

1 **Floodwater Impact on Galveston Bay Phytoplankton Taxonomy, Pigment Composition and Photo-**
2 **Physiological State following Hurricane Harvey from Field and Ocean Color (Sentinel-3A OLCI)**
3 **Observations**

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14 **Abstract**

15 Phytoplankton taxonomy, pigment composition and photo-physiological state were studied in Galveston
16 Bay (GB), Texas (USA) following the extreme flooding associated with Hurricane Harvey (August 25–29,
17 2017) using field and satellite ocean color observations. Percentage of chlorophyll a (Chl a) in different
18 phytoplankton groups were determined from a semi-analytical IOP (inherent optical property) inversion
19 algorithm. The IOP inversion algorithm revealed the dominance of freshwater species (diatom,
20 cyanobacteria and green algae) in the bay following the hurricane passage (September 29, 2017) under
21 low salinity conditions associated with the discharge of floodwaters into GB; 2 months after the hurricane
22 (October 29–30, 2017), under more seasonal salinity conditions, the phytoplankton community
23 transitioned to an increase in small sized groups such as haptophyte and prochlorophyte. Sentinel-3A
24 OLCI-derived Chl a obtained using a red/NIR band ratio algorithm for the turbid estuarine waters was
25 highly correlated ($R^2 > 0.90$) to HPLC-derived Chl a concentrations. Long-term observations of OLCI-
26 derived Chl a (August, 2016-December, 2017) in GB revealed that hurricane-induced Chl a declined to
27 background mean state in late October, 2017. A Non-Negative Least Square (NNLS) inversion model was
28 then applied to OLCI-derived Chl a maps of GB to investigate spatiotemporal variations of phytoplankton
29 diagnostic pigments pre- and post-hurricane; results appeared consistent with extracted phytoplankton
30 taxonomic composition derived from the IOP inversion algorithm and microplankton pictures obtained
31 from an Imaging FlowCytobot (IFCB). OLCI-derived diagnostic pigment distributions also exhibited
32 good agreement with HPLC measurements during both surveys, with mean R^2 ranging from 0.39 for
33 violaxanthin to 0.98 for Chl a. Environmental factors (e.g., floodwaters) combined with phytoplankton
34 taxonomy also strongly modulated phytoplankton physiology in the bay as indicated by measurements of
35 photosynthetic parameters with a Fluorescence Induction and Relaxation (FIRE) system. Phytoplankton in
36 well-mixed waters (mid-bay area) exhibited maximum PSII photochemical efficiency (F_v/F_M) and low
37 effective absorption cross section (σ_{PSII}), while the areas adjacent to the shelf (likely nutrient-limited)
38 showed low F_v/F_M and elevated σ_{PSII} values. Overall, the approach using field and ocean color data
39 combined with inversion models allowed, for the first time, an assessment of phytoplankton response to a
40 large hurricane-related floodwater perturbation in a turbid estuarine environment based on its taxonomy,
41 pigment composition and physiological state.

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46 **Key words:** Galveston Bay, phytoplankton taxonomy, pigment composition, physiology, ocean color,
47 chlorophyll a, Sentinel-3A OLCI
48

49 1. Introduction

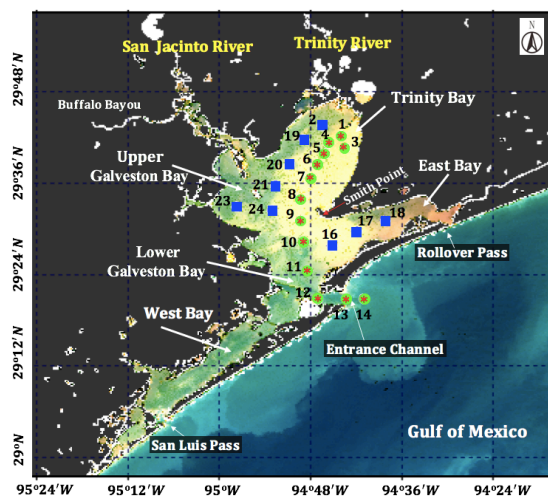
50 Phytoplankton, which form the basis of the aquatic food web, are crucial to marine ecosystems and play a
51 strong role in marine biogeochemical cycling and climate change. Phytoplankton contributes
52 approximately half of the total primary production on Earth, fixing ~50 GT of carbon into organic matter
53 per year through photosynthesis; however, various phytoplankton taxa affect differently the carbon
54 fixation and export (Sathyendranath et al., 2014). Chlorophyll a (Chl a), an essential phytoplankton
55 photosynthetic pigment, has been considered a reliable indicator of phytoplankton biomass and primary
56 productivity in aquatic systems (Bracher et al., 2015). Phytoplankton also contain several accessory
57 pigments such as chlorophyll-b (Chl b), chlorophyll-c (Chl c), photosynthetic carotenoids (PSC) and
58 photo-protective carotenoids (PPC) that are either involved in light harvesting, or in protecting Chl a and
59 other sensitive pigments from photo-damage (Fishwick et al., 2006). Some of PSCs and PPCs are taxa-
60 specific and have been considered as bio-marker pigments: e.g., fucoxanthin (PSC) for diatoms, peridinin
61 (PPC) for certain dinoflagellates, alloxanthin (PPC) for cryptophytes, zeaxanthin (PPC) for prokaryotes
62 (e.g. cyanobacteria), and the degradation products of Chl a, namely, divinyl Chl a and divinyl-Chl b for
63 prochlorophyte (Jeffrey and Vest, 1997). High-Performance Liquid Chromatography (HPLC) which can
64 efficiently detect and quantify several chemo-taxonomically significant chlorophylls and carotenoids,
65 when coupled with these taxa-specific pigment ratios, allow phytoplankton taxonomic composition to be
66 quantified based on a pigment concentration diagnostic procedures such as CHEMTAX (Mackey et al.,
67 1996). Furthermore, phytoplankton pigments with distinct absorption characteristics strongly influence
68 the light absorption by phytoplankton (Bidigare et al., 1990; Ciotti et al., 2002; Bricaud et al., 2004). As
69 such, phytoplankton absorption spectra has been used to infer underlying pigments and also
70 phytoplankton taxonomy by Gaussian-decomposition of (Hoepffner and Sathyendranath, 1991; Lohrenz
71 et al., 2003; Ficek et al., 2004; Chase et al., 2013; Moisan et al., 2013; Wang et al., 2016; Moisan et al.,
72 2017). More importantly, phytoplankton optical properties (absorption and backscattering) bearing the
73 imprints of different pigments and cell-size, are important contributors to reflectance in a waterbody
74 (Gordon et al., 1988). Morel and Prieur, (1977) first reported the feasibility of calculating the
75 phytoplankton absorption coefficients and other inherent optical properties (IOPs) from measured
76 subsurface irradiance reflectance based on the simplified radiative transfer equation. Improvements in
77 semi-analytical inversion algorithms to derive IOPs from in-situ and remotely sensed reflectance spectra
78 have been reported (Roesler and Perry, 1995; Hoge and Lyon, 1996; Lee et al., 1996; Garver and Siegel,
79 1997; Carder et al., 1999; Maritorea et al., 2002; Roesler and Boss, 2003; Chase et al., 2017). Roesler et
80 al. (2003) further modified an earlier IOP inversion algorithm used in Roesler and Perry, (1995) by
81 adding a set of 5 species-specific phytoplankton absorption spectra, and derived phytoplankton taxonomic
82 composition from the field measured remote sensing reflectance.

83 Phytoplankton pigment composition varies not only between taxonomic groups but also with photo-
84 physiological state of cells and environmental stress (e.g., light, nutrients, temperature, salinity,
85 turbulence and stratification) (Suggett et al., 2009). The photosynthetic pigment field is an important
86 factor influencing the magnitude of fluorescence emitted by phytoplankton, with active fluorometry
87 commonly used to obtain estimates of phytoplankton biomass (D'Sa et al., 1997). Advanced active
88 fluorometry termed as fast repetition rate (FRR; (Kolber et al., 1998)) and analogous techniques such as
89 the fluorescence induction and relaxation (FIRE; Suggett et al., 2008) allows for the simultaneous
90 measurements of the maximum PSII photochemical efficiency (F_v/F_M ; where F_m and F_o is maximum and
91 minimum fluorescence yield and F_v is variable fluorescence obtained by subtracting F_o from F_m) and the
92 effective absorption cross section (σ_{PSII}) of a phytoplankton population; these have been used as
93 diagnostic indicators for the rapid assessment of phytoplankton health and photo-physiological state
94 linked to environmental stressors. Considerable effort has been invested to achieve a deeper
95 understanding of the impacts of environmental factors and phytoplankton taxonomy on photosynthetic
96 performance of natural communities from field and laboratory fluorescence measurements (Kolber et al.,
97 1988; Geider et al., 1993; Schütter et al., 1997; Behrenfeld and Kolber, 1999; D'Sa and Lohrenz, 1999;

98 Holmboe et al., 1999; Moore et al., 2003). Furthermore, knowledge of photo-physiological responses of
99 phytoplankton in combination with information on phytoplankton taxonomic composition could provide
100 additional insights on regional environmental conditions.

101 Synoptic mapping of aquatic ecosystems using satellite remote sensing has revolutionized our
102 understanding of phytoplankton dynamics at various spatial and temporal scales in response to
103 environmental variabilities and climate change. It has also provided greater understanding of biological
104 response to large events such as hurricanes in oceanic and coastal waters (Babin et al. 2004; Acker et al.
105 2009; D'Sa 2014; Farfan et al. 2014; Hu and Feng, 2016). Although the primary focus of ocean color
106 sensors has been to determine the Chl a concentration and related estimates of phytoplankton primary
107 production (Mitchell, 1994; Behrenfeld and Falkowski, 1997), more recently, several approaches have
108 been developed based on phytoplankton optical signatures to derive spatial distributions of phytoplankton
109 functional types (PFTs) (Alvain et al., 2005; Nair et al., 2008; Hirata et al., 2011), phytoplankton size
110 classification (Ciotti et al., 2002; Hirata et al., 2008; Brewin et al., 2010; Devred et al., 2011), and
111 phytoplankton accessory pigments (Pan et al., 2010; Pan et al., 2011; Moisan et al., 2013; Moisan et al.,
112 2017; Sun et al., 2017). The basis of these satellite-based remote sensing algorithms have relied on
113 distinct spectral contributions from phytoplankton community composition (e.g., taxonomy, size structure)
114 to remote sensing reflectance (R_{rs} , sr^{-1}); however, these studies have all been confined to open ocean and
115 shelf waters. In contrast, satellite studies of phytoplankton pigments have been limited in the optically
116 complex estuarine waters where the influence from wetlands, rivers, and coastal ocean make
117 phytoplankton communities highly variable and complex.

118 In this study, field bio-optical measurements and ocean color remote sensing data (Sentinel-3A OLCI)
119 acquired in Galveston Bay, a shallow estuary along the Gulf coast (Texas, USA; Fig. 1), are used to
120 investigate the spatial distribution of phytoplankton pigments, taxonomic composition, and their photo-
121 physiological state following the extreme flooding of the Houston Metropolitan and surrounding areas
122 due to Hurricane Harvey and the consequent biological impact of the floodwater discharge into the bay.
123 The paper is organized as follows: section 2 describes the field data acquisition and laboratory processing,
124 section 3 presents the algorithms and methods used to distinguish phytoplankton groups, retrieve spatial
125 distribution of pigments, and calibrate phytoplankton physiological parameters. Results and discussions
126 (section 4 and 5), and summary (section 6) addresses the main contributions and findings of this paper.



127
128 **Figure 1.** Sentinel-3A OLCI RGB image (October 29, 2017) with locations of sampling sites in
129 Galveston Bay acquired on September 29 (red asterisk), October 29 (green circles) and October 30 (blue
130 solid squares), 2017, respectively.

131 2. Data and Methods

132 2.1 Study area

133 Galveston Bay (GB), a shallow water estuary (~2.1 m average depth), encompasses two major sub-
134 estuaries: San Jacinto Estuary (also divided as Upper GB and Lower GB), and Trinity Estuary (Trinity
135 Bay) (Fig. 1). It is located adjacent to the heavily urbanized and industrialized metropolitan areas of
136 Houston, Texas (Dorado et al., 2015). A deep (~14 m) narrow Houston Ship Channel connects the bay to
137 the northern Gulf of Mexico (nGoM) through a narrow entrance, the Bolivar Roads Pass. Tidal exchange
138 between GB and the nGoM occurs through the entrance channel with diurnal tides ranging from ~0.15 to
139 ~0.5 m. The major freshwater sources to GB are the Trinity River (55%), the San Jacinto River (16%),
140 and Buffalo Bayou (12%) (Guthrie et al., 2012). The San Jacinto River was frequently observed to
141 transport greater amounts of dissolved nutrients into GB than the Trinity River (Quigg, 2011); however,
142 the negative relationship between nitrate concentrations and salinity observed in the mid-bay area
143 (adjacent to Smith Point) (Santschi, 1995), indicated Trinity River to be a major source of nitrate in GB.
144 The catastrophic flooding of Houston and surrounding areas associated with Hurricane Harvey resulted in
145 strong freshwater inflows into GB from the San Jacinto River ($>3300 \text{ m}^3\text{s}^{-1}$; USGS 08067650) on August
146 29, 2017 and the Trinity River ($>2500 \text{ m}^3\text{s}^{-1}$; USGS 08066500 site at Romayor, Texas) on August 30,
147 2017, respectively. Although the discharge from the two rivers in the upstream returned to normal
148 conditions ($\sim 50\text{--}120 \text{ m}^3\text{s}^{-1}$) in about 2 weeks after the Hurricane passage, salinity remained low for over a
149 month following the hurricane passage (D'Sa et al., 2018).

150 2.2 Sampling and Data Collection

151 Surface water samples were collected at a total of 34 stations during two surveys on September 29 and
152 October 29–30, 2017 (Fig. 1). Samples at stations 1 to 14 (red asterisk on top of green circle; Fig. 1)
153 along the Trinity River transect were collected repeatedly on September 29 and October 29, 2017,
154 respectively. Additional 9 sampling sites (blue squares; Fig. 1) around the upper bay and in the East Bay
155 were sampled on October 30, 2017. The surface water samples were stored in coolers and filtered on the
156 same day. The filter pads were immediately frozen and stored in liquid nitrogen for laboratory absorption
157 spectroscopic and HPLC measurements of the samples. An optical package equipped with a conductivity-
158 temperature-depth recorder (Sea-Bird SBE) and a Fluorescence Induction and Relaxation System (FIRE;
159 Satlantic Inc) was used to obtain profiles of salinity, temperature, pressure, and phytoplankton
160 physiological parameters (F_V/F_M and σ_{PSII}). Measurements of backscattering were also made at each
161 station using the WETLabs VSF-3 (470, 530, 670 nm) backscattering sensor (D'Sa et al. 2006). Included
162 in the optical package was also a hyperspectral downwelling spectral irradiance meter (HyperOCR,
163 Satlantic). The irradiance data from HyperOCR were processed using Prosoft 7.7.14 and the
164 photosynthetically Active Radiation (PAR) were estimated from the irradiance measurements. The
165 above-water reflectance measurements were collected using a GER 1500 512iHR spectroradiometer in
166 the 350-1050 nm spectral range. At each station, sky radiance, plate radiance, and water radiance were
167 recorded (each repeated three times) and processed to obtain above-water remote sensing reflectance
168 (Joshi et al., 2017). A total of 43 Sentinel-3A OLCI full resolution mode, cloud free level-1 images were
169 obtained over GB between August 01, 2016-December 01, 2017 from the European Organization for
170 Meteorological Satellites (EUMESAT) website and pre-processed using Sentinel-3 Toolbox Kit Module
171 (S3TBX) version 5.0.1 in Sentinel Application Platform (SNAP). These Sentinel-3A OLCI data were
172 further atmospherically corrected to obtain remote sensing reflectance ($R_{rs, OLCI, sr}^{-1}$) using Case-2
173 Regional Coast Color (C2RCC) module version 0.15 (Doerffer and Schiller, 2007). River discharge
174 information during August, 2016-December, 2017 was downloaded from the USGS Water Data (USGS)
175 for Trinity River at Romayor, Texas (USGS 08066500) and the west flank of the San Jacinto River
176 (USGS 08067650). Individual pictures of microplankton (10 to 150 μm) recorded by an Imaging

177 FlowCytobot (IFCB) located at the entrance to Galveston Bay were downloaded (<http://dq-cytobot->
178 pc.tamug.edu/tamugifcb) for pigment validation.

