# Programmed cell death in diazotrophs and the fate of organic matter in the western tropical South Pacific Ocean during the OUTPACE cruise

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### 29 Abstract

The fate of diazotroph ( $N_2$  fixers) derived carbon (C) and nitrogen (N) and their contribution to 30 vertical export of C and N in the Western Tropical South Pacific Ocean was studied during the 31 32 OUTPACE experiment (Oligotrophy to UlTra-oligotrophy PACific Experiment). Our specific objective during OUTPACE was to determine whether autocatalytic programmed cell death (PCD), 33 occurring in some diazotrophs, is an important mechanism affecting diazotroph mortality and a factor 34 regulating the vertical flux of organic matter, and thus the fate of the blooms. We sampled at three 35 long duration (LD) stations of 5 days each (LDA, LDB, and LDC) where drifting sediment traps were 36 deployed at 150, 325 and 500 m depth. LDA and LDB were characterized by high chlorophyll a (Chl 37 a) concentrations (0.2-0.6  $\mu$ g L<sup>-1</sup>) and dominated by dense biomass of the filamentous cyanobacteria 38 Trichodesmium as well as UCYN-B and diatom-diazotroph associations (Rhizosolenia with Richelia-39 detected by microscopy and het-1 *nifH* copies). Station LDC was located at an ultra-oligotrophic area 40 of the South Pacific gyre with extremely low Chl a concentration (~  $0.02 \,\mu g \, L^{-1}$ ) with limited biomass 41 of diazotrophs predominantly the unicellular UCYN-B. Our measurements of biomass from LDA and 42 LDB yielded high activities of caspase-like and metacaspase proteases that are indicative of PCD in 43 44 Trichodesmium and other phytoplankton. Metacaspase activity, reported here for the first time from oceanic populations, was highest at the surface of both LDA and LDB, where we also obtained high 45 concentrations of transparent exopolymeric particles (TEP). TEP were negatively correlated with 46 dissolved inorganic phosphorus and positively coupled to both the dissolved and particulate organic 47 48 carbon pools. Our results reflect the increase in TEP production under nutrient stress and its role as a 49 source of sticky carbon facilitating aggregation and rapid vertical sinking. Evidence for bloom decline 50 was observed at both LDA and LDB. However, the physiological status and rates of decline of the blooms differed between the stations, influencing the amount of accumulated diazotrophic organic 51 52 matter and mass flux observed in the traps during our experimental time frame. At LDA sediment traps contained the greatest export of particulate matter and significant numbers of both intact and 53 decaying *Trichodesmium*, UCYN-B, and het-1 compared to LDB where the bloom decline began only 54 2 days prior to leaving the station and to LDC where no evidence for bloom or bloom decline was 55 seen. Substantiating previous findings from laboratory cultures linking PCD to carbon export in 56 57 Trichodesmium, our results from OUTPACE indicate that nutrient limitation may induce PCD in high biomass blooms such as Trichodesmium or diatom-diazotroph associations. Furthermore, PCD 58 combined with high TEP production will tend to facilitate cellular aggregation and bloom termination 59 60 and will expedite vertical flux to depth.

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### 69 **1. Introduction**

The efficiency of the biological pump, essential in the transfer and sequestration of carbon to the deep ocean, depends on the balance between growth (production) and death. Moreover, the manner in which marine organisms die may ultimately determine the flow of fixed organic matter within the aquatic environment and whether organic matter is incorporated into higher trophic levels, recycled within the microbial loop, or sinks out (and is exported) to depth.

75  $N_2$  fixing (diazotrophic) prokaryotic organisms are important contributors to the biological pump 76 and their ability to fix atmospheric  $N_2$  confers an inherent advantage in the nitrogen-limited surface 77 waters of many oceanic regions. The oligotrophic waters of the Western Tropical South Pacific 78 (WTSP) have been characterized with some of the highest recorded rates of  $N_2$  fixation (151-700) µmol N m<sup>-2</sup> d<sup>-1</sup>) (Garcia et al., 2007; Bonnet et al., 2005), and can reach up to 1200 µmol N m<sup>-2</sup> d<sup>-1</sup> 79 (Bonnet et al., 2017). Diazotrophic communities comprised of unicellular cyanobacteria lineages 80 81 (UCYN-A, B and C), diatom-diazotroph associations such as Richelia associated with Rhizosolenia, 82 and diverse heterotrophic bacteria such as alpha and  $\gamma$ - protobacteria are responsible for these rates of N<sub>2</sub> fixation. The most conspicuous of all diazotrophs, and predominating in terms of biomass, is the 83 84 filamentous bloom-forming cyanobacteria Trichodesmium forming massive surface blooms that supply ~ 60-80 Tg N yr<sup>-1</sup> of the 100-200 Tg N yr<sup>-1</sup> of the estimated marine  $N_2$  fixation (Capone et al., 85 86 1997; Carpenter et al., 2004; Westberry and Siegel, 2006) with a large fraction fixed in the WTSP 87 (Dupouy et al., 2000; Dupouy et al., 2011; Barboza Tenório et al., 2018) that may, based- on 88 NanoSIMS cell-specific measurements, contribute up to ~ 80 % of bulk  $N_2$  fixation rates in the WTSP

89 (Bonnet et al., 2018).

90 How Trichodesmium blooms form and develop has been investigated intensely while little data is 91 found regarding the fate of blooms. Trichodesmium blooms often collapse within 3-5 days, with 92 mortality rates paralleling bloom development rates (Rodier and Le Borgne, 2008; Rodier and Le 93 Borgne, 2010; Bergman et al., 2012). Cell mortality can occur due to grazing (O'Neil, 1998), viral lysis (Hewson et al., 2004; Ohki, 1999), and/or programmed cell death (PCD) an autocatalytic 94 95 genetically controlled death (Berman-Frank et al., 2004). PCD is induced in response to oxidative and nutrient stress, as has been documented in both laboratory and natural populations of Trichodesmium 96 97 (Berman-Frank et al., 2004; Berman-Frank et al., 2007) and in other phytoplankton (Bidle, 2015). The cellular and morphological features of PCD in Trichodesmium, include elevated gene expression and 98 99 activity of metacaspases and caspase-like proteins; hallmark protein families involved in PCD 100 pathways in other organisms whose functions in Trichodesmium are currently unknown. PCD in 101 Trichodesmium also displays increased production of transparent exopolymeric particles (TEP) and trichome aggregation as well as buoyancy loss via reduction in gas vesicles. This causes rapid sinking 102

rates that can be significant when large biomass found in oceanic blooms crashes (Bar-Zeev et al.,
2013; Berman-Frank et al., 2004).

105 Simulating PCD in laboratory cultures of Trichodesmium in 2 m water columns (Bar-Zeev et al., 106 2013) led to a collapse of the *Trichodesmium* biomass and to greatly enhanced sinking of large 107 aggregates, reaching rates of up to  $\sim 200$  m d<sup>-1</sup>, that efficiently exported particulate organic carbon 108 (POC) and particulate organic nitrogen (PON) to the bottom of the water column. Although the 109 sinking rates and degree of export from this model system could not be extrapolated to the ocean, this 110 study mechanistically linked autocatalytic PCD and bloom collapse to quantitative C and N export 111 fluxes, suggesting that PCD may have an impact on the biological pump efficiency in the oceans (Bar-112 Zeev et al., 2013).

We further examined this issue in the open ocean and investigated the cellular processes 113 114 mediating Trichodesmium mortality in a large surface bloom from the New Caledonian lagoon 115 (Spungin et al., 2016). Nutrient stress induced a PCD mediated crash of the *Trichodesmium* bloom. 116 The filaments and colonies were characterized by upregulated expression of metacaspase genes, 117 downregulated expression of gas-vesicle genes, enhanced TEP production, and aggregation of the biomass (Spungin et al., 2016). Due to experimental conditions we could not measure the subsequent 118 119 export and vertical flux of the dying biomass in the open ocean. Moreover, while the existence and 120 role of PCD and its mediation of biogeochemical cycling of organic matter has been investigated in Trichodesmium, scarce information exists about PCD and other mortality pathways of most marine 121 122 diazotrophs.

