

1 **Distribution, seasonality, and fluxes of dissolved organic matter (DOM) in the**
2 **Pearl River (Zhujiang) estuary, China**

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16

17 **Abstract**

18 Dissolved organic carbon (DOC) concentration in the Pearl River estuary (PRE) of China was
19 measured in May, August, and October 2015 and January 2016. Chromophoric and fluorescent
20 dissolved organic matter (CDOM and FDOM) in the latter three seasons were characterized by
21 absorption and fluorescence spectroscopy. CDOM and FDOM exhibited negligible seasonal variations,
22 while DOC displayed a significant seasonality with the average concentration being highest in May
23 ($156 \mu\text{mol L}^{-1}$), lowest in November ($87 \mu\text{mol L}^{-1}$), and comparable between January ($118 \mu\text{mol L}^{-1}$)
24 and August ($112 \mu\text{mol L}^{-1}$). Although DOC, CDOM, and FDOM in surface water were generally
25 higher than in bottom water, the difference between the two layers was statistically insignificant. DOC
26 showed little cross-estuary variations in all seasons, while CDOM and FDOM in January were higher
27 on the west side of the estuary than on the east side. All three variables showed rapid drawdowns in the
28 head region of the estuary (salinity <5); their dynamics in the main estuary were primarily controlled
29 by conservative mixing, leading to linearly declining or relatively constant (for DOC in May and
30 November only) contents with increasing salinity. The decrease of FDOM with salinity was 5–35%
31 faster than that of CDOM, which in turn was 2–3 times quicker than that of DOC. Salinity and CDOM
32 absorption coefficients could serve as indicators of DOC in August and January. Freshwater
33 endmembers in all seasons mainly contained fresh, protein-rich DOM of microbial origin, a large part
34 of it being likely pollution-derived. Protein-like materials were preferentially consumed in the head
35 region but the dominance of the protein signature was maintained throughout the estuary. Exports of
36 DOC and CDOM (in terms of the absorption coefficient at 330 nm) into the South China Sea were
37 estimated as $195 \times 10^9 \text{ g}$ and $266 \times 10^9 \text{ m}^2$ for the PRE, and $362 \times 10^9 \text{ g}$ and $493 \times 10^9 \text{ m}^2$ for the entire
38 Pearl River Delta. The PRE presents the lowest concentrations and export fluxes of DOC and CDOM
39 among the world major estuaries. DOM delivered from the PRE is, however, protein-rich and thus may
40 enhance heterotroph in the adjacent coastal waters. Overall, the PRE manifests lower abundance and

41 smaller spatiotemporal variability of DOM than expected for a sizable estuary with a marked
42 seasonality of river runoff due supposedly to the poorly forested watershed of the Pearl River, the rapid
43 degradation of the pollution-derived DOM in the upper reach, and the short residence time of
44 freshwater.

45

46 **1 Introduction**

47 River runoff is an important contribution of dissolved organic matter (DOM) to the ocean (Raymond
48 and Spencer, 2015). DOM in river water originates from soil leaching (terrigenous DOM) and in situ
49 microbial production. Terrigenous DOM, abounding with lignin phenols (Opsahl and Benner, 1997),
50 differs substantially from microbial-derived DOM, richer in proteins (Martínez-Pérez et al., 2017;
51 Brogi et al., 2018), in optical property and biological and photochemical lability (Hansen et al., 2016;
52 Sulzberger and Arey, 2016). The loads of terrigenous and microbial DOM and their proportions in river
53 water rely on many factors, among which precipitation is a key player. High precipitations mobilize
54 more terrigenous DOM from soil into rivers compared to drier conditions (Fichot et al., 2014; Li et al.,
55 2015). Moreover, the residence time of river water during high-flow seasons is shorter, tending to
56 decrease autochthonous DOM production (Taylor et al., 2003). During its transit through estuaries,
57 riverine DOM may be subject to physical (e.g. flocculation and coagulation, Asmala et al., 2014),
58 biological (e.g. microbial uptake, Benner and Kaiser, 2011), and photochemical (Del Vecchio and
59 Blough, 2002) removals, thereby reducing its abundance and modifying its chemical and optical
60 properties before reaching the ocean. Conversely, biological production in estuaries can add organic
61 matter to the riverine DOM pool (Bianchi et al., 2004; Fellman et al., 2010; Benner and Kaiser, 2011;
62 Deutsch et al., 2012). In highly populated areas, industrial and residential wastes can also be a
63 significant contribution of DOM to river systems (Baker, 2001; Guo et al., 2014). Pollution not only
64 directly brings anthropogenic DOM but also carries nutrients that enhance biological DOM production.

65 The Pearl River estuary (PRE), located in the highly urbanized and industrialized Pearl River
66 Delta, is a subtropical embayment receiving large freshwater discharge with marked seasonal
67 fluctuations (Sect. 2.1) and an annual input of 5.8×10^9 tons of industrial and domestic sewage (Lu et
68 al., 2009). A number of studies in the PRE have determined the concentrations of DOC ([DOC]) and/or
69 the proxy of chromophoric abundance (\langle CDOM \rangle) in terms of absorption coefficients and fluorescence
70 intensities (e.g. Dai et al., 2000; Callahan et al., 2004; Chen et al., 2004; Hong et al., 2005; He, 2010;
71 Lei et al., 2018; Ye et al., 2018). These studies show no consistent seasonality and estuarine mixing
72 behavior of [DOC] and \langle CDOM \rangle and no correlation between the two variables except one occasion for
73 the mid-salinity (5–20) section of the estuary (Callahan et al., 2004).

74 The lack of seasonality and consistent estuarine mixing behavior of [DOC] and \langle CDOM \rangle suggests
75 complex processes controlling their transport, production, and loss in the PRE; it could, however, also
76 result in part from the difference in spatiotemporal coverage of the stations sampled by different
77 studies. As previous DOC and CDOM data were collected over a span of 18 and 15 years, respectively,
78 the possibility of interannual variability cannot be ruled out. In addition, none of the past DOC studies
79 save that of Ye et al. (2018) surveyed all four seasons and many of them chose two different months to
80 represent the wet and dry seasons, though [DOC] and its mixing behavior may change on smaller time
81 scales. The more limited number of CDOM absorption surveys only sampled a single season with no
82 winter visits. Concerning the spatial coverage, studies often differ in the distribution of sampling
83 stations (e.g. Hong et al., 2005 vs. Lei et al., 2018) and many did not cover the upper reach of the
84 estuary (e.g. Chen et al., 2003; Chen et al., 2004; Wang et al., 2014; Lei et al., 2018).

85 Compared with the quantitative information on DOC and CDOM, much less is known about the
86 seasonality and mixing behavior of their qualitative aspects. He et al. (2010) examined the DOC
87 compositions (monosaccharides vs. polysaccharides and dissolved free amino acids vs. dissolved
88 combined amino acids) along a longitudinal salinity-gradient transect in the PRE. Hong et al. (2005)

89 determined the fluorescence excitation-emission matrices (EEMs) on samples collected in the dry
90 season and suspected that fluorescent DOM (FDOM) in the PRE bears a microbial signature derived
91 from sewage effluents. Spectral slope coefficient (Hong et al., 2005; Lei et al., 2018) and [DOC]-
92 normalized fluorescence intensity (Callahan et al., 2004) have also been sporadically used to assess the
93 quality of CDOM in the PRE. Besides, Ye et al. (2018) reported a shift of DOC source from
94 terrigenous material in the river to phytoplankton in the lower PRE based on stable carbon isotopes.

95 Finally, only a few studies have estimated the DOC export flux from the Pearl River to the South
96 China Sea (Lin, 2007; Ni et al., 2008; He et al., 2010), often with limited seasonal coverage. The
97 estimate made by Lin (2007) is almost two times that by Ni et al. (2008). No estimates of CDOM
98 export have been made for the PRE.

99 Given the large volume and seasonality of the freshwater discharge of the Pearl River, we
100 hypothesize that the quantity of DOM and the quality of CDOM in the PRE present substantial
101 seasonal variability and that the PRE is an important source of DOM to the global ocean. To test this
102 hypothesis, the present study sampled the same locations in different seasons within a 12-month period,
103 with the objectives of 1) evaluating the seasonality and estuarine mixing behavior of DOC and CDOM
104 in the PRE; 2) improving the estimate of DOC export to the South China Sea; 3) providing a first
105 assessment of seaward export of CDOM from the PRE. Results from this study further increase our
106 understanding of DOM cycling in human-impacted estuarine waters and their contribution to the
107 oceanic DOC and CDOM budgets.

108

109 **2 Methods**

110 **2.1 Site description**

111 Ranked the 13th largest river in the world in terms of freshwater volume discharge (Zhang et al.,
112 2008), the Pearl River delivers $285 \times 10^9 \text{ m}^3$ of freshwater annually to the South China Sea, with 70%
113 to 80% of this discharge occurring in the wet season (April–September) and only 20–30% in the dry
114 season (October–March) (Wei and Wu, 2014). The Pearl River is composed of three main tributaries,
115 the West, North, and East Rivers (Fig. 1), with the West River contributing 73% of the total freshwater
116 discharge, the North River 14%, and the East River 8% (Wei and Wu, 2014). In the delta area, the three
117 tributaries continuously bifurcate to form a complex water network that is connected to the South
118 China Sea via three estuaries: Lingdingyang, Modaomen, and Huangmaohai. Lingdingyang, the
119 principal estuary of the Pearl River, is commonly referred to as the Pearl River estuary (PRE hereafter)
120 and is the study area of this work. The PRE receives 50–55% of the Pearl River’s total freshwater flow
121 from four major water outlets, namely Humen, Jiaomen, Hongqimen, and Hengmen (Mikhailov et al.,
122 2006), with Humen providing 35% of the freshwater input, followed by Jiaomen (33%), Hengmen
123 (20%), and Hongqimen (12%) (Kot and Hu, 1995).

124 The PRE covers an area of $\sim 2000 \text{ km}^2$ and has an average depth of 4.8 m, with a topography
125 featured with shoals of $< 2 \text{ m}$ deep and channels of $> 5 \text{ m}$ deep (Fig. 1) (Dong et al., 2004; Wai et al.,
126 2004). Turbidity maxima may occur at different sections of the estuary, depending on hydrological
127 conditions (Zhao, 1990; Wai et al., 2004). Tides in the PRE are irregular and semi-diurnal, with a mean
128 tidal range of 0.86–1.7 m (Zhao, 1990). Phytoplankton blooms develop only on local scales, usually in
129 the mid-estuary during the dry season and in the lower part of the estuary during the wet season (Lu
130 and Gan, 2015).

131

132 **2.2 Sample collection**

133 The sampling area covered the entire PRE, stretching from $\sim 30 \text{ km}$ upstream of Humen to the outer
134 limit of the estuary (Fig. 1). Ten stations (M01–M10) were distributed across the main longitudinal axis

135 of the estuary, together with two shorter along-estuary transects, each having four stations on the east
136 (E01–E04) and west (W01–W04) sides. The coordinates of the stations alongside other sampling
137 information are shown in Table S1. Water samples were collected in duplicate from the surface (~1 m)
138 and near the bottom (1–2 m above the seabed) using a 5-L plexiglass sampler between 8–12 May, 7–11
139 August, and 16–19 November 2015 and 10–14 January 2016 for [DOC] measurement and in the last
140 three seasons for CDOM analysis. The samples were filtered through 0.2- μm polyethersulfone (PES)
141 filters (Pall Life Sciences) under low vacuum and the filtrates were transferred into 20-mL (DOC) and
142 100-mL (CDOM) clear-glass bottles with Teflon-lined screw caps. DOC samples were acidified to pH
143 ~2 with 2 N HCl (Reagent grade, Merck). All samples were stored in the dark at 4°C until being
144 analyzed in a land-based laboratory within two weeks after water collection. Prior to use, the glass
145 filtration apparatus and the sample storage bottles were acid-cleaned and combusted at 450°C for 4 h,
146 and the PES filters were thoroughly rinsed with Milli-Q water and sample water. Water temperature
147 and salinity were determined with a SBE-25 conductivity-temperature-depth (CTD) profiler.

148

149 **2.3 DOM analysis**

150 [DOC] for each subsample was determined in triplicate using a Shimadzu TOC-L_{CPH} analyzer
151 calibrated with potassium hydrogen phthalate, with the coefficient of variation < 2%. The performance
152 of the analyzer was checked, at intervals of 10 consecutive sample analyses, against Hansell's low
153 carbon ([DOC]: 1–2 $\mu\text{mol L}^{-1}$) and deep Florida Strait ([DOC]: 41–44 $\mu\text{mol L}^{-1}$) reference waters; the
154 measured [DOC]s for the reference waters were $2.36 \pm 0.06 \mu\text{mol L}^{-1}$ and $43.6 \pm 1.5 \mu\text{mol L}^{-1}$.

