

We would like to thank the reviewers for the constructive feedback. In our revised manuscript, we closely followed the recommendations made by the reviewers. In the following, the comments are listed, with our replies and changes in the manuscript in **bold**.

Reviewer 1

The manuscript “Tree-atmosphere interaction responds to water-related stem variations” brings novel data and interpretation on the dynamics of atmosphere forest interaction by applying an original methodology. In short it suggests that changes in tree mass due to lower water content relates to transfer of momentum from air masses to trees, quantified by their sway. The manuscript would benefit by a more careful structuring as is quite repetitive in several instances.

We thank the reviewer for the constructive comments. We have followed the reviewer’s suggestions on restructuring the manuscript and textual changes.

It did kept me wondering to what extent does tree water content varies. Is there any data out there quantifying water volume/mass changes trough time? In percentage, how much do mass varies in a tree (1%, 5%, 25%)?

A back-on-the-envelope calculation for a typical tropical rainforest tree, assuming (1) internal tree water content during a day is mainly caused by transpiration (and is not refilled during the day), (2) a canopy surface of 300 – 1000 m² (Herwitz, 1985) and (3) 5mm of transpiration per day, results in 5000 kg mass variation. For a 50,000 kg tree (estimated mean above ground biomass of the trees in this study) this would mean a 3 – 10% mass variation. However, this is very speculative and therefore not included in the manuscript.

In addition, is it possible that a lower water potential on the tree trunk cause changes in elasticity (flexibility) of the trunk?

We agree with the reviewer and expanded the discussion on this alternative explanation:

Page 13, line 6: “An alternative explanation of the changing tree-atmosphere momentum transfer might be the changing elasticity of the tree. A weak relation was found between β and wood density, which can be considered a proxy for tree elasticity. Recent work found a relation between moisture content and elasticity of trees (Mvondo et al., 2017). Although this might be not directly mass related, this would still imply a relation between tree-atmosphere momentum transfer and the tree water status. Also this hypothesis points towards a measureable effect of the transition from wet to dry months on tree-atmosphere momentum transfer.”

Furthermore, due to decreased leaf area index during dry months, is it possible that the changes in wind patterns within canopies space (not measured in this study) produced the observed temporal patterns?

The reviewer raises a valid point. We expanded the discussion:

Page 13, line 23: “Furthermore, this would allow us to investigate whether wind patterns within the canopy have changed over time, which might also explain the variation in tree-atmosphere momentum transfer.”

Because the speculative nature of this study (conclusion depending on an inferred water content), it would be great to have a deeper discussion on other alternative hypothesis.

The following was added:

Page 13, line 6: “An alternative explanation ... tree-atmosphere momentum transfer.”

Page 13, line 23: “Furthermore, this would allow us to investigate whether wind patterns within the canopy have changed over time, which might also explain the variation in tree-atmosphere momentum transfer.”

Page 1: Line 5: Better use monitoring than “measurement”.

Changed.

Page 1: Line 2: Not sure what “meteorological fluxes” means.

Changed to:

Page 1, line 12: “influence energy, water and momentum fluxes”.

Page 1: Line 7: to “vary considerably” is quite vague. Provide a percentage (or range) of variation. Furthermore, need to specify “variations” in what (I suppose tree trunk diameter)? This also apply to the title of the manuscript.

Based on the variation of damping β , this has been changed to:

Page 1, line 7: “up to a factor of 2.5”.

Page 2: Line 27: no need for capitalized “We”. Line 28: change “. . . momentum transfer various more...” to “momentum transfer varies more”

Changed.

Page 3; Line 8: Can you indicate the source of the meteorological data?

Included “and were retrieved from the National Institute of Amazonian Research (INPA)”.

Page 3; Line 11: A bit repetitive (7 tree species).

Changed to:

Page 3, line 11: “A total of 19 individual trees (7 species) were measured during this experiment, covering a broad range of average height and wood density.”

Page 3; Line 15: Change to “measuring tape”.

Changed.

Page 4; Line 13: How long was the point dendrometers allowed to settle before reliable measurements could be taken. I mention that because it is said that regular band dendrometers requires some months to settle in place, preventing immediate reliable monitoring.

The dendrometers were installed late July, 2015. In this study we used the data starting on 1 August 2015. The used dendrometers (ZN12-T-2IP, Natkon.ch, Switzerland) do not cause any disturbances by deformations of the outermost layer of the bark, and the spot of measurement is not influenced by the thread rods (Zweifel, 2014). Other studies using similar point dendrometers did not report any uncertainties induced by not allowing for additional settling time (e.g. Zweifel et al., 2010; De Schepper and Steppe, 2010; Etzold et al., 2011; Ehrenberger et al., 2012).

Page 12; Line 4-5: Higher biomass do not necessarily relates to higher water content.

We agree. The statement has been rephrased to:

Page 10, line 11: "This suggests that changes in β can be explained by mass variations, either caused by for example leaf flush and fall, or water content related biomass changed."

Page 12; Line 6: "The relation between β and can explain the observations in Fig. 5 and 6A-D." this phrase needs improvement. The observations suggest a relationship.

Expanded to:

Page 11, line 3: "The observations in Fig. 5 and 6A suggest a relationship between β and tree biomass. Mean β and biomass show a clear relationship (Fig. 6H), suggesting that temporal changes in β are related to temporal changes in tree mass. During periods of increased water-related stem variation during the dry months, β decreases for each tree, providing additional observational support for this hypothesis."

Reviewer 2

This manuscript applies a novel, low cost, field-durable method to examine an oft oversimplified process in land surface models: the momentum transfer between the atmosphere and tree canopies. Its major contributions include (1) being the first to achieve in situ measurement of tree-atmosphere momentum transfer, and (2) finding significant fine-scale spatial variability (individual tree) and coarser-scale temporal variability (over weeks and seasons) in tree-atmosphere momentum transfer. Considering the high spatiotemporal variability observed, it would have been nice to see some back-of-the-envelope estimate of its relevance to related processes, like those mentioned in the introduction (i.e., transfer of water, heat or gasses). But, such an estimate is not necessary. This method, dataset and analysis would be of high interest to the geoscience community with some revision.

We thank the reviewer for the constructive feedback.

Why does the title and abstract focus solely on “water-related stem variations”? The authors discuss the potential effect of leaf mass variations late in the manuscript - leaves are not mentioned until P9, L11. Additional factors may affect the measurement (sway) and derived momentum transfer, like mechanical properties (i.e., elasticity), wind conditions and the distribution of canopy mass.

We agree that there are several other factors that can influence tree sway. We also discuss these in the introduction and especially the Section 3.4 Synthesis. The main focus point is however the relation between the tree sway measurements and the water deficit measurements obtained from dendrometers. In particular, we believe the simple accelerometer measurements might help in improving the understanding of the response of Amazon trees (and the forest overall) to droughts.

Where is an introduction of tree water deficit? The manuscript would benefit from (1) an explicit definition in the introduction and (2) description of the magnitude of typical mass changes resulting from variability in internal tree water content.

We included the following:

Page 2, line 17: “We derived a measure for canopy-atmosphere momentum transfer, which are compared to dendrometer based tree water deficit measurements, defined as the difference between a constructed tree growth line and original dendrometer records \citep{Ehrenberger12}.”

Mentioning typical mass changes for these trees would be very speculative. A back-on-the-envelope calculation for a typical tropical rainforest tree, assuming (1) internal tree water content during a day is mainly caused by transpiration (and is not refilled during the day), (2) a canopy surface of 1000 m² and (3) 5mm of transpiration per day, results in 5000 kg mass variation. For a 50,000 kg tree this would mean a 10% mass variation.

What other mechanical properties vary with tree water deficit? And, what else exerts a temporally varying influence on atmosphere-tree momentum transfer?

The other mechanical property that might change is the tree elasticity. This has been included in the discussion:

Page 13, line 6: “An alternative explanation ... tree-atmosphere momentum transfer.”

Other factors influencing tree-atmosphere momentum transfer is the varying wind patterns within the canopy, which is now also discussed:

Page 13, line 23: “Furthermore, this would allow us to investigate whether wind patterns within the canopy have changed over time, which might also explain the variation in tree-atmosphere momentum transfer.”

Why is the effect of leaf fall and growth not discussed in the introduction? The effect of leaf state on tree mass has long been a major part of the discussion about accelerometer-based methods for tree monitoring (i.e., Selker et al., 2011, AGU abstract H11G-1155).

This is now included in the introduction:

Page 2, line 13: “Previous work suggested that tree sway data can be used to measure tree mass variations in response to diurnal variations in water content (Llamas et al., 2013), leaf fall or flush (Selker et al., 2011), or intercepted precipitation (van Emmerik et al., 2017).”

Can section 3.4. discuss potential factors causing interspecific differences in momentum transfer? The relationships in Figure 6 did not appear to explain much interspecific variability. Are there other interspecific differences in leaf type/distribution (maybe simply LAI), or branch size/distribution (maybe simply SAI), or LAI:SAI, stem elasticity, etc?

We included the following:

Page 13, line 12: “Variation between species are combination of multiple factors. From Fig. 6 it is clear that different wood density and AGB can be related to different β . Additional factors influencing the variation between species include tree architecture, leaf type, wind speed within the canopy and stem elasticity.”

What are the potential sources of error in the sway measurements? For example, what is the impact of erratic or sustained winds impeding “free” tree sway on the analysis?

The following was added to the description of the experimental setup:

Page 4, line 12: “This approach assumes that the trees are free standing, which was one of the selection criteria. As this was based on visual inspection, this might be a potential source of error.”

Minor edits:

P1, L12: Do you mean “Land-atmosphere interactions influence meteorological *processes* and hydrological. . .”

Yes. Changed.

P1, L18-20 pretty much repeats L14-16. I suggest deleting L18-20.

Changed.

P2, L6-9: Can you please break-up the long line of citations. Specifically, I recommend placing each study beside the term indicating which study used, for example, dwarf species? Juvenile crowns? Etc.?

Changed.

P2, L26-27: The hypothesis in the introduction appears to be that “momentum transfer . . . [is] in response to water-related stem variations [i.e., water deficit induced mass changes].” But, P9, L10-11 broadens this hypothesis to include “water content or leaf fall.” I suggest including a statement like, “water content, leaf fall, or other mass changes” in the original hypothesis.

Changed.

P2, L28: Change to “momentum transfer **varies** more than often assumed”

Changed.

P3, Table 1: Why was a range of wood density values provided for only one species (*S. micranthum*) but not for the others? Zanne et al. (2009) provides a range for others. For example: *G. glabra* (0.32-0.94), *E. coriacea* (0.70-1.13), *D. odorata* (0.66-1.09), *P. anomala* (0.31-0.81), and *M. scleriphylla* (0.40-0.58). This range shows that, e.g., *E. coriacea* and *D. odorata* are not that different in density – despite the table indicating one is “intermediate” and the other is “high” density.

We thank the reviewer for pointing this out. The table has been updated. Indications (low, intermediate, high) are now omitted.

P3, Table 1 and elsewhere: I believe that “Dypterix” should be “Dipteryx”

Changed.

P4, L4: Change to “measure the largest signal **that** can be measured,”

Changed.

P4, L12: Please remove references to manuscripts under review. The accelerometer details in one or two of the recently-published papers is surely enough to direct readers.

Changed.

Figure 6: In my opinion, the height and diameter relationships, being statistically insignificant, don’t merit description in the results. Thus, P11, L12-13 could be deleted.

Changed.

P12, L3-4: “leaf flush or fall” is not a “water variation” – sure, water is a part of it, but this statement, as is, is inaccurate

Changed to:

Page 10, line 11: “This suggests that changes in β could be explained by mass variations, caused by either leaf flush and fall, or water content related biomass changes.”

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Ideas and perspectives: Tree-atmosphere interaction responds to water-related stem variations

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Abstract. Land-atmosphere interactions depend on momentum transfer from the atmosphere to the canopy, which in turn depends on the tree drag coefficient. It is known that the drag coefficient, and thus tree-atmosphere momentum transfer, can vary strongly within a canopy. Yet, only few measurements are available to study the variation of tree-atmosphere momentum transfer in time and space, and in response to tree water deficit. In this paper we use accelerometers to estimate tree-atmosphere momentum transfer for 19 individual trees of seven different species in the Brazilian Amazon. The five-month monitoring period included the transition from wet to dry months. Here, we demonstrate that under field conditions, tree-atmosphere momentum transfer can vary considerably in time and space (up to a factor 2.5). Increased water-related stem variations during the dry months is related to observed changes in tree-atmosphere momentum transfer, which is hypothesized to be caused by tree water deficit induced changes in tree mass.