123 The OUTPACE (Oligotrophy to UlTra-oligotrophy PACific Experiment) cruise was conducted 124 from 18 February to 3 April 2015 along a west to east gradient from the oligotrophic area north of 125 New Caledonia to the ultraoligotrophic western South Pacific gyre (French Polynesia). The goal of the OUTPACE experiment was to study the diazotrophic blooms and their fate within the oligotrophic 126 127 ocean in the Western Tropical South Pacific (WTSP) Ocean (Moutin et al., 2017). Our specific objective was to determine whether PCD was an important mechanism affecting diazotroph mortality 128 129 and a factor regulating the fate of the blooms by mediation of vertical flux of organic matter. The strategy and experimental approach of the OUTPACE transect enabled sampling at three long 130 131 duration (LD) stations of 5 days each (referred to as stations LDA, LDB, and LDC) and provided 5-132 day snapshots into diazotroph physiology, dynamics, and mortality processes. We specifically probed for the induction and operation of PCD and examined the relationship of PCD to the fate of organic 133 matter and vertical flux from diazotrophs by the deployment of 3 sediment traps at 150, 325 and 500 134 135 m depths.

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### 138 2. Methods

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### 140 **2.1.** Sampling site and sampling conditions

Sampling was conducted on a transect during austral summer (18 Feb-5 Apr, 2015), on board the
 R/V L'Atalante (Moutin et al., 2017). Samples were collected from three long duration stations (LD-

143 A, LD-B and LD-C) where the ship remained for 5 days at each location and 15 short duration (SD1-

144 15) stations (approximately eight hours duration). The cruise transect was divided into two geographic

145 regions. The first region (Melanesian archipelago, MA) included SD1-12, LDA and LDB stations

(160° E-178° E and 170°-175° W). The second region (subtropical gyre, GY) included SD 13-15 and
LDC stations (160° W-169° W).

### 148 **2.2.** Chlorophyll *a*

Samples for determination of (Chl *a*) concentrations were collected by filtering 550 mL sea water
on GF/F filters (Whatman, UK). Filters were frozen and stored in liquid nitrogen, Chl *a* was extracted
in methanol and measured fluorometrically (Turner Designs Trilogy Optical kit) (Le Bouteiller et al.,
1992). Satellite derived surface Chl *a* concentrations at the LD stations were used from before and
after the cruise sampling at the LD stations. Satellite Chl *a* data are added as supplementary video
files (Supplementary videos S1, S2, S3).

### 155 **2.3.** Caspase-like and metacaspase activities

156 Biomass was collected on 25 mm, 0.2 µm pore-size polycarbonate filters and resuspended in 0.6-157 1 mL Lauber buffer [50 mM HEPES (pH 7.3), 100 mM NaCl, 10 % sucrose, 0.1 % (3-158 cholamidopropyl)-dimethylammonio-1-propanesulfonate, and 10 mM dithiothreitol] and sonicated on ice (four cycles of 30 seconds each) using an ultracell disruptor (Sonic Dismembrator, Fisher 159 160 Scientific, Waltham, MA, USA). Cell extracts were centrifuged (10,000 x g, 2 min, room 161 temperature), and the supernatant was collected for caspase-like and metacaspase activity measurements. Caspase-like specific activity (normalized to total protein concentration) was 162 determined by measuring the kinetics of cleavage for the fluorogenic caspase substrate Z-IETD-AFC 163 164 (Z-Ile-Glu-Thr-Asp-AFC) at a 50 µM final concentration (using Ex 400 nm, Em 505 nm; Synergy4 BioTek, Winooski, VT, USA), as previously described in Bar-Zeev et al. (2013). Metacaspase 165 specific activity (normalized to total protein concentration) was determined by measuring the kinetics 166 of cleavage for the fluorogenic metacaspase substrate Ac-VRPR-AMC (Ac-Val-Arg-Pro-Arg-AMC), 167 168 (Tsiatsiani et al., 2011) at a 50 µM final concentration (using Ex 380 nm, Em 460 nm; Synergy4 BioTek, Winooski, VT, USA) (Tsiatsiani et al., 2011). Relative fluorescence units were converted to 169 170 protein-normalized substrate cleavage rates using AFC and AMC standards (Sigma) for caspase-like 171 and metacaspase activities, respectively. Total protein concentrations were determined by Pierce<sup>TM</sup>

172 BCA protein assay kit (Thermo Scientific product #23225).

### 174 **2.4.** Phosphate analysis

- 175 Seawater for phosphate (PO<sub>4</sub><sup>3-</sup>, DIP) analysis was collected in 20 mL high-density polyethylene
- 176 HCl-rinsed bottles and poisoned with HgCl<sub>2</sub> to a final concentration of 20  $\mu$ g L<sup>-1</sup>, stored at 4 °C until
- analysis. PO<sub>4</sub><sup>3-</sup> was determined by a standard colorimetric technique using a segmented flow analyzer
- 178 according to Aminot and Kérouel (2007) on a SEAL Analytical AA3 HR system 20 (SEAL
- 179 Analytica, Serblabo Technologies, Entraigues Sur La Sorgue, France). Quantification limit for PO<sub>4</sub><sup>3-</sup>
- 180 was 0.05  $\mu$ mol L<sup>-1</sup>.

# 181 **2.5.** Particulate organic carbon (POC) and nitrogen (PON)

- 182 Samples were filtered through pre-combusted (4 h, 450 °C) GF/F filters (Whatman GF/F, 25 mm),
- dried overnight at 60 °C and stored in a desiccator until further analysis. POC and PON were
- 184 determined using a CHN analyzer Perkin Elmer (Waltham, MA, USA) 2400 Series II CHNS/O
- 185 Elemental Analyzer after carbonate removal from the filters using overnight fuming with concentrated186 HCl vapor.

# 187 **2.6.** Dissolved organic carbon (DOC) and Total organic carbon (TOC)

- 188 Samples were collected from the Niskin bottles in combusted glass bottles and were immediately
- 189 filtered through precombusted (24 h, 450 °C) glass fiber filters (Whatman GF/F, 25 mm). Filtered
- samples were collected into glass precombusted ampoules that where sealed immediately after
- 191 filtration. Samples were acidified with orthophosphoric acid  $(H_3PO_4)$  and analyzed by high
- temperature catalytic oxidation (HTCO) (Sugimura and Suzuki, 1988; Cauwet, 1994) on a Shimadzu
- **193** TOC-L analyzer. TOC was determined as POC+DOC.

# 194 2.7. Transparent exopolymeric particles (TEP)

- 195 Water samples (100 mL) were gently (< 150 mbar) filtered through a 0.45 µm polycarbonate filter 196 (GE Water & Process Technologies). Filters were then stained with a solution of 0.02 % Alcian Blue 197 (AB) and 0.06 % acetic acid (pH of 2.5), and the excess dye was removed by a quick deionized water rinse. Filters were then immersed in sulfuric acid (80 %) for 2 h, and the absorbance (787 nm) was 198 199 measured spectrophotometrically (CARY 100, Varian). AB was calibrated using a purified 200 polysaccharide gum xanthan (GX) (Passow and Alldredge, 1995). TEP concentrations (µg GX 201 equivalents L<sup>-1</sup>) were measured according to Passow and Alldredge (1995). To estimate the role of TEP in C cycling, the total amount of TEP-C was calculated using the TEP concentrations at each 202 depth, and the conversion of GX equivalents to carbon applying the revised factor of 0.63 based on 203 204 empirical experiments from both natural samples from different oceanic areas and phytoplankton
- cultures (Engel, 2004).
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### 208 **2.8. Diazotrophic abundance**

209 The full description of DNA extraction, primer design and qPCR analyses are described in detail 210 in this issue (Stenegren et al., 2018). Briefly, 2.5 L of water from 6-7 depths with declining surface irradiance light intensity (100, 75, 54, 36, 10, 1, and 0.1 %) were sampled and filtered onto a 25 mm 211 212 diameter Supor filter (Pall Corporation, PallNorden, AB Lund Sweden) with a pore size 0.2 µm filters. 213 Filters were stored frozen in pre-sterilized bead beater tubes (Biospec Bartlesville Ok, USA) 214 containing 30 mL of 0.1 mm and 0.5 mm glass bead mixture. DNA was extracted from the filters 215 using a modified protocol of the Qiagen DNAeasy plant kit (Moisander et al., 2008) and eluted in 70  $\mu$ L. With the re-eluted DNA extracts ready, samples were analyzed using the qPCR instrument 216 StepOnePlus (Applied Biosystems) and fast mode. Previously designed TaqMAN assays and 217 oligonucleotides and standards were prepared in advance and followed according to described 218 methods for the following cyanobacterial diazotrophs: Trichodesmium, UCYN-A1, UCYN-A2, 219 220 UCYN-B, Richelia symbionts of diatoms (het-1, het-2, het-3) (Stenegren et al., 2018; Church et al.,

221 2005; Foster et al., 2007; Moisander et al., 2010; Thompson et al., 2012).

