## Global trends in marine nitrate N isotopes from observations and a neural network based climatology

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#### 9 Abstract

- 10 Nitrate is a critical ingredient for life in the ocean because, as the most abundant form of
- 11 fixed nitrogen in the ocean, it is an essential nutrient for primary production. The
- 12 availability of marine nitrate is principally determined by biological processes, each having
- 13 a distinct influence on the N isotopic composition of nitrate (nitrate  $\delta^{15}$ N)—a property that
- 14 informs much of our understanding of the marine N cycle as well as marine ecology,
- 15 fisheries, and past ocean conditions. However, the sparse spatial distribution of nitrate  $\delta^{15}$ N
- 16 observations makes it difficult to apply this useful property in global studies, or to facilitate
- 17 robust model-data comparisons. Here, we use a compilation of published nitrate  $\delta^{15}$ N
- 18 measurements (n = 12277) and climatological maps of physical and biogeochemical tracers
- 19 to create a surface-to-seafloor, 1° resolution map of nitrate  $\delta^{15}$ N using an Ensemble of
- 20 Artificial Neural Networks (EANN). The strong correlation ( $R_2 > 0.87$ ) and small mean
- 21 difference (<0.05‰) between EANN-estimated and observed nitrate  $\delta^{15}$ N indicates that
- the EANN provides a good estimate of climatological nitrate  $\delta^{15}$ N without a significant bias.
- 23 The magnitude of observation-model residuals is consistent with the magnitude of
- seasonal-decadal changes in observed nitrate  $\delta^{15}$ N that are not captured by our
- 25 climatological model. As such, these observation-constrained results provide a globally-
- 26 resolved map of mean nitrate  $\delta^{15}$ N for observational and modeling studies of marine
- 27 biogeochemistry, paleoceanography, and marine ecology.
- 28

## 29 **1 Introduction**

- 30 In contrast to other marine nutrients (e.g., phosphate and silicate), the inventory of nitrate
- 31  $(NO_{3})$  is mediated by biological processes, where the main source is N<sub>2</sub> fixation by
- 32 diazotrophic phytoplankton and the main sink is denitrification (via a microbial
- 33 consortium in oxygen deficient waters and sediments) (Codispoti and Christensen, 1985).
- 34 Biological processes also determine the distribution of marine nitrate throughout the water
- 35 column, with phytoplankton assimilating nitrate / lowering nitrate concentrations in the
- 36 surface ocean and the microbially-mediated degradation of organic matter in the
- 37 subsurface. (The latter involving the multi-step process of ammonification (organic matter
- 38  $\rightarrow$  NH<sub>4</sub><sup>+</sup>) and nitrification (NH<sub>4</sub><sup>+</sup>  $\rightarrow$  NO<sub>2</sub><sup>-</sup>  $\rightarrow$  NO<sub>3</sub><sup>-</sup>).) By regulating the global inventory and
- distribution of marine nitrate, these N cycling processes control global net primary
- 40 productivity, the transfer of nutrients to higher trophic levels such as fishes, and the 41
- strength of the ocean's biological carbon pump (Dugdale and Goering, 1967).
- 42
- 43 Each of these biologically mediated N transformations affects the N isotopic composition of
- 44 nitrate in unique ways (Fig.s 1A & 1B and see Section 2), adjusting the relative abundance
- 45 of <sup>15</sup>N and <sup>14</sup>N in oceanic nitrate relative to the atmosphere.  $\delta^{15}$ N = (<sup>15</sup>N/<sup>14</sup>Nsample /

 $^{15}N/^{14}N$ standard) – 1), multiplied by 1000 to give units of per mil (‰); see (Sigman and 46 47 Casciotti, 2001) for simplified equations from (Mariotti et al., 1981). Nitrate  $\delta^{15}$ N measurements have become a powerful tool for understanding the 'biogeochemical history' 48 49 of marine nitrate, which includes nitrate assimilation by phytoplankton (Miyake and Wada, 50 1967; Wada and Hattori, 1978), nitrogen fixation (Carpenter et al., 1997; Hoering and Ford, 51 1960), denitrification (Liu, 1979), and nitrification (Casciotti et al., 2013). For example, the 52 consumption of nitrate by denitrification (red line in Fig. 1A) has a larger impact on the 53 residual nitrate  $\delta^{15}$ N than does partial nitrate assimilation by phytoplankton (yellow line in Fig. 1), and thus very high  $\delta^{15}$ N values serve as a fingerprint of denitrification. Nitrate  $\delta^{15}$ N 54 is also influenced by the addition of nitrate via remineralization of organic matter. The 55 56 exact influence of remineralization depends on the isotopic composition of the organic 57 matter, and could result in both higher or lower nitrate  $\delta^{15}$ N (Fig. 1A). Nitrate introduced 58 into the water column by the remineralization of organic matter formed by N<sub>2</sub>-fixing 59 phytoplankton has an isotopic composition close to that of air (0-1%), and serves to lower 60 the mean ocean  $\delta^{15}$ N (Fig. 1B). On the other hand, organic matter formed from nitrate assimilation in regions where the plankton use most of the available nitrate can be 61 isotopically heavy, and its remineralization will increase the  $\delta^{15}N$  of ambient nitrate (Fig. 62 1B). The actual value of organic matter  $\delta^{15}$ N formed from nitrate assimilation is mostly 63 64 determined by: (1) the  $\delta^{15}$ N of nitrate delivered to the euphotic zone (the subsurface source), which in turn is dependent on the degree of water-column denitrification and (2) 65 66 the degree of nitrate consumption at the ocean surface, with heavier values associated with 67 greater nitrate consumption (Fig. 1B). Accordingly, changes in organic matter  $\delta^{15}$ N (and 68 therefore sediment  $\delta^{15}$ N used for paleoceanographic work) can reflect variability of the 69 source nitrate  $\delta^{15}$ N and/or variability of the degree of nitrate consumption (e.g., see (Rafter 70 and Charles, 2012)).

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72 Because of nitrate's place at the base of the marine ecosystem, nitrate  $\delta^{15}$ N is also useful for 73 understanding the lifecycles of higher trophic level organisms such as fish (Graham et al., 74 2007; Tawa et al., 2017) and fishery productivity (Finney et al., 2002, 2000). The  $\delta^{15}$ N of 75 whole sediment and microfossils provides insight by proxy of past ocean nitrate 76 transformations (Altabet and Francois, 1994a; Kienast et al., 2008; Ren et al., 2009; 77 Robinson et al., 2004; Sigman et al., 1999b)—work that places important constraints on 78 modern ocean N cycling (Altabet, 2007; Eugster et al., 2013; Ren et al., 2017). With an 79 understanding of the N transformations described above and their influences on the N 80 isotopic composition of nitrate, we can begin using nitrate  $\delta^{15}$ N measurements to trace the 81 integrated biogeochemical history of marine nitrate. However, identifying basin- and global-scale trends in nitrate  $\delta^{15}$ N is challenged by the limited spatial extent of nitrate  $\delta^{15}$ N 82 83 observations (Fig. 2). Here, we compile a global database of nitrate  $\delta^{15}$ N measurements (Fig. 2) and use an Ensemble Artificial Neural Network (EANN) to produce a map of the 84 global nitrate  $\delta^{15}$ N distribution at 1-degree spatial resolution. We find that the mapped 85 86 nitrate  $\delta^{15}$ N climatology matches the observations well and should be a valuable tool for 87 estimating mean conditions and for constraining predictive nitrate  $\delta^{15}$ N models (Somes et 88 al., 2010; Yang and Gruber, 2016). Below we briefly discuss how the EANN was used to 89 produce global maps of nitrate  $\delta^{15}$ N (Section 2), address the ability of the EANN to match

