1 The strategies of water-carbon regulation of plants in a subtropical

2	primary forest on Karst soils in China
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Abstract:

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Coexisting plant species in a Karst ecosystem may use diversity strategies of trade off 31 32 between carbon gain and water loss to adopt to the low soil nutrient and water availability conditions. Understanding of the impact of CO₂ diffusion and maximum 33 carboxylase activity of Rubisco ($V_{\rm cmax}$) on the light-saturated net photosynthesis (A) 34 and intrinsic water use efficiency (iWUE) can provide insight into physiological 35 strategies of water-carbon regulation of coexisting plant species used in adaptation to 36 Karst environments at the leaf scale. We selected 63 dominant species (across 6 life 37 forms) in a subtropical Karst primary forest in southwestern China, measured their 38 CO₂ response curves, and calculated the corresponding stomatal conductance to CO₂ 39 (g_s) , mesophyll conductance to $CO_2(g_m)$, and V_{cmax} . The results showed that g_s and g_m 40 varied about 7.6- and 34.5-fold, respectively, and g_s was positively related to g_m . The 41 42 contribution of g_m to leaf CO₂ gradient was similar to that of g_s . g_s/A , g_m/A and g_t/A was negative related to V_{cmax}/A . The relative limitations of $g_{\text{s}}(l_{\text{s}})$, $g_{\text{m}}(l_{\text{m}})$ and $V_{\text{cmax}}(l_{\text{b}})$ 43 44 to A for the whole group (combined 6 life forms) were significantly different from each other (P<0.05). $l_{\rm m}$ was the largest (0.38 \pm 0.12), followed by $l_{\rm b}$ (0.34 \pm 0.14) and 45 $l_{\rm s}$ (0.28 \pm 0.07). No significant difference was found between $l_{\rm s}$, $l_{\rm m}$, and $l_{\rm b}$ for Trees 46 and Tree/shrubs, while $l_{\rm m}$ was the largest, followed by $l_{\rm b}$ and $l_{\rm s}$ for Shrubs, Grasses, 47 Viens and Ferns (P<0.05). iWUE varied about 3-fold (from 29.52 to 88.92 μmol CO₂ 48 mol⁻¹ H₂O) across all species, and was significantly correlated with g_s , V_{cmax} , g_m/g_s , 49 and V_{cmax}/g_s . These results indicated that Karst plants maintained relatively high A and 50 low iWUE through the co-variation of g_s , g_m , and V_{cmax} as adaptation to Karst 51 environment. 52

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- Key words: iWUE; mesophyll conductance; stomatal conductance; Karst critical
- zone; $V_{\rm cmax}$

1 Introduction

Diversity strategies of trade off between carbon gain and water loss are critical for the survival of coexisting plant species. In order to adapt to the harsh environment, coexisting plant species develop distinct patterns of strategies of carbon-water regulation (light-saturated net photosynthesis (A) and intrinsic water use efficiency (iWUE)) (Sullivan et al., 2017). iWUE is the ratio of A to stomatal conductance to H₂O (g_{sw}) (Moreno-Gutierrez et al., 2012). Plants with high iWUE are better able to adapt to the nutrient- and water-limited environment (Flexas et al., 2016). Due to the greater hydraulic erosion and complex underground drainage network (Nie et al., 2014; Chen et al., 2015), Karst soils cannot retain enough nutrients and water for plant growth even though precipitation is high (1000-2000 mm) (Liu et al., 2011; Fu et al., 2012; Chen et al., 2015). Understanding of the impact of CO₂ diffusion and maximum carboxylase activity of Rubisco (V_{cmax}) on A and iWUE in Karst plants can provide insight into physiological strategies of water-carbon regulation of plants used in adaptation to Karst environments at the leaf scale. Until now, variability in A and iWUE has been reported only in 13 co-occurring trees and 12 vines (Chen et al., 2015), and 12 co-occurring tree species (Fu et al., 2012) in two tropical Karst forests in southwestern China.

Based on Fick's first law, A has been shown to be limited only by leaf stomatal conductance to CO_2 ($g_s = g_{sw}/1.6$) and V_{cmax} (Flexas et al., 2012; Buckley and Warren, 2014); originally, mesophyll conductance to CO_2 (g_m) was proposed to be infinite, i.e. CO_2 concentration in chloroplast (C_c) was equal to the CO_2 concentration in intercellular air space (C_i). However, g_m varies greatly among species (Warren and Adams, 2006; Flexas et al., 2013). Recent studies have confirmed that A was constrained jointly by g_s , g_m , and V_{cmax} , and their relative contribution to A was species-dependent and site-specific (Carriqui et al., 2015; Tosens et al., 2016; Galmes et al., 2017; Peguero-Pina et al., 2017a; Peguero-Pina et al., 2017b; Veromann-Jurgenson et al., 2017).

Variation in iWUE (= A/g_{sw}) depends on the relative changes in A (g_s , g_m , V_{cmax}) and g_{sw} ($g_{sw}=1.6g_s$) (Flexas et al., 2013; Gago et al., 2014). Theoretical relationships between iWUE and g_s , g_m , and V_{cmax} have been deduced using two approaches. Based on Fick's first law of CO₂ diffusion, Flexas et al. (2013) deduced that iWUE was a function of g_m/g_s and CO_2 gradients (C_a - C_c) within a leaf. On the other hand, combining Fick's first law of CO₂ diffusion and Farquhar biochemical model (Farquhar and Sharkey, 1982), Flexas et al. (2016) deduced that iWUE was a function of V_{cmax}/g_s , C_c , CO_2 compensation point of photosynthesis (Γ^*) , and the effective Michaelis-Menten constant of Rubisco for CO₂ (K_m). Until now, most previous studies focused on the role of CO₂ diffusion in limiting iWUE, and suggested that iWUE was negatively related to g_s , and positively related to g_m/g_s (Flexas et al., 2013). Gago et al. (2014) used a meta-analysis with 239 species, and were the first to confirm that iWUE was positively related to V_{cmax}/g_s . Although both g_{m}/g_s and $V_{\rm cmax}/g_{\rm s}$ were positively correlated with iWUE, there was only a weak correlation between $g_{\rm m}/g_{\rm s}$ and $V_{\rm cmax}/g_{\rm s}$, which indicates that iWUE can be improved by increasing $V_{\rm cmax}$ or $g_{\rm m}$ (proportionally higher than $g_{\rm s}$), not both (Gago et al., 2014).

