

1 **Effect of plateau pikas disturbance and patchiness on ecosystem carbon emission of**  
2 **alpine meadow on the northeastern part of Qinghai-Tibetan Plateau**

3 Yu Qin<sup>1</sup>, Shuhua Yi<sup>2, 1\*</sup>, Yongjian Ding<sup>1, 3</sup>, Wei Zhang<sup>1, 3</sup>, Yan Qin<sup>1, 3</sup>, Jianjun Chen<sup>4,5</sup>, Zhiwei  
4 Wang<sup>1,6</sup>

5 1. State Key Laboratory of Cryospheric Sciences, Northwest Institute of Eco-Environment  
6 and Resources, Chinese Academy of Sciences, 320 Donggang West Road, Lanzhou 730000,  
7 China

8 2. School of Geographic Sciences, Nantong University, 999 Tongjing Road, Nantong, Jiangsu,  
9 226007, China

10 3. University of the Chinese Academy of Sciences, No.19A Yuquan Road, Beijing 100049,  
11 China

12 4. College of Geomatics and Geoinformation, Guilin University of Technology, 12 Jiangan Ro  
13 ad, Guilin, 541004, China

14 5. Guangxi Key Laboratory of Spatial Information and Geomatics, 12 Jiangan Road, Guilin, 5  
15 41004, China

16 6. Guizhou Institute of Prataculture, Guizhou Academy of Agricultural Sciences, Guiyang,  
17 550006, People's Republic of China

18 \* E-mail: yis@lzb.ac.cn

19 Tel: +86-931-4967356

20 **Abstract**

21 Plateau pikas (*Ochotona curzoniae*) disturbance and patchiness intensify the spatial  
22 heterogeneous distribution of vegetation productivity and soil physicochemical properties,  
23 which may alter ecosystem carbon emission process. Nevertheless, previous researches have  
24 mostly focused on the homogeneous vegetation patches rather than heterogeneous land  
25 surface. Thus, this study aims to improve our understanding of the difference in ecosystem  
26 respiration (Re) over heterogeneous land surface in an alpine meadow grassland. Six different  
27 land surface: large bald patch, medium bald patch, small bald patch, intact grassland, above  
28 pika tunnel and pika pile were selected to analyze the response of Re to pikas disturbance and  
29 patchiness, and the key controlling factors. The results showed that (1) Re under intact  
30 grassland were 0.22-1.07 times higher than pika pile and bald patches; (2) soil moisture (SM)  
31 of intact grassland was 2-11% higher than those of pika pile and bald patches despite pikas  
32 disturbance increased water infiltration rate, while soil temperature (ST) under intact  
33 grassland was 1-3°C less than pika pile and bald patches; (3) Soil organic carbon (SOC) and  
34 total nitrogen (TN) under intact grassland were approximate 50 % and 60 % less than above  
35 pika tunnel, whereas 10-30 % and 22-110 % higher than pika pile and bald patches; and (4)  
36 Re was significantly correlated with SM, TN and vegetation biomass ( $P < 0.05$ ). Our results  
37 suggested that pikas disturbance and patchiness altered ecosystem carbon emission pattern,  
38 which was mainly attributed to the reduction of soil water and supply of substrates. Given that  
39 the wide distribution of pikas and large area of bald patches, the varied Re under  
40 heterogeneous land surfaces should not be neglected for estimation of ecosystem carbon  
41 emission at plot or region scale.

42 **Keywords:** pikas disturbance; patchiness; ecosystem respiration; alpine meadow; the  
43 Qinghai-Tibetan Plateau

#### 44 **Introduction**

45 Ecosystem respiration (Re) is the key process to determine the carbon budget in the terrestrial  
46 ecosystem. Thus, even a small imbalances between CO<sub>2</sub> uptake via photosynthesis and CO<sub>2</sub>  
47 release by ecosystem respiration can lead to significant interannual variation in atmospheric  
48 CO<sub>2</sub> (Schimel et al., 2001; Cox et al., 2000; Grogan and Jonasson, 2005; Oberbauer et al.,  
49 2007; Warren and Taranto, 2011). **Dependent on autotrophic (plant) and heterotrophic**  
50 **(microbe) activity, ecosystem respiration is mainly controlled by abiotic factors (primarily**  
51 **temperature and water availability) (Chimner and Welker, 2005; Flanagan and Johnson, 2005;**  
52 **Nakano et al., 2008; Buttlar et al., 2018), and supply of carbohydrate fixed by leaves,**  
53 **vegetation litter and soil organic matter (Janssens et al., 2001; Reichstein et al., 2002).**  
54 Therefore, any external disturbance altering environmental conditions and affecting  
55 vegetation growth would exert profound influence on ecosystem carbon emission.

56 One of the basic function of terrestrial ecosystem is to regulate carbon balance between  
57 the atmosphere and **ecosystem** (Canadell et al., 2007; Le Quéré et al., 2014; Ahlström et al.,  
58 2015). However, this balance would be broken by widespread land degradation (Post and  
59 Kwon, 2000; Dregne, 2002), which accompanied with the reduction of photosynthetic fixed  
60 carbon dioxide from atmosphere and carbon sequestration by soils (Defries et al., 1999;  
61 Upadhyay et al., 2005). It was estimated that land degradation had resulted in 19-29 Pg C loss  
62 worldwide (Lal, 2001). **Over the past decades, grasslands have experienced patchiness**  
63 **throughout the world and this process is still ongoing (Baldi et al., 2006; Wang et al., 2009;**  
64 **Roch and Jaeger, 2014). Patchiness generally refers to a landscape that consists of remnant**  
65 **areas of native vegetation surrounded by a more heterogeneous and patchy situation (Kouki**  
66 **and Löfman, 1998). Other than climate change (Yi et al., 2014), vegetation self-organization**  
67 **(Rietkerk et al., 2004; Venegas et al., 2005; McKey et al., 2010) or anthropogenic**  
68 **disturbances (Kouki and Löfman, 1998; Yi et al., 2016), rodents burrowing activities were**  
69 **also considered as the origin of the patchiness (Wei et al., 2006; Davidson and Lightfoot,**  
70 **2008). This patchiness intensified spatial heterogeneity of land surface and led to the**  
71 **changing of the structure and function of the original ecosystem (Herkert et al., 2003;**  
72 **Bestelmeyer et al., 2006; Lindenmayer and Fischer, 2013). For instance, there is abundant**  
73 **evidence that patchiness not only intensified the spatial heterogeneous distribution of**

74 ecosystem organic carbon (C) and vegetation productivity (Yan et al., 2016; Qin et al., 2018)  
75 but also altered the pattern of coupled water and heat cycling between the land surface and the  
76 atmosphere (Saunders et al., 1991; You et al., 2017; Ma et al., 2018). Consequently, this may  
77 alter ecosystem carbon emission process (Juszczak et al., 2013).

