Bio-optical characterization of subsurface chlorophyll maxima in the Mediterranean Sea from a Biogeochemical-Argo float database

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ABSTRACT

As commonly observed in oligotrophic stratified waters, a Subsurface (or Deep) Chlorophyll Maximum (SCM) frequently characterizes the vertical distribution of phytoplankton chlorophyll in the Mediterranean Sea. Occurring far from the surface layer "seen" by ocean color satellites, SCMs are difficult to observe with adequate spatio-temporal resolution and their biogeochemical impact remains unknown. BioGeochemical-Argo (BGC-Argo) profiling floats represent appropriate tools for studying the dynamics of SCMs. Based on data collected from 36 BGC-Argo floats deployed in the Mediterranean Sea, our study aims to address two main questions: (1) What are the different types of SCMs in Mediterranean Sea? (2) Which environmental factors control their occurrence and dynamics? First, we analyzed the seasonal and regional variations of the chlorophyll concentration (Chl*a*), particulate backscattering coefficient (b_{bp}), a proxy of the Particulate Organic Carbon (POC), and environmental parameters (PAR and nitrates) within the SCM layer over the Mediterranean basin. The vertical profiles of Chl*a* and b_{bp} were then statistically classified, and the seasonal occurrence of each of the different types of SCMs quantified. Finally, a case study was performed on two contrasted regions and the environmental conditions at depth were further investigated to understand the main controls on the SCMs. In the Eastern Basin, SCMs result, at a first order, from photoacclimation process. Conversely, SCMs in the Western Basin reflect a biomass increase at depth benefiting from both light and nitrate resources. Our results also suggest that a variety of intermediate types of SCMs are encountered between these two end-member situations.

1 INTRODUCTION

The vertical distribution of phytoplankton in the open ocean is often characterized by the occurrence of high chlorophyll *a* concentration (Chl*a*) beneath the mixed layer (Cullen and Eppley, 1981; Fasham et al., 1985; Raimbault et al., 1993; Letelier et al., 2004; Tripathy et al., 2015). This phenomenon is commonly referred to as Deep Chlorophyll Maximum (DCM) or Subsurface Chlorophyll Maximum (SCM). Although it always happens below the surface layer (approximately below the first 20 meters), it does not necessarily settle very deep in the water column, thus making the notation DCM sometimes inappropriate. Hence, in the following, we will use the notation SCM. Commonly observed at depth in oligotrophic stratified regions (Anderson, 1969; Cullen, 1982; Furuya, 1990; Mignot et al., 2014), SCMs are also known to occur below the mixed layer in temperate- and high-latitude environments (Parslow et al., 2001; Uitz et al., 2009; Ardyna et al., 2013; Arrigo et al., 2011). The formation of a subsurface maximum of Chl*a* in these different ecosystems results from various underlying mechanisms leading to different types of SCMs. In stratified waters, SCMs often result from photoacclimation of the phytoplankton organisms, which induces an increase in the intracellular Chl*a* in response to low light conditions (Kiefer et al., 1976; Winn et al., 1995; Fennel and Boss, 2003; Dubinsky and Stambler, 2009). However SCMs resulting from an actual increase in phytoplankton carbon biomass have also been reported in such ecosystems (Beckmann and Hense, 2007; Crombet et al, 2011; Mignot et al., 2014). In high-latitude regions with well-mixed surface waters, SCMs have been shown to result from the accumulation of particles sinking from the mixed layer (Quéguiner et al., 1997; Parslow et al, 2001), photophysiological acclimation of algal cells (Mikaelyan and Belyaeva, 1995) or phytoplankton growth at the depth of the nutricline (Holm-Hansen and Hewes, 2004; Tripathy et al, 2015). Hence, regional or local studies have highlighted underlying processes indicating that, under certain conditions, SCMs could contribute to carbon production and export, and

thus potentially have an important biogeochemical role. However, we have limited knowledge of their biogeochemical significance at large spatial and temporal scales. Their contribution to the depth-integrated primary production has been assessed for a limited number of regions and remains largely unknown. It has been reported to be underestimated from 40 to 75% in the Arctic Ocean (Ardyna et al., 2013; Hill et al., 2013), to more than 40% in the oligotrophic Atlantic (Perez et al., 2006), 40–50% in the Celtic Sea (Hickman et al., 2012) and about 58% in the North Sea (Weston et al., 2005). The biogeochemical contribution of the SCMs to the global ocean is also particularly hard to assess at large spatio-temporal scales, especially because SCMs settle at a depth usually far from the surface layer "seen" by ocean color satellites. Remotely sensed estimates are restricted to the upper layer of the water column that represent only one fifth of the euphotic layer where phytoplankton photosynthesis takes place (Gordon and McCluney, 1975). The exact biogeochemical role of SCMs, thus, needs to be further explored.

The Mediterranean Sea is considered as an oligotrophic province where the vertical distribution of phytoplankton is, seasonally or permanently, characterized by the occurrence of a SCM (Kimor et al., 1987; Estrada et al., 1993; Videau et al., 1994; Christaki et al., 2001; Siokou-Frangou et al., 2010; Lavigne et al., 2015). It is also a low-nutrient concentration basin, one of the largest nutrient-depleted areas of the global ocean and it is characterized by a west-to-east gradient in both nutrients and Chl*a* (Dugdale and Wilkerson, 1988; Bethoux et al., 1992; Antoine et al., 1995; Bosc et al., 2004; D'Ortenzio and Ribera d'Alcalà, 2009). While the Eastern Basin is defined as oligotrophic (Krom et al., 1991; Ignatiades et al., 2002; Lavigne et al., 2015), the Western Basin is more productive and behaves as a temperate system (Morel and André, 1991; Marty et al., 2002; Mayot et al., 2017b). Hence, this "miniature ocean" presents SCMs that may be encountered in both seasonally stratified environments and permanently stratified waters of the global ocean. This, coupled with an

intensive effort of biogeochemical observations in this region, makes the Mediterranean Sea an ideal region for studying SCMs.

The biogeochemical and bio-optical community recently developed autonomous profiling floats that collect *in situ* vertical profiles of biogeochemical properties such as the chlorophyll *a* fluorescence (*i.e.* a proxy of the Chl*a*) and the particulate backscattering coefficient (b_{bp}) (*i.e.* a proxy of the Particulate Organic Carbon (POC)). Physical-chemical properties such as nitrate concentration ($[NO_3^-]$) or the Photosynthetically Available Radiation (PAR), essential to understand the functioning of SCMs, are also measured simultaneously (Johnson et al., 2009; Claustre et al., 2010; Johnson and Claustre, 2016). Thirty-six BioGeochemical-Argo (BGC-Argo) have been deployed in the Mediterranean Sea from 2012 to 2017, providing a database of 4050 *in situ* multi-variable profiles. This extensive database gives us the unique opportunity to enhance our comprehension of the vertical distribution and seasonal variability of the phytoplankton biomass in the subsurface layer of the Mediterranean Sea and expand our understanding of the mechanisms involved in the occurrence of SCMs. Our study seeks to address two main questions: (1) what are the different types of SCMs in Mediterranean Sea?; and (2) which environmental factors control the occurrence and dynamics of the different types of SCMs in this region? To address these questions, three complementary approaches were used. First, based on a climatological approach, we analyzed the spatial and seasonal variability of biogeochemical properties (*i.e.* Chl*a* and b_{bp}) and environmental conditions at the SCM level. This should lead to the identification of the main mechanisms controlling SCMs in different regions of the Med Sea. Second, using a statistical method, we classified the vertical profiles of Chl*a* and b_{bp} seasonally encountered in the various regions of the Mediterranean Sea. This approach allowed us to quantify the frequency of occurrence of distinct types of SCMs in these different regions. Finally, using two specific BGC-Argo floats deployed in the Gulf of Lions and the Levantine Sea, we conducted a case

109 study of two contrasted regimes and investigate the environmental conditions that control the
110 occurrence of SCMs in each regime.

111 2 DATA AND METHODS

112 2.1 The BGC-Argo profiling float database

113 Thirty-six BGC-Argo profiling floats were deployed in the Mediterranean Sea in 5
114 geographic areas, *i.e.* the Northwestern (NW) and Southwestern (SW) regions, the Tyrrhenian
115 (TYR), Ionian (ION) and Levantine (LEV) Seas. Our study was based on the analysis of a
116 database comprising 4050 multivariable vertical profiles, corresponding to upward casts
117 collected between November 26, 2012 and September 27, 2017 (Table 1 and Figure 1). The
118 ‘‘PROVOR CTS-4’’ (NKE Marine Electronics, Inc.) is a profiling autonomous platform that
119 has been specifically designed in the frame of the remOcean and NAOS projects. The
120 physical variables (depth, temperature and salinity) were acquired by a SBE 41 CTD (Sea-
121 Bird Scientific Inc.). Two optical packages, the so-called remA and remB, were developed to
122 be specifically implemented on profiling floats. The remA is composed of an OCR-504
123 (SAtlantic, Inc.), a multispectral radiometer that measures the Photosynthetically Available
124 Radiation (PAR) and the downwelling irradiance at 380, 410 and 490 nm. The remA also
125 includes an ECO3 sensor (Combined Three Channel Sensors; WET Labs, Inc.) measuring the
126 fluorescence of the chlorophyll *a* and the Colored Dissolved Organic Matter (CDOM) at
127 excitation/emission wavelengths of 470/695 nm and 370/460 nm, respectively, and the
128 angular scattering coefficient of particles ($\beta(\theta, \lambda)$) at 700 nm and at an angle of 124°. Finally,
129 15 floats were also equipped with a nitrate (NO_3^-) (Deep SUNA, Sea-Bird Scientific, Inc.)
130 or/and an oxygen (O_2) sensor (optode 4330, Aanderaa, Inc.). Depending on the scientific
131 objectives of the different projects, the measurements were collected during upward casts
132 programmed every 1, 2, 3, 5, or 10 days. All casts started from a parking depth at 1000 m at a

time that was sufficient for surfacing around local noon. The vertical resolution of data acquisition was 10 m between 1000 m and 250 m, 1 m between 250 m and 10 m, and 0.2 m between 10 m and the surface. Each time the floats surfaced, the raw data were transmitted to land through Iridium two-way communication.

2.2 Retrieval of key biogeochemical variables from optical measurements

For each bio-optical parameter, raw counts were converted into the desired quantities according to technical specifications and calibration coefficients provided by the manufacturer. These quantities were transformed into Chl*a* and particulate backscattering coefficient (b_{bp}) following the BGC-Argo procedure (Schmechtig et al., 2015, 2016b; Organelli et al., 2017b). This procedure included a correction of non-photochemical quenching for Chl*a* following Xing et al. (2012) method. In addition, we applied a correction factor to Chl*a* fluorescence measurements from the BGC-Argo floats, following the recommendation of Roesler et al. (2017). Comparing estimates of Chl*a* from the WET Labs ECO fluorometers (used on BGC-Argo floats) with Chl*a* estimates from other methods, these authors evidenced a bias varying according to the region sampled. In order to quantify this bias, they calculated the slope of the relationship between the Chl*a* values from the ECO fluorometers and those estimated independently using HPLC analyses. This bias was further confirmed using optical proxies such as *in situ* radiometric measurements (Xing et al., 2011) or algal absorption measurements (Boss et al., 2013; Roesler and Barnard, 2013). At a global scale, Roesler et al. (2017) evidenced an overestimation of the Chl*a* concentration by a factor of 2, on which regional variations of the fluorescence-to-Chl*a* ratio are superimposed. This correction factor applied to BGC-Argo data was found to have little impact on the interpretation of the results on a global scale (Barbieux et al., 2017; Organelli et al., 2017a) and did not modify the interpretation of the present results, especially because the regional correction factors proposed by Roesler et al. (2017) for the Mediterranean Sea are very close

158 to the global factor of 2 (1.62 and 1.72 for the Western and Eastern Basin, respectively).
159 Finally a quality-controlled procedure was performed following the BGC-Argo
160 recommendations (Schmechtig et al., 2016a). All data were also visually checked in order to
161 detect any drift over time or sensor deficiency. These data were made freely available by the
162 International Argo Program (http:// www.argo.ucsd.edu, http://argo.jcommops.org) and the
163 CORIOLIS project (http://www.coriolis.eu.org).

