

Dear Katja Fennel,

we submitted a second revised version of the manuscript “Reciprocal bias compensation and ensuing uncertainties in model-based climate projections: pelagic biogeochemistry versus ocean mixing.” by U. Löptien and H. Dietze. We found the reviewer comments again extremely helpful and included all of them into the revised version of the manuscript (you find the respective answers and line numbers of changes below). Also, we went carefully through the text once more. We hope that you find the manuscript now suitable for publication.

Thank you for your work.

Best, the authors

Answers to Reviewer #2:

A: We are, again, very grateful to the reviewer who invested so much time to come up with very helpful and detailed suggestions. Thanks!

R: General Comments:

R: While the structure of the manuscript has indeed improved and some statements are no longer repeated again and again, there are still a lot mistakes in the use of the English language which makes the manuscript difficult to follow in places. I have outlined some mistakes in my specific comments below but there are too many smaller mistakes to list them all. I would recommend that the authors allow a native English speaker to carefully go through the manuscript before resubmitting it.

A: We followed this suggestion and went carefully through the text.

R: In my first review I was curious how the parameter values for the TUNE experiment were chosen. In the revised version of the manuscript, I still cannot find the information I was looking for (it is not on page 6, line 29ff as the response to first review suggests). Furthermore, I am now wondering how the two experiments with decreased sinking fit into the picture. How were the parameter values determined, were they randomly chosen? Why are there exactly 2 simulations with decreased sinking and what are the values for the remaining parameters for those two simulations? Just a few sentences might be all that is required, at the moment the reader is not given enough information to recreate the experiment and obtain a similar reduction in model-data misfit.

A: We concluded from the comments that mentioning the two extra simulations was rather confusing. Further, they do not add relevant content. Hence, we removed them. Additionally, the description of the remaining experiments was completed.

Specific Comments:

R: p1 14: "Respective model applications" -> "Applications for these models"

A: Done (Page 1, Line 4)

R: p1 117: "global warming": I prefer the term "climate change" but leave this decision to the authors.

A: True + changed (Line 17)

R: p1 117: "trigger ... geo-engineering options": I'd suggest "have triggered ... geo-engineering options to combat its effects on our planet."

A: Changed (Page 1, Line 17)

R: p2 13: "that govern the dynamics of the set of underlying partial differential equations" -> "that are included in the model's partial differential equations which govern its dynamics"

A: Reformulated (Page 2, Line 4)

R: p2 15: remove "and/" -> or

A: Done (Page 2, Line 18)

R: p2 16: remove "generic" ("typical" is enough)

A: Done (Page 2, Line 8)

R: p2 112: "In this study..." This sentence is not very precise, try to tie in biological models and model-data misfit reduction. Something like "In this study we illustrate how a model-data misfit arising from biases and deficiencies in the physical model can impact the estimation of biological parameters."

A: Reformulated (Page 2, Line 14)

R: p2 116: "to" -> "and" -> or

A: Done (Page 2, Line 18)

R: p2 119: "balancing ... away from". Do you mean "counteracting the effects of", please rephrase. -> counteracting

A: Changed (Page 2, Line 20)

R: p2 127: "where actual mixing compounds explicitly prescribed mixing rates with spurious mixing ...": I had to re-read this sentence several times, please simplify and rephrase.

-> as the actual mixing results from explicitly prescribed mixing rates plus spurious mixing triggered by numerical advection and isopycnal diffusion algorithms

A: Reformulated (Page 2, Line 30f)

R: R: p2 133: "we do not know the values for diapycnal diffusivity that are to be set explicitly in Earth System Models" -> "we do not have exact values for diapycnal diffusivity for use in Earth System Models" -> the values for diapycnal diffusivity, that are to be set explicitly in Earth System Models, are poorly known

A: Changed (Page 2, Line 35f)

R: p2 133: "Changes" -> "Yet, changes"

A: Done (Page 3, Line 1)

R: p3 15: "Earth System Models contains several components and module after module is coupled together" -> "Earth System Models are made up of several modules which are coupled together"

A: Reformulated (Page 3, Line 6f)

R: p3 16: "Thus, pelagic biogeochemistry modules are developed within a rather "fixed" physical model environment." I'd suggest "Thus, pelagic biogeochemistry modules are often developed presuming a fixed physical model component."

A: Reformulated (Page 3, Line 8f)

R: p3 117: "biogeochemical mixing" This sound like a physical process, I think you mean "biogeochemical cycling".

A: Changed (Page 3, Line 18)

R: p3 118: "sometimes without even the modellers knowing" Are you suggesting most modellers know about their model having two flawed model components. -> deleted (components)

A: Deleted (Page 3, Line 19)

R: p4 12: "UVic" is introduced here for the second time.

A: Deleted (Page 4, Line 1)

R: p4 117: "in the reference version": Is that the Genuine Truth, if so mention that.

A: We added "Genuine Truth" as suggested (Page 4, Line 17)

R: p4 127: "such as differences between growth and death" -> "growth and mortality"

A: Changed (Page 4, Line 19)

R: p4 132: There is a "(3)" missing here. But why not introduce these in the same order they are later presented in the equations?

A: We added (3) and changed the order (Page 5, Line 1ff)

R: p5 17: "(T) up to 20C" the "up to 20C" is confusing here, I'd suggest to just use "(T in units of C)".

A: Changed (Page 5, Line 10)

R: p5 114: "In one of our configurations": Name the configuration! The configurations have been introduced in the previous section and TUNE should be mentioned explicitly in this section.

A: True + added (Page 5, Line 18)

R: p6 19: These 3 parameters were all just mentioned on the previous page.

A: True + shortened (Page 6, Line 15)

R: p6 111: "these": the changes were not yet specified, use "the" or "our" instead.

A: Changed to "our" (Page 6, Line 15)

p6 113: "changes to biogeochemical model parameters in TUNE are chosen such that the bias between the Genuine Truth and MIX+ (that is effected by the choice of a higher background diffusivity) is compensated" Be specific here, bias in what variables? If you had observations

of diffusivity, changes in the parameters could not compensate for that. However the bias in select "observed" biogeochemical variables can be reduced this way.

A: We added the respective information (Page 6, Line 18)

R: p6 l18: "ranges regularly" What exactly does this mean, how were the parameter values chosen?

A: We reformulated this sentence (Page 6, Line 23/24)

R: p6 l19: The two extra runs with decreased sinking feel a bit like an afterthought. How were the rest of the parameters chosen?

A: We concluded from the comments that mentioning the two extra simulations was rather confusing. Further, they do not add relevant content. Hence, we removed them.

R: p7 l27: "increases up to 1 PSU" -> "increases by up to 1 PSU"

A: Changed (Page 8, Line 1)

R: p8 l16: "has opposing effects" -> "has two opposing effects"

A: Changed (Page 8, Line 21)

R p9 l5: ". The latter" -> ", which"

A: Reformulated + we start a new sentence now (Page 8, Line 32ff)

R: p9 l9: Here you use "RMSE", before "RMSD" is used. -> we use now RMSE throughout the manuscript

A: We always use RMSE now (e.g., Page 9, Line 11)

R: p9 l20: "doubled below 1500 m depth" What exactly is doubled, the temperature difference?

A: Reformulated (Page 8, Line 25)

p9 l26: "are" -> "can be"

A: Changed (Page 8, Line 30)

R: p10 l18: Fig. 10 shows phosphate, not phytoplankton.

A: Thanks! Changed (Page 10, Line 21)

R: p11 l21: Here you are comparing a relative number (0.5%) to an absolute one (200GT), which makes it difficult to assess the significance of the change. Add, for example, information about the relative change to the absolute number "200Gt C (x%)". Also, change "T" to "t".

A: Added (Page 11, Line 26)

R: p12 l20: Here, the mixing parameter settings are described as "extreme cases", later it is argued that literature values were chosen. What is it, are the literature values extreme or are reasonable values chosen here? A bit more explanation is needed or maybe the use of "extreme" is a bit too extreme here?

A: True - we changed "extreme" (Page 12, Line 25)

R: p12 l29 (on p13, the line numbers keep sliding): It would be good to point out here that changes to the vertical diffusivity are the only changes to the physical model component but

that there are also changes to the biogeochemical model. If the Keller 2012 configuration only includes the physical model component, this should be pointed out in the first paragraph of Section 4.1.

A: Thanks! We state now clearly that we here refer to the physical component of Keller 2012 (Page 13, Line 1/2)

R: p13 l11: "To summarize: the uncertainty is substantial." I'd suggest: "To summarize: the uncertainty in the value of the vertical diffusion parameter of our physical model component is substantial and it can currently not be well constrained by either observations or experiments. Hence, ..."

A: Reformulated (Page 13, Line 15)

R: p13 l14: "which saves considerable computational cost": This sounds a bit like the change in advection scheme makes the model run faster, I don't think this information is needed here.

A: we skipped the computational cost discussion since we feel that this information was not relevant in this context (Page 13, Line 18)

R: p13 l18: Why start a new paragraph in the middle of the explanation of the effects of modifying the vertical diffusion parameter? Start new paragraph at the beginning of said explanation "<new paragraph> Using ad-hoc measures, ..."

A: Done (Page 13, Line 19)

R: p13 l27: It would be good to mention that the parameter values were chosen to reduce the misfit between model and data (generated by Genuine Truth).

A: Added (Page 13, Line 32)

R: p14 l2: "pretty" -> "quite" (or just "effective")

A: Done (Page 14, Line 7)

R: p14 l4: "the Genuine Truth configuration is considered state-of-the-art" I do not disagree with this assessment, however previously it is stated that "The model is relatively simple [...] and rather coarsely resolved ($\approx 200\text{km}$), compared to the cutting-edge generation of IPCC-type Atmosphere-Ocean General Circulation Models (AOGCMs)." You should make the argument for why this model is considered state-of-the-art in Section 4.1. Otherwise it comes as a surprise to the reader that in Section 4.3 the previously "simple" model is now considered "state-of-the-art".

A: Agreed + changed (Page 14, Line 10/11)

p14 l8: "As outlined above, it is hard to argue based on a-priori knowledge as concerns the differences among their underlying model parameters, which model configuration, the Genuine Truth or TUNE, is more realistic.": I repeat my argument: If one of the model configurations has "extreme" parameter values (as stated previously), then it would be reasonable to presume based on a-priori knowledge that it is less realistic.

A: We agree with the reviewer and changed "extreme" in Set.4.1 as suggested above (Page 12, Line 25).

R: p15 l9: To someone just reading the conclusions, you should point out that the values of the biogeochemical model parameters were chosen to counteract the effects of modifying the diffusivity.

A: Agreed (Page 15, Line 23/24)

R: p16 l9: "constricted" -> "constrained"
A: *Changed (Page 16, Line 14)*

Reciprocal bias compensation and ensuing uncertainties in model-based climate projections: pelagic biogeochemistry versus ocean mixing.

Ulrike Lötien^{1,2} and Heiner Dietze^{1,2}

¹Institute for Geosciences, University of Kiel, Ludewig-Meyn-Str. 10, 24118 Kiel, Germany

²GEOMAR Helmholtz Centre for Ocean Research Kiel, Düsterbrookweg 20, 24105 Kiel, Germany

Correspondence: U. Lötien (ulrike.loetien@ifg.uni-kiel.de)

Abstract. Anthropogenic emissions of greenhouse gases such as CO₂ and N₂O impinge on the earth system which, in turn, modulates atmospheric greenhouse gas concentrations. The underlying feedback mechanisms are complex and, at times, counterintuitive. So-called *Earth System Models* have recently matured to standard tools tailored to assess these feedback mechanisms in a warming world. **Applications for these models** range from being targeted at basic process understanding to the assessment of geo-engineering options. A problem endemic to all these applications is the need to estimate poorly known model parameters, specifically for the biogeochemical component, based on observational data (e.g. nutrient fields). In the present study, we illustrate, **based on an Earth System model**, that by such an approach biases in the physical ocean-circulation model component can reciprocally compensate biases in the pelagic biogeochemical model component (and vice versa). We present two **model configurations** that share a remarkably similar steady state (based on ad-hoc measures) when driven by historical boundary conditions, even though they feature substantially different configurations (**parameter sets**) of **ocean-mixing and biogeochemical cycling**. When projected into the future the similarity between the model responses breaks. Metrics such as changes in total oceanic carbon content and suboxic volume diverge **between** the model configurations as the Earth warms. Our results reiterate that advancing the understanding of oceanic mixing processes will reduce the uncertainty of future projections of the oceanic biogeochemical cycles. Related to the latter, we suggest that an advanced understanding of oceanic biogeochemical cycles can be used for advancements in the ocean circulation modules.

1 Introduction

Challenges associated with **climate change have triggered** a discussion of geo-engineering **to combat negative effects of anthropogenic greenhouse gas emissions on our planet**. Among these options are ideas to purposely change pelagic biogeochemical cycles in order to increase oceanic carbon sequestration from the atmosphere (e.g., Williamson et al., 2012). Currently, the effectiveness and potential side effects of such measures are quantified with numerical Earth System Models (cf., Yool et al., 2009; Dutreuil et al., 2009; Oschlies et al., 2010) - tools known to be associated with substantial uncertainty (e.g., Bopp, 2013; Friedlingstein et al., 2014). One source of uncertainty in these models are unknowns in the mathematical

representation (typically a set of partial differential equations) of both physical and biogeochemical processes, **impacting** the pelagic ocean.

