



1	Spatial pattern of $K_d(PAR)$ and its relationship with light absorption of
2	optically active components in inland waters across China
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## 16 Abstract

17	The spatial distribution of the attenuation of photosynthetic active radiation ( $K_d(PAR)$ )
18	was routinely estimated in China lakes and reservoirs. Higher mean value of $K_d(PAR)$
19	was observed in Northeastern plain and mountainous region (NER). A linear model is
20	used to predict $K_d(PAR)$ , as a function of light absorption coefficient of pigment
21	particulates ( $a_{phy}$ ), colored dissolved organic matters ( $a_{CDOM}$ ), and inorganic particulate
22	matters (a <sub>NAP</sub> ): K <sub>d</sub> (PAR)= $0.41 + 0.57 \times a_{CDOM} + 0.96 \times a_{NAP} + 0.57 \times a_{phy}$ (R <sup>2</sup> =0.87, n=741,
23	p<0.001). Spatial K <sub>d</sub> (PAR) was relatively dependent on the inorganic particulate
24	matters (average relative contribution of 57.95%). When only consider the contribution
25	of absorption of $a_{OACs}$ to $K_d(PAR)$ , the results found that the $a_{OACs}$ could explain 70%-
26	87% of $K_{d}(\ensuremath{\text{PAR}})$ variations. In the lakes with low TSM concnetration and non-
27	eutrophic lakes with high TSM, $a_{\mbox{CDOM}}$ was the most powerful predicting factor on
28	$K_d(\ensuremath{\text{PAR}}).$ In eutrophic lakes with high TSM, $a_{\ensuremath{\text{NAP}}}$ had the most significant impact on
29	$K_d(PAR)$ . This study allowed $K_d(PAR)$ to be predicted from $a_{OACs}$ values in the inland
30	waters. Besides, results of this study are suggesting that new studies on the variability
31	of $K_d(PAR)$ in inland waters must consider the hydrodynamic conditions, trophic status
32	and the distribution of optically active components within the water column.
33	Keywords: light attenuation, light absorption, optically active components,

- 34 photosynthetic active radiation, inland waters
- 35





# 36 **1. Introduction**

37	Light is one of the most important factors governing primary production and
38	photosynthesis in the aquatic ecosystems (Kirk, 1994; Ma & Song & Wen & Zhao &
39	Shang & Fang & Du, 2016; Song & Ma & Wen & Fang & Shang & Zhao & Wang &
40	Du, 2017). Light availability plays a crucial role in the distribution of phytoplankton
41	and hydrophytes, and it is also a good indicator of the trophic state of an aquatic system.
42	Photosynthetically active radiation (PAR) for phytoplankton growth is a product of the
43	input of solar radiation at the surface and its reduction by optically active compounds
44	(OACs) through absorption and scattering (Devlin & Barry & Mills & Gowen & Foden
45	& Sivyer & Greenwood & Pearce & Tett, 2009). The diffuse attenuation of
46	photosynthetic active radiation ( $K_d(PAR)$ ) is commonly used to quantitatively assess
47	the light availability, it indicates the ability of solar radiation to penetrate a water
48	column (Kirk, 1994). $K_d(PAR)$ can be obtained by the profile of PAR values measured
49	at different water depths according to Lambert-Beer's law (Devlin et al., 2009; Devlin
50	& Barry & Mills & Gowen & Foden & Sivyer & Tett, 2008; Shi & Zhang & Liu &
51	Wang & Qin, 2014). However, in situ measurements of $K_d(PAR)$ in waters have obvious
52	limitations, and it is difficult to achieve spatial coverage. Satellite remote sensing has
53	achieved the mapping of $K_d(PAR)$ distribution from various types of satellite remote
54	sensing data in open sea, coastal and inland waters in recent years (Chen & Zhu & Wu
55	& Cui & Ishizaka & Ju, 2015; Shi et al., 2014; Song et al., 2017). However,
56	Environmental change and anthropogenic activity have made it challenging to
57	accurately assess $K_{\rm d}$ patterns in the extremely turbid inland waters (Zheng & Ren & Li
58	& Huang & Liu & Du & Lyu, 2016). The comprehensive analysis of the relationships
59	between $K_d(\mbox{PAR})$ and $a_{\mbox{OACs}}$ is an imperative requirement to retrieve $K_d(\mbox{PAR})$ from
60	remote sensing data for turbid inland waters (Ma et al., 2016).





A number of components in water contribute to the attenuation of light, including 61 water itself, colored dissolved organic matters (CDOM), phytoplankton pigment 62 particles (expressed here as the concentration of chlorophyll-a), and inorganic 63 64 suspended particles. Water and CDOM absorb light, pigment and inorganic particles absorb and scatter light (Effler, Schafran, and Driscoll, 1985; Shi et al., 2014). 65 66 Absorption and scattering by these OACs are the main attenuation factors of  $K_d(PAR)$ in the water (Budhiman & Suhyb Salama & Vekerdy & Verhoef, 2012; Zheng et al., 67 2016). The relative contribution of OACs to K<sub>d</sub>(PAR) have researched in numerous 68 69 studies previously in lakes, estuaries and offshore waters (Brandao & Brighenti & 70 Staehr & Barbosa & Bezerra-Neto, 2017; Lund-Hansen, 2004; Phlips, Lynch, and Badylak, 1995; V-Balogh, Nemeth, and Voros, 2009; Yamaguchi & Katahira & Ichimi 71 & Tada, 2013), there is general agreement by now that inorganic suspended particles 72 had the decisive effect on light attenuation in turbid waters (Brandao et al., 2017; Yang 73 & Xie & Xing & Ni & Guo, 2005; Zhang & Zhang & Ma & Feng & Le, 2007a). In 74 75 transparent marine and freshwater systems, phytoplankton is also an important component in PAR attenuation (Laurion & Ventura & Catalan & Psenner & Sommaruga, 76 77 2000; Lund-Hansen, 2004). Studies about  $K_d$ (PAR) partition and the influencing factors 78 provide important information to predict the underwater light climate from the 79 concentrations of these factors (Brandao et al., 2017; Zhang & Zhang & Ma & Feng & Le, 2007c). In present, the contribution of OACs to light attenuation in many studies 80 included both OACs absorption (aOACs) and particulates scattering. In fact, the 81 particulates scattering occupied small proportion of total contribution, most of the light 82 attenuation in water was induced by a<sub>OACs</sub> (Belzile, Vincent, and Kumagai, 2002). Thus, 83 the relationship between K<sub>d</sub>(PAR) and a<sub>OACs</sub> is very essential to predict K<sub>d</sub>(PAR) based 84 85 on a<sub>OACs</sub> in inland waters.