It is noteworthy that Flexas et al. (2016) and Gago et al. (2014) found that most of the previous work on constraints of g_s , g_m , and V_{cmax} on A were conducted in crops or saplings, and only a few studies were in natural ecosystems. For example, g_m was the main factor limiting A in two Antarctic vascular grasses (Saez et al., 2017), and in 35 Australian sclerophylls (Niinemets et al., 2009b) in different habitats. The A of two closely-related Mediterranean Abies species growing in two different habitats was mainly constrained by g_m in one, and by g_s in the other habitat (Peguero-Pina et al., 2012). Beyond that, it still remains unknown how g_s , g_m , and V_{cmax} regulate A and iWUE across species in natural ecosystems.

In this study, we selected 63 dominant plant species, including six life forms (Tree (n=29), Tree/Shrub (n=11), Shrub (n=11), Grass (n=11), Vine (n=5), and Fern (n=3)),

from a subtropical primary forest in the Karst critical zone of southwestern China, and measured their A and CO_2 response curves. g_m was calculated using the curve-fitting method (Ethier and Livingston, 2004). The obtained g_m was used to transform the A- C_i into A- C_c response curves, and then to calculate the A and V_{cmax} . Our objective was to determine and distinguish the limitations of CO_2 diffusion (g_s and g_m) and V_{cmax} on A and iWUE in different life forms in this Karst primary forest, and to understanding the patterns of strategies of carbon-water regulation of Karst plants.

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2 Materials and Methods

2.1 Site information

This study was conducted in a subtropical primary forest (26°14′48″N, 105°45′51″E; elevation, 1460 m), located in the Karst CZ of southwestern China. This region has a typical subtropical monsoon climate, with a mean annual precipitation of 1255 mm, and mean annual air temperature of 15.1 ℃ (Zeng et al., 2016). The soils are characterized by a high ratio of exposed rock, shallow and nonhomogeneous soil cover, and complex underground drainage networks, e.g. grooves, channels and depressions (Chen et al., 2010; Zhang et al., 2011; Wen et al., 2016). Soils and soil water are easily leached into underground drainage networks. Soil texture is silt-clay loam, and soil PH is 6.80 ± 0.16 (Chang et al., 2018). The total nitrogen and phosphorus content in soil is 7.30 ± 0.66 and 1.18 ± 0.35 g Kg⁻¹, respectively, which was similar with that of non-Karst CZs (Wang et al., in review). However, the soil quantities (16.04~61.89 Kg m⁻²) and nitrogen and phosphorus storage (12.04 and 1.68 t hm⁻²) is much lower than that of non-Karst CZs, due to the thin and heterogeneous soil layer (He et al., 2008; Jobbagy et al., 2000; Lu et al., 2010; Li et al., 2008). The typical vegetation type is mixed evergreen and broadleaf deciduous primary forest, dominated by Itea yunnanensis Franch, Carpinus pubescens Burk., and Lithocarpus confinis Huang, etc. (Wang et al., 2018).

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2.2 Leaf gas-exchange measurements

In July and August 2016, 63 species (Table S1) were selected for measurements of the *A* and CO₂ response curves. The species sampled were selected according to their abundance in the study site. They are the main component of this forest, including 55 woody species (46 deciduous and 10 evergreen species) and 5 herb species. To distinguish the strategies of water-carbon regulation of plants among different life forms, those species were grouped into 6 life forms, including (1) Tree (n=29), (2) Tree/Shrub (n=11), (3) Shrub (n=11), (4) Grass (n=11), (5) Vine (n=5), and (6) Fern (n=3). "Tree/Shrub" is a kind of low wood plant between Tree and Shrub. Fern grow in understory. Vine climb up to the shrub canopy to get light.

Details of leaf sampling and measurements of the CO_2 response curve were briefly described as follows. Branches exposed to the sun were excised from the upper part of the crown (Trees, Tree/Shrubs, Shrubs and Vines) or aboveground portion (Grasses, Ferns), and immediately re-cut under water to maintain xylem water continuity. Back into the laboratory, branches and aboveground portions were kept at 25°C for 30 min. Fully-expanded and mature leaves were induced for 30 minutes at a saturating light density (1500 μ mol m⁻² s⁻¹). CO_2 response curves measurements were performed when *A* and g_s was stable. Three leaves per species were collected and measured. A total of 189 leaves were collected from adult individuals of 63 species.

The CO_2 response curves were measured with 11 CO_2 concentration gradients in chamber following the procedural guidelines described by Longand Bernacchi (2003). The photosynthetic photon flux density was 1500 μ mol m⁻² s⁻¹. The leaf temperature was 25 °C, controlled by the block temperature. The humidity in the leaf chamber was maintained at ambient condition. Leaf area, thickness (LT) and dry mass were measured after the CO_2 response measurements. Leaf mass per area (LMA) was calculated by dividing the corresponding dry mass by leaf area. And leaf density (LD) was calculated by dividing the corresponding LMA by LT. More details were described in Wang et al. (2018).

