, simulated CFC-12 concentrations differ most between 400 and 1900 m; conversely, above and below that intermediate zone, simulated average profiles are nearly insensitive to resolution. In that intermediate zone and above, simulated concentrations are also generally lower than observed. The only exception is the top 100 m of the Canada basin where all resolutions overestimate observed values by 10%. Between 200 and 400 m all resolutions underestimate observations by ~50%.

30 Below 400 m, the ORCA2 CFC-12 concentrations decline quickly to zero ( $\sim$ 1000 m), while the ORCA05 and ORCA025 concentrations continue to increase both being by 15% greater at 900 m. Below that depth, the ORCA05 concentrations decline quickly reaching zero at 1350 m, while ORCA025 concentrations remain above 1 pmolkg<sup>-1</sup> until 1400 m. Between 1100 and 1500 m, average CFC-12 concentrations along the section in ORCA025 are larger than observed by up to  $\sim$ 10% at

1300 m. This overestimation of CFC-12 by ORCA025 reaches up to 40% in the Canada and Makarov basins. Below 1900 m, the simulated concentrations are essentially zero, while the observations are slightly higher (0.12 pmol kg<sup>-1</sup>). For comparison, the reported detection limit for CFC-12 for the Beringia 2005 expedition is 0.02 pmol kg<sup>-1</sup> (Anderson et al., 2011).

Given the closer overall agreement of the ORCA025 simulated CFC-12 to the observations, let us now focus on its evaluation

- 5 along the 1994 and 2005 sections (Fig. 6). On the Atlantic end of the Beringia 2005 section, where water enters the Nansen basin from the Barents Sea, the water column in ORCA025 appears too well mixed, having CFC-12 concentrations that remain above 2.0 pmol kg<sup>-1</sup>. Conversely, observed CFC-12 is less uniform, varying from 2.8 pmol kg<sup>-1</sup> at the surface to 1.3 pmol kg<sup>-1</sup> in bottom waters at 1000 m, thereby indicating greater stratification. The same contrast in stratification was deduced from modeled and observed temperature profiles at the same location (Sect. 3.1.3). On the other side of the Arctic in the Canada
- 10 basin, there are observed local chimneys of CFC-12 where concentrations remain at about 2.0 pmol kg<sup>-1</sup> from near the surface down to 1000 m, particularly along the 1994 section. These chimneys suggest localized mixing that is only barely apparent in ORCA025 (Fig. 6). Such localized features are absent at lower resolution.

Lastly, we calculate CFC-12 inventories along the two sections, integrated over depth and distance (Table 6). Depending on the expedition, ORCA025 underestimates the observed CFC-12 section inventories by 13-18%, ORCA05 by 31-38%, and ORCA2 by 61-64%.

# 15 ORCA2 by 61-64%.

# 3.3 Anthropogenic carbon inventories and concentrations

Simulated global ocean C<sub>ant</sub> inventories are 152 Pg C in ORCA2, 146 Pg C in ORCA05, and 148 Pg C in ORCA025 in 2008, all of which account for corrections for an earlier starting date from our perturbation simulations (P1765–P1870). The corrections are similar for each resolution, e.g., 24–25 Pg C in 1995, and are consistent with our biogeochemical model simulation strategy
(all three resolutions initialized with the ORCA05 output in 1958). Furthermore, these model-based corrections are much like the 29±5 Pg C correction calculated for the same 1765-1995 period with a data-based approach (Bronselaer et al., 2017). For the 1765–2008 period, the data-based global C<sub>ant</sub> inventory estimate from (Khatiwala et al., 2009) is 140±24 Pg C, the uncertainty range of which encompasses the results from all three model resolutions.

In the Arctic Ocean, the corrected modeled C<sub>ant</sub> inventories range from 1.9 to 2.5 Pg C in 2002 and from 2.0 to 2.6 Pg C

- 25 in 2005 (from ORCA2 to ORCA025). These simulated basin-wide Arctic Ocean C<sub>ant</sub> inventories were compared to the TTDbased estimates of anthropogenic carbon from (1) the GLODAPv2 assessment (Lauvset et al., 2016) normalized to the year 2002 and (2) the Tanhua et al. (2009) assessment normalized to 2005. The data-based assessment from GLODAPv2 suggests that 2.9 Pg C of anthropogenic carbon was stored in the Arctic Ocean in 2002, while that from Tanhua et al. suggests that 2.5–3.3 Pg C was stored there in 2005. In 2002, the upper limit of the of the modeled C<sub>ant</sub> inventory range remains 0.4 Pg C
- 30 lower than the GLODAPv2 data-based estimate. In 2005, the upper limit of the model range falls just within the data-based uncertainty range of Tanhua et al. (2009). As for the global estimates, the Arctic Ocean C<sub>ant</sub> inventories include corrections for the late starting date of the biogeochemical simulations. These correction is 0.4 Pg C in 2005 for each resolution (Table 5).

The differences in basin-wide inventory estimates were further studied by comparing vertical profiles of  $C_{ant}$  from the models to those from the GLODAPv2 data-based estimates (Fig. 7). Surface concentrations in ORCA05 and ORCA025 are up ~35%

larger (+12 µmol kg<sup>-1</sup>) than the data-based estimate, whereas the ORCA2 concentration is  $\sim$ 22% larger (+7 µmol kg<sup>-1</sup>). Moving downward, by 150 m the simulated concentrations in all resolutions have dropped below the data-based estimates and remain so, except for ORCA025, down to the ocean bottom. Data-model differences are largest at 400 m, with all resolutions underestimating data-based estimates by up to  $\sim$ 28% (9 µmol kg<sup>-1</sup>). Below that depth, results from the three resolutions differ

- 5 more. The ORCA2  $C_{ant}$  concentration decreases monotonically to 11 µmol kg<sup>-1</sup> at 1000 m and to 0 µmol kg<sup>-1</sup> at 2300 m. In ORCA05, concentrations decrease slowly to 19 µmol kg<sup>-1</sup> at 1000 m, below which they decrease rapidly, essentially to 0 µmol kg<sup>-1</sup> at 2300 m. Only ORCA025 increases again below 400 m, reaching a local maximum in  $C_{ant}$  at 900 m, an increase that causes the ORCA025 results to exceed data-based estimates by up to 2 µmol kg<sup>-1</sup> (~11%) at 1100 m. Below 1500 m, ORCA025 concentrations decline quickly, essentially reaching zero at 2300 m. Conversely, data-based anthropogenic carbon
- 10 concentrations remain at roughly a constant 6 μmol kg<sup>-1</sup> all the way down to the seafloor. The main differences between ORCA025 and data-based estimates are thus found in the deep Arctic Ocean below 1600 m. An analogous simulated local maximum and the underestimation near 400 m was also seen for CFC-12 (Fig. 5). Yet unlike for C<sub>ant</sub>, CFC-12 results differ below 2000 m, where observed CFC-12 concentrations are proportionally much smaller than those above.