164 After binning the data at a 1-m resolution, the mixed layer depth (MLD) was derived
165 from the CTD data using the density criterion of de Boyer Montégut (2004). The MLD was
166 calculated as the depth where the density difference compared to the surface (10 m) reference
167 value is 0.03 kg m^{-3}. The depth of the SCM and of the Subsurface b_{bp} Maximum ($Sb_{bp}M$) was
168 identified as the depth where the absolute value of Chl*a* or b_{bp} reaches a maximum below the
169 MLD. Large spikes associated with particle aggregates or zooplankton (Gardner et al., 2000;
170 Briggs et al., 2011) were observed in the b_{bp} profiles and made it sometimes difficult to
171 identify the depth of the $Sb_{bp}M$. Hence, for the purpose of the $Sb_{bp}M$ retrieval exclusively, the
172 b_{bp} values were smoothed with a mean filter (5-point window). To study the SCM dynamics
173 and obtain the width of the SCM that may fluctuate in space and time, a Gaussian profile was
174 adjusted to each Chl*a* vertical profile of the database that presented a SCM. This approach
175 first proposed by Lewis et al. (1983) has been widely used in oceanographic studies (*e.g.*
176 Morel and Berthon, 1989; Uitz et al., 2006; Barbieux et al., 2017). The width of the gaussian
177 adjusted to the vertical profile of Chl*a* represented the width of the SCM. The SCM layer was
178 defined as the layer extending across the entire width of the SCM. The upper (or lower) limit
179 was retrieved by removing (or adding) half of the width of the SCM to the absolute depth of
180 the SCM.

2.3 Estimation of nitrate concentration

The SUNA sensor measures the light absorption in the wavelength range from 217 to 240 nm. In this spectral band, the light absorption is dominated by nitrates and bromides, and, to a much lesser extent, by organic matter (Johnson and Coletti, 2002). Various algorithms were developed to obtain the nitrate concentration ($[NO_3^-]$) from the measured light absorption spectrum (*e.g.* Arai et al., 2008; Zielinski et al., 2011). The TCSS algorithm was specifically developed to take into account the temperature dependency of the bromide spectrum, which significantly improved the accuracy of the retrieved $[NO_3^-]$ (Sakamoto et al., 2009). This algorithm was recently modified to also take into account a pressure dependency (Pasqueron de Fommervault et al., 2015a; Sakamoto et al., 2017). Previous studies also evidenced the inaccuracy of standard calibration procedures (D'Ortenzio et al., 2014; Pasqueron de Fommervault et al., 2015a) and showed that SUNA sensors often undergo offset issue and drift over time (Johnson and Coletti, 2002). Johnson et al. (2017) proposed a method to correct these issues for the Southern Ocean. Using the GLODAP-V2 database (http://cdiac.ornl.gov/oceans/GLODAPv2) of *in situ* measurements, the authors determined an empirical relationship allowing the estimation of the $[NO_3^-]$ at depth ($[NO_3^-]_{deep\_pred}$ for nitrate concentration deep reference value) using a multiple linear regression (MLR) with physical and geolocation parameters as predictors (salinity, temperature, oxygen, latitude and longitude). BGC-Argo profiles of nitrate concentration were then corrected by adjusting the SUNA measurements to the retrieved deep reference value. Following a similar approach, we established a regional empirical relationship for the Mediterranean Sea (Eq.1) allowing to retrieve the $[NO_3^-]_{deep\_pred}$ values using parameters that were systematically measured by the BGC-Argo floats (*i.e.* latitude, longitude, temperature and salinity). For the Mediterranean Sea, oxygen was not used as an input parameter of the MLR as this parameter was not

systematically available for the BGC-Argo floats of our database. Moreover, its absence in the MLR as an input parameter did not affect the retrieval of the nitrate concentrations. Comparing the nitrate concentrations predicted by the MLR to the nitrate concentrations from GLODAP-V2 data, the determination coefficients of the relationship presented very similar values for the model with and without oxygen (see Figure S1 in Supplement 1).

Hence, the following equation was finally used:

$$[NO_3^-]_{deep\_pred} = 454.28 - 0.002 \times Latitude - 0.0473 \times Longitude + 1.7262 \times Temperature - 12.165 \times Salinity \quad (1)$$

A strong correlation was noticed between the nitrate concentrations predicted from the MLR model and the measurements provided in the GLODAP-V2 database. This correlation was associated with a strong determination coefficient ($R^2 = 0.89$) and a small root mean square error (RMSE = 0.52 μmol L^{-1}). Then, comparing the predicted climatology-based with the observed BGC-Argo nitrate concentrations at depth and computing the adjusted nitrate concentration for each depth, we obtained the following equation:

$$[NO_3^-]_{adjusted}(t,z) = [NO_3^-]_{raw}(t,z) - \left([NO_3^-]_{deep\_obs}(t) - [NO_3^-]_{deep\_pred}(t)\right) \quad (2)$$

with $[NO_3^-]_{raw}(t,z)$ corresponding to the raw nitrate value from the SUNA sensor.

The BGC-Argo $[NO_3^-]$ profiles of the Mediterranean database were compared with *in situ* measurements collected simultaneously to float deployment (see Taillandier et al., 2017 for more details), using the classic colorimetric method (Morris and Riley, 1963). We demonstrated that the retrieval of the BGC-Argo $[NO_3^-]$ with the proposed calibration procedure was satisfying. The comparison between the nitrate concentrations retrieved from the BGC-Argo floats to the reference *in situ* measurements (Figure 2) showed a robust relationship ($R^2 = 0.86$ and slope = 0.97, N = 162).

The nitracline that separates upper nitrate-depleted waters from lower repleted waters corresponds, in this paper, to the depth where $[NO_3^-]$ is 1 µM smaller than the median $[NO_3^-]$ value in the first 10 meters of the water column (Lavigne et al., 2013). The diffusive vertical supply of nitrates to the euphotic zone is not only influenced by the depth of the nitracline from the sunlit surface layer but also by the slope of the nitracline. The slope of the nitracline was calculated as the vertical $[NO_3^-]$ gradient between the isocline 1 µM and the isocline 3 µM as already done for the Mediterranean Sea by Pasqueron de Fommervault et al. (2015a).

2.4 Estimation of daily PAR

The BGC-Argo vertical profiles of PAR were quality-checked following Organelli et al. (2016). Only solar noon profiles were considered for our analysis because zenith measurements ensure the best retrieval (Organelli et al., 2017) of the isolume, *i.e.* depth corresponding to a chosen value of light. BGC-Argo floats provide instantaneous PAR (iPAR) measurements just beneath the sea surface at local noon ($\mathrm{iPAR}(0^-, \mathrm{noon})$).

From iPAR measurement, a vertical profile of daily-averaged PAR was estimated following the method of Mignot et al. (2018). This method relies on a theoretical clear-sky estimate of iPAR just beneath the sea surface using the solar irradiance model SOLPOS developed by the National Renewable Energy Laboratory (NREL, 2000). Hence, we followed three main steps:

(1) The instantaneous photosynthetically available radiation just beneath the sea surface at time t, $\mathrm{iPAR}(0^-, t)$ in µmol photons m^{-2} s^{-1}, was determined from Eq. (3):

$$\mathrm{iPAR}\,(0^-, t) = \mathrm{iPAR}_{\mathrm{clear}}\,(0^-, t)\frac{\mathrm{iPAR}\,(0^-, \mathrm{noon})}{\mathrm{iPAR}_{\mathrm{clear}}\,(0^-, \mathrm{noon})} \quad (3)$$

with $\mathrm{iPAR}_{\mathrm{clear}}\,(0^-, t)$ the theoretical estimate of iPAR just beneath the sea surface at time t, $\mathrm{iPAR}(0^-, \mathrm{noon})$ the float measurement of iPAR just beneath the sea surface at local noon,

and $iPAR_{clear}(0^-, noon)$ the theoretical estimate of iPAR just beneath the sea surface at local noon for the same time and location as the float measurement. The ratio of $iPAR(0^-, noon)$ to $iPAR_{clear}(0^-, noon)$ represented an index of the cloud coverage at noon, which was applied to the clear-sky iPAR estimates at any time t. This approach thus assumes that the cloud coverage at noon is representative of the daily cloud coverage. Although the cloud coverage is unlikely to be constant throughout the entire day, this approach enabled to account for the daily course of light through modeled estimates, rather than considering only the noon-time instantaneous float measurements.

(2) The daily-averaged PAR just beneath sea surface, PAR(0−) in mol photons m^{-2} d^{-1}, was obtained by averaging Eq. (3) over daylength. In parallel, the diffuse attenuation coefficient for PAR, K_d(PAR) in unit of m^{-1}, was derived from the float iPAR measurements by fitting a linear least square regression forced through the origin between the data of $\ln\left(\frac{iPAR_{float}(z,\ noon)}{iPAR_{float}\ (0^-,noon)}\right)$ and z taken in the upper 40 m of the water column (Mignot et al., 2018).

(3) Finally, the daily-averaged PAR for each depth z of the water column, PAR(z) in units of mol photons m^{-2} d^{-1}, was calculated from K_d (PAR) and PAR(0−) as follows:

$$PAR\ (z) = PAR(0^-)\ \exp\left(K_d(PAR)z\right) \quad (4)$$

Additionally, the isolume 0.3 mol quanta.m^{-2} d^{-1}, which corresponds to the dataset median daily PAR value at the SCM depth, was used as an indicator of the light available for photosynthesis at the SCM level. We also computed the euphotic layer depth (Z_{eu}) as the depth where the PAR is reduced to 1% of its surface value (Gordon and McCluney, 1975) and the penetration depth (Z_{pd}) calculated as Z_{eu} / 4.6. The surface layer corresponds to the layer extending from 0 to Z_{pd}.

2.5 Definition of the SCM Layer

To study specifically the dynamics of the bio-optical properties in the SCM layer, we adjusted a Gaussian profile to each vertical profile of Chl*a* of the database that presented a subsurface Chl*a* maximum and computed the width of this SCM. This parameterizing approach proposed by Lewis et al., (1983) has been widely used to fit vertical profiles of Chl*a* (*e.g.* Morel and Berthon, 1989; Uitz et al., 2006) such as:

$$c(z) = c_{max}\, e^{-\left(\left(\frac{z - z_{max}}{\Delta z}\right)^2\right)} \quad (5)$$

where c(z) is the Chl*a* concentration at depth z, c_{max} is the Chl*a* concentration at the depth of the SCM (z_{max}), and Δz, the unknown, is the width of the SCM. In order to retrieve Δz, the unknown parameter, we performed an optimization of equation (5) with a maximum width set at 50 m so only the profiles with a relatively pronounced SCM are kept. Finally, in this study, the different biogeochemical variables are averaged in this SCM layer (*cf.* Figures 3, 4, 6 and 11).