2.3 Response curve analyses

A and the corresponding g_{sw} ($g_s=g_{sw}/1.6$), C_a , and C_i were extracted from the CO₂ 175 response curve under saturating light (1500 µmol m⁻² s⁻¹) conditions, with CO₂ 176 concentration inside the cuvette set to 400 μ mol mol⁻¹ (Domingues et al., 2010). $V_{\rm cmax}$ 177 was estimated by fitting A-C_c curves (Ethier and Livingston, 2004). The obtained 178 values of $g_{\rm m}$ were used to transform the A-C_i into A-C_c response curves as $C_{\rm c}$ =C_i – 179 $A/g_{\rm m}$. 180 181 Three methods are most commonly used for $g_{\rm m}$ estimation. Those methods have been 182 reviewed by Warren (2006) and Pons et al. (2009). Briefly, $g_{\rm m}$ can be calculated by 183 the stable isotope method (Evans, 1983; Sharkey et al., 1991; Loreto et al., 1992), J 184 method (Bongi and Loreto, 1989; Dimarco et al., 1990; Harley et al., 1992; Epron et 185 al., 1995; Laisk et al., 2005), and 'curve-fitting' method (Ethier and Livingston, 2004; 186 Sharkey et al., 2007). All of these methods are based on gas exchange measurements 187 188 (Pons et al., 2009), and some common assumptions (Warren, 2006). Thus, the accuracy of each method is to some extent unknown (Warren, 2006). 189 190

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g_m was estimated by the 'curve-fitting' method in this study. Although the 'curve-fitting' method is less precise than the stable isotope method, the 'curve-fitting' method is much more readily available and has been used for several decades (Warren, 2006; Sharkey, 2012). Accurate measurements of A and C_i is a prerequisite for estimating $g_{\rm m}$ using the 'curve-fitting' method (Pons et al., 2009). Warren (2006) pointed out that highly-accurate measurements need small leaf area and low flow rates. We confirmed that the calculated C_c and the initial slope of A- C_c curves were positive, suggesting that the measured $g_{\rm m}$ was reliable (Warren, 2006).

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2.4 Theory of trade-off between carbon and water at leaf scale

The exchange of H₂O and CO₂ between the leaf and the atmosphere is regulated by stomata (Gago et al., 2014). According to Fick's first law of diffusion, A and g_s are

203 related as:

$$A = g_s(C_a - C_i) \tag{1}$$

- where A is the photosynthetic rate (μ mol CO₂ m⁻² s⁻¹); C_a is the ambient CO₂
- concentration (μ mol mol⁻¹); C_i is the intercellular CO₂ concentration (μ mol mol⁻¹).

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- Mesophyll is the barrier for CO₂ inside the leaf. A and mesophyll conductance to CO₂
- 209 $(g_{\rm m})$ are related as:

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$$A = g_m(C_i - C_c)$$
 (2)

- where C_c is the CO₂ concentration at the sites of carboxylation (µmol mol⁻¹). C_c not
- only depends on CO_2 supply by g_m , but also on CO_2 demand (the maximum
- 213 carboxylase activity of Rubisco, V_{cmax}).

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(1) The relationship between iWUE and g_m/g_s

- 216 iWUE is a function of CO₂ diffusion conductances (e.g. g_s and g_m) and leaf CO₂
- concentration gradients. We can express A as the product of the total CO_2 diffusion
- 218 conductance (g_t) from ambient air to chloroplasts, and the corresponding CO₂
- concentration gradients by combining Eq. (1) and (2) (Flexas et al., 2013):

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$$A = g_1 [(C_a - C_i) + (C_i - C_c)]$$
 (3)

- where $g_t = 1/(1/g_s + 1/g_m)$. This equation demonstrates that CO₂ concentration gradients
- in leaves are constrained by stomatal and mesophyll resistance to CO₂. Therefore,
- iWUE can be expressed as:

$$\frac{A}{g_{sw}} = \frac{1}{1.6} \left(\frac{g_{m}/g_{s}}{1 + g_{m}/g_{s}} \right) \left[(C_{a} - C_{i}) + (C_{i} - C_{c}) \right]$$
225 (4)

- Eq. (4) means that iWUE is positively related to g_m/g_s , but not to g_m itself (Warren
- and Adams, 2006; Flexas et al., 2013; Buckley and Warren, 2014; Cano et al., 2014).

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229 (2) The relationship between iWUE and $V_{\text{cmax}}/g_{\text{s}}$

- 230 When Fick's first law and the Farquhar biochemical model (Farquhar and Sharkey,
- 1982) are combined, iWUE is also a function of $V_{\rm cmax}$. Based on the Farquhar
- biochemical model (Farquhar and Sharkey, 1982), when A is limited by Rubisco, it

can be expressed by the following equation (Sharkey et al., 2007):

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$$A = \frac{V_{\text{cmax}}(C_{c} - \Gamma^{*})}{(C_{c} + K_{m})} - R_{d}$$
 (5)

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- where Γ^* is the CO_2 compensation point of photosynthesis in the absence of
- 237 non-photorespiratory respiration in light (R_d) , and K_m is the effective
- 238 Michaelis–Menten constant of Rubisco for CO₂. Combining Eq. (1) and (5) (Flexas et
- 239 al., 2016), we obtain:

$$\frac{V_{\text{cmax}}}{g_s} = \frac{(C_c + K_m)(C_a - C_i)(A + R_d)}{(C_c - \Gamma^*)A}$$
(6)

- Because R_d is much smaller than A in actively photosynthesizing leaves, $V_{\rm cmax}/g_{\rm s}$ can
- be approximated as:

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$$\frac{V_{\text{cmax}}}{g_s} \approx \frac{(C_c + K_m)(C_a - C_i)}{(C_c - \Gamma^*)} = \frac{(C_c + K_m)}{(C_c - \Gamma^*)} \frac{A}{g_s}$$
245 (7)

246 Consequently, iWUE can be expressed as:

$$\frac{A}{g_{sw}} = \frac{1}{1.6} \frac{V_{cmax}}{g_s} \frac{(C_c - \Gamma^*)}{(C_c + K_m)}$$
(8)

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2.5 Statistical analysis

- 251 (1) Quantitative analysis of limitations on A
- The relative contribution of g_s (l_s), g_m (l_m) and V_{cmax} (l_b) to A can be separated by a
- quantitative limitation model introduced by Jones (Jones, 1985) and further developed
- by Grassi & Magnani (2005). The sum of l_s , l_m , and l_b is 1. l_s , l_m and l_b can be
- 255 calculated as:

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$$l_{s} = \frac{g_{t}/g_{s} \cdot \partial A/\partial C_{c}}{g_{t} + \partial A/\partial C_{c}}$$
(9)