155 CDOM absorbance spectra were scanned from 800 nm to 200 nm at 1-nm intervals with a Shimadzu
156 UV-2550 dual beam spectrophotometer fitted with 10-cm quartz cells and referenced to Nanopure
157 water. The samples were allowed to warm up to room temperature in darkness before analysis. A
158 baseline correction was made by subtracting the mean absorbance value over 683–687 nm from all

159 spectral values (Babin et al., 2003). The Napierian absorption coefficient, $a_{\text{CDOM}} (\text{m}^{-1})$, was calculated
160 as 2.303 times the absorbance divided by the light pathlength of the cell in meters (0.1 m). The
161 analytical uncertainty of a_{CDOM} measurement was assessed by analyzing six replicates of the sample
162 collected at Sta. M01 from the August cruise, arriving at a standard deviation of 0.06 m^{-1} or 1.3% at
163 330 nm with the mean a_{CDOM} at 330 nm (a_{330}) being 4.37 m^{-1} . In this study we choose a_{330} as an
164 indicator of the CDOM abundance, given that this variable has been frequently used for this surrogate
165 role (e.g. Osburn et al., 2009; Gareis et al., 2010; Mann et al., 2012; Song et al., 2017) and that the
166 wavelength of 330 nm is where many aquatic CDOM photoreactions, including photobleaching, exhibit
167 maximum rates in surface water under solar radiation (e.g. Vähätalo et al., 2000; Osburn et al., 2001;
168 Zhang et al., 2006; White et al., 2010; Xie et al., 2012a). CDOM absorption coefficients at other
169 commonly used wavelengths and the spectral slope coefficient between 300 nm and 500 nm are
170 presented in Table S2.

171 Fluorescence excitation-emission-matrices (EEMs) were acquired using a Hitachi F-4600
172 fluorescence spectrophotometer fitted with a 1-cm quartz cuvette to characterize the FDOM
173 composition (Coble, 1996; Boehme et al., 2004). Again, samples were warmed up to room temperature
174 before analysis. Emission spectra were scanned from 230 nm to 600 nm at 2-nm intervals over
175 excitation wavelengths between 200 nm and 450 nm at 5-nm increments. Raman scattering was
176 removed by subtracting Nanopure water EEMs that were scanned on the same day as those for the
177 samples. The spectral fluorescence intensities were normalized to Raman Units (R.U.) following the
178 Raman Scatter Peak correction reported by Lawaetz and Stedmon (2009). Potential inner-filtering
179 effects were corrected using the obtained absorbance spectra (Ohno, 2002), even though self-shading
180 should be insignificant since the absorption coefficient at 254 nm (a_{254}) was less than 15 m^{-1} for all
181 samples.

182 PARAFAC analysis was performed to decompose the EEMs into a set of underlying fluorescent
183 components (Bro, 1997; Stedmon et al., 2003; Stedmon and Bro, 2008). The analysis was fed with 117

184 EEMs from all three seasons sampled for CDOM (Sect. 2.1). To reduce the dominance of high
185 fluorescence intensity signals, the EEMs were first scaled to a unit of variance within the sample mode
186 to construct the calibration model (Bro, 1997). PARAFAC models from 2 to 7 components with
187 constraints of non-negativity in all modes were successively conducted with MATLAB (version 2008b;
188 MathWorks 2008) using DOM Fluorescence Toolbox (DOM Fluor version 1.6) and validated using
189 residual and split-half analyses as described by Stedmon and Bro (2008). The parameters obtained from
190 the PARAFAC model were used to calculate an approximate abundance of each component, expressed
191 as F_{\max} in Raman units (R.U.), which corresponds to the maximum fluorescence intensity for a
192 particular sample. Based on analysis of triplicate samples from Sta. M01, M08, and M10, the
193 uncertainty of F_{\max} for each modeled component was <2%.

194 PARAFAC modeling identified five distinct FDOM components (C1-C5, Fig. 2), which explained
195 99.75% of the variance and thus adequately modeled the different FDOM profiles in the dataset. Based
196 on a comparison with the OpenFluor database (<https://openfluor.lablicate.com/>), particularly with the
197 PARAFAC spectra published by several well-recognized groups (e.g. Stedmon et al., 2003; Cory and
198 McKnight, 2005; Yamashita and Jaffé, 2008; Murphy et al., 2008; Santín et al., 2009; Massicotte and
199 Frenette, 2011), components 1 (C1) and 5 (C5) were assigned as tyrosine-like and tryptophan-like
200 fluorophores, components 2 (C2), 3 (C3), and 4 (C4) as humic-like DOM fractions, respectively. As
201 C1 is highly correlated with C5 ($r = 0.997$) and C2 with C3 ($r = 0.990$) and C4, ($r = 0.993$), the sum of
202 the F_{\max} values of C1 and C5 (C_p hereafter) and of those of C2, C3, and C4 (C_h hereafter) will be used
203 as proxies of the abundances of the protein-like and humic-like fractions, respectively.

204 To characterize the quality of DOM, the E_2/E_3 quotient, biological index (BIX), and humic index
205 (HIX) were calculated from the measured absorbance and fluorescence spectra. E_2/E_3 , defined as the
206 ratio of a_{250} to a_{365} , serves as a proxy for the average molecular weight (MW) and aromaticity of
207 CDOM, with lower values indicating higher MW and higher aromaticity (Peuravuori and Pihlaja, 1997;
208 Lou and Xie, 2006; Li and Hur, 2017). E_2/E_3 responds quantitatively to CDOM photobleaching (Lou

209 and Xie, 2006) and its proxy function is similar to that of the later developed absorption spectral slope
210 coefficient between 275 nm and 295 nm (Helms et al., 2008). BIX, the ratio of fluorescence intensity at
211 380 nm to that at 430 nm with excitation at 310 nm, indicates the relative contribution of fresh,
212 autochthonous DOM (McKnight et al., 2001). HIX, the ratio of the fluorescence intensity integrated
213 over 435–480 nm to that over 300–345 nm with excitation at 254 nm, is a surrogate of the extent of
214 FDOM humification (Ohno, 2002). BIX values of >0.8 indicate fresh, microbially derived DOM, while
215 values of <0.6 signify little autochthonous material (Huguet et al., 2009). Fresh DOM derived from
216 plant biomass usually displays HIX values of <5 , whereas soil-derived DOM has values between 10
217 and 30 (Birdwell and Engel, 2010; Sazawa et al., 2011). In addition, the percentages of C_p ($\%C_p$
218 hereafter) and C_h ($\%C_h$ hereafter) in the sum of C1-C5 will serve to represent the proportions of
219 protein-like and humic-like components in the total FDOM pool.

220

221 **2.4 Miscellaneous aspects**

222 Analysis of statistical significance ($\alpha = 0.05$) was performed using one-way ANOVA (analysis of
223 variance) and Student's t-test in Microsoft Excel 2010. For the benefit of conciseness, this statistic
224 approach will not be re-described when presenting and discussing the results.

225 The monthly-averaged freshwater discharge rates of the Pearl River for the sampling months were
226 obtained from the Ministry of Water Resources of the People's Republic of China (available online at
227 <http://www.mwr.gov.cn/zwzc/hygb/sqnb>).

228 For brevity of presenting and discussing data, seasons for a property, where applicable, are added as
229 a superscript to the symbol or abbreviation denoting that property. For example, $[\text{DOC}]^{\text{Aug}}$ stands for
230 $[\text{DOC}]$ in August. Names of the PARAFAC-modeled FDOM components signify their F_{max} as well.
231 Symbols and abbreviations are used as both singular and plural forms.

232

233 **3 Results**

234 **3.1 Hydrological settings**

235 The discharge rates to the PRE were estimated as $8.9 \times 10^3 \text{ m}^3 \text{ s}^{-1}$ in May, $5.7 \times 10^3 \text{ m}^3 \text{ s}^{-1}$ in
236 August, $6.7 \times 10^3 \text{ m}^3 \text{ s}^{-1}$ in November, and $5.0 \times 10^3 \text{ m}^3 \text{ s}^{-1}$ in January based on that the PRE receives
237 54% of the total discharge from the Pearl River (Mikhailov et al., 2006). The discharge was 15% lower
238 in August than in November due to an atypically dry weather in summer. Higher-than-normal
239 discharge rates occurred in November and January due to above-average precipitations.

240 Surface water temperature ranged from 25.6-28.5 °C (mean: 27.2 °C) in May, 28.2-31.0 °C (mean:
241 30.0 °C) in August, 23.6-26.3 °C (mean: 25.2 °C) in November, and 17.2-19.7 °C (mean: 18.8 °C) in
242 January. Temperature decreased seaward in August, whereas a reverse trend was seen in the other
243 sampling seasons. Bottom temperature was lower than surface temperature on average by 1.6 % (range:
244 0–11.9%), 3.7% (range: 3–14%), and 0.9% (range: 0.08–2.5%) in May, August, November,
245 respectively, with the difference generally increasing seaward. In January, there was essentially no
246 difference between the surface and bottom (mean: 0.5%, range: 0-1.5%). Mean water temperature, with
247 surface and bottom combined, was higher on the west transect than on the east one in May (27.7 °C vs.
248 27.0 °C) and August (30.1 °C vs. 28.7 °C) but the opposite was observed in November (25.6 °C vs.
249 16.0 °C) and January (18.4 °C vs. 19.1 °C).

250 Surface water salinity ranged from 0.2–30.3 (mean: 9.7) in May, 0.2–20.6 (mean: 8.0) in August,
251 0.2–26.9 (mean: 8.3) in November, and 0.2–32.6 (mean: 17.0) in January (Fig. 3a). Surface salinity
252 increased seaward, with a mean gradient much lower in the upper estuary (Sta. M01 to M05; 0.01-
253 0.15/km) than in the lower estuary (downstream of Sta. M05; 0.17-0.28/km). Mean bottom salinity in
254 the upper estuary was higher than surface salinity by 52.6% in May, 100.4% in August, 129.2% in
255 November, and 23.1% in January, while in the lower estuary by 23.0%, 69.0%, 63.1%, and 3.9%,
256 respectively. Salinity, both at surface and bottom, was consistently lower on the west side than on the

257 east side (Fig. 4a), in line with the observation that freshwater in the PRE tends to flow along the west
258 side while coastal saline water intrudes landward along the east channel (Dong et al., 2004). The mean
259 west–east difference follows a seasonal trend of January (14.7 vs. 26.3) > August (8.6 vs. 16.5) >
260 November (10.2 vs. 16.4) > May (11.8 vs. 15.6).

261 Based on the salinity distribution, the water column was stratified in the upper estuary during all
262 four seasons and in the lower estuary in seasons other than winter when the water column was
263 essentially well mixed. The stratification in the lower estuary was strongest in summer. Substantial
264 cross-estuary salinity gradients persisted throughout the year.

265

266 **3.2 Distribution of DOM**

267 Figure 3b-j depicts the spatial (upper vs. lower estuary and surface vs. bottom) and seasonal
268 distributions of the mean values of the measured DOM variables. The mean values of all quantitative
269 variables ([DOC], a_{330} , C_p , and C_h), with the surface and bottom data pooled together, were
270 substantially higher in the upper estuary than in the lower estuary across all sampling seasons (Fig. 3b-
271 e). The differences between the two areas were smaller for [DOC] (20-38%) than those for a_{330} (51-
272 65%), C_p (47-70%), and C_h (37-64%). Neither the upper estuary nor the lower estuary and none of the
273 sampling seasons exhibited significant surface–bottom differences in terms of the mean values of the
274 quantitative variables, although the surface values at individual stations were often somewhat higher
275 (1.2-26.5%) than the bottom ones, particularly in seasons other than winter (Fig. 3b-e).

