Programmed cell death in diazotrophs and the fate of organic matter in the western tropical South Pacific Ocean during the OUTPACE cruise

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29 Abstract

The fate of diazotroph (N₂ fixers) derived carbon (C) and nitrogen (N) and their contribution to 30 vertical export of C and N in the Western Tropical South Pacific Ocean was studied during the 31 OUTPACE experiment (Oligotrophy to UlTra-oligotrophy PACific Experiment). Our specific 32 objective during OUTPACE was to determine whether autocatalytic programmed cell death (PCD), 33 34 occurring in some diazotrophs, is an important mechanism affecting diazotroph mortality and a factor 35 regulating the vertical flux of organic matter and thus the fate of the blooms. We sampled at three long 36 duration (LD) stations of 5 days each (LDA, LDB, and LDC) where drifting sediment traps were 37 deployed at 150, 325 and 500 m depth. LDA and LDB were characterized by high chlorophyll a (Chl 38 a) concentrations (0.2-0.6 μ g L⁻¹) and dominated by dense biomass of *Trichodesmium* as well as 39 UCYN-B and diatom-diazotroph associations (Rhizosolenia with Richelia-detected by microscopy and 40 het-1 nifH copies). Station LDC was located at an ultra-oligotrophic area of the South Pacific gyre with extremely low Chl a concentration (~ 0.02 μ g L⁻¹) with limited biomass of diazotrophs 41 42 predominantly the unicellular UCYN-B. Our measurements of biomass from LDA and LDB yielded high activities of caspase-like and metacaspase proteases that are indicative of PCD in Trichodesmium 43 and other phytoplankton. Metacaspase activity, reported here for the first time from oceanic 44 populations, was highest at the surface of both LDA and LDB, where we also obtained high 45 concentrations of transparent exopolymeric particles (TEP). TEP was negatively correlated with 46 47 dissolved inorganic phosphorus and positively coupled to both the dissolved and particulate organic 48 carbon pools. Our results reflect the increase in TEP production under nutrient stress and its role as a source of sticky carbon facilitating aggregation and rapid vertical sinking. Evidence for bloom decline 49 50 was observed at both LDA and LDB. However, the physiological status and rates of decline of the blooms differed between the stations, influencing the amount of accumulated diazotrophic organic 51 matter and mass flux observed in the traps during our experimental time frame. At LDA sediment 52 53 traps contained the greatest export of particulate matter and significant numbers of both intact and 54 decaying Trichodesmium, UCYN-B, and het-1 compared to LDB where the bloom decline began only 55 2 days prior to leaving the station and to LDC where no evidence for bloom or bloom decline was 56 seen. Substantiating previous findings from laboratory cultures linking PCD to carbon export in 57 Trichodesmium, our results from OUTPACE indicate that PCD may be induced by nutrient limitation 58 in high biomass blooms such as Trichodesmium or diatom-diazotroph associations. Furthermore, PCD 59 combined with high TEP production will tend to facilitate cellular aggregation and bloom termination 60 and will expedite vertical flux to depth.

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69 **1. Introduction**

The efficiency of the biological pump, essential in the transfer and sequestration of carbon to the deep ocean, depends on the balance between growth (production) and death. Moreover, the manner in which marine organisms die may ultimately determine the flow of fixed organic matter within the aquatic environment and whether organic matter is incorporated into higher trophic levels, recycled within the microbial loop sustaining subsequent production, or sink out (and exported) to depth.

75 N_2 fixing (diazotrophic) prokaryotic organisms are important contributors to the biological pump 76 and their ability to fix atmospheric N_2 confers an inherent advantage in the nitrogen-limited surface 77 waters of many oceanic regions. The oligotrophic waters of the Western Tropical South Pacific 78 (WTSP) have been characterized with some of the highest recorded rates of N_2 fixation (151-700 μ mol 79 N m⁻² d⁻¹) (Garcia et al., 2007;Bonnet et al., 2005), and can reach up to 1200 µmol N m⁻² d⁻¹ (Bonnet et 80 al., 2017b). Diazotrophic communities comprised of unicellular cyanobacteria lineages (UCYN-A, B 81 and C), diatom-diazotroph associations such as Richelia associated with Rhizosolenia, and diverse heterotrophic bacteria such as alpha and γ - protobacteria are responsible for these rates of N₂ fixation. 82 The most conspicuous of all diazotrophs, and predominating in terms of biomass, is the filamentous 83 bloom-forming cyanobacteria *Trichodesmium* forming massive surface blooms that supply $\sim 60-80$ Tg 84 N yr⁻¹ of the 100-200 Tg N yr⁻¹ of the estimated marine N₂ fixation (Capone et al., 1997;Carpenter et 85 al., 2004; Westberry and Siegel, 2006) with a large fraction fixed in the WTSP (Dupouy et al., 86 87 2000; Dupouy et al., 2011; Tenorio et al., in review) that may, based- on NanoSIMS cell-specific measurements, contribute up to ~ 80 % of bulk N_2 fixation rates in the WTSP (Bonnet et al., 2017a). 88

89 How Trichodesmium blooms form and develop has been investigated intensely while little data is found regarding the fate of blooms. Trichodesmium blooms often collapse within 3-5 days, with 90 91 mortality rates paralleling bloom development rates (Rodier and Le Borgne, 2008;Rodier and Le 92 Borgne, 2010;Bergman et al., 2012). Cell mortality can occur due to grazing (O'Neil, 1998), viral lysis 93 (Hewson et al., 2004;Ohki, 1999), and/or programmed cell death (PCD) an autocatalytic genetically controlled death (Berman-Frank et al., 2004). PCD is induced in response to oxidative and nutrient 94 95 stress, as has been documented in both laboratory and natural populations of Trichodesmium (Berman-96 Frank et al., 2004;Berman-Frank et al., 2007) and in other phytoplankton (Bidle, 2015). The cellular 97 and morphological features of PCD in Trichodesmium, include elevated gene expression and activity 98 of metacaspases and caspase-like proteins; hallmark protein families involved in PCD pathways in 99 other organisms whose functions in Trichodesmium are currently unknown. PCD in Trichodesmium also displays increased production of transparent exopolymeric particles (TEP) and trichome 100 101 aggregation as well as buoyancy loss via reduction in gas vesicles. This causes rapid sinking rates that 102 can be significant when large biomass such as that in oceanic blooms crashes (Bar-Zeev et al., 103 2013;Berman-Frank et al., 2004).

104 Simulating PCD in laboratory cultures of *Trichodesmium* in 2 m water columns (Bar-Zeev et al., 105 2013) led to a collapse of the *Trichodesmium* biomass and to greatly enhanced sinking of large 106 aggregates reaching rates of up to $\sim 200 \text{ m d}^{-1}$ that efficiently exported particulate organic carbon 107 (POC) and particulate organic nitrogen (PON) to the bottom of the water column. Although the 108 sinking rates and degree of export from this model system could not be extrapolated to the ocean, this study mechanistically linked autocatalytic PCD and bloom collapse to quantitative C and N export 109 fluxes, suggesting that PCD may have an impact on the biological pump efficiency in the oceans (Bar-110 111 Zeev et al., 2013).

112 We further examined this issue in the open ocean and investigated the cellular processes mediating 113 Trichodesmium mortality in a large surface bloom from the New Caledonian lagoon (Spungin et al., 114 2016). Nutrient stress induced a PCD mediated crash of the *Trichodesmium* bloom. The filaments and colonies were characterized by upregulated expression of metacaspase genes, downregulated 115 expression of gas-vesicle genes, enhanced TEP production, and aggregation of the biomass (Spungin 116 et al., 2016). Due to experimental conditions we could not measure the subsequent export and vertical 117 118 flux of the dying biomass in the open ocean. Moreover, while the existence and role of PCD and its mediation of biogeochemical cycling of organic matter has been investigated in Trichodesmium, 119 scarce information exists about PCD and other mortality pathways of most marine diazotrophs. 120

121 The OUTPACE (Oligotrophy to UlTra-oligotrophy PACific Experiment) cruise was conducted from 18 February to 3 April 2015 along a west to east gradient from the oligotrophic area north of 122 New Caledonia to the ultraoligotrophic western South Pacific gyre (French Polynesia). The goal of the 123 OUTPACE experiment was to study the diazotrophic blooms and their fate within the oligotrophic 124 125 ocean in the Western Tropical South Pacific Ocean (Moutin et al., 2017). Our specific objective was to 126 determine whether PCD was an important mechanism affecting diazotroph mortality and a factor regulating the fate of the blooms by mediation of vertical flux of organic matter. The strategy and 127 experimental approach of the OUTPACE transect enabled sampling at three long duration (LD) 128 stations of 5 days each (referred to as stations LDA, LDB, and LDC) and provided 5-day snapshots 129 130 into diazotroph physiology, dynamics, and mortality processes. We specifically probed for the 131 induction and operation of PCD and examined the relationship of PCD to the fate of organic matter 132 and vertical flux from diazotrophs by the deployment of 3 sediment traps at 150, 325 and 500 m 133 depths.

