

Thank you for your submission to Biogeosciences. Your revised MS has received reviews from two expert reviewers who are highly qualified in this field. They felt that this study is interesting and potentially relevant to pika conservation. However, both reviewers had numerous substantive criticisms regarding the clarity and organization of the methods and results presentation and discussion.

Based on the authors' responses to the reviews in the MS discussion, it sounds as if the proposed revisions have good potential to address the concerns raised. Thus, I will be happy to consider a revised submission that substantively addresses the reviewer comments as described in the Authors' response to reviews.

Please be sure that the clarifications provided in the response to reviewers are also incorporated into the manuscript text. Make sure you clearly describe how the text was revised according to the reviewer comments when submitting your revised manuscript. Thank you for your efforts, and for your support of Biogeosciences.

We would like to thank you and the reviewers for valuable comments and suggestions. We have made point-by-point responses and revised the manuscript accordingly. The modified text with red mark is attached at the end of this response.

### **Reviewer 1**

General comments: The plateau pika (*Ochotona curzoniae*) is one of the main native soil faunas on the Qinghai-Tibet Plateau and plays a key role in the terrestrial ecosystem there. Previous studies have mainly focused on its active habits and the influence of population density on soil properties, plant communities, and so on. On contrast, the present study aims to study the effect of plateau pika disturbance and patchiness on ecosystem carbon emission at the plot scale (i.e. large bald patch, medium bald patch, small bald patch, intact grassland, above pika tunnel and pika pile). The results are critical for ecological restoration and environmental change on the Qinghai-Tibetan Plateau.

Our reply: We appreciate your positive comments. We have accepted all of your suggestions and explained how we had revised the manuscript point by point.

Specific comments:

(1) Introduction: This section has not clarified clearly why we should study the effect of plateau pika disturbance and patchiness on ecosystem carbon emission at the plot scale, but not at other scales? What are the exact differences between this study and so many previous studies?

Our reply: Thank you for your careful review.

We cited our previous study (Yi et al., 2016) to demonstrate the importance of study the effects of plateau pika disturbance and patchiness at plot scale.

Typically, most of the previous studies compared carbon fluxes under intact vegetation at plots with different number of pika burrows. However, ecosystem carbon emissions from the heterogeneous land surface induced by pika piles and patchiness have yet to be quantified. These are the exact differences between this study and so many previous studies. We have revised this section as follow (Line 86-90).

“Previous studies have demonstrated that pikas disturbance and patchiness weaken the function of alpine meadow as a carbon sink (Liu et al., 13; Peng et al., 2015; Qin et al., 2018) and accelerated ecosystem carbon emission rate (Qin et al., 2015a). Nevertheless, most of these studies have mainly focused on ecosystem carbon emission rate under the homogeneous land surface rather than heterogeneous land surfaces.”

Yi, S., Chen, J., Qin, Y., Xu, G.: The burying and grazing effects of plateau pika on alpine grassland are small: a pilot study in a semiarid basin on the Qinghai-Tibet Plateau, *Biogeosciences*, 13(22), 6273-6284, 2016.

(2) Materials and methods: Line 114-118: Is there any standard to distinguish the six representative underlying surfaces? Especially how to determine the threshold area for the division of large, medium and small bald patches (i.e. 9 m<sup>2</sup> and 1 m<sup>2</sup>)?

Our reply: Thank you for your careful review. Six representative underlying surfaces were selected according to the previous work in our study site (Yi et al., 2016; Qin et al., 2018). They were distinguished easily in aerial photographs. Large bald patches had less vegetation cover and the smallest side was larger than 3 m. Medium patches also covered by less vegetation cover and the largest side was in a range of 1 to 3 m

and small bald patches were characterized by less vegetation cover and the largest side was less than 1 m. Intact grassland was characterized by high vegetation cover and no large and medium bare land was found. Pika tunnel and pika pile usually co-existed. Pika tunnel is approximately 6 cm in diameter and pika pile is in the front of pika tunnel, 60 cm in diameter and less vegetation cover.

We calculated the threshold area of large, medium and small patches by aerial photograph. Each aerial photograph has 12 million pixels. At a height of 20 m, the resolution of each pixel is ~1 cm and each photograph covers ~26 m × 35 m of ground. Pixels in each aerial image were first classified into two groups, i.e. vegetated or bare patches (Yi, 2016). Then patches with different sizes were created using OpenCv Library. And finally, fractions of vegetation and bare patches (large, medium and small patches) were calculated. We revised this part as follow (Line 114-132).

“At early June 2016, three 100 m × 100 m plots were established as replicates. In each plot, six representative land surfaces were selected: (1) large bald patch with size larger than 9.0 m<sup>2</sup> (LP), (2) medium bald patch with size of 1.0-9.0 m<sup>2</sup> (MP), (3) small bald patch with size of less than 1.0 m<sup>2</sup> (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT), (6) old pika pile (PP) (Figure 1) (Yi et al., 2016; Qin et al., 2018). They were distinguished easily in aerial photographs. Large bald patches had less vegetation cover and the smallest side was larger than 3 m. Medium patches also covered by less vegetation cover and the largest side was in a range of 1 to 3 m and small bald patches were characterized by less vegetation cover and the largest side was less than 1 m. Intact grassland was characterized by high vegetation cover and no large and medium bare land was found. Pika tunnel and pika pile usually co-existed. Pika tunnel is approximately 6 cm in diameter and pika pile is in the front of pika tunnel, 60 cm in diameter and less vegetation cover. We calculated the threshold area of large, medium and small patches by aerial photograph. Each aerial photograph has 12 million pixels. At a height of 20 m, the resolution of each pixel is ~1 cm and each photograph covers ~26 m × 35 m of ground. Pixels in each aerial image were first classified into two groups, i.e. vegetated or bare patches (Yi, 2016). Then patches

with different sizes were created using OpenCv Library. And finally, fractions of vegetation and bare patches (large, medium and small patches) were calculated.”

Yi, S.H., 2016. FragMAP: a tool for long-term and cooperative monitoring and analysis of small-scale habitat fragmentation using an unmanned aerial vehicle. *Int. J. Remote Sens.* 1-12. <http://dx.doi.org/10.1080/01431161.2016.1253898>.

Yi, S., Chen, J., Qin, Y., Xu, G.: The burying and grazing effects of plateau pika on alpine grassland are small: a pilot study in a semiarid basin on the Qinghai-Tibet Plateau, *Biogeosciences*, 13(22), 6273-6284, 2016.

Qin, Y., Yi, S., Ding, Y., Xu, G., Chen, J., Wang, Z.: Effects of small-scale patchiness of alpine grassland on ecosystem carbon and nitrogen accumulation and estimation in northeastern qinghai-tibetan plateau, *Geoderma*, 318, 52-63, 2018.

(3) Line 124-136: Were the soil temperature and moisture measured at all three 100 m × 100 m plots or only one 100 m × 100 m plots?

Our reply: Thank you for your question. Soil temperature and moisture were measured in one 100 m × 100 m plot where ecosystem respiration was measured. Both soil temperature and moisture were measured with three replicates under each underlying surface type. We revised this part to eliminate the confusion (Line 138-141).

“Soil temperature and moisture at 10 cm were measured in a 100 m × 100 m plot where ecosystem respiration was measured by using an auto-measurement system (Decagon Inc., USA) from early June to the late August. The system consisted of an EM50 logger and five 5TM sensors. The data were logged automatically every 30 min. Both soil temperature and moisture were measured with three replicates” .

(4) Were the soil saturated hydraulic conductivity, soil hardness and ecosystem respiration rates measured for only one time or many times during the study periods?

These key questions should be clarified.

Our reply: Thanks for your suggestion. Soil saturated hydraulic conductivity and soil hardness under each surface type were measured one time every month from June to August. Ecosystem respiration was measured every 7-10 days from June 16 to August

20 depending on weather conditions. We therefore revised this part as follow (Line 138-169).

“Soil temperature and moisture at 10 cm were measured in a 100 m × 100 m plot where ecosystem respiration was measured by using an auto-measurement system (Decagon Inc., USA) from early June to the late August. The system consisted of an EM50 logger and five 5TM sensors. The data were logged automatically every 30 min. Both soil temperature and moisture were measured with three replicates. Soil saturated hydraulic conductivity and compactness were measured once each month from June to August. Soil saturated hydraulic conductivity was measured by Dual Head infiltrometer (Decagon Inc., USA). The measurement process included 15 min soak time, 20 min hold time at low pressure head (5 cm) and high pressure head (15 cm) with 2 cycles. Each measurement takes 95 min altogether. Soil compactness was measured with TJSJ-750 (Hangzhou Top Instrument co., LTD, Hangzhou, China) from the soil surface to 10 cm depth. Ecosystem respiration rates were measured using the LICOR-8150 Automated Soil CO<sub>2</sub> Flux System, which was an accessory for the LI-8100A with at most 8 individual chambers at one time. Ecosystem CO<sub>2</sub> emission was sampled and controlled by the LI-8100A Analyzer Control Unit. The air temperature inside of the chamber was measured using the internal thermistor of the chamber. The ecosystem CO<sub>2</sub> fluxes were calculated by the equation as follow.

$$F_c = \frac{10VP_0 \left(1 - \frac{W_0}{1000}\right) \frac{\partial C'}{\partial t}}{RS(T_0 + 273.15)}$$

where  $F_c$  is the soil CO<sub>2</sub> efflux rate ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ),  $V$  is volume ( $\text{cm}^3$ ),  $P_0$  is the initial pressure (kPa),  $W_0$  is the initial water vapor mole fraction ( $\text{mmol mol}^{-1}$ ),  $S$  is soil surface area ( $\text{cm}^2$ ),  $T_0$  is initial air temperature ( $^{\circ}\text{C}$ ), and  $\partial C'/\partial t$  is the initial rate of change in water-corrected CO<sub>2</sub> mole fraction ( $\mu\text{mol}^{-1} \text{mol s}^{-1}$ ).

Six LICOR-8100-104 long-term opaque chambers (20cm in diameter LICOR, Inc., Lincoln, NE, USA) were used to measure alternately between three replicates for six land surface types. Therefore, 3 days at least were required to complete one rotation measurements of ecosystem respiration. To measure ecosystem respiration,

eighteen polyvinyl chloride collars with a 20 cm inner diameter and a 12 cm height were inserted into the soil with 3-4 cm exposed to the air (Qin et al., 2013). All of the collars were installed at least 24 h before the first measurement to reduce disturbance-induced ecosystem CO<sub>2</sub> effluxes. Ecosystem respiration rates were measured every 7-10 days from June 16 to August 20 in 2016 depending on weather conditions. A round-the-clock measurement protocol was carried out and ecosystem respiration rates were measured every 30 minutes. Each measurement takes 1 minute and 45 seconds, including pre-purge 10 seconds, dead band 15 seconds, observation length 1 minute and post-purge 20 seconds.”

(5) Line 138-141: How depth was the pika tunnel? Did this depth limit the collection of soil core to 40 cm?

Our reply: Thanks for your question. We investigated pika tunnel by digging soil pole and the depth of pika tunnel was about 40cm. Therefore, it wasn't difficulty to collect soil core at depth of 40cm. We have revised this part as follow (Line 171-178).

“Soil samples were collected during the periods of late July to early August 2016. In each surface type of each plot, five soil cores were collected using a stainless-steel auger (5 cm in diameter) at depths of 0-10, 10-20, 20-30 and 30-40 cm, and bulked as one composite sample for each depth in each quadrat. Another five soil cores were sampled by cylindrical cutting ring (7 cm in diameter and 5.2 cm in depth) to determine soil bulk density from each land surface type. Pika tunnel was approximate 6 cm in diameter and 40 cm in depth. Therefore, soil samples were available to collect at depth of 40cm. Totally, 512 soil samples were collected.”

(6) Discussion: Line 216-217: “Nevertheless, the increased water infiltration was unable to increase soil moisture under pika pile.” Why? The potential reasons should be discussed.

Our reply: Thanks for your suggestion. We discussed the reason why the increased water infiltration was unable to increase soil moisture under pika pile as follow (Line 277-285).

“Nevertheless, the increased water infiltration was unable to increase soil moisture under pika piles. For example, soil moisture under pika piles was approximate 5 %

lower than intact grassland (Figure 4). Our result was discrepant with previous studies which reported old pika mound had the highest soil moisture during the summer (Ma et al., 2018) and moderate pika burrowing activities increased surface soil moisture (Li and Zhang, 2006). This difference may be contributed to the high pika density in alpine meadow (Guo et al, 2017). Moreover, pika piles were loose (Figure 6) with less vegetation cover (Figure 8), which was not beneficial for soil moisture storage.”

(7) Line 227-229: The explanation for the low soil moisture under bald patches was not convincing, because the vegetation transpiration at intact grassland may be higher than the corresponding soil evaporation under bald patches at the same periods.

Our reply: Thanks for your comment. In fact, we have measured evaporation under different surfaces of the intact grassland, isolate grassland, large patches, medium patches and small patches since the early June 2016. It is difficult to measure evaporation from pika tunnel and pika pile due to their small sizes. Therefore, these data were not presented in this manuscript. We found that the evaporation under bald patches were higher than the intact grassland in our study sites through three years observation. We have revised this part as follow (Line 302-311).