276 The estuary-wide mean [DOC], with surface and bottom combined, followed the seasonality of May
277 ($156 \pm 45 \mu\text{mol L}^{-1}$) > January ($118 \pm 37 \mu\text{mol L}^{-1}$) > August ($112 \pm 21 \mu\text{mol L}^{-1}$) > November ($87 \pm$
278 $14 \mu\text{mol L}^{-1}$). The differences were significant among all seasons save for that between January and
279 August. No significant seasonal variations were observed for the mean a_{330} (August: $1.76 \pm 0.88 \text{ m}^{-1}$;
280 November: $1.39 \pm 0.70 \text{ m}^{-1}$; January: $1.33 \pm 1.02 \text{ m}^{-1}$) and mean C_p (August: $0.81 \pm 0.46 \text{ R.U.}$;

281 November: 1.16 ± 0.60 R.U.; January: 1.00 ± 0.81 R.U.). The mean C_h was significantly higher in
282 August (0.73 ± 0.29 R.U.) than in January (0.49 ± 0.34 R.U.) but presented no significant differences
283 between August and November (0.61 ± 0.23 R.U.) and between November and January.

284 Compared with the quantitative variables, the qualitative metrics showed much smaller along-
285 estuary (upper vs. lower estuary) differences that were statistically insignificant irrespective of seasons
286 (Fig. 3f-i), except that E_2/E_3 was marginally higher in the lower estuary than in the upper estuary (Fig.
287 3h). The mean values of the qualitative metrics for the surface were essentially identical to those for the
288 bottom (Fig. 3f-j), excluding HIX for the upper estuary in November (Fig. 3j). HIX and $\%C_h$ were
289 significantly higher in August than in November and January while $\%C_p$ displayed an opposite pattern;
290 no significant seasonal variations were observed on all other occasions (Fig. 3f-j).

291 Cross-estuary differences in the quantitative variables were insignificant with the exception of
292 [DOC] in May (24% higher on the east transect) and a_{330} , C_p , and C_h in January (56%, 44%, and 74%
293 higher on the west transect, respectively) (Fig. 4b-e). Among the qualitative metrics, HIX and $\%C_h$
294 were consistently higher on the west transect than on the east one, while BIX and $\%C_p$ manifested a
295 reversed trend (Fig. 4f,g,i,j). Yet significant differences were only identified for HIX in all three
296 seasons and E_2/E_3 in January (Fig. 4h).

297 Across all sampling seasons and the entire estuary, $\%C_p$ was close to or $>50\%$ (mean: $61.1\% \pm$
298 7.4%), except the west transect in August (Fig. 4f). BIX was mostly >1 with a mean of 1.10 ± 0.10 ,
299 while HIX was <2.4 and averaged 1.13 ± 0.32 .

300

301 **3.3 Relationships between DOM variables and salinity**

302 Surface and bottom data for each variable in each season form a consistent property–salinity pattern
303 (data not shown) and are thus treated as a single dataset. All quantitative variables displayed sharp
304 decreases at salinity $<\sim 5$ but remained rather constant ([DOC] in May and November) or declined

305 linearly (all other cases) at higher salinities (Figs. 5 and 6). Hereafter, the upper part of the estuary
306 showing fast changes of DOM properties is termed the head region, while the area downstream of it is
307 referred to as the main estuary. The salinity demarcating these two regions was often ~ 5 but could
308 change to some extent with season and the DOM variable of interest (Figs. 5 and 6). Results of linear
309 regressions for the main estuary are summarized in Table S3. At a 95% confidence level, both the
310 slopes and intercepts were statistically no different between August and January for [DOC] and a_{330}
311 and between all three seasons for C_h , indicating that the multi-season data on each of these occasions
312 can be combined into a single dataset. The slope for a_{330} in November was, however, $\geq 32\%$ lower than
313 those in August and January. The slope for C_p presented significant seasonal variations, with the value
314 in January being 23% and 89% higher than those in November and August, respectively.

315 The percent decrease of each variable per unit increase of salinity across the main estuary was
316 calculated using the known regression equations shown in Table S3. a_{330} decreased 2.1 and 2.7 times
317 faster than [DOC] in August and January, respectively (Table S4). The proxy of FDOM abundance
318 ($\langle \text{FDOM} \rangle$), expressed by C_p and C_h , declined faster than $\langle \text{CDOM} \rangle$, with November showing the largest
319 difference (25–35%) followed by August (5–21%) and January ($< 10\%$) (Table S4).

320 E_2/E_3 in August and November increased quickly (by $\sim 24\%$) at salinity < 1.3 and then slowly in the
321 main estuary (Fig. 7a). In January, the surge of E_2/E_3 at low salinities was less obvious. In the main
322 estuary, all three seasons displayed similar E_2/E_3 vs. salinity patterns, each of which roughly followed
323 the respective theoretical mixing line defined by the maximum- and minimum-salinity E_2/E_3 (Fig. 7a).

324 Between salinity 0 and 1.27, $\%C_p^{\text{Aug}}$ decreased by 14.2% (Fig. 7b). At higher salinities, the west
325 transect displayed an increasing $\%C_p$ with salinity but was constantly below the main and east transects
326 which formed a coherent $\%C_p$ vs. salinity pattern featured by a small rebound from salinity 3 to 13 and
327 a gradual decline at salinity > 13 . A sharp drop of 25.3% occurred for $\%C_p^{\text{Nov}}$ from salinity 0 to 0.63,
328 which was followed by relatively constant values (mean: $64.0\% \pm 4.0\%$). A pan shape characterized the
329 distribution of $\%C_p^{\text{Jan}}$, showing higher values at both the lowest and highest salinities and slightly

330 lower values across a wide range of salinities in between (Fig. 7b). The distributions of %C_h mirrored
331 those of %C_p (Fig. 7c).

332 The HIX vs. salinity patterns (Fig. 7e) approximately corresponded to those of %C_h, leading to a
333 strong linear correlation between the two variables ($r = 0.94$) (Fig. S1a). BIX displayed a distribution
334 roughly inverse to that of HIX (Fig. 7d), as can be inferred their definitions (Sect. 2.3). The correlation
335 between BIX and %C_p ($r = 0.40$) (Fig. S1b) was weaker compared with that between HIX and %C_h.
336 Compared to the quantitative variables, a common feature for all qualitative metrics in the main estuary
337 was their relatively small variations over the rather large salinity ranges encountered (Fig. 7).

338

339 **3.4 Relationships between [DOC] and ⟨CDOM⟩ and ⟨FDOM⟩**

340 [DOC] was linearly related to a_{330} for all three sampling seasons; the coefficient of determination
341 was, however, lower in November (Fig. 8a, Table S5). The fitted slope was in descending order of
342 January ($32.0 \pm 2.0 \text{ m } \mu\text{mol L}^{-1}$) > August ($22.5 \pm 1.4 \text{ m } \mu\text{mol L}^{-1}$) > November ($18.8 \pm 2.2 \text{ m } \mu\text{mol}$
343 L^{-1}). Similarly, [DOC] showed a strong, linear relationship with C_p in August and January and a
344 relatively weaker one in November (Fig. 8b, Table S5). The fitted slopes in August and January were
345 comparable but ~2.8 times that in November (Table S5). [DOC] was also significantly related to C_h
346 (Fig. 8c) but the coefficients of determination were considerably lower than those with C_p (Table S5).

347

348 **4 Discussion**

349 **4.1 Sources of freshwater DOM endmembers**

350 The present study confirms the large variations in [DOM] in the head region of the PRE observed by
351 previous studies (Callahan et al., 2004; Chen et al., 2004; Lin, 2007; He, 2010; Wang et al., 2014; Lei
352 et al., 2018; Ye et al., 2018). This phenomenon is commonly ascribed to the presence of multiple
353 freshwater endmembers delivered by various water channels and outlets of the Pear River system (Cai
354 et al., 2004; Callahan et al., 2004; He et al., 2010). Notably, the Humen channel takes most of the

355 sewage discharge from Guangdong Province (Pang and Li, 2001), which carries the highest DOM load,
356 while the other waterways on the west coast, less influenced by urbanization and industrialization, bear
357 lower levels of DOM (Callahan et al., 2004; Ni et al., 2008). Although the existence of multiple
358 “quantitative” endmembers in the PRE has been well recognized, it remains poorly understood if these
359 endmembers differ qualitatively. Data published by Callahan et al. (2004) shows that [DOC]-
360 normalized fluorescences of the freshwater endmembers in Jiaomen, Hongqimen, and Hengmen
361 differed little (c.v. = 4%) while the Humen endmember was 17% higher than the mean of the other
362 three endmembers in November 2002. Besides, fluorescence EEMs collected upstream of Humen
363 reveal tryptophan-like fluorophores to be the dominant FDOM fraction in the Humen endmember
364 which was considered to originate from sewage effluents (Hong et al., 2005). The present study has
365 analyzed by far the largest number of qualitative metrics and thus offers a more robust means to assess
366 the nature of the freshwater endmembers. In November, near-zero-salinity (<0.7) water was accessible
367 down to Sta. M05 off Hongqimen (Fig. 1), making this season suitable for comparing the endmembers
368 from the different water outlets. E_2/E_3^{Nov} at near zero-salinities fell in a rather small range from 5.5 to
369 6.8 that corresponded to a MW range from 0.83 kDa to 1.18 kDa estimated from the MW vs. E_2/E_3
370 relationship established by Lou and Xie (2006). The higher MW values were observed in the Humen
371 channel, while the lower ones in water from Jiaomen and Hongqimen, both being close to the
372 borderline separating the high- and low-MW CDOM (i.e. 1 kDa). $\%C_p^{Nov}$ varied from 70% at Sta. M01
373 in the Humen channel to 56% off Hongqimen, consistent with a stronger anthropogenic DOC signature
374 in the Humen channel (He et al., 2010). Yet $\%C_p^{Nov}$ for all endmembers were >50%, demonstrating
375 that protein-like components dominated all freshwater FDOM endmembers. BIX^{Nov} was higher (1.28
376 vs. 1.00) while HIX^{Nov} lower (0.53 vs. 1.34) at Sta. M01 than at Sta. M05; all BIX^{Nov} and HIX^{Nov} were,
377 however, well above 0.8 and below 5, respectively, implying the dominance of fresh, microbial-derived
378 FDOM in all freshwater endmembers (Sect. 2.3). Taking into account all these qualitative metrics and
379 the linear relationships between [DOC] and $\langle FDOM \rangle$ (Sect. 3.4), we can conclude that all three

380 freshwater DOM endmembers in November mainly comprised fresh, low-MW (~1 kDa) organic
381 material of microbial origin, with the microbial signature in the Humen endmember somewhat
382 stronger. The sewage influence could be depressed due to a rapid bacterial mineralization of the
383 sewage-derived DOM between the point sources of pollution in the Guangzhou area and the sampling
384 stations downstream (He et al., 2010). Note that the three endmembers also bore a perceptible
385 terrigenous character, since the humic-like C_h , albeit generally lower in abundance than the protein-like
386 C_p , were still a significant fraction of the total FDOM pool (Fig. 6). The values of the qualitative
387 metrics at Sta. M01 in August and January (E_2/E_3 : 5.18-6.13; % C_p : 62.2-72.2%; % C_h : 27.8-37.8%;
388 BIX: 1.03-1.15; HIX: 0.68-1.01) were comparable to those in November, indicating that the Humen
389 DOM endmembers in summer and winter were also of microbial origin.

390 Based on an estimate of the relative contributions of land-, sewage-, and phytoplankton-derived
391 DOC, He (2010) and He et al. (2010) proposed that the land component is the dominant source of the
392 total DOC pool in the lower reach of the Humen channel. In this estimation, the authors assigned the
393 “natural background” [DOC] in the three major tributaries of the Pearl River (range: 114–125 μmol
394 L^{-1} ; mean: 119 $\mu\text{mol L}^{-1}$) as “land-derived”. Our result suggests that, apart from terrigenous DOC
395 leached from soil, this “land-derived” DOC contains an ample amount of river-born DOC of microbial
396 origin. This argument is supported by the poorly-forested watershed of the Pearl River (Luo et al.,
397 2002) and the low molar carbon-to-nitrogen (C/N) ratios of suspended particulate organic matter (7.2–
398 9.3) (Ni et al., 2008) and DOM (range: 1.8–12; mean \pm SD: 4.6 \pm 2.5; median: 3.6) (Supporting
399 Information in Ye et al., 2008) in fresh or low-salinity (<5) waters of the PRE.