2.6 Statistical method of classification of the vertical profiles providing the identification of the SCM

A statistical method based on the Singular Value Decomposition (SVD) algorithm (Golub and Van Loan, 1996) was used to identify the different types of SCMs in the Mediterranean Sea. The approach allowed to tackle the large amount of data provided by the BGC-Argo floats and to simultaneously classify the Chl*a* and b_{bp} vertical profiles of the database. Based on the shape of the Chl*a* or b_{bp} vertical profile, the method did not require an *a priori* knowledge on the considered profile such as in previous studies (*e.g.* Uitz et al., 2006; Mignot et al., 2011; Lavigne et al., 2015). The present method involved three major steps summarized as follows (see Supplementary Material 2 for more details):

(1) Each vertical profile of Chl*a* and b_{bp} were normalized in depth and magnitude. The depths were normalized by the euphotic depth (Z_{eu}) and the Chl*a* and b_{bp} values were normalized to the maximum value of each profile (*i.e.* Chla_{max} and b_{bpmax}, respectively). Ultimately, the Chl*a* and b_{bp} values of a profile were joined by one end, to obtain a dimensionless, twice as long, "metaprofile" that was subsequently classified on the basis of its shape.

(2) A Principal Component Analysis (PCA) was performed using the Singular Value Decomposition algorithm (Pearson, 1901). The singular values were ordered in decreasing order and only the first N values were kept. N was chosen so that the corresponding singular vectors capture 95% of variance of the dataset and the resulting vertical profiles of Chl*a* and b_{bp} were ecologically meaningful (see Supplement 2 provided as electronic supplementary material).

(3) Each singular vector defined a profile shape. A dimensionless metaprofile can be represented as a linear combination of those shapes, each multiplied by a coefficient. To classify each metaprofile in a category of shape, we used a numerical optimization algorithm on the whole set of coefficients to maximize the value of one coefficient while minimizing the N-1 others, for each metaprofile. The coefficient that was maximal for each metaprofile defined its class of shape. More details on the method are provided as electronic supplementary material.

For each of the five regions of the Mediterranean considered, we finally obtained the dominant shapes of vertical Chl*a* and b_{bp} profiles, which are representative of the different situations encountered along an annual cycle. This approach allowed to establish a typology of SCMs in the BGC-Argo database and to report their frequency of occurrence in each region.

3 RESULTS & DISCUSSION

3.1 Regional and seasonal variability of the SCM

Using a climatological approach, we first examined the characteristics of the SCMs such as their depth, thickness and amplitude in order to better apprehend their vertical dynamics in the water column along the Mediterranean west-to-east gradient. Then, the seasonal variations of the biogeochemical properties (Chl*a* and b_{bp}) at the SCM level were studied in relation to environmental conditions. This ultimately leaded us to identify and describe the main types of SCMs in the five considered regions of the Mediterranean Sea.

3.1.1 Variability of the SCM along the west-to-east gradient

The well-known west-to-east trophic gradient of the Mediterranean was observed in the present dataset, with a decrease in the surface Chl*a* from the NW region (median value of 0.15 mg m^{-3}) to the LEV region (median value of 0.04 mg m^{-3}; Figure 3a). A decrease in the amplitude of the SCM paralleled the surface gradient, with decreasing mean Chl*a* and b_{bp} values in the SCM from the NW to the LEV (0.45 to 0.24 mg m^{-3} and 0.00088 to 0.00050 m^{-1} for Chl*a* and b_{bp}, respectively) (Figures 3b-c). In the Eastern Basin (*i.e.* ION and LEV), only 27% of the Chl*a* values were distributed above the median value calculated for the entire Mediterranean Basin (0.28 mg m^{-3}) whereas 66% of the Chl*a* values exceeded it in the Western Basin (*i.e.* NW, SW, and TYR; Figure 4). Similarly, in the Eastern Basin, only ~30% of the b_{bp} values exceeded the median value calculated for the entire Mediterranean Sea in the SCM (0.00058 m^{-1}) (*i.e.* 32% and 29% for the ION and LEV, respectively; Figures 4d-e) whereas in the Western Basin, ~75% of the b_{bp} values were distributed above the global median value (*i.e.* 81%, 80% and 71% for the NW, SW and TYR, respectively, Figures 4a-c).

In parallel, from the NW to the LEV regions, a deepening of the SCM (median values of 58 and 95 m, respectively; Figure 3d) and an increase in its thickness (median values of 43 and 72 m, respectively; Figure 3e) was observed. A statistical Wilcoxon test revealed non-identical distributions of the considered variables (SCM amplitude, depth and thickness) among the different Mediterranean regions (significance level $p < 0.001$). Our results suggest that the well-known west-to-east trophic gradient of the Mediterranean occurs not only at the surface but also at depth. As suggested by previous studies (Mignot et al., 2014; Lavigne et al., 2015), we confirm that the thickness and depth of the SCM are inversely related to its amplitude. The eastward weakening, deepening and increase in the thickness of the SCM is gradual across the Mediterranean Sea.

3.1.2 Seasonal variations of Chl*a* and b_{bp}

The seasonal cycle of the Chl*a* in the SCM was more pronounced in the Western Basin than in the Eastern Basin. This was especially true for the NW (Figure 4a) with median values of Chl*a* reaching ~0.8 mg m^{-3} in June-July and ~0.3 mg m^{-3} in January-February. Similarly, the seasonal cycle of b_{bp} in the SCM was more pronounced in the Western part of the Mediterranean Sea than in the Eastern Basin. Depending on the region and period of the year, the Chl*a* and b_{bp} values showed synchronous or decoupled seasonal cycles. In the Western Basin, the b_{bp} and Chl*a* seasonal cycles were coupled. The NW and TYR regions of the Western Basin showed a seasonal cycle characterized by two Chl*a* peaks at the SCM in March-April and June-July (the SW region presents a single maximum from April to July) and a simultaneous increase in b_{bp} recorded in April-June (Figures 4a-c). On the opposite, the ION and the LEV presented a unique maximum of Chl*a* in June that is delayed compared to the b_{bp} seasonal maximum occurring in February-April (Figures 4d-e).

366 The Chl*a* is the most commonly used, yet imperfect, indicator of the phytoplankton
367 biomass (Cleveland et al., 1989; Geider, 1993). Variations in Chl*a* may reflect changes in
368 either phytoplankton carbon (Furuya, 1990; Hodges and Rudnick, 2004; Beckmann and
369 Hense, 2007) or in intracellular content as a result of physiological processes occurring in
370 phytoplankton cells, photoacclimation in particular (Geider et al., 1997; Fennel and Boss,
371 2003). The particulate backscattering coefficient is considered as a proxy of the abundance of
372 particles (Morel and Ahn, 1991; Stramski and Kiefer, 1991; Loisel and Morel, 1998; Stramski
373 et al., 2004) and of the stock of Particulate Organic Carbon (POC) in the open ocean waters
374 (Stramski et al., 1999; Balch et al., 2001; Cetinić et al., 2012; Dall'Olmo and Mork, 2014). In
375 contrast with Chl*a*, it provides information on the whole pool of particles, not specifically on
376 phototrophic organisms. The backscattering coefficient also depends on several parameters
377 such as the size distribution, nature, shape, structure and refractive index of the particles
378 (Morel and Bricaud, 1986; Babin and Morel, 2003; Huot et al., 2007b; Whitmire et al., 2010).

379 The vertical and seasonal coupling of Chl*a* and b_{bp} has been shown to reflect an actual
380 increase in carbon biomass whereas a decoupling could result from photoacclimation or from
381 a change in the nature or size distribution of the particle assemblage (Flory et al., 2004;
382 Behrenfeld et al., 2005; Siegel et al., 2005). The results presented above indicate that the
383 Western Basin presents higher values of Chl*a* and b_{bp} in the SCM compared to the Eastern
384 Basin and displays a coupling of the properties all year long (Figure 4). Hence, we suggest
385 that in the NW, SW and TYR regions, the SCM sustains larger phytoplankton carbon biomass
386 than in the ION and LEV regions. Furthermore, in this Eastern part of the Mediterranean Sea,
387 the SCM results, at first order, from physiological acclimation to low light and/or from a
388 modification of the nature of the particle assemblage. In the next section, we will analyse the
389 environmental conditions occurring at the SCM level and attempt to determine the factors
390 underpinning the seasonal occurrence of SCMs in the different regions.

3.1.3 Environmental factors controlling the SCM

From a bottom-up perspective, it is the balance between light and nutrient limitations that influences the establishment of phytoplankton communities at depth (Kiefer et al., 1976; Cullen, 1982; Klausmeier and Litchman, 2001; Ryabov, 2012; Latasa et al., 2016). To explore the light-nutrient regime within the SCM layer, a monthly climatology of the isolume and nitracline in the different considered regions was represented along with the depth of the Subsurface Chl*a* and b_{bp} Maxima (*i.e.* SCM and $Sb_{bp}M$, respectively). The MLD was also superimposed in order to illustrate physical forcings (Figure 5).

In the Western Basin, the isolume 0.3 mol quanta m^{-2} d^{-1}, the nitracline 1 µmol, the $Sb_{bp}M$ and the SCM were all located at a similar depth during the oligotrophic period (maximum depth difference < 20 m; Figures 5a-c). In accordance with previous findings (*e.g.* Pasqueron de Fommervault et al., 2015a), our results suggest that in the NW region of the Mediterranean Sea, the winter deepest climatological mixed layer depth reached the nutricline, thus likely inducing nutrient input to the surface layer. In the TYR region, the MLD was always shallower than the nutricline during the winter season but the difference between the MLD and the nutricline remained very small all year long. Hence, in the Western Basin of the Mediterranean Sea both light and nutrient resources seem to be available and probably support an actual increase in phytoplankton biomass (Figures 5 and 6a-b). In the Northwestern part of the Mediterranean Sea, the MLD was deeper than the nutricline ~20% of the time during an annual cycle (Figure 6e) essentially during the winter season (Figure 5 a-c). The shallowest (median of 61 m; Figure 6c) and the steepest (slope of 90 µmol m^{-4}; Figure 6d) nitraclines were also recorded in this region, thus confirming an important upward diffusive flux of nitrates available to sustain phytoplankton biomass and eventually allowed the occurrence of a Subsurface Biomass Maximum.

In contrast, in the ION and LEV regions, the isolume 0.3 mol quanta m^{-2} d^{-1}, nitracline 1 µmol, SCM and $Sb_{bp}M$ were not collocated in the water column (Figures 5d-e). The difference between the depths of the SCM and nitracline was ~50 m during the stratified period (Figures 5d-e and 6a) and the $Sb_{bp}M$ was shallower than the SCM (by ~40 m), suggesting that the standing stock of carbon is maintained at a higher concentration above the depth of the SCM. In the Eastern Basin (Ionian and Levantine Sea), the MLD almost never reached the nutricline even during the winter period as it was deeper than the nutricline only <3% of the time during an annual cycle (Figure 6e). The nutricline was deeper (~120 m in Eastern Basin *versus* ~70 m in Western Basin; Figure 6c) and the nutrient gradient was also less sharp (nitracline slope of ~40 µmol m^{-4} in Eastern Basin versus ~90 µmol m^{-4} in Western Basin; Figure 6d) than in the Western part of the Mediterranean Sea, suggesting a weak upward diffusive flux of nitrates that corroborates previous results (Tanhua et al., 2013; Pasqueron de Fommervault et al., 2015b). The inverse relationship between the nitracline steepness and the thickness of the SCM is also confirmed (Gong et al., 2017). The PAR at the SCM level was significantly lower in this Eastern part than in the Western part of the Mediterranean Sea (Wilcoxon test at a significance level of $p < 0.001$; Figure 6b). The development of the SCM in this system is, thus, likely to be limited by both the availability of light and nutrients. The SCM still settles at a depth where light is available at a sufficient level to sustain photosynthesis, but never reaches the nitracline.