As for the biogeochemical processes considerable uncertainty is associated with poorly known model parameters (cf., Kriest et al., 2010; Löptien and Dietze, 2017), such as growth rates or limitation thresholds **that are included in the partial differential equations which underly the model**. In an attempt to reduce this uncertainty, several studies set out to estimate these parameters by minimizing a *cost function*, that measures the misfit between model and observational data, such as climatological nutrient or phytoplankton concentrations (e.g., Fan and Lv, 2009; Friedrichs et al., 2006; Schartau, 2003; Spitz et al., 1998; Hemmings and Challenor, 2012; Matear, 1995; Xiao and Friedrichs, 2014)). For **typical** model-data combinations (as opposed to idealized special cases) it, unfortunately, turned out to be impossible to determine an optimal parameter set (Ward et al., 2010; Schartau et al., 2001; Rückelt et al., 2010). Among the suggested reasons for such failures are excessive computational expenses along with sparse and noisy observational data (e.g., Lawson et al., 1996; Löptien and Dietze, 2015). In addition to, or as a consequence of, the discussion of the problem entails suggestions that the optimization problem is underdetermined (Matear, 1995) and that the underlying equations do not represent actual processes and conditions (Fasham et al., 1995; Fennel et al., 2001). In this study, **we illustrate how deficiencies in the physical model component impact the estimation of the biogeochemical parameters** (cf., Sinha et al., 2010; Dietze and Löptien, 2013).

Typically, general ocean circulation models, designed to simulate the ocean's physics such as the transport and mixing of water parcels (and therein dissolved or dispersed substances of biogeochemical relevance), contain various sources of uncertainties. Such uncertainties result, e.g., from discretization issues or unresolved processes at the atmosphere-ocean interface (that supply the energy for ocean currents and turbulence). In the context of pelagic biogeochemical cycles, major uncertainty is associated with energy dissipation and related diapycnal mixing. The reason is that diapycnal (mixing) transport of nutrients to the sun-lit surface ocean fuels autotrophic growth of phytoplankton **and it thus counteracts** the associated vertical (sinking) export of organic carbon to depth, away from the atmosphere. As such diapycnal mixing is key to what is also referred to as the *biological carbon pump*. However, even though diapycnal mixing is key also in determining various physical properties, such as the simulated thermocline depth (e.g. Bryan, 1987) and the simulated global meridional overturning circulation (e.g. Prange et al., 2003), it is not yet well quantified by observations: large-scale tracer release experiments in the thermocline of the oligotrophic **subtropical** North Atlantic suggest diffusivities between 0.1 to 0.5 cm^2/s (Ledwell et al., 1998) while measurements which apply the Osborn-Cox relation between dissipation and diffusion exceed these values locally over rough topography by an order of magnitude.

Somewhat disconcerting, effective diapycnal mixing is not even quantifiable in ocean general circulation models, **as the actual mixing results from a combination of explicitly prescribed mixing rates and spurious mixing associated to numerical advection and isopycnal diffusion algorithms** (Mathieu and Deleersnijder, 1998; Lemarié et al., 2012). Attempts to diagnose net effective mixing in ocean general circulation models is work in progress (Burchard, 2012; Getzlaff et al., 2010, 2012; Ilicak et al., 2012) and, as suggested by (Dietze and Oschlies, 2005), an increasing number of measurements of the saturation state of noble gases in the world ocean may eventually give guidance on the question of realism of simulated diapycnal mixing. For now, however, **the values for diapycnal (vertical) diffusivity, that are to be set explicitly in Earth System**

Models, are poorly known. Typical choices range roughly between 0.1 to 0.5 cm^2/s . **Yet, changes** from one value to another have been shown to profoundly change simulated dynamics of biogeochemical processes, both for historical atmospheric CO₂ concentrations and for projections into a warming future (Oschlies, 2001; Duteil and Oschlies, 2011).

In general diapycnal mixing of a specific ocean model has a strong impact on the respective biogeochemical component and its parameter settings because the interplay between the biological pump and mixing is delicate. The latter holds particularly as the development of Earth System Models **consist of several modules. These are generally successively** coupled together. Generally, the pelagic biogeochemical module is added to an already-coupled ocean-atmosphere kernel. Thus, pelagic biogeochemistry modules are **often developed presuming a fixed physical model component.** This approach is equivalent to assuming that any model-data misfit of biogeochemical cycles is attributable to either a deficient biogeochemical model formulation (i.e., inapt set of partial differential equations), or an inapt choice of biogeochemical model parameters (such as growth rates or limitation thresholds), or both, **while the biogeochemical model is tuned. Here, tuning here refers to tweaking equations and parameters** until "reasonable" agreement with climatological observations (such as nutrients und surface chlorophyll a) is achieved (e.g., Ilyina et al., 2013; Keller et al., 2012, and many more to follow).

To summarize: on the one hand, it is well known that the choice of diapycnal diffusivity profoundly affects model solutions and that the prescribed value of diapycnal diffusivity is highly uncertain. On the other hand, it is common practice to tune biogeochemical modules to a fixed physical model component (which is difficult to evaluate **in terms of ocean mixing**). We conclude that this practice entails the danger of what we coin *reciprocal bias compensation*, where the flaws of one model component (ocean mixing) are compensated by tuning/tweaking another model component (biogeochemical **cydling**). The final result might be two flawed model **components**.

This study sets out to illustrate the **consequences** of reciprocal bias compensation by replicating the typical workflow of Earth System Model development with *twin experiments based on* the University of Victoria Earth System Climate Model (UVic Weaver et al., 2001): we define the **model configuration described by Keller et al. (2012)** as the *Genuine Truth*. Further, we define a twin that has a biased physical ocean component relative to the Genuine Truth in that the vertical diffusion is increased severalfold (configuration MIX+). Finally, we optimize the biogeochemical parameters of the biased twin such that it resembles the Genuine Truth as closely as possible (configuration TUNE). Such an approach gives us full control over the abundance of data (model output) in space and time and the underlying equations (which is not the case for real world observations). In a nutshell, our study discusses three model configurations, applied to both, historical CO₂ **concentrations** and anticipated **future CO₂ emissions (RCP8.5 scenario)**. The model setups comprise a Genuine Truth, a biased version of the Genuine Truth and a reciprocally bias compensated version of the latter (c.f., Tab. 2).

We describe the design of the numerical experiments in detail in the following section. Section 3 compares model results under pre-industrial and anticipated future conditions. Section 4 discusses the results and Section 5 closes with a summary and conclusions.

2 Methods

This study is based on a suite of numerical experiments performed with the **UVic 2.9 Earth System Climate model**, a model of intermediate complexity with relatively low computational cost. The model has recently been applied to explore geo-engineering options in a number of studies (e.g. Keller et al., 2014; Oeschies et al., 2010; Matthews et al., 2008; Reith et al., 2016). In the following Sections 2.1 and 2.2 we present the three configurations of the model used in this study. Two configurations are very similar and one configuration is identical to the configuration that has been comprehensively documented and assessed in the model description paper by Keller et al. (2012).

2.1 Earth System Model

Common to all of our three UVic configurations is a horizontal resolution of 1.8° in latitude and 3.6° in longitude in all submodules (land with active terrestrial vegetation component, ocean, atmosphere, dynamic-thermodynamic sea ice, simple land ice; Weaver et al., 2001). The atmospheric component comprises a single-level atmospheric energy-moisture balance model. Surface winds are prescribed from the NCAR/NCEP monthly climatology. The prescribed winds are used to calculate the momentum transfer to the ocean, the momentum transfer to a dynamic/thermodynamic sea ice model, the surface heat and water fluxes, and the advection of water vapor in the atmosphere.

The ocean submodule is based on a three-dimensional primitive-equation model (Pacanowski, 2010). The vertical discretisation of the ocean comprises 19 levels. The vertical resolution increases gradually from 50 m at the surface to 500 m at depth. The vertical background mixing parameter, κ_h is constant ($0.15\text{ cm}^2\text{s}^{-1}$ in the reference version (**Genuine Truth**)) - apart from the Southern Ocean (south of 40°S) where the background value is increased by a value of $1.0\text{ cm}^2\text{s}^{-1}$. An anisotropic viscosity scheme from Large et al. (2001) is implemented, as in Somes et al. (2010), to improve the equatorial circulation. **Further, the ocean component of UVic applies convective adjustment and uses a tidal mixing parameterisation according to Simmons et al. (2004).**

A marine pelagic biogeochemical model is coupled to the ocean circulation component. Its prognostic variables are phytoplankton (P_o), diazotrophic phytoplankton (P_D), zooplankton (Z), detritus (D), nitrate (NO_3), phosphate (PO_4), dissolved oxygen (O_2), dissolved inorganic carbon (DIC) and alkalinity (ALK). The original configuration of Keller et al. (2012) has been tuned to match the annual mean nutrient fields provided by the World Ocean Atlas (Garcia et al., 2010).

The temporal evolution of each prognostic variable is given by

$$\frac{\partial C}{\partial t} = DTR + SRC, \quad (1)$$

where DTR denotes the convergence (or divergence) of physical transports (sum of advection, isopycnal and diapycnal diffusion) and SRC denotes the source minus sink terms (such as differences between growth and **mortality**, air-sea fluxes, sinking). The **biogeochemical module** is described in detail in Keller et al. (2012) and Schmittner et al. (2008). Here, we present a choice of details relevant to those processes that we change (in **the configuration TUNE**, as described in Section 2.2). These processes are the sinking of detritus, the remineralization of detritus and grazing by zooplankton. More specifically we apply changes

to the following model parameters (see also Table 1): **(1) the maximum grazing rate μ_Z , (2) the sinking speed of detritus w_{D0} , and (3) the remineralization rate of detritus μ_{D0}** . In the following we present the equations in which these model parameters are applied.

Phytoplankton growth is controlled by the availability of light and nutrients (here, nitrate, phosphate and iron, where the latter is parameterized by an iron mask based on monthly mean dissolved iron concentration outputs from the BLING model (Galbraith et al., 2010) rather than explicitly resolved). The simulated phytoplankton concentrations have a weak impact on sea surface temperatures as it is assumed that in the presence of phytoplankton more sun-light is absorption in the upper layer of the ocean model. Phytoplankton blooms are terminated by zooplankton grazing once essential nutrients are depleted. Zooplankton grazes on phytoplankton, diazotrophs, detritus and other zooplankton (self-predation). Zooplankton growth is limited by a maximum zooplankton growth rate. This rate, μ_Z^{max} , is dependent on temperature (**T in units °C**) and oxygen concentrations (O_2 in units $mmol\ m^{-3}$). In our parameter tuning experiment we change the value of the model parameter μ_Z in the equation

$$\mu_Z^{max} = \mu_Z \max(0, (0.5(\tan(O_2 - 8) + 1)1.066^{\min(20, T)}))$$

Both phytoplankton and zooplankton produce detritus that sinks to depth. This sinking speed, in combination with the remineralization rate, determines the depth range at which detritus is converted back into dissolved species (such as nitrate, phosphate, DIC) and stops sinking to the sea-floor. The sinking speed of detritus, w_D , increases linearly with depth:

$$w_D = w_{D0} + m_W z,$$

where w_{D0} is the derivative of m_W with respect to depth and z is the effective vertical coordinate (positive downward). In **our configuration TUNE** we change the value of the model parameter w_{D0} .

Remineralization of detritus returns the nitrogen (N) and phosphorus (P) content of detritus back to nitrate (NO_3) and phosphate (PO_4), consumes oxygen and releases inorganic carbon. The rate of remineralization μ_D is both temperature-dependent (T) and a function of ambient oxygen concentrations (it decreases by a factor of 5 in suboxic waters):

$$\mu_D = \mu_{D0} \exp\left(\frac{T}{T_b} (0.65 + 0.35 \tanh(O_2 - 6))\right).$$

T_b is the e-folding temperature of biological rates (notation after Schmittner et al., 2008), T and O_2 are ambient temperature (°C) and oxygen concentration ($mmol\ O_2\ m^{-3}$), respectively. In one of our configurations we change the remineralization rate μ_{D0} which sets the rate at 0°C under oxygen replete conditions.

2.2 Numerical Experiments

We present results from numerical experiment of three different configurations of the numerical Earth System Model UVic (c.f., Tab. 2). For all three different configurations we apply both, constant **pre-industrial** atmospheric CO_2 concentrations as well as increasing atmospheric CO_2 **emissions** over time.

2.2.1 Model Configurations

Table 2 lists the model configurations. The *Genuine Truth* configuration is identical to the *Reference Simulation* in Getzlaff and Dietze (2013) and has been developed and introduced by Keller et al. (2012). Note that this *Genuine Truth* model version by Keller et al. (2012) was modified (or tuned) such that the misfit to climatological observations of biogeochemical relevance, such as dissolved phosphate concentrations and phytoplankton (Garcia et al., 2010), is reduced relative to the original biogeochemical module from Schmittner et al. (2008). We compare this *Genuine Truth* to the model configurations with increased mixing, MIX+ and TUNE.

The first model modification, referred to as MIX+, is identical to the model version underlying the *Genuine Truth*, except for an increase in the vertical background diffusion from $\kappa_h = 0.15$ up to $0.4 \text{ cm}^2 \text{ s}^{-1}$ (c.f., Table 1 and 2). This choice is motivated by Kvale et al. (2017) who increased κ_h from 0.15 to $0.43 \text{ cm}^2 \text{ s}^{-1}$ (in the same model) in order to compensate for a collapsed meridional overturning circulation when switching from one numerical advection scheme to another (**to facilitate the design of an off-line model version**). Also the regarded value is well within the range explored by Duteil and Oschlies (2011) **with the same model**.