400

401 **4.2 Estuarine mixing and transformation of DOM**

402 Sharp decreases in [DOC], $\langle\text{CDOM}\rangle$, and $\langle\text{FDOM}\rangle$ in the head region of the PRE have been
403 previously observed and postulated as a result of adsorption, flocculation, biodegradation, and/or
404 incomplete mixing of multiple freshwater endmembers (Callahan et al., 2004; Chen et al., 2004; Lin,

405 2007; He et al., 2010; Ye et al., 2018). The present study confirmed the earlier observations and
406 provided additional qualitative metrics that are instrumental for constraining the principal processes
407 causing this quick drawdown of DOM abundance. The increases in %C_h and HIX and decreases in
408 %C_p and BIX in the head region suggest a bacterial preferential uptake of protein-rich materials and
409 hence a key role of biodegradation in controlling the loss of DOM. Our result corroborates the finding
410 of He et al. (2010) showing higher fractions of biodegradable DOC and higher DOC bio-uptake rates in
411 the head region than in the main estuary. The more scattering of the qualitative metrics data in
412 November (Figs. 6) likely reflects an incomplete mixing of the multiple freshwater endmembers stated
413 earlier. This partial-mixing effect may overshadow the biodegradation signal. Notably, the presence of
414 large amounts of highly biolabile, sewage-derived DOM in the upper reach of the PRE could
415 potentially enhance the biodegradation of the less reactive terrigenous DOM through a positive priming
416 effect (Bianchi et al., 2011). However, the [DOC] after the rapid removal of the labile fraction within
417 the head region (110–130 $\mu\text{mol L}^{-1}$, Fig. 3), except November, were in the same range as that of the
418 background [DOC] in the Pearl River upstream of the Pear River Delta (114–137 $\mu\text{mol L}^{-1}$, Shi et al.,
419 2016). This fact, alongside the enriched humic character of the residual DOM, implies a negligible
420 priming effect. In November, the possibility of a positive priming effect could not be excluded, given
421 that the [DOC] exiting the head region (82 $\mu\text{mol L}^{-1}$) was substantially lower than the riverine
422 background concentrations.

423 In the main estuary, the linear decreases in [DOC] (see exceptions below), $\langle\text{CDOM}\rangle$, and $\langle\text{FDOM}\rangle$
424 with salinity point to the absence of net removal and input of these constituents and physical dilution
425 being the principal mechanism dictating their estuarine mixing behaviors. The two extreme cases of
426 near-constant [DOC] vs. salinity in May and November indicate that the loss of DOC in the head
427 region reduced its content to the level comparable to the marine endmember and again that the removal
428 of DOC in the main estuary, if any, was roughly balanced by the input. Potentially important DOM loss
429 processes in the PRE are bacterial (He et al., 2010) and photochemical (Callahan et al., 2004)

430 degradation. The significance of these processes relies on both their rates and the residence time of
431 freshwater in the PRE. Using the volume of the estuary ($9.6 \times 10^9 \text{ m}^3$) and the freshwater discharge rate
432 for each sampling season (Sect. 3.1), we estimated the residence time of freshwater in the top 1-m layer
433 to be 3.1 d in May, 4.9 d in August, 4.1 d in November, and 5.6 d in January. The value for May is
434 essentially identical to that previously reported for the wet season (Yin et al., 2000). Here the volume
435 of the estuary was obtained from the published average depth (4.8 m) and total area ($2 \times 10^9 \text{ m}^2$) of the
436 estuary (Sect. 2.1). The bacterial uptake rate of DOC in surface water of the main estuary has been
437 reported to be $0.04 \mu\text{mol L}^{-1} \text{ h}^{-1}$ in spring and $0.07 \mu\text{mol L}^{-1} \text{ h}^{-1}$ in summer (He, 2010; He et al., 2010),
438 giving a consumption of $3.0 \mu\text{mol L}^{-1}$ and $8.2 \mu\text{mol L}^{-1}$, respectively, when multiplied by the
439 corresponding residence time for May and August. Our unpublished data suggests that
440 photodegradation in August could at most reduce [DOC] by $0.76 \mu\text{mol L}^{-1}$ and a_{330} by 0.11 m^{-1} , after
441 considering the attenuation of solar radiation and the competition for light absorption by particles in the
442 water column (Wang et al., 2014). The combined photochemical and bacterial DOC degradation in
443 summer was thus $\sim 9 \mu\text{mol L}^{-1}$, $\sim 8\%$ of the initial [DOC] in the main estuary. The parallel
444 photobleaching loss of a_{330} was 7%. Such small losses could be readily compensated for by DOM input
445 from in situ primary production, sediment resuspension, and/or freshwater discharge farther
446 downstream. Notably, chlorophyll *a* concentration maxima of up to $11.0 \mu\text{g L}^{-1}$ and turbidity maxima
447 of up to 154 mg L^{-1} were spotted in the mid- and lower estuary during our cruises (Li et al., 2017).
448 Nonetheless, there existed no co-variations of [DOC], $\langle\text{CDOM}\rangle$, and $\langle\text{FDOM}\rangle$ with chlorophyll *a* or
449 suspended particulate matter (SPM) (data not shown). This observation, in conjunction with the linear
450 DOM abundance vs. salinity relations, demonstrates that autochthonous production was unlikely a
451 major source of DOM and that adsorption and flocculation were not a major sink of DOM in the main
452 estuary. The short residence time of freshwater likely minimized the influences of these processes.

453 To reinforce the argument that the dynamics of DOM in the main estuary of the PRE was dominated
454 by physical mixing, a principal component analysis (PCA) of the all-cruises dataset was performed in

455 in R 3.5.2 using the *prcomp()* function. The dataset includes variables in addition to salinity, such as
456 water temperature, nutrients (nitrate, nitrite, silicate), chlorophyll *a*, SPM, and freshwater discharge
457 rate. Variables used in the PCA were zero centered and scaled to the unit variance. The first two axes
458 of the PCA explained ~74% of the variability in the dataset (Fig. 9). DOC and a_{330} , along with nitrate
459 and silicate, were strongly negatively related to salinity, a typical indication of a conservative mixing
460 behavior. In contrast, DOC and a_{330} were not or only weakly linked to chlorophyll *a*, SPM, water
461 temperature, and the freshwater discharge rate.

462 The completely different behaviors of [DOC] and $\langle\text{CDOM}\rangle$ with respect to salinity in the main
463 estuary in November (Fig. 3c,f) led to a decoupling of the two variables. This phenomenon has also
464 been observed for summer by Chen et al. (2004). In fact, the decoupling of [DOC] and $\langle\text{CDOM}\rangle$ is an
465 extreme case of the higher salinity-based $\langle\text{CDOM}\rangle$ gradient relative to that of [DOC] seen in August
466 and January (Sect. 3.4). The difference in estuarine mixing behavior between [DOC] and $\langle\text{CDOM}\rangle$
467 arose mainly from two factors. First, the main component of the freshwater DOM endmember was non-
468 or weakly colored, as implied by its abundant fresh microbial constituents. Second, the difference in
469 $\langle\text{CDOM}\rangle$ between the freshwater and marine endmembers was substantially larger than that in [DOC].

470

471 **4.3 Depressed seasonal and spatial variations**

472 The overall small variations of the qualitative metrics across the main estuary (Sect. 3.3) suggest
473 that the quality of DOM remained generally stable during estuarine mixing, consistent with the
474 marginal photochemical and microbial breakdown of DOM elaborated above. As C_p was mostly >50%,
475 BIX >1, and HIX <2.4 (Sect. 3.2), fresh, protein-enriched DOM of microbial origin dominated the
476 DOM pool in the main estuary (Sect. 2.3), irrespective of seasons, locations, and depths. The
477 dominance of protein-like over humic-like FDOM is in line with the low C/N ratios of DOM (range:
478 1.0–15; mean \pm SD: 4.5 ± 2.9 ; median: 3.4) across the entire PRE in all seasons (Supporting

479 Information in Ye et al., 2008). The higher %C_h and HIX in August than in November and January (Fig.
480 7c,e) point to FDOM in summer containing a larger fraction of humic-like fluorophores. The
481 divergence in August of the west transect from the main and east transects with respect to the
482 distributions of the FDOM metrics vs. salinity (Fig. 7c,e) suggests a different freshwater mass on the
483 west shoal somewhat enriched with humic-like FDOM and possibly originating from Hengmen (Fig.
484 1). Nonetheless, the relatively higher humic-like fractions in August, particularly on the west transect,
485 do not change the dominant signature of fresh, microbial-derived DOM in this season.

486 The PRE is largely homogeneous not only from a perspective of its dominant DOM source but also
487 in terms of the vertical distribution of the quantitative variables. The bottom-surface differences for the
488 quantitative variables are on average insignificant (particularly true for [DOC]) even in the presence of
489 strong vertical stratification, such as in August (Sect. 3.2). This depressed vertical heterogeneity could
490 be attributed to the reduced differences between the low-salinity and marine endmembers as elaborated
491 above.

492

493 **4.4 Indicators of a_{CDOM} and [DOC] in the main estuary**

494 Salinity is a useful proxy of a_{CDOM} in light of their linear relationships in the main estuary for all
495 three sampling seasons (Fig. 3). Furthermore, a common equation ($Y = -0.048 * X + 1.99$, $p < 0.0001$)
496 can serve as a predictive tool of a_{330} in August and January, given essentially the same statistics for
497 each of these two months (Table S3). For [DOC], salinity can be used as an indicator in August and
498 January but not in May and November (Fig. 3). Similar to the a_{CDOM} -salinity case, the August and
499 January [DOC] data can be combined to formulate a single [DOC]- a_{CDOM} relationship ($Y = 40.7 * X +$
500 75.6 ; $p < 0.0001$). Hence, [DOC] in summer and winter can in principle be retrieved from remote
501 sensing-based a_{CDOM} data (Siegel et al., 2002; Johannessen et al., 2003; Mannino et al., 2008). C_p is
502 also a good indicator of [DOC] in August and January (Fig. 8).

503 Caution should be exercised when applying the [DOC] and a_{CDOM} predictive tools established here,
504 since interannual variability and other factors may limit their applicability on broader time and space
505 scales. For example, Hong et al. (2005) arrived at an a_{CDOM} –salinity relationship of $a_{355} =$
506 $-0.045 \cdot \text{salinity} + 1.81$ for November 2002, which is different from ours in the main estuary ($a_{355} =$
507 $-0.021 \cdot \text{salinity} + 0.98$). The data reported by Ye et al. (2018) shows a significant removal of DOC in
508 May 2014 between salinity 5 and 22. Concurrent measurements of [DOC] and a_{CDOM} in the PRE are
509 rare but Chen et al. (2004) reported no significant correlation between the two variables in July 1999.

510

511 **4.5 Fluxes of DOC and CDOM**

512 The fluxes of DOC and CDOM exported from the PRE to the South China Sea were estimated as
513 follows (Cai et al., 2004; Lin, 2007; He et al., 2010):

$$514 \quad F = Q \times C^* \quad (1)$$

515 where F denotes the flux of DOC or CDOM, Q the freshwater discharge rate, C^* the effective [DOC]
516 ($[\text{DOC}]^*$) or a_{330} (a_{330}^*). C^* is the y-axis intercept of the regression line of [DOC] or a_{330} vs. salinity in
517 the main estuary (Table S3). For May and November when [DOC] remained roughly constant across
518 the main estuary, C^* signifies the average [DOC] over this region. Monthly fluxes were computed using
519 freshwater discharge rates for the sampling year and those averaged over 2006–2016
520 (<http://www.mwr.gov.cn/zwzc/hygb/sqnb>), under the assumption that the [DOC] or a_{330} obtained for
521 May, August, November, and January represents the entire spring (March, April, May), summer (June,
522 July, August), autumn (September, October, November), and winter (December, January, February),
523 respectively. As no CDOM data was collected in May, the a_{330}^* for spring ($1.99 \pm 0.19 \text{ m}^{-1}$) was
524 derived from the mean of the $[\text{DOC}]^*$ -normalized a_{330}^* in January ($1.31 \text{ L mg}^{-1} \text{ m}^{-1}$) and August (1.36
525 $\text{L mg}^{-1} \text{ m}^{-1}$) multiplied by the $[\text{DOC}]^*$ in May ($124.5 \text{ } \mu\text{mol L}^{-1}$). This treatment, with unknown

526 uncertainties, was based on the relatively small variations of the $[\text{DOC}]^*$ -normalized a_{330}^* among the
527 three CDOM sampling seasons (range: 1.31–1.50 L mg⁻¹ m⁻¹).

528 Flux estimates for the sampling year are comparable to those for the 10-year period for spring and
529 summer, whereas the former is approximately twice the latter for autumn and winter due to above-
530 average freshwater discharge rates during the low-flow season of the sampling year (Table 1).
531 Aggregation of the fluxes for all four individual seasons arrives at an annual export of 240×10^9 g C
532 (sampling year) or 195×10^9 g C (10-year period) for DOC and of 329×10^9 m² (sampling year) or 266
533 $\times 10^9$ m² (10-year period) for CDOM in terms of a_{330} . As the PRE receives ~54% of the total Pearl
534 River freshwater discharge to the South China Sea (Mikhailov et al., 2006), including the rest 46%
535 gives a grand annual export of 362×10^9 g C of DOC and 493×10^9 m² CDOM, respectively, assuming
536 that the fluxes from the PRE are applicable to the entire Pearl River Delta.