#### 3.4 Anthropogenic carbon budget

- 15 We calculated the budget for C<sub>ant</sub> from 1960 to 2012 (Tables 4 and 5). During this period, the C<sub>ant</sub> inventory in ORCA025 increased by 1.98 Pg C, 80% of which is stored in the four major Arctic Ocean basins: the Nansen Basin (0.30 Pg C), the Amundsen Basin (0.34 Pg C), the Makarov Basin (0.33 Pg C) and the Canada Basin (0.61 Pg C). Although the Canada Basin C<sub>ant</sub> inventory increased most, its volume is larger so that its average C<sub>ant</sub> concentration increased less than in the other basins (Fig. 7). Out of the total inventory stored in the Arctic Ocean during these five decades, about one-fourth (0.48 Pg C) entered
- 20 the Arctic Ocean via air-sea flux, most of which was transferred from atmosphere through the surface of the Barents Sea (Fig. 8). The remaining 75% (1.50 Pg C) entered the Arctic Ocean via lateral transport. This net lateral influx is the sum of the fluxes (1) from the Atlantic through the Fram Strait (-0.06 Pg C), (2) from the Atlantic to the Barents Sea (1.98 Pg C), (3) from the Pacific through the Bering Strait (1.03 Pg C) and (4) to the Atlantic via the CAA (-1.50 Pg C). Summed up, the net lateral inflow of carbon across the four boundaries is 1.45 Pg C. This lateral flux computed from monthly mean C<sub>ant</sub> concentrations
- and flow fields is 0.05 Pg C ( $\sim$ 3%) is smaller than the lateral flux computed from the change in inventory minus the cumulative air-sea flux (Fig. 8). Within the Arctic, coastal regions typically exhibit net lateral losses, while the deep basins exhibit net lateral gain. The largest lateral loss occurs in the Barents Sea, where the cumulative air-sea flux of C<sub>ant</sub> is also largest (Fig. 8).

The budget of  $C_{ant}$  changes notably with resolution. Higher resolution results in more simulated  $C_{ant}$  being stored in the Arctic region, with increases in both the cumulative air-sea flux and lateral transport. The  $C_{ant}$  inventory change from 1960

to 2012 nearly doubles with the resolution increase between ORCA2 and ORCA025 (from 1.08 to 1.98 Pg C). Out of that additional C<sub>ant</sub>, 93% is found between 300 and 2200 m with the maximum being located at 1140 m. The remaining 7% is located between the surface and 300 m (Fig. 7). Besides these differences in the vertical partitioning of stored C<sub>ant</sub>, resolution also affects regional partitioning of C<sub>ant</sub> (Figs. 7 and 8). When refining resolution from ORCA2 to ORCA05, the Arctic Ocean C<sub>ant</sub> inventory increases by 0.47 Pg C, 72% of which occurs in the two Eurasian basins: the Nansen (0.19 Pg C) and Amundsen

(0.15 Pg C) basins. Another 23% of that increase occurs in the two Amerasian basins: the Makarov (0.06 Pg C) and Canada (0.05 Pg C) basins. Coastal regions account for only 5% of the total inventory increase. In contrast, the subsequent resolution enhancement between ORCA05 and ORCA025 results in little increase in inventory in the Eurasian basins (0.03 Pg C) but much more in the Amerasian basins (0.37 Pg C).

- As resolution is refined between ORCA2 and ORCA025, the Arctic  $C_{ant}$  inventory increases as a result of a 66% increase in the air-sea flux (+0.19 Pg C) and a 90% increase in the lateral flux (+0.71 Pg C). Thus the relative contribution of the lateral flux increases from 73% to 76%. Changing model resolution also affects the pathways by which  $C_{ant}$  enters the Arctic Ocean (Table 4). The most prominent change occurs in the CAA. From ORCA2 to ORCA025, the net outflow of  $C_{ant}$  through the CAA increases sevenfold (from -0.22 to -1.50 Pg C). Other notable changes include (1) the net transport through the Fram Strait
- 10 declining from a sizable (-0.59 Pg C) to a slight net outflow (-0.06 Pg C), (2) the inflow through the Barents Sea increasing by 150% (from 0.79 to 1.98 Pg C), and (3) the inflow of C<sub>ant</sub> through the Bering Strait increasing by 39% (from 0.74 to 1.03 Pg C).

# 4 Discussion

# 4.1 CFC-12

The simulated CFC-12 in ORCA025 underestimates observed concentrations between 100 and 1100 m, overestimates them

- 15 between 1100 and 1500 m, and again underestimates the low observed concentrations below 1500 m. Temperature sections suggest that excess simulated CFC-12 between 1100 and 1500 m is due to a vertical displacement of inflowing Atlantic water, which descends too deeply into the Arctic (Fig. 4). Such vertical displacement would indeed reduce simulated CFC-12 concentrations above 1000 m and enhance them between 1100 and 1500 m. Yet the underestimation of integrated CFC-12 mass above 1100 m is larger than the overestimation below 1100 m. Thus vertical displacement of Atlantic water cannot provide
- 20 a full explanation. Simulated CFC-12 concentrations above 1100 m could also be too low because ventilation of subsurface waters is probably too weak, an hypothesis that is consistent with the simulated vertical gradients in both temperature and CFC-12 that are too strong between 100 and 1100 m.

#### 4.2 Anthropogenic carbon

Relative to CFC-12, simulated deep C<sub>ant</sub> in ORCA025 underestimates observational estimates by proportionally much more.
Both tracers have similarly shaped profiles, but the data-based C<sub>ant</sub> profile differs from the observed CFC-12 profile below 1500 m. Above 1000 m, ORCA025 underestimates data-based estimates of C<sub>ant</sub> as well as observed CFC-12 owing to weak ventilation in the model. Between 1000 and 1500 m, simulated C<sub>ant</sub> and CFC-12 in ORCA025 are higher than data-based and observed concentrations. The local maxima for simulated CFC-12 and C<sub>ant</sub> in that depth range can be explained by the excessively deep penetration of simulated Atlantic water masses, which are rich in both tracers.

Below 2000 m, simulated C<sub>ant</sub> largely underestimates data-based estimates. The data-based C<sub>ant</sub> concentrations remain higher than those simulated, with an offset of  $\sim 6 \,\mu$ mol kg<sup>-1</sup> from 2000 m to the ocean floor (18% of the surface concentration), while

observed CFC-12 concentrations are relatively closer to zero, dropping to 0.1 pmol kg<sup>-1</sup> below 2000 m (3% of the surface concentration). Hence the data-based estimates of  $C_{ant}$ , which are not measured directly, appear to be too large below 2000 m in the Arctic Ocean.