10 1 Introduction

The atmospheric boundary layer and the land surface directly influence each other through momentum, mass and energy exchange (Gentine et al., 2011, 2012; Green et al., 2017). Land-atmosphere interactions influence ~~meteorology and hydrology related fluxes (e.g. heat and water) and meteorological processes, hydrological fluxes and~~ states, and biotic and abiotic processes, such as seed and pollen distribution (Katul et al., 2005), deposition of atmospheric pollutants (Clifton et al., 2017), and transfer of water, heat and CO₂ (Aumond et al., 2013). Land-atmosphere interactions are influenced by the momentum transfer from atmosphere to the canopy, which highly depends on the turbulent drag coefficient of individual trees (Poggi and Katul, 2007). Drag causes loss of momentum, and the interplay between canopy and atmosphere is heavily affected by the transport of water, heat, and carbon between vegetation and the atmosphere (Molina-Aiz et al., 2006; Cescatti and Marcolla, 2004). ~~In meteorological models, large-eddy simulations, and land-surface models, tree-atmosphere momentum transfer plays a crucial role in describing energy and momentum transfer from the atmosphere to the canopy.~~ Such transfer between trees and atmosphere greatly depends on the tree drag coefficient (Gillies et al., 2002). For computational ease, the drag coefficient is often assumed constant (Katul et al., 2006; Cassiani et al., 2008; Dupont and Brunet, 2008), both in time and space. It is known, however, that the drag coefficient, and thus the degree of tree-atmosphere momentum transfer, can vary strongly within

a canopy, and as a function of environmental conditions (Belcher et al., 2012). Assuming a constant drag coefficient may therefore be unrealistic, and introduces a large source of error.

Misrepresentation of the variability in canopy drag is largely due to a lack of (field) data. Various studies have quantified canopy drag (coefficients) in laboratory and field setups (Mayhead, 1973; Koizumi et al., 2010). Widely used drag coefficients for several tree species originate from a wind tunnel experiment by Mayhead (1973). Here it was found that drag coefficient is variable between individual trees, and strongly depends on wind speed. Most wind tunnel studies used dwarf species ([Johnson et al., 1982](#)), juvenile crowns ~~,-or miniature-~~ ([Rudnicki et al., 2004](#); [Vollsinger et al., 2005](#)), or (miniature) model trees or forests ([Meroney, 1968](#); [Guan et al., 2003](#); [Novak et al., 2000](#); [Poggi and Katul, 2007](#)), which are not representative for actual-sized trees under natural conditions ([Johnson et al., 1982](#); [Rudnicki et al., 2004](#); [Vollsinger et al., 2005](#); [Meroney, 1968](#); [Ne](#)). Recent work (Koizumi et al., 2010, 2016) presented a novel field method that can measure stem deflection, which is used to derive the tree drag coefficient. However, this method requires a considerable amount of power, making it difficult to obtain long time series. This is especially a problem under field conditions, where power supply is limited.

A recently presented measurement technique (van Emmerik et al., 2017a) used low-cost accelerometers to measure tree sway. Tree sway is a result of momentum transfer from the atmosphere to the tree, and can therefore be used to study tree-atmosphere momentum transfer. The robustness of the sensors allows deployment in harsh conditions such as tropical environments, to obtain long time series. [Previous work suggested that tree sway data can be used to measure tree mass variations in response to diurnal variations in water content \(Llamas et al., 2013\), leaf fall or flush \(Selker et al., 2011\), or intercepted precipitation \(van Emmerik et al., 2017a\).](#) This paper uses tree sway measurements obtained during a five month period to quantify and compare the tree-canopy momentum transfer for 19 trees in the Brazilian Amazon. The measurement period includes the transition from wet to dry months. We derived a measure for canopy-atmosphere momentum transfer, ~~showing which are compared to dendrometer based tree water deficit measurements, defined as the difference between a constructed tree growth line and original dendrometer records (Ehrenberger et al., 2012). Our measure of tree-atmosphere momentum transfer showed~~ clear variation between species ~~,-over time, and in response to water-related stem variations and over time.~~

The Amazon contains half of the world's rainforests. Yet, it remains a poorly understood component of the global carbon and water cycle (Saatchi et al., 2007; Binks et al., 2016; Anber et al., 2015). For example, the extensively studied 2005 drought reversed the Amazon from a long-term carbon sink into a carbon source (Phillips et al., 2009). Amazon forests appear to be sensitive to increasing moisture stress (van Emmerik et al., 2017b), and future droughts have the potential to considerably change the water and carbon balance (and thus climate change) (Phillips et al., 2009). Improved understanding of the variation and dynamics of the drag coefficient will therefore contribute to a better understanding of the Amazon's role in the water and carbon cycle, and its response to droughts.

A long time series of tree acceleration data was used to investigate tree-atmosphere momentum transfer under field conditions, and in response to ~~increased water-related stem variations~~ [water content, leaf fall or flush, or other mass changes](#). Using an experimental method, ~~We we~~ aim to show that tree-atmosphere momentum transfer ~~various-varies~~ more than often assumed. Specifically, we demonstrate the effect of increased water-related stem variations for various tree species and individuals, which is hypothesized to be caused by water deficit induced mass changes in the trees.

2 Methods

2.1 Study area

The field measurements of this study were obtained from August, 2015 to January, 2016 at the K34 research station in the Amazon rainforest (2.6085° S, 60.2093° W), 60 km Northwest of Manaus, Brazil. The study area is characterized by a tropical monsoon climate with an average dry season from July to September (De Gonçalves et al., 2013). During the measurement period there was about 12 hours of daylight, roughly between 6 A.M. and 6 P.M. local time. Meteorological data was measured at a flux tower on site. Wind speed, temperature, and precipitation were measured every 15 minutes [and were retrieved from the National Institute of Amazonian Research \(INPA\)](#). For this study, we use data from the period August, 2015 to January, 2016.

10 2.2 Plant material

A total of 19 individual trees ([7 species](#)) were measured during this experiment, covering seven tree species, and a broad range of average height and wood density. ~~In total 7 species were measured, with 1 to 3 individuals per species.~~ Trees were selected to cover a broad range of heights (h), widths (diameter at breast height, D_{BH}), and wood densities (ρ_w). An overview of the measured trees is found in Table 1.

Table 1. Tree characteristics: Tree number, scientific name, wood density, estimated total height and diameter at breast height (D_{BH}).

Tree no.	Name	Estimated wood density [10^3 kg/m^3]	Estimated height [m]	D_{BH} [cm]
1 - 3	<i>Goupia glabra</i>	0.7 (High) 0.3 - 0.9	25 - 32	135.0 - 242.5
4 - 6	<i>Lecythis prancei</i>	0.875 (Intermediate) 0.9	24 - 35	108.4 - 116.5
7 - 9	<i>Scleronema micranthum</i>	0.5 - 0.7 (Low)	26 - 38	81.0 - 189.5
10 - 13	<i>Eschweilera coriacea</i>	0.8 (Intermediate) 0.7 - 1.1	18 - 27	92.4 - 268.0
14 - 15	<i>Dypterix</i> Dipteryx odorata	0.7 - 1.1 (High)	32 - 35	177.0 - 219.5
16 - 17	<i>Pouteria anomala</i>	0.7 (Low) 0.3 - 0.8	22 - 23	111.0 - 117.5
18 - 20	<i>Maquira sclerophylla</i>	0.5 (Low) 0.4 - 0.6	18 - 35	90.6 - 264.0

15 Wood density values were taken from the Global Wood Density Database (~~Zanne et al., 2009~~)[\(Zanne et al., 2009; Chave et al., 2009\)](#). Total tree height was measured using ~~measurement~~ [measuring](#) tape. Tree species were determined by a classified taxonomist. Diameter at breast height (DBH) was measured using measuring tape on the day of installation of the accelerometers. Above-ground biomass (AGB) was estimated for every tree using the pantropical model introduced by Chave et al. (2014). In this model, tree height h , diameter at breast height D_{BH} , and wood density ρ_w are related to AGB through the following equation:

$$20 \quad AGB = 0.0673(\rho_w \cdot DBH^2 \cdot h) \quad (1)$$

2.3 Experimental setup

Water proof, robust accelerometers (Acceleration Logger - Model AL100, Oregon Research Electronics, Tangent, OR, USA) were used to measure three-dimensional acceleration with a frequency of 10 Hz. The accelerometers were placed directly below the main branching of the tree, to measure the largest signal that can be measured, and to minimize effect of oscillations from primary and secondary branches (Spatz and Theckes, 2013). The frequency spectrum of horizontal, single axis acceleration was determined using a sliding window fast Fourier transform. The spectrum was estimated every 10 minutes, using a 30 minute window. In the following analysis, we use the logarithmic slope [dB/Hz] of the tree acceleration and wind frequency spectrum. The slope of the spectrum represents the damping of the driving wind force and can be seen as a measure of momentum transfer. As the tree movement is driven by wind, a part of the wind energy is transferred to kinetic energy in the tree. The intensity of the transfer depends on the wind speed and on the tree characteristics (such as moment of inertia, mass, and the drag coefficient). For this study, the slope of the frequency spectrum between 0.2 and 1 Hz was determined every 10 min. More detailed information on the accelerometer can be found in [\(van Emmerik et al., 2017a, 2018b, in review, 2018\)](#) ~~-(van Emmerik et al., 2017a, 2018b)~~. This approach assumes that the trees are free standing, which was one of the selection criteria. As this was based on visual inspection, this might be a potential source of error.

Dendrometers (ZN12-T-2IP, Natkon.ch, Switzerland) were installed at 1.5 meters above ground level. Bark thickness was measured every 10 minutes. Bark time series were used as a direct measure of water-related stem variations in trees (Zweifel et al., 2005; Ehrenberger et al., 2012). First, the local maximum values are connected. The resulting line is the growth line, which represents the maximum stem radius in case of no water limitations. The difference between the growth line and the actual bark thickness is then the water-related stem variations, using:

$$\Delta W = D_{b,pot} - D_{b,act} \quad (2)$$

With total water-related stem variation ΔW , growth line $D_{b,pot}$, and change in bark thickness $D_{b,act}$. Fig. 1 illustrates how the growth line and water-related stem variation can be obtained from a dendrometer dataset.

2.4 Relating wind to tree motion

The relation between the input wind energy spectrum P_u [dB], and the output energy spectrum of tree motion P_y [dB], both as function of frequency f can be described as:

$$P_y(f) = |H(f)|^2 \rho_a^2 C_D^2 A^2 \bar{u}^2 H_a(f)^2 P_u(f) \quad (3)$$

with mechanical transfer function H [s/kg], air density ρ_a [kg/m³], drag coefficient C_d [-], tree catch area A [m²], mean wind speed \bar{u} [m/s], aerodynamic transfer function $H_a(f)$ [-], and power spectrum of the wind $P_u(f)$ [dB]. In general, the aerodynamic transfer function can be approximated as $H_a(f)^2 = 1$, as there is a minimal turbulent storage term (Amtmann, 1985; Mayer, 1987).

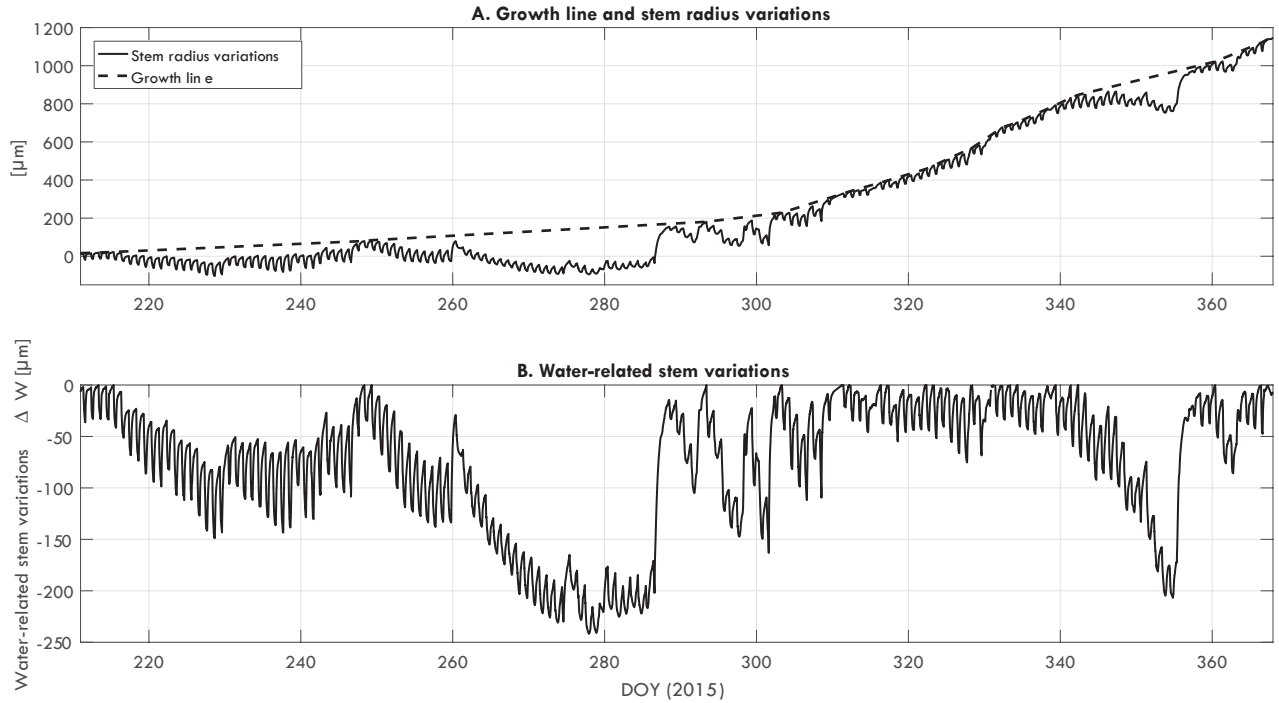


Figure 1. A. Growth line and stem radius variation for *Goupia glabra* tree 1, and B. the calculated water-related stem variation ΔW [μ m], from August 2015 to December 2015.