537

538 **4.5 Comparison with previous studies and other major estuaries**

539 $[\text{DOC}]$ obtained by this study in all four seasons are within the ranges previously reported for the
540 PRE (Table 2). DOC stock in the PRE thus has not underwent large changes since the mid-1990s,
541 suggesting that the gross inputs and losses of DOM remained stable during this period. Compared to
542 $[\text{DOC}]$, previous a_{CDOM} measurements are far fewer and none of them was made during wintertime.
543 The summer and autumn a_{330} from this study are, however, comparable to those published (Table 2).
544 Our DOC flux estimate for spring 2015 (5.8×10^8 g C d⁻¹) is close to that reported by He et al. (2010)
545 for spring 2007 (5.3×10^8 g C d⁻¹). The summer 2015 value (9.0×10^8 g C d⁻¹) is, however, only 60%
546 of the summer 2007's (He, 2010) due to a much lower river runoff in 2015 (7174 m³ s⁻¹ vs. 25060 m³
547 s⁻¹). The DOC flux for the entire Pearl River Delta estimated by this study (362×10^9 g C year⁻¹) is
548 comparable to that (380×10^9 g C year⁻¹) reported by Ni et al. (2008) but 44% lower than that ($650 \times$
549 10^9 g C year⁻¹) obtained by Lin (2007). The estimate by Ni et al. (2008) was based on monthly $[\text{DOC}]$

550 measurements at eight major runoff outlets of the Pearl River Delta from March 2005 to February
551 2006. Lin (2007) derived the estimate from data collected during three cruises carried out in winter
552 (February 2004), early spring (March 2006), and summer (August 2005). Part of the difference
553 between our study and Lin's could result from the different temporal coverage. The main difference,
554 however, stems from the much greater [DOC]^{*} obtained by Lin (2007) (147 $\mu\text{mol L}^{-1}$ for the wet
555 season and 254 $\mu\text{mol L}^{-1}$ for the dry season).

556 [DOC] and $\langle\text{CDOM}\rangle$ in the PRE are the lowest among the major world rivers (Table 3). The low
557 DOM load in the PRE could be associated with a deficiency of organic matter in soil of the Pearl
558 River's watershed having almost no forest (Luo et al., 2002). Moreover, although sewage effluents may
559 bring in large amounts of DOM, a large portion of it can be rapidly biodegraded before reaching the
560 head of the estuary (He et al., 2010). The lack of correspondence between [DOC]^{*} and a_{330} ^{*} and the
561 freshwater discharge rate (Fig. S2) suggests that [DOM] in the PRE be controlled by both river runoff
562 and pollution input. In contrast, DOM in the majority of large rivers is predominantly terrigenous
563 (Bianchi, 2011; Raymond and Spencer, 2015) and the abundance of DOM in many rivers increases
564 with the river flow rate (Cooper et al., 2005; Holmes et al., 2013). Note that the absence of a link
565 between [DOC] and the freshwater discharge rate in the PRE observed by this study differs from the
566 anti-variation of the two variables reported by Lin (2007) and Ni et al. (2008). Based on this anti-
567 variation, Lin (2007) proposed that the PRE is a typical point source-regulated system in terms of DOC
568 concentration and distribution. It remains to be confirmed if our results imply a fundamental change of
569 the relative importance of sewage discharge (anthropogenic DOM) and river runoff (soil-derived and
570 river-born DOM) in controlling the PRE's DOC freshwater endmember.

571 Owing mainly to the very low [DOC], our DOC export estimate for the Pearl River is the lowest
572 among the 30 largest rivers worldwide (Raymond and Spencer, 2015), though the Pearl River is ranked
573 the 13th largest river by discharge volume. The Pearl River value of $362 \times 10^9 \text{ g C year}^{-1}$ only accounts

574 for 0.14% of the global riverine DOC flux estimate of 250×10^{12} g C year⁻¹ (Raymond and Spencer,
575 2015). The estimate for CDOM export from the Pearl River is also the lowest among the limited
576 number of estimates available for the major world rivers (Table 4). Despite its small contribution on
577 global scales, DOM delivered by the Pearl River is rich in proteinaceous constituents that can be
578 utilized by microbes, thereby exerting a potentially important impact on the local coastal ecosystem.

579

580 **5 Conclusions**

581 The main estuary of the PRE manifests smaller seasonal and spatial variations in DOM than
582 expected for a sizable estuary with a marked seasonality of hydrography. Several factors functioning in
583 concert lead to this phenomenon. First, a combination of the poorly forested watershed, rapid
584 degradation of pollution-derived DOM in the upper reach, and short residence time of freshwater
585 diminishes the DOM abundance and the seasonal variations in both DOM quantity and quality. Second,
586 the small difference between the low-salinity and marine DOM endmembers tends to lessen the vertical
587 and lateral gradients in DOM again both qualitatively and quantitatively, despite the larger vertical and
588 cross-estuary salinity gradients. Both the concentrations and seaward exports of DOC and CDOM in
589 and from the PRE are the lowest among the major world rivers. However, as DOM undergoes marginal
590 processing during its transit through the estuary, the Pearl River delivers protein-rich, labile organic
591 matter to the continental shelf of the South China Sea where it may fuel heterotrophy.

592

593 *Author contributions.* GS and HX designed the study. HX and GS interpreted the results and prepared
594 the manuscript with input from PM. YL performed sample analysis and data processing. YL, GS, FY,
595 and RL participated in field sampling. PM carried out PARAFAC modeling, PCA, and Openflour
596 database search. FY conducted ANOVA.

597

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605

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878

879 **Figure captions**

880

881 **Figure 1.** Map of sampling stations in the Pearl River Estuary. Station names starting with letters M,
882 W, E designate the main, west, and east transects, respectively. See Table S1 for coordinates of the
883 stations. HM: Humen; JM: Jiaomen; HQM: Hongqimen; HeM: Hengmen; MDM: Maodaomen; HMH:
884 Huangmaohai.

885

886 **Figure 2.** Excitation-emission contours of five components identified by PARAFAC modeling (left
887 panels) and split-half validations of excitation and emission loadings (right panels). Excitation/emission
888 maximum wavelengths are: C1: 275/320 nm; C2: <240(335)/426 nm; C3: 245/378 nm; C4:
889 255(370)/464 nm; C5: <240(290)/348 nm.

890

891 **Figure 3.** Mean values of salinity (a), [DOC] (b), a_{330} (c), C_p (d), C_h (e), % C_p (f), % C_h (g), E_2/E_3 (h),
892 BIX (i), and HIX (j) in the upper (UE) and lower (LE) estuaries. UE and LE refer to areas upstream and
893 downstream of Sta. M05, respectively (Fig. 1). Surf and btm stand for surface and bottom respectively,
894 and surf+btm denote surface combined with bottom. Error bars are one standard deviation.

895

896 **Figure 4.** Mean values of salinity (a), DOC (b), a_{330} (c), C_p (d), C_h (e), % C_p (f), % C_h (g), E_2/E_3 (h),
897 BIX (i), and HIX (j) on the west and east transects. Surf and btm stand for surface and bottom
898 respectively, and surf+btm denote surface combined with bottom. Error bars are one standard
899 deviation.

900

901 **Figure 5.** DOC concentration and a_{330} versus salinity in the PRE. Red circles denote samples collected
902 in the head region of the estuary where DOC and a_{330} showed rapid decreases or large variabilities with
903 salinity. Blue circles denote the samples collected in the main estuary. Solid lines in panels a and c
904 represent means of the blue circles. Solid lines in the other panels denote linear fits of the blue circles.
905 Dashed lines signify the 95% confidence intervals. See Table S3 for fitted equations and statistics.

906

907 **Figure 6.** Same as in Figure 5b,d,e–g except for FDOM components C_p and C_h .

908

909 **Figure 7.** E_2/E_3 (a), % C_p (b), % C_h (c), BIX (d), and HIX (e) versus salinity for each cruise. Lines in
910 panel a denote conservative mixing lines defined by the lowest- and highest-salinity points in the main

911 estuary, red solid circles in panels c and e denote samples collected along the west transect (Fig. 1) in
912 August.

913

914 **Figure 8.** DOC concentration versus a_{330} (a), C_p (b), C_h (c). Solid lines denote linear fits of data for
915 each cruise. See Table S5 for fitted equations and statistics.

916

917 **Figure 9.** Principal component analysis (PCA) based on the all-cruises dataset for the main estuary.

918 SPM: suspended particulate matter; PO_4^{3-} : phosphate; NO_2^- : nitrite; DOC: dissolved organic carbon;

919 $a_{CDOM(330)}$: CDOM absorption coefficient at 330 nm; NO_3^- : nitrate; Chla: chlorophyll a; SiO_4^{4-} :

920 silicate; discharge: freshwater discharge rate. The data of SPM, Chla, and nutrients were provided by Li

921 et al. (2017).

922 **Table 1.** Estimates for DOC and CDOM (a_{330} -based) export from the Pear River to the South China
 923 Sea based on monthly freshwater discharge rates for the sampling year and those averaged over a 10-
 924 year period from 2006 to 2016. Standard errors of the fluxes for the sampling year were derived from
 925 the standard errors of the effective [DOC] and a_{330} (Table S3), while those for the 10-year period also
 926 include the interannual variability of the freshwater discharge rate.

	Freshwater discharge		Fluxes			
	($\times 10^{10} \text{ m}^3$)		DOC ($\times 10^9 \text{ g}$)		CDOM ($\times 10^9 \text{ m}^2$)	
	Sampling year	10-year average	Sampling year	10-year average	Sampling year	10-year average
Spring	3.58	3.63±0.78	53.5±2.4	54.2±11.9	71.3±4.9	72.2±16.2
Summer	5.68	6.17±1.22	82.7±1.0	89.9±17.7	112±3	122±24
Autumn	5.06	2.75±0.74	49.6±2.1	27.0±7.3	74.1±1.4	40.3±10.8
Winter	3.71	1.65±0.45	54.3±1.2	24.3±6.7	71.0±1.5	31.8±8.7
Annually	18.0	14.2±1.7	240±4	195±24	329±6	266±32

927

928 **Table 2.** DOC concentrations and a_{330} in surface water of the Pearl River estuary reported in the
 929 literature and this study.

Month	DOC ($\mu\text{mol L}^{-1}$)	Sampling Year	Reference
Jan.	71–194	2016	This study
	179–285 ^a	2014	Ye et al. (2018)
Feb.	100–247 ^b	2004	Lin (2007)
	62–210 ^{a,c}	2014	Ye et al. (2018)
Mar.	109–266	1997	Dai et al. (2000)
	103–229 ^b	2006	Lin (2007)
Apr.	84–278 ^d	2007	He et al. (2010) He (2010)
	110–243	2015	This study
May	58–160 ^e	2001	Callahan et al. (2004)
	43–194 ^a	2014	Ye et al. (2018)
	109–315	1996	Dai et al. (2000)
Jul.	68–250	1999	Chen et al. (2004)
	96–167	2015	This study
Aug.	107–164 ^b	2005	Lin (2007)
	94–124 ^d	2008	He (2010)
	77–133	2015	This study
Nov.	82–187 ^e	2002	Callahan et al. (2004)
	59–164 ^a	2013	Ye et al. (2018)
	Month	a_{330} (m^{-1})	Sampling Year
Jan.	0.29–3.98	2016	This study
May	0.37–7.48 ^f	2014	Lei et al. (2018)
Jul.	1.01–3.38 ^f	2013	Wang et al. (2014)
	0.54–1.98	1999	Chen et al. (2004)
Aug.	1.07–4.35	2015	This study
Nov.	0.54–3.35	2015	This study
	0.38–2.73	2002	Hong et al. (2005)

930
 931 ^aData were obtained from the Supporting Information of Ye et al. (2018).

932 ^bRanges were estimated using the fitted [DOC]-salinity equations in Lin (2007) over salinity 0–30.

933 ^cData for the Guangzhou Channel were excluded.

934 ^dDOC concentrations upstream of Sta. M01 in the present study are excluded.

935 ^eValues were retrieved from figures 5a and 8b in Callahan et al. (2004).