179 2.3 Absorption Spectroscopy

180 Surface water samples were filtered through 0.2- μm nuclepore membrane filters and the colored dissolved
181 organic matter (CDOM) absorbance (A_{CDOM}) were obtained using a 1-cm path length quartz cuvette on a
182 Perkin Elmer Lambda-850 UV/VIS spectrophotometer equipped with an integrating sphere. The
183 Quantitative Filter Technique (QFT) with 0.7- μm GF/F filters were used to measure absorbance of
184 particles (A_{total}) and non-algal particles (A_{NAP}) inside an integrating sphere at 1 nm intervals from 300 to
185 800 nm. The absorption coefficients of CDOM (a_{CDOM}), NAP (a_{NAP}), particles (a_{total}) and phytoplankton
186 (a_{PHY}) were calculated using the following equations:

$$187 \quad a_{\text{CDOM}}(\lambda) = 2.303 \times \frac{A_{\text{CDOM}}(\lambda)}{L} \quad \dots\dots (1)$$

188 where L is the path length in meters. The a_{CDOM} were corrected for scattering, temperature, and baseline
189 drift by subtracting an average value of absorption between 700-750 nm for each spectrum (Joshi and
190 D'Sa, 2015).

$$191 \quad a_{\text{total}}(\lambda) = 2.303 \times \frac{A_{\text{total}}(\lambda)}{V_{\text{filtered}}/S_{\text{filter}}} \quad \dots\dots (2)$$

$$192 \quad a_{\text{NAP}}(\lambda) = 2.303 \times \frac{A_{\text{NAP}}(\lambda)}{V_{\text{filtered}}/S_{\text{filter}}} \quad \dots\dots (3)$$

$$193 \quad a_{\text{PHY}}(\lambda) = a_{\text{total}} - a_{\text{NAP}} \quad \dots\dots (4)$$

194 where V_{filtered} is the filtered volume of sample, S_{filter} is the area of filter pads and the path length correction
195 for filter pad was applied according to (Stramski et al., 2015).

196 2.4 Pigment Absorption Spectra

197 The water samples were filtered with 0.7- μm GF/F filter. The filter pads were stored in liquid nitrogen
198 until transferred into 30 ml vials containing 10 ml cold 96% ethanol (Ritchie, 2006). The vials were spun
199 evenly to ensure full exposure of the filter pad to the ethanol and then kept in the refrigerator (in the dark)
200 overnight. The pigment solutions at room-temperature were poured off from vials into 1 cm cuvette and
201 measured on a Perkin Elmer Lambda-850 UV/VIS spectrophotometer to obtain pigment absorbance
202 spectra (A_{pig}), while, 90% ethanol was used as a blank (Thrane et al., 2015). The total absorption
203 coefficients of pigments $a_{\text{pig}}(\lambda)$ were calculated as follow:

$$204 \quad a_{\text{pig}}(\lambda) = 2.303 \times \frac{A_{\text{pig}}(\lambda)}{L} \times \left(\frac{V_{\text{ethanol}}}{V_{\text{filtered}}} \right) \quad \dots\dots (5)$$

205 where L is the path length in meters, V_{ethanol} is the volume of ethanol, and V_{filtered} is the filtered volume of
206 the water samples.

207 2.5 HPLC Measurements

208 Water samples were filtered through 0.7- μm GF/F filters and immediately frozen in liquid nitrogen for
 209 HPLC analysis using the methods reported by Barlow et al. (1997). The detected pigments along with
 210 their abbreviations are listed in Table 1. Diagnostic biomarker pigments are marked in bold letters (Table
 211 1).

212 **Table 1.** Pigments information acquired from HPLC samples in Galveston Bay.

Variable	Primary Pigment (PPig)	Calculation
Chlorophylls		
[TChl a]	Total chlorophyll a (TChl a)	[Chlide a]+[DVChl a]+[Chl a]
[TChl b]	Total chlorophyll b (TChl b)	[DVChl b]+ [Chl b]
[TChl c]	Total chlorophyll c (TChl c)	[Chl c ₁]+[Chl c ₂]+[Chl c ₃]
Carotenoids		
[Caro]	Carotenes†	[$\beta\beta$ -Car]+[$\beta\epsilon$ -Car]
[Allo]	Alloxanthin	
[Buta]	19'-Butanoyloxyfucoxanthin	
[Diadino]	Diadinoxanthin	
[Diato]	Diatoxanthin	
[Fuco]	Fucoxanthin	
[Hexa]	19'-Hexanoyloxyfucoxanthin	
[Peri]	Peridinin	
[Zea]	Zeaxanthin	
[Neo]	Neoxanthin	
[Lut]	Lutein	
[Viola]	Violaxanthin	
[Pras]	Prasinoxanthin	
[Anthera]	Antheraxanthin	
Note: (1) [Chl b], [Allo], [Fuco], [Peri], [Zea], [Buta] and [Hexa] are considered as diagnostic pigments for PFTs (Moisan et al., 2017).		
Variable	Pigment Sum	Calculation
[TChl]	Total Chlorophyll (TChl)	[TChl a]+[TChl b]+[TChl c]
[PPC]	Photoprotective Carotenoids (PPC)	[Allo]+[Diadino]+[Diato]+[Zea]+[Caro]+[Viola]
[PSC]	Photosynthetic Carotenoids (PSC)	[Buta]+[Fuco]+[Hexa]+[Peri]+[Lut]+[Pras]
[PSP]	Photosynthetic Pigments (PSP)	[PSC]+[TChl]
[AP]	Total Accessory Pigments (AP)	[PPC]+[PSC]+[TChl b]+[TChl c]
[TP]	Total Pigments (TP)	[AP]+[TChl a]
[DP]	Total Diagnostic Pigments (DP)	[PSC]+[Allo]+[Zea]+[T Chl b]

213 2.6 FIRE Measurements

214 An in-situ Fluorescence Induction and Relaxation System (FIRE, Satlantic Inc.) was used to characterize
 215 phytoplankton photosynthetic physiology during the two surveys in GB. The FIRE is based on
 216 illuminating a sample with an intense flash of light to instantaneously saturate the reaction centers of
 217 photosystem II (PSII); under these light conditions, reaction centers do not accept electrons and most of
 218 the absorbed light energy is dissipated as fluorescence. The fundamental parameter measured by FIRE is

219 fluorescence yield $F(t)$, which is the emitted fluorescence divided by the irradiance intensity (no unit). In
 220 contrast to strong flashes, dark adaption enables all reaction centers of PSII to be open with least
 221 fluorescence emitted, thus, resulting in minimal fluorescence yield (F_0). Maximum fluorescence yield (F_m)
 222 can be obtained after sufficient irradiation when all reaction centers are closed. Maximum photochemical
 223 efficiency, which quantify the potential of converting light to chemical energy for the PSII reaction
 224 centers (Moore et al., 2006), was calculated as $(F_m - F_0) / F_m = F_v / F_m$. The functional absorption cross
 225 section σ_{PSII} ($\text{\AA}^2 \text{quantum}^{-1}$) measures the capability of reaction centers to absorb light from the ambient
 226 environment. The FIRE was deployed at a slow descent rate, with 12 and 20 vertical profiles obtained
 227 during the first and second surveys, respectively. All measurements were programmed using standard
 228 protocols of single saturating turn-over (ST) flash saturation of PSII (Kolber et al., 1998). Flashes were
 229 generated from highly uniform blue LEDs at 455 nm with approximately 30 nm half-bandwidth. Chl a
 230 fluorescence was stimulated using saturating sequence of 80 $1.1 \mu\text{s}$ flashes applied at $1 \mu\text{s}$ intervals, 8
 231 sequences were averaged per acquisition, and the fluorescence signal was detected at 668 nm. All data
 232 were processed using standard FIRECom software (Satlantic). In addition, samples of 0.2- μm filtered sea
 233 water at each station were used as 'blank' to remove the background fluorescence signals (Cullen and
 234 Davis, 2003); in this step, the fluorescence from the filtered samples (without phytoplankton) were
 235 subtracted from in-situ fluorescence signals to get more accurate values of F_v/F_m .

236 2.7 Retrieving Phytoplankton Groups from above-water R_{rs}

237 A fundamental relationship that links sub-surface remote-sensing reflectance (r_{rs}) and the IOPs was
 238 expressed using a quadratic function developed by (Gordon et al., 1988):

$$239 \quad r_{rs} = g_1 * u(\lambda) + g_2 * u(\lambda)^2; \quad u(\lambda) = \frac{b_b}{a_{total} + b_b} \quad \dots\dots (6)$$

240 where, the parameters g_1 (~ 0.0788) and g_2 (~ 0.2379) are values for turbid estuarine waters (Joshi and
 241 D'Sa, 2018); r_{rs} is the sub-surface remote sensing reflectance that were obtained from above-water remote
 242 sensing reflectance (R_{rs}) using (Lee et al., 2002):

$$243 \quad r_{rs} = \frac{R_{rs}}{0.52 + 1.7 * R_{rs}} \quad \dots\dots (7)$$

244 The total backscattering coefficient b_b is comprised of water (b_{bw}) and particulates including both organic
 245 and inorganic particles (b_{bp}), while the total absorption coefficients (a_{total}) can be further separated into
 246 four sub-constituents (Roesler and Perry, 1995) as indicated by:

$$247 \quad b_b = b_{bw} + b_{bp}; \quad a_{total} = a_w + a_{phy} + a_{CDOM} + a_{NAP} \quad \dots\dots (8)$$

248 where a_w , a_{phy} , a_{CDOM} , and a_{NAP} represent the absorption coefficients of pure water, phytoplankton, colored
 249 dissolved organic matter and non-algal particles, respectively.

250 The IOP inversion algorithm for retrieving IOPs from R_{rs} require known spectral shape (eigenvector) of
 251 each component in Eq. (8) to estimate the magnitude (eigenvalues) of each component (Table 2). The
 252 spectral shape can be adjusted by changing the values of slope based on characteristics of the study area.
 253 It is worth noting that a single averaged phytoplankton eigenvector does not provide species information
 254 whereas a set of several species-specific phytoplankton eigenvectors allow estimates of species
 255 composition. IOPs inversion algorithm applied in this study makes use of mass-specific phytoplankton
 256 absorption spectra of 10 groups namely, dinoflagellate, diatom, chlorophyte, cryptophyte, haptophyte,
 257 prochlorophyte, raphidophyte, rhodophyte, red cyanobacteria and blue cyanobacteria; these were obtained

258 from Dierssen et al. (2006) and Dutkiewicz et al. (2015) as eigen vectors rather than using one average
 259 $a_{phy}(\lambda)$ spectrum. Subsequently, the inversion algorithm iterates repeatedly to minimize the difference
 260 between modeled R_{rs} and in-situ measured R_{rs} (R_{rs_insitu}) until a best fit is achieved while allowing for
 261 alterations of all parameters listed in Table 2 (Chase et al., 2017). The absolute percent errors between
 262 modeled and measured values of R_{rs} , a_{phy} , a_{CDOM} , a_{NAP} and b_{bp} were calculated as:

$$263 \quad \%error = \left| \frac{X_{modeled} - X_{measured}}{X_{measured}} \right| \times 100 \quad \dots\dots (9)$$

264 **Table 2.** Parameters and eigenvectors used in the semi-analytical inversion algorithm.

Parameter	Equation	Slope	Eigenvalue
$a_{CDOM}(\lambda)$	$a_{CDOM}(\lambda) = M_{CDOM} \times \exp^{-S_{CDOM} \times (\lambda - \lambda_0)}$; $\lambda_0 = 443$	S_{CDOM}	M_{CDOM}
$a_{NAP}(\lambda)$	$a_{NAP}(\lambda) = M_{NAP} \times \exp^{-S_{NAP} \times (\lambda - \lambda_0)}$; $\lambda_0 = 443$	S_{NAP}	M_{NAP}
$a_{phy}(\lambda)$	$a_{phy}(\lambda) = \sum \text{Chl } a_i \times a_{phi}^*$; a_{phi}^* is the spectral shape of each phytoplankton group.		Chl a_i
$b_{bp}(\lambda)$	$b_{bp}(\lambda) = B_{bp} \times (\lambda_0/\lambda)^{S_{bp}}$; $\lambda_0 = 443$	S_{bp}	B_{bp}
Note: $a_{phi}^*(\lambda)$ for 10 different groups of phytoplankton used in this study were extracted from (Dierssen et al., 2006) and Dutkiewicz et al., (2015).			

265 2.8 Retrieving Pigments from Sentinel 3-OLCI R_{rs}

266 2.8.1 Reconstruction of Pigment Absorption Spectrum by Multiple Linear Regression

267 Total pigment absorption spectra $a_{pig}(\lambda)$ obtained during both surveys (Eq. 5), were modeled as a third
 268 order function of HPLC measured Chl a (Chl a_{HPLC}) concentration at each station as (Moisan et al., 2017):

$$269 \quad a_{pig}(\lambda) = C_3 \times (\text{Chl } a_{HPLC})^3 + C_2 \times (\text{Chl } a_{HPLC})^2 + C_1 \times \text{Chl } a_{HPLC} + C_0 \quad \dots\dots (11)$$

270 where vector coefficient $C=[C_3, C_2, C_1, C_0]$, are wavelength-dependent coefficients that range from 400 to
 271 700 nm at 1 nm interval; these were further applied to Sentinel-3A OLCI Chl a to calculate a_{pig_OLCI} at
 272 each pixel as:

$$273 \quad a_{pig_OLCI}(\lambda) = C_3 \times (\text{Chl } a_{OLCI})^3 + C_2 \times (\text{Chl } a_{OLCI})^2 + C_1 \times \text{Chl } a_{OLCI} + C_0 \quad \dots\dots (12)$$

274 where Chl a_{OLCI} is Sentinel-3A OLCI derived Chl a concentration (259×224 pixels); the obtained image
 275 represents the value of a_{pig_OLCI} at a certain wavelength and 301 images of a_{pig_OLCI} can be obtained in
 276 the 400-700 nm wavelength range at 1 nm interval.