“Our results showed that soil moisture under large and medium patches decreased 10 % than intact grassland (Figure 4). Previous studies had reported that the soil compaction of bald patches decreased the rate of water infiltration (Wuest et al., 2006; Wilson and Smith, 2015), which was similar with our results showed that bald patches had less saturated soil hydraulic conductivity (Figure 5). Low vegetation cover under bald patches was not beneficial for water retention and utilization, where most of soil water was mainly lost as a way of evaporation (Yi et al., 2014). We have measured evaporation of the intact grassland, isolate grassland, large patches, medium patches and small patches since the early June 2016. Three years results indicated that evaporation under bald patches were higher than the intact grassland (data were not shown here).”

(8) Line 230-233: More details about the reason for the different soil temperature patterns should be added.

Our reply: Thank you for your suggestion. We have added more detailed information about the difference of soil temperature between intact grassland and pika pile and bald patches. This part has been revised as follow (Line 315-323).

“Our results indicated that soil temperature under pika piles and bald patches was approximate 1 to 3 °C higher than intact grassland (Figure 4), which mainly resulted from the heterogeneity of surface albedo, surface soil water retention, heat conduction properties and radiation (Beringer et al., 2005; Pielke, 2005; Yi et al., 2013; You et al., 2017). It was suggested that pikas disturbance create a better soil temperature buffer for them to avoid the extreme cold in winter (Ma et al., 2018), whereas high soil temperature under bald patch was a disadvantage for the recovery of vegetation because patch surface had the smallest soil moisture content (Figure 4) and the largest daily range of soil temperature (Ma et al., 2018).”

(9) Line 234-235: What is the reason for the description of “high soil temperature under bald patch was a disadvantage for the recovery of vegetation”?

Our reply: Thank you for your question. Our study site belongs to semi-arid region, where water was one of dominant limit factors for vegetation growth. Patch surface had the smallest soil moisture content and the largest daily range of soil temperature, which was not beneficial for soil water retention. We have changed this part as follow (Line 319-323).

“It was suggested that pikas disturbance create a better soil temperature buffer for them to avoid the extreme cold in winter (Ma et al., 2018), whereas high soil temperature under bald patch was a disadvantage for the recovery of vegetation because patch surface had the smallest soil moisture content (Figure 4) and the largest daily range of soil temperature (Ma et al., 2018).”

Ma, Y.J., Wu, Y.N., Liu, W.L., Li, X.Y., Lin, H.S.: Microclimate response of soil to plateau pika's disturbance in the northeast qinghai-tibet plateau, *European Journal of Soil Science*, 69(2), 232-244, 2018.

Technical corrections:

(1) Line 33: Delete “under”.



Our reply: Thank you for your suggestion. We have deleted “under” according to your suggestion.

(2) Line 88-90: This sentence is not exact, because lots of previous researches have studied the heterogeneous underground vegetation and belowground soil properties.

Our reply: Thank you for your suggestion. We totally agree with your comment that lots of previous researches have studied the heterogeneous underground vegetation and belowground soil properties. However, few studies have investigated the difference of ecosystem respiration under the heterogeneous underlying surface. Therefore, we have changed this sentence to “Nevertheless, most of these studies have mainly focused on ecosystem carbon emission rate under the homogeneous land surface rather than heterogeneous land surfaces.” (Line 88-90)

(3) Line 188-189: This sentence has the same mean with the sentence in line 185-186.

Our reply: Thank you for your suggestion. We have deleted this sentence according to your suggestion.

(4) Line 197-198: According to the description in line 172, the growing season in the study is from May to September. Please add the data about ecosystem respiration in May and September.

Our reply: Thank you for your suggestion. Actually, our field observation started at the early June and finished at the late August in 2016. It's pity we can't add the data of ecosystem respiration in May and September.

(5) Line 214: Change “Figure 3” to “Figure 4”.

Our reply: Thank you for your suggestion. We have changed “Figure 3” to “Figure 4” according to your suggestion.

(6) Line 311: Some references cited in the text were not listed in the “Reference” section.

Our reply: Thank you for your suggestion. The references have been checked carefully through manuscript according to your suggestion. And now all the references cited in the manuscript are also included in the “Reference” section.

(7) Line 518-520: Six small photos below the aerial photo are not clear. Moreover, add “MP” after “2”.

Our reply: Thank you for your suggestion. We have redrawn Figure 1 according to your suggestion. We also add “MP” after “2”. We believe that the photos are clear now.

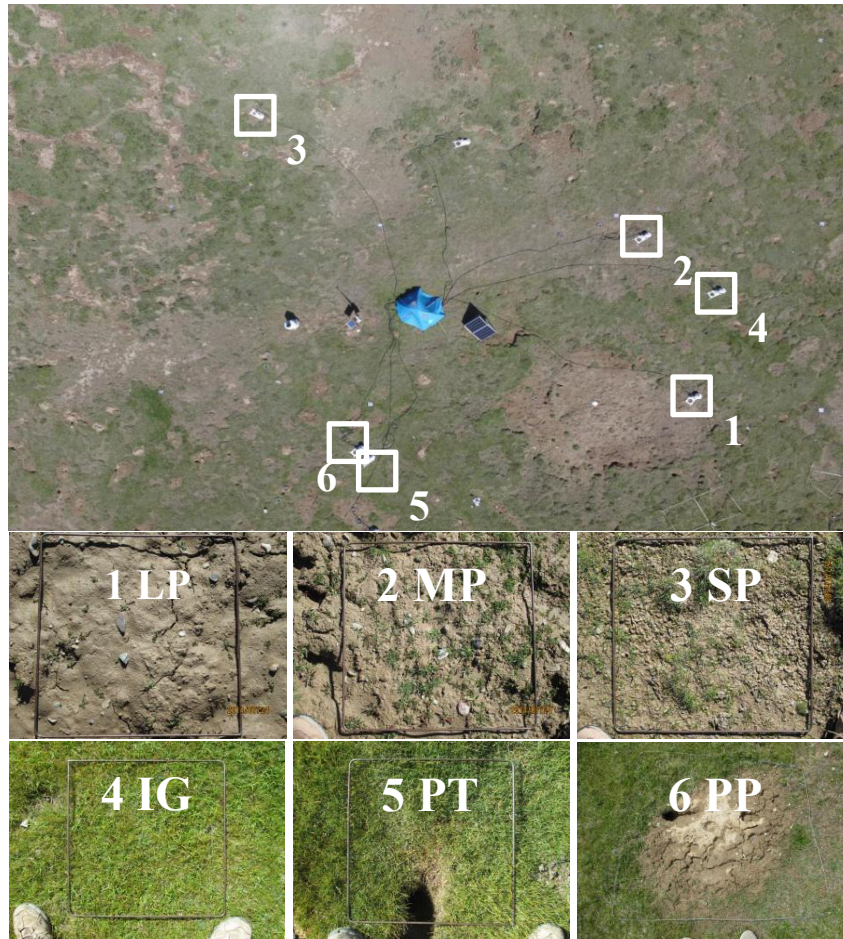


Figure 1. An aerial photo of field observation of ecosystem respiration at six surface types: (1) Large bald patch (LP), (2) Medium bald patch (MP), (3) Small bald patch (SP), (4) Intact grassland patch (IG), (5) above pika tunnel (PT) and (6) old Pika pile (PP).

(8) Line 539: The regression analysis was used to analyze the relationships of ecosystem respiration with biotic and abiotic factors (line 168-169). However, the result in figure 9 was only the correlation coefficient between them.

Our reply: Thank you for your suggestion. We have redrawn Figure 9 according to your suggestion and now it contained both the correlation coefficients and P value in one figure. The title of Figure 9 was changed to “Figure 9. The correlation coefficient

charts between ecosystem respiration (Re) and biotic and abiotic factors for all six land surfaces. The diagonal line in the figure shows the distributions of the variables themselves. The lower triangle (the left bottom of the diagonal) in the figure shows scatter plots of the two properties. The upper triangle (the upper right of the diagonal) in the figure indicates the correlation values of the two parameters; the asterisk indicates the degree of significance (\*\*\*) indicates significant differences at  $P < 0.001$ , \* indicates significant differences at  $P < 0.01$ , \* indicates significant differences at  $P < 0.05$ ). The bold bigger numbers mean the higher correlation.”

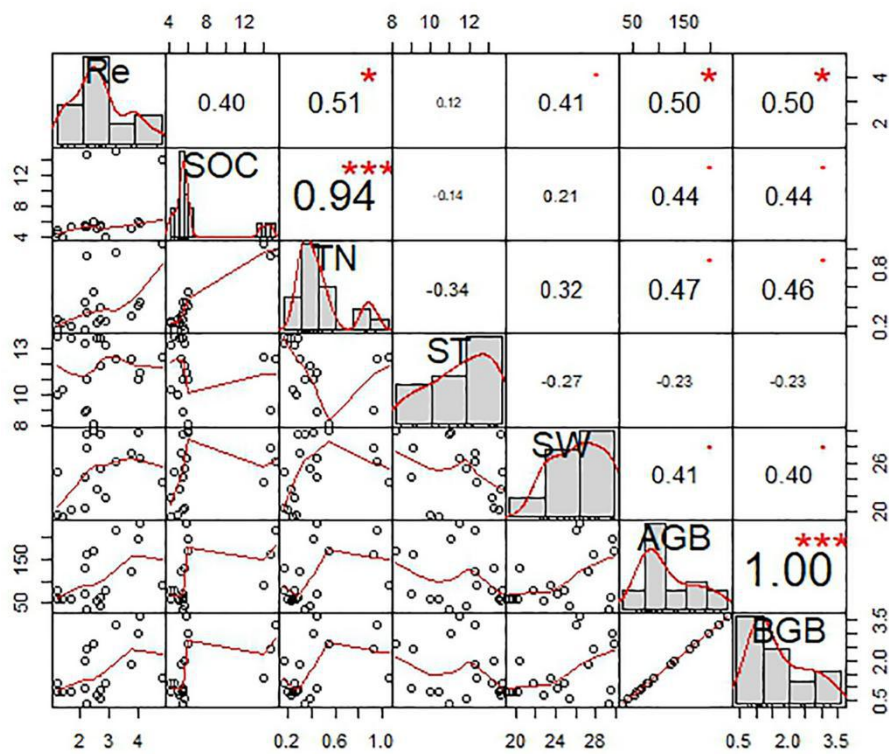


Figure 9. The correlation coefficient charts between ecosystem respiration (Re) and biotic and abiotic factors for all six land surfaces. The diagonal line in the figure shows the distributions of the variables themselves. The lower triangle (the left bottom of the diagonal) in the figure shows scatter plots of the two properties. The upper triangle (the upper right of the diagonal) in the figure indicates the correlation values of the two parameters; the asterisk indicates the degree of significance (\*\*\*) indicates significant differences at  $P < 0.001$ , \* indicates significant differences at  $P < 0.01$ , \* indicates significant differences at  $P < 0.05$ ). The bold bigger numbers mean the higher correlation.

## Reviewer 2

General comments: This study aimed to address the impact of patchiness and pika disturbance on ecosystem respiration at an alpine meadow grassland. The topic is interesting and meaningful and they have presented a good dataset that is sufficient to address the questions they brought up. However, I think the storyline can be better organized and many technical details still need to be added. General comments: 1. According to the title of the article, the whole story should be centered on the ecosystem respiration. Therefore, I suggest the authors to re-organize the storyline by: (1) using the “intact grassland” type as a reference, which is the natural status of the site, and compare other types to IG to indicate the effects of patchiness or pika disturbance. (2) presenting the CO<sub>2</sub> flux first, then environmental conditions and use the differences in soil conditions to explain the flux differences. This applies to abstract, result, order of the figures and discussions. Particularly for discussion, consider separating the sections based on different effects (patchiness and pika disturbance) and explain what factors caused the difference in fluxes compared to the reference type (IG). 2. Method section needs to be expanded with more information on the details. See my comments on each specific line.

Our reply: Thank you for your suggestion. The storyline were re-organized and the whole manuscript has been revised according to your suggestion in the section of abstract, result, order of the figures and discussions.

### **Abstract** (Line 21-41)

“Plateau pikas (*Ochotona curzoniae*) disturbance and patchiness intensify the spatial heterogeneous distribution of vegetation productivity and soil physicochemical properties, which may alter ecosystem carbon emission process. Nevertheless, previous researches have mostly focused on the homogeneous vegetation patches rather than heterogeneous land surface. Thus, this study aims to improve our understanding of the difference in ecosystem respiration (Re) over heterogeneous land surface in an alpine meadow grassland. Six different land surfaces: large bald patch, medium bald patch, small bald patch, intact grassland, above pika tunnel and pika pile were selected to analyze the response of Re to pikas disturbance and patchiness, and

the key controlling factors. The results showed that (1) Re under intact grassland were 0.22-1.07 times higher than pika pile and bald patches; (2) soil moisture (SM) of intact grassland was 2-11% higher than those of pika pile and bald patches despite pikas disturbance increased water infiltration rate, while soil temperature (ST) under intact grassland was 1-3°C less than pika pile and bald patches; (3) Soil organic carbon (SOC) and total nitrogen (TN) under intact grassland were approximate 50 % and 60 % less than above pika tunnel, whereas 10-30 % and 22-110 % higher than pika pile and bald patches; and (4) Re was significantly correlated with SM, TN and vegetation biomass ( $P < 0.05$ ). Our results suggested that pikas disturbance and patchiness altered ecosystem carbon emission pattern, which was mainly attributed to the reduction of soil water and supply of substrates. Given that the wide distribution of pikas and large area of bald patches, the varied Re under heterogeneous land surfaces should not be neglected for estimation of ecosystem carbon emission at plot or region scale.”