TUNE is another twin to the *Genuine Truth* and identical to MIX+, except for changes to three biogeochemical model parameters: w_{D0} , μ_{D0} **and** μ_Z (c.f., Table 1). The leading thought behind **our** changes relative to MIX+ is to mimic the behavior of the *Genuine Truth* configuration even though the vertical background diffusion is substantially different from the *Genuine Truth*. Or, in other words, changes to biogeochemical model parameters in TUNE are chosen such that the **RMSE (and with it the bias) in the simulated 3-dimensional distribution of phosphate and phytoplankton concentrations** between the *Genuine Truth* and **MIX+** is compensated. The procedure to achieve such a bias compensation is as follows: (1) We chose the three parameters somewhat arbitrarily guessing that they are capable of reciprocally compensating for the effect of an increased vertical diffusivity. (2) We performed 48 spinups (cf., Section 2.2.2) with increased diffusivity and differing sets of values for the aforementioned biogeochemical model parameters. In these runs w_{D0} **is spaced uniformly** from 20 to 45 m day^{-1} , μ_{D0} from 0.07 to 0.09 and μ_Z from 0.4 to 0.45 (**grid design; 6x4x2**). The idea behind this approach is to compensate the enhanced upwelling of nutrient by enhanced detritus export. **Additionally, μ_{D0} was increased**, to keep at the same time detritus concentrations on a reasonably low level. Note that prior to applying this concept to a 3-dimensional model, it was tested in a simplified water column setup. (3) From this set of **48** we chose the configuration TUNE which was "most similar" to the *Genuine Truth* (c.f., Tab. 3). Following the rather generic workflow of biogeochemical model development we defined "similar to the *Genuine Truth*" as yielding a low volume-weighted root-mean-square deviation with respect to surface phytoplankton and global (3 dimensional) oceanic phosphate concentrations (both values were averaged after unit conversion via a fixed Redfield-ratio). This comparison between between TUNE and the *Genuine Truth* was performed under preindustrial atmospheric CO_2 concentrations. (Note that there is an ongoing discussion on misfit metrics which is beyond the scope of this manuscript, e.g., Evans, 2003; Löptien and Dietze, 2015). Please note that parameter choice for TUNE that yield an even better bias compensation than the one we present in Table 1 may well exist and be found by using automated parameter optimization approaches such as suggested by Sauerland et al. (2009). Also, the bias might potentially be lowered when considering more

biogeochemical model parameters. However, given the already-remarkable similarity between TUNE and the Genuine Truth (as will be put forward in Section 3), we decided against the associated computational cost for the rather illustrative purpose of this study.

2.2.2 Spinup Procedure, Historical Model Solution, and Projections into the Future

5 All numerical experiments presented in this study start from observed tracer distributions (Garcia et al., 2010). Each of the three model configurations (Genuine Truth, MIX+ and TUNE, Section 2.2.1) is then integrated under pre-industrial atmospheric CO_2 concentrations for 3000 years in order to reach quasi-equilibrated spun-up model states (e.g. Gupta et al., 2013) for all three configurations. The results (more specifically, the average of the last 10 years of the respective 3000 year spinups) of these three numerical experiments are dubbed *Historical Model Solutions* because they are representative of the pre-industrial
10 world.

Subsequently, starting from the respective spun-up states of the three model configurations, so-called 1000 year-long drift runs are performed, where only the CO_2 emissions (instead of concentrations) are prescribed while the atmospheric CO_2 content is allowed to vary now in response to pre-industrial emissions. After this drift phase also virtual air-sea fluxes of biogeochemical species are turned on (i.e., changes in DIC due to evaporation, precipitation and runoff - Weaver et al. (2007)).
15 This procedure has proven to be efficient in switching from a prescribed atmospheric CO_2 setup to an atmospheric emission-driven setup while keeping the spinup-times within reasonable bounds (also used in e.g. Löptien and Dietze, 2017). Finally, we annex projections into the future by considering the years 1850-2100. From 2005-2100 we apply the emission scenario RCP 8.5 (Riahi et al., 2011). Please note that differences among the three setups emerge during the transition phases already. This model behaviour is a reminder that, on the one hand, the state at which models are assessed matters, while on the other hand,
20 there is no consensus which state should be used for model assessment. In this study we follow the typical procedure as applied by e.g., Reith et al. (2016)

In summary, we present 6 numerical experiments: a historical model solution and a RCP 8.5 scenario for each of the three model configurations - Genuine Truth, MIX+ and TUNE.

3 Results

25 In the following Section 3.1, we focus on the three historical model simulations (Genuine Truth, MIX+ and TUNE). This subsection illustrates *reciprocal bias compensation*. In Section 3.2, we present the results from the RCP 8.5 scenario simulations. The aim of the latter is to explore the robustness of the reciprocal bias compensation under a typical global warming scenario.

3.1 Historical Model Solutions

The massive, severalfold, increase in background diffusivity introduces surprisingly little differences in simulated ocean physics:
30 the differences in sea surface temperature are very small in Figure 1 and relatively largest differences occur in the high latitudes, especially close to the ice edge. A similar picture evolves for sea surface salinity (Fig.2): generally, differences in response to

increased vertical mixing rates are small (Fig. 2(c)) with an exception in the Arctic where surface salinity **increases by** up to 1 PSU. in response to increased vertical mixing rates. This is consistent with an increase in the meridional overturning circulation from 19 Sv in the Genuine Truth to 22 Sv in MIX+, which compensates for some of the net air-sea freshwater fluxes in the high latitudes (and thus increases sea surface salinities in these latitudes). Expressed in terms of a global mean difference, the
5 Genuine Truth and MIX+ historical simulations differ by 0.03 K and 0.13 PSU only. Figure 3 supports the impression of similarity by showing that differences in the simulated zonally averaged net air-sea heat fluxes are within the range of measurement uncertainty in the field (e.g. Gulev et al., 2007). High values are restricted to very limited regions, impacted by sea ice or deep water formation.

In contrast to the barely detectable changes in physical ocean dynamics, described above, conventional proxies of biogeochemical cycling turn out to be very sensitive to the change in vertical background diffusivity. The surface phosphate concentrations (compare panel (a) and (c) of Figure 4) and surface phytoplankton concentrations (compare panel (a) and (c) of Fig. 5) showcase this amplified sensitivity of biogeochemical **variables** to changes in governing physics in a drastic way: while the increased vertical diffusion of cold abyssal waters from depth to the surface effects only minor changes to sea surface temperature and air-sea heat fluxes (as discussed above), it brings substantially more phosphate to the nutrient-depleted sun-lit
15 surface layer where it drives a substantial increase in the autotrophic production and standing stock of phytoplankton. As a consequence, the cycling of phosphate in the upper thermocline accelerates. The export of particulate organic matter increases and the subsequent remineralization sharpens the vertical nutrient gradient at the base of the euphotic zone which, in turn, increases diffusive nutrient fluxes to the sun-lit surface layer. Among the overall net-effects is the increased phosphate pool in the upper thermocline, shown in Figure 6 (except for in the Southern Ocean where the combination of iron limitation, seasonal light limitation and unique ventilation patterns overcomes the aforementioned effect). As concerns dissolved oxygen, the
20 increase in vertical diffusivity has **two opposing effects**: On the one hand, the increased mixing ventilates the abyssal ocean by mixing oxygenated surface waters downward. On the other hand, the increased mixing accelerates biogeochemical cycling of organic matter (as described above) and thus, as a consequence of the associated accelerated remineralization of organic matter, increases the oxygen demand. Figure 7(c) reveals that the ventilating effect prevails in MIX+, i.e., the oceanic oxygen
25 inventory rises in response to the higher diffusivity.

The above results are in line with the intended model design (which mimics the typical workflow of Earth System Model development): The Genuine Truth simulation represents a global set of (synthetic) observations. MIX+ is a physically biased model version of the Genuine Truth with, as illustrated above, drastic consequences for the simulated biogeochemical tracer distributions. The setup TUNE is an attempt to tune/tweak the biogeochemistry in the biased model MIX+ such that it resembles
30 the Genuine Truth under historical conditions. Thus in TUNE we compensate the biased imposed in the physics by tuning the biogeochemistry. Under historical forcing, the physical ocean circulation is almost identical in TUNE and MIX+ (not shown). **This low sensitivity might partly be due to the prescribed atmospheric CO₂ concentrations in the historical simulations, since the feedback from changed biogeochemistry via oceanic carbon uptake to atmospheric CO₂ and associated changes in air-sea heat fluxes is damped.**

In terms of biogeochemistry, **however**, TUNE and MIX+ do differ considerably. **Figures 4(b) and 5(b)** show that the surface phosphate and phytoplankton concentrations simulated with TUNE are much more alike the Genuine Truth than MIX+. Accordingly, the mean bias in surface phosphate decreases to $0.05 \text{ mmolP}/\text{m}^3$ for the experiment TUNE, relative to $0.27 \text{ mmolP}/\text{m}^3$ in the simulation MIX+. Similarly the bias in surface phytoplankton is reduced from $0.12 \text{ mmolN}/\text{m}^3$ to $0.05 \text{ mmolN}/\text{m}^3$ in TUNE. The similarity between the Genuine Truth and TUNE (in contrast to the difference between the Genuine Truth and MIX+) is not restricted to surface properties but extends to depth. For example, Figure 6 shows that the zonally averaged phosphate concentrations of TUNE are much more similar to the Genuine Truth than is the case with MIX+. Further, Figure 7(b) shows a similar behaviour of the oceanic oxygen inventory. Expressed in numbers, the respective mean bias in TUNE is $12.99 \text{ mmol O}_2/\text{m}^3$, relative to $30.08 \text{ mmol O}_2/\text{m}^3$ in MIX+. **A similar bias reduction in TUNE** holds as well for the global extent of the simulated suboxic volume (not shown). The latter is remarkable since oxygen was not included into our metrics applied for the tuning process. Table 3 **contains additionally a list of RMSEs relative to the Genuine Truth: e.g.**, the RMSE between global distributions of phosphate (phytoplankton) concentrations simulated with the Genuine Truth and MIX+ is $0.21 \text{ mmol P m}^{-3}$ ($0.1 \text{ mmol N m}^{-3}$). By tweaking biogeochemical model parameters in simulation TUNE the RMSE is reduced by $\approx 40\%$ (down to $0.14 \text{ mmol P m}^{-3}$ and $0.05 \text{ mmol N m}^{-3}$, Table 3).

3.2 Model Projections into a Warming Future

All of our numerical configurations agree in that they feature a considerable sea surface temperature increase by the year 2100 when driven by the RCP8.5 greenhouse gas emission scenario (Riahi et al., 2011). The associated increase in radiative forcing warms the surface ocean and increases the stability of the water column (because relatively warmer and more buoyant water sits on top of cold abyssal water).

Expressed in terms of a global mean sea surface temperatures, the projected increase differs by up to 12% depending on the underlying model configuration: the projected global mean temperature rise is 2.5 K , 2.2 K , and 2.3 K for the configurations Genuine Truth, TUNE and MIX+, respectively. These differences among the experiments are consistent with the fact that (by construction) the simulations based on MIX+ and TUNE distribute the heat over greater depth. Thus, their increased background diffusivity cools the surface (and warms the deep ocean) relative to the Genuine Truth. Consequentially, TUNE and MIX+ feature a stronger warming in the deep ocean than the Genuine Truth (**the respective temperature increase is doubled below 1500 m depth**). This effect is somewhat offset in MIX+ which shows more temperature increase at the surface than TUNE, even though MIX+ and TUNE share the same physical model parameters. This is consistent with MIX+ featuring a phytoplankton standing stock which exceeds **the standing stocks of both, TUNE and the Genuine Truth, by 150%**. This increased phytoplankton concentration absorbs more light at the surface and intensifies the surface warming.

Regionally, the differences in the projected sea surface temperatures (SSTs) **can be** much larger than in the global mean: Figure 8 shows a comparison of sea surface temperature warming between TUNE and MIX+ relative to the Genuine Truth configuration in response to the RCP8.5 emission scenario. As expected, the comparisons to TUNE and MIX+ are very similar but anomalies are somewhat more pronounced in TUNE. The differences between the TUNE and the Genuine Truth configuration exceed at max. 1.8 K . The overall pattern is 0.2 to 0.5 K **less warming in the Northern Hemisphere and 0.1 to 0.5 K**

more warming in the Southern Ocean in TUNE, compared to the Genuine Truth. Hence, Southern Ocean SST warming in TUNE, in response to **the increased greenhouse gas emissions**, is stronger than in the Genuine Truth, even though the Genuine Truth, overall, warms more quickly than TUNE. We speculate that the increased background diffusivity in TUNE reduces the cooling effect of deep convection in the Southern Ocean by 2100 (relative to the Genuine Truth) because the abyssal waters
5 (into which the deep convection taps into) in TUNE have received more heat (relative to the Genuine Truth) prior to year 1850, already. Also the max. overturning shows a stronger projected decline with increased vertical diffusivity.

In terms of biogeochemistry, the similarity of model projections depends on the considered metric. For some biogeochemical tracers the reciprocal bias compensation (where increase in diapycnal mixing is compensated by changes to biogeochemical model parameters) is robust under global warming while the historical similarities break for others. In the following Subsec-
10 tions 3.2.1 to 3.2.4 we illustrate the respective range. We start with a metric that is most robust and end with a metric where the bias compensation breaks under the emission scenario.