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- **138 2. Methods**
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140 **2.1.** Sampling site and sampling conditions

Sampling was conducted on a transect during austral summer (18 Feb-5 Apr, 2015), on board the
R/V L'Atalante (Moutin et al., 2017). Samples were collected from three long duration stations (LDA, LD-B and LD-C) where the ship remained for 5 days at each location and 15 short duration (SD115) stations (approximately eight hours duration). The cruise transect was divided into two geographic
regions. The first region (Melanesian archipelago, MA) included SD1-12, LDA and LDB stations
(160° E-178° E and 170°-175° W). The second region (subtropical gyre, GY) included SD 13-15 and
LDC stations (160° W-169° W).

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149 **2.2.** Chlorophyll *a*

Samples for determination of (Chl *a*) concentrations were collected by filtering 550 ml sea water
on GF/F filters (Whatman, UK). Filters were frozen and stored in liquid nitrogen, Chl *a* was extracted
in methanol and measured fluorometrically (Turner Designs Trilogy Optical kit) (Le Bouteiller et al.,
1992). Satellite derived surface Chl *a* concentrations at the LD stations were used from before and
after the cruise sampling at the LD stations. Satellite Chl *a* data are added as supplementary video files
(Supplementary videos S1, S2, S3).

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157 2.3. Caspase-like and metacaspase activities

158 Biomass was collected on 25 mm, 0.2 µm pore-size polycarbonate filters and resuspended in 0.6-1 ml Lauber buffer [50 mM HEPES (pH 7.3), 100 mM NaCl, 10 % sucrose, 0.1 % (3-cholamidopropyl)-159 160 dimethylammonio-1-propanesulfonate, and 10 mM dithiothreitol] and sonicated on ice (four cycles of 161 30 seconds each) using an ultracell disruptor (Sonic Dismembrator, Fisher Scientific, Waltham, MA, 162 USA). Cell extracts were centrifuged (10,000 x g, 2 min, room temperature), and the supernatant was collected for caspase-like and metacaspase activity measurements. Caspase-like specific activity 163 (normalized to total protein concentration) was determined by measuring the kinetics of cleavage for 164 165 the fluorogenic caspase substrate Z-IETD-AFC (Z-Ile-Glu-Thr-Asp-AFC) at a 50 mM final concentration (using Ex 400 nm, Em 505 nm; Synergy4 BioTek, Winooski, VT, USA), as previously 166 described in Bar-Zeev et al. (2013). Metacaspase specific activity (normalized to total protein 167 168 concentration) was determined by measuring the kinetics of cleavage for the fluorogenic metacaspase substrate Ac-VRPR-AMC (Ac-Val-Arg-Pro-Arg-AMC), (Tsiatsiani et al., 2011) at a 50 mM final 169 170 concentration (using Ex 380 nm, Em 460 nm; Synergy4 BioTek, Winooski, VT, USA) (Tsiatsiani et 171 al., 2011). Relative fluorescence units were converted to protein-normalized substrate cleavage rates 172 using AFC and AMC standards (Sigma) for caspase-like and metacaspase activities, respectively. 173 Total protein concentrations were determined by Pierce[™] BCA protein assay kit (Thermo Scientific

174 product #23225).

175 **2.4.** Phosphate analysis

176 Seawater for phosphate (PO_4^{3-} , DIP) analysis was collected in 20 mL high-density polyethylene 177 HCL-rinsed bottles and poisoned with HgCl₂ to a final concentration of 20 µg L⁻¹, stored at 4 °C until 178 analysis. PO_4^{3-} was determined by a standard colorimetric technique using a segmented flow analyzer 179 according to Aminot and Kérouel (2007) on a SEAL Analytical AA3 HR system 20 (SEAL Analytica, 180 Serblabo Technologies, Entraigues Sur La Sorgue, France). Quantification limit for PO_4^{3-} was 0.05 181 µmol L⁻¹.

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183 2.5. Particulate organic carbon (POC) and nitrogen (PON)

184 Samples were filtered through pre-combusted (4 h, 450 °C) GF/F filters (Whatman GF/F, 25 mm),

185 dried overnight at 60 °C and stored in a desiccator until further analysis. POC and PON were

186 determined using a CHN analyzer Perkin Elmer (Waltham, MA, USA) 2400 Series II CHNS/O

187 Elemental Analyzer after carbonate removal from the filters using overnight fuming with concentrated

- 188 HCl vapor.
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190 **2.6.** Dissolved organic carbon (DOC) and Total organic carbon (TOC)

Samples were collected from the Niskin bottles in combusted glass bottles and were immediately
filtered through 2 precombusted (24 h, 450 °C) glass fiber filters (Whatman GF/F, 25 mm). Filtered
samples were collected into glass precombusted ampoules that where sealed immediately after
filtration. Samples were acidified with orthophosphoric acid (H₃PO₄) and analyzed by high
temperature catalytic oxidation (HTCO) (Sugimura and Suzuki, 1988;Cauwet, 1994) on a Shimadzu
TOC-L analyzer. TOC was determined as POC+DOC.

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198 2.7. Transparent exopolymeric particles (TEP)

Water samples (100 mL) were gently (< 150 mbar) filtered through a 0.45 µm polycarbonate filter 199 (GE Water & Process Technologies). Filters were then stained with a solution of 0.02 % Alcian Blue 200 201 (AB) and 0.06 % acetic acid (pH of 2.5), and the excess dye was removed by a quick deionized water rinse. Filters were then immersed in sulfuric acid (80 %) for 2 h, and the absorbance (787 nm) was 202 measured spectrophotometrically (CARY 100, Varian). AB was calibrated using a purified 203 polysaccharide gum xanthan (GX) (Passow and Alldredge, 1995). TEP concentrations (µg GX 204 equivalents L^{-1}) were measured according to Passow and Alldredge (1995). To estimate the role of 205 TEP in C cycling, the total amount of TEP-C was calculated using the TEP concentrations at each 206 207 depth, and the conversion of GX equivalents to carbon applying the revised factor of 0.63 based on 208 empirical experiments from both natural samples from different oceanic areas and phytoplankton 209 cultures (Engel, 2004).

210 **2.8. Diazotrophic abundance**

211 The full description of DNA extraction, primer design and qPCR analyses are described in detail in this issue (Stenegren et al., 2017). Briefly, 2.5 L of water from 6-7 depths with surface irradiance light 212 213 intensity (100, 75, 54, 36, 10, 1, and 0.1 %) were sampled and filtered onto a 25 mm diameter Supor filter (Pall Corporation, PallNorden, AB Lund Sweden) with a pore size 0.2 µm filters. Filters were 214 215 stored frozen in pre-sterilized bead beater tubes (Biospec Bartlesville Ok, USA) containing 30 mL of 216 0.1 mm and 0.5 mm glass bead mixture. DNA was extracted from the filters using a modified protocol of the Qiagen DNAeasy plant kit (Moisander et al., 2008) and eluted in 70 µL. With the re-eluted 217 218 DNA extracts ready, samples were analyzed using the qPCR instrument StepOnePlus (Applied 219 Biosystems) and fast mode. Previously designed TaqMAN assays and oligonucleotides and standards 220 were prepared in advance and followed previously described methods for the following cyanobacterial 221 diazotrophs: Trichodesmium, UCYN-A1, UCYN-A2, UCYN-B, Richelia symbionts of diatoms (het-1, 222 het-2, het-3) (Stenegren et al., 2017; Church et al., 2005; Foster et al., 2007; Moisander et al., 223 2010;Thompson et al., 2012).

