

Department of Soil and Crop Sciences, Colorado State University, Fort Collins, Colorado 80523-1170 USA

18th February 2019

#### <u>Cover Letter and Responses to Reviewer Comments to accompany the manuscript:</u> "Unifying soil organic matter formation and persistence frameworks: the MEMS model"

Authors: Andy Robertson, Keith Paustian, Stephen Ogle, Matthew Wallenstein, Emanuele Lugato, and Francesca Cotrufo

Thank you for your correspondence concerning our manuscript and for giving us the opportunity to resubmit a revised version. All comments from the reviewers have been carefully considered and appropriate responses are made below.

Sincerely,

hdylohih

Andy Robertson

## Responses to comments from Thomas Wutzler on "Unifying soil organic matter formation and persistence frameworks: the MEMS model" by Andy D. Robertson *et al.*

Reviewer comments in bold and our responses in normal text. Selected new text in the revised manuscript is pasted here in italics. Reference to the manuscript is given as new line number (L).

#### General comments

All my points have been answered. The paper should be published. My comments refer to line numbers in the author response.

Now that I can read Fig. 2, I have a few additional comments.

## Fig. 2: I assume that panel mineral soil < 2mm indicates sum of the carbon pools. If this is correct, I suggest to explicitly state this equivalence.

Yes, your assumption is correct. We have now added this level of detail to the figure legend.

#### L1051-1061:

Figure 2 - Global sensitivity analysis results showing the relative contribution of each parameter to a change in carbon stock of each pool in MEMS v1.0 (leached carbon to deeper soil layers [pool C11] is omitted for clarity) after simulation to steady-state. The two top left panels represent the sum of soil pools (C5, C8, C9 and C10) and organic layer pools (C1, C2, C3, C4 and C6), respectively. Details of each parameter and the abbreviations used can be found in Table 2. The sensitivity analysis was repeated annually for simulation times between 1 and 100 years, every 10 years after that to 400-year simulations and every 100 years after that up to a 1000-year simulation. Results are presented on a log scale in years. The four parameters that were optimized in our analysis (Table S2) are coloured to highlight their importance in the different pools (mid-point of logistic curve where nitrogen content of input influences microbial carbon use efficiency, Nmid, red; maximum decay rate of heavy particulate organic matter, k5, orange; maximum decay rate of mineral-associated organic matter, k9, blue; maximum decay rate of light particulate organic matter, k10, green). A fully colourised version of these results can be in Figure S5.

# Fig 2: I did not expect that the rate of the light POM (k10 green) would have such a high importance at centennial times, although the pool is stated to be much smaller than the MAOM pool. How do you explain this? Text at L484 states that its relative contribution diminishes, but I cannot see this from Fig 2.

The light POM pool (C10) can dominate total soil C depending on the system (e.g., evergreen forest in cold sandy climates) – so this pool isn't always smaller than the MAOM. However, the conditions chosen for the sensitivity analysis were median values. In this case, the range of MAOM:POM pool sizes can be seen in that panel of figure 3 and the median is around a 2:1 ratio of MAOM:POM. The high relative sensitivity of total SOM to k10 is likely caused by that single parameter having almost all the influence on the light POM (C10) pool, whereas the MAOM (C9) pool is influenced by a number of different parameters. Overall, the MAOM parameters and light POM parameters do each account for ~45% of total SOM sensitivity, each. At centennial timescales, the relatively sensitivity for k10 impacts on total SOM does drop to around 45% from ~80% (the parameters that influence MAOM saturation take up more of the sensitivity below the green).

### L 489: I do not readily understand how Fig. 2 can be interpreted as a depiction of how each pool accumulates over time. Please, either omit or elaborate a bit more.

Yes, this was poorly worded. We have changed the text as per below. Thanks for the suggestion.

L585-587:

Figure 2 can be interpreted as a depiction of how the C pools of MEMS v1.0 are impacted by different parameters as each pool accumulates over time.

## L230 Minor issue: It took me some time to understand that the comma after "(pool C8)" introduced a new main clause. I suggest rewording sentence to start with the topic of the section instead of the topic of the former section.

We feel that the current phrasing is more appropriate as it links in directly from the previous section. We understand and appreciate the suggestion though.

L600ff Logical leap: The text argues that Fig 2 shows that short-term parameters influence the immediate dynamics of the MAOM pool. Fig 2 is based on buildup of stocks from zero, where initial dynamics is of course governed by initial input from pools with fast dynamics. Contrary, the statement is very general and you would need to show that this also holds true for a disturbance to developed steady states. I suggest to either omit this point or to demonstrate the statement by a small simulation scenario in a supplementary.

We agree that this assumption is currently untested. Consequently we have altered the text as per below.

#### L588-592:

Many of the parameters that influence the processes of POM formation and persistence (e.g., LITfrg, Nmid, LCImax, etc.) have relatively high importance (i.e., sensitivity) to changes in total SOM within relatively short time frames (i.e., < 10 years; Figure 2). This may potentially capture the important real-world trend that POM is typically more vulnerable to decomposition with disturbance compared to MAOM (Cambardella and Elliott, 1992). However, disturbance impacts were not evaluated in the inaugural study.



Department of Soil and Crop Sciences, Colorado State University, Fort Collins, Colorado 80523-1170 USA

3<sup>rd</sup> January 2019

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Reviewer comments in bold and our responses in normal text. Selected new text in the revised manuscript is pasted here in italics. Reference to the manuscript is given as new line number (L).

#### General comments

The study of Robertson *et al.* presents a first version of the MEMS model, a parsimonious dynamical model of soil organic carbon (SOC) development at ecosystem scale, together with a validation across many sites. I enjoyed reading the manuscript, which is well structured and succeeds in getting the fundamental ideas across in a concise way and provides the details in the appendix.

The proposed model is of similar complexity as classical pool-based models but better incorporates recent mechanistic understanding and is better comparable to measurable pools. Hence, it is of great interest to soil science, ecosystem research, and potentially also global change communities. It adds a complementary alternative in the suite of simple to much more detailed SOC models and the study should be published after revisions.

Many thanks for your comments and time spent reviewing our manuscript. We appreciate the detail and clarity of your suggested revisions – this certainly helps us to improve our manuscript. We are glad you enjoyed reading it and are excited to have an opportunity to publish the MEMS model. It is our hope that it can do just as you say and add to the suite of SOC models already available and stimulate discussion of how to advance this field.

Through the revisions described in detail below we hope to have addressed all your comments.

## I liked the approach of directly modeling relevant quantities at the scale model purpose, the management scale. I liked the simulation time dependent sensitivity analysis, although Fig. 2 is hard to read.

I suspect part of the difficulty in reading the figure is because the submission guidelines are to embed the picture as a low-quality jpg. The original vectorized PDF is much clearer. However, we have also now hopefully made the figure easier to read by increasing the size of the text and limiting the colours to only the 4 most influential parameters. All other parameters are coloured in greyscale in order from top to bottom. The 'full colour' figure version is included as a supplementary figure and attached as a lossless vector PDF for detailed inspection if the reader wishes. For your reference we show the new figure below and have also attached the vectorized full-colour PDF version to this response (now Figure S5).



Simulation time (years)

# The supplementary is complicated by already anticipating several mineral soil layers and sometimes is inconsistent with the main text. For example, there is explicit microbial assimilation in mineral layers in the supplementary, but the main text states that microbes are implicit there. Please, provide a version that matches the main text and the presented model structure.

We apologise for this confusion – the microbial assimilation, as a process, is indeed 'explicit' in that it is represented by fluxes into a microbial pool. However, in this inaugural version of the model the use of a microbial pool is more one to help differentiate the direct *versus* microbially-processed flux of organic matter inputs (pools C1-C3) to the soil C pools, *sensu* Liang *et al.*, 2017. Once these inputs are added to the soil pools belowground, then the microbial biomass and associated metabolic processes are implicit (i.e., we assume there is microbial activity and mineralization of the carbon within these soil pools, but we do not represent these processes with discrete pools or fluxes). We certainly appreciate the comment because on review this is an important point that needed to be made clearer. At different points in the main manuscript, we have added additional points as to why we have a distinct microbial pool at the point of entry of the C input, but not after it is processed and transformed into the SOC pools, which have microbes within them.

A large part of the confusion likely resulted from the 'microbial assimilation from litter' section of the supplementary and we can indeed understand why. The use of the layer superscript certainly made our descriptions less clear. Consequently, we have also removed the superscript notations for soil layers that created unnecessary confusion in the supplementary model description. There should now be no inconsistency between the main text and the supplementary materials.

Liang, C., et al. (2017). "The importance of anabolism in microbial control over soil carbon storage." Nature Microbiology 2: 17105.

#### L197-217:

Many of the biogeochemical processes represented by MEMS v1.0 are assumed to be microbially mediated (and therefore result in exo-enzyme breakdown and  $CO_2$  production), but only two lead to C assimilation into a distinct microbial biomass pool – from the water-soluble and acid-soluble litter pools (C1 and C2, respectively). In the mineral soil (i.e., pools C5, C8, C9 and C10), microbial anabolism and catabolism are implicit and considered part of the turnover of each pool. This ensures parsimony and allows model parameters to represent the differences in microbial community for each pool, as opposed to the alternative of explicit microbial pools. The C transferred from the C1 and C2 litter pools into microbial biomass is defined by a dynamic CUE parameter controlled by the N content of the input material and the lignocellulose index (LCI; defined as the ratio between acid-insoluble to the sum of acid-soluble + acid-insoluble) of the litter layer (i.e., lower CUE results when a

higher proportion of the litter is acid-insoluble). Including microbially-explicit processes in the litter layer helps to determine the proportion of C inputs that result in MAOM vs POM formation (see Liang et al., 2017) and allows for future model versions to account for distinctions between different points of entry for inputs (Sokol et al., 2018). The lack of C transferred from other pools (e.g., C3) into microbial biomass implies their decay from co-metabolism with the more labile C sources (i.e., Klotzbucher et al., 2011; Moorhead et al., 2013). Once assimilated within microbial biomass, the anabolism of microbial activity results in generation of microbial products (i.e., necromass) that form tightly bound aggregates of biofilms and small litter fragments around sandsized soil particles (Huang et al., 2006; Buks and Kaupenjohann, 2016), and dissolved organic matter (DOM). These contribute to the heavy POM (C5) and litter DOM (C6) pools, respectively. While these specific processes are well supported by relevant literature, to retain parsimony and the generalizable structure required by an ecosystem scale model MEMS v1.0 represents microbial metabolism processes more generally (i.e., by linking them to a dynamic microbial CUE rather than specific community traits).

# The wording of "litter layer" and "mineral soil" are used in a fuzzy way. Also it did not became clear to me, how model-data comparison dealt with organic layers, which are neither part of the litter (in the model lacking particulate organic matter (POM) pools) nor the mineral soil (in the model simulating sorption to minerals). Maybe this partly causes the large model-data discrepancies for broadleaved sites with large POM stocks.

Thank you for raising this. Our categorization of the aboveground and belowground pools as litter layer and mineral soil, respectively, appear to have led to some confusion. We have changed the terminology throughout the manuscript to make clear that all belowground pools (all POM, pools C5 and C10; MAOM, pool C9 and soil DOC, pool C8) are operationally defined as < 2mm in size and sum to what we refer to as *total soil* (i.e., *not* that 'mineral soil' only refers to MAOM and that we are using the terms to differentiate between mineral and organic soil layers).

It is our intention that the sum of the C1/2/3 pools equal all the carbon inputs as above and below ground litter. However, we do not have any 'litter layer' measurements to provide us model-data comparisons. In fact, both above- and below-ground litter was removed during the LUCAS soil sampling and post-processing. We agree with you that the current model does not have the ability to simulate a specific organic horizon, and this is why we removed all organic soils (> 12% OC) from our analysis. Initially, simulating organic soil layers was not our initial priority but now after seeing the current model's results it has become a priority for our next steps in development. As a result, we are working to fractionate several soils with high OC content, so we can help parameterize a new model version that has a finer resolution of soil layers to depth. We are also adding an explicit hydrological submodel that will help to improve the model's capability to vary decomposition processes in different environmental conditions. Both should help reduce some of the large model-data discrepancies from this first version.

It is our belief that the model structure should not need to change to better represent an organic horizon (which will be dominated by litter and POM pools), but rather parameter values may differ to help represent how decomposer communities differ with depth/access to fresh inputs. Additionally, we are aware that if future model versions are to represent an organic horizon we would need to implement a mechanism that reduces sorption to mineral surfaces accordingly to account for large POM accrual (for example in anaerobic conditions). This will be a key feature when we look to test the model in peaty soils where the 'mineral layer' is moved further from the surface while POM (and associated organic layer) accumulates.

#### Detailed comments for model structure:

### Could you, please, elaborate a bit more why you (as well as the LIDEL model) choose microbes to not consume DOM?

This fundamentally comes down to the way we are 'feeding' the microbial pool (in both models). Our assumption is that the microbes consume fresh inputs from the water- and acid- soluble, coarse organic

matter (pools C1/C2) and the aboveground DOM (pool C6) that exists is, in fact, what is left over and available to move to the soil. We decided to use this formulation to enable the C6 pool to be measurable as, for example, using the approach described in Soong *et al.*, 2015. Belowground, microbes are assumed to be consuming soil DOM (pool C8) but those processes are implicit to the mineralization equations of those pools, and not related to the microbial assimilation pathways aboveground.

To help clarify this in the manuscript we have revised our descriptions and justification of why we have a microbial pool in MEMS – the primary purpose (at least in this initial model version) is to clearly differentiate between an "ex-vivo" more physical path to SOM formation and an in-vivo microbial processing one (*sensu* Cotrufo *et al.*, 2015 and Liang *et al.*, 2017). This formulation will be very helpful when trying to match real-world observations of the stoichiometry of different fractions with their corresponding pools in the model.

Cotrufo, M. F., et al. (2015). "Soil organic matter formation from biochemical and physical pathways of litter mass loss." *Nature Geosciences*.

Liang, C., et al. (2017). "The importance of anabolism in microbial control over soil carbon storage." Nature Microbiology 2: 17105.

See quoted text shown above lines around 201 in the main manuscript and L163-174 in the supplementary: Where  ${}_{j}Cx_{in}^{Cy}$  refers to DOM leaching from pool y to pool x on day j. The parameters used are detailed in Table 2 in the main manuscript, and/or defined in previous equation in this section. Note that pool C6 is not the DOM consumed by microbial biomass but rather the amount leftover after microbial activity. In this initial model version, the litter layer only refers to the aboveground component, but the same structure can equally apply to belowground C inputs such as root death. However, measurably, the DOM in the C6 pool is directly equivalent to the belowground soil DOM (C8). In MEMS v1.0, DOM enters the soil through the C6 pool only. When explicit inputs from belowground litter (e.g., roots) are simulated in future versions Eqs. **28-31** can apply for each soil layer adding the DOM that is in excess of microbial activity directly to pool C8 instead of the 'C6' shown in the equations above.

### In the LIDEL model there is a C5 microbial products pool also in the litter layer, why do you assume in MEMS that all microbial turnover is transferred to the mineral soil?

The LIDEL model doesn't represent soil, thus there was the need for a microbial product pool in it. The main reason why the C5 pool in MEMS v1 is a SOC pool is because there is little added value (or sense) and the downside of increased complexity if we were to include a specific microbial products pool in soil – heavy SOC pools are made mostly of microbial products. Furthermore, microbial turnover in SOC pools is implicit and thus the microbial products generated by these processes is captured only by the mineralization of each of these pools.

You choose decomposition to be independent of the size of biomass pool to avoid some problematic feedback. Then I suggest to simplify the model even more by replacing microbial biomass turnover by the sum of inputs to the biomass pool. Then you do not need to simulate this pool, save one state variable and several model parameters. If microbial biomass is required for data comparison, you can still compute it assuming near steady state with inputs (e.g. Wutzler 2013).

We discussed at length the possibilities of removing the microbial biomass pool and came to the conclusion that it is required to help us differentiate SOM formation pathways (as mentioned above) and in future versions the "point of entry" *sensu* Sokol *et al.* 2018. We acknowledge that your suggestion would likely work for this simple first version of the MEMS model, but in the next stages of model development the fresh organic matter inputs will come from above- and below-ground sources and we will need to be able to differentiate between different rhizosphere inputs, different root types and the aboveground litter. From this, it is our intention to be able to vary parameter values associated with the microbial pool of each point of entry (e.g., aboveground, topsoil, subsoil) so as to represent variability in microbial traits. We also require an explicit microbial pool for the next stages in model

development regarding N-immobilization. Since our submission of the manuscript, the Sokol *et al.*, 2018 paper was published and discusses some of the details around our assumptions regarding the split between plant- and microbe-derived SOM, and the importance of getting this right.

Sokol, N. W., Sanderman, J., & Bradford, M. A. (2018). Pathways of mineral-associated soil organic matter formation: Integrating the role of plant carbon source, chemistry, and point of entry. *Global change biology*.

#### Detailed comments for model-data integration:

It should be clarified better also in the discussion, that the model performance was judged by comparing steady state MAOM pools to observations. I am still looking forward to a comparison where a model successfully simulated dynamics compared to observed changes decadal stock changes across many sites.

We agree – we're excited to test the model's ability to replicate 'short-term' changes in soil organic matter dynamics. We have now made it clear that model-data comparisons are against steady-state systems.

#### L376: averaging parameters is dangerous, because of nonlinearities. I suggest to use only one nonaveraged parameter combination. You may pick the fold randomly.

We have made this change and chosen parameter values from a single fold (values were only very slightly different from the averages – see Table S2).

#### L417-425

To determine the optimized parameter values, a single fold was chosen at random from those that reported the lowest RMSE for each subset of training sites (i.e., each fold). Optimized values differ depending on which measured fraction is compared to model predictions (whether comparing pool C9 to measured MAOM-C, the sum of pools C5 and C10 to measured total POM-C, or the sum of pools C5, C8, C9 and C10 to measured bulk SOC). The new, optimized parameter values (Table S2) were derived from a randomly chosen fold that minimized RMSE when compared to the MAOM fraction.

## L376: You can avoid the choice of one criterion among three data streams of MAOM-C, POM-C and bulk SOC by using a cost function based on the sum of squared residuals of all the data streams.

This is a good point. Thanks for the suggestion which we will apply for the next stage of calibration. For this initial parameter estimation, we performed the full optimization procedure on all data streams. While parameter values did vary, the results and general fit was similar regardless of which criterion we chose. Consequently, we do not feel that this change would make considerable difference to the results we are presenting and hope you will agree it would not be worth redoing the entire analysis for this change. An additional factor to consider is that our ongoing development of the MEMS model is already revising some of the parameters (many are being adjusted to accommodate nitrogen effects on carbon transfers) and therefore the values themselves may have little application beyond this initial version.

We do agree with your suggestion though and have added this to the discussion.

#### L422-428

The new, optimized parameter values (Table S2) were derived from a randomly chosen fold that minimized RMSE when compared to the MAOM fraction. This was chosen (instead of those optimized for POM or bulk SOC) since the MAOM fraction is typically the largest single soil C pool and using this approach led to the biggest overall decrease in RMSE when compared to all available data (Table S2). In future analyses, a more rigorous approach

may be to apply a cost function regarding all available measured pool data (e.g., including litter pool data when it is also measured) but for our initial model evaluation we random choice is deemed sufficient

Fig 5: The classification to land use not particularly helpful, because variables are very similar with a high range across these classes, including the mentioned significant different of MAOM:POM (L 485). Furthermore, plotting the distribution of observations and distribution of predictions separately does not help to judge model performance (L488).

I suggest instead inspecting and plotting the distribution of model-data residuals of several variables and relating these differences to classes and other environmental conditions. This would indicate which variables and processes are most urgent to extend MEMS v1, as done with the discussing Fig 7.

We understand your point and have in fact plotted these all residuals against the full range of environmental conditions. Unfortunately, these tend to make the results seem worse than they are because the dense number of points near to the 0-residual line cannot be shown well. However, to address your concern we have added a residual plot to the supplementary to illustrate individual residual points (new Figure S6). This figure does make an important point, but it is hard to determine clear recommendations of where to focus next developments purely from these figures.

Below we attach an overall summary of individual residuals against mean annual temperature of the sites and in the supplementary we show a similar figure but split by different environmental divisions (new Figure S6).



Other detailed comments main text:

## Text in Figs 2 and 6 are hard to read. Can you provide a vector graphics of this figure? There are too many classes to distinguish by color, but I have no suggestion how to improve.

We did provide vector graphics versions with the manuscript but unfortunately as part of the peer review process they do not include them and instead choose to embed them in the file. We have tried to address this as described above. The full colour version of figure 2 is in the supplementary and we have replaced the main text figure with a one that is easier to interpret, as described above. We have also increased the font size and changed the resolution slightly of figure 6 to make it clearer. This is also obviously much clearer when viewed on the vectorized file. Also attached separately.

## Fig 4: Suddenly, pH is popping up, but was never introduced as a driving variable. I suggest to shortly state that sorption rate is pH dependent, and refer to the eq. 35 in the Appendix.

We have now made this change.

#### See L266:

This parameter can be very difficult to generalise without requiring exhaustive information on soil physiochemical conditions (e.g., clay type, Fe/Al concentration, etc.), but the work of Mayes et al. (2012) presented an empirical relationship between K\_lm and native soil pH, with pH acting as a proxy for mineralogical conditions. As a result, sorption rates to mineral surfaces are dependent on pH (see Equation 35 in supplementary). This relationship (derived from isotherms calculated for 138 soils of varying taxonomies) provides a good starting point for estimating K\_lm and is also used by the MILLENNIAL model (Abramoff et al., 2017).

L 529: These are interesting effect of N in a C-only based model. While the microbially detailed models of Perveen 2014 and Wutzler 2017 attribute low litter N effects to N mining in older usually N-rich pool and accumulation of less processed material, MEMS attributes this to reduced microbial accessibility and reduced DOM production. Do you think that chemical and stoichiometric effects are two sides of the same coin, or are these competing hypotheses? I am looking forward to the version that explicitly simulates N fluxes.

Thanks, we are excited to be working on simulating N fluxes, which indeed are complex depending on the microbial N demand (stoichiometry), as well as on the energetic (chemistry) and accessibility (physics) of soil organic C pools. Rather than competing, in our opinion these are all simultaneously at play. We follow the LIDEL model (Campbell *et al.*, 2016), according to which both N limitation and C chemistry (i.e., Lignocellulose index) drive microbial decomposition and DOM production, with the most limiting factor being the actual driver of the process. We find the recent model of N input effects on SOC dynamics proposed by Averill and Waring (2018) to be particularly effective at capturing this complexity and may follow their logic in our new model version which will include N.

Averill, C. and B. Waring (2018). "Nitrogen limitation of decomposition and decay: How can it occur?" *Global Change Biology* **24**(4): 1417-1427.

Campbell, E. E., *et al.* (2016). "Tracking the fate of litter carbon using the LItter DEcomposition and Leaching (LIDEL) model." *Soil Biology and Biochemistry* **100**: 160-174

#### Other detailed comments appendix:

### To me its difficult to always keep a list of meaning of pool 1 to 10 in my head. Could you come up with more expressive pool names?

We are aware of this issue. The initial development of this first MEMS v1 model was intended to be an advancement from the LIDEL model and therefore we kept the same names to ease reference to that

model. However, we now know that this approach won't be effective as the model grows. For our MEMS v2.0 we are making this change.