78 Plateau pikas (*Ochotona curzoniae*, hereafter pikas) are small mammals endemic to the  
79 alpine grasslands on the Qinghai-Tibetan Plateau (QTP) (Smith and Foggin, 1999; Lai and  
80 Smith, 2003). Living in underground, they excavated deep layer soil to surface through  
81 foraging and digging activities (Lai and Smith, 2003) and led to substantial bald piles on the  
82 ground. The bald pile was considered to gradually become bald patches under soil erosion,  
83 gravity, freeze-thaw and other factors (Chen et al., 2017; Ma et al., 2018). As a consequence,  
84 natural vegetation patches and adjacent bald patches with different sizes, and pikas piles  
85 represent the most common landscape pattern in the alpine meadow grassland on the QTP.  
86 Previous studies have demonstrated that pikas disturbance and patchiness weaken the function  
87 of alpine meadow as a carbon sink (Liu et al., 13; Peng et al., 2015; Qin et al., 2018) and  
88 accelerated ecosystem carbon emission rate (Qin et al., 2015a). **Nevertheless, most of these**  
89 **studies have mainly focused on ecosystem carbon emission rate under the homogeneous land**  
90 **surface rather than heterogeneous land surfaces.** It remains unclear what the differences of  $R_e$   
91 are among heterogeneous land surfaces, especially under the disturbance of pikas and  
92 patchiness. **Thus, the specific aims of this study were to (1) investigate the spatial**  
93 **heterogeneity of  $R_e$  under the effect of pikas and patchiness; (2) illuminate the potential**  
94 **regulating mechanism of pikas disturbance and patchiness to ecosystem respiration ( $R_e$ ) in an**  
95 **alpine meadow grassland in the northeastern part of Qinghai-Tibetan Plateau (QTP).**

## 96 **Materials and methods**

### 97 **Site description**

98 This study was conducted at the permanent plots at Suli Alpine Meadow Ecosystem  
99 Observation and Experiment Station (98°18'33.2", 38°25'13.5", 3887 m a.s.l.), Northwest  
100 Institute of Eco-Environment and Resources, Chinese Academy of Science. The study area is  
101 characterized by a continental arid desert climate, with low mean annual air temperature, little  
102 rainfall, and high evaporation (Wu et al., 2015). The mean annual air temperature was  
103 approximately -4°C and the annual precipitation ranged from 200 to 400mm, respectively

104 (Chang et al., 2016). The permafrost type at our site is transition and the active layer depth is  
105  $2.78 \pm 1.03$  m (Chen et al., 2012). The dominant plant species in the study area were *Kobresia*  
106 *capillifolia*, *Carex moorcroftii* (Qin et al., 2014). Soils was classified as “felty” with a pH of  
107 8.56, 30.96 % silt and fine, 57.52 % fine sand and 10.68 % coarse sand, and soil bulk density  
108 is  $1.41 \text{ g cm}^{-3}$  within a 0-40 cm depth of the soil layer (Qin et al., 2015b). The grassland in  
109 this area suffered from degradation due to permafrost degradation and external disturbance  
110 from grazing livestock and small mammals, i.e. plateau pikas (Yi et al., 2011, Qin et al.,  
111 2015a). As a result, a mosaic pattern of vegetation patches, bald patches with different sizes  
112 and pika piles was common.

### 113 **Field observation**

114 At early June 2016, three  $100 \text{ m} \times 100 \text{ m}$  plots were established as replicates. Each  $100 \times 100$   
115 m plot was in a distance of less than 50 m, which has the similar plant and terrain. In each  
116 plot, six representative land surfaces were selected: (1) large bald patch with size larger than  
117  $9.0 \text{ m}^2$  (LP), (2) medium bald patch with size of  $1.0\text{-}9.0 \text{ m}^2$  (MP), (3) small bald patch with  
118 size of less than  $1.0 \text{ m}^2$  (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT), (6)  
119 old pika pile (PP) (Figure 1) (Yi et al., 2016; Qin et al., 2018). They were distinguished easily  
120 in aerial photographs. Large bald patches had less vegetation cover and the smallest side was  
121 larger than 3 m. Medium patches also covered by less vegetation cover and the largest side  
122 was in a range of 1 to 3 m and small bald patches were characterized by less vegetation cover  
123 and the largest side was less than 1 m. Intact grassland was characterized by high vegetation  
124 cover and no large and medium bare land was found. Pika tunnel and pika pile usually  
125 co-existed. Pika tunnel is approximately 6 cm in diameter and pika pile is in the front of pika  
126 tunnel, 60 cm in diameter and less vegetation cover. We calculated the threshold area of large,  
127 medium and small patches by aerial photograph. Each aerial photograph has 12 million pixels.  
128 At a height of 20 m, the resolution of each pixel is  $\sim 1$  cm and each photograph covers  $\sim 26 \text{ m}$   
129  $\times 35 \text{ m}$  of ground. Pixels in each aerial image were first classified into two groups, i.e.  
130 vegetated or bare patches (Yi, 2016). Then patches with different sizes were created using  
131 OpenCv Library. And finally, fractions of vegetation and bare patches (large, medium and  
132 small patches) were calculated. For each surface type, nine  $1 \text{ m} \times 1 \text{ m}$  quadrats were set up, of  
133 which three was used for soil temperature and soil moisture measurement, three for soil

134 saturated hydraulic conductivity measurement and three for soil compactness measurement,  
135 soil and vegetation sampling. We also set up three 2 m × 2 m quadrats in each surface type in  
136 a 100 m × 100 m plot for measuring ecosystem respiration.