224 **2.9.** Microscopy

225 Samples for microscopy were collected in parallel from the same depth profiles for nucleic acid as 226 described in Stenegren et al. (2017). Briefly, 2 profiles were collected on day 1 and 3 at each LD 227 station and immediately filtered onto a 47 mm diameter Poretics (Millipore, Merck Millipore, Solna, 228 Sweden) membrane filter with a pore size of 5 μ m using a peristaltic pump. After filtration samples 229 were fixed with a 1 % paraformaldehyde (v/v) for 30 min. prior to storing at -20 °C. The filters were 230 later mounted onto an oversized slide and examined under an Olympus BX60 microscope equipped 231 with blue (460-490 nm) and green (545-580 nm) excitation wavelengths. Three areas (0.94 mm²) per filter were counted separately and values were averaged. When abundances were low, the entire filter 232 (area=1734 mm²) was observed and cells enumerated. Due to poor fluorescence, only Trichodesmium 233 234 colonies and free-filaments could be accurately enumerated by microscopy, and in addition the larger 235 cell diameter Trichodesmium (Katagynemene pelagicum) was counted separately as these were often 236 present (albeit at lower densities). Other cyanobacterial diazotrophs (e.g. Crocosphaera watsonii-like 237 cells, the Richelia symbionts of diatoms were present but with poor fluorescence and could only be 238 qualitatively noted.

239 2.10. Particulate matter from sediment traps

Particulate matter export was quantified with three PPS5 sediment traps (1 m² surface collection,
Technicap, France) deployed for 5 days at 150, 325 and 500 m at each LD station. Particle export was
recovered in polyethylene flasks screwed on a rotary disk which allowed flasks to be changed
automatically every 24-h to obtain a daily material recovery. The flasks were previously filled with a

- buffered solution of formaldehyde (final conc. 2 %) and were stored at 4 °C until analysis to prevent
- 245 degradation of the collected material. The flask corresponding to the fifth day of sampling on the
- rotary disk was not filled with formaldehyde to collect 'fresh particulate matter' for further diazotroph
- 247 quantification. Exported particulate matter was weighed and analyzed on EA-IRMS (Integra2, Sercon
- Ltd) to quantify exported PC and PN.

249 2.11. Diazotroph abundance in the traps

250 Triplicate aliquots of 2-4 mL from the flask dedicated for diazotroph quantification were filtered 251 onto 0.2 µm Supor filters, flash frozen in liquid nitrogen and stored at -80 °C until analysis. Nucleic 252 acids were extracted from the filters as described in Moisander et al. (2008) with a 30 second 253 reduction in the agitation step in a Fast Prep cell disruptor (Thermo, Model FP120; Obiogene, Inc. Cedex, Frame) and an elution volume of 70 µl. Diazotroph abundance for *Trichodesmium* spp., 254 255 UCYN-B, UCYN-A1, het-1, and het-2 were quantified by qPCR analyses on the *nifH* gene using previously described oligonucleotides and assays (Foster et al., 2007; Church et al., 2005). The qPCR 256 257 was conducted using a StepOnePlus system (applied Biosystems, Life Technologies, Stockholm Sweden) with the following parameters: 50 °C for 2 min, 95°C for 10 min, and 45 cycles of 95°C for 258 259 15s followed by 60°C for 1 min. Gene copy numbers were calculated from the mean cycle threshold 260 (Ct) value of three replicates and the standard curve for the appropriate primer and probe set. For each 261 primer and probe set, duplicate standard curves were made from 10-fold dilution series ranging from 262 10^8 to 1 gene copies per reaction. The standard curves were made from linearized plasmids of the 263 target nifH or from synthesized gBLocks gene fragments (IDT technologies, Cralville, Iowa USA). 264 Regression analyses of the results (number of cycles=Ct) of the standard curves were analyzed in 265 Excel. 2 µl of 5 KDa filtered nuclease free water was used for the no template controls (NTCs). No nifH copies were detected for any target in the NTC. In some samples only 1 or 2 of the 3 replicates 266 produced an amplification signal; these were noted as detectable but not quantifiable (dnq). A 4th 267 268 replicate was used to estimate the reaction efficiency for the Trichodesmium and UCYN-B targets as previously described in (Short et al., 2004). Seven and two samples were below 95 % in reaction 269 270 efficiency for *Trichodesmium* and UCYN-B, respectively. The detection limit for the qPCR assays is 1-10 copies. 271

272 **2.12.** Statistics

A Spearman correlation coefficient test was applied to examine the strength of associationbetween two variables and the direction of the relationship.

276 **3.** Results and discussion

277 3.1. Diazotrophic characteristics and abundance in the LD stations

The sampling strategy of the transect was planned so that changes in abundance and fate of 278 279 diazotrophs could be followed in "long duration" (LD) stations where measurements were taken from 280 the same water mass (and location) over 5 days and drifting sediment traps were deployed (Moutin et al., 2017). Although rates for the different parameters were obtained for 5 days, this period is still a 281 282 "snapshot" in time with the processes measured influenced by preceding events also continuing after the ship departed. Specifically, production of photosynthetic biomass (as determined from satellite-283 284 derived Chl a) and development of surface phytoplankton blooms, including cyanobacterial 285 diazotrophs, displayed specific characteristics for each of the LD stations. We first examined the 286 satellite-derived surface Chl a concentrations by looking at changes around the LD stations before and 287 after our 5-day sampling at each station [daily surface Chl a (mg m⁻³)] (Supplementary videos S1, S2, 288 S3).

At LDA, satellite data confirmed high concentrations of Chl a indicative of intense surface blooms 289 (~ $0.55 \ \mu g \ L^{-1}$) between 8th of February 2015 to 19th of February 2015 which began to gradually 290 291 decline with over 60 % Chl a reduction until day 1 at the station (Supplementary video S1, Fig. 1a). 292 By the time we reached LDA on 25.02.15 (day 1) Chl a concentrations averaged ~ $0.2 \mu g L^{-1}$ Chl a at 293 the surface (Fig. 1a) and remained steady for the next 5 days with Chl a values of 0.2 μ g L⁻¹ measured 294 on day 5 (Fig. 1a). When looking for biomass at depth the DCM recorded at ~ 80 m depth was characterized by Chl a concentrations increasing from 0.4 to 0.5 µg L⁻¹ between day 3 and 5 295 respectively (Fig. 1d). While the Chl a values of the surface biomass decreased for approximately one 296 297 week prior to our sampling at station, the Chl a concentrations measured at depth increased during the 298 corresponding time.

299 In contrast to LDA, the satellite data from LDB confirmed the presence of a surface bloom/s for 300 over one month prior to our arrival at the station on 15th of March 2015 (day 1) (Supplementary video S2, Fig. 1b). This bloom was characterized by high surface Chl a concentrations (~ $0.6 \mu g L^{-1}$, 301 Supplementary video S2) and on day 1 at the station surface Chl a was 0.6 μ g L⁻¹ (Fig. 1b). Surface 302 303 Chl a then decreased over the next days at the station with a 50 % reduction of Chl a concentration from the sea surface (5m) on day 5 (0.4 μ g L⁻¹), (Fig. 1e). Thus, it appears that our 5 sampling days at 304 LDB were tracking a surface bloom that had only began to decline after day 3 and continued to 305 306 decrease ($\sim 0.1 \,\mu g \, L^{-1}$) also after we have left (Fig. 1b). On day 1 of sampling, the DCM at LDB was relatively shallow, at 40 m with Chl a values of 0.5 µg L⁻¹. By day 5 the DCM had deepened to 80 m 307 308 (de Verneil et al., 2017).

LDC was located in a region of extreme oligotrophy within the Cook Islands territorial waters
 (GY waters). This station was characterized historically (~ 4 weeks before arrival) by extremely low

311 Chl *a* concentrations at the surface (~ $0.02 \ \mu g \ L^{-1}$, Supplementary video S3) that were an order of 312 magnitude lower than average Chl *a* measured at LDA and LDB. These values remained low with no 313 significant variability for the 5 days at station or later (Fig. 1f) (Supplementary video S3, Fig. 1c). 314 Similar to the results from LDA, the DCM at LDC was found near the bottom of the photic layer at ~ 315 135 m, with Chl *a* concentrations about 10-fold higher than those measured at surface with ~ $0.2 \ \mu g$

316 L⁻¹ (Fig. 1f).

317 Chl a is an indirect proxy of photosynthetic biomass and we thus needed to ascertain who the dominant players (specifically targeting diazotrophic populations) were at each of the LD stations. 318 319 Moreover, At LDA and LDB diazotrophic composition and abundance as determined by qPCR 320 analysis were quite similar. At LDA Trichodesmium was the most abundant diazotroph, ranging 321 between $6x10^4 - 1x10^6$ nifH copies L⁻¹ in the upper water column (0-70 m). UCYN-B (genetically 322 identical to Crocosphaera watsonii) co-occurred with Trichodesmium between 35 and 70 m, and het1 323 specifically identifying the diatom-diazotroph association (DDA) between the diatom *Rhizosolenia* 324 and the heterocystous diazotroph Richelia, was observed only at the surface waters at 4 m. UCYN-B 325 and het-1 abundances were relatively lower than *Trichodesmium* abundances with $2x10^2$ nifH copies L^{-1} and $3x10^3$ nifH copies L^{-1} respectively (Stenegren et al., 2017). Microscopic observations from 326 327 LDA indicated that near the surface *Rhizosolenia* populations were already showing signs of decay since the silicified cell-wall frustules were broken and free filaments of Richelia were observed (Fig. 328 2e-f) (Stenegren et al., 2017). DDAs are significant N2 fixers in the oligotrophic oceans. Although 329 330 their abundance in the WTSP is usually low, they are common and highly abundant in the New 331 Caledonian lagoon significantly impacting C sequestration and rapid sinking (Turk-Kubo et al., 2015).