### 222 **2.9. Microscopy**

223 Samples for microscopy were collected in parallel from the same depth profiles for which nucleic 224 acids were sampled as described in Stenegren et al. (2018). Briefly, 2 profiles were collected on day 1 225 and 3 at each LD station and immediately filtered onto a 47 mm diameter Poretics (Millipore, Merck 226 Millipore, Solna, Sweden) membrane filter with a pore size of 5 µm using a peristaltic pump. After 227 filtration samples were fixed with a 1 % paraformaldehyde (v/v) for 30 min. prior to storing at -20 °C. 228 The filters were later mounted onto an oversized slide and examined under an Olympus BX60 229 microscope equipped with blue (460-490 nm) and green (545-580 nm) excitation wavelengths. Three 230 areas (0.94 mm<sup>2</sup>) per filter were counted separately and values were averaged. When abundances were 231 low, the entire filter (area=1734 mm<sup>2</sup>) was observed and cells enumerated. Due to poor fluorescence, 232 only Trichodesmium colonies and free-filaments could be accurately enumerated by microscopy, and 233 in addition the larger cell diameter Trichodesmium (Katagynemene pelagicum) was counted 234 separately as these were often present (albeit at lower densities). Other cyanobacterial diazotrophs 235 (e.g. Crocosphaera watsonii-like cells, the Richelia symbionts of diatoms were present but with poor 236 fluorescence and could only be qualitatively noted.

### 237 2.10. Particulate matter from sediment traps

Particulate matter export was quantified with three PPS5 sediment traps (1 m<sup>2</sup> surface collection,
Technicap, France) deployed for 5 days at 150, 325 and 500 m at each LD station. Particle export was
recovered in polyethylene flasks screwed on a rotary disk which allowed flasks to be changed
automatically every 24-h to obtain a daily material recovery. The flasks were previously filled with a

- buffered solution of formaldehyde (final conc. 2 %) and were stored at 4 °C until analysis to prevent
  degradation of the collected material. The flask corresponding to the fifth day of sampling on the
  rotary disk was not filled with formaldehyde to collect 'fresh particulate matter' for further diazotroph
  quantification. Exported particulate matter was weighed and analyzed on EA-IRMS (Integra2, Sercon
- Ltd) to quantify exported PC and PN.

### 247 2.11. Diazotroph abundance in the traps

248 Triplicate aliquots of 2-4 mL from the flask dedicated for diazotroph quantification were filtered onto 0.2 µm Supor filters, flash frozen in liquid nitrogen and stored at -80 °C until analysis. Nucleic 249 250 acids were extracted from the filters as described in Moisander et al. (2008) with a 30 second 251 reduction in the agitation step in a Fast Prep cell disruptor (Thermo, Model FP120; Qbiogene, Inc. 252 Cedex, Frame) and an elution volume of 70 µl. Diazotroph abundance for *Trichodesmium* spp., 253 UCYN-B, UCYN-A1, het-1, and het-2 were quantified by qPCR analyses on the nifH gene using previously described oligonucleotides and assays (Foster et al., 2007; Church et al., 2005). qPCR was 254 255 conducted using a StepOnePlus system (applied Biosystems, Life Technologies, Stockholm Sweden) with the following parameters: 50 °C for 2 min, 95 °C for 10 min, and 45 cycles of 95 °C for 15s 256 257 followed by 60 °C for 1 min. Gene copy numbers were calculated from the mean cycle threshold (Ct) value of three replicates and the standard curve for the appropriate primer and probe set. For each 258 259 primer and probe set, duplicate standard curves were made from 10-fold dilution series ranging from  $10^8$  to 1 gene copies per reaction. The standard curves were made from linearized plasmids of the 260 target nifH or from synthesized gBLocks gene fragments (IDT technologies, Cralville, Iowa USA). 261 Regression analyses of the results (number of cycles=Ct) of the standard curves were analyzed in 262 Excel. 2 µl of 5 KDa filtered nuclease free water was used for the no template controls (NTCs). No 263 nifH copies were detected for any target in the NTC. In some samples only 1 or 2 of the 3 replicates 264 265 produced an amplification signal; these were noted as detectable but not quantifiable (dnq). A 4th 266 replicate was used to estimate the reaction efficiency for the Trichodesmium and UCYN-B targets as 267 previously described in Short et al., (2004). Seven and two samples were below 95 % in reaction 268 efficiency for Trichodesmium and UCYN-B, respectively. The detection limit for the qPCR assays is 269 1-10 copies.

### 270 **2.12.** Statistical analyses

A Spearman correlation coefficient test was applied to examine the strength of associationbetween two variables and the direction of the relationship.

### 274 **3. Results and discussion**

### 275 **3.1. Diazotrophic characteristics and abundance in the LD stations**

276 The sampling strategy of the transect was planned so that changes in abundance and fate of diazotrophs could be followed in "long duration" (LD) stations where measurements were taken from 277 278 the same water mass (and location) over 5 days and drifting sediment traps were deployed (Moutin et al., 2017). Although rates for the different parameters were obtained for 5 days, this period is still a 279 280 "snapshot" in time with the processes measured influenced by preceding events also continuing after 281 the ship departed. Specifically, production of photosynthetic biomass (as determined from satellite-282 derived Chl a) and development of surface phytoplankton blooms, including cyanobacterial diazotrophs, displayed specific characteristics for each of the LD stations. We first examined the 283 284 satellite-derived surface Chl a concentrations by looking at changes around the LD stations before and 285 after our 5-day sampling at each station [daily surface Chl  $a (mg m^{-3})$ ] (Supplementary videos S1, S2, 286 S3).

287 At LDA, satellite data confirmed high concentrations of Chl *a* indicative of intense surface blooms (~ 0.55 µg L<sup>-1</sup>) between 8<sup>th</sup> of February 2015 to 19<sup>th</sup> of February 2015 which began to 288 gradually decline with over 60 % Chl a reduction until day 1 at the station (Supplementary video S1, 289 Fig. 1a). By the time we reached LDA on 25.02.15 (day 1) Chl a concentrations averaged ~  $0.2 \mu g L^{-1}$ 290 Chl a at the surface (Fig. 1a) and remained steady for the next 5 days with Chl a values of 0.2  $\mu$ g L<sup>-1</sup> 291 292 measured on day 5 (Fig. 1a). When looking for biomass at depth the DCM recorded at ~ 80 m depth 293 was characterized by Chl *a* concentrations increasing from 0.4 to 0.5  $\mu$ g L<sup>-1</sup> between day 3 and 5 294 respectively (Fig. 1d). While the Chl *a* values of the surface biomass decreased for approximately one 295 week prior to our sampling at station, the Chl a concentrations measured at depth increased during the 296 corresponding time.

297 In contrast to LDA, the satellite data from LDB confirmed the presence of a surface bloom/s for over one month prior to our arrival at the station on 15<sup>th</sup> of March 2015 (day 1) (Supplementary video 298 299 S2, Fig. 1b). This bloom was characterized by high surface Chl a concentrations (~  $0.6 \mu g L^{-1}$ , 300 Supplementary video S2) and on day 1 at the station surface Chl a was  $0.6 \ \mu g L^{-1}$  (Fig. 1b). Surface 301 Chl a then decreased over the next days at the station with a 50 % reduction of Chl a concentration from the sea surface (5m) on day 5 (0.4  $\mu$ g L<sup>-1</sup>), (Fig. 1e). Thus, it appears that our 5 sampling days at 302 LDB were tracking a surface bloom that had only began to decline after day 3 and continued to 303 304 decrease ( $\sim 0.1 \,\mu g \, L^{-1}$ ) also after we had left the station (Fig. 1b). On day 1 of sampling, the DCM at LDB was relatively shallow, at 40 m with Chl a values of  $0.5 \ \mu g L^{-1}$ . By day 5 the DCM had 305 306 deepened to 80 m (de Verneil et al., 2017).