3.2.1 Surface Phytoplankton Concentrations

Projections of phytoplankton are of interest, because phytoplankton forms the base of the food chain and thereby exerts control on fisheries. The Genuine Truth simulation projects globally decreasing surface phytoplankton concentrations (cf., Fig. 9 left
15 panel), corresponding to a global mean decrease of 7% by 2100. This is consistent with the increased stability of the water column (effected by global warming), reducing the turbulent vertical mixing of nutrient-replete waters from depth to the nutrient-depleted sun-lit surface ocean. In limited regions the projected changes can be **opposed to** the overall trend. These differences are most likely attributed to circulation changes (cf., Fig.8). Examples of such regions are the Arctic, the equatorial Pacific and the Southern Ocean.

20 The projection based on MIX+ differs substantially from the Genuine Truth in that it projects an overall increasing surface phytoplankton concentration (cf., Fig. 9). Most of this difference to the Genuine Truth is agglomerated in the Pacific Ocean, a region infamous for its nonlinear behavior (in our model, cf., Section 3.2. in Löptien and Dietze, 2017). The projected phytoplankton change based on TUNE is very similar to the Genuine Truth (cf., Fig. 9, middle panel), corresponding to a globally-averaged decrease of 8% by 2100 - even though it shares the same biased physics with MIX+. This illustrates that for
25 projected surface phytoplankton patterns the reciprocal bias compensation is (in our model) robust under the RCP8.5 scenario.

3.2.2 Surface Phosphate Concentrations

Projections of phosphate are of interest, because phosphate is an essential nutrient that limits the growth of phytoplankton and the associated biotic export of organic matter to depth. In most state-of-the-art global coupled ocean-circulation biogeochemical models, phosphate is the "base currency", i.e., its cycling is directly (often linearly) related to the cycling of plankton and gases
30 such as oxygen and CO₂. The Genuine Truth simulation projects globally decreasing surface phytoplankton concentrations (cf., Fig. 10, left panel), corresponding to a global mean decrease of 17% by 2100. As described above, this result is consistent with the increased stability of the water column, that is effected by net air-sea heat fluxes (and associated buoyancy because warmer water is lighter than colder) caused by global warming. In the Southern Ocean, processes at work are more complex. Here,

the projected sea surface temperatures in the Genuine Truth simulation show alternating patterns of increasing and decreasing sea surface temperatures until the year 2100 (cf., Fig. 8). Downstream of regions where sea surface temperatures are reduced, more nutrients are mixed up to the surface in convective events and, simultaneously, surface mixed layers are increased. The latter leads to opposite effects, compared to the above considerations on global scale.

5 The MIX+ projection is similar to the Genuine Truth **as it** projects that decreasing surface phosphate concentrations prevail globally (cf., Fig. 10, right panel). **This decrease averages to 17% globally** by the year 2100. In the Southern Ocean, however, MIX+ differs substantially in that the alternating patches of increasing and decreasing surface phosphate concentrations apparent in the Genuine Truth are smoothed out (Fig. 10, compare left and right panel). We speculate that the absence of patches with strongly-increasing surface phosphate indicates less-deep convection events. The latter is inline with the projected SSTs
10 (Fig. 8).

The projection based on TUNE is generally very similar to the MIX+ projection: **also in this simulation** decreasing surface phosphate concentrations prevail **globally** (cf., Fig. 10, middle panel), corresponding likewise to a globally-averaged decrease of 17% by 2100. In the Southern Ocean, however, TUNE is much more similar to MIX+ than the Genuine Truth. This illustrates that for projected surface phosphate patterns the reciprocal bias compensation is not very robust (especially locally, where
15 circulation effects kick in) and some of the similarities apparent under historical conditions break under the RCP 8.5 emission scenario.

3.2.3 Total Oceanic Carbon Content

Projections of the oceanic carbon content are of interest, because the oceans currently take up a significant fraction of the anthropogenic carbon emissions (of the order of 25%, e.g. Takahashi et al., 2002). Therefore, changes in the capability of the
20 ocean to sequester carbon away from the atmosphere in a warming future will directly affect the rate of global warming itself. This strong feedback is among the main drivers behind the development/inclusion of biochemical carbon modules in Earth System Models that are used to assess the effects of climate change (and to develop mitigation strategies).

Figure 11(a) shows that the reciprocal bias compensation is not robust when regarding the projected oceanic carbon content. In the historical model solutions, the simulated carbon content of the ocean varies by less than 0.6% between the simulations.
25 **In the future projections**, both MIX+ and TUNE propose to take up 200 Gt C more than the Genuine Truth by the year 2100. **This difference refers to 32% of the maximum of the projected change.** In line with earlier studies, we presume that **these strong differences** must be attributed to differences in the solubility pump. Our results indicate that for oceanic carbon content, the reciprocal bias compensation is not robust once the boundary conditions strongly change.

3.2.4 Suboxic Volume

30 Projections of suboxic volume are of interest, because suboxia triggers denitrification and thus reduces the global availability of fixed nitrogen, an essential nutrient for all phytoplankton other than diazotrophs. Figure 11(b) shows that the suboxic volume, according to the Genuine Truth projection, decreases with global warming. A similar surprising behavior has been reported from other Earth System Models (e.g. Gnanadesikan et al., 2011) – surprising since it is counter to intuition because (1)

warming reduces the solubility of oxygen and (2) the increased stratification of the water column **comes along with reduced** ventilation, i.e., both of these directly-to-warming associated processes tend to reduce oceanic oxygen levels and thus promote suboxia.

MIX+ shows that an increased background diffusion can reverse the projected trend in suboxic volume. This is consistent with results from Gnanadesikan et al. (2011) and Getzlaff and Dietze (2013), highlighting the sensitivity of suboxic waters to the resolved and parameterized ocean physics.

The projection based on TUNE behaves like MIX+ in that it also shows a trend opposing the Genuine Truth. Remarkably its trend is even more off relative to the Genuine Truth than the trend of MIX+. This illustrates that for suboxic volume the reciprocal bias compensation is not at all robust when the models are projected into the future.

10 4 Discussion

We set out to explore *reciprocal bias compensation* in Earth System Models where biases in the ocean circulation module are deliberately compensated by biases in the biogeochemical module. In the following, we will discuss the choice of our Earth System Model (Section 4.1), the changes applied to the physical module (Section 4.2), and the changes applied to the biogeochemical module (Section 4.3). In Section 4.4 we will discuss the similarity between TUNE and Genuine Truth under historical forcing (and argue, that **we can not decide based on ad-hoc measures of typical present-day observations** which **setup** is better suited to make reliable projections into a warming future). Section 4.5 closes this Discussion with highlighting the differences between projections based on the model configurations TUNE and the Genuine Truth.

4.1 Choice of model framework

Our results are based on integrations of the UVic Earth System Model (Keller et al., 2012). The model is relatively simple (i.e., it is an Earth System Model of Intermediate Complexity (EMIC)) and rather coarsely resolved ($\approx 200km$), compared to the cutting-edge generation of IPCC-type Atmosphere-Ocean General Circulation Models (AOGCMs). **Since EMICs and AOGCMs share very similar (or sometimes even identical) ocean circulation and pelagic biogeochemistry kernels, we speculate, however, that our EMIC-based results are applicable also to IPCC-type AOGCMs.** Accordingly, earlier findings from model intercomparison studies (e.g., Najjar et al. (2007)) **are** consistent with our results. Even so, we wish to stress that our mixing parameter settings **in MIX+ and TUNE are at the upper limit of proposed values**, chosen to cover the whole range.

We compare three configurations of UVic dubbed the *Genuine Truth*, *MIX+* and *TUNE*. All setups are all very similar (the Genuine Truth even identical, cf., Section 2.2.1) to the Keller et al. (2012) configuration. This choice is motivated by the fact that this configuration is extensively used to assess the impact of geo-engineering options. Among recent studies are Partanen (2016) who explored the impacts of sea spray geo-engineering, Reith et al. (2016) who explored the effects of carbon sequestration by direct injection into the ocean and Mengis et al. (2016) who assessed the effects of ocean albedo modification in the Arctic.

4.2 Choice of modification to background diffusivity

Two of our configurations (MIX+ and TUNE) feature an increased vertical diffusivity as the onliest change relative **to the physical component of the original Keller et al. (2012) configuration** (which we dubbed Genuine Truth). The choice of changing the vertical background diffusivity is motivated by the fact that vertical diapycnal mixing is not well quantified, neither in models nor in the real ocean. What is known, though, is that diapycnal mixing is highly heterogenic both in time and space. Enhanced diffusivities up to $10 \text{ cm}^2/\text{s}$ near the bottom have been observed over rough topography (Ledwell et al., 2000), while large scale estimates derived from purposeful tracer release experiments in the subtropical North Atlantic yield values of $0.17 \pm 0.02 \text{ cm}^2/\text{s}$ when considering a 2 year average (Ledwell et al., 1998). Even if the temporal and spacial variability would be mapped out (which is not yet the case), the challenge is to transfer these numbers into a model parameterization that ensures realistic diffusive transports (of heat, salt, nutrients etc.) - which are defined as the product of respective spacial (vertical) property gradient and diffusivity. Thus, using a **vertical** diffusivity that is averaged over time and space (as is inevitable in the current generation of models that apply a finite-differences discretization) is fraught with uncertainties. An additional source of uncertainty is implicit diffusion, a spurious and hard-to-quantify artifact (c.f., Getzlaff et al. (2012) of the underlying numerical advection algorithm. To summarize: the uncertainty **of the value of the vertical diffusion parameter of our physical model component is substantial and it can currently not be well constrained by - neither by observations nor experiments.** Hence, we use the original diffusivity proposed for UVic and compare it to a value that was applied in the same model that uses a slightly different advection scheme but is identical otherwise. This model version with changed advection schema and increased diffusivity is used as the base for the offline approach described **by Kvale et al. (2017).**

Using ad-hoc measures, based on temperature and salinity, our change in diffusivity has a rather weak impact on physical tracers: only 0.03K in terms of global sea surface temperature differences and in terms of meridional averaged heat fluxes, the differences are below $5 \text{ W}/\text{m}^2$ from 50°S to 50°N , reaching $25 \text{ W}/\text{m}^2$ at high latitudes.

The maximum meridional overturning circulation increases (as expected) with increased vertical diffusion and is 22 Sv in the reference simulation of MIX+ versus 19 Sv in the Genuine Truth. These numbers are broadly consistent with IPCC models: Marsland (2013) show values in the range $22 - 24 \text{ Sv}$ for the Australian Community Climate and Earth System Simulator coupled CMIP5 model under pre-industrial conditions. For the late twentieth century, Reintges et al. (2017) report an ensemble mean of a max. overturning of 19 Sv in CMIP5 and 16 Sv in CMIP3 models. The spread among models in the late twentieth century is with a range of $6.6 - 27.4 \text{ Sv}$ in CMIP3 and $12.1 - 29.7 \text{ Sv}$ in CMIP5 models, huge. Thus, the difference between the lowest and highest projected max overturning in CMIP5 models is almost as high the present day observational estimate (17.5 Sv based on the RAPID array at 26°N , Smeed et al. (2014)).

30 4.3 Choice of changes to biogeochemical model parameters

One of our model configurations TUNE has both changed physics, like MIX+ (described above), and changed **biogeochemical parameter values, chosen to reduce the misfit between this model and data (generated by Genuine Truth). To this end, μ_Z , μ_D and w_{D0} have been changed** by 12.5%, $\approx 200\%$ and 115%, respectively. These changes are well within **today's**

uncertainties, i.e., within the range of what is applied in other studies. For example, Kriest et al. (2017) assume that the zooplankton mortality is uncertain within 4000% and the sinking speed at the surface in the Genuine Truth setup exceeds the value used by Ilyina et al. (2013) by 180%. All parameter values considered in this study are well within the range used by Schartau (2003) in an automated parameter optimization study.

5 4.4 Similarity between the reciprocally bias compensated couple

For the historical runs we showed in Section 3.1 that the simulations TUNE and the Genuine Truth are very similar to one another, i.e., that the reciprocal bias compensation was **quite effective**. Given the close resemblance of temperature, salinity, air-sea heat fluxes, phosphate, phytoplankton, and oxygen in Fig. 1 to 7, we argue that both simulations, TUNE and the Genuine Truth, feature comparable misfits to observations for common model evaluation metrics. Since the Genuine Truth configuration
10 **is rated applicable to carry out geo-engineering studies (as outlined above) we conclude, in-turn, that the configuration TUNE would be equally applicable to address todays pressing climate related problems.**

4.5 Ensuing uncertainties in response to RCP8.5

As outlined above, it is hard to argue based on a-priori knowledge as concerns the differences among their underlying model parameters, which model configuration, the Genuine Truth or TUNE, is more realistic. Also choosing the better model based on
15 **it's** performance in reproducing historical observations is difficult: the differences are rather small and the best choice will presumably change with the applied metric (or cost) to measure the misfit to the observations. Still, it is somewhat disconcerting, that the two configurations do differ in what they project to come in response to the RCP8.5 emission scenario. The uncertainties in projected sea surface temperatures imposed by ocean mixing are locally substantial: for the northern hemisphere, we found differences of on average 0.5 *K* between the Genuine Truth and the simulation TUNE - **a large value**, particularly
20 given that consensus has been reached trying to keep global warming below 2 *K*. This finding, that vertical diffusion matters, is in line with earlier studies, that stress the importance of the vertical diffusion coefficient on several physical properties of the ocean: e.g., Bryan (1987) pointed out that this parameter impacts strongly the sensitivity towards wind forcing and thus the simulated large-scale meridional overturning.