277 2.8.2 Satellite Retrieval of Pigments using Non-Negative Least Square (NNLS) Inversion Model

278 The a_{pig_OLCI} is a mixture of n pigments with known absorption spectra $a_i(\lambda)$, $i = 1, 2, \dots, n$ at wavelength
 279 λ (nm); thus, $a_{pig_OLCI}(\lambda)$ can be considered as a weighted sum of individual component spectrum
 280 (Thrane et al., 2015) at each image point as:

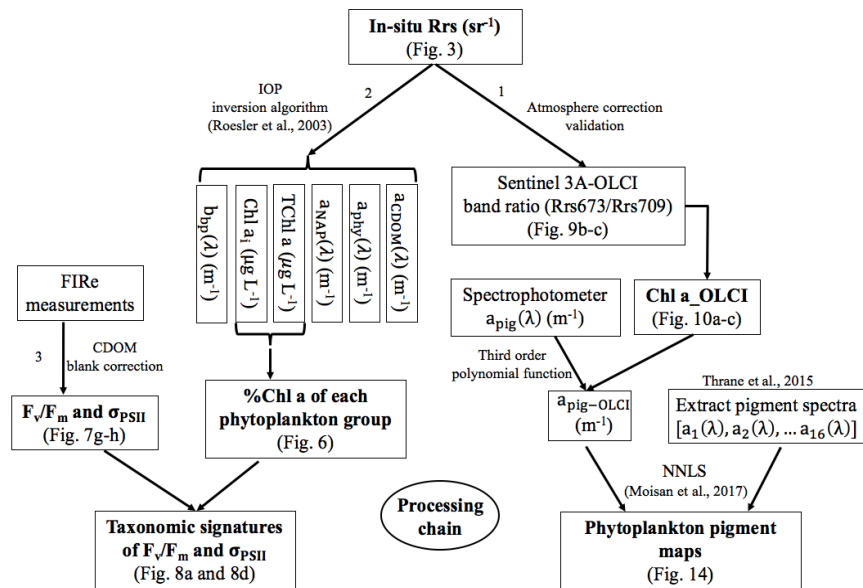
281
 282
$$a_{\text{pig_OLCI}}(\lambda) = x_1 \times a_1(\lambda) + x_2 \times a_2(\lambda) + \dots x_n \times a_n(\lambda) \quad \dots (13)$$

283
 284 where $A(\lambda) = [a_1(\lambda), a_2(\lambda), \dots a_n(\lambda)]$ represent the mass-specific spectra of 16 pigments (Chl a, Chl b,
 285 Chl c₁, Chl c₂, pheophytin-a, pheophytin-b, peridinin, fucoxanthin, neoxanthin, lutein, violaxanthin,
 286 alloxanthin, diadinoxanthin, diatoxanthin, zeaxanthin, and β -carotenoid), which are the in-vitro pigment
 287 absorption spectra over pigment concentrations and can be extracted from supplementary R scripts of
 288 Thrane et al. (2015). The vector coefficient $X = [x_1, x_2, \dots x_n]$ correspond to the concentrations ($\mu\text{g L}^{-1}$) of
 289 these distinct pigments; note that X cannot be negative, therefore, non-negative least squares (NNLS) was
 290 used to guarantee positive solutions of X (Moisan et al., 2013; Thrane et al., 2015). Eq. 13 can be further
 291 expressed as:
 292

293
$$\begin{bmatrix} a_{\text{pig}}(400)_{\text{OLCI}} \\ a_{\text{pig}}(401)_{\text{OLCI}} \\ \vdots \\ a_{\text{pig}}(700)_{\text{OLCI}} \end{bmatrix} = \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} \times \begin{bmatrix} a_1(400), a_2(400), \dots a_n(400) \\ a_1(401), a_2(401), \dots a_n(401) \\ \vdots \\ a_1(700), a_2(700), \dots a_n(700) \end{bmatrix} \quad \dots (14)$$

294 **2.9 Processing Approach**

295 Sentinel 3A-OLCI pigment maps were generated using the processing pathway 1 (Fig. 2) that includes the
 296 following: 1) developing empirical relationships between HPLC-measured Chl a and $R_{rs_in\text{-}situ}$ band ratio
 297 for Sentinel 3A-OLCI band 9 (673 nm) and band 11 (709 nm) to generate Sentinel 3A-OLCI Chl a maps,
 298 2) converting Chl a concentration to $a_{\text{pig_OLCI}}(\lambda)$ maps, and subsequently decomposing $a_{\text{pig_OLCI}}(\lambda)$ into
 299 individual pigment spectra to generate phytoplankton pigment maps for GB. In processing pathway 2,
 300 phytoplankton taxonomic composition at each sampling station was obtained from a 10-species IOP
 301 inversion model, which take $R_{rs_in\text{-}situ}$ as input and estimates Chl a concentration of each phytoplankton
 302 group (Fig. 2). Finally, CDOM-corrected F_v/F_m and σ_{PSII} were combined with
 303 phytoplankton taxonomy to assess photosynthetic physiology of different phytoplankton groups.



304
 305 Figure 2. Flowchart showing the three processing steps for: (1) retrieving pigments spatial distribution
 306 maps from OLCI, (2) distinguishing phytoplankton groups, and (3) assessing phytoplankton physiological

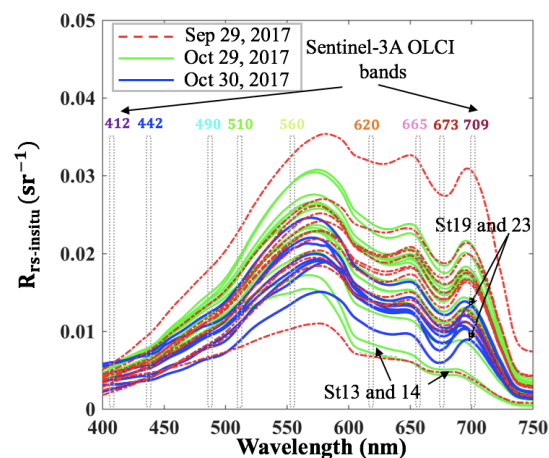
307 parameters and their linkages to taxonomic groups.

308 3. Results

309 3.1 Phytoplankton Taxonomy and Physiological State from Field Observations

310 3.1.1 Measurements of Above Water Remote Sensing Reflectance

311 Above-water remote sensing reflectances (R_{rs_insitu}) from the two surveys (Fig. 3) reflect the influence of
312 the absorbing and scattering features of water constituents. Low reflectance (~ 675 nm) caused by Chl a
313 red light absorption and maximum reflectance at green wavelength (~ 550 nm) were observed. Obvious
314 dips at ~ 625 nm versus reflectance peaks ~ 650 nm were observed in spectra during both surveys, which
315 could be attributed to cyanobacteria modulation of the spectra (Hu et al., 2010). The reflectance peak
316 around 690–700 nm was obvious at most sampling sites except at stations 13 and 14 adjacent to the
317 nGOM and were likely due to the effect of Chl a fluorescence (Gitelson, 1992; Gilerson et al., 2010). The
318 peak position at stations with lower Chl a concentration ($\sim 5 \mu\text{g L}^{-1}$) were observed at 690–693 nm;
319 however, the peaks shifted to longer wavelengths of 705 and 710 nm for station 23 and 19 with extremely
320 high Chl a concentrations of ~ 31 and $50 \mu\text{g L}^{-1}$, respectively (Fig. 3).



321
322 **Figure 3.** R_{rs_insitu} spectra at stations in GB on September 29, and October 29-30, 2017; vertical bars
323 represent Sentinel-3A OLCI spectral bands.

324 3.1.2 Performance of IOP Inversion Algorithm

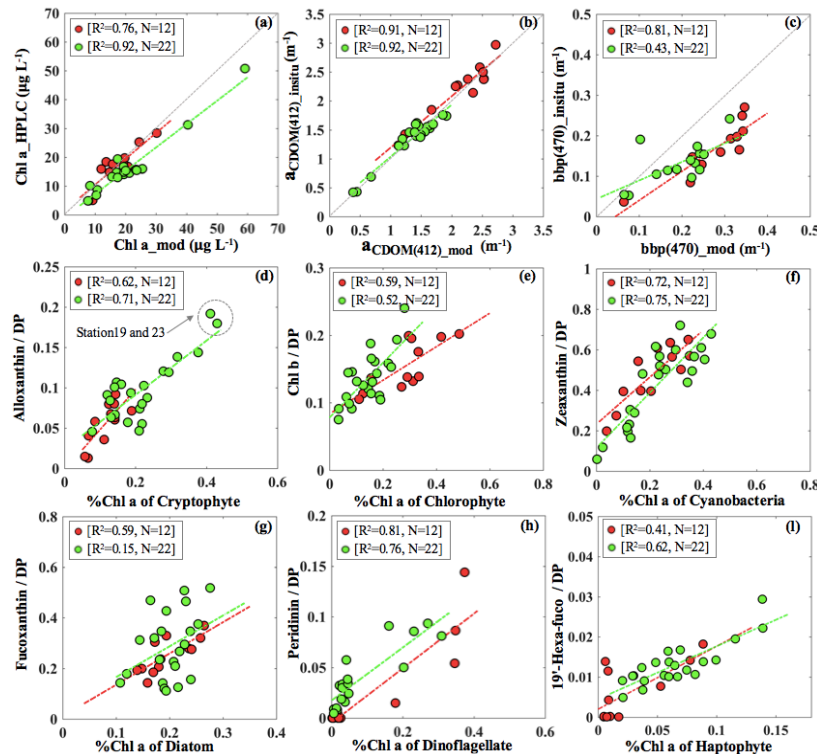
325 The IOP inversion algorithm was applied to R_{rs_insitu} data (Fig. 3) obtained during the two surveys in GB.
326 The mean errors for modeled a_{CDOM} , a_{NAP} , a_{phy} and b_{bp_470} at all wavelengths for the 34 stations were
327 5.86%, 6.83%, 12.19% and 10.79%, respectively (Table 3). A total of 8 phytoplankton groups
328 (dinoflagellate, diatom, chlorophyte, cryptophyte, haptophyte, prochlorophyte, raphidophyte, and blue
329 cyanobacteria) were spectrally detected from IOP inversion algorithm. The sum of 8 eigenvalues of Chl_i
330 (Table 2) represents the modeled total Chl a ($TChl a_{mod}$) of the whole phytoplankton community. The
331 $TChl a_{mod}$ is better correlated with HPLC-measured total Chl a ($TChl a_{HPLC}$) for survey 2 (green circle;
332 Fig. 4a) with $R^2 \sim 0.92$, compared to survey 1 (red color; Fig. 4a). In addition, the $TChl a_{mod}$ appear to be
333 slightly higher than $TChl a_{HPLC}$ for survey 2. The modeled a_{CDOM} (a_{CDOM_mod}) are in close agreement with
334 spectrophotometrically measured a_{CDOM} at 412 nm (Fig. 4b), with a_{CDOM} obtained on September 29, 2017
335 much higher than that on October 29-30, 2017. The modeled b_{bp} (b_{bp_mod}) are well correlated with in-situ
336 b_{bp} (b_{bp_insitu}) at 470 nm (Fig. 4c) with higher R^2 (0.81) observed on September 29, 2017. In addition, both

337 modeled and field-measured b_{bp} showed stronger backscattering at most stations on September 29, 2017
 338 than those on October 29-30, 2017.

339
 340 **Table 3.** Error statistics over all wavelengths and sampling stations ($N=301 \times 34=10234$; 12 and 22
 341 stations on Sep 29 and Oct 29-30, 2017) from semi-analytical IOP inversion algorithm.
 342

Parameter	Min. error (%)	Max. error (%)	Mean error (%)	R ² (Sep)	R ² (Oct)
$R_{rs} \lambda \in [400,700]$	0.005	40.12	18.71	0.90	0.89
$a_{CDOM}(\lambda), \lambda \in [400,700]$	0.042	11.20	5.86	0.92	0.94
$a_{NAP}(\lambda), \lambda \in [400,700]$	0.001	11.46	6.73	0.90	0.91
$a_{PHY}(\lambda), \lambda \in [400,700]$	0.001	36.42	12.19	0.84	0.85
$b_{bp}(\lambda), \lambda = 470 \text{ nm}$	0.057	40.22	10.79	0.81	0.43

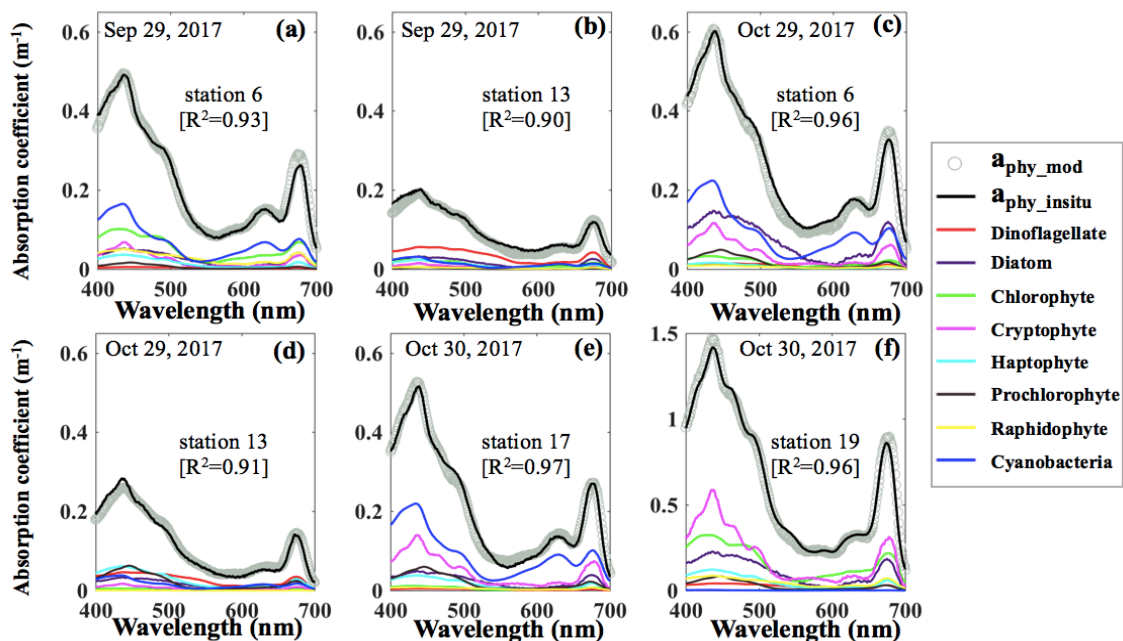
343
 344 The Chl a percentage (%Chl a), which is $Chl_i/TChl a$, were also compared with diagnostic pigment
 345 percentage (%DP), which is specific DP for each phytoplankton group over the sum of DP ($\sum DP$). The
 346 DP for diatom (fucoxanthin), dinoflagellate (peridinin), cryptophytes (alloxanthin), chlorophyte (Chl b),
 347 haptophyte (19'-hexanoyloxyfucoxanthin), and cyanobacteria (zeaxanthin) referred in (Moisan et al.,
 348 2017) were used in this study. The R² between %Chl a and %DP for different phytoplankton groups range
 349 from 0.15 to 0.81 (Fig. 4). The %Chl a of cryptophyte is between 5%-42% and well correlated with
 350 alloxanthin/ $\sum DP$ ($R^2 \sim 0.62-0.72$; Fig. 4d) for both surveys. In addition, the cryptophyte %Chl a at station
 351 19 and 23 on October 30, 2017 was highest ($\sim 40\%$) in coincidence with the highest value of alloxanthin/
 352 $\sum DP$ (Fig. 4d). Furthermore, relationship between chlorophyte %Chl a and Chl b/ $\sum DP$ ($R^2 \sim 0.55$; Fig. 4e)
 353 showed that chlorophyte during survey 1 contributed higher fraction to the whole phytoplankton
 354 community compared to survey 2. The %Chl a of cyanobacteria highly correlated with zeaxanthin/ $\sum DP$
 355 with R² larger than 0.7 (Fig. 4f) for both surveys. Low %Chl a of dinoflagellate in coincidence with low
 356 peridinin/ $\sum DP$ ($R^2 \sim 0.78$) were observed at stations along the transect, however, increased contribution
 357 of dinoflagellate appeared adjacent to the entrance during both surveys (Fig. 4g).