- 90 the measured  $\delta^{15}$ N (Section 3), and examine the EANN-mapped  $\delta^{15}$ N climatology and global
- 91 compilation of nitrate  $\delta^{15}$ N in the context of published work (Section 4).
- 92

#### 93 2 Methods

#### 94 **2.1 Data Compilation**

95 Nitrate  $\delta^{15}$ N observations (Fig. 2; references in Table 3) were compiled from studies dating 96 from 1975 (Cline and Kaplan, 1975) to 2018 (Fripiat et al., 2018), including data from the

- 97 GEOTRACES Intermediate Data Product (Schlitzer et al., 2018). Whenever possible, the
- 98 data was acquired via the original author, but in other cases the data was estimated from
- 99 the publication directly. All observations were treated equally, although the failure to
- 100 remove nitrite when using the "denitrifier method" may bias the nitrate  $\delta^{15}$ N to low values
- 101 (Rafter et al., 2013). These measurements have been identified as "nitrate+nitrite" in the
- 102 dataset to acknowledge this potential biasing, which predominantly affects observations in
- 103 the upper 100 m (Kemeny et al., 2016; Rafter et al., 2013).
- 104

### 105 **2.2 Building the neural network model**

- 106 We utilize an ensemble of artificial neural networks (EANNs) to interpolate our global
- 107 ocean nitrate  $\delta^{15}$ N database (Fig. 2), producing complete 3D maps of the data. By utilizing
- 108 an artificial neural network (ANN), a machine learning approach that effectively identifies
- 109 nonlinear relationships between a target variable (the isotopic dataset) and a set of input
- 110 features (other available ocean datasets), we can fill holes in our data sampling coverage of
- 111 nitrate  $\delta^{15}$ N.
- 112

## 113 2.2.1 Binning target variables (Step 1)

- 114 We binned the nitrate  $\delta^{15}$ N observations (red symbols in Fig. 2) to the World Ocean Atlas
- 115 2009 (WOA09) grid with a 1-degree spatial resolution and 33 vertical depth layers (0-5500
- 116 m) (Garcia et al., 2010). When binning vertically, we use the depth layer whose value is
- 117 closest to the observation's sampling depth (e.g. the first depth layer has a value of 0 m, the
- second of 10 m, and the third of 20 m, so all nitrate isotopic data sampled between 0-5 m fall in the 0 m bin: between 5 15 m they fall in the 10 m bin. at a hear second of 10 m.
- fall in the 0 m bin; between 5-15 m they fall in the 10 m bin, etc.). An observation with a
- sampling depth that lies right at the midpoint between depth layers is binned to the shallower layer. If more than one new data point falls in a grid call we take the second of
- shallower layer. If more than one raw data point falls in a grid cell we take the average of all the point and the value for that grid cell. Contain whole ship to all faither the second secon
- 122 those points as the value for that grid cell. Certain whole ship tracks of nitrate  $\delta^{15}$ N data 123 were withheld from binning to be used as an independent validation set (see section 2.2.4)
- were withheld from binning to be used as an independent validation set (see section 2.2.4).

## 125 **2.2.2 Obtaining input features (Step 2)**

- 126 Our input dataset contains a set of climatological values for physical and biogeochemical
- 127 ocean parameters that form a non-linear relationship with the target data. We have six
- 128 input features including objectively analyzed annual-mean fields for temperature, salinity,
- 129 nitrate, oxygen, and phosphate taken from the WOA09
- 130 (https://www.nodc.noaa.gov/OC5/WOA09/woa09data.html) at 1-degree resolution.
- Additionally, daily chlorophyll data from Modis Aqua for the period Jan-1-2003 through
- 132 Dec-31-2012 is averaged and binned to the WOA09 grid (as described in Step 1) to produce
- an annual climatological field of chlorophyll values, which we then log transform to reduce
- 134 their dynamic range.

- 135
- 136 The choice of these specific input features was dictated by our desire to achieve the best
- 137 possible R<sup>2</sup> value on our internal validation sets (Step 4). Additional inputs besides those
- 138 we included, such as latitude, longitude, silicate, euphotic depth, or sampling depth either
- 139 did not improve the R<sup>2</sup> value on the validation dataset or degraded it, indicating that they
- 140 are not essential parameters for characterizing this system globally. By opting to use the
- 141 set of input features that yielded the best results for the global oceans, we potentially
- 142 overlooked combinations of inputs that perform better at regional scales. However, given
- 143 the scarcity of  $\delta^{15}$ N data in some regions, it is not possible to ascribe the impact of a specific
- 144 combination of input features versus the impact of available  $\delta^{15}$ N data, which may not be
- representative of the region's climatological state, to the relative model performance inthese regions.
- 147

#### 148 **2.2.3 Training the ANN (Step 3)**

149 The architecture of our ANN consists of a single hidden layer, containing 25 nodes, that

- 150 connects the biological and physical input features (discussed in Step 2) to the target
- 151 nitrate isotopic variable (as discussed in Step 1). The role of the hidden layer is to
- 152 transform input features into new features contained in the nodes. These are given to the
- 153 output layer to estimate the target variable, introducing nonlinearities via an activation
- 154 function. The number of nodes in this hidden layer, as well as the number of input features,
- determines the number of adjustable weights (the free parameters) in the network.
  Because there is a danger of over-fitting the model, which occurs when the ANN is over-
- 156 Because there is a danger of over-fitting the model, which occurs when the ANN is over-157 trained on a dataset so that it cannot generalize well when presented with new data, it is a
- 158 good practice to have a large number of training data (we have 7170 binned data points)
- relative to the number of weights (we have 201 free parameters) (Weigend et al., 1990). To
- 160 create a nonlinear system, an activation function transforms the product of the weights and
- 161 input features and creates the values assigned to nodes in the hidden layer. These act as
- 162 new features for estimating the target  $\delta^{15}$ N data. Our model utilizes the hyperbolic tangent
- as its activation function between the input and hidden layer as well as between the hidden
- and output layer due to its speed and general performance (Thimm and Fiesler, 1997).
- 165
- 166 The values of nodes in the hidden layer (H) can be defined as
- 167

 $H = a(I \cdot W_1 + b_1)$ 

- 169 where H is an array containing the values of the hidden nodes, a is the activation function
- 170 (here, the hyperbolic tangent), I is a 7170x6 array containing the values of the input
- 171 features at the locations of the binned observations (there are 7170 binned observations
- and 6 input parameters), W<sub>1</sub> is a 6x25 array of weights that connect input features to
  hidden nodes, and b<sub>1</sub> is a 7170x25 array of weights (25 unique values repeated7170 times)
- 1/3 indeen nodes, and  $b_1$  is a 71/0x25 array of weights (25 unique values repeated 71/0 times) that connects a bias node to the hidden nodes. The factor of 25 represents the number of
- nodes in the hidden layer, chosen by experimentation to find the maximum number of
- 176 effective parameters (Foresee and Hagan 1997), i.e. where adding new parameters no
- 177 longer improves performance on an internal validation set (Step 4). The bias node acts as