- There are reasons to suspect that the GLODAPv2 estimate using the TTD method may overestimate  $C_{ant}$  in the deep Arc-5 tic. First, the water mass mean ages below 2000 m are shown to be of the order of 300 to 400 years (Tanhua et al., 2009; Schlosser et al., 1994), older than the atmospheric CO<sub>2</sub> perturbation. Second, the TTD method estimates  $C_{ant}$  concentrations (~5  $\mu$ mol kg<sup>-1</sup>), even if the CFC-12 concentrations approach zero (Waugh et al., 2006), which demonstrates the large uncertainty of the method when dealing with old water masses. To assess the maximum error associated with these potentially excessive deep TTD C<sub>ant</sub> estimates, we recalculated the C<sub>ant</sub> budget after zeroing out the C<sub>ant</sub> below 2000 m. Doing so reduces
- 10 the data-based inventory of C<sub>ant</sub> in the Arctic Ocean in 2002 by 10%. Applying the same 10% relative decrease to both the upper and lower limits of the data-based range from Tanhua et al. (2009) leads to a minimum C<sub>ant</sub> inventory of 2.2—3.0 Pg C in 2005. Simulated inventories from both ORCA05 and ORCA025 are within this lower limit.

Meanwhile, we also consider that the simulated Arctic  $C_{ant}$  inventory in ORCA025 may well be too low because it was initialized with ORCA05 results in 1958. Had ORCA025 been initialed instead in 1765, which was not computationally feasible,

- 15 its simulated inventory would probably be larger. Although we cannot assess this affect directly, we can do so indirectly by running tests at lower resolution and noting how trends differ between model resolutions after 1958. First, let us estimate how that same 1958 initialization affects the ORCA2 results, by taking the difference in simulated  $C_{ant}$  inventory between (1) the ORCA2 biogeochemical simulation from 1958 to 2012 initialized with ORCA05 in 1957 minus (2) the ORCA2 perturbation simulation from 1765 to 2012. That difference is -0.4 Pg C in 2005. Next let us assume that there is a symmetry during
- 20 1765-2005 about the ORCA05 result with ORCA2 being lower and ORCA025 being higher as seen for the simulated period after 1958 (Figure 2 and Table 5). We infer then that ORCA025 Arctic C<sub>ant</sub> inventory in 2005 would be ~0.4 Pg C larger had it been run initialized in 1765 rather than with the ORCA05 output in 1958. If so, the ORCA025 C<sub>ant</sub> inventory in 2005 would increase from 2.6 to 3.0 Pg C, pushing it to closer to the center of the data-based range of 2.5–3.3 Pg C from Tanhua et al. (2009). After correcting both ORCA2 and ORCA025 for their 1958 initialization with ORCA05 output, the model range for

25 the Arctic C<sub>ant</sub> inventory would then be 1.6–3.0 Pg C in 2005, emphasizing even more the need to go beyond coarse-resolution models in the Arctic.

#### 4.3 Lateral flux

30

In our model, about three-fourths of the net total mass of  $C_{ant}$  that accumulates in the Arctic Ocean enters laterally from the Atlantic and Pacific Oceans, independent of model resolution. Our simulated lateral fluxes of  $C_{ant}$  were compared to databased estimates from studies that multiply  $C_{ant}$  concentrations (TTD data-based estimates) along the Arctic boundaries by corresponding observation-based estimates of water transport.

The simulated lateral transport of  $C_{ant}$  in ORCA025 generally agrees with data-based estimates within their large uncertainties. These uncertainties result from uncertainties in data-based estimates of  $C_{ant}$  and from uncertainties in observational constraints on water flow, which also varies interannually (Jeansson et al., 2011). For the Fram Strait, Jeansson et al. (2011) estimated a net  $C_{ant}$  outflux (from the Arctic) of  $1 \pm 17$  Tg C yr<sup>-1</sup> in 2002, while for 2012 Stöven et al. (2016) estimate an outflux of 12 Tg C yr<sup>-1</sup> but without uncertainties. For the same years, ORCA025 simulates a net outflux of 8 Tg C yr<sup>-1</sup> in 2002 but a net influx (to the Arctic) of 5 Tg C yr<sup>-1</sup> in 2012. Both model and data-based estimates vary greatly between 2002 and 2012. Across the Barents Sea Opening, there is a consistent net influx from the Atlantic to the Arctic Ocean, i.e.,  $41 \pm 8$  Tg C vr<sup>-1</sup> in 2002 for the data-based estimate (Jeansson et al., 2011) and (50 Tg C yr<sup>-1</sup>) for ORCA025.

- More recently, Olsen et al. (2015) added data-based estimates of lateral fluxes at the two other major Arctic Ocean boundaries, completing the set of four that define the perimeter. They estimate an inflow of ~18 Tg C yr<sup>-1</sup> from the Pacific through the Bering Strait and an outflow through the CAA of ~29 Tg C yr<sup>-1</sup>, both for the 2000s. For the same time period, ORCA025 simulates one-half more inflow through the Bering Strait (~27 Tg C yr<sup>-1</sup>) and 24% more outflow through
- 10 the CAA ( $\sim$ 36 Tg C yr<sup>-1</sup>). The larger Bering-Strait C<sub>ant</sub> inflow in ORCA025 is consistent with its overestimated Bering-Strait water inflow (Table 4, Section 3.1.1). Integrating over all four lateral boundaries, Olsen et al. found a total net C<sub>ant</sub> influx of ~29 Tg C yr<sup>-1</sup>, which is 24% less than that simulated in ORCA025 ( $\sim$ 38 Tg C yr<sup>-1</sup>). Olsen et al. did not provide uncertainties, but the uncertainty of their net lateral flux estimate is at least ±18 Tg C yr<sup>-1</sup> based on the data-based transport estimates at the two other Arctic boundary sections where uncertainties are available (Table 4).
- Weighing in at about one-third of the lateral flux is the simulated air-sea flux of C<sub>ant</sub> in ORCA025 of 10 Tg C yr<sup>-1</sup> in 2005. That simulated estimate is only about 40% of the data-based estimate of 26 Tg C yr<sup>-1</sup> from Olsen et al. (2015). Although no uncertainty is provided with that data-based air-sea flux estimate, it too must be at least ±18 Tg C yr<sup>-1</sup> given that it is calculated as the difference between the data-based storage estimate (Tanhua et al., 2009) and Olsen et al.'s data-based net lateral flux. The simulated air-sea flux falls within that assigned uncertainty range for the data-based estimate. In any case, both the model and data-based estimates suggest that the air-sea flux plays a minor role in the anthropogenic carbon budget of the Arctic Ocean,
- respectively representing 24 and 47% of the total Cant input. For both, the lateral flux dominates.