In line with results from, e.g., by Oschlies (2001) and Duteil and Oschlies (2011), we find that the uncertainty in background
25 diffusivity maps as well onto uncertainties in projected biogeochemical tracers. Striking examples, put forward in Section 3.2, are phytoplankton concentrations in the equatorial Pacific and suboxic volume (where projections based on TUNE and the Genuine Truth do not agree even on the sign on projected changes). The results for suboxic volume are consistent with findings by Cabré et al. (2015) who illustrate (in their Figure 9) that the current generation of CMIP5 models does not agree on the sign of change either.

30 In terms of oceanic carbon content, the differences between TUNE and the Genuine Truth in projected future changes accumulate to 200 Gt of carbon in the year 2100. **Again this** difference is substantial: Expressed in terms of today's anthropogenic carbon emissions, the difference corresponds to 20 years worth of anthropogenic emission which covers more than 40% of the respective differences among CMIP5 models (Friedlingstein et al., 2014).

4.6 Model evaluation metrics

Our study stresses the urgent need to evaluate the mixing in ocean models carefully before projecting into the future. Our results are consistent with earlier findings within the Ocean Carbon-cycle Model Intercomparison Projects (OCIMIPs), that already early on highlighted the importance of a realistic representation of the physical ocean processes for modelling the pelagic biogeochemistry (e.g., Doney et al. (2004)). A definition of a suitable evaluation metric, apart from assessing relatively simple common measures, such as temperature, salinity and MOC-strength, is still not straightforward and today there is no consensus how to assess ocean models. It was suggested to consider also ventilation times (e.g., Matsumoto et al. (2004)). Accordingly, additional inert chemical tracers (e.g., chlorofluorocarbons (CFC11, CFC12), SF₆), **allow** for an extended model evaluation **and** now required as standard output within CMIP6 (Orr et al. (2017)). Still, many open questions and problems remain with such approaches. For example the parameterized air-sea gas exchange induces large uncertainties (e.g., England et al (1994)). Furthermore, dating ranges of CFCs are not suitable to resolve the dynamics of the deep ocean which recently led to an investigation of the use of ³⁹Ar as an additional tracer (Ebser et al, 2018). The latter, promising approach is currently under investigation. In summary, however, it **still** remains a pressing open question which misfit metrics ensure reliable projections. One major aim of the presented study is to remind on these perpetual, often disregarded problems and to trigger related work. As a measure for diapycnal mixing in models we propose to use the saturation state of noble gases, such as argon. Although noble gas concentrations are conservative tracers, their saturation states are not, due to a non-linear temperature and salinity dependence on their solubility (Bieri et al., 1966). In general, mixing of saturated water parcels affects temperature, salinity and noble gas concentrations linearly and thus results in supersaturated argon concentrations..

5 Conclusions

We present results from two configurations of an Earth System Model that feature a very similar behavior when driven with historical forcing but diverge drastically when used to project into our warming future, **based on** the anthropogenic green house gas emission scenario RCP8.5. The difference between the two configurations (dubbed the Genuine Truth and TUNE) are a modified vertical background diffusivity and changes applied to biogeochemical model parameters. **The values of the biogeochemical model parameters were chosen to counteract the effects of the modified diffusivity. Note that the** respective modification of the vertical diffusivity is within the range of what has been recently applied (cf., settings in Reith et al., 2016; Kvale et al., 2017). Likewise, the changes in biogeochemical model parameters are within the envelope of state-of-the-art model configurations (Schartau, 2003).

In terms of typical **physical model assessment metrics (such as historical sea surface temperatures and meridional overturning estimates (referring to currently-applied assessment metrics such as in Kvale et al., 2017))** and biogeochemical metrics (such as historical observations of phytoplankton and phosphate concentrations) none of our two model configurations can be favored or discarded. The reason is that, for one, our increase of vertical diffusivity has rather little effects on ad hoc measures of the **ocean component** in our model framework. Second, while the effect of our increased diffusivity substantially offsets generic biogeochemical assessment metrics (cf. configuration MIX+), we were able to compensate for **a large part**

of the respective bias by changing biogeochemical model parameters. When driven with a RCP 8.5 scenario, however, the similarity between our model configurations breaks for projections of societal relevance, such as the oceanic uptake of carbon or the dynamics of oxygen minimum zones. For carbon the projections accumulate a difference of 20 years worth of today's anthropogenic emissions by the end of 2100. For the suboxic volume, not even the sign of forecasted changes coincides.

5 We conclude that an improved understanding of vertical diapycnal mixing in Earth System Models alleviates the risk of reciprocal bias compensation by (wrongly) tweaking biogeochemical modules to a deficient physics, particularly, when using ad-hoc measures to assess the quality of the underlying ocean model. These results are consistent with earlier findings within the Ocean Carbon-cycle Model Intercomparison Projects (OCIMIPs), that highlighted the importance of a realistic representation of the physical ocean processes for modelling the pelagic biogeochemistry (e.g., Doney et al. (2004)). Thus an
10 improved understanding of vertical diapycnal mixing can be expected to reduce ensuing uncertainties in climate projections considerably. Reverse reasoning suggests that an improved understanding of biogeochemistry can help to assess the realism of diapycnal mixing in Earth System Models because: (1) we found that some biogeochemical metrics are more sensitive to changes in mixing parameterization than typical physical metrics (such as overturning and temperature distributions), (2) if the biogeochemical model formulations could be sufficiently **constrained** then misfits between (more sensitive) biogeochemical
15 metrics can be related back to deficiencies in the physical component of coupled ocean-circulation biogeochemical models. With these findings, our study emphasizes the need to develop and apply routinely misfit metrics which take diapycnal mixing into account to obtain more reliable future projections.

Code availability. The model code is archived at
<https://nextcloud.ifg.uni-kiel.de/index.php/s/PQgtKJpMjr7DTPp>.

20 Since the use of the source code requires registration with the UVic model community (<http://climate.uvic.ca/model/>), the respective file is password protected and available upon request. All required input files are downloadable under the above link.

Data availability. The model output is archived at
<https://nextcloud.ifg.uni-kiel.de/index.php/s/PQgtKJpMjr7DTPp>

Author contributions. Both authors were involved in the design of the work, in data analysis, in data interpretation and in drafting the article.

25 *Competing interests.* No competing interests are present.

Acknowledgements. This work is a contribution of the project "Reduced Complexity Models" (supported by the Helmholtz Association of German Research Centres (HGF) - **grant no. ZT-I-0010**) and was additionally supported by the Deutsche Forschungsgemeinschaft (DFG) in the framework of the priority programme "Antarctic Research with comparative investigations in Arctic ice areas" SPP 1158 by the following grant. number grant no. SCHN 762/5-1. We thank A.W. Omta and an anonymous reviewer for **extremely** detailed and helpful

5 comments.

References

- Bieri, R. H., Koide, M., and Goldberg, E. D.: The noble gas contents of Pacific seawater, *J. Geophys. Res.*, 71, 5243-5265, 1966
- Bopp, L., Resplandy, L., Orr, J. C., Doney, S. C., Dunne, J. P., Gehlen, M., Halloran, P., Heinze, C., Ilyina, T., Tjiputra, J. and Vichi, M.: Multiple stressors of ocean ecosystems in the 21st century: projections with CMIP5 models. *Biogeosciences*, 10, 6225-6245, <https://doi.org/10.5194/bg-10-6225-2013>, 2013.
- 5 Bryan, F.: Parameter sensitivity of primitive equation ocean general circulation models. *J. Physical Oceanography*, 17(7), 970-985, 1987.
- Burchard, H.: Quantification of numerically induced mixing and dissipation in discretisations of shallow water equations. *GEM-International J. Geomathematics*, 3(1), 51-65, <https://doi.org/10.1016/j.ocemod.2007.10.003>, 2012.
- Cabré, A., Marinov, I., Bernardello, R., and Bianchi, D.: Oxygen minimum zones in the tropical Pacific across CMIP5 models: mean state differences and climate change trends, *Biogeosciences*, 12, 5429–5454, <https://doi.org/10.5194/bg-12-5429-2015>, 2015.
- 10 Dietze, H., and Löptien, U.: Revisiting nutrient trapping in global coupled biogeochemical ocean circulation models, *Global Biogeochem. Cycles*, 27, 265-284, <https://doi.org/10.1002/gbc.20029>, 2013.
- Dietze, H. and Oschlies, A.: On the correlation between air-sea heat flux and abiotically induced oxygen exchange in a circulation model of the North Atlantic. *J. Geophys. Research - Oceans*, 110, C09016, <https://doi.org/10.1029/2004JC002453>, 2005.
- 15 Doney, S. C., Lindsay, K., Caldeira, K., Campin, J. M., Drange, H., Dutay, Follows, M., Gao, Y., Gnanadesikan, A., Gruber, N., Ishida, A., Joos, F. and Madec, G.: Evaluating global ocean carbon models: The importance of realistic physics. *Global Biogeochem. Cycles*, 18(3), <https://doi.org/10.1029/2003GB002150>, 2004.
- Duteil, O. and Oschlies, A.: Sensitivity of simulated extent and future evolution of marine suboxia to mixing intensity. *Geophys. Res. Letters*, 38(6), <https://doi.org/10.1029/2011GL046877>, 2011.
- 20 Dutreuil, S., Bopp, L., and Tagliabue, A.: Impact of enhanced vertical mixing on marine biogeochemistry: lessons for geo-engineering and natural variability, *Biogeosciences*, 6, 901-912, <https://doi.org/10.5194/bg-6-901-2009>, 2009.
- Ebser, S., Kersting, A., Stöven, T., Feng, Z., Ringena, L., Schmidt, M., Tanhua, T., Aeschbach, W. and Oberthaler, M. K.: ³⁹Ar dating with small samples resolves ocean ventilation. *arXiv preprint arXiv:1807.11146*, 2018.
- England, M. H., Garçon, V., and Minster, J. F.: Chlorofluorocarbon uptake in a world ocean model: 1. Sensitivity to the surface gas forcing. *J. Geophys. Research: Oceans*, 99(C12), 25215-25233, <https://doi.org/10.1029/94JC02205>, 1994.
- 25 Evans, G. T.: Defining misfit between biogeochemical models and data sets, *J. Marine Systems*, 40-41, 49-54, [https://doi.org/10.1016/S0924-7963\(03\)00012-5](https://doi.org/10.1016/S0924-7963(03)00012-5), 2003.
- Fan, W. and Lv, X.: Data assimilation in a simple marine ecosystem model based on spatial biological parameterizations, *Ecol. Model.*, 220, 1997–2008, <https://doi.org/10.1016/j.ecolmodel.2009.04.050>, 2009.
- 30 Fasham, M. J. R., Evans, G. T., Kiefer, D. A., Creasey, M., and Leach, H.: The use of optimization techniques to model marine ecosystem dynamics at the JGOFS station at 47 degrees N 20 degrees W, *P. Roy. Soc. London B. Bio.*, 348, 203–209, 1995.
- Feng, Y., Koeve, W., Keller, D. P. and Oschlies, A.: Model-based Assessment of the CO₂ Sequestration Potential of Coastal Ocean Alkalinization. *Earth's Future*, 5 (12), 1252-1266. <https://doi.org/10.1002/2017EF000659>, 2017.
- Fennel, K., Losch, M., Schröter, J., and Wenzel, M.: Testing a marine ecosystem model: sensitivity analysis and parameter optimization, *J. Marine Systems*, 28, 45-63, [https://doi.org/10.1016/S0924-7963\(00\)00083-X](https://doi.org/10.1016/S0924-7963(00)00083-X), 2001.
- 35 Flynn, K. F.: Castles built on sand: dysfunctionality in plankton models and the inadequacy of dialogue between biologists and modellers, *J. of Plankton Research*, 27, 12, 1205-1210, <https://doi.org/10.1093/plankt/fbi099>, 2005.