## **Results (Line 207-248)**

### **“Ecosystem respiration**

Pikas disturbance and patchiness had significant effect on ecosystem respiration (Table 1,  $P < 0.001$ ). During the growing season, ecosystem respiration has a maximum value in August and minimum value in June (Figure 2). In June, ecosystem respiration under intact grassland, above pika tunnel, small patch and pika pile had no significant difference and the lowest ecosystem respiration was found under large and medium patch (Figure 2). Average ecosystem respiration under intact grassland was  $4.03 \mu\text{mol m}^{-2} \text{s}^{-1}$ , which were 6.90 % to 102.50 % higher than other surface types both in July and August (Figure 2).

### **Microclimate and soil hydrothermal characteristics**

Mean temperature and total rainfall during the growing seasons from 1 May to 30 September in 2016 were  $6.18 \text{ }^{\circ}\text{C}$  and 343.4 mm, respectively (Figure 3). Soil temperature and moisture were significantly different ( $P < 0.001$ ) among various surface types (Table 1). The monthly average soil temperature was in a range of  $8.20\text{-}13.72 \text{ }^{\circ}\text{C}$  during June to August, which was approximate  $1\text{-}3 \text{ }^{\circ}\text{C}$  higher under pika pile and bald

patches than the intact grassland (Figure 4a,  $P < 0.05$ ). The monthly mean soil moisture from June to August was approximate 30 % for intact grassland and above pika tunnel, 25 % for small patch and pika pile, and 20 % for larger and medium patch (Figure 4b). Soil saturated hydraulic conductivity also showed significant variation under different land surface types ( $P = 0.027$ , Table 2). Soil saturated hydraulic conductivity of intact grassland had no significant difference with small patch and above pika tunnel ( $P > 0.05$ ), while it was approximate 40 % higher than medium and large patches and 17 % lower than pika pile (Figure 5).

### **Soil and vegetation properties**

Both pikas disturbance and patchiness significantly affected soil compactness, SOC density, TN density and vegetation biomass (Table 2) ( $P < 0.001$ ). Soil compactness was over 0.30 Pa in intact grassland and above pika tunnel, approximate 0.20 Pa for bald patches and less than 0.10 Pa for pika pile (Figure 6), respectively. Mean SOC and TN density under intact grassland were 52.45 % and 59.14 % less than above pika tunnel, whereas they were 9.69-30.12 % and 22.47-109.62 % higher than pika pile and bald patches (Figure 7). Aboveground and belowground biomass under intact grassland were approximate 30% higher than above pika tunnel, 90% higher than pika pile, 123-252 % and 134-289 % higher than bald patches (Figure 8a, b).

### **Factors regulate ecosystem respiration**

We analyzed the relationships of ecosystem respiration with biotic and abiotic factors for six land surface types (Figure 9). Correlation analysis showed that ecosystem respiration had no significant correlation with soil temperature ( $P > 0.05$ , Figure 9). However, ecosystem respiration was significantly and positively related to soil moisture ( $P < 0.01$ ), soil total nitrogen ( $P < 0.05$ ), aboveground ( $P < 0.05$ ) and belowground biomass ( $P < 0.05$ ) (Figure 9). ”

### **Discussion (Line 249-340)**

#### **“Effect of pikas disturbance on ecosystem respiration**

Pikas burrowing activities increased oxygen content in deep soil, which contributed to the decomposition of soil organic matter (Martin, 2003). The deposition of urine and feces by small herbivorous mammals could also promote ecosystem nutrition

circulation (Clark et al., 2005). It was suggested that excreta deposited by pikas and frequently haunted in or near their burrows supplied organic C available to microbial decomposition with an increase in ecosystem CO<sub>2</sub> emission (Cao et al., 2004). Indeed, SOC and TN densities reached up to 14.54 and 0.98 kg m<sup>-2</sup> in above pika tunnel, which was 2.45 and 2.10 times higher than that of intact grassland (Figure 7), respectively. The consistent results reported that the contents of available soil nutrients around the pikas burrow were higher than those in control sites on an alpine meadow (Zhang et al., 2016). We also found that SOC and TN densities under pika pile decreased 13.35 % and 42.93 % than intact grassland. However, no significant difference of Re was found between intact grassland and above pika tunnel, while Re under pika pile were 42.08 % less than intact grassland (Figure 2). The similar result was also found in an alpine meadow on the QTP (Peng et al., 2015), which indicated that ecosystem respiration decreased with increasing of pika holes because of grassland biomass regulated soil C and N with increasing number of pika holes. These results confirmed that pikas disturbance did not increase ecosystem carbon emission directly, but facilitated CO<sub>2</sub> emission into the atmosphere through pika holes (Qin et al., 2015a). The difference of ecosystem respiration between intact grassland and pika piles was mainly related to changes in vegetation biomass and soil moisture. For example, both aboveground and belowground biomass decreased 244.62 % and 279.89 % under pika piles compared with the intact grassland (Figure 8). The reduction of vegetation biomass production decreased aboveground plant respiration and root respiration by decreasing carbon allocation (e.g., root exudates and litter, and available SOC) (Raich and Potter, 1995; Högberg et al., 2002; Yang et al., 2018). Consistent with previous studies which demonstrated that pikas burrowing activity increased water infiltration rate (Hogan, 2010; Wilson and Smith, 2015), our results also showed that soil saturated hydraulic conductivity in pika pile was significantly higher than bald and vegetation patches (Figure 5). Nevertheless, the increased water infiltration was unable to increase soil moisture under pika piles. For example, soil moisture under pika piles was approximate 5% lower than intact grassland (Figure 4). Our result was discrepant with previous studies which reported old pika mound had

the highest soil moisture during the summer (Ma et al., 2018) and moderate pika burrowing activities increased surface soil moisture (Li and Zhang, 2006). This difference may be contributed to the high pika density in alpine meadow (Guo et al, 2017). Moreover, pika piles were loose (Figure 6) with less vegetation cover (Figure 8), which was not beneficial for soil moisture storage.

### **Effect of patchiness on ecosystem respiration**

Our results clearly showed that patchiness resulted in significant reduction of ecosystem carbon emission. Compared with the intact grassland, ecosystem respiration decreased approximate 17-48 % for bald patches (Figure 2). Two possible mechanisms could account for the effects of patchiness on ecosystem respiration. On one hand, the reduction of SOC and TN decreased microbial respiration by decreasing substrate supply to microbes in the rhizosphere (Nobili et al., 2001; Scott-Denton et al., 2010). Our results indicated that patchiness caused evident loss of SOC and TN (Figure 7) due to reduction in C input from vegetation and increasing in C output from soil erosion (Qin et al., 2018). Previous study has shown that the spatial heterogeneity of soil respiration was attributed to uneven soil organic carbon and total nitrogen content (Xu and Qi, 2010). Soil organic carbon was considered as the basic substrate of CO<sub>2</sub> emission by microbial decomposition (Sikora and Mccoy, 1990) and soil total N enhanced ecosystem CO<sub>2</sub> emission by providing a source of protein for microbial growth (Tewary et al., 1982). On the other hand, low moisture availability would limit microbial respiration by restricting access to C substrates, reducing the diffusion of C substrates and extracellular enzymes, and limiting microbial mobility (Yuste et al., 2003; Wang et al., 2014). Our results showed that soil moisture under large and medium patches decreased 10 % than intact grassland (Figure 4). Previous studies had reported that the soil compaction of bald patches decreased the rate of water infiltration (Wuest et al., 2006; Wilson and Smith, 2015), which was similar with our results that bald patches had less saturated soil hydraulic conductivity (Figure 5). Low vegetation cover under bald patches was not beneficial for water retention and utilization, where most of soil water was mainly lost as a way of evaporation (Yi et al., 2014). We have measured evaporation of the intact grassland,



isolate grassland, large patches, medium patches and small patches since the early June 2016. Three years results indicated that evaporation under bald patches were higher than the intact grassland (data were not shown here).

### **Factors affected ecosystem respiration**

Most previous studies showed that soil temperature explained most of the temporal variation of ecosystem respiration on the alpine grassland on the QTP (Lin et al, 2011; Qin et al., 2015c; Zhang et al., 2017). Our results indicated that soil temperature under pika piles and bald patches was approximate 1 to 3 °C higher than intact grassland (Figure 4), which mainly resulted from the heterogeneity of surface albedo, surface soil water retention, heat conduction properties and radiation (Beringer et al., 2005; Pielke, 2005; Yi et al., 2013; You et al., 2017). It was suggested that pikas disturbance create a better soil temperature buffer for them to avoid the extreme cold in winter (Ma et al., 2018), whereas high soil temperature under bald patch was a disadvantage for the recovery of vegetation because patch surface had the smallest soil moisture content (Figure 4) and the largest daily range of soil temperature (Ma et al., 2018). However, none obvious relationship between  $R_e$  and soil temperature was found in the present study (Figure 9), which suggested that other factors involved in controlling  $R_e$  induced by pikas disturbance and patchiness. Our results showed that  $R_e$  were positively correlated with soil moisture, soil total nitrogen, aboveground and belowground biomass (Figure 9). Pikas disturbance and patchiness led to the drying and loosening of soil (Figure 4 and 6). It was considered that loose, dry surface sediments and strong winds were the primary factors responsible for soil erosion (Dong et al., 2010b) and wind erosion was especially common in arid and semi-arid regions (Zhang and Dong, 2014). This resulted in the reduction of soil organic carbon, total nitrogen and vegetation biomass (Figure 7 and 8). The alteration of these biotic and abiotic factors induced by pikas disturbance and patchiness led to the decline of ecosystem respiration. Nevertheless, the decline of ecosystem respiration did not completely offset the sequestration of C fixed by photosynthesis because of the lower vegetation cover under bald patches and pika piles. Given the large area covered by bald patches in alpine grasslands, patchiness was more susceptible to erosion and

exerts greater influence on ecosystem respiration than pikas disturbance. Recent study has also reported that bald patches of various sizes on the grasslands played a much more important role than pikas direct disturbance in reducing vegetation cover, aboveground biomass, soil carbon and nitrogen (Yi et al., 2016). ”

We also added more information in the section of field observation and soil and vegetation sampling according to your suggestion. (Line 113-192)

### **Field observation**

At early June 2016, three 100 m × 100 m plots were established as replicates. In each plot, six representative land surfaces were selected: (1) large bald patch with size larger than 9.0 m<sup>2</sup> (LP), (2) medium bald patch with size of 1.0-9.0 m<sup>2</sup> (MP), (3) small bald patch with size of less than 1.0 m<sup>2</sup> (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT), (6) old pika pile (PP) (Figure 1) (Yi et al., 2016; Qin et al., 2018). For each surface type, nine 1 m × 1 m quadrats were set up, of which three was used for soil temperature and soil moisture measurement, three for soil saturated hydraulic conductivity measurement and three for soil compactness measurement, soil and vegetation sampling. We also set up three 2 m × 2 m quadrats in each surface type in a 100 m × 100 m plot for measuring ecosystem respiration.

(Insert Figure 1 here)

Soil temperature and moisture at 10 cm were measured in a 100 m × 100 m plot where ecosystem respiration was measured by using an auto-measurement system (Decagon Inc., USA) from early June to the late August. The system consisted of an EM50 logger and five 5TM sensors. The data were logged automatically every 30 min. Soil saturated hydraulic conductivity and compactness were measured one time in each month from June to August. Soil saturated hydraulic conductivity was measured by Dual Head infiltrometer (Decagon Inc., USA). The measurement process included soak time 15 min, hold time 20 min at low pressure head (5 cm) and high pressure head (15 cm) with 2 cycles. Each measurement takes 95 min altogether. Soil compactness was measured with TJSD-750 (Hangzhou Top Instrument co., LTD, Hangzhou, China) from the soil surface to 10 cm depth. Ecosystem respiration rates were measured using the LICOR-8150 Automated Soil CO<sub>2</sub> Flux System, which was

an accessory for the LI-8100A could connect 16 individual chambers at one time and were sampled and controlled by the LI-8100A Analyzer Control Unit. The air temperature inside of the chamber was measured using the internal thermistor of the chamber. The ecosystem CO<sub>2</sub> fluxes were calculated by the equation as follow.

$$F_c = \frac{10VP_0 \left(1 - \frac{W_0}{1000}\right) \partial C'}{RS(T_0 + 273.15) \partial t}$$

where  $F_c$  is the soil CO<sub>2</sub> efflux rate ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ),  $V$  is volume ( $\text{cm}^3$ ),  $P_0$  is the initial pressure (kPa),  $W_0$  is the initial water vapor mole fraction ( $\text{mmol mol}^{-1}$ ),  $S$  is soil surface area ( $\text{cm}^2$ ),  $T_0$  is initial air temperature ( $^{\circ}\text{C}$ ), and  $\partial C'/\partial t$  is the initial rate of change in water-corrected CO<sub>2</sub> mole fraction ( $\mu\text{mol}^{-1} \text{mol s}^{-1}$ ).

Six LICOR-8100-104 long-term opaque chambers (20cm in diameter LICOR, Inc., Lincoln, NE, USA) were used to measure alternately between three replicates for six land surface types. Therefore, 3 days at least were required to complete one rotation measurements of ecosystem respiration. To measure ecosystem respiration, eighteen polyvinyl chloride collars with a 20 cm inner diameter and a 12 cm height were inserted into the soil with 3-4 cm exposed to the air (Qin et al., 2013). All of the collars were installed at least 24 h before the first measurement to reduce disturbance-induced ecosystem CO<sub>2</sub> effluxes. Ecosystem respiration rates were measured every 7-10 days from June 16 to August 20 in 2016 depending on weather conditions. A round-the-clock measurement protocol was carried out and ecosystem respiration rates were measured every 30 minutes. Each measurement takes 1 minute and 45 seconds, including pre-purge 10 seconds, dead band 15 seconds, observation length 1 minute and post-purge 20 seconds.