Although K<sub>d</sub>(PAR) characterization has been carried out in various aquatic 86 environments, including freshwater, estuaries, coastal water, and open ocean water 87 (Belzile et al., 2002; Cunningham, Ramage, and McKee, 2013; Frankovich, Rudnick, 88 89 and Fourqurean, 2017; Lund-Hansen, 2004; Zhang et al., 2007a), few studies have been performed in the extremely turbid waters and plateau water with strong ultraviolet 90 91 radiation (Ma et al., 2016; Shi et al., 2014; Song et al., 2017). In transparent marine and 92 freshwater systems, phytoplankton was suggested to be an important component in light 93 attenuation (Brandao et al., 2017; Yang et al., 2005). However, in turbid inland waters, 94 the components of OACs vary independently (Matsushita & Yang & Yu & Oyama & Yoshimura & Fukushima, 2015; Wen & Song & Zhao & Du & Ma, 2016), and studies 95 have pointed out that the components of OACs had large spatial and temporal variations 96 in turbid inland waters (Oliver & Collins & Soranno & Wagner & Stanley & Jones & 97 Stow & Lottig, 2017; Zhang & Zhou & Shi & Qin & Yao & Zhang, 2018; Zhao & Song 98 99 & Wen & Li & Zang & Shao & Li & Du, 2016). The governing factors controlling 100 K<sub>d</sub>(PAR) always changed with the OACs concentration and component in different inland waters (Brandao et al., 2017; Cunningham et al., 2013; Laurion et al., 2000). 101 102 China has a large number of inland waters, and they exhibit large variability in terms 103 of the optical properties and trophic status. A large proportion of lakes in China are characterized by highly turbid waters (Song & Wen & Shang & Yang & Lyu & Liu & 104 Fang & Du & Zhao, 2018). Thousands of closed lakes with high salinity have developed 105 in the plateau area, and they are exposed to high intensity solar radiation (Laurion et al., 106 107 2000; Ma & Yang & Duan & Jiang & Wang & Feng & Li & Kong & Xue & Wu & Li, 2011). To the best of our knowledge, there is little work has analyzed in detail the effect 108 109 of a<sub>OACs</sub> on K<sub>d</sub>(PAR) in a large variety of inland waters across China.

110 In this study, our objectives were (1) to describe the spatial distribution of  $K_d(PAR)$ 





- 111 in five limit regions, China; (2) evaluate which optical variables control the  $K_d(PAR)$
- in the water column of inland waters, especially in the different types of lakes, (3) to
- 113 provide an empirical model to estimate  $K_d(PAR)$  in these inland waters. The study is
- essential to remote sensing of  $K_d(PAR)$  and evaluate the underwater light climate.

#### 115 **2. Materials and Methods**

#### 116 2.1. Study area and Sampling description

China is situated in eastern Asia, on the western shore of the Pacific Ocean, covering 117 an area of approximately 9.6×10<sup>6</sup> km<sup>2</sup> (E: 73°40'-135°2'30'', N: 3°52'-53°33'). China 118 119 is characterized by temperate continental climate, with a large temperature difference between summer and winter. The spatial distribution of annual average sunshine hours 120 increases from southeast to northwest. There are a large number of lakes and reservoirs 121 with the total surface area of 104,415 km<sup>2</sup>, accounting for about 1.09% of the China 122 123 total area, and this area accounts for 3.48% of the global lake and reservoir surface area 124 (Ma et al., 2011; Raymond & Hartmann & Lauerwald & Sobek & McDonald & Hoover & Butman & Striegl & Mayorga & Humborg & Kortelainen & Duerr & Meybeck & 125 Ciais & Guth, 2013; Wen & Song & Shang & Fang & Li & Lv & Lv & Chen, 2017). 126 In accordance with the regions and topography, the lakes are divided into five limnetic 127 regions: Inner Mongolia -Xinjiang plateau region (MXR), Tibet-Qinghai Lake Region 128 (TQR), Northeastern plain and mountainous region (NER), Yunnan-Guizhou Plateau 129 130 region (YGR), and Eastern plain region (ER) (Wen et al., 2017). Current estimation suggest that the total water storage of these lakes and reservoirs in China is about 131 1,280.75 km<sup>3</sup> (Song et al., 2018). The actual water storage of lakes in China is likely to 132 be greater than currently known due to underestimation of the presence of many 133 temporary small lakes (Song & Zang & Zhao & Li & Du & Zhang & Wang & Shao & 134 Guan & Liu, 2013). The trophic status of lakes in China included oligotrophic, 135





136 mesotrophic, and hypereutrophic, water quality of the majority of lakes has degraded

137 (Jin, Xu, and Huang, 2005).