3.1.4 Coupling and decoupling of b_{bp} and Chl*a* in the SCM

We have seen that the SCM of the Western Basin benefits from both light and nutrient resources. In these conditions, the observed simultaneous increase in Chl*a* and b_{bp} at the SCM most likely represents an actual development of phytoplankton biomass, as indicated by the concordance between the depths of the SCM and the $Sb_{bp}M$ (Figure 5). On the opposite, in the Eastern part of the Mediterranean Sea, the maxima of Chl*a* and b_{bp} are not co-located. This

result suggests that environmental conditions, typically the light conditions, might inhibit the increase in phytoplankton biomass.

In the Eastern Basin of the Mediterranean Sea, the microorganisms are, most probably, acclimated or even adapted to the environmental conditions. While photoacclimation is defined as a short-term acclimation of a photosynthetic organism to changing irradiance, photoadaptation refers to the long-term evolutionary adaptation of photosynthetic organisms to ambient light conditions, through genetic selection. SCM species are known to use different strategies such as photoacclimation to low light (*i.e.* increase in the intracellular pigment content), mixotrophy or small-scale directed movements towards light (Falkowski and Laroche, 1991; Geider et al., 1997; Clegg et al., 2012). Phytoplankton species are also likely to have different carbon-to-chlorophyll ratio (Falkowski et al., 1985; Geider, 1987; Cloern et al., 1995; Sathyendranath et al., 2009) and b_{bp} properties (Vaillancourt et al., 2004; Whitmire et al., 2010), and a vertical shift toward species photoadapted to the particular environmental conditions prevailing in the SCM layer is a well-known phenomenon (*e.g.* Pollehne et al., 1993; Latasa et al., 2016). For example, two ecotypes of *Prochlorococcus*, characterized by different accessory pigment contents are known to be adapted to either low-light or high-light conditions and to occupy different niches in the water column (Moore and Chisholm, 1999; Bouman et al., 2006; Garczarek et al., 2007). In particular, the low-light ecotype, characterized by increased intracellular pigmentation, has been frequently observed at the SCM level in the Mediterranean, especially in the Eastern part (Brunet et al., 2006; Siokou-Frangou et al., 2010). A west-to-east modification in the composition of phytoplankton communities in the SCM toward a dominance of picophytoplankton species adapted to recurring light limitation, has been observed (Christaki et al., 2001; Siokou-Frangou et al., 2010; Crombet et al., 2011). A vertical decoupling between b_{bp} and Chl*a* could

thus illustrate either a photoacclimation of phytoplankton cells or the occurrence of specific phytoplankton communities adapted to the conditions prevailing in the SCM layer.

Although photoacclimation seems to be a widespread hypothesis in numerous studies to explain the vertical decoupling of Chl*a* and b_{bp} (*e.g.* Brunet et al., 2006; Cullen, 1982; Mignot et al., 2014), it should yet be reminded that this decoupling could also result from a change in the nature or size distribution of the entire particle pool. Small particles are, for example, known to backscatter light more efficiently than large particles (Morel and Bricaud, 1986; Stramski et al., 2004). A higher proportion of nonalgal particles in the Eastern compared to the Western Basin could thus explain the decoupling between b_{bp} and Chl*a*. The nonalgal particles compartment is defined as the background of submicronic living biological cells (*i.e.* viruses or bacteria) and non-living particles (*i.e.* detritus or inorganic particles) and is typically known to represent a significant part of the particulate assemblage in oligotrophic ecosystems (Morel and Ahn, 1991; Claustre et al., 1999; Stramski et al., 2001).

Finally, photoacclimation processes as well as vertical gradients in phytoplankton species or in the non-phytoplankton particles, also contributing to b_{bp}, could explain the vertical decoupling of b_{bp} and Chl*a* we observed in the Eastern Basin. The different types of Chl*a* and b_{bp} vertical profiles depends on both the nature of the particles present in the water column, the physiology of phytoplanktonic cells and their related bio-optical properties, but yet our dataset did not allow us to conclude on the dominance of one process compared to the other.

3.2 Classification of the Chl*a* and b_{bp} vertical profiles

In the previous section, we identified the major environmental factors leading to the occurrence of two main types of SCMs in the five considered regions of the Mediterranean Sea. While a concomitant maximum of Chl*a* and b_{bp} suggested a carbon biomass maximum, a

decoupling between the vertical distributions of these two properties may reflect photoacclimation, a modification of the algal community composition, or a change in the nature and/or size of the particle assemblage. The seasonal and regional variability in this global picture of the SCM was explored using a statistical approach applied to the BGC-Argo dataset. Our aim was here to classify the Chl*a* and b_{bp} profiles based on their shape. This leaded us to propose a typology of the different types of SCMs seasonally encountered in the five regions of the Mediterranean Sea. It also permitted to assess the frequency of these different types of SCMs over the seasonal cycle and compare their characteristics among the various regions of the Mediterranean Sea.

3.2.1 The NW: a region with a specific trophic regime

In the NW, the vertical distributions of Chl*a* and b_{bp} presented four different shapes over the annual cycle (Figures 7a-b). The *mixed* shape was characterized by a homogeneous distribution of Chl*a* and b_{bp} (as suggested by the deep mean MLD associated with this type of profile; Figures 7a-b) and showed occurrence exceeding 60% from December to March (Figure 8a). The *bloom* shape exhibited high Chl*a* and b_{bp} values at surface with maximum occurrence > 55% in April. The coexistence of the *mixed* and the *bloom* shapes during winter and spring could result from intermittent mixing that alters the vertical distribution of Chl*a* and b_{bp} (*e.g.* Chiswell, 2011; Lacour et al., 2017). The SBM_{aZeu} and the SBM_{bZeu} (SBM occurring above and below the euphotic depth, respectively) constituted two different cases of subsurface maximum. In both cases, Chl*a* and b_{bp} covaried (Figures 7a-b), the maxima of Chl*a* and b_{bp} were observed at nearly the same depth suggesting an increase in carbon biomass in subsurface.

The SBM_{aZeu} was often observed in late spring and late summer whereas the SBM_{bZeu} occurred more frequently (> 50%) in the middle of the oligotrophic period. This results

512 suggests a deepening of the SCM along the oligotrophic season and corroborates the "light-
513 driven hypothesis" previously formulated by Letelier et al. (2004) and Mignot et al. (2014).
514 These authors observed that the seasonal variation of the depth of the SCM depicts the same
515 displacement as the isolumes and consequently suggested that the SCM depth displacement is
516 light-driven. In the NW region, the high surface Chl*a* of the *bloom* shape (Figure 7a) probably
517 results in increased light attenuation in the water column from fall to spring. Consequently,
518 the SCM established shallower in spring than in summer (Figure 5a) and the SBM_{aZeu} shape
519 occurred relatively frequently in spring (Figure 8a). Then, from spring to summer, the Chl*a*
520 decrease in the surface layer of the water column resulted in decreased light attenuation and
521 subsequent deepening of the SCM (Figure 5a), which thus formed a subsurface maximum of
522 Chl*a* and b_{bp} below the euphotic layer *(*SBM_{bZeu}, Figure 8a). Therefore, our results are
523 consistent with previous studies (*e.g.* Gutiérrez-Rodríguez et al., 2010; Mayot et al., 2017b)
524 that highlighted the special status of the Northwestern region, the only region to exhibit the
525 *bloom* shape and predominantly SBMs during the oligotrophic season (Figures 9a-b).

526 **3.2.2 The SW and the TYR: regions of transition**

527 In the Southwestern region as well as in the Tyrrhenian Sea, three shapes characterized
528 the seasonal variability of the vertical distribution of Chl*a* and b_{bp} (Figures 7c-d and e-f). A
529 *mixed* shape, similar to that observed in the NW (Figures 9c-d), a *SBM* shape (Figures 9e-f),
530 and a *SCM* shape (decoupling between the maximum of Chl*a* and b_{bp} at depth) were
531 successively encountered over the seasonal cycle, with weak differences in their frequency of
532 occurrence among the two regions. The *SCM* shape established shallower in the water column
533 than the *SBM* shape (Figures 7c-f). It was encountered mainly in winter and fall (~50% of
534 occurrence), alternating with the *mixed* shape (Figures 8b-c). Thus, this shape probably
535 illustrates the erosion of the SCM by the winter mixing as previously suggested, for example,
536 in Lavigne et al. (2015). The *SBM* shape occurred mainly during spring and summer (>75%)

when both light and nutrients were available for phytoplankton growth (Figures 5b-c). The *SBM* shapes of the SW and the TYR were comparable to the SBM_{bZeu} shape of the NW occurring at almost the same depth (~Z_{eu}). The *SCM* shapes of the SW and TYR were analogous to the SCM_{aZeu} shape of the ION and LEV (Figures 9e-h). Hence, our results suggest that the SW and TYR regions are transition regimes that present types of SCMs that can be found in both the Western and Eastern Basins.

3.2.3 The ION and the LEV: oligotrophic end-members

In the Ionian Sea, three different shapes were retrieved along the seasonal cycle, *i.e.* the *mixed*, the SCM_{aZeu} and the SCM_{bZeu} shapes (Figures 7g-h). In this region, the Chl*a* maximum was always decorrelated from the b_{bp} maximum that revealed higher values at surface than at depth. In the Levantine Sea, only two distinct shapes were encountered, *i.e.* the SCM_{aZeu} and the SCM_{bZeu} shapes and associated with shallow MLDs (Figures 7i-j). The subsurface maximum of Chl*a* was never associated with a subsurface maximum of b_{bp}. Such SCMs constituted a permanent pattern with SCM_{bZeu} and SCM_{aZeu} reaching occurrences of 100% in June-July and > 75% in December-March, respectively (Figures 8d-e). The SCM_{bZeu} shape was a particularity of the Eastern Basin. This shape was very similar in the ION and LEV, but very different from the shapes observed in the other regions (Figures 9g-h). This SCM_{bZeu} settled below the Z_{eu} that, in such oligotrophic systems, occurs relatively deep in the water column (~95 m; Figure 3d). This type of SCM was also very thick (~70 m) (Figure 3e) and associated with low values of the nitracline slope (Figure 6d).

3.3 A case study of the Gulf of Lions and Levantine Sea

Both the climatological and statistical approaches proposed in this study allowed us to characterize the SCM dynamics in five regions of the Mediterranean Sea at large spatial (interregional) and temporal (seasonal) scales. In the present section, we focused on the data

provided by two BGC-Argo floats that recorded simultaneously bio-optical properties, PAR and nitrate concentration in two distinct regions, representing the two extremes of the Mediterranean trophic gradient. This helped to gain understanding of the dynamics of the SCM at a weekly and regional scale and should give insights in the mechanisms underlying the occurrence of SCMs in these end-member regimes.

3.3.1 Overview of the two contrasted systems

The float WMO 6901512 (fGL) was been deployed in the Gulf of Lions the 11th of April 2013 and recorded data until the 4th of May, 2014 (Figure 10a). The float WMO 6901528 (fLS) collected data in the Levantine Sea from May 18, 2013 to May 23, 2015 (Figure 10c). The two regions presented very different seasonal Chl*a* distribution. The Gulf of Lions is a typical "temperate-like" system that exhibits a winter period characterized by large MLDs (Millot, 1999; Lavigne et al., 2015) (maximum MLD > 1000 m, Figure 10d). The intense mixing induces a refueling of nutrients (Gačić et al., 2002; D'Ortenzio et al., 2014; Severin et al., 2017), which allows the development of a spring bloom (Marty et al., 2002, 2008; Mayot et al., 2017a) as revealed by the high surface Chl*a* from April to May (Figure 10b). A subsurface maximum of Chl*a* established from the end of May to mid-November at a depth similar to that of the nitracline 1 µM and isolume 0.3 mol quanta m^{-2} d^{-1}, and displayed maximum Chl*a* of ~1 mg m^{-3} in July (Figure 10b).