- Friedrichs, M. A. M., Hood, R. R., and Wiggert, J. D.: Ecosystem model complexity versus physical forcing: quantification of their relative impact with assimilated Arabian Sea data, *Deep-Sea Research - Part II*, 53, 576-600, <https://doi.org/10.1016/j.dsr2.2006.01.026>, 2006.
- Friedlingstein, P., Meinshausen, M., Arora, V. K., Jones, C. D., Anav, A., Liddicoat, S. K. and Knutti, R.: Uncertainties in CMIP5 climate projections due to carbon cycle feedbacks. *J. Climate*, 27(2), 511-526, <https://doi.org/10.1175/JCLI-D-12-00579.1>, 2014.
- 5 Garcia, H. E., Locarnini, R. A., Boyer, T. P., Antonov, J. I., Zweng, M. M., Baranova, O. K., and Johnson, D. R.: World Ocean Atlas 2009, Volume 4: Nutrients (phosphate, nitrate, silicate), edited by: Levitus, S., NOAA Atlas NESDIS 71, U.S. Government Printing Off, 2010.
- Galbraith, E. D., Gnanadesikan, A., Dunne, J. P., and Hiscock, M. R.: Regional impacts of iron-light colimitation in a global biogeochemical model, *Biogeosciences*, 7, 1043-1064, <https://doi.org/10.5194/bg-7-1043-2010>, 2010.
- Getzlaff, J., Nurser, G. and Oschlies, A.: Diagnostics of diapycnal diffusivity in z-level ocean models part I: 1-Dimensional case studies. *Ocean Modelling*, 35 (3), 173-186, <https://doi.org/10.1016/j.ocemod.2010.07.004>, 2010.
- 10 Getzlaff, J., Nurser, G., and Oschlies, A.: Diagnostics of diapycnal diffusion in z-level ocean models. Part II: 3-dimensional OGCM. *Ocean Modelling*, 45, 27-36, <https://doi.org/10.1016/j.ocemod.2011.11.006>, 2012.
- Getzlaff, J. and Dietze, H.: Effects of increased isopycnal diffusivity mimicking the unresolved equatorial intermediate current system in an earth system climate model. *Geophys. Res. Letters*, 40(10), 2166-2170, <https://doi.org/10.1002/grl.50419>, 2013.
- 15 Getzlaff, J., Dietze, H., and Oschlies, A.: Simulated effects of southern hemispheric wind changes on the Pacific oxygen minimum zone. *Geophys. Res. Letters*, 43(2), 728-734, <https://doi.org/10.1002/2015GL066841>, 2016.
- Gnanadesikan, A., Dunne, J. P., and John, J.: Understanding why the volume of suboxic waters does not increase over centuries of global warming in an Earth System Model. *Biogeosciences*, 9, 1159-1172, <https://doi.org/10.5194/bg-9-1159-2012>, 2012.
- Gulev, S., Jung, T. and Ruprecht, E.: Estimation of the impact of sampling errors in the VOS observations on air-sea fluxes. Part I: Uncertainties in climate means. *J. Climate*, 20(2), 279-301, <https://doi.org/10.1175/JCLI4010.1>, 2007.
- 20 Gupta, A. S., Jourdain, N. C., Brown, J. N. and Monselesan, D.: Climate drift in the CMIP5 models. *J. Climate*, 26(21), 8597-8615, <https://doi.org/10.1175/JCLI-D-12-00521.1>, 2013.
- Hemmings, J. C. P. and Challenor, P. G.: Addressing the impact of environmental uncertainty in plankton model calibration with a dedicated software system: the Marine Model Optimization Testbed (MarMOT 1.1 alpha), *Geosci. Model Development*, 5, 471-498, <https://doi.org/10.5194/gmd-5-471-2012>, 2012.
- 25 Ilicak, M., Adcroft, A. J., Griffies, S. M. and Hallberg, R. W.: Spurious diapycnal mixing and the role of momentum closure. *Ocean Modelling*, 45, 37-58, <https://doi.org/10.1016/j.ocemod.2011.10.003>, 2012.
- Ilyina, T., Six, K. D., Segschneider, J., Maier-Reimer, E., Li, H., and Nunez-Riboni, I.: Global ocean biogeochemistry model HAMOCC: Model architecture and performance as component of the MPI-Earth system model in different CMIP5 experimental realizations. *J. Advances in Modeling Earth Systems*, 5(2), 287-315, <https://doi.org/10.1029/2012MS000178>, 2013.
- 30 Keller, D., Oschlies, A. and Eby, M.: A new marine ecosystem model for the University of Victoria Earth System Climate Model. *Geosci. Model Development*, 5, 1195-1220, <https://doi.org/10.5194/gmd-5-1195-2012>, 2012.
- Keller, D. P., Feng, E. Y., and Oschlies, A.: Potential climate engineering effectiveness and side effects during a high carbon dioxide-emission scenario. *Nature communications*, 5, <https://doi.org/10.1038/ncomms4304>, 2014.
- 35 Kriest, I., Sauerland, V., Khatiwala, S., Srivastav, A., and Oschlies, A.: Calibrating a global three-dimensional biogeochemical ocean model (MOPS-1.0), *Geosci. Model Development*, 10, 127-154, <https://doi.org/10.5194/gmd-10-127-2017>, 2017.
- Kriest, I., Khatiwala, S., and Oschlies, A.: Towards an assessment of simple global marine biogeochemical models of different complexity, *Prog. Oceanogr.*, 86, 337-360, <https://doi.org/10.1016/j.pocean.2010.05.002>, 2010.

- Kvale, K. F., Khatiwala, S., Dietze, H., Kriest, I., and Oschlies, A.: Evaluation of the transport matrix method for simulation of ocean biogeochemical tracers, *Goesci. Model Dev.*, 10, 2425–2445, <https://doi.org/10.5194/gmd-10-2425-2017>, 2017.
- Large, W. G., Danabasoglu, G., McWilliams, J. C., Gent, P. R., and Bryan, F. O.: Equatorial circulation of a global ocean climate model with anisotropic horizontal viscosity, *J. Phys. Oceanogr.*, 31, 518-536, [https://doi.org/10.1175/1520-0485\(2001\)031<0518:ECOAGO>2.0.CO;2](https://doi.org/10.1175/1520-0485(2001)031<0518:ECOAGO>2.0.CO;2), 2001.
- Lawson, L. M., Hofmann, E. E., and Spitz, Y. H.: Time series sampling and data assimilation in a simple marine ecosystem model, *Deep-Sea Research - Part II*, 43, 625–651, [https://doi.org/10.1016/0967-0645\(95\)00096-8](https://doi.org/10.1016/0967-0645(95)00096-8), 1996.
- Ledwell, J. R., Watson, A. J. and Law, C. S.: Mixing of a tracer in the pycnocline, *J. Geophys. Res.*, 103 (C10), 499-529, <https://doi.org/10.1029/98JC01738>, 1998.
- 10 Ledwell, J. R., Montgomery, E. T. Polzin, K. L., Laurent, L. S. Schmitt, R. W. and Toole, J. M.: Evidence for enhanced mixing over rough topography in the abyssal ocean. *Nature*, 403(6766), 179, 2000.
- Lemarié, F., Debreu, L., Shchepetkin, A. F., and McWilliams, J. C.: On the stability and accuracy of the harmonic and biharmonic isoneutral mixing operators in ocean models. *Ocean Modelling*, 52-53, 9-35, <https://doi.org/10.1016/j.ocemod.2012.04.007>, 2012.
- Löptien, U. and Dietze, H.: Effects of parameter indeterminacy in pelagic biogeochemical modules of Earth System Models on projections into a warming future: The scale of the problem. *Global Biogeochem. Cycles*, 31 (7), 1155-1172, <https://doi.org/10.1002/2017GB005690>, 2017.
- 15 Löptien, U. and Dietze, H.: Constraining parameters in marine pelagic ecosystem models - is it actually feasible with typical observations of standing stocks? *Ocean Science*, 11 (4), 573-590, <https://doi.org/10.5194/os-11-573-2015>, 2015.
- Matsumoto, K., Sarmiento, J. L., Key, R. M., Aumont, O., Bullister, J. L., Caldeira, K., Campin, J. M., Doney, S. C., Drange, H., Dutay, J. C., Follows, M., Gao, Y., Gnanadesikan, A., Gruber, N., Ishida, A., Joos, F., Lindsay, K., Maier-Reimer, E., Marshall, J. C., Matear, R. J., Monfray, P., Mouchet, A., Najjar, R., Plattner, G. K., Schlitzer, R., Slater, R., Swathi, P. S., Totterdell, I. J., Weirig, M. F., Yamanaka, Y., Yool A. and Orr, J. C.: Evaluation of ocean carbon cycle models with data-based metrics. *Geophys. Research Letters*, 31(7), <https://doi.org/10.1029/2003GL018970>, 2004.
- 20 J. C., Follows, M., Gao, Y., Gnanadesikan, A., Gruber, N., Ishida, A., Joos, F., Lindsay, K., Maier-Reimer, E., Marshall, J. C., Matear, R. J., Monfray, P., Mouchet, A., Najjar, R., Plattner, G. K., Schlitzer, R., Slater, R., Swathi, P. S., Totterdell, I. J., Weirig, M. F., Yamanaka, Y., Yool A. and Orr, J. C.: Evaluation of ocean carbon cycle models with data-based metrics. *Geophys. Research Letters*, 31(7), <https://doi.org/10.1029/2003GL018970>, 2004.
- Marsland, S. J., Bi, D., Uotila, P., Fiedler, R., Griffies, S. M., Lorbacher, K., O'Farrell, S., Sullivan, A., Uhe, P., Zhou, X. and Hirst, A. C.: Evaluation of ACCESS climate model ocean diagnostics in CMIP5 simulations. *Australian Meteorol. Oceanogr. J.*, 63, 101-119, <https://doi.org/10.22499/2.6301.004>, 2013.
- 25 Marsland, S. J., Bi, D., Uotila, P., Fiedler, R., Griffies, S. M., Lorbacher, K., O'Farrell, S., Sullivan, A., Uhe, P., Zhou, X. and Hirst, A. C.: Evaluation of ACCESS climate model ocean diagnostics in CMIP5 simulations. *Australian Meteorol. Oceanogr. J.*, 63, 101-119, <https://doi.org/10.22499/2.6301.004>, 2013.
- Matear, R. J.: Parameter optimization and analysis of ecosystem models using simulated annealing: a case study at station P, *J. Marine Research*, 53, 571-607, <https://doi.org/10.1357/0022240953213098>, 1995.
- Mathieu, P.- P., and Deleersnijder, E.: What is wrong with isopycnal diffusion in world ocean models? *Applied Mathematical Modelling*, 22, 367–378, [https://doi.org/10.1016/S0307-904X\(98\)10008-2](https://doi.org/10.1016/S0307-904X(98)10008-2), 1998.
- 30 Mathieu, P.- P., and Deleersnijder, E.: What is wrong with isopycnal diffusion in world ocean models? *Applied Mathematical Modelling*, 22, 367–378, [https://doi.org/10.1016/S0307-904X\(98\)10008-2](https://doi.org/10.1016/S0307-904X(98)10008-2), 1998.
- Matthews, H. D., Cao, L., and Caldeira, K.: Sensitivity of ocean acidification to geoengineered climate stabilization. *Geophys. Res. Letters* 36(10), <https://doi.org/10.1029/2009GL037488>, 2009.
- Mengis, N., Martin, T., Keller, D. P., and Oschlies, A.: Assessing climate impacts and risks of ocean albedo modification in the Arctic, *J. Geophys. Res. - Oceans*, 121, 3044-3057, <https://doi.org/10.1002/2015JC011433>, 2016.
- 35 Najjar, R. G. , Jin, X. , Louanchi, F. , Aumont, O. , Caldeira, K. , Doney, S. C. , Dutay, J. C. , Follows, M. , Gruber, N. , Joos, F. , Lindsay, K. , Maier-Reimer, E. , Matear, R. J. , Matsumoto, K. , Monfray, P. , Mouchet, A. , Orr, J. C. , Plattner, G. K. , Sarmiento, J. , Schlitzer, R. , Weirig, M. F. , Yamanaka, Y. and Yool, A.: Impact of circulation on export production, dissolved organic matter and dissolved oxygen in the ocean: Results from OCMIP-2 , *Global Biogeochem. Cycles.*, 21 (3), <https://doi.org/10.1029/2006GB002857>, 2007.

- J.C. Orr, R.G. Najjar, O. Aumont, L. Bopp, J. L. Bullister, G. Danabasoglu, S. C. Doney, J.P. Dunne, J.-C. Dutay, H. Graven, S.M. Griffies, J. G. John, F. Joos, I. Levin, K. Lindsay, R.J. Matear, G. A. McKinley, A. Mouchet, A. Oschlies, A. Romanou, R. Schlitzer, A. Tagliabue, T. Tanhua and A. Yool: Biogeochemical protocols and diagnostics for the CMIP6 Ocean Model Intercomparison Project (OMIP), *Geosci. Model Dev.*, 10, 2169-2199, <https://doi.org/10.5194/gmd-10-2169-2017>, 2017.
- 5 Oschlies, A.: Model-derived estimates of new production: New results point towards lower values, *Deep Sea Research - Part II*, 48(10), 2173-2197, [https://doi.org/10.1016/S0967-0645\(00\)00184-3](https://doi.org/10.1016/S0967-0645(00)00184-3), 2001.
- Oschlies, A., Pahlow, M., Yool, A., and Matear, R. M.: Climate engineering by artificial ocean upwelling: channelling the sorcerer's apprentice, *Geophys. Res. Letters*, 37, 1-5, <https://doi.org/10.1029/2009GL041961>, 2010.
- Osborn, T. R. and Cox, C. S.: Oceanic fine structure, *Geophys. Fluid Dynamics*, 3, 1, 321-345, 1971.
- 10 Pacanowski, R. C.: MOM 2 documentation, user's guide and reference manual. GFDL Ocean Group Tech. Rep, 3(3), 232, 1995.
- Partanen, A. I., Keller, D. P., Korhonen, H., and Matthews, H. D.: Impacts of sea spray geoengineering on ocean biogeochemistry. *Geophys. Research Letters*, 43(14), 7600-7608, <https://doi.org/10.1002/2016GL070111>, 2016.
- Prange, M., Lohmann, G. and Paul, A.: Influence of vertical mixing on the thermohaline hysteresis: Analyses of an OGCM, *J. Phys. Oceanogr.*, 33(8), 1707-1721, <https://doi.org/10.1175/2389.1>, 2003.
- 15 Reintges, A., Martin, T., Latif, M., and Keenlyside, N. S.: Uncertainty in twenty-first century projections of the Atlantic Meridional Overturning Circulation in CMIP3 and CMIP5 models, *Climate Dynamics*, 49, 1495-1511, <https://doi.org/10.1007/s00382-016-3180-x>, 2017.
- Reith, F., Keller, D. P. and Oschlies, A.: Revisiting ocean carbon sequestration by direct injection: A global carbon budget perspective. *Earth System Dynamics*, 7, 797-812. <https://doi.org/10.5194/esd-7-797-2016>, 2016.
- Riahi, K., Rao, S., Krey, V., Cho, C., Chirkov, V., Fischer, G., Kindermann, G., Nakicenovic, N. and Rafaj, P.: RCP 8.5 - A scenario of 20 comparatively high greenhouse gas emissions. *Climatic Change*, 109(1-2), 33, <https://doi.org/10.1007/s10584-011-0149-y>, 2011.
- Rückelt, J., Sauerland, V., Slawig, T., Srivastav, B., Ward, C., and Patvardhan, C.: Parameter optimization and uncertainty analysis in a model of oceanic CO₂ uptake using a hybrid algorithm and algorithmic differentiation, *Nonlinear Analysis -Real World Appl.*, 11, 3993-4009, <https://doi.org/10.1016/j.nonrwa.2010.03.006>, 2010.
- Sauerland, V., Löptien, U., Leonhard, C., Oschlies, A. and Srivastav, A.: Error assessment of biogeochemical models by lower bound methods 25 (NOMMA-1.0). *Geosci. Model Development*, 11, 1181-1198, <https://doi.org/10.5194/gmd-2017-133>, 2018.
- Schartau, M., Oschlies, A., and Willebrand, J.: Parameter estimates of a zero-dimensional ecosystem model applying the adjoint method, *Deep-Sea Research - Part II*, 48, 1769-1800, [https://doi.org/10.1016/S0967-0645\(00\)00161-2](https://doi.org/10.1016/S0967-0645(00)00161-2), 2001.
- Schartau, M.: Simultaneous data-based optimization of a 1D-ecosystem model at three locations in the North Atlantic Ocean: Part I – method and parameter estimates, *J. Marine Research*, 62, 765-793, <https://doi.org/10.1357/002224003322981147>, 2003.
- 30 Smeed, D. A., McCarthy, G., Cunningham, S. A., Frajka-Williams, E., Rayner, D., Johns, W. E., Meinen, C. S., Baringer, M. O., Moat, B. I., Duchez, A. and Bryden, H. L.: Observed decline of the Atlantic meridional overturning circulation 2004-2012. *Ocean Science*, 10(1), 29-38, <https://doi.org/10.5194/os-10-29-2014>, 2014.
- Schmittner, A., Oschlies, A., Matthews, H. D., and Galbraith, E. D.: Future changes in climate, ocean circulation, ecosystems, and biogeochemical cycling simulated for a business-as-usual CO₂ emission scenario until year 4000 AD, *Global Biogeochem. Cycles*, 22, GB1013, 35 <https://doi.org/10.1029/2007GB002953>, 2008.
- Sinha, B., Buitenhuis, E. T., Quéré, C. L., and Anderson, T. R.: Comparison of the emergent behavior of a complex ecosystem model in ocean general circulation models, *Prog. Oceanogr.*, 84, 204-224, <https://doi.org/10.1016/j.pcean.2009.10.003>, 2010.