## L 70: I do no find more information on ub and uk in Table 2 in the main text. I suggest referring to eqs. 19-22.

Apologies for this omission. We have made this change.

L80

More information of the parameters uB, uk,  $B_x$ ,  $la_x$  and  $k_x$  can be found in Campbell et al. (2016) and in the equations below, but briefly:

 $_{j}uB$  and  $_{j}uk$  are rate modifiers to represent the litter chemistry controls (LCI and available nitrogen) on microbial use efficiency, on day j

### L 110-112: The long sentence did not became clear to me. Is L\_j\_C5\_C4\_gen really a combined flux of bioturbation, . . ., and DOC leaching? I thought the latter one is covered by eq. 33.

You are right that this was confusing. The C4 to C5 flux was inherited from the LIDEL model to represent microbial turnover and you are right in saying that DOC generation from this process is represented elsewhere. We have adjusted the text accordingly and hopefully it is now clearer.

L120

Where  ${}_{j}C5^{C4}_{gen}$  refers to the fraction of carbon that is transferred from C4 to C5 (i.e., microbial products transported belowground when physical and hydrological processes mix between the input layer [aboveground litter only in MEMS v1.0] and soil layer) on day j.

#### eq 47: k8 does not match the text before that states k5.

We have made this change.

L256

While the maximum decay rates  $(k_x)$  for most pools are fixed constants, Campbell et al. (2016) suggested that  $k_3$  is best estimated in relation to the maximum decay rate of the microbially-accessible litter (C2) pool  $(k_2)$ .

### Thanks for this work. I suspect MEMS to be included in further model comparisons as a complementary model.

Thank you for your insightful review. We hope the MEMS model can help to stimulate a discussion that advances SOM modelling in the coming years. It is our intention to participate in model comparisons with MEMS v2.0.

## Responses to comments from Anonymous Referee #2 on "Unifying soil organic matter formation and persistence frameworks: the MEMS model" by Andy D. Robertson *et al.*

Reviewer comments in bold and our responses in normal text. Selected new text in the revised manuscript is pasted here in italics. Reference to the manuscript is given as line number (L).

#### Overall review

The authors present a new soil biogeochemistry model, MEMS v1.0, that explicitly represents biochemical complexity of litter pools, microbial biomass, mineral associated organic matter and particulate organic matter. The model has the capability of including variable CUE in litter decomposition and mechanisms leading to SOM stabilization and saturation of mineral associated carbon fraction. Four key model parameters are calibrated to reproduce soil fractionation observations of mineral associated and particulate organic matter fractions and the model is evaluated in reproducing topsoil SOC in more than 8000 sites across different land-uses in Europe with satisfactorily results.

Constructing models that are based on measurable carbon pools rather than on the old framework assigning turnover rates to a given number of unmeasurable carbon pools is a very important endeavor and the authors are definitely moving beyond conventional SOC modeling. It is especially important to have models that link litter decomposition processes and SOM formation processes, which is rarely the case, as stated by the authors (L 89-91). I am very much in favor of such a type of approach and supportive of the author's effort. The manuscript is very well written and clearly presented and the introduction frames very well the problem.

I would be happy to have a few clarifications on some technical aspects and about one important assumption related to the role of the microbial pool. These are written in a number of minor comments that hopefully can be addressed.

Many thanks for your constructive comments and praise. We have responded to each of your comments in detail below and hope to have satisfactorily addressed any concerns or queries you may have had. Regarding your points about the microbial pool please see our detailed response on those comments below. It is our hope that this publication and the resulting MEMS model can help to both stimulate a fruitful discussion and advance the practice of SOC modelling.

I would also invite the authors to tone down the role of MEMS v1.0 as "ecosystem model", since the current version is still far from being there. As a matter of fact, in several instances (e.g., Line 606) the authors state that the model is incomplete (e.g., lack of hydrological and nutrient cycle) and that these deficiencies will be addressed in future model developments. The model represents SOM dynamics at the "ecosystem scale". However, for various reasons but especially because the temporal dynamics are not evaluated in this article, I would invite to use cautious statements in the link with ecosystem models. Only the steady-state conditions are tested. A correct representation of temporal dynamics is key for coupling with other models. At this stage, this is a quite significant limitation for application in ecosystem models. Furthermore, feedbacks between soil and vegetation cannot be considered.

Thank you for your point. We are fully aware of the limitations of this first version of our model and readily acknowledge that it is not an ecosystem model yet. It was never our intention to 'oversell' the model's capability but to rather highlight the possibilities for integration with other ecosystem model components (e.g., plant growth, hydrology, etc.) given the more realistic model structure. You are certainly correct that being able to simulate non-steady-state dynamics will be the true test of our model and to date it is more of a working proof of concept model than one to directly compare with conventional SOM models.

Throughout the revised manuscript we have tried to play down links or comparisons with true ecosystem models. However, we do maintain that the model is designed to operate the ecosystem *scale*. We have also added a few points to highlight the limitations of our steady-state comparative approach.

#### L318-320

These driving variables are external inputs of the initial model version but may be obtained from coupled climate and plant growth submodels in future versions, when incorporated into a full ecosystem model.

#### L575-582

MEMS v1.0 was designed to consolidate recent advances in our understanding of SOM formation and persistence into a parsimonious mathematical model that uses a generalizable structure which, after further development, can be implemented in Ecosystem and Earth System model applications

#### L665-667

In its current capacity, MEMS v1.0 is far from being able to simulate full ecosystems and is limited in scope regarding the land use scenarios it can simulate accurately.

Other simplifications are that NPP is prescribed from MODIS, the model does not account for temporal dynamics of soil moisture or for nutrient cycles, the root:shoot ratio is prescribed for various biomes. However, these are overall clearly described. I would also appreciate some additional discussion about the issue in comparing pools, which are spun up at the equilibrium with observed pools (Line 366-367). The authors are aware of the issue and they briefly discussed it. However, most of the description of the results and the calibration effort convey somehow the intention to match C-pools as closely as possible. Given the expected difference between actual SOC and "steady- state" SOC, I would have allowed more freedom to the model and focus on comparing patterns as in Fig. 5 and 6 rather than absolute quantities.

The focus of comparing patterns rather than absolutes was indeed our initial end goal, however after we ran the model and saw relatively good agreement with absolutes as well we felt it important to report these results. We agree that there are many reasons why our simulated SOC stocks would not match those measured but our choice to only look at grasslands and forests was a way to examine those sites that may be in, or close to, equilibrium. Your point is a good one though and we have tried to adjust some of our language in the discussion to focus more on comparisons with general patterns than on exact numbers. Several qualifying statements have been included when we do compare with absolutes.

#### L452-454

In addition to comparing measured values with those predicted at steady-state (which may not be an accurate assumption for many sites), a more general comparison was performed to examine groups of sites under similar site conditions.

#### L565-569

While the model's performance comparing absolute C stocks appears good, this is done with the assumption that these topsoil C stocks at forest and grassland sites in our analysis are at steady-state. This is unlikely to be true and therefore it is encouraging when general trends are as expected (as is the case for many of the land uses and for many of the different environmental divisions; Figure 6).

#### L606-608

There are also limitations of our approach given that very few of the sites will likely be under true steady-state conditions, leading to further discrepancies between model predictions and measured values.

## Despite these limitations, the manuscript is undoubtedly a novel contribution to the field and surely a step in the right direction.

Many thanks for your comments and time spent reviewing our manuscript. We certainly appreciate the opportunity to add the MEMS model to those currently driving progress in the field of SOM modelling.

#### Minor comments

#### Line 75. It is cited later on, however, Wieder et al 2015 would fit well also here.

We have now added this.

#### L80-82

Consequently, there have been several calls to represent this new understanding and re-examine how microbial activity is simulated in SOM models (Schmidt et al., 2011; Moorhead et al., 2014; Campbell and Paustian, 2015; Wieder et al., 2015).

## Line 96. Maybe one sentence with additional explanations for K vs r strategies (e.g., copiotrophic and oligotrophic microbial functional groups) is necessary, not all the "modelers" may be aware of these concepts.

Thank you for the suggestion – we have now added this extra detail.

#### L103

A recent paradigm has emerged that emphasizes the role of microbial life strategies (e.g., K vs r, referring to copiotrophic and oligotrophic microbial functional groups) and carbon use efficiency (CUE) in the formation of SOM from plant inputs (Dorodnikov et al., 2009; Cotrufo et al., 2013; Lehmann and Kleber, 2015; Kallenbach et al., 2016).

Line 113-114. The issue related to the lack of inputs or information to derive model parameters and validate model responses, of course, is a very important one and may compromise practicality as written by the authors. However, modeling efforts in the direction of more mechanistic representations of the soil system can shed light on the importance of processes and interactions that were not accounted or quantified before, they may provide guesses for the magnitude of certain pools/fluxes and may motivate the collection of those data that are necessary to test mechanistic predictions. In other words, they can have a value in process explanation rather than a predictive value.

A good point, well raised. We have added this to the introduction help bolster the points we made. Thank you.

#### See L60-65:

Structuring a SOM model around these known and quantifiable biogeochemical pools and processes has the potential to drastically reduce uncertainty by enhancing opportunities for parameterization and validation of models with empirical data. Furthermore, mechanistic models can have value in process explanation as well their value in predictive capabilities; such models can pinpoint the processes that have the greatest influence on a system even when they are not traditionally determined empirically.

Line 174-178. In a certain way, also the CENTURY model, especially in more updated versions (e.g., Kirschbaum and Paul, 2002) accounts for nitrogen and lignin content of the litter, which are affecting the turnover rates of the various litter pools. Additionally, their subdivision in metabolic and structural litter pools is not far from the subdivision in the pools C1, C2, C3. This may be

## acknowledged in the manuscript or if major differences, which I cannot recognize, do exist, they need to be remarked.

We feel that the MEMS interpretation of these divisions is different to those in CENTURY, but we do acknowledge the similarities. However, these alterations may not qualify as 'major differences' but rather different formulations of the same general ideas. For example, at this early stage the litter chemistry and N content of the inputs are fixed and therefore similar to the lignin:N effects in CENTURY, however when we include a discrete N submodel, N-availability will be dynamic and influence those processes differently through time.

With this first description of MEMS we do not mean to suggest that it is better or worse to any of the more conventional models (including CENTURY) but rather that it presents another way of addressing the same questions about SOM dynamics. In some respects, MEMS is very similar to other models, and in other respects it is quite different. A full model-vs-model comparison was obviously beyond the scope of this manuscript. Therefore, to avoid direct comparisons between the conventional SOM models and MEMS, we deliberately did not discuss specifics about how they differ. To hopefully address this comment, we have added a single sentence to help clarify our position.

#### See L186-188

This structure is similar to the LIDEL model (Campbell et al., 2016) and follows the hypotheses that both N availability and lignin content influence decomposition by affecting microbial activity (Aber et al., 1990; Manzoni et al., 2008; Sinsabaugh et al., 2013; Moorhead et al., 2013). Similar approaches have also been used in many of the updated traditional SOM models (e.g., lignin:N ratios in CENTURY; Kirschbaum and Paul, 2002).

Line 189-190. The assumption of considering a microbial pool (C4) for the litter component is probably the decision in terms of model construction, which leaves me more bewildered. This pool, presumably, is mostly located aboveground, even though is not stated explicitly, and does not have an explicit role in the turnover of soil organic matter. Now, if anything, I would have make the reverse choice. Because of accessibility constraints and relatively paucity of microbial biomass in the soil, the decomposition of SOM is likely controlled explicitly by microbial biomass, while the decomposition of litter, which is mostly located aboveground (especially for land covers different from grassland) and air exposed is unlikely limited by microbial biomass. Maybe, my understanding of the system is wrong, but it would be useful to have a clarification of the rationale of such an assumption and eventually of the potential consequences.

Your understanding of the systems is perfectly correct. However, our decision to explicitly represent microbes in the litter layer of MEMS v1.0 was based on their importance informing the relevant SOM formation pathways (i.e., direct *vs* microbially-processed), not their impacts on decomposition. Consequently, this is also why we deliberately did not limit the discussion of a microbial pool to aboveground litter only – our structure implies that there must be a microbial pool at each point of carbon input (e.g., the litter layer, rhizosphere, etc.) so that the model can account for the carbon inputs that are microbially processed, and the amount of DOM that results.

We have added some extra information in the main manuscript (excerpts below) but also wanted to include a little more detail here to help clarify our rationale of why we have a microbial pool. At potential different "points of entry", carbon inputs contribute to MAOM or POM formation in differential amounts depending on the microbial community (as per Sokol *et al.* 2018). This is represented by the MEMS model structure by having an explicit microbial pool when organic matter enters the system but not after it; belowground, microbial biomass and associated metabolic processes are implicit (i.e., we assume there is microbial activity and mineralization of the carbon within these soil pools but we do not represent these processes with discrete pools or fluxes).

Sokol, N. W., Sanderman, J., & Bradford, M. A. (2018). Pathways of mineral-associated soil organic matter formation: Integrating the role of plant carbon source, chemistry, and point of entry. *Global change biology*.

#### L201-205:

Many of the biogeochemical processes represented by MEMS v1.0 are assumed to be microbially mediated (and therefore result in exo-enzyme breakdown and CO2 production), but only two lead to C assimilation into a distinct microbial biomass pool – from the water-soluble and acid-soluble litter pools (C1 and C2, respectively). In the mineral soil (i.e., pools C5, C8, C9 and C10), microbial anabolism and catabolism are implicit and considered part of the turnover of each pool. This ensures parsimony and allows model parameters to represent the differences in microbial community for each pool, as opposed to the alternative of explicit microbial pools. The C transferred from the C1 and C2 litter pools into microbial biomass is defined by a dynamic CUE parameter controlled by the N content of the input material and the lignocellulose index (LCI; defined as the ratio between acid-insoluble to the sum of acid-soluble + acid-insoluble) of the litter layer (i.e., lower CUE results when a higher proportion of the litter is acid-insoluble). Including microbially-explicit processes in the litter layer helps to determine the proportion of C inputs that result in MAOM vs POM formation (see Liang et al., 2017) and allows for future model versions to account for distinctions between different points of entry for inputs (Sokol et al., 2018). The lack of C transferred from other pools (e.g., C3) into microbial biomass implies their decay from co-metabolism with the more labile C sources (i.e., Klotzbucher et al., 2011; Moorhead et al., 2013). Once assimilated within microbial biomass, the anabolism of microbial activity results in generation of microbial products (i.e., necromass) that form tightly bound aggregates of biofilms and small litter fragments around sandsized soil particles (Huang et al., 2006; Buks and Kaupenjohann, 2016), and dissolved organic matter (DOM). These contribute to the heavy POM (C5) and litter DOM (C6) pools, respectively. While these processes are well supported by relevant literature, to retain parsimony MEMS v1.0 represents microbial metabolism processes implicitly as per their description in LIDEL.

## Line 200. Please explain better what do you mean "represents microbial metabolism processes implicitly"

Apologies - the use of 'implicit' in this context was not correct. Hopefully the new sentence is clearer.

#### L210-217

Once assimilated within microbial biomass, the anabolism of microbial activity results in generation of microbial products (i.e., necromass) that form tightly bound aggregates of biofilms and small litter fragments around sandsized soil particles (Huang et al., 2006; Buks and Kaupenjohann, 2016), and dissolved organic matter (DOM). These contribute to the heavy POM (C5) and litter DOM (C6) pools, respectively. While these specific processes are well supported by relevant literature, to retain parsimony and the generalizable structure required by an ecosystem scale model MEMS v1.0 represents microbial metabolism processes more generally (i.e., by linking them to a dynamic microbial CUE rather than specific community traits).

# Line 268-269. It could also be, simply, that microbial growth is stimulated and there are more microbes that can also degrade faster the chemically recalcitrant substrates. If I understood correctly, this is not an effect that can be captured by the model without an explicitly microbial pool acting on POM (C5, C10) and MAOM (C8) decomposition.

As mentioned above, you are right for traditional SOM models. However, because our soil pools are physically-defined with a level of accessibility specific to that pool, our ultimate approach is to modify the parameters of processes for C-mineralization from each pool as the conditions (e.g., nutrient availability, input chemistry, point of entry) change. This would allow the different microbial community traits to be represented for each of the different pools. However, we acknowledge that this is more of a point for the next stages of model development and does not apply to MEMS v1.0.

Line 270-273. Generally speaking, microbial respiration will be related to microbial activity and CUE. Being not considered microbial activity in the soil, it is not very clear without looking in detail at the Supp. Material how respiration is computed and which fraction of the decomposition is assumed to be. While you refer to CO2 efflux, "respiration" is never mentioned in the Supplementary Material, which is quite surprising.

We have updated the terminology the refer to C-mineralization as the decomposition process which then results in CO2. We have added an extra sentence to the main text that states that microbial activity and the resulting respiration is computed through decomposition estimates after other processes are calculated, and we refer the reader to the supplementary for more detail. Some information in the supplementary has also been made clearer.

#### L281-285

Thus, the decay rate constants represent total mass loss potential, embodying DOM-C generation as well as  $CO_2$  emissions, as per a recent decomposition conceptualization (Soong et al., 2015). The total amount of heterotrophic respiration is the sum of  $CO_2$  produced from the biotic decay of all model pools after other fluxes (e.g., DOM generation) are calculated (more detail can be seen in the Supplementary).

## Line 281. I would also add that pH controls are quite important. The authors are already well aware of this but neglecting soil moisture controls is a quite significant simplification.

We are aware and this is key to further development of the model. We have included the mention of pH here now.

#### L301-304

Simulating the influence of other important controls on decomposition, such as water, oxygen, pH and nutrients, are beyond the scope of this inaugural version of the MEMS model but are central to future development efforts.

## Line 293. At this stage is not clear how NPP values are derived. Maybe, it is worth to state that this must be an external input to the model. This is actually what mostly separate a "soil organic matter model" from an "ecosystem model".

We have now added this extra information.

#### L312-320

Initializing MEMS v1.0 requires external inputs of basic site characteristics (climatic and edaphic conditions as well as land management information) and ideally measurements of daily C input. However, C inputs are rarely available at daily time scales. Consequently, for this inaugural version of the MEMS model we employ a simple function to interpolate daily C inputs from annual Net Primary Productivity (NPP), partitioning aboveground/belowground and to the simulated soil layer using land-use specific root:shoot ratios and a simple root distribution function (Poeplau, 2016). These driving variables are external inputs of the initial model version but may be obtained from coupled climate and plant growth submodels when incorporated into a full ecosystem model. Details of these approaches are given in the supplementary materials and all required driving variables are shown in Table 3.

# Table 3. The text-box with "site-specific values required" applies to all the site condition variables (e.g., from NPP to soil temperature). This is not clear from the current Table where site-specific values seem to refer to "rock fraction of soil layer" only. I would suggest to use some curly bracket to envelope all these variables.

This has now been done to the best of our ability given the formatting requirements of the journal. We will ensure this is done and clear for the final typesetting.

Line 315-319. I am actually quite familiar with the global sensitivity analysis and I think I understood what the authors did. However, I am quite sure that the succinct explanation provided in these lines will remain unclear to most of the readers. I would suggest to either explaining it better (i.e., more extensively) or minimizing the explanation with a full discussion in the supplementary material.

We have now added further detail to our description in the main text. Hopefully this helps to make our methods clearer to all readers.

#### L325-358

The default parameter values (i.e., those governing C turnover and fluxes between pools) used by MEMS v1.0 are informed by data from relevant literature (Table 2). However, different studies may suggest different values based on discrete site conditions, meaning a priori estimates may not necessarily be generalizable across all sites that the model could simulate. A variance-based global sensitivity analysis was performed to determine each parameter's relative contribution to the change in each state variable (i.e., determining which parameters have the largest influence on the size of each model pool). The sensitivity analysis was repeated for different simulation lengths (1 - 1000 years) as different fluxes operate at different temporal scales, thereby meaning that the relative importance of each parameter changes through time. Initial pool sizes were set to 0 and the model was initialized to simulate a steady-state scenario based on average site conditions (derived from ~8000 forest and grassland sites in the Land-Use/Land Cover Area Frame Survey (LUCAS) dataset ([Toth et al., 2013] – see Table 3). Specifically, this meant starting a model run with no C in the system and gradually building up the litter and soil pools until they reached equilibrium based on driving variables (soil type, C inputs, climate) that remain fixed over time. To evaluate how much each model parameter (e.g., decay rates, DOM generation rates, etc.; see Table 2) effects the amount of C in each pool (i.e., C1-C11; Figure 1) parameter values were changed to be higher or lower from their baseline and pool sizes are tracked over simulation time. Note that all temperature modifier parameters  $(T_{ref}, T_{opt}, T_{Q10}, T_{lag} \text{ and } T_{shp}; Table 2)$  were excluded in this sensitivity analysis as the resulting  $T_{mod}$  has the same effect on all decay rates. Maximum and minimum values of all other parameters (n = 24) were defined as 50 % above and below the literature-derived (baseline) value (Table 2). Using Latin Hypercube techniques to sample within the full parameter space, a global sensitivity varying all parameters was used to determine total variance for changes to each model pool (i.e., how much each pool changes in size when all parameters vary up to 50 %). Then, in turn, each individual parameter was fixed at its baseline value while all others varied. This defines each parameter's contribution to a pool's variance, averaged over variations in all other parameters (Sobol, 2001; Saltelli et al., 2008) (i.e., how much each pool changes in size when all parameters, except one, vary up to 50%). When normalized over the global sensitivity variance, a contribution index provides the proportion of variance explained by each parameter. The analysis was run 10,000 times to define the total parameter space and the whole procedure was repeated annually for simulation lengths between 1 to 1000 years. Put simply, 10,000 different combinations of parameter values between the minimums and maximums were used to repeatedly run the model for 1000 years given average site conditions. The results showing changes in pool size correspond to the changes in parameter values (e.g., when maximum decay rate of MAOM is increased, pool C9 may decrease in size but others may increase). The impact that a single parameter has on pool size, compared to that of all parameters, is described by the contribution index, where the total effect of all the parameters is equal to the maximum change in pool size. Note that the results of a global sensitivity analysis of this kind are non-directional and do not indicate whether a parameter increases or decreases a pool size, but rather that it simply changes from the baseline.

## Line 340. I know that this is probably the only option the authors had, but I hope they are well aware of the limitations of MODIS NPP product; maybe a sentence forewarning the reader would be necessary.

We are indeed aware of the limitations of using the MODIS NPP estimates. We have also checked a 10-year average of NPP data for each site and noted the variability (and considered redoing the analysis). However, the variability for one site's 10-year average is considerably lower than the variability across Europe and therefore we concluded there was little value in redoing everything, given our limited expectations and reliance on the simulated absolute values.

#### L380-384

Complimented with geo-referenced estimates of annual NPP from MODIS satellite data (ORNL DAAC, 2009), and daily temperature data from the Climate Prediction Center's Global Temperature (CPC-GT) database (NOAA, 2018), this provided all driving variables required to run MEMS v1.0. The use of modelled/interpolated NPP and climate data is not recommended over measurement data directly collected from the site(s) being simulated, but for the analysis herein these measured data were unavailable. Line 345. The reference Cotrufo et al 2018 explaining the derivation of the POM and MAOM pools is not published. I guess for the sake of this article is fine, but of course, it would be a great contribution to the community if the values of POM and MAOM for the 154 sites would be provided as a part of the LUCAS database or somewhere as part of the article.