332 At LDB, *Trichodesmium* was also the most abundant diazotroph with *nifH* copies L⁻¹ ranging between 1×10^4 - 5×10^5 within the top 60 m (Stenegren et al., 2017). Microscopical analyses confirmed 333 334 high abundance of free filaments of *Trichodesmium* at LDB, while colonies were rarely observed (Stenegren et al., 2017). Observations of poor cell integrity were reported for most collected samples, 335 336 with filaments at various stages of degradation and colonies under possible stress (Fig. 2a-d). In 337 addition to Trichodesmium, UCYN-B was the second most abundant diazotroph ranging between 1×10^2 and 2×10^3 nifH copies L⁻¹. Other unicellular diazotrophs of the UCYN groups (UCYN-A1 and 338 339 UCYN-A2) were the least detected diazotrophs (Stenegren et al., 2017). Of the three heterocystous 340 cyanobacterial symbiont lineages (het-1, het-2, het-3), het-1 was the most dominant $(1x10^{1}-4x10^{3} nifH)$ 341 copies L⁻¹), (Stenegren et al., 2017). Microscopic analyses from LDB demonstrated the co-occurrence 342 of degrading diatom cells, mainly belonging to Rhizosolenia (Stenegren et al., 2017) (Fig. 2e-f). In contrast to LDA and LDB, at LDC, the highest *nifH* copy numbers (up to 6×10^5 *nifH* copies L⁻¹ at 343 344 60 m depth were from the unicellular diazotrophs UCYN-B (Stenegren et al., 2017) Trichodesmium

345 was only detected at 60 m and with very low copy numbers of *nifH* (\sim 7x10² *nifH* copies L⁻¹)

346 (Stenegren et al., 2017).

- 347 Corresponding to the physiological status of the bloom, higher N₂ fixation rates (45.0 nmol N L⁻¹ 348 d⁻¹) were measured in the surface waters (5m) of LDB in comparison with those measured at LDA and 349 LDC (19.3 nmol N L⁻¹ d⁻¹ in LDA and below the detection limit at LDC at 5m), (Caffin et al., 2017).
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351 **3.2.** Diazotrophic bloom demise in the LD stations

Of the 3 long duration stations we examined, LDA and LDB had a higher biomass of diazotrophs 352 353 during the 5 days of sampling (section 3.1). Our analyses examining bloom dynamics from the 354 satellite-derived Chl a concentrations indicate a declining trend in chlorophyll-based biomass during the sampling time period. Yet, both LDA and LDB were still characterized by high (and visible to the 355 356 eye at surface) biomass on the first sampling day at each station (day 1) as determined by qPCR and 357 microscopy (Stenegren et al., 2017). This is different from LDC where biomass was extremely limited, 358 and no clear evidence was obtained for any specific bloom or bloom demise. We therefore show results mostly from LDA and LDB and focus specifically on the evidence for PCD and diazotroph 359 decline in areas with high biomass and surface blooms. 360

361 The mortality of phytoplankton at sea can be difficult to discern as it most probably results 362 from co-occurring processes including physical forces, chemical stressors, grazing, viral lysis, and/or PCD. Here, we specifically focused on evidence for PCD and whether the influence of zooplankton 363 grazing on the diazotrophs and especially on *Trichodesmium* at LDA and LDB impacted bloom 364 365 dynamics. At LDA and LDB total zooplankton population was generally low. Total zooplankton population at LDA ranged between 911-1900 individuals m⁻³ and in LDB between 1209-2188 366 367 individuals m⁻³ on day 1 and day 5 respectively. *Trichodesmium* is toxic and inedible to most 368 zooplankton excluding three species of harpacticoid zooplankton- Macrosettella gracilis, Miracia 369 efferata and Oculosetella gracilis (O'Neil and Roman, 1994). During our sampling days at these 370 stations, Macrosettella gracilis a specific grazer of Trichodesmium comprised less than 1 % of the 371 total zooplankton community with another grazer Miracia efferata comprising less than 0.1 % of total 372 zooplankton community. Oculosetella gracilis was not found at these stations. The low number of 373 harpacticoid zooplankton specifically grazing on *Trichodesmium* found in the LDA and LDB station, 374 refutes the possibility that grazing caused the massive demise of the bloom. Moreover, the toxicity of Trichodesmium to many grazers (Rodier and Le Borgne, 2008;Kerbrat et al., 2011) could critically 375 376 limit the amount of *Trichodesmium*-derived recycled matter within the upper mixed layer.

Viruses have been increasingly invoked as key agents terminating phytoplankton blooms.
Phages may infect *Trichodesmium* (Brown et al., 2013; Hewson et al., 2004; Ohki, 1999) yet they
have not been demonstrated to terminate large surface blooms. Virus like particles were previously
enumerated from *Trichodesmium* samples during bloom demise, yet the numbers of virus-like

particles did not indicate that a massive, phage-induced lytic event of *Trichodesmium* occurred there
(Spungin et al., 2016). Virus infection may cause for the induction of PCD by causing an increased

- production of reactive oxygen species (Vardi et al., 2012) which stimulates PCD in algal cells
- 384 (Berman-Frank et al., 2004; Bidle, 2015; Thamatrakoln et al., 2012). Viral attack can also directly
- trigger PCD as part of an antiviral defense system (Bidle, 2015). Virus abundance and activity were
- not enumerated in this study, so we cannot estimate their specific influence on mortality.

387 Limited availability of Fe and P induce PCD in Trichodesmium (Berman-Frank et al. 2004; Bar-Zeev et al. 2013). At LDA and LDB, Fe concentrations at the time of sampling were relatively 388 389 high (> 0.5 nM), possibly due to island effects (de Verneil et al., 2017). Phosphorus availability, or 390 lack of phosphorus, can also induce PCD (Berman-Frank et al., 2004; Spungin et al., 2016). PO₄³⁻ 391 concentrations at the surface (0-40m) of LDA and LDB stations were extremely low around 0.05 µmol 392 L^{-1} (de Verneil et al., 2017), possibly consumed by the high biomass and high growth rates of the bloom causing nutrient stress and bloom mortality. PO₄³⁻ concentrations observed at LDC were above 393 the quantification limit with average values of $0.2 \mu mol L^{-1}$ in the 0-150 m depths (data not shown). 394 395 These limited P concentrations may curtail the extent of growth, induce PCD, and pose an upper limit 396 on biomass accumulation.

397 Here we compared, for the first time in oceanic populations, two PCD indices, caspase-like 398 and metacaspase activities, to examine the presence/operation of PCD in the predominant 399 phytoplankton (and diazotroph) populations along the transect. This was determined by the cleavage 400 of Z-IETD-AFC and Ac-VRPR-AFC substrates for caspase-like and metacaspase activities 401 respectively. It should be noted here, that as we are working with natural communities (and not with 402 monospecific lab cultures), the activities presented here do not correspond to the purified protein, but 403 to cell free extracts. Thus it cannot point at the specific cell undergoing PCD or identify the specific 404 organism responsible for the activity. Here we specifically show the results from LDA and LDB where 405 biomass and activities were detectable.

406 Classic caspases are absent in phytoplankton, including in cyanobacteria, and are unique to 407 metazoans and several viruses (Minina et al., 2017). In diverse phytoplankton the presence of a 408 caspase domain suffices to demonstrate caspase-like proteolytic activity that occurs upon PCD 409 induction when the caspase specific substrate Z-IETD-AFC is added (Berman-Frank et al., 2004;Bidle 410 and Bender, 2008;Bar-Zeev et al., 2013). Cyanobacteria and many diazotrophs contain genes that are 411 similar to caspases, the metacaspases-cysteine proteases. These proteases share structural properties 412 with caspases, specifically a histidine-cysteine catalytic dyad in the predicted active site (Tsiatsiani et 413 al., 2011). While the specific role and function/s of metacaspases genes are unknown, and cannot be 414 directly linked to gene expression, preliminary investigations have indicated that when PCD is induced 415 some of these genes are upregulated (Bidle and Bender, 2008;Spungin et al., 2016).