359 **Figure 4.** (a) Validation of TChl a_{mod} via HPLC-measured TChl a ; individual %Chl a of each detected
 360 taxa versus corresponding %DP shown with (d) cryptophyte, (e) chlorophyte, (f) cyanobacteria, (g)
 361 diatom, (h) dinoflagellate, and (l) haptophyte; red and green dots indicate the samples on September 29
 362 and October 29-30, 2017, respectively. Comparison between in-situ measurements and modeled results
 363 with (b) $a_{CDOM}(412)$ and (c) $b_{bp}(470)$.

364 3.1.3 Variations in Phytoplankton Community Structure

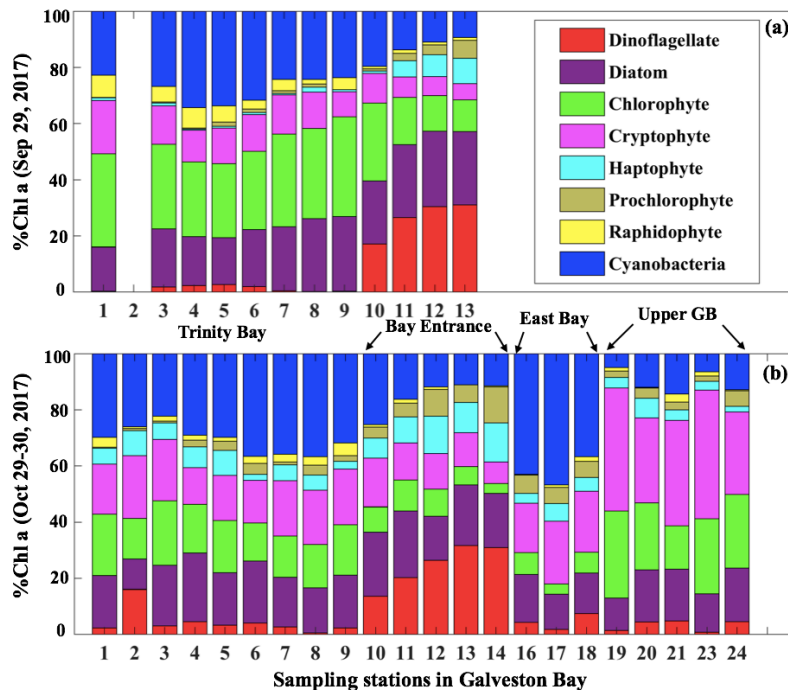
365 Reconstruction of the phytoplankton absorption coefficients spectra revealed variations in phytoplankton
 366 community structure (Fig. 5) even several weeks after Hurricane Harvey. The modeled a_{phy} spectra
 367 (a_{phy_mod}) at stations 6, 13, 17 and 19 (Fig. 5a-f) yielded spatiotemporal differences of phytoplankton
 368 taxonomic composition in GB. The strong absorption peak around 625 nm induced by cyanobacteria was
 369 observed at most of the stations for both modeled results and in-situ measurements (Fig. 5a, 5c and 5e)
 370 except at stations adjacent to the entrance (Fig. 5b and d). The a_{phy_mod} at station 6 was primarily
 371 dominated by group of cyanobacteria (blue line) and chlorophyte (green line) on September 29, 2017 (Fig.
 372 5a); in contrast, the spectrum of chlorophyte contributed very little at station 6 on October 29, 2017
 373 (green line; Fig. 5c). Furthermore, the shape of spectra for samples obtained at station 13 showed strong
 374 dinoflagellate-modulation versus extremely low cyanobacteria contribution during survey 1 (red line; Fig.
 375 5b). However, small-size group like haptophyte and prochlorophyte displayed increasing proportions at
 376 station 13 on October 29, 2017 (Fig. 5d). Station 17 in the East Bay was dominated by cyanobacteria
 377 (blue line; Fig. 5e) and cryptophyte (pink line; Fig. 5e) absorption spectra, whereas, on October 30, 2017,
 378 the main spectral features at station 19 in the upper GB was from cryptophyte (pink line) and chlorophyte
 379 (green line; Fig. 5f).



380 **Figure 5.** Reconstruction of phytoplankton absorption coefficients spectra at station 6 (a) and 13 (b) on
 381 September 29, 2017, at station 6 (c) and 13 (d) on October 29, 2017 and at 17(e), and 19 (f) on October
 382 30, 2017 based on the mass specific absorption spectra of different phytoplankton groups including
 383 diatom, chlorophyte, dinoflagellate, cryptophyte, cyanobacteria (blue), haptophyte, prochlorophyte and
 384 raphidophyte presented using different colors.
 385
 386

387 The corresponding taxa-specific %Chl a derived from IOPs inversion algorithm for the two surveys on
 388 September 29 and October 29-30, 2017 are shown in Figure 6a and 6b, respectively. Cyanobacteria (blue

389 bars) and chlorophyte (green bars) constituted over 55% of the phytoplankton communities during survey
 390 1 (September 29, 2017; Fig. 6a). In addition, chlorophyte, known to proliferate in freshwater
 391 environments, showed higher fraction than that observed in survey 2 (green color; Fig. 6). Further,
 392 chlorophyte together with diatoms (purple color; Fig. 6a) accounted for ~ 60% of TChl a_{mod} at many
 393 stations (e.g., station 7, 8 and 9) with a well-mixed water column (inferred from salinity profiles; not
 394 shown) on September 29, 2017. Cryptophyte, haptophyte and raphidophyte became a minor component
 395 of the community and accounted in total to ~25% of TChl a_{mod} (Fig. 6a). Furthermore, contribution by
 396 dinoflagellate group to TChl a_{mod} was low inside the bay, but showed increasing %Chl a (~30%) in
 397 higher salinity waters adjacent to the nGOM (red color; Fig. 6a). Cyanobacteria (blue color; Fig. 7)
 398 exhibited a slightly elevated percentage during survey 2 (~60 days after hurricane passage, October 29-30,
 399 2017) and were quite abundant at station 16, 17 and 18 in East Bay where the water was calm and
 400 stratified as observed from salinity profiles. In addition, cyanobacteria were not prevailing adjacent to the
 401 nGOM (Station 12, 13 and 14) and close to San Jacinto (station 19, 20, 21, 23 and 24), where cryptophyte
 402 (pink color) and chlorophyte (green color) showed dominance (Fig. 6b). The %Chl a of chlorophyte
 403 obtained at stations along the Trinity River transect decreased by ~10% on October 29-30, 2017
 404 compared to that on September 29, 2017. Small size groups like haptophyte and prochlorophyte increased
 405 on October 29-30, 2017 and were more abundant adjacent to the nGOM, accounting for more than 25%
 406 of the TChl a_{mod}.



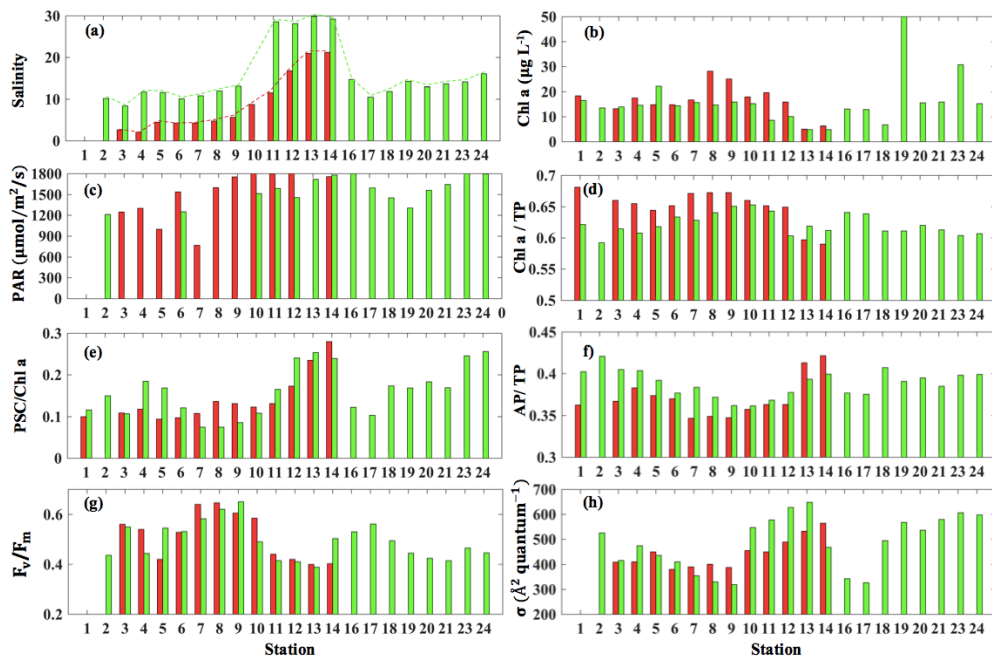
407
 408 **Figure 6.** Phytoplankton taxonomic compositions detected from IOP inversion algorithm on September
 409 29 and October 29-30, 2017 in Galveston Bay; phytoplankton groups are represented in different colors as
 410 shown in the legend.

411 3.1.4 Environmental Conditions and Physiological State of Phytoplankton Community

412 The surface salinity presented a pronounced seaward increasing gradient along the transect (station 3-14)
 413 during both the surveys (Fig. 7a) with primarily lower salinity throughout the bay during survey 1 in
 414 comparison to survey 2, which indicated the freshening impact was still ongoing even 4 weeks after
 415 Hurricane Harvey. The salinity was ~15 at station 16 and decreasing when going further into East Bay
 416 (~10 at station 17 and 18; Fig. 7a). In upper GB, salinity at station 19-24 did not vary significantly (~15),
 417 increasing along with the distance away from the San Jacinto River mouth with highest value (~17.5) at

418 station 24. During both surveys, lowest Chl a (Fig. 7b) were observed adjacent to the nGOM, and the
 419 highest Chl a were closest to the river mouth. The photosynthetically Active Radiation (PAR) which were
 420 calculated from down-welling irradiance (not shown here) decreased significantly with depth, but surface
 421 PAR (Fig. 7c) were similar in magnitude at all stations. Pigment ratios including TChl a/TP (0.58-0.68),
 422 PSC/Chl a (0.07-0.26) and AP/TP (0.34-0.42) were obtained from HPLC measurements and shown in
 423 Figure 7d, 7e and 7f, respectively.

424 The CDOM calibrated and 0-0.5m depth averaged photosynthetic parameters F_v/F_m varied from 0.41 to
 425 0.64 (Fig. 7g), while σ_{PSII} was in the range of 329-668 $\text{\AA}^2\text{quantum}^{-1}$ (Fig. 7h). The highest σ_{PSII} and
 426 lowest F_v/F_m appeared adjacent to the nGOM (station 12-14). Conversely, values of F_v/F_m at stations 7-9
 427 with a well-mixed water column were high with low values of σ_{PSII} . Both F_v/F_m and σ_{PSII} did not
 428 directly correlate with Chl a, (e.g., high Chl a $\sim 51 \mu\text{g L}^{-1}$ at station 19 corresponded to a relatively low
 429 level of $F_v/F_m \sim 0.45$, versus high $\sigma_{PSII} \sim 550 \text{\AA}^2\text{quantum}^{-1}$). However, the stations with high F_v/F_m
 430 coincided with the high fraction of Chl a (Chl a/TP) and low fraction of AP (AP/TP) (Fig. 7d and 7f). In
 431 contrast, σ_{PSII} showed an overall positive relationship with AP/TP, but altered negatively with Chl a/TP
 432 during both surveys. The lowest (highest) value of σ_{PSII} (F_v/F_m) were observed at station 9 corresponding
 433 to the highest Chl a/TP value (~ 0.64) on October 29, 2017. The highest AP/TP and PSC/Chl a were
 434 obtained from stations adjacent to the nGOM.

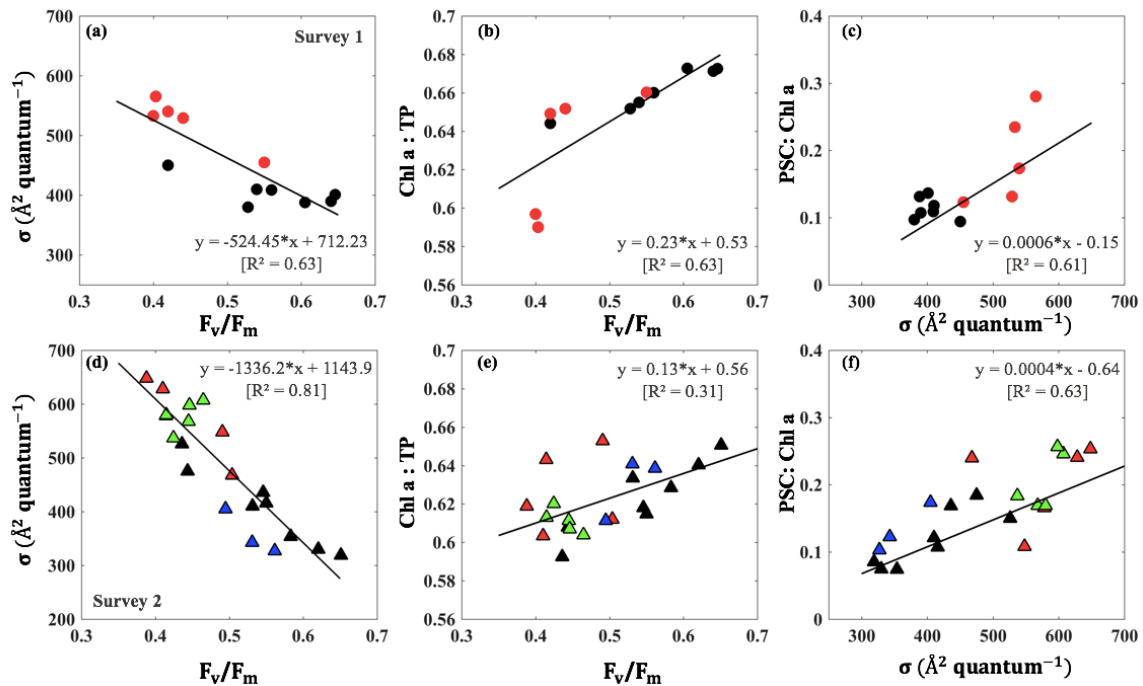


435
 436 **Figure 7.** Environmental conditions (salinity, light field), pigment composition and physiological state in
 437 GB surface waters (red bars indicating samples from September 29, 2017 and blue bars representing
 438 samples from October 29 and 30, 2017). (a) Salinity, (b) Chl-a concentration, (c) PAR, (d) Chl a/TP, (e)
 439 PSC/Chl a, (f) AP/TP, (g) F_v/F_m , and (h) σ_{PSII} .