We agree and will make these available as part of this paper submission. The data will available at: <a href="http://esdac.jrc.ec.europa.eu/">http://esdac.jrc.ec.europa.eu/</a>

Line 368-369. This is probably more a philosophical than a practical point. However, I wonder if a rigorous numerical optimization for such type of models, where the model structure is very uncertain and difference between observed and simulated SOC could be related more to the initialization problem rather than to model structure or parameters is really needed. Given the fact that 4 parameters only were optimized and several replicates were made, this is probably an added value and unlikely a problem here, but still I wonder if is not giving too much weight to the data. How do the results look alike without optimization? This is briefly stated in Line 469-470 but it would actually

be interesting to look at it in more detail.

The pre- and post-optimized results did not differ significantly for some environmental divisions (e.g., hot, wet, sandy, grasslands) but did for others. We tend to agree with you that our optimization was a little more than what was needed given the early stage of model development, however we wanted to demonstrate how the parameter estimation approach could apply using real measured data. We performed several analyses to assess model performance before and after optimization, but we feel the manuscript already includes a lot of detail and this extra information would be of little value for the majority of readers.

## Line 379. Maybe an explicit statement that optimized parameter values are reported in Table S2 would be useful here.

We did refer to this table here already but have added an extra reference to hopefully make it clearer.

#### L422-426

The new, optimized parameter values (Table S2) were derived from a randomly chosen fold that minimized RMSE when compared to the MAOM fraction. This was chosen (instead of those optimized for POM or bulk SOC) since the MAOM fraction is typically the largest single soil C pool and using this approach led to the biggest overall decrease in RMSE when compared to all available data (Table S2).

### Line 386-387. How seasonal variability in C-inputs and temperature is accounted for? This is not very clear from the manuscript.

The annual temporal dynamics of C-inputs are derived from a simple distribution function for this first version. We assume a normal distribution around mid-summer so that 75% of the C inputs are added between April and August (Northern Hemisphere). This is a very simplistic way of doing things and is the same for all land use types and locations in our analysis. However, we felt this was more realistic than the same amount every single day. Of course, because we are simulating a steady-state system the resulting difference in effect is minimal but in future versions these C inputs will be coupled to a plant growth model which will be much more accurate.

Regarding seasonal temporal dynamics of temperature, we simply use the daily values for each site and therefore we hope the values used are accurate and account for seasonal variability. This is already stated in the main text.

We have added a little information about this to the main text and point the reader to the supplementary for more detail.

L430-432

Driving variables of edaphic conditions and land-use type were extracted for each site from LUCAS and combined with daily estimates of C inputs and temperature (derived from simple interpolations assuming a normal distribution of MODIS annual NPP data [see Supplementary for details] and CPC-GT daily maximum and minimum air temperature data, respectively). Where these data were unavailable, the site was removed from further evaluation.

#### Line 407. The value for NPP and sand content differ from the mean value provided in Table 3.

Yes, well noticed. However, the difference comes from the fact that in our methods (line 407) we refer to the median values whereas table 3 states the means. We felt the medians were a better way of describing the overall dataset we were using but the means in table 3 were simply a way of showing the average value – the actual values used in our analysis obviously varied with each site.

## Figure 2. What is the initial condition for the simulation of 1000 years depicted in Figure 2? Do you start from nearly steady state carbon pools or from carbon pools equal to "zero"?

We start with the carbon pools equal to zero. We did repeat the process with several different starting condition scenarios, but the overall effects were the same. We chose this one simply because it was the easiest to interpret (although we acknowledge that it has so many colours it is still hard to interpret fully). We have added this information to both the new figure legend and in the methods section.

#### *L332-336*

Initial pool sizes were set to 0 and the model was initialized to simulate a steady-state scenario based on average site conditions (derived from ~8000 forest and grassland sites in the Land-Use/Land Cover Area Frame Survey (LUCAS) dataset ([Toth et al., 2013] – see Table 3). Specifically, this meant starting a model run with no C in the system and gradually building up the litter and soil pools until they reached equilibrium based on driving variables (soil type, C inputs, climate) that remain fixed over time.

## Line 455. Why colder temperatures favor POM? Is this related to the sensitivity of decomposition?

Partially. The main reason why this relationship occurs in MEMS v1.0 is because the MAOM and POM pools reach different equilibrium amounts under different temperatures – under steady-state, MAOM will reach equilibrium at roughly the same amount in all temperatures (i.e., near to the saturation limit), however the POM pools do not saturate and so when temperature is low, decomposition is low, and they will accumulate more before reaching equilibrium. Ultimately you are correct in assuming that temperature is assumed to have a bigger effect on the decomposition of POM than on the decomposition of MAOM (*sensu* Benbi *et al.*, 2014). Our early attempts to differentiate between these sensitivities showed exciting results but were not based on rigorously tested measurements. A key focus of the next stages in model development is in the different sensitivities for the different pools so we hope to include these explicitly in MEMS v2.0.

Benbi D K, Boparai A K, Brar K. 2014. Decomposition of particulate organic matter is more sensitive to temperature than the mineral associated organic matter. *Soil Biol Biochem.***70**: 183–192.

Line 473-475. Table 2. Maybe I am missing something obvious but the units of decay parameters as "k1" to "k10" should be [gC gC-1 day-1], otherwise when multiplied by the pool (Eq. 1-11 in the supplementary material) you will get [gC^2 day-1] rather than [gC day-1].

Thanks for pointing out this error. We meant to simply write day<sup>-1</sup> and this results in the same effect. We have now changed throughout.

## Line 491. This is definitely expected given that variability in litter input, e.g., litter composition and stoichiometry root: shoot ratios are underestimated and soil moisture is not accounted for.

Agreed. We have now added this extra information.

#### L602-610

While average agreement between measured and modelled soil C stocks was very good for MEMS v1.0, the model failed to capture the wide range in total POM-C stocks that were observed at the fractionated LUCAS sites (Figure 5). This may be because this first version of the model does not include several of the key controls on POM dynamics, such as water/oxygen limitations (Keiluweit et al., 2016), aggregation (Gentile et al., 2011), activity of soil fauna (Frouz, 2018) and nutrient availability (Bu et al., 2015; Averill and Waring, 2018). There are also limitations of our approach given that very few of the sites will likely be under true steady-state conditions, leading to further discrepancies between model predictions and measured values. Furthermore, the variability in driving variables of litter chemistry, N content and root:shoot ratios are underestimated when using our approach of grouping many different land uses into broad classes.

Line 496-497. For almost all of the analyzed sub-groups in terms of site-conditions of Figure 6, bulk SOC observations are mostly between 50-75 MgC/ha. I think this relatively narrow range complicates the identification of the control exerted by temperature, precipitation, soil texture or biomes and therefore also the model testing. A more reasonable test will require more distinguished values of SOC across different conditions, probably using other biomes and climates.

We agree and accordingly we are currently in the process of fractionating soils from the NEON network of sites that includes a wide range of ecotypes and climates – see <a href="https://www.neonscience.org/field-sites/field-sites-map">https://www.neonscience.org/field-sites/field-sites-map</a>. Once available, it is our hope that these data will help to improve the ability of the MEMS model to simulate a much more diverse set of soils. The relatively narrow range in this analysis of the LUCAS sites results primarily from the very large number of sites. Our initial analysis here was to try and see if general trends looked good and now we are moving on to more site-specific comparisons where we have much higher quality input data.

#### Line 521. I don't want to sound too pessimistic and overall I really like the approach of the authors but bridging the gap toward Ecosystem and Earth System Models still requires a considerable amount of work to test the reliability of temporal dynamics and plant-soil feedbacks. This should be stated in the manuscript.

We agree. We acknowledge the limitations of this early model version and have down-played the point slightly. However, we do feel that the change in approach and model structure can pave the way for an easier link to existing plant growth models and ecosystem models.

#### L564-566

MEMS v1.0 was designed to consolidate recent advances in our understanding of SOM formation and persistence into a parsimonious mathematical model that uses a generalizable structure which, after further development, can be implemented in Ecosystem and Earth System model applications.

Line 552. Also the dynamics of microbial pool in the soil is not explicitly simulated; however, the underestimation of variability is most likely due to underestimation of variability in the inputs and the steady-state assumption in the model, as you wrote in the next few lines.

We have added to this as shown above. Also, we hope the extra clarification of how microbial activity is simulated implicitly in the soil pools will help to clarify this point.

## Line 558-559. I am not sure why soil moisture controls should be so important at high-latitude, these sites are rarely water limited, I would expect lack of soil-moisture controls to be more important in South-Europe.

You are right that these sites are not water limited but rather they are water saturated. In these situations, it is possible that anaerobic conditions persist and limit C-mineralisation. You are right that water limitations on the other end of the spectrum (too dry) will be prevalent in Southern Europe, and this is another source of high residuals when we do not include soil moisture controls.

## Line 621-622. This is a great point, and I am looking forward for further work of the authors along this line.

Thank you. We are also keen to work more towards these goals.

## Figure 1. Just as a suggestion, up to the authors, it would be nice to have some of the parameters of Table 2 represented also in this plot to link the main fluxes to some of the key parameters regulating the flux.

While we tend to agree that it could be nice to have a single figure with all the information on it, we chose to keep figure 1 as simple as possible so it can serve as a simple way of conveying the overall structure, rather than all the details. We appreciate the suggestion though and will look into including more details on future figures.

#### MARKED-UP MANUSCRIPT VERSION BELOW THIS POINT

## Unifying soil organic matter formation and persistence frameworks: the MEMS model

Andy D. Robertson\*<sup>1,2</sup>, Keith Paustian<sup>1,2</sup>, Stephen Ogle<sup>2,3</sup>, Matthew D. Wallenstein<sup>1,2</sup>,
 Emanuele Lugato<sup>4</sup>, M. Francesca Cotrufo<sup>1,2</sup>

<sup>1</sup> Department of Soil and Crop Sciences Colorado State University, Fort Collins, CO 80523, USA

6 <sup>2</sup> Natural Resources Ecology Laboratory, Colorado State University, Fort Collins, CO 80523, USA

<sup>3</sup> Department of Ecosystem Science and Sustainability, Colorado State University, Fort Collins, CO 80523,

8 USA

9<sup>4</sup> European Commission, Joint Research Centre (JRC), Directorate for Sustainable Resources, Via E. Fermi

10 <del>2749, I-21027</del>, Ispra (VA), Italy

11 Correspondence to: Andy D. Robertson (Andy.Robertson@colostate.edu)

12 Abstract. Soil organic matter (SOM) dynamics in ecosystem-scale biogeochemical models have traditionally 13 been simulated as immeasurable fluxes between conceptually-defined pools. This greatly limits how empirical 14 data can be used to improve model performance and reduce the uncertainty associated with their predictions of 15 carbon (C) cycling. Recent advances in our understanding of the biogeochemical processes that govern SOM 16 formation and persistence demand a new mathematical model with a structure built around key mechanisms and 17 biogeochemically-relevant pools. Here, we present one approach that aims to address this need. Our new model 18 (MEMS v1.0) is developed upon the Microbial Efficiency-Matrix Stabilization framework which emphasises the 19 importance of linking the chemistry of organic matter inputs with efficiency of microbial processing, and 20 ultimately with the soil mineral matrix, when studying SOM formation and stabilization. Building on this 21 framework, MEMS v1.0 is also capable of simulating the concept of C-saturation and represents decomposition 22 processes and mechanisms of physico-chemical stabilization to define SOM formation into four primary fractions. 23 After describing the model in detail, we optimise four key parameters identified through a variance-based 24 sensitivity analysis. Optimisation employed soil fractionation data from 154 sites with diverse environmental 25 conditions, directly equating mineral-associated organic matter and particulate organic matter fractions with 26 corresponding model pools. Finally, model performance was evaluated using total topsoil (0-20 cm) C data from 27 8192 forest and grassland sites across Europe. Despite the relative simplicity of the model, it was able to accurately 28 capture general trends in soil C stocks across extensive gradients of temperature, precipitation, annual C inputs 29 and soil texture. The novel approach that MEMS v1.0 takes to simulate SOM dynamics has the potential to 30 improve our forecasts of how soils respond to management and environmental perturbation. Ensuring these 31 forecasts are accurate is key to effectively informing policy that can address the sustainability of ecosystem 32 services and help mitigate climate change.

#### 33 1 Introduction

34 The biogeochemical processes that govern soil organic matter (SOM) formation and persistence impact more than

half of the terrestrial carbon (C) cycle, and thus play a key role in climate–C feedbacks (Jones and Falloon, 2009;

36 Arora *et al.*, 2013). In order to predict changes to the C cycle, it is imperative that mathematical models describe

37 these processes accurately. However, most ecosystem-scale biogeochemical models represent SOM dynamics

38 with first-order transfers between conceptual pools defined by turnover time, limiting their capacity to incorporate

39 recent advances in scientific understanding of SOM dynamics (Campbell and Paustian, 2015). Due to the use of

- 40 conceptual pools, empirical data from SOM fractionation cannot be used directly to constrain parameter values
- 41 that govern fluxes between pools because diverse SOM compounds can have similar turnover times but are
- 42 differentially influenced by environmental variables (Schmidt *et al.*, 2011; Lehmann and Kleber, 2015). As a
- result, empirical data is commonly abstracted and transformed before being used to parameterize or evaluate the
   processes of SOM formation and persistence that the model is intended to simulate (Elliott *et al.*, 1996;
- processes of SOM formation and persistence that the model is intended to simulate (Elliott *et al.*, 1996;
  Zimmermann *et al.*, 2007). This has resulted in many conventional SOM models (e.g., RothC, [Jenkinson and

46 Rayner, 1977], DNDC [Li et al., 1992], EPIC [Williams et al., 1984] and CENTURY [Parton et al., 1987]) being

47 structurally similar (i.e., partitioning total SOM into discrete pools based on turnover times determined from

- radiocarbon experiments; see Stout and O'Brien [1973] and Jenkinson [1977]) but each taking different approaches to simplify the complex mechanisms that govern SOM dynamics. Consequently, simulations of SOM can vary greatly between models, often predicting contrasting responses to the same driving inputs and
- 51 environmental change (e.g., Smith *et al.*, 1997).
- 52

53 Structuring SOM models around functionally-defined and measurable pools that result from known 54 biogeochemical processes is one way to help minimise these discrepancies. Two recent insights into SOM 55 dynamics present a path towards addressing this issue. There is now strong evidence that: 1) low molecular weight, 56 chemically labile molecules, primarily of microbial origin (Liang et al., 2017), persist longer than chemically 57 recalcitrant C structures when protected by organo-mineral complexation (Mikutta et al., 2006; Kögel-Knabner 58 et al., 2008; Kleber et al., 2011); and 2) each soil type has a finite limit to which it can accrue C in mineral-59 associated fractions (i.e., the C-saturation hypothesis) (Six et al., 2002; Stewart et al., 2007; Gulde et al., 2008; 60 Ahrens et al., 2015). Structuring a SOM model around these known and quantifiable biogeochemical pools and 61 processes has the potential to drastically reduce uncertainty by enhancing opportunities for parameterization and 62 validation of models with empirical data. Furthermore, mechanistic models can have value in process explanation 63 as well their value in predictive capabilities; such models can pinpoint the processes that have the greatest 64 influence on a system even when they are not traditionally determined empirically.

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66 Conventional SOM models readily acknowledge the importance of microbes in plant litter decomposition and 67 SOM dynamics but model improvement was initially constrained by the concept that stable SOM included 'humified' compounds (Paul and van Veen, 1978). This quantified stable SOM using an operational proxy (high 68 69 pH alkaline extraction) rather than relating stabilization to the mechanisms that are now widely recognised, such 70 as organo-mineral interactions and aggregate formation (Lehmann and Kleber, 2015). As our contemporary 71 understanding of stable SOM moves away from humification theory, so too must the way we represent SOM 72 stabilization pathways in biogeochemical models. Similarly, many SOM models partition plant residues into labile 73 and recalcitrant pools with turnover times that reflect the assumption of 'selective preservation' (i.e., chemically 74 recalcitrant litter-C is only used by microorganisms when labile compounds are scarce). While many existing 75 models do include a flux from labile residues into stable SOM, this is typically a much smaller absolute amount 76 than the flux from recalcitrant residues. Evidence indicates that biochemically recalcitrant structural litter C 77 compounds may not be as important in the formation of long-term persistent SOM as originally thought 78 (Marschner et al., 2008; Dungait et al., 2012; Kallenbach et al., 2016). Instead, they form light particulate organic 79 matter (POM) (Haddix et al., 2015), a relatively vulnerable fraction of SOM with a turnover time of years to decades (von Lützow *et al.*, 2006; 2007). Consequently, there have been several calls to represent this new
understanding and re-examine how microbial activity is simulated in SOM models (Schmidt *et al.*, 2011;
Moorhead *et al.*, 2014; Campbell and Paustian, 2015; Wieder *et al.*, 2015).

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84 Current conceptual frameworks more clearly link the role of microbes to SOM dynamics (e.g., Cotrufo et al., 85 2013 and Liang et al., 2017), and generally isolate two discrete litter decomposition pathways for SOM formation 86 (Cotrufo et al., 2015): a 'physical' path through perturbation and cryomixing to move fragmented litter particles 87 into the mineral soil forming coarse POM, vs a 'dissolved' path where soluble and suspended C compounds are 88 transported vertically through water flow and, when mineral surfaces are available, form mineral associated 89 organic matter (MAOM). Microbial products and very small litter particles can be transported by both pathways, 90 forming a heavy POM fraction with 'biofilms' and aggregated litter fragments around larger mineral particles 91 (i.e., sand; Heckman et al., 2013; Ludwig et al., 2015; Buks and Kaupenjohann, 2016). Attempts to formulate 92 these empirical observations of litter decomposition into mathematical frameworks recently culminated with 93 development of the LIDEL model (Campbell et al., 2016), which in turn built upon the relationships of litter 94 decomposition described by Moorhead et al. (2013) and Sinsabaugh et al. (2013). While the LIDEL model was 95 evaluated against a detailed lab experiment of litter decomposition (Soong et al., 2015), it does not simulate SOM 96 pools and dynamics. In nature, litter decomposition processes and SOM formation processes are necessarily 97 coupled but are often studied and modelled separately. However, models that link litter decomposition to SOM 98 formation are required to represent SOM dynamics in ecosystem models.

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100 Beside the processes of leaching and fragmentation that control the two pathways mentioned above, litter 101 decomposition processes that form SOM are governed by the balance between microbial anabolism and 102 catabolism (Swift et al., 1979; Liang et al., 2017). A recent paradigm has emerged that emphasizes the role of 103 microbial life strategies (e.g., K vs r, referring to copiotrophic and oligotrophic microbial functional groups) and 104 carbon use efficiency (CUE) in the formation of SOM from plant inputs (Dorodnikov et al., 2009; Cotrufo et al., 105 2013; Lehmann and Kleber, 2015; Kallenbach et al., 2016). As a result, scientists have explored several 106 approaches to represent microbes in SOM models. Research has indicated that explicitly representing microbes 107 in a SOM model can provide very different predictions of SOM dynamics and include important feedbacks such as acclimation, priming and pulse responses to wet-dry cycles (Bradford et al., 2010; Kuzyakov et al., 2010; 108 109 Lawrence et al., 2009; Schmidt et al., 2011). This research has shown that, compared to conventional models, microbially-explicit SOM models have drastically different simulated responses to environmental change (Allison 110 111 et al., 2010; Wieder et al., 2015; Manzoni et al., 2016). However, these responses are generally validated against 112 data at microsite spatial scales and are not necessarily generalizable over larger spatial scales (Luo et al., 2016).

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114 Microbes have been explicitly represented in SOM models in many ways and for many years, from relatively

- simple approaches using a single microbial biomass pool or fungal:bacterial ratios (e.g., McGill et al., 1981,
- 116 Wieder et al., 2013 and Waring et al., 2013), to more complex associations with microbial guilds or community
- dynamics based on dominant traits derived through genetic profiling (Miki et al., 2010; Allison et al., 2012;
- 118 Wallenstein and Hall, 2012). The MIcrobial-MIneral Carbon Stabilization (MIMICS) model (Wieder *et al.*, 2014)
- 119 consolidated existing research at the time and uses the size of a microbial biomass pool together with Michaelis–

- 120 Menten kinetics to feedback on C decay rates of SOM pools. While the MIMICS model and others (for an example
- see Manzoni et al., 2016), provide a potentially viable framework for explicitly representing microbes in a SOM
- 122 model, it remains unclear whether this is practical given the lack of input data required to drive and validate these

123 relationships (Treseder et al., 2012; Sierra et al., 2015). Furthermore, parsimony and analytical tractability are

- both key concerns for ecosystem models designed to operate over large spatial and temporal scales. While
- 125 microbially-explicit models may be essential for addressing research questions at small spatial scales, they may
- 126 introduce unnecessary, additional uncertainty to global simulations (Stockmann *et al.*, 2013).
- 127
- 128 While microbial efficiency largely controls SOM formation rates, and microbial products are major components 129 of the MAOM and the coarse, heavy POM fractions of SOM (Christensen 1992; Heckman et al., 2013) the longterm persistence of SOM is determined by mineral associations that are subject to saturation. Saturation limits for 130 131 SOM were proposed more than a decade ago (Six et al., 2002) and have been supported by several empirical 132 studies (e.g., Gulde et al., 2008; Stewart et al., 2008; Feng et al., 2012; Beare et al., 2014). Briefly, the concept 133 of C-saturation suggests that each soil has an upper limit to the capacity to store C in mineral-associated (i.e., silt 134 + clay,  $< 53 \mu$ m) fractions, due to biochemical and physical stabilization mechanisms (e.g., cation bridging, surface 135 complexation and aggregation) that are limited by a finite area of reactive mineral surfaces. While saturation 136 kinetics are easy to define conceptually (Stewart et al., 2007), C-saturation as a concept has been adopted by only 137 a few SOM models (Struc-C, Malamoud et al, 2009; COMISSION, Ahrens et al., 2015; MILLENNIAL, 138 Abramoff et al., 2017). This is partly because its use in a SOM model requires a robust estimate of the specific 139 site's saturation capacity. SOM saturation has been modelled using i) empirical regressions between silt + clay 140 content and C concentration of that fraction (Six et al. 2002, as applied in COMISSION), and ii) empirical relationships between clay content and the derived ' $Q_{max}$ ' parameter of Langmuir isotherm functions (Mayes et 141 142 al., 2012, as applied in MILLENNIAL). As noted by Ahrens et al. (2015), the use of C-saturation kinetics in an 143 ecosystem model would require a map of mineral-associated C saturation capacity, and since soil C stocks in silt 144 + clay fractions can make up the majority of total soil C stocks, a lot of weight would be put on that single driving 145 variable for each site. However, it is worth noting that when applying C-saturation concepts, only the mineral-146 associated organic matter (MAOM) fraction saturates. Other SOM fractions (e.g., particulate organic matter, POM) theoretically have no saturation limit (Stewart et al., 2008; Castellano et al., 2015; Cotrufo et al., 2018). 147 148

Attempts to consolidate the concepts of microbial control on litter decomposition and mineral control on SOM stabilization resulted in the MEMS framework (Cotrufo *et al.* 2013). To date, we are aware of only one attempt to represent MEMS within a mathematical model, the MILLENNIAL model (Abramoff *et al.*, 2017). However, this model does not simulate litter decomposition explicitly and as a result does not include the impact of litter input chemistry, which is a fundamental component of the MEMS framework and needed to improve ecosystem modelling, as discussed previously.

