

## Reviewer 1

This version improved and most questions raised by the initial review were answered. However, there are still some corrections to be made (as follows).

Our reply: We appreciate your positive comments. We have accepted all of the your suggestions and explained how we had revised the manuscript point by point.

Line 132-133: It showed that “For each surface type, nine  $1\text{ m} \times 1\text{ m}$  quadrats were set up, of which three was used for soil temperature and soil moisture measurement”. However, “Soil temperature and moisture at 10 cm were measured in a  $100\text{ m} \times 100\text{ m}$  plot” in line 138. This means that three  $1\text{ m} \times 1\text{ m}$  quadrats for soil temperature and soil moisture measurement were set in the same  $100\text{ m} \times 100\text{ m}$  plot? Please clarify.

Our reply: Thanks for your careful review. We measured soil temperature and soil moisture in a  $100\text{ m} \times 100\text{ m}$  plot where ecosystem respiration was measured. To eliminate the confusion, we revised this part as follow (Line 132-143).

“For each surface type in each plot, six  $1\text{ m} \times 1\text{ m}$  quadrats were set up, of which three was used for soil saturated hydraulic conductivity measurement and three for soil compactness measurement, soil and vegetation sampling. We also set up another three  $1\text{ m} \times 1\text{ m}$  quadrats and three  $2\text{ m} \times 2\text{ m}$  quadrats in each surface type in a  $100\text{ m} \times 100\text{ m}$  plot for measuring soil temperature, soil moisture and ecosystem respiration.”

“A meteorological tower was established in our observation station since 2008. Air temperature ( $^{\circ}\text{C}$ ) at 2.0m was measured by HMP45C (Vaisala, Helsinki, Finland), and precipitation was measured using an all-weather precipitation gauge (Geonor T-200B, Norway) (Wu et al., 2015). Soil temperature and moisture at 10 cm were measured by using an auto-measurement system (Decagon Inc., USA) from early June to the late August”

Line 153: What’s the mean of “R”?

Our reply: Thanks for your careful review.  $R$  is the ideal gas constant. We have revised this part as follow to eliminate confusion (Line 157-161).

$$F_c = \frac{10VP_0 \left(1 - \frac{W_0}{1000}\right)}{RS(T_0 + 273.15)} \frac{\partial C'}{\partial t} \quad (1)$$

where  $F_c$  is the soil CO<sub>2</sub> efflux rate ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ),  $V$  is volume ( $\text{cm}^3$ ),  $P_0$  is the initial pressure (kPa),  $W_0$  is the initial water vapor mole fraction ( $\text{mmol mol}^{-1}$ ),  $R$  is the ideal gas constant,  $S$  is soil surface area ( $\text{cm}^2$ ),  $T_0$  is initial air temperature ( $^\circ\text{C}$ ), and  $\partial C'/\partial t$  is the initial rate of change in water-corrected CO<sub>2</sub> mole fraction ( $\mu\text{mol}^{-1} \text{s}^{-1}$ ).

Line 157: The unit is not correct.

Our reply: Thanks for your careful review. We have revised the unit of the initial rate of change in water-corrected CO<sub>2</sub> mole fraction to  $\mu\text{mol}^{-1} \text{s}^{-1}$  (Line 157-161).

Line 195-197: The number of these two equations should be (2) and (3), respectively.

Our reply: Thanks for your careful review. We have revised these two equations to 2 and 3 (Line 198-205).

The soil organic C and total N densities in different land surface were calculated using the equation (2) and (3):

$$\text{SOC} = \sum_{i=1}^n \rho * (1 - \sigma_{\text{gravel}}) * C_{\text{SOC}} * D_i \quad (2)$$

$$\text{TN} = \sum_{i=1}^n \rho * (1 - \sigma_{\text{gravel}}) * C_{\text{TN}} * D_i \quad (3)$$

where SOC is soil organic C density ( $\text{kg m}^{-2}$ ), TN ( $\text{kg m}^{-2}$ ) is soil total N density,  $\rho$  is the soil bulk density ( $\text{g cm}^{-3}$ ),  $\sigma_{\text{gravel}}$  is the relative volume of gravel (% w/w),  $C_{\text{SOC}}$  is soil organic C content ( $\text{g kg}^{-1}$ ),  $C_{\text{TN}}$  is soil total N content ( $\text{g kg}^{-1}$ ) and  $D_i$  is soil thickness (cm) at layer  $i$ , respectively;  $i=1, 2, 3$  and  $4$ .

Line 206: Which type of “correlation analysis” was used? Pearson or others.

Our reply: Thanks for your careful review. Pearson correlation analysis was used to analyze the relationships of ecosystem respiration with biotic and abiotic factors. Therefore, we revised this section as to “The relationships of ecosystem respiration with biotic and abiotic factors were analyzed by Pearson correlation analysis using R.” (Line 209-211).

Line 213-215: The ecosystem respiration under intact grassland was lower than that

above pika tunnel in August (Figure 2c). How can “...higher than other surface types both in July and August”?

Our reply: Thanks for your careful review. The average  $R_e$  under above pika tunnel was missed in the previous revised manuscript and thus caused the confusion. We have added this data and revised this section as follow (Line 214-223).

“Pikas disturbance had significant effect on ecosystem respiration in June and July (Table 1,  $P < 0.05$ ), while the significant effect of patchiness on ecosystem respiration was found in July and August (Table 1,  $P < 0.05$ ). During the growing season, ecosystem respiration maximized in August and minimized in June (Figure 2). In June, ecosystem respiration under intact grassland, above pika tunnel, small patch and pika pile had no significant difference and the lowest ecosystem respiration was found under large and medium patches (Figure 2). Average ecosystem respiration under intact grassland was  $4.01 \mu\text{mol m}^{-2} \text{s}^{-1}$  in July, which was 24.35 % to 137.39 % higher than other surface types (Figure 2). In August, average ecosystem respiration were  $4.07 \mu\text{mol m}^{-2} \text{s}^{-1}$  and  $4.85 \mu\text{mol m}^{-2} \text{s}^{-1}$  for intact grassland and above pika tunnel,  $2.59\text{-}3.81 \mu\text{mol m}^{-2} \text{s}^{-1}$  for bald patches and  $1.18 \mu\text{mol m}^{-2} \text{s}^{-1}$  for pika pile (Figure 2). ”

Line 216: Change to “Insert Table 1, Figure 2 here”.

Our reply: Thanks for your careful review. We have revised this as to “Insert Table 1, Figure 2 here” (Line 224).

Line 218-219: How the air temperature and rainfall were measured should be added in the “Field observation” section.

Our reply: Thanks for your careful review. We have added the measurement of air temperature and rainfall in the “Field observation” section according to your suggestion (Line 139-142).

“A meteorological tower was established in our observation station since 2008. Air temperature ( $^{\circ}\text{C}$ ) at 2.0m was measured by HMP45C (Vaisala, Helsinki, Finland), and precipitation was measured using an all-weather precipitation gauge (Geonor T-200B, Norway) (Wu et al., 2015).”

Line 226-229: There may be some mistakes of the data, because it was not consistent with the result in Figure 5. Please check.

Our reply: Thanks for your careful review. We have checked the data of soil saturated hydraulic conductivity and no mistake was found. Soil saturated hydraulic conductivity of intact grassland had no significant difference with small patch and above pika tunnel ( $P>0.05$ ), soil saturated hydraulic conductivity under intact grassland, small patch and above pika tunnel were 2.13, 2.14 and 2.12  $\text{cm h}^{-1}$ , respectively. Soil saturated hydraulic conductivity of intact grassland was approximate 40 % higher than medium and large patches and 17 % lower than pika pile. We have revised this section to eliminate the confusion (Line 233-236).

“Soil saturated hydraulic conductivity had no significant difference among different land surfaces (Table 2,  $P>0.05$ ). However, soil saturated hydraulic conductivity under intact grassland was approximate 40 % higher than medium and large patches and 17 % lower than pika pile (Figure 5).”

Line 230: Change “Table 1” to “Table 2”.

Our reply: Thanks for your careful review. We have changed “Table 1” to “Table 2” according to your suggestion (Line 237).

Line 241: Delete “Table 2”.

Our reply: Thanks for your careful review. We have deleted “Table 2” according to your suggestion.

Line 260-261: Why “SOC and TN densities under pika pile decreased 13.35 % and 42.93 % than intact grassland” should be explained.

Our reply: Thanks for your careful review. We explained the reason of why “SOC and TN densities under pika pile declined as follow (Line 268-271).

“We also found that SOC and TN densities under pika pile decreased 13.35 % and 42.93 % than intact grassland. This was because pika burrowing activity transferred of deeper, nutrient-poor soil to the soil surface, improved soil aeration increased rate of organic carbon mineralization and soil erosion took away soil nutrition (Wei et al., 2006; Qin et al., 2015a; Chen et al., 2017).”

Line 323-325: More discussion about the effect of soil temperature on ecosystem respiration is necessary.

Our reply: Thanks for your careful review. We have revised this section according to your suggestion (Line 333-336).

“It was well known that rising of soil temperature under natural condition enhanced ecosystem respiration by stimulating decomposition of soil organic matter (Conant et al., 2008), increasing plant biomass (Yi et al., 2014) and activity of microbial enzymes (Bond-Lamberty and Thomson, 2010). However, obvious relationship between Re and soil temperature was not found in the present study (Figure 9), which suggested that other factors involved in controlling Re induced by pikas disturbance and patchiness.”

Line 364: Add the page number.

Our reply: Thanks for your careful review. We have added the page number according to your suggestion (Line 401-402).

“Ahlström, A., Xia, J., Arneeth, A., Luo, Y., Smith, B.: Importance of vegetation dynamics for future terrestrial carbon cycling, *Environ. Res. Lett.*, 10(5), 1-11, 2015.”

Line 564: Add the volume number.

Our reply: Thanks for your careful review. We have added the volume number according to your suggestion (Line 626-628).