Surveys were carried out between April 2015 and September 2017 with a total of 138 139 741 locations covered 141 lakes and reservoirs in China (here after together called lakes). A total of 13 field surveys covering the whole country was conducted. Details 140 141 about the distribution of the sampling lakes are shown in Figure 1. These lakes 142 distributed in different climatic zones with various land-use types. During the sampling period the mean day air temperatures ranged from 15 to 25 °C. The areas of these lakes 143 ranged from 1 km<sup>2</sup> to 3,283 km<sup>2</sup>, including freshwater and saline lakes. The surface 144 water (0.2-0.5 m depth) was collected in the acid-washed HDPE bottles, and were 145 placed in a portable refrigerator before they were carried back to the laboratory. The 146 location of each sampling station was recorded with a UniStrong G3 GPS. Water 147 samples were collected at 5-7 sampling stations from lakes on average, in the 148 meanwhile, PAR values were also measured in the same station. In total, PAR values 149 were collected in 741 stations in nine field experiments. The PAR values were 150 measured using the LI-COA 193SA underwater spherical quantum sensor. The 151 operation was conducted on the sunny side of the boat to avoid any shadow effects. The 152 PAR measurements were taken at no less than five point's depth for each station. At 153 154 each depth in the water, PAR value was continuously recorded for 15 s and output an averaged value, the average value was regarded as the PAR value at this water depth 155 156 (Ma et al., 2016).





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Fig. 1 Study area location and sampling lakes distribution, (a) sampling lakes distribution in five
limnetic regions, (b) K<sub>d</sub>(PAR) values distribution of every sampling lake

#### 160 2.2. Water quality and light absorption parameters measurement

Salinity and pH were measured by a portable multi-parameter water quality analyzer 161 (YSI 6600, U.S) in situ with the uncertainty of 0.01 ppt and 0.01, respectively. Secchi 162 163 disk depth (SDD) at each sampling site was measured using a 30 cm diameter Secchi disk. All water samples were filtered through 0.45 µm mixed fiber millipore filters 164 within 24 h of sampling, and the filtered waters were used to TN concentrations analysis 165 by a continuous flow analyzer (SKALAR, San Plus System, the Netherlands). Total 166 167 phosphorus (TP) was determined using the molybdenum blue method after the samples were digested with potassium peroxydisulfate (APHA, AWWA, and WEF, 1998). DOC 168 169 concentrations were also analyzed using a total organic carbon analyzer (TOC-VCPN, 170 Shimadzu), details can be found in the reference (Song et al., 2018). Chlorophyll a 171 (Chla) was extracted from raw water samples using a 90% buffered acetone solution, and the concentration was determined by spectrophotometry (UV- 2600 PC, Shimadzu) 172 (Jeffrey & Humphrey, 1975). Total suspended matter (TSM) concentration was 173 determined gravimetrically, a certain volume of raw water were filtered through pre-174 combusted 0.7 µm glass fiber millipore filters (Whatman, GF/F 1825-047), the 175





particulate matter were retained in the filters, and then the filters were combusted for 176 2h on 400°C. TSM concentration was calculated by the difference between filtered 177 178 combusted filter and non- filtered combusted filter(Cleveland & Weidemann, 1993). Total particulate light absorption (a<sub>p</sub>) of the filter captured TSM was determined 179 by UV spectrophotometry (Shimadzu, 2660) with a virgin filter as a reference 180 (Cleveland & Weidemann, 1993). Then the sodium hypochlorite solution was used to 181 remove pigments in this filter, and the bleached filter was determined again to obtain 182 the optical density  $(OD_{\lambda})$  of the non-algal particles (a<sub>NAP</sub>). The pigment or 183 phytoplankton light absorption coefficient  $(a_{phy})$  was the difference between  $a_p$  and  $a_{NAP}$ . 184 The collected water samples were filtered in turn through a GF/F 0.7 µm glass fiber 185 membrane and a 0.2 µm polycarbonate membrane to extract CDOM. The filtering 186 process should be finished within 24 h away from light. Light absorption of colored 187 188 dissolved organic matter (a<sub>CDOM</sub>) in the waters was also measured using a UV-2600 spectrophotometer equipped a 5 cm quartz cuvette, the Milli-Q water was used as a 189 reference. The light absorption coefficient of CDOM at 700 nm was used to correct 190 CDOM absorption coefficients to eliminate the internal back scattering (Bricaud, Morel, 191 192 and Prieur, 1981). The absorption coefficients (ap, aphy and aCDOM) were derived from the measured  $OD_{\lambda}$  as the following equations (Bricaud et al., 1981; Bricaud & Stramski, 193 194 1990). In this study, absorption coefficients at 440 nm was chosen for analysis later in 195 this study (Wen et al., 2016). The light absorption of optically active components (aOACs) 196 is the sum of  $a_{CDOM}$  and  $a_p$ . Where  $a_{CDOM}(\lambda)$ ,  $a_P(\lambda)$ , and  $a_{phy}(\lambda)$  are the CDOM, total 197 particulate and phytoplankton absorption coefficients at a given wavelength, respectively; L is the cuvette path length (0.01 m); S is the effective area of the deposited 198 particle on the fiber membrane  $(m^2)$ ; V is the volume of the filtered water  $(m^3)$ ; 2.303 199 200 is the conversion factor; and OD<sub>(null)</sub> is the OD value at 700 nm.





201 
$$a_{CDOM}(\lambda) = 2.303 \times [OD_{(\lambda)} - OD_{(null)}]/L$$
(1)

202 
$$a_P(\lambda) = 2.303 \times \frac{S}{V} \times OD_{(\lambda)}$$
(2)

203 
$$a_{phy}(\lambda) = a_{P}(\lambda) - a_{NAP}(\lambda)$$
(3)

#### 204 2.3. Data analysis

 $K_d(PAR)$  was calculated using the exponential regression model as the following equation, where Z is the water depth, and  $PAZ_Z$  is the photosynthetic active radiation value at depth Z (Pierson & Kratzer & Strombeck & Hakansson, 2008; Stambler, 2005). The results were accepted only if the coefficient of determination ( $R^2$ ) was higher than 0.95.