- an offset term, similar to a constant term in a linear function, and has a value that is always1.
- 180
- 181 At the output layer, the network produces a prediction of the target nitrate isotopic data
- 182 ( $\delta^{15}N_{pred}$ ). Similar to how nodes in the hidden layer are a function of the inputs and a set of
- 183 weights,  $\delta^{15}N_{pred}$  is a function of the hidden nodes and an additional set of weights. The
- 184 predicted values can be defined as
- 185

$$d15N_{\text{pred}} = a(H \cdot W_2 + b_2)$$

- 187 where H (size 7170x25) has been previously defined,  $W_2$  (size 25x1) is a matrix of weights 188 that connect features in the hidden layer to nodes in the output layer, and  $b_2$  (size 7170x1) 199 is an array of weights (all of the same value) that connects a bias node to the output layer
- is an array of weights (all of the same value) that connects a bias node to the output layer.
- 191 The ANN learns by comparing  $\delta^{15}N_{pred}$  to the actual  $\delta^{15}N$  data ( $\delta^{15}N_{data}$ ), attempting to
- 192 minimize the value of the cost function
- 193

$$\cos t = \frac{\sum_{i=1}^{n} (d15N_{\text{pred}}^{i} - d15N_{\text{data}}^{i})^{2}}{n}$$

194

- 195 by iteratively adjusting the weights using the Levenberg-Marquardt algorithm (Marquardt,
- 196 1963) as a way of propagating the errors between  $\delta^{15}N_{pred}$  and  $\delta^{15}N_{data}$  backwards though
- 197 the network (Rumelhart et al., 1986).
- 198

#### 199 2.2.4 Validating the ANN (Step 4)

- 200 To ensure good generalization of the trained ANN, we randomly withhold 10% of the  $\delta^{15}$ N 201 data to be used as an internal validation set for each network. This is data that the network 202 never sees, meaning it does not factor into the cost function, so it works as a test of the 203 ANN's ability to generalize. This internal validation set acts as a gatekeeper to prevent poor 204 models from being accepted into the ensemble of trained networks (see Step 5). A second, 205 independent or 'external' validation set (blue symbols in Fig. 2), composed of complete ship 206 transects from the high and low latitude ocean were omitted from binning in Step 1 and 207 used to establish the performance of the entire ensemble. Our rationale for using complete ship transects is the following. If we randomly chose 10% of observations to perform an 208
- external validation, this dataset will be from the same cruises as the wider data. In other
  words, despite being randomly selected, the validating observational dataset will be highly
- 210 words, despite being randomy selected, the validating observational dataset will be inging 211 correlated geographically. Contrast this with validating the EANN results with observations
- from whole research cruises in unique geographic regions—areas where the model has not
- 213 "learned" anything about nitrate. We therefore argue that these observations from whole
- ship tracks therefore provide a more difficult test of the model.
- 215

#### 216 **2.2.5 Forming the Ensemble (Step 5)**

- The ensemble is formed by repeating Steps 3 to 4 (using a different random 10% validation
- set) until we obtain 25 trained networks for the nitrate  $\delta^{15}$ N dataset. A network is admitted
- into the ensemble if it yields an R<sup>2</sup> value greater than 0.81 on the validation dataset. Using

- 220 an EANN instead of any single network provides several advantages. For example, the
- random initialization of the weight values in each network as well as differences in the
- training and internal validation sets used across members make it possible for many
- different networks to achieve similar performance on their respective validation set while
- generalizing to areas with no data coverage differently. By performing this type of data
   subsampling and taking an ensemble average, similar to bootstrap aggregating (Breiman,
- 226 1996) this approach on average improves the robustness of the generalization in areas
- without data coverage compared to a single randomly generated ensemble member.
- 228 Compared to each of its members, our ensemble mean sees improved performance on all
- internal validation sets and has a higher R<sup>2</sup> and lower root mean square error on the
- independent validation set compared to 19 of the 25 members. The range of values given
- by the ensemble also provides a measure of the uncertainty for our estimations of  $\delta^{15}$ N.
- 232

#### 233 3 Results

#### 234 **3.1 Global nitrate** $\delta^{15}$ N observations

- The global compilation of nitrate  $\delta^{15}$ N includes 1180 stations from all major ocean basins
- and some minor seas (Fig. 2) giving a total of 12277 nitrate  $\delta^{15}$ N measurements. Within
- this dataset, 1197 nitrate  $\delta^{15}$ N measurements were withheld from the EANN and used to
- validate the EANN results to ensure good extrapolation (the 'external' validation dataset;
- blue symbols in Fig. 2, see Section 2). With observations from the surface to as deep as 6002 m (Rafter et al., 2012), we find that nitrate  $\delta^{15}$ N ranges from  $\approx 1\%_0$  in the North
- Atlantic (e.g., Marconi et al., (2012)) to 68.7% in the Eastern Tropical South Pacific
- (Bourbonnais et al., 2015). Nitrate  $\delta^{15}$ N of  $\approx 1\%_0$  was also irregularly observed in the
- shallow North and South Pacific (Liu et al., 1996; Yoshikawa et al., 2015). These latter
- 244 observations were included in the training dataset, although we should note that the
- 245 measurements using the 'Devarda's Alloy' method (Liu et al., 1996) is thought to be biased
- low (Altabet and Francois, 2001). Similarly, the inclusion of nitrite for 'denitrifier method'
- 247 nitrate  $\delta^{15}$ N can bias the measurement to lower values (Kemeny et al., 2016; Rafter et al., 248 2013).
- 248 249

#### 250 **3.2 Marine nitrate** $\delta^{15}$ **N observations-model comparison**

- The observed and EANN-predicted nitrate  $\delta^{15}$ N measurements are distributed around a 1:1 line in Fig. 3A (all data), with considerably less scatter for the deeper values (data >1000 m; Fig. 3B). The correlation coefficient of determination for the observations versus the model nitrate  $\delta^{15}$ N gives an R<sup>2</sup>=0.75 for the raw / unbinned observations used to train the EANN and an R<sup>2</sup> of 0.78 for the validation dataset. We can also examine the performance of the EANN with the nitrate  $\delta^{15}$ N "residual" or the difference between observed and modeled
- 257  $\delta^{15}$ N, which indicates a mean residual or 'mean bias' value of -0.03‰ for the entire dataset
- 258 and +0.18% for the validation dataset.
- 259
- 260 Examining the observation-EANN residuals via the Root Mean Square Error (RMSE), we
- find an RMSE of 1.94‰ for the data used to train the EANN and an RMSE of 1.26‰ for the
- external validation dataset. There is a clear relationship between RMSE and depth, with a
- significantly higher RMSE for the upper 500 m (Figs. 3C and 3D). Comparing these residual
- values with dissolved oxygen concentrations (color in Fig. 3C), we find that >2‰ RMSE for

- 265 the surface is associated with high oxygen while >2.7‰ RMSE at  $\approx$ 250 m is associated with
- the lowest oxygen. Furthermore, the RMSE of the observation-EANN residuals differs
- between the datasets used to train the model (solid red line in Fig. 3D) and validate themodel (dashed line in Fig. 3D).
- 269