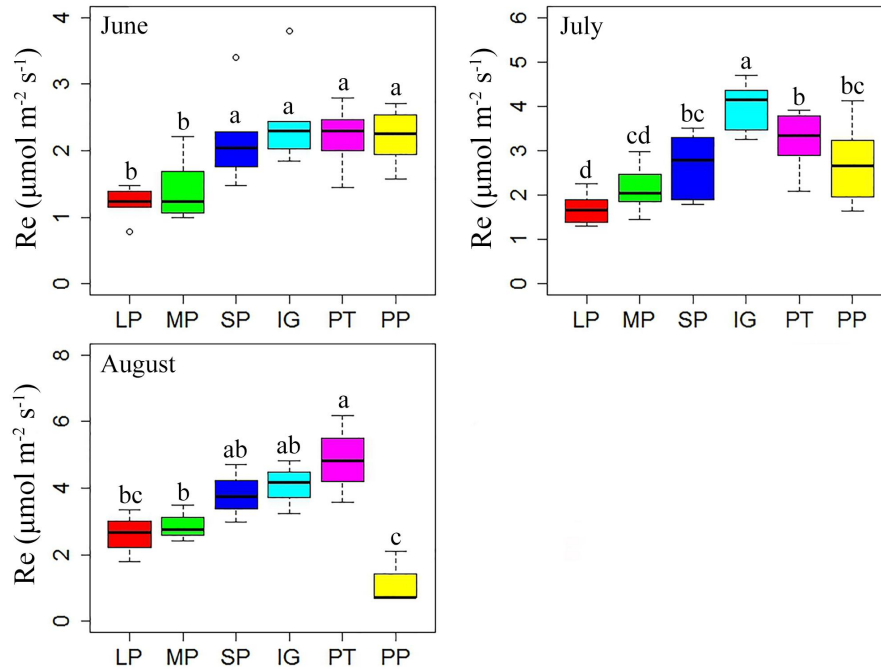
“Yi, S.: Fragmap: a tool for long-term and cooperative monitoring and analysis of small-scale habitat fragmentation using an unmanned aerial vehicle, *Int. J. Remote Sens.*, 38(8-10), 2686-2697, 2017.”

Line 602-604: What's the mean of different lines and dot should be clarify.

Our reply: Thanks for your careful review. We have revised this part according to your suggestion. We have explained the mean of different lines and dot according to your suggestion (Line 670-675).

**Figure 2.** Ecosystem respiration of different surface types: (1) large bald patch (LP), (2) medium bald patch (MP), (3) small bald patch (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT) and (6) old pika pile (PP). The upper solid lines, the

bottom solid lines, the bold solid horizontal line and the empty dot mean the maximum value, minimum value, median and abnormal value. Letters on the error bars indicate significant differences among different surface types at  $P < 0.05$ .



Line 627-628: You can use “\*\*\*indicates significant differences at  $P < 0.01$ , \* indicates significant differences at  $P < 0.05$ ” to make it more clear.

Our reply: Thanks for your careful review. We have revised this part according to your suggestion (Line 693-701).

“Figure 9. The correlation coefficient charts between ecosystem respiration (Re) and biotic and abiotic factors for all six land surfaces. The diagonal line in the figure shows the distributions of the variables themselves. The red line means the frequency distribution of variables. The lower triangle (the left bottom of the diagonal) in the figure shows scatter plots of the two properties. The upper triangle (the upper right of the diagonal) in the figure indicates the correlation values of the two parameters; the asterisk indicates the degree of significance (\*\* indicates significant differences at  $P < 0.01$ , \* indicates significant differences at  $P < 0.05$ ). The bold bigger numbers mean the higher correlation.”

Line 652: What the mean of the red line? I don’t think the red line along the diagonal line is necessary.

Our reply: Thanks for your careful review. The red line means the frequency distribution of variables, which has the similar function with the histogram. However, it coexists with the histogram. If we delete the red line, the histogram would also disappear. We therefore used both the histogram and the red line and explain it in Figure 9 (Line 693-701).

“Figure 9. The correlation coefficient charts between ecosystem respiration (Re) and biotic and abiotic factors for all six land surfaces. The diagonal line in the figure shows the distributions of the variables themselves. The red line means the frequency distribution of variables. The lower triangle (the left bottom of the diagonal) in the figure shows scatter plots of the two properties. The upper triangle (the upper right of the diagonal) in the figure indicates the correlation values of the two parameters; the asterisk indicates the degree of significance (\*\*\*) indicates significant differences at  $P < 0.001$ , \*\* indicates significant differences at  $P < 0.01$ , \* indicates significant differences at  $P < 0.05$ ). The bold bigger numbers mean the higher correlation.”

### **Reviewer 3**

Plateau pika disturbance can be a biotic factor that contributes to the different patchiness, such as large patchiness, medium patchiness.... So, the topic of this manuscript should focus on comparing ecosystem carbon emission among different patchiness, and discuss the all possible factors both biotic and abiotic that can cause patchiness such plateau pika, zokor, marmot, livestock, permafrost.....

Our reply: We appreciate your constructive comments. We have explained how we had revised the manuscript point by point.

Firstly, patchiness in alpine meadow are always induced by multiple factors, such as, grazing, plateau pika disturbance, zokor disturbance, marmot disturbance, permafrost degradation, etc, that is, there is relationship between plateau pika disturbance and patchiness. Plateau pika disturbance also can contribute to the large, medium and small bald patches in your manuscript, because plateau pika does not have the digging activities, but also has burying activities. However, in your manuscript, it seems that plateau pika disturbance and patchiness were two different factors. It is confusing. How did authors distinguish the effects of these two factors? As a result the treatment is potentially confounded with other conditions (permafrost, grazing, other small mammals). This is a fatal problem that authors confuse the plateau pika disturbance and patchiness.

Our reply: We appreciate your constructive comments. As indicated by your comments, the patchiness of alpine grassland originates from multiple factors. We agree that “there is relationship between plateau pika disturbance and patchiness”, however, it is not our aim to investigate whether bald patchiness originates from plateau pika or is affected by other conditions (permafrost, grazing, other small mammals). To make our point clear, we modified our aims at end of Introduction (Line 90-94). We also discussed the origination of patchiness in Discussion (Line 354-378). Thus, the specific aims of this study were to (1) investigate the spatial heterogeneity of Re among different surface types (plateau pika pile, different sizes of bald patches and vegetation) of alpine grassland; (2) illuminate the potential regulating mechanism of pika disturbance and patchiness to ecosystem respiration



(Re) in an alpine meadow grassland in the northeastern part of Qinghai-Tibetan Plateau (QTP). We acknowledge the compounding effects of plateau pika (and also other factors, e.g. permafrost degradation, grazing, etc.) on patchiness, but it is not our aim to investigate the origination of patchiness. In the following part, when we mention pika effects, we mean the direct effects of piles and tunnels from pika excavating other than the bald patches originate from plateau pika or no.

#### **“Effect of pikas disturbance on patchiness**

Natural vegetation patches, bald patches with different sizes and pikas piles coexisted on the alpine meadow (Figure 1), which supported that alpine grassland had also experienced fragmentation (Qin et al., 2018). Several proposed mechanisms may be accounted for the formation and development of patchiness in alpine grassland. As one of dominant form of land utilization, alpine grasslands are widely used for grazing. Previous studies suggested that overgrazing destroyed the original vegetation and led to decrease in the coverage and looseness of soil (Dong et al., 2013), which was prone to form bald patch due to soil erosion (Fécan et al., 1998; Zhang and Dong, 2014). Other than livestock, alpine grassland is also habitats for many small mammals such as plateau pika, zokor (*Eospalax fontanierii*), marmot (*Marmota himalayana*) and fox (*Vulpes ferrilata*). Pikas were considered to create a patchy matrix by changing soil properties (Chen et al., 2017), digging tunnels and burying activities (Dong et al., 2013). On one hand, pikas bury vegetation by fresh excavated soil, then small bare soil patches are formed and further large soil patches are then formed by linking small bare soil patches by wind and/or water (Wei et al., 2007; Ma et al., 2018). On the other hand, pikas dig tunnel underground. Although pikas make burrows are the primary homes to a wide variety of small birds and lizards (Smith and Foggin, 1999), the collapse of pika tunnels results in the emergence of bald soil patches (Zhou et al., 2003; Cao et al., 2010). Moreover, alpine grassland is underlain by extensive permafrost (Chen and Wu, 2007). The repeated freeze and thaw cause the crack of the sod around the barren area (Yang et al. 2003) and create precondition for forming bald patch. However, to date, there are no direct evidences to demonstrate the potential mechanism for forming and developing of patchiness for alpine

grassland on the QTP. It is, therefore, critical to perform long-term repeated monitoring studies to determine whether bald patches are developed from pika piles or burrow tunnels and what the major factors affecting bald patch expansion are (Yi et al., 2016).”

Secondly, the size of plot in the manuscript is 10000 m<sup>2</sup> (100 m \* 100 m). however, pikas are social mammals that live in family group, and the average home range is about 1,262.5 m<sup>2</sup> to 2,308 m<sup>2</sup>, so, any other mammals in the plots? This is, pile could be contributed by other mammals, such as marmot, zokor...

Our reply: Thank you for your careful review. There are no other mammals, e.g. marmot and zokor in our study plots. All of the piles in each plot were created by plateau pikas. To eliminate the confusion, we added the detailed information in the Field observation (Line 113-127).

“At early June 2016, three 100 m × 100 m plots were established as replicates. Each 100 × 100 m plot was in a distance of less than 50 m, which has the similar plant and terrain. In each plot, six representative land surfaces were selected: (1) large bald patch with size larger than 9.0 m<sup>2</sup> (LP), (2) medium bald patch with size of 1.0-9.0 m<sup>2</sup> (MP), (3) small bald patch with size of less than 1.0 m<sup>2</sup> (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT), (6) old pika pile (PP) (Figure 1) (Yi et al., 2016; Qin et al., 2018). There are no other mammals, e.g. marmot, zokor in our study plots. All of the piles in each plot were created by plateau pikas. They were distinguished easily in aerial photographs. Large bald patches had less vegetation cover and the smallest side was larger than 3 m. Medium patches also covered by less vegetation cover and the largest side was in a range of 1 to 3 m and small bald patches were characterized by less vegetation cover and the largest side was less than 1 m. Intact grassland was characterized by high vegetation cover and no large and medium bare land was found. Pika tunnel and pika pile usually co-existed. Pika tunnel is approximately 6 cm in diameter and pika pile is in the front of pika tunnel, 60 cm in diameter and less vegetation cover.”