210 
$$PAR_{Z2} = PAR_{Z1} \times e^{-K_d(PAR) \times (Z_2 - Z_1)}$$

A classification regression tree approach (CHAID) was used to classify the lakes based on K<sub>d</sub>(PAR) in SPSS 19.0. K<sub>d</sub>(PAR) was used value as the response variable, the explanatory variables were TSM, Chla, a<sub>CDOM</sub>, pH, salinity, and trophic status of lakes. Mean value and standard error of K<sub>d</sub>(PAR) were calculated for each branch of the regression tree.

We approached data analysis in the following ways: First, the K<sub>d</sub>(PAR) differences 216 in different limnetic regions across China were quantified by the regional mean value 217 of all lakes. Meanwhile, the relative contributions of a<sub>OACs</sub> to K<sub>d</sub>(PAR) was calculated 218 according to the references (Brandao et al., 2017; Kirk, 1976; Pierson et al., 2008; Pope 219 & Fry, 1997). The second approach was to establish links between Kd(PAR) and aOACs 220 221 in lakes using in situ measured values of all sampling sites. Third, regression tree 222 analysis was used to classify the lakes based on  $K_d(PAR)$  values, and the relationships between K<sub>d</sub>(PAR) and a<sub>OACs</sub> in different types of lakes were explored using the 223 multivariate regression analysis. 224





### 225 **3. Results**

#### 226 3.1 General surface water properties of lakes in different limnetic regions

In all field surveys conducted over the 141 lakes across different limnetic regions 227 228 interweaved with the diverse geographical environments, a large diversity of lakes with 229 varying water qualities was encountered. We analyzed the transparency and trophic 230 status of these lakes, and found that lakes in the YGR had the highest transparency, 231 followed by YGR, MXR, ER, and NER showed the lowest transparency (SDD median/mean ±standard deviation: 0.40/0.90 ±1.03 m) (Fig. 2a). The lakes in NER were 232 highly turbid. NER is in the fluvial plains, the most of lakes in this area are shallow (2.8 233  $\pm 1.8$  m) with re-suspension of bottom sediments. The trophic status of lakes across 234 different limnetic regions showed that 24.14% studied lakes in NER had a mesotrophic 235 status, and others were all eutrophic lakes (75.86%). The proportion of eutrophication 236 of NER lakes was the highest in five limnetic regions, followed by ER (65.67%) (Fig. 237 2b). Agricultural non-point pollution combined with industrial and domestic sewage 238 discharge were the main reasons for these highly eutrophic waters in the NER and ER. 239 Compared with MXR, lakes in the YGR were more transparent  $(1.73/2.46 \pm 2.48)$ 240 m) (Fig. 2a). It is possible that most of the lakes in the YGR are deeper tectonic ones 241 (average: 13.8 m). Lakes in the eastern part of Inner Mongolia were shallow, and strong 242 wind caused re-suspension, resulting in the water turbidity. In these limnetic regions, 243 most of lakes were mesotrophic (>50%), only a few lakes were oligotrophic (<10%) 244 (Fig. 2b). Lakes from the TQR are usually tectonic origins with a larger water depth 245  $(21.7\pm16.8 \text{ m})$ , they are more transparent  $(3.60/4.69 \pm 3.62 \text{ m})$ . Because of less human 246 activities and limited agricultural non-point pollution, the studied lakes in this regions 247 did not show eutrophication, over half of the sampling waters were oligotrophic 248 249 (51.72%), and others were all mesotrophic status (48.48%) (Fig. 2b).





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Fig. 2 Analysis of transparency and trophic status of lakes in China's five limnetic regions. (a) the
transparency analysis; (b) trophic status analysis.

#### 253 **3.2 Spatial distribution of K**d(PAR)

Due to the diverse geographical environments in the area of study, the sampled lakes 254 included the varying K<sub>d</sub>(PAR) values (Fig. 1). K<sub>d</sub>(PAR) values in different lakes ranged 255 from 0.11-13.93 m<sup>-1</sup> with the mean of 1.99 m<sup>-1</sup>. The minimum value occurred in the 256 Pumoyum Co Lake of the southern Tibetan Plateau region. The maximum value 257 258 occurred in the Qingnian reservoir of Northeastern region. The average Kd(PAR) value for each of the five lake groups was calculated and ranged from 0.60 m<sup>-1</sup> in TQR to 259 260 3.17 m<sup>-1</sup> in NER (Fig. 3). In NER, the minimum value occurred in the Hengren reservoir 261 of 0.47 m<sup>-1</sup>. In ER, the minimum value occurred in Haicang Lake of 0.20 m<sup>-1</sup>. In MXR, the minimum value occurred in Sayram Lake of 0.13 m<sup>-1</sup>. In YGR, the minimum value 262 occurred in Fuxian Lake of 0.25 m<sup>-1</sup>. In TQR, the minimum value occurred in Pumoyum 263 Co Lake of 0.11 m<sup>-1</sup>. 264









#### Fig. 3 Compare of mean K<sub>d</sub>(PAR) values in five limnetic regions

### 267 3.3 Relationship between Kd(PAR) and OACs light absorption

A significant positive correlation was observed between  $K_d(PAR)$  and OACs total light absorption in lakes across China at all sampling sites, data were evenly distributed on both sides of the regression line (Fig. 4a). The best function to describe the relationship through a linear model:  $K_d(PAR)=0.86 \times a_{OACs}+0.22$  (R<sup>2</sup>=0.85, n=741). The linking between  $K_d(PAR)$  and light absorption of each optically active compound was also explored. Except  $a_{NAP}$  showed a significant positive correlation with  $K_d(PAR)$  (Fig. 4b), they all had no significant linear relationship to  $K_d(PAR)$  (Fig. 4c-4d).