LDC was located in a region of extreme oligotrophy within the Cook Islands territorial waters
 (GY waters). This station was characterized historically (~ 4 weeks before arrival) by extremely low

309 Chl *a* concentrations at the surface (~  $0.02 \ \mu g \ L^{-1}$ , Supplementary video S3) that were an order of 310 magnitude lower than average Chl *a* measured at LDA and LDB. These values remained low with no 311 significant variability for the 5 days at station or later (Fig. 1f) (Supplementary video S3, Fig. 1c). 312 Similar to the results from LDA, the DCM at LDC was found near the bottom of the photic layer at ~ 313 135 m, with Chl *a* concentrations about 10-fold higher than those measured at surface with ~  $0.2 \ \mu g$ 

**314** L<sup>-1</sup> (Fig. 1f).

315 Chl a is an indirect proxy of photosynthetic biomass and we thus needed to ascertain who the dominant players (specifically targeting diazotrophic populations) were at each of the LD stations. 316 317 Moreover, At LDA and LDB diazotrophic composition and abundance as determined by qPCR 318 analysis were quite similar. At LDA Trichodesmium was the most abundant diazotroph, ranging 319 between 6x10<sup>4</sup>-1x10<sup>6</sup> nifH copies L<sup>-1</sup> in the upper water column (0-70 m). UCYN-B (genetically 320 identical to Crocosphaera watsonii) co-occurred with Trichodesmium between 35 and 70 m, and het1 321 specifically identifying the diatom-diazotroph association (DDA) between the diatom *Rhizosolenia* 322 and the heterocystous diazotroph Richelia, was observed only at the surface waters at 4 m. UCYN-B 323 and het-1 abundances were relatively lower than *Trichodesmium* abundances with  $2 \times 10^2$  nifH copies  $L^{-1}$  and  $3x10^3$  nifH copies  $L^{-1}$  respectively (Stenegren et al., 2018). Microscopic observations from 324 325 LDA indicated that near the surface *Rhizosolenia* populations were already showing signs of decay since the silicified cell-wall frustules were broken and free filaments of Richelia were observed (Fig. 326 2e-f) (Stenegren et al., 2018). DDAs are significant N2 fixers in the oligotrophic oceans. Although 327 328 their abundance in the WTSP is usually low, they are common and highly abundant in the New 329 Caledonian lagoon significantly impacting C sequestration and rapid sinking (Turk-Kubo et al., 2015).

330 At LDB, *Trichodesmium* was also the most abundant diazotroph with *nifH* copies L<sup>-1</sup> ranging 331 between  $1x10^4$ - $5x10^5$  within the top 60 m (Stenegren et al., 2018). Microscopical analyses confirmed 332 high abundance of free filaments of *Trichodesmium* at LDB, while colonies were rarely observed (Stenegren et al., 2018). Observations of poor cell integrity were reported for most collected samples, 333 334 with filaments at various stages of degradation and colonies under possible stress (Fig. 2a-d). In 335 addition to Trichodesmium, UCYN-B was the second most abundant diazotroph ranging between  $1 \times 10^2$  and  $2 \times 10^3$  nifH copies L<sup>-1</sup>. Other unicellular diazotrophs of the UCYN groups (UCYN-A1 and 336 337 UCYN-A2) were the least detected diazotrophs (Stenegren et al., 2018). Of the three heterocystous 338 cyanobacterial symbiont lineages (het-1, het-2, het-3), het-1 was the most dominant  $(1x10^{1}-4x10^{3})$ 339 nifH copies L<sup>-1</sup>), (Stenegren et al., 2018). Microscopic analyses from LDB demonstrated the co-340 occurrence of degrading diatom cells, mainly belonging to Rhizosolenia (Stenegren et al., 2018) (Fig. 341 2e-f).

342 In contrast to LDA and LDB, at LDC, the highest *nifH* copy numbers (up to  $6x10^5$  *nifH* copies L<sup>-1</sup> at 343 60 m depth were from the unicellular diazotrophs UCYN-B (Stenegren et al., 2018). *Trichodesmium*  344 was only detected at 60 m and with very low copy numbers of *nifH* (~7x10<sup>2</sup> *nifH* copies  $L^{-1}$ )

**345** (Stenegren et al., 2018).

Corresponding to the physiological status of the bloom, higher N<sub>2</sub> fixation rates (45.0 nmol N L<sup>-1</sup> d<sup>-1</sup>) were measured in the surface waters (5m) of LDB in comparison with those measured at LDA and LDC (19.3 nmol N L<sup>-1</sup> d<sup>-1</sup> in LDA and below the detection limit at LDC at 5m), (Caffin et al., 2018).

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## **351 3.2. Diazotrophic bloom demise in the LD stations**

Of the 3 long duration stations we examined, LDA and LDB had a higher biomass of diazotrophs 352 during the 5 days of sampling (section 3.1). Our analyses examining bloom dynamics from the 353 354 satellite-derived Chl a concentrations indicate a declining trend in chlorophyll-based biomass during 355 the sampling time period. Yet, both LDA and LDB were still characterized by high (and visible to the 356 eye at surface) biomass on the first sampling day at each station (day 1) as determined by qPCR and 357 microscopy (Stenegren et al., 2018). This is different from LDC where biomass was extremely 358 limited, and no clear evidence was obtained for any specific bloom or bloom demise. We therefore 359 show results mostly from LDA and LDB and focus specifically on the evidence for PCD and 360 diazotroph decline in areas with high biomass and surface blooms.

361 The mortality of phytoplankton at sea can be difficult to discern as it most probably results 362 from co-occurring processes including physical forces, chemical stressors, grazing, viral lysis, and/or PCD. Here, we specifically focused on evidence for PCD and whether the influence of zooplankton 363 grazing on the diazotrophs and especially on Trichodesmium at LDA and LDB impacted bloom 364 dynamics. At LDA and LDB total zooplankton population was generally low. Total zooplankton 365 366 population at LDA ranged between 911-1900 individuals m<sup>-3</sup> and in LDB between 1209-2188 individuals m<sup>-3</sup> on day 1 and day 5 respectively. *Trichodesmium* is toxic and inedible to most 367 zooplankton excluding three species of harpacticoid zooplankton- Macrosettella gracilis, Miracia 368 369 efferata and Oculosetella gracilis (O'Neil and Roman, 1994). During our sampling days at these 370 stations, Macrosettella gracilis a specific grazer of Trichodesmium comprised less than 1 % of the 371 total zooplankton community with another grazer Miracia efferata comprising less than 0.1 % of total 372 zooplankton community. Oculosetella gracilis was not found at these stations. The low number of 373 harpacticoid zooplankton specifically grazing on *Trichodesmium* found in the LDA and LDB station, 374 refutes the possibility that grazing caused the massive demise of the bloom. Moreover, the toxicity of 375 Trichodesmium to many grazers (Rodier and Le Borgne, 2008; Kerbrat et al., 2011) could critically 376 limit the amount of *Trichodesmium*-derived recycled matter within the upper mixed layer.

377 Viruses have been increasingly invoked as key agents terminating phytoplankton blooms.
378 Phages may infect *Trichodesmium* (Brown et al., 2013; Hewson et al., 2004; Ohki, 1999) yet they

- have not been demonstrated to terminate large surface blooms. Virus-like particles were previously
- 380 enumerated from *Trichodesmium* samples during bloom demise, yet the numbers of virus-like
- 381 particles did not indicate that a massive, phage-induced lytic event of *Trichodesmium* occurred there
- 382 (Spungin et al., 2016). Virus infection may induce PCD by causing an increased production of
- reactive oxygen species (Vardi et al., 2012) which stimulates PCD in algal cells (Berman-Frank et al.,
- 384 2004; Bidle, 2015; Thamatrakoln et al., 2012). Viral attack can also directly trigger PCD as part of an
- antiviral defense system (Bidle, 2015). Virus abundance and activity were not enumerated in this
- study, so unfortunately we cannot estimate their specific influence on mortality.
- 387 Limited availability of Fe and P induce PCD in *Trichodesmium* (Berman-Frank et al., 2004; Bar-Zeev et al., 2013). At LDA and LDB, Fe concentrations at the time of sampling were relatively 388 389 high (> 0.5 nM), possibly due to island effects (de Verneil et al., 2017). Phosphorus availability, or lack of phosphorus, can also induce PCD (Berman-Frank et al., 2004; Spungin et al., 2016). PO<sub>4</sub><sup>3-</sup> 390 concentrations at the surface (0-40m) of LDA and LDB stations were extremely low around 0.05 391  $\mu$ mol L<sup>-1</sup> (de Verneil et al., 2017), possibly consumed by the high biomass and high growth rates of 392 the bloom causing nutrient stress and bloom mortality. PO<sub>4</sub><sup>3-</sup> concentrations observed at LDC were 393 above the quantification limit with average values of 0.2  $\mu$ mol L<sup>-1</sup> in the 0-150 m depths (data not 394 shown). These limited P concentrations may curtail the extent of growth, induce PCD, and pose an 395 396 upper limit on biomass accumulation.
- 397 Here we compared, for the first time in oceanic populations, two PCD indices, caspase-like and metacaspase activities, to examine the presence/operation of PCD in the predominant 398 399 phytoplankton (and diazotroph) populations along the transect. This was determined by the cleavage 400 of Z-IETD-AFC and Ac-VRPR-AFC substrates for caspase-like and metacaspase activities 401 respectively. As we are working with natural communities (and not with monospecific lab cultures), 402 the activities presented here do not correspond to the purified protein, but to cell free extracts. Thus it 403 cannot point at the specific cell undergoing PCD or identify the specific organism responsible for the 404 activity. Here we specifically show the results from LDA and LDB where biomass and activities were 405 detectable.
- 406 Classic caspases are absent in phytoplankton, including in cyanobacteria, and are unique to 407 metazoans and several viruses (Minina et al., 2017). In diverse phytoplankton the presence of a 408 caspase domain suffices to demonstrate caspase-like proteolytic activity that occurs upon PCD 409 induction when the caspase specific substrate Z-IETD-AFC is added (Berman-Frank et al., 2004; 410 Bidle and Bender, 2008; Bar-Zeev et al., 2013). Cyanobacteria and many diazotrophs contain genes 411 that are similar to caspases, the metacaspases-cysteine proteases. These proteases share structural 412 properties with caspases, specifically a histidine-cysteine catalytic dyad in the predicted active site 413 (Tsiatsiani et al., 2011). While the specific role and function/s of metacaspases genes are unknown,

