



# 1 Global trends in marine nitrate N isotopes from observations and a neural network- 2 based climatology

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8

## 9 Abstract

10 Nitrate is a critical ingredient for life in the ocean because, as the most abundant form of  
11 fixed nitrogen in the ocean, it is an essential nutrient for primary production. The  
12 availability of marine nitrate is principally determined by biological processes, each having  
13 a distinct influence on the N isotopic composition of nitrate (nitrate  $\delta^{15}\text{N}$ )—a property that  
14 informs much of our understanding of the marine N cycle as well as marine ecology,  
15 fisheries, and past ocean conditions. However, the sparse spatial distribution of nitrate  $\delta^{15}\text{N}$   
16 observations makes it difficult to apply this useful property in global studies, or to facilitate  
17 robust model-data comparisons. Here, we use a compilation of published nitrate  $\delta^{15}\text{N}$   
18 measurements ( $n = 12277$ ) and climatological maps of physical and biogeochemical tracers  
19 to create a surface-to-seafloor,  $1^\circ$  resolution map of nitrate  $\delta^{15}\text{N}$  using an Ensemble of  
20 Artificial Neural Networks (EANN). The strong correlation ( $R_2 > 0.87$ ) and small mean  
21 difference ( $< 0.05\text{‰}$ ) between EANN-estimated and observed nitrate  $\delta^{15}\text{N}$  indicates that  
22 the EANN provides a good estimate of climatological nitrate  $\delta^{15}\text{N}$  without a significant bias.  
23 The magnitude of observation-model residuals is consistent with the magnitude of  
24 seasonal-decadal changes in observed nitrate  $\delta^{15}\text{N}$  that are not captured by our  
25 climatological model. As such, these observation-constrained results provide a globally-  
26 resolved map of mean nitrate  $\delta^{15}\text{N}$  for observational and modeling studies of marine  
27 biogeochemistry, paleoceanography, and marine ecology.

28

## 29 1 Introduction

30 In contrast to other marine nutrients (e.g., phosphate and silicate), the inventory of nitrate  
31 ( $\text{NO}_3^-$ ) is mediated by biological processes, where the main source is  $\text{N}_2$  fixation by  
32 diazotrophic phytoplankton and the main sink is denitrification (via a microbial  
33 consortium in oxygen deficient waters and sediments) (Codispoti and Christensen, 1985).  
34 Biological processes also determine the distribution of marine nitrate throughout the water  
35 column, with phytoplankton assimilating nitrate / lowering nitrate concentrations in the  
36 surface ocean and the microbially-mediated degradation of organic matter in the  
37 subsurface. (The latter involving the multi-step process of ammonification (organic matter  
38  $\rightarrow \text{NH}_4^+$ ) and nitrification ( $\text{NH}_4^+ \rightarrow \text{NO}_2^- \rightarrow \text{NO}_3^-$ )). By regulating the global inventory and  
39 distribution of marine nitrate, these N cycling processes control global net primary  
40 productivity, the transfer of nutrients to higher trophic levels such as fishes, and the  
41 strength of the ocean's biological carbon pump (Dugdale and Goering, 1967).

42

43 Each of these biologically mediated N transformations affects the N isotopic composition of  
44 nitrate in unique ways (Fig.s 1A & 1B and see Section 2), adjusting the relative abundance  
45 of  $^{15}\text{N}$  and  $^{14}\text{N}$  in oceanic nitrate relative to the atmosphere.  $\delta^{15}\text{N} = (^{15}\text{N}/^{14}\text{N}_{\text{sample}} /$



46  $^{15}\text{N}/^{14}\text{N}$  standard) – 1), multiplied by 1000 to give units of per mil (‰); see (Sigman and  
47 Casciotti, 2001) for simplified equations from (Mariotti et al., 1981). Nitrate  $\delta^{15}\text{N}$   
48 measurements have become a powerful tool for understanding the ‘biogeochemical history’  
49 of marine nitrate, which includes nitrate assimilation by phytoplankton (Miyake and Wada,  
50 1967; Wada and Hattori, 1978), nitrogen fixation (Carpenter et al., 1997; Hoering & Ford,  
51 1960), denitrification (Liu, 1979), and nitrification (Casciotti et al., 2013). For example, the  
52 consumption of nitrate by denitrification (red line in Fig. 1A) has a larger impact on the  
53 residual nitrate  $\delta^{15}\text{N}$  than does partial nitrate assimilation by phytoplankton (yellow line in  
54 Fig. 1), and thus very high  $\delta^{15}\text{N}$  values serve as a fingerprint of denitrification. Nitrate  $\delta^{15}\text{N}$   
55 is also influenced by the addition of nitrate via remineralization of organic matter. The  
56 exact influence of remineralization depends on the isotopic composition of the organic  
57 matter, and could result in both higher or lower nitrate  $\delta^{15}\text{N}$  (Fig. 1A). Nitrate introduced  
58 into the water column by the remineralization of organic matter formed by  $\text{N}_2$ -fixing  
59 phytoplankton has an isotopic composition close to that of air (0-1‰), and serves to lower  
60 the mean ocean  $\delta^{15}\text{N}$  (Fig. 1B). On the other hand, organic matter formed in regions where  
61 the plankton use of most of the available nitrate can be isotopically heavy, and its  
62 remineralization will increase the  $\delta^{15}\text{N}$  of ambient nitrate (Fig. 1B). The actual value of  
63 organic matter  $\delta^{15}\text{N}$  is determined by: (1) the  $\delta^{15}\text{N}$  of nitrate delivered to the euphotic zone  
64 (the subsurface source), which in turn is dependent on the degree of water-column  
65 denitrification and (2) the degree of nitrate consumption at the ocean surface, with heavier  
66 values associated with greater nitrate consumption (Fig. 1B). Accordingly, changes in  
67 organic matter  $\delta^{15}\text{N}$  (and therefore sediment  $\delta^{15}\text{N}$  used for paleoceanographic work) can  
68 reflect variability of the source nitrate  $\delta^{15}\text{N}$  and/or variability of the degree of nitrate  
69 consumption (e.g., see (Rafter and Charles, 2012)).

70  
71 Because of nitrate’s place at the base of the marine ecosystem, nitrate  $\delta^{15}\text{N}$  is also useful for  
72 understanding the lifecycles of higher trophic level organisms such as fish (Graham et al.,  
73 2007; Tawa et al., 2017) and fishery productivity (Finney et al., 2002, 2000). The  $\delta^{15}\text{N}$  of  
74 whole sediment and microfossils provides insight *by proxy* of past ocean nitrate  
75 transformations (Altabet and Francois, 1994; Galbraith et al., 2008; Kienast et al., 2008;  
76 Rafter et al., 2012; Robinson et al., 2004; Sigman et al., 1999b)—work that places important  
77 constraints on modern ocean N cycling (Altabet, 2007; Eugster et al., 2013; Ren et al.,  
78 2017). With an understanding of the N transformations described above and their  
79 influences on the N isotopic composition of nitrate, we can begin using nitrate  $\delta^{15}\text{N}$   
80 measurements to trace the integrated biogeochemical history of marine nitrate. However,  
81 identifying basin- and global-scale trends in nitrate  $\delta^{15}\text{N}$  is challenged by the limited spatial  
82 extent of nitrate  $\delta^{15}\text{N}$  observations (Fig. 2). Here, we compile a global database of nitrate  
83  $\delta^{15}\text{N}$  measurements (Fig. 2) and use an Ensemble Artificial Neural Network (EANN) to  
84 produce a map of the global nitrate  $\delta^{15}\text{N}$  distribution at 1-degree spatial resolution. We find  
85 that the mapped nitrate  $\delta^{15}\text{N}$  climatology matches the observations well and should be a  
86 valuable tool for estimating mean conditions and for constraining predictive nitrate  $\delta^{15}\text{N}$   
87 models (Somes et al., 2010; Yang and Gruber, 2016). Below we briefly discuss how the  
88 EANN was used to produce global maps of nitrate  $\delta^{15}\text{N}$  (Section 2), address the ability of  
89 the EANN to match the measured  $\delta^{15}\text{N}$  (Section 3), and examine the EANN-mapped  $\delta^{15}\text{N}$



90 climatology and global compilation of nitrate  $\delta^{15}\text{N}$  in the context of published work  
91 (Section 4).