416 Of the abundant diazotrophic populations at LDA and LDB 12 metacaspases have previously

417 been identified in *Trichodesmium* spp. (Asplund-Samuelsson et al., 2012;Asplund-Samuelsson,

418 2015; Jiang et al., 2010; Spungin et al., 2016). Phylogenetic analysis of a wide diversity of truncated

419 metacaspase proteins, containing the conserved and characteristic caspase super family (CASc;

- 420 cl00042) domain structure, revealed metacaspase genes in both *Richelia intracellularis* (het-1) from
- 421 the diatom-diazotroph association and *Crocosphaera watsonii* (a cultivated unicellular
- 422 cyanobacterium) which is genetically identical to the UCYN-B *nifH* sequences (Spungin et al.,
- 423 unpublished data).

424 We compared between metacaspase and caspase-like activities for the $> 0.2 \mu m$ fraction 425 sampled assuming that the greatest activity would be due to the principle organisms contributing to the 426 biomass – i.e. the diazotrophic cyanobacteria. Caspase-like activity and metacaspase activity were 427 specifically measured at all LD stations (days 1,3,5) at 5 depths between 0-200 m. Caspase-like 428 activity at the surface waters (50 m) at LDA, as determined by the cleavage of IETD-AFC substrate, was between 2.3 to 2.8±0.1 pM hydrolyzed mg protein⁻¹ min⁻¹ on days 1 and 3 respectively (Fig. 3a). 429 430 The highest activity was measured on day 5 at 50 m with 5.1 ± 0.1 pM hydrolyzed mg protein⁻¹ min⁻¹. 431 Similar trends were obtained at LDA for metacaspase activity as measured by the cleavage of the 432 VRPR-AMC substrate, containing an Arg residue at the P1 position, specific for metacaspase 433 cleavage, (Tsiatsiani et al., 2011). High and similar metacaspase activities were measured on days 1 and 3 (50 m) with 32 ± 4 and 35 ± 0.2 pM hydrolyzed mg protein⁻¹ min⁻¹ respectively (Fig. 3a). The 434 435 highest metacaspase activity was measured on day 5 at 50 m with 59 ± 1 pM hydrolyzed mg protein⁻¹ min⁻¹ with declining activity at greater depths (Fig. 3b). 436

437 Caspase-like activity at LDB, was similar for all sampling days, with the highest activity 438 recorded from the surface samples (ranging from 3±0.1 to 4.5±0.2 pM hydrolyzed mg protein⁻¹ min⁻¹ 439 at 7 m depth and then decreasing with depth) (Fig. 3d). At day 3 caspase-like activity at LDB 440 increased at the surface with 4.5 ± 0.2 pM hydrolyzed mg protein⁻¹ min⁻¹ and then declined slightly by day 5 back to 3±0.1 pM hydrolyzed mg protein⁻¹ min⁻¹. The decrease in activity at the surface between 441 442 day 3 and 5 was accompanied by an increase in caspase-like activity measured in the DCM between 443 day 3 and 5 (Fig. 3d). Caspase-like activity at the DCM at day 3 (35 m) was 1±0.4 pM hydrolyzed mg 444 protein⁻¹ min⁻¹ and by day 5 increased to 3 ± 0.1 pM hydrolyzed mg protein⁻¹ min⁻¹ for samples from 70 445 m depth. Thus, at LDB, caspase-like activity increased from day 1 to 5 and with depth, with higher 446 activities that initially were recorded at surface and then at depth coupled with the decline of the bloom (Fig. 3d). Similar trends were obtained at LDB for metacaspase activity with 11.1±0.9 pM 447 hydrolyzed mg protein⁻¹ min⁻¹ at the surface (7 m) on day 1. A 4-fold increase in activity was 448 449 measured at the surface on day 3 with 40.1±5 pM hydrolyzed mg protein⁻¹ min⁻¹ (Fig. 3e). Similar high activities were measured also on day 5 (Fig. 3e). However, the increase in activity was also 450 451 pronounced at depth of ~ 70 m and not only at the surface. Metacaspase activity at day 5 was the

highest with 40.3±0.5 and 44.6±5 pM hydrolyzed mg protein⁻¹ min⁻¹ at 7 and 70 m respectively (Fig.
3e). The relatively low metacaspase activity measured on day 1 appears to correspond with the
stressed physiological status of the biomass just prior to increased mortality rates. Metacaspase
activity increased corresponding with the pronounced decline in Chl *a* from day 1 to day 5 (Fig. 1b).

456 The measured metacaspase activities were typically 10-fold higher than caspase-like activity 457 rates (Fig. 3). Yet, metacaspase and caspase-like activities are significantly and positively correlated at 458 LDA and LDB (r=0.8, p<0.05 and r=0.8 p<0.001 for LDA and LDB respectively) (Fig. 3c and 3f). 459 Both findings (i.e. higher metacaspase activity and tight correlation between metacaspase and caspase-460 like activities) were demonstrated specifically in cultures and natural populations of Trichodesmium 461 undergoing PCD (Spungin et al., in review). As our experiments find a significant positive correlation 462 between both activates, we have done a series of inhibitor experiments to test whether metacaspase are 463 substrate specific and are not the caspase-like activity we have examined (Spungin et al., in review). In vitro treatment with a metacaspase inhibitor- antipain dihydrochloride, efficiently inhibited 464 metacaspase activity, confirming the arginine-based specificity of Trichodesmium. Our biochemical 465 466 activity and inhibitor observations demonstrate that metacaspases and caspases-like activities are 467 likely distinct and are independently activated under stress and coupled to PCD in our experiments of both laboratory and field populations. However, caspase-like activity was somewhat sensitive to the 468 469 metacaspase inhibitor, antipain, showing a ~30-40% drop in activity. This hints at some catalytic 470 crossover between these two catalytic activities in *Trichodesmium* that further should be studied. We 471 do not know what protein is responsible for the caspase-like specific activities and what drivers 472 regulate it. Yet, the tight correlation between both activities specifically for Trichodesmium, and here 473 at LDA and LDB suggest that both activities occur in the cell when PCD is induced. To date, we are 474 not aware of any previous studies examining metacaspase or caspase-like activity (or the existence of 475 PCD) in diatom-diazotroph associations such as Rhizolsolenia-Richelia.

476 **3.3. TEP dynamics and carbon pools**

Transparent exopoloymeric particles link between the particulate and dissolved carbon fractions
and act to augment the coagulation of colloidal precursors from the dissolved organic matter and from
biotic debris and to increase vertical carbon flux (Passow, 2002;Verdugo and Santschi, 2010). TEP
production also increases upon PCD induction – specifically in large bloom forming organisms such
as *Trichodesmium* (Berman-Frank et al., 2007;Bar-Zeev et al., 2013).

482 At LDA, TEP concentrations at 50 m depth were highest at day 1 with measured concentrations 483 of $562\pm7 \ \mu g \ GX \ L^{-1}$ (Table. 1) that appear to correspond with the declining physiological status of the 484 cells that were sampled at that time (Fig. 2a-d). TEP concentrations during days 3 and 5 decreased to 485 less than 350 $\ \mu g \ GX \ L^{-1}$, and it is possible that most of the TEP had been formed and sank prior to our 486 measurements in the LDA station.

At LDB, TEP concentrations at day 1 and 3 were similar with ~ 400 μ g GX L⁻¹ at the surface (7 487 m) while concentrations decreased about 2-fold with depth, averaging at 220 ± 56 and $253\pm32 \ \mu g GX$ 488 L⁻¹ (35-200 m) for day 1 and 3 respectively (Fig. 4a, Table 2). A significant (> 150 %) increase in TEP 489 concentrations was observed on day 5 compared to previous days, with TEP values of 597±69 µg GX 490 491 L^{-1} at the surface (7m) (Fig. 4b, Table 2). Although TEP concentrations were elevated at surface, the difference in averaged TEP concentrations observed at the deeper depths (35-200 m) between day 3 492 493 $(157\pm28 \ \mu g \ GX \ L^{-1})$ and day 5 $(253\pm32 \ GX \ L^{-1})$ indicated that TEP from the surface was either 494 breaking down or sinking to depth (Fig. 4a, Table 2). The TEP concentrations from this study 495 correspond with values and trends reported from other marine environments (Engel, 2004;Bar-Zeev et al., 2009) and specifically with TEP concentrations measured from the New Caledonian lagoon 496 497 (Berman-Frank et al., 2016).