- 155
- 156 In this study we describe and demonstrate the application of a new mathematical model (MEMS v1.0) that applies
- three major concepts of SOM dynamics: 1) litter input chemistry-dependent microbial CUE informing SOM
- 158 formation (Cotrufo et al., 2013), 2) separate dissolved vs physical pathways to SOM formation (Cotrufo et al.,
- 159 2015); and 3) soil C-saturation related to litter input chemistry (Castellano *et al.*, 2015). The scope of this inaugural

- 160 model description is limited to representing these three concepts and is not intended to include every mechanism
- 161 relevant to SOM cycling. Our objective is to demonstrate the benefits of structuring a SOM model around key
- 162 biogeochemical processes, rather than turnover times. Using measured SOM physical fractions from 154 forest
- 163 and grassland sites across Europe-(Cotrufo et al., 2018), key parameters were optimised to improve model
- 164 performance when simulating POM-C (consisting of both light and heavy POM) and MAOM-C, under
- 165 equilibrium conditions. The resulting model was then used to test whether the behaviour of simulated SOM
- 166 dynamics concur with the expected theoretical relationships. Finally, the model performance in predicting soil C
- 167 stocks at equilibrium was evaluated by simulating 8192 forest and grassland sites across Europe, representing a
- 168 diverse set of driving variables (i.e., climate, soil type and vegetation type).

#### 169 2 Materials and Methods

#### 170 2.1 Model description

The MEMS model (herein MEMS v1.0) is designed to be as parsimonious as possible while simulating the spatial 171 172 and temporal scales relevant to management and policy decision making. The model is structured (Figure 1) to 173 simulate plant litter decomposition explicitly with decomposition products defining C inputs to discrete soil pools 174 that can be isolated with common SOM fractionation techniques (Table 1). Each state variable in MEMS v1.0 can 175 be quantified directly using common measurement protocols and therefore calibration/evaluation data can be 176 generated with a single fractionation scheme (Table S1). Detailed information about the model structure, the 177 mathematical representation (i.e., differential equations) and how each mechanism is described mathematically 178 can be found in the supplementary material. All model parameters can be found in Table 2.

- 179
- 180 MEMS v1.0 is an ecosystem scale SOM model that operates at the ecosystem-scale on a daily timestep. Carbon 181 inputs to the model are resolved for each source (in the case of multiple input streams, e.g., manure, crop residue, 182 compost) discretely, partitioning daily C inputs between solid-phase (C1, C2, C3) and dissolved (C6) litter pools 183 as a function of litter chemistry (nitrogen [N] content and the acid-insoluble [i.e., 'lignin'] fraction) that influences 184 microbial decomposition processes. This structure is similar to the LIDEL model (Campbell et al., 2016) and 185 follows the hypotheses that both N availability and lignin content influence decomposition by affecting microbial activity (Aber et al., 1990; Manzoni et al., 2008; Sinsabaugh et al., 2013; Moorhead et al., 2013). Similar 186 187 approaches have also been used in many of the updated traditional SOM models (e.g., lignin:N ratios in CENTURY; Kirschbaum and Paul, 2002). These input partitioning coefficients can be determined experimentally 188 189 for each C input source (Table 1 & S1). Upon reaching the soil, C compounds are then subject to biotic and abiotic 190 processes that transform and transport organic matter through an organic horizon and subsequent mineral soil 191 layers. As described here, MEMS v1.0 currently only simulates a surface organic horizon and a single mineral 192 soil layer, and does not yet differentiate between above- and below-ground litter input chemistry to avoid requiring 193 additional input parameters on root litter chemistry. However, the model architecture is sufficiently generalizable 194 to apply to multiple soil layers and/or multiple discrete sources of C input. Where possible we use the parameter 195 names and abbreviations from the LIDEL model (Campbell et al., 2016).

#### 196 2.1.1 Microbe mediated transformations and dissolved organic matter (DOM) production

197 Many of the biogeochemical processes represented by MEMS v1.0 are assumed to be microbially--mediated (and 198 therefore result in exo-enzyme breakdown and CO<sub>2</sub> production), but only two lead to C assimilation into a distinct 199 microbial biomass pool - from the water-soluble and acid-soluble litter pools (C1 and C2, respectively). In the mineral soil (i.e., pools C5, C8, C9 and C10), microbial anabolism and catabolism are implicit and considered 200 201 part of the turnover of each pool. This ensures parsimony and allows model parameters to represent the differences 202 in microbial community for each pool, as opposed to the alternative of explicit microbial pools. The C transferred 203 from the C1 and C2 litter pools into microbial biomass is defined by a dynamic CUE parameter controlled by the N content of the input material and the lignocellulose index (LCI; defined as the ratio between acid-insoluble to 204 205 the sum of acid-soluble + acid-insoluble) of the litter layer (i.e., lower CUE results when a higher proportion of the litter is acid-insoluble). Including microbially-explicit processes in the litter layer helps to determine the 206 proportion of C inputs that result in MAOM vs POM formation (see Liang et al., 2017) and allows for future 207 model versions to account for distinctions between different points of entry for inputs (Sokol et al., 2018). The 208 209 lack of C transferred from other pools (e.g., C3) into microbial biomass implies their decay from co-metabolism 210 with the more labile C sources (i.e., Klotzbucher et al., 2011; Moorhead et al., 2013). Once assimilated within 211 microbial biomass, the anabolism of microbial activity results in generation of microbial products (i.e., necromass) 212 that form tightly bound aggregates of biofilms and small litter fragments around sand-sized soil particles (Huang 213 et al., 2006; Buks and Kaupenjohann, 2016), and dissolved organic matter (DOM). These contribute to the heavy 214 POM (C5) and litter DOM (C6) pools, respectively. While these specific processes are well supported by relevant 215 literature, to retain parsimony and the generalizable structure required by an ecosystem scale model MEMS v1.0 216 represents microbial metabolism processes implicitly more generally (i.e., by linking them to a dynamic microbial 217 CUE rather than specific community traits).-as per their description in LIDEL.

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219 Even though not all pools explicitly produce microbial biomass, all pools do produce DOM. Recent studies have 220 shown that DOM and small suspended particulates result from the decomposition and fragmentation of all forms 221 of inputs including those characterized as 'inert', such as pyrolized material (Soong et al., 2015). Consequently, 222 the model assumes that all microbially-mediated decomposition produces some C in DOM with rates specific to 223 the pool from which the C originates. Since DOM generation is strongly influenced by the elemental composition 224 of the litter-input material (Soong et al., 2015), it is intrinsically linked to microbial CUE, employing the same 225 formulation as LIDEL, which accounts for input N content and LCI of the litter layer (Campbell et al., 2016). At 226 present, root exudation is not explicitly represented but the presence of a soil DOM pool (C8) will allow for 227 incorporation of root exudation processes in later versions. More detail regarding the microbially transformed 228 organic matter inputs vs those directly incorporated into the soil can be found in the supplementary materials.

#### 229 **2.1.2 Perturbation and physical transport**

While microbial activity directly influences DOM production and therefore its transport with water flow (pool C8), the physical pathway to SOM formation (i.e., forming pools C5 and C10; POM) results from perturbation and fragmentation processes (Cotrufo *et al.*, 2015). The exact mechanisms of perturbation are hard to generalize

- 233 over the globally diverse conditions that an ecosystem scale model such as MEMS v1.0 is designed to operate.
- 234 Consequently, the litter fragmentation and perturbation rate  $(LIT_{fra})$  in MEMS v1.0 is represented as a first-order

process where the default value of  $LIT_{frg}$  was informed by empirical estimates (e.g., Scheu and Wolters, 1991; 236 Paton et al., 1995; Yoo et al., 2011); but uncertainty can be reduced by relating this rate to specific site conditions that reflect, in particular, soil macro- and mesofauna activity. The division of litter fragmentation between the C5 237 238 and C10 pool is derived from fractionation results that separate the light and heavy POM. The split between these 239 two fractions appears to vary with land use (Poeplau and Don, 2013), although the exact relationship is unclear. 240 Consequently, MEMS v1.0 applies an average over all land uses. Particulate organic matter is divided between a heavy and a light pool because recent evidence suggests the two fractions are differentially influenced by 241 242 temperature and management linked to aggregation and land-use change (deGryze et al., 2004; Tan et al., 2007; 243 Poeplau et al., 2017). Furthermore, the heavy, coarse POM pool can play an important role in soil nutrient cycling (Wander, 2004) and it has a different turnover time to either the MAOM or light POM fraction (Crow et al., 2007; 244 245 Poeplau et al., 2018).

#### 246 2.1.3 Liquid phase transport

247 Vertical transport of DOM can be simulated as a function of water flow in a process-based soil hydrology model.

248 However, in this first, standalone version, MEMS v1.0 assumes that DOM is transported rapidly downward through percolation and advection according to a constant water flux. As with the  $LIT_{frg}$  parameter, the rate of

vertical C transport (controlled by parameter  $DOC_{frg}$ ) would ideally be site-specific, but is currently fixed at a 250

251 general, default value informed by relevant literature (Trumbore et al., 1992; Kindler et al., 2011). More

252 information can be found in the supplementary material and in Table 2.

#### 253 2.1.4 Sorption and desorption with mineral surfaces

254 The organo-mineral complexes that define a large portion of MAOM-C in MEMS v1.0 operate under the 255 principles of Langmuir isotherms, which have also been used in the COMISSION and MILLENNIAL models 256 (Ahrens et al. (2015) and Abramoff et al. (2017), respectively). These isotherms represent a net C transfer between 257 soil DOM (pool C8) and MAOM (pool C9) that encapsulates all sorption mechanisms (e.g., cation bridging, surface complexation, etc.). While MEMS v1.0 uses the same general Langmuir saturation function as the 258 259 MILLENNIAL model, it estimates maximum sorption capacity (parameter  $Q_{max}$ ) differently. Here, we use sand 260 content to derive the maximum C concentration of the silt + clay fraction according to a regression calculated by 261 pooling all soils data reported by Six et al. (2002). This is then converted to C density using the site-specific soil 262 bulk density provided as a driving variable to the model.

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264 In addition to the  $Q_{max}$  parameter, the isotherm saturation function also relies on an estimate of a specific soil's 265 'binding affinity' (parameter  $K_{lm}$ ). Typically, this is a product of a soil's specific mineralogy, influencing the type 266 of organo-mineral bonds that are formed and the strength of those bonds (Kothawala et al., 2009). Furthermore, 267 the type of C compounds being sorbed are also key to defining an isotherm's binding affinity (Kothawala et al., 268 2008; Kothawala et al., 2012). This parameter can be very difficult to generalise without requiring exhaustive 269 information on soil physiochemical conditions (e.g., clay type, Fe/Al concentration, etc.), but the work of Mayes 270 et al. (2012) presented an empirical relationship between  $K_{lm}$  and native soil pH, with pH acting as a proxy for 271 mineralogical conditions. As a result, sorption rates to mineral surfaces are dependent on pH (see Equation 35 in 272 supplementary). This relationship (derived from isotherms calculated for 138 soils of varying taxonomies)

- 273 provides a good starting point for estimating  $K_{lm}$  and is also used by the MILLENNIAL model (Abramoff *et al.*,
- 274 2017). It is worth noting that desorption is implicit in the Langmuir saturation function used by MEMS v1.0
- (unlike the explicit representation in COMISSION, Ahrens et al., 2015), meaning that when the MAOM pool 275
- 276 reaches saturation the net transfer from soil DOM to MAOM may be negative and C is transferred from MAOM
- 277 to DOM. The simulated sorption-desorption processes in MEMS v1.0 are directly derived from empirical data
- 278 and are similar to other SOM models (Wang et al., 2013; Ahrens et al., 2015; Dwivedi et al., 2017).

#### 279 2.1.5 Heterotrophic respiration and controls on microbial activity

280 Aside from the litter layer DOM (pool C6), each of the state variables in MEMS v1.0 decay with unique specific 281 maximum rates, with the resultant C flux being partitioned into CO<sub>2</sub> (aggregated into the C7 sink term) and an 282 accompanying decomposition product flux into other pools, mainly DOM. Thus, the decay rate constants represent 283 total mass loss potential, embodying DOM-C generation as well as CO<sub>2</sub> emissions, as per a recent decomposition 284 conceptualization (Soong et al., 2015). The total amount of heterotrophic respiration is the sum of CO<sub>2</sub> produced 285 from the biotic decay of all model pools after other fluxes (e.g., DOM generation) are calculated (more detail can 286 be seen in the supplementary). While the maximum specific decay rates for most pools are fixed parameters 287 informed by empirical data (Error! Reference source not found.), several studies suggest linking decay rates of recalcitrant compounds to those of more microbially-accessible compounds (Moorhead et al., 2013; Campbell et 288 289 al., 2016). This follows similar hypotheses to the priming effect, that chemically recalcitrant compounds (e.g., 290 lignin, cutin and suberin) are processed co-metabolically when microbes act preferentially on more energetically 291 favourable compounds nearby (Carrington et al., 2012; Větrovský et al., 2014). Consequently, MEMS v1.0 292 applies this through use of the same functions as those used by the LIDEL model (Campbell et al., 2016), 293 estimating the maximum specific decay rate of pool C3 with a relationship to parameter  $k_2$  (i.e., the maximum 294 specific decay rate of the acid-soluble litter fraction, pool C2). At present, CO<sub>2</sub> emitted from soil mineralization 295 of DOM is associated with the values presented in Kalbitz et al. (2005).

#### 296 2.1.6 Decay rate modifiers

297 Temperature is used as the main environmental control on maximum specific decay rates of each pool. The rate 298 modifying function used by MEMS v1.0 is adapted from that of the StandCarb model (Harmon and Domingo, 299 2001). This function is consistent with empirical data and enzyme kinetics, implying that microbial decomposition 300 rates peak at an optimum temperature with reduced rates above and below. Coefficients that define the function 301 also include the  $Q_{10}$  and reference temperature for that specific pool. Therefore, the function can utilise empirical 302 data if available for a site. This is a relatively simple function that only accounts for temperature. Simulating the 303 influence of other important controls on decomposition, such as water, oxygen, pH and nutrients, are beyond the 304 scope of this inaugural version of the MEMS model but will be incorporated inare central to future development 305 efforts.

#### 306 2.1.7 Model implementation and driving variables

307 MEMS v1.0 is a series of ordinary differential equations solved for discrete time steps by numerical integration using finite differencing techniques from the Runge-Kutta family of solvers. Implementation is performed through 308 309

the deSolve package (Soetart et al., 2010) written for R (all equations and associated detail can be found in

310 Supplementary Information). Parameters used to solve MEMS v1.0 are described along with their default values 311 and associated references in Table 2.

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313 Initializing MEMS v1.0 requires external inputs of basic site characteristics (climatic and edaphic conditions as 314 well as land management information) and ideally uses-measurements of daily C input. However, C inputs are 315 rarely available at daily time scales. Consequently, for this inaugural version of the MEMS model we employ a 316 simple function to interpolate daily C inputs from annual Net Primary Productivity (NPP), partitioning 317 aboveground/belowground and to the simulated soil layer using land-use specific root:shoot ratios and a simple 318 root distribution function (Poeplau, 2016). These driving variables are external inputs of the initial model version 319 but may be obtained from coupled climate and plant growth submodels in future versions, when incorporated into 320 a full ecosystem model. Details of these approaches are given in the supplementary materials and all required 321 driving variables are shown in Table 3. Since the major C pools can each be quantified using common analytical 322 methods (Table S1), the best way of initializing the size of these pools in MEMS v1.0 is to use measured data. 323 However, when measured data are not available, a typical site simulation employs a spinup that runs the model to

324 steady-state conditions based on average climatic and edaphic conditions, as well as average C inputs.

#### 325 **2.2** Global sensitivity analysis

326 The default parameter values (i.e., those governing C turnover and fluxes between pools) used by MEMS v1.0 are 327 informed by data from relevant literature (Error! Reference source not found. Table 2). However, different 328 studies may suggest different values based on discrete site conditions, meaning a priori estimates may not 329 necessarily be generalizable across all sites that the model could simulate. A variance-based global sensitivity 330 analysis was performed to determine each parameter's relative contribution to the change in each state variable 331 (i.e., determining which parameters have the largest influence on the size of each model pool). The sensitivity 332 analysis was repeated for different simulation lengths (1 - 1000 years) as different fluxes operate at different 333 temporal scales, thereby meaning that the relative importance of each parameter changes through time. Initial pool 334 sizes were set to 0 and the model was initialized to simulate a steady-state scenario based on average site 335 conditions (derived from ~8000 forest and grassland sites in the Land-Use/Land Cover Area Frame Survey 336 (LUCAS) dataset ([Toth et al., 2013] - see Table 3). Specifically, this meant starting a model run with no C in the system and gradually building up the litter and soil pools until they reached equilibrium based on driving variables 337 (soil type, C inputs, climate) that remain fixed over time. To evaluate how much each model parameter (e.g., 338 339 decay rates, DOM generation rates, etc.; see Table 2) effects the amount of C in each pool (i.e., C1-C11; Figure 1) parameter values were changed to be higher or lower from their baseline and pool sizes are tracked over 340 simulation time. Note that all temperature modifier parameters ( $T_{ref}$ ,  $T_{opt}$ ,  $T_{Q10}$ ,  $T_{lag}$  and  $T_{shp}$ ; Table 2) were 341 342 excluded in this sensitivity analysis as the resulting  $T_{mod}$  has the same effect on all decay rates. Maximum and 343 minimum values of all other parameters (n = 24Error! Reference source not found.) were defined as 50.% above 344 and below the literature-derived (baseline) value (Table 2). Using Latin Hypercube techniques to sample within 345 the full parameter space, a global sensitivity varying all parameters was used to determine total variance for 346 changes to each model pool (i.e., how much each pool changes in size when all parameters vary up to 50 %). 347 Then, in turn, each individual parameter was fixed at its baseline value while all others varied. This defines the 348 each parameter's contribution to a pool's variance from each parameter, averaged over variations in all other

parameters (Sobol, 2001; Saltelli et al., 2008) (i.e., how much each pool changes in size when all parameters, 349 350 except one, vary up to 50%). When normalized over the global sensitivity variance, a contribution index provides 351 the proportion of variance explained by each parameter. The analysis was run 10,000 times to define the total parameter space and the whole procedure was repeated annually for simulation lengths between 1 to 1000 years. 352 353 Put simply, 10,000 different combinations of parameter values between the minimums and maximums were used 354 to repeatedly run the model for 1000 years given average site conditions. The results showing changes in pool 355 size correspond to the changes in parameter values (e.g., when maximum decay rate of MAOM is increased, pool 356 C9 may decrease in size but other pools may increase). The impact that a single parameter has on pool size, compared to that of all parameters, is described by the contribution index, where the total effect of all the 357 358 parameters is equal to the maximum change in pool size. Note that the results of a global sensitivity analysis of 359 this kind are non-directional and do not indicate whether a parameter increases or decreases a pool size, but rather

360 <u>that it simply changes from the baseline.</u>

#### 361 **2.3 Model response to changes in driving variables**

To determine the model's steady-state response to changes in each individual driving variable, a local one-at-a-362 363 time (OAT) sensitivity analysis was performed by sequentially simulating different equilibrium conditions for 364 1000 years. The baseline estimates for edaphic inputs, temperature and C input quantity were informed by the LUCAS dataset ([Toth et al., 2013] - see Table 3 and below for more details), with mean values defining the mid-365 366 points and ranges defined as the minima and maxima. Litter chemistry driving variables were adapted from the 367 ranges described by Campbell et al. (2016). Note that while typically described as a sensitivity analysis, an OAT 368 approach is not as robust as variance-based techniques because it cannot determine interactions between input variables. However, OAT results are easier to interpret as there are no confounding impacts and relationships 369 370 observed are solely a result of changing one variable. Additionally, we assess the model's qualitative relationships between driving variables by comparison to a study by Castellano et al. (2015); combinations of high/low sand 371 372 content and high/low soil pH were used to examine whether model projections agree with the hypothesized 373 relationships between input litter chemistry and MAOM-C stocks at steady-state. In these scenarios, Alfalfa 374 alfalfa (Medicago sativa) and Ponderosa ponderosa Pine pine (Pinus ponderosa) were used as examples of a high-375 and low-quality litter input, respectively, with litter chemistry driving variables adopted from Campbell et al. 376 (2016).

#### 377 2.4 Parameter optimization

#### 378 2.4.1 LUCAS dataset and soil fractionation data

379 Parameter optimization for MEMS v1.0 used data from the LUCAS dataset (Toth et al., 2013). This dataset 380 contains basic soil properties including C data for almost 20,000 sites across Europe, sampled in 2009, 381 representing a wide spatial range over 25 countries with diverse gradients of soil types, climates and land uses (Figure S1). Complimented with geo-referenced estimates of annual NPP from MODIS satellite data (ORNL 382 383 DAAC, 2009), and daily temperature data from the Climate Prediction Center's Global Temperature (CPC-GT) 384 database (NOAA, 2018), this provided all driving variables required to run MEMS v1.0. The use of 385 modelled/interpolated NPP and climate data is not recommended over measurement data directly collected from 386 the site(s) being simulated, but for the analysis herein these measured data were unavailable.

387

- 388 A representative subsample (Figure S2) of forest and grassland sites from LUCAS were selected for fractionation 389 to generate data for POM and MAOM pools (see dataset online available at the European Soil Data Centre-Cotrufo 390 et al., 2018). Specifically, topsoil (0-20 cm) samples from 78 grassland sites and 76 forested sites were fractionated 391 by size (53 µm) after full soil dispersion in dilute (0.5 %) sodium hexametaphosphate with glass beads on a shaker 392 (see Cotrufo *et al.*, 2018 for more details). The fraction passing through ( $\leq 53 \mu m$ ) was collected as the MAOM, 393 while the fraction remaining on the sieve was collected as the POM. It is worth noting that this fractionation did 394 not separate the POM into a light and a heavy POM, as represented in MEMS v1.0 (i.e., C5 and C10), thus these 395 model fractions were combined for data-model comparisons (see below). After drying to constant weight in a 60 396 °C oven, each fraction was analysed for C and N concentration in an elemental analyser (LECO TruSpec CN). 397 Samples from sites with a soil inorganic C content greater than 0.2 % (as reported in the LUCAS database) were 398 acidified before elemental analyses to remove carbonates, so that the %C of each fraction represented the organic 399 C only. Carbon concentrations of each fraction and the total soil organic carbon (SOC) were converted to stocks 400 for the top 20 cm soil layer using bulk density estimates reported with the LUCAS database. A georeferenced 401 summary of these 154 sites can be seen in Figure S2 and summary information of the fractionation data and
- 402 comparisons between land use classes is shown in Figures S3 and S4.