277 Fig. 4 Scatter plots of diffuse attenuation vs light absorption of optically active components, (a)

278  $a_{OACs}$ , (b)  $a_{NAP}$ , (c)  $a_{phy}$ , and (d)  $a_{CDOM}$ 

The result of multiple regression analysis showed that all the optically active 279 components had impact on K<sub>d</sub>(PAR), and the relational expression was as follow: 280 K<sub>d</sub>(PAR)=0.41+0.57×a<sub>CDOM</sub>+0.96×a<sub>NAP</sub>+0.57×a<sub>phy</sub> (R<sup>2</sup>=0.87, n=741, p<0.001) (Table 281 1). The standardized coefficient of independent variables indicated that a<sub>NAP</sub> had the 282 most significant impact on Kd(PAR), followed by aphy. TSM expresses the total 283 284 concentration of inorganic and pigment particulate matter in water (Budhiman et al., 2012). The relationship between K<sub>d</sub>(PAR) and TSM was also explored to support the 285 regression analysis result (Fig. 5). 286

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/	$\sim$	

Table 1 Summary of multiple regression analysis

	D	D Sauara	Adjusted	Std. Error of	Sig
	K	K Square	R Square	the Estimate	Sig.
All lakes	0.931	0.867	0.866	0.833	0.000
TSM <3.8 mg/L	0.863	0.744	0.742	0.220	0.000
TSM >3.8 mg/L (Non-eutrophic lakes)	0.880	0.774	0.770	0.429	0.000
TSM >3.8 mg/L (Eutrophic lakes)	0.874	0.764	0.762	1.106	0.000





### 288 Dependent Variable: K<sub>d</sub>(PAR); Predictors: constant, a<sub>phy</sub>, a<sub>NAP</sub>, a<sub>CDOM</sub>



Fig. 5 Relationship between K<sub>d</sub>(PAR) and total suspended matter concentration (TSM) 290 In five limnetic regions, the significant positive correlation was also observed 291 between K<sub>d</sub>(PAR) and total light absorption of OACs (Fig. 6). The relationship 292 293 coefficient and fitting degree  $(R^2)$  all changed for lakes in different limnetic regions. The regression model in TQR had the best fitting degree ( $R^2 = 0.85$ ) and the greatest 294 295 relationship coefficient (slope=0.95) than in other limnetic regions. In MXR, the regression model was K<sub>d</sub>(PAR) =0.79×a<sub>OACs</sub>+0.08 (R<sup>2</sup> =0.81, n=156) with the smallest 296 relationship coefficient. In YGR, the regression model was  $K_d(PAR) = 0.82 \times a_{OACs} + 0.33$ 297  $(R^2 = 0.80, n = 156)$  with the lowest fitting degree. 298



299





300	Fig. 6 Relationships between $K_d(PAR)$ and $a_{OACs}$ in five limnetic regions
301	In all limnetic regions in this study, $K_d(PAR)$ was dominated by inorganic
302	particulate matter absorption/scattering, followed by pigment particulate matters in all
303	limnetic regions with mean relative contributions of 57.95% and 28.20%, respectively.
304	The highest mean relative contribution of inorganic particulate matter to $K_d(PAR)$
305	(71.55 %) was in highest YGR, followed by NER (64.17 %), TQR (59.35 %), MXR
306	(48.26 %), and ER (46.45 %) (Fig. 7). There is a little part of the $K_d(PAR)$ variation
307	could be explained by CDOM with the contributions in YGR of 6.78%, in NER of
308	9.99%, in TQR of 10.38%, in MXR of 11.75%, and in ER of 8.71% (Fig. 7).



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Fig. 7 Relative contributions of OACs to K<sub>d</sub>(PAR). K<sub>water</sub> is the partial attenuation coefficient by
 pure water, K<sub>CDOM</sub> by CDOM, K<sub>NAP</sub> by inorganic suspended particles, and K<sub>Chla</sub> by pigment particles

#### 312 **3.4 Relationship between K**d(**PAR**) and aOACs in different lakes

Regression tree analysis showed this pattern of  $K_d(PAR)$  was mainly affected by TSM concentration in these inland lakes. The  $K_d(PAR)$  values in these lakes could be divided into two branches having a TSM threshold of 3.8 mg/L. When the TSM concentration of water was lower than 3.8 mg/L, the TSM concentration was the only predictive factor for  $K_d(PAR)$  values. However, the  $K_d(PAR)$  value in lakes with the TSM concentration higher than 3.8 mg/L, was also affected by trophic status.  $K_d(PAR)$  value in oligo- and Meso- trophic waters (mean ±SD: 1.26 ±0.89 m<sup>-1</sup>) was lower than in eutrophic waters





- (mean±SD: 4.59±2.18 m<sup>-1</sup>). From this point forward, the lakes are divided into two
  types used 3.8 mg/L TSM concentration as a threhold: low TSM lakes and high TSM
- 322 lakes.