The Levantine Sea behaves, on the opposite, as a "tropical-like" system. Winter mixing was weak (maximum MLD of 125 m; Figure 10d) but still able to erode the SCM as suggested by the small increase in surface Chl*a* from November to February (Figure 10b). The seasonal MLD deepening almost never reached the nitracline, thus limiting the nitrate supply to the upper layer of the water column (Dugdale and Wilkerson, 1988; Lavigne et al., 2013; Pasqueron de Fommervault et al., 2015a), hence leading to relatively low surface

585 primary production in this area (Krom et al., 1991; Psarra et al., 2000; Bricaud et al., 2002;
586 Siokou-Frangou et al., 2010). The SCM is a permanent feature in this region, settling below
587 the isolume 0.3 mol quanta m^{-2} d^{-1} and far above the nitracline (Figure 10d).

588 **3.3.2 Factors limiting the SCM**

589 For exploring the limiting factors at the level of the SCM, we used a nutrient-vs-light
590 resource-limitation diagram. This approach employed in biogeochemical modelling (Cloern,
591 1999; Li and Hansell, 2016) exploits simultaneously PAR and [NO_3^-] data in order to
592 understand which environmental factor limits phytoplankton growth (Figure 11).

593 In the Gulf of Lions, two different types of situations occurred: (1) very low light compared to
594 the maximum surface PAR ($PAR_{norm} < 0.025$) coupled with $NO_3^-{}_{norm}$ comprised between 0
595 and 1, indicative of light limitation; and (2) low light compared to the maximum surface PAR
596 (PAR_{norm} within the range 0.025-0.15) associated with $NO_3^-{}_{norm} < 0.15$, indicative of nitrate
597 limitation, probably resulting from uptake by phytoplankton (Figure 11a). On the contrary, in
598 the Eastern part of the Mediterranean Sea, the SCM was always associated with very low light
599 conditions compared to the maximum surface PAR ($PAR_{norm} < 0.025$) and variable $NO_3^-{}_{norm}$
600 values comprised between 0.1 and 1 (Figure 11b). This suggests that, even when the nitrate
601 concentration is sufficient to sustain primary production at the SCM level, another factor
602 limits phytoplankton growth. Phytoplankton growth at the SCM is probably limited by light
603 or co-limited by both light and nutrients. Phosphate is also an important limiting factor for
604 phytoplankton growth in the entire Mediterranean Sea (Marty et al., 2002; Pujo-Pay et al.,
605 2011), the Eastern Basin in particular (Krom et al., 1991, 2010). Hence, in a non-nitrate
606 limited SCM of the Levantine (Figure 11b), phytoplankton may still be limited by either or
607 both low phosphate concentrations and low light levels. Since autonomous measurements of

608 phosphate concentrations are not possible yet, our chemical data are restricted to nitrate so we
609 cannot conclude on the role of phosphate in the settlement of the SCM.

610 The coupling between Chl*a* and b_{bp} was studied using the Chl*a*-to-b_{bp} ratio. In both the
611 Western and Eastern Basins, SCMs with prevailing very low light conditions were
612 accompanied by high values of the Chl*a*-to-b_{bp} ratio (> 300 mg m^{-2}). In contrast, in the SCM
613 of the Western Basin associated with low values of $NO_3^-{}_{norm}$, the Chl*a*-to-b_{bp} ratio showed
614 values < 300 mg m^{-2}. This ratio is a proxy of the Chl*a*-to-POC ratio (Behrenfeld et al., 2015;
615 Álvarez et al., 2016; Westberry et al., 2016) and constitutes an optical index of
616 photoacclimation (Behrenfeld et al., 2005; Siegel et al., 2005) or of the phytoplankton
617 communities (Cetinić et al., 2012, 2015). Hence, in both the Western and Eastern Basins, the
618 high values of the Chl*a*-to-b_{bp} ratio occurring in the SCM associated with very low light
619 conditions could be attributed to either photoacclimation of phytoplankton cells to low light
620 intensity. In contrast, in the SCM of the Western Basin where low values of $NO_3^-{}_{norm}$ were
621 reported, the low Chl*a*-to-b_{bp} ratio values could either indicate a higher proportion of detrital
622 particles or an increase in biomass sustained by a specific phytoplankton assemblage
623 dominated by communities of nano- or pico-sized cells, including very small diatoms (*e.g.*
624 Leblanc et al., 2018).

625 ## 4 CONCLUSIONS

626 The present study is, to our knowledge, the first examining the spatial and temporal
627 variability of Subsurface Chlorophyll *a* Maxima (SCMs) in the Mediterranean Sea using
628 BioGeochemical-Argo profiling floats equipped with both light (PAR) and nitrate ($[NO_3^-]$)
629 sensors. Our study aims to improve the understanding of the characteristics and dynamics of
630 phytoplankton biomass in the subsurface layer of the Mediterranean Sea. We identified two
631 major mechanisms controlling the occurrence of SCMs, *i.e.* (1) SCMs arising from an actual

increase in carbon biomass most probably reflecting an increase in phytoplankton biomass benefiting from both light and nutrient resources (SBMs) with a potentially non negligible contribution of non-phytoplankton particles at depth; and (2) SCMs that stem from an increase in intracellular Chl*a* as a result of photoacclimation to low light levels. In the temperate-like system of the Western Mediterranean Sea, SBMs are recurrent whereas in the "subtropical-like" system of the Eastern Mediterranean Sea, SCMs are, at a first order, representative of photoacclimation process. Using a statistical classification of vertical profiles of Chl*a* and b_{bp} collected over the entire Mediterranean, we have evidenced different intermediate SCM situations that can be summarized as follows (Figure 12):

1) The SBM_{aZeu} is a Subsurface Biomass Maximum that settles above the euphotic zone in the Northwestern Mediterranean Sea (NW). It is the thinnest (~40m) and shallowest (~60 m) biomass maximum. It is also the most intense, probably because it benefits from adequate light and nutrient resources, with the deep mixed layer occurring in this region during the winter period probably inducing a seasonal renewal of the nutrients in the surface layer.

2) The SBM_{bZeu} establishes below the euphotic zone in the NW. As well as the SBMs of the Southwestern Mediterranean Sea (SW) and Tyrrhenian Sea (TYR), less intense than the SBM_{aZeu} probably because nutrients conditions are less favourable than in the NW region as the winter MLD is close to, but never reaches the nutricline.

3) The SCM of the SW and TYR as well as the SCM_{aZeu} (*i.e.* settling above the euphotic depth) of the Ionian (ION) and Levantine (LEV) Seas are not biomass subsurface maxima, but reflect Chl*a* maxima resulting from photoacclimation. Moving from the SW to LEV region, the amplitude of the SCM decreases while its thickness increases.

4) The SCM_{bZeu} of the ION and LEV settle below the euphotic depth and are deeper (~95 m) than all the other subsurface maxima. They are most probably the consequence of a decoupling of the MLD and the nutricline and represent the oligotrophic end-member type of

subsurface maxima in the Mediterranean Sea. In these types of SCMs, phytoplankton communities most probably establish deep in the water column, in order to reach the nutrient resources. These communities are likely photoacclimated, and also possibly photoadapted, to the low light conditions encountered at such depths. The phytoplankton assemblage is likely composed of picophytoplankton (Casotti et al., 2003; Siokou-Frangou et al., 2010), including the low-light adapted *Prochloroccoccus* ecotype (Brunet et al., 2006; Garczarek et al., 2007).

In permanently stratified oligotrophic ecosystems, the SCM phytoplankton species may settle especially deep and adapt to the prevailing low-light levels in order to benefit from more nutrients. On the contrary, when nitrates are not a limiting factor at the SCM level (*e.g.* in the northwestern region after the bloom period), the SCM is only controlled by the amount of light available at depth. In either case, light is a crucial forcing parameter that controls the depth of the SCM. Consistently with previous studies conducted in other open ocean regions (Longhurst and Glen Harrison, 1989; Furuya, 1990; Severin et al., 2017), the present work suggests that shallower SCMs tend to display larger phytoplankton biomass than deeper SCMs. In our study, these biomass maxima are characterized by a coupling of Chl*a* and b_{bp} that suggests an increase in carbon biomass. Finally, the present results indicate that SBMs represent a frequent feature in the Mediterranean Sea, which contrasts with the idea that SCMs in oligotrophic regions typically result from photoacclimation of phytoplankton cells. Thus, we suggest that the contribution of SCMs to primary production, which may be substantial although ignored by current satellite-based estimates, should be further investigated.

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Figure captions

Figure 1: Geographic location of the multi-variable vertical profiles collected by the BGC-Argo profiling floats in the Mediterranean Sea. The boundaries of the regions considered in this study are indicated by the black rectangles. NW, SW and TYR correspond to the Western Basin regions whereas ION and LEV represent the Eastern Basin regions. The red color indicates BGC-Argo floats equipped with nitrate sensors. The black color indicates the specific BGC-Argo floats equipped with nitrate sensors that are used in Figures 10 and 11.

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1123 the Mixed Layer (in dotted red) for the five Mediterranean regions. The depth of the nitracline is not
1124 shown for the SW as there is no BGC-Argo float equipped with a nitrate sensor for this region.

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1126 **Figure 6**: Boxplot of the distribution, for each of the Mediterranean regions considered in this
1127 study, of the difference between the depths of the nitracline 1 µM and of the isolume 0.3 mol quanta
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1129 the difference between the depths of the nitracline 1 µM and of the Mixed Layer Depth (e). The SW is
1130 not always represented, as there is no BGC-Argo float equipped with a nitrate sensor in this region.

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1132 **Figure 7**: Normalized vertical profiles of the chlorophyll *a* concentration (Chl*a*) (a, c, e, g, and
1133 i) and particulate backscattering coefficient (b_{bp}) (b, d, f, h, and j) for each of the considered
1134 Mediterranean regions. The Chl*a* and b_{bp} are normalized to their individual profile maximum value,
1135 Chla_{max} and b_{bpmax}, respectively, while the depth is normalized to the euphotic depth (Z_{eu}). The color
1136 code indicates the different types of profiles, namely the different shapes are the "*bloom*", "*mixed*",
1137 "*SBM*" (Subsurface Biomass Maximum) with a distinction between the "SBM_{aZeu}" and the "SBM_{bZeu}"
1138 (for SBM occurring above or below the euphotic depth, respectively), and the "*SCM*" (Subsurface
1139 Chlorophyll Maximum) with a distinction between the "SCM_{aZeu}" and the "SCM_{bZeu}" (for SCM
1140 occurring or below the euphotic depth, respectively). The black dots indicate the position of the mean
1141 Mixed Layer Depth (MLD) for each type of profile.

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1143 **Figure 8:** Monthly occurrence of the different types of profile shapes for each of the five
1144 considered Mediterranean regions. The color code indicates the type of profile shape, namely "*bloom*",
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1147 (Subsurface Chlorophyll Maximum) with a distinction between the "SCM_{aZeu}" and the "SCM_{bZeu}" (for
1148 SCM occurring or below the euphotic depth, respectively).

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1150 **Figure 9**: Normalized vertical profiles of the chlorophyll *a* concentration (Chl*a*) (a,c,e, and g)
1151 and particulate backscattering coefficient (b_{bp}) (b,d,f, and h) for each shape type. The Chl*a* and b_{bp} are
1152 normalized to their individual profile maximum value, Chla_{max} and b_{bpmax}, respectively, while the
1153 depth is normalized to the euphotic depth (Z_{eu}). The color code and the type of lines indicate the
1154 region of the Mediterranean Sea and the different shapes, respectively. The different shapes are the
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1158 "SCM_{bZeu}" (for SCM occurring or below the euphotic depth, respectively). Note the different scales of
1159 the x-axes.