As for statistics

1. One-way analysis of variance (ANOVA) and a multi-comparison of a least

significant difference (LSD) test were used to determine differences at the  $p=0.05$  level, however, from the manuscript, pika disturbance and patchiness are two independent factors, if so, authors should use two-way anova.

Our reply: Thank you for your suggestion. We have reanalyzed the data using two-way analysis of variance (ANOVA). The results were showed in table 1 and 2.

**Table 1.** Two-way ANOVA results of the effect of patches fragmentation and pikas disturbance on soil temperature, soil moisture and ecosystem respiration.

		Soil temperature			Soil moisture			Ecosystem respiration		
		Jun	Jul	Aug	Jun	Jul	Aug	Jun	Jul	Aug
Patchiness	<i>F</i>	10.44	20.63	3.51	218.23	205.44	62.56	7.03	18.98	2.71
	<i>P</i>	<0.001	<0.001	0.03	<0.001	<0.001	<0.001	0.002	<0.001	0.12
Pikas disturbance	<i>F</i>	16.85	20.14	3.68	4.80	12.97	3.21	0.4	4.93	11.58
	<i>P</i>	<0.001	<0.001	0.03	0.012	<0.001	0.05	0.68	0.023	0.009

**Table 2.** Two-way ANOVA results of the effect of patches fragmentation and pikas disturbance on soil compactness, aboveground biomass, belowground biomass, soil hydraulic conductivity, SOC and TN density.

		Soil compactness	Aboveground biomass	Belowground biomass	Saturated hydraulic conductivity	SOC density	TN density
Patchiness	<i>F</i>	28.10	12.15	7.24	0.75	4.49	10.78
	<i>P</i>	<0.001	0.002	0.023	0.54	0.04	0.003
Pikas disturbance	<i>F</i>	55.86	8.77	11.98	0.42	372.10	69.49
	<i>P</i>	<0.001	0.017	0.002	0.67	<0.001	<0.001

Results: the results are rambling, a summary in each section was lacked.

line 232, “Both pikas disturbance and patchiness significantly affected soil compactness, SOC density, TN density and vegetation biomass”, both? They are independent? it is difficult to find “both” are significant in the table 2.

Our reply: Thank you for your careful review. The significant difference of soil compactness, SOC density, TN density and vegetation biomass under different underlying surfaces were reanalyzed by using two-way analysis of variance (ANOVA). The results were showed in table 1 and 2.

**Table 1.** Two-way ANOVA results of the effect of patches fragmentation and pikas disturbance on soil temperature, soil moisture and ecosystem respiration.

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#### Discussion:

The discussion is very detailed and specific and repeats the results. Patchiness can be caused by several factors (other small mammals, large herbivore, permafrost degradation...etc), however, the authors consider pika disturbance as single factor. In fact, plateau pika disturbance can contribute to cause any patchiness, such as large patchiness, medium patchiness, small patchiness.

Our reply: Thank you for your suggestion. We completely agreed with that plateau pika disturbance may contribute to the large, medium and small bald patches. We

therefore added one section in discussion to explain the potential contribution of pikas disturbance and other factors to patchiness (Line 354-378).

#### **“Effect of pikas disturbance on patchiness**

Natural vegetation patches, bald patches with different sizes and pika piles coexisted on the alpine meadow (Figure 1), which supported that alpine grassland had also experienced fragmentation (Qin et al., 2018). Several proposed mechanisms may be accounted for the formation and development of patchiness in alpine grassland. As one of dominant form of land utilization, alpine grasslands are widely used for grazing. Previous studies suggested that overgrazing destroyed the original vegetation and led to decrease in the coverage and looseness of soil (Dong et al., 2013), which was prone to form bald patch due to soil erosion (Fécan et al., 1998; Zhang and Dong, 2014). Other than livestock, alpine grassland is also habitats for many small mammals such as plateau pika, zokor (*Eospalax fontanierii*), marmot (*Marmota himalayana*) and fox (*Vulpes ferrilata*). Pikas were considered to create a patchy matrix by changing soil properties (Chen et al., 2017), digging tunnels and burying activities (Dong et al., 2013). On one hand, pikas bury vegetation by fresh excavated soil, then small bare soil patches are formed and further large soil patches are then formed by linking small bare soil patches by wind and/or water (Wei et al., 2007; Ma et al., 2018). On the other hand, pikas dig tunnel underground. Although pikas make burrows are the primary homes to a wide variety of small birds and lizards (Smith and Foggin, 1999), the collapse of pika tunnels results in the emergence of bald soil patches (Zhou et al., 2003; Cao et al., 2010). Moreover, alpine grassland is underlain by extensive permafrost (Chen and Wu, 2007). The repeated freeze and thaw cause the crack of the sod around the barren area (Yang et al. 2003) and create precondition for forming bald patch. However, to date, there are no direct evidences to demonstrate the potential mechanism for forming and developing of patchiness for alpine grassland on the QTP. It is, therefore, critical to perform long-term repeated monitoring studies to determine whether bald patches are developed from pika piles or burrow tunnels and what the major factors affecting bald patch expansion are (Yi et al., 2016).”

Details:

table 1 and table 2 “ANOVA results of the effect of patches fragmentation and small mammal activities.....” Small mammal, just plateau pika, or any other small mammals?

Our reply: Thank you for your suggestion. Small mammals only mean plateau pika in our study area. Thus, we have changed “small mammal activities” to “pikas disturbance” both in table 1 and 2 (Line 660-665).

“Table 1. Two-way ANOVA results of the effect of patches fragmentation and pikas disturbance on soil temperature, soil moisture and ecosystem respiration.”

“Table 2. Two-way ANOVA results of the effect of patches fragmentation and pikas disturbance on soil compactness, aboveground biomass, belowground biomass, soil hydraulic conductivity, SOC and TN density.”

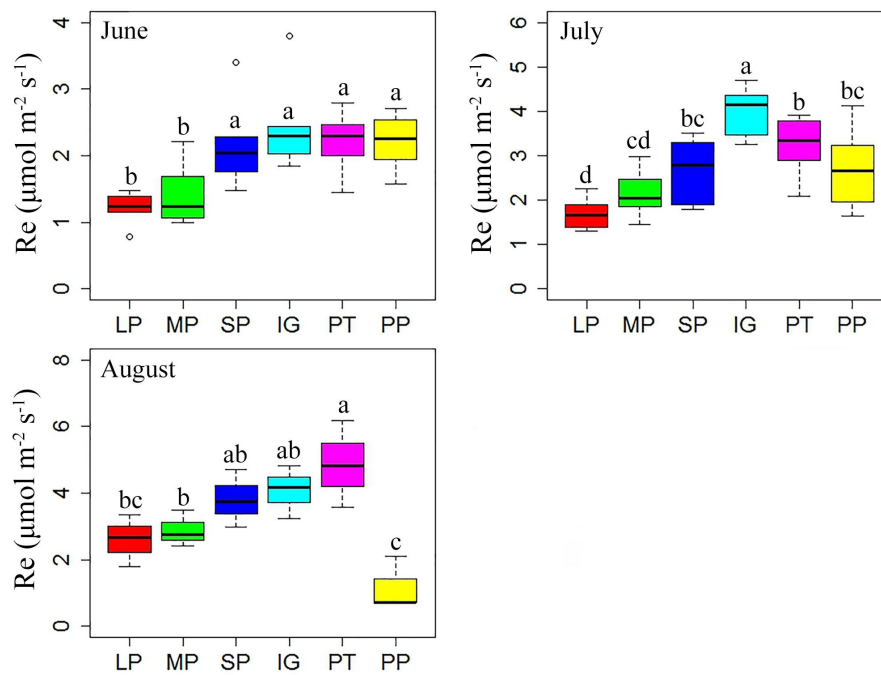
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“At early June 2016, three 100 m × 100 m plots were established as replicates. Each 100 × 100 m plot was in a distance of less than 50 m, which has the similar plant and terrain. In each plot, six representative land surfaces were selected: (1) large bald patch with size larger than 9.0 m<sup>2</sup> (LP), (2) medium bald patch with size of 1.0-9.0 m<sup>2</sup> (MP), (3) small bald patch with size of less than 1.0 m<sup>2</sup> (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT), (6) old pika pile (PP) (Figure 1) (Yi et al., 2016; Qin et al., 2018). There are no other mammals, e.g. marmot, zokor in our study plots. All of the piles in each plot were created by plateau pikas. They were distinguished easily in aerial photographs. Large bald patches had less vegetation cover and the smallest side was larger than 3 m. Medium patches also covered by less vegetation cover and the largest side was in a range of 1 to 3 m and small bald patches were characterized by less vegetation cover and the largest side was less than 1 m. Intact grassland was characterized by high vegetation cover and no large and medium bare land was found. Pika tunnel and pika pile usually co-existed. Pika tunnel is approximately 6 cm in diameter and pika pile is in the front of pika tunnel, 60 cm in diameter and less vegetation cover.”

Fig. 2. a multi-comparison has been done, so what's the difference among the different surface types, different letters showing the differences among the different surface types were lacked.

Our reply: Thank you for your suggestion. We have added different letters above bar to show the differences of Re among the different surface types (Line 670-675).

**Figure 2.** Ecosystem respiration of different surface types: (1) large bald patch (LP), (2) medium bald patch (MP), (3) small bald patch (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT) and (6) old pika pile (PP). The upper solid lines, the bottom solid lines, the bold solid horizontal line and the dot mean the maximum value, minimum value, median and abnormal value. These are the same as following figures. Letters on the error bars indicate significant differences among different surface types at  $P < 0.05$ .