440 3.1.5 F_v/F_m and σ_{PSII} Taxonomic Signatures

441 Distinct pigments housed within phytoplankton light-harvesting antennae can strongly influence PSII
 442 light-harvesting capability and the photosynthetic quantum efficiency of phytoplankton (Lutz et al., 2001).
 443 In this study, we observed an inverse relationship ($R^2 \sim 0.63-0.81$; Fig. 8a and d) between the F_v/F_m and

444 σ_{PSII} , that appeared related to taxonomic signals during surveys 1 and 2 in GB. Stations 1-9 along the
 445 transect were considered as well-mixed group with no dominance by any particular group (black circles;
 446 Fig. 8a-c); stations 10-14 close to the entrance were however, strongly dominated by dinoflagellate and
 447 haptophyte (red symbol; Fig. 8a-c) during survey 1. This well-mixed group displayed low values of σ_{PSII}
 448 ($\sim 390\text{-}439 \text{ \AA}^2\text{quantum}^{-1}$), and high levels of F_v/F_m ($\sim 0.42\text{-}0.65$) with F_v/F_m approaching 0.65 at station
 449 9 on September 29, 2017 (Fig. 8a). However, enhanced contributions of dinoflagellate and haptophyte
 450 around the entrance corresponded to a decline of F_v/F_m ($0.3\text{-}0.4$) against an increase of σ_{PSII} ($500\text{-}600$
 451 $\text{ \AA}^2\text{quantum}^{-1}$) during survey 1. Furthermore, samples obtained from survey 2 at station 1-9, station 10-
 452 14, station 16-18 and station 19-24 were considered as well-mixed (black), dinoflagellate-haptophyte
 453 dominated (red), cyanobacteria dominated (blue) and cryptophyte-chlorophyte dominated (green),
 454 respectively. Stations 16-17 dominated by cyanobacteria (blue triangles; Fig. 8d) showed high level of
 455 F_v/F_m ($0.5\text{-}0.6$) and relatively low values of σ_{PSII} ($300\text{-}400 \text{ \AA}^2\text{quantum}^{-1}$). The F_v/F_m and σ_{PSII} of
 456 cryptophyte-chlorophyte dominated stations showed a moderate level of F_v/F_m ($0.4\text{-}0.5$) and σ_{PSII}
 457 ($580\text{-}680 \text{ \AA}^2\text{quantum}^{-1}$). More importantly, tight positive relationships existed between measurements
 458 of F_v/F_m and Chl a/TP ($R^2\sim 0.31\text{-}0.63$; Fig. 8b and e). On the other hand, σ_{PSII} were positively correlated
 459 with PSC/Chl a with $R^2\sim 0.6$ (Fig. 8c and f). The PSC/Chl a of cyanobacteria dominated group (blue
 460 symbols), and well mixed group (brown symbols) were relatively low. Highest PSC/Chl a and lowest Chl
 461 a/TP was observed for the dinoflagellate-haptophyte dominated group, corresponding to the lowest σ_{PSII}
 462 and highest F_v/F_m . In addition, cryptophyte-chlorophyte dominated group had high levels of PSC/TChl a
 463 ($\sim 0.18\text{-}0.26$) and slightly higher Chl a/TP compared to dinoflagellate-haptophyte dominated group.
 464 Overall, well-mixed groups with high proportion of large-size phytoplankton (e.g., diatoms and
 465 chlorophyte) showed higher Chl a/TP along with larger F_v/F_m and smaller σ_{PSII} than those stations with
 466 high fraction of dinoflagellate and pico-populations (Fig. 8c and f).

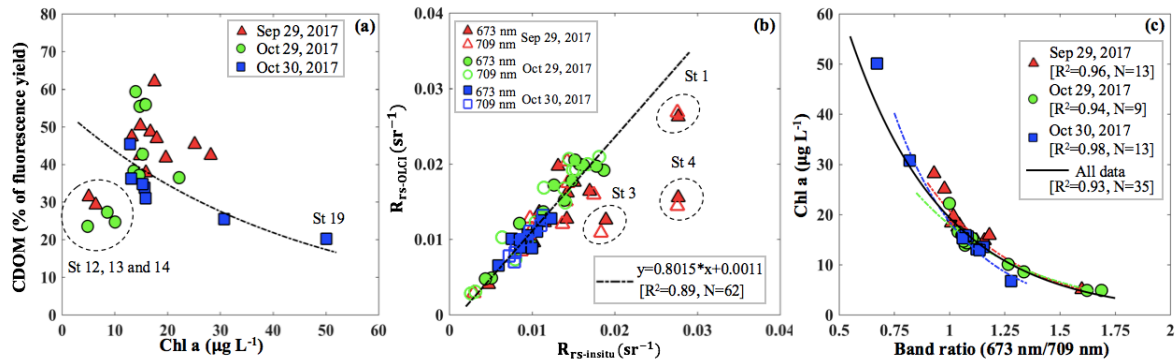


467
 468 **Figure 8.** a, d) σ_{PSII} against F_v/F_m ; b, e) F_v/F_m versus Chl a/TP; and c, f) σ_{PSII} versus PSC/Chl a on
 469 September 29 and October 29-30, 2017 respectively. The data points identified by dominant taxa with
 470 black, red, green and blue symbols denoting well-mixed, dinoflagellate-haptophyte dominated,
 471 cryptophyte-chlorophyte dominated, and cyanobacteria dominated groups, respectively.

472 3.2 Satellite Observations of Phytoplankton Pigments

473 **3.2.1 An OLCI Chl a Algorithm and its Validation**

474 Blue to green band ratio algorithms have been widely used to study Chl a in the open ocean and shelf
 475 waters (D'Sa et al., 2006; Blondeau-Patissier et al., 2014); however, these bands generally fail in
 476 estuarine waters due to strong blue absorption by the high levels of CDOM and suspended particulate
 477 matter, especially after flooding events associated with hurricanes (D'Sa et al., 2011; D'Sa et al., 2018;
 478 Joshi and D'Sa, 2018). The percentage contribution by CDOM fluorescence (blank) to maximum
 479 fluorescence yield (F_m) obtained from in-situ FIRE (Fig. 9a) demonstrated that Chl a fluorescence was
 480 strongly influenced by high amounts of CDOM fluorescence in GB, especially during the first survey
 481 (September 29, 2017), when the bay was under strong floodwater influence (red triangles; Fig. 9a). The
 482 CDOM fluorescence signal constituted $\sim 25\%$ in the region adjacent to the nGOM (stations 12-14),
 483 between 25%-50% in the upper GB, and up to $\sim 65\%$ in Trinity Bay, which implied that blue and even
 484 green band are highly contaminated by CDOM and might not be the most suitable bands for estimating
 485 Chl a in GB. However, an increase in peak height near 700 nm and its shift towards longer wavelength
 486 (Fig. 3) can be used as a proxy to estimate Chl a concentration (Gitelson, 1992).



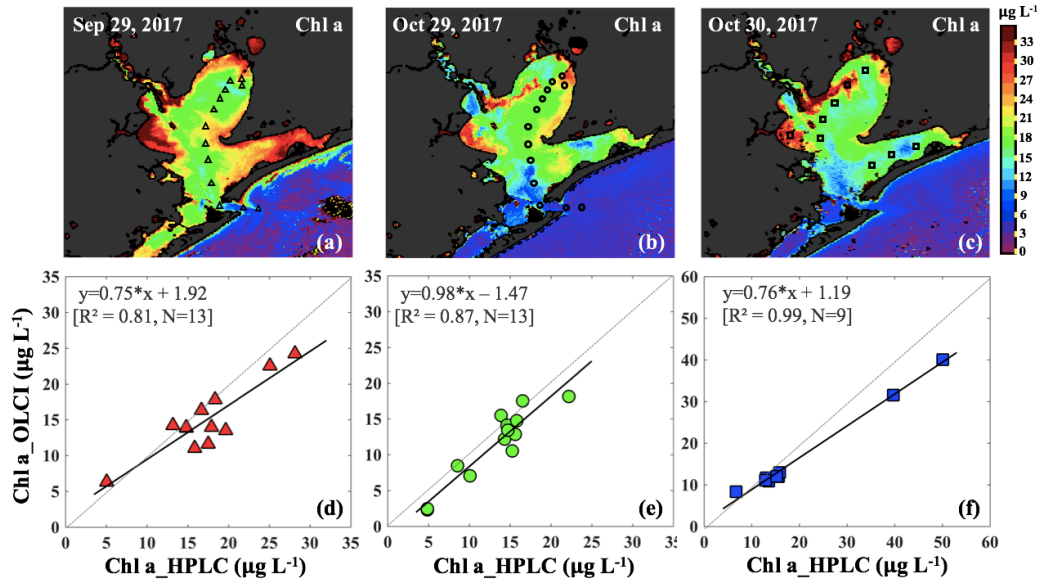
487
 488 **Figure 9. (a)** Relationship between the percentage of the fluorescence yield of CDOM measured by FIRE
 489 against HPLC measured Chl a concentration. **(b)** Comparisons between R_{rs_insitu} and R_{rs_OLCI} at band 9 (673
 490 nm) and band 11 (709 nm). **(c)** Exponential relationships between HPLC-measured Chl a concentrations
 491 and R_{rs_insitu} band ratio (673 nm/709 nm) in GB on September 29 ($R^2=0.89$), October 29 ($R^2=0.93$) and
 492 October 30 ($R^2=0.97$). Red, green and blue lines and symbols indicate data sets obtained on September 29,
 493 October 29 and 30, 2017 respectively.

494 The C2RCC atmospheric-corrected R_{rs_OLCI} at each of the sampling sites were further averaged (3×3
 495 pixels) and compared with R_{rs_insitu} (Fig. 3) at phytoplankton red absorption (~ 673 nm) and Chl a
 496 fluorescence (~ 700 nm) bands (Fig. 9b). The C2RCC performed overall better for the second survey on
 497 October 29-30, 2017 (green and blue symbols; Fig. 9b) than the first survey on September 29, 2017 (red
 498 triangles; Fig. 9b) when stations 1, 3 and 4 (circled triangles; Fig. 3c) adjacent to the Trinity River mouth
 499 were included; these stations were the last sampling sites in the afternoon ($\sim 4:30$ pm) and under
 500 somewhat cloudy conditions. The time difference between satellite pass and in-situ measurements, sky
 501 conditions and shallow water depth also likely introduced more errors at these locations. The R^2 between
 502 R_{rs_OLCI} and R_{rs_insitu} at red and near infrared (NIR) bands was 0.89 when the data from station 3 and 4 were
 503 excluded, suggesting good usability of these two bands for Chl a empirical algorithms in GB. Thus, the
 504 higher the Chl a concentration, the stronger the red light absorption, resulting in higher reflectance at 709
 505 nm; consequently, negative correlations were observed between Red/NIR band ratio and Chl a. The ratio
 506 of Red (~ 673 nm) and NIR (709 nm) reflectance bands from in-situ measurements were overall highly
 507 correlated with HPLC-measured Chl a with $R^2 \sim 0.96, 0.94$ and 0.98 on September 29, October 29 and

508 October 30, 2017, respectively (Fig. 9c). The Sentinel-3A OLCI Chl a maps (Fig. 10a-c) were generated
 509 for all data based on the relationship between Chl a and the Red and NIR band ratio as:

510
$$\text{Chl a } (\mu\text{g L}^{-1}) = 216.38 \times \exp\left(-2.399 \times \frac{R_{rs}(673)}{R_{rs}(709)}\right) \quad [\text{All data}] \quad \dots\dots (15)$$

511 The OLCI-derived Chl a (Fig. 10a-c) showed a good spatial agreement with Chl a_{HPLC} (Fig. 10d-f). In
 512 addition, a comparison of this algorithm with that of Gilerson et al., 2010 revealed slightly better
 513 performance (not shown) inside of GB and especially in the area adjacent to the shelf.



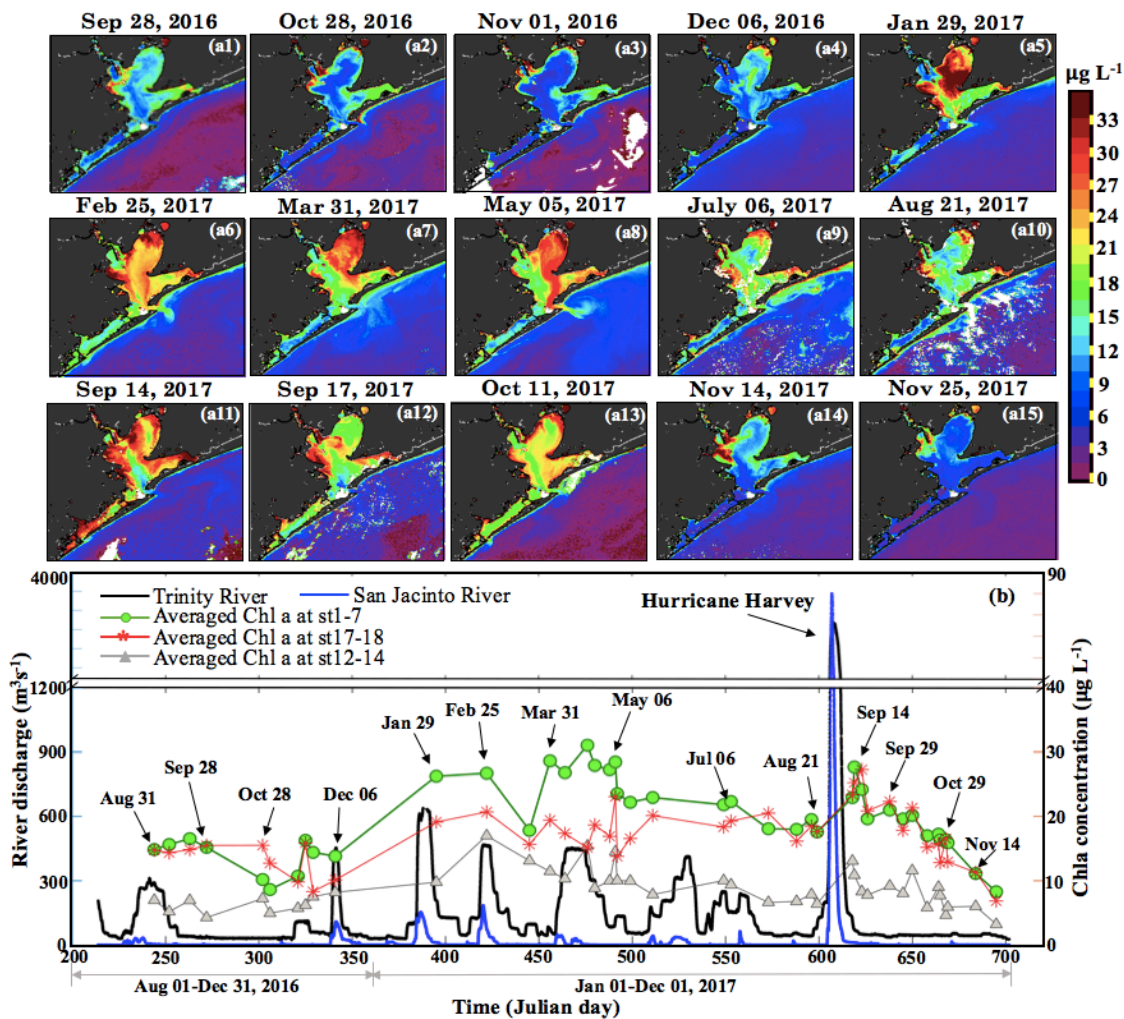
514
 515 **Figure 10.** Chl a concentration generated based on in-situ band ratio (R_{rs673}/R_{rs709}) algorithm with (a),
 516 (b) and (c) representing Chl a distribution on September 29, October 29 and October 30, 2017,
 517 respectively; (c), (d) and (f) show the validation between HPLC-measured Chl a and OLCI-derived Chl a
 518 on September 29, October 29 and October 30, 2017, respectively.