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1161 **Figure 10**: Trajectory and Chl*a* time series of the float deployed in the Gulf of Lions (fGL; a-b)
1162 and of the float deployed in the Levantine Sea (fLS; c-d). On panels b and d, the white line shows the
1163 isolume 0.3 mol quanta m^{-2} d^{-1}, the blue line indicates the Mixed Layer Depth (MLD) and the black
1164 line the nitracline 1 µM.

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1166 **Figure 11**: Nutrient versus light resource-limitation diagram for the two BGC-Argo floats
1167 deployed in the Gulf of Lions (a) and Levantine Sea (b). The color of the data points indicates the
1168 Chl*a*-to-b_{bp} ratio values. The x- and y-axes respectively represent the PAR and [NO_3^-] values
1169 normalized to the maximum value calculated over the float lifetime in the layer extending from the
1170 surface to below the SCM. Note that the plots show only data collected within the SCM layer, thus
1171 corresponding to low normalized PAR values (*i.e.* under 25% of the maximum PAR).

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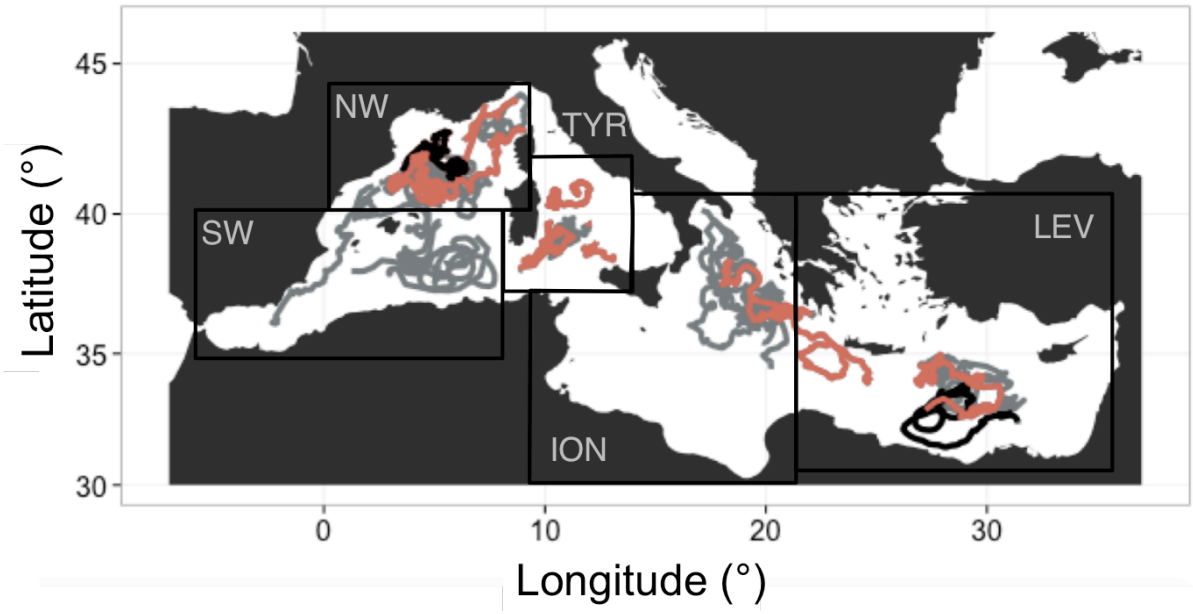
Figure 12: Schematic representation of the different situations of SCMs in the Mediterranean Sea during the oligotrophic summer period for the five considered regions of the Mediterranean Sea along the west-to-east gradient.

Table 1: Regions with the corresponding abbreviation and number of available floats and profiles represented in the Mediterranean BGC-Argo database used in the present study

Region	Basin	Abbreviation	Number of profiles	Number of floats
Gulf of Lions and Ligurian Sea	Western	NW	980	11
Algero-provencal Basin	Western	SW	540	5
Tyrrhenian Sea	Western	TYR	553	5
Ionian Sea	Eastern	ION	936	8
Levantine Sea	Eastern	LEV	1041	7
Total	2	5	4050	36



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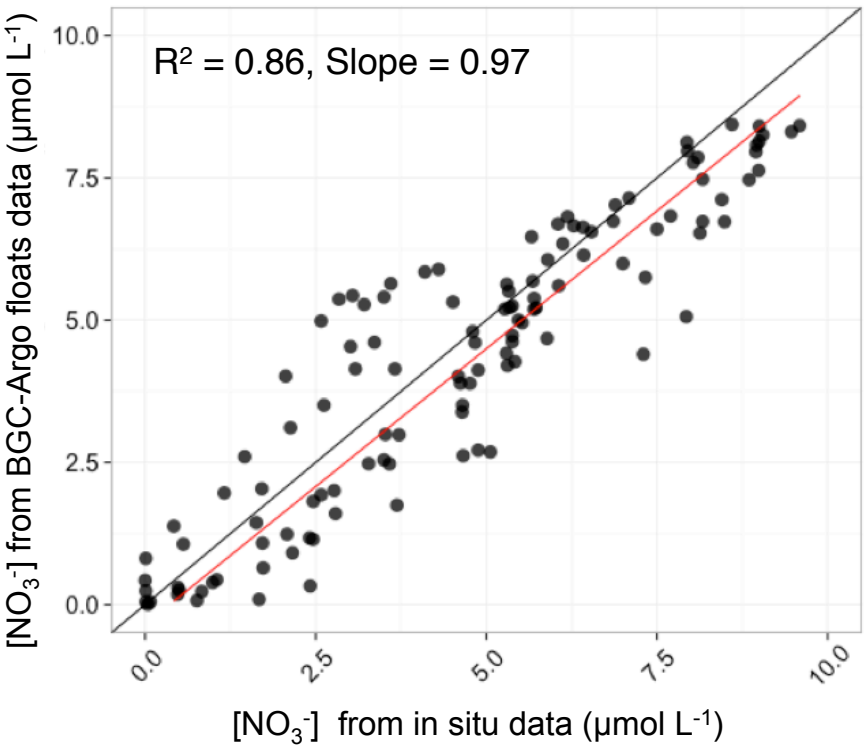

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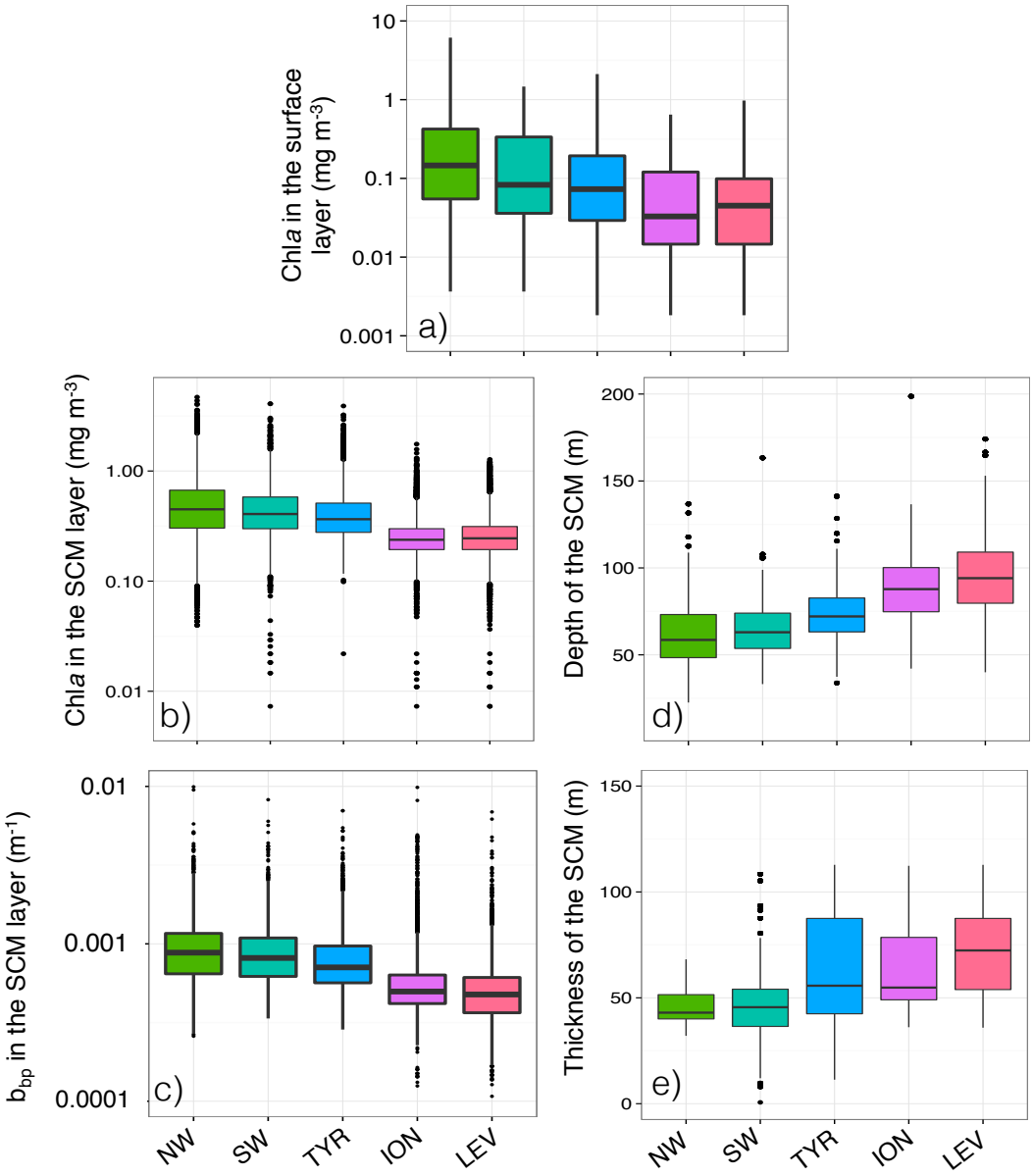

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1245 **Figure 3**: Boxplot of the distribution of the chlorophyll *a* concentration (Chl*a*) in the surface

1246 (a) and SCM layers (b), the particulate backscattering coefficient (b_{bp}) in the SCM layer (c), and the

1247 depth (d) and thickness (e) of the SCM for each Mediterranean region considered in this study.