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$$l_{\rm m} = \frac{g_{\rm t}/g_{\rm m} \cdot \partial A/\partial C_{\rm c}}{g_{\rm t} + \partial A/\partial C_{\rm c}}$$
 (10)

$$l_{b} = \frac{g_{t}}{g_{t} + \partial A/\partial C_{c}}$$
 (11)

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263	where $\partial A/\partial C_c$ was calculated as the slope of A - C_c response curves over a C_c range of
264	50-100 μ mol mol ⁻¹ . l_s , l_m and l_b have no units. A is co-limited by the three factors
265	when $l_s\approx0.3$, $l_m\approx0.3$ and $l_b\approx0.4$ (Galmes, J. et al., 2017).
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267	(2) Data analysis
268	Data were analyzed either as a whole group (six life forms combined) or by individual
269	life forms. The bivariate linear regressions of leaf gas exchange parameters were
270	performed using the standardized major axis (SMA) regression fits, and all of the data
271	were made on log _e -transformed data (Table S2).
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273	To test for the differences among life forms, SMA regression fits were used to
274	compare the slope of regression lines which significant relationships had already been
275	obtained. Note that Grass, Vine and Fern were not considered due to the small sample
276	size. A similar trend was obtained, and no significant difference was found between
277	life forms although significant relationships were not obtained for some bivariate
278	linear regressions. Accordingly, six life forms were grouped together to analyze the
279	strategy of water-carbon regulation of plants in the whole text.
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281	The difference of relative limitation of g_s , g_m and V_{cmax} to A for life forms or as a
282	whole group were performed using one-way ANOVA and
283	Duncan multiple comparison. The probability of significance was defined at p $<$ 0.05.
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3 Results

3.1 Interrelation among g_s , g_m , g_t , and V_{cmax}

CO₂ concentration gradients in leaf were controlled by CO₂ diffusion conductance and $V_{\rm cmax}$. Fig. 1 shows the relationship between CO₂ gradients ($C_{\rm a}$ - $C_{\rm i}$, $C_{\rm i}$ - $C_{\rm c}$ and $C_{\rm a}$ - $C_{\rm c}$) in leaf and the corresponding CO₂ diffusion conductance ($g_{\rm s}$, $g_{\rm m}$ and $g_{\rm t}$) (Fig. 1a-c), and between $C_{\rm a}$ - $C_{\rm c}$ and $V_{\rm cmax}$ (Fig. 1d). CO₂ concentration gradients ($C_{\rm a}$ - $C_{\rm i}$,

- 291 C_i - C_c and C_a - C_c) were significantly negatively associated with the corresponding CO₂
- diffusion conductance (g_s , g_m and g_t) (P<0.001). V_{cmax} was positively associated with
- 293 C_a - C_c (P<0.001).

- 295 g_s , g_m , and g_t were significantly positively related to each other (P<0.001) (Fig. S1).
- The contribution of $g_{\rm m}$ to leaf CO₂ gradient was similar to that of $g_{\rm s}$. The contribution
- of g_s (57.51–155.13 µmol mol⁻¹) to C_a - C_c (98.50–282.94 µmol mol⁻¹) varied from
- 298 28% to 86%, and the contribution of $g_{\rm m}$ (18.15–179.36 µmol mol⁻¹) to $C_{\rm a}$ - $C_{\rm c}$ varied
- 299 from 14% to 72%. But the variation range of $g_{\rm m}$ (0.02 –0.69 mol CO₂ m⁻² s⁻¹) was 4.5
- 300 times that of g_s (0.05–0.38 mol CO₂ m⁻² s⁻¹).

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- No relationship was found between the CO₂ diffusion conductance (g_s , g_m , and g_t) and
- $V_{\rm cmax}$ (Fig. S2). However, after normalization of $g_{\rm s}$, $g_{\rm m}$, $g_{\rm t}$, and $V_{\rm cmax}$ for $A_{\rm cmax}$
- parameters are hereafter called $G_S=g_S/A$, $G_m=g_m/A$, $G_t=g_t/A$, and $V=V_{cmax}/A$), V was
- significantly positively correlated with $G_{\rm m}$ and $G_{\rm t}$ (P<0.001) (Fig. 2b and c), and was
- slightly positively correlated with G_s (P<0.05) (Fig. 2a), which represented the
- 307 trade-off between CO₂ supply and demand.

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3.2 Contribution of g_s , g_m and V_{cmax} to A

- The variation in A was attributed to variation in g_s , g_m , g_t , and V_{cmax} . A was positively
- 311 correlated with g_s (Fig. 3a), g_m (Fig. 3b), and V_{cmax} (Fig. 3c). We used the quantitative
- limitation model (Eqs. (9), (10) and (11)) to separate g_s (l_s), g_m (l_m), and V_{cmax} (l_b)
- limitations to A. l_s , l_m , and l_b were negatively associated with g_s , g_m , and V_{cmax} ,
- respectively (Fig. 4). The contributions by g_s , g_m , and V_{cmax} to limiting A were
- different for each species (Fig. S3). l_s varied 2.6-fold (from 0.17 to 0.45), l_m varied
- 316 10.5-fold (from 0.05 to 0.55), and l_b varied 6.2-fold (from 0.11 to 0.68) across
- species. Overall, $l_{\rm m}$ (0.38±0.12) was significantly larger than $l_{\rm b}$ (0.34±0.14), and $l_{\rm s}$
- 318 (0.28 ± 0.07) (P<0.05).

To further understand how A was limited by g_s , g_m , and V_{cmax} among life forms, we grouped the 63 species into 6 life forms: Tree, Tree/Shrub, Shrub, Grass, Vine, and Fern. The results showed that there was no significantly difference between l_s , l_m and l_b for Trees and Tree/shrubs. l_m of Shrubs and Grasses was significantly higher than that of l_s and l_b (P<0.05). l_m of Vines and Ferns was significantly higher than that of l_s (P<0.05) (Fig. 5).