137 (Insert Figure 1 here)

138 Soil temperature and moisture at 10 cm were measured in a 100 m × 100 m plot where  
139 ecosystem respiration was measured by using an auto-measurement system (Decagon Inc.,  
140 USA) from early June to the late August. The system consisted of an EM50 logger and five  
141 5TM sensors. The data were logged automatically every 30 minutes. Soil saturated hydraulic  
142 conductivity and compactness were measured one time in each month from June to August.  
143 Soil saturated hydraulic conductivity was measured by Dual Head infiltrometer (Decagon Inc.,  
144 USA). The measurement process included soak time 15 minutes, hold time 20 minutes at low  
145 pressure head (5 cm) and high pressure head (15 cm) with 2 cycles. Each measurement takes  
146 95 minutes altogether. Soil compactness was measured with TJSJ-750 (Hangzhou Top  
147 Instrument co., LTD, Hangzhou, China) from the soil surface to 10 cm depth. Ecosystem  
148 respiration rates were measured using the LICOR-8150 Automated Soil CO<sub>2</sub> Flux System,  
149 which was an accessory for the LI-8100A could connect 16 individual chambers at one time  
150 and were sampled and controlled by the LI-8100A Analyzer Control Unit. The air  
151 temperature inside of the chamber was measured using the internal thermistor of the chamber.  
152 The ecosystem CO<sub>2</sub> fluxes were calculated by the equation as follow.

$$153 \quad F_c = \frac{10VP_0 \left(1 - \frac{W_0}{1000}\right) \partial C'}{RS(T_0 + 273.15) \partial t}$$

154 where  $F_c$  is the soil CO<sub>2</sub> efflux rate ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ),  $V$  is volume ( $\text{cm}^3$ ),  $P_0$  is the initial pressure  
155 (kPa),  $W_0$  is the initial water vapor mole fraction ( $\text{mmol mol}^{-1}$ ),  $S$  is soil surface area ( $\text{cm}^2$ ),  $T_0$   
156 is initial air temperature ( $^{\circ}\text{C}$ ), and  $\partial C'/\partial t$  is the initial rate of change in water-corrected CO<sub>2</sub>  
157 mole fraction ( $\mu\text{mol}^{-1} \text{mol s}^{-1}$ ).

158 Six LICOR-8100-104 long-term opaque chambers (20cm in diameter LICOR, Inc.,  
159 Lincoln, NE, USA) were used to measure alternately between three replicates for six land  
160 surface types. Therefore, 3 days at least were required to complete one rotation measurements  
161 of ecosystem respiration. To measure ecosystem respiration, eighteen polyvinyl chloride

162 collars with a 20 cm inner diameter and a 12 cm height were inserted into the soil with 3-4 cm  
163 exposed to the air (Qin et al., 2013). All of the collars were installed at least 24 h before the  
164 first measurement to reduce disturbance-induced ecosystem CO<sub>2</sub> effluxes. Ecosystem  
165 respiration rates were measured every 7-10 days from June 16 to August 20 in 2016  
166 depending on weather conditions. A round-the-clock measurement protocol was carried out  
167 and ecosystem respiration rates were measured every 30 minutes. Each measurement takes 1  
168 minute and 45 seconds, including pre-purge 10 seconds, dead band 15 seconds, observation  
169 length 1 minute and post-purge 20 seconds.

#### 170 **Soil and vegetation sampling**

171 Soil samples were collected during the periods of late July to early August 2016. In each  
172 surface type of each plot, five soil cores were collected using a stainless-steel auger (5 cm in  
173 diameter) at depths of 0-10, 10-20, 20-30 and 30-40 cm, and bulked as one composite sample  
174 for each depth in each quadrat. Another five soil cores were sampled by cylindrical cutting  
175 ring (7 cm in diameter and 5.2 cm in depth) to determine soil bulk density from each land  
176 surface type. Pika tunnel was approximate 6 cm in diameter and 40 cm in depth. Therefore,  
177 soil samples were available to collect at depth of 40cm. Totally, 512 soil samples were  
178 collected. Soil samples were firstly air-dried, then removed gravel and stone with manual  
179 sieving and finally weighed. The remaining soil samples with diameter less than 2 mm were  
180 ground to pass through a 0.25 mm sieve for analysis of soil organic carbon (SOC) and soil  
181 total nitrogen (TN) concentration. SOC was measured by dichromate oxidation using  
182 Walkley-Black acid digestion (Nelson and Sommers, 1982). TN was determined by digestion  
183 and then tested using a flow injection analysis system (FIAstar 5000, Foss Inc., Sweden).  
184 Aboveground and belowground biomasses were determined within a 1 m × 1 m quadrat on 4  
185 August 2016 during peak biomass and species diversity. There were a total of 108  
186 aboveground and belowground vegetation samples (3 plots × 6 land surface types × 3  
187 replicates) from the study area. Aboveground biomass was determined by clipping all  
188 above-ground living plants at ground level, drying (oven-dried at 65°C for 48 h) and weighing.  
189 Belowground biomass was sampled by collecting five soil columns, and each soil column was  
190 5 cm in diameter and 40 cm in depth. Soil cores were washed with a gentle spray of water  
191 over a fine mesh screen until soil separated from the roots, and then drying (oven-dried at

192 65°C for 48 h) and weighing.

### 193 **Statistical analysis**

194 The soil organic C (kg m<sup>-2</sup>) and total N (kg m<sup>-2</sup>) densities in different land surface were  
195 calculated using the equation (1) and (2):

$$\text{SOC} = \sum_{i=1}^n \rho * (1 - \sigma_{\text{gravel}}) * C_{\text{SOC}} * D_i \quad (1)$$

196

$$\text{TN} = \sum_{i=1}^n \rho * (1 - \sigma_{\text{gravel}}) * C_{\text{TN}} * D_i \quad (2)$$

197

198 where SOC is soil organic C density, TN is soil total N density,  $\rho$  is the soil bulk density (g  
199 cm<sup>-3</sup>),  $\sigma_{\text{gravel}}$  is the relative volume of gravel (% w/w),  $C_{\text{SOC}}$  is soil organic C content (g kg<sup>-1</sup>),  
200  $C_{\text{TN}}$  is soil total N content (g kg<sup>-1</sup>) and  $D_i$  is soil thickness (cm) at layer  $i$ , respectively;  $i=1, 2,$   
201 3 and 4.