270 The RMSE patterns in Figs. 3C and 3D are to be expected given the natural variability in 271 nitrate  $\delta^{15}$ N driven by assimilation in the upper ocean and denitrification in the shallow 272 sub-surface—variability which is not captured by the climatological EANN. Rafter and 273 Sigman, (2016), presented a 5-year time-series of nitrate  $\delta^{15}N$  from the eastern equatorial 274 Pacific, which showed that variability of nitrate assimilation produces seasonal-to-275 interannual deviations of  $\delta^{15}$ N of ±2.5‰, which is similar to the magnitude of the RMSE in 276 the surface ocean (2.2‰). Although there are no nitrate  $\delta^{15}$ N time-series measurements 277 from the subsurface Oxygen Deficient Zone (ODZ) waters where denitrification occurs, 278 nitrate  $\delta^{15}$ N in ODZs presumably have similar seasonal-to-interannual (or longer timescale) 279 variability due to changes in the rate and extent of water column denitrification (Deutsch et 280 al., 2011; Yang et al., 2017). For example, a larger degree of nitrate undergoing water 281 column denitrification would explain the extreme  $\delta^{15}$ N values at the bottom right of Fig. 282 3A—observations that all come from the ODZ waters of the Eastern Tropical South Pacific 283 (Bourbonnais et al., 2015; Casciotti et al., 2013; Rafter et al., 2012; Ryabenko et al., 2012). Some of these very high nitrate  $\delta^{15}$ N values are associated with nitrate concentrations <1 284 285 umol kg<sup>-1</sup> (Bourbonnais et al., 2015), values much lower than within our climatology for the 286 subsurface Eastern Tropical South Pacific. These values thus represent episodic 287 denitrification events that the EANN will not be able to capture because it is trained on 288 climatological data. In the deep ocean where temporal variability is smaller, the 289 observation-EANN residuals of 0.2‰ are the same magnitude as the  $\delta^{15}$ N analytical errors,

- further emphasizing the ability of the model to match climatological average conditions.
- 291

#### 292 4 Discussion

- 293 The EANN's skillful estimate of climatological nitrate  $\delta^{15}$ N will be useful for studies of the
- 294 marine nitrogen cycle. The zonal average view of EANN nitrate  $\delta^{15}$ N for each major ocean
- basin (Fig. 4) includes statistics comparing the observations versus EANN results above
- and below 1000 m. These region-specific statistics show a weaker correlation between
- EANN and observed nitrate  $\delta^{15}$ N in the deep Atlantic and Southern Ocean, despite low
- RMSE and negligible mean bias. This weak correlation likely derives from the limited
- 299 variability of deep nitrate  $\delta^{15}N$  (±0.1‰) in these basins (see Fig. 5D).
- 300
- 301 The nitrate  $\delta^{15}$ N sections in Fig. 4 show elevated values for the low latitude, upper
- 302 mesopelagic Pacific (Fig. 4A) and Indian Oceans (Fig. 4D) where water column
- 303 denitrification raises the residual nitrate  $\delta^{15}$ N (Fig. 1A). Viewing this elevated nitrate  $\delta^{15}$ N
- at the 250 m depth horizon (Fig. 5) better reveals the spatial heterogeneity of the
- 305 observations and EANN results. (It is because of this intra-basin heterogeneity, and the fact
- 306 that many observations are biased towards the areas of denitrification, that we did not plot
- 307 the observed nitrate  $\delta^{15}$ N within the zonally-averaged Fig. 4 views.) The EANN error for the
- Fig. 5 depth intervals (Figs. 5E-5H) is the standard deviation of the 25 ensemble members
- 309 of the EANN and shows a decrease in ensemble variability with depth—a trend that is

310 consistent with the overall decrease in observed nitrate  $\delta^{15}$ N variability with depth (Figs. 4 311 & 5).

- 312
- Below we inspect the observed and EANN-predicted nitrate  $\delta^{15}$ N and discuss the
- 314 consistency of these results with our understanding of published work. This analysis begins
- with the spatial distribution of nitrate delivered to the upper ocean. We then discuss the
- 316 impacts of upper ocean nitrate assimilation on organic matter  $\delta^{15}$ N and consider the
- 317 influence of organic matter remineralization on sub-surface nitrate.
- 318

#### 319 **4.1 Subsurface and surface nitrate** $\delta^{15}$ N

- 320 The nitrate  $\delta^{15}$ N distribution at 250 m depth (Fig. 5B) offers a view of nitrate at a depth
- that is deeper than source waters in many ocean regions (e.g., 100 to 150 m in the
- equatorial Pacific (Rafter and Sigman, 2016)), but is negligibly influenced by nitrate
- 323 assimilation, and therefore provides a qualitative view of spatial trends in nitrate delivered
- to the surface ocean. Nitrate  $\delta^{15}$ N at this depth is highest in the North and South Eastern
- Tropical Pacific and Arabian Seas (Fig. 5B), due to the influence of water column
- denitrification in the ODZs in these regions (Altabet et al., 2012; Bourbonnais et al., 2015;
- 327 Ryabenko et al., 2012), which preferentially uses the light isotope and leaves the residual
- nitrate enriched in <sup>15</sup>N. A notable difference between the EANN and a previous
- biogeochemical model estimate of nitrate  $\delta^{15}N$  (Somes et al., 2010) is that the EANN correctly captures the higher nitrate  $\delta^{15}N$  in the Arabian Sea compared to the Bay of
- 330 correctly captures the higher nitrate  $\partial^{15}N$  in the Arabian Sea compared to the Bay of 331 Bengal.
- 332
- 333 Lowest  $\delta^{15}$ N values of sub-surface nitrate are found in the Southern Ocean and in the North
- Atlantic. The North Atlantic subtropical gyre in particular has the lowest  $\delta^{15}$ N values in any
- basin (Fig. 5B; also see (Fawcett et al., 2011; Knapp et al., 2005, 2008)), which can be
- attributed to the remineralization of low- $\delta^{15}$ N organic matter originating from N<sub>2</sub>-fixation,
- which produces organic matter with a  $\delta^{15}$ N between 0 and -1‰ (similar to atmospheric N<sub>2</sub>; see Fig. 1B (Carpenter et al., 1997; Hoering and Ford, 1960)). Prior work argues that this
- nitrate  $\delta^{15}$ N lowering requires the bulk of Atlantic N<sub>2</sub>-fixation (~90%) to occur in the
- 340 tropics (Marconi et al., 2017) followed by the advection of remineralized nitrate to the
- 341 North Atlantic. This contrasts with numerical models arguing for high N<sub>2</sub>-fixation rates in
- 342 the North Atlantic (Ko et al., 2018). Similar local minima of sub-surface  $\delta^{15}$ N appear in all
- 343 the sub-tropical gyres (Fig. 5B), which is consistent with observations (Casciotti et al.,
- 2008; Yoshikawa et al., 2015) and presumably indicates the importance of N<sub>2</sub>-fixation in
- these regions (Ko et al., (2018) and others). The N<sub>2</sub>-fixation  $\delta^{15}$ N signal in the Pacific Ocean
- 346 is counteracted by the influence of water-column denitrification in that basin, which
- imparts a high  $\delta^{15}$ N signal, but a local minimum in  $\delta^{15}$ N can still be seen in the Pacific
- 348 subtropical gyres (Fig. 4A).
- 349
- 350 Nitrate assimilation by phytoplankton in the upper ocean is influenced by both the
- 351 subsurface source nitrate  $\delta^{15}$ N and the degree of nitrate assimilation (Miyake and Wada,
- 352 1967; Wada and Hattori, 1978) (Fig. 1B). This gives the expectation that average nitrate
- 353  $\delta^{15}$ N values for the upper 50 m (Fig. 5A) will be consistently higher than those at 250 m
- (Fig. 5B). However, the highest values in the upper 50 m are not found above the ODZ