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3.3 Effect of g_s , g_m and V_{cmax} on iWUE

- iWUE varied from 29.52 to 88.92 μmol CO₂ mol⁻¹ H₂O. In theory, iWUE is regulated
- by g_s ($g_{sw}=1.6g_s$), g_m , and V_{cmax} . However, a simple correlation analysis showed that
- iWUE was negatively related to g_s (Fig. 6b), and not related to A (Fig. 6a), g_m (Fig.
- 331 6c), and V_{cmax} (Fig. 6d).

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- A correlation analysis was used to test how g_m/g_s and V_{cmax}/g_s affected iWUE. The
- results showed that iWUE was positively correlated with $g_{\rm m}/g_{\rm s}$ (Fig. 7a) and $V_{\rm cmax}/g_{\rm s}$
- 335 (Fig. 7b). However, there was no significant relationship between $g_{\rm m}/g_{\rm s}$ and $V_{\rm cmax}/g_{\rm s}$.
- iWUE was regulated by co-variation between g_s , g_m and V_{cmax} .

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4 Discussion

4.1 Co-variation in g_s , g_m and V_{cmax} in regulating A

- 340 A was constrained by g_s , g_m , and V_{cmax} acting together, however, variability in the
- relative contribution of these three factors depended on species and habitats (Tosens
- et al., 2016; Galmes et al., 2017; Peguero-Pina et al., 2017a; Veromann-Jurgenson et
- al., 2017). A was significantly correlated with g_s , g_m , and V_{cmax} (Fig.3a-c). g_s was
- positively related to $g_{\rm m}$ (Fig.S1c), while no relationship was found between the CO₂
- diffusion conductance (g_s and g_m) and V_{cmax} (Fig. S2). The relative limitations of g_s ,
- $g_{\rm m}$, and $V_{\rm cmax}$ were separated by a quantitative limitation model (Jones, 1985; Grassi
- & Magnani, 2005). The results showed that l_s , l_m and l_b of 63 species varied in a large
- range (Fig. S3), indicating plants have a diverse strategies to co-ordinate the CO₂

diffusion (g_s and g_m) and V_{cmax} to maintain relative high A. The order of factors limitations to A was $l_m > l_b > l_s$ (P<0.05) (Fig.S3). Furthermore, we tested the relationship between the relative limitations and the corresponding limitation factors. The results showed that l_s , l_m , and l_b were negatively associated with g_s , g_m , and V_{cmax} , respectively (Fig. 4). And the relationship was stronger for g_m - l_m (r²=0.65) than V_{cmax} - l_b (r²=0.27) and g_s - l_s (r²=0.19).

 $g_{\rm s}$ was better correlated with A, while the results showed that A was more limited by $g_{\rm m}$. That could be explained by two possible reasons. Firstly, compare to the linear relationship between A and $g_{\rm s}$, a nonlinear trend has been found between A and $g_{\rm m}$ when $g_{\rm m}>0.4$ (Fig. 3a, b). Secondly, leaf structure plays an important role in regulating $g_{\rm m}$ and $V_{\rm cmax}$, consequently, in determining A (Veromann-Jurgenson et al., 2017). Negative relationships between $A/{\rm LMA}$ and LT ($r^2=0.16$, p=0.002), and $A/{\rm LMA}$ and LT ($r^2=0.3$, p<0.001) have been observed (Fig. S4c,d), while A was not correlated to LT and LD (Fig. S4a,b).

The importance of g_m in constraining A was variable, and depended on leaf structural traits, only LMA, LT, and LD were analyzed in this study. Large variability in g_m has been shown both between and within species with different life forms and habits (Gago et al., 2014; Flexas et al., 2016). Variability in g_m in this study is similar to that in global datasets (Gago et al., 2014; Flexas et al., 2016). There was no significantly difference among life forms (P>0.05). Previous studies have confirmed that LMA (Tomas et al., 2013), thickness of leaf cell wall (Peguero-Pina et al., 2017b), liquid phase of mesophyll (Veromann-Jurgenson et al., 2017), cell wall thickness of mesophyll (Terashima et al., 2011;Tosens et al., 2016), and surface area of mesophyll and chloroplast exposed to intercellular space (Veromann-Jurgenson et al., 2017) were the main limitations for g_m . The wide variability of g_m between different species and life forms in the same ecosystem seems to be related to the diversity of leaf anatomical traits.

No significant difference of LMA, LT, and LD was found among life forms (P<0.05). The negative correlation of g_m (Terashima et al., 2005) or g_m /LMA (Niinemets et al., 2009; Veromann-Jurgenson et al., 2017) with LMA have been reported. In this study, there was a significant relationship between g_m/LMA with LMA (P<0.01), however, no relationship was found between $g_{\rm m}$ with LMA. $g_{\rm m}$ /LMA was significantly negative related to LD (p<0.01) (Fig. S5c), and weak negative related to LT (p=0.06) (Fig. S5d), demonstrating that the negative role of cell wall thickness on $g_{\rm m}$ (Terashima et al., 2006; Niinemets et al., 2009). The strong investment in supportive structures was the main reason for the limitation of $g_{\rm m}$ on A (Veromann-Jurgenson et al., 2017). 388 However, it is still unknown how leaf anatomical traits affect g_m and A, and this should be further explored.

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g_s is responsible for CO₂ exchange between atmosphere and leaf, and regulate the CO_2 fixation (A) and water loss (Lawsonand Blatt, 2014). The variability of g_s was controlled by stomatal anatomy, i.e. stomata density and size, and mesophyll demands for CO₂ (Lawsonand Blatt, 2014). However, the stomatal anatomy was not analyzed in this study. We only focused on how the relationship between g_s and g_m regulate A. Positive relationship between g_s and g_m has been observed (Flexas et al., 2013). For example, the restricted CO₂ diffusion from the ambient air to chloroplast is the main reason for a decreased A under water stress conditions due to both the stomatal and mesophyll limitations (Olsovska et al., 2016). g_s was significantly positive related to $g_{\rm m}$ for 63 species (P<0.001, Fig. S1) in this study, and no difference of the slopes of regression lines between g_s and g_m was found among life forms, demonstrating that A was regulated by the co-variation of g_s and g_m . However, the variability of g_m and l_m was larger than g_s and l_s , respectively (Fig.1 and Fig.S3).