#### 403 **2.4.2 Optimization procedure**

404 Informed by the global sensitivity analysis, four parameters accounted for ~60 % of the variation in steady-state 405 bulk (and MAOM/total POM) soil C stocks. These were Nmid, k5, k9 and k10 (see Table 2 Error! Reference 406 source not found for details) and were used for optimization to improve model performance. Maximum and 407 minimum values representing realistic ranges of each parameter were informed by relevant literature and rounded to appropriate boundaries (Table 2; Table S2): Nmid (0.875, 2.625), k5 (6.0<sup>-5</sup>, 1.0<sup>-3</sup>), k9 (1.0<sup>-5</sup>, 4.0<sup>-5</sup>), k10 (1.0<sup>-4</sup>, 408 409  $1.0^{-3}$ ). These values set the limits for Latin Hypercube sampling to define 1024 unique parameter sets that, 410 together, span the full range of each parameter. The fractionated LUCAS site data was used to train and test the 411 model, applying a repeated k-fold cross-validation approach (Kuhn and Johnson, 2013) to identify best parameter 412 values for the full variation of conditions at all 154 sites. Comparisons were made between measured soil C stocks 413 and those resulting from steady-state simulations for each site. Of these sites, 120 (78 %) were used for training and the remaining 34 (22 %) were used for testing. Root mean squared error (RMSE) was applied as the objective 414 415 function. Using the training results, the set of parameters that reported the lowest RMSE for each fraction was used to ensure this 'best' parameter set also performed well (i.e., RMSE was within 10 % of that reported for the 416 417 training sites) against the 34 sites of measured data withheld for testing. This process was repeated 10 times using 418 different subsets of the 154 sites for training and testing (i.e., 10 'folds' in the cross-validation approach).

419

420 To determine the optimized parameter values, <u>a single fold was chosen at random from those the parameter set</u>

421 that reported the lowest RMSE for each subset of training sites (i.e., each fold) was selected and values from all

- 422 10 folds were averaged. Optimized values differ depending on which measured fraction is compared to model
- 423 predictions (whether comparing pool C9 to measured MAOM-C, the sum of pools C5 and C10 to measured total
- 424 POM-C, or the sum of pools C5, C8, C9 and C10 to measured bulk SOC). The new, optimized parameter values
- 425 (Table S2) were derived from the a randomly chosen foldaveraging of those that minimized RMSE when

- 426 compared to the MAOM fraction. This was chosen (instead of those optimized for POM or bulk SOC) since the
- 427 MAOM fraction is typically the largest single soil C pool and using this approach led to the biggest overall
- 428 decrease in RMSE when compared to all available data (Table S2). In future analyses, a more rigorous approach
- 429 may be to apply a cost function regarding all available measured pool data (e.g., including litter pool data when it
- 430 is also measured) but for our initial model evaluation we deemed this random choice sufficient.

#### 431 **2.5 Model evaluation for forests and grasslands in Europe**

Having optimized key parameter values, the new global parameter set for MEMS v1.0 was used to simulate the 432 433 remaining forest and grassland sites of the LUCAS dataset for independent evaluation. Driving variables of 434 edaphic conditions and land-use type were extracted for each site from LUCAS and combined with daily estimates 435 of C inputs and temperature (derived from simple interpolations assuming a normal distribution of MODIS annual 436 NPP data [see Supplementary for details] and CPC-GT daily maximum and minimum air temperature data, respectively). Where these data were unavailable, the site was removed from further evaluation. Three forest land-437 438 use classes (as described in LUCAS) were included, along with the pure grassland land-use class. This resulted in 439 a final dataset of 8192 sites (3487 grasslands, 1713 coniferous forests, 1590 broadleaved forests and 1402 'mixed' 440 forests). Mixed forests are defined to contain coniferous and broadleaved species that each contribute > 25% to 441 total tree canopy. Summary information for these sites can be found in Figure S1. To differentiate between input 442 litter chemistry, root:shoot ratios and root distribution of the four land-uses, generic driving variables for each 443 were derived from relevant literature. Details of these inputs are shown in Table 3.

444

Each of the 8192 sites was initialized with zero pool sizes and simulated for 1000 years to achieve steady\_-state conditions. This assumed the same intra-annual distribution of daily temperature and C input for each year. Organic carbon content reported in LUCAS was converted to SOC stock using the estimated bulk density reported with the database and reduced according to the measured rock/gravel content (Equation 1), i.e.,-

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451

450 
$$SOC = C_{conc} * {}^{L}\rho * (1 - {}^{L}rock)$$
 (1)

452 <u>w</u> where SOC is soil organic carbon stock in Mg C ha<sup>-1</sup>,  $C_{conc}$  is the measured C content in percent,  $L_{\rho}$  is the bulk 453 density of soil layer L in g cm<sup>-3</sup> and Lrock is the rock content of soil layer L expressed as a fraction. This total 454 SOC stock, was compared to MEMS v1.0 model output. In addition to comparing measured values with those 455 predicted at steady-state (which may not be an accurate assumption for many sites), a more general comparison 456 was performed to examine groups of sites under similar site conditions. Model performance was evaluated for 457 several classes of environmental conditions, with sites divided into above and below median values of mean 458 annual temperature (MAT, 8.3 °C), mean annual precipitation (MAP, 687 mm), annual NPP (647 gC m<sup>-2</sup> yr<sup>-1</sup>) and 459 sand content (50 %), for each land-use type. Several standard metrics for error and bias were used to evaluate 460 model performance following the flowchart presented in Smith et al. (1997), including Mean Absolute Error 461 (MAE), Mean Bias Error (MBE), Root Mean Square Error (RMSE), modelling efficiency (EF), and Coefficient 462 of Determination (CofD). Additionally, we used 16 environmental classes to derive an estimate of measurement 463 uncertainty based around sites of similar conditions (e.g., hot, wet, low input, sandy soil) for each land use. To 464 include both measurement and simulation error in the same evaluation metric, we applied a modified F-test
- 465 statistic that uses lack-of-fit sum of squares to account for both experimental and prediction uncertainty (see Sima
- 466 *et al.*, 2018 for more information). The variance required to calculate these was derived by using the full number
- 467 of environmental classes as described above (n = 16). Due to the lower number of fractionated sites in each group,
- only temperature and sand content were used as environmental classes (i.e., n = 4) to evaluate performance at
- these 154 sites. One-way ANOVAs were performed to show where average model results were significantly
- 470 different from average measured C stocks. An  $\alpha$  level of 0.05 was used to determine the significance of the 471 ANOVA and *F*-tests. Finally, we also use the standard errors for bulk topsoil C stocks of each environmental class
- 472 to determine the significance of RMSE assuming a two-tailed Student's t distribution and 95% confidence interval,
- 473 as described by Smith *et al.* (1997). All data processing and statistical analysis was performed in R (v3.4; R Core
- 474 Modelling Team, 2018).

#### 475 3 Results

# 476 **3.1 Sensitivity and behaviour of MEMS v1.0**

# 477 **3.1.1 Parameter sensitivity at different timescales**

478 Bulk SOC stocks were sensitive to different sets of parameters depending on the duration of the simulation (Figure 479 2; Figure S5). Parameters that define litter fragmentation and perturbation rates (*LITfrg*) or microbial CUE (mainly 480 LCmax, Nmax and Nmid) are responsible for rapid (< 2 years) changes in C stocks, particularly those in the litter 481 layer and light POM. As simulation time increases, the influence of these parameters declines relative to the litter 482 and POM decay rate parameters, particularly k5 and k10. Fifty years after simulations are initialized, more than 483 75% of the sensitivity in total soil C stock was due to the maximum specific decay rate of light POM (i.e., 484 parameter k10). After this point, its relative contribution to total C stock sensitivity diminishes as the parameters 485 that define MAOM-C sorption become more important (i.e., coefficients that determine the regression to calculate 486 MAOM-C saturation capacity [sclcept and scSlope]). Overall, our sensitivity analysis showed that the expected 487 dynamics with different processes (e.g., litter fragmentation, microbial processing and sorption) are operating at the appropriate timescales to structure SOM dynamics, and their associated parameters are more, or less, important 488 489 depending on the initial pool sizes and model run/experiment duration. Figure 2 can be interpreted as a depiction 490 of how each pool of MEMS v1.0 accumulates over time.

491

#### 492 **3.1.2** Soil carbon response to changing environmental conditions

493 Alone, each driving variable (edaphic conditions, temperature, and input litter quantity/quality) in MEMS v1.0 494 has a discrete and non-linear relationship to the proportion of soil C stored in the MAOM and POM pools under steady-state conditions (Figure 3). This analysis alters only one driving variable at time while holding others 495 496 constant at an average value. Bulk C stocks are predicted to be mostly MAOM in all cases except when C inputs 497 (annNPP) are very high (i.e., > 1.5 kg C m<sup>-2</sup> yr<sup>-1</sup>; Figure 3). This results from the fact that the MAOM pool will saturate at high input rates whereas the POM pools do not (Castellano et al., 2015; Cotrufo et al., 2018). Sand 498 499 content and soil pH influence a site's MAOM saturation capacity, and therefore a low capacity (i.e., high sand 500 content) with mineralogy associated with weaker organo-mineral bonding (i.e., high soil pH) has proportionally 501 more total POM. Litter input chemistry variables also have different, and sizable, impacts on whether SOM forms

- 502 and persists primarily in MAOM or in POM (as denoted by the MAOM:POM ratio). Note that POM in the
- 503 MAOM:POM ratio refers to total POM (i.e., pools C5 and C10 combined). The fraction of litter input that is hot-
- 504 water extractable (*fSOL*) is a key determinant of MAOM formation rates and when *fSOL* is high, MAOM-C stocks
- 505 at steady-\_state are predicted to be more than 4-four times higher than POM-C stocks (Figure 3). Conversely, when
- 506 input material has a high acid-insoluble (*fLIG*) content and a low N content (*LitN*) the size of the organic horizon
- 507 increases and, over time, POM-C stocks approach a 1:1 ratio with MAOM-C stocks. Figure 3 shows the impact
- 508 of changing one driving variable while all others remain constant. When many of these inputs vary at the same
- 509 time, the relationships to MAOM:POM can be very different (for example, the model predicts twice as much
- 510 POM-C as MAOM-C when simulating a sandy soil with coniferous vegetation and high *annNPP*).
- 511
- 512 MAOM-C saturation in the model is largely dependent on an interaction between the quantity of C inputs, the soil 513 texture (i.e., sand content) and mineralogy (i.e., for which soil pH is used as a proxy).

- 514 FigureFigure 4 shows that our mathematical formulation of sorption to mineral surfaces generated a very similar
- relationship to that proposed by Castellano et al. (2015). When C inputs are low, litter input chemistry has the
- 516 greatest influence on the MAOM-C stock under steady-state conditions. This is particularly true in soils with the
- 517 strongest mineral bonding (i.e., low pH) and high sorption capacity (i.e., low sand %; Figure 4 top right panel).
- 518

# 519 **3.2 Improved simulation due to parameter optimization**

Initial parameter values derived from relevant literature provided good estimates judging from model performance 520 521 with measured fractionation data (Table S2). Prior to optimisation, the difference between measured and modelled 522 bulk soil C stocks of fractionated LUCAS sites was insignificant for all four land-uses (one-way ANOVA, p >523 0.05). However, accounting for experimental and simulation uncertainty (variance calculated by four groups: 524 divisions of high/low mean annual temperature and sand content) MEMS v1.0 only accurately described bulk 525 SOC stocks for the grassland land-use class (F-statistic < 0.05). After optimisation, overall model fit with all soil 526 C fractions (MAOM, total POM and bulk) was improved by increasing the maximum decay rate of MAOM 527 (parameter k9) and decreasing the maximum decay rate of light POM (parameter k10), the maximum decay rate 528 of coarse, heavy POM (parameter k5), and the inflection point for the logistic curve that defines the N effect on 529 microbial CUE (parameter Nmid). This resulted in a lower RMSE against all measured data compared to baseline 530 values (Table S2). Despite the improved model fit, the error in simulated values for broadleaved forest sites was 531 still more than the error inherent to the measured data (at a 95% threshold and as defined by the modified F-test from Sima et al., 2018). This was primarily caused by two sites where measured total POM-C stocks were reported 532 533 to be > 95 Mg C ha<sup>-1</sup> in the top 20 cm (Figure 5). When these sites were removed from statistical comparisons there were no significant differences between modelled and measured bulk SOC stocks for any land use class. 534

535

536 Measured fractionation data from the four major land-use classes showed a wide range of soil C stocks and a significantly different MAOM:POM ratio between grassland and forests (Figure 5; Figure S4). This was 537 538 predominantly due to grassland topsoil (0-20 cm) having more MAOM and less total POM, compared to 539 coniferous soils (Figure S3). On average, simulations of the fractionated sites agreed well with measured data, 540 demonstrating no significant differences (p > 0.05) between measured and modelled C stocks of total POM or 541 bulk soil for all land uses, and for MAOM at broadleaved, mixed and coniferous forest sites (Figure 5). The only 542 statistically significant difference was between measured and modelled MAOM-C stocks for grassland sites (p < 543 0.01). However, measurements have a considerably larger range between minimum and maximum values than 544 did model simulations, particularly for total POM, which largely explained the high overall RMSE when 545 comparing all 154 sites (Table S2).

546

# 547 **3.3 Model evaluation for forests and grasslands in Europe**

548 Despite only including a few of the many factors that influence SOM dynamics, MEMS v1.0 was able to capture 549 the expected relationships between site conditions and total mineral soil C stocks based on an evaluation of the

- optimized model with independent data (Figure 6). Mean absolute error over all sites (n = 8192) was low (MBE
- $551 = 1.1 \text{ MgC ha}^{-1}$ ) and CofD was above 1, indicating that the simulated C stocks capture the trend of the measured

- data better than the mean of the measurements (Table 4). The main lack of fit was observed as the model
- 553 consistently underestimated bulk soil C stocks in forest systems with low mean annual temperature (MAT < 8.3
- <sup>554</sup> °C) and sandy soil textures (sand content > 50 %) (Figure S6). When divided by land-use classes, grassland sites
- had the lowest residuals and mixed forest sites had the highest (Figure 6; Figure S6). Using low and high divisions
- of MAT, MAP, sand content and C input quantity, to account for variance between each of these groups (n=16),
- 557 RMSE indicated that the model predictions of C stocks fell within the 95 % confidence interval of the
- 558 measurements for coniferous and mixed forest sites. Using the same groups but also accounting for simulated
- 559 variance indicated that the accuracy of MEMS v1.0 predictions were statistically significant for all land uses
- 560 besides broadleaf forest sites (F-statistic > 0.05;

- 563 TableTable 4). A geographic analysis of model performance indicated that the model performed best across France
- and Northeastern Europe but poorly across the UK, Ireland and Southern Sweden (Figure 7). Furthermore, topsoil
- 565 C stocks of broadleaved sites in Southeastern Europe, particularly Romania, were consistently overestimated by
- the model, especially when sites had low MAP (Figure 6; Figure 7).
- 567
- 568 In general, discrepancies between measured and modelled values were largest for the broadleaved forest land use 569 class (Figure S6). Results from analysis of the fractionated sites suggest that the model cannot achieve the very 570 high POM-C stocks measured at some sites. Optimized parameter values aim to produce a good overall model fit 571 but are unlikely to be able to capture the full range of measured values (for example, the lowest bulk topsoil C stock for a broadleaved site was 7 Mg C ha<sup>-1</sup> whereas the highest was 218 Mg C ha<sup>-1</sup>). A summary of model 572 573 performance against these 8192 evaluation sites is shown in Table 4. While the model's performance comparing 574 absolute C stocks appears good, this is done with the assumption that these topsoil C stocks at forest and grassland 575 sites in our analysis are at steady-state. This is unlikely to be true and therefore it is encouraging when general 576 trends are as expected (as is the case for many of the land uses and for many of the different environmental 577 divisions; Figure 6).

#### 578 4 Discussion

579 MEMS v1.0 was designed to consolidate recent advances in our understanding of SOM formation and persistence 580 into a parsimonious, ecosystem scale, mathematical model that uses a generalizable structure which, after further 581 development, can be developed further and implemented in Ecosystem and Earth System model applications. In 582 this study we aimed to provide proof-of-concept that a model structure built around known biogeochemical mechanisms (Figure 1) and measurable pools could be advantageous for application over varied site conditions. 583 584 Another advantage of using this novel structure is that each aspect is empirically quantifiable, allowing for 585 straightforward model evaluation of both total and fractionated SOM, addressing a common concern among conventional SOM models (Campbell and Paustian, 2015). 586

# 587 4.1 Sensitivity and behaviour of MEMS v1.0

588 The relationships between model driving variables and soil C stocks at steady-state highlight the importance of 589 litter chemistry on relative proportions of MAOM and total POM in MEMS v1.0 (Figure 3). This is generally 590 because both POM pools accumulate C when input litter has a high acid-insoluble fraction and a low N content, 591 resulting from reduced microbial accessibility and reduced DOM production (Scheibe and Gleixner, 2014). This 592 trend is also common in empirical studies and often associated with land-use change from herbaceous to woody 593 vegetation (Filley et al., 2008). Many of the parameters that influence the processes of POM formation and 594 persistence (e.g., LITfrg, Nmid, LCImax, etc.) have relatively high importance (i.e., sensitivity) to changes in total 595 SOM within relatively short time frames (i.e., < 10 years; Figure 2). This captures an important real-world trend 596 that POM is typically more vulnerable to decomposition with disturbance compared to MAOM (Cambardella and 597 Elliott, 1992). Consequently, the model is able to simulate this impact with processes and associated parameters 598 operating at the appropriate time-scale.

599

600 One main objective of structuring MEMS v1.0 around empirically-defined biogeochemical processes is so that it 601 can accurately represent the timescales on which different processes operate, rather than being solely dependent 602 on turnover times of conceptual pools. This is particularly relevant given our new understanding that the MAOM 603 fraction has short-term dynamics (Jilling et al., 2018). Consequently, it is reassuring to see that this knowledge, 604 which is incorporated into the MEMS v1.0 design, can be seen in Figure 2 (and Figure S5), where the parameters 605 that operate on short time-scales also have an immediate impact on the MAOM pool given the complexity of 606 controls in the model structure. The model's agreement with the hypothesized relationship from Castellano et al. 607 (2015) is also reassuring, and represents an important proof of concept that associates litter chemistry and C 608 saturation capacity with MAOM-C stocks at steady-state (Figure 4).

#### 609 4.2 Model evaluation of MEMS v1.0

610 While average agreement between measured and modelled soil C stocks was very good for MEMS v1.0, the model

- 611 failed to capture the wide range in total POM-C stocks that were observed at the fractionated LUCAS sites (Figure
- 612 5). This may be because this first version of the model does not include several of the key controls on POM
- dynamics, such as water/oxygen limitations (Keiluweit et al., 2016), aggregation (Gentile et al., 2011), activity of
- 614 soil fauna (Frouz, 2018) and nutrient availability (Bu et al., 2015; Averill and Waring, 2018). Furthermore There
- 615 are also limitations of our approach given that, very few of the sites will likely be under true steady-state

616 conditions, leading to further discrepancies between model predictions and measured values. Furthermore, the

617 variability in driving variables of litter chemistry, N content and root:shoot ratios are underestimated when using

- 618 <u>our approach of grouping many different land uses into broad classes.</u>
- 619

620 When examining the comparison between measured and modelled bulk soil C stocks for the 8192 forest and 621 grassland sites, residuals were particularly large for high latitude forestry sites in southern Sweden and the UK 622 (Figure 7). We hypothesize that this is primarily due to the fact that MEMS v1.0 does not simulate soil moisture 623 controls on decomposition, and temperature effects are applied through a simple function. In reality, these sorts 624 of forest soils are known to have very high total POM-C stocks, resulting from decades of consistent inputs and 625 cold, wet climates resulting in low decomposition rates (Berg, 2000). Differences between measured and modelled soil C stocks are also likely due to uncertainties with driving variables and specifically the MODIS estimates of 626 627 NPP. The 2009 NPP data from MODIS were used to estimate the C inputs to soils in our simulations, and these 628 data may not be representative of the average historical C inputs for those sites, which would impact the observed

amounts of soil C.

#### 630 4.3 Improving the parameters of MEMS v1.0

631 The current iteration of the MEMS model is not intended to be able to simulate all scenarios and environmental 632 conditions, but this study indicates it can be reasonably accurate in simulating forest and grassland sites in Europe 633 under steady-state conditions (Figure 6; Table 4). That said, several of the parameters in MEMS v1.0 are either poorly constrained or loosely defined in the current model. The *LITfrg* parameter, for example, defines a fixed 634 635 litter fragmentation and perturbation rate that transfers C from the structural litter pools (C2 and C3) belowground (to C5 and C10). The global sensitivity analysis of MEMS v1.0 indicates that *LITfrg* is particularly important for 636 637 several model pools and total SOC early in a simulation (Figure 2; Figure S5). There are several areas of research that may help make this process more mechanistic in MEMS and allow for feedbacks with site conditions (e.g., 638 639 Scheu and Wolters, 1991; Yoo et al., 2011). One option to generalise the vertical transport of structural litter into 640 the soil may be to apply a diffusion approach that can be valid at the ecosystem scale, as described in the 641 SOMPROF model (Braakhekke et al., 2011). More empirical data to link site conditions to perturbation processes 642 (e.g., cryoturbation, bioturbation, churning clays) would help with this area of MEMS model development.

643

644 As with vertical distribution of physical SOM, the transport of DOM vertically between layers lacks a mechanistic 645 foundation in MEMS v1.0. A noteworthy approach that attempts to simulate this transport while also representing 646 bioturbation through diffusion and sorption-desorption processes is presented in the COMISSION model (Ahrens et al., 2015). While these models apply more mechanistic functions to represent these key processes, one can 647 648 debate whether the increased complexity and computational demands are necessary. This, of course depends on 649 the model objectives and in MEMS v1.0 we have prioritised parsimony and deliberately minimised the number 650 of algorithms and parameters. While the model cannot yet address hypotheses about litter fragmentation or DOM 651 leaching, the generic structure of MEMS v1.0 can incorporate these processes in a more explicit manner in future 652 versions.

These parameters (specifically those related to DOM generation and microbial assimilation, see Table 2) were 655 656 estimated using Bayesian analysis that employed empirical data (Soong et al., 2015), but resulted in large posterior distributions with high uncertainty as noted by Campbell et al. (2016). Consequently, more data is required from 657 658 different litter types to help constrain these parameter values. In particular, the amount of DOM leached from 659 decaying microbial biomass (parameter  $la_2$ ) is particularly important for MAOM formation when the pool is relatively small (< 25 years in Figure 2). MEMS v1.0 currently uses the estimated value from Campbell et al. 660 (2016) for this parameter (0.19 g DOM g decayed microbial biomass<sup>-1</sup>) but it is worth noting the reported posterior 661 662 interval width was more than double this value (0.398 g DOM g decayed microbial biomass<sup>-1</sup>). Similarly, the rate 663 of microbial product generation from microbial biomass (parameter B3) was seen to be even more variable (Campbell et al., 2016). Empirically, the rate that microbial products are generated from microbial turnover is 664

Additional parameters of MEMS v1.0 that are poorly constrained include those associated with the LIDEL model.

- highly variable depending on the microbial community and the site conditions (Xu *et al.*, 2014). While improving these parameters was outside the scope of this study, the path towards improved model performance can be
- addressed with new empirical data that better inform the model parameters.

## 668 **4.4 Opportunities for further development in MEMS v1.0**

In its current capacity, MEMS v1.0 is <u>far from being able to simulate full ecosystems and is</u> limited in scope regarding the land use scenarios it can simulate accurately. Specifically, the initial model does not simulate the hydrological or nitrogen cycles, and currently operates on a single soil layer. However, MEMS v1.0 has been built to have a modular architecture, with careful consideration given to how additional processes can be addressed through future model development.