202 The data were presented as mean  $\pm$  standard deviation. Statistical analyses were performed  
203 using the SPSS 17.0 statistical software package (SPSS Inc., Chicago, IL, USA). One-way  
204 analysis of variance (ANOVA) and a multi-comparison of a least significant difference (LSD)  
205 test were used to determine differences at the  $p=0.05$  level. **The relationships of ecosystem  
206 respiration with biotic and abiotic factors were analyzed by correlation analysis using R.**

## 207 **Results**

### 208 **Ecosystem respiration**

209 Pika disturbance and patchiness had significant effect on ecosystem respiration (Table 1,  
210  $P<0.001$ ). During the growing season, ecosystem respiration has a maximum value in August  
211 and minimum value in June (Figure 2). In June, ecosystem respiration under intact grassland,  
212 above pika tunnel, small patch and pika pile had no significant difference and the lowest  
213 ecosystem respiration were found under large and medium patches (Figure 2). Average  
214 ecosystem respiration under intact grassland was 4.03  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , which were 6.90 % to  
215 102.50 % higher than other surface types both in July and August (Figure 2).

216 (Insert Figure 2 here)

### 217 **Microclimate and soil hydrothermal characteristics**

218 Mean temperature and total rainfall during the growing seasons from 1 May to 30 September  
219 in 2016 were 6.18 °C and 343.4 mm, respectively (Figure 3). Soil temperature and moisture



220 were significantly different ( $P < 0.001$ ) among various surface types (Table 1). The monthly  
221 average soil temperature was in a range of 8.20-13.72 °C during June to August, which was  
222 approximate 1-3 °C higher under pika pile and bald patches than the intact grassland (Figure  
223 4a,  $P < 0.05$ ). The monthly mean soil moisture from June to August was approximate 30 % for  
224 intact grassland and above pika tunnel, 25 % for small patch and pika pile, and 20 % for  
225 larger and medium patch (Figure 4b). Soil saturated hydraulic conductivity also showed  
226 significant variation under different land surface types ( $P = 0.027$ , Table 2). Soil saturated  
227 hydraulic conductivity of intact grassland had no significant difference with small patch and  
228 above pika tunnel ( $P > 0.05$ ), while it was approximate 40 % higher than medium and large  
229 patches and 17 % lower than pika pile (Figure 5).

230 (Insert Table 1, Figure 3 to 5 here)

### 231 **Soil and vegetation properties**

232 Both pikas disturbance and patchiness significantly affected soil compactness, SOC density,  
233 TN density and vegetation biomass (Table 2) ( $P < 0.001$ ). Soil compactness was over 0.30 Pa  
234 in intact grassland and above pika tunnel, approximate 0.20 Pa for bald patches and less than  
235 0.10 Pa for pika pile (Figure 6), respectively. Mean SOC and TN density under intact  
236 grassland were 52.45 % and 59.14 % less than above pika tunnel, whereas they were  
237 9.69-30.12 % and 22.47-109.62 % higher than pika pile and bald patches (Figure 7).  
238 Aboveground and belowground biomass under intact grassland were approximate 30 %  
239 higher than above pika tunnel, 90 % higher than pika pile, 123-252 % and 134-289 % higher  
240 than bald patches (Figure 8a, b).

241 (Insert Table 2, Figure 6 to 8 here)

### 242 **Factors regulate ecosystem respiration**

243 We analyzed the relationships of ecosystem respiration with biotic and abiotic factors for six  
244 land surface types (Figure 9). Correlation analysis showed that ecosystem respiration had no  
245 significant correlation with soil temperature ( $P > 0.05$ , Figure 9). However, ecosystem  
246 respiration was significantly and positively related to soil moisture ( $P < 0.01$ ), soil total  
247 nitrogen ( $P < 0.05$ ), aboveground ( $P < 0.05$ ) and belowground biomass ( $P < 0.05$ ) (Figure 9).

248 (Insert Figure 9 here)

### 249 **Discussion**

250 **Effect of pikas disturbance on ecosystem respiration**

251 Pikas burrowing activities increased oxygen content in deep soil, which contributed to the  
252 decomposition of soil organic matter (Martin, 2003). The deposition of urine and feces by  
253 small herbivorous mammals could also promote ecosystem nutrition circulation (Clark et al.,  
254 2005). It was suggested that excreta deposited by pikas and frequently haunted in or near their  
255 burrows supplied organic C available to microbial decomposition with an increase in  
256 ecosystem CO<sub>2</sub> emission (Cao et al., 2004). Indeed, SOC and TN densities reached up to  
257 14.54 and 0.98 kg m<sup>-2</sup> in above pika tunnel, which was 2.45 and 2.10 times higher than that of  
258 intact grassland (Figure 7), respectively. The consistent results reported that the contents of  
259 available soil nutrients around the pikas burrow were higher than those in control sites on an  
260 alpine meadow (Zhang et al., 2016). We also found that SOC and TN densities under pika pile  
261 decreased 13.35 % and 42.93 % than intact grassland. However, no significant difference of  
262 Re was found between intact grassland and above pika tunnel, while Re under pika pile were  
263 42.08 % less than intact grassland (Figure 2). The similar result was also found in an alpine  
264 meadow on the QTP (Peng et al., 2015), which indicated that ecosystem respiration decreased  
265 with increasing of pika holes because of grassland biomass regulated soil C and N with  
266 increasing number of pika holes. These results confirmed that pikas disturbance did not  
267 increase ecosystem carbon emission directly, but facilitated CO<sub>2</sub> emission into the atmosphere  
268 through pika holes (Qin et al., 2015a). The difference of ecosystem respiration between intact  
269 grassland and pika piles was mainly related to changes in vegetation biomass and soil  
270 moisture. For example, both aboveground and belowground biomass decreased 244.62 % and  
271 279.89 % under pika piles compared with the intact grassland (Figure 8). The reduction of  
272 vegetation biomass production decreased aboveground plant respiration and root respiration  
273 by decreasing carbon allocation (e.g., root exudates and litter, and available SOC) (Raich and  
274 Potter, 1995; Högberg et al., 2002; Yang et al., 2018). Consistent with previous studies which  
275 demonstrated that pikas burrowing activity increased water infiltration rate (Hogan, 2010;  
276 Wilson and Smith, 2015), our results also showed that soil saturated hydraulic conductivity in  
277 pika pile was significantly higher than bald and vegetation patches (Figure 5). Nevertheless,  
278 the increased water infiltration was unable to increase soil moisture under pika piles. For  
279 example, soil moisture under pika piles was approximate 5 % lower than intact grassland

280 (Figure 4). Our result was discrepant with previous studies which reported old pika mound  
281 had the highest soil moisture during the summer (Ma et al., 2018) and moderate pika  
282 burrowing activities increased surface soil moisture (Li and Zhang, 2006). This difference  
283 may be contributed to the high pika density in alpine meadow (Guo et al, 2017). Moreover,  
284 pika piles were loose (Figure 6) with less vegetation cover (Figure 8), which was not  
285 beneficial for soil moisture storage.