The energy conservation and dissipation of wind turbulence depends on the scale. Large scale eddies (low frequencies) are energy containing, whereas energy dissipation mainly happens at smaller molecular scales (higher frequencies). The range in between, the inertial subrange, is where energy is transferred from low to high frequencies. Kolmogorov (1941) hypothesized that at high Reynolds numbers and under homogeneous and isotropic turbulence the inertial subrange would follow a $-5/3$ spectrum. The wind energy content P_u within the inertial subrange is a universal function of the frequency, and can therefore be expressed as (Stull, 2012, p. 390–391):

$$P_y = C\epsilon^{\frac{2}{3}}f^{-\frac{5}{3}} = Af^{-\frac{5}{3}} \quad (4)$$

With constant C , dissipation rate ϵ , and frequency f . Wind in forest canopies also exhibit this spectrum (e.g. (Flesch and Wilson, 1999; Odijk, 2015)). For turbulent conditions, the input wind spectra (see eq. 4) and its slope are known. Comparing the input Kolmogorov wind spectrum with the output tree acceleration spectrum therefore gives a measure of the momentum damping/absorption by the tree. For eqs. 4 and 5, a constant value for the wind spectrum slope ($-5/3$) is used in subsequent

analyses. With known acceleration and wind spectra, we can find an expression for the transfer function, which is a measure of tree-atmosphere momentum transfer. The slope of the acceleration and wind spectra are related through the following equation:

$$\frac{dP_y}{df} = H^2 \rho^2 A^2 C_d^2 u^2 \frac{dP_u}{df} \quad (5)$$

With tree acceleration spectrum slope $\frac{dP_y}{df}$ [dB/Hz] and wind spectrum slope $\frac{dP_u}{df}$ [dB/Hz]. We simplify the slopes of the
5 acceleration and wind spectra to:

$$s_a = \frac{dP_y}{df} \quad (6)$$

$$s_w = \frac{dP_u}{df} \quad (7)$$

By combining the constant variables we can simplify this to:

$$\alpha = H \rho A \quad (8)$$

$$10 \quad s_a = \alpha^2 C_d^2 u^2 s_w \quad (9)$$

$$\alpha C_d = \sqrt{\frac{s_a}{s_w u^2}} \quad (10)$$

with acceleration and wind spectra slopes s_a and s_w [dB/Hz], and transfer parameter α [s/m]. The combined term αC_d is used as a conceptual expression for tree-atmosphere momentum transfer, and accounts for the combined effect of e.g., drag coefficient, mass, density, wind catch area.

15 Interaction between wind and a tree is a function of wind speed. As e.g. Mayhead (1973) and Koizumi et al. (2010), have shown, the drag coefficient and momentum transfer decrease with increasing wind speed. This is mainly due to streamlining of the tree, which decreases the catch area of the tree. In this study, we analyze the changes in the relation between the measure of tree-atmosphere momentum transfer αC_d and wind speed. For each week, the following function is fit to the relation between wind speed u and αC_d :

$$20 \quad \alpha C_d = A \cdot \exp(-\beta \cdot u) \quad (11)$$

with $A = 1$, and damping parameter β . β determines the shape of the relation, and describes how the tree-atmosphere momentum transfer changes with wind speed. The value of β is therefore used to track the variation in tree-atmosphere momentum transfer over space and time. For better comparison between individual trees, β is presented normalized by the mean value for β per individual tree.

5 2.5 Data processing

We estimate the frequency spectrum of the horizontal, single axis acceleration using a sliding window fast Fourier transform (FFT). The spectrum was estimated every 10 minutes, using a window length of 30 minutes. The slope of the spectrum represents the damping of the driving wind force by the tree, and can be seen as a measure of energy and momentum transfer (van Emmerik et al., 2017a). As tree movement is driven by wind, a part of the wind energy is transferred to kinetic energy in the tree. For this study, the slope of the frequency spectrum between 0.2 and 1 Hz was determined, for every 10 minutes. The slope is presented on a logarithmic scale [Hz/dB].

3 Results and discussion

3.1 Acceleration spectra slope

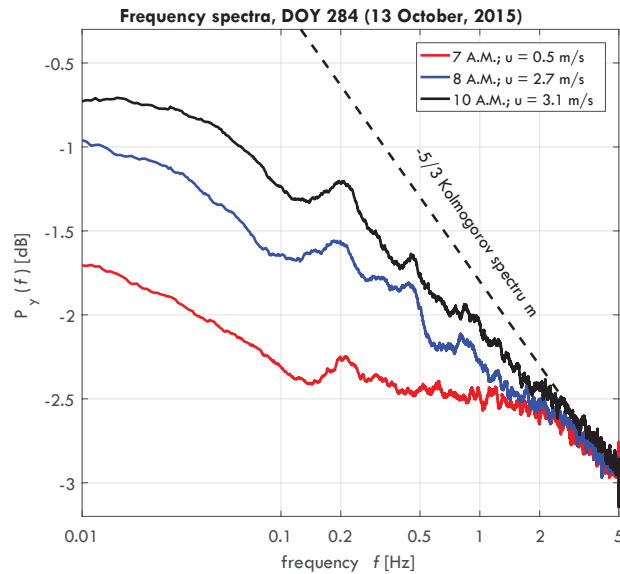


Figure 2. Frequency spectra of a *Goupia glabra* tree for different wind speeds on day of year (DOY) 284 (11 October, 2015), including turbulent wind spectrum (dashed black), taken from van Emmerik et al. (2017a).

Fig. 2 presents a typical acceleration energy spectrum for a *Goupia glabra* tree, for three different wind speeds. For increasing wind speeds, the slope of the spectrum approaches the Kolmogorov $-5/3$ spectrum. As hypothesized by Kolmogorov (1941), turbulent motions in the inertial subrange are statistically isotropic, and the wind energy spectrum is only a function of frequency. Around 0.2 Hz a peak can be observed, which is the natural frequency f_n [Hz] of the tree. Variation in the natural
5 frequency can be related to tree mass changes, and intercepted rainfall by the canopy (van Emmerik et al., 2017a), but is not further used in this manuscript.

The slope varies over time, and per tree species and individual. Fig. 3 presents the acceleration spectra slope s_a for each tree, grouped per tree species. As expected, the slope of the acceleration spectrum increases with wind speed. The timing and magnitude does change per tree. For example, the *Scleronema* trees (Fig. 3C) have a consistently higher slope (1.4 Hz/dB
10 during the day) than the *DypterixDipteryx* (Fig. 3E) trees (1 Hz/dB during the day). The sum of the differences between trees are captured by the parameter αC_d , which will be presented later. Other clear differences can be seen between individuals of different species. Where for the *Scleronema* (Fig. 3C) and *Pouteria* (Fig. 3F) trees the slope is similar between the individuals, for *Maquira* (Fig. 3G) and *Lecythis* (Fig. 3B) the variation between the individuals is considerably larger.

3.2 Tree-atmosphere momentum transfer across time and space

15 The momentum transfer between trees and the atmosphere is expressed by the αC_d , which included effects of mass, geometry, wind catch area, and drag coefficient (see eq. 8). Streamlining of a tree for increasing wind speed affects the relation between αC_d and wind speed (Mayhead, 1973; Koizumi et al., 2010), as can be seen in Fig. 4. Here, the monthly averaged relation between αC_d and wind speed are presented for August to December, 2015. For wind speeds between 0 and 3-4 m/s, αC_d decreases, with the highest decrease between 0 and 1-1.5 m/s. For higher wind speeds αC_d becomes more stable. It can be
20 seen that for αC_d varies considerably between individual trees, and that the relation between αC_d and wind speed changes over time. For example, the range of αC_d at 1 m/s changed from 0.3-0.6 to 0.4-0.8 between August and December, 2015. It is hypothesized that this is due to changes in mass, related to e.g. water content or leaf fall.

3.3 Effect of dry months

To further explore the changes in the relation between αC_d and wind, this relation was fit for each week of available data. The
25 most important parameter is the damping coefficient β (see eq. 9). Fig. 5 presents the weekly values for the normalized damping coefficient β between August (DOY 220) and December (365), 2015. Recall that for the normalization, time series of β are normalized by the average value of β for each individual tree. Water-related stem variation measurements were also available, and are also shown in Fig. 5. As most trees showed similar temporal behavior, the figure presents the average water-related stem variation based on all trees, including the minimum and maximum of the measured range.

30 Between DOY 230 and 280 β decreased while water-related stem variations increased. Although water-related stem variation decreased steeply between DOY 280 and 285, β continued decreasing until around DOY 300. Water deficit remained relatively stable between DOY 285 and 340, after which a steep increase was observed between DOY 340 and 360. β recovered between DOY 300 and 340, and decreased again between DOY 340 and 360. Changes in β are hypothesized to be caused

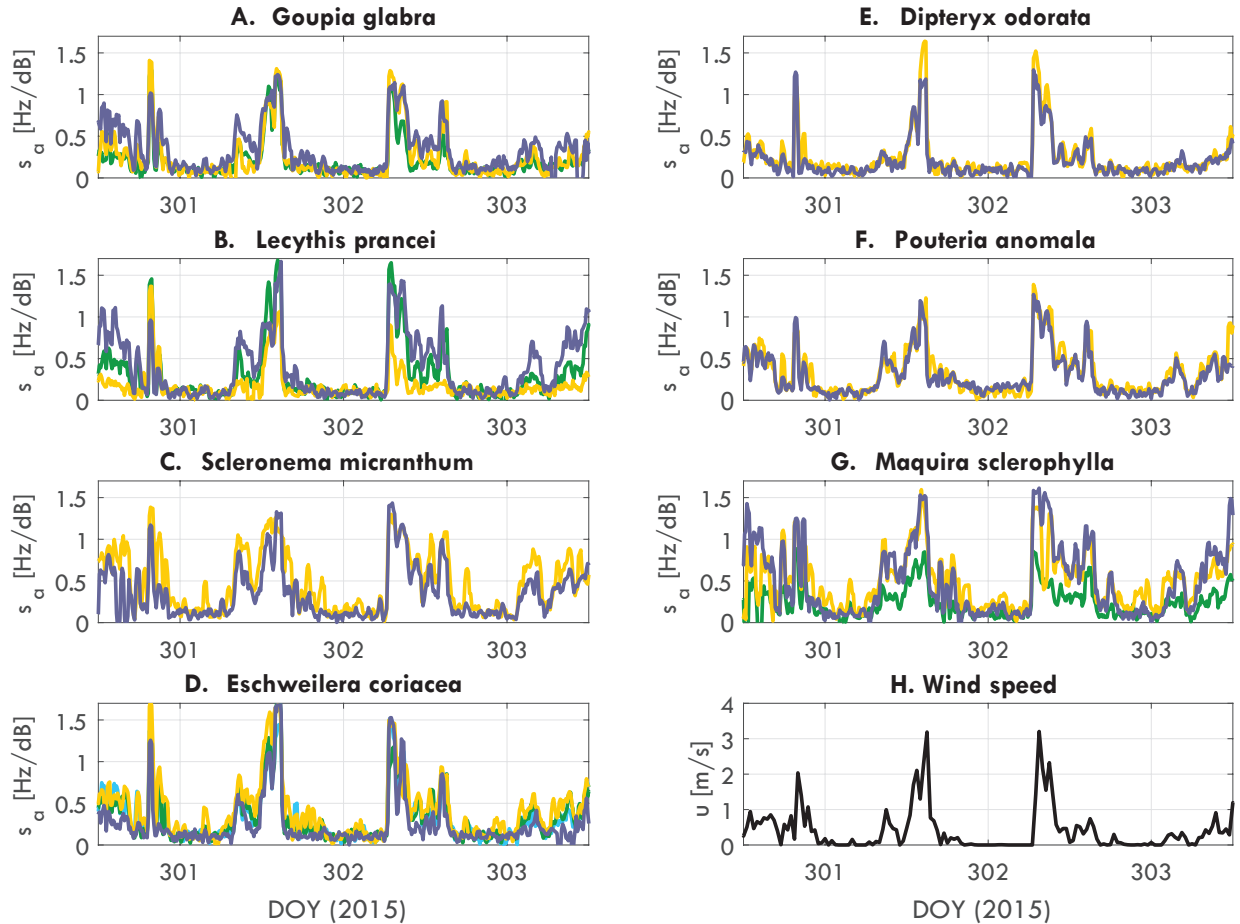


Figure 3. Tree acceleration spectra slope s_a [Hz/dB] over time for DOY 301 to 306 (2015), for A. *Goupia glabra*, B. *Lecythis prancei*, C. *Scleronema micranthum*, D. *Eschweilera coriacea*, E. ~~*Dypterix odorata*~~*Dipteryx odorata*, F. *Pouteria anomala*, G. *Maquira sclerophylla*, and H. wind. Each line in A-G. represents an individual tree.

by changes in mass related to water content, or leaf fall. The increase in water-related stem variation supports this hypothesis, as a decreasing/increasing β coincided with inverse changes in water-related stem variation.