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675 The relationship between C and N in soils is fundamental to SOM dynamics (McGill and Cole, 1981), and therefore simulating the N cycle is at the forefront of plans to develop in the MEMS model. Since the MEMS 676 677 model structure is based on soil fractions that can be physically isolated, each current soil C pool in MEMS v1.0 678 (i.e. pools C5, C8, C9 and C10) can also have a direct equivalent for N, and be consistent with the fractionation 679 scheme for the C dynamics (Table S1). However, additional pools of nitrate and ammonium (and associated 680 mechanisms to describe N- fixation, nitrification and denitrification) are needed to accurately describe plant-soil 681 nutrient feedbacks. This highlights a major objective of future MEMS model development, i.e., to ensure the 682 model can be easily coupled with existing modules that describe other aspects of the ecosystem (e.g., plant growth 683 routines).

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685 Another key feature of MEMS v1.0 is its ability to test specific hypotheses directly against empirical data, such 686 as effects of soil priming on soil C stocks, effects of microbial feedbacks on OM sorption to mineral surfaces, or 687 the effects of soil fauna on SOM formation. Because each of the existing model pools can be isolated physically 688 and quantified, the rates of flux between these pools can also be quantified with isotopic tracer studies. Not only does this mean parameterization and evaluation data can be generated easily, but also that experiments can be 689 690 designed with this mathematical framework in mind, specifically generating the data required to develop, evaluate 691 and improve the model. While the current scope of MEMS v1.0 does not address all climate-C feedbacks, it does 692 provide the basis for a more mechanistic model that can simulate SOM dynamics at the ecosystem scale.

#### 693 **5** Conclusions

694 As a carbon model designed around the processes that govern SOM formation, MEMS v1.0 provides an analytically tractable framework that can be used to test specific hypotheses by pairing empirical experiments 695 696 with model simulations. While the inaugural version of this new model has limitations for direct evaluation with 697 real-world measurements, on average, its performance with simulating steady-state conditions equates well with 698 topsoil C stocks measured for ~8000 forest and grassland sites across Europe. Using a structure that aligns with our contemporary understanding of soil C dynamics, we also show that MEMS v1.0 is capable of accurately 699 700 proportioning SOM between particulate and mineral-associated fractions by accounting for litter chemistry of the 701 input material. By using litter chemistry to inform SOM formation pathways and edaphic conditions to inform the

- 702 C-saturation capacity of a soil, MEMS v1.0 also shows consistent trends with experimental findings.
- 703

Next steps for MEMS model development will require detailed routines of N and hydrological cycling, as well as

additional external drivers of SOM dynamics (e.g., land management practices). To reliably incorporate these

aspects in the MEMS model will require effective collaboration between modellers and experimentalists to design

507 studies that can both i) elucidate the underlying mechanisms that MEMS is built upon and ii) generate the

parameterization and validation data required to reduce model uncertainty. Successful execution of this strategy

709 will advance development of help to develop an ecosystem scale model that can improve assessments of

710 management and policy action on sustainability of soils and associated ecosystem services.

#### 711 Code and data availability

712 The LUCAS dataset can be found at https://esdac.jrc.ec.europa.eu/content/lucas-2009-topsoil-data with details of the

713 larger European Soil Data Centre project at http://doi.org/10.17616/R34069. The additional MAOM and POM

- 714 <u>fractionation data for the 154 sites used in this analysis can also be found at European Soil Data Centre (ESDAC) of</u>
- 715 <u>the European Commission Joint Research Centre (http://esdac.jrc.ec.europa.eu/).</u> Access to model code is currently
- 716 restricted to those directly collaborating with the MEMS development team. This is to ensure all bugs are caught and 717 treated before release to the public. Detailed information and code relevant to specific questions can be provided upon
- 718 request.

### 719 Supplementary materials

720 See separate attachments

# 721 Author Contribution

722 All authors contributed to the conceptualization of the MEMS model framework with MFC, KP and MDW 723 formalizing the original foundational science. The *in-practice* model structure was then formalized by ADR, MFC, KP, SO and MWD. All model building, coding, statistical analyses and data analysis on the measured fractionation 724 725 data and all model-measure comparisons was performed by ADR. Guidance on the optimisation procedures was 726 provided by SO. The LUCAS database was provided by EL and all initial analysis and preparation of the data (e.g., 727 refining bulk density estimates and NPP values for each site) was performed by EL. The project was overseen by all 728 authors but primarily led by MFC. Funding was initially provided by MDW and later through grants awarded to MFC 729 and KP. Developing, testing and evaluating the model was performed solely by ADR, as was all data presentation 730 apart from the final conceptual diagram (Figure 1) which was outsourced (see acknowledgments). The manuscript 731 was written and edited by ADR with comments and feedback from all co-authors.

# 732 Competing Interests

The authors declare that they have no conflict of interest.

#### 734 Disclaimer

735

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# 1043 Figure legends

1044 Figure 1 - Conceptual model diagram of MEMS v1.0 (see Table 1 for detailed information regarding each pool). Litter 1045 pools of MEMS v1.0 are defined as > 2mm particles and comprise of hot-water extractable (C1), acid-soluble (C2) and acid-1046 insoluble (C3) fractions. A microbial pool (C4) and dissolved carbon pool (C6) are also part of the organic horizon and 1047 litter decomposition processes (see LIDEL for more information, Campbell et al., 2016). Soil organic matter (< 2mm 1048 particles belowground) comprises of a light particulate organic matter pool (light POM, C10) formed from the input 1049 through fragmentation and physical transfer of the structural litter residues (C2 and C3), a coarse heavy POM pool (C5) 1050 formed from both litter fragmentation and microbial residues coating sand-sized particles, a dissolved organic matter 1051 (DOM) pool (C8) formed from the decomposition of all other pools and receiving DOM from the organic soil layer, and a 1052 mineral-associated organic matter pool (MAOM C9), which exchanges C through sorption and desorption with the DOM. 1053 Arrows indicate the fluxes of carbon between the different pools. Carbon dioxide is produced from a number of these fluxes 1054 but for simplicity of graphical representation, these arrows are not linked to the carbon dioxide pool (C7). Deeper soil 1055 layers can be represented by the same structure, with or without root inputs depending on depth, but are not implemented 1056 in this inaugural version of MEMS v1.0.



1059 Figure 2 - Global sensitivity analysis results showing the relative contribution of each parameter to a change in carbon 1060 stock of each pool in MEMS v1.0 (leached carbon to deeper soil layers [pool C11] is omitted for clarity) after simulation to 061 steady-state. Details of each parameter and the abbreviations used can be found in Table 2. The sensitivity analysis was 1062 repeated annually for simulation times between 1 and 100 years, every 10 years after that to 400-year simulations and every 063 100 years after that up to a 1000-year simulation. Results are presented on a log scale in years. The four parameters that 064 were optimized in our analysis (Table S2) are coloured to highlight their importance in the different pools (mid-point of 065 logistic curve where nitrogen content of input influences microbial carbon use efficiency, Nmid, red; maximum decay rate 066 of heavy particulate organic matter, k5, orange; maximum decay rate of mineral-associated organic matter, k9, blue; 067 maximum decay rate of light particulate organic matter, k10, green). Parameters involved in different SOM formation 068 processes are grouped by colour: yellows - parameters that define DOM leaching from the organic horizon to the soil layer; 069 reds parameters that affect microbial carbon use efficiency, purples parameters that affect organic matter vertical 070 transport to deeper layers, greens - maximum decay rates. A fully colourised version of these results can be in Figure S5.



1076 Figure 3 - The ratio between mineral-associated organic matter and total particulate organic matter (MAOM:POM) under

1077steady-state input conditions in MEMS v1.0 as a response to the full, realistic range of driving variables. Note, total POM1078refers to the sum of pools C5 and C10. Each input was varied individually while all others remained fixed at baseline values1079(indicated by dashed lines) – mean, maximum and minimum values for litter chemistry driving variables (*LitN*, *fDOC*, *fLIG*1080and *fSOL*) were derived from Campbell *et al.* (2016) and edaphic, climatic and C input driving variables (soil bulk density,1081sand content, soil pH, mean annual temperature and annual net primary productivity) were derived from the LUCAS1082dataset (Toth *et al.*, 2013).



1085 Figure 4 - Mineral-associated organic matter (MAOM) stock response to different levels of input litter quality and quantity,

1086 1087 compared for edaphic conditions which equate to different MAOM sorption relationships in MEMS v1.0. Formatting

adopted from Castellano et al. (2015) to aid comparison between the hypothetical relationship postulated and the actual response simulated by MEMS v1.0 here.

1088



- 1091 Figure 5 Measured and modelled soil C stocks (split into mineral-associated organic matter, MAOM, total particulate
- 1092 organic matter, POM, and total soil organic carbon, SOC) for the forest and grassland land-use classes of the fractionated
- sites from the LUCAS dataset (n = 154). Note that the MAOM:POM ratio facet is unitless, not as shown by the y-axis label. Also note the free y-axis scales and that total POM is a sum of both light and heavy fractions.



1097 Figure 6 - Comparisons between average (± 1 standard error) measured (red) and modelled (blue) bulk SOC stocks for

10988192 forestry and grassland sites over a climatic and edaphic gradient across Europe. Each comparison is partitioned into1099high and low groups of mean annual precipitation, MAP (top vs bottom panels), mean annual temperature, MAT (left vs1100right panels) and soil texture (alternating panels left to right). ANOVA comparisons of means is performed to show1101significant differences (\*\*\* p < 0.001, \*\* p < 0.01, \* p < 0.05). Number of samples for each land use and division is shown</td>1102at the base of each bar.



- 1106 Figure 7 Model residuals of topsoil (0-20 cm) C stocks (Mg C ha<sup>-1</sup>) for 8192 sites (3487 grasslands, 1713 coniferous forests,
- 1107 1590 broadleaved forests and 1402 'mixed' forests) across Europe, comparing measured values from the LUCAS database
- 1108 (Toth *et al.*, 2013) to simulated steady-state estimates from the MEMS v1.0 model. All land uses are grouped for averages.
- 1109 Residuals are averaged across all sites within each NUTS2 region (populations between 800,000 and 3 million) and coloured 1110 accordingly. Measured site C stocks were subtracted from modelled values, meaning the model underestimates SOC stocks
- in positive (blue) regions and overestimates SOC stocks in negative (red) regions. Residuals average to within 10 Mg C ha<sup>-</sup>
- <sup>1111</sup> In positive (blue) regions and overestimates SOC stocks in negative (red) regions. Residuals average to within 10 Mg C ha
   <sup>1112</sup> in areas with the lightest yellow colour. The size of circles within each region represents the number of sites simulated.
   <sup>1113</sup> Grey regions included no sites.

SOC Residuals (Mg C / ha)

All Land-uses

#### 1115 Tables

 $\begin{array}{c} 1116\\ 1117\end{array}$ Table 1 - State variables of MEMS v1.0 and fractionation definitions (measurement proxy and protocol) for isolating each pool. C1 to C4, and C6, refer to the litter layer, while C5 and C8 to C10 refer to the mineral soil. POM, Particulate organic

matter; DOM, Dissolved organic matter; OM, Organic Matter. All SOM fractions are primary fractions obtained after dispersion to break up aggregates. For detail on a fractionation scheme to quantify each pool of the MEMS model please 1118

- 1119 1120 refer Table S1.
- 1121

State	Pool description	Measurement proxy	Method reference
variable			
C1	Water soluble litter	Hot-water extractable C	Tappi (1981)
C2	Acid-soluble litter	Hydrolyzable fraction	Van Soest and Wine (1968); Van
C3	Acid-insoluble litter	Unhydrolyzable fraction	Soest et al. (1991)
C4	Microbial biomass	Direct extraction	Various (e.g., Setia et al., 2012)
C5	Coarse, heavy POM	$> 1.8~g~cm^{-3}$ and $> 53~\mu m~C$	Christensen, 1992
C6	Litter layer DOM	$< 0.45 \ \mu m$ extractable C	Kolka et al., 2008
C7	Emitted CO <sub>2</sub>	Heterotrophic soil respiration	See Subke et al., 2006
C8	Soil layer DOM	$< 0.45 \ \mu m$ extractable C	Kolka et al., 2008
С9	Mineral-associated OM	$> 1.8~g~cm^{\text{-3}}$ and $< 53~\mu m~C$	Christensen, 1992
C10	Light POM	$< 1.8 \text{ g cm}^{-3}$	Christensen, 1992
C11	Leached DOM	Suction cups / pans etc.	See Kindler et al., 2011

Table 2 - Description and default values of all parameters used with MEMS v1.0. Where possible, notation has been used to remain consistent with further details in the supplementary information. Driving variables are reported in Table 3. Ranges are indicative of those observed in literature. Refer to Materials and Methods and Table S2 for details of the optimized parameter ranges.

Parameter	Parameter definition	Default value (range)	Units	Reference(s)
B1	Maximum growth efficiency of microbial use of water-soluble litter carbon (C1)	0.6 (0.4 – 0.7)	g microbial biomass C/g decayed	Sinsabaugh et al., 2013
B2	Maximum growth efficiency of microbial use of acid-soluble structural litter carbon (C2)	0.5 (0.3 – 0.6)	g microbial biomass C/g decayed	Sinsabaugh et al., 2013
<i>B</i> 3	Heavy, coarse particulate organic matter (C5) generation from microbial biomass carbon (C4) decay	0.33 (0.028 – 0.79)	g microbial products C/g decayed C	Campbell et al., 2016
LIT <sub>frg</sub>	Carbon in structural litter inputs (C2 and C3) transported to soil particulate organic matter (C5 and C10) each time step	0.006 (1·10 <sup>-5</sup> – 2·10 <sup>-3</sup> )	g C/g C decayed	-
POM <sub>split</sub>	Fraction of fragmented litter inputs that form heavy particulate organic matter (C5)	0.30 (0.07 – 0.83)	0-1 scaling	Poeplau and Don, 2013; Soong <i>et al.</i> , 2016
DOC <sub>frg</sub>	Carbon in litter layer DOM (C6) transported to soil DOM (C8) each time step	0.8 (0.2 – 0.99)	g DOM-C/g DOM-C	-
DOC <sub>lch</sub>	Maximum specific rate of leaching to represent vertical transport of carbon in DOM through the soil profile	0.00438 (1·10 <sup>-5</sup> – 0.02)	g C day <sup>-1</sup>	Trumbore et al. 1992
EH <sub>max</sub>	Maximum amount of carbon leached from decayed acid-soluble litter carbon (C2) to litter layer DOM (C6)	0.15	g DOM-C/g decayed C	Campbell et al., 2016

EH <sub>min</sub>	Minimum amount of carbon leached from decayed acid-soluble litter carbon (C2) to litter layer DOM (C6)	0.005	g DOM-C/g decayed C	Campbell et al., 2016
ES <sub>max</sub>	Maximum amount of carbon leached from decayed water-soluble litter carbon (C1) to litter layer DOM (C6)	0.15	g DOM-C g decayed C <sup>-1</sup>	Campbell et al., 2016
ES <sub>min</sub>	Minimum amount of carbon leached from decayed water-soluble litter carbon (C1) to litter layer DOM (C6)	0.005	g DOM-C g decayed C <sup>-1</sup>	Campbell et al., 2016
$k_1$	Maximum decay rate of water-soluble litter carbon (C1)	0.37 (0.16 – 0.70)	<del>g C-</del> day <sup>-1</sup>	Campbell et al., 2016
k <sub>2</sub>	Maximum decay rate of acid-soluble litter carbon (C2)	0.009 (0.0011–0.0200)	<del>g C-</del> day <sup>-1</sup>	Campbell et al., 2016
k <sub>3</sub> *	Maximum decay rate of acid-insoluble litter carbon (C3)	0.0002 (2·10 <sup>-5</sup> - 1·10 <sup>-3</sup> )	<del>g C-</del> day <sup>-1</sup>	Moorhead et al., 2013
$k_4$	Maximum decay rate of microbial biomass carbon (C4)	0.57 (0.11-0.97)	<del>g C-</del> day <sup>-1</sup>	Campbell et al., 2016
$k_5$	Maximum decay rate of heavy, coarse particulate soil organic matter (C5)	0.0005 (6·10 <sup>-5</sup> – 1·10 <sup>-3</sup> )	<del>g-C-</del> day <sup>-1</sup>	Campbell <i>et al.</i> , 2016; Del Galdo <i>et al.</i> , 2003
$k_8$	Maximum decay rate of soil DOM (C8)	0.00144	<del>g C-</del> day <sup>-1</sup>	Kalbitz et al., 2005
k <sub>9</sub>	Maximum decay rate of mineral-associated soil organic matter (C9)	2.2·10 <sup>-5</sup> (1·10 <sup>-5</sup> - 4·10 <sup>-5</sup> )	<del>g C-</del> day <sup>-1</sup>	Del Galdo et al., 2003
<i>k</i> <sub>10</sub>	Maximum decay rate of light particulate soil organic matter (C10)	2.96·10 <sup>-4</sup> (4·10 <sup>-3</sup> -1·10 <sup>-4</sup> )	<del>g C-</del> day <sup>-1</sup>	Del Galdo et al., 2003
la <sub>2</sub>	Carbon leached from decayed microbial biomass carbon (C4)	0.19 (0.022 – 0.42)	g DOM-C g decayed C <sup>-1</sup>	Campbell et al., 2016

	Carbon leached from acid-insoluble litter carbon	0.038	a DOM-C a	Campbell at al. 2016: Soona		
$la_3$	and heavy, coarse particulate organic matter	$(0.014 \ 0.050)$	g DOM-C g	et al. 2015		
	carbon (C3 and C5)	(0.014 - 0.030)	decayed C	<i>ei ul.</i> , 2015		
101	Maximum lignocellulosic index that influences	0.51		Campbell et al., 2016; Soong		
LCI <sub>max</sub>	DOM generation from litter decay	0.51	-	<i>et al.</i> , 2015		
	Maximum N content that influences rates (above					
N <sub>max</sub>	this, there is no limit) of DOM generation and	3	%	Sinsabaugh et al., 2013		
	microbial carbon assimilation					
Ν	Mid-point of logistic function that describes N	1 75	0/0	Campbell et al., 2016; Soong		
1 mid	limitation	1.75	20	et al., 2015		
Taut	Optimum temperature at which decay rates are	45	°C	Harmon and Domingo 2001		
1 opt	highest	15	C	Harmon and Donningo, 2001		
T <sub>010</sub>	Rate at which the decomposition rate increases	2	_	Harmon and Domingo 2001		
- Q10	with a 10 °C increase in soil temperature	2		Tharmon and Donningo, 2001		
Traf	The reference temperature of estimated	13.5	°C	Del Galdo <i>et al</i> 2003		
rej	maximum decay rates (i.e., parameters $k_x$ )	15.5	C	Dei Galdo el ul., 2005		
	Shape of the excessive temperature limitation for					
$T_{shp}$	temperature modifier on decay rates beyond	15	-	Harmon and Domingo, 2001		
	optimum temperature					
	Difference from optimum temperature to the					
T <sub>lag</sub>	decline above that threshold applying to the	4	°C	Harmon and Domingo, 2001		
	temperature modifier on decay rates					
	Difference between the maximum and minimum					
T <sub>range</sub>	soil temperature values over a given year (unused	24	°C	Toth et al., 2013		
	when temperature inputs are available)					
	Intercept coefficient used for the linear		g C in < 53 $\mu$ m			
$SC_{icept}$	regression that estimates the maximum sorption	11.08	fraction kg	Six et al., 2002		
	capacity (parameter $Q_{max}$ ) of a soil		soil <sup>-1</sup>			

	Slope coefficient used for the linear regression			
SC <sub>slope</sub>	that estimates the maximum sorption capacity	0.2613	-	Six et al., 2002
	(parameter $Q_{max}$ ) of a soil			
	Binding affinity for carbon in soil DOM (C8)			Mayor at al 2012.
$^{L}k_{lm}$ *	sorption to mineral surfaces (C9) of the soil layer	0.25	gC day-1	Mayes $ei$ $ai., 2012,$
	L			
	Maximum sorption capacity of mineral-			
$^{L}Q_{max}$ *	associated soil organic matter carbon (C9) of soil	-	gC m <sup>-2</sup> depth <sup>-1</sup>	Six et al., 2002
	layer L			

\* These parameters are calculated as functions of others. For example,  $Q_{max}$  is a function of sand content, soil bulk density, rock fraction,  $SC_{icept}$  and  $SC_{slope}$ . More details can be found in the supplementary materials.

Table 3 - List of required driving variables for the MEMS v1.0 model. Baseline values represent mean values as reported in the LUCAS database (Toth *et al.*, 2013) of 8192 forest and grassland sites across Europe and were used for all qualitative testing and sensitivity analyses.

			Basel	Land-use specific values				Reference
Driving variable	Symbol	Units	ine value	Grass land	Broadleaf forest	Mixed forest	Conifero us forest	
Site condition variables								
Annual net primary productivity	annNPP	g C m <sup>-2</sup> yr <sup>-1</sup>	681	Γ	Site-specific	values requ	uired	ORNL DAAC, 2009
Sand content of soil layer	Sand	%	47.8					
Bulk density of soil layer	BD	g cm <sup>-3</sup>	1.21					T (1 ( 1 2012
Rock fraction of soil layer	Rock	%	7.62	_			-	1 otn <i>et al.</i> , 2013
Soil pH of layer	pН	-	5.58					
* Daily total carbon input	CT	g C m <sup>-2</sup> day <sup>-1</sup>	1.30					-
* Mean daily soil temperature	soilT	°C	8.28					NOAA, 2018
Litter chemistry variables								
Hot-water extractable fraction	fSOL	0-1	0.45	0.35	0.40	0.38	0.35	
Acid-insoluble fraction	fLIG	0-1	0.20	0.15	0.27	0.30	0.32	Campbell et al., 2016
Internal nitrogen content	LitN	%	1.00	1.10	1.32	0.87	0.41	
Root distribution variables								
Maximum rooting depth	Rdepmx	cm	300	260	290	340	390	Canadell et al., 1996
Depth to which 50% of root mass	Rdep50	cm	20	1.5	25	27.5	20	Jackson et al., 1996
is distributed			20	15	25	27.5	30	
Root to shoot ratio	RtoS	-	1.00	3.70	0.23	0.21	0.18	Jackson et al., 1996

5 \* - When daily measurements are not available annual values can be used to interpolate daily estimates. For more information please refer to the supplementary materials.

Table 4 - Evaluation results of comparisons between measured and modelled topsoil (0-20 cm) C stock for 8192 grassland and forest sites across Europe (see Figure 7 for geographic distribution of residuals). Mean absolute error (MAE) and mean bias error (MBE) describe the overall difference and directional difference between measured and modelled values, respectively. The model is deemed to describe the trend of the measured data better than the mean of the measurements when the modelling efficiency (EF) is positive, or when the Coefficient of Determination (CofD) is above 1. Each is a discrete

5

evaluation metric. Divisions of high/low site conditions (mean annual temperature, mean annual precipitation, annual C inputs, sand content) were used to derive statistical significance (root mean square error, RMSE, and *F*-statistic) of differences between measured and modelled values while accounting for measurement variance within these divisions. An RMSE value below RMSE<sub>95</sub> indicates that simulated C stocks fall within the 95 % confidence interval of the measurements. An F-statistic below 0.05 also shows that simulated values are not significantly different to measurements at a 95 % confidence level.