### **Soil and vegetation sampling**

Soil samples were collected during the periods of late July to early August 2016. In each surface type of each plot, five soil cores were collected using a stainless-steel auger (5 cm in diameter) at depths of 0-10, 10-20, 20-30 and 30-40 cm, and bulked as one composite sample for each depth in each quadrat. Another five soil cores were sampled by cylindrical cutting ring (7 cm in diameter and 5.2 cm in depth) to

determine soil bulk density from each land surface type. Pika tunnel was approximate 6 cm in diameter and 40 cm in depth. Therefore, soil samples were available to collect at depth of 40cm. Totally, 512 soil samples were collected. Soil samples were firstly air-dried, then removed gravel and stone with manual sieving and finally weighed. The remaining soil samples with diameter less than 2 mm were ground to pass through a 0.25 mm sieve for analysis of soil organic carbon (SOC) and soil total nitrogen (TN) concentration. SOC was measured by dichromate oxidation using Walkley-Black acid digestion (Nelson and Sommers, 1982). TN was determined by digestion and then tested using a flow injection analysis system (FIAstar 5000, Foss Inc., Sweden). Aboveground and belowground biomasses were determined within a 1 m × 1 m quadrat on 4 August 2016 during peak biomass and species diversity. There were a total of 108 aboveground and belowground vegetation samples (3 plots × 6 land surface types × 3 replicates) from the study area. Aboveground biomass was determined by clipping all above-ground living plants at ground level, drying (oven-dried at 65°C for 48 h) and weighing. Belowground biomass was sampled by collecting five soil columns, and each soil column was 5 cm in diameter and 40 cm in depth. Soil cores were washed with a gentle spray of water over a fine mesh screen until soil separated from the roots, and then drying (oven-dried at 65°C for 48 h) and weighing.

Specific comments:

(1) L52, other substrates? Such as?

Our reply: Thank you for your question. The substrates affected ecosystem respiration included carbohydrate fixed by leaves, vegetation litter and soil organic matter. We have revised the manuscript as follow. (Line 49-53)

“Dependent on autotrophic (plant) and heterotrophic (microbe) activity, ecosystem respiration is mainly controlled by abiotic factors (primarily temperature and water availability) (Chimner and Welker, 2005; Flanagan and Johnson, 2005; Nakano et al., 2008; Buttler et al., 2018), and supply of carbohydrate fixed by leaves, vegetation litter and soil organic matter (Janssens et al., 2001; Reichstein et al., 2002).”

(2) L57, ecological system? Ecosystem!

Our reply: Thank you for your suggestion. We have changed “ecological system” to “ecosystem” according to your suggestion. (Line 57)

(3) L68, this definition of patchiness need to be referred to earlier in the paragraph.

Our reply: Thank you for your suggestion. The definition of patchiness has been moved to earlier in the paragraph according to your suggestion. We revised this part as follow. (Line 56-77)

“One of the basic function of terrestrial ecosystem is to regulate carbon balance between the atmosphere and ecosystem (Canadell et al., 2007; Le Quéré et al., 2014; Ahlström et al., 2015). However, this balance would be broken by widespread land degradation (Post and Kwon, 2000; Dregne, 2002), which accompanied with the reduction of photosynthetic fixed carbon dioxide from atmosphere and carbon sequestration by soils (Defries et al., 1999; Upadhyay et al., 2005). It was estimated that land degradation had resulted in 19-29 Pg C loss worldwide (Lal, 2001). Over the past decades, grasslands have experienced patchiness throughout the world and this process is still ongoing (Baldi et al., 2006; Wang et al., 2009; Roch and Jaeger, 2014). Patchiness generally refers to a landscape that consists of remnant areas of native vegetation surrounded by a more heterogeneous and patchy situation (Kouki and Löfman, 1998). Other than climate change (Yi et al., 2014), vegetation self-organization (Rietkerk et al., 2004; Venegas et al., 2005; McKey et al., 2010) or anthropogenic disturbances (Kouki and Löfman, 1998; Yi et al., 2016), rodents burrowing activities were also considered as the origin of the patchiness (Wei et al., 2007; Davidson and Lightfoot, 2008). This patchiness intensified spatial heterogeneity of land surface and led to the changing of the structure and function of the original ecosystem (Herkert et al., 2003; Bestelmeyer et al., 2006; Lindenmayer and Fischer, 2013). For instance, there is abundant evidence that patchiness not only intensified the spatial heterogeneous distribution of ecosystem organic carbon (C) and vegetation productivity (Yan et al., 2016; Qin et al., 2018) but also altered the pattern of coupled water and heat cycling between the land surface and the atmosphere (Saunders et al., 1991; You et al., 2017; Ma et al., 2018). Consequently, this may alter ecosystem carbon emission process (Juszczak et al., 2013).”

(4) L89, not clear, others also studied the effect of pika disturbance and patchiness, which are what you meant as “heterogeneity” to my understanding. What makes your study different from theirs?

Our reply: Thank you for your question. We totally agree with your comment that lots of previous researches have studied the heterogeneous underground vegetation and belowground soil properties. However, few studies have investigated the difference of ecosystem respiration under the heterogeneous underlying surface. Here we mainly meant the heterogeneity of ecosystem respiration. Therefore, we have changed this sentence to “Nevertheless, most of these studies have mainly focused on ecosystem carbon emission rate under the homogeneous land surface rather than heterogeneous land surfaces.”(Line 88-90)

Typically, most of the previous studies compared carbon fluxes under intact vegetation at plots with different number of pika burrows. However, ecosystem carbon emissions from the heterogeneous land surface induced by pika piles and patchiness have yet to be quantified. These are the exact differences between this study and so many previous studies.

(5) L93, “underlying surface” sounds a little awkward. Change it to land surface or soil surface. Check this expression throughout the manuscript.

Our reply: Thank you for your suggestion. We have changed “underlying surface” to “land surface” in the whole manuscript according to your suggestion.

(6) L94, I think what you meant was “the spatial heterogeneity of Re” in aim.

Our reply: Thank you for your suggestion. We have revised the third aim according to your suggestion. We have revised the manuscript as follow. (Line 92-95)

“Thus, the specific aims of this study were to (1) investigate the spatial heterogeneity of Re under the effect of pikas and patchiness; (2) illuminate the potential regulating mechanism of pikas disturbance and patchiness to ecosystem respiration (Re) in an alpine meadow grassland in the northeastern part of Qinghai-Tibetan Plateau (QTP).”

(7) L105 “plant” species

Our reply: Thank you for your suggestion. We have changed “species” to “plant species” according to your suggestion. (Line 105-106)

(8) L121, according to your description, seems the fluxes were measured in different plots from ones that measured environmental conditions, right? If yes, how far away are they? Are they comparable?

Our reply: Thank you for your question. Ecosystem respiration, soil temperature and moisture were measured in one 100 × 100 m plot and with three replicates under each land surface. Soil and vegetation were measured in all three 100 × 100 m plots. Each 100 × 100 m plot was in a distance of less than 50 m, which has the similar plant and terrain. We therefore believed they were comparable. We have revised this part as follow. (Line )

“At early June 2016, three 100 m × 100 m plots were established as replicates. Each 100 × 100 m plot was in a distance of less than 50 m, which has the similar plant and terrain.” (Line 114-115)

“Soil temperature and moisture at 10 cm were measured in a 100 m × 100 m plot where ecosystem respiration was measured by using an auto-measurement system (Decagon Inc., USA) from early June to the late August.” (Line 138-40)

“Soil samples were collected during the periods of late July to early August 2016. In each surface type of each plot, five soil cores were collected using a stainless-steel auger (5 cm in diameter) at depths of 0-10, 10-20, 20-30 and 30-40 cm, and bulked as one composite sample for each depth in each quadrat. Another five soil cores were sampled by cylindrical cutting ring (7 cm in diameter and 5.2 cm in depth) to determine soil bulk density from each land surface type. Pika tunnel was approximate 6 cm in diameter and 40 cm in depth. Therefore, soil samples were available to collect at depth of 40cm. Totally, 512 soil samples were collected.” (Line 171-178)

(9) L126, “were” logged . . .

Our reply: Thank you for your suggestion. We have changed “The Data logged automatically every 30 min” to “The data were logged automatically every 30 min” according to your suggestion.(Line 141-142)

(10) L129, soil hardness is not a very familiar concept. Explain it and what unit is used?

Our reply: Thank you for your suggestion. We have changed “soil hardness” to “soil compactness” according to your suggestion. We also added its unit both in the result and Figure 5. (Line 643-644)

“Soil compactness was over 0.30 Pa in intact grassland patch and above pika tunnel, approximately 0.20 Pa for bald patches and less than 0.10 Pa for pika pile (Figure 5), respectively.”

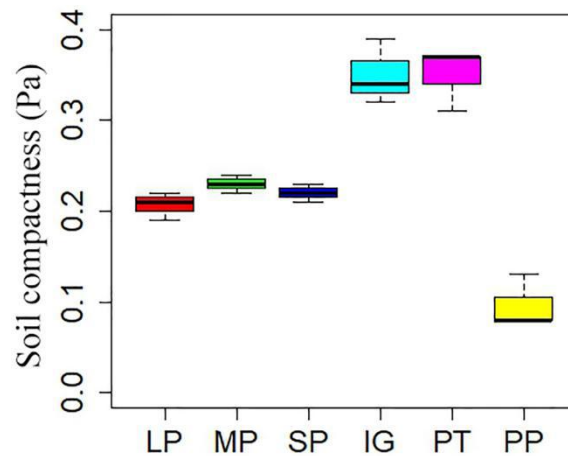


Figure 5. Soil compactness under different surface types: (1) large bald patch (LP), (2) medium bald patch (MP), (3) small bald patch (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT) and (6) old pika pile (PP).

(11) L131, since the respiration measurement is the key of this study, more details are needed. How big is the chamber? Transparent or opaque? How many replicates? Only one gas analyzer was used? How many minutes did one measurement take? What is the frequency of the data? During which period (specific dates) were the measurements taken? Also, how the fluxes were calculated? How the air temperature inside of the chamber was measured?

Our reply: Thank you for your suggestion. We have added more information regarding ecosystem respiration measurement according to your suggestion. (Line 147-169)

“Ecosystem respiration rates were measured using the LICOR-8150 Automated Soil CO<sub>2</sub> Flux System, which was an accessory for the LI-8100A with at most 8 individual chambers at one time. Ecosystem CO<sub>2</sub> emission was sampled and controlled by the LI-8100A Analyzer Control Unit. The air temperature inside of the chamber was



measured using the internal thermistor of the chamber. The ecosystem CO<sub>2</sub> fluxes were calculated by the equation as follow.

$$F_c = \frac{10VP_0 \left(1 - \frac{W_0}{1000}\right) \frac{\partial C'}{\partial t}}{RS(T_0 + 273.15)}$$

where  $F_c$  is the soil CO<sub>2</sub> efflux rate ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ),  $V$  is volume ( $\text{cm}^3$ ),  $P_0$  is the initial pressure (kPa),  $W_0$  is the initial water vapor mole fraction ( $\text{mmol mol}^{-1}$ ),  $S$  is soil surface area ( $\text{cm}^2$ ),  $T_0$  is initial air temperature ( $^{\circ}\text{C}$ ), and  $\partial C'/\partial t$  is the initial rate of change in water-corrected CO<sub>2</sub> mole fraction ( $\mu\text{mol}^{-1} \text{mol s}^{-1}$ ).

Six LICOR-8100-104 long-term opaque chambers (20cm in diameter LICOR, Inc., Lincoln, NE, USA) were used to measure alternately between three replicates for six land surface types. Therefore, 3 days at least were required to complete one rotation measurements of ecosystem respiration. To measure ecosystem respiration, eighteen polyvinyl chloride collars with a 20 cm inner diameter and a 12 cm height were inserted into the soil with 3-4 cm exposed to the air (Qin et al., 2013). All of the collars were installed at least 24 h before the first measurement to reduce disturbance-induced ecosystem CO<sub>2</sub> effluxes. Ecosystem respiration rates were measured every 7-10 days from June 16 to August 20 in 2016 depending on weather conditions. A round-the-clock measurement protocol was carried out and ecosystem respiration rates were measured every 30 minutes. Each measurement takes 1 minute and 45 seconds, including pre-purge 10 seconds, dead band 15 seconds, observation length 1 minute and post-purge 20 seconds.”

(12) L138 change “determined” to “collected”.

Our reply: Thank you for your suggestion. We have changed “determined” to “collected” according to your suggestion.

(13) L142 from each surface type?

Our reply: Thank you for your careful review. The sentence has changed to “Another five soil cores were sampled by cylindrical cutting ring (7 cm in diameter and 5.2 cm in depth) to determine soil bulk density from each land surface type.” according to your suggestion.

(14) L149 how many replicates?

Our reply: Thank you for your careful review. Soil and vegetation samples were collected under six land surface types with three replicates in three 100 × 100 m plots. To eliminate the confusion, we have revised this part as follow (Line 185-190).

“There were a total of 108 aboveground and belowground vegetation samples (3 plots × 6 land surface types × 3 replicates) from the study area. Aboveground biomass was determined by clipping all above-ground living plants at ground level, drying (oven-dried at 65° C for 48 h) and weighing. Belowground biomass was sampled by collecting five soil columns, and each soil column was 5 cm in diameter and 40 cm in depth.”

(15) L150 change “sampled” to “determined”

Our reply: Thank you for your careful review. We have changed “sampled” to “determined” according to your suggestion. (Line 187)

(16) L152 each type?