Fig. 6 presents the relation between β , water-related stem variation and DOY for four trees. Here, it can be seen that there is a clear relation between increasing water-related stem variation and decreasing β . When water-related stem variation increases, β drops significantly (DOY 220 to 250). For higher water-related stem variation (DOY 250 to 300), β decreases more gradually.

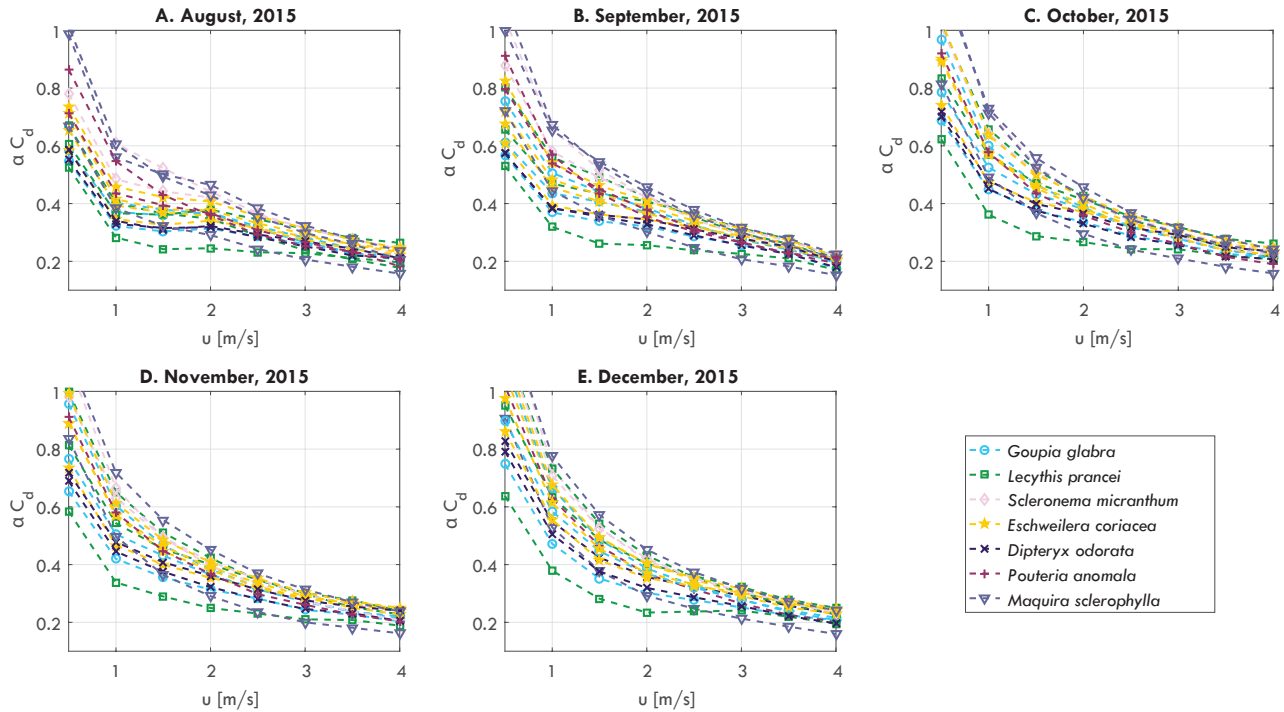


Figure 4. Monthly averaged tree-atmosphere momentum transfer αC_d per individual tree as a function of wind speed u [m/s] for A. August, 2015, B. September, 2015, C. October, 2015, D. November, 2015, and E. December, 2015.

For the recovery (DOY 300 to 340), when water-related stem variation decreases again, the relation between β and water-related stem variation is different. Here, β only increases gradually again for decreases water-related stem variation. For the increase in water-related stem variation between DOY 340 and 360, a drop in β can be seen for the *Goupia*, *Scleronema*, and *Eschweilera*. Note that water-related stem variation is not a measure of water content. When water-related stem variation decreases again after DOY 300, tree water content or biomass do not necessarily increase. Therefore, it can be expected that β does not directly increase either, resulting in the observed hysteresis pattern.

To explore what might explain the variation in β , Fig. 6E-H. present the average value of β (for August to December, 2015) in relation to the estimated physical tree properties. As expected, the momentum transfer between tree and atmosphere is the sum of various different factors. It can be seen that for most species, increased height, and diameter at breast height β is higher. The relation is weaker when looking at all trees combined ($R^2 = 0.07$ and $R^2 = 0.11$, respectively) It can also clearly be seen that for increased wood density the average β is higher ($R^2 = 0.35$), which can be explained by the coinciding increase in tree stiffness. We also see that there is a relation between aboveground biomass and β for all trees, and for each tree species

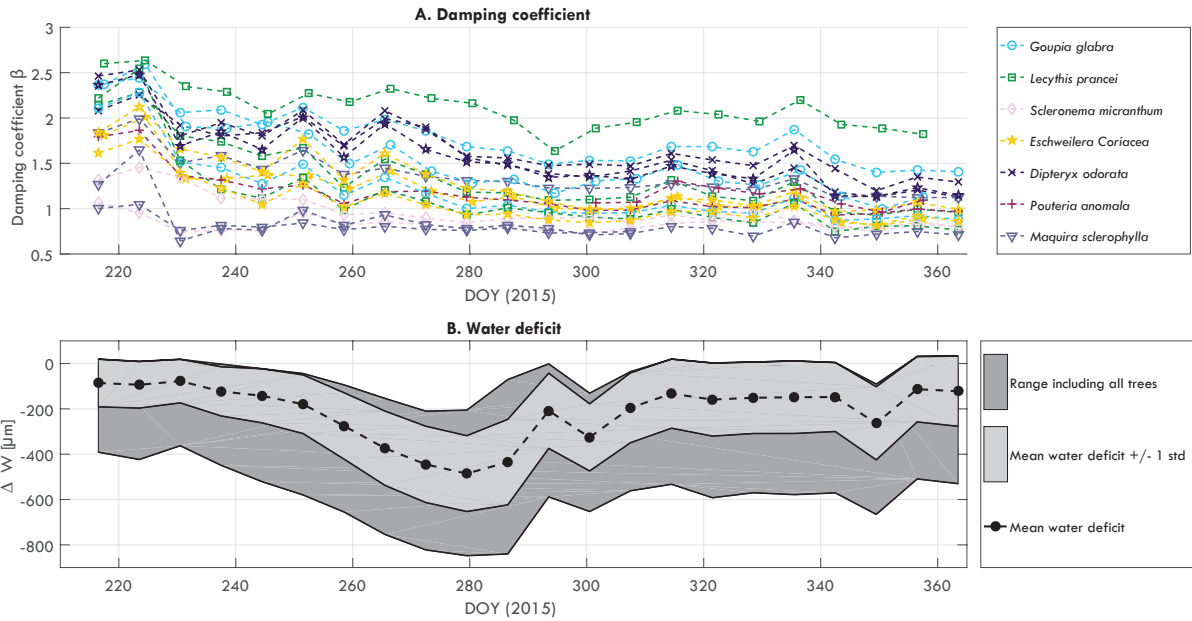


Figure 5. A. Normalized damping coefficient β for each individual tree, and B. mean, mean \pm 1 standard deviation, minimum and maximum water-related stem variation ΔW , for every week from DOY 218 (August) to 365 (December), 2015.

separately. Higher tree biomass results in higher β ($R^2 = 0.57$). This suggests that changes in β can be explained by water variations, due to for example leaf flush or fall and mass variations, caused by either leaf flush and fall, or water content related biomass changes.

The relation between β and can explain the observations in Fig. 5 and 6A-D suggest a relationship between β and tree biomass. Mean β and biomass show a clear relation (Fig. 6H), suggesting that temporal changes in β are related to temporal changes in tree mass. During periods of increased water-related stem variation during the dry months, β clearly decreases for each tree, providing additional observational support for this hypothesis. The increased water-related stem variation suggests that this is due to water content related mass changes in the vegetation, such as decreasing tree water content and increased leaf fall during dry months. The relation between aboveground biomass and β supports this hypothesis, as the observed decrease in β might be explained by decreasing tree mass during the dry months.

3.4 Synthesis

The results presented in this paper show that the degree of tree-atmosphere momentum transfer varies considerably between species. Previous work has shown this for some species using wind tunnel experiments. This study uses *in situ* measurements to demonstrate the variation in tree-atmosphere momentum transfer in the field. Besides variation in space, significant temporal

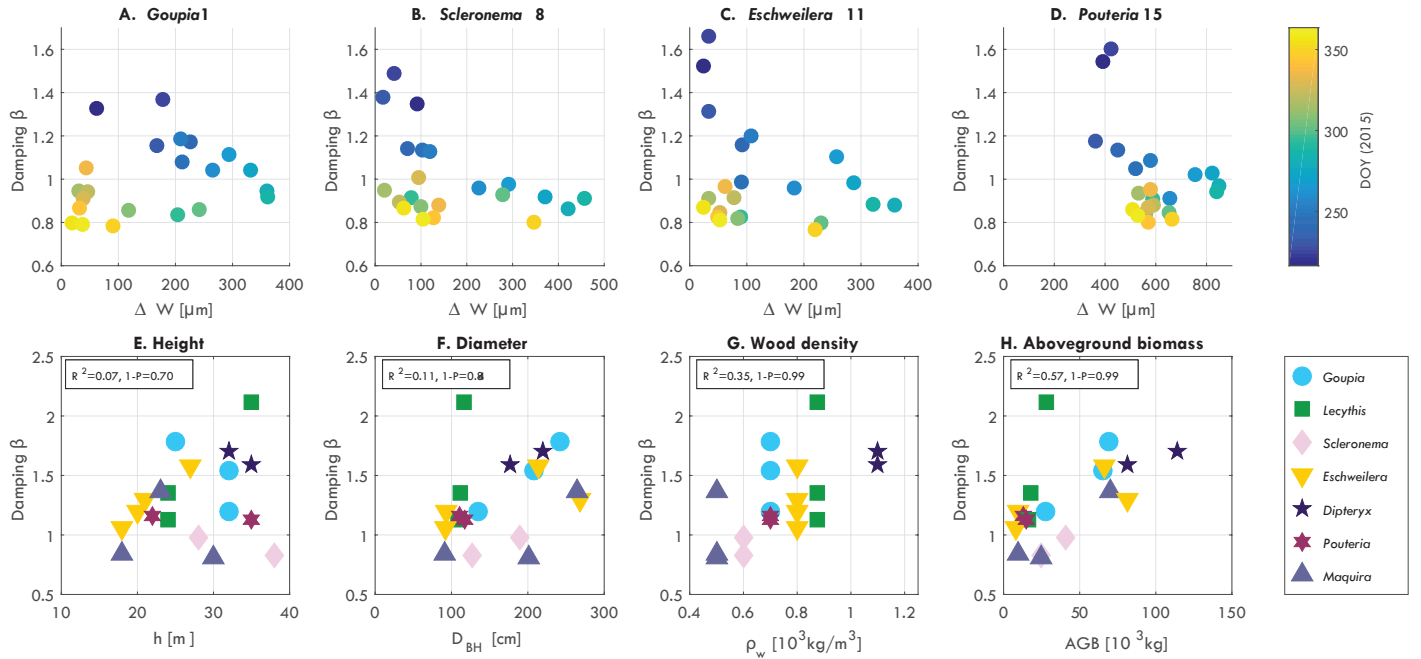


Figure 6. Damping coefficient β (for fitted function αC_d vs. u) for a single A. *Goupia*, B. *Scleronema*, C. *Eschweilera*, and D. *Pouteria* tree, versus water-related stem variation ΔW , colored by day of year (DOY, 2015), and average damping coefficient β in relation to E. tree height h , F. diameter at breast height D_{BH} , G. wood density, and F. Aboveground biomass (AGB), for each measured tree.

variation in tree-atmosphere momentum transfer was found. To our knowledge, this is the first time that this has been measured under field conditions.