and cannot be directly linked to gene expression, preliminary investigations have indicated that when
PCD is induced some of these genes are upregulated (Bidle and Bender, 2008; Spungin et al., 2016).

416 Of the abundant diazotrophic populations at LDA and LDB 12 metacaspases have previously been identified in *Trichodesmium* spp. (Asplund-Samuelsson et al., 2012; Asplund-Samuelsson, 2015; 417 Jiang et al., 2010; Spungin et al., 2016). Phylogenetic analysis of a wide diversity of truncated 418 metacaspase proteins, containing the conserved and characteristic caspase super family (CASc; 419 420 cl00042) domain structure, revealed metacaspase genes in both Richelia intracellularis (het-1) from 421 the diatom-diazotroph association and Crocosphaera watsonii (a cultivated unicellular 422 cyanobacterium) which is genetically identical to the UCYN-B nifH sequences (Spungin et al., unpublished data). 423

424 We compared between metacaspase and caspase-like activities for the  $> 0.2 \ \mu m$  fraction sampled assuming that the greatest activity would be due to the principle organisms contributing to 425 the biomass – i.e. the diazotrophic cyanobacteria. Caspase-like activity and metacaspase activity were 426 specifically measured at all LD stations (days 1,3,5) at 5 depths between 0-200 m. Caspase-like 427 428 activity at the surface waters (50 m) at LDA, as determined by the cleavage of IETD-AFC substrate, 429 was between 2.3 to 2.8±0.1 pM hydrolyzed mg protein<sup>-1</sup> min<sup>-1</sup> on days 1 and 3 respectively (Fig. 3a). The highest activity was measured on day 5 at 50 m with  $5.1\pm0.1$  pM hydrolyzed mg protein<sup>-1</sup> min<sup>-1</sup>. 430 431 Similar trends were obtained at LDA for metacaspase activity as measured by the cleavage of the 432 VRPR-AMC substrate, containing an Arg residue at the P1 position, specific for metacaspase 433 cleavage, (Tsiatsiani et al., 2011). High and similar metacaspase activities were measured on days 1 and 3 (50 m) with  $32\pm4$  and  $35\pm0.2$  pM hydrolyzed mg protein<sup>-1</sup> min<sup>-1</sup> respectively (Fig. 3a). The 434 highest metacaspase activity was measured on day 5 at 50 m with  $59\pm1$  pM hydrolyzed mg protein<sup>-1</sup> 435 min<sup>-1</sup> with declining activity at greater depths (Fig. 3b). 436

437 Caspase-like activity at LDB, was similar for all sampling days, with the highest activity recorded from the surface samples (ranging from 3±0.1 to 4.5±0.2 pM hydrolyzed mg protein<sup>-1</sup> min<sup>-1</sup> 438 at 7 m depth and then decreasing with depth) (Fig. 3d). At day 3 caspase-like activity at LDB 439 increased at the surface with  $4.5\pm0.2$  pM hydrolyzed mg protein<sup>-1</sup> min<sup>-1</sup> and then declined slightly by 440 day 5 back to 3±0.1 pM hydrolyzed mg protein<sup>-1</sup> min<sup>-1</sup>. The decrease in activity at the surface between 441 day 3 and 5 was accompanied by an increase in caspase-like activity measured in the DCM between 442 443 day 3 and 5 (Fig. 3d). Caspase-like activity at the DCM at day 3 (35 m) was 1±0.4 pM hydrolyzed mg 444 protein<sup>-1</sup> min<sup>-1</sup> and by day 5 increased to 3±0.1 pM hydrolyzed mg protein<sup>-1</sup> min<sup>-1</sup> for samples from 70 445 m depth. Thus, at LDB, caspase-like activity increased from day 1 to 5 and with depth with Higher 446 activities were initially recorded at surface and then at depth and were coupled with the decline of the 447 bloom (Fig. 3d). Similar trends were obtained at LDB for metacaspase activity with 11.1±0.9 pM hydrolyzed mg protein<sup>-1</sup> min<sup>-1</sup> at the surface (7 m) on day 1. A 4-fold increase in activity was 448 measured at the surface on day 3 with 40.1±5 pM hydrolyzed mg protein<sup>-1</sup> min<sup>-1</sup> (Fig. 3e). Similar 449

- 450 high activities were measured also on day 5 (Fig. 3e). However, the increase in activity was also
- 451 pronounced at depth of  $\sim$  70 m and not only at the surface. Metacaspase activity at day 5 was the
- 452 highest with 40.3±0.5 and 44.6±5 pM hydrolyzed mg protein<sup>-1</sup> min<sup>-1</sup> at 7 and 70 m respectively (Fig.
- 453 3e). The relatively low metacaspase activity measured on day 1 appears to correspond with the
- 454 stressed physiological status of the biomass just prior to increased mortality rates. Metacaspase
- 455 activity increased corresponding with the pronounced decline in Chl *a* from day 1 to day 5 (Fig. 1b).
- 456 The measured metacaspase activities were typically 10-fold higher than caspase-like activity 457 rates (Fig. 3). Yet, metacaspase and caspase-like activities are significantly and positively correlated 458 at LDA and LDB (r=0.7, p=0.005 and r=0.7 p=0.001 for LDA and LDB respectively) (Fig. 3c and 459 3f). Both findings (i.e. higher metacaspase activity and tight correlation between metacaspase and 460 caspase-like activities) were demonstrated specifically in cultures and natural populations of Trichodesmium undergoing PCD (Spungin et al., unpublished). As our experiments find a significant 461 positive correlation between both activities, we performed a series of inhibitor experiments to test 462 463 whether metacaspases are substrate specific and are not the caspase-like activity we have examined 464 (Spungin et al., unpublished). In vitro treatment with a metacaspase inhibitor- antipain dihydrochloride, efficiently inhibited metacaspase activity, confirming the arginine-based specificity 465 of Trichodesmium. Our biochemical activity and inhibitor observations demonstrate that metacaspases 466 467 and caspases-like activities are likely distinct and are independently activated under stress and coupled to PCD in our experiments of both laboratory and field populations. However, caspase-like 468 469 activity was somewhat sensitive to the metacaspase inhibitor, antipain, showing a ~30-40% drop in 470 activity. This hints at some catalytic crossover between these two catalytic activities in 471 Trichodesmium that further should be studied. We do not know what protein is responsible for the 472 caspase-like specific activities and what drivers regulate it. Yet, the tight correlation between both 473 activities specifically for Trichodesmium, and here at LDA and LDB suggest that both activities occur 474 in the cell when PCD is induced. To date, we are not aware of any previous studies examining 475 metacaspase or caspase-like activity (or the existence of PCD) in diatom-diazotroph associations such 476 as Rhizosolenia-Richelia.
- 470 as Knizosolenia-Kichelia.

# 477 **3.3. TEP dynamics and carbon pools**

Transparent exopoloymeric particles (TEP) link between the particulate and dissolved carbon
fractions and act to augment the coagulation of colloidal precursors from the dissolved organic matter
and from biotic debris and to increase vertical carbon flux (Passow, 2002; Verdugo and Santschi,
2010). TEP production also increases upon PCD induction – specifically in large bloom forming
organisms such as *Trichodesmium* (Berman-Frank et al., 2007; Bar-Zeev et al., 2013).