1 **Effect of plateau pikas disturbance and patchiness on ecosystem carbon emission of**  
2 **alpine meadow on the northeastern part of Qinghai-Tibetan Plateau**

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20 **Abstract**

21 Plateau pikas (*Ochotona curzoniae*) disturbance and patchiness intensify the spatial  
22 heterogeneous distribution of vegetation productivity and soil physicochemical properties,  
23 which may alter ecosystem carbon emission process. Nevertheless, previous researches have  
24 mostly focused on the homogeneous vegetation patches rather than heterogeneous land  
25 surface. Thus, this study aims to improve our understanding of the difference in ecosystem  
26 respiration (Re) over heterogeneous land surface in an alpine meadow grassland. Six different  
27 land surface: large bald patch, medium bald patch, small bald patch, intact grassland, above  
28 pika tunnel and pika pile were selected to analyze the response of Re to pikas disturbance and  
29 patchiness, and the key controlling factors. The results showed that (1) Re under intact  
30 grassland were 0.22-1.07 times higher than pika pile and bald patches; (2) soil moisture (SM)  
31 of intact grassland was 2-11% higher than those of pika pile and bald patches despite pikas  
32 disturbance increased water infiltration rate, while soil temperature (ST) under intact  
33 grassland was 1-3°C less than pika pile and bald patches; (3) Soil organic carbon (SOC) and  
34 total nitrogen (TN) under intact grassland were approximate 50 % and 60 % less than above  
35 pika tunnel, whereas 10-30 % and 22-110 % higher than pika pile and bald patches; and (4)  
36 Re was significantly correlated with SM, TN and vegetation biomass ( $P < 0.05$ ). Our results  
37 suggested that pikas disturbance and patchiness altered ecosystem carbon emission pattern,  
38 which was mainly attributed to the reduction of soil water and supply of substrates. Given that  
39 the wide distribution of pikas and large area of bald patches, the varied Re under  
40 heterogeneous land surfaces should not be neglected for estimation of ecosystem carbon  
41 emission at plot or region scale.

42 **Keywords:** pikas disturbance; patchiness; ecosystem respiration; alpine meadow; the  
43 Qinghai-Tibetan Plateau

#### 44 **Introduction**

45 Ecosystem respiration (Re) is the key process to determine the carbon budget in the terrestrial  
46 ecosystem. Thus, even a small imbalances between CO<sub>2</sub> uptake via photosynthesis and CO<sub>2</sub>  
47 release by ecosystem respiration can lead to significant interannual variation in atmospheric  
48 CO<sub>2</sub> (Schimel et al., 2001; Cox et al., 2000; Grogan and Jonasson, 2005; Oberbauer et al.,  
49 2007; Warren and Taranto, 2011). Dependent on autotrophic (plant) and heterotrophic  
50 (microbe) activity, ecosystem respiration is mainly controlled by abiotic factors (primarily  
51 temperature and water availability) (Chimner and Welker, 2005; Flanagan and Johnson, 2005;  
52 Nakano et al., 2008; Buttlar et al., 2018), and supply of carbohydrate fixed by leaves,  
53 vegetation litter and soil organic matter (Janssens et al., 2001; Reichstein et al., 2002).  
54 Therefore, any external disturbance altering environmental conditions and affecting  
55 vegetation growth would exert profound influence on ecosystem carbon emission.

56 One of the basic function of terrestrial ecosystem is to regulate carbon balance between  
57 the atmosphere and ecosystem (Canadell et al., 2007; Le Quéré et al., 2014; Ahlström et al.,  
58 2015). However, this balance would be broken by widespread land degradation (Post and  
59 Kwon, 2000; Dregne, 2002), which accompanied with the reduction of photosynthetic fixed  
60 carbon dioxide from atmosphere and carbon sequestration by soils (Defries et al., 1999;  
61 Upadhyay et al., 2005). It was estimated that land degradation had resulted in 19-29 Pg C loss  
62 worldwide (Lal, 2001). Over the past decades, grasslands have experienced patchiness  
63 throughout the world and this process is still ongoing (Baldi et al., 2006; Wang et al., 2009;  
64 Roch and Jaeger, 2014). Patchiness generally refers to a landscape that consists of remnant  
65 areas of native vegetation surrounded by a more heterogeneous and patchy situation (Kouki  
66 and Löfman, 1998). Other than climate change (Yi et al., 2014), vegetation self-organization  
67 (Rietkerk et al., 2004; Venegas et al., 2005; McKey et al., 2010) or anthropogenic  
68 disturbances (Kouki and Löfman, 1998; Yi et al., 2016), rodents burrowing activities were  
69 also considered as the origin of the patchiness (Wei et al., 2006; Davidson and Lightfoot,  
70 2008). This patchiness intensified spatial heterogeneity of land surface and led to the  
71 changing of the structure and function of the original ecosystem (Herkert et al., 2003;  
72 Bestelmeyer et al., 2006; Lindenmayer and Fischer, 2013). For instance, there is abundant  
73 evidence that patchiness not only intensified the spatial heterogeneous distribution of

74 ecosystem organic carbon (C) and vegetation productivity (Yan et al., 2016; Qin et al., 2018)  
75 but also altered the pattern of coupled water and heat cycling between the land surface and the  
76 atmosphere (Saunders et al., 1991; You et al., 2017; Ma et al., 2018). Consequently, this may  
77 alter ecosystem carbon emission process (Juszczak et al., 2013).

78 Plateau pikas (*Ochotona curzoniae*, hereafter pikas) are small mammals endemic to the  
79 alpine grasslands on the Qinghai-Tibetan Plateau (QTP) (Smith and Foggin, 1999; Lai and  
80 Smith, 2003). Living in underground, they excavated deep layer soil to surface through  
81 foraging and digging activities (Lai and Smith, 2003) and led to substantial bald piles on the  
82 ground. The bald pile was considered to gradually become bald patches under soil erosion,  
83 gravity, freeze-thaw and other factors (Chen et al., 2017; Ma et al., 2018). As a consequence,  
84 natural vegetation patches and adjacent bald patches with different sizes, and pikas piles  
85 represent the most common landscape pattern in the alpine meadow grassland on the QTP.  
86 Previous studies have demonstrated that pikas disturbance and patchiness weaken the function  
87 of alpine meadow as a carbon sink (Liu et al., 13; Peng et al., 2015; Qin et al., 2018) and  
88 accelerated ecosystem carbon emission rate (Qin et al., 2015a). Nevertheless, most of these  
89 studies have mainly focused on ecosystem carbon emission rate under the homogeneous land  
90 surface rather than heterogeneous land surfaces. Thus, the specific aims of this study were to  
91 (1) investigate the spatial heterogeneity of Re among different surface types (plateau pika pile,  
92 different sizes of bald patches and vegetation) of alpine grassland; (2) illuminate the potential  
93 regulating mechanism of pikas disturbance and patchiness to ecosystem respiration (Re) in an  
94 alpine meadow grassland in the northeastern part of Qinghai-Tibetan Plateau (QTP).

## 95 **Materials and methods**

### 96 **Site description**

97 This study was conducted at the permanent plots at Suli Alpine Meadow Ecosystem  
98 Observation and Experiment Station (98°18'33.2", 38°25'13.5", 3887 m a.s.l.), Northwest  
99 Institute of Eco-Environment and Resources, Chinese Academy of Science. The study area is  
100 characterized by a continental arid desert climate, with low mean annual air temperature, little  
101 rainfall, and high evaporation (Wu et al., 2015). The mean annual air temperature was  
102 approximately -4°C and the annual precipitation ranged from 200 to 400mm, respectively  
103 (Chang et al., 2016). The permafrost type at our site is transition and the active layer depth is

104 2.78 ± 1.03 m (Chen et al., 2012). The dominant plant species in the study area were *Kobresia*  
105 *capillifolia*, *Carex moorcroftii* (Qin et al., 2014). Soils was classified as “felty” with a pH of  
106 8.56, 30.96 % silt and fine, 57.52 % fine sand and 10.68 % coarse sand, and soil bulk density  
107 is 1.41 g cm<sup>-3</sup> within a 0-40 cm depth of the soil layer (Qin et al., 2015b). The grassland in  
108 this area suffered from degradation due to permafrost degradation and external disturbance  
109 from grazing livestock and small mammals, i.e. plateau pikas (Yi et al., 2011, Qin et al.,  
110 2015a). As a result, a mosaic pattern of vegetation patches, bald patches with different sizes  
111 and pika piles was common.

### 112 **Field observation**

113 At early June 2016, three 100 m × 100 m plots were established as replicates. Each 100 × 100  
114 m plot was in a distance of less than 50 m, which has the similar plant and terrain. In each  
115 plot, six representative land surfaces were selected: (1) large bald patch with size larger than  
116 9.0 m<sup>2</sup> (LP), (2) medium bald patch with size of 1.0-9.0 m<sup>2</sup> (MP), (3) small bald patch with  
117 size of less than 1.0 m<sup>2</sup> (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT), (6)  
118 old pika pile (PP) (Figure 1) (Yi et al., 2016; Qin et al., 2018). **There were no other mammals,**  
119 **e.g. marmot and zokor in our study plots. All of the piles in each plot were created by plateau**  
120 **pikas.** They were distinguished easily in aerial photographs. Large bald patches had less  
121 vegetation cover and the smallest side was larger than 3 m. Medium patches also covered by  
122 less vegetation cover and the largest side was in a range of 1 to 3 m and small bald patches  
123 were characterized by less vegetation cover and the largest side was less than 1 m. Intact  
124 grassland was characterized by high vegetation cover and no large and medium bare land was  
125 found. Pika tunnel and pika pile usually co-existed. Pika tunnel is approximately 6 cm in  
126 diameter and pika pile is in the front of pika tunnel, 60 cm in diameter and less vegetation  
127 cover. We calculated the threshold area of large, medium and small patches by aerial  
128 photograph. Each aerial photograph has 12 million pixels. At a height of 20 m, the resolution  
129 of each pixel is ~1 cm and each photograph covers ~26 m × 35 m of ground. Pixels in each  
130 aerial image were first classified into two groups, i.e. vegetated or bare patches (Yi, 2017).  
131 Then patches with different sizes were created using OpenCv Library. And finally, fractions  
132 of vegetation and bare patches (large, medium and small patches) were calculated. **For each**  
133 **surface type in each plot, six 1 m × 1 m quadrats were set up, of which three was used for soil**

134 saturated hydraulic conductivity measurement and three for soil compactness measurement,  
135 soil and vegetation sampling. We also set up another three 1 m × 1 m quadrats and three 2 m  
136 × 2 m quadrats in each surface type in a 100 m × 100 m plot for measuring soil temperature,  
137 soil moisture and ecosystem respiration.