Changes in tree-atmosphere momentum transfer seem to be related to changes in tree mass. For the period with increased measured water-related stem variation, β decreased. We hypothesize that this is a direct effect of increased tree water deficit.

- 5 There are two mechanisms that might explain the changes in β . First, tree mass might change through changes in tree water content. When tree water deficit is increasing, insufficient water is available to refill the storage, and tree water content decreases. In future work we recommend measurements of leaf water potential or tree water content to assess the influence of tree mass change on tree-atmosphere interaction in more detail. Second, changes in β might also be caused by leaf fall, which
- 10 water deficit (Reich and Borchert, 1984), but is not always driven by water deficit. Peak rates of leaf fall almost always occur during the dry months in tropical forests (Wright and Cornejo, 1990). This might explain the quick response to increased water-related stem variation. When water-related stem variation is low again, one might expect a recovery in β as well. However, if β is mainly changed due to leaf fall, the recovery might be delayed significantly. Leaf expansion might occur only a few

weeks during the early wet months (Reich and Borchert, 1984), growth of new leaves only occurs as long as soil moisture is plentiful (Bordiert, 1994). Absence of these conditions could explain the slow response in β . For this reason a hysteresis pattern is observed for damping and water-related stem variation in Fig. 6. Previous work on the K34 site showed that the highest annual litterfall occurs between August and October [Wu et al. \(2016\)](#) ([Wu et al., 2016](#)), coinciding with the period of decreased β in this study. For increased water-related stem variation it is likely that tree water content and biomass decreased. However, during periods of low water-related stem variation trees are not restored, and it takes time before tree water content and biomass increase again. Therefore β does also not directly increase. Additional data such as leaf water potential or eddy covariance data will help attributing the change in tree-atmosphere momentum transfer to changes in tree water content, leaf fall, or other mechanisms not yet considered.

An alternative explanation of the changing tree-atmosphere momentum transfer might be the changing elasticity of the tree. A weak relation was found between β and wood density, which can be considered a proxy for tree elasticity. Recent work found a relation between moisture content and elasticity of trees (Mvondo et al., 2017). Although this might be not directly mass related, this would still imply a relation between tree-atmosphere momentum transfer and the tree water status. Also this hypothesis points towards a measureable effect of the transition from wet to dry months on tree-atmosphere momentum transfer.

Variation between species are combination of multiple factors. From Fig. 6 it is clear that different wood density and AGB can be related to different β . Additional factors influencing the variation between species include tree architecture, leaf type, wind speed within the canopy and stem elasticity.

The impact of water-related stem variation on tree-atmosphere is a significant finding, as this shows that tree-atmosphere momentum transfer is also affected during the dry months, in addition to the general spatiotemporal variation. This sheds a new light on momentum transfer from the atmosphere to the tree. Previous studies on tree-atmosphere momentum transfer used the drag coefficient C_d as a measure for tree-atmosphere momentum transfer. It was found that this varies with wind speed, and per tree species. So far, this has not been done on trees in forests. Also, no studies have investigated the effect of water-related stem variation on tree drag coefficient, or any other measure of tree-atmosphere momentum transfer. Additional high resolution wind measurements would allow further, more detailed, exploration of tree-atmosphere interaction. For example, the conceptual α parameter can be quantified using more detailed wind measurements, yielding a more exact and physically based expression of tree-atmosphere interaction. We found that αC_d varies across different time scales. Furthermore, this would allow investigating whether wind patterns within the canopy have changed over time, which might also explain the variation in tree-atmosphere momentum transfer. We recommend further research to study the factors that dominate the variations at different time scales, which may be important for modeling purposes focusing on atmospheric processes on different time scales.

With this paper we aim to show that assuming a constant drag coefficient is unrealistic, and potentially introduces a large source of error. For example, several large-scale land-surface models approaches represent the canopy layer, and its interaction with the atmospheric boundary layer, through static parameters. The observations presented in this paper show that future efforts should consider using more dynamic representation and parameterization to reduce errors. Additional measurements of turbulent kinetic energy, (high frequency) wind speed at specific trees, leaf water potential and leaf area will give more insights

in the dynamics driving changes in tree-atmosphere interaction, and will allow for expressing tree-atmosphere interaction in terms of actual drag coefficient.

This paper demonstrates that the variation in tree-atmosphere momentum transfer can change considerably during the shift from the wet to the dry months. This has important implications for the water and carbon balance, as these depend strongly on the momentum transfer from atmosphere to the canopy. We emphasize that this paper presents experimental work. Experimental work is imperative to gain a better understanding of governing processes (van Emmerik et al., 2018a), in this case regarding tree-atmosphere interactions. However, additional measurements of the input wind spectra, and its variation over time and space, is crucial for further exploration of the relation between wind, tree sway, and momentum transfer. Combining the current data with plant physiological measurements will allow further testing of the hypothesis that the temporal changes in tree-atmosphere momentum transfer are related to water deficit induced tree mass changes.

4 Conclusions

Tree sway measurements were used to estimate tree-atmosphere momentum transfer for 19 trees during a transition from the wet to the dry months in the Brazilian Amazon. It was found that tree-atmosphere momentum transfer varies considerably between individuals and between species.

Tree-atmosphere momentum transfer, and its relation with wind speed also changes over time. Especially during the transition from the wet to the dry months, a clear change in tree-atmosphere momentum transfer was measured for all trees. The change in tree-atmosphere momentum transfer coincided with increasing water-related stem variation.

A positive relation was found between estimated aboveground tree biomass, and average tree-atmosphere momentum transfer. This suggests that the variation in accelerometer-derived measure of tree-atmosphere momentum transfer is caused by changes in tree mass, most likely caused by water tree deficit induced changes in water content or leaf fall.

Our experimental work provides new insights in variation in tree-atmosphere momentum transfer in time and space, and its response to increased water-related stem variation. We aim to show that using static parameterization of vegetation in for example land-atmosphere or climate models might introduce a source of error. Future work should focus on attributing changes in tree-atmosphere interactions to changes in tree water content, leaf fall and flush, and other mechanisms.

Data availability. Dendrometer data may be obtained from Fernanda de V. Barros (email: nandavascon@gmail.com). Accelerometer data may be obtained from Tim van Emmerik (email: t.h.m.vanemmerik@tudelft.nl) or can be downloaded through here [10.4121/uuid:c9974180-aa9b-40b4-8d](https://doi.org/10.4121/uuid:c9974180-aa9b-40b4-8d)

Author contributions. TvE, SSD, PG, NvdG designed the study, TvE, RSO, PB, FB conducted the fieldwork, TvE performed the initial data analysis and write the first manuscript, all authors contributed to data interpretation and writing of the manuscript.

Competing interests. The authors declare no competing interests are present.

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Ideas and perspectives: Tree-atmosphere interaction responds to water-related stem variations

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Abstract. Land-atmosphere interactions depend on momentum transfer from the atmosphere to the canopy, which in turn depends on the tree drag coefficient. It is known that the drag coefficient, and thus tree-atmosphere momentum transfer, can vary strongly within a canopy. Yet, only few measurements are available to study the variation of tree-atmosphere momentum transfer in time and space, and in response to tree water deficit. In this paper we use accelerometers to estimate tree-atmosphere momentum transfer for 19 individual trees of seven different species in the Brazilian Amazon. The five-month monitoring period included the transition from wet to dry months. Here, we demonstrate that under field conditions, tree-atmosphere momentum transfer can vary considerably in time and space (up to a factor 2.5). Increased water-related stem variations during the dry months is related to observed changes in tree-atmosphere momentum transfer, which is hypothesized to be caused by tree water deficit induced changes in tree mass.

10 1 Introduction

The atmospheric boundary layer and the land surface directly influence each other through momentum, mass and energy exchange (Gentine et al., 2011, 2012; Green et al., 2017). Land-atmosphere interactions influence meteorological processes, hydrological fluxes and states, and biotic and abiotic processes, such as seed and pollen distribution (Katul et al., 2005), deposition of atmospheric pollutants (Clifton et al., 2017), and transfer of water, heat and CO₂ (Aumond et al., 2013). Land-atmosphere interactions are influenced by the momentum transfer from atmosphere to the canopy, which highly depends on the turbulent drag coefficient of individual trees (Poggi and Katul, 2007). Drag causes loss of momentum, and the interplay between canopy and atmosphere is heavily affected by the transport of water, heat, and carbon between vegetation and the atmosphere (Molina-Aiz et al., 2006; Cescatti and Marcolla, 2004). Such transfer between trees and atmosphere greatly depends on the tree drag coefficient (Gillies et al., 2002). For computational ease, the drag coefficient is often assumed constant (Katul et al., 2006; Cassiani et al., 2008; Dupont and Brunet, 2008), both in time and space. It is known, however, that the drag coefficient, and thus the degree of tree-atmosphere momentum transfer, can vary strongly within a canopy, and as a function of environmental conditions (Belcher et al., 2012). Assuming a constant drag coefficient may therefore be unrealistic, and introduces a large source of error.

Misrepresentation of the variability in canopy drag is largely due to a lack of (field) data. Various studies have quantified canopy drag (coefficients) in laboratory and field setups (Mayhead, 1973; Koizumi et al., 2010). Widely used drag coefficients for several tree species originate from a wind tunnel experiment by Mayhead (1973). Here it was found that drag coefficient is variable between individual trees, and strongly depends on wind speed. Most wind tunnel studies used dwarf species (Johnson et al., 1982), juvenile crowns (Rudnicki et al., 2004; Vollsinger et al., 2005), or (miniature) model trees or forests (Meroney, 1968; Guan et al., 2003; Novak et al., 2000; Poggi and Katul, 2007), which are not representative for actual-sized trees under natural conditions. Recent work (Koizumi et al., 2010, 2016) presented a novel field method that can measure stem deflection, which is used to derive the tree drag coefficient. However, this method requires a considerable amount of power, making it difficult to obtain long time series. This is especially a problem under field conditions, where power supply is limited.

A recently presented measurement technique (van Emmerik et al., 2017a) used low-cost accelerometers to measure tree sway. Tree sway is a result of momentum transfer from the atmosphere to the tree, and can therefore be used to study tree-atmosphere momentum transfer. The robustness of the sensors allows deployment in harsh conditions such as tropical environments, to obtain long time series. Previous work suggested that tree sway data can be used to measure tree mass variations in response to diurnal variations in water content (Llamas et al., 2013), leaf fall or flush (Selker et al., 2011), or intercepted precipitation (van Emmerik et al., 2017a). This paper uses tree sway measurements obtained during a five month period to quantify and compare the tree-canopy momentum transfer for 19 trees in the Brazilian Amazon. The measurement period includes the transition from wet to dry months. We derived a measure for canopy-atmosphere momentum transfer, which are compared to dendrometer based tree water deficit measurements, defined as the difference between a constructed tree growth line and original dendrometer records (Ehrenberger et al., 2012). Our measure of tree-atmosphere momentum transfer showed clear variation between species and over time.

The Amazon contains half of the world's rainforests. Yet, it remains a poorly understood component of the global carbon and water cycle (Saatchi et al., 2007; Binks et al., 2016; Anber et al., 2015). For example, the extensively studied 2005 drought reversed the Amazon from a long-term carbon sink into a carbon source (Phillips et al., 2009). Amazon forests appear to be sensitive to increasing moisture stress (van Emmerik et al., 2017b), and future droughts have the potential to considerably change the water and carbon balance (and thus climate change) (Phillips et al., 2009). Improved understanding of the variation and dynamics of the drag coefficient will therefore contribute to a better understanding of the Amazon's role in the water and carbon cycle, and its response to droughts.

A long time series of tree acceleration data was used to investigate tree-atmosphere momentum transfer under field conditions, and in response to water content, leaf fall or flush, or other mass changes. Using an experimental method, we aim to show that tree-atmosphere momentum transfer varies more than often assumed. Specifically, we demonstrate the effect of increased water-related stem variations for various tree species and individuals, which is hypothesized to be caused by water deficit induced mass changes in the trees.

2 Methods

2.1 Study area

The field measurements of this study were obtained from August, 2015 to January, 2016 at the K34 research station in the Amazon rainforest (2.6085° S, 60.2093° W), 60 km Northwest of Manaus, Brazil. The study area is characterized by a tropical monsoon climate with an average dry season from July to September (De Gonçalves et al., 2013). During the measurement period there was about 12 hours of daylight, roughly between 6 A.M. and 6 P.M. local time. Meteorological data was measured at a flux tower on site. Wind speed, temperature, and precipitation were measured every 15 minutes and were retrieved from the National Institute of Amazonian Research (INPA). For this study, we use data from the period August, 2015 to January, 2016.

2.2 Plant material

A total of 19 individual trees (7 species) were measured during this experiment, covering seven tree species, and a broad range of average height and wood density. Trees were selected to cover a broad range of heights (h), widths (diameter at breast height, D_{BH}), and wood densities (ρ_w). An overview of the measured trees is found in Table 1.

Table 1. Tree characteristics: Tree number, scientific name, wood density, estimated total height and diameter at breast height (D_{BH}).