At LDA, TEP concentrations at 50 m depth were highest at day 1 with measured concentrations
 of 562±7 μg GX L<sup>-1</sup> (Table. 1) that appear to correspond with the declining physiological status of the

cells that were sampled at that time (Fig. 2a-d). TEP concentrations during days 3 and 5 decreased to
less than 350 µg GX L<sup>-1</sup>, and it is possible that most of the TEP had been formed and sank prior to our
measurements in the LDA station.

At LDB, TEP concentrations at day 1 and 3 were similar with ~ 400  $\mu$ g GX L<sup>-1</sup> at the surface (7 488 m) while concentrations decreased about 2-fold with depth, averaging at 220±56 and 253±32 µg GX 489 490  $L^{-1}$  (35-200 m) for day 1 and 3 respectively (Fig. 4a, Table 2). A significant (> 150 %) increase in TEP concentrations was observed on day 5 compared to previous days, with TEP values of 597±69 µg 491 GX L<sup>-1</sup> at the surface (7m) (Fig. 4b, Table 2). Although TEP concentrations were elevated at surface, 492 493 the difference in averaged TEP concentrations observed at the deeper depths (35-200 m) between day 494  $3 (157\pm 28 \mu g \text{ GX L}^{-1})$  and day  $5 (253\pm 32 \text{ GX L}^{-1})$  indicated that TEP from the surface was either breaking down or sinking to depth (Fig. 4a, Table 2). The TEP concentrations from this study 495 496 correspond with values and trends reported from other marine environments (Engel, 2004; Bar-Zeev 497 et al., 2009) and specifically with TEP concentrations measured from the New Caledonian lagoon 498 (Berman-Frank et al., 2016).

TEP are produced by many phytoplankton including cyanobacteria under conditions
uncoupling growth from photosynthesis (i.e. nutrient but not carbon limitation) (Berman-Frank and
Dubinsky, 1999; Passow, 2002; Berman-Frank et al., 2007). Decreasing availability of dissolved
nutrients such as nitrate and phosphate has been significantly correlated with increase in TEP
concentrations in both cultured phytoplankton and natural marine systems (Bar-Zeev et al., 2013;
Brussaard et al., 2005; Engel et al., 2002; Urbani et al., 2005). TEP production in *Trichodesmium* is
enhanced as a function of nutrient stress (Berman-Frank et al., 2007).

506 In the New Caledonian coral lagoon TEP concentrations were significantly and negatively correlated with ambient concentrations of dissolved inorganic phosphorus (DIP) (Berman-Frank et al., 507 2016). Here, at LDB a significant negative correlation of TEP with DIP was also observed (Fig. 4b, 508 509 p=0.005), suggesting that lack of phosphorus set a limit to continued biomass increase and stimulated 510 TEP production in the nutrient-stressed cells. TEP production was also significantly positively correlated with metacaspase activity at all days (Fig. 4c, p=0.03) further indicating that biomass 511 512 undergoing PCD produced more TEP. In the diatom *Rhizosolenia setigera* TEP concentrations 513 increased during the stationary- decline phase (Fukao et al., 2010) and could also affect buoyancy. 514 Coupling between PCD and elevated production of TEP and aggregation has been previously shown 515 in Trichodesmium cultures (Berman-Frank et al., 2007; Bar-Zeev et al., 2013). Here we cannot 516 confirm a mechanistic link between nutrient stress, PCD induction, and TEP production, but show significant correlations between these parameters measured at LDA and LDB with the declining 517 518 diazotroph blooms (Fig. 4c) (Spungin et al., 2016).

519 Furthermore, TEP concentrations at LDB were significantly and positively correlated with TOC, 520 POC, and DOC (Fig. 4d-f) confirming the integral part of TEP in the cycling of carbon at this station. 521 Assuming a carbon content of 63 % (w/w), (Engel, 2004) we estimate that TEP contributes to the organic carbon pool in the order of ~ 80-400  $\mu$ g C L<sup>-1</sup> (Table 1 and Table 2) with the percentage of 522 TEP-C from TOC ranging between 0.08- 42 % and 11-32 % at LDA and LDB respectively (Table 1 523 524 and 2, taking into account spatial and temporal differences). Thus, at LDB, surface TEP-C increased from 22 % at day 3 to 32 % of the TOC content at day 5. Yet, for the same time period a 2-fold 525 increase of TEP was measured at 200 m (11 % to 21 %). These results reflect the bloom status at 526 527 LDB. During bloom development; organic C and N are incorporated to the cells and little biotic TEP 528 production occurs while stationary growth (as long as photosynthesis continues) stimulates TEP 529 production (Berman-Frank and Dubinsky, 1999). When mortality exceeds growth, the presence of large amounts of sticky TEP provide "hot spots" or substrates for bacterial activity and facilitate 530 531 aggregation of particles and enhanced sinking rates of aggregates as previously observed for 532 Trichodesmium (Bar-Zeev et al., 2013).

### 533 **3.4. Linking PCD-induced bloom demise to particulate C and N export**

Measurements of elevated rates of metacaspase and caspase-like activities and changes in TEP concentrations are not sufficient to link PCD and vertical export of organic matter as demonstrated for laboratory cultures of *Trichodesmium* (Bar-Zeev et al., 2013). To see whether PCD-induced mortality led to enhanced carbon flux at sea we now examined mass flux and specific evidence for diazotrophic contributions from the drifting sediment traps (150, 325 and 500 m) at LDA and LDB stations.

539 Mass flux at LDA increased with time, with the maximal mass flux rates obtained from the 150 m trap (123 dry weight (DW) m<sup>-2</sup> d<sup>-1</sup>) on day 4. The highest mass flux was 40 and 27 DW m<sup>-2</sup> d<sup>-1</sup> from 540 541 the deeper sediment traps (325 and 500 m traps respectively). Particulate C (PC) and particulate nitrogen (PN) showed similar trends as the mass flux. At LDA, PC varied between 3.2-30 mg sample-542 <sup>1</sup> and PN ranged from 0.3-3.2 mg sample<sup>-1</sup> in the 150 m trap. At LDB, PC varied from 1.6 to 6 mg 543 sample<sup>-1</sup> and total PN ranged from 0.2 to 0.8 mg sample<sup>-1</sup> in the 150 m trap. The total sediment flux in 544 the traps deployed at LDB ranged between 6.4 mg m<sup>-2</sup> d<sup>-1</sup> (150 m, day 4) and 33.5 mg m<sup>-2</sup> d<sup>-1</sup> (500 m, 545 day 2), with an average of 18.9 mg m<sup>-2</sup> d<sup>-1</sup>. Excluding the deepest trap at 500 m where the high flux 546 547 occurred at day 2, in the other traps the highest export flux rate occurred at the last day at the station 548 (day 5).

Analyses of the community found in the sediment traps, as determined by qPCR from the accumulated matter on day 5 at the station, confirmed that *Trichodesmium*, UCYN-B and het-1 were the most abundant diazotrophs in the sediment traps at LDA and LDB stations (Caffin et al., 2018), significantly correlating with the dominant diazotrophs found at the surface of the ocean (measured on day 1). *Trichodesmium* and *Rhizosolenia-Richelia* association (het-1) were the major contributors to

- diazotroph export at LDA and LDB while UCYN-B and het-1 were the major contributors at LDC
- 555 (Caffin et al., 2018). At LDA the deeper traps contained *Trichodesmium* with  $2.6 \times 10^7$  and  $1.4 \times 10^7$
- 556 *nifH* copies  $L^{-1}$  at the 325 and 500 m traps respectively. UCYN-B was detected in all traps with the
- highest abundance at the 325 m ( $4.2 \times 10^6$  nifH copies L<sup>-1</sup>) and 500 m traps ( $2.8 \times 10^6$  nifH copies L<sup>-1</sup>).
- Het-1 was found only in the 325 m trap with  $2.0 \times 10^7$  nifH copies L<sup>-1</sup> (Fig. 5a). At LDB,
- 559 *Trichodesmium*, UCYN-B and het-1 were detected at the 325 and 500 m traps but not at 150 m.
- 560 *Trichodesmium* counts were  $9 \times 10^5$  at the 325 m trap and  $5 \times 10^6$  *nifH* copies L<sup>-1</sup> for the 500 m trap (Fig.
- 561 5b). While evidence for UCYN-B showed  $3.6 \times 10^5$  and  $10 \times 10^5$  *nifH* copies L<sup>-1</sup> at 325 and the 500 m
- traps respectively (Fig. 5b).