Our reply: Thank you for your careful review. It means each soil column. To eliminate the confusion, this sentence was changed to “There were a total of 108 aboveground and belowground vegetation samples (3 plots × 6 land surface types × 3 replicates) from the study area. Aboveground biomass was determined by clipping all above-ground living plants at ground level, drying (oven-dried at 65° C for 48 h) and weighing. Belowground biomass was sampled by collecting five soil columns, and each soil column was 5 cm in diameter and 40 cm in depth.” (Line 185-190)

(17) L169, according to your figure, this seems like correlation analysis instead of regression.

Our reply: Thank you for your careful review. We have changed “regression analysis” to “correlation analysis” according to your suggestion.

(18) Figure 2, which year? Average Ta?

Our reply: Thank you for your careful review. All data in this manuscript were collected in 2016. Ta was daily average air temperature. To eliminate confusion, the title of Figure 2 has been changed to “Figure 2. Daily averaged air temperature and precipitation of the study site in 2016.”

(19) Figure 3, monthly average?

Our reply: Thank you for your question. Both soil temperature and soil moisture were monthly averaged. To eliminate confusion, the title of Figure 3 has been changed to “Figure 3. Monthly averaged soil temperature and soil moisture under different surface types: (1) large bald patch (LP), (2) medium bald patch (MP), (3) small bald patch (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT) and (6) old pika pile (PP).”

(20) Figure 8,  $\mu\text{mol}$  instead of  $\text{umol}$

Our reply: Thank you for your suggestion. We have replaced “ $\text{umol}$ ” by “ $\mu\text{mol}$ ” according to your suggestion.

(21) Figure 9, this is not a good way to present correlation results. First, specify what analysis in the caption. Second, the full correlation table looks redundant as it presents two copies of each pair of variables. Also, correlation coefficients and P value need to be included. Was the correlation done across the different surface types?

Our reply: Thank you for your suggestion. We have redrawn Figure 9 according to your suggestion. And now it contained both the correlation coefficients and P value in one figure. The correlations of ecosystem respiration with biotic and abiotic factors were done across the different surface types. The title of Figure 9 was changed to “Figure 9. The correlation coefficient charts between ecosystem respiration ( $R_e$ ) and biotic and abiotic factors for all six land surfaces. The diagonal line in the figure shows the distributions of the variables themselves. The lower triangle (the left bottom of the diagonal) in the figure shows scatter plots of the two properties. The upper triangle (the upper right of the diagonal) in the figure indicates the correlation values of the two parameters; the asterisk indicates the degree of significance (\*\*\*) indicates significant differences at  $P < 0.001$ , \* indicates significant differences at  $P < 0.01$ , \* indicates significant differences at  $P < 0.05$ .). The bold bigger numbers mean the higher correlation.”

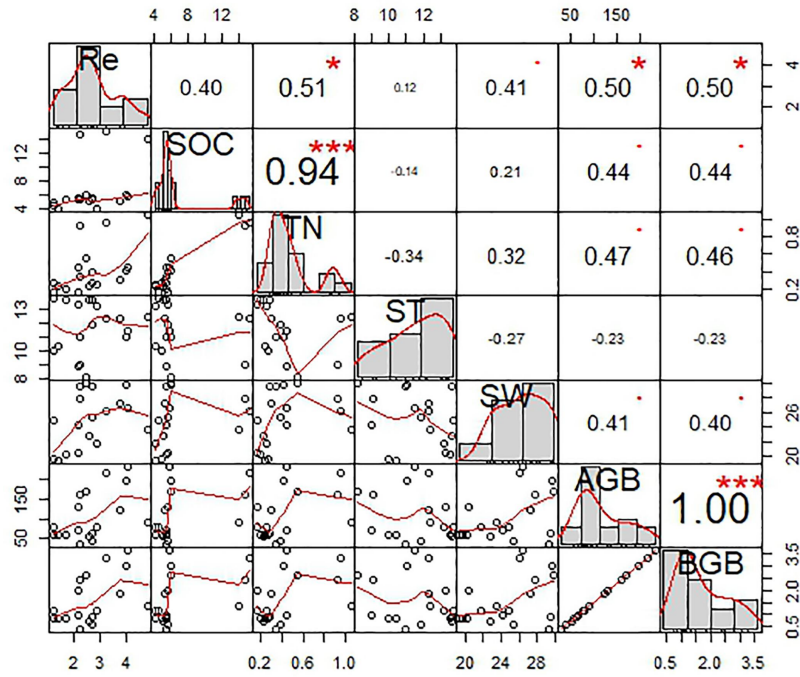


Figure 9. The correlation coefficient charts between ecosystem respiration (Re) and biotic and abiotic factors for all six land surfaces. The diagonal line in the figure shows the distributions of the variables themselves. The lower triangle (the left bottom of the diagonal) in the figure shows scatter plots of the two properties. The upper triangle (the upper right of the diagonal) in the figure indicates the correlation values of the two parameters; the asterisk indicates the degree of significance (\*\*\*) indicates significant differences at  $P < 0.001$ , \* indicates significant differences at  $P < 0.01$ , \* indicates significant differences at  $P < 0.05$ ). The bold bigger numbers mean the higher correlation.

1 **Effect of plateau pikas disturbance and patchiness on ecosystem carbon emission of**  
2 **alpine meadow on the northeastern part of Qinghai-Tibetan Plateau**

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20 **Abstract**

21 Plateau pikas (*Ochotona curzoniae*) disturbance and patchiness intensify the spatial  
22 heterogeneous distribution of vegetation productivity and soil physicochemical properties,  
23 which may alter ecosystem carbon emission process. Nevertheless, previous researches have  
24 mostly focused on the homogeneous vegetation patches rather than heterogeneous land  
25 surface. Thus, this study aims to improve our understanding of the difference in ecosystem  
26 respiration (Re) over heterogeneous land surface in an alpine meadow grassland. Six different  
27 land surface: large bald patch, medium bald patch, small bald patch, intact grassland, above  
28 pika tunnel and pika pile were selected to analyze the response of Re to pikas disturbance and  
29 patchiness, and the key controlling factors. The results showed that (1) Re under intact  
30 grassland were 0.22-1.07 times higher than pika pile and bald patches; (2) soil moisture (SM)  
31 of intact grassland was 2-11% higher than those of pika pile and bald patches despite pikas  
32 disturbance increased water infiltration rate, while soil temperature (ST) under intact  
33 grassland was 1-3°C less than pika pile and bald patches; (3) Soil organic carbon (SOC) and  
34 total nitrogen (TN) under intact grassland were approximate 50 % and 60 % less than above  
35 pika tunnel, whereas 10-30 % and 22-110 % higher than pika pile and bald patches; and (4)  
36 Re was significantly correlated with SM, TN and vegetation biomass ( $P<0.05$ ). Our results  
37 suggested that pikas disturbance and patchiness altered ecosystem carbon emission pattern,  
38 which was mainly attributed to the reduction of soil water and supply of substrates. Given that  
39 the wide distribution of pikas and large area of bald patches, the varied Re under  
40 heterogeneous land surfaces should not be neglected for estimation of ecosystem carbon  
41 emission at plot or region scale.

42 **Keywords:** pikas disturbance; patchiness; ecosystem respiration; alpine meadow; the  
43 Qinghai-Tibetan Plateau

#### 44 **Introduction**

45 Ecosystem respiration (Re) is the key process to determine the carbon budget in the terrestrial  
46 ecosystem. Thus, even a small imbalances between CO<sub>2</sub> uptake via photosynthesis and CO<sub>2</sub>  
47 release by ecosystem respiration can lead to significant interannual variation in atmospheric  
48 CO<sub>2</sub> (Schimel et al., 2001; Cox et al., 2000; Grogan and Jonasson, 2005; Oberbauer et al.,  
49 2007; Warren and Taranto, 2011). **Dependent on autotrophic (plant) and heterotrophic**  
50 **(microbe) activity, ecosystem respiration is mainly controlled by abiotic factors (primarily**  
51 **temperature and water availability) (Chimner and Welker, 2005; Flanagan and Johnson, 2005;**  
52 **Nakano et al., 2008; Buttlar et al., 2018), and supply of carbohydrate fixed by leaves,**  
53 **vegetation litter and soil organic matter (Janssens et al., 2001; Reichstein et al., 2002).**  
54 Therefore, any external disturbance altering environmental conditions and affecting  
55 vegetation growth would exert profound influence on ecosystem carbon emission.

56 One of the basic function of terrestrial ecosystem is to regulate carbon balance between  
57 the atmosphere and **ecosystem** (Canadell et al., 2007; Le Quéré et al., 2014; Ahlström et al.,  
58 2015). However, this balance would be broken by widespread land degradation (Post and  
59 Kwon, 2000; Dregne, 2002), which accompanied with the reduction of photosynthetic fixed  
60 carbon dioxide from atmosphere and carbon sequestration by soils (Defries et al., 1999;  
61 Upadhyay et al., 2005). It was estimated that land degradation had resulted in 19-29 Pg C loss  
62 worldwide (Lal, 2001). **Over the past decades, grasslands have experienced patchiness**  
63 **throughout the world and this process is still ongoing (Baldi et al., 2006; Wang et al., 2009;**  
64 **Roch and Jaeger, 2014). Patchiness generally refers to a landscape that consists of remnant**  
65 **areas of native vegetation surrounded by a more heterogeneous and patchy situation (Kouki**  
66 **and Löfman, 1998). Other than climate change (Yi et al., 2014), vegetation self-organization**  
67 **(Rietkerk et al., 2004; Venegas et al., 2005; McKey et al., 2010) or anthropogenic**  
68 **disturbances (Kouki and Löfman, 1998; Yi et al., 2016), rodents burrowing activities were**  
69 **also considered as the origin of the patchiness (Wei et al., 2006; Davidson and Lightfoot,**  
70 **2008). This patchiness intensified spatial heterogeneity of land surface and led to the**  
71 **changing of the structure and function of the original ecosystem (Herkert et al., 2003;**  
72 **Bestelmeyer et al., 2006; Lindenmayer and Fischer, 2013). For instance, there is abundant**  
73 **evidence that patchiness not only intensified the spatial heterogeneous distribution of**

74 ecosystem organic carbon (C) and vegetation productivity (Yan et al., 2016; Qin et al., 2018)  
75 but also altered the pattern of coupled water and heat cycling between the land surface and the  
76 atmosphere (Saunders et al., 1991; You et al., 2017; Ma et al., 2018). Consequently, this may  
77 alter ecosystem carbon emission process (Juszczak et al., 2013).

78 Plateau pikas (*Ochotona curzoniae*, hereafter pikas) are small mammals endemic to the  
79 alpine grasslands on the Qinghai-Tibetan Plateau (QTP) (Smith and Foggin, 1999; Lai and  
80 Smith, 2003). Living in underground, they excavated deep layer soil to surface through  
81 foraging and digging activities (Lai and Smith, 2003) and led to substantial bald piles on the  
82 ground. The bald pile was considered to gradually become bald patches under soil erosion,  
83 gravity, freeze-thaw and other factors (Chen et al., 2017; Ma et al., 2018). As a consequence,  
84 natural vegetation patches and adjacent bald patches with different sizes, and pikas piles  
85 represent the most common landscape pattern in the alpine meadow grassland on the QTP.  
86 Previous studies have demonstrated that pikas disturbance and patchiness weaken the function  
87 of alpine meadow as a carbon sink (Liu et al., 13; Peng et al., 2015; Qin et al., 2018) and  
88 accelerated ecosystem carbon emission rate (Qin et al., 2015a). **Nevertheless, most of these**  
89 **studies have mainly focused on ecosystem carbon emission rate under the homogeneous land**  
90 **surface rather than heterogeneous land surfaces.** It remains unclear what the differences of  $R_e$   
91 are among heterogeneous land surfaces, especially under the disturbance of pikas and  
92 patchiness. **Thus, the specific aims of this study were to (1) investigate the spatial**  
93 **heterogeneity of  $R_e$  under the effect of pikas and patchiness; (2) illuminate the potential**  
94 **regulating mechanism of pikas disturbance and patchiness to ecosystem respiration ( $R_e$ ) in an**  
95 **alpine meadow grassland in the northeastern part of Qinghai-Tibetan Plateau (QTP).**

## 96 **Materials and methods**

### 97 **Site description**

98 This study was conducted at the permanent plots at Suli Alpine Meadow Ecosystem  
99 Observation and Experiment Station (98°18'33.2", 38°25'13.5", 3887 m a.s.l.), Northwest  
100 Institute of Eco-Environment and Resources, Chinese Academy of Science. The study area is  
101 characterized by a continental arid desert climate, with low mean annual air temperature, little  
102 rainfall, and high evaporation (Wu et al., 2015). The mean annual air temperature was  
103 approximately -4°C and the annual precipitation ranged from 200 to 400mm, respectively



104 (Chang et al., 2016). The permafrost type at our site is transition and the active layer depth is  
105  $2.78 \pm 1.03$  m (Chen et al., 2012). The dominant plant species in the study area were *Kobresia*  
106 *capillifolia*, *Carex moorcroftii* (Qin et al., 2014). Soils was classified as “felty” with a pH of  
107 8.56, 30.96 % silt and fine, 57.52 % fine sand and 10.68 % coarse sand, and soil bulk density  
108 is  $1.41 \text{ g cm}^{-3}$  within a 0-40 cm depth of the soil layer (Qin et al., 2015b). The grassland in  
109 this area suffered from degradation due to permafrost degradation and external disturbance  
110 from grazing livestock and small mammals, i.e. plateau pikas (Yi et al., 2011, Qin et al.,  
111 2015a). As a result, a mosaic pattern of vegetation patches, bald patches with different sizes  
112 and pika piles was common.