138 (Insert Figure 1 here)

139 A meteorological tower was established in our observation station since 2008. Air  
140 temperature (°C) at 2.0m was measured by HMP45C (Vaisala, Helsinki, Finland), and  
141 precipitation was measured using an all-weather precipitation gauge (Geonor T-200B,  
142 Norway) (Wu et al., 2015). Soil temperature and moisture at 10 cm were measured by using  
143 an auto-measurement system (Decagon Inc., USA) from early June to the late August. The  
144 system consisted of an EM50 logger and five 5TM sensors. The data were logged  
145 automatically every 30 minutes. Soil saturated hydraulic conductivity and compactness were  
146 measured one time in each month from June to August. Soil saturated hydraulic conductivity  
147 was measured by Dual Head infiltrometer (Decagon Inc., USA). The measurement process  
148 included soak time 15 minutes, hold time 20 minutes at low pressure head (5 cm) and high  
149 pressure head (15 cm) with 2 cycles. Each measurement takes 95 minutes altogether. Soil  
150 compactness was measured with TJSD-750 (Hangzhou Top Instrument co., LTD, Hangzhou,  
151 China) from the soil surface to 10 cm depth. Ecosystem respiration rates were measured using  
152 the LICOR-8150 Automated Soil CO<sub>2</sub> Flux System, which was an accessory for the  
153 LI-8100A could connect 16 individual chambers at one time and were sampled and controlled  
154 by the LI-8100A Analyzer Control Unit. The air temperature inside of the chamber was  
155 measured using the internal thermistor of the chamber. The ecosystem CO<sub>2</sub> fluxes were  
156 calculated by the equation as follow.

$$157 \quad Fc = \frac{10VP_0 \left(1 - \frac{W_0}{1000}\right) \partial C'}{RS(T_0 + 273.15) \partial t}$$

158 where  $Fc$  is the soil CO<sub>2</sub> efflux rate ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ),  $V$  is volume ( $\text{cm}^3$ ),  $P_0$  is the initial pressure  
159 (kPa),  $W_0$  is the initial water vapor mole fraction ( $\text{mmol mol}^{-1}$ ),  $R$  is the ideal gas constant,  $S$   
160 is soil surface area ( $\text{cm}^2$ ),  $T_0$  is initial air temperature ( $^{\circ}\text{C}$ ), and  $\partial C'/\partial t$  is the initial rate of  
161 change in water-corrected CO<sub>2</sub> mole fraction ( $\mu\text{mol}^{-1} \text{s}^{-1}$ ).

162 Six LICOR-8100-104 long-term opaque chambers (20cm in diameter LICOR, Inc.,  
163 Lincoln, NE, USA) were used to measure alternately between three replicates for six land  
164 surface types. Therefore, 3 days at least were required to complete one rotation measurements  
165 of ecosystem respiration. To measure ecosystem respiration, eighteen polyvinyl chloride  
166 collars with a 20 cm inner diameter and a 12 cm height were inserted into the soil with 3-4 cm  
167 exposed to the air (Qin et al., 2013). All of the collars were installed at least 24 h before the  
168 first measurement to reduce disturbance-induced ecosystem CO<sub>2</sub> effluxes. Ecosystem  
169 respiration rates were measured every 7-10 days from June 16 to August 20 in 2016  
170 depending on weather conditions. A round-the-clock measurement protocol was carried out  
171 and ecosystem respiration rates were measured every 30 minutes. Each measurement takes 1  
172 minute and 45 seconds, including pre-purge 10 seconds, dead band 15 seconds, observation  
173 length 1 minute and post-purge 20 seconds.

#### 174 **Soil and vegetation sampling**

175 Soil samples were collected during the periods of late July to early August 2016. In each  
176 surface type of each plot, five soil cores were collected using a stainless-steel auger (5 cm in  
177 diameter) at depths of 0-10, 10-20, 20-30 and 30-40 cm, and bulked as one composite sample  
178 for each depth in each quadrat. Another five soil cores were sampled by cylindrical cutting  
179 ring (7 cm in diameter and 5.2 cm in depth) to determine soil bulk density from each land  
180 surface type. Pika tunnel was approximate 6 cm in diameter and 40 cm in depth. Therefore,  
181 soil samples were available to collect at depth of 40cm. Totally, 512 soil samples were  
182 collected. Soil samples were firstly air-dried, then removed gravel and stone with manual  
183 sieving and finally weighed. The remaining soil samples with diameter less than 2 mm were  
184 ground to pass through a 0.25 mm sieve for analysis of soil organic carbon (SOC) and soil  
185 total nitrogen (TN) concentration. SOC was measured by dichromate oxidation using  
186 Walkley-Black acid digestion (Nelson and Sommers, 1982). TN was determined by digestion  
187 and then tested using a flow injection analysis system (FIAstar 5000, Foss Inc., Sweden).  
188 Aboveground and belowground biomasses were determined within a 1 m × 1 m quadrat on 4  
189 August 2016 during peak biomass and species diversity. There were a total of 108  
190 aboveground and belowground vegetation samples (3 plots × 6 land surface types × 3  
191 replicates) from the study area. Aboveground biomass was determined by clipping all

192 above-ground living plants at ground level, drying (oven-dried at 65°C for 48 h) and weighing.  
193 Belowground biomass was sampled by collecting five soil columns, and each soil column was  
194 5 cm in diameter and 40 cm in depth. Soil cores were washed with a gentle spray of water  
195 over a fine mesh screen until soil separated from the roots, and then drying (oven-dried at  
196 65°C for 48 h) and weighing.

### 197 **Statistical analysis**

198 The soil organic C and total N densities in different land surface were calculated using the  
199 equation (2) and (3):

$$200 \quad \text{SOC} = \sum_{i=1}^n \rho * (1 - \sigma_{\text{gravel}}) * C_{\text{SOC}} * D_i \quad (2)$$

$$201 \quad \text{TN} = \sum_{i=1}^n \rho * (1 - \sigma_{\text{gravel}}) * C_{\text{TN}} * D_i \quad (3)$$

202 where SOC is soil organic C density (kg m<sup>-2</sup>), TN is soil total N density (kg m<sup>-2</sup>),  $\rho$  is the soil  
203 bulk density (g cm<sup>-3</sup>),  $\sigma_{\text{gravel}}$  is the relative volume of gravel (% w/w),  $C_{\text{SOC}}$  is soil organic C  
204 content (g kg<sup>-1</sup>),  $C_{\text{TN}}$  is soil total N content (g kg<sup>-1</sup>) and  $D_i$  is soil thickness (cm) at layer  $i$ ,  
205 respectively;  $i=1, 2, 3$  and  $4$ .

206 The data were presented as mean  $\pm$  standard deviation. Statistical analyses were performed  
207 using the SPSS 17.0 statistical software package (SPSS Inc., Chicago, IL, USA). Two-way  
208 analysis of variance (ANOVA) and a multi-comparison of a least significant difference (LSD)  
209 test were used to determine differences at the  $p=0.05$  level. The relationships of ecosystem  
210 respiration with biotic and abiotic factors were analyzed by Pearson correlation analysis using  
211 R.

## 212 **Results**

### 213 **Ecosystem respiration**

214 Pika disturbance had significant effect on ecosystem respiration in June and July (Table 1,  
215  $P<0.05$ ), while the significant effect of patchiness on ecosystem respiration was found in July  
216 and August (Table 1,  $P<0.05$ ). During the growing season, ecosystem respiration maximized  
217 in August and minimized in June (Figure 2). In June, ecosystem respiration under intact  
218 grassland, above pika tunnel, small patch and pika pile had no significant difference and the  
219 lowest ecosystem respiration was found under large and medium patches (Figure 2). Average

220 ecosystem respiration under intact grassland was  $4.01 \mu\text{mol m}^{-2} \text{s}^{-1}$  in July, which was  
221 24.35 % to 137.39 % higher than other surface types (Figure 2). In August, average ecosystem  
222 respiration were  $4.07 \mu\text{mol m}^{-2} \text{s}^{-1}$  and  $4.85 \mu\text{mol m}^{-2} \text{s}^{-1}$  for intact grassland and above pika  
223 tunnel,  $2.59\text{-}3.81 \mu\text{mol m}^{-2} \text{s}^{-1}$  for bald patches and  $1.18 \mu\text{mol m}^{-2} \text{s}^{-1}$  for pika pile (Figure 2).

224 (Insert Table 1, Figure 2 here)

### 225 **Microclimate and soil hydrothermal characteristics**

226 Mean temperature and total rainfall during the growing seasons from 1 May to 30 September  
227 in 2016 were  $6.18 \text{ }^{\circ}\text{C}$  and  $343.4 \text{ mm}$ , respectively (Figure 3). Soil temperature and moisture  
228 were significantly different among various land surface types (Table 1,  $P < 0.05$ ). The monthly  
229 average soil temperature was in a range of  $8.20\text{-}13.72 \text{ }^{\circ}\text{C}$  during June to August, which was  
230 approximate  $1\text{-}3 \text{ }^{\circ}\text{C}$  higher under pika pile and bald patches than the intact grassland (Figure  
231 4a,  $P < 0.05$ ). The monthly mean soil moisture from June to August was approximate 30 % for  
232 intact grassland and above pika tunnel, 25 % for small patch and pika pile, and 20 % for  
233 larger and medium patch (Figure 4b). Soil saturated hydraulic conductivity had no significant  
234 difference among different land surfaces (Table 2,  $P > 0.05$ ). However, soil saturated hydraulic  
235 conductivity under intact grassland was approximate 40 % higher than medium and large  
236 patches and 17 % lower than pika pile (Figure 5).