936 ^fRanges were estimated using exponential decay equations established from data in table 1 in Lei et al.
 937 (2018).

938

Table 3. DOC concentrations and CDOM abundances (a_{330}) in major world rivers.

River	DOM	References
	DOC ($\mu\text{mol L}^{-1}$)	
Amazon	235	Raymond and Bauer (2001)
	277	Cao et al. (2016)
	307 (122–492)	Seidel et al. (2016)
Mississippi	489 (231–672)	Bianchi et al. (2004)
	417 ^a	Spencer et al. (2013)
Atchafalaya	331 ^a	Spencer et al. (2013)
	307 (25–1333)	Hudon et al. (2017)
St. Lawrence	231 ^a	Spencer et al. (2013)
	375±100	Cooper et al. (2005)
Mackenzie	347 (258–475)	Raymond et al. (2007)
	402 (250–576) ^b	Osburn et al. (2009)
	363 (250–475)	Stedmon et al. (2011)
Yukon	533±242	Cooper et al. (2005)
	509 (217–1258)	Raymond et al. (2007)
	574 ^a	Spencer et al. (2013)
Kolyma	674 (200–1617)	Stedmon et al. (2011)
	500±167	Cooper et al. (2005)
	594 (250–1025)	Stedmon et al. (2011)
Lena	724±283	Cooper et al. (2005)
	775 (542–1233)	Raymond et al. (2007)
	948 (550–1600)	Stedmon et al. (2011)
Ob	733±167	Cooper et al. (2005)
	780 (458–1000)	Raymond et al. (2007)
	875 (375–1058)	Stedmon et al. (2011)
Yenisey	733±316	Cooper et al. (2005)
	638 (242–1050)	Raymond et al. (2007)
	754 (208–1250)	Stedmon et al. (2011)
Yellow	202 (151–280)	Wang et al. (2012)
Yangtze	169 (137–228)	Wang et al. (2012)
Pearl River	149 (72–243) ^c	This study
	a_{330} (m^{-1})	
Amazon	13.05 ^d	Cao et al. (2016)
Mississippi	9.60 ^a	Spencer et al. (2013)
Atchafalaya	11.55 ^a	Spencer et al. (2013)
St. Lawrence	9.65 ^e	Xie et al. (2012b)
Mackenzie	2.16 ^a	Spencer et al. (2013)
	8.30 (5.19–13.30) ^b	Osburn et al. (2009)
Yukon	6.04 (3.01–9.63)	Stedmon et al. (2011)
	17.34 ^a	Spencer et al. (2013)
Kolyma	14.50 (2.65–37.84)	Stedmon et al. (2011)
	13.63 (5.77–29.19)	Stedmon et al. (2011)
Lena	26.51 (15.48–52.94)	Stedmon et al. (2011)
Ob	22.43 (6.74–30.74)	Stedmon et al. (2011)
Yenisey	22.14 (3.50–44.79)	Stedmon et al. (2011)
Yangtze (Changjiang)	2.60 (2.29–3.02) ^f	Song et al. (2017)
Pearl River	2.50 (1.04–4.35) ^c	This study

940 ^aRetrieved from DOC and CDOM fluxes and freshwater discharge rates in Spencer et al. (2013).941 ^bFrom data at salinities <5942 ^cFrom data at salinities <5.943 ^dRetrieved from the spectral slope and a_{350} at Sta. 10 in Cao et al. (2016)944 ^eAverage value at Sta. SL1 and SL2 in Xie et al. (2012b).945 ^fAverage value at salinities <5.

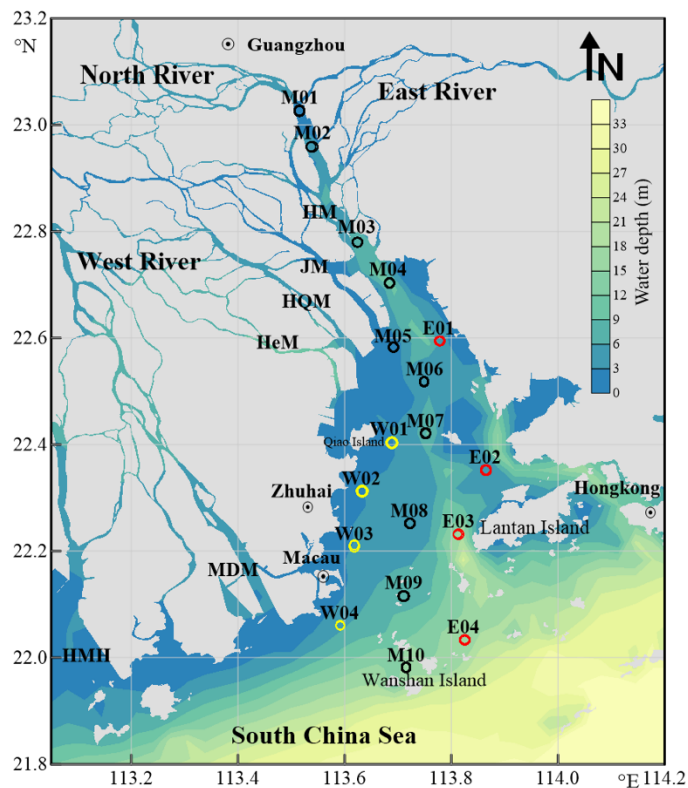
946 **Table 4.** CDOM fluxes (a_{330} -based) from major world rivers to the ocean reported in the literature. The
947 flux estimated for the Pearl River by this study is also included for comparison.

River	Flux ($\times 10^9 \text{ m}^2 \text{ year}^{-1}$)	Reference
Mississippi	5070	Spencer et al. (2013)
Atchafalaya	2750	Spencer et al. (2013)
St. Lawrence	490	Spencer et al. (2013)
Mackenzie	1550	Stedmon et al. (2011)
Yukon	3520	Spencer et al. (2013)
	3260	Stedmon et al. (2011)
Kolyma	1340	Stedmon et al. (2011)
Lena	17100	Stedmon et al. (2011)
Ob	7350	Stedmon et al. (2011)
Yenisey	12600	Stedmon et al. (2011)
Pearl River	266	This study

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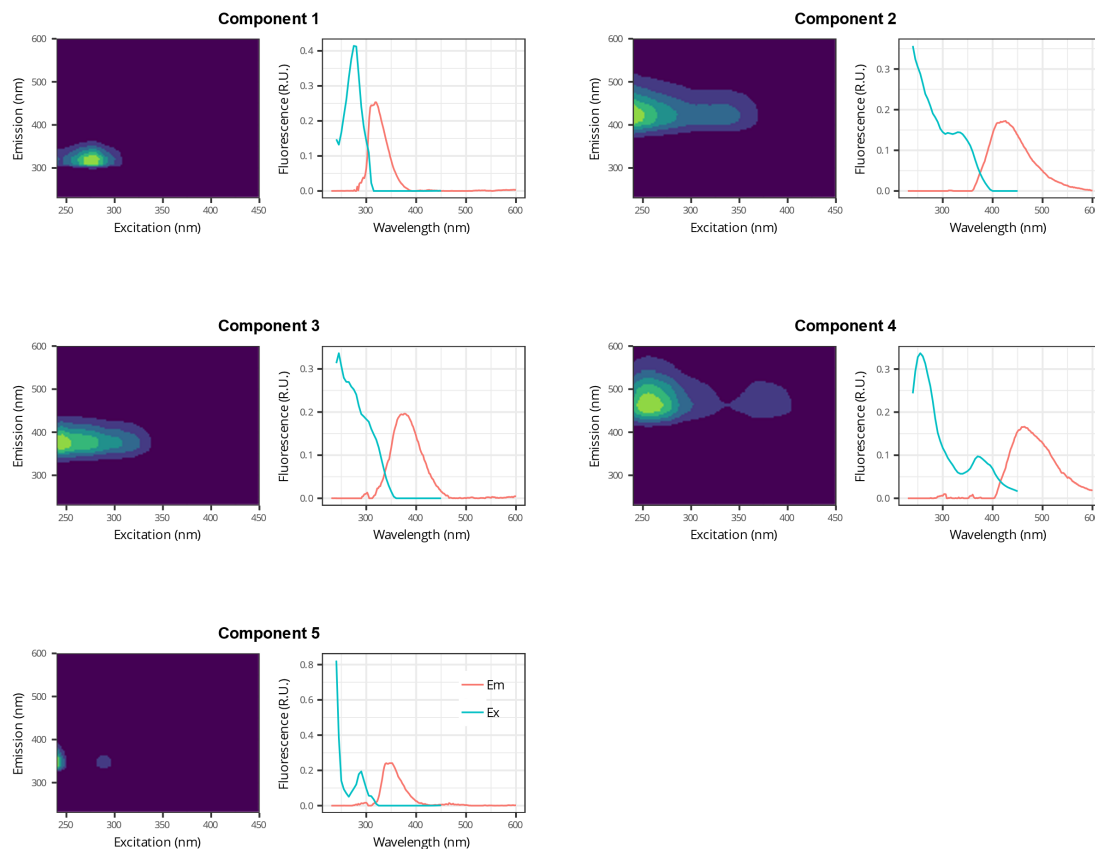
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951

952 **Figure 1.** Map of sampling stations in the Pearl River Estuary. Station names starting with letters M,
953 W, E designate the main, west, and east transects, respectively. See Table S1 for coordinates of the
954 stations. HM: Humen; JM: Jiaomen; HQM: Hongqimen; HeM: Hengmen; MDM: Maodaomen; HMH:
955 Huangmaohai.