### 113 **Field observation**

114 At early June 2016, three  $100 \text{ m} \times 100 \text{ m}$  plots were established as replicates. Each  $100 \times 100$   
115 m plot was in a distance of less than 50 m, which has the similar plant and terrain. In each  
116 plot, six representative land surfaces were selected: (1) large bald patch with size larger than  
117  $9.0 \text{ m}^2$  (LP), (2) medium bald patch with size of  $1.0\text{-}9.0 \text{ m}^2$  (MP), (3) small bald patch with  
118 size of less than  $1.0 \text{ m}^2$  (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT), (6)  
119 old pika pile (PP) (Figure 1) (Yi et al., 2016; Qin et al., 2018). They were distinguished easily  
120 in aerial photographs. Large bald patches had less vegetation cover and the smallest side was  
121 larger than 3 m. Medium patches also covered by less vegetation cover and the largest side  
122 was in a range of 1 to 3 m and small bald patches were characterized by less vegetation cover  
123 and the largest side was less than 1 m. Intact grassland was characterized by high vegetation  
124 cover and no large and medium bare land was found. Pika tunnel and pika pile usually  
125 co-existed. Pika tunnel is approximately 6 cm in diameter and pika pile is in the front of pika  
126 tunnel, 60 cm in diameter and less vegetation cover. We calculated the threshold area of large,  
127 medium and small patches by aerial photograph. Each aerial photograph has 12 million pixels.  
128 At a height of 20 m, the resolution of each pixel is  $\sim 1$  cm and each photograph covers  $\sim 26 \text{ m}$   
129  $\times 35 \text{ m}$  of ground. Pixels in each aerial image were first classified into two groups, i.e.  
130 vegetated or bare patches (Yi, 2016). Then patches with different sizes were created using  
131 OpenCv Library. And finally, fractions of vegetation and bare patches (large, medium and  
132 small patches) were calculated. For each surface type, nine  $1 \text{ m} \times 1 \text{ m}$  quadrats were set up, of  
133 which three was used for soil temperature and soil moisture measurement, three for soil

134 saturated hydraulic conductivity measurement and three for soil compactness measurement,  
135 soil and vegetation sampling. We also set up three 2 m × 2 m quadrats in each surface type in  
136 a 100 m × 100 m plot for measuring ecosystem respiration.

137 (Insert Figure 1 here)

138 Soil temperature and moisture at 10 cm were measured in a 100 m × 100 m plot where  
139 ecosystem respiration was measured by using an auto-measurement system (Decagon Inc.,  
140 USA) from early June to the late August. The system consisted of an EM50 logger and five  
141 5TM sensors. The data were logged automatically every 30 minutes. Soil saturated hydraulic  
142 conductivity and compactness were measured one time in each month from June to August.  
143 Soil saturated hydraulic conductivity was measured by Dual Head infiltrometer (Decagon Inc.,  
144 USA). The measurement process included soak time 15 minutes, hold time 20 minutes at low  
145 pressure head (5 cm) and high pressure head (15 cm) with 2 cycles. Each measurement takes  
146 95 minutes altogether. Soil compactness was measured with TJS-D-750 (Hangzhou Top  
147 Instrument co., LTD, Hangzhou, China) from the soil surface to 10 cm depth. Ecosystem  
148 respiration rates were measured using the LICOR-8150 Automated Soil CO<sub>2</sub> Flux System,  
149 which was an accessory for the LI-8100A could connect 16 individual chambers at one time  
150 and were sampled and controlled by the LI-8100A Analyzer Control Unit. The air  
151 temperature inside of the chamber was measured using the internal thermistor of the chamber.  
152 The ecosystem CO<sub>2</sub> fluxes were calculated by the equation as follow.

$$F_c = \frac{10VP_0 \left(1 - \frac{W_0}{1000}\right) \partial C'}{RS(T_0 + 273.15) \partial t}$$

154 where  $F_c$  is the soil CO<sub>2</sub> efflux rate ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ),  $V$  is volume ( $\text{cm}^3$ ),  $P_0$  is the initial pressure  
155 (kPa),  $W_0$  is the initial water vapor mole fraction ( $\text{mmol mol}^{-1}$ ),  $S$  is soil surface area ( $\text{cm}^2$ ),  $T_0$   
156 is initial air temperature ( $^{\circ}\text{C}$ ), and  $\partial C'/\partial t$  is the initial rate of change in water-corrected CO<sub>2</sub>  
157 mole fraction ( $\mu\text{mol}^{-1} \text{mol s}^{-1}$ ).

158 Six LICOR-8100-104 long-term opaque chambers (20cm in diameter LICOR, Inc.,  
159 Lincoln, NE, USA) were used to measure alternately between three replicates for six land  
160 surface types. Therefore, 3 days at least were required to complete one rotation measurements  
161 of ecosystem respiration. To measure ecosystem respiration, eighteen polyvinyl chloride

162 collars with a 20 cm inner diameter and a 12 cm height were inserted into the soil with 3-4 cm  
163 exposed to the air (Qin et al., 2013). All of the collars were installed at least 24 h before the  
164 first measurement to reduce disturbance-induced ecosystem CO<sub>2</sub> effluxes. Ecosystem  
165 respiration rates were measured every 7-10 days from June 16 to August 20 in 2016  
166 depending on weather conditions. A round-the-clock measurement protocol was carried out  
167 and ecosystem respiration rates were measured every 30 minutes. Each measurement takes 1  
168 minute and 45 seconds, including pre-purge 10 seconds, dead band 15 seconds, observation  
169 length 1 minute and post-purge 20 seconds.

#### 170 **Soil and vegetation sampling**

171 Soil samples were collected during the periods of late July to early August 2016. In each  
172 surface type of each plot, five soil cores were collected using a stainless-steel auger (5 cm in  
173 diameter) at depths of 0-10, 10-20, 20-30 and 30-40 cm, and bulked as one composite sample  
174 for each depth in each quadrat. Another five soil cores were sampled by cylindrical cutting  
175 ring (7 cm in diameter and 5.2 cm in depth) to determine soil bulk density from each land  
176 surface type. Pika tunnel was approximate 6 cm in diameter and 40 cm in depth. Therefore,  
177 soil samples were available to collect at depth of 40cm. Totally, 512 soil samples were  
178 collected. Soil samples were firstly air-dried, then removed gravel and stone with manual  
179 sieving and finally weighed. The remaining soil samples with diameter less than 2 mm were  
180 ground to pass through a 0.25 mm sieve for analysis of soil organic carbon (SOC) and soil  
181 total nitrogen (TN) concentration. SOC was measured by dichromate oxidation using  
182 Walkley-Black acid digestion (Nelson and Sommers, 1982). TN was determined by digestion  
183 and then tested using a flow injection analysis system (FIAstar 5000, Foss Inc., Sweden).  
184 Aboveground and belowground biomasses were determined within a 1 m × 1 m quadrat on 4  
185 August 2016 during peak biomass and species diversity. There were a total of 108  
186 aboveground and belowground vegetation samples (3 plots × 6 land surface types × 3  
187 replicates) from the study area. Aboveground biomass was determined by clipping all  
188 above-ground living plants at ground level, drying (oven-dried at 65°C for 48 h) and weighing.  
189 Belowground biomass was sampled by collecting five soil columns, and each soil column was  
190 5 cm in diameter and 40 cm in depth. Soil cores were washed with a gentle spray of water  
191 over a fine mesh screen until soil separated from the roots, and then drying (oven-dried at

192 65°C for 48 h) and weighing.

### 193 **Statistical analysis**

194 The soil organic C (kg m<sup>-2</sup>) and total N (kg m<sup>-2</sup>) densities in different land surface were  
195 calculated using the equation (1) and (2):

$$\text{SOC} = \sum_{i=1}^n \rho * (1 - \sigma_{\text{gravel}}) * C_{\text{SOC}} * D_i \quad (1)$$

196

$$\text{TN} = \sum_{i=1}^n \rho * (1 - \sigma_{\text{gravel}}) * C_{\text{TN}} * D_i \quad (2)$$

197

198 where SOC is soil organic C density, TN is soil total N density,  $\rho$  is the soil bulk density (g  
199 cm<sup>-3</sup>),  $\sigma_{\text{gravel}}$  is the relative volume of gravel (% w/w),  $C_{\text{SOC}}$  is soil organic C content (g kg<sup>-1</sup>),  
200  $C_{\text{TN}}$  is soil total N content (g kg<sup>-1</sup>) and  $D_i$  is soil thickness (cm) at layer  $i$ , respectively;  $i=1, 2,$   
201 3 and 4.

202 The data were presented as mean  $\pm$  standard deviation. Statistical analyses were performed  
203 using the SPSS 17.0 statistical software package (SPSS Inc., Chicago, IL, USA). One-way  
204 analysis of variance (ANOVA) and a multi-comparison of a least significant difference (LSD)  
205 test were used to determine differences at the  $p=0.05$  level. **The relationships of ecosystem  
206 respiration with biotic and abiotic factors were analyzed by correlation analysis using R.**

## 207 **Results**

### 208 **Ecosystem respiration**

209 Pika disturbance and patchiness had significant effect on ecosystem respiration (Table 1,  
210  $P<0.001$ ). During the growing season, ecosystem respiration has a maximum value in August  
211 and minimum value in June (Figure 2). In June, ecosystem respiration under intact grassland,  
212 above pika tunnel, small patch and pika pile had no significant difference and the lowest  
213 ecosystem respiration were found under large and medium patches (Figure 2). Average  
214 ecosystem respiration under intact grassland was 4.03  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , which were 6.90 % to  
215 102.50 % higher than other surface types both in July and August (Figure 2).

216 (Insert Figure 2 here)

### 217 **Microclimate and soil hydrothermal characteristics**

218 Mean temperature and total rainfall during the growing seasons from 1 May to 30 September  
219 in 2016 were 6.18 °C and 343.4 mm, respectively (Figure 3). Soil temperature and moisture

220 were significantly different ( $P < 0.001$ ) among various surface types (Table 1). The monthly  
221 average soil temperature was in a range of 8.20-13.72 °C during June to August, which was  
222 approximate 1-3 °C higher under pika pile and bald patches than the intact grassland (Figure  
223 4a,  $P < 0.05$ ). The monthly mean soil moisture from June to August was approximate 30 % for  
224 intact grassland and above pika tunnel, 25 % for small patch and pika pile, and 20 % for  
225 larger and medium patch (Figure 4b). Soil saturated hydraulic conductivity also showed  
226 significant variation under different land surface types ( $P = 0.027$ , Table 2). Soil saturated  
227 hydraulic conductivity of intact grassland had no significant difference with small patch and  
228 above pika tunnel ( $P > 0.05$ ), while it was approximate 40 % higher than medium and large  
229 patches and 17 % lower than pika pile (Figure 5).

230 (Insert Table 1, Figure 3 to 5 here)

### 231 **Soil and vegetation properties**

232 Both pikas disturbance and patchiness significantly affected soil compactness, SOC density,  
233 TN density and vegetation biomass (Table 2) ( $P < 0.001$ ). Soil compactness was over 0.30 Pa  
234 in intact grassland and above pika tunnel, approximate 0.20 Pa for bald patches and less than  
235 0.10 Pa for pika pile (Figure 6), respectively. Mean SOC and TN density under intact  
236 grassland were 52.45 % and 59.14 % less than above pika tunnel, whereas they were  
237 9.69-30.12 % and 22.47-109.62 % higher than pika pile and bald patches (Figure 7).  
238 Aboveground and belowground biomass under intact grassland were approximate 30 %  
239 higher than above pika tunnel, 90 % higher than pika pile, 123-252 % and 134-289 % higher  
240 than bald patches (Figure 8a, b).

241 (Insert Table 2, Figure 6 to 8 here)

### 242 **Factors regulate ecosystem respiration**

243 We analyzed the relationships of ecosystem respiration with biotic and abiotic factors for six  
244 land surface types (Figure 9). Correlation analysis showed that ecosystem respiration had no  
245 significant correlation with soil temperature ( $P > 0.05$ , Figure 9). However, ecosystem  
246 respiration was significantly and positively related to soil moisture ( $P < 0.01$ ), soil total  
247 nitrogen ( $P < 0.05$ ), aboveground ( $P < 0.05$ ) and belowground biomass ( $P < 0.05$ ) (Figure 9).