### 286 **Effect of patchiness on ecosystem respiration**

287 Our results clearly showed that patchiness resulted in significant reduction of ecosystem  
288 carbon emission. Compared with the intact grassland, ecosystem respiration decreased  
289 approximate 17-48 % for bald patches (Figure 2). Two possible mechanisms could account  
290 for the effects of patchiness on ecosystem respiration. On one hand, the reduction of SOC and  
291 TN decreased microbial respiration by decreasing substrate supply to microbes in the  
292 rhizosphere (Nobili et al., 2001; Scott-Denton et al., 2010). Our results indicated that  
293 patchiness caused evident loss of SOC and TN (Figure 7) due to reduction in C input from  
294 vegetation and increasing in C output from soil erosion (Qin et al., 2018). Previous study have  
295 shown that the spatial heterogeneity of soil respiration was attributed to uneven soil organic  
296 carbon and total nitrogen content (Xu and Qi, 2010). Soil organic carbon was considered as  
297 the basic substrate of CO<sub>2</sub> emission by microbial decomposition (Sikora and Mccoy, 1990)  
298 and soil total N enhanced ecosystem CO<sub>2</sub> emission by providing a source of protein for  
299 microbial growth (Tewary et al., 1982). On the other hand, low moisture availability would  
300 limit microbial respiration by restricting access to C substrates, reducing the diffusion of C  
301 substrates and extracellular enzymes, and limiting microbial mobility (Yuste et al., 2003;  
302 Wang et al., 2014). Our results showed that soil moisture under large and medium patches  
303 decreased 10 % than intact grassland (Figure 4). Previous studies had reported that the soil  
304 compaction of bald patches decreased the rate of water infiltration (Wuest et al., 2006; Wilson  
305 and Smith, 2015), which was similar with our results showed that bald patches had less  
306 saturated soil hydraulic conductivity (Figure 5). Low vegetation cover under bald patches was  
307 not beneficial for water retention and utilization, where most of soil water was mainly lost as  
308 a way of evaporation (Yi et al., 2014). We have measured evaporation of the intact grassland,  
309 isolate grassland, large patches, medium patches and small patches since the early June 2016.

310 Three years results indicated that evaporation under bald patches were higher than the intact  
311 grassland (data were not shown here).

### 312 **Factors affected ecosystem respiration**

313 Most previous studies showed that soil temperature explained most of the temporal variation  
314 of ecosystem respiration on the alpine grassland on the QTP (Lin et al, 2011; Qin et al., 2015c;  
315 Zhang et al., 2017). Our results indicated that soil temperature under pika piles and bald  
316 patches was approximate 1 to 3 °C higher than intact grassland (Figure 4), which mainly  
317 resulted from the heterogeneity of surface albedo, surface soil water retention, heat  
318 conduction properties and radiation (Beringer et al., 2005; Pielke, 2005; Yi et al., 2013; You et  
319 al., 2017). It was suggested that pikas disturbance create a better soil temperature buffer for  
320 them to avoid the extreme cold in winter (Ma et al., 2018), whereas high soil temperature  
321 under bald patch was a disadvantage for the recovery of vegetation because patch surface had  
322 the smallest soil moisture content (Figure 4) and the largest daily range of soil temperature  
323 (Ma et al., 2018). However, no an obvious relationship between  $R_e$  and soil temperature was  
324 found in the present study (Figure 9), which suggested that other factors involved in  
325 controlling  $R_e$  induced by pikas disturbance and patchiness. Our results showed that  $R_e$  were  
326 positively correlated with soil moisture, soil total nitrogen, aboveground and belowground  
327 biomass (Figure 9). Pikas disturbance and patchiness led to the drying and loosening of soil  
328 (Figure 4 and 6). It was considered that loose, dry surface sediments and strong winds were  
329 the primary factors responsible for soil erosion (Dong et al., 2010b) and wind erosion was  
330 especially common in arid and semi-arid regions (Zhang and Dong, 2014). This resulted in  
331 the reduction of soil organic carbon, total nitrogen and vegetation biomass (Figure 7 and 8).  
332 The alteration of these biotic and abiotic factors induced by pikas disturbance and patchiness  
333 led to the decline of ecosystem respiration. Nevertheless, the decline of ecosystem respiration  
334 did not completely offset the sequestration of C fixed by photosynthesis because of the lower  
335 vegetation cover under bald patches and pika piles. Given the large area covered by bald  
336 patches in alpine grasslands, patchiness was more susceptible to erosion and exert greater  
337 influence on ecosystem respiration than pikas disturbance. Recent study has also reported that  
338 bald patches of various sizes on the grasslands played a much more important role than pikas  
339 direct disturbance in reducing vegetation cover, aboveground biomass, soil carbon and

340 nitrogen (Yi et al., 2016).

## 341 **Conclusions**

342 In this study, we investigated soil physicochemical properties, vegetation biomass and  
343 ecosystem respiration (Re) under six land surfaces originating from pikas disturbance and  
344 patchiness. We also analyzed the dominant factors regulated the Re. Our results showed that  
345 pikas disturbance and patchiness decreased soil moisture but increased soil temperature,  
346 which may be conducive to pikas survive in cold season but disadvantage for vegetation  
347 growth. Patchiness caused evident decreasing in SOC and TN density, while both SOC and  
348 TN density showed different response under pika piles and burrows. Both pikas disturbance  
349 and patchiness decreased ecosystem carbon emission, and ecosystem respiration sharply  
350 correlated with soil moisture, TN and vegetation biomass. Our results indicated that pikas  
351 disturbance and patchiness led to the changing of ecosystem respiration process owing to the  
352 drying of soil and the reduction of substrate supply. However, the decline of ecosystem  
353 respiration may not able to offset the sequestration of C fixed by photosynthesis.

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592 **Table 1.** ANOVA results of the effect of patches fragmentation and small mammal  
 593 activities on soil temperature, soil moisture and ecosystem respiration.

	Soil temperature			Soil moisture			Ecosystem respiration		
	June	July	August	June	July	August	June	July	August
<i>F</i>	8.614	10.955	1.806	387.472	210.878	97.060	5.270	10.447	8.855
<i>P</i>	<0.001	<0.001	0.106	<0.001	<0.001	<0.001	0.001	<0.001	<0.001

594 **Table 2.** ANOVA results of the effect of patches fragmentation and small mammal activities  
 595 on **soil compactness**, aboveground biomass, belowground biomass, soil hydraulic  
 596 conductivity, SOC and TN density.