519 The Chl a concentration on September 29, 2017 was overall higher than that on October 29-30, 2017
 520 through the entire bay. East Bay displayed very high Chl a concentration, with highest value ($>30 \mu\text{g L}^{-1}$)
 521 observed on September 29, 2017 (Fig. 10a). The narrow shape and shallow topography of East Bay
 522 results in relatively higher water residence time (Rayson et al. 2016); thus, the reduced exchange with
 523 shelf waters likely lends the East Bay vulnerable to eutrophication. The average Chl a concentration on
 524 October 29-30, 2017 were $\sim 15 \mu\text{g L}^{-1}$ along the transect (station 1-11) and $\sim 4\text{-}6 \mu\text{g/L}$ (station 12-14)
 525 close the entrance of GB. In addition, Chl a adjacent to San Jacinto River mouth ($>16 \mu\text{g L}^{-1}$) was higher
 526 than that in Trinity Bay, which might suggest that San Jacinto inflow had higher nutrient concentrations
 527 than Trinity as also previously reported (Quigg et al., 2010). Furthermore, the OLCI-Chl a maps on
 528 October 29 and 30, 2017 showed extremely high Chl a concentration in a narrow area adjacent to the San
 529 Jacinto River mouth, with Chl a approaching $\sim 40 \mu\text{g L}^{-1}$ at station 19 (Fig. 10c).

530 **3.2.2 Long-term Chl a Observations in Comparison with Hurricane Harvey Event**

531 OLCI-derived Chl a maps between August, 2016-November, 2017 (Fig. 11a₁-a₁₅) and time series of
 532 averaged Chl a values in the areas of Trinity Bay, East Bay and adjacent to the nGOM (Fig 11b) revealed
 533 regionally different responses of Chl a to freshwater discharge from the San Jacinto and the Trinity Rivers
 534 (Fig. 11b). Due to the relatively much higher discharge from the Trinity River, the spatial distribution of
 535 Chl a in the bay (Fig. 11) generally indicates its greater influence than the San Jacinto River. During the

536 winter, and spring in 2017, phytoplankton Chl a peaks $\sim 32 \mu\text{g L}^{-1}$ in Trinity Bay (Fig. 11b) were observed
 537 after high inflows from both rivers (Fig. 11a₅-a₈). Chla then slightly decreased to $\sim 20 \mu\text{g L}^{-1}$ in summer
 538 (July and August, 2017). Generally, Chl a showed overall lower value ($\sim 10 \mu\text{g L}^{-1}$) between September-
 539 December, 2016 compared to 2017 in the absence of meteorological and hydrological events (Fig. 11a₁-
 540 a₄). However, with the East Bay less directly affected by river discharge, Chl a levels remained fairly
 541 constant in the range of ~ 18 - $24 \mu\text{g L}^{-1}$ before hurricane. In contrast, extremely high river discharge (~ 3300
 542 m^3s^{-1}) induced by Hurricane Harvey in late August, 2017, elevated Chla in both Trinity and East Bay to
 543 higher levels as observed on September 14, 2017 (~ 30 - $35 \mu\text{g L}^{-1}$; Fig. 11a₁₁) compared to mean state of
 544 fall season in 2016. Chl a then continuously decreased through September to October, 2017 in Trinity and
 545 East Bay and were relatively low ($\leq 10 \mu\text{g L}^{-1}$) in November, 2017 under no additional pulses of river
 546 discharge. Concentration of Chla adjacent to the entrance of GB exhibiting much lower values year round
 547 than that of the Trinity and East Bay, and also showed slight positive responses to the enhanced river
 548 discharge and the hurricane-induced flooding events. In addition, Chl a concentrations always displayed
 549 low values along the Houston Ship Channel.



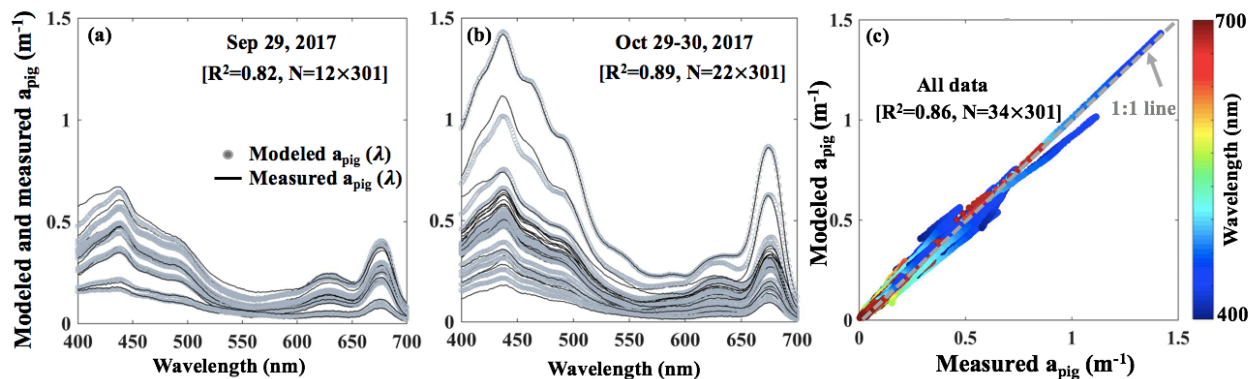
550

551 **Figure 11.** (a₁₋₁₅) OLCI-derived Chl a shown for the period of August 31, 2016-November 25, 2017. (b)
 552 Trinity River discharge at Romayor, Texas (USGS 08066500, black line) and the west flank of the San
 553 Jacinto River (USGS 08067650; blue line); the green, red and gray lines/symbols represent the mean of
 554 Chl a at stations 1-7 in Trinity Bay, at stations 17-18 in East Bay and at stations 12-14 close to the

555 entrance of GB corresponding to 43 cloud free Sentinel 3A-OLCI images (colored symbols; dated
556 symbols correspond to images a₁₋₁₅).

557 3.2.3 Reconstruction of Total Pigment Absorption Spectra from OLCI-derived Chl a

558 The reconstructed $a_{\text{pig}}(\lambda)$ based on the third order function of Chl a_{HPLC} (gray lines; Fig. 12a and b)
559 agreed well with the spectrophotometrically measured $a_{\text{pig}}(\lambda)$ (black lines; Fig. 12a and b) during both
560 surveys ($R^2=0.86$; Fig. 12c). The R^2 for modeled versus measured $a_{\text{pig}}(\lambda)$ are between 0.76 and 1.00 from
561 400 to 700 nm with averaged R^2 of whole spectra reaching ~ 0.82 on September 29, 2017 and ~ 0.89 on
562 October 29-30, 2017, respectively. The vector coefficients $C = [C_3, C_2, C_1, C_0]$ obtained from Eq. (11)
563 were further applied to Eq. (12) to generate $a_{\text{pig_OLCI}}(\lambda)$ based on OLCI-derived Chl a images on July 06
564 (Fig. 11a₉), September 29 (Fig. 10a), October 29-30 (Fig. 10b-c), and Nov 25 (Fig. 11a₁₅), 2017,
565 respectively; these contained 259×224 pixels in each image. The $a_{\text{pig_OLCI}}(\lambda)$ at each pixel was retrieved
566 at 1 nm interval, and thus 301 images of $a_{\text{pig_OLCI}}(\lambda)$ representing each wavelength were obtained over GB.



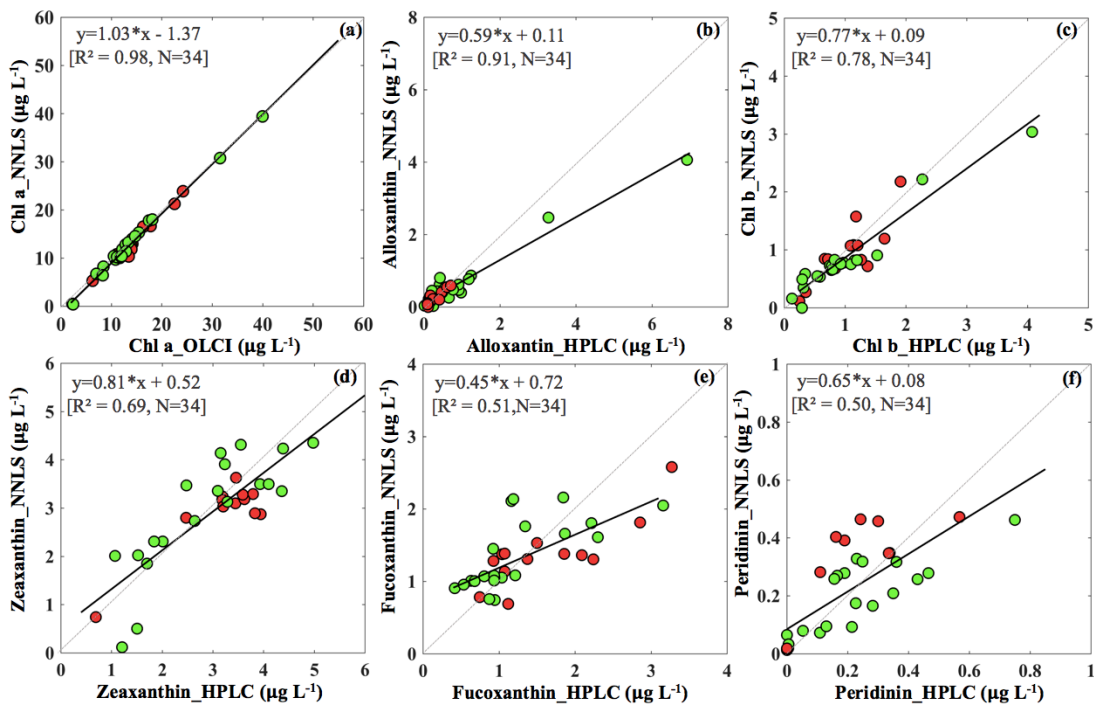
567
568 **Figure 12.** Spectrophotometrically measured and multi-regression fitted $a_{\text{pig}}(\lambda)$ spectra acquired on (a)
569 September 29 and (b) October 29-30, 2017 in GB. Gray and black lines represent modeled and measured
570 results, respectively. (c) Comparison between modeled and spectrophotometrically measured $a_{\text{pig}}(\lambda)$ for
571 all data with color representing wavelength.

572 3.2.4 Accuracy of phytoplankton pigment retrievals from Sentinel 3A-OLCI

573 The reconstructed $a_{\text{pig_OLCI}}(\lambda)$ was spectrally decomposed into 16 individual pigment spectra at each pixel
574 based on Eq. (14). A comparison of HPLC-measured pigments to averaged NNLS inversion model
575 retrieved pigments showed that R^2 ranged from a low of 0.39 for violaxanthin to 0.98 for Chl a and mean
576 error was in the range of 11.36%-60.98% (Table 4). The NNLS-modeled Chl a also correlated well with
577 OLCI-derived Chl a ($R^2=0.98$; Fig. 13a), with each exhibiting similar quantitative and spatial patterns.
578 For the other 15 simultaneously simulated pigments, only 7 pigments averaged R^2 value greater than 0.65
579 (Table 4). Five NNLS-derived versus HPLC measured diagnostic pigments including alloxanthin, Chl b,
580 zeaxanthin, fucoxanthin and peridinin are shown in Figure 13. The R^2 between NNLS-derived and HPLC-
581 measured pigments for surveys 1 and 2 was highest for alloxanthin (0.91; Fig. 13b). For the other
582 pigments R^2 was 0.78 for Chl b (Fig. 13c), 0.69 for zeaxanthin (Fig. 13d), 0.51 for fucoxanthin (Fig. 13e)
583 and 0.50 for peridinin (Fig. 13f), respectively.

584 **Table 4.** Correlation between HPLC-measured pigment concentration with NNLS-modeled pigments.
585

Pigments	Sep 29, 2017 (R ²)	Oct 29, 2017 (R ²)	Oct 30, 2017 (R ²)	Averaged (R ²)	Mean error (%)
Chl a	0.95	0.97	0.98	0.97	11.36
Chl b	0.76	0.77	0.95	0.82	24.58
Chl c ₁	0.56	0.42	0.79	0.59	34.23
Chl c ₂	0.49	0.45	0.74	0.56	31.13
Pheophythin a	0.76	0.79	0.72	0.75	17.77
Pheophythin b	0.75	0.88	0.76	0.79	15.65
Peridinin	0.65	0.48	0.51	0.54	42.26
Fucoxanthin	0.65	0.45	0.85	0.60	30.51
Neoxanthin	0.55	0.63	0.79	0.65	31.13
Lutein	0.61	0.78	0.72	0.70	32.54
Violaxanthin	0.43	0.34	0.39	0.39	60.98
Alloxanthin	0.81	0.40	0.91	0.72	32.90
Diadinoxanthin	0.69	0.40	0.89	0.66	48.12
Diatoxanthin	0.49	0.43	0.49	0.47	54.23
Zeaxanthin	0.76	0.65	0.78	0.73	19.03
β-carotenoid	0.41	0.42	0.82	0.55	44.02



586

587 **Figure 13.** Sentinel-3A OLCI derived pigment concentration against HPLC measured pigment
588 concentration in Galveston Bay; **a)** Chl a, **b)** alloxanthin, **c)** Chl-b, **d)** zeaxanthin, **e)** fucoxanthin, and **f)**
589 peridinin.