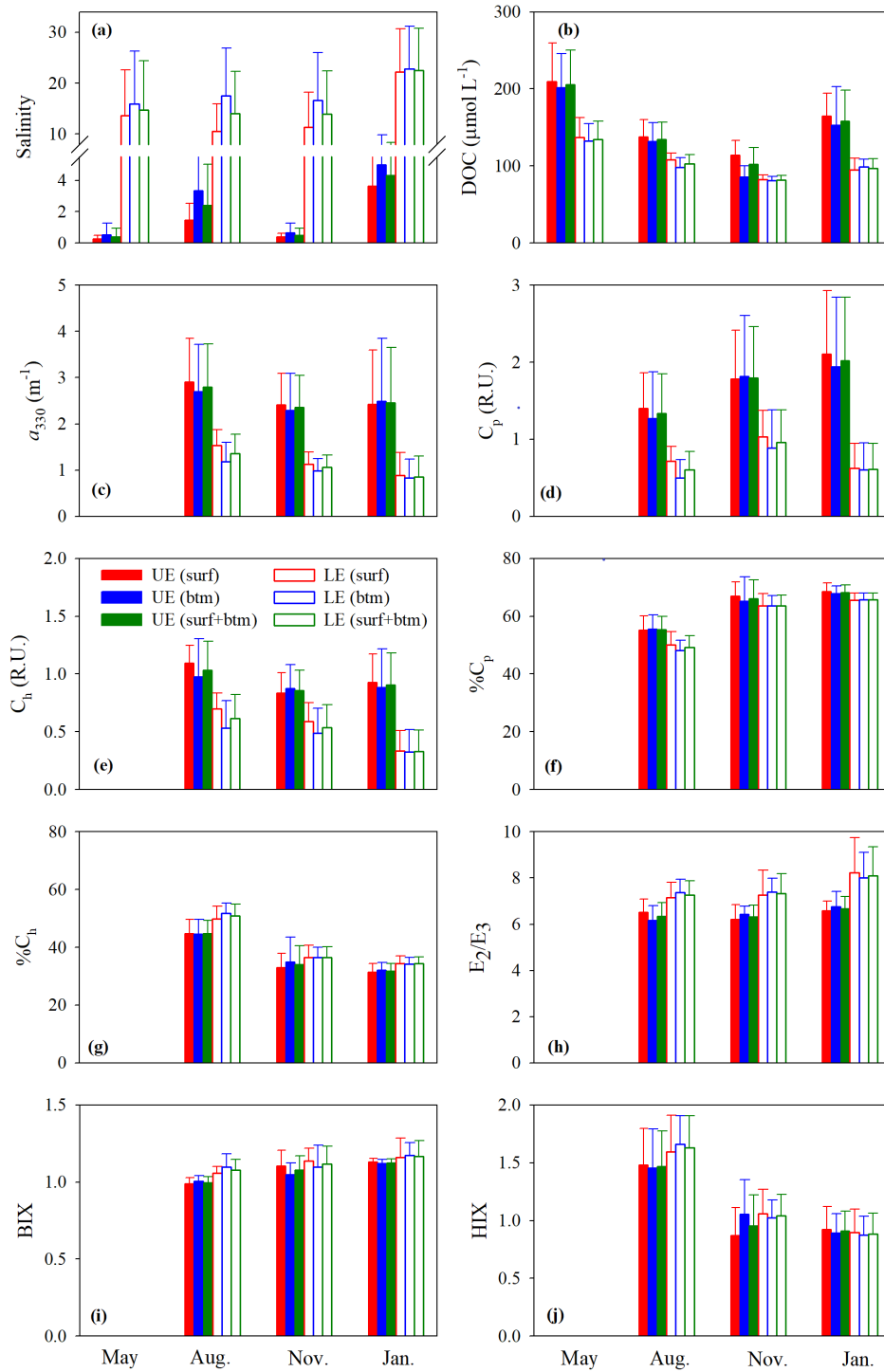
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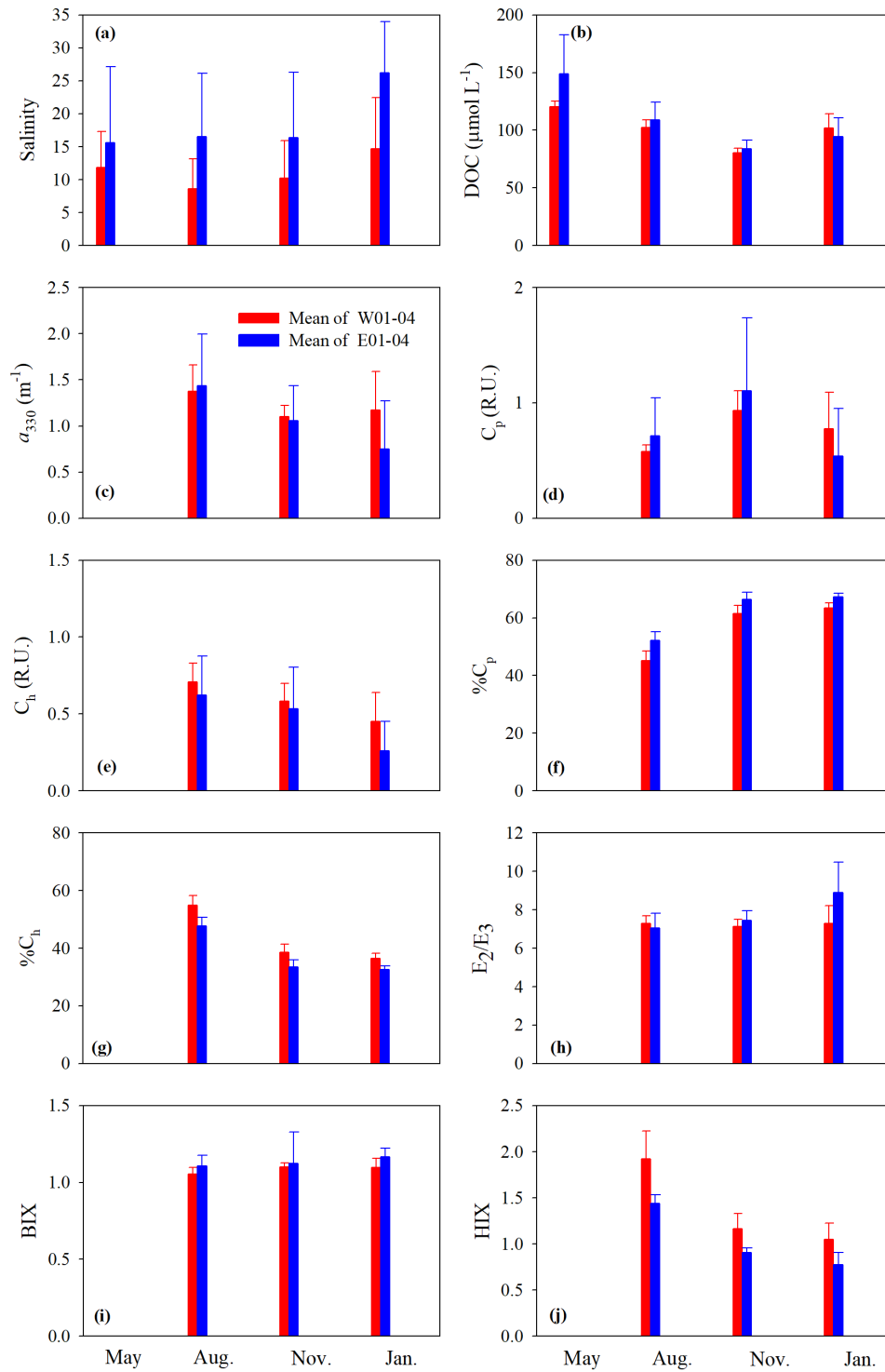
957

958 **Figure 2.** Excitation-emission contours of five components identified by PARAFAC modeling (left
 959 panels) and split-half validations of excitation and emission loadings (right panels). Excitation/emission
 960 maximum wavelengths are: C1: 275/320 nm; C2: <240(335)/426 nm; C3: 245/378 nm; C4:
 961 255(370)/464 nm; C5: <240(290)/348 nm.

962

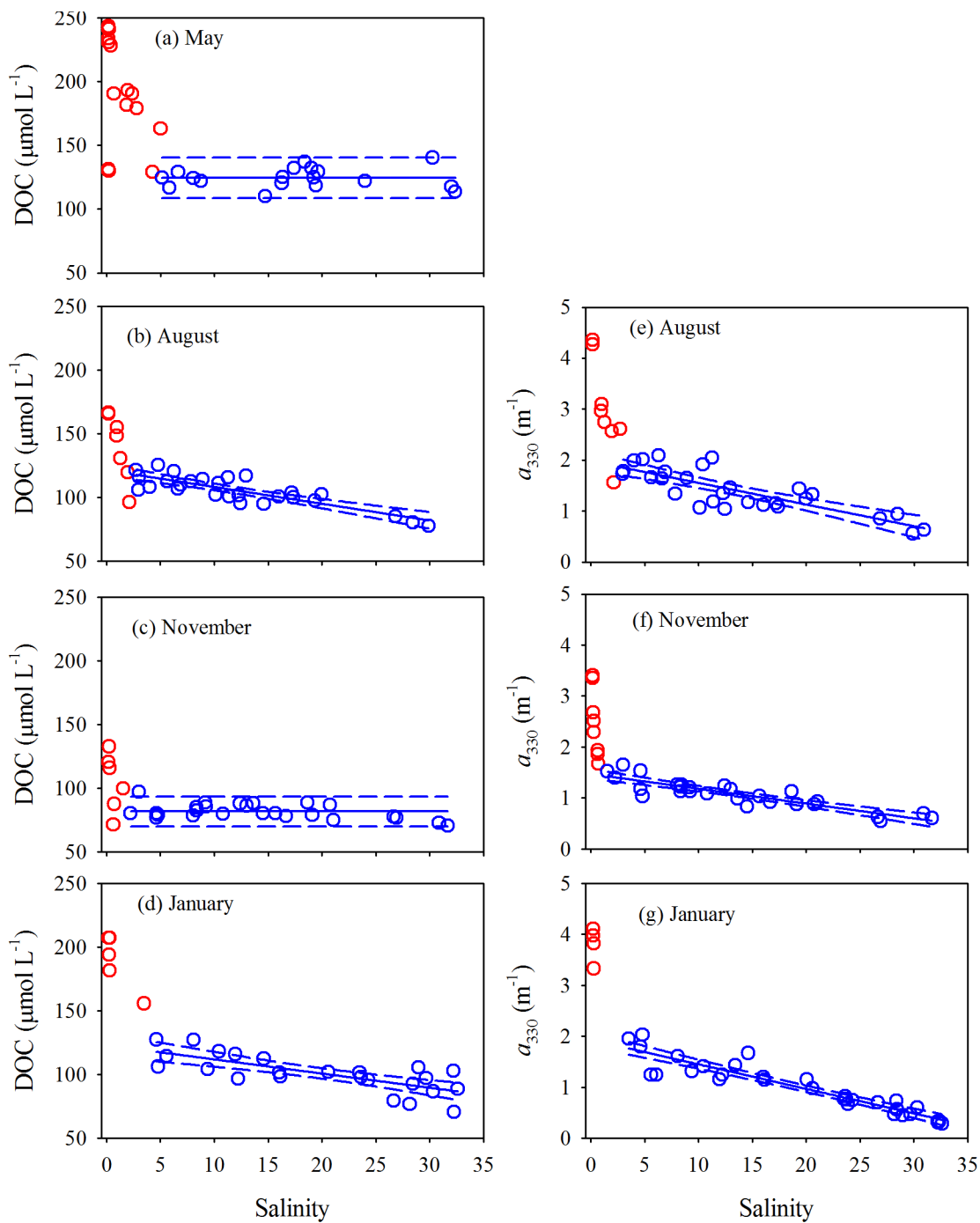


963
 964 **Figure 3.** Mean values of salinity (a), [DOC] (b), a_{330} (c), C_p (d), C_h (e), % C_p (f), % C_h (g), E_2/E_3 (h),
 965 BIX (i), and HIX (j) in the upper (UE) and lower (LE) estuaries. UE and LE refer to areas upstream and
 966 downstream of Sta. M05, respectively (Fig. 1). Surf and btm stand for surface and bottom respectively,
 967 and surf+btm denote surface combined with bottom. Error bars are one standard deviation.



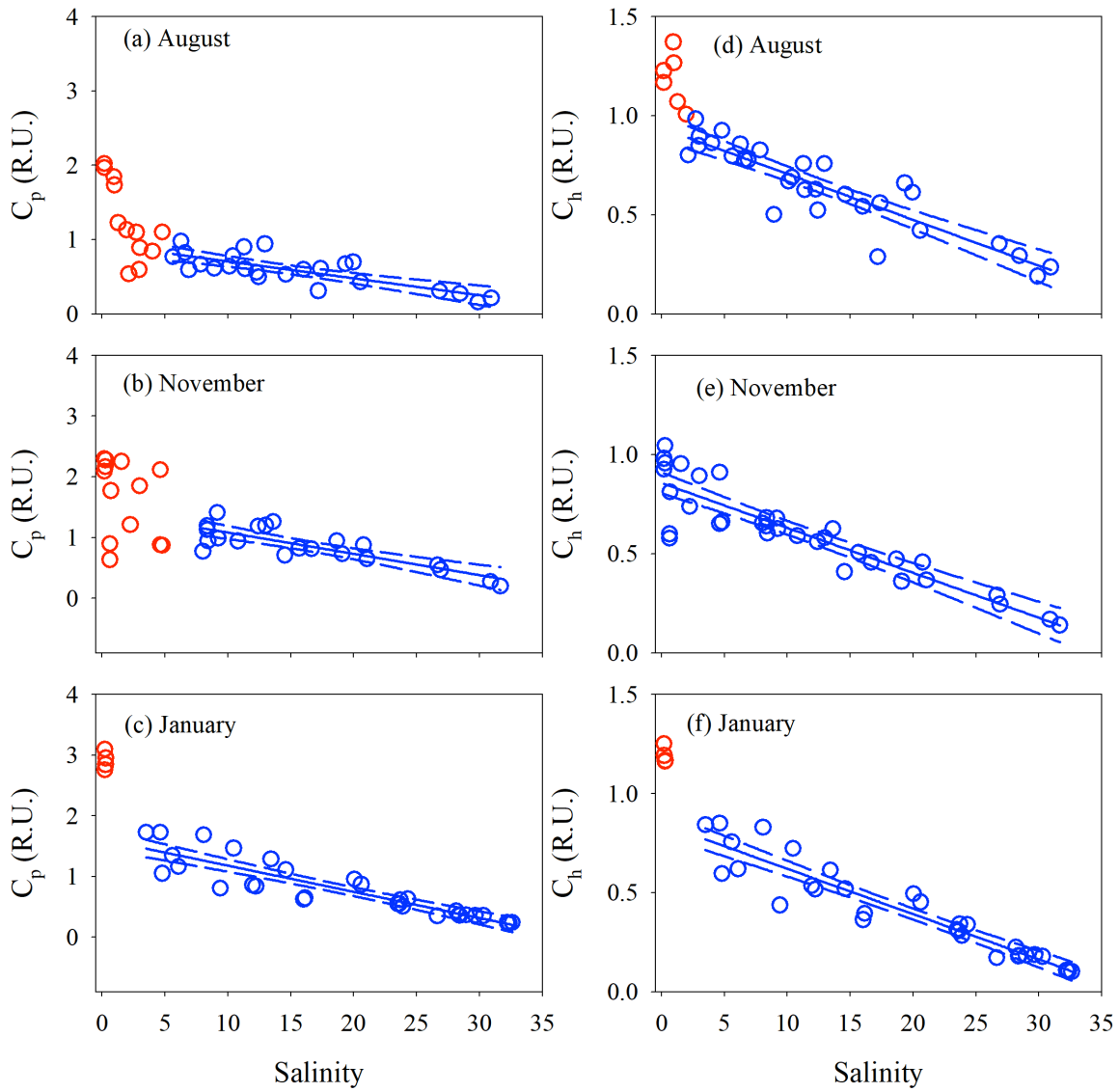
968
 969 **Figure 4.** Mean values of salinity (a), DOC (b), a_{330} (c), C_p (d), C_h (e), % C_p (f), % C_h (g), E_2/E_3 (h),
 970 BIX (i), and HIX (j) on the west and east transects. Surf and btm stand for surface and bottom
 971 respectively, and surf+btm denote surface combined with bottom. Error bars are one standard
 972 deviation.