# 4.4 Model Resolution

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Basin inventories of anthropogenic carbon differ because of how resolution affects their volume, bathymetry, circulation patterns, and source waters. Much of the water in the Nansen and Amundsen basins has entered laterally from the Atlantic Ocean

- 25 through the Fram Strait and the Barents Sea (Jones et al., 1995). Water inflow through the Barents Sea increases by 150% when changing from ORCA2 to ORCA05 but only by 20% more between ORCA05 and ORCA025. Water inflow in those two higher resolution models is also closer to observational estimates. With increasing water inflow, the inflow of C<sub>ant</sub> is also increased. Although more C<sub>ant</sub> is entering the Arctic Ocean, the air-sea C<sub>ant</sub> flux into the Arctic Ocean increases with resolution. This apparent contradiction can be explained by two mechanism: (1) Higher resolution increases the inflow of C<sub>ant</sub> through the Fram
- 30 Strait, which is mainly occurring in subsurface currents and therefore does not substantially impact surface  $C_{ant}$  concentrations nor hence air-sea exchanges of  $C_{ant}$  and (2) higher resolution enhances deep-water formation, mainly in the Barents Sea, which reduces surface  $C_{ant}$  and thus enhances the air-to-sea flux of  $C_{ant}$ . Although the air-sea flux increases slightly, the larger lateral water fluxes in ORCA05 and ORCA025 mainly explain their higher  $C_{ant}$  concentrations in the Nansen and Amundsen basins. Some of this inflowing water continues to flow further along the slope, across the Lomonosov ridge into the Makarov basin,

and then across the Mendeleev ridge into the Canada basin. Yet how well models simulate that flow path depends on lateral resolution. Between ORCA2 and ORCA05, basin  $C_{ant}$  inventories increase by 16% in the Canada basin (+0.05 Pg C) and by 40% the Makarov basin (+0.06 Pg C). But between ORCA05 to ORCA025, increases are two to four times greater: +0.25 Pg C in the Canada basin and +0.12 Pg C in the Makarov basin (Sect. 3.4). The change from ORCA2 to ORCA05 seems to mainly

5 improve lateral exchanges with adjacent oceans, while the change from ORCA05 to ORCA025 improves inner-Arctic Ocean circulation.

As the increase from ORCA05 to ORCA025 stems from finer, more realistic representation of lateral transport within the Arctic, it would appear that eddying ocean models may be needed to adequately simulate the interior circulation in terms of its effect on  $C_{ant}$  storage in the Arctic Ocean. In the Canada basin, such lateral inflow may not be the only source of  $C_{ant}$ . Another

- 10 major source appears to come from density flows along the continental slope, driven by brine rejection from sea-ice formation over the continental shelves (Jones et al., 1995). A signature of this source in the observed sections may be the chimneys of constant CFC-12 concentration from the surface to about 1000 m in the Canada basin, features for which only ORCA025 exhibits any such signature, albeit faint. To adequately model lateral exchanges of C<sub>ant</sub> in the Arctic Ocean, at least a resolution comparable to that used in ORCA05 may be needed, while resolutions comparable to that in ORCA025 or above may well be
- 15 required to begin to capture the effects from density flows along the slope. As a consequence of the deficient representation of these density flows, we would expect to see an increase in  $C_{ant}$  when using even higher resolution.

Similar to our results in the Arctic Ocean, improving circulation with higher model resolution has also been shown to be the key driver for an improved representation of anthropogenic tracers in the Southern Ocean (Lachkar et al., 2007) or oxygen concentrations in the tropics (Duteil et al., 2014).

#### 20 4.5 CMIP5 comparison

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For wider perspective, we compared the forced NEMO-PISCES simulations to nine ocean biogeochemical models that were coupled within different earth system modeling frameworks as part of CMIP5 (Fig. 9). When the CMIP5 models are compared to the corrected data-based estimate of the C<sub>ant</sub> inventory (Sect. 4.2), only the MIROC-ESM with its inventory of 2.7 Pg C fall within the data-based uncertainty estimate (2.5 to 3.3 Pg C in 2005). Nearby is the NorESM1-ME and HadGEM2-ES, which fall below the lower limit by 0.1 and 0.5 Pg C, receptively. Further off are the MPI-ESM and GFDL-ESM models with their C<sub>ant</sub> inventories in 2005 that are 0.9 to 1.5 Pg C lower than the lower limit. The lowest estimates are from both versions of the IPSL model whose inventories reach only ~20% of the lower limit of our revised data-based range. Adjusting the CMIP5-model Arctic inventories upward by ~0.4 Pg C to account for their late start date in 1850, as we did for our three simulations,

would place two of them (MIROC-ESM, and NorESM1-ME) above the lower boundary of our revised data-based uncertainty

30 estimate, and HadGEM2-ES just 0.1 Pg C below this lower boundary. For the cumulative air-sea flux between 1960 and 2012, for which there is no data-based constraint, all models fall between 0.3 and 1.2 Pg C. Lateral fluxes also vary between models, from an outflow of 0.3 Pg C in the IPSL-CM5A-LR model and an inflow of 1.1 Pg C in the MIROC-ESM model. Only the first three CMIP5 models mentioned above exhibit large net inflow of C<sub>ant</sub> into the Arctic basin (between 0.7 and 1.1 Pg C

from 1960–2012), a condition that appears necessary to allow a model to approach the estimated data-based inventory range. Indeed, the six other CMIP5 models have lower lateral fluxes (-0.5 to 0.5 Pg C) and simulate low  $C_{ant}$  storage in 2005.

What is perhaps most surprising are the large differences between our forced ORCA2 model and the IPSL-CM5A-LR and IPSL-CM5A-MR ESMs. All three of those models use ORCA2, although both ESMs rely on an earlier version with a different

5 vertical resolution (31 instead of 46 vertical levels). That contrast in vertical resolution may explain part of the large differences in inventory (1.5 Pg C for our forced version vs. 0.3–0.6 Pg C for the two coupled versions) but the forcing and different model parameters could just as well be responsible. Thus lateral resolution is not the only factor when aiming to provide realistic simulations of C<sub>ant</sub> storage and lateral transport in the Arctic. Sensitivity studies testing other potentially critical factors are clearly merited.