563 In addition to exported *Trichodesmium* and *Rhizosolenia-Richelia* associations, the small 564 unicellular UCYN-B ( $< 4 \mu m$ ) were also found in the sediment traps, including the deeper (500 m) 565 traps. UCYN-B is often associated with larger phytoplankton such as the diatom *Climacodium frauenfeldianum* (Bench et al., 2013) or in colonial phenotypes (> 10  $\mu$ m fraction) as has been 566 567 observed in the northern tropical Pacific (ALOHA) (Foster et al., 2013). Sedimenting UCYN-B were detected during the VAHINE mesocosm experiment in the New Caledonian lagoon in shallow (15m) 568 569 sediment traps) (Bonnet et al., 2015) and were also highly abundant in a floating sediment trap 570 deployed at 75 m for 24 h in the North Pacific Subtropical Gyre (Sohm et al., 2011). Thus our data 571 substantiates earlier conclusions that UCYN, which form large aggregates (increasing actual size and 572 sinking velocities), can efficiently contribute to export in oligotrophic systems (Bonnet et al., 2015). Increase in aggregate size could also occur with depth, possibly due to the high concentrations of TEP 573 produced at the surface layer that provide a nutrient source and enhance aggregation as they sink 574 575 down the water column (Berman-Frank et al., 2016).

The sinking rates of aggregates in the water column, depend on factors such as fluid viscosity, 576 577 particle source material, morphology, density, and variable particle characteristics. Sinking velocities of diatoms embedded in aggregates are generally fast (50-200 m d<sup>-1</sup>) (Asper, 1987; Alldredge, 1998) 578 compared with those of individually sinking cells (1<sup>-10</sup> m d<sup>-1</sup>) (Culver and Smith, 1989) allowing 579 aggregated particles to sink out of the photic zone to depth. Assuming a sinking rate of 580 Trichodesmium-based aggregates of 150-200 m d<sup>-1</sup> (Bar-Zeev et al., 2013), we would need to shift the 581 time frame by 1 day to see whether PCD measured from the surface waters is coupled with changes in 582 organic matter reflected in the 150 m sediment traps. Thus, at LDA, examining metacaspase activities 583 584 from the surface with mass flux and particulate matter obtained 24 h later yielded a significant 585 positive correlation between these two parameters (Fig. 5c).

LDA had the highest export flux and particulate matter found in its traps relative to LDB and LDC. Diazotrophs contributed ~ 36 % to PC export in the 325 m trap at LDA, with *Trichodesmium* comprising the bulk of diazotrophs (Caffin et al., 2018). In contrast, at LDB, we found lower flux rates and lower organic material in the traps. *Trichodesmium* contributed the bulk of diazotroph

- biomass at the 150 m trap. We believe that at LDB the decline phase began only halfway through our
  sampling and thus the resulting export efficiency we obtained for the 5 days at station was relatively
  low compared to the total amount of surface biomass. Moreover, considering export rates, and the
  experimental time frame, most of the diazotrophic population may have been directly exported to the
- traps only after we left the station (i.e. time frame > 5 days). This situation is different from the bloom
- at LDA, where enhanced mortality, biomass deterioration, and bloom crash were initiated 1-2 weeks
- 596 before our arrival and sampling at the station. Thus, at LDA, elevated mass flux and higher
- 597 concentrations of organic matter were obtained from all three depths of the deployed traps.

598 In the field, especially in the surface layers of the oligotrophic oceanic regions, dead cells are 599 rarely seen at later stages (Berges and Choi 2014; Segovia et al., 2018). This is due to the fact that 600 dying and dead cells are utilized quickly and recycled within the food web and upper surface layer. 601 However, under bloom conditions, when biomass is high, the fate of the extensive biomass is more complicated (Bonnet et al., 2015). PCD induced cell death, combined with buoyancy loss, can lead to 602 rapid sinking to depth of the biomass at a speed that would prevent large feeding events on this 603 604 biomass. We previously measured POC export in our laboratory under controlled conditions (Bar-Zeev et al., 2013). Here, using sediment traps we measured POC fluxes as well as specific indices 605 606 (NifH reads) of Trichodesmium and other diazotrophs which were measured for several days at the 607 surface where high biomass accumulations were found. This indicates that under bloom conditions 608 when biomass is high some of the cell pellets do sink down out of the food web.

609 **4. Conclusion and implications** 

610 Our specific objective in this study was to examine whether diazotroph mortality mediated by 611 PCD can lead to higher fluxes of organic matter sinking to depth. The OUTPACE cruise provided this 612 opportunity in two out of three long-duration (5 day) stations where large surface blooms of 613 diazotrophs principally comprised of Trichodesmium, UCYN-B and diatom-diazotroph associations 614 Rhizosolenia-Richelia were encountered. We demonstrate (to our knowledge for the first time) 615 metabolically active metacaspases in oceanic populations of *Richelia* and *Trichodesmium*. Moreover, metacaspase activities were significantly correlated to caspase-like activities at both LDA and LDB 616 stations. Both caspase and metacaspase-proteins families are independent yet characteristic of PCD 617 618 induced mortality. Evidence from drifting sediment traps, deployed for 5 days at the two stations, 619 showed high TEP concentrations formed at surface and shifting to depth, increasing numbers of 620 diazotrophs in sediment traps from 150, 350, 500 m depths), and a time-shifted correlation between 621 metacaspase activity (signifying PCD) and vertical fluxes of PC and PN). Yet, our results also delineate the natural variability of biological oceanic populations. The two 622 623 stations, LDA and LDB were characterized by biomass at physiologically different stages. The 624 biomass from LDA displayed more pronounced mortality that had begun prior to our arrival at station.

625 In contrast, satellite data indicated that at LDB, the surface *Trichodesmium* bloom was sustained for at

- least a month prior to the ship's arrival and remained high for the first 3 days of our sampling before
- 627 declining by 40 % at day 5. As sediment trap material was examined during a short time frame, of
- 628 only 5 days at each LD station, we assume that a proportion of the sinking diazotrophs and organic
- 629 matter were not yet collected in the traps and had either sunk before trap deployment or would sink
- 630 after we left the stations. Thus, these different historical conditions, which influence physiological
- status at each location, also impacted the specific results we obtained and emphasized a-priori the
- 632 importance of comprehensive spatial and temporal sampling that would facilitate a more holistic
- 633 understanding of the dynamics and consequences of bloom formation and fate in the oceans.

### 634 Author contributions

- 635 IBF, DS, and SB conceived and designed the investigation linking PCD to vertical flux within the
- 636 OUTPACE project. NB, MS, AC, MPP, NL CD and RAF participated, collected and performed
- analyses of samples, DS analysed samples and data. DS and IBF wrote the manuscript with
- 638 contributions from all co-authors.

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### 861 **Figure legends**

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**Figure 1-** Temporal dynamics of surface chlorophyll *a* (Chl *a*) concentrations in the long duration

864 (LD) stations (a) LDA (b) LDB and (c) LDC station. Chl *a* was measured over 5 days at each station

865 (marked in gray). Satellite data of daily surface Chl a (mg m<sup>-3</sup>) around the LD stations of OUTPACE

- 866 was used to predict changes in photosynthetic biomass before and after our measurements at the
- station (marked as dashed lines). Satellite data movies are added as supplementary data
- (Supplementary videos S1, S2, S3). Chl *a* profiles in (d) LDA (e) LDB and (f) LDC. Measurements of
  Chl *a* were taken on days 1 (black dot), 3 (white triangle) and 5 (grey square) at the LDB station at 5
- approximate and 200 m depths.