248 (Insert Figure 9 here)

### 249 **Discussion**

250 **Effect of pikas disturbance on ecosystem respiration**

251 Pikas burrowing activities increased oxygen content in deep soil, which contributed to the  
252 decomposition of soil organic matter (Martin, 2003). The deposition of urine and feces by  
253 small herbivorous mammals could also promote ecosystem nutrition circulation (Clark et al.,  
254 2005). It was suggested that excreta deposited by pikas and frequently haunted in or near their  
255 burrows supplied organic C available to microbial decomposition with an increase in  
256 ecosystem CO<sub>2</sub> emission (Cao et al., 2004). Indeed, SOC and TN densities reached up to  
257 14.54 and 0.98 kg m<sup>-2</sup> in above pika tunnel, which was 2.45 and 2.10 times higher than that of  
258 intact grassland (Figure 7), respectively. The consistent results reported that the contents of  
259 available soil nutrients around the pikas burrow were higher than those in control sites on an  
260 alpine meadow (Zhang et al., 2016). We also found that SOC and TN densities under pika pile  
261 decreased 13.35 % and 42.93 % than intact grassland. However, no significant difference of  
262 Re was found between intact grassland and above pika tunnel, while Re under pika pile were  
263 42.08 % less than intact grassland (Figure 2). The similar result was also found in an alpine  
264 meadow on the QTP (Peng et al., 2015), which indicated that ecosystem respiration decreased  
265 with increasing of pika holes because of grassland biomass regulated soil C and N with  
266 increasing number of pika holes. These results confirmed that pikas disturbance did not  
267 increase ecosystem carbon emission directly, but facilitated CO<sub>2</sub> emission into the atmosphere  
268 through pika holes (Qin et al., 2015a). The difference of ecosystem respiration between intact  
269 grassland and pika piles was mainly related to changes in vegetation biomass and soil  
270 moisture. For example, both aboveground and belowground biomass decreased 244.62 % and  
271 279.89 % under pika piles compared with the intact grassland (Figure 8). The reduction of  
272 vegetation biomass production decreased aboveground plant respiration and root respiration  
273 by decreasing carbon allocation (e.g., root exudates and litter, and available SOC) (Raich and  
274 Potter, 1995; Högberg et al., 2002; Yang et al., 2018). Consistent with previous studies which  
275 demonstrated that pikas burrowing activity increased water infiltration rate (Hogan, 2010;  
276 Wilson and Smith, 2015), our results also showed that soil saturated hydraulic conductivity in  
277 pika pile was significantly higher than bald and vegetation patches (Figure 5). Nevertheless,  
278 the increased water infiltration was unable to increase soil moisture under pika piles. For  
279 example, soil moisture under pika piles was approximate 5 % lower than intact grassland

280 (Figure 4). Our result was discrepant with previous studies which reported old pika mound  
281 had the highest soil moisture during the summer (Ma et al., 2018) and moderate pika  
282 burrowing activities increased surface soil moisture (Li and Zhang, 2006). This difference  
283 may be contributed to the high pika density in alpine meadow (Guo et al, 2017). Moreover,  
284 pika piles were loose (Figure 6) with less vegetation cover (Figure 8), which was not  
285 beneficial for soil moisture storage.

### 286 **Effect of patchiness on ecosystem respiration**

287 Our results clearly showed that patchiness resulted in significant reduction of ecosystem  
288 carbon emission. Compared with the intact grassland, ecosystem respiration decreased  
289 approximate 17-48 % for bald patches (Figure 2). Two possible mechanisms could account  
290 for the effects of patchiness on ecosystem respiration. On one hand, the reduction of SOC and  
291 TN decreased microbial respiration by decreasing substrate supply to microbes in the  
292 rhizosphere (Nobili et al., 2001; Scott-Denton et al., 2010). Our results indicated that  
293 patchiness caused evident loss of SOC and TN (Figure 7) due to reduction in C input from  
294 vegetation and increasing in C output from soil erosion (Qin et al., 2018). Previous study have  
295 shown that the spatial heterogeneity of soil respiration was attributed to uneven soil organic  
296 carbon and total nitrogen content (Xu and Qi, 2010). Soil organic carbon was considered as  
297 the basic substrate of CO<sub>2</sub> emission by microbial decomposition (Sikora and Mccoy, 1990)  
298 and soil total N enhanced ecosystem CO<sub>2</sub> emission by providing a source of protein for  
299 microbial growth (Tewary et al., 1982). On the other hand, low moisture availability would  
300 limit microbial respiration by restricting access to C substrates, reducing the diffusion of C  
301 substrates and extracellular enzymes, and limiting microbial mobility (Yuste et al., 2003;  
302 Wang et al., 2014). Our results showed that soil moisture under large and medium patches  
303 decreased 10 % than intact grassland (Figure 4). Previous studies had reported that the soil  
304 compaction of bald patches decreased the rate of water infiltration (Wuest et al., 2006; Wilson  
305 and Smith, 2015), which was similar with our results showed that bald patches had less  
306 saturated soil hydraulic conductivity (Figure 5). Low vegetation cover under bald patches was  
307 not beneficial for water retention and utilization, where most of soil water was mainly lost as  
308 a way of evaporation (Yi et al., 2014). We have measured evaporation of the intact grassland,  
309 isolate grassland, large patches, medium patches and small patches since the early June 2016.

310 Three years results indicated that evaporation under bald patches were higher than the intact  
311 grassland (data were not shown here).

### 312 **Factors affected ecosystem respiration**

313 Most previous studies showed that soil temperature explained most of the temporal variation  
314 of ecosystem respiration on the alpine grassland on the QTP (Lin et al, 2011; Qin et al., 2015c;  
315 Zhang et al., 2017). Our results indicated that soil temperature under pika piles and bald  
316 patches was approximate 1 to 3 °C higher than intact grassland (Figure 4), which mainly  
317 resulted from the heterogeneity of surface albedo, surface soil water retention, heat  
318 conduction properties and radiation (Beringer et al., 2005; Pielke, 2005; Yi et al., 2013; You et  
319 al., 2017). It was suggested that pikas disturbance create a better soil temperature buffer for  
320 them to avoid the extreme cold in winter (Ma et al., 2018), whereas high soil temperature  
321 under bald patch was a disadvantage for the recovery of vegetation because patch surface had  
322 the smallest soil moisture content (Figure 4) and the largest daily range of soil temperature  
323 (Ma et al., 2018). However, no an obvious relationship between  $R_e$  and soil temperature was  
324 found in the present study (Figure 9), which suggested that other factors involved in  
325 controlling  $R_e$  induced by pikas disturbance and patchiness. Our results showed that  $R_e$  were  
326 positively correlated with soil moisture, soil total nitrogen, aboveground and belowground  
327 biomass (Figure 9). Pikas disturbance and patchiness led to the drying and loosening of soil  
328 (Figure 4 and 6). It was considered that loose, dry surface sediments and strong winds were  
329 the primary factors responsible for soil erosion (Dong et al., 2010b) and wind erosion was  
330 especially common in arid and semi-arid regions (Zhang and Dong, 2014). This resulted in  
331 the reduction of soil organic carbon, total nitrogen and vegetation biomass (Figure 7 and 8).  
332 The alteration of these biotic and abiotic factors induced by pikas disturbance and patchiness  
333 led to the decline of ecosystem respiration. Nevertheless, the decline of ecosystem respiration  
334 did not completely offset the sequestration of C fixed by photosynthesis because of the lower  
335 vegetation cover under bald patches and pika piles. Given the large area covered by bald  
336 patches in alpine grasslands, patchiness was more susceptible to erosion and exert greater  
337 influence on ecosystem respiration than pikas disturbance. Recent study has also reported that  
338 bald patches of various sizes on the grasslands played a much more important role than pikas  
339 direct disturbance in reducing vegetation cover, aboveground biomass, soil carbon and



340 nitrogen (Yi et al., 2016).

## 341 **Conclusions**

342 In this study, we investigated soil physicochemical properties, vegetation biomass and  
343 ecosystem respiration (Re) under six land surfaces originating from pikas disturbance and  
344 patchiness. We also analyzed the dominant factors regulated the Re. Our results showed that  
345 pikas disturbance and patchiness decreased soil moisture but increased soil temperature,  
346 which may be conducive to pikas survive in cold season but disadvantage for vegetation  
347 growth. Patchiness caused evident decreasing in SOC and TN density, while both SOC and  
348 TN density showed different response under pika piles and burrows. Both pikas disturbance  
349 and patchiness decreased ecosystem carbon emission, and ecosystem respiration sharply  
350 correlated with soil moisture, TN and vegetation biomass. Our results indicated that pikas  
351 disturbance and patchiness led to the changing of ecosystem respiration process owing to the  
352 drying of soil and the reduction of substrate supply. However, the decline of ecosystem  
353 respiration may not able to offset the sequestration of C fixed by photosynthesis.

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592 **Table 1.** ANOVA results of the effect of patches fragmentation and small mammal  
 593 activities on soil temperature, soil moisture and ecosystem respiration.

	Soil temperature			Soil moisture			Ecosystem respiration		
	June	July	August	June	July	August	June	July	August
<i>F</i>	8.614	10.955	1.806	387.472	210.878	97.060	5.270	10.447	8.855
<i>P</i>	<0.001	<0.001	0.106	<0.001	<0.001	<0.001	0.001	<0.001	<0.001

594 **Table 2.** ANOVA results of the effect of patches fragmentation and small mammal activities  
 595 on **soil compactness**, aboveground biomass, belowground biomass, soil hydraulic  
 596 conductivity, SOC and TN density.

	<b>Soil compactness</b>	Aboveground biomass	Belowground biomass	Saturated hydraulic conductivity	SOC density	TN density
<i>F</i>	81.506	6.193	12.925	2.752	145.942	50.567
<i>P</i>	<0.001	0.002	<0.001	0.027	<0.001	<0.001

597

598 **Figure legends**

599 **Figure 1.** An aerial photo of field observation of ecosystem respiration at six surface types: (1)  
600 Large bald patch (LP), (2) Medium bald patch (MP), (3) Small bald patch (SP), (4) Intact  
601 grassland patch (IG), (5) above pika tunnel (PT) and (6) old Pika pile (PP).

602 **Figure 2.** Ecosystem respiration of different surface types: (1) large bald patch (LP), (2)  
603 medium bald patch (MP), (3) small bald patch (SP), (4) intact grassland patch (IG), (5) above  
604 pika tunnel (PT) and (6) old pika pile (PP).

605 **Figure 3.** Daily average air temperature and precipitation of the study site in 2016.

606 **Figure 4.** Monthly average soil temperature and soil moisture under different surface types:  
607 (1) large bald patch (LP), (2) medium bald patch (MP), (3) small bald patch (SP), (4) intact  
608 grassland patch (IG), (5) above pika tunnel (PT) and (6) old pika pile (PP).

609 **Figure 5.** Soil saturated hydraulic conductivity (SHC) under different surface types: (1) large  
610 bald patch (LP), (2) medium bald patch (MP), (3) small bald patch (SP), (4) intact grassland  
611 patch (IG), (5) above pika tunnel (PT) and (6) old pika pile (PP).

612 **Figure 6.** Soil compactness under different surface types: (1) large bald patch (LP), (2)  
613 medium bald patch (MP), (3) small bald patch (SP), (4) intact grassland patch (IG), (5) above  
614 pika tunnel (PT) and (6) old pika pile (PP).

615 **Figure 7.** Soil organic carbon (SOC) (a) and total nitrogen (TN) (b) density of different  
616 surface types: (1) large bald patch (LP), (2) medium bald patch (MP), (3) small bald patch  
617 (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT) and (6) old pika pile (PP).

618 **Figure 8.** Aboveground biomass (AGB) (a) and belowground biomass (BGB) (b) under  
619 different surface types: (1) large bald patch (LP), (2) medium bald patch (MP), (3) small bald  
620 patch (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT) and (6) old pika pile  
621 (PP).

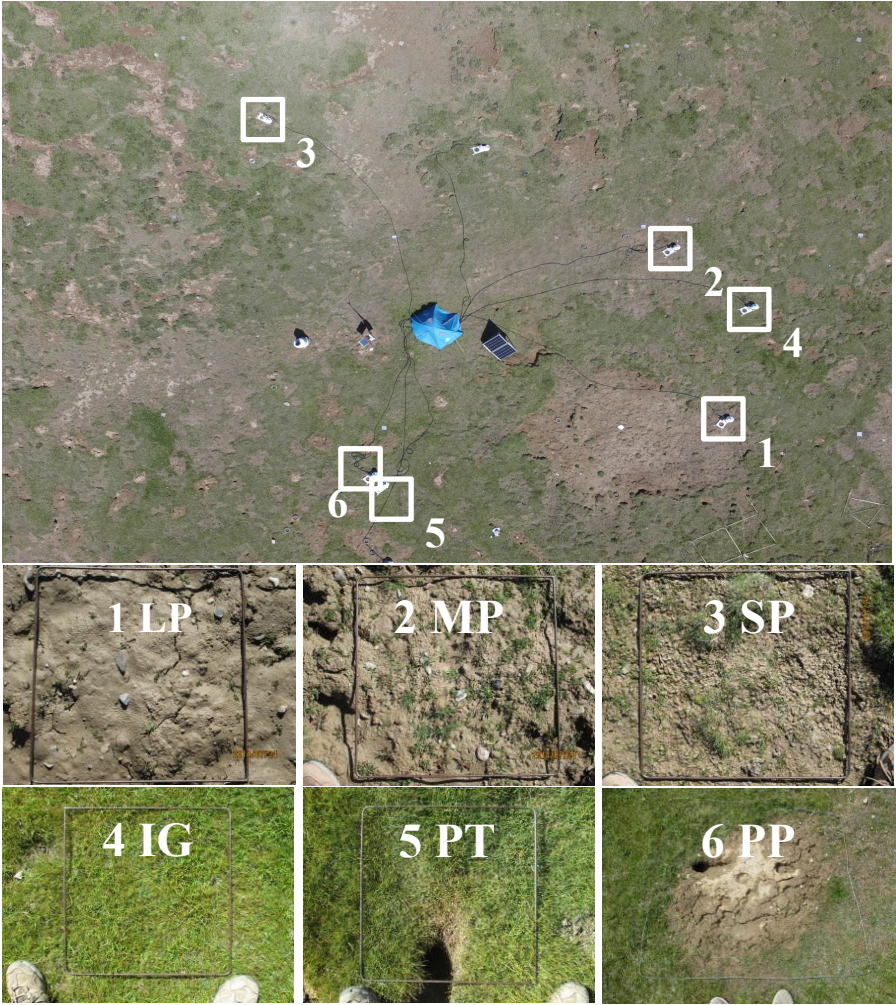
622 **Figure 9.** The correlation coefficient charts between ecosystem respiration (Re) and biotic  
623 and abiotic factors for all six land surfaces. The diagonal line in the figure shows the  
624 distributions of the variables themselves. The lower triangle (the left bottom of the diagonal)  
625 in the figure shows scatter plots of the two properties. The upper triangle (the upper right of  
626 the diagonal) in the figure indicates the correlation values of the two parameters; the asterisk  
627 indicates the degree of significance (\*\*\*) indicates significant differences at  $P < 0.001$ , \*

- 628 indicates significant differences at  $P < 0.01$ , \* indicates significant differences at  $P < 0.05$ ).
- 629 The bold bigger numbers mean the higher correlation.