- Simmons, H. L., Jayne, S. R., St. Laurent, L. C. and Weaver, A. J.: Tidally driven mixing in a numerical model of the ocean general circulation. *Ocean Modelling*, 6, 245-263, 2004.
- Somes, C. J., Schmittner, A., Galbraith, E. D., Lehmann, M. F., Altabet, M. A., Montoya, J. P., Letelier, R. M., Mix, A. C., Bourbonnais, A., and Eby, M.: Simulating the global distribution of nitrogen isotopes in the ocean, *Global Biogeochem. Cycles*, 24, GB4019, <https://doi.org/10.1029/2009GB003767>, 2010.
- 5 Spitz, Y. H., Moisan, J. R., Abbott, M. R., and Richman, J. G.: Data assimilation and a pelagic ecosystem model: parameterization using time series observations, *J. Marine Systems*, 16, 51-68, [https://doi.org/10.1016/S0924-7963\(97\)00099-7](https://doi.org/10.1016/S0924-7963(97)00099-7), 1998.
- Takahashi, T., Sutherland, S. C., Sweeney, C., Poisson, A., Metzl, N., Tilbrook, B., Bates, N., Wanninkhof, R., Feely, R. A., Sabine, C., Olafsson, J., and Nojiri, Y.: Global sea-air CO₂ flux based on climatological surface ocean pCO₂, and seasonal biological and temperature effects, *Deep Sea Research - Part II*, 49, 9-10, 1601-1622, [https://doi.org/10.1016/S0967-0645\(02\)00003-6](https://doi.org/10.1016/S0967-0645(02)00003-6), 2002.
- 10 Ward, B. A., Friedrichs, M. A. M., Anderson, T. A., and Oschlies, A.: Parameter optimisation techniques and the problem of underdetermination in marine biogeochemical models, *J. Marine Systems*, 81, 34-43, <https://doi.org/10.1016/j.jmarsys.2009.12.005>, 2010.
- Weaver, A. J., Eby, M., Wiebe, E. C., Bitz, C. M., Duffy, P. B., Ewen, T. L., Fanning, A. F., Holland, M. M., MacFadyen, A., Matthews, H. D., Meissner, K. J., Saenko, O., Schmittner, A., Wang, H. and Yoshimori, M.: The UVic Earth System Climate Model: Model description, climatology, and applications to past, present and future climates. *Atmosphere-Ocean*, 39(4), 361-428, <https://doi.org/10.1080/07055900.2001.9649686>, 2001.
- 15 Weaver, A. J., Zickfeld, K., Montenegro, A. and Eby, M.: Long term climate implications of 2050 emission reduction targets. *Geophys. Res. Letters*, 34(19), <https://doi.org/10.1029/2007GL031018>, 2007.
- Williamson, P., Wallace, D. W. R., Law, C. S., Boyd, P. W., Collos, Y., Croot, P., Denman, K., Riebesell, U., Takeda, S., and Vivian, C.: Ocean fertilization for geoengineering: a review of effectiveness, environmental impacts and emerging governance, *Process Safety and Environ. Protection*, 90, 475-488, <https://doi.org/10.1016/j.psep.2012.10.007>, 2012.
- 20 Xiao, Y. and Friedrichs, M. A. M.: The assimilation of satellite-derived data into a one-dimensional lower trophic level marine ecosystem model, *J. Geophys. Research - Oceans*, 119, 2691-2712, <https://doi.org/10.1002/2013JC009433>, 2014.
- Yool, A., Shepherd, J. G., Bryden, H. L., and Oschlies, A.: Low efficiency of nutrient translocation for enhancing oceanic uptake of carbon dioxide, *J. Geophys. Research*, 114, 1-13, <https://doi.org/10.1029/2008JC004792>, 2009.
- 25

Table 1. Model parameters explored in this study for the three model configurations used in this study (cf., Tab. 2). The Genuine Truth configuration is identical to the one introduced by Keller et al. (2012). MIX+ and TUNE are identical to the Genuine Truth except for the differences in parameter values listed here.

Parameter	Description	Genuine Truth	MIX+	TUNE	Unit
κ_h	Vertical diffusion	0.15	0.4	0.4	$cm^2 s^{-1}$
w_{D0}	Detritus sinking speed at the surface	12.5	12.5	40	$m day^{-1}$
μ_{D0}	Remineralization rate at 0°	0.042	0.042	0.09	day^{-1}
μ_Z	Maximum zooplankton growth rate at 0°	0.4	0.4	0.45	day^{-1}

Table 2. Configurations of the numerical earth system model UVic (Weaver et al., 2007) used in this study.

Configuration	Description	Experiments
Genuine Truth	introduced by Keller et al. (2012), identical to the <i>reference simulation</i> Getzlaff and Dietze (2013)	historical (+ transition phase) + RCP8.5 scenario
MIX+	identical to the <i>Genuine Truth</i> configuration, except for an increase of background diffusivity κ_h	historical (+ transition phase) + RCP8.5 scenario
TUNE	identical to MIX+ except for changes to the biogeochemical parameters w_{D0} , μ_Z , μ_{D0}	historical (+ transition phase) + RCP8.5 scenario

Table 3. Misfits of historical model solutions relative to the Genuine Truth calculated as the volume-weighted root-mean-square deviation (RMSE) between respective numerical experiments. Note that only phosphate and phytoplankton concentrations were used to tune the model.

Configuration	Phosphate	Phytoplankton	Surf. Phosphate	Oxygen	Total Carbon	SST
MIX+	0.21 $mmolP/m^3$	0.1 $mmolN/m^3$	0.37 $mmolP/m^3$	40.74 $mmol O_2/m^3$	0.48 $g C/m^3$	0.46 K
TUNE	0.14 $mmolP/m^3$	0.06 $mmolN/m^3$	0.16 $mmolP/m^3$	21.34 $mmol O_2/m^3$	0.39 $g C/m^3$	0.46 K

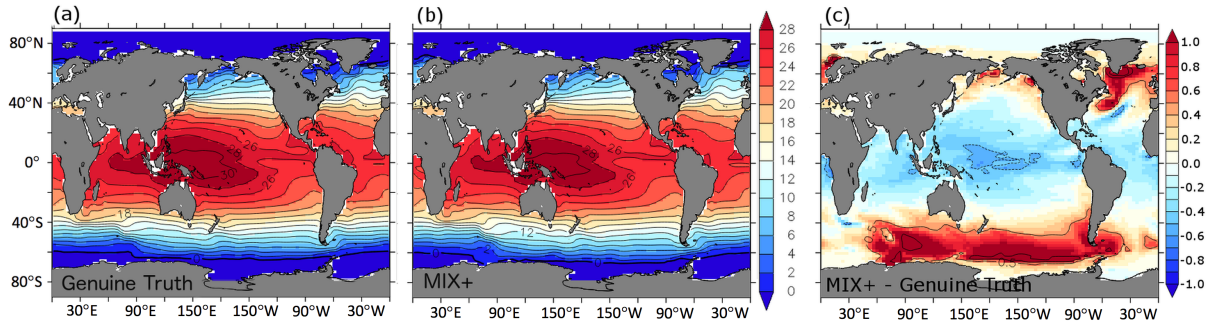


Figure 1. Simulated sea surface temperature in units $^{\circ}C$ for the historical simulations (cf., Section 2.2.2). Panel (a) and (b) refer to results from the model configurations Genuine Truth and MIX+, respectively. MIX+ features an increased vertical background diffusivity relative to the Genuine Truth (cf., Table 1). Panel (c) shows the difference between MIX+ and the Genuine Truth.

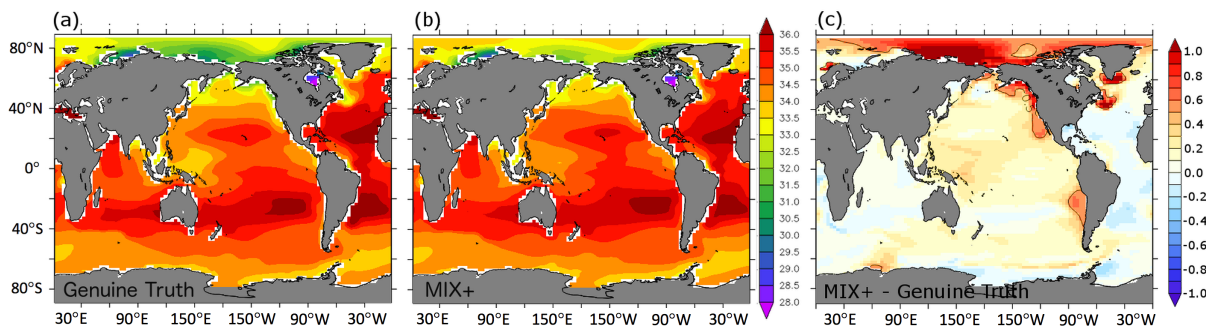


Figure 2. Simulated sea surface salinity in units PSU for the historical simulations (cf., Section 2.2.2). Panel (a) and (b) refer to results from the model configurations Genuine Truth and MIX+, respectively. MIX+ features an increased vertical background diffusivity relative to the Genuine Truth (cf., Table 1). Panel (c) shows the difference between MIX+ and the Genuine Truth.

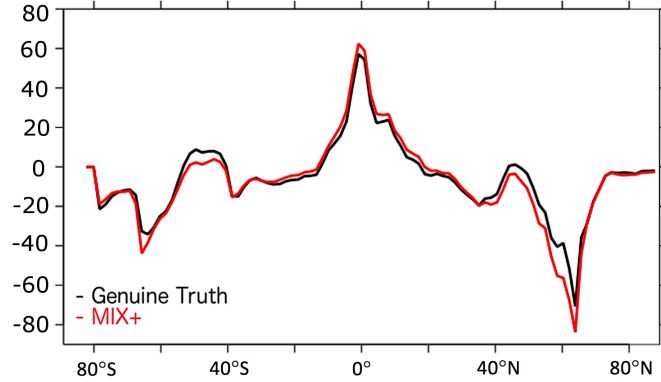


Figure 3. Simulated, zonally-averaged net air-sea heat fluxes in units $W m^{-2}$ for the historical simulations (cf., Section 2.2.2). Positive numbers denote ocean warming. The black and red line refer to results from the model configurations Genuine Truth and MIX+, respectively.