- regions, but are on the edges of high nitrate concentration upwelling zones in the Southern
- Ocean, equatorial Pacific, and subarctic gyres (contours in Fig. 2). Circulation in these 'edge'
- regions allows for nitrate to be advected along the surface, lengthening its time in the
- 358 surface ocean and allowing more utilization to elevate the residual nitrate  $\delta^{15}$ N pool. In
- 359 other words, the degree of nitrate utilization appears to play a more important role in
- determining surface nitrate  $\delta^{15}$ N than the initial value. (This is not the case for the organic
- 361 matter  $\delta^{15}$ N produced from this nitrate, which will be discussed more below.)
- 362
- 363 Despite our expectation of higher nitrate  $\delta^{15}$ N in the upper 50 m versus 250 m (Figs. 5A vs.
- 5B), we identify two types of regions where this difference is negative (Fig. 6): above ODZ
- 365 waters and in subtropical gyres. The explanation for the negative values above the ODZ 366 regions is that the nitrate  $\delta^{15}N$  at 250 m must be much higher than the nitrate  $\delta^{15}N$
- 367 upwelled to the surface. This is consistent with elevated ODZ nitrate  $\delta^{15}$ N having an
- 368 indirect path to waters outside of ODZ regions (Peters et al., 2017; Rafter et al., 2013). The
- subtropical gyres also have modeled nitrate  $\delta^{15}$ N in the upper 50 m that is less than 250 m.
- but this finding is difficult to test with observations because of low nitrate concentrations.
- 371 That said, the model predicts a lower nitrate  $\delta^{15}$ N in the upper ocean relative to that at 250
- 372 m, which is consistent with N<sub>2</sub>-fixation in these regions.
- 373
- 374 Our discussion above highlights the difficulty of distinguishing between the competing 375 influences of the subsurface source nitrate  $\delta^{15}$ N and the degree of nitrate utilization on
- 376 residual nitrate  $\delta^{15}$ N. Clearly a static depth does not reflect the subsurface source of nitrate
- delivered to the surface and a more robust method for estimating this subsurface source
- needs to be developed. However, some generalizations can be made regarding the organic
- matter  $\delta^{15}$ N produced in these regions and its potential influence (via remineralization) on
- subsurface nitrate throughout the water column via the export and remineralization of organic matter (Sigman et al., 2009a). For example, a local minimum in  $\delta^{15}$ N is visible at
- 382 250 m depth in the Eastern Equatorial Pacific (Fig. 5B; also discussed in several studies
- 383 (Rafter et al., 2012; Rafter and Sigman, 2016)) is caused by the remineralization of organic
- matter with a low  $\delta^{15}$ N due to partial nitrate consumption at the surface. Below we discuss
- 385 these and other influences on intermediate-depth nitrate  $\delta^{15}$ N.
- 386

## 387 **4.2 Intermediate-depth nitrate** $\delta^{15}$ **N variability**

- Waters at "intermediate" depths (here shown as the 750 m surface in Fig. 5C) are important because they are part of a large-scale circulation that initially upwells in the Southern Ocean and ultimately resupplies nutrients to the low latitude thermocline (Palter et al., 2010; Sarmiento et al., 2004; Toggweiler et al., 1991; Toggweiler and Carson, 1995). Within the context of this overturning, the nitrate upwelling in the Southern Ocean is initially  $\approx 5\%_0$  (Figs. 4C & 5C) and the  $\delta^{15}$ N is elevated  $\approx 2\%_0$  by partial nitrate assimilation in
- 394 surface waters as they are advected equatorward (see Figs. 5A and 6). Deep wintertime
- 395 mixing in the Subantarctic Pacific converts these surface waters into mode and
- intermediate waters (Herraiz-Borreguero and Rintoul, 2011), introducing nitrate with a
- 397 "pre-formed"  $\delta^{15}$ N of  $\approx 6\%$  into the intermediate-depth South Pacific and South Atlantic
- 398 (Rafter et al., 2012, 2013; Tuerena et al., 2015) at depths between ≈600-1200 m. The

- penetration of this pre-formed signal (nitrate  $\geq 6\%$ ) into the interior can be clearly seen in
- 400 the Atlantic Ocean between  $\approx 40^{\circ}$ S to 20°N (Fig. 4B).
- 401

402 The same signal is carried with Southern Ocean mode and intermediate waters into the 403 Pacific basin as far as the tropics (Lehmann et al., 2018; Rafter et al., 2013), although it is 404 difficult to distinguish in the model results against the higher background  $\delta^{15}$ N in this 405 basins (Figs. 4A, 4D, 5C). The same process presumably introduces elevated nitrate  $\delta^{15}$ N to 406 the Indian Ocean, which has similar values at this depth. Nitrate  $\delta^{15}$ N increases from the 407 Southern Ocean toward the equator in the Pacific and Indian Oceans, but not in the Atlantic 408 (Fig. 5C). Organic matter has a lower  $\delta^{15}$ N in the Atlantic than in the Pacific and Indian 409 because of a lack of water-column denitrification supplying high- $\delta^{15}$ N water to the surface, 410 and because of the high rates of  $N_2$ -fixation which supply isotopically light N to organic matter (Marconi et al., 2017; Tuerena et al., 2015). This contrast in intermediate-depth 411 nitrate  $\delta^{15}$ N can be traced to the lower  $\delta^{15}$ N of organic matter remineralized in this 412 413 region—an explanation that is also consistent with enhanced N<sub>2</sub> fixation in the tropical 414 Atlantic (Marconi et al., 2017). The increase in intermediate-depth nitrate  $\delta^{15}$ N from the Subantarctic to the tropical Pacific appears to result from the remineralization of organic 415 416 matter with a  $\delta^{15}$ N elevated by high source nitrate  $\delta^{15}$ N (near the ODZ) or extreme elevation of residual nitrate  $\delta^{15}$ N (advected along the surface away from the equator; see 417 418 high surface nitrate  $\delta^{15}$ N in Fig. 5A). Previous work suggests that direct mixing with 419 denitrified waters represents only a small fraction of the change from the pre-formed high

- 420 latitude value ( $\approx 6\%$ ) to tropical nitrate  $\delta^{15}$ N of  $\approx 7\%$  (Peters et al., 2017; Rafter et al., 421 2012, 2013).
- 422

423 The South Indian Ocean is one region particularly devoid of published nitrate  $\delta^{15}$ N

- 424 observations (Fig. 2), but the EANN makes specific predictions about its distribution. For
- 425 example, the modeled nitrate  $\delta^{15}$ N predicts that intermediate-depth Indian Ocean nitrate is
- 426 similarly elevated in  $\delta^{15}$ N to the intermediate-depth South Pacific (Fig. 5C). Considering
- that both intermediate-depth water masses are formed from Southern Ocean surface
- 428 waters, it is reasonable to propose that nitrate  $\delta^{15}$ N are similarly elevated by partial nitrate
- 429 consumption. The EANN therefore provides testable predictions for nitrate  $\delta^{15}$ N
- 430 observations throughout the Indian Ocean.
- 431