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The wide variation range of l_b (0.11-0.68) highlighted the importance role of $V_{\rm cmax}$ in regulating A. $V_{\rm cmax}$ was used to represent the CO₂ demand in photosynthetic process in this study. The relative contribution of $V_{\rm cmax}$ to A not only depends on $C_{\rm a}$ - $C_{\rm c}$, but also on leaf nutrient levels. Positive relationship was found between C_a - C_c and V_{cmax} (Fig.

409 ld). And the V_{cmax} /LMA was co-regulated by leaf N, P and Mg content (Jing et al.

410 2018). In addition, $V_{\text{cmax}}/\text{LMA}$ was negatively related to LT (p<0.05) (Fig. S6c) and

411 LD (p<0.05) (Fig. S6d), while V_{cmax} was not correlated to LT and LD (Fig. S6a,b),

demonstrating that leaf structure plays an important role in regulating $V_{\rm cmax}$.

The trade-off between CO_2 supply (g_s and g_m) and demand (carboxylation capacity of Rubisco) can help maintain relative high A (Galmes et al., 2017; Saez et al., 2017). In this study, we used V_{cmax} as a proxy for the carboxylation capacity of Rubisco, and the normalized V_{cmax} by A ($V=V_{cmax}/A$) was significantly negatively correlated with the normalized g_t by A ($G_t = g_t/A$) (P<0.001) (Fig. 2c), indicating that the trade-off between CO_2 supply and demand also existed among different species in the same ecosystems. For genus *Limonium* (flowering plants) (Galmes et al., 2017), g_t was significantly positively related to Rubisco carboxylase specific activity, and significantly negatively related to Rubisco specificity factor to CO_2 . In case of Antarctic vascular (Saez et al., 2017) and Mediterranean plants (Flexas et al., 2014), A was mainly limited by low g_m , but it could be partially counterbalanced by a highly efficient Rubisco through high specificity for CO_2 . This highlights the importance of the trade-off between CO_2 supply and demand in plant adaptation to Karst environment. However, it is still unknown how leaf anatomical traits affect g_m , V_{cmax}

4.2 Co-variation of g_s , g_m and V_{cmax} in regulating iWUE

and A, and this should be further explored.

Compared with the global dataset under well-watered conditions (19.27-171.88 μmol

 $CO_2 \text{ mol}^{-1} H_2O$) (Flexas et al., 2016), iWUE (52.85 ± 13.08 µmol $CO_2 \text{ mol}^{-1} H_2O$)

was somewhat lower in this study. iWUE varied from 29.53 to 88.91 µmol CO₂ mol⁻¹

434 H₂O, and the variability of iWUE was larger than in the Karst tropical primary forest

(Fu et al., 2012; Chen et al., 2015). The average iWUE of 12 Vines and 13 Trees in

the Karst tropical primary forest was $41.23 \pm 13.21 \,\mu\text{mol CO}_2 \,\text{mol}^{-1} \,\text{H}_2\text{O}$ (Chen et al.,

2015), while that of 6 evergreen and 6 deciduous Trees was 66.7 ± 4.9 and 49.7 ± 2.0

μmol CO₂ mol⁻¹ H₂O, respectively (Fu et al., 2012). The results demonstrated that 438 Karst plants use a diverse strategies of carbon-water regulation to adopt to the harsh 439 440 Karst environment. 441 Coexisting species have a diversity strategies of carbon-water regulation, ranging 442 from 'profligate/opportunistic' to 'conservative, that means their ecophysiological 443 niche are separate (Moreno-Gutierrez et al., 2012; Nie et al., 2014; Prentice et al., 444 445 2014). Species with high g_s , and low iWUE were defined to have 'profligate/opportunistic' water use strategy, and species with low g_s and high iWUE 446 were defined to exhibit 'conservative' water use strategy (Moreno-Gutierrez et al., 447 2012). In consistent with previous study (Moreno-Gutierrez et al., 2012), coexisting 448 plant species growing in the Karst ecosystem had a diversity water use strategies. 449 However, Karst plants tended to lose more water to gain more carbon, i.e. Karst plants 450 used 'profligate/opportunistic' water use strategy to adopt to the low nutrient 451 availability and water stress conditions. 452 453 Prentice et al. (2014) studied the trade-off between carbon gain and water loss of 454 woody species in contrasting climates, and found that species in hot and wet regions 455 tend to lose more water in order to fix more carbon (high g_s/A , low $V_{\rm cmax~Ci}/A$), and 456 vice versa. Although Karst soils cannot contain enough water for plant growth, the 457 trade-off between carbon gain and water loss (high g_s/A and low $V_{\text{cmax Ci}}/A$) were 458 similar to the shown for plants growing in hot and wet regions (Prentice et al., 2014). 459 460 461 iWUE is regulated by the co-variation of g_s , g_m , and V_{cmax} . In theory, water loss is regulated by g_s only, while carbon gain (A) was regulated by g_s , g_m , and V_{cmax} (Fig. 3) 462 (Lawson and and Blatt, 2014). However, iWUE in this study was negatively related to 463 g_s (R²=0.30), negatively related to V_{cmax} (R²=0.09), and not related to A, g_{m} (Fig. 6). 464 465

 $g_{\rm m}/g_{\rm s}$ and $V_{\rm cmax}/g_{\rm s}$ (Gago et al., 2014; Flexas et al., 2016). There was a hyperbolic

CO₂ diffusion and Farquhar biochemical model indicated that iWUE is affected by

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dependency of iWUE on $g_{\rm m}/g_{\rm s}$ due to the roles of $g_{\rm s}$ and $g_{\rm m}$ in $C_{\rm i}$ and $C_{\rm c}$, and of $C_{\rm c}$ in A (Flexas et al., 2016). In meta-analyses, both Gago et al. (2014) and Flexas et al. (2016) found that iWUE was significantly positively related to $g_{\rm m}/g_{\rm s}$ and $V_{\rm cmax}/g_{\rm s}$. The results of this study are consistent with the meta-analyses (Fig. 7), demonstrating that plant species with relatively high $g_{\rm m}/g_{\rm s}$ or $V_{\rm cmax}/g_{\rm s}$ had relatively high iWUE. The relationship between iWUE and $V_{\rm cmax}/g_{\rm s}$ (R²=0.50) was stronger than the relationship between iWUE and $g_{\rm m}/g_{\rm s}$ (R²=0.20), demonstrating iWUE was mainly regulated by $V_{\rm cmax}/g_{\rm s}$. The reason maybe that iWUE was correlated to $g_{\rm s}$ and $V_{\rm cmax}$, and $g_{\rm s}$ was positive related to $g_{\rm m}$.