#### 10 4.6 Effect on aragonite saturation state

Given that  $C_{ant}$  is affected by lateral model resolution, so must be ocean acidification. The aragonite saturation state ( $\Omega_A$ ) was computed for each resolution from the historical run's  $C_T$ ,  $A_T$ , T, S,  $P_T$ , and  $Si_T$ , after correcting  $C_T$  and  $A_T$  for drift based on the control run. The higher concentrations of  $C_{ant}$  in the ORCA05 and ORCA025 simulations reduces  $\Omega_A$  between 1960 and 2012 by more than twice as much as found with the ORCA2 model during the same period (Fig. 10). These differences

15 translate into different rates of shoaling for the aragonite saturation horizon (ASH), i.e., the depth where  $\Omega_A = 1$ . During 1960–2012, the ASH shoals by ~50 m in ORCA2, while it shoals by ~150 m in ORCA05 and ~210 m in ORCA025. Thus model resolution also affects the time at which waters become undersaturated with respect to aragonite with higher resolution producing greater shoaling.

Although basin-wide mean surface  $\Omega_A$  does not differ among resolutions, there are regional differences such as over the Siberian shelf (Fig. 11). While the minimum  $\Omega_A$  in that region reaches 0.9 in ORCA2, it drops to 0.3 in ORCA05 and 0.1 in ORCA025. That lower value in ORCA025 is more like that observed, e.g., down to 0.01 in the Laptev Sea (Semiletov et al., 2016). As these low extremes in  $\Omega_A$  are extremely local, they cannot be expected to be captured in coarse-resolution models (ORCA2). Higher-resolution models are needed in the Arctic to assess local extremes not only in terms of ocean acidification but also other biogeochemical variables.

#### 25 5 Conclusions

Global-ocean biogeochemical model simulations typically have coarse resolution and tend to underestimate the mass of  $C_{ant}$  stored in the Arctic Ocean. Our sensitivity tests suggest that more realistic results are offered by higher-resolution model configurations that begin to explicitly resolve ocean eddies. Our highest resolution model falls within the uncertainty range of Tanhua et al. (2009)'s data-based estimate for  $C_{ant}$  storage in the Arctic Ocean (2.5–3.3 Pg C in 2005). Yet that data-based range

30 may need to be adjusted downward. Data-based  $C_{ant}$  concentrations below 2000 m remain at about 6  $\mu$ mol kg<sup>-1</sup>, while observed CFC-12 concentrations upon which they are based are close to negligible, being proportionally much smaller relative to nearsurface concentrations. A lower limit is estimated by zeroing out the C<sub>ant</sub> concentrations below 2000 m in the GLODAPv2 climatology (Lauvset et al., 2016). Thus, the lower limit of the data-based estimates for Arctic Ocean  $C_{ant}$  storage would be reduced by 10% to 2.2 Pg C in 2005. That lower limit encompasses the adjusted simulated basin-wide inventories from the two higher resolutions, ORCA05 and ORCA025 (2.4 and 2.6 Pg C). At the same time, our highest resolution inventory is likely an underestimation as it was initialized in 1958 with ORCA05 results from 1765–1957. Details in model-data based comparison

- 5 differ, e.g., with the ORCA025 results underestimating  $C_{ant}$  data-based estimates at around 400 m and overestimating them at around 1300 m. That deeper model overestimate appears due to excessive penetration of  $C_{ant}$ -rich Atlantic water. The shallower model underestimate may be due to inadequate representation of ventilation of intermediate waters via down-slope flows that are driven by brine formation over the Arctic's enormous continental shelf, a transport process that is notoriously difficult to represent in z-coordinate models, especially at lower resolution.
- 10 Data-based estimates of  $C_{ant}$  in the Arctic Ocean might be improved by testing the TTD method in the model, using the same approach but with modeled CFC-12 and temperature and then comparing the resulting calculated  $C_{ant}$  to the directly simulated  $C_{ant}$ . With a series of those calculations, the parameters of the TTD approach ( $\Delta/\Gamma$ ) could be varied and the best ratio selected for the closest match between calculated and simulated  $C_{ant}$ . Then that chosen ratio could be applied to the observed CFC-12 rather than using the default ratio of  $\Delta/\Gamma = 1$ . We leave this effort for future work.
- Our forced ocean simulations suggest that Arctic Ocean storage of  $C_{ant}$  is driven mostly by net lateral inflow, the total input of which is about three times that from the air-sea flux. That 3:1 ratio varies little with resolution because the lateral flux and the air-sea flux both increase as resolution is refined. Lateral fluxes in the CMIP5 models are generally less dominant but are also highly inconsistent both in magnitude and in the lateral:air-sea flux ratio. Some CMIP5 models even simulate net lateral outflow of  $C_{ant}$  and unrealistically low  $C_{ant}$  inventories. The only CMIP5 models that succeed in reaching the lower limit of the
- 20 data-based  $C_{ant}$  inventory range are those that have a large net lateral input. The causes of the CMIP5 model differences remain unclear as is often the case when comparing models having many differences. We expect that most of the CMIP5 models have not been evaluated in terms of their ability to simulate realistic lateral water transport at the boundaries of the Arctic Ocean, which is fundamental to simulating realistic  $C_{ant}$  but may be problematic given their coarse resolution. The next phase of CMIP (CMIP6) plans to include CFC-12 and related transient transient tracers, which will help weigh simulated results for  $C_{ant}$ .
- As the mass of simulated anthropogenic carbon in the Arctic Ocean increases with resolution, so does the simulated acidification. For instance, during 1960–2012, the average ASH in the Arctic shoals four times faster in ORCA025 than in ORCA2. Higher resolution is also needed to capture local extremes. Although higher horizontal resolution appears necessary to improve fine-scale future projections of Arctic Ocean acidification, the computational costs of centennial-scale, high-resolution, biogeochemical ocean simulations remain prohibitive. More practical in the short term would be to assess effects from less-costly
- 30 model improvements, including heightened vertical resolution, subgrid-scale parameterizations, and adjustments to model parameters for viscosity and slip conditions. For such regional studies, nested models would offer the advantage of focused higher resolution while still avoiding adverse effects from imposed lateral boundary conditions.

Code availability. The code for the NEMO ocean model version 3.2 is available under CeCILL license at http://www.nemo-ocean.eu.

Competing interests. The authors declare that there are not competing interests.

Acknowledgements. We thank J. Simeon for the first implementation of the ORCA05 and ORCA025 versions of the model. The research leading to these results was supported through the EU H2020 project C-CASCADES (Marie Sklodowska-Curie grant 643052). LB and JCO acknowledge support of the EU H2020 CRESCENDO project (grant 641816) and the ANR SOBUMS project (ANR-16-CE01-0014). LB

5 acknowledges support from the MTES Acidoscope project. Simulations were made using HPC resources from the French GENCI-IDRIS program (grant x2015010040). Model output was stored and analyzed on the Ciclad platform at the IPSL.