590 3.3.1 Spatiotemporal Variations of Diagnostic Pigments

591 Flooding due to Hurricane Harvey not only enhanced Chl a, but also affect the phytoplankton pigments
592 composition. NNLS-retrieved pigment maps in July, September, October, and November, 2017 including
593 those of alloxanthin, chl b, zeaxanthin, fucoxanthin and peridinin (Fig. 14) showed different levels of
594 variations before and after the hurricane event. Alloxanthin, which is unique to cryptophytes (Wright and
595 Jeffrey, 2006) exhibited same spatial distribution patterns (Fig. 14a₁-e₁) with Chl a. Alloxanthin was

596 especially low ($\sim 0.5 \mu\text{g L}^{-1}$, Fig. 14a₁) in the major basin area on July 06, 2017 before the hurricane and
597 slightly elevated ($\sim 0.7 \mu\text{g L}^{-1}$, Fig. 14b₁) in September and October, 2017 after the hurricane passage.
598 Furthermore, extremely high alloxanthin ($\sim 3.5 \mu\text{g L}^{-1}$, Fig. 14c₁-d₁) was observed adjacent to San Jacinto
599 River mouth on October 29-30, 2017, which coincided with the high %Chl a of cryptophyte at stations 19
600 and 23 (Fig. 6b). The bloom with high concentration of alloxanthin on October 29, 2017 ($\sim 3.5 \mu\text{g L}^{-1}$; Fig.
601 14c₁) then extended to a broader area on October 30, 2017 (Fig. 14d₁).

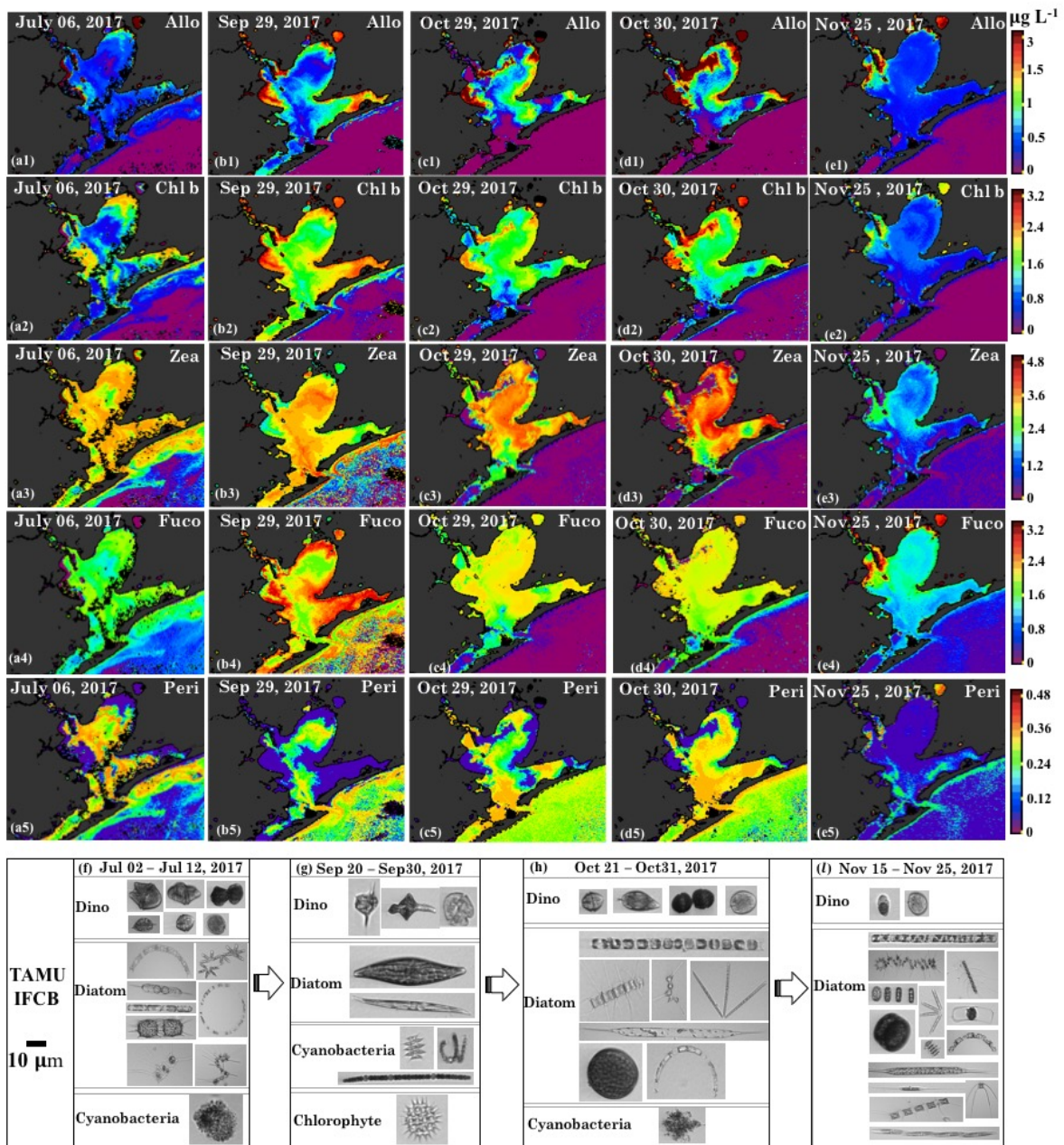
603 Chl b is abundant in the group of chlorophyte (green algae) (Hirata et al., 2011) and the spatial
604 distributions of Chl b (Fig. 14a₂-e₂) also showed strong correlations with Chl a on July 06, 2017,
605 September 29, October 29-30 and November 25, 2017. The NNLS-derived Chl b exhibited overall low
606 values ($\sim 0.5\text{-}2 \mu\text{g L}^{-1}$; Fig. 14a₂) before the hurricane and showed obvious elevation throughout bay after
607 the hurricane and eventually decreasing to pre-hurricane level by November 25, 2017. Furthermore, Chl b
608 concentrations observed on September 29, 2017 were higher than that on October 29-30, 2017, which
609 corresponded to a decline of chlorophyte percentage derived from the IOP inversion algorithm (Fig. 6).
610 More importantly, images obtained from IFCB at the entrance to GB also detected freshwater species
611 Chlorophyte (*Pediastrum duplex*; Fig. 14g) on September 29, 2017. However, this species was rarely
612 observed in IFCB images for the other dates (Fig. 14a₁ and Fig. 14c₁-e₂). In addition, Chl b concentrations
613 approached $\sim 2.8 \mu\text{g L}^{-1}$ in the bloom area and the corresponding green discoloration of water was also
614 observed during the field survey on October 30, 2017.

615 Zeaxanthin is known as taxa-specific pigment for prokaryotes (cyanobacteria) (Moisan et al., 2017;
616 Dorado et al., 2015) and NNLS-derived zeaxanthin maps (Fig. 14a₃-e₃) displayed significantly different
617 patterns with Chl a, exhibiting low concentrations in the areas where the Chl a were high. For example,
618 zeaxanthin was especially low in the bloom area on October 29-30, 2017, which agreed well with
619 low %Chl a of cyanobacteria at stations 19 and 23 (Fig. 6), thus indicating that this localized algal bloom
620 event was not associated with cyanobacteria. In addition, zeaxanthin was high $\sim 3.0 \mu\text{g L}^{-1}$ (Fig. 14a₃) in
621 both GB and shelf waters on July 06, 2017 before the hurricane event. Later, zeaxanthin increased a
622 slightly on September 29, 2017 (Fig. 14b₃) with IFCB data detecting N₂-fixing cyanobacteria (*Anabaena*
623 spp.; Fig. 14g) and remained elevated on October 29-30, 2017 (Fig. 14b₃-c₃). Zeaxanthin eventually
624 decreased to very low values ($\sim 1.2 \mu\text{g L}^{-1}$; Fig. 14e₃) on November 25, 2017.

625 Fucoxanthin is a major carotenoid found in diatoms (Hirata et al., 2011; Moisan et al. 2017) and the
626 NNLS-derived fucoxanthin maps (Fig. 14a₄-e₄) showed highly similar distribution patterns with Chl a.
627 Maps of fucoxanthin showed low concentrations on July 06, 2017 ($\sim 1.5 \mu\text{g L}^{-1}$; Fig. 11a₄), and displayed
628 a large increase on September 29, 2017 ($\sim 1.6\text{-}3.0 \mu\text{g L}^{-1}$; Fig. 11b₄). Diatom group detected from IFCB
629 were dominated by marine species before the hurricane, but subsequently shifted to freshwater species
630 (e.g., *Pleurosigma*; Fig. 14g) and then back to marine species after October, 2017. Overall, fucoxanthin
631 concentrations in GB were relatively higher during survey 1, which corresponded to the higher %Chl a of
632 diatom (Fig. 6) compared to survey 2. Fucoxanthin continuously decreased to low values on November
633 25, 2017 ($\sim 1.6 \mu\text{g L}^{-1}$; Fig. 11e₄), but accounted for higher fraction of phytoplankton diagnostic pigments
634 compared to other dates in July, September and October, 2017.

635
636 Peridinin, a primary bio-marker pigment for certain dinoflagellates (Örnólfsson et al., 2003), also
637 displayed significantly distinct patterns in comparison to Chl a (Fig. 14a₅-e₅). On July 06, 2017, peridinin
638 was $\sim 0.24\text{-}0.36 \mu\text{g L}^{-1}$, accounting for high proportion of the diagnostic pigments; meanwhile, diversity of
639 marine dinoflagellate species observed from IFCB at this time was as also high (Fig. 14f). However,
640 peridinin decreased ($\sim 0.001\text{-}0.05 \mu\text{g L}^{-1}$) after the hurricane, with freshwater dinoflagellate species
641 (*Ceratium hirundinella*; Fig. 14g) detected from IFCB on September 29, 2017. In addition, maps of
642 peridinin during both surveys (Fig. 14b₅-d₅) presented higher concentration ($\sim 0.3 \mu\text{g L}^{-1}$) in higher salinity
643 waters adjacent to the bay entrance, which agreed well with the increasing fraction of dinoflagellate at

644 station 10-14 detected from IOP inversion model (Fig. 6). In contrast, peridinin showed low
 645 concentrations in both GB and shelf waters (Fig. 14e₅), with dinoflagellate species rarely observed from
 646 IFCB on November 25, 2017 (Fig. 14l).



647 **Figure 14.** Sentinel-3 OLCI derived maps of diagnostic pigments for Galveston Bay. Simulated **a1-e1)**
 648 alloxanthin, **a2-e2)** Chl b, **a3-e3)** zeaxanthin, **a4-e4)** fucoxanthin, and **a5-e5)** peridinin concentrations. a, b, c, d and e represent columns (maps for **July 06**, September 29, October 29-30 and **November 25, 2017**)
 650 and 1-5 represent rows (pigments), respectively; **(f)**, **(g)**, **(h)** and **(l)** are the corresponding IFCB data for **July 06**, September 29, October 29-30 and November 25, 2017, respectively; note that IFCB pictures of
 651 fresh water species including chlorophyte and cyanobacteria that appeared on September 20-30, 2017
 652 have been zoomed in for better clarity.
 654

655 4 Discussion

656 4.1 Performance of the Semi-Analytical IOP Inversion Algorithm

657 The residuals between R_{rs_insitu} and R_{rs_mod} on September 29 and October 29-30, 2017, are negative in the
658 blue (400-450 nm) and red (610-630 nm) spectral range at most stations, whilst keeping positive ~ 700 nm,
659 which could be attributed to a number of factors. First, the underestimation near 700 nm by the IOP
660 inversion model is possibly induced by the absence of a fluorescence component in the IOP inversion
661 model; thus, R_{rs_insitu} containing fluorescence signals were generally higher than R_{rs_mod} near 700 nm.
662 Second, in the range of 610-630 nm, the absorption was overestimated at most of the stations; in this
663 spectral range, the shape of spectra was strongly modulated by cyanobacteria absorption. Thus this
664 overestimation ~ 620 nm is likely introduced by the input absorption spectrum (eigenvector) for
665 cyanobacteria since all of input $a_{phi}^*(\lambda)$ are general absorption spectral shapes for different phytoplankton
666 groups. However, the spectra of $a_{phi}^*(\lambda)$ can vary in magnitude and shape associated with package effects
667 under different environmental conditions (e.g. nutrient, light and temperature) even for the same species
668 (Bricaud et al., 2004). More detailed absorption spectra of phytoplankton under different conditions (e.g.
669 high/low light and nutrient) could improve the performance of the IOP algorithm. Furthermore, the role of
670 scattering might be another key factor to explain differences between R_{rs_insitu} and R_{rs_mod} for the whole
671 spectra. The quantity and composition of suspended materials including phytoplankton, sediment and
672 minerals will collaboratively determine $b_{bp}(\lambda)$ in both shape and magnitude. However, the input
673 eigenvector of $b_{bp}(\lambda)$ in the present study was not divided into detailed sub-constituents and was a sum
674 spectrum based on a power law function (Table 2). In reality, $b_{bp}(\lambda)$ spectra are not smooth and regular,
675 and thus, the $b_{bp}(\lambda)$ value of phytoplankton and sediment might introduce errors to the whole spectrum
676 due to their own scattering characteristics.

677 4.2 Distributions of NNLS-Retrieved Phytoplankton Pigments from Sentinel-3A OLCI

678 The derived maps of phytoplankton diagnostic pigments appeared to be reasonably correlated with
679 HPLC-measured diagnostic pigments and showed overall agreement with extracted phytoplankton
680 taxonomic compositions detected from the IOP inversion algorithm. The retrieved diatom-specific
681 fucoxanthin maps however, showed high concentrations compared to other pigments adjacent to the
682 entrance (Fig. 13b₄ and c₄), which contradicted with diatom %Chl a calculated from IOP inversion
683 algorithm that Chl a fraction of diatom was relatively uniform at stations 12-14 (Fig. 6b). (Nair et al.,
684 2008) concluded that fucoxanthin can occur in other phytoplankton types (e.g. raphidophyte and
685 haptophyte). Fucoxanthin and/or fucoxanthin derivatives such as 19'-hexanoyloxyfucoxanthin can also
686 replace peridinin as the major carotenoid in some dinoflagellates (e.g., *Karenia brevis*; Jeffrey and Vest,
687 1997). The elevated contributions from groups of dinoflagellate, haptophyte and prochlorophyte adjacent
688 to the entrance (stations 10-14; Fig. 6b) along with high concentrations of fucoxanthin likely suggest the
689 presence of elevated fractions of haptophyte and dinoflagellate, and further implies that fucoxanthin is an
690 ambiguous marker pigment for diatoms. This could also explain the poor correlation between
691 inverted %Chl a and %DP observed for the groups of diatom and haptophyte (Fig. 4g and l). These results
692 also further suggest the inherent limitations of using DP-type comparison between major biomarker
693 pigments and phytoplankton groups because the major assumption for DP-type methods is that diagnostic
694 pigment of distinct phytoplankton groups are uncorrelated to each other. This assumption is invalid in that
695 concentrations of major biomarker pigments are significantly correlated with each other and also may
696 vary in time and space under some external environmental stress (e.g., temperature, salinity, mixing, light
697 and nutrient) (Latasa and Bidigare, 1998).