However, plants cannot simultaneously have high $g_{\rm m}/g_{\rm s}$ and high $V_{\rm cmax}/g_{\rm s}$. Similarly to the study of Gago et al. (2014), we found no relationship between $g_{\rm m}/g_{\rm s}$ and $V_{\rm cmax}/g_{\rm s}$. Gago et al. (2014) thought that the poor relationship between $g_{\rm m}/g_{\rm s}$ and $V_{\rm cmax}/g_{\rm s}$ indicated that the iWUE may be improved by $g_{\rm m}/g_{\rm s}$ or $V_{\rm cmax}/g_{\rm s}$ separately; if both of them were simultaneously improved, the enhanced effect on iWUE could be anticipated. In addition, Flexas et al. (2016) showed in a simulation that the increase in iWUE caused by overinvestment in photosynthetic capacity would progressively lead to inefficiency in the trade-off between carbon gain and water use, causing an imbalance between CO₂ supply and demand.

5 Conclusions

This study provides information of limitations of A and iWUE by g_s , g_m , and V_{cmax} in 63 species across 6 life forms in the field. The results showed that plants growing in Karst CZs used a diverse strategies of carbon-water regulation, but no difference was found among life forms. The co-variation of CO_2 supply (g_s and g_m) and demand (V_{cmax}) regulated A, indicating that species maintain a relatively high A through co-varing their leaf anatomical structure and V_{cmax} . iWUE was relatively low, but ranged widely, indicating that plants used the 'profligate/opportunistic' water use strategy to maintain the survival, growth, and structure of the community. iWUE was

- regulated by g_s , V_{cmax} , g_m/g_s and V_{cmax}/g_s , indicating that species with high g_m/g_s or
- 498 $V_{\rm cmax}/g_{\rm s}$ will have to be much more competitive to response to the ongoing rapid
- warming and drought in the Karst CZs.

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Author contributions

- 506 JW, XFW. and XYZ planed and designed the research. JW performed experiments
- 507 and analyzed data. JW prepared the manuscript with contributions from all
- 508 co-authors.

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Competing interests.

The authors declare that they have no conflict of interest.

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Figures

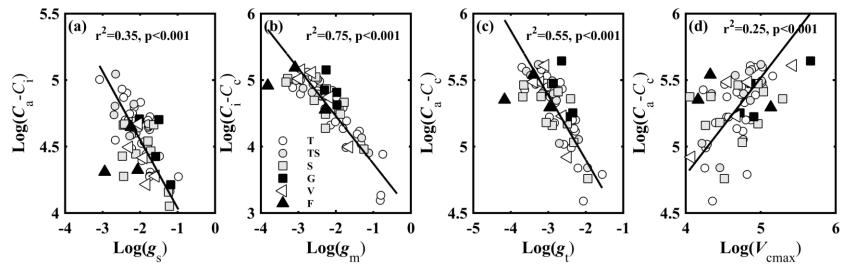


Figure 1. Relationships between (a) CO_2 gradient between ambient air and intercellular air space (C_a - C_i , μ mol mol⁻¹) and stomatal conductance to CO_2 (g_s , mol CO_2 m⁻² s⁻¹); (b) CO_2 gradient between intercellular air space and chloroplasts (C_i - C_c , μ mol mol⁻¹) and mesophyll conductance to CO_2 (g_m , mol CO_2 m⁻² s⁻¹); (c) CO_2 concentration gradient between ambient air and chloroplasts (C_a - C_c , μ mol mol⁻¹) and total conductance to CO_2 (g_t , mol CO_2 m⁻² s⁻¹); and (d) C_a - C_c and the maximum carboxylase activity of Rubisco (V_{cmax} , μ mol CO_2 m⁻² s⁻¹). Lines refer to regression line for 63 species. T, TS, S, G, V, and F represent Tree, Tree/Shrub, Shrub, Grass, Vine, and Fern, respectively.

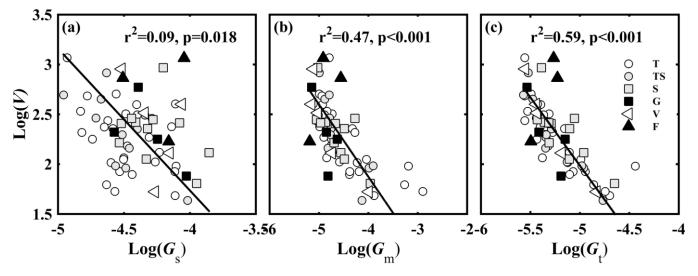


Figure 2. Relationships between (a) V and G_s ; (b) V and G_m ; and (c) V and G_t . V is the ratio of photosynthetic capacity (V_{cmax}) to light-saturated net photosynthesis (A, µmol CO_2 m⁻² s⁻¹); G_s is the ratio of stomatal conductance to CO_2 (g_s , mol CO_2 m⁻² s⁻¹) to A; G_m is the ratio of mesophyll conductance to CO_2 (g_m , mol CO_2 m⁻² s⁻¹) to A; G_t is the ratio of total conductance to CO_2 (g_t , mol CO_2 m⁻² s⁻¹) to A. Lines refer to regression line for 63 species. T, TS, S, G, V, and F represent Tree, Tree/Shrub, Shrub, Grass, Vine, and Fern, respectively.