323 In order to specify the model applicability, the relationship between K<sub>d</sub>(PAR) and aOACs was also analyzed established for the lakes with different TSM concentration and 324 325 trophic status. The regression model for lakes with low TSM had a lower slope (slope =0.49) than lakes with high TSM (slope =0.66, slope =0.73) with a good fitting degree 326  $(R^2)$  (Fig. 8). However, the relationship coefficient and  $R^2$  all changed for lakes with 327 different trophic status. In the oligo- and Meso- trophic waters (non-eutrophy), the R<sup>2</sup> 328 329 attained 0.70 with the relationship coefficient 0.66 (Fig. 8). In the eutrophic waters, the regression model was  $K_d(PAR) = 0.73 \times a_{OACs} + 1.04$  with the R<sup>2</sup> of 0.72 (Fig. 8). 330







333



### Fig. 8 Relationships between $K_d(PAR)$ and $a_{OACs}$ in different lakes 334 In the waters with low TSM, the result of multiple regression analysis showed 335 $a_{CDOM}$ had the most significant impact on K<sub>d</sub>(PAR), followed by $a_{NAP}$ , the relational 336 expression was $K_d(PAR)=0.30+0.48 \times a_{CDOM}+0.72 \times a_{NAP}+0.20 \times a_{phy} (R^2=0.74, p<0.001)$ 337 (Table 1). In the waters with high TSM, the multiple regression analysis indicated that 338 339 not all the OACs had impact on Kd(PAR) in oligo- and Meso- trophic waters. aphy was excluded during the building of regression model. The relational expression was as 340 follow: $K_d(PAR) = 0.56 + 0.51 \times a_{CDOM} + 0.52 \times a_{NAP} (R^2 = 0.77, p < 0.001)$ (Table 1). The 341 342 standardized coefficient of independent variables indicated that aCDOM had more impact on $K_d(PAR)$ than $a_{NAP}$ in these non-eutrophic waters. In eutrophic waters with high 343 344 TSM, the regression model was $K_d(PAR)=1.47+0.35 \times a_{CDOM}+0.82 \times a_{NAP}+0.41 \times a_{Phv}$ $(R^2=0.76, p<0.001)$ (Table 1). $a_{NAP}$ had the most significant impact on K<sub>d</sub>(PAR), 345 346 followed by aphy.

### 347 4. Discussion

### 348 4.1 Kd(PAR) in different limnetic regions of China

In the present study, 47.37% of the in situ  $K_d(PAR)$  values ranged from 0.11 m<sup>-1</sup> to 1.00 m<sup>-1</sup>, and 43.61% of  $K_d(PAR)$  ranged from 1.00 m<sup>-1</sup> to 5.00 m<sup>-1</sup>, reflecting that approximately half of these lakes are the turbid water body. The comparision of the





average K<sub>d</sub>(PAR) value in the five limnetic regions indicated that the lakes in TQR were 352 the most clear water, and the lakes in NER were the most turbid water (Fig. 3a). The 353 lake area in TQR accounts for 51.4% of total China lake area, and the majority of TQR 354 355 lakes are closed lakes with high salinity and low temperature (Ma et al., 2011; Song et al., 2018). The lacustrine environment in TQR is suffered less interference from 356 357 anthropogenic activity with little allochthonous nutrient. The algae growth is few due to the high salinity, low temperature, and low nutrient input, accompanying with low 358 359 Chla concentration. Moreover, the strong ultraviolet radiation in TQR could cause 360 CDOM photolysis and photobleaching in waters, resulting in low CDOM absorption (Shang & Song & Wen & Lyu & Zhao & Fang & Zhang, 2018). Many large and 361 medium-sized lakes in TQR, developed in intermontane basin or longitudinal valley, 362 are the tectonic lake with deep water and steep shore. The TSM concentration in these 363 deep lakes may be not significantly influenced by surface runoff and wind disturbance. 364 According to the above reasons, the lakes in TQR may have a high water transparency, 365 and the attenuation of light may be relatively few than other limnetic regions. Previous 366 study has pointed out that most of lakes in NER were shallow lakes (Song et al., 2013), 367 and in shallow lakes, TSM usually plays a noticeable impact on the attenuation of light 368 and water transparency (Pierson, Markensten, and Strömbeck, 2003; Shi et al., 2014; 369 Van Duin & Blom & Los & Maffione & Zimmerman & Cerco & Dortch & Best, 2001). 370 TSM concentration is always higher in the shallow lakes due to the sediment re-371 suspension driven by wave disturbance (Shi et al., 2014). A lake's susceptibility to 372 sediment re-suspension induced by wind-driven waves can be estimated by a dynamic 373 ratio index of 0.8 km/m (the square root of the surface area divided by the average depth) 374 375 (Bachmann, Hoyer, and Canfield, 2000). We calculated the dynamic ratios for the lakes 376 in NER, results showed that the values ranged from 0.82 to 10.16 km/m. All lakes in





NER in this study exceeded the critical value, which supported that the resuspension driven by winds happened in these NER lakes. The higher TSM concentration led to the water turbidity and high  $K_d(PAR)$  value. These results were similar to those for other shallow, turbid, inland waters (Shi et al., 2014; Song et al., 2017; Zheng et al., 2016).

382 The K<sub>d</sub>(PAR) in the water is determined by pure water and OACs, but the main 383 deciding factor may be different in different environments and lakes. The relative contributions of OACs showed K<sub>d</sub>(PAR) was dominated by inorganic particulate matter 384 385 absorption/scattering in all limnetic regions in this study (Fig. 7), the findings are similar to previous findings on inland water bodies (Devlin et al., 2009; Ma et al., 2016; 386 Shi et al., 2014; Zhang et al., 2007a). However, there were marked regional differences 387 in the relative roles of inorganic particulate matter, Chl-a and CDOM to  $K_d(PAR)$  (Fig. 388 7). The highest relative contribution of inorganic particulate matter was presented in 389 390 YGR (Fig. 7). In this study, most of the studied lakes in the YGR are tectonic ones with 391 the mean deep more 10 m. The seasonal water layering is a universal phenomenon in deep lakes (Ndebele-Murisa & Musil & Magadza & Raitt, 2014; Wetzel, 2001). 392 Previous studies have been demonstrated that mixing of the water column caused 393 resuspension of particulate matter increasing inorganic particulate matter 394 concentrations (James, Best, and Barko, 2004; Pierson et al., 2008; Zhang & Zhang & 395 Wang & Li & Feng & Zhao & Liu & Qin, 2007b), which may explain the highest 396 average contribution of inorganic particulate matter to  $K_d(PAR)$  (71.55%) in the YGR 397 lakes. Most of the studied lakes in YGR, over 60%, was mesotrophic with the lower 398 Chla concentration, except a few highly eutrophic lakes, such as Dianchi Lake and 399 400 Xingyun Lake. The algae and phytoplankton existed with an appropriate biomass, and 401 pigment particulate matter only had a weak contribution to  $K_d(PAR)$ . The strong