TEP is produced by many phytoplankton including cyanobacteria under conditions uncoupling growth from photosynthesis (i.e. nutrient but not carbon limitation) (Berman-Frank and Dubinsky, 1999;Passow, 2002;Berman-Frank et al., 2007). Decreasing availability of dissolved nutrients such as nitrate and phosphate has been significantly correlated with increase in TEP concentrations in both cultured phytoplankton and natural marine systems (Bar-Zeev et al., 2013;Brussaard et al., 2005;Engel et al., 2002;Urbani et al., 2005). TEP production in *Trichodesmium* is enhanced as a function of nutrient stress (Berman-Frank et al., 2007).

505 In the New Caledonian coral lagoon TEP concentrations were significantly and negatively 506 correlated with ambient concentrations of dissolved inorganic phosphorus (DIP) (Berman-Frank et al., 507 2016). Here, at LDB a significant negative correlation of TEP with DIP was also observed (Fig. 4b, p=0.005), suggesting that lack of phosphorus set a limit to continued biomass increase and stimulated 508 509 TEP production in the nutrient-stressed cells. TEP production was also significantly positively 510 correlated with metacaspase activity at all days (Fig. 4c, p=0.03) further indicating that biomass 511 undergoing PCD produced more TEP. In the diatom Rhizosolenia setigera TEP concentrations 512 increased during the stationary- decline phase (Fukao et al., 2010) and could also affect buoyancy. 513 Coupling between PCD and elevated production of TEP and aggregation has been previously shown in 514 Trichodesmium cultures (Berman-Frank et al., 2007;Bar-Zeev et al., 2013). Here we cannot confirm a 515 mechanistic link between nutrient stress, PCD induction, and TEP production, but show significant 516 correlations between these parameters measured at LDA and LDB with the declining diazotroph 517 blooms (Fig. 4c) (Spungin et al., 2016).

518 Furthermore, TEP concentrations at LDB were significantly positively correlated with TOC, 519 POC, and DOC (Fig. 4d-f) confirming the integral part of TEP in the cycling of carbon at this station. 520 Assuming a carbon content of 63 % (w/w), (Engel, 2004) we estimate that TEP contributes to the 521 organic carbon pool in the order of ~ 80-400 μ g C L⁻¹ (Table 1 and Table 2) with the percentage of

- 522 TEP-C from TOC ranging between 0.08- 42 % and 11-32 % at LDA and LDB respectively (Table 1
- and 2, taking into account spatial and temporal differences). Thus, at LDB, surface TEP-C increased
- from 22 % at day 3 to 32 % of the TOC content at day 5. Yet, for the same time period a 2-fold
- 525 increase of TEP was measured at 200 m (11 % to 21 %). These results reflect the bloom status at LDB.
- 526 During bloom development; organic C and N are incorporated to the cells and little biotic TEP
- 527 production occurs while stationary growth (as long as photosynthesis continues) stimulates TEP
- 528 production (Berman-Frank and Dubinsky, 1999). When mortality exceeds growth, the presence of
- 529 large amounts of sticky TEP provide "hot spots" or substrates for bacterial activity and facilitate
- 530 aggregation of particles and enhanced sinking rates of aggregates as previously observed for
- 531 *Trichodesmium* (Bar-Zeev et al., 2013).

532 3.4. Linking PCD-induced bloom demise to particulate C and N export

533 Measurements of elevated rates of metacaspase and caspase-like activities and changes in TEP 534 concentrations are not sufficient to link PCD and vertical export of organic matter as demonstrated for 535 laboratory cultures of *Trichodesmium* (Bar-Zeev et al., 2013). To see whether PCD-induced mortality 536 led to enhanced carbon flux at sea we now examined mass flux and specific evidence for diazotrophic 537 contributions from the drifting sediment traps (150, 325 and 500 m) at LDA and LDB stations.

- 538 Mass flux at LDA increased with time, with the maximal mass flux rates obtained from the 150 m trap (123 dry weight (DW) m⁻² d⁻¹) on day 4. The highest mass flux was 40 and 27 DW m⁻² d⁻¹ from 539 540 the deeper sediment traps (325 and 500 m traps respectively). Particulate C (PC) and particulate 541 nitrogen (PN) showed similar trends as the mass flux. At LDA, PC varied between 3.2-30 mg sample⁻¹ 542 and PN ranged from 0.3-3.2 mg sample⁻¹ in the 150 m trap. At LDB, PC varied from 1.6 to 6 mg 543 sample⁻¹ and total PN ranged from 0.2 to 0.8 mg sample⁻¹ in the 150 m trap. The total sediment flux in the traps deployed at LDB ranged between 6.4 mg m⁻² d⁻¹ (150 m, day 4) and 33.5 mg m⁻² d⁻¹ (500 m, 544 day 2), with an average of 18.9 mg m⁻² d⁻¹. Excluding the deepest trap at 500 m where the high flux 545 occurred at day 2, in the other traps the highest export flux rate occurred at the last day at the station 546 547 (day 5).
- 548 Analyses of the community found in the sediment traps, determined by qPCR from the accumulated matter on day 5 at the station, confirmed that *Trichodesmium*, UCYN-B and het-1 were 549 550 the most abundant diazotrophs in the sediment traps at LDA and LDB stations (Caffin et al., 2017), 551 significantly correlating with the dominant diazotrophs found at the surface of the ocean (measured on 552 day 1). Trichodesmium and Rhizosolenia-Richelia association (het-1) were the major contributors to diazotroph export at LDA and LDB while UCYN-B and het-1 were the major contributors at LDC 553 (Caffin et al., 2017). At LDA the deeper traps contained *Trichodesmium* with 2.6×10^7 and 1.4×10^7 554 555 *nifH* copies L⁻¹ at the 325 and 500 m traps respectively. UCYN-B was detected in all traps with the highest abundance at the 325 m (4.2×10^6 nifH copies L⁻¹) and 500 m traps (2.8×10^6 nifH copies L⁻¹). 556
 - 16

- Het-1 was found only in the 325 m trap with 2.0×10^7 nifH copies L⁻¹ (Fig. 5a). At LDB,
- 558 *Trichodesmium*, UCYN-B and het-1 were detected at the 325 and 500 m traps but not at 150 m.
- 559 *Trichodesmium* counts were $9x10^5$ at the 325 m trap and $5x10^6$ *nifH* copies L⁻¹ for the 500 m trap (Fig.
- 560 5b). While evidence for UCYN-B showed 3.6×10^5 and 10×10^5 *nifH* copies L⁻¹ at 325 and the 500 m
- traps respectively (Fig. 5b).

562 In addition to exported Trichodesmium and Rhizosolenia-Richelia association, the small unicellular UCYN-B (<4 μ m) were also found in the sediment traps, including the deeper (500 m) 563 564 traps. UCYN-B is often associated with larger phytoplankton such as the diatom Climacodium *frauenfeldianum* (Bench et al., 2013) or in colonial phenotypes (> 10 μ m fraction) as has been 565 566 observed in the northern tropical Pacific (ALOHA) (Foster et al., 2013). Sedimenting UCYN-B were 567 detected during the VAHINE mesocosm experiment in the New Caledonian lagoon in shallow (15m) 568 sediment traps) (Bonnet et al., 2015) and were also highly abundant in a floating sediment trap 569 deployed at 75 m for 24 h in the North Pacific Subtropical Gyre (Sohm et al., 2011). Thus our data 570 substantiates earlier conclusions that UCYN, which form large aggregates (increasing actual size and 571 sinking velocities), can efficiently contribute to export in oligotrophic systems (Bonnet et al., 2015). 572 Increase in aggregate size could also occur with depth, possibly due to the high concentrations of TEP 573 produced at the surface layer, sinking in the water column, providing a nutrient source and enhancing 574 aggregation (Berman-Frank et al., 2016).

575 The sinking rates of aggregates in the water column, depend on factors such as fluid viscosity, 576 particle source material, morphology, density, and variable particle characteristics. Sinking velocities 577 of diatoms embedded in aggregates are generally fast (50-200 m d⁻¹) (Asper, 1987;Alldredge, 1998) 578 compared with those of individually sinking cells (1⁻¹⁰ m d⁻¹) (Culver and Smith, 1989) allowing 579 aggregated particles to sink out of the photic zone to depth. Assuming a sinking rate of 580 Trichodesmium-based aggregates of 150-200 m d⁻¹ (Bar-Zeev et al., 2013), we would need to shift the 581 time frame by 1 day to see whether PCD measured from the surface waters is coupled with changes in 582 organic matter reflected in the 150 m sediment traps. Thus, at LDA, examining metacaspase activities from the surface with mass flux and particulate matter obtained 24 h later yielded a significant positive 583 584 correlation between these two parameters (Fig. 5c).