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Configuration	Horizo	ontal gri	d (km)		Volume (10 <sup>6</sup> km <sup>3</sup> )			
						Basi	ins	
	Mean	Min	Max	Arctic	Nansen	Amundsen	Makarov	Canada
ORCA2	120.8	63.3	180.5	14.3	2.8	3.2	2.2	4.7
ORCA05	29.0	9.4	41.3	13.3	2.6	2.7	1.9	4.9
ORCA025	14.4	3.2	20.5	13.3	2.3	2.9	1.8	5.0

Table 1. Grid size in the Arctic Ocean and volumes by basin as a function of model resolution.

Table 2. Selected physical coefficients and parameters for ORCA2, ORCA05, and ORCA025.

Configuration	Lateral diffusivity	Lateral viscosity	Eddy parameterization
$ORCA2^{(a)}$	$2000 \ m^2 \ s^{-1}$	$4 \times 10^4 \ {\rm m^2 \ s^{-1}}^{\ (b)}$	$2000 \ {\rm m^2 \ s^{-1}}$
ORCA05	$600 \ m^2 \ s^{-1}$	$-4 \times 10^{11} \ {\rm m^2 \ s^{-1}}$	$1000 \text{ m}^2 \text{ s}^{-1}$
ORCA025	$300 \ m^2 \ s^{-1}$	-1.5 $\times 10^{11} \ {\rm m^2 \ s^{-1}}$	none

<sup>a</sup> Lateral diffusivity and viscosity coefficients decrease towards the poles proportional to the grid size.

<sup>b</sup> reduced to 2100 m<sup>2</sup> s<sup>-1</sup> in the tropics (except along Western boundaries)

Parameter	P1765	P1870
$a_0$	1.7481	1.8302
$a_1$	$-3.2813 \times 10^{-2}$	$-3.4631 \times 10^{-2}$
$a_2$	$4.1855 \times 10^{-4}$	$4.3614 \times 10^{-4}$
$b_0$	$3.9615 \times 10^{-3}$	$4.0105 \times 10^{-3}$
$b_1$	$-7.3733 \times 10^{-5}$	$-7.3386 \times 10^{-5}$
$b_2$	$5.4759 \times 10^{-5}$	$5.1199 \times 10^{-5}$

Table 3. Fitted parameters for the perturbation simulations P1765 and P1870.

	W	Model configuration	ation	Observations	Year	Sources
	ORCA2	ORCA05	ORCA025			
Lateral water transport (Sv)						
Fram Strait	-1.76	-1.75	-1.80	$-2.0 \pm 2.7$	1997–2006	Schauer et al. (2008)
	-1.76	-1.42	-1.46	-1.7	1980–2005	Rudels et al. (2008)
Barents Sea	1.20	2.50	2.77	2.0	2003-2005	Skagseth et al. (2008)
	1.04	2.42	2.78	2.0	1997–2007	Smedsrud et al. (2010)
Bering Strait	1.02	1.29	1.49	$0.8\pm0.2$	1991–2007	Woodgate et al. (2010)
CAA	-0.29	-2.00	-2.59	$-2.7 \pm 0.2$	2004–2013	Curry et al. (2014)
Sum	-0.12	-0.16	-0.18			
	M	Model configuration	ation	Observations	Year	Sources
	ORCA2	ORCA05	ORCA025			
Lateral $C_{ant}$ fluxes $(Tg \ C \ yr^{-1})$						
Fram Strait	-17	-12	8-	$-1 \pm 17$	2002	Jeansson et al. (2011)
	-17	L-	5	-12	2012	Stöven et al. (2016)
Barents Sea	16	43	50	$41\pm 8$	2002	Jeansson et al. (2011)
Bering Strait	18	22	27	18	$2000 - 2010^a$	Olsen et al. (2015)
CAA	5	-28	-36	-29	$2000 - 2010^a$	Olsen et al. (2015)
Sum	18	29	38	29	$2000 - 2010^a$	Olsen et al. (2015)

servations. 4 Table

 $^{a}$  Year or period impossible to identify exactly as C<sub>ant</sub> and velocity measurements are not from the same year

	Мо	odel configurat	ion
	ORCA2	ORCA05	ORCA025
C <sub>ant</sub> inventory <sup>a</sup>			
C <sub>ant</sub> in 2002 <sup>b</sup>	1.90 (1.47)	2.25 (1.81)	2.49 (2.06)
C <sub>ant</sub> in 2005 <sup>c</sup>	1.99 (1.56)	2.37 (1.96)	2.64 (2.21)
Inventory change (1960-2012)			
Total Arctic	1.08	1.55	1.98
Nansen Basin	0.14	0.33	0.30
Amundsen Basin	0.13	0.28	0.34
Makarov Basin	0.15	0.21	0.33
Canada Basin	0.31	0.36	0.61
Cumulative fluxes (1960-2012)			
Air-Sea flux	0.29	0.43	0.48
Lateral flux of $C_{ant}^{d}$	0.79	1.13	1.50
Fram Strait	-0.74	-0.40	-0.06
Barents Sea	0.79	1.75	1.98
Bering Strait	0.74	0.89	1.03
CAA	-0.22	-1.20	-1.50
Summed lateral flux	0.57	1.05	1.45

Table 5. Total inventory, its change during 1960–2012, cumulative air-sea flux, and lateral flux of Cant in Pg C

<sup>a</sup> Numbers in brackets show the uncorrected value (starting date 1870)

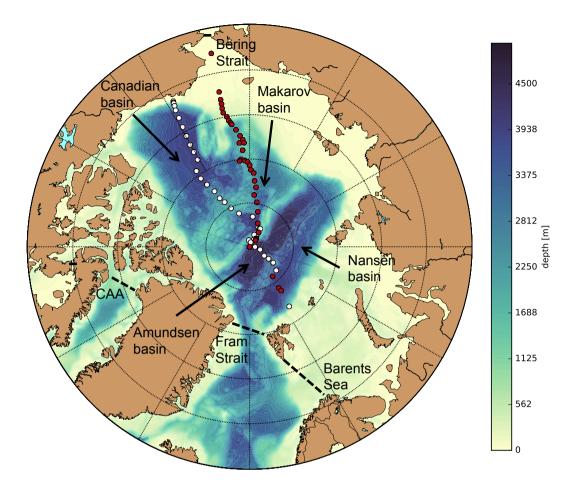
<sup>b</sup> Data-based inventory in 2002: 2.95 Pg C (GLODAPv2)

<sup>c</sup> Data-based inventory in 2005: 3.03 Pg C (2.5-3.3) (Tanhua et al., 2009)

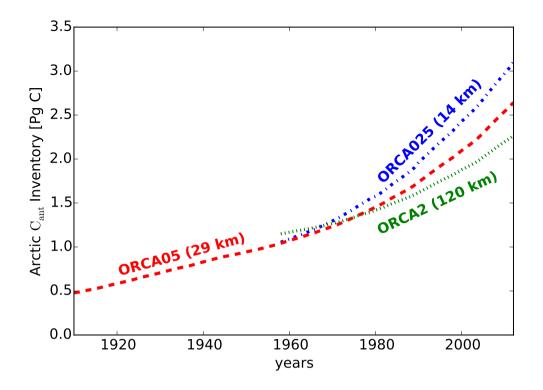
<sup>d</sup>Computed as inventory change minus cumulative air-sea flux

**Table 6.** CFC-12 inventories  $[\mu \text{mol m}^{-1}]$  integrated over depth and distance along the AOS94 and Beringia 2005 expedition compared to results from ORCA2, ORCA05, and ORCA025 along the same sections.