## 432 **4.4 Deep-sea nitrate** $\delta^{15}$ N trends

- 433 Our discussion above suggests that the basin-scale balance of  $N_2$ -fixation and water-column
- 434 denitrification is a major contributor to inter-basin nitrate  $\delta^{15}$ N gradients in the upper 435 ocean, lowering values in the Atlantic Oceans compared to the Pacific and Indian Oceans.
- 435 Ocean, lowering values in the Atlantic Oceans compared to the Pacific and Indian Oceans. 436 Averaging EANN nitrate  $\delta^{15}$ N with depth for each ocean basin (Fig. 7), we find that these
- 436 Averaging EANN intrate  $\delta^{15}$ N with depth for each ocean basin (Fig. 7), we find that these 437 basin-scale nitrate  $\delta^{15}$ N differences also persist into the deep-sea (here defined as  $\geq 3000$  m
- 438 and below). (Note that the inter-basin EANN nitrate  $\delta^{15}$ N gradients in Fig. 7 are smaller
- 439 than the corresponding inter-basin gradients in observed  $\delta^{15}$ N, because the observations
- 440 are spatially biased towards areas of water column denitrification in the Pacific and Indian
- 441 Oceans (see Fig. 2).)
- 442

443 The remineralization of organic matter is one process that can—and has been used to—

- 444 explain both the elevation of deep Pacific nitrate  $\delta^{15}$ N (Peters et al., 2017; Rafter et al.,
- 445 2013; Sigman et al., 2009a)(Peters et al., 2017; Rafter et al., 2013; Sigman et al., 2009) and
- lowering of deep Atlantic nitrate  $\delta^{15}$ N (Knapp et al., 2008; Marconi et al., 2017; Tuerena et
- al., 2015) relative to the deep ocean mean. Here we provide two additional pieces ofevidence that argue for the remineralization of organic matter as the key driver of these
- 448 deep-sea nitrate  $\delta^{15}$ N differences. Our first piece of evidence is that the average subsurface
- 450 source of nitrate to the Pacific and Indian Ocean surface has a significantly higher  $\delta^{15}$ N (by
- 451 2‰ at the 250 m depth surface) than the Atlantic and Southern Oceans (Figs. 5B and 7).
- 452 Nitrate  $\delta^{15}$ N at 250 m is an admittedly imprecise estimate for the nitrate upwelled to the
- 453 surface, but even a slight elevation in Pacific source nitrate  $\delta^{15}$ N and near complete nitrate
- 454 utilization at the surface will translate into higher sinking organic matter  $\delta^{15}$ N (i.e., see Fig. 1B).
- 455 456

457 Our second piece of evidence that the export and remineralization of organic matter drives 458 the inter-basin differences in deep nitrate  $\delta^{15}$ N comes from sediment trap measurements.

458 the inter-basin differences in deep intrate  $\delta^{15}N$  comes from sediment trap measurements. 459 Averaging published sediment trap organic matter  $\delta^{15}N$  from the subtropical and tropical 460 Pacific gives a value of 8.5±2.9‰ (Knapp et al., 2016; Robinson et al., 2012), which is 461 significantly higher than measured in transform the Atlantia (4.5±1.5%). (Freudenthal et

- significantly higher than measured in traps from the Atlantic (4.5±1.5‰) (Freudenthal et
  al., 2001; Holmes et al., 2002; Lavik, 2000; Thunell et al., 2004). Given observed Southern
  Ocean nitrate characteristics (Rafter et al., 2013), we estimate an even lower typical sinking
- 464 organic matter  $\delta^{15}$ N of +1.5% for this region, which assumes initial nitrate values equal the
- 465 Upper Circumpolar Deep Water and final values from the Open Antarctic Zone. This value is 466 consistent with annually-averaged sinking organic matter  $\delta^{15}$ N of  $\approx 0.9$  to 1.6‰ (Lourey et
- 467 al., 2003), although published results from the iron-fertilized Kerguelen Plateau region are
- 468 predictably higher (Trull et al., 2008). The much lower Southern Ocean sinking organic
- 469 matter  $\delta^{15}$ N is consistent with partial consumption of nitrate at the surface (see Fig. 1B) 470 and the entrainment of this nitrate in equatorward-moving intermediate waters acts to
- 470 and the entrainment of this intrate in equator ward-moving intermediate waters acts to 471 export nitrate with elevated  $\delta^{15}$ N to intermediate waters throughout the Southern
- 472 Hemisphere (see discussion above). Based on this evidence, it appears that global patterns
- 473 of sinking organic matter  $\delta^{15}$ N are consistent with the remineralization of this organic
- 474 matter driving subtle, but significant differences in deep-sea nitrate  $\delta^{15}$ N.
- 475

476 An alternative explanation for the deep-sea nitrate  $\delta^{15}$ N differences in Fig. 7 is that they

477 reflect the lateral (along isopycnal) advection of elevated nitrate  $\delta^{15}$ N from ODZ regions.

- 478 However, we can easily dismiss this explanation by looking at the meridional trends in 479 deep-sea nitrate  $\delta^{15}$ N—following the deep waters from their entrance in the south and
- 479 deep-sea intrate  $\delta^{15}$ N—following the deep waters from their entrance in the south and 480 movement northward. What we find is that deep EANN nitrate  $\delta^{15}$ N (Fig. 5D) is lowest in
- 481 the Southern Ocean and increases equatorward in the Pacific (Table 1). Average deep-sea
- 482 nitrate  $\delta^{15}$ N for the global ocean is 5.0±0.3‰ (similar to suggested by Sigman et al., 1999),
- 483 but average observed nitrate  $\delta^{15}$ N below 3000 m increases from 4.7±0.1‰ in the Pacific
- 484 sector of the Southern Ocean to  $4.9\pm0.2\%$  in the deep South Pacific,  $5.4\pm0.2\%$  in the deep
- 485 tropical Pacific, and 5.2±0.2‰ in the deep North Pacific (Table 1). This is consistent with
- the known increase in nitrate concentrations and lowering of deep oxygen concentrations
- from the deep South to Tropical and North Pacific (e.g., see Fig. 4E in (Rafter et al., 2013)).

- 488 This contrasts with no significant change in deep Atlantic nitrate  $\delta^{15}$ N, despite the export of
- 489 slightly elevated nitrate  $\delta^{15}$ N into intermediate-depth Atlantic (see above and (Tuerena et
- al., 2015)) and the introduction of a different deep water mass (North Atlantic Deep Water)
- 491 in the North Atlantic. The distribution of deep Pacific nitrate  $\delta^{15}$ N is coherent with elevated
- 492 organic matter  $\delta^{15}$ N being produced and exported from the lower latitude surface and
- 493 remineralized at depth. In other words, inter-basin differences sinking organic matter  $\delta^{15}$ N
- 494 best explains the inter-basin differences in deep EANN and observed nitrate  $\delta^{15}$ N.
- Diapycnal mixing from the low latitude Pacific ODZ regions may also play a role in the
- south-to-north elevation of deep Pacific nitrate  $\delta^{15}$ N, but we cannot quantify the magnitude
- 497 of that influence without a circulation model. Future work should look into this issue.
- 498