237 (Insert Table 2, Figure 3 to 5 here)

### 238 **Soil and vegetation properties**

239 Both pikas disturbance and patchiness significantly affected soil compactness, SOC density,  
240 TN density and vegetation biomass (Table 2,  $P < 0.05$ ). Soil compactness was over  $0.30 \text{ Pa}$  in  
241 intact grassland and above pika tunnel, approximate  $0.20 \text{ Pa}$  for bald patches and less than  
242  $0.10 \text{ Pa}$  for pika pile (Figure 6), respectively. Mean SOC and TN density under intact  
243 grassland were 52.45 % and 59.14 % less than above pika tunnel, whereas they were  
244 9.69-30.12 % and 22.47-109.62 % higher than pika pile and bald patches (Figure 7).  
245 Aboveground and belowground biomass under intact grassland were approximate 30 %  
246 higher than above pika tunnel, 90 % higher than pika pile, 123-252 % and 134-289 % higher  
247 than bald patches (Figure 8a, b).

248 (Insert Figure 6 to 8 here)

### 249 **Factors regulate ecosystem respiration**



250 We analyzed the relationships of ecosystem respiration with biotic and abiotic factors for six  
251 land surface types (Figure 9). Correlation analysis showed that ecosystem respiration had no  
252 significant correlation with soil temperature ( $P>0.05$ , Figure 9). However, ecosystem  
253 respiration was significantly and positively related to soil moisture ( $P<0.01$ ), soil total  
254 nitrogen ( $P<0.05$ ), aboveground ( $P<0.05$ ) and belowground biomass ( $P<0.05$ ) (Figure 9).

255 (Insert Figure 9 here)

## 256 Discussion

### 257 Effect of pikas disturbance on ecosystem respiration

258 Pikas burrowing activities increased oxygen content in deep soil, which contributed to the  
259 decomposition of soil organic matter (Martin, 2003). The deposition of urine and feces by  
260 small herbivorous mammals could also promote ecosystem nutrition circulation (Clark et al.,  
261 2005). It was suggested that excreta deposited by pikas and frequently haunted in or near their  
262 burrows supplied organic C available to microbial decomposition with an increase in  
263 ecosystem CO<sub>2</sub> emission (Cao et al., 2004). Indeed, SOC and TN densities reached up to  
264 14.54 and 0.98 kg m<sup>-2</sup> in above pika tunnel, which was 2.45 and 2.10 times higher than that of  
265 intact grassland (Figure 7), respectively. The consistent results reported that the contents of  
266 available soil nutrients around the pikas burrow were higher than those in control sites on an  
267 alpine meadow (Zhang et al., 2016). We also found that SOC and TN densities under pika pile  
268 decreased 13.35 % and 42.93 % than intact grassland. This was because pika burrowing  
269 activity transferred of deeper, nutrient-poor soil to the soil surface, improved soil aeration  
270 increased rate of organic carbon mineralization and soil erosion took away soil nutrition (Wei  
271 et al., 2006; Qin et al., 2015a; Chen et al., 2017). However, except July, no significant  
272 difference of Re was found between intact grassland and above pika tunnel, while Re under  
273 pika pile was 42.08 % less than intact grassland (Figure 2). The similar result was also found  
274 in an alpine meadow on the QTP (Peng et al., 2015), which indicated that ecosystem  
275 respiration decreased with increasing of pika holes because of grassland biomass regulated  
276 soil C and N with increasing number of pika holes. These results confirmed that pikas  
277 disturbance did not increase ecosystem carbon emission directly, but facilitated CO<sub>2</sub> emission  
278 into the atmosphere through pika holes (Qin et al., 2015a). The difference of ecosystem  
279 respiration between intact grassland and pika piles was mainly related to changes in

280 vegetation biomass and soil moisture. For example, both aboveground and belowground  
281 biomass decreased 244.62 % and 279.89 % under pika piles compared with the intact  
282 grassland (Figure 8). The reduction of vegetation biomass production decreased aboveground  
283 plant respiration and root respiration by decreasing carbon allocation (e.g., root exudates and  
284 litter, and available SOC) (Raich and Potter, 1995; Högberg et al., 2002; Yang et al., 2018).  
285 Consistent with previous studies which demonstrated that pikas burrowing activity increased  
286 water infiltration rate (Hogan, 2010; Wilson and Smith, 2015), our results also showed that  
287 soil saturated hydraulic conductivity in pika pile was significantly higher than bald and  
288 vegetation patches (Figure 5). Nevertheless, the increased water infiltration was unable to  
289 increase soil moisture under pika piles. For example, soil moisture under pika piles was  
290 approximate 5 % lower than intact grassland (Figure 4). Our result was discrepant with  
291 previous studies which reported old pika mound had the highest soil moisture during the  
292 summer (Ma et al., 2018) and moderate pika burrowing activities increased surface soil  
293 moisture (Li and Zhang, 2006). This difference may be contributed to the high pika density in  
294 alpine meadow (Guo et al, 2017). Moreover, pika piles were loose (Figure 6) with less  
295 vegetation cover (Figure 8), which was not beneficial for soil moisture storage.

#### 296 **Effect of patchiness on ecosystem respiration**

297 Our results clearly showed that patchiness resulted in significant reduction of ecosystem  
298 carbon emission. Compared with the intact grassland, ecosystem respiration decreased  
299 approximate 17-48 % for bald patches (Figure 2). Two possible mechanisms could account  
300 for the effects of patchiness on ecosystem respiration. On one hand, the reduction of SOC and  
301 TN decreased microbial respiration by decreasing substrate supply to microbes in the  
302 rhizosphere (Nobili et al., 2001; Scott-Denton et al., 2010). Our results indicated that  
303 patchiness caused evident loss of SOC and TN (Figure 7) due to reduction in C input from  
304 vegetation and increasing in C output from soil erosion (Qin et al., 2018). Previous study have  
305 shown that the spatial heterogeneity of soil respiration was attributed to uneven soil organic  
306 carbon and total nitrogen content (Xu and Qi, 2010). Soil organic carbon was considered as  
307 the basic substrate of CO<sub>2</sub> emission by microbial decomposition (Sikora and Mccoy, 1990)  
308 and soil total N enhanced ecosystem CO<sub>2</sub> emission by providing a source of protein for  
309 microbial growth (Tewary et al., 1982). On the other hand, low moisture availability would

310 limit microbial respiration by restricting access to C substrates, reducing the diffusion of C  
311 substrates and extracellular enzymes, and limiting microbial mobility (Yuste et al., 2003;  
312 Wang et al., 2014). Our results showed that soil moisture under large and medium patches  
313 decreased 10 % than intact grassland (Figure 4). Previous studies had reported that the soil  
314 compaction of bald patches decreased the rate of water infiltration (Wuest et al., 2006; Wilson  
315 and Smith, 2015), which was similar with our results showed that bald patches had less  
316 saturated soil hydraulic conductivity (Figure 5). Low vegetation cover under bald patches was  
317 not beneficial for water retention and utilization, where most of soil water was mainly lost as  
318 a way of evaporation (Yi et al., 2014). We have measured evaporation of the intact grassland,  
319 isolate grassland, large patches, medium patches and small patches since the early June 2016.  
320 Three years results indicated that evaporation under bald patches were higher than the intact  
321 grassland (data were not shown here).

#### 322 **Factors affected ecosystem respiration**

323 Most previous studies showed that soil temperature explained most of the temporal variation  
324 of ecosystem respiration on the alpine grassland on the QTP (Lin et al, 2011; Qin et al., 2015c;  
325 Zhang et al., 2017). Our results indicated that soil temperature under pika piles and bald  
326 patches was approximate 1 to 3 °C higher than intact grassland (Figure 4), which mainly  
327 resulted from the heterogeneity of surface albedo, surface soil water retention, heat  
328 conduction properties and radiation (Beringer et al., 2005; Pielke, 2005; Yi et al., 2013; You et  
329 al., 2017). It was suggested that pikas disturbance create a better soil temperature buffer for  
330 them to avoid the extreme cold in winter (Ma et al., 2018), whereas high soil temperature  
331 under bald patch was a disadvantage for the recovery of vegetation because patch surface had  
332 the smallest soil moisture content (Figure 4) and the largest daily range of soil temperature  
333 (Ma et al., 2018). **It was well known that rising of soil temperature under natural condition  
334 enhanced ecosystem respiration by stimulating decomposition of soil organic matter (Conant  
335 et al., 2008), increasing plant biomass (Yi et al., 2014) and activity of microbial enzymes  
336 (Bond-Lamberty and Thomson, 2010). However, obvious relationship between Re and soil  
337 temperature was not found in the present study (Figure 9), which suggested that other factors  
338 involved in controlling Re induced by pikas disturbance and patchiness.** Our results showed  
339 that Re were positively correlated with soil moisture, soil total nitrogen, aboveground and

340 belowground biomass (Figure 9). Pikas disturbance and patchiness led to the drying and  
341 loosening of soil (Figure 4 and 6). It was considered that loose, dry surface sediments and  
342 strong winds were the primary factors responsible for soil erosion (Dong et al., 2010b) and  
343 wind erosion was especially common in arid and semi-arid regions (Zhang and Dong, 2014).  
344 This resulted in the reduction of soil organic carbon, total nitrogen and vegetation biomass  
345 (Figure 7 and 8). The alteration of these biotic and abiotic factors induced by pikas  
346 disturbance and patchiness led to the decline of ecosystem respiration. Nevertheless, the  
347 decline of ecosystem respiration did not completely offset the sequestration of C fixed by  
348 photosynthesis because of the lower vegetation cover under bald patches and pika piles.  
349 Given the large area covered by bald patches in alpine grasslands, patchiness was more  
350 susceptible to erosion and exert greater influence on ecosystem respiration than pikas  
351 disturbance. Recent study has also reported that bald patches of various sizes on the  
352 grasslands played a much more important role than pikas direct disturbance in reducing  
353 vegetation cover, aboveground biomass, soil carbon and nitrogen (Yi et al., 2016).