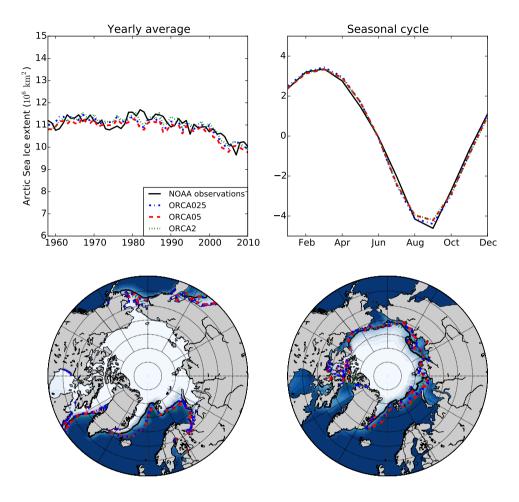
	AOS94	Beringia 2005
Observation	5.5	9.4
ORCA2	4.8	7.7
ORCA05	3.5	5.8
ORCA025	2.9	3.7



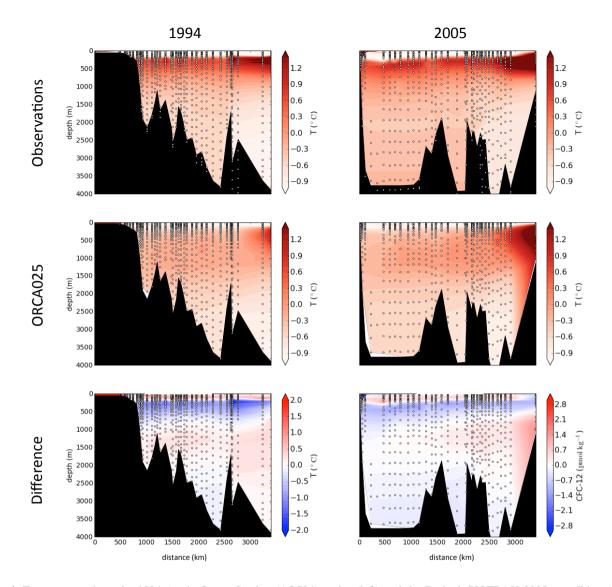
**Figure 1.** CFC-12 stations occupied during the AOS94 (red) and Beringia 2005 expedition (white). The filled-color scheme indicates the bathymetry of the Arctic Ocean, while the four dashed lines show the boundaries used in this study.



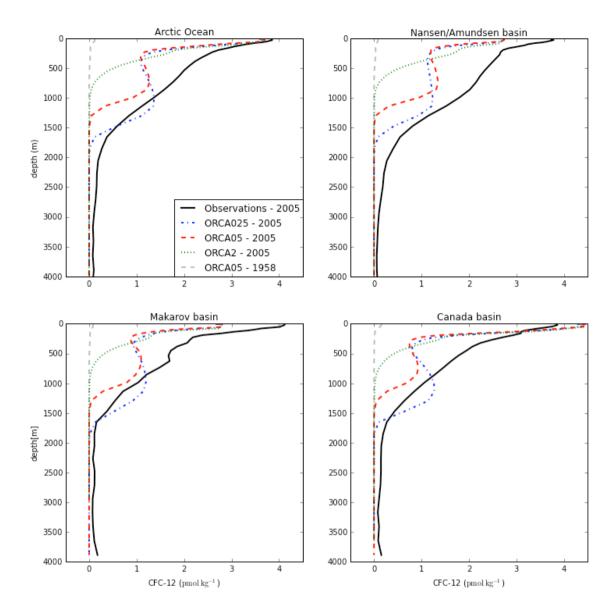
**Figure 2.** Arctic Ocean  $C_{ant}$  inventory for the three biogeochemical simulation resolutions corrected for the late starting date. The intermediate-resolution model (ORCA05 - red dashed) was integrated from 1870 to 2012. The high-resolution model (ORCA025 - blue dash-dot) and the low-resolution model (ORCA2 - dotted green) were initialized with the ORCA05 output at the end of 1957 and integrated from 1958 to 2012. The discontinuity for ORCA2 in 1958 is due to its larger total volume of water when integrated across the Arctic domain (Table 1).



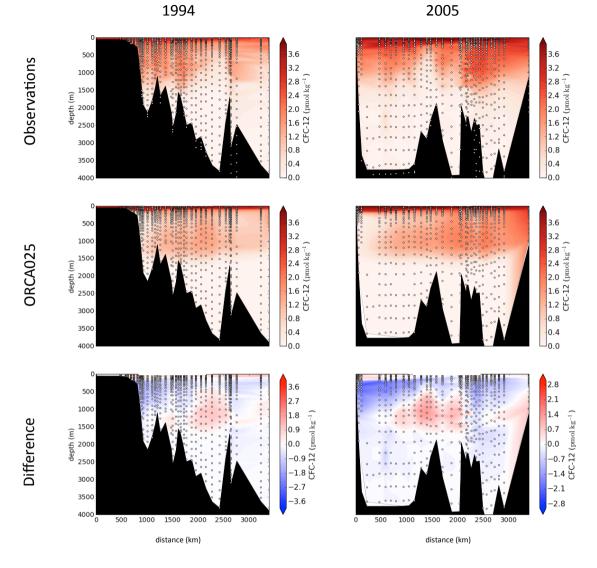
**Figure 3.** Sea-ice concentration in the Arctic from 1960 to 2012 comparing microwave-based observations from NOAA (black) to simulated results from ORCA2 (green), ORCA05 (red), and ORCA025 (blue). Shown are the yearly average (top left), and after detrending, the average (climatological) seasonal cycle over 1958–2010 (top right), average sea-ice extent in winter (December, January, February) (bottom left), and summer (bottom right). The lines on the maps show the 50% sea-ice cover for the three model resolutions and the observations. The white color indicates the observed sea-ice concentration.