		Evaluation metrics for individual site performance								Evaluation metrics using site condition <i>divisions</i> to include variance		
Land use	n	Mean $\pm$ 1 S.E. (Mg C ha <sup>-1</sup> )		MAE (Mg ha <sup>-1</sup> )	С	MBE (Mg ha <sup>-1</sup> )	С	EF	CofD	RMSE (Mg C ha <sup>-</sup> <sup>1</sup> )	RMSE95 (Mg C ha <sup>-1</sup> )	C F-statistic
		Observed	Predicted									
Pure grass	3487	$65.9\pm0.5$	$66.3\pm0.3$	24.7		-0.4		-0.047	4.52	13.0	10.3	0.009
Broadleaved	1590	$71.2\pm1.0$	$73.8\pm0.4$	31.0		-2.5		-0.062	5.54	19.0	14.7	0.052
Mixed Forest	1402	$82.3\pm1.1$	$75.2\pm0.3$	35.4		7		-0.173	8.36	12.9	19.2	0.042
Coniferous	1713	$79.0\pm 1.1$	$76.3\pm0.3$	36.1		2.7		-0.057	10.35	13.5	18.7	0.006
* All	8192	$72.5\pm0.4$	$71.4\pm0.2$	30.2		1.1		-0.048	6.32	14.9	15.7	0.020

10

\* All sites use 64 divisions (high/low site conditions and land use type)

# MARKED-UP SUPPLEMENTARY MATERIAL BELOW THIS POINT

# 17 SUPPLEMENTARY MATERIALS FOR:

18	Unifying soil organic matter formation and persistence frameworks: the MEMS model
19	
20	

# 21 Full model description of MEMS v1.0

#### 22 Mathematical representation of MEMS v1.0

Below are the differential equations for dynamics through time as calculated by MEMS v1.0. For simplicity, many of the individual fluxes are summarized by single names (e.g.,  $C1_{in}^{i}$  to represent total inputs to the C1 pool from litter material *i*, instead of including the separate calculation). Please refer to the equations provided in this Supplementary Materials. Parameter descriptions can be found in Table 2 of the main manuscript. Please note that the below list equations are fully representative of the carbon dynamics of MEMS v1.0 but are layer- and time-specific. However,

28 for simplicity are presented in a generalized form.

29

30

$$\frac{dC1}{dt} = C1_{in}^{i} - (uk * C1 * k_{1})$$
(1)

31 
$$\frac{dC2}{dt} = C2_{in}^{i} - (uk * C2 * k_2) - (C2 * LIT_{frg})$$
(2)

32 
$$\frac{dC3}{dt} = C3_{in}^{i} - (C3 * k_3) - (C3 * LIT_{frg})$$
(3)

33 
$$\frac{dC4}{dt} = C4_{ass}^{C1} + C4_{ass}^{C2} - (C4 * k_4)$$
(4)

34 
$$\frac{dC5}{dt} = C5_{gen}^{C4} + C5_{frg}^{C2} + C5_{frg}^{C3} - (C5 * k_5)$$
(5)

35 
$$\frac{dC6}{dt} = C6_{in}^{i} + C6_{in}^{C1} + C6_{in}^{C2} + C6_{in}^{C3} + C6_{in}^{C4} - C8_{in}^{C6}$$
(6)

36 
$$\frac{dC7}{dt} = C1_{co2} + C2_{co2} + C3_{co2} + C4_{co2} + C5_{co2} + C8_{co2} + C9_{co2} + C10_{co2}$$
(7)

37 
$$\frac{dC8}{dt} = C8_{in}^{C5} + C8_{in}^{C6} + C8_{in}^{C10} - sorption - (C8 * DOC_{lch}) - (C8 * k_8)$$
(8)

38 
$$\frac{dC9}{dt} = sorption - (C9 * k_9)$$
(9)

39 
$$\frac{dC10}{dt} = C10_{frg}^{C2} + C10_{frg}^{C3} - (C10 * k_{10})$$
(10)

$$\frac{dC11}{dt} = (C8 * DOC_{lch}) \tag{11}$$

41

49

40

# 42 Carbon inputs from external sources

In MEMS v1.0 the above- and below-ground plant residue inputs are combined and input to the system on a daily timestep. These total inputs are partitioned between C1, C2, C3 and C6 as a function of the external source (*i*) input properties (Eqs. 12-15): the cold water extractable fraction of the hot-water extractable litter input  $(f_{DOC}^{i})$ , the hot water extractable fraction of the litter input  $(f_{SOL}^{i})$  and acid-insoluble fraction of the litter input  $(f_{LIG}^{i})$ .

47 
$${}^{\underline{L}}_{J}C1^{i}_{in} = \left( {}^{\underline{L}}_{J}CT^{i} * f^{i}_{SOL} \right) - \left( {}^{\underline{L}}_{J}CT^{i} * f^{i}_{SOL} * f^{i}_{DOC} \right)$$
(12)

48 
$$\frac{L}{j}C2_{in}^{i} = \frac{L}{j}CT^{i} - \left(\frac{L}{j}CT^{i} * \left(f_{SOL}^{i} + f_{LIG}^{i}\right)\right)$$
(13)

$${}^{\underline{L}}_{j}C3^{i}_{in} = \left({}^{\underline{L}}_{j}CT^{i} * f^{i}_{LIG}\right)$$
(14)
$${}^{L}_{j}C6^{i}_{in} = {}^{L}_{j}CT^{i} * f^{i}_{SOL} * f^{i}_{DOC}$$
(15)

52 Where  ${}_{j}^{L}X_{in}^{i}$  is refers to the daily carbon input to pool X from external source *i* for layer *L*-on day *j*, and  ${}_{j}^{L}CT^{i}$  is the 53 total daily carbon input from external source *i* for layer *L*-on day *j*. For MEMS v1.0 the layer is fixed to the 54 aboveground litter layer only, allowing for use of the same functions as those presenting in the LIDEL model 55 (Campbell *et al.*, 2016). However, future versions may incorporate the same structure for different points of entry for 56 <u>C inputs (e.g., root death and the rhizosphere).</u>

57

Once allocated to their initial pools, the carbon is susceptible to assimilation in microbial biomass if it is water-soluble (C1) or acid-soluble (C2) but only co-metabolized if it is acid-insoluble (C3). The contents of these pools represent compounds of increasing chemical complexity (e.g., C1, mostly soluble carbohydrates, phenols and amino acids; C2, mostly cellulose, xylans and other hemicelluloses; C3, mostly lignin aboveground and suberin/cutin belowground) and are associated with decreasing microbial use efficiency.

63

## 64 Microbial assimilation from litter pools

65 Many of the biogeochemical processes represented by MEMS are assumed to be microbially mediated, and therefore are associated with C-mineralization and the resulting carbon dioxide (CO<sub>2</sub>) emissions from microbial respiration. 66 67 The primary carbon losses to CO2-\_\_\_\_result from the metabolic processes of bacteria and fungi within the soil and are 68 aligned with the mathematical representations as described by Campbell et al. (2016) and, in part, summarise the 69 findings of Sinsabaugh et al. (2013), Moorhead et al. (2013) and Soong et al. (2015). In addition, carbon assimilation 70 of by microbial biomass (C4) in the litter layer results from the balance between anabolic and catabolic processes and 71 thus, as biomass is formed, dissolved organic matter (DOM) and CO<sub>2</sub> are also produced -there is also CO<sub>2</sub> as well as 72 earbon in dissolved organic matter (DOM) production. Microbial assimilation is a function of nitrogen content and 73 lignocellulosic index (Eq. 16) of the structural litter pools (C2 and C3; organic matter > 2 mm)-in each layer and 74 controlled by maximum decomposition rates for C1  $(k_1)$  and C2  $(k_2)$  that assume first-order decay.

75 
$$\frac{{}^{L}_{j}LCI_{lit}}{\binom{L}{j}C2+\frac{L}{j}C3}$$
 (16)

$${}^{\underline{L}}_{j}C4{}^{C1}_{ass} = uB * B_{1} * (1 - la_{4}) * uk * k_{1} * {}^{\underline{L}}_{j}C1$$
(17)

$${}^{\underline{L}}_{j}C4{}^{C2}_{ass} = uB * B_{2} * (1 - la_{1}) * uk * k_{2} * {}^{\underline{L}}_{j}C2$$
(18)

78

Where  ${}^{L}_{j}C4{}^{C1}_{ass}$  and  ${}^{L}_{j}C4{}^{C2}_{ass}$  refer to the fraction of the given litter pool (i.e., C1 or C2) that is microbially assimilated to pool C4 of layer *L* on day *j* from pool C1 or C2, respectively. Note that these functions are <u>make microbial</u> assimilation explicit in this specific to a single aboveground litter layer. In the soil itself, microbial assimilation of organic matter is still occurring but assumed to be implicit and incorporated in the carbon mineralization rates for each of the soil pools (e.g., C5, C8, C9 and C10). In future versions of the model, the same general structure can apply, with an explicit microbial component at the different -(aboveground litter in MEMS v1.0)points of entry (i.e.,

- 85 <u>rhizospheric inputs vs aboveground litter) but</u> and parameter values may differ between layers, when more are added.
   86 Detail about the concepts behind this approach can be found in Sokol *et al.*, 2018.
- 87

More information of the parameters uB, uk,  $B_x$ ,  $la_x$  and  $k_x$  can be found in Campbell *et al.* (2016) and <u>in the</u> equations below Table 2 in the main manuscript, but briefly:

90 91 <sup>L</sup><sub>j</sub>uB and <sup>L</sup><sub>j</sub>uk are rate modifiers to represent the litter chemistry controls (LCI and available nitrogen) on microbial use efficiency, for layer L on day j.

92 
$$\frac{L}{j}uB = min\left(\left(\frac{1}{1+e^{-N_{max}}(N_{lit}-N_{mid})}\right), \left(1-e^{-0.7\left(\left|\frac{L}{j}LCI_{lit}-0.7\right|*10\right)}\right)\right)$$
(19)

93 
$$\frac{L}{j}uk = min\left(\left(\frac{1}{1+e^{-N_{max}}(N_{lit}-N_{mid})}\right), \left(e^{-3*\frac{L}{j}LCI_{lit}}\right)\right)$$
(20)

94

Where  $N_{max}$  and  $N_{mid}$  are maximum and mid points of litter nitrogen content having an impact on microbial use efficiencies, using a logistic curve (see Figure S<sup>76</sup>).  $N_{lit}$  and  ${}^{L}_{j}LCI_{lit}$  are the input material nitrogen content and LCI of layer *L*-being simulated on day *j*.

98

104

105

109 IMPORTANT NOTE – In MEMS v1.0 there is no nitrogen cycling and therefore the  $N_{lit}$  value is not dynamic, as it 100 likely should be. Consequently, MEMS v1.0 uses the nitrogen content of the input material, and therefore  $N_{lit}$  is a 101 constant through time and across layers. This constant nitrogen value is consistent with the approach used by the 102 LIDEL model (Campbell *et al.*, 2016) however it is expected that a dynamic nitrogen (i.e. be  $\frac{L}{j}N_{lit}$  – as equivalent to 103  $\frac{L}{j}LCI_{lit}$ ) content would more likely reflect real-world conditions, especially in extended periods without litter input.

- $B_1$  and  $B_2$  are maximum growth efficiencies associated with the water-soluble and acid-soluble litter pools (C1 and C2), respectively (See Table 2 in the main manuscript).
- 106  $la_1$  and  $la_4$  are estimates of carbon in DOM generation from leaching the decayed litter pools of layer *L* on 107 day *j*.

$$108 \qquad \frac{L}{j}la_{1} = min\left(\left(E_{Hmax} - \frac{(E_{Hmax} - E_{Hmin})}{LCI_{max}} * \frac{L}{j}LCI_{lit}\right), \left(E_{Hmax} - \frac{(E_{Hmax} - E_{Hmin})}{N_{max}} * N_{lit}\right)\right) \quad (21)$$

$$109 \qquad \frac{L}{j}la_{4} = min\left(\left(E_{Smax} - \frac{(E_{Smax} - E_{Smin})}{LCI_{max}} * \frac{L}{j}LCI_{lit}\right), \left(E_{Smax} - \frac{(E_{Smax} - E_{Smin})}{N_{max}} * N_{lit}\right)\right) \qquad (22)$$

110

111 Where  $E_{Hmax}$  and  $E_{Hmin}$  are the maximum and minimum amount of DOM leached from decay of acid-soluble litter 112 (C2), and  $E_{Smax}$  and  $E_{Smin}$  are the maximum and minimum amount of DOM leached from decay of water-soluble 113 litter (C1).  $LCI_{max}$  refers to the maximum lignocellulosic index that can have an impact on these rates. As noted 114 above,  $N_{lit}$  and  $\frac{L}{j}LCI_{lit}$  are the nitrogen content of input material and LCI of layer *L*-being simulated on day *j*. •  $k_1$  and  $k_2$  are the maximum decay rates of water-soluble (C1) and acid-soluble (C2) litter pools,

- 116 respectively (See Table 2 in the main manuscript).
- 117

## 118 Microbial mortality and necromass production

After carbon is metabolized by microbes and incorporated in pool C4, the death and products of microbial activity result in the compounds that form the coarse, heavy particulate SOM (C5) that is often found coating sand particles in the > 53  $\mu$ m soil fraction (Ludwig *et al.*, 2015). In the aboveground litter layer simulated by MEMS v1.0, this process of microbial biomass decay results in loss to DOC (C6) and CO<sub>2</sub>(C7), in addition to the C5 pool belowground.

$${}^{\underline{L}}_{j}C5{}^{C4}_{gen} = B_3 * (1 - la_2) * k_4 * {}^{\underline{L}}_{j}C4$$
(23)

123 124

125 Where  ${}_{j}^{L}C5{}_{gen}^{C4}$  refers to the fraction of carbon that is transferred from C4 to C5 (i.e., microbial products transported 126 belowground when physical and hydrological processes mix between the input layer [aboveground litter only in MEMS v1.0] and soil layer) with structural litter fragmentation and bioturbation or advection and leaching of DOC 127 128 for layer L on day j. Belowground, this flux does not move vertically between layers but is transferred from C4 to C5 129 within the same soil layer. The flux from the aboveground microbial biomass pool (C4) is assumed to move 130 belowground, to the first soil layer (see Figure 1 in the main manuscript). More information of the parameters  $B_3$ ,  $la_2$ 131 and  $k_4$  can be found in Table 2 in the main manuscript, but briefly,  $B_3$  refers to a maximum rate of microbial product (C5) generation per unit of microbial biomass (C4) decayed,  $la_2$  refers to the maximum amount of DOM produced 132 133 per unit of microbial biomass (C4) decayed and  $k_4$  refers to the maximum rate of microbial biomass (C4) decay.

134

## 135 Fragmentation and perturbation

To quantify the transfer of carbon from large (> 2 mm) particulates to small particulates belowground, simple parameter values have been allocated to represent first-order rates of transfer from both structural litter pools (C2 and C3). As model development continues, these rates will be improved to provide more mechanistic relationships with site conditions (see Braakehekke *et al.*, 2011). See Table 2 for information about the parameter used in MEMS v1.0 (*LIT<sub>frg</sub>*). The amount of litter C fragmented and transferred vertically from structural litter pools to the belowground POM pools (C5 and C10) is also governed by the *POM<sub>split</sub>* parameter that defines how much of the total is allocated to C5.

143 144

$${}^{\underline{L}}_{j}C5{}^{C2}_{frg} = POM_{split} * LIT_{frg} * {}^{\underline{L}}_{j}C2$$
(24)

145 
$$\frac{{}^{L}_{j}C5^{C3}_{frg}}{}^{L} = POM_{split} * LIT_{frg} * {}^{L}_{j}C3$$
(25)

146 
$$\frac{L}{j}C10_{frg}^{C2} = (1 - POM_{split}) * LIT_{frg} * \frac{L}{j}C2$$
(26)

147 
$$\frac{L}{j}C10_{frg}^{C3} = (1 - POM_{split}) * LIT_{frg} * \frac{L}{j}C3$$
(27)

148

149 Where  $\frac{L}{j}CX_{frg}^{CY}$  refers to the amount of carbon that is transferred from pool *CY* to pool *CX* for layer *L*-on day *j*. 150

#### 151 Dissolved organic matter production

Dissolved organic matter plays a major role in the MEMS model as it is the only way in which carbon can sorb to mineral surfaces in the soil, meaning that if there is limited DOM there will also be limited stabilization in MAOM

- 154 (C9). Consequently, DOM production from all model pools is simulated explicitly according to the formulae provided 155 by the LIDEL model (Campbell *et al.*, 2016) and based on empirical data in Soong *et al.* (2015). Each timestep, the 156 aboveground litter layer DOM (C6) receives a fraction of inputs from external sources directly (Eq. 15;  ${}^{L}_{j}C6^{i}_{in}$ ), from 157 all litter layer pools ( ${}^{L}_{i}C6^{C1}_{in}$ ,  ${}^{L}_{i}C6^{C2}_{in}$ ,  ${}^{L}_{i}C6^{C3}_{in}$ ) and from microbial biomass ( ${}^{L}_{i}C6^{C4}_{in}$ ).
- 158  $\frac{L}{i}C6^{C1}_{in} = la_4 * uk * k_1 * \frac{L}{i}C1$ (28)
- 159  $\frac{L}{i}C6^{C2}_{in} = la_1 * uk * k_2 * \frac{L}{i}C2$  (29)

160 
$$\frac{l}{j}C6_{in}^{C3} = la_3 * k_3 * \frac{l}{j}C3$$
(30)

$$\frac{1}{j}C6_{in}^{C4} = la_2 * k_4 * \frac{1}{j}C4$$
(31)

162

161

Where  $\int_{i}^{L} C x_{in}^{Cy}$  refers to DOM leaching from pool y to pool x-of layer L on day j. The parameters used are detailed in 163 Table 2 in the main manuscript, and/or defined in previous equation in this section. Note that pool C6 is not the DOM 164 165 consumed by microbial biomass but rather the amount leftover after microbial activity. In this initial model version, 166 the litter layer only refers to the aboveground component, but the same structure can equally apply to belowground C inputs such as root death. only exists in the aboveground litter layer and therefore in the above equations L is always 167 168 the aboveground layer. However, measurably, the DOM in the C6 pool aboveground litter layer DOM is directly 169 equivalent to the belowground soil DOM (C8). In MEMS v1.0, DOM enters the soil through the C6 pool only. However, w When explicit inputs from belowground litter (e.g., roots) are simulated in future versions Eqs. 28-31 170 171 can apply for each soil layer adding the DOM that is in excess of microbial activity directly to pool C8 instead of the 172 'C6' shown in the equations above. -Similarly, root exudates can be simulated as direct addition to the C8 pool of 173 any specific soil layer. Hence, just as the litter layer DOM (C6) receives inputs from the aboveground litter layer 174 pools, the soil DOM (C8) would receive inputs from the belowground pools (e.g., decomposing root matter and root exudation). In addition, the soil DOM pool receives inputs from the POM and MAOM pools  $({}^{L}_{i}C8{}^{C5}_{in}, {}^{L}_{is}orption,$ 175  ${}_{i}^{L}C8_{in}^{C10}$ ) as well as from leached litter DOM (C6). Here, the sorption flux represents the net carbon exchange between 176 177 soil DOM (C8) and MAOM (C9).

$${}^{\underline{L}}_{j}C8^{C5}_{in} = la_3 * k_5 * {}^{\underline{L}}_{j}C5$$
(32)

$${}^{\underline{L}}_{j}C8{}^{C6}_{in} = DOC_{frg} * {}^{\underline{L}}_{j}C6$$
(33)

 ${}^{L}_{j}C8{}^{C10}_{in} = la_3 * k_{10} * {}^{L}_{j}C10$ (34)

181

The parameter values are defined in Table 2 in the main manuscript. As with the  $LIT_{frg}$  parameter, the  $DOC_{frg}$  value in MEMS v1.0 is set as a tuning parameter and simply assumes first-order rates to allocate a given proportion of the carbon in litter layer DOM pool (C6) to the soil DOM pool (C8) each timestep. As noted earlier, these functions are

- 185 layer-specific and therefore in a multi-layer version of MEMS, there would be vertical leaching of DOM between C8
- pool of different layers, instead of from the aboveground C6 pool alone (i.e., to replace Eq. 33).
- 187

#### 188 Sorption and desorption

189 The formation of organo-mineral complexes in MEMS v1.0 is represented by a net sorption-desorption process that 190 uses the amount of soil DOM (C8) to estimate adsorption rates based on a Langmuir isotherm (Kothawala et al., 2008). The key elements of this isotherm are the 'binding affinity'  $(K_{lm})$  - see Eq. 35 - and maximum sorption 191 192 capacity  $(Q_{max})$  – see Eq. 36 – which are controlled by site-specific conditions (soil pH and soil texture, respectively). 193 It is worth noting that each of these site-specific conditions are provided as driving variables to the model, and are 194 constants that represent the site at time-zero (i.e., soil pH is not simulated to change through time). The net sorption 195 rate (sorption) aims to account for several different sorption mechanisms (e.g., cation bridging, surface 196 complexation, etc.) to retain parsimony. A more accurate net flux may simulate the different mechanisms individually 197 to allow for more detailed representation of different mineralogies as per Six et al. (2002) (e.g., dominated by 2:1 198 clays vs 1:1 clays). Future development of MEMS may adopt these changes.

$${}^{L}K_{lm} = 10^{(-0.186 \, {}^{L}soilpH - 0.216)}$$
(35)

200

199

Where <sup>*L*</sup> soilpH refers to the 'native' soil pH of the simulated soil-layer *L*. The soil pH, as used in Eq 35, acts as a proxy for mineralogical differences between soils, with higher native soil pH being equated with weaker chemical bonding. This tenet is adopted from the regression provided in Mayes *et al.* (2012) and results in  $K_{lm}$  being estimated as in the MILLENNIAL model (Abramoff *et al.*, 2017). However, the MEMS v1.0 estimate of  $Q_{max}$  does not follow the MILLENNIAL model and instead calculates a general relationship between maximum soil carbon capacity and soil texture using the entire dataset of Six *et al.* (2002). This takes a simple linear regression approach using the soil layer's percent silt and clay content (i.e., 100 - sand)

- ${}^{L}Q_{max} = {}^{L}\rho * (0.26126 * (100 {}^{L}sand) + 11.07820) * (1 {}^{L}rock)$ (36)
- 209

208

Where  ${}^{L}\rho$  refers to the bulk density of <u>the</u> soil <u>layer</u> L at the site being simulated. Note that the bulk density is a conversion specific to the depth of the soil layer that converts a concentration from the regression of Six *et al.* (2002) to carbon density (e.g., gC m<sup>-2</sup> layer depth<sup>-1</sup>) and therefore the equations shown here assume a 1 meter deep layer for simplification. Both the sand content ( ${}^{L}sand$ ) and rock fraction ( ${}^{L}rock$ ) are expressed in percent (i.e., 0-100) and <u>specific to layer L</u>. The resulting equation to represent net sorption is controlled by a Langmuir saturation function, using the amount of soil DOC (C8) available for sorpt\_\_\_\_\_\_ion as well as the saturation deficit of MAOM (C9). Note, all coefficients in the equation below are layer- and timestep-specific.