698 Chl a concentration is another crucial factor that influences the accuracy of retrieved pigments. The goal
699 of the empirical Chl a algorithm for Sentinel 3A-OLCI is to obtain more accurate estimation of surface

700 Chl a concentration, which is better for retrieving other accessory pigments. However, the primary
701 limitation of Chl a empirical algorithms in this study was that the derived relationships between Red/NIR
702 and Chl a in GB may only be valid within a specific time period due to temporally-limited field
703 observations versus highly dynamic estuarine environments. Therefore, a Chl a empirical algorithm that is
704 more broadly applicable over a longer time period will largely improve the accuracy of retrieved
705 pigments over a series of remote sensing images and can be more useful for spatiotemporal studies of
706 phytoplankton functional diversity. In addition, the similarity of many carotenoid absorption spectra
707 could as well introduce errors when applying spectral decomposition techniques. Thus, the 16 input
708 pigment spectra used in this study were selected from Thrane et al., 2015, which were correctly identified
709 from unknown phytoplankton community structure with low error rate reported from Monte Carlo tests to
710 minimize the potential effects of aliasing the spectra.

711 4.3 Response of Phytoplankton Taxa to Environmental Conditions

712 Previous studies showed diatoms to be the most abundant taxa in GB, and tend to be more dominant
713 during winter/spring, corresponding to periods of high fresh water discharge and nutrient-replete
714 conditions (Dorado et al., 2015; Örnólfsson et al., 2004a); transition from chain-forming diatoms such
715 as *Chaetoceros* and rod-like diatoms pre-flood to small cells, such as *Thalassiosira* and small pennate
716 diatoms were generally observed during high river discharge periods (Lee, 2017). In contrast,
717 cyanobacteria were the most abundant species during the warmer months (Jun-Aug) when river discharge
718 was relatively low (Örnólfsson et al., 2004b). Further, phytoplankton groups in GB responded
719 differentially both taxonomically and spatially to the freshening events due to their contrasting nutrient
720 requirements and specific growth characteristics. For instance, most phytoplankton taxa (e.g., diatom,
721 chlorophyte and cryptophyte) can be positively stimulated by fresh inflows due to their relatively rapid
722 growth rate (Paerl et al., 2003); however, Roelke et al. (2013) also documented that cyanobacteria and
723 haptophytes in the upper GB were not sensitive to nutrient-rich waters from both rivers, due to the extra
724 nutrients obtained from N₂-fixation abilities and mixotrophic characteristics, respectively. In the lower
725 part of GB, dinoflagellates and cyanobacteria are known to be more dominant during the low river
726 discharge due to their preference for higher phosphorus (P) compared to some other groups, and to low
727 turbulence (Lee, 2017) and thus, generally inversely related to the fresh inflows (Lee, 2017; Roelke et al.,
728 2013).

729 Perturbations following Hurricane Harvey affected the phytoplankton taxonomic composition with
730 alterations in phytoplankton community structure observed as the GB system transitioned from marine to
731 freshwater then to marine system (Figs. 6 and 14). Higher fraction of zeaxanthin and peridinin and the
732 presence of large and slow-growing marine dinoflagellates detected from IFCB pre-hurricane (July 06,
733 2017) indicate that both cyanobacteria and dinoflagellates were the main groups of phytoplankton
734 community during summer, and likely associated with warmer temperature and lower river flow (Lee,
735 2017). Later, massive Chl a observed in September, 2017 and the decline of Chl a to background state in
736 October, 2017, were likely associated with the hurricane-induced high river discharge and the resulting
737 variations in nutrient concentration and composition. Higher fractions of diatom and chlorophyte
738 accompanied with increasing fucoxanthin and Chl b on September 29, 2017, to some extent agreed well
739 with measurements of Steichen et al., 2018 two weeks following Hurricane Harvey that freshwater
740 species (diatom, green algae and cyanobacteria) appeared immediately following the flooding event.
741 Greater abundance of diatom and chlorophyte during survey 1 in comparison to survey 2 were likely due
742 to their rapid growth rates, enhanced nutrient uptake rates, and tolerance of low salinity and high
743 turbulence under high nutrient loading conditions following the freshwater inflows (Roy et al., 2013;
744 Santschi, 1995). Therefore, it is not surprising that Chl b concentrations showed very low values in July
745 and November, 2017, when river discharge was correspondingly low. Cyanobacteria, which normally
746 prefer low salinity conditions, also showed specific responses to this flood event. On September 29, 2017,

747 zeaxanthin slightly increased compared to summer season in July, 2017. The decline of diatoms and
748 chlorophyte versus slightly increased cyanobacteria observed on October 29-30, 2017, could be attributed
749 to the relatively slow growth rates of cyanobacteria compared to that of chlorophytes and diatom (Paerl et
750 al., 2003); cyanobacteria appeared to have lagged behind these groups in terms of responding to enhanced
751 freshwater discharge when longer residence times were again restored. In contrast, the presence of green
752 algae and cyanobacteria could as well as be explained by the clarity and turbidity gradient of water. Quigg
753 et al. (2010) reported that when turbidity was relatively high, chlorophyte dominated over cyanobacteria
754 with biomass ratio of chlorophyte/cyanobacteria greater than 2, which supported our observations that
755 chlorophyte dropped off whilst cyanobacteria increased during survey 2 on October 29-30, 2017. In
756 addition, highest cyanobacteria percentage in East Bay suggest that calm and stratified waters may
757 accelerate cyanobacteria growth as the buoyancy regulation mechanism of cyanobacteria is possibly
758 restricted by the water mixing (Roy et al., 2013). Peridinin, which initially decreased in September and
759 then increased in the lower GB on October 29-30, 2017, suggest that dinoflagellates showed overall
760 preference for high-salinity waters. Furthermore, previous IFCB observations from Biological and
761 Chemical Oceanography Data Management Office (BCO-DMO) showed that algal blooms after
762 hurricanes in the nGOM were initially dominated by diatoms, and subsequently transitioned to blooms of
763 dinoflagellates, likely associated with nutrient ratios and chemical forms of nutrient supplied by the flood
764 waters and rainfall (Heisler et al., 2008). In addition, high concentrations of peridinin observed along the
765 Houston Ship Channel, might provide evidence that the ballast water addition from shipping vessels
766 likely promote harmful species of dinoflagellates (Steichen et al., 2015). Finally, low concentrations of all
767 pigments on November 25, 2017 with relatively higher fraction of fucoxanthin compared to previous
768 dates (Fig. 14), indicate the major role of marine diatoms at that time and further confirms that diatoms
769 can be found under a wide range of inflows in GB.

770 The localized cryptophyte-chlorophyte bloom that occurred ~60d after Hurricane Harvey on October 29-
771 30, 2017, was captured by both satellite and in-situ measurements. This bloom might not be associated
772 with the flooding events of Hurricane Harvey, and could be linked to nutrient-rich runoff flowing into GB,
773 reflecting sensitivity and rapid response of phytoplankton community to nutrient input in GB. In shallow
774 and turbid estuaries, human activities are altering the environment and causing phytoplankton changes in
775 diversity and biomass to occur more frequently. Dugdale et al. (2012) reported that variations of
776 phytoplankton community in San Francisco estuary could be attributed to anthropogenically-elevated
777 concentration of ammonium, which restrain the uptake of nitrate, thus reducing the growth and
778 reproduction of larger diatoms and shifting towards smaller species (e.g., cryptophyte and green
779 flagellate). Furthermore, 'pink oyster' events related to alloxanthin of cryptophyte in GB occurred more
780 frequently from September through October in recent years (Paerl et al., 2003). The eastern side of
781 Houston Channel in mid bay region was reported as the area most heavily impacted by the intense 'pink
782 oyster' events. Previous studies and present observations both suggest that this cryptophyte-chlorophyte
783 dominated bloom could be promoted by the nutrient-driven eutrophication from Houston Ship Channel,
784 urbanization and industrialization along the upper San Jacinto River complex.

785 **4.4 Photo-Physiological State of Natural Phytoplankton Community**

786 In this study, the CDOM-corrected F_V/F_M and σ_{PSII} likely represented a composite of both phytoplankton
787 taxonomy and physiological stress (e.g., nutrient and mixing). Typically, lowest N and P concentrations
788 were measured closest to the nGOM (Quigg et al., 2009). Phytoplankton community living close to
789 nGOM were usually in poor nutrient conditions and would be expected to maximize their light harvesting
790 (increase in σ_{PSII}) due to nutrient stress. Simultaneously, phytoplankton cells might experience a decline
791 of functional proportion of reaction centers of PSII (RCII), which means decrease in F_V/F_M . The observed
792 low levels of F_V/F_M and Chl a/TP versus high values of σ_{PSII} and AP/TP adjacent to the nGOM showed
793 agreement with previous studies that the fraction of carotenoids to be higher for nutrient-poor cultures

794 (Schütter et al., 1997; Holmboe et al., 1999). In contrast, phytoplankton in well-mixed waters (station 7-9)
795 might experience abundant nutrients due to the resuspension of cyclonic gyre around Smith Points; as
796 such, their photosynthetic machinery were likely healthier. Aiken et al. (2004) documented that the Chl
797 a/TP ratio was relatively higher when plants were in good growing conditions, which is similar to the
798 observations in this study that phytoplankton have higher fraction of Chl a accompanying higher rate of
799 photosynthetic efficiency (F_v/F_m) under nutrient replete conditions. Overall, the spatial pattern of F_v/F_m
800 and σ_{PSII} in GB could be mainly attributed to physiological stress of nutrient and hydrodynamics
801 conditions since the light availability (PAR) during the sampling period did not spatially vary
802 significantly at the surface. Furthermore, FIRE measurements (F_v/F_m and σ_{PSII}) also presented a
803 taxonomic signal super-imposed upon environmental factors. Each cluster with different dominant taxa
804 (well mixed group, chlorophyte & cryptophyte, cyanobacteria, and dinoflagellate & haptophyte)
805 displayed different physiological characteristics. The taxonomic sequence of eukaryotic groups from high
806 F_v/F_m , low σ_{PSII} to low F_v/F_m , high σ_{PSII} in the present observations showed potential effects of
807 phytoplankton cell size corresponding to diatoms, chlorophyte, and cryptophyte, dinoflagellate and
808 haptophyte. The prokaryote (cyanobacteria) had relatively high values of F_v/F_m and low values of σ_{PSII} ;
809 this agreed with F_v/F_m for some species of nitrogen-fixing cyanobacteria that can range from 0.6 to 0.65
810 (Berman-Frank et al., 2007). Yet, it is difficult to separate the contributions from environmental factors
811 and taxonomic variations to the changes of FIRE fluorescence signals since all these parameters are inter-
812 related. Different phytoplankton groups/sizes will display distinct physiological traits (F_v/F_m and σ_{PSII})
813 when experiencing considerable environmental pressures. Thus, effects of physiological stress on
814 F_v/F_m and σ_{PSII} variations for natural samples can only be determined when taxonomic composition can
815 be excluded as a contributor (Suggett et al., 2009).

816 5 Conclusions

817 Field measurements (salinity, pigments, optical properties and physiological parameters) and ocean color
818 observations from Sentinel-3A OLCI were used to study the effects of extreme flooding associated with
819 Hurricane Harvey on the phytoplankton community structures, pigment distributions and their
820 physiological state in GB. Flooding effects made the entire GB transition from saline to freshwater then
821 back to a more marine influenced system. The band ratio (Red/NIR) of $R_{rs_in situ}$ were negatively correlated
822 with HPLC-measured Chl a in an exponential relationship ($R^2 > 0.93$). The satellite-retrieved Chl a maps
823 yielded much higher Chl a concentration on September 29, 2017 compared to October 29-30, 2017 with
824 lowest Chl a observed adjacent to the shelf waters. Phytoplankton taxonomic composition was further
825 retrieved from $R_{rs_in situ}$ using a 10-species IOP inversion algorithm. Phytoplankton community generally
826 dominated by estuarine marine diatoms/dinoflagellates before flood events, was altered to freshwater
827 species of diatom, green algae (chlorophyte) and cyanobacteria during survey 1. It also showed an
828 increase of small-size species including cryptophyte, haptophyte, prochlorophyte and cyanobacteria
829 accompanied by a decline of chlorophyte and diatoms during survey 2.

830 Phytoplankton diagnostic pigments were retrieved using an NNLS inversion model based on Sentinel-3A
831 OLCI Chl a maps also confirmed spatiotemporal variations of phytoplankton taxonomy. The NNLS-
832 retrieved diagnostic pigment maps showed overall spatiotemporal agreement with HPLC measurements
833 with R^2 ranging from 0.39 (violaxanthin) to 0.98 (Chl a) during both surveys. Alloxanthin, Chl b, and
834 fucoxanthin exhibiting similar patterns with Chl a, showed different levels of increase after Hurricane
835 Harvey. In contrast, NNLS-derived zeaxanthin and peridinin presented significantly low values in the
836 area where Chl a concentrations were high. Further, maps of zeaxanthin and peridinin displayed relatively
837 higher fraction on July 06, 2017 before the hurricane compared to other diagnostic pigments. However,
838 peridinin decreased post-hurricane on September 29, 2017 and then increased a bit on October 29-30,
839 2017. Ultimately, concentration of Chl a and all biomarker pigments decreased to low levels in November,
840 2017 when the typical environmental conditions of GB was restored.

841 Finally, the retrieved phytoplankton taxonomic compositions from IOP inversion algorithm were linked
842 with FIRE-measured photosynthetic parameters (F_v/F_m and σ_{PSII}) to assess the effects of physiological
843 stress and taxonomic contributions on phytoplankton photosynthetic performance. An inverse relationship
844 between the F_v/F_m and σ_{PSII} were observed during both surveys. Phytoplankton community in well-
845 mixed waters (around Smith Point) showed high F_v/F_m against low σ_{PSII} ; in contrast, the area with poor
846 nutrient conditions (adjacent to the shelf waters), showed low F_v/F_m and elevated σ_{PSII} . Taxonomic
847 signatures of F_v/F_m and σ_{PSII} revealed diverse physiological characteristics with dinoflagellate-
848 haptophyte group showing the lowest F_v/F_m versus the highest σ_{PSII} , whereas prokaryote of
849 cyanobacteria-dominated group showed high values of F_v/F_m and low values of σ_{PSII} . Overall, this study
850 using field and ocean color data combined with inversion algorithms provided novel insights on
851 phytoplankton response to an extreme flood perturbation in a turbid estuarine environment based on
852 taxonomy, pigment composition and physiological state of phytoplankton.

853

854 *Data availability.* Data from field measurements are available upon request from the corresponding
855 author.

856

857 *Author contributions.* BL and ED conceived and designed the research; BL, ED and IJ collected and
858 processed the data; BL analyzed the data and all authors contributed to writing the paper.

859

860 *Competing interests.* The authors declare that they have no conflict of interest.

861 *Acknowledgements.* The authors thank the European Space Agency (ESA) and the European Organization
862 for Meteorological Satellites (EUMESAT) for providing access to the Sentinel-3 OLCI ocean color data
863 and the Sentinel-3 Toolbox Kit Module (S3TBX) version 5.0.1 in Sentinel Application Platform (SNAP).
864 We also would like to thank Phytoplankton Dynamics Lab of Texas A&M University, Galveston Campus,
865 for the near-real time microplankton pictures recorded by an Imaging FlowCytobot, which are made
866 available on the web. We are also grateful to Bill Gibson from the Coastal Studies Institute for providing
867 logistic support for field operations. EJD acknowledges NASA support through grant No.
868 80NSSC18K0177.

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