Tree no.	Name	Estimated wood density [$10^3 kg/m^3$]	Estimated height [m]	D_{BH} [cm]
1 - 3	<i>Goupia glabra</i>	0.3 - 0.9	25 - 32	135.0 - 242.5
4 - 6	<i>Lecythis prancei</i>	0.9	24 - 35	108.4 - 116.5
7 - 9	<i>Scleronema micranthum</i>	0.5 - 0.7	26 - 38	81.0 - 189.5
10 - 13	<i>Eschweilera coriacea</i>	0.7 - 1.1	18 - 27	92.4 - 268.0
14 - 15	<i>Dipteryx odorata</i>	0.7 - 1.1	32 - 35	177.0 - 219.5
16 - 17	<i>Pouteria anomala</i>	0.3 - 0.8	22 - 23	111.0 - 117.5
18 - 20	<i>Maquira sclerophylla</i>	0.4 - 0.6	18 - 35	90.6 - 264.0

Wood density values were taken from the Global Wood Density Database (Zanne et al., 2009; Chave et al., 2009). Total tree height was measured using measuring tape. Tree species were determined by a classified taxonomist. Diameter at breast height (DBH) was measured using measuring tape on the day of installation of the accelerometers. Aboveground biomass (AGB) was estimated for every tree using the pantropical model introduced by Chave et al. (2014). In this model, tree height h , diameter at breast height D_{BH} , and wood density ρ_w are related to AGB through the following equation:

$$AGB = 0.0673(\rho_w \cdot DBH^2 \cdot h) \quad (1)$$

2.3 Experimental setup

Water proof, robust accelerometers (Acceleration Logger - Model AL100, Oregon Research Electronics, Tangent, OR, USA) were used to measure three-dimensional acceleration with a frequency of 10 Hz. The accelerometers were placed directly below the main branching of the tree, to measure the largest signal that can be measured, and to minimize effect of oscillations from primary and secondary branches (Spatz and Theckes, 2013). The frequency spectrum of horizontal, single axis acceleration was determined using a sliding window fast Fourier transform. The spectrum was estimated every 10 minutes, using a 30 minute window. In the following analysis, we use the logarithmic slope [dB/Hz] of the tree acceleration and wind frequency spectrum. The slope of the spectrum represents the damping of the driving wind force and can be seen as a measure of momentum transfer. As the tree movement is driven by wind, a part of the wind energy is transferred to kinetic energy in the tree. The intensity of the transfer depends on the wind speed and on the tree characteristics (such as moment of inertia, mass, and the drag coefficient). For this study, the slope of the frequency spectrum between 0.2 and 1 Hz was determined every 10 min. More detailed information on the accelerometer can be found in (van Emmerik et al., 2017a, 2018b). This approach assumes that the trees are free standing, which was one of the selection criteria. As this was based on visual inspection, this might be a potential source of error.

Dendrometers (ZN12-T-2IP, Natkon.ch, Switzerland) were installed at 1.5 meters above ground level. Bark thickness was measured every 10 minutes. Bark time series were used as a direct measure of water-related stem variations in trees (Zweifel et al., 2005; Ehrenberger et al., 2012). First, the local maximum values are connected. The resulting line is the growth line, which represents the maximum stem radius in case of no water limitations. The difference between the growth line and the actual bark thickness is then the water-related stem variations, using:

$$\Delta W = D_{b,pot} - D_{b,act} \quad (2)$$

With total water-related stem variation ΔW , growth line $D_{b,pot}$, and change in bark thickness $D_{b,act}$. Fig. 1 illustrates how the growth line and water-related stem variation can be obtained from a dendrometer dataset.

2.4 Relating wind to tree motion

The relation between the input wind energy spectrum P_u [dB], and the output energy spectrum of tree motion P_y [dB], both as function of frequency f can be described as:

$$P_y(f) = |H(f)|^2 \rho_a^2 C_D^2 A^2 \bar{u}^2 H_a(f)^2 P_u(f) \quad (3)$$

with mechanical transfer function H [s/kg], air density ρ_a [kg/m³], drag coefficient C_d [-], tree catch area A [m²], mean wind speed \bar{u} [m/s], aerodynamic transfer function $H_a(f)$ [-], and power spectrum of the wind $P_u(f)$ [dB]. In general, the aerodynamic transfer function can be approximated as $H_a(f)^2 = 1$, as there is a minimal turbulent storage term (Amtmann, 1985; Mayer, 1987).

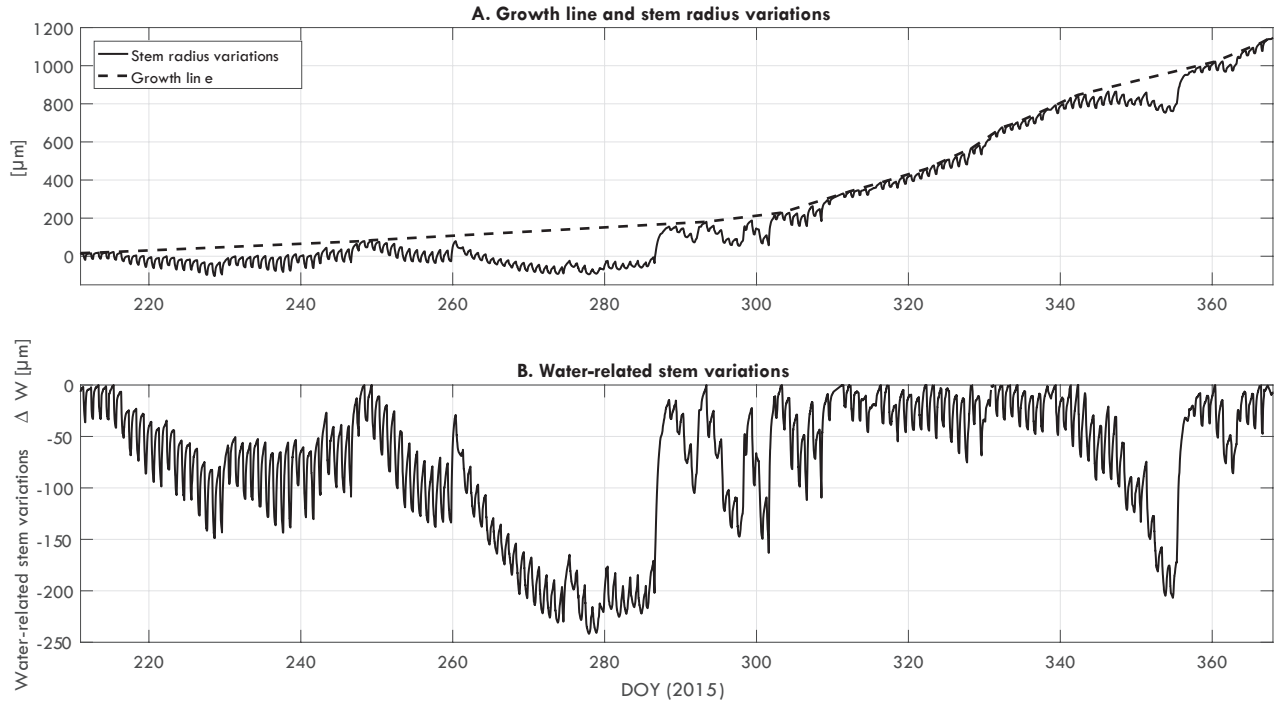


Figure 1. A. Growth line and stem radius variation for *Goupia glabra* tree 1, and B. the calculated water-related stem variation ΔW [μ m], from August 2015 to December 2015.

The energy conservation and dissipation of wind turbulence depends on the scale. Large scale eddies (low frequencies) are energy containing, whereas energy dissipation mainly happens at smaller molecular scales (higher frequencies). The range in between, the inertial subrange, is where energy is transferred from low to high frequencies. Kolmogorov (1941) hypothesized that at high Reynolds numbers and under homogeneous and isotropic turbulence the inertial subrange would follow a $-5/3$ spectrum. The wind energy content P_u within the inertial subrange is a universal function of the frequency, and can therefore be expressed as (Stull, 2012, p. 390–391):

$$P_y = C\epsilon^{\frac{2}{3}}f^{-\frac{5}{3}} = Af^{-\frac{5}{3}} \quad (4)$$

With constant C , dissipation rate ϵ , and frequency f . Wind in forest canopies also exhibit this spectrum (e.g. (Flesch and Wilson, 1999; Odijk, 2015)). For turbulent conditions, the input wind spectra (see eq. 4) and its slope are known. Comparing the input Kolmogorov wind spectrum with the output tree acceleration spectrum therefore gives a measure of the momentum damping/absorption by the tree. For eqs. 4 and 5, a constant value for the wind spectrum slope ($-5/3$) is used in subsequent

analyses. With known acceleration and wind spectra, we can find an expression for the transfer function, which is a measure of tree-atmosphere momentum transfer. The slope of the acceleration and wind spectra are related through the following equation:

$$\frac{dP_y}{df} = H^2 \rho^2 A^2 C_d^2 u^2 \frac{dP_u}{df} \quad (5)$$

With tree acceleration spectrum slope $\frac{dP_y}{df}$ [dB/Hz] and wind spectrum slope $\frac{dP_u}{df}$ [dB/Hz]. We simplify the slopes of the
5 acceleration and wind spectra to:

$$s_a = \frac{dP_y}{df} \quad (6)$$

$$s_w = \frac{dP_u}{df} \quad (7)$$

By combining the constant variables we can simplify this to:

$$\alpha = H \rho A \quad (8)$$

$$10 \quad s_a = \alpha^2 C_d^2 u^2 s_w \quad (9)$$

$$\alpha C_d = \sqrt{\frac{s_a}{s_w u^2}} \quad (10)$$

with acceleration and wind spectra slopes s_a and s_w [dB/Hz], and transfer parameter α [s/m]. The combined term αC_d is used as a conceptual expression for tree-atmosphere momentum transfer, and accounts for the combined effect of e.g., drag coefficient, mass, density, wind catch area.

15 Interaction between wind and a tree is a function of wind speed. As e.g. Mayhead (1973) and Koizumi et al. (2010), have shown, the drag coefficient and momentum transfer decrease with increasing wind speed. This is mainly due to streamlining of the tree, which decreases the catch area of the tree. In this study, we analyze the changes in the relation between the measure of tree-atmosphere momentum transfer αC_d and wind speed. For each week, the following function is fit to the relation between wind speed u and αC_d :

$$20 \quad \alpha C_d = A \cdot \exp(-\beta \cdot u) \quad (11)$$

with $A = 1$, and damping parameter β . β determines the shape of the relation, and describes how the tree-atmosphere momentum transfer changes with wind speed. The value of β is therefore used to track the variation in tree-atmosphere momentum transfer over space and time. For better comparison between individual trees, β is presented normalized by the mean value for β per individual tree.

5 2.5 Data processing

We estimate the frequency spectrum of the horizontal, single axis acceleration using a sliding window fast Fourier transform (FFT). The spectrum was estimated every 10 minutes, using a window length of 30 minutes. The slope of the spectrum represents the damping of the driving wind force by the tree, and can be seen as a measure of energy and momentum transfer (van Emmerik et al., 2017a). As tree movement is driven by wind, a part of the wind energy is transferred to kinetic energy in the tree. For this study, the slope of the frequency spectrum between 0.2 and 1 Hz was determined, for every 10 minutes. The slope is presented on a logarithmic scale [Hz/dB].

3 Results and discussion

3.1 Acceleration spectra slope

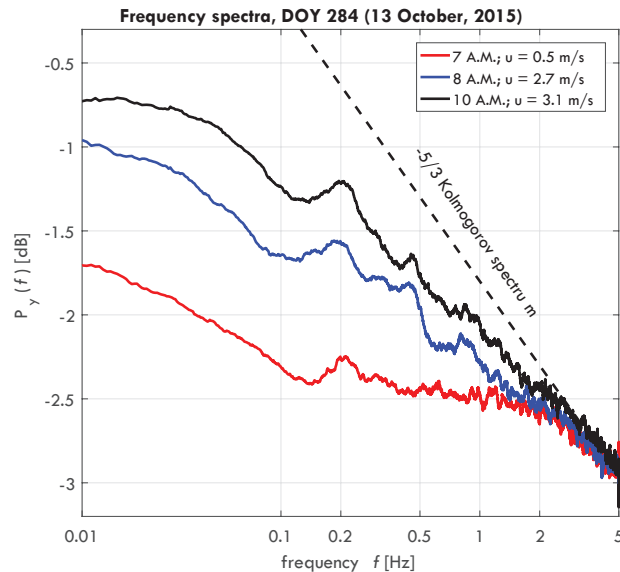


Figure 2. Frequency spectra of a *Goupia glabra* tree for different wind speeds on day of year (DOY) 284 (11 October, 2015), including turbulent wind spectrum (dashed black), taken from van Emmerik et al. (2017a).