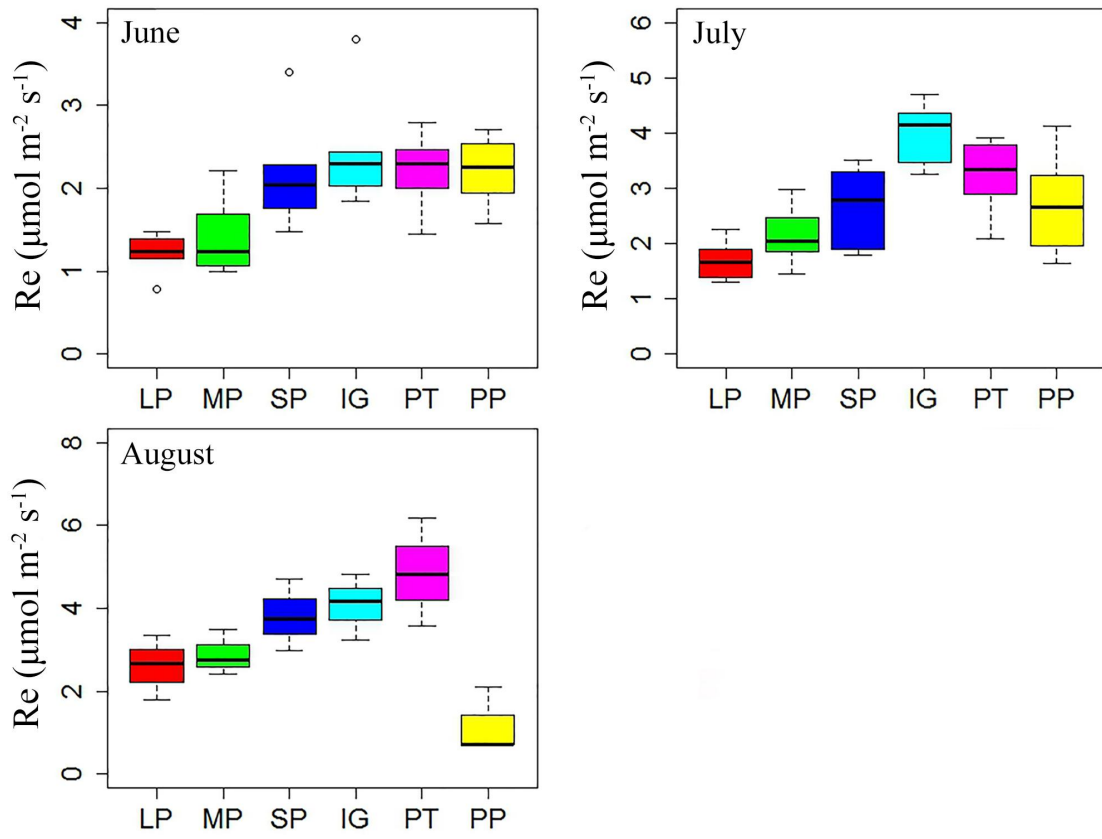
630 **Figure 1.**

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633 **Figure 2.**

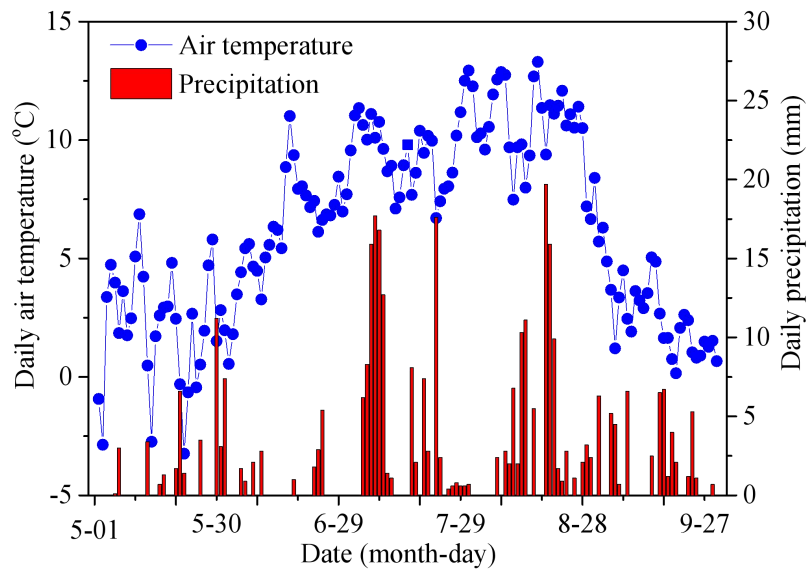


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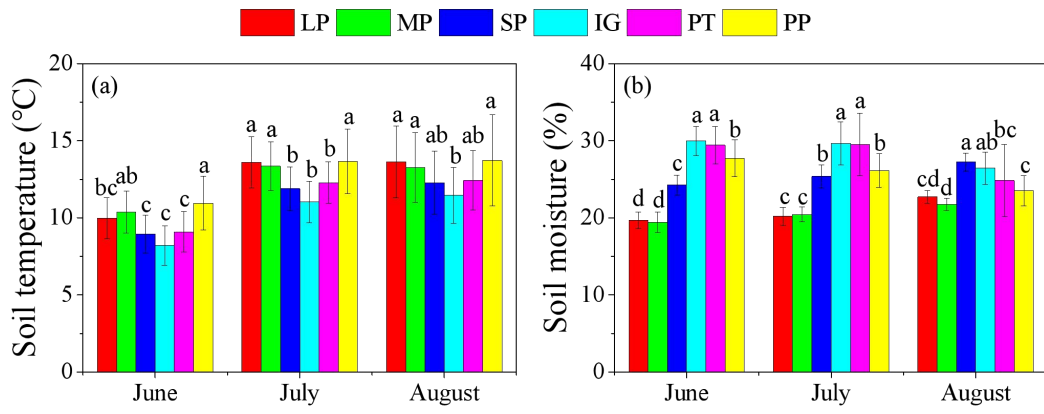
637 **Figure 3.**



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640 **Figure 4.**



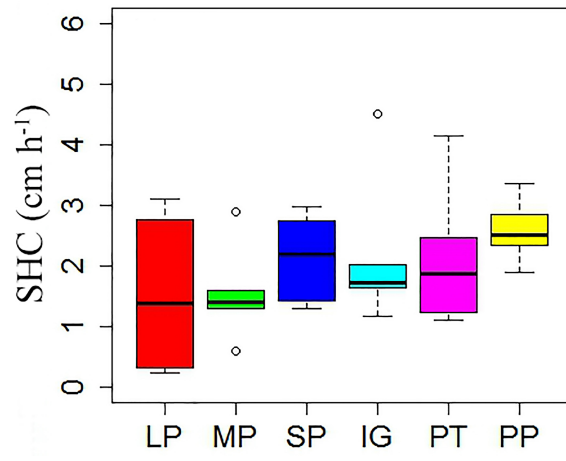
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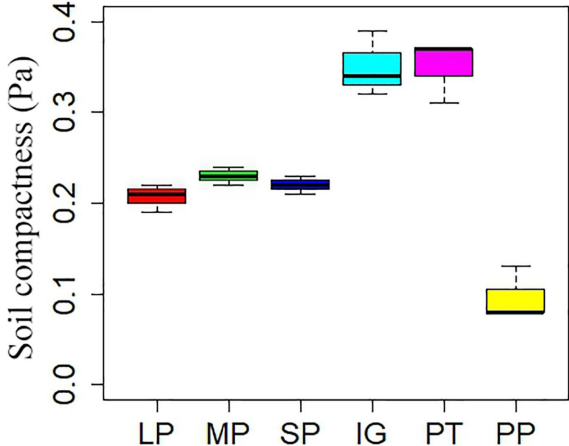


644 **Figure 5.**



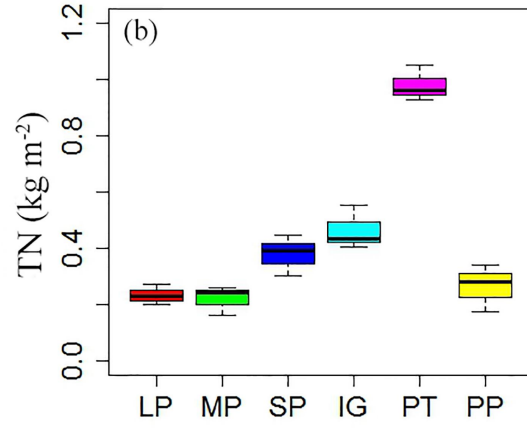
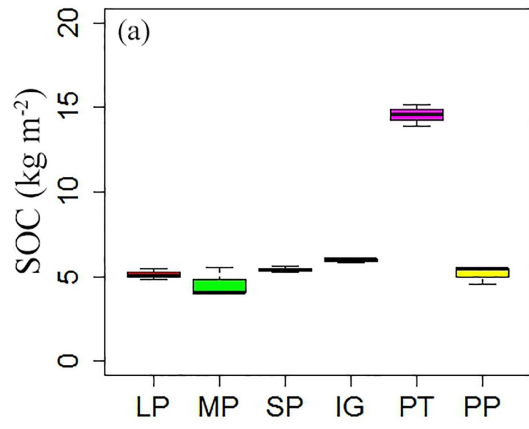
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646 **Figure 6.**



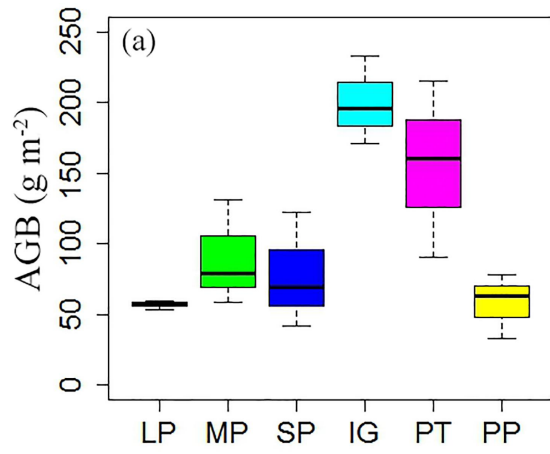
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648 **Figure 7.**

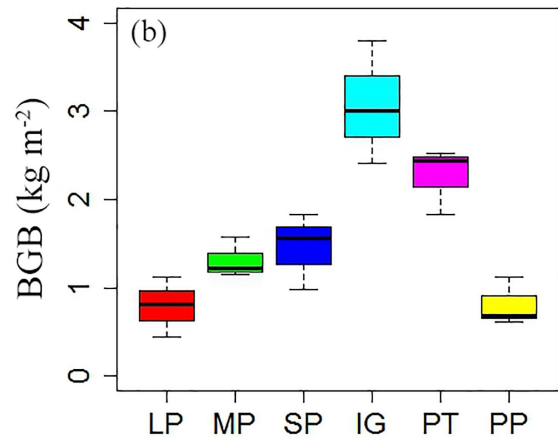


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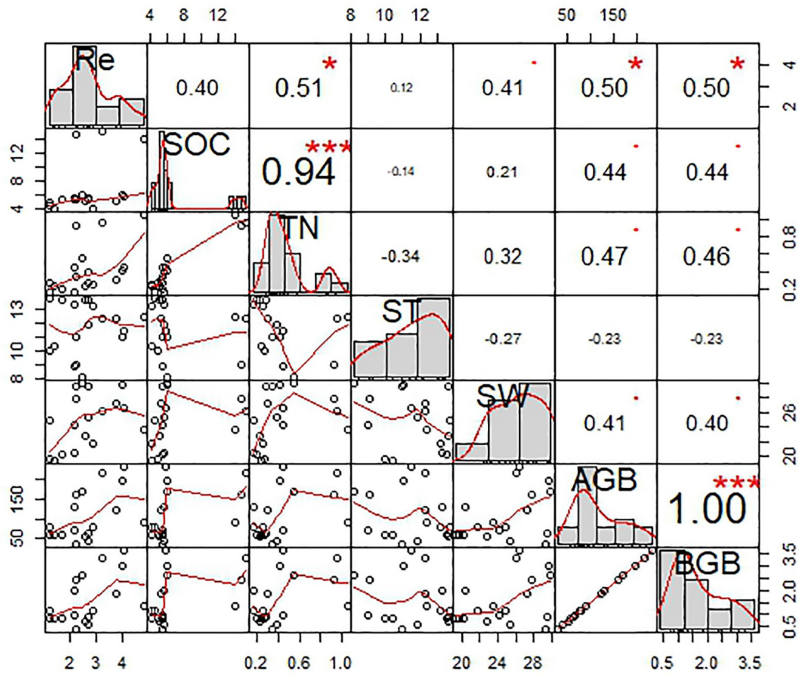
650 **Figure 8.**



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652 **Figure 9.**



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