	<b>Soil compactness</b>	Aboveground biomass	Belowground biomass	Saturated hydraulic conductivity	SOC density	TN density
<i>F</i>	81.506	6.193	12.925	2.752	145.942	50.567
<i>P</i>	<0.001	0.002	<0.001	0.027	<0.001	<0.001

597

598 **Figure legends**

599 **Figure 1.** An aerial photo of field observation of ecosystem respiration at six surface types: (1)  
600 Large bald patch (LP), (2) Medium bald patch (MP), (3) Small bald patch (SP), (4) Intact  
601 grassland patch (IG), (5) above pika tunnel (PT) and (6) old Pika pile (PP).

602 **Figure 2.** Ecosystem respiration of different surface types: (1) large bald patch (LP), (2)  
603 medium bald patch (MP), (3) small bald patch (SP), (4) intact grassland patch (IG), (5) above  
604 pika tunnel (PT) and (6) old pika pile (PP).

605 **Figure 3.** Daily average air temperature and precipitation of the study site in 2016.

606 **Figure 4.** Monthly average soil temperature and soil moisture under different surface types:  
607 (1) large bald patch (LP), (2) medium bald patch (MP), (3) small bald patch (SP), (4) intact  
608 grassland patch (IG), (5) above pika tunnel (PT) and (6) old pika pile (PP).

609 **Figure 5.** Soil saturated hydraulic conductivity (SHC) under different surface types: (1) large  
610 bald patch (LP), (2) medium bald patch (MP), (3) small bald patch (SP), (4) intact grassland  
611 patch (IG), (5) above pika tunnel (PT) and (6) old pika pile (PP).

612 **Figure 6.** Soil compactness under different surface types: (1) large bald patch (LP), (2)  
613 medium bald patch (MP), (3) small bald patch (SP), (4) intact grassland patch (IG), (5) above  
614 pika tunnel (PT) and (6) old pika pile (PP).

615 **Figure 7.** Soil organic carbon (SOC) (a) and total nitrogen (TN) (b) density of different  
616 surface types: (1) large bald patch (LP), (2) medium bald patch (MP), (3) small bald patch  
617 (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT) and (6) old pika pile (PP).

618 **Figure 8.** Aboveground biomass (AGB) (a) and belowground biomass (BGB) (b) under  
619 different surface types: (1) large bald patch (LP), (2) medium bald patch (MP), (3) small bald  
620 patch (SP), (4) intact grassland patch (IG), (5) above pika tunnel (PT) and (6) old pika pile  
621 (PP).

622 **Figure 9.** The correlation coefficient charts between ecosystem respiration (Re) and biotic  
623 and abiotic factors for all six land surfaces. The diagonal line in the figure shows the  
624 distributions of the variables themselves. The lower triangle (the left bottom of the diagonal)  
625 in the figure shows scatter plots of the two properties. The upper triangle (the upper right of  
626 the diagonal) in the figure indicates the correlation values of the two parameters; the asterisk  
627 indicates the degree of significance (\*\*\*) indicates significant differences at  $P < 0.001$ , \*

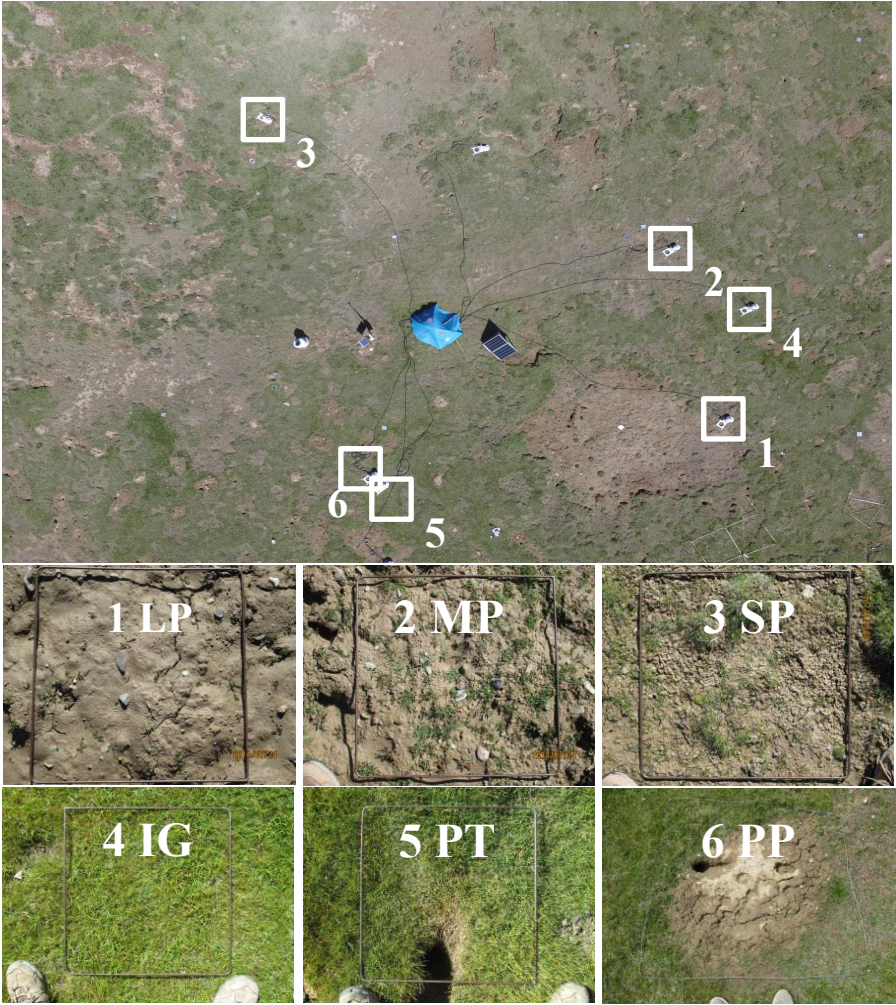
- 628 indicates significant differences at  $P < 0.01$ , \* indicates significant differences at  $P < 0.05$ ).
- 629 The bold bigger numbers mean the higher correlation.