Fig. 2 presents a typical acceleration energy spectrum for a *Goupia glabra* tree, for three different wind speeds. For increasing wind speeds, the slope of the spectrum approaches the Kolmogorov $-5/3$ spectrum. As hypothesized by Kolmogorov (1941), turbulent motions in the inertial subrange are statistically isotropic, and the wind energy spectrum is only a function of frequency. Around 0.2 Hz a peak can be observed, which is the natural frequency f_n [Hz] of the tree. Variation in the natural frequency can be related to tree mass changes, and intercepted rainfall by the canopy (van Emmerik et al., 2017a), but is not further used in this manuscript.

The slope varies over time, and per tree species and individual. Fig. 3 presents the acceleration spectra slope s_a for each tree, grouped per tree species. As expected, the slope of the acceleration spectrum increases with wind speed. The timing and magnitude does change per tree. For example, the *Scleronema* trees (Fig. 3C) have a consistently higher slope (1.4 Hz/dB during the day) than the *Dipteryx* (Fig. 3E) trees (1 Hz/dB during the day). The sum of the differences between trees are captured by the parameter αC_d , which will be presented later. Other clear differences can be seen between individuals of different species. Where for the *Scleronema* (Fig. 3C) and *Pouteria* (Fig. 3F) trees the slope is similar between the individuals, for *Maquira* (Fig. 3G) and *Lecythis* (Fig. 3B) the variation between the individuals is considerably larger.

3.2 Tree-atmosphere momentum transfer across time and space

The momentum transfer between trees and the atmosphere is expressed by the αC_d , which included effects of mass, geometry, wind catch area, and drag coefficient (see eq. 8). Streamlining of a tree for increasing wind speed affects the relation between αC_d and wind speed (Mayhead, 1973; Koizumi et al., 2010), as can be seen in Fig. 4. Here, the monthly averaged relation between αC_d and wind speed are presented for August to December, 2015. For wind speeds between 0 and 3-4 m/s, αC_d decreases, with the highest decrease between 0 and 1-1.5 m/s. For higher wind speeds αC_d becomes more stable. It can be seen that for αC_d varies considerably between individual trees, and that the relation between αC_d and wind speed changes over time. For example, the range of αC_d at 1 m/s changed from 0.3-0.6 to 0.4-0.8 between August and December, 2015. It is hypothesized that this is due to changes in mass, related to e.g. water content or leaf fall.

3.3 Effect of dry months

To further explore the changes in the relation between αC_d and wind, this relation was fit for each week of available data. The most important parameter is the damping coefficient β (see eq. 9). Fig. 5 presents the weekly values for the normalized damping coefficient β between August (DOY 220) and December (365), 2015. Recall that for the normalization, time series of β are normalized by the average value of β for each individual tree. Water-related stem variation measurements were also available, and are also shown in Fig. 5. As most trees showed similar temporal behavior, the figure presents the average water-related stem variation based on all trees, including the minimum and maximum of the measured range.

Between DOY 230 and 280 β decreased while water-related stem variations increased. Although water-related stem variation decreased steeply between DOY 280 and 285, β continued decreasing until around DOY 300. Water deficit remained relatively stable between DOY 285 and 340, after which a steep increase was observed between DOY 340 and 360. β recovered between DOY 300 and 340, and decreased again between DOY 340 and 360. Changes in β are hypothesized to be caused

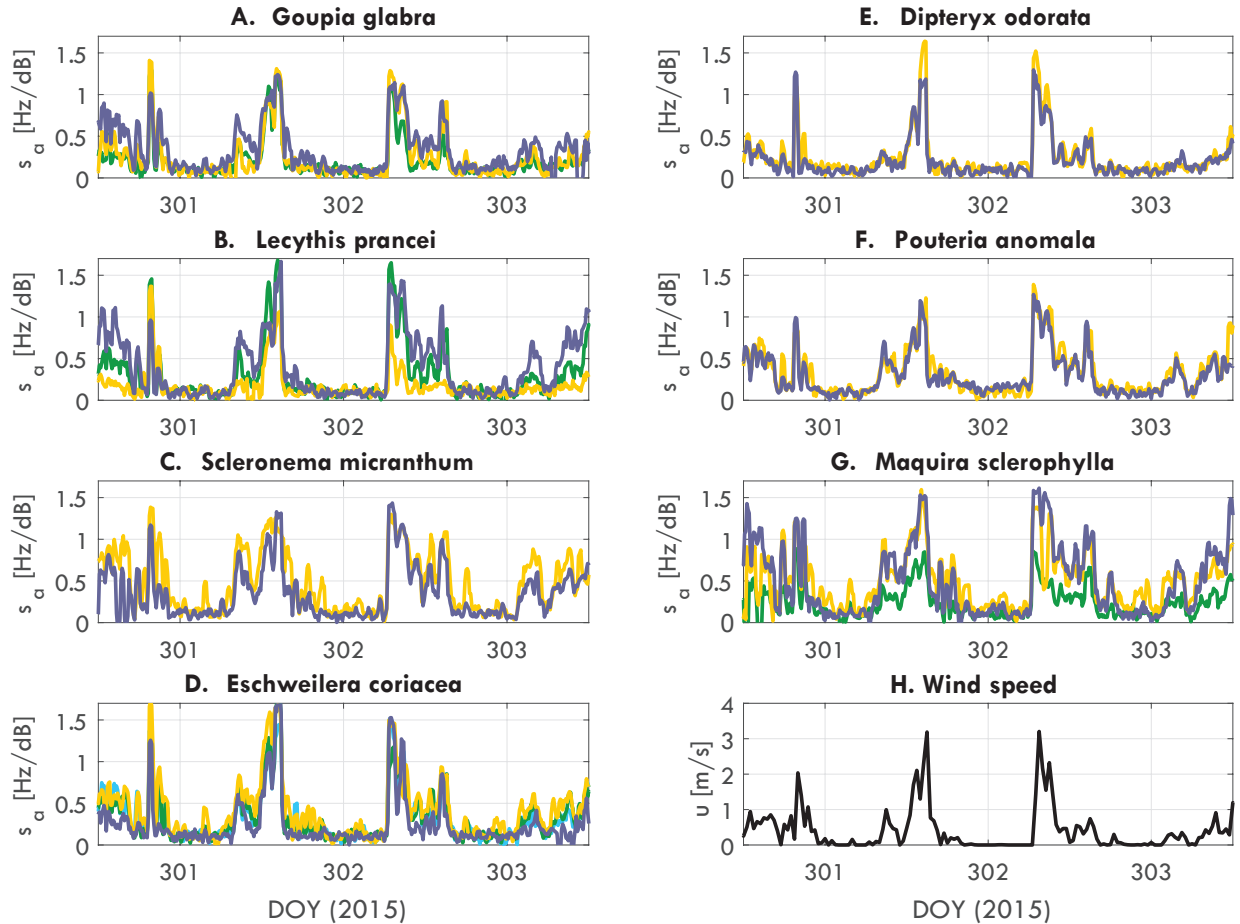


Figure 3. Tree acceleration spectra slope s_a [Hz/dB] over time for DOY 301 to 306 (2015), for A. *Goupia glabra*, B. *Lecythis prancei*, C. *Scleronema micranthum*, D. *Eschweilera coriacea*, E. *Dipteryx odorata*, F. *Pouteria anomala*, G. *Maquira sclerophylla*, and H. wind. Each line in A-G. represents an individual tree.

by changes in mass related to water content, or leaf fall. The increase in water-related stem variation supports this hypothesis, as a decreasing/increasing β coincided with inverse changes in water-related stem variation.

Fig. 6 presents the relation between β , water-related stem variation and DOY for four trees. Here, it can be seen that there is a clear relation between increasing water-related stem variation and decreasing β . When water-related stem variation increases, β drops significantly (DOY 220 to 250). For higher water-related stem variation (DOY 250 to 300), β decreases more gradually.

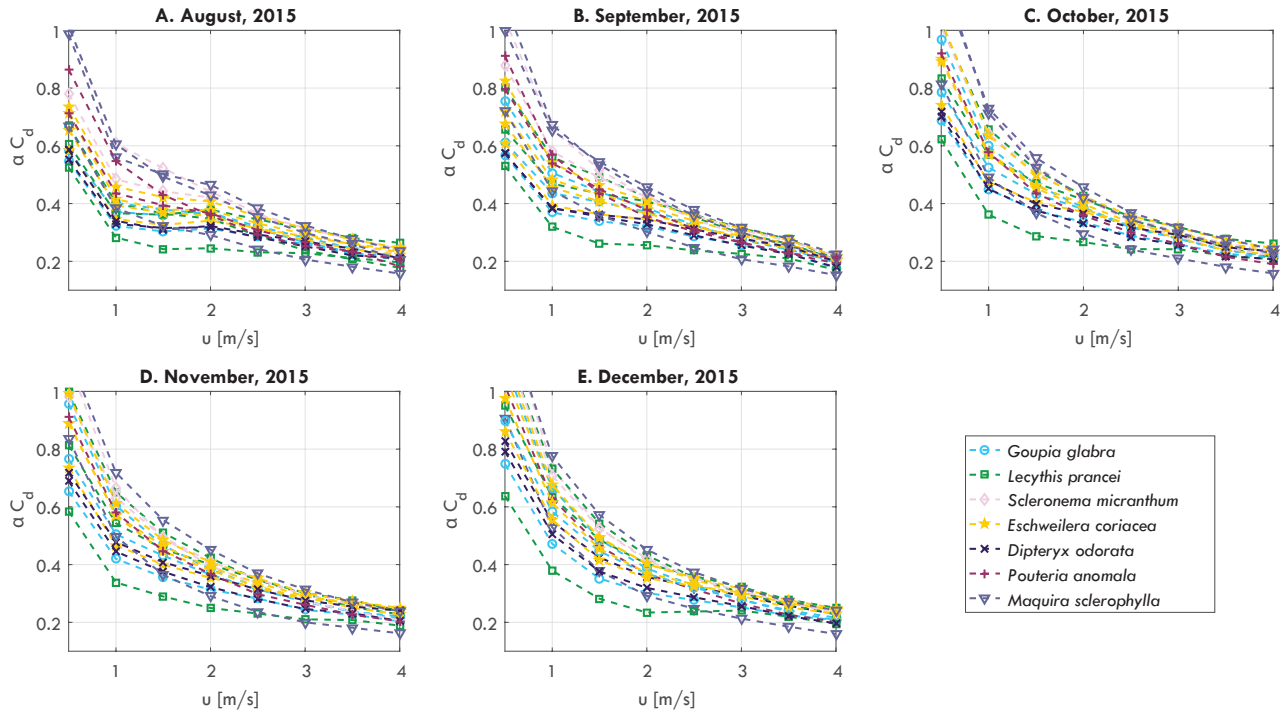


Figure 4. Monthly averaged tree-atmosphere momentum transfer αC_d per individual tree as a function of wind speed u [m/s] for A. August, 2015, B. September, 2015, C. October, 2015, D. November, 2015, and E. December, 2015.

For the recovery (DOY 300 to 340), when water-related stem variation decreases again, the relation between β and water-related stem variation is different. Here, β only increases gradually again for decreases water-related stem variation. For the increase in water-related stem variation between DOY 340 and 360, a drop in β can be seen for the *Goupia*, *Scleronema*, and *Eschweilera*. Note that water-related stem variation is not a measure of water content. When water-related stem variation decreases again after DOY 300, tree water content or biomass do not necessarily increase. Therefore, it can be expected that β does not directly increase either, resulting in the observed hysteresis pattern.