#### 499 **5 Conclusions**

- 500 We find that an Ensemble of Artificial Neural Networks (EANN) can be trained on
- 501 climatological distributions of physical and biogeochemical tracers to reproduce a global
- 502 database of nitrate  $\delta^{15}$ N observations (Fig. 2) with good fidelity (Fig. 3). We used the EANN
- to produce global climatological maps of nitrate  $\delta^{15}$ N at a 1 degree-resolution from the
- surface to the seafloor. These results help identify spatial patterns (Figs. 4-6) and quantify
- regional and basin-average oceanic values of nitrate  $\delta^{15}$ N (Fig. 7). Major differences
- between the observed and EANN-predicted nitrate  $\delta^{15}$ N appear to be caused by temporal
- 507 variability of nitrate  $\delta^{15}$ N in the upper ocean and in ODZs associated with variable nitrate
- 508 uptake and denitrification rates. Additional measurements of nitrate  $\delta^{15}$ N will help to
- develop seasonally-resolved maps that can improve upon the climatological mean map
- 510 provided here.
- 511
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- 515 compiled data set and data product is available in several online databases (BCO-DMO.org,
- 516 pangaea.de, and webodv.awi.de). Many figures were made using Ocean Data View software
- 517 (Schlitzer, 2002). Custom made color palettes and are available via www.prafter.com.
- 518

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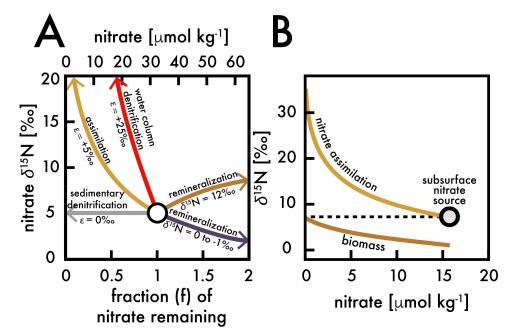
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# Table 1: Average EANN nitrate $\delta^{15}N \ge 3000$ m for each ocean region (tropical being between 23.5°N and 23.5°S)

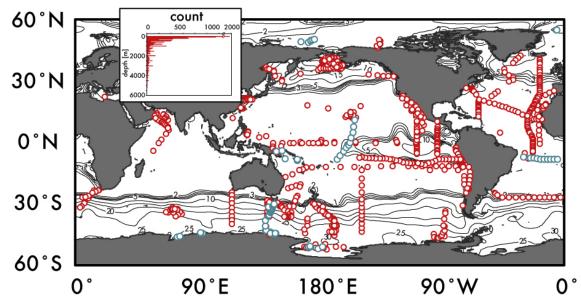
	Indian	Pacific	Atlantic
North		5.4±0.2	4.8±0.1
Tropical	5.1±0.2	5.2±0.2	4.9±0.1
South	4.8±0.1	5.0±0.2	4.8±0.1
South	1.0±0.1	5.0±0.2	1.0±0.1

Southern	Global
4.8±0.1	5.0±0.3



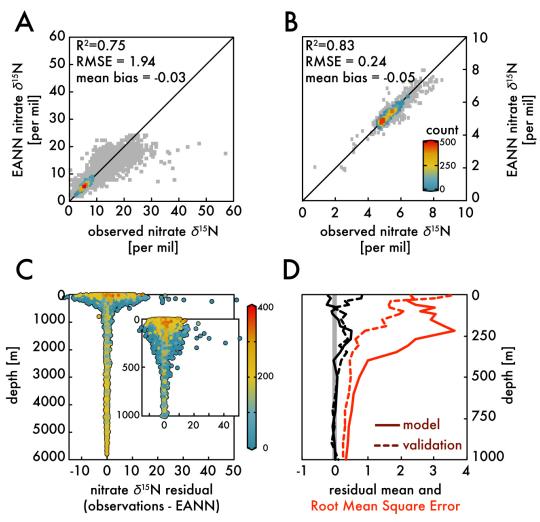
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849 **Figure 1:** (A) A comparison of influences on average deep-sea nitrate (circle; concentration 850 and  $\delta^{15}$ N estimated here by EANN results in this work) including: the isotope effects of 851 assimilation (yellow arrow), water column and sedimentary denitrification (red and gray 852 arrows), and the addition of nitrate via remineralization of organic matter with higher and lower  $\delta^{15}$ N (brown and purple arrows) (modified from Galbraith et al., (2008)). (B) An 853 854 example of N isotopic fractionation on nitrate and organic matter biomass during nitrate 855 assimilation in eastern equatorial Pacific surface waters (from Rafter and Sigman, (2016)). 856 These calculations are based on isotopic fractionation equations of (Mariotti et al., 1981) 857 simplified in (Sigman and Casciotti, 2001) with an isotope effect ( $\varepsilon$ ) as shown in (A). The 858 variable "f" is the observed / initial nitrate concentration. 859 860



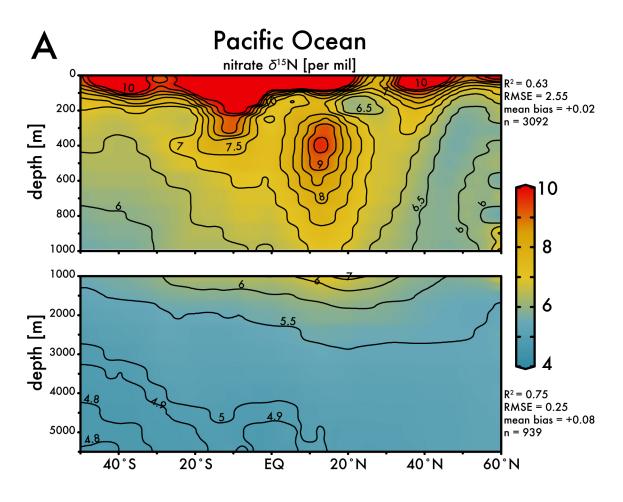
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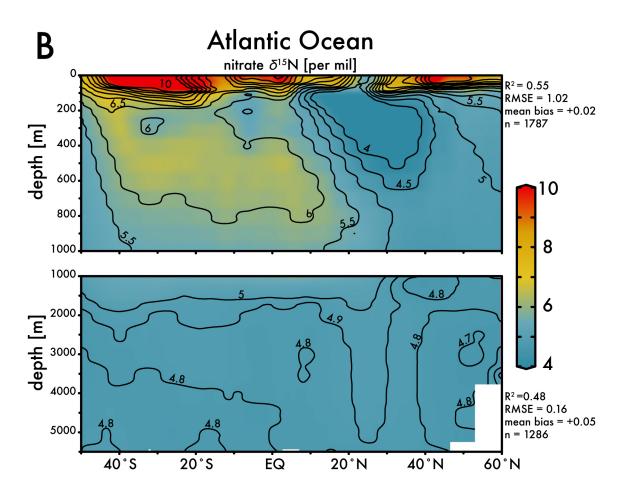
**Figure 2:** The location of global nitrate  $\delta^{15}$ N observations used to constrain the Ensemble of Artificial Neural Networks are shown as red circles. Observations used as an 'external validation dataset' (those withheld from training the EANN) are shown in blue. Inset figure shows the number of observations versus depth. Contours are surface nitrate concentrations for October-December from World Ocean Atlas (Garcia et al., 2014).