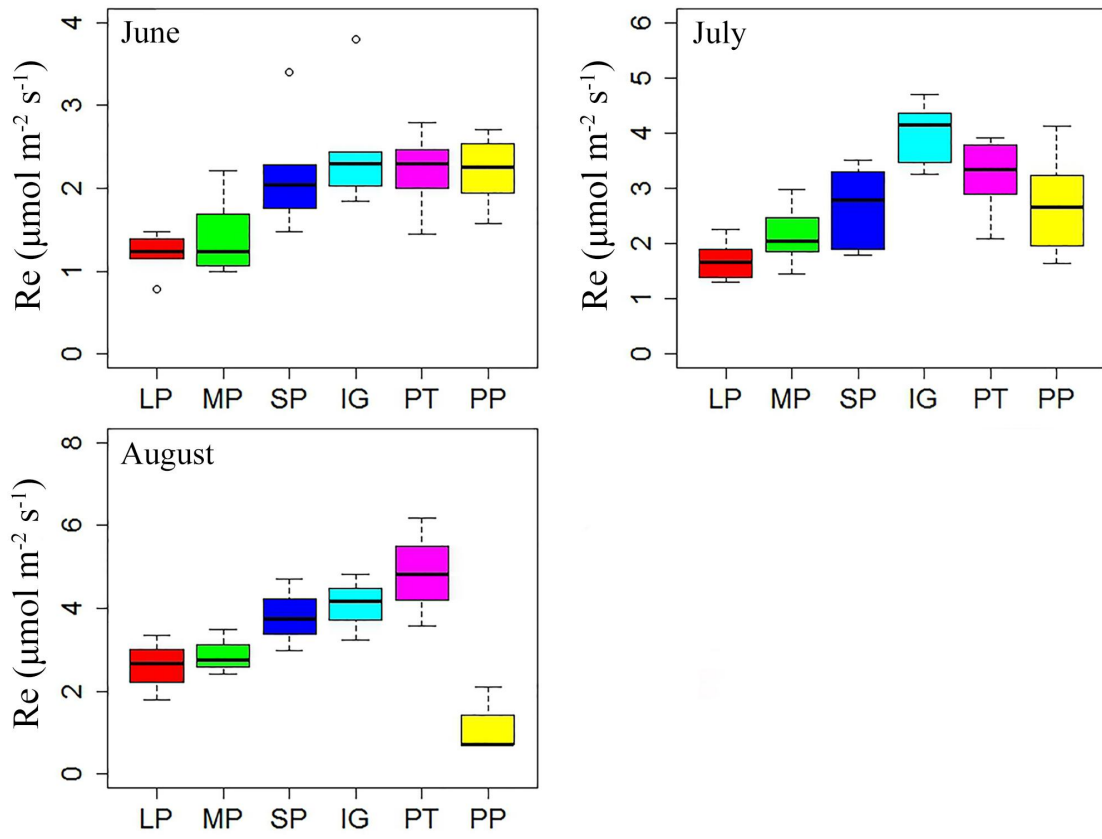
630 **Figure 1.**

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633 **Figure 2.**

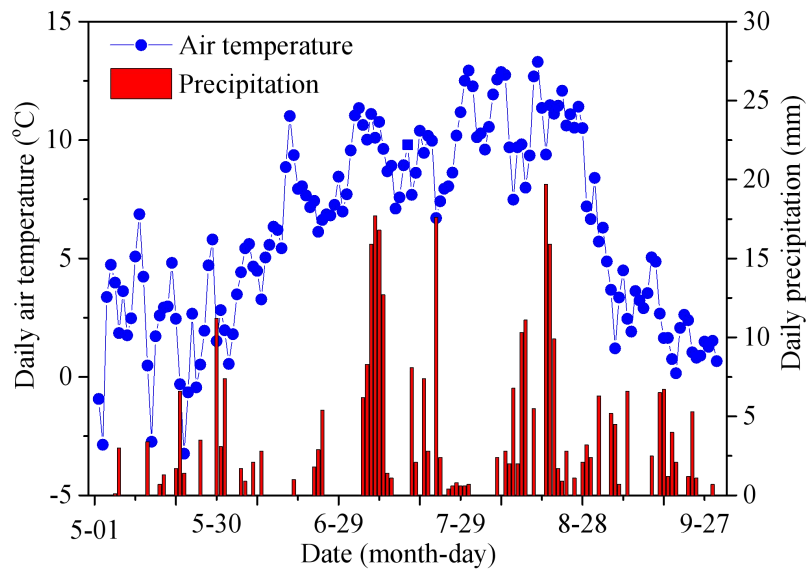


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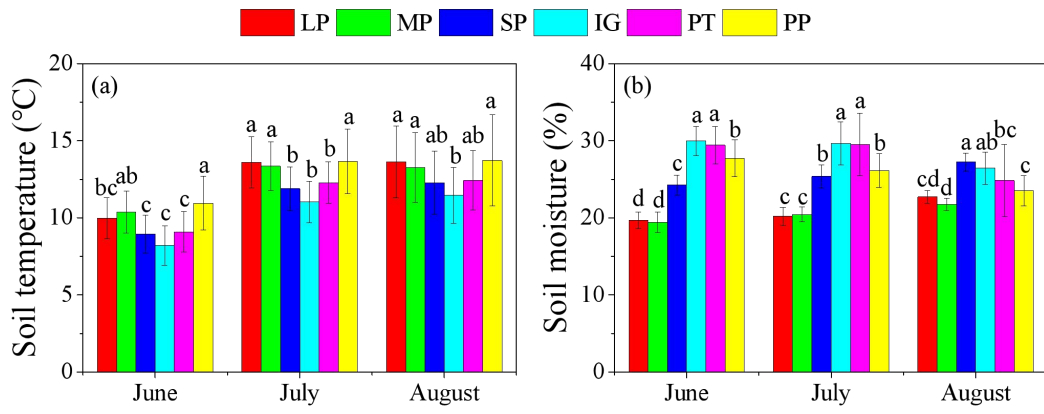
637 **Figure 3.**