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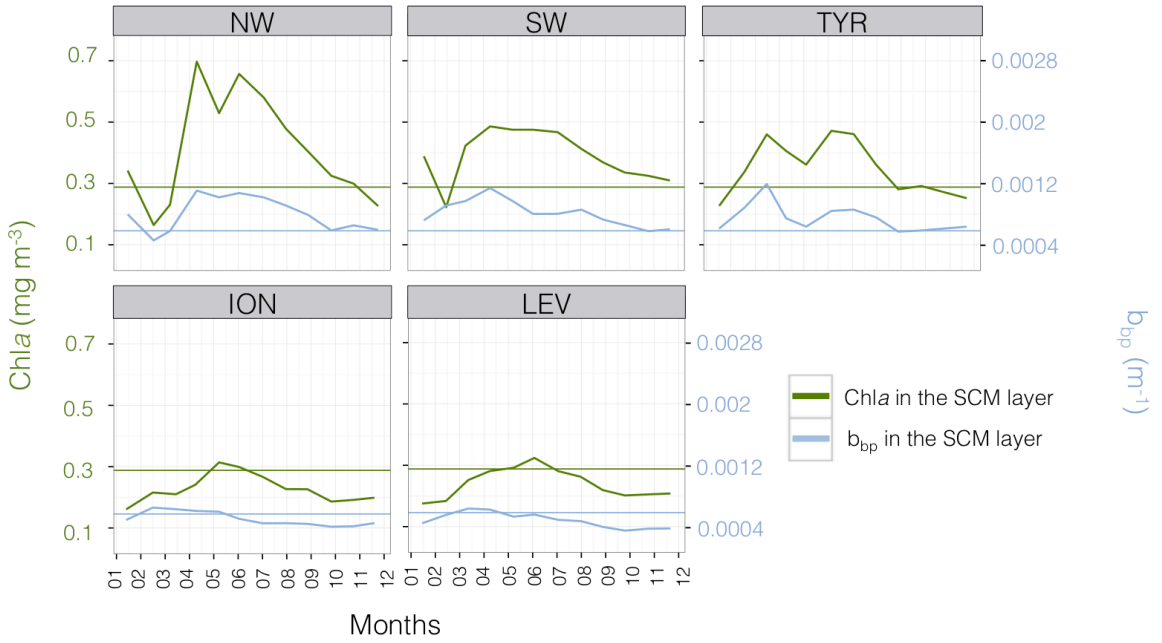

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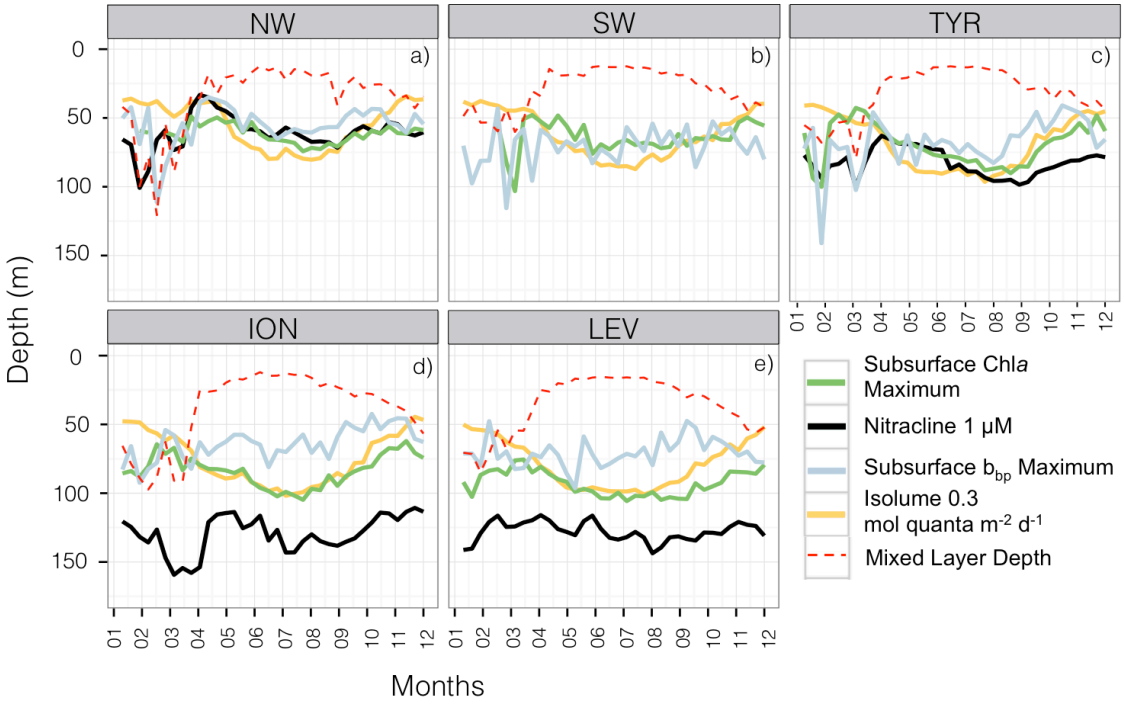

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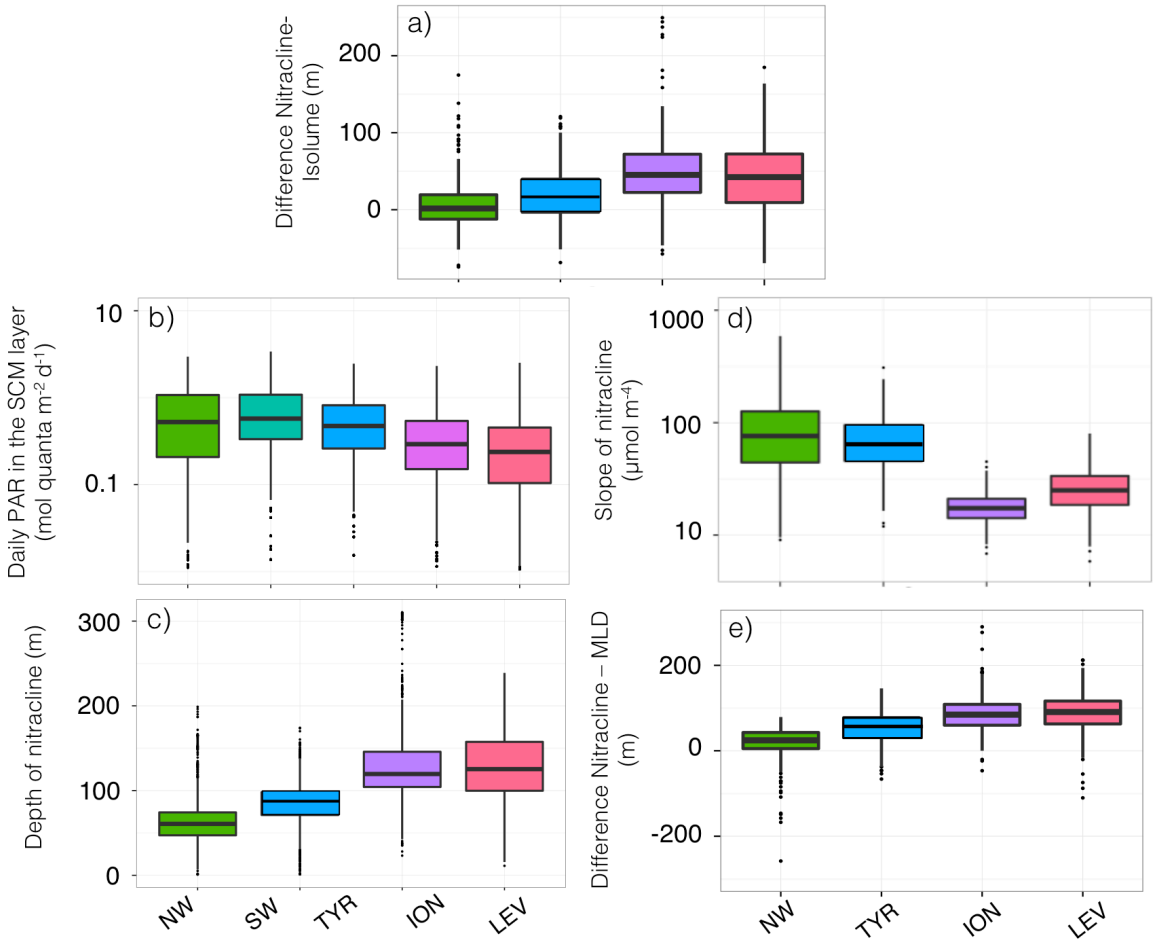

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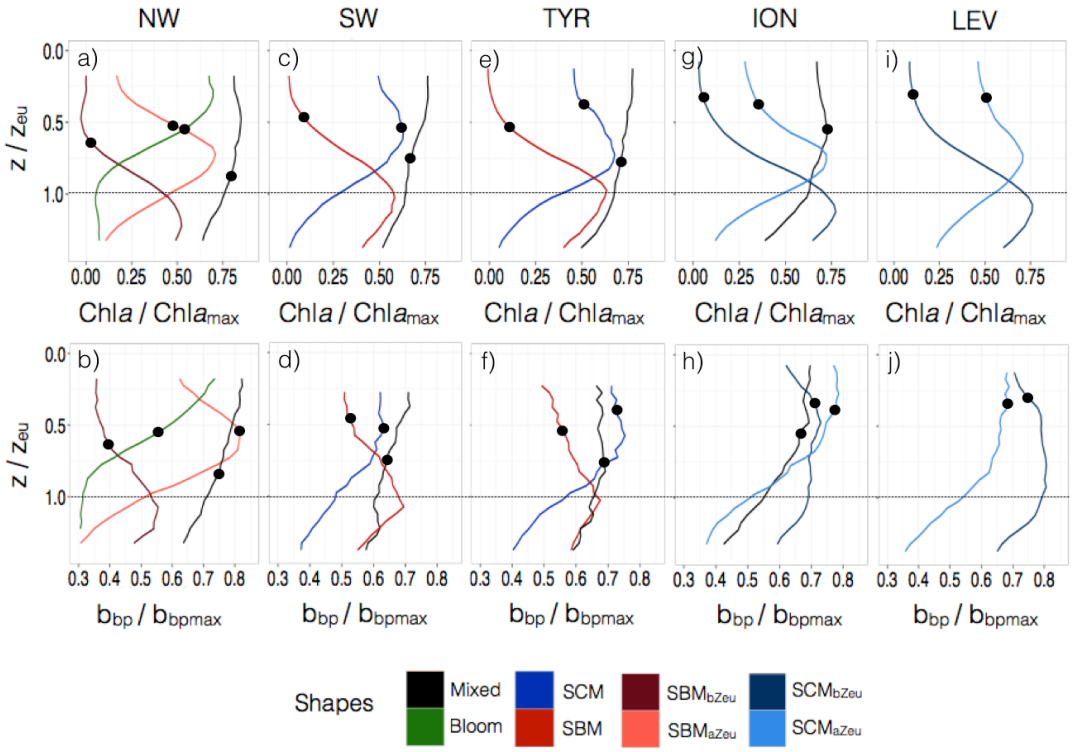

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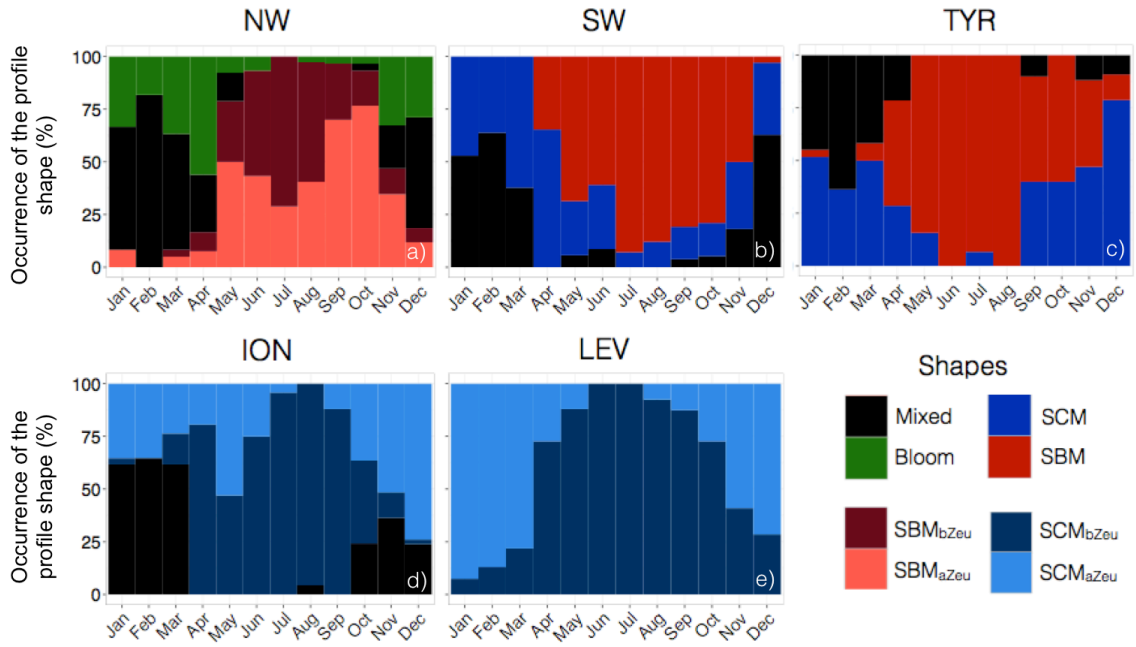