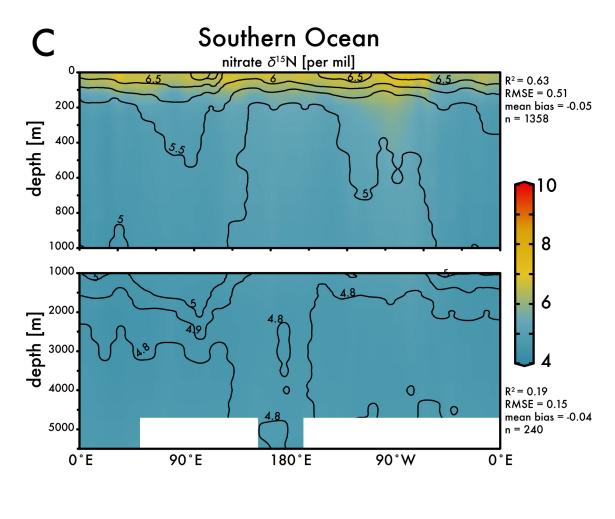


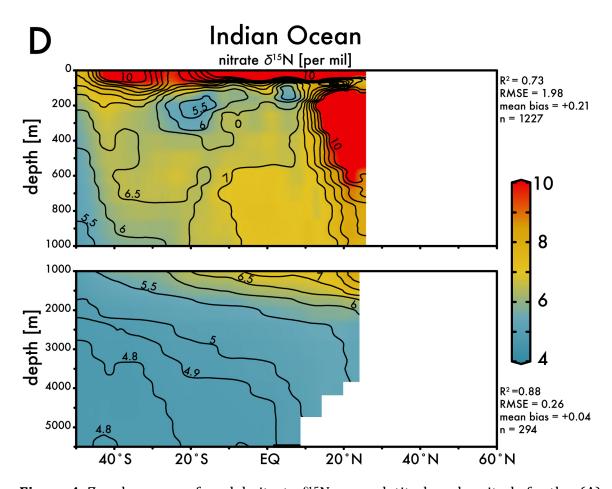
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**Figure 3:** The binned observed versus EANN-predicted nitrate  $\delta^{15}$ N are shown for all data 870 871 at all depths in (A) and for >1000 m in (B). The colors indicate the number of comparisons 872 on the World Ocean Atlas grid. The anomalously high observed nitrate  $\delta^{15}$ N values (>30‰) 873 in (A) are exclusively from the Eastern Tropical South Pacific waters (Bourbonnais et al., 874 2015; Casciotti et al., 2013; Rafter et al., 2012; Ryabenko et al., 2012). The difference (or 875 residual) between the observations and EANN nitrate  $\delta^{15}$ N is made for all depths and the 876 upper 1000 m in (C) with colors representing the dissolved oxygen content. Note the 877 largest offsets between 100-500 m in (C) are associated with lowest oxygen content. 878 Similarly, the mean residual (black) and Root Mean Square Error (RMSE; red) with depth 879 (D) are highest in the upper 500 m. Dashed lines in (D) demonstrate the same statistics, but 880 for the external validation dataset (blue in Fig. 2). 881









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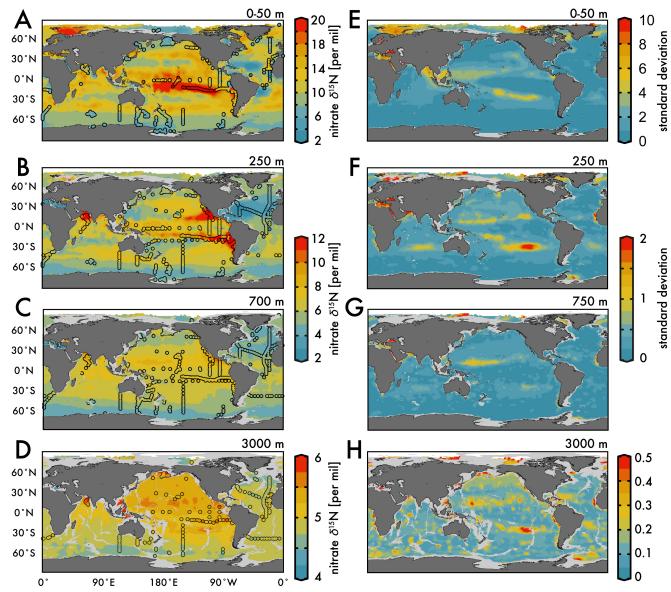
**Figure 4**: Zonal average of model nitrate  $\delta^{15}$ N versus latitude or longitude for the: (A)

Pacific Ocean, (B) Atlantic Ocean, (C) Southern Ocean, and the (D) Indian Ocean. White bars
indicate no data because of land. The R<sup>2</sup>, RMSE, mean bias, and total number (n) of

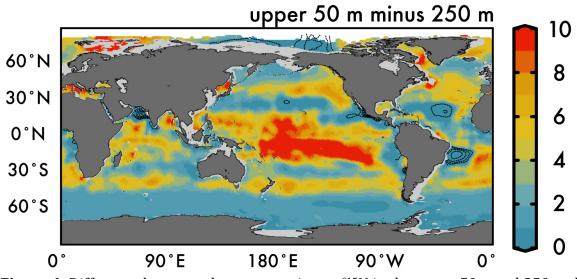
890 observed versus EANN nitrate  $\delta^{15}$ N are shown on the right for each region and depth range.

891 White indicates regions of no data coverage. Note that these zonally-averaged views

892 obscure zonal gradients in nitrate  $\delta^{15}$ N (see Figure 5).



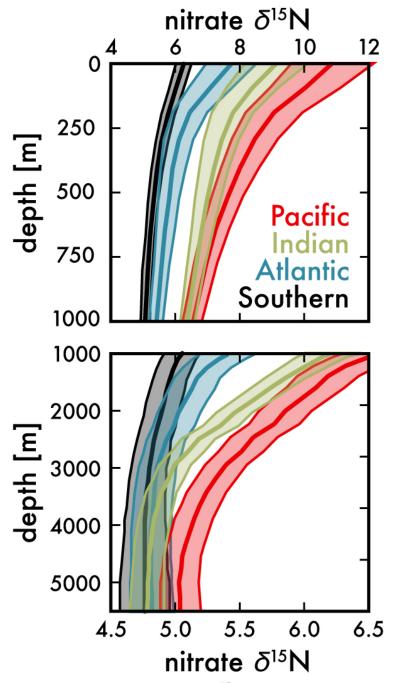
894 0° 90°E 180°E 90°W 0° 4 895 **Figure 5:** (Left) Map view of nitrate  $\delta^{15}$ N from our EANN and our observations (circles) for 896 the (A) average over the 0-50 m depth as well as the (B) 250 m, (C) 700 m, and (D) 3000 897 depth surfaces. (Right) Map views of nitrate  $\delta^{15}$ N error from the EANN model nitrate  $\delta^{15}$ N 898 for the same depth surfaces on left.



900 $0^{\circ}$  $90^{\circ}E$  $180^{\circ}E$  $90^{\circ}W$  $0^{\circ}$ 901Figure 6: Difference between the average nitrate  $\delta^{15}N$  in the upper 50m and 250 m depths902in Figure 5. Dashed contours in low latitude ODZ regions and subtropical gyres indicate

regions where nitrate  $\delta^{15}$ N at 250 m is greater than the upper 50 m nitrate  $\delta^{15}$ N.

903 Tegions (



**Figure 7**: Mean EANN nitrate  $\delta^{15}$ N (solid line) and 1-sigma standard deviation (envelope) with depth for each ocean basin. Note change in vertical and horizontal axes between top

908 and bottom.