402	photobleaching and photodegradation by intensive ultraviolet radiation in YGR have
403	destroyed CDOM structure and weakened CDOM light absorption, resulting in the
404	minimum contribution to $K_d(PAR)$ . The same phenomenon occurred in TQR (Fig. 7).
405	However, in ER, the relative contributions of Chla to $K_d(PAR)$ is nearly equal to the
406	inorganic particulate matter. ER situated in the fluvial plains, and most lakes were
407	shallow (2.8 $\pm$ 1.8 m), the waters always have high concentrations of suspended
408	particulate matter due to the re-suspension of bottom sediments and inflow of surface
409	runoff (Bachmann et al., 2000; Zhang et al., 2007c). Waters in the ER are highly turbid
410	with a very low transparency (0.4 $\pm$ 0.3 m). Meanwhile, the relatively high
411	concentrations of nutrients (TN: 0.94 $\pm$ 1.31 mg/L, and TP: 0.32 $\pm$ 1.02 mg/L) in lakes
412	resulted in phytoplankton overgrowth, even bloom. 85% of the studied lakes in the ELR
413	was eutrophic or hyper-eutrophic according to Carlson's trophic index (Carlson, 1977),
414	the pigment particulate matter during the algae decomposes and metabolism was
415	released to water. Many studies have proven that the controlling factor of $K_d(PAR)$ was
416	different with variation of the region (Zheng et al., 2016). Despite Chla and CDOM
417	contributed to $K_d(PAR)$ in ER and MXR lakes, inorganic particulate matter was largely
418	responsible for the attenuation. The relationships coefficient and fitting degrees $(R^2)$
419	between $K_d(PAR)$ and $a_{OACs}$ all changed in different limnetic regions, which further
420	verificated indicate that the deciding factor of $K_d(PAR)$ was different. This study have
421	indicated that althouth it sometimes had the same decisiving factor of $K_{\text{d}}(\text{PAR})$ in
422	different regions, the relative contributions of OACs to $K_d(PAR)$ still had a huge
423	difference.

### 424 4.2 Influence of OACs absorption on K<sub>d</sub>(PAR) in lakes

OACs have the deciding effect on K<sub>d</sub>(PAR) value (Shi et al., 2014). In this study, either
in five limnetic regions or different trophic lakes, the OACs absorption and K<sub>d</sub>(PAR)





had a significantly positive correlation, a<sub>OACs</sub> could explain 70%-87% of K<sub>d</sub>(PAR) 427 variations (Fig 5, Fig. 8). In the whole sduty area,  $a_{NAP}$  was the most significantly 428 regulating factor on  $K_d(PAR)$ . The determination coefficient between  $K_d(PAR)$  and  $a_{NAP}$ 429 430  $(R^2 = 0.79)$  was significantly higher than that between K<sub>d</sub>(PAR) and a<sub>phy</sub>, and between K<sub>d</sub>(PAR) and a<sub>CDOM</sub> (R<sup>2</sup>=0.23, R<sup>2</sup>=0.16) (Fig. 4b-d). However, there are marked 431 432 differences in the relative contributions of a<sub>OACs</sub> to light attenuation in different waters (Belzile et al., 2002; Brandao et al., 2017; Phlips & Aldridge & Schelske & Crisman, 433 1995; V-Balogh et al., 2009). 434

435 When the lakes were divided into different groups by TSM concnetration in this study, the determining factor of K<sub>d</sub>(PAR) changed with the lake type. In the lakes with 436 low TSM concnetration and non-eutrophic lakes with high TSM, acDoM was the most 437 438 powerful factor on  $K_d(PAR)$ , followed by  $a_{NAP}$ . The relative contribution analysis of CDOM, Chla, and inorganic particulate matters to the total non-water light absorption 439 was conducted in these waters, and the results indicated that at most of these sampling 440 waters, CDOM absorption played a major role on total non-water light absorption, and 441 Chla played a minor role. These waters can be classified as "CDOM-type" water 442 according to the optical classification of surface waters (Prieur & Sathyendranath, 443 1981). Studies have indicated that in most of the highly colored inland waters, CDOM 444 had a dominating influence on light attenuation, reducing the amount of PAR many-445 fold (Kirk, 1976; V-Balogh et al., 2009). Besides, the strong correlations between 446 K<sub>d</sub>(PAR) and TSM also implied that light attenuation in the lakes with high TSM 447 concentration, the particulate absorption, including  $a_{NAP}$  and  $a_{phy}$ , had an indispensable 448 influence on K<sub>d</sub>(PAR) (Fig. 5). But within the PAR waveband, CDOM absorbs 449 maximally in the blue region of the spectrum in many natural waters (Frankovich et al., 450 451 2017; Markager & Vincent, 2000; Morris & Zagarese & Williamson & Balseiro &





Hargreaves & Modenutti & Moeller & Queimalinos, 1995). CDOM absorption
overlaps the blue absorption maximum for Chla, which affected the light availability of
phytoplankton, resulting in the low Chla concentration and the low contribution of a<sub>phy</sub>
to K<sub>d</sub>(PAR)(Markager & Vincent, 2000).