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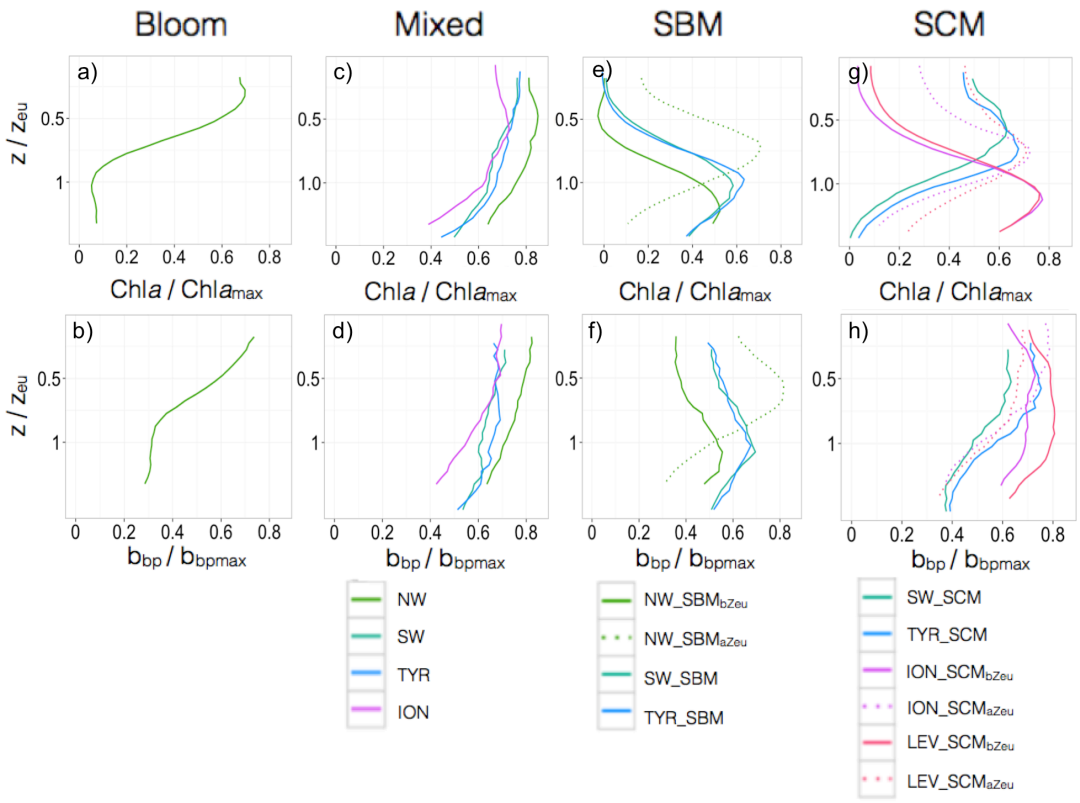

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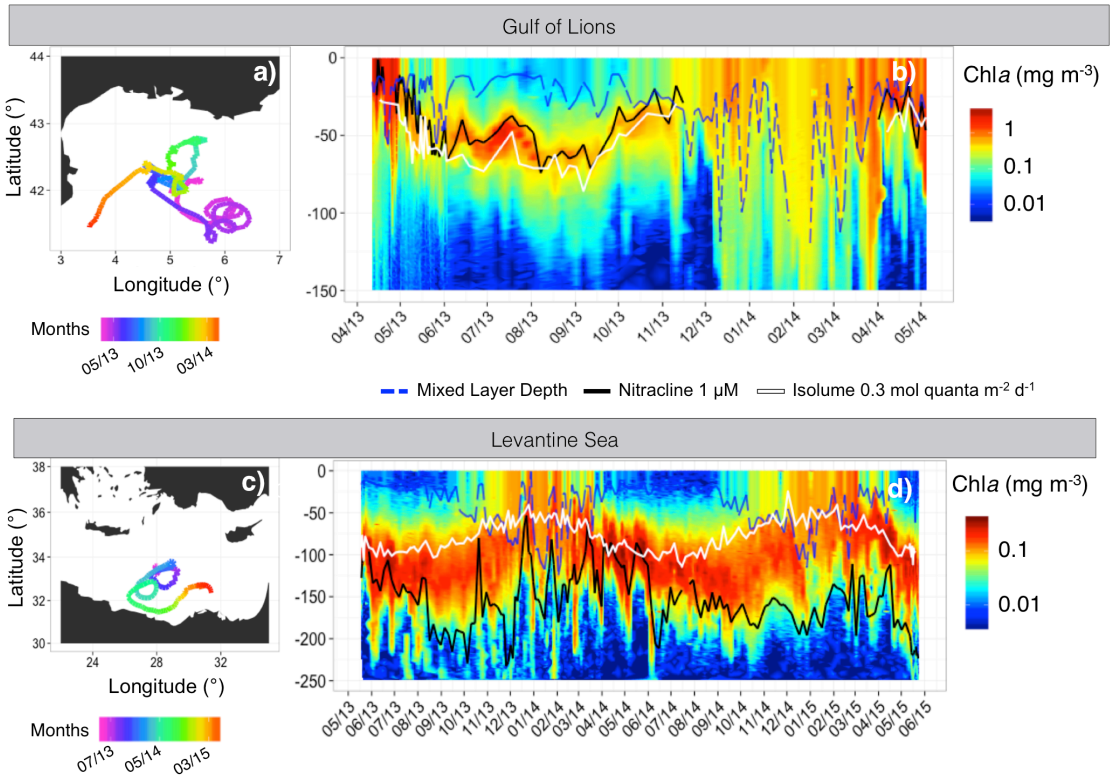

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1336 **Figure 10**: Trajectory and Chl*a* time series of the float deployed in the Gulf of Lions (fGL; a-

1337 b) and of the float deployed in the Levantine Sea (fLS; c-d). On panels b and d, the white line shows

1338 the isolume 0.3 mol quanta m^{-2} d^{-1}, the blue line indicates the Mixed Layer Depth (MLD) and the

1339 black line the nitracline 1 µM.

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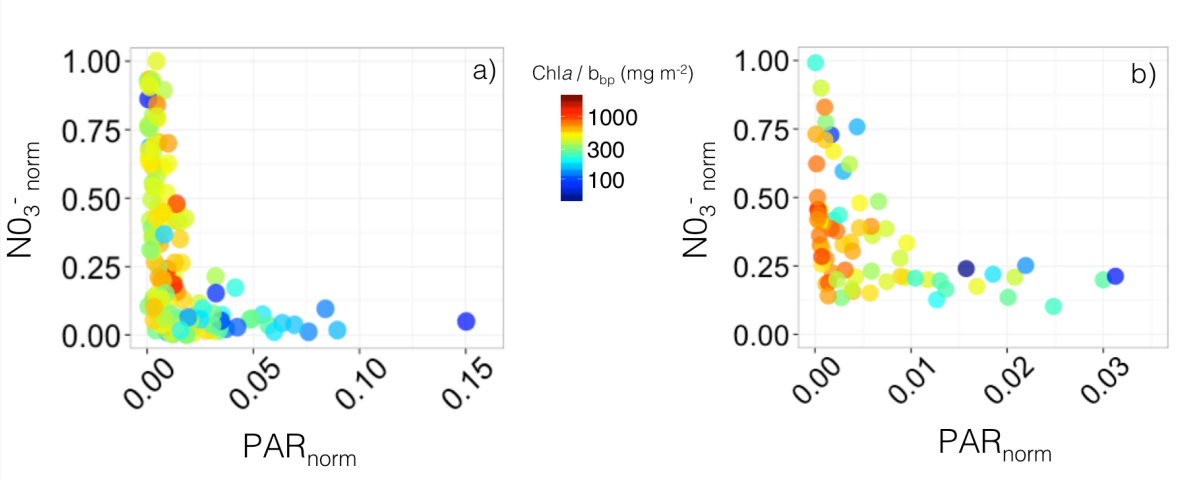

Figure 11: Nutrient versus light resource-limitation diagram for the two BGC-Argo floats deployed in the Gulf of Lions (a) and Levantine Sea (b). The color of the data points indicates the Chl*a*-to-b_{bp} ratio values. The x- and y-axes respectively represent the PAR and $[NO_3^-]$ values normalized to the maximum value calculated over the float lifetime in the layer extending from the surface to below the SCM. Note that the plots show only data collected within the SCM layer, thus corresponding to low normalized PAR values (*i.e.* under 25% of the maximum PAR).

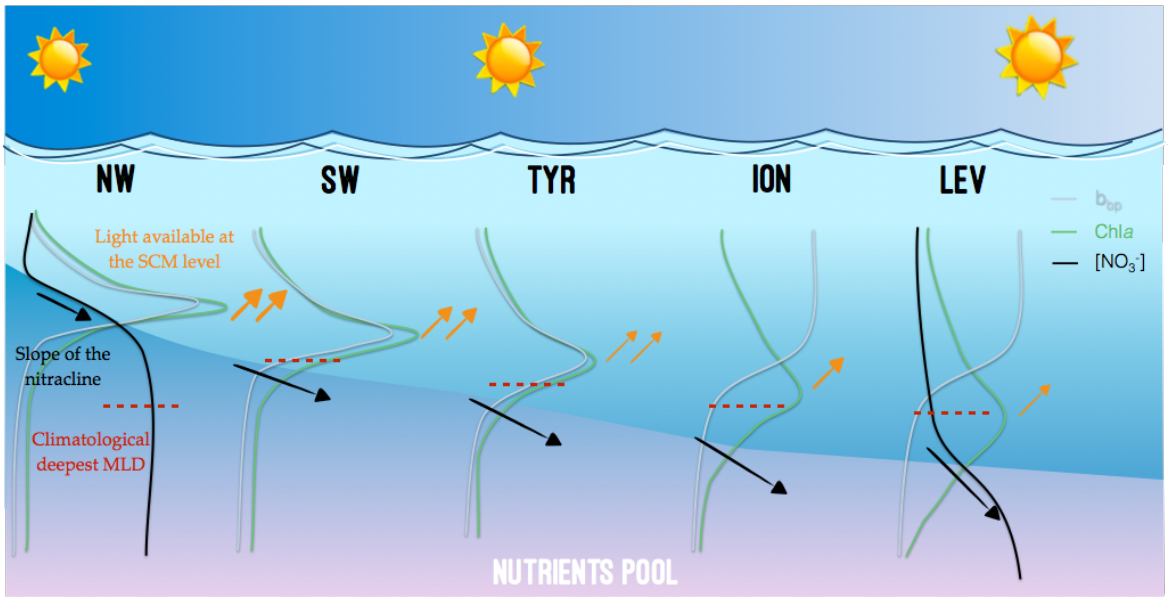

Figure 12: Schematic representation of the different situations of SCMs in the Mediterranean Sea during the oligotrophic summer period for the five considered regions of the Mediterranean Sea along the west-to-east gradient.