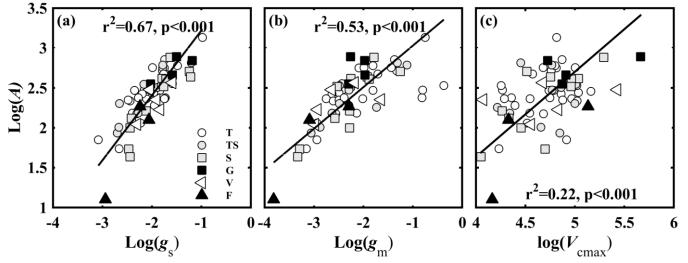


Figure 3. Relationships between light-saturated net photosynthesis (A, μ mol CO_2 m⁻² s⁻¹) and (a) stomatal conductance to CO_2 (g_s , mol CO_2 m⁻² s⁻¹); (b) mesophyll conductance to CO_2 (g_m , mol CO_2 m⁻² s⁻¹); and (c) the maximum carboxylase activity of Rubisco (V_{cmax} , μ mol CO_2 m⁻² s⁻¹). Lines refer to regression line for 63 species. T, TS, S, G, V, and F represent Tree, Tree/Shrub, Shrub, Grass, Vine, and Fern, respectively.

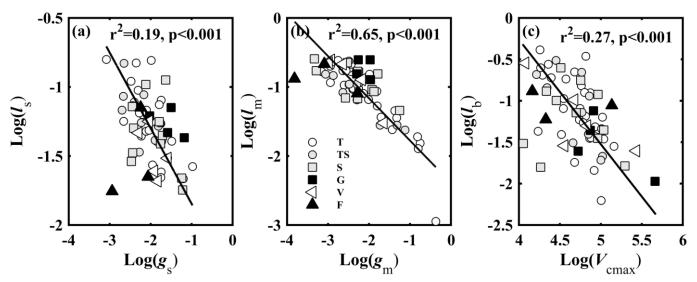


Figure 4. Relationships between (a) stomatal conductance to CO_2 (g_s , mol CO_2 m⁻² s⁻¹) and l_s (g_s limitation on light-saturated net photosynthesis (A)); (b) mesophyll conductance to CO_2 (g_m , mol CO_2 m⁻² s⁻¹) and l_m (g_m limitation on A); and (c) the maximum carboxylase activity of Rubisco (V_{cmax} , μ mol CO_2 m⁻² s⁻¹) and l_b (V_{cmax} limitation on A). Lines refer to regression line for 63 species. T, TS, S, G, V, and F represent Tree, Tree/Shrub, Shrub, Grass, Vine, and Fern, respectively.

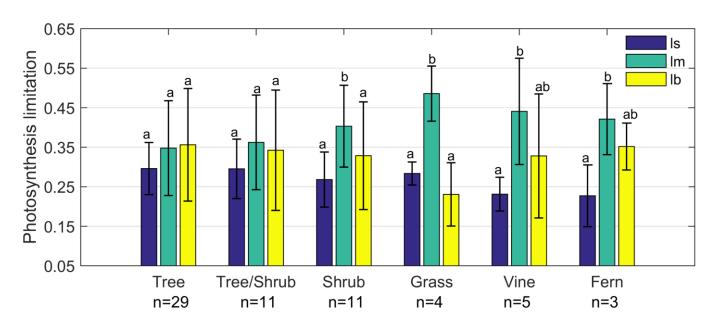


Figure 5. Limitation to light-saturated net photosynthesis (A) in six life forms by stomatal conductance to $CO_2(l_s)$, mesophyll conductance to $CO_2(l_m)$, and the maximum carboxylase activity of Rubisco (l_b) . Error bars denominate standard deviation (1σ) .

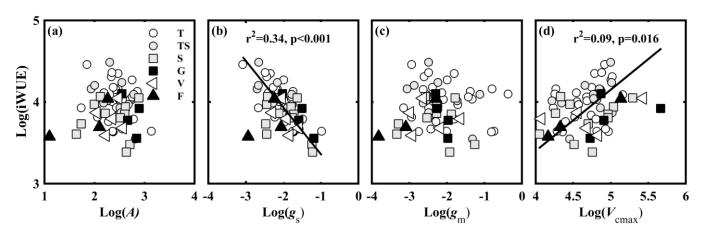


Figure 6. Relationships between the observed intrinsic water use efficiency (iWUE, μ mol CO₂ mol⁻¹ H₂O) and (a) light-saturated net photosynthesis (A, μ mol CO₂ m⁻² s⁻¹); (b) stomatal conductance to CO₂(g_s , mol CO₂ m⁻² s⁻¹); (c) mesophyll conductance to CO₂(g_m , mol CO₂ m⁻² s⁻¹) and (d) the maximum carboxylase activity of Rubisco (V_{cmax} , μ mol CO₂ m⁻² s⁻¹). Lines refer to regression line for 63 species. T, TS, S, G, V, and F represent Tree, Tree/Shrub, Shrub, Grass, Vine, and Fern, respectively.

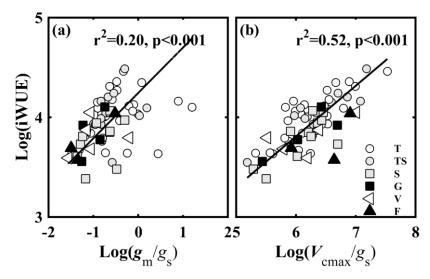


Figure 7. The relationships of the intrinsic water use efficiency (iWUE, μ mol CO₂ mol⁻¹ H₂O) and (a) the ratio of mesophyll conductance to CO₂ ($g_{\rm m}$) to ($g_{\rm s}$) ($g_{\rm m}/g_{\rm s}$) and (b) the ratio of the maximum carboxylase activity of Rubisco ($V_{\rm cmax}$) to gs ($V_{\rm cmax}/g_{\rm s}$). Lines refer to regression line for 63 species. T, TS, S, G, V, and F represent Tree, Tree/Shrub, Shrub, Grass, Vine, and Fern, respectively.