LDA had the highest export flux and particulate matter found in its traps relative to LDB and 585 586 LDC. Diazotrophs contributed ~ 36 % to PC export in the 325 m trap at LDA, with Trichodesmium 587 comprising the bulk of diazotrophs (Caffin et al., 2017) In contrast, at LDB, we found lower flux rates 588 and lower organic material in the traps with *Trichodesmium* contributing the bulk of diazotroph 589 biomass at the 150 m trap. We believe that at LDB the decline phase began only halfway through our 590 sampling and thus the resulting export efficiency we obtained for the 5 days at station was relatively 591 low compared to the total amount of surface biomass. Moreover, considering export rates, and the experimental time frame, most of the diazotrophic population may have been directly exported to the 592

traps only after we left the station (i.e. time frame > 5 days). This situation is different from the bloom
at LDA, where enhanced mortality, biomass deterioration, and bloom crash were initiated 1-2 weeks
before our arrival and sampling at the station. Thus, at LDA, elevated mass flux and higher
concentrations of organic matter were obtained from all three depths of the deployed traps.

597 In the field, especially in the surface layers of the oligotrophic oceanic regions, dead cells are 598 rarely seen at later stages (Berges and Choi 2014) or not seen (Segovia et al., 2018). This is due to the 599 fact that dying and dead cells are utilized quickly and recycled within the food web and upper surface 600 layer. However, under bloom conditions, when biomass is high, the fate of the extensive biomass is 601 more complicated (Bonnet et al., 2015). PCD induced cell death, combined with buoyancy loss, can 602 lead to rapid sinking to depth of the biomass at a speed that would prevent large feeding events on this 603 biomass. This may be determined by POC downward fluxes easy to measure in the lab and extremely 604 complex in the open ocean. We previously measured POC export in our lab under controlled conditions (Bar-Zeev et al., 2013). Here, using sediment traps we have measured POC fluxes, but also 605 have specific indications (NifH reads) of Trichodesmium and other diazotrophs which were blooming 606 607 for several days at the surface. This indicates that under bloom conditions when biomass is high some 608 of the cell pellets do sink down out of the food web.

609

610 4. Conclusion and implications

611 Our specific objective in this study was to examine whether diazotroph mortality mediated by 612 PCD can lead to higher fluxes of organic matter sinking to depth. The OUTPACE cruise provided this opportunity in two out of three long-duration (5 day) stations where large surface blooms of 613 614 diazotrophs principally comprised of Trichodesmium, UCYN-B and diatom-diazotroph associations 615 Rhizosolenia-Richelia and were encountered. We demonstrate (to our knowledge for the first time) 616 metabolically active metacaspases in oceanic populations of *Richelia* and *Trichodesmium*. Moreover, 617 metacaspase activities were significantly correlated to caspase-like activities at both LDA and LDB 618 stations with both proteins families characteristic of PCD induced mortality. Evidence from drifting 619 sediment traps, deployed for 5 days at the two stations, showed high TEP concentrations formed at 620 surface and shifting to depth, increasing numbers of diazotrophs in sediment traps from 150, 350, 500 621 m depths), and a time-shifted correlation between metacaspase activity (signifying PCD) and vertical flux of PC and PN). 622

Yet, our results also delineate the natural variability of biological oceanic populations. The two stations, LDA and LDB were characterized by biomass at physiologically different stages. The biomass from LDA displayed more pronounced mortality that had begun prior to our arrival at station. In contrast, satellite data indicated that at LDB, the surface *Trichodesmium* bloom was sustained for at least a month prior to the ship's arrival and remained high for the first 3 days of our sampling before declining by 40 % at day 5. As sediment trap material was examined during a short time frame, of

only 5 days at each LD station, we assume that a proportion of the sinking diazotrophs and organic

- 630 matter were not yet collected in the traps and had either sunk before trap deployment or would sink
- 631 after we left the stations. Thus, these different historical conditions which influence physiological
- 632 status at each location also impacted the specific results we obtained and emphasized a-priori the
- 633 importance of comprehensive spatial and temporal sampling that would facilitate a more holistic
- understanding of the dynamics and consequences of bloom formation and fate in the oceans.
- 635

636 Author contributions

IBF, DS, and SB conceived and designed the investigation linking PCD to vertical flux within the
OUTPACE project. NB, MS, AC, MPP, NL CD and RAF participated, collected and performed
analyses of samples, DS analysed samples and data. DS and IBF wrote the manuscript with
contributions from all co-authors.

641

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- 859 Figure legends
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Figure 1- Temporal dynamics of surface chlorophyll-a concentrations in the long duration (LD)

stations (a) LDA (b) LDB and (c) LDC station. Chlorophyll a was measured over 5 days at each

station (marked in gray). Satellite data of daily surface chlorophyll a (mg m⁻³) around the long duration

stations of OUTPACE was used to predict changes in photosynthetic biomass before and after our

865 measurements at the station (marked as dashed lines). Satellite data movies are added as

- supplementary data (Supplementary videos S1, S2, S3). Chlorophyll a profiles in (d) LDA (e) LDB
- and (f) LDC. Measurements of Chl *a* were taken on days 1 (black dot), 3 (white triangle) and 5 (grey
- square) at the LDB station at 5 depths between surface and 200 m depths.
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Figure 2- (a-d) Microscopic images of *Trichodesmium* from LDA and LDB. Observations of poor cell
integrity were reported for collected samples, with filaments at various stages of degradation and

872 colony under possible stress. (e) Confocal and (d) processed IMARIS images of *Rhizosolenia-Richelia*

873 symbioses (het-1) at 6m (75 % surface incidence). Green fluorescence indicates the chloroplast of the

diatoms, and red fluorescence are the *Richelia* filaments; Microscopic observations indicate that near

the surface *Rhizosolenia* populations were already showing signs of decay since the silicified cell-wall

- 876 frustules were broken and free filaments of *Richelia* were observed. Images by Andrea Caputo.
- 877

878 Figure 3- PCD indices from LDA and LDB (a) Caspase-like activity from LDA (pM hydrolyzed mg protein⁻¹ min⁻¹) assessed by cleavage of the canonical fluorogenic substrate, z-IETD-AFC. (b) 879 880 Metacaspase activity from LDA (pM hydrolyzed mg protein⁻¹ min⁻¹) assessed by cleavage of the 881 canonical fluorogenic substrate, Ac-VRPR-AMC. (c) Relationship between caspase-like activity and metacaspase activity from LDA (r=0.7, n=15, p=0.005). (d) Caspase-like activity rats in LDB station 882 (pM hydrolyzed mg protein⁻¹ min⁻¹), (e) Metacaspase activity in LDB station (pmol hydrolyzed mg 883 884 protein⁻¹ min⁻¹), (f) Relationship between caspase-like activity and metacaspase activity in LDB station (r=0.7, n=15, p=0.001). Caspase-like and metacaspase activates at LDA and LDB stations were 885 886 measured on days: 1(black dot), 3 (white triangle) and 5 (grey square) between surface and 200 m. 887 Error bars represent ± 1 standard deviation (n=3).

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Figure 4- (a) Depth profiles of TEP concentrations (μ g GX L⁻¹) at LDB station. Measurements were taken on days 1, 3 and 5 at the station at surface-200 m depths. (**b**) The relationships between the concentration of transparent exopolymeric particles (TEP), (μ g GX L⁻¹) and dissolved inorganic phosphorus DIP (μ mol L⁻¹) for days 1, 3 and 5 at the LDB station (*r*=-0.7, n=15, *p*=0.005). Relationships between the concentration of transparent exopolymeric particles (TEP), (μ g GX L⁻¹) and

- Kerationships between the concentration of transparent exopolyment particles (TEF), (μg OX L) and
- **894** (c) metacaspase activity (pmol hydrolyzed mg protein⁻¹ min⁻¹) for days 1, 3 and 5 at the LDB assessed
- by cleavage of the canonical fluorogenic substrate, Ac-VRPR-AMC (r = 0.6 n = 15, p = 0.03); (d) and