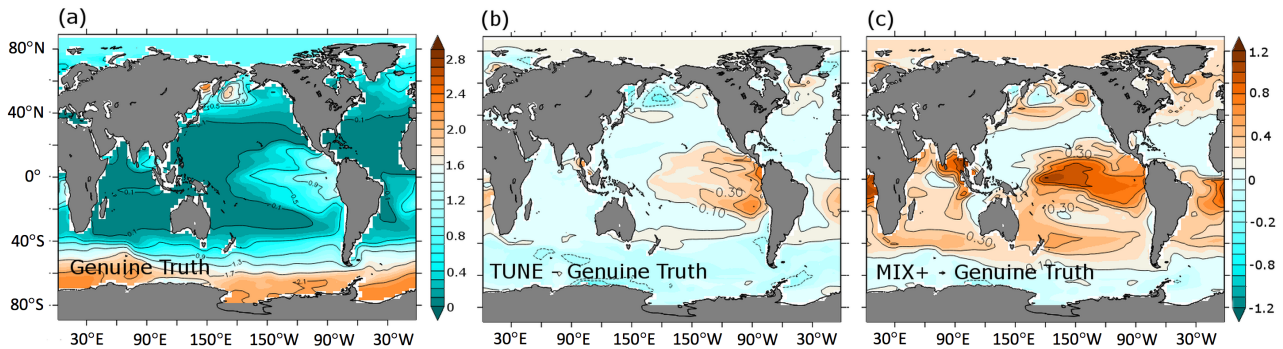


Figure 4. Simulated surface phosphate concentrations in units $mmolP/m^3$ for the historical simulations (cf., Section 2.2.2). Panel (a) refers to results from the model configurations Genuine Truth. Panels (b) and (c) show the difference between the results from the model configurations TUNE and MIX+ and the Genuine Truth, respectively. MIX+ and TUNE feature increased vertical background diffusivity relative to the Genuine Truth. In addition, TUNE features retuned biogeochemical model parameters (cf., Table 1).

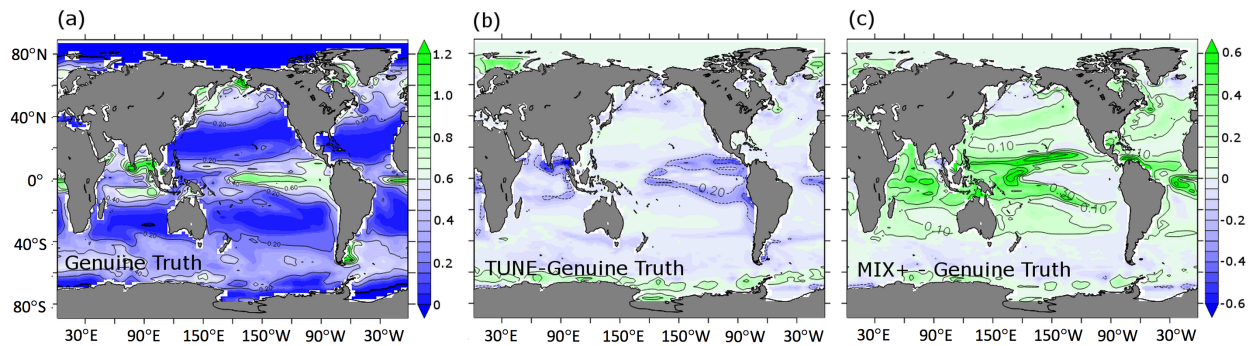


Figure 5. Simulated phytoplankton concentrations in units $mmolN/m^3$ for the historical simulations (cf., Section 2.2.2). Panel (a) refers to results from the model configurations Genuine Truth. Panels (b) and (c) show the difference between the results from the model configurations TUNE and MIX+ and the Genuine Truth, respectively. MIX+ and TUNE feature increased vertical background diffusivity relative to the Genuine Truth. In addition, TUNE features returned biogeochemical model parameters (cf., Table 1).

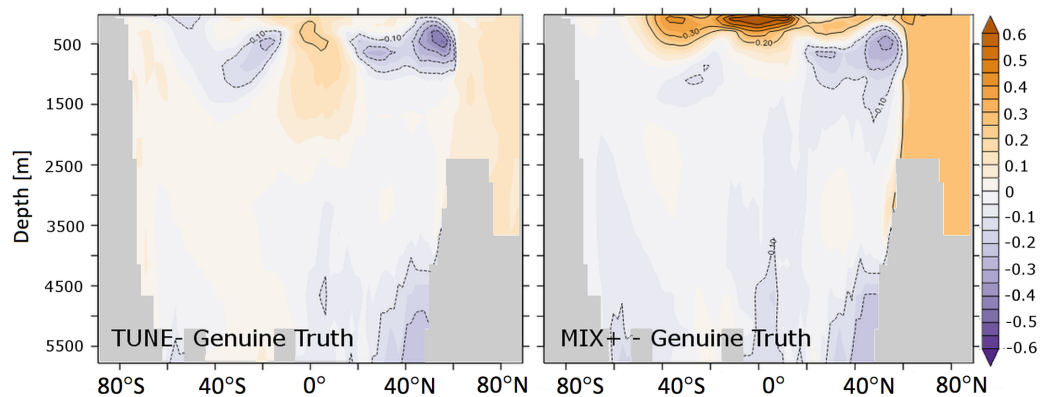


Figure 6. Differences between simulated meridionally-averaged phosphate concentrations in units $mmolP/m^3$ for the historical simulations (cf., Section 2.2.2). The left and right panel refer to TUNE minus Genuine Truth and MIX+ minus Genuine Truth, respectively.

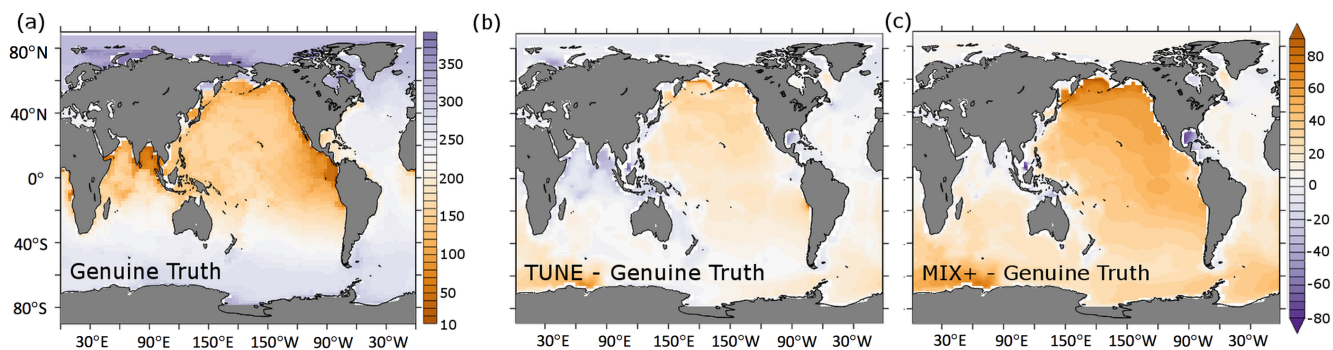


Figure 7. (a) Simulated depth-averaged oxygen concentrations in units $mmol\ O_2/m^3$ for the historical simulation of the Genuine Truth. Panel (b) refers to the differences between TUNE and the Genuine Truth of simulated depth-averaged oxygen concentrations in units $mmol\ O_2/m^3$ for the historical simulations (cf., Section 2.2.2). Panel (c) is identical to (b), but shows the difference to MIX+ instead of TUNE.

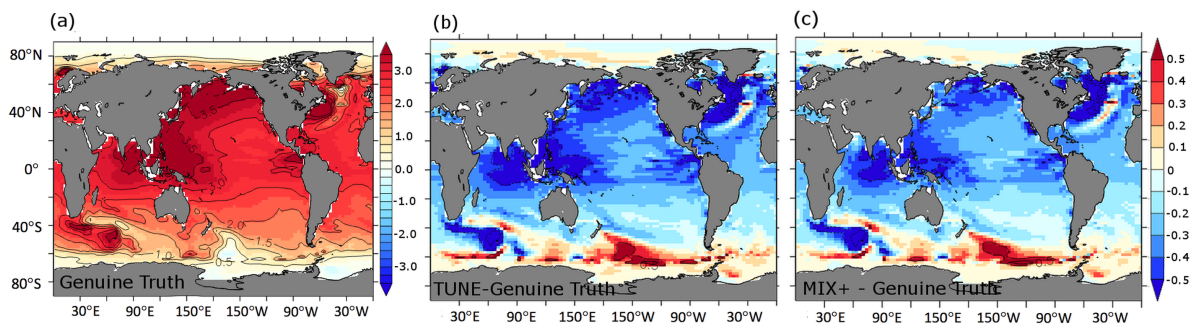


Figure 8. Comparison of sea surface temperature warming in response to RCP8.5 emissions. (a) The contours (both colored and labeled) denote the differences in simulated sea surface temperature anomalies between year 2100 and 1850 in the projection based on the Genuine Truth setup in units K . Panels (b) and (c) show the same differences for the projections based on TUNE and MIX+ relative to the Genuine Truth, respectively. Negative numbers indicate regions where the Genuine Truth warmed more than TUNE in the year 2100.

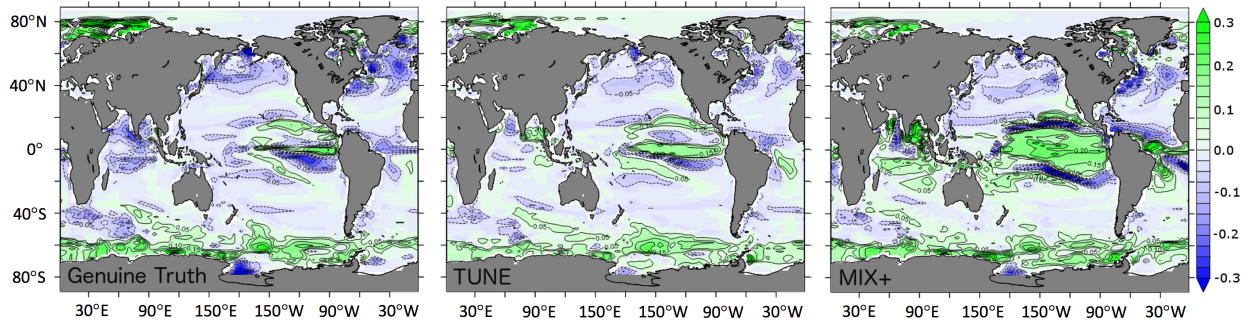


Figure 9. Simulated changes in surface phytoplankton concentrations as a consequence of rising CO_2 concentrations (emission scenario RCP 8.5) calculated as the annual mean concentration difference between the years 2100 - 1850 [in units mmolN/m^3].

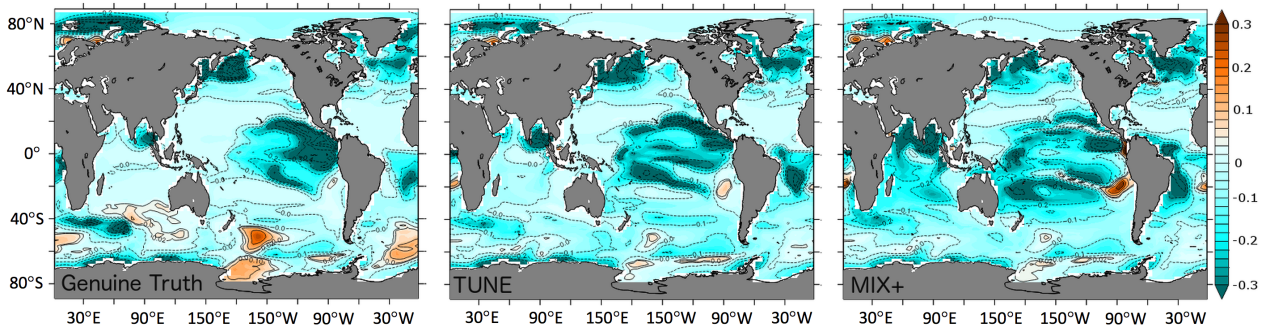


Figure 10. Simulated changes in surface phosphate concentrations as a consequence of rising CO_2 concentrations (emission scenario RCP 8.5) calculated as the annual mean concentration difference between the years 2100 - 1850 [in units mmolP/m^3].

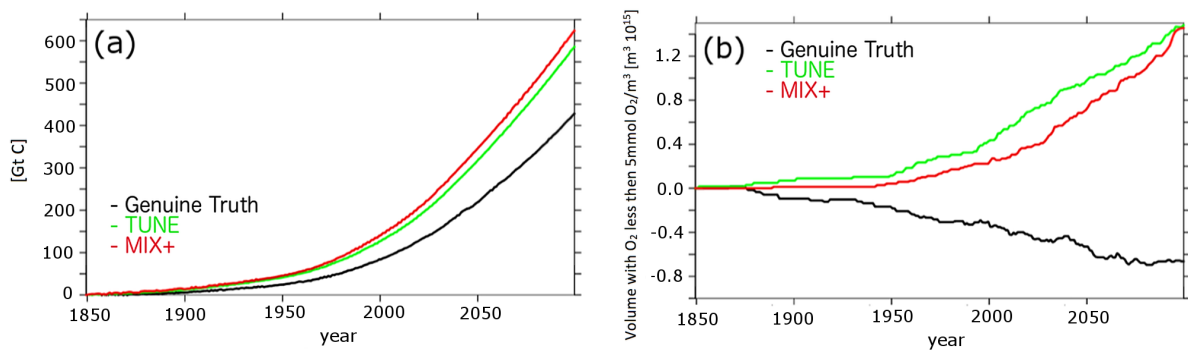


Figure 11. (a) Differences in projected anomalous total carbon content of the ocean in response to rising CO_2 concentrations (emission scenario RCP 8.5) [in units GtC]. (b) Simulated temporal evolution of the volume of global suboxic waters (emission scenario RCP 8.5).