#### 354 **Effect of pikas disturbance on patchiness**

355 Natural vegetation patches, bald patches with different sizes and pikas piles coexisted on the  
356 alpine meadow (Figure 1), which supported that alpine grassland had also experienced  
357 fragmentation (Qin et al., 2018). Several proposed mechanisms may be accounted for the  
358 formation and development of patchiness in alpine grassland. As one of dominant form of  
359 land utilization, alpine grasslands are widely used for grazing. Previous studies suggested that  
360 overgrazing destroyed the original vegetation and led to decrease in the coverage and  
361 looseness of soil (Dong et al., 2013), which was prone to form bald patch due to soil erosion  
362 (Fécan et al., 1998; Zhang and Dong, 2014). Other than livestock, alpine grassland is also  
363 habitats for many small mammals such as plateau pika, zokor (*Eospalax fontanierii*), marmot  
364 (*Marmota himalayana*) and fox (*Vulpes ferrilata*). Pikas were considered to create a patchy  
365 matrix by changing soil properties (Chen et al., 2017), digging tunnels and burying activities  
366 (Dong et al., 2013). On one hand, pikas bury vegetation by fresh excavated soil, then small  
367 bare soil patches are formed and further large soil patches are then formed by linking small  
368 bare soil patches by wind and/or water (Wei et al., 2007; Ma et al., 2018). On the other hand,  
369 pikas dig tunnel underground. Although pikas make burrows are the primary homes to a wide

370 variety of small birds and lizards (Smith and Foggin, 1999), the collapse of pika tunnels  
371 results in the emergence of bald soil patches (Zhou et al., 2003; Cao et al., 2010). Moreover,  
372 alpine grassland is underlain by extensive permafrost (Chen and Wu, 2007). The repeated  
373 freeze and thaw cause the crack of the sod around the barren area (Yang et al. 2003) and  
374 create precondition for forming bald patch. However, to date, there are no direct evidences to  
375 demonstrate the potential mechanism for forming and developing of patchiness for alpine  
376 grassland on the QTP. It is, therefore, critical to perform long-term repeated monitoring  
377 studies to determine whether bald patches are developed from pika piles or burrow tunnels  
378 and what the major factors affecting bald patch expansion are (Yi et al., 2016).

### 379 **Conclusions**

380 In this study, we investigated soil physicochemical properties, vegetation biomass and  
381 ecosystem respiration (Re) under six land surfaces originating from pikas disturbance and  
382 patchiness. We also analyzed the dominant factors regulated the Re. Our results showed that  
383 pikas disturbance and patchiness decreased soil moisture but increased soil temperature,  
384 which may be conducive to pikas survive in cold season but disadvantage for vegetation  
385 growth. Patchiness caused evident decreasing in SOC and TN density, while both SOC and  
386 TN density showed different response under pika piles and burrows. Both pikas disturbance  
387 and patchiness decreased ecosystem carbon emission, and ecosystem respiration sharply  
388 correlated with soil moisture, TN and vegetation biomass. Our results indicated that pikas  
389 disturbance and patchiness led to the changing of ecosystem respiration process owing to the  
390 drying of soil and the reduction of substrate supply. However, the decline of ecosystem  
391 respiration may not able to offset the sequestration of C fixed by photosynthesis.

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660 **Table 1.** Two-way ANOVA results of the effect of patches fragmentation and pikas  
 661 disturbance on soil temperature, soil moisture and ecosystem respiration.

		Soil temperature			Soil moisture			Ecosystem respiration		
		Jun	Jul	Aug	Jun	Jul	Aug	Jun	Jul	Aug
Patchiness	<i>F</i>	10.44	20.63	3.51	218.23	205.44	62.56	7.03	18.98	2.71
	<i>P</i>	<0.001	<0.001	0.03	<0.001	<0.001	<0.001	0.002	<0.001	0.12
Pikas disturbance	<i>F</i>	16.85	20.14	3.68	4.80	12.97	3.21	0.4	4.93	11.58
	<i>P</i>	<0.001	<0.001	0.03	0.012	<0.001	0.05	0.68	0.023	0.009

662 **Table 2.** Two-way ANOVA results of the effect of patches fragmentation and pikas  
 663 disturbance on soil compactness, aboveground biomass, belowground biomass, soil hydraulic  
 664 conductivity, SOC and TN density.

		Soil compactness	Aboveground biomass	Belowground biomass	Saturated hydraulic conductivity	SOC density	TN density
Patchiness	<i>F</i>	28.10	12.15	7.24	0.75	4.49	10.78
	<i>P</i>	<0.001	0.002	0.023	0.54	0.04	0.003
Pikas disturbance	<i>F</i>	55.86	8.77	11.98	0.42	372.10	69.49
	<i>P</i>	<0.001	0.017	0.002	0.67	<0.001	<0.001

666 **Figure legends**

667 **Figure 1.** An aerial photo of field observation of ecosystem respiration at six surface types: (1)  
668 Large bald patch (LP), (2) Medium bald patch (MP), (3) Small bald patch (SP), (4) Intact  
669 grassland patch (IG), (5) above pika tunnel (PT) and (6) old Pika pile (PP).

670 **Figure 2.** Ecosystem respiration of different surface types: (1) large bald patch (LP), (2)  
671 medium bald patch (MP), (3) small bald patch (SP), (4) intact grassland patch (IG), (5) above  
672 pika tunnel (PT) and (6) old pika pile (PP). The upper solid lines, the bottom solid lines, the  
673 bold solid horizontal line and the empty dot mean the maximum value, minimum value,  
674 median and abnormal value. Letters on the error bars indicate significant differences among  
675 different surface types at  $P < 0.05$ .

676 **Figure 3.** Daily average air temperature and precipitation of the study site in 2016.

677 **Figure 4.** Monthly average soil temperature and soil moisture under different surface types:  
678 (1) large bald patch (LP), (2) medium bald patch (MP), (3) small bald patch (SP), (4) intact  
679 grassland patch (IG), (5) above pika tunnel (PT) and (6) old pika pile (PP).

680 **Figure 5.** Soil saturated hydraulic conductivity (SHC) under different surface types: (1) large  
681 bald patch (LP), (2) medium bald patch (MP), (3) small bald patch (SP), (4) intact grassland  
682 patch (IG), (5) above pika tunnel (PT) and (6) old pika pile (PP).

683 **Figure 6.** Soil compactness under different surface types: (1) large bald patch (LP), (2)  
684 medium bald patch (MP), (3) small bald patch (SP), (4) intact grassland patch (IG), (5) above  
685 pika tunnel (PT) and (6) old pika pile (PP).

686 **Figure 7.** Soil organic carbon (SOC) (a) and total nitrogen (TN) (b) density of different  
687 surface types: (1) large bald patch (LP), (2) medium bald patch (MP), (3) small bald patch  
688 (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT) and (6) old pika pile (PP).

689 **Figure 8.** Aboveground biomass (AGB) (a) and belowground biomass (BGB) (b) under  
690 different surface types: (1) large bald patch (LP), (2) medium bald patch (MP), (3) small bald  
691 patch (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT) and (6) old pika pile  
692 (PP).

693 **Figure 9.** The correlation coefficient charts between ecosystem respiration ( $R_e$ ) and biotic  
694 and abiotic factors for all six land surfaces. The diagonal line in the figure shows the  
695 distributions of the variables themselves. The red line means the frequency distribution of

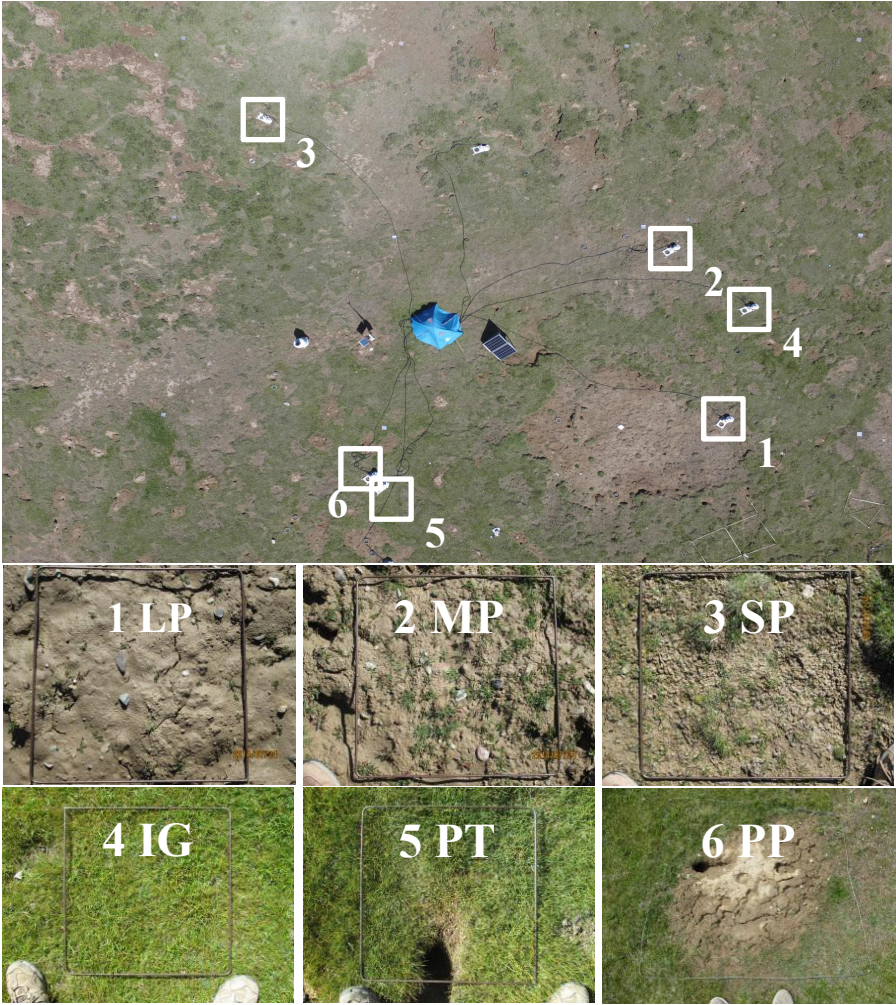


696 **variables**. The lower triangle (the left bottom of the diagonal) in the figure shows scatter plots  
697 of the two properties. The upper triangle (the upper right of the diagonal) in the figure  
698 indicates the correlation values of the two parameters; the asterisk indicates the degree of  
699 significance (\*\* indicates significant differences at  $P < 0.001$ , **\*\* indicates significant**  
700 **differences at  $P < 0.01$ , \* indicates significant differences at  $P < 0.05$** ). The bold bigger  
701 numbers mean the higher correlation.