973



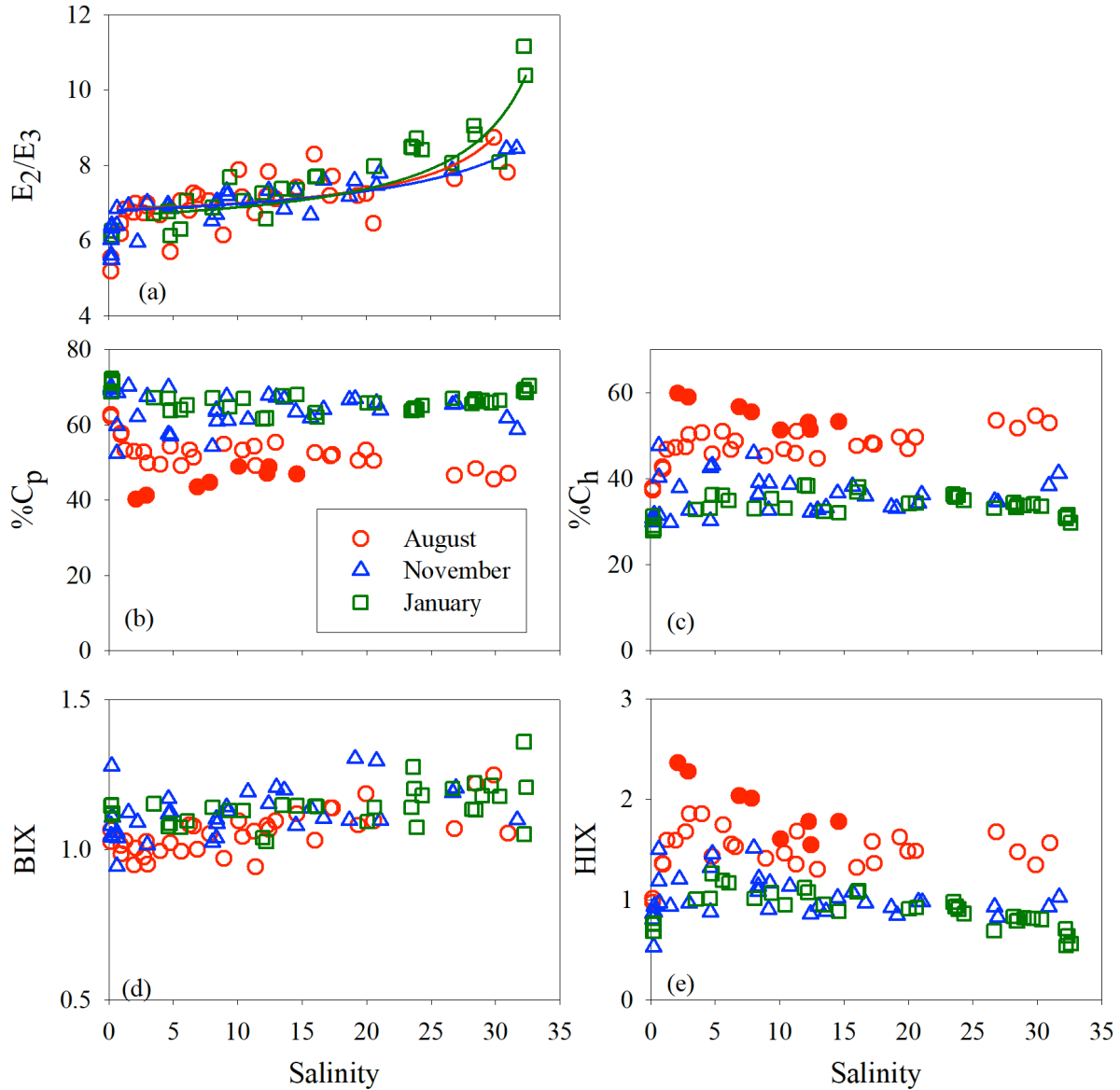
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975 **Figure 5.** DOC concentration and a_{330} versus salinity in the PRE. Red circles denote samples collected
 976 in the head region of the estuary where DOC and a_{330} showed rapid decreases or large variabilities with
 977 salinity. Blue circles denote the samples collected in the main estuary. Solid lines in panels a and c
 978 represent means of the blue circles. Solid lines in the other panels denote linear fits of the blue circles.
 979 Dashed lines signify the 95% confidence intervals. See Table S3 for fitted equations and statistics.



980

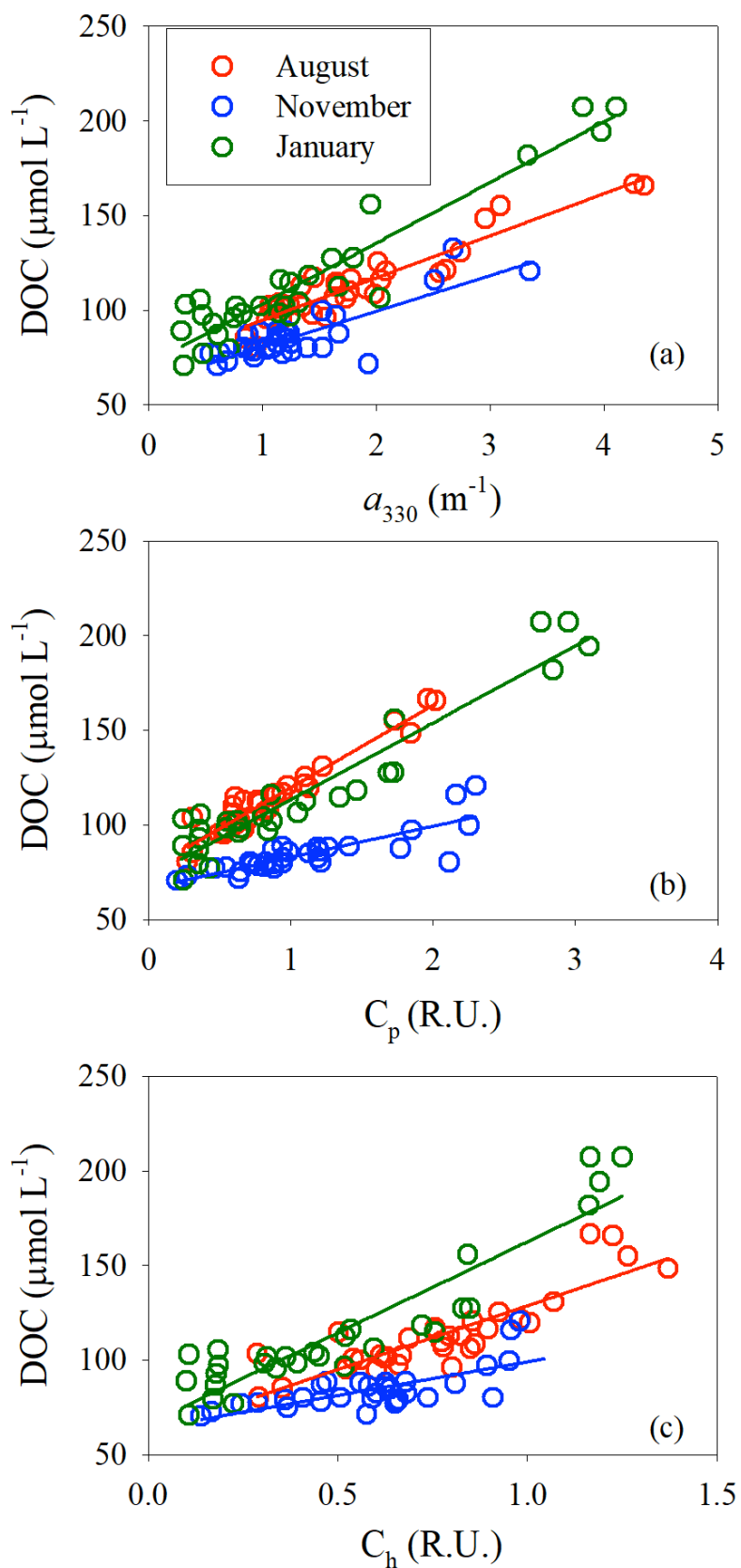
981 **Figure 6.** Same as in Figure 5b,d,e-g except for FDOM components C_p and C_h .



983

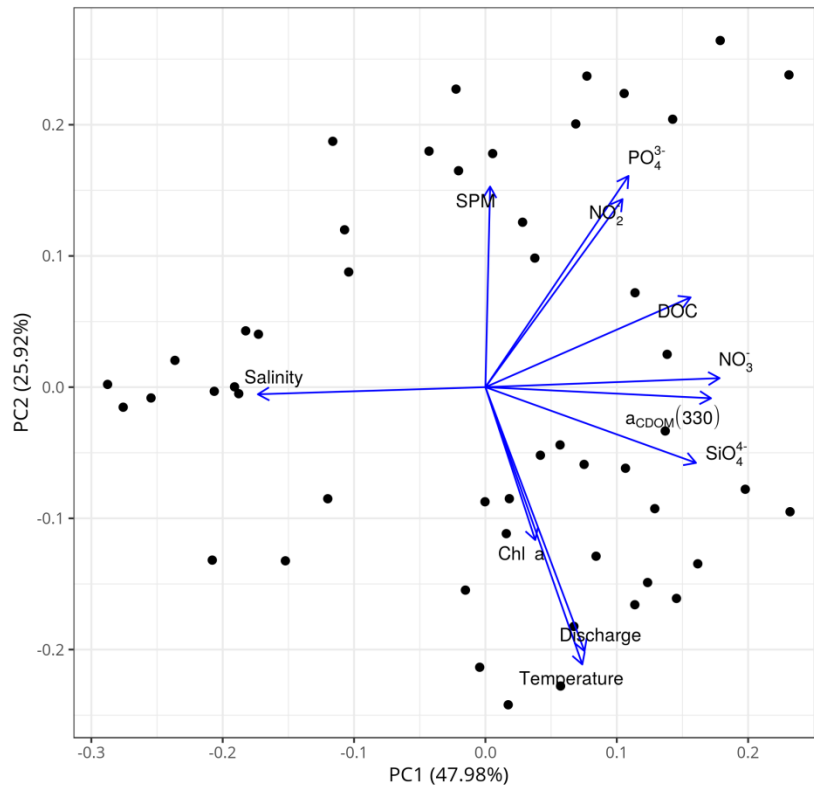
984 **Figure 7.** E_2/E_3 (a), $\%C_p$ (b), $\%C_h$ (c), BIX (d), and HIX (e) versus salinity for each cruise. Lines in
 985 panel a denote conservative mixing lines defined by the lowest- and highest-salinity points in the main
 986 estuary, red solid circles in panels c and e denote samples collected along the west transect (Fig. 1) in
 987 August.

988



989

990 **Figure 8.** DOC concentration versus a_{330} (a), C_p (b), C_h (c). Solid lines denote linear fits of data for
 991 each cruise. See Table S5 for fitted equations and statistics.



992

993 **Figure 9.** Principal component analysis (PCA) based on the all-cruises dataset for the main estuary.

994 SPM: suspended particulate matter; PO₄³⁻: phosphate; NO₂⁻: nitrite; DOC: dissolved organic carbon;

995 a_{CDOM}(330): CDOM absorption coefficient at 330 nm; NO₃⁻: nitrate; Chl *a*: chlorophyll *a*; SiO₄⁴⁻:

996 silicate; discharge: freshwater discharge rate. The data of SPM, Chl *a*, and nutrients were provided by

997 Li et al. (2017).

998