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640 **Figure 4.**

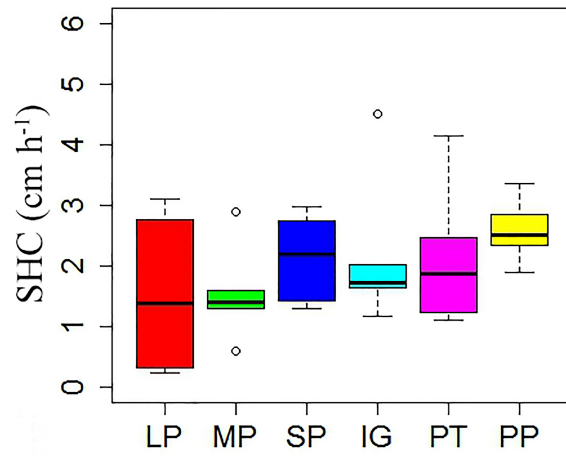


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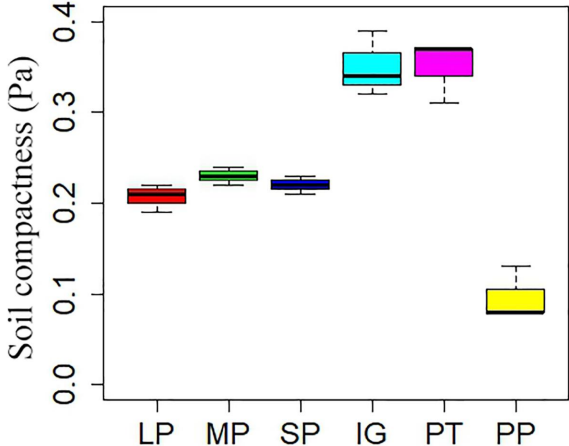
643

644 **Figure 5.**



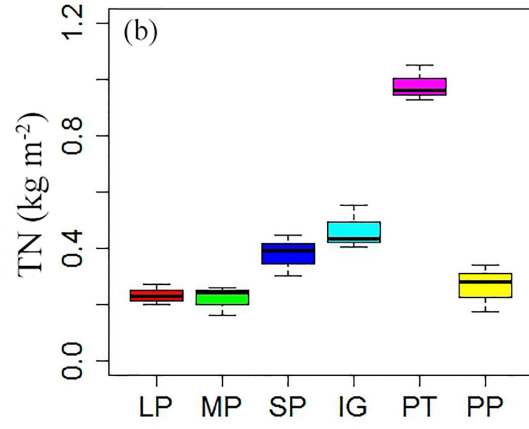
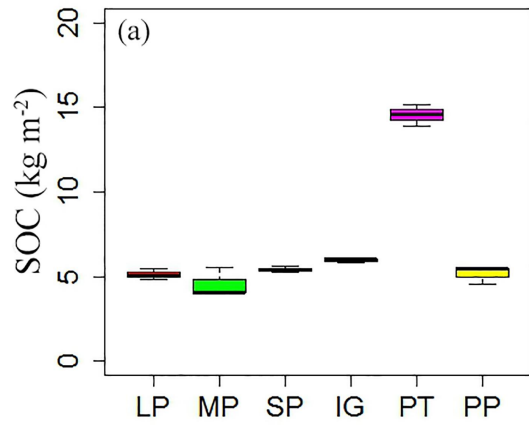
645

646 **Figure 6.**



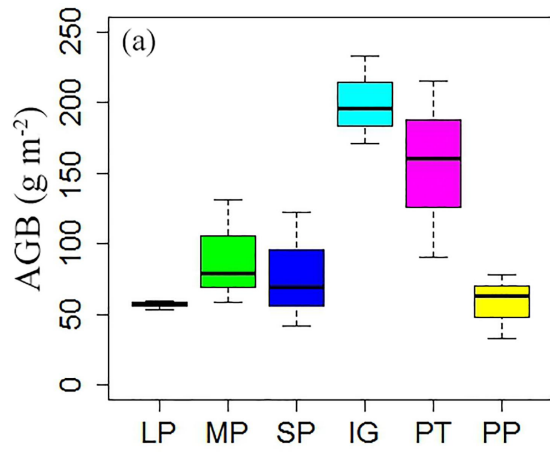
647

648 **Figure 7.**

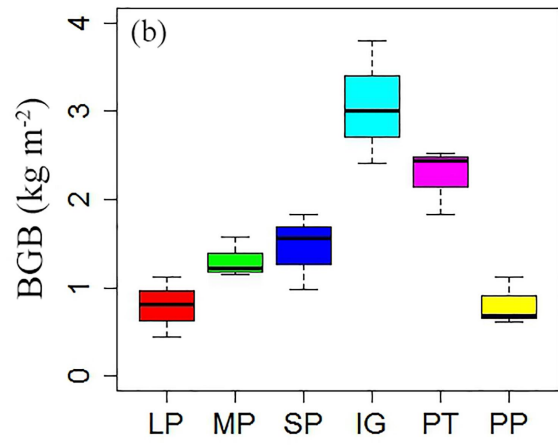


649

650 **Figure 8.**

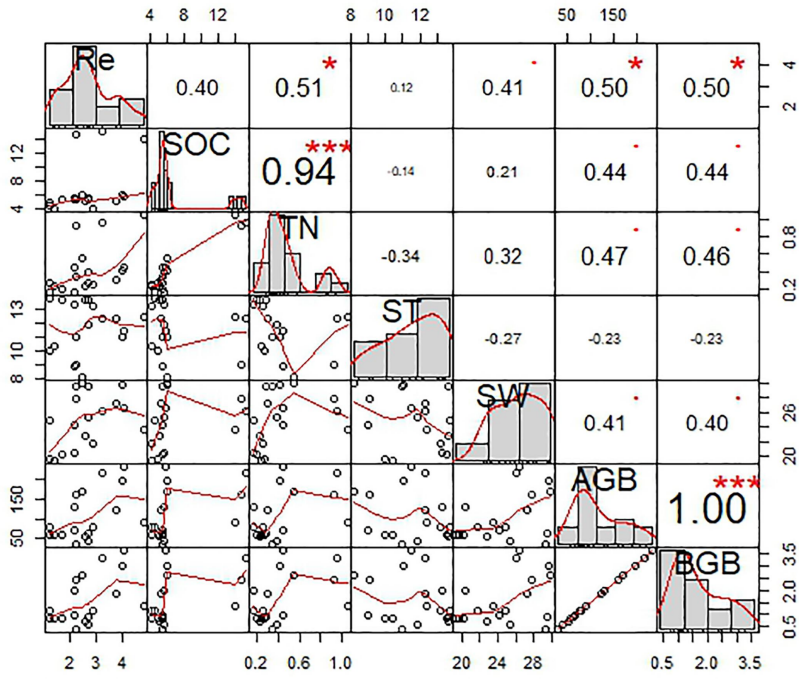


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652 **Figure 9.**



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