217 
$$\frac{{}^{L}_{j}sorption}{}^{L}_{j}c8 * \frac{\left(\left(\frac{({}^{L}_{K_{lm}}*{}^{L}_{Q_{max}}*{}^{L}_{j}C8}{1+(\frac{L}{j}K_{lm}*{}^{L}_{j}C8)}\right) - {}^{L}_{j}c9\right)}{{}^{L}_{Q_{max}}}$$
(37)

219 Where  $\frac{L}{j}$  sorption is a net exchange of carbon between the soil DOM (C8) and MAOM (C9) pools of layer L given

their size on day j. Since  $K_{lm}$  and  $Q_{max}$  are site-specific parameters, and the pool sizes (C8 and C9) are dynamic

through time, there are interactions between these factors which mean sorption rates are not necessarily comparable between sites. This sorption process is assumed to be abiotic in that it results in no  $CO_2$  emitted. As a net rate, sorption

- and desorption are not simulated individually which may make it difficult to represent potential priming effects on
- 224 organo-mineral associations (e.g., Keiluweit *et al.*, 2015). Future MEMS model version will explore these feedbacks
- 225 further.
- 226

### 227 Decomposition and pool decay rates

Apart from the litter layer DOM (C6), each of the state variables in MEMS v1.0 decay directly with unique decay rates informed by literature values (see Table 2). This decay results in CO<sub>2</sub> emissions which continually accumulate in the sink C7. The amount of CO<sub>2</sub> associated with each microbial process is equivalent to the amount of carbon leftover after losses to DOM are calculated so the decay rate constants for pool x ( $k_x$ ) also embody explicit DOM

232 generation and not just CO<sub>2</sub> emissions, as is more common in traditional SOM models (e.g., CENTURY or RothC).

As with earlier equations, these below are can be layer- and time-specific but for simplicity are presented in a generalized form.

235 
$$C1_{co2} = \left( \left( 1 - (uB * B_1) \right) * (1 - la_4) \right) * uk * k_1 * C1$$
(38)

236 
$$C2_{co2} = \left( \left( 1 - (uB * B_2) \right) * (1 - la_1) \right) * uk * k_2 * C2$$
(39)

237 
$$C3_{co2} = (1 - la_3) * k_3 * C3$$
 (40)

238 
$$C4_{co2} = ((1 - B_3) * (1 - la_2)) * k_4 * C4$$
(41)

239 
$$C5_{co2} = (1 - la_3) * k_5 * C5$$
 (42)

240 
$$C8_{co2} = k_8 * C8$$
 (43)

241 
$$C9_{co2} = k_9 * C9$$
 (44)

242 
$$C10_{co2} = (1 - la_3) * k_3 * C10$$
 (45)

243

Where all parameters are defined in Table 2 in the main manuscript and earlier in this section. While the maximum decay rates  $(k_x)$  for most pools are fixed constants, Campbell *et al.* (2016) suggested that  $k_3$  and  $k_5$  were is best estimated in relation to the maximum decay rate of the microbially-accessible litter (C2) pool  $(k_2)$ .

247 
$$\frac{l}{j}k_3 = k_2 * \left(\frac{0.2}{1 + \frac{200}{e^{8.15 * \frac{l}{j}LCI_{lit}}}}\right)$$
(46)

248 
$$\boldsymbol{k_8} = \frac{\left(\left((0.000099)*\left(\frac{1}{100}\right)\right) + \left((0.000855)*\left(\frac{1}{42}\right)\right) + \left((0.001796)*\left(\frac{1}{13}\right)\right)\right)}{sum\left(\left(\frac{1}{100}\right),\left(\frac{1}{42}\right),\left(\frac{1}{13}\right)\right)}$$
(47)

Note that when  $k_2$  is a fixed value,  $k_3$  only fluctuates with changes in the LCI of the litter layer. At present, CO<sub>2</sub> emitted from soil DOM (determined by the maximum decay rate,  $k_8$ ) is associated with the values presented in Kalbitz et al. (2005). Also note that because the maximum decay rate of acid-insoluble litter ( $k_3$ ) is determined relative to the LCI of all litter pools in a given layer (L) on a given day (j) the parameter itself canis also be layer- and time-specific. At present, CO<sub>2</sub> emitted from soil DOM (determined by the maximum decay rate,  $k_8$ ) is associated with the values presented in Kalbitz et al. (2005).

 $k_{8} = \frac{\left(\left((0.000099)*\left(\frac{1}{100}\right)\right) + \left((0.000855)*\left(\frac{1}{42}\right)\right) + \left((0.001796)*\left(\frac{1}{13}\right)\right)\right)}{sum\left(\left(\frac{1}{100}\right),\left(\frac{1}{42}\right),\left(\frac{1}{42}\right)\right)} = \frac{1}{sum\left(\frac{1}{100},\frac{1}{42}\right)}$ 

256

#### 260 Decay rate modifiers

Soil temperature is simulated to have a polynomial relationship with decomposition, modifying each pool's decay 261 rate according to the mean soil temperature of that layer on that day. The rationale behind this is to attempt to capture 262 microbial processes and equate with realistic changes in enzymatic activity to be consistent with Michaelis-Menten 263 kinetics. This follows the same function that is used by the STANDCARB 2.0 model (Harmon and Domingo, 2001) 264 and produces a multiplier based on provided coefficients of optimum decomposition temperature  $(T_{opt})$ , the rate at 265 which the decomposition rate increases with a 10 °C increase ( $T_{O10}$ ), the reference temperature at which that  $Q_{10}$  value 266 was derived  $(T_{ref})$ , the shape of the excessive temperature limitation  $(T_{shp})$  and the difference between optimum 267 268 temperature and the decline above that threshold  $(T_{lag})$ .

$${}^{\underline{L}}_{j}T_{mod} = e^{\left(-\left(\frac{\underline{L}_{j}soilT}{T_{opt}+T_{lag}}\right)\right)^{T_{shp}}} * T_{Q10} \overset{\underline{L}_{soilT-T_{ref}}}{T_{ref}}$$
(48)

270

269

Where  ${}_{i}^{L}T_{mod}$  is the temperature multiplier applied to decomposition of pools-in layer L on day j, given the soil 271 272 temperature of that layer on that day (<sup>L</sup>soilT). An initial MEMS v1.0 evaluation (prior to use with the LUCAS sites reported in the main manuscript), indicated the model consistently overestimated decomposition due to the 273 274 temperature modifier effect. Consequently, the coefficients reported in Harmon and Domingo (2001) were revised 275 down from those reported in Table 2 of the main manuscript (Topt reduced to 35 °C, Tshp reduced to 3, Tlag increased 276 to 7 °C and  $T_{010}$  increased to 3). In MEMS v1.0 this single function is used for all pools and over the single soil layer, 277 however, it is also sufficiently generalizable to represent varying temperature sensitivities of the different pools (i.e., 278 through the  $T_{Q10}$  coefficient) and of different layers. In which case, the temperature modifier would be specific to 279 pool x of layer L on day  $j - e.g. \frac{L}{j}T_{mod}^{x}$ . Furthermore, in future versions of the MEMS model, we expect more explicit 280 and complex relationships to temperature and moisture.

281

(47)

#### 282 DOM transfer through soil layers

MEMS v1.0 does not have an explicit hydrological model, however this is likely needed for MEMS outputs to be reliably compared with empirical data at most sites (soil moisture often has a considerable influence on SOM formation and decomposition rates). Consequently, this is one of the first developments intended for MEMS. As a placeholder, leaching is assumed to be a unidirectional process with DOM lost to deeper soil layers (in the singlelayer version) at a given maximum rate. This follows a first order rate of loss and simply assumes half the highest literature value found when performing a search of relevant studies.

289

# 290 Driving variables and initializing MEMS v1.0

## 291 Site inputs and interpolating daily values from annual measurements

292 Driving variables of MEMS v1.0 can be either provided manually if they are known, or interpolated/estimated using 293 basic site information. The format of this input information is typically in comma separated values (CSV) or any other 294 ASCII text format and in R (R Core Team, 2018) is stored as a dataframe. As a single-layer, carbon model that only 295 simulates litter and soil components of a site, MEMS v1.0 includes only a few essential driving variables. These fall into three major categories (climatic, edaphic and land use). For convenience, a summary of these essential inputs is 296 297 provided in Table 3 of the main manuscript. The model operates on the assumption that a user must have 298 measurements of soil pH, soil bulk density, annual NPP, sand content and rock fraction in order to simulate the site. Additionally, if daily temperature data are not known, the maximum, minimum and mean annual temperature can be 299 300 used to interpolate daily values.

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At the time of writing, daily soil temperature is the only climatic variable simulated in MEMS v1.0. The model can either be initialized using real, site-specific temperature data (if available), or daily values can be roughly estimated using a simple sine function related to the mean annual temperature (MAT) of the site (Eq. 49). This sine function provides 365 days of temperature values that are normally distributed around the MAT (therefore ensuring that the average from these daily values will also equal the MAT provided), with the peak of this sine on Julian day 182 (July 1<sup>st</sup>). This assumes the site is in the northern hemisphere but simulating a site in the southern hemisphere simply requires changing the sign of the 1.5 coefficient in Equation 49 below.

 ${}^{\underline{L}}_{j}soilT = \frac{T_{range}}{2} * sin((2 * PIseq) - 1.5) + MAT$ (49)

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Where  ${}^{L}_{j}soilT$  is the soil temperature in degrees Celsius for soil layer *L* on day *j*,  $T_{range}$  is the difference between the maximum daily soil temperature and minimum daily soil temperature measured over a year in degrees Celsius, PIseq is a sequence of 365 values evenly distributed from 0 to pi ( $\approx 3.14159$ ), and MAT is the mean annual temperature in degrees Celsius of the site in question. While this approximation provides more realistic inputs than a constant temperature for each day, where possible, real, measured values should be imported separately as a list of average daily soil temperature values.

- 318 It should be noted that this sine function (with an intra-annual variation of  $T_{range}$  degrees Celsius) may not work well
- 319 for sites near the equator where reduced seasonal dynamics mean that a smoothed sine curve does not represent reality.
- 320 The  $T_{range}$  coefficient in Equation 49 is ideally calculated from estimates/measurements of a site's maximum and
- 321 minimum soil temperatures of an average year, included alongside the MAT as inputs. However, these are optional
- 322 and instead, a constant  $T_{range}$  value (i.e., the same range at all sites simulated) can be set as a global parameter as
- 323 shown in Table 2 in the main manuscript. This should be chosen carefully by the model user to best represent their
- 324 site(s). It should also be noted that when simulating deeper soil layers they are also less likely to see large fluctuations
- in soil temperature and this should be considered when the user initializes multi-layer versions of the MEMS model.

#### 327 Land use and management conditions

328 As with the sine function estimate soil temperature, the daily carbon inputs  $({}_{j}CT^{i})$  can also be estimated crudely 329 according to a simplistic relationship with annual net primary productivity (NPP) – Equation 50).

 ${}_{i}CT^{i} = dnorm(seqDAY, peakDAY, sdNPP) * annNPP$ (50)

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Where  $_{i}CT^{i}$  are the daily total carbon inputs from material *i* on day *j*, seqDAY is a list of 365 integers that represent 332 333 each day of the year, peakDAY is a parameter value to specify the julian day of year when inputs peak (around which 334 a normal distribution is generated) and sdNPP is the 'width' of the distribution around the peak value. The *annNPP* value is the site-specific annual NPP value in gC m<sup>-2</sup> yr<sup>-1</sup>. The sdNPP parameter (specified as a global parameter) can 335 be modified to represent different intra-annual distributions of the total carbon inputs. Specifically, this can change 336 how 'quickly' the inputs are added to the soil (is the whole carbon input added within a few days or is it spread out 337 338 over months?). For different land uses, *sdNPP* may change according to the trends in plant growth at a given site. 339 However, when simulating an equilibrium scenario where steady-state inputs are assumed, this has little or no effect 340 over long simulations (i.e., 500+ years).

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342 In most systems the total annual NPP is not directly equivalent to the total carbon inputs to the topsoil layer. 343 Consequently, MEMS v1.0 reduces the annual amount based on how much of the total can be realistically expected 344 to be input to the specific layer given that site's land use. For example, Bolinder et al. (2007) suggest that, in arable sites where all residues are returned to soil, the proportion of annual NPP that is input to all soil varies between 55% 345 and 78%. Whereas when all residues are removed, the proportion input can be as little as 21%. Furthermore, not all 346 347 of this will be input to the topsoil layer simulated by MEMS v1.0. Consequently, before the daily inputs are 348 interpolated from an annual value using Equation 50, the total is reduced based on best estimates for the land use and 349 management routines of the site simulated.

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$$_{j}aCT^{i} = _{j}CT^{i} * \left(\frac{1}{RtoS^{i}+1}\right) * \left(1 - _{j}aHARV^{i}\right)$$
(51)

351 
$$_{j}bCT^{i} = _{j}CT^{i} * \left(\frac{RtoS^{i}}{RtoS^{i}+1}\right) * \left(1 - \frac{L}{j}bHARV^{i}\right)$$
(52)

Where  $_{i}aCT^{i}$  and  $_{i}bCT^{i}$  are the above ground and below ground carbon inputs of material *i* on day *j*. The above ground 353 354 and belowground split is achieved by use of a land-use specific root to shoot ratio of material  $i(RtoS^i)$  which are then reduced by fixed fractions (i.e., 0-1) to represent any losses through harvesting. Another parameter to describe natural 355 losses due to weather (e.g., high winds) is also possible and resides as a placeholder in the general crop parameters 356 357 file of MEMS v1.0. After the realistic aboveground fraction of NPP is derived, it can then replace the  $_{i}CT^{i}$  term in Equation 50 and be used to interpolate daily inputs. However, the belowground fractions of NPP also includes inputs 358 359 that are likely allocated to deeper soil layers than the topsoil simulated by MEMS v1.0. Consequently, the  $_i bCT^i$  as 360 calculated in Equation 52 is reduced by use of a Michaelis-Menten style function (see Kätterer et al., 2011) to 361 proportion roots to the simulated soil layer.

$${}^{\underline{L}}_{j}bCT^{i} = {}_{j}bCT^{i} * \left( \frac{{}^{\underline{L}}_{depth*(Rdep_{50}+Rdep_{max})}}{{}^{\underline{R}}_{dep_{max}*(Rdep_{50}+{}^{\underline{L}}_{depth})} \right)$$
(53)

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Where  ${}^{L}_{j}bCT^{i}$  is the belowground carbon input of material *i* to soil layer *L* on day *j*,  ${}^{L}depth$  is the depth of the soil layer *L* in centimetres,  $Rdep_{50}$  is the soil depth from the surface at which 50 % of the root biomass is proportioned in centimeters, and  $Rdep_{max}$  is the maximum rooting depth in centimeters. These last two parameters are site specific but can be generalized according to different land-uses, reducing the number of inputs required by the model user. For information regarding these generalized parameters, see Canadell *et al.* (1996) and Jackson *et al.* (1996). For an example implementation of Equation 53 for the purpose of simulating SOM dynamics, see Poeplau (2016).

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371 As with the interpolation of daily soil temperature from MAT, estimating daily values of carbon input are less precise 372 than using real measured data. When possible, empirical data should be preferred and can be input along with daily 373 climate data.

# 375 Supplementary Figures

- 376 (see attached files for high-resolution versions)
- 377

378 Figure S1 – Site information of all 8192 forest and grassland sites of the LUCAS dataset (Toth *et* 

*al.*, 2013) used for validation of the MEMS v1.0 soil organic matter model. Different shapes

represent different land use classes and all are overlaid over each other (grass = circles, n = 3487; broadleaved forests = triangle, n = 1590; mixed forest = crosses, n = 1402; coniferous forest =

382 squares, n = 1713).

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**Figure S2** - Geographical distribution of 154 grassland and forest sites chosen for fractionation (a representative subsample of the total LUCAS database, see Toth *et al.*, 2013). Reported mean annual temperature, mean annual precipitation and sand content are indicated for each site along with Net Primary Productivity (NPP) in 2009 derived from MODIS. Symbols indicate the land

- 391 use division within grassland and forest. Cin is the C input, MAP is the mean annual precipitation
- and MAT is the mean annual temperature.



- **Figure S3** Summary statistics of the site information and soil C stocks for four land use classes (Grassland, n=78; Broadleaved forest, n=25; Coniferous forest, n=27; Mixed
- 396 forest, n=24) across Europe. Boxplots indicate the median, first and third quartiles with the box and maximum and minimum at the extent of the whiskers. Outliers beyond the
- 397 95% are shown by individual points. MAT = Mean Annual Temperature; MAP = Mean Annual Precipitation; NPP = Net Primary Productivity; SOC = Soil Organic Carbon;
- 398 POM = Particulate Organic Matter; MAOM = Mineral-Associated Organic Matter.



Figure S4 - One-way ANOVA results with pairwise comparisons for each measured fractionation data (bulk soil C stock, mineral-associated organic matter (MAOM) C stock, particulate organic matter (POM) C stock, and the MAOM:POM ratio) between the four land use classes (Grassland, n=78; Broadleaved forest, n=25; Coniferous forest, n=27; Mixed forest, n=24) of topsoils (0-20 cm) from 154 sites across Europe.

	Mean Annual Temperature			Mean Aannual Precipitation			Annual NPP			Sand content		
'Pure' Grass -	0.696	0.000	0.000	0.652	0.183	0.652	0.854	0.020	0.001	0.439	0.028	0.000
Broadleaved -	NA	0.000	0.000	NA	1.000	1.000	NA	0.247	0.061	NA	0.331	0.012
Mixed Forest-	NA	NA	0.696	NA	NA	1.000	NA	NA	0.854	NA	NA	0.331
	Soil pH		Latitude			Longitude			Soil bulk density			
'Pure' Grass -	0.072	0.000	0.000	0.869	0.000	0.000	0.633	0.001	0.002	1.000	1.000	1.000
Broadleaved -	NA	0.000	0.000	NA	0.000	0.000	NA	0.001	0.002	NA	1.000	1.000
Mixed Forest-	NA	NA	0.834	NA	NA	0.869	NA	NA	0.633	NA	NA	1.000
	Bulk SOC stock		Total POM-C stock			MAOM-C stock			MAOM : POM			
'Pure' Grass -	1.000	1.000	1.000	1.000	0.932	0.512	0.459	0.018	0.000	0.245	0.000	0.000
Broadleaved -	NA	1.000	1.000	NA	1.000	1.000	NA	0.459	0.076	NA	0.142	0.142
Mixed Forest-	NA	NA	1.000	NA	NA	1.000	NA	NA	0.459	NA	NA	0.965
406 Ø <sup>6</sup>	Datleaved Mi	ted Forest	ioniterous P	roadleaved with	ted Forest	ioniterous e	roadleaved with	ted Forest	oniferous	loadleaved with	ed Forest	oniferous

Significant differences indicated by p-values for each pair (p < 0.001, red; p < 0.01, orange; p < 0.05, yellow; p < 0.1, green; p > 0.1, blue). NPP 404 = Net Primary Productivity. 405

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Figure S5 – Fully-colourised version of main text Figure 2. Global sensitivity analysis results showing the relative contribution of each parameter 407 to a change in carbon stock of each pool in MEMS v1.0 (leached carbon to deeper soil layers [pool C11] is omitted for clarity). Details of each 408 parameter and the abbreviations used can be found in Table 2. The sensitivity analysis was repeated annually for simulation times between 1 and 409 100 years, every 10 years after that to 400-year simulations and every 100 years after that up to a 1000-year simulation. Results are presented on 410 a log scale in years. Parameters involved in different SOM formation processes are grouped by colour: yellows - parameters that define DOM 411





415 Figure S6 – Variability in model-data residuals compared with mean annual temperature for 8192 forest and grassland sites of the LUCAS dataset

416 (Toth et al., 2013) simulated with the MEMS v1.0 soil organic matter model. Residuals indicate the modelled minus measured total topsoil (0-20)

417 cm) organic carbon stock in MgC ha<sup>-1</sup> for each of four land-use classes (Grassland, red; Broadleaved forest, blue; Coniferous forest, purple; Mixed

418 forest, green). Sites are divided into high and low groups of mean annual precipitation, MAP (top vs bottom panels), soil texture (left vs right

419 panels) and annual carbon inputs (provided by net primary productivity, NPP) (alternating panels left to right).



**Figure S**<u>7</u>**5** - Modifiers for microbial carbon use efficiency and rates of water-soluble and acidsoluble litter fractions decay by lignocellulosic index (A and B) and initial litter percent nitrogen (C). Reproduced with permission from Campbell *et al.*, 2016.



# **Supplementary Tables**

**Table S1** - Fractionation scheme to measure each OM pool of MEMS v1.0. Physical particle size is given sequentially from top to bottom (i.e. C9 pools are between 0.45  $\mu$ m and 53  $\mu$ m in size). Soil particles (< 2mm) are primary particles obtained after soil aggregates dispersion. All SOM fractions can be separated sequentially on one soil sample by first isolating the DOM through centrifugation, separating the solid subnatant into a light POM and a heavy fraction by density (at 1.8 g/cm<sup>3</sup>) and the latter into a heavy POM and a MAOM by wet sieving (at 53 $\mu$ m). NDF – Neutral detergent fibre; ADF – Acid detergent fibre; HWE – Hot-water extractable.



**Table S2** - Optimized parameter values for the mid-point of the nitrogen modifier (*Nmid*), maximum decay rate for coarse, heavy particulate organic matter (k5), maximum decay rate for mineral-associated organic matter (k9) and maximum decay rate for light particulate organic matter (k10). Depending on what fraction was match (measured-modelled comparisons), different parameter values were derived. Root mean square error (RMSE) was minimised for each unique parameter set and assessed for each fraction (Mineral-Associated Organic Matter, MAOM; total Particulate Organic Matter, POM; bulk soil Soil Organic Carbon, SOC). Note that total POM refers to the composite of light and heavy POM measurements and the sum of the C5 and C10 pools). Analysis was performed on 154 forest and grassland sites from the LUCAS database – see Figure S2 and Figure S3 for more information.

Parameter	Default (Initial optimized range)	Optimized for POM	Optimized for MAOM	Optimized for total SOC						
Nmid	1.750 (0.875 - 2.625)	1.6 <u>17</u> 03	0.9 <u>23</u> 12	2.4 <del>5<u>48</u>0</del>						
k5	$5.00^{-4}$ (6.0 <sup>-5</sup> - 1.0 <sup>-3</sup> )	5.7 <u>66</u> 1 <sup>-4</sup>	2.3 <u>7</u> 6 <sup>-4</sup>	2.5 <u>1</u> 3 <sup>-4</sup>						
k9	$2.19^{-5}$ (1.0 <sup>-5</sup> - 4.0 <sup>-5</sup> )	2.3 <u>3</u> 7-5	2.9 <u>8</u> 7 <sup>-5</sup>	3.97-5						
k10	$\frac{2.96^{\text{-4}}}{(1.0^{\text{-4}}-1.0^{\text{-3}})}$	4.3 <u>1</u> 0 <sup>-4</sup>	2.94 <u>3</u> -4	3.0 <u>1</u> 2 <sup>-4</sup>						
RMSE between measured and modelled C stocks for 154 sites (Mg C ha <sup>-1</sup> )										
Total SOC	35.5	35. <u>9</u> 7	35. <mark>2</mark> +	33. <u>5</u> 7						
POM-C	23.4	23. <u>5</u> 4	23.1	25. <u>5</u> 3						
MAOM-C	17.9	17. <u>8</u> 7	17.5	20.2						

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