**Figure 2-** (a-d) Microscopic images of *Trichodesmium* from LDA and LDB. Observations of poor

873 cell integrity were reported for collected samples, with filaments at various stages of degradation and

874 colony under possible stress. (e) Confocal and (f) processed IMARIS images of *Rhizosolenia-Richelia* 

875 symbioses (het-1) at 6m (75 % surface incidence). Green fluorescence indicates the chloroplast of the

diatoms, and red fluorescence are the *Richelia* filaments; Microscopic observations indicate that near

- the surface *Rhizosolenia* populations were already showing signs of decay since the silicified cell-wall
- 878 frustules were broken and free filaments of *Richelia* were observed. Images by Andrea Caputo.
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880 Figure 3- PCD indices from LDA and LDB (a) Caspase-like activity from LDA (pM hydrolyzed mg protein<sup>-1</sup> min<sup>-1</sup>) assessed by cleavage of the canonical fluorogenic substrate, z-IETD-AFC. (b) 881 882 Metacaspase activity from LDA (pM hydrolyzed mg protein<sup>-1</sup> min<sup>-1</sup>) assessed by cleavage of the 883 canonical fluorogenic substrate, Ac-VRPR-AMC. (c) Relationship between caspase-like activity and 884 metacaspase activity from LDA (r=0.7, n=15, p=0.005). (d) Caspase-like activity rats in LDB station (pM hydrolyzed mg protein<sup>-1</sup> min<sup>-1</sup>). (e) Metacaspase activity in LDB station (pmol hydrolyzed mg 885 886 protein<sup>-1</sup> min<sup>-1</sup>). (f) Relationship between caspase-like activity and metacaspase activity in LDB 887 station (r=0.7, n=15, p=0.001). Caspase-like and metacaspase activities at LDA and LDB stations were measured on days: 1 (black dot), 3 (white triangle) and 5 (grey square) between surface and 200 888 889 m. Error bars represent  $\pm 1$  standard deviation (n=3).

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**Figure 4- (a)** Depth profiles of TEP concentrations ( $\mu$ g GX L<sup>-1</sup>) at LDB station. Measurements were taken on days 1, 3 and 5 at the station at surface-200 m depths. (b) The relationships between the

893 concentration of transparent exopolymeric particles (TEP), ( $\mu g G X L^{-1}$ ) and dissolved inorganic

- phosphorus DIP ( $\mu$ mol L<sup>-1</sup>) for days 1, 3 and 5 at the LDB station (*r*=-0.7, n=15, *p*=0.005).
- 895 Relationships between the concentration of transparent exopolymeric particles (TEP), (µg GX L<sup>-1</sup>)

and (c) metacaspase activity (pmol hydrolyzed mg protein<sup>-1</sup> min<sup>-1</sup>) for days 1, 3 and 5 at the LDB

assessed by cleavage of the canonical fluorogenic substrate, Ac-VRPR-AMC (r = 0.6 n = 15, p = 0.03);

- (d) and with dissolved organic carbon (DOC), ( $\mu$ M) for days 1, 3 and 5 at the LDB station (*r*=0.7,
- n=15, p=0.004) (e) and with particulate organic carbon (POC) ( $\mu$ M) for days 1, 3 and 5 at the LDB
- station (r=0.8, n=5, p=0.1 for day 1 and r=0.9, n=8 p=0.002 for day 3 and 5) (f) and with total organic
- 901 carbon (TOC) ( $\mu$ M) for days 1, 3 and 5 at the LDB station (r=0.7, n=15, p=0.001). Measurements
- 902 were taken on days 1 (black dot), 3 (white triangle) and 5 (grey square) at LDB at 5 depths between
- 903 surface and 200 m depths. Error bars for TEP represent  $\pm 1$  standard deviation (n=3).
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- 905 **Figure 5-** (a) Diazotrophic abundance (*nifH* copies L<sup>-1</sup>) of *Trichodesmium* (dark grey bars); UCYN-B
- 906 (white bars); and het-1 (light grey bars) recovered in sediment traps at the LDA station. (b)
- 907 Diazotrophic abundance (*nifH* copies L<sup>-1</sup>) of *Trichodesmium* (dark grey bars); UCYN-B (white bars);
- and het-1 (light grey bars) recovered in sediment traps at the LDB station. Abundance was measured
- 909 from the accumulated material on day 5 at each station. Sediment traps were deployed at the LD
- station at 150 m, 325 m, and 500 m. Error bars represent  $\pm 1$  standard deviation (n=3). (c)
- 911 Relationship between metacaspase activity (pmol hydrolyzed mg protein<sup>-1</sup> min<sup>-1</sup>) measured at the
- 912 surface waters of LDA station assessed by cleavage of the canonical fluorogenic substrate, Ac-VRPR-
- 913 AMC and mass flux rates (mg m<sup>2</sup> h<sup>-1</sup>) (grey circle), particulate carbon (PC, mg sample<sup>-1</sup>) (green
- triangle) and particulate nitrogen (PN, mg sample<sup>-1</sup>) (blue square) measured in the sediment trap
- 915 deployed at 150 m. A 1-day shift between metacaspase activities at the surface showed a significant
- 916 positive correlation with mass flux and particulate matter obtained in the sediment trap at LDA station
- **917** at 150 m.
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**Table 1-** Temporal changes in the relative composition (w/w) and distribution of TEP, TEP-C and
organic carbon and nitrogen fractions within the water column during days 1,3 and 5 in the LDA
station at different depth ranging between surface (10 m) to 200 m.

Day at LDA station	Depth (m)	TEP (µg GX L <sup>-1</sup> )	TEP-C	%TEP-C	POC (µM)	TOC (µM)	POC/PON
1	200	296±135	186.5	27.2	3.04	57.2	5
	150	ND	ND	ND	3.18	61.1	13
	70	87±17	54.8	6.7	2.93	68.7	11
	50	562±7	354.3	41.9	2.47	70.5	13
	10	241±40	152.3	14.5	9.21	87.4	8
3	200	191±13	120.9	18.6	1.29	54.2	27
	150	144±54	91.2	12.9	2.22	59.0	22
	80	263	166.1	20.5	4.62	67.5	15
	10	126±2	79.6	8.3	3.60	79.7	12
5	200	200	126	21.3	2.84	54.2	236
	150	220	138.6	18.0	2.72	58.2	7
	80	146	92.2	12.1	4.91	63.3	8
	50	348±60	219.5	26.8	3.33	68.3	6
	10	ND	ND	ND	5.80	83.7	7

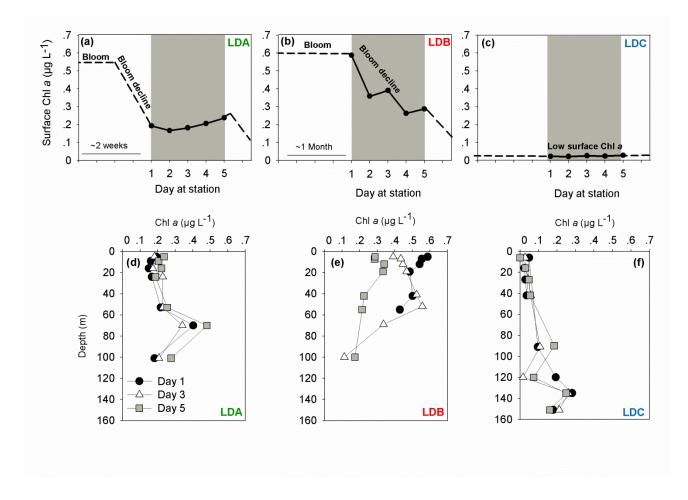
**Table 2-** Temporal changes in the relative composition (w/w) and distribution of TEP, TEP-C and
organic carbon and nitrogen fractions within the water column during days 1,3 and 5 in the LDB
station at different depth ranging between surface (7 m) to 200 m.

Day at LDB station	Depth (m)	TEP (µg GX L <sup>-1</sup> )	TEP-C	%TEP-C	POC (µM)	TOC (µM)	POC/PON
1	7	408±36	257.1	23.4	8.95	91.5	6.0
	35	279±86	175.9	17.0	5.86	86.0	9.1
	100	214±67	134.7	16.8	ND	66.7	ND
	150	145±34	91.5	12.3	3.79	61.9	11.2
	200	244±113	153.7	20.3	7.61	63.2	9.8
3	7	402±12	253.1	22.5	8.88	93.9	6.9
	35	193±48	121.8	12.6	3.07	80.3	8.2
	100	163±33	102.4	12.6	ND	67.8	ND
	150	145±34	91.6	12.0	1.91	63.8	7.4
	200	127±79	80.2	11.3	1.71	59.3	5.7
5	7	565±87	355.8	32.5	5.32	91.3	5.9
	70	294±53	185.2	20.1	2.21	76.7	6.1
	100	264±160	166.2	19.6	2.25	70.6	8.0
	150	224±51	140.8	15.9	1.53	73.9	5.1
	200	231±45	145.8	21.1	1.11	57.6	5.5

945 Abbreviations: TEP, transparent exopolymeric particle; TEP-C, TEP carbon; POC, particulate organic
946 C; TOC, total organic C; ND- no data.

# 949 Figures

# 950 Figure 1



# **Figure 2**