In eutrophic lakes with high TSM, a<sub>NAP</sub> had the most significant impact on 456 457  $K_d(PAR)$ , followed by  $a_{phy}$ . In fact, the low contribution of  $a_{CDOM}$  to  $K_d(PAR)$  has been predicted since the  $a_{CDOM}$  occupied a low proportion in  $a_{OAC}$  (Mean  $\pm$  SD: 24.30  $\pm$ 458 14.97%) in this type of lakes. These waters can be classified as "NAP-type" water with 459 high TSM contrations (Mean $\pm$ SD: 40.94 $\pm$ 35.50 mg/L) and high proportion of a<sub>NAP</sub> 460 to  $a_{OAC}$  (Mean  $\pm$  SD: 51.19  $\pm$  22.87%) (Prieur & Sathyendranath, 1981). The 461 462 concentration of calcite particles was the most important factor regulating summer light attenuation within Otisco Lake, New York (Weidemann & Bannister & Effler & 463 464 Johnson, 1985). In Japan Lake Biwa with bloom-forming cyanobacteria, recearchers also found that particulate absorption played significant roles to K<sub>d</sub>(PAR) than a<sub>CDOM</sub> 465 (Belzile et al., 2002). The re-suspension of bottom sediments caused by strong winds 466 in autumn correlated with high  $K_d(PAR)$  values, which was because of the high 467 inorganic particles matters concentration (Ma et al., 2016; Song et al., 2017). However, 468 in these turbid waters, the trophic status or Chla concentration also had important 469 influence on light attenuation (Effler et al., 1985). Studies have pointed out that the 470 effect of sediments re-suspension caused by strong wind on K<sub>d</sub>(PAR) could be disturbed 471 by the high phytoplankton concentration in spring and summer, the algal bloom in lakes 472 increased the contribution of Chla to K<sub>d</sub>(PAR) (Song et al., 2017). The research on 473 hypertrophic waters in Hungary indicated that aphy played an important role in the PAR 474 attenuation (V-Balogh et al., 2009). Results of this study are suggesting that new studies 475 on the variability of K<sub>d</sub>(PAR) in inland waters must consider the hydrodynamic 476





477 conditions, trophic status and the distribution of OACs within the waters (Brandao et

478 al., 2017).

The K<sub>d</sub>(PAR) in the water is governed by absorption and scattering of water, 479 480 CDOM, and particulate matter (Ma et al., 2016; Song et al., 2017; Zheng et al., 2016), the pure water effects are always regarded as the background value of  $K_d(PAR)$ , so the 481 482 absorption and scattering of OACs have the deciding effect on K<sub>d</sub>(PAR) value (Shi et 483 al., 2014). In this study, only the contribution of OACs absorption on Kd(PAR) was analyzed and discussed. The absorption of OACs directly attenuated the photo energy 484 485 without change of light transmission direction, but the scattering of particles matters changed light transmission direction, which resulted in the change of light absorption 486 along the initial transmission direction (Budhiman et al., 2012; Kirk, 1976; Zheng et al., 487 488 2016). In fact,  $a_{OACs}$  could explain most of  $K_d(PAR)$  variations (Fig 5, Fig. 8), the scattering contribution of particles matters to Kd(PAR) variations in natural waters was 489 relatively small (Belzile et al., 2002; Lund-Hansen, 2004). The previous studies have 490 491 found that scattering of particles matters decreased approximately linearly with increasing wavelength in particle dominated natural waters (Haltrin, 1999; Morel & 492 Loisel, 1998; Pegau & Zaneveld & Barnard & Maske & Alvarez-Borrego & Lara-Lara 493 494 & Cervantes-Duarte, 1999). Most of the lakes in this study had the high suspended particles concentration, so the effect of scattering on  $K_d(PAR)$  variations may be very 495 weak. Due to the limitation of the our experimental conditions, the scattering of 496 particles matters did not measured in this study, a detailed in situ profiles of spectral 497 absorption and attenuation measured using the AC-9 may help us to understand the 498 results of the research. 499

#### 500 5. Conclusions

501 The spatial distribution of average  $K_d(PAR)$  in five limnetic regions China showed that





- the minimum value in TQR ( $0.60\pm0.99$  and the maximum in NER ( $3.17\pm2.86$  m<sup>-1</sup>). The inorganic particulate matters had the highest average relative contribution to K<sub>d</sub>(PAR) (57.95%).
- 505 The a<sub>OACs</sub> could explain 70%-87% of K<sub>d</sub>(PAR) variations with the following relationship:  $K_d(PAR) = 0.41 + 0.57 \times a_{CDOM} + 0.96 \times a_{NAP} + 0.57 \times a_{phy}$  (R<sup>2</sup>=0.87, n=741, 506 507 p < 0.001). However, the influence of different components of  $a_{OACs}$  on  $K_d(PAR)$ changed with the lake type. In the lakes with low TSM concnetration and non-eutrophic 508 509 lakes with high TSM, a<sub>CDOM</sub> was the most powerful factor on K<sub>d</sub>(PAR). In eutrophic 510 lakes with high TSM,  $a_{NAP}$  had the most significant impact on K<sub>d</sub>(PAR), followed by aphy. A precise understanding the effect of OACs absorption on Kd(PAR) is essential to 511 remote sensing of water color and evaluate the underwater light climate. 512

### 513 Acknowledgments

This study was jointly supported by the National Natural Science Foundation of China (No. 41730104, No. 41701423), the "One Hundred Talents Program" of the Chinese Academy of Sciences granted to Kaishan Song, 13th Five-Year Plan of Technical and Social Research Project for Jilin Colleges (JJKH20170257KJ), and Jilin Scientific & Technological Development Program (No. 20160520075JH).

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