92

## 93 **2 Methods**

### 94 **2.1 Data Compilation**

95 Nitrate  $\delta^{15}\text{N}$  observations (Fig. 2; references in Table 3) were compiled from studies dating  
96 from 1975 (Cline and Kaplan, 1975) to 2018 (Fripiat et al., 2018), including data from the  
97 GEOTRACES Intermediate Data Product (Schlitzer et al., 2018). Whenever possible, the  
98 data was acquired via the original author, but in other cases the data was estimated from  
99 the publication directly. All observations were treated equally, although the failure to  
100 remove nitrite when using the “denitrifier method” may bias the nitrate  $\delta^{15}\text{N}$  to low values  
101 (Raftar et al., 2013). These measurements have been identified as “nitrate+nitrite” in the  
102 dataset to acknowledge this potential biasing, which predominantly affects observations in  
103 the upper 100 m (Kemeny et al., 2016; Raftar et al., 2013).

104

### 105 **2.2 Building the neural network model**

106 We utilize an ensemble of artificial neural networks (EANNs) to interpolate our global  
107 ocean nitrate  $\delta^{15}\text{N}$  database (Fig. 2), producing complete 3D maps of the data. By utilizing  
108 an artificial neural network (ANN), a machine learning approach that effectively identifies  
109 nonlinear relationships between a target variable (the isotopic dataset) and a set of input  
110 features (other available ocean datasets), we can fill holes in our data sampling coverage of  
111 nitrate  $\delta^{15}\text{N}$ .

112

#### 113 **2.2.1 Binning target variables (Step 1)**

114 We binned the nitrate  $\delta^{15}\text{N}$  observations (red symbols in Fig. 2) to the World Ocean Atlas  
115 2009 (WOA09) grid with a 1-degree spatial resolution and 33 vertical depth layers (0-5500  
116 m) (Garcia et al., 2010). When binning vertically, we use the midpoint between the depth  
117 values of one layer and the next as the partition between bins (e.g. the first depth layer has  
118 a value of 0 m, the second of 10 m, and the third of 20 m, so all nitrate isotopic data  
119 sampled between 0-5 m fall in the 0 m bin; between 5-15 m they fall in the 10 m bin, etc.). A  
120 point that lies right at the midpoint between depth intervals is binned to the shallower  
121 interval. If more than one raw data point falls in a grid cell we take the average of all those  
122 points as the value for that grid cell. Certain whole ship tracks of nitrate  $\delta^{15}\text{N}$  data were  
123 withheld from binning to be used as an independent validation set (see section 2.2.4).

124

#### 125 **2.2.2 Obtaining input features (Step 2)**

126 Our input dataset contains a set of climatological values for physical and biogeochemical  
127 ocean parameters that form a non-linear relationship with the target data. These include  
128 objectively analyzed annual-mean fields for temperature, salinity, nitrate, oxygen, and  
129 phosphate taken from the WOA09  
130 (<https://www.nodc.noaa.gov/OC5/WOA09/woa09data.html>) at 1-degree resolution.  
131 Additionally, daily chlorophyll data from Modis Aqua for the period Jan-1-2003 through  
132 Dec-31-2012 is binned to the WOA09 grid (as described in Step 1) to produce a  
133 climatological field of chlorophyll values, which we then log transform to reduce their  
134 dynamic range.



135

136 **2.2.3 Training the ANN (Step 3)**

137 The architecture of our ANN consists of a single hidden layer, containing 25 nodes, that  
138 connects the biological and physical input features (discussed in Step 2) to the target  
139 nitrate isotopic variable (as discussed in Step 1). The number of nodes in this hidden layer,  
140 as well as the number of input features, determines the number of adjustable weights (the  
141 free parameters) in the network. Because there is a danger of over-fitting the model, which  
142 occurs when the ANN is over-trained on a dataset so that it cannot generalize well when  
143 presented with new data, it is a good practice to have a large number of training data  
144 ( $\approx 7000$  binned data points) relative to the number of weights (200 free parameters). A  
145 nonlinear activation function transforms the product of the weights and input features,  
146 creating the values assigned to nodes in the hidden layer. Our model utilizes the hyperbolic  
147 tangent as its activation function between the input and hidden layer as well as between  
148 the hidden and output layer due to its relative speed and general performance (Thimm and  
149 Fiesler, 1997). At the output layer, the network produces a prediction of the target nitrate  
150 isotopic data ( $t_{\text{pred}}$ ), which it then compares to the actual values of that dataset ( $t_{\text{data}}$ ). The  
151 ANN attempts to minimize the value of the cost function

152

$$\text{cost} = \frac{\sum_{i=1}^n (t_{\text{pred}}^i - t_{\text{data}}^i)^2}{n}$$

153

154 by iteratively adjusting the weights using the Levenberg-Marquardt algorithm (Marquardt,  
155 1963).

156

157 **2.2.4 Validating the ANN (Step 4)**

158 To ensure good generalization of the trained ANN to novel data, we randomly withhold  
159 10% of the target isotopic data ( $t_{\text{data}}$ ) to be used as an internal validation set for each  
160 network. This is data that the network never sees, meaning it does not factor into the cost  
161 function, so it works as a test of the ANN's ability to generalize. This internal validation set  
162 acts as a gate-keeper to prevent poor models from being accepted into the ensemble of  
163 trained networks. Our pass criterion is an  $R^2$  value greater than 0.9 between the ANN's  
164 predicted value and the actual values of the validation set. A second, independent or  
165 'external' validation set (blue symbols in Fig. 2), composed of complete ship transects from  
166 the high and low latitude ocean were omitted from binning in Step 1 and used to establish  
167 the performance of the entire ensemble. This independent validation set is never used in  
168 the process of developing our ensemble of ANNs.

169

170 **2.2.5 Forming the Ensemble (Step 5)**

171 The ensemble is formed by repeating Steps 3 to 4 until we obtain 25 trained networks for  
172 the nitrate  $\delta^{15}\text{N}$  dataset. Using an EANN instead of any single network provides several  
173 advantages. For example, the random initialization of the weight values in each network as  
174 well as differences in the training and internal validation sets used across members make it  
175 possible for many different networks to achieve similar performance on their respective  
176 validation set while generalizing areas with no data coverage differently. By performing  
177 this type of data subsampling and taking an ensemble average, similar to bootstrap



178 aggregating (Breiman, 1996) this approach improves the robustness of the generalization  
179 in areas without data coverage, as demonstrated by the improved performance of the  
180 ensemble versus any single member on the independent validation set. The range of values  
181 given by the ensemble also provides a measure of the uncertainty for our estimations of  
182  $\delta^{15}\text{N}$ .