- with dissolved organic carbon (DOC), (μ M) for days 1, 3 and 5 at the LDB station (*r*=0.7, n=15,
- 897 p=0.004) (e) and with particulate organic carbon (POC) (μ M) for days 1, 3 and 5 at the LDB station
- 898 (r=0.8, n=5, p=0.1 for day 1 and r=0.9, n=8 p=0.002 for day 3 and 5) (f) and with total organic carbon
- (TOC) (μ M) for days 1, 3 and 5 at the LDB station (r=0.7, n=15, p=0.001). Measurements were taken
- 900 on days 1 (black dot), 3 (white triangle) and 5 (grey square) at LDB at 5 depths between surface and
- 901 200 m depths. Error bars for TEP represent ± 1 standard deviation (n=3).
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- **Figure 5-** (a) Diazotrophic abundance (*nifH* copies L⁻¹) of *Trichodesmium* (dark grey bars); UCYN-B
- 904 (white bars); and het-1 (light grey bars) recovered in sediment traps at the long duration stations (A)
- 905 Diazotrophic abundance (*nifH* copies L^{-1}) observed in the traps at LDA station (**b**) Diazotrophic
- abundance (*nifH* copies L^{-1}) observed in the traps at LDB station. Abundance was measured from the
- accumulated material on day 5 at each station. Sediment traps were deployed at the LD station at 150
- 908 m, 325 m, and 500 m. Error bars represent ± 1 standard deviation (n=3). (c) Relationship between
- 909 metacaspase activity (pmol hydrolyzed mg protein⁻¹ min⁻¹) measured at the surface waters of LDA
- station assessed by cleavage of the canonical fluorogenic substrate, Ac-VRPR-AMC and mass flux
- 911 rates (mg m² h⁻¹) (green circle), particulate carbon (PC, mg sample⁻¹) (green triangle) and particulate
- 912 nitrogen (PN, mg sample⁻¹) (green square) measured in the sediment trap deployed at 150 m. A 1-day
- 913 shift between metacaspase activities at the surface showed a significant positive correlation with mass
- flux and particulate matter obtained in the sediment trap at LDA station at 150 m.
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929 Table 1- Temporal changes in the relative composition (w/w) and distribution of TEP, TEP-C and

organic carbon and nitrogen fractions within the water column during days 1,3 and 5 in the LDA
 station at different depth ranging between surface (10 m) to 200 m.

Day at LDA	Depth (m)	TEP (µg GX L ⁻¹)	TEP-C	%TEP-C	POC (µM)	ТОС (µМ)	POC/PON
station							
1	200	296±135	186.5	27.2	3.04	57.2	5
	150	ND	ND	ND	3.18	61.1	13
	70	87±17	54.8	6.7	2.93	68.7	11
	50	562±7	354.3	41.9	2.47	70.5	13
	10	241±40	152.3	14.5	9.21	87.4	8
3	200	191±13	120.9	18.6	1.29	54.2	27
	150	144±54	91.2	12.9	2.22	59.0	22
	80	263	166.1	20.5	4.62	67.5	15
_	10	126±2	79.6	8.3	3.60	79.7	12
5	200	200	126	21.3	2.84	54.2	236
	150	220	138.6	18.0	2.72	58.2	7
	80	146	92.2	12.1	4.91	63.3	8
	50	348±60	219.5	26.8	3.33	68.3	6
	10	ND	ND	ND	5.80	83.7	7

Table 2- Temporal changes in the relative composition (w/w) and distribution of TEP, TEP-C and
organic carbon and nitrogen fractions within the water column during days 1,3 and 5 in the LDB
station at different depth ranging between surface (7 m) to 200 m.

Day at	Depth	TEP	TEP-C	%TEP-C	POC	TOC	POC/PON
LDB	(m)	(µg GX L ⁻¹)			(µM)	(µM)	
station							
1	7	408±36	257.1	23.4	8.95	91.5	6.0
	35	279±86	175.9	17.0	5.86	86.0	9.1
	100	214±67	134.7	16.8	ND	66.7	ND
	150	145±34	91.5	12.3	3.79	61.9	11.2
	200	244±113	153.7	20.3	7.61	63.2	9.8
3	7	402±12	253.1	22.5	8.88	93.9	6.9
	35	193±48	121.8	12.6	3.07	80.3	8.2
	100	163±33	102.4	12.6	ND	67.8	ND
	150	145±34	91.6	12.0	1.91	63.8	7.4
	200	127±79	80.2	11.3	1.71	59.3	5.7
5	7	565±87	355.8	32.5	5.32	91.3	5.9
	70	294±53	185.2	20.1	2.21	76.7	6.1
	100	264±160	166.2	19.6	2.25	70.6	8.0
	150	224±51	140.8	15.9	1.53	73.9	5.1
	200	231±45	145.8	21.1	1.11	57.6	5.5

Abbreviations: TEP, transparent exopolymeric particle; TEP-C, TEP carbon; POC, particulate organic
C; TOC, total organic C; ND- no data.

945 Figures

946 Figure 1

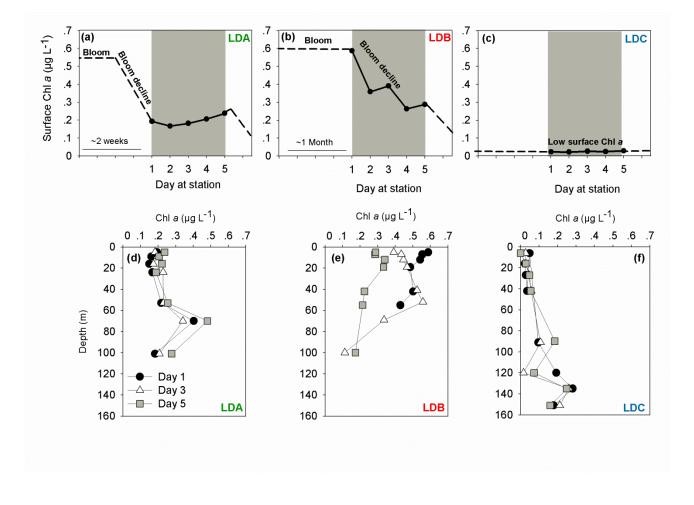
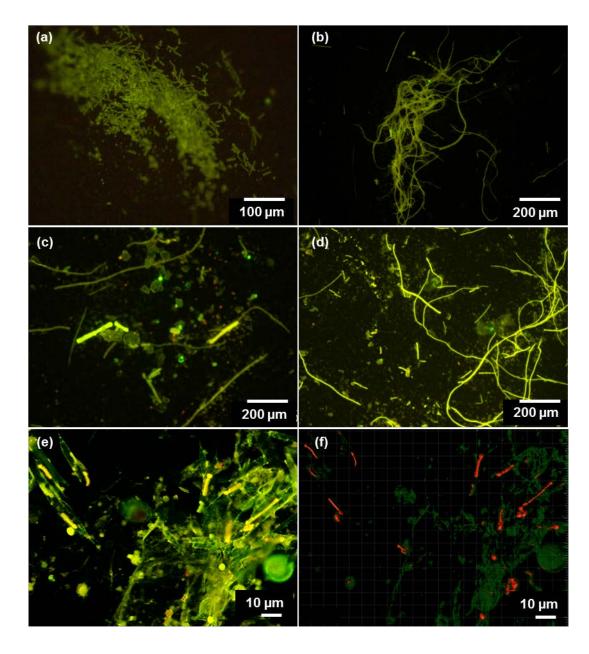


Figure 2



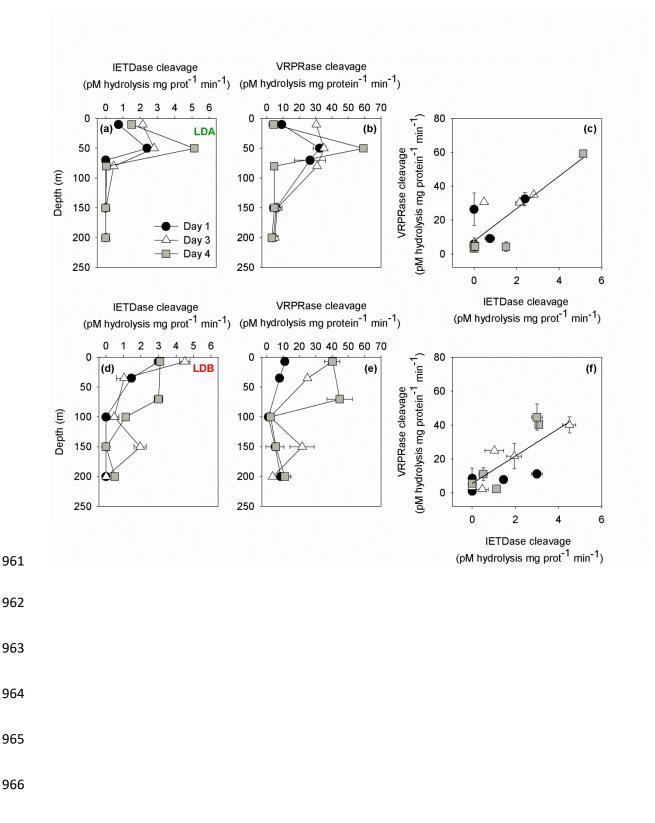


Figure 4