To explore what might explain the variation in β , Fig. 6E-H. present the average value of β (for August to December, 2015) in relation to the estimated physical tree properties. As expected, the momentum transfer between tree and atmosphere is the sum of various different factors. It can clearly be seen that for increased wood density the average β is higher ($R^2 = 0.35$), which can be explained by the coinciding increase in tree stiffness. We also see that there is a relation between aboveground biomass and β for all trees, and for each tree species separately. Higher tree biomass results in higher β ($R^2 = 0.57$). This

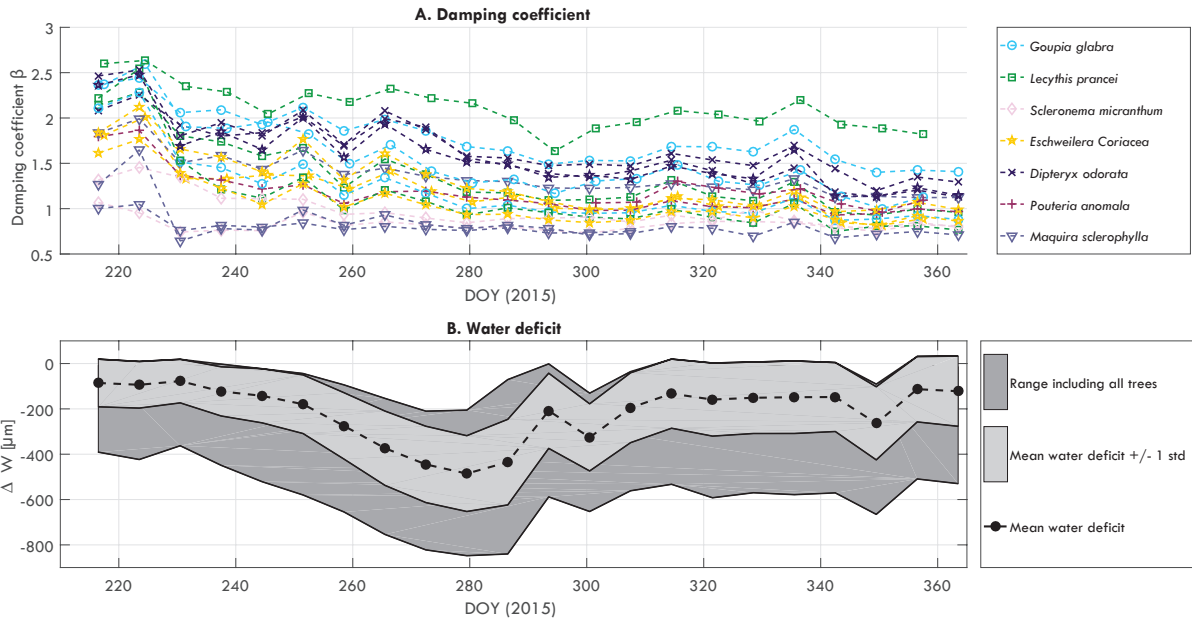


Figure 5. A. Normalized damping coefficient β for each individual tree, and B. mean, mean ± 1 standard deviation, minimum and maximum water-related stem variation ΔW , for every week from DOY 218 (August) to 365 (December), 2015.

suggests that changes in β could be explained by mass variations, caused by either leaf flush and fall, or water content related biomass changes.

The observations in Fig. 5 and 6A-D suggest a relationship between β and tree biomass. Mean β and biomass show a clear relation (Fig. 6H), suggesting that temporal changes in β are related to temporal changes in tree mass. During periods of increased water-related stem variation during the dry months, β decreases for each tree, providing additional observational support for this hypothesis. The increased water-related stem variation suggests that this is due to water content related mass changes in the vegetation, such as decreasing tree water content and increased leaf fall during dry months. The relation between aboveground biomass and β supports this hypothesis, as the observed decrease in β might be explained by decreasing tree mass during the dry months.

10 3.4 Synthesis

The results presented in this paper show that the degree of tree-atmosphere momentum transfer varies considerably between species. Previous work has shown this for some species using wind tunnel experiments. This study uses *in situ* measurements to demonstrate the variation in tree-atmosphere momentum transfer in the field. Besides variation in space, significant temporal variation in tree-atmosphere momentum transfer was found. To our knowledge, this is the first time that this has been measured under field conditions.

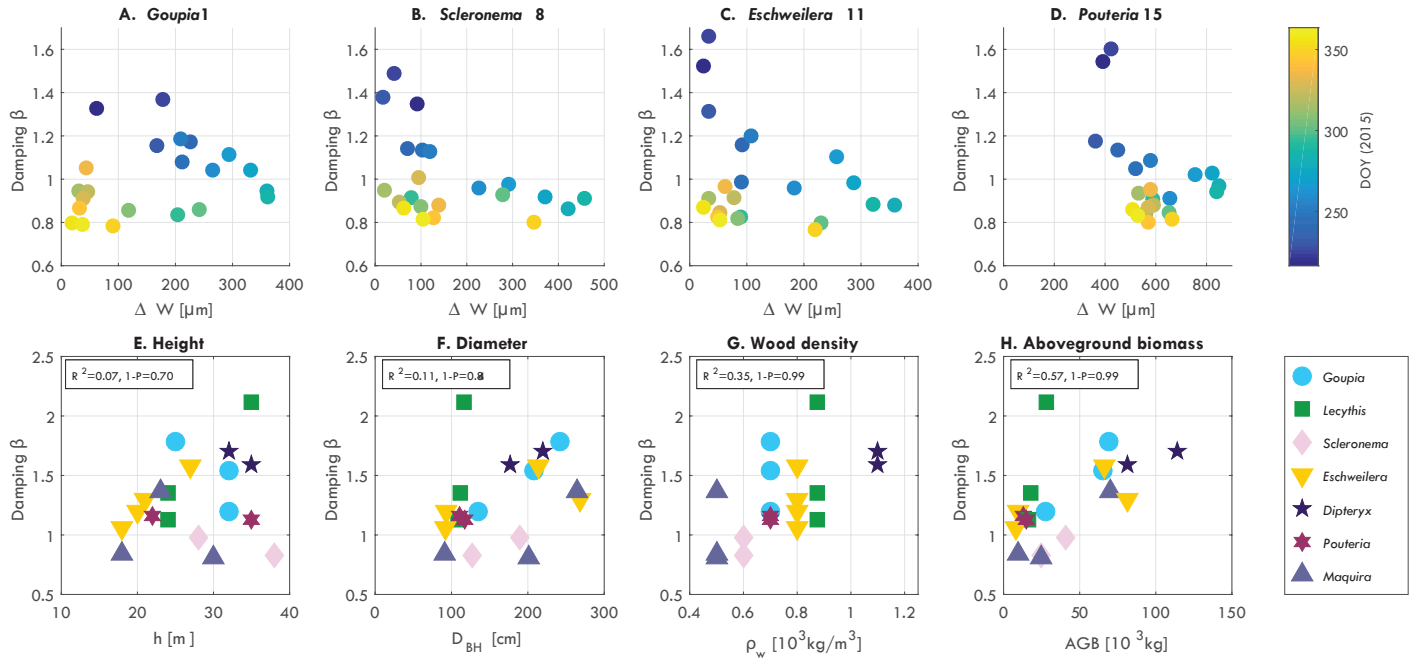


Figure 6. Damping coefficient β (for fitted function αC_d vs. u) for a single A. *Goupia*, B. *Scleronema*, C. *Eschweilera*, and D. *Pouteria* tree, versus water-related stem variation ΔW , colored by day of year (DOY, 2015), and average damping coefficient β in relation to E. tree height h , F. diameter at breast height D_{BH} , G. wood density, and F. Aboveground biomass (AGB), for each measured tree.

Changes in tree-atmosphere momentum transfer seem to be related to changes in tree mass. For the period with increased measured water-related stem variation, β decreased. We hypothesize that this is a direct effect of increased tree water deficit. There are two mechanisms that might explain the changes in β . First, tree mass might change through changes in tree water content. When tree water deficit is increasing, insufficient water is available to refill the storage, and tree water content decreases. In future work we recommend measurements of leaf water potential or tree water content to assess the influence of tree mass change on tree-atmosphere interaction in more detail. Second, changes in β might also be caused by leaf fall, which for some trees might also affect the total mass significantly (Lopes et al., 2016). Leaf fall can be a direct consequence of tree water deficit (Reich and Borchert, 1984), but is not always driven by water deficit. Peak rates of leaf fall almost always occur during the dry months in tropical forests (Wright and Cornejo, 1990). This might explain the quick response to increased water-related stem variation. When water-related stem variation is low again, one might expect a recovery in β as well. However, if β is mainly changed due to leaf fall, the recovery might be delayed significantly. Leaf expansion might occur only a few weeks during the early wet months (Reich and Borchert, 1984), growth of new leaves only occurs as long as soil moisture is plentiful (Bordiert, 1994). Absence of these conditions could explain the slow response in β . For this reason a hysteresis pattern is

observed for damping and water-related stem variation in Fig. 6. Previous work on the K34 site showed that the highest annual litterfall occurs between August and October (Wu et al., 2016), coinciding with the period of decreased β in this study. For increased water-related stem variation it is likely that tree water content and biomass decreased. However, during periods of low water-related stem variation trees are not restored, and it takes time before tree water content and biomass increase again.

5 Therefore β does also not directly increase. Additional data such as leaf water potential or eddy covariance data will help attributing the change in tree-atmosphere momentum transfer to changes in tree water content, leaf fall, or other mechanisms not yet considered.

An alternative explanation of the changing tree-atmosphere momentum transfer might be the changing elasticity of the tree. A weak relation was found between β and wood density, which can be considered a proxy for tree elasticity. Recent work
10 found a relation between moisture content and elasticity of trees (Mvondo et al., 2017). Although this might be not directly mass related, this would still imply a relation between tree-atmosphere momentum transfer and the tree water status. Also this hypothesis points towards a measureable effect of the transition from wet to dry months on tree-atmosphere momentum transfer.

Variation between species are combination of multiple factors. From Fig. 6 it is clear that different wood density and AGB
15 can be related to different β . Additional factors influencing the variation between species include tree architecture, leaf type, wind speed within the canopy and stem elasticity.

The impact of water-related stem variation on tree-atmosphere is a significant finding, as this shows that tree-atmosphere momentum transfer is also affected during the dry months, in addition to the general spatiotemporal variation. This sheds a new light on momentum transfer from the atmosphere to the tree. Previous studies on tree-atmosphere momentum transfer used the
20 drag coefficient C_d as a measure for tree-atmosphere momentum transfer. It was found that this varies with wind speed, and per tree species. So far, this has not been done on trees in forests. Also, no studies have investigated the effect of water-related stem variation on tree drag coefficient, or any other measure of tree-atmosphere momentum transfer. Additional high resolution wind measurements would allow further, more detailed, exploration of tree-atmosphere interaction. For example, the conceptual α parameter can be quantified using more detailed wind measurements, yielding a more exact and physically based expression of
25 tree-atmosphere interaction. We found that αC_d varies across different time scales. Furthermore, this would allow investigating whether wind patterns within the canopy have changed over time, which might also explain the variation in tree-atmosphere momentum transfer. We recommend further research to study the factors that dominate the variations at different time scales, which may be important for modeling purposes focusing on atmospheric processes on different time scales.

With this paper we aim to show that assuming a constant drag coefficient is unrealistic, and potentially introduces a large
30 source of error. For example, several large-scale land-surface models approaches represent the canopy layer, and its interaction with the atmospheric boundary layer, through static parameters. The observations presented in this paper show that future efforts should consider using more dynamic representation and parameterization to reduce errors. Additional measurements of turbulent kinetic energy, (high frequency) wind speed at specific trees, leaf water potential and leaf area will give more insights in the dynamics driving changes in tree-atmosphere interaction, and will allow for expressing tree-atmosphere interaction in
35 terms of actual drag coefficient.

This paper demonstrates that the variation in tree-atmosphere momentum transfer can change considerably during the shift from the wet to the dry months. This has important implications for the water and carbon balance, as these depend strongly on the momentum transfer from atmosphere to the canopy. We emphasize that this paper presents experimental work. Experimental work is imperative to gain a better understanding of governing processes (van Emmerik et al., 2018a), in this case regarding tree-atmosphere interactions. However, additional measurements of the input wind spectra, and its variation over time and space, is crucial for further exploration of the relation between wind, tree sway, and momentum transfer. Combining the current data with plant physiological measurements will allow further testing of the hypothesis that the temporal changes in tree-atmosphere momentum transfer are related to water deficit induced tree mass changes.

4 Conclusions

Tree sway measurements were used to estimate tree-atmosphere momentum transfer for 19 trees during a transition from the wet to the dry months in the Brazilian Amazon. It was found that tree-atmosphere momentum transfer varies considerably between individuals and between species.

Tree-atmosphere momentum transfer, and its relation with wind speed also changes over time. Especially during the transition from the wet to the dry months, a clear change in tree-atmosphere momentum transfer was measured for all trees. The change in tree-atmosphere momentum transfer coincided with increasing water-related stem variation.

A positive relation was found between estimated aboveground tree biomass, and average tree-atmosphere momentum transfer. This suggests that the variation in accelerometer-derived measure of tree-atmosphere momentum transfer is caused by changes in tree mass, most likely caused by water tree deficit induced changes in water content or leaf fall.

Our experimental work provides new insights in variation in tree-atmosphere momentum transfer in time and space, and its response to increased water-related stem variation. We aim to show that using static parameterization of vegetation in for example land-atmosphere or climate models might introduce a source of error. Future work should focus on attributing changes in tree-atmosphere interactions to changes in tree water content, leaf fall and flush, and other mechanisms.

Data availability. Dendrometer data may be obtained from Fernanda de V. Barros (email: nandavascon@gmail.com). Accelerometer data may be obtained from Tim van Emmerik (email: t.h.m.vanemmerik@tudelft.nl) or can be downloaded through here [10.4121/uuid:c9974180-aa9b-40b4-8d](https://doi.org/10.4121/uuid:c9974180-aa9b-40b4-8d)

Author contributions. TvE, SSD, PG, NvdG designed the study, TvE, RSO, PB, FB conducted the fieldwork, TvE performed the initial data analysis and write the first manuscript, all authors contributed to data interpretation and writing of the manuscript.

Competing interests. The authors declare no competing interests are present.

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