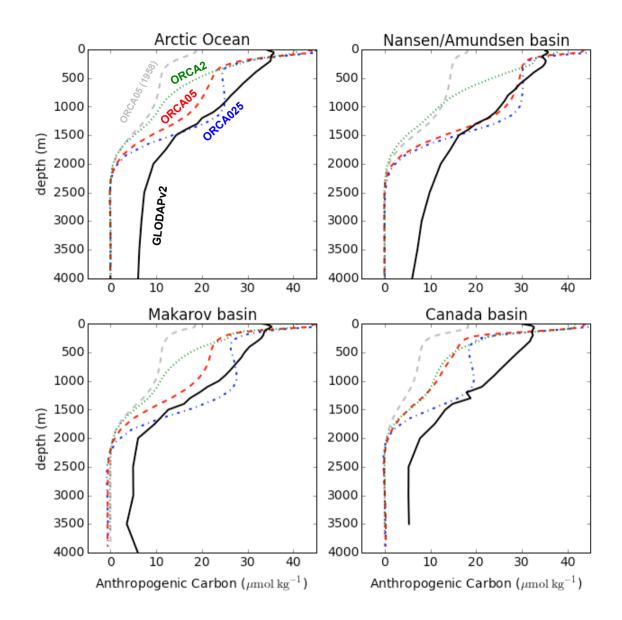
**Figure 4.** Temperature along the 1994 Arctic Ocean Section (AOS94) cruise (left) and the Beringia/HOTRAX 2005 expedition (right), both trans-Arctic transects (Fig. 1). The observations (top) are compared to simulated results from ORCA025 averaged over summer of the respective year (middle). The difference (model – observations) is shown at the bottom. The location of the sections is shown in Fig. 1.



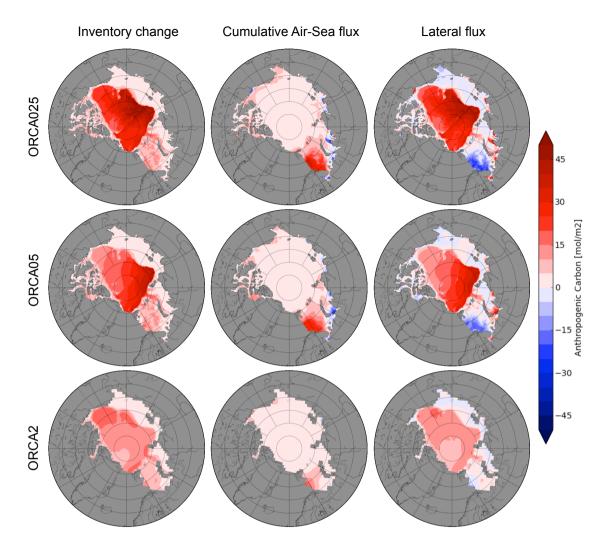
**Figure 5.** Profiles of CFC-12 for observations (black) and the ORCA025 (blue), ORCA05 (red), and ORCA2 (green) model along the 2005 sections. Shown are distance-weighted mean across each section (top left), the Nansen and Amundsen basins (top right), the Canada basin (bottom left), and the Makarov basin (bottom right).



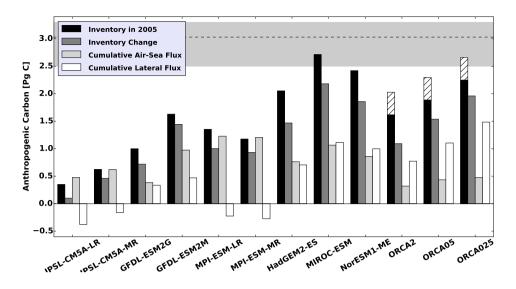
**Figure 6.** CFC-12 sections along the AOS94 section (left) and the Beringia section (right). The observations (top) are compared to the simulated summer means (middle) and model—data difference is shown at the bottom.



**Figure 7.** Area-weighted vertical profiles of  $C_{ant}$  concentrations for GLODAPv2 data-based estimates (black), ORCA2 (green), ORCA05 (red) and ORCA025 (blue) over the entire Arctic Ocean corrected for the starting year by the perturbation approach simulations. The vertical profile in 1958, when the simulation is divided for the three resolutions, is shown in light grey.



**Figure 8.** Inventory change (left), cumulative air-sea flux (middle), and the lateral flux calculated as the difference of inventory change and air-sea flux (right) of C<sub>ant</sub> for the period from 1960 to 2012 for the ORCA025 (top), ORCA05 (center), and ORCA2 (bottom) model configurations.



**Figure 9.** Comparison of results for the Arctic Ocean from the three resolutions of NEMO-PISCES and the nine Earth System Models that participated in CMIP5. Shown are the  $C_{ant}$  inventory in 2005 (black), the inventory change of  $C_{ant}$  (dark grey) between 1960 and 2012, the corresponding cumulative air-sea flux of  $C_{ant}$  (light grey) and the cumulative lateral flux of  $C_{ant}$  (white). Also indicated are the estimate by Tanhua et al. (2009) (dashed black line) and the associated uncertainty estimates (grey background). The inventory correction of the biogeochemical simulations using the perturbation approach is added to the results of the biogeochemical simulations as striped bars.

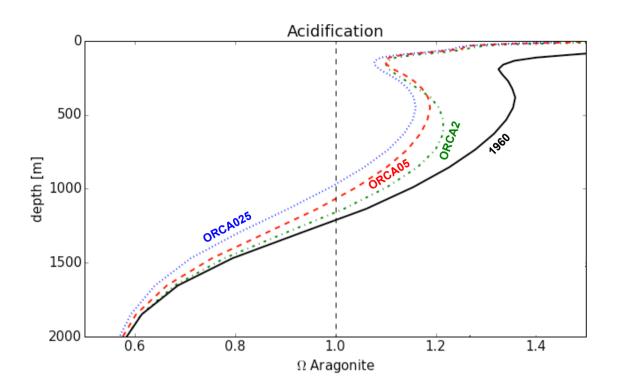


Figure 10. Profiles of  $\Omega_A$  for ORCA05 in 1960 (black solid) as well as ORCA2 (green dot-dash), ORCA05 (red dashes), and ORCA025 (blue dots) in 2012. The vertical black dashed line indicates the chemical threshold where  $\Omega_A = 1$ . Where that vertical line intersects the other curves indicates the depth of the ASH in each case.

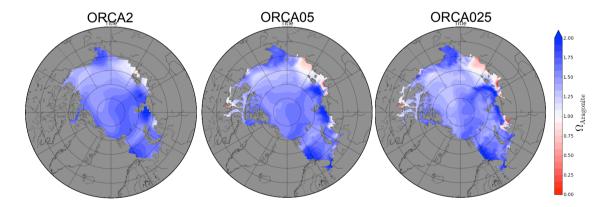


Figure 11. Surface  $\Omega_A$  for ORCA2, ORCA05 and ORCA025 (from left to right) in August 2012.