183

### 184 **3 Results**

#### 185 **3.1 Global nitrate $\delta^{15}\text{N}$ observations**

186 The global compilation of nitrate  $\delta^{15}\text{N}$  includes 1180 stations from all major ocean basins  
187 and some minor seas (Fig. 2) giving a total of 12277 nitrate  $\delta^{15}\text{N}$  measurements. Within  
188 this dataset, 1197 nitrate  $\delta^{15}\text{N}$  measurements were withheld from the EANN and used to  
189 validate the EANN results to ensure good extrapolation (the ‘external’ validation dataset;  
190 blue symbols in Fig. 2, see Section 2). With observations from the surface to as deep as  
191 6002 m (Rafter et al., 2012), we find that nitrate  $\delta^{15}\text{N}$  ranges from  $\approx 1\text{‰}$  in the North  
192 Atlantic (e.g., Marconi et al., 2015) to  $68.7\text{‰}$  in the Eastern Tropical South Pacific  
193 (Bourbonnais et al., 2015). Nitrate  $\delta^{15}\text{N}$  of  $\approx 1\text{‰}$  was also irregularly observed in the  
194 shallow North and South Pacific (Liu et al., 1996; Yoshikawa et al., 2015). These latter  
195 observations were included in the training dataset, although we should note that the  
196 measurements using the ‘Devarda’s Alloy’ method (Liu et al., 1996) is thought to be biased  
197 low (Altabet and Francois, 2001). Similarly, the inclusion of nitrite for ‘denitrifier method’  
198 nitrate  $\delta^{15}\text{N}$  can bias the measurement to lower values (Kemeny et al., 2016; Rafter et al.,  
199 2013).

200

#### 201 **3.2 Marine nitrate $\delta^{15}\text{N}$ observations-model comparison**

202 The observed and EANN-predicted nitrate  $\delta^{15}\text{N}$  measurements are distributed around a 1:1  
203 line in Fig. 3A (all data), with considerably less scatter for the deeper values (data  $>1000$  m;  
204 Fig. 3B). The correlation coefficient of determination for the observations versus the model  
205 nitrate  $\delta^{15}\text{N}$  gives an  $R^2=0.75$  for the observations used to train the EANN and an  $R^2$  of 0.78  
206 for the validation dataset. We can also examine the performance of the EANN with the  
207 nitrate  $\delta^{15}\text{N}$  “residual” or the difference between observed and modeled  $\delta^{15}\text{N}$ , which  
208 indicates a mean residual or ‘mean bias’ value of  $-0.03\text{‰}$  for the entire dataset and  
209  $+0.18\text{‰}$  for the validation dataset.

210

211 Examining the observation-EANN residuals via the Root Mean Square Error (RMSE), we  
212 find an RMSE of  $1.94\text{‰}$  for the data used to train the EANN and an RMSE of  $1.26\text{‰}$  for the  
213 external validation dataset. There is a clear relationship between RMSE and depth, with a  
214 significantly higher RMSE for the upper 500 m (Figs. 3C and 3D). Comparing these residual  
215 values with dissolved oxygen concentrations (color in Fig. 3C), we find that  $>2\text{‰}$  RMSE for  
216 the surface is associated with high oxygen while  $>2.7\text{‰}$  RMSE at  $\approx 250$  m is associated with  
217 the lowest oxygen. Furthermore, the RMSE of the observation-EANN residuals differs  
218 between the datasets used to train the model (solid red line in Fig. 3D) and validate the  
219 model (dashed line in Fig. 3D).

220

221 The RMSE patterns in Figs. 3C and 3D are to be expected given the natural variability in  
222 nitrate  $\delta^{15}\text{N}$  driven by assimilation in the upper ocean and denitrification in the shallow



223 sub-surface—variability which is not captured by the climatological EANN. Rafter and  
224 Sigman (2016), presented a 5-year time-series of nitrate  $\delta^{15}\text{N}$  from the eastern equatorial  
225 Pacific, which showed that variability of nitrate assimilation produces seasonal-to-  
226 interannual deviations of  $\delta^{15}\text{N}$  of  $\pm 2.5\text{‰}$ , which is similar to the magnitude of the RMSE in  
227 the surface ocean ( $2.2\text{‰}$ ). Although there are no nitrate  $\delta^{15}\text{N}$  time-series measurements  
228 from the subsurface Oxygen Deficient Zone (ODZ) waters where denitrification occurs,  
229 nitrate  $\delta^{15}\text{N}$  in ODZs presumably have similar seasonal-to-interannual (or longer timescale)  
230 variability due to changes in the rate and extent of water column denitrification (Deutsch et  
231 al., 2011; Yang et al., 2017). For example, a larger degree of nitrate undergoing water  
232 column denitrification would explain the extreme  $\delta^{15}\text{N}$  values at the bottom right of Fig.  
233 3A—observations that all come from the ODZ waters of the Eastern Tropical South Pacific  
234 (Bourbonnais et al., 2015; Casciotti et al., 2013; Rafter et al., 2012; Ryabenko et al., 2012).  
235 Some of these very high nitrate  $\delta^{15}\text{N}$  values are associated with nitrate concentrations  $< 1$   
236  $\mu\text{mol kg}^{-1}$  (Bourbonnais et al., 2015), values much lower than within our climatology for  
237 the subsurface Eastern Tropical South Pacific. These values thus represent episodic  
238 denitrification events that the EANN will not be able to capture because it is trained on  
239 climatological data. In the deep ocean where temporal variability is negligible, the  
240 observation-EANN residuals of  $0.2\text{‰}$  are the same magnitude as the  $\delta^{15}\text{N}$  analytical errors,  
241 further emphasizing the ability of the model to match climatological average conditions.  
242

#### 243 **4 Discussion**

244 The EANN's skillful estimate of mean marine nitrate  $\delta^{15}\text{N}$  can be useful for all areas of  
245 research using this widely used geochemical measurement. The zonal average view of  
246 EANN nitrate  $\delta^{15}\text{N}$  for each major ocean basin (Fig. 4) includes statistics comparing the  
247 observations versus EANN results above and below 1000 m. These region-specific statistics  
248 indicated a weaker correlation between EANN and observed nitrate  $\delta^{15}\text{N}$  in the deep  
249 Atlantic and Southern Ocean, despite low RMSE and negligible mean bias. This weak  
250 correlation likely derives from the limited range of deep nitrate  $\delta^{15}\text{N}$  variability ( $\pm 0.1\text{‰}$ ) in  
251 these basins (see Fig. 5D).  
252

253 The nitrate  $\delta^{15}\text{N}$  sections in Fig. 4 also show elevated values for the low latitude, upper  
254 mesopelagic Pacific (Fig. 4A) and Indian Oceans (Fig. 4D) where water column  
255 denitrification raises the residual nitrate  $\delta^{15}\text{N}$  (Fig. 1A). Viewing this elevated nitrate  $\delta^{15}\text{N}$   
256 at the 250 m depth horizon (Fig. 5) better reveals the spatial heterogeneity of the  
257 observations and EANN results. (It is because of this intra-basin heterogeneity, and the fact  
258 that many observations are biased towards the areas of denitrification, that we did not plot  
259 the observed nitrate  $\delta^{15}\text{N}$  within the zonally-averaged Fig. 4 views.) The EANN error for the  
260 Fig. 5 depth intervals (Figs. 5E-5H) is the standard deviation of the 25 ensemble members  
261 of the EANN and shows a decrease in ensemble variability with depth—a trend that is  
262 consistent with the overall decrease in observed nitrate  $\delta^{15}\text{N}$  variability with depth (Figs. 4  
263 & 5).  
264

265 Below we inspect the observed and EANN-predicted nitrate  $\delta^{15}\text{N}$  and discuss the  
266 consistency of these results with our understanding of published work. This analysis begins  
267 with the spatial distribution of nitrate delivered to the upper ocean. We then discuss the



268 impacts of upper ocean nitrate assimilation on organic matter  $\delta^{15}\text{N}$  and consider the  
269 influence of organic matter remineralization on sub-surface nitrate.

270

#### 271 **4.1 Subsurface and surface nitrate $\delta^{15}\text{N}$**

272 The nitrate  $\delta^{15}\text{N}$  distribution at 250 m depth (Fig. 5B) offers a view of nitrate at a depth  
273 that is deeper than source waters in many ocean regions (e.g., 100 to 150 m in the  
274 equatorial Pacific (Rafter and Sigman, 2016)), but is negligibly influenced by nitrate  
275 assimilation, and therefore provides a qualitative view of spatial trends in nitrate delivered  
276 to the surface ocean. Nitrate  $\delta^{15}\text{N}$  at this depth is highest in the North and South Eastern  
277 Tropical Pacific and Arabian Seas (Fig. 5B), due to the influence of water column  
278 denitrification in the ODZs in these regions (Altabet et al., 2012; Bourbonnais et al., 2015;  
279 Ryabenko et al., 2012), which preferentially uses the light isotope and leaves the residual  
280 nitrate enriched in  $^{15}\text{N}$ .

281

282 Lowest  $\delta^{15}\text{N}$  values of sub-surface nitrate are found in the Southern Ocean and in the North  
283 Atlantic. The North Atlantic subtropical gyre in particular has the lowest  $\delta^{15}\text{N}$  values in any  
284 basin (Fig. 5B; also see (Fawcett et al., 2011; Knapp et al., 2005, 2008)), which can be  
285 attributed to the remineralization of low- $\delta^{15}\text{N}$  organic matter originating from  $\text{N}_2$ -fixation,  
286 which has a  $\delta^{15}\text{N}$  between 0 and  $-1\text{‰}$  (similar to atmospheric  $\text{N}_2$ ; see Fig. 1B (Carpenter et  
287 al., 1997; Hoering & Ford, 1960)). Prior work argues that this nitrate  $\delta^{15}\text{N}$  lowering  
288 requires the bulk of Atlantic  $\text{N}_2$ -fixation ( $\approx 90\%$ ) to occur in the tropics (Marconi et al.,  
289 2017) followed by the advection of remineralized nitrate to the North Atlantic. This  
290 contrasts with numerical models arguing for high  $\text{N}_2$ -fixation rates in the North Atlantic  
291 (Ko et al., 2018). Similar local minima of sub-surface  $\delta^{15}\text{N}$  appear in all the sub-tropical  
292 gyres (Fig. 5B), which is consistent with observations (Casciotti et al., 2008; Yoshikawa et  
293 al., 2015) and presumably indicates the importance of  $\text{N}_2$ -fixation in these regions (Ko et  
294 al., (2018) and others). The  $\text{N}_2$ -fixation  $\delta^{15}\text{N}$  signal in the Pacific Ocean is counteracted by  
295 the influence of water-column denitrification in that basin, which imparts a high  $\delta^{15}\text{N}$   
296 signal, but a local minimum in  $\delta^{15}\text{N}$  can still be seen in the Pacific subtropical gyres (Fig.  
297 4A).

298

299 Nitrate assimilation by phytoplankton in the upper ocean is influenced by both the  
300 subsurface source nitrate  $\delta^{15}\text{N}$  and the degree of nitrate assimilation (Miyake and Wada,  
301 1967; Wada and Hattori, 1978) (Fig. 1B). This gives the expectation that average nitrate  
302  $\delta^{15}\text{N}$  values for the upper 50 m (Fig. 5A) will be consistently higher than those at 250 m  
303 (Fig. 5B). However, the highest values in the upper 50 m are not found above the ODZ  
304 regions, but are on the edges of high nitrate concentration upwelling zones in the Southern  
305 Ocean, equatorial Pacific, and subarctic gyres (contours in Fig. 2). Circulation in these 'edge'  
306 regions allows for nitrate to be advected along the surface, lengthening its time in the  
307 surface ocean and allowing more utilization to elevate the residual nitrate  $\delta^{15}\text{N}$  pool. In  
308 other words, the degree of nitrate utilization appears to play a more important role in  
309 determining surface nitrate  $\delta^{15}\text{N}$  than the initial value. (This is not the case for the organic  
310 matter  $\delta^{15}\text{N}$  produced from this nitrate, which will be discussed more below and in Fig. 1B.)

311



312 Despite our expectation of higher nitrate  $\delta^{15}\text{N}$  in the upper 50 m versus 250 m (Figs. 5A vs.  
313 5B), we identify two types of regions where this difference is negative (Fig. 6): above ODZ  
314 waters and in subtropical gyres. The explanation for the negative values above the ODZ  
315 regions is that the nitrate  $\delta^{15}\text{N}$  at 250 m must be much higher than the nitrate  $\delta^{15}\text{N}$   
316 upwelled to the surface. This is consistent with elevated ODZ nitrate  $\delta^{15}\text{N}$  having an  
317 indirect path to waters outside of ODZ regions (Peters et al., 2017; Rafter et al., 2013). The  
318 subtropical gyres also have modeled nitrate  $\delta^{15}\text{N}$  in the upper 50 m that is less than 250 m,  
319 but this finding is difficult to test with observations because of low nitrate concentrations.  
320 That said, the model predicts a lowering of the nitrate  $\delta^{15}\text{N}$  in the upper ocean relative to  
321 the 250 m depths, which is consistent with  $\text{N}_2$ -fixation in these regions.

322  
323 Our discussion above highlights the difficulty of distinguishing between the competing  
324 influences of the subsurface source nitrate  $\delta^{15}\text{N}$  and the degree of nitrate utilization on  
325 residual nitrate  $\delta^{15}\text{N}$ . Clearly a static depth does not reflect the subsurface source of nitrate  
326 delivered to the surface and a more robust method for estimating this subsurface source  
327 needs to be developed. However, some generalizations can be made regarding the organic  
328 matter  $\delta^{15}\text{N}$  produced in these regions and its potential influence (via remineralization) on  
329 subsurface nitrate throughout the water column via the export and remineralization of  
330 organic matter (Sigman et al., 2009). For example, a local minimum in  $\delta^{15}\text{N}$  is visible at 250  
331 m depth in the Eastern Equatorial Pacific (Fig. 5B; also discussed in several studies (Rafter  
332 et al., 2012; Rafter and Sigman, 2016)) is caused by the remineralization of organic matter  
333 with a low  $\delta^{15}\text{N}$  due to partial nitrate consumption at the surface. Below we discuss these  
334 and other influences on intermediate-depth nitrate  $\delta^{15}\text{N}$ .

335

#### 336 **4.2 Intermediate-depth nitrate $\delta^{15}\text{N}$ variability**

337 Waters at “intermediate” depths (here shown as the 750 m surface in Fig. 5C) are important  
338 because they are part of a large-scale circulation that initially upwells in the Southern  
339 Ocean and ultimately resupplies nutrients to the low latitude thermocline (Palter et al.,  
340 2010; Sarmiento et al., 2004; Toggweiler et al., 1991; Toggweiler and Carson, 1995). Within  
341 the context of this overturning, the nitrate upwelling in the Southern Ocean is initially  
342  $\approx 5\text{‰}$  (Figs. 4C & 5C) and the  $\delta^{15}\text{N}$  is elevated  $\approx 2\text{‰}$  by partial nitrate assimilation in  
343 surface waters as they are advected equatorward (see Figs. 5A and 6). Deep winter-time  
344 mixing in the Subantarctic Pacific converts these surface waters into mode and  
345 intermediate waters (Herraiz-Borreguero and Rintoul, 2011), introducing nitrate with a  
346 “pre-formed”  $\delta^{15}\text{N}$  of  $\approx 6\text{‰}$  into the intermediate-depth South Pacific and South Atlantic  
347 (Rafter et al., 2012, 2013; Tuerena et al., 2015) at depths between  $\approx 600$ -1200 m. The  
348 penetration of this pre-formed signal (nitrate  $\geq 6\text{‰}$ ) into the interior can be clearly seen in  
349 the Atlantic Ocean between  $\approx 40^\circ\text{S}$  to  $20^\circ\text{N}$  (Fig. 4B).

350

351 The same signal is carried with Southern Ocean mode and intermediate waters into the  
352 Pacific basin (Rafter et al., 2013), although it is difficult to distinguish in the model results  
353 against the higher background  $\delta^{15}\text{N}$  in this basins (Figs. 4A, 4D, 5C). The same process  
354 presumably introduces elevated nitrate  $\delta^{15}\text{N}$  to the Indian Ocean, which has similar values  
355 at this depth. Nitrate  $\delta^{15}\text{N}$  increases from the Southern Ocean toward the equator in the  
356 Pacific and Indian Oceans, but not in the Atlantic (Fig. 5C). Organic matter has a lower  $\delta^{15}\text{N}$



357 in the Atlantic than in the Pacific and Indian because of a lack of water-column  
358 denitrification supplying high- $\delta^{15}\text{N}$  water to the surface, and because of the high rates of  
359  $\text{N}_2$ -fixation which supply isotopically light N to organic matter (Marconi et al., 2017;  
360 Tuerena et al., 2015). This contrast in intermediate-depth nitrate  $\delta^{15}\text{N}$  can be traced to the  
361 lower  $\delta^{15}\text{N}$  of organic matter remineralized in this region—an explanation that is also  
362 consistent with enhanced  $\text{N}_2$  fixation in the tropical Atlantic (Marconi et al., 2017). The  
363 increase in intermediate-depth nitrate  $\delta^{15}\text{N}$  from the Subantarctic to the tropical Pacific  
364 appears to result from the remineralization of organic matter with a  $\delta^{15}\text{N}$  elevated by high  
365 source nitrate  $\delta^{15}\text{N}$  (near the ODZ) or extreme elevation of residual nitrate  $\delta^{15}\text{N}$  (advected  
366 along the surface away from the equator; see high surface nitrate  $\delta^{15}\text{N}$  in Fig. 5A). Previous  
367 work suggests that direct mixing with denitrified waters represents only a small fraction of  
368 the change from the pre-formed high latitude value ( $\approx 6\text{‰}$ ) to tropical nitrate  $\delta^{15}\text{N}$  of  $\approx 7\text{‰}$   
369 (Peters et al., 2017; Rafter et al., 2013; Rafter and Charles, 2012). Equivalent processes  
370 must drive the  $\delta^{15}\text{N}$  in the intermediate-depth Indian Ocean, which is similarly elevated in  
371 the EANN, although direct observations are needed in order to confirm how well the EANN  
372 extrapolates in this region.

373

#### 374 **4.4 Deep-sea nitrate $\delta^{15}\text{N}$ trends**

375 Our discussion above suggests that the basin-scale balance of  $\text{N}_2$ -fixation and water-column  
376 denitrification is a major contributor to inter-basin nitrate  $\delta^{15}\text{N}$  gradients in the upper  
377 ocean, lowering values in the Atlantic Oceans compared to the Pacific and Indian Oceans.  
378 Averaging EANN nitrate  $\delta^{15}\text{N}$  from the surface to 5500 m for each ocean basin (Fig. 7), we  
379 find that these basin-scale nitrate  $\delta^{15}\text{N}$  differences also persist into the deep-sea. (Note that  
380 the basin-scale model nitrate  $\delta^{15}\text{N}$  differences shown in Fig. 7 are even larger for the nitrate  
381  $\delta^{15}\text{N}$  observations because the measurements are spatially biased towards areas of water  
382 column denitrification in the Pacific and Indian Oceans (see Fig. 2).)

383

384 The remineralization of organic matter is one process that can—and has been used to—  
385 explain both the elevation of deep Pacific nitrate  $\delta^{15}\text{N}$  (Peters et al., 2017; Rafter et al.,  
386 2013; Sigman et al., 2009) and lowering of deep Atlantic nitrate  $\delta^{15}\text{N}$  (Knapp et al., 2008;  
387 Marconi, 2017; Marconi et al., 2017; Tuerena et al., 2015) relative to the deep ocean mean.  
388 Here we provide two additional pieces of evidence that argue for the remineralization of  
389 organic matter as the key driver of these deep-sea nitrate  $\delta^{15}\text{N}$  differences. Our first piece  
390 of evidence is that the average subsurface source of nitrate to the Pacific and Indian Ocean  
391 surface has a significantly higher  $\delta^{15}\text{N}$  (by  $2\text{‰}$  at the 250 m depth surface) than the  
392 Atlantic and Southern Oceans (Figs. 5B and 7). Nitrate  $\delta^{15}\text{N}$  at 250 m is an admittedly  
393 imprecise estimate for the nitrate upwelled to the surface, but even a slight elevation in  
394 Pacific source nitrate  $\delta^{15}\text{N}$  and near complete nitrate utilization at the surface will translate  
395 into higher sinking organic matter  $\delta^{15}\text{N}$  (i.e., see Fig. 1B).

396

397 Our second piece of evidence that the export and remineralization of organic matter drives  
398 the inter-basin differences in deep nitrate  $\delta^{15}\text{N}$  comes from sediment trap measurements.  
399 Averaging published sediment trap organic matter  $\delta^{15}\text{N}$  from the subtropical and tropical  
400 Pacific gives a value of  $8.5 \pm 2.9\text{‰}$  (Knapp et al., 2016; Robinson et al., 2012), which is



401 significantly higher than measured in traps from the Atlantic ( $4.5 \pm 1.5\text{‰}$ ) (Freudenthal et  
402 al., 2001; Holmes et al., 2002; Lavik, 2000; Thunell et al., 2004). Given observed Southern  
403 Ocean nitrate characteristics (Rafter et al., 2013), we estimate an even lower typical sinking  
404 organic matter  $\delta^{15}\text{N}$  of  $+1.5\text{‰}$  for this region. (This assumes initial values are the Upper  
405 Circumpolar Deep Water and final values from the Open Antarctic Zone. Published results  
406 from the iron-fertilized Kerguelen Plateau region are predictably higher (Trull et al.,  
407 2008).) The much lower Southern Ocean sinking organic matter  $\delta^{15}\text{N}$  is consistent with  
408 partial consumption of nitrate at the surface (see Fig. 1B) and the entrainment of this  
409 nitrate in equatorward-moving intermediate waters acts to export nitrate with elevated  
410  $\delta^{15}\text{N}$  to intermediate waters throughout the Southern Hemisphere (see discussion above).  
411 Based on this evidence, it appears that global patterns of sinking organic matter  $\delta^{15}\text{N}$  are  
412 consistent with the remineralization of this organic matter driving subtle, but significant  
413 differences in deep-sea nitrate  $\delta^{15}\text{N}$ .

414  
415 An alternative explanation for the deep-sea nitrate  $\delta^{15}\text{N}$  differences in Fig. 7 is that they  
416 reflect the lateral (along isopycnal) advection of elevated nitrate  $\delta^{15}\text{N}$  from ODZ regions.  
417 However, we can easily dismiss this explanation by looking at the meridional trends in  
418 deep-sea nitrate  $\delta^{15}\text{N}$ —following the deep waters from their entrance in the south and  
419 movement northward. What we find is that deep EANN nitrate  $\delta^{15}\text{N}$  (numbers in Fig. 5D) is  
420 lowest in the Southern Ocean and increases equatorward in the Pacific. Average observed  
421 nitrate  $\delta^{15}\text{N}$  below 2500 m increases from  $4.7 \pm 0.1\text{‰}$  in the Pacific sector of the Southern  
422 Ocean to  $4.9 \pm 0.2\text{‰}$  in the deep South Pacific,  $5.4 \pm 0.2\text{‰}$  in the deep tropical Pacific, and  
423  $5.2 \pm 0.2\text{‰}$  in the deep North Pacific. This contrasts with no significant change in deep  
424 Atlantic nitrate  $\delta^{15}\text{N}$ , despite the export of slightly elevated nitrate  $\delta^{15}\text{N}$  into intermediate-  
425 depth Atlantic (see above and (Tuerena et al., 2015)) and the introduction of a different  
426 deep water mass (North Atlantic Deep Water) in the North Atlantic. The distribution of  
427 deep Pacific nitrate  $\delta^{15}\text{N}$  is coherent with elevated organic matter  $\delta^{15}\text{N}$  being produced and  
428 exported from the lower latitude surface and remineralized at depth. In other words, inter-  
429 basin differences sinking organic matter  $\delta^{15}\text{N}$  best explains the inter-basin differences in  
430 deep EANN and observed nitrate  $\delta^{15}\text{N}$ . Vertical, diapycnal mixing from the low latitude  
431 Pacific ODZ regions may also play a role in the south-to-north elevation of deep Pacific  
432 nitrate  $\delta^{15}\text{N}$ , but we cannot quantify the magnitude of that influence without a circulation  
433 model. Future work should look into this issue.

434

## 435 5 Conclusions

436 We find that an Ensemble of Artificial Neural Networks (EANN) can be trained on  
437 climatological distributions of physical and biogeochemical tracers to reproduce a global  
438 database of nitrate  $\delta^{15}\text{N}$  observations (Fig. 2) with good fidelity (Fig. 3). We used the EANN  
439 to produce global climatological maps of nitrate  $\delta^{15}\text{N}$  at a 1 degree-resolution from the  
440 surface to the seafloor. These results help identify spatial patterns (Figs. 4-6) and quantify  
441 regional and basin-average oceanic values of nitrate  $\delta^{15}\text{N}$  (Fig. 7). Major differences  
442 between the observed and EANN-predicted nitrate  $\delta^{15}\text{N}$  appear to be caused by temporal  
443 variability of nitrate  $\delta^{15}\text{N}$  in the upper ocean and in ODZs associated with variable nitrate  
444 uptake and denitrification rates. Additional measurements of nitrate  $\delta^{15}\text{N}$  will help to



445 develop seasonally-resolved maps that can improve upon the climatological mean map  
446 provided here.

447

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450 in the Appendix. The compiled data set and data product is available in several online  
451 databases (BCO-DMO.org, pangaea.de, and webodv.awi.de). Color palettes were custom-  
452 made and are available via [www.prafter.com](http://www.prafter.com).

453



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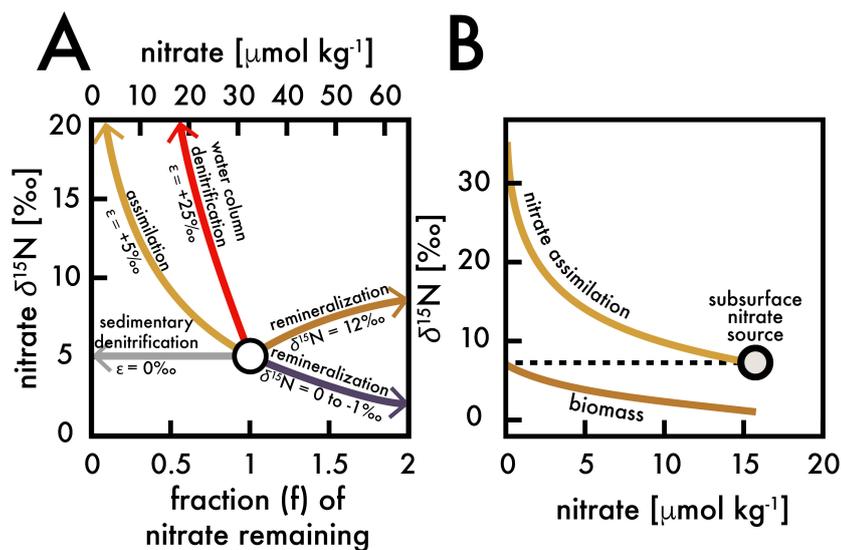
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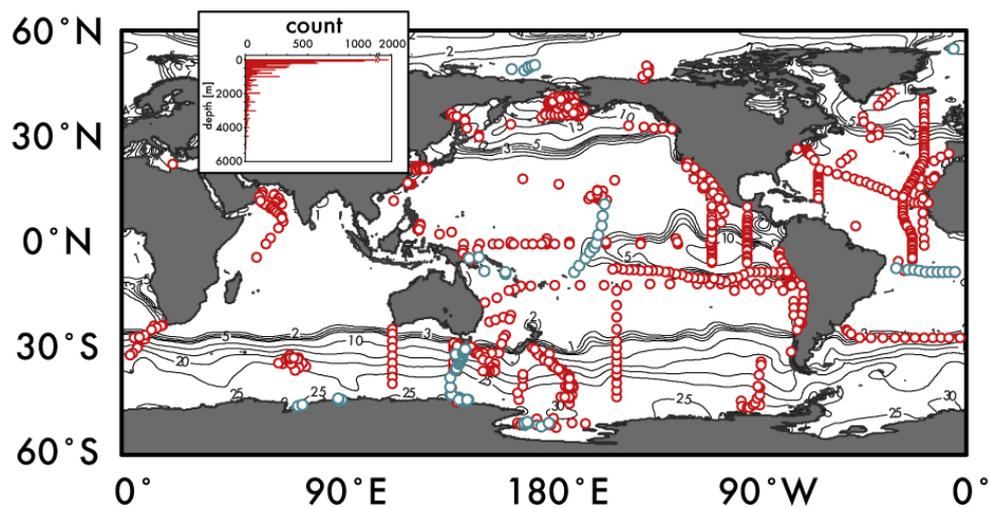
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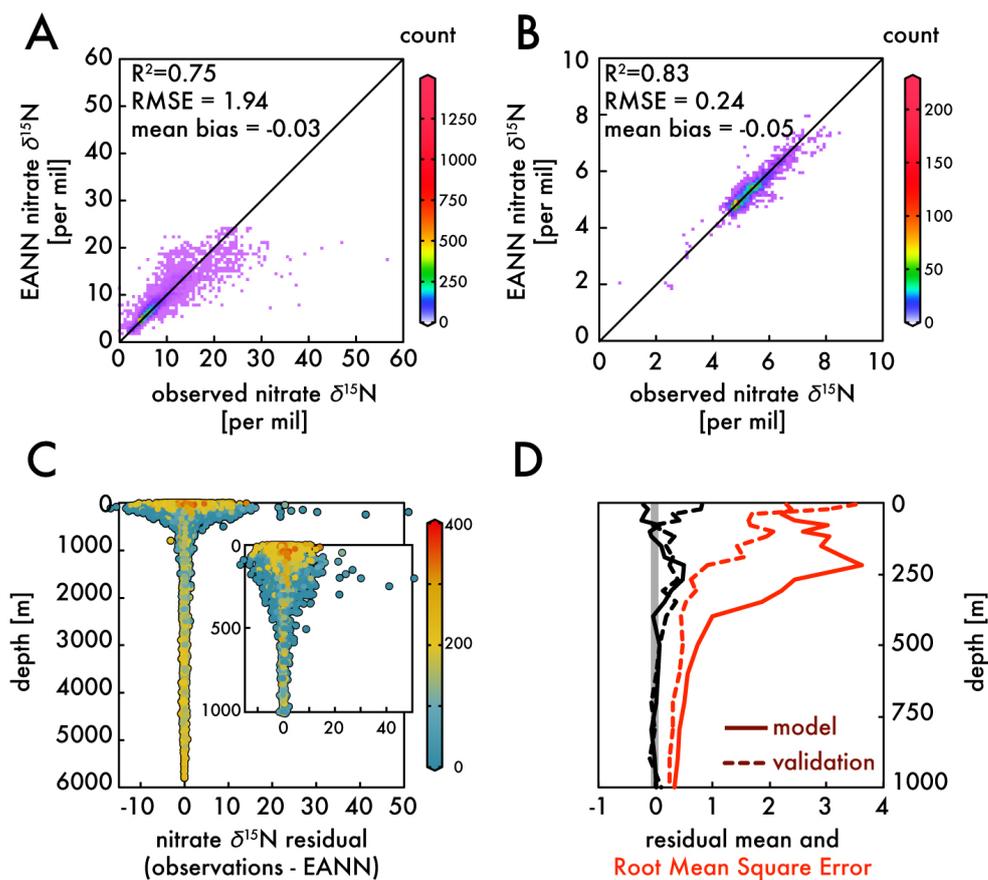
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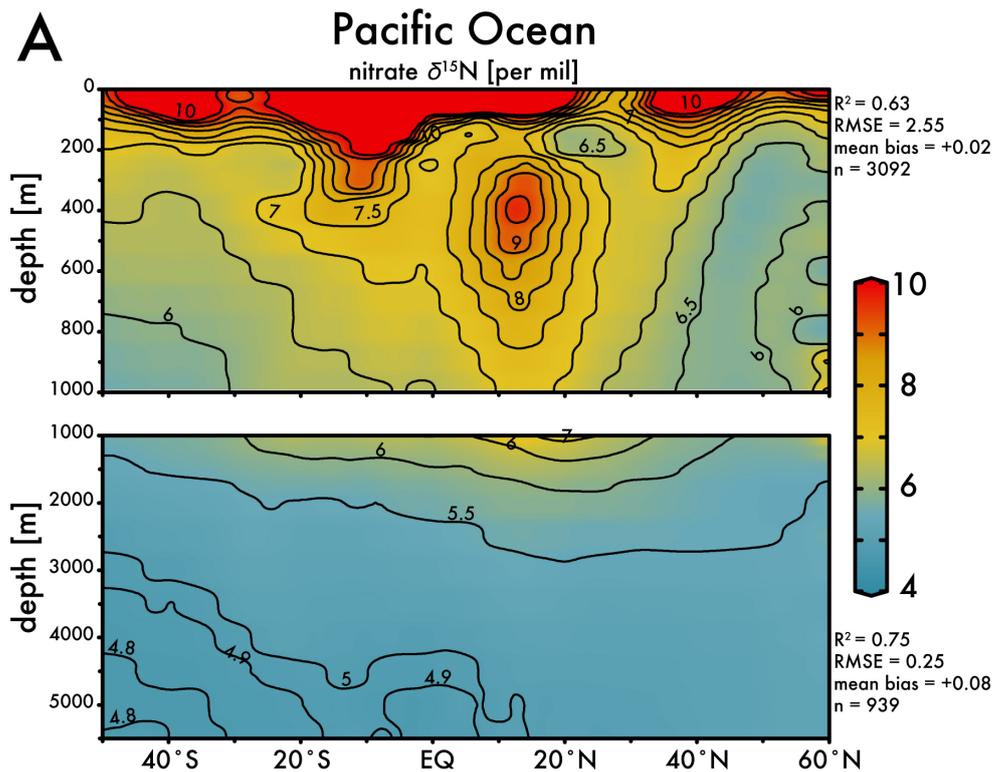
**Figure 1:** (A) A comparison of influences on average deep-sea nitrate (circle; concentration and  $\delta^{15}\text{N}$  estimated here by EANN results in this work) including: the isotope effects of assimilation (yellow arrow), water column and sedimentary denitrification (red and gray arrows), and the addition of nitrate via remineralization of organic matter with higher and lower  $\delta^{15}\text{N}$  (brown and purple arrows) (modified from Galbraith et al., (2008)). (B) An example of N isotopic fractionation on nitrate and organic matter biomass during nitrate assimilation in eastern equatorial Pacific surface waters (from Rafter and Sigman, (2016)). These calculations are based on isotopic fractionation equations of (Mariotti et al., 1981) simplified in (Sigman and Casciotti, 2001) with an isotope effect ( $\epsilon$ ) as shown in (A). The variable “ $f$ ” is the observed / initial nitrate concentration.

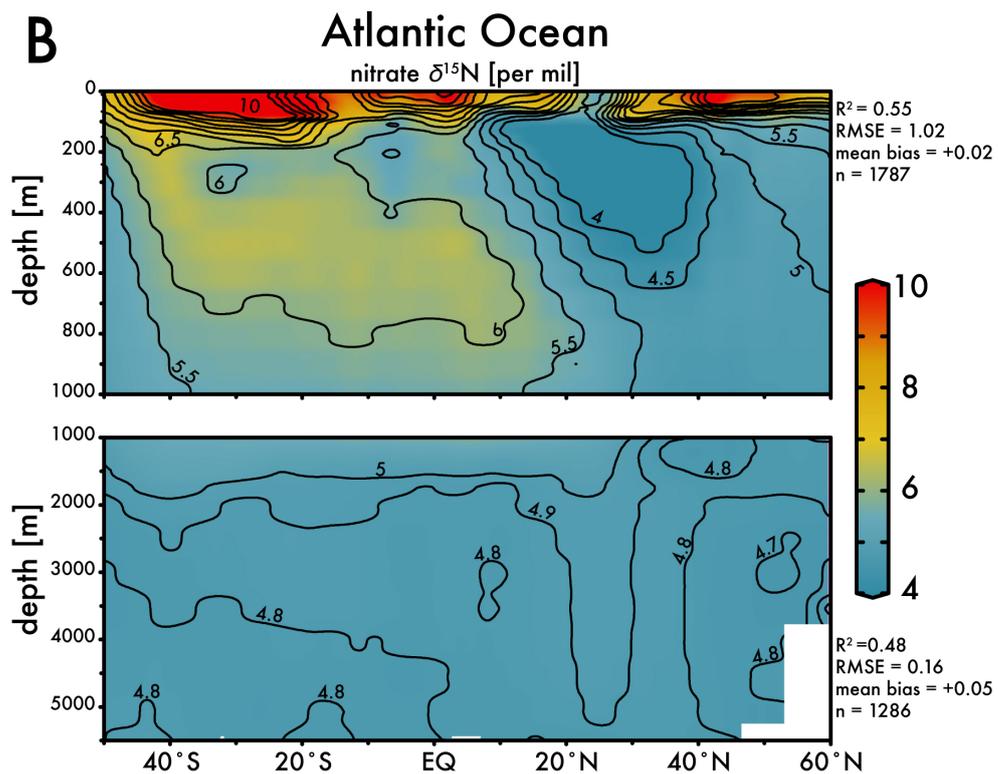


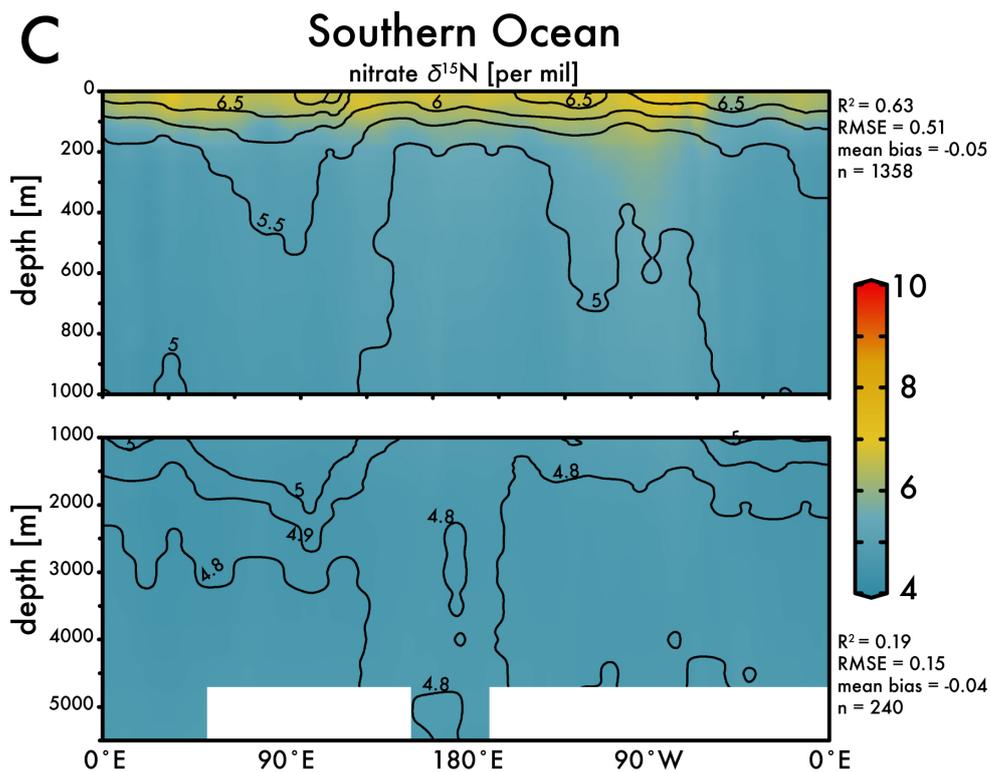
**Figure 2:** The location of global nitrate  $\delta^{15}\text{N}$  observations used to constrain the Ensemble of Artificial Neural Networks are shown as red circles. Observations used as an ‘external validation dataset’ (those withheld from training the EANN) are shown in blue. Inset figure shows the number of observations versus depth. Contours are surface nitrate concentrations for October-December from World Ocean Atlas (Garcia et al., 2014).

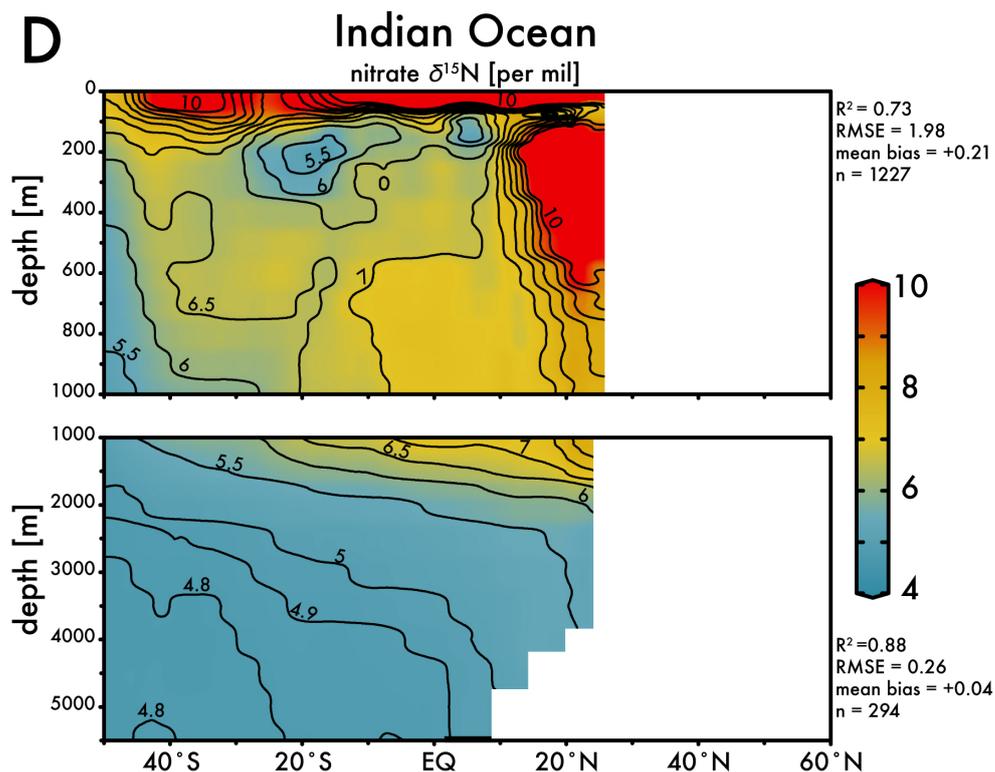


**Figure 3:** The binned observed versus EANN-predicted nitrate  $\delta^{15}\text{N}$  are shown for all depths in (A) and for  $>1000$  m in (B). The colors indicate the number of comparisons on the World Ocean Atlas grid. The anomalously high observed nitrate  $\delta^{15}\text{N}$  values ( $>30\text{‰}$ ) in (A) are exclusively from the Eastern Tropical South Pacific waters (Bourbonnais et al., 2015; Casciotti et al., 2013; Rafter et al., 2012; Ryabenko et al., 2012). The difference (or residual) between the observations and EANN nitrate  $\delta^{15}\text{N}$  is made for all depths and the upper 1000 m in (C) with colors representing the dissolved oxygen content. Note the largest offsets between 100-500 m in (C) are associated with lowest oxygen content. Similarly, the mean residual (black) and Root Mean Square Error (RMSE; red) with depth (D) are highest in the upper 500 m. Dashed lines in (D) demonstrate the same statistics, but for the external validation dataset (blue in Fig. 2).