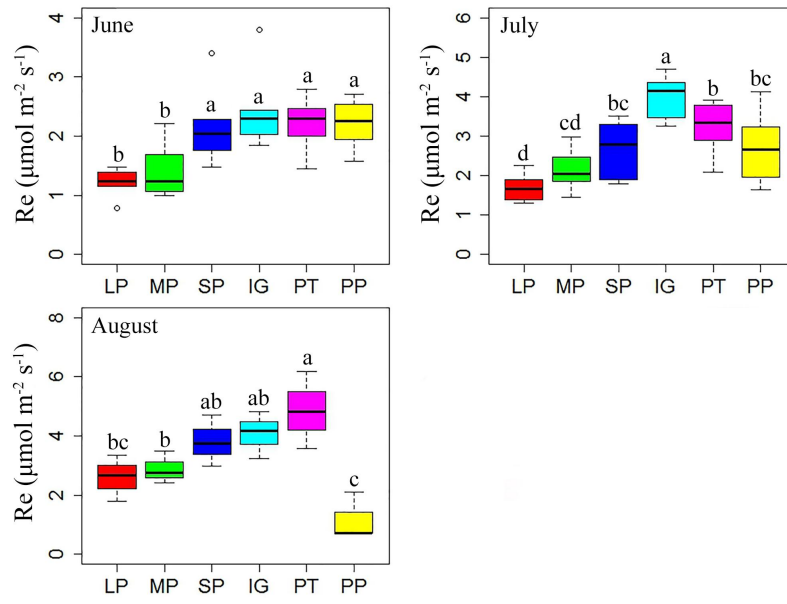
702 **Figure 1.**

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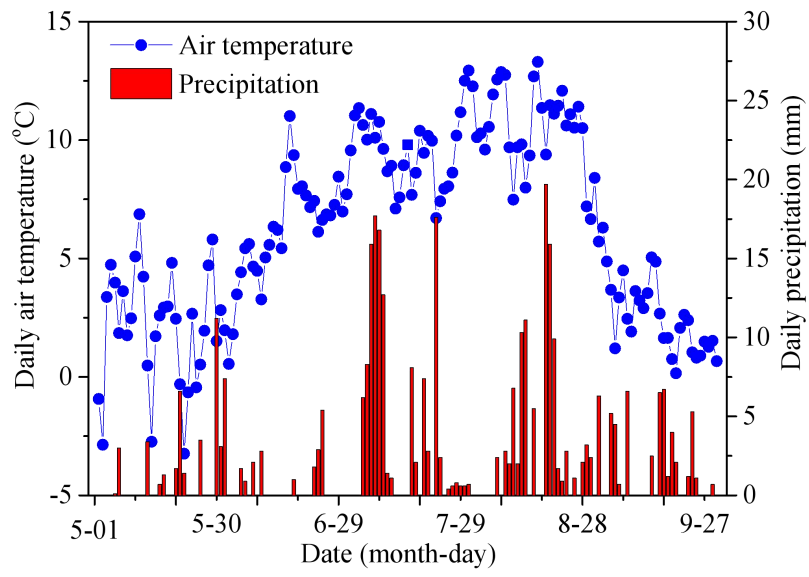
705 **Figure 2.**



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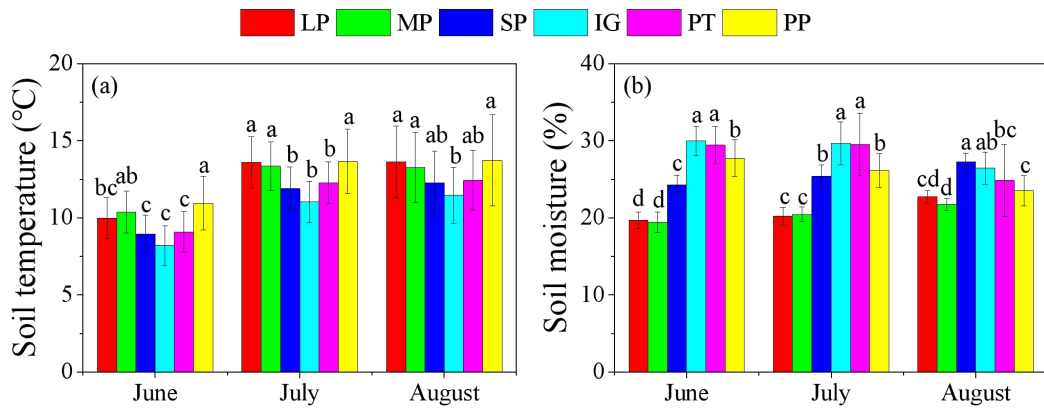
708 **Figure 3.**



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711 **Figure 4.**

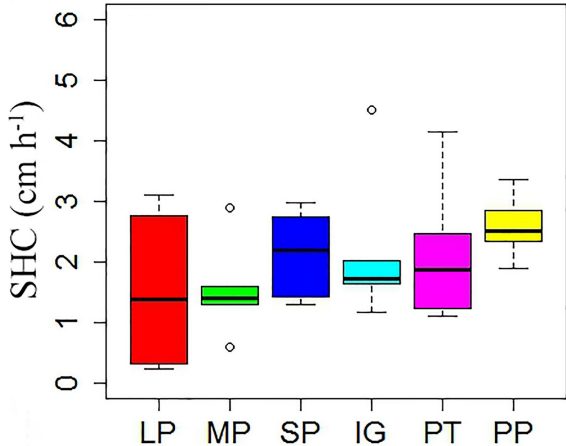


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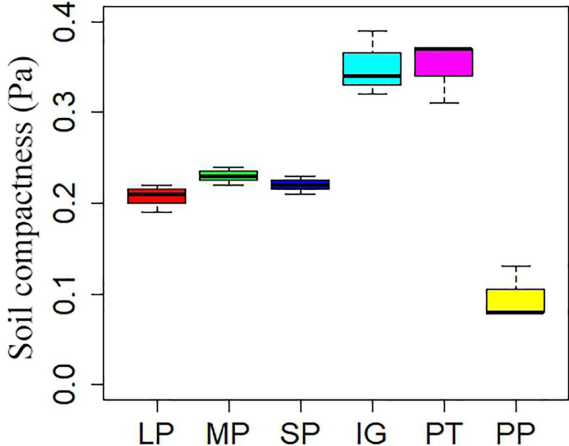
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715 **Figure 5.**



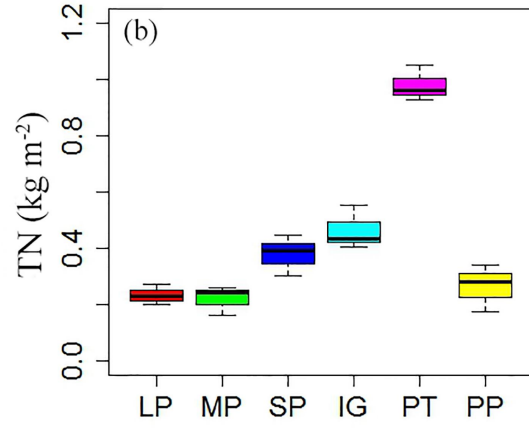
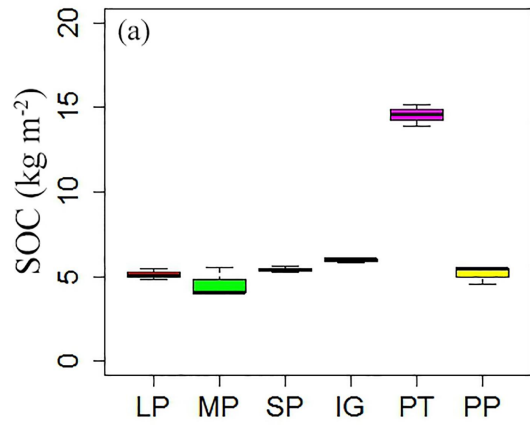
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717 **Figure 6.**



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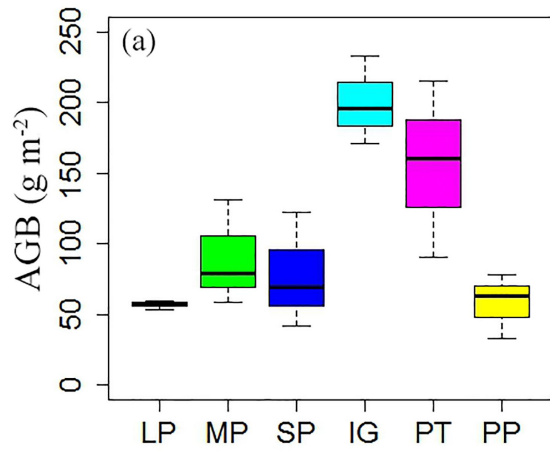
719 **Figure 7.**



720



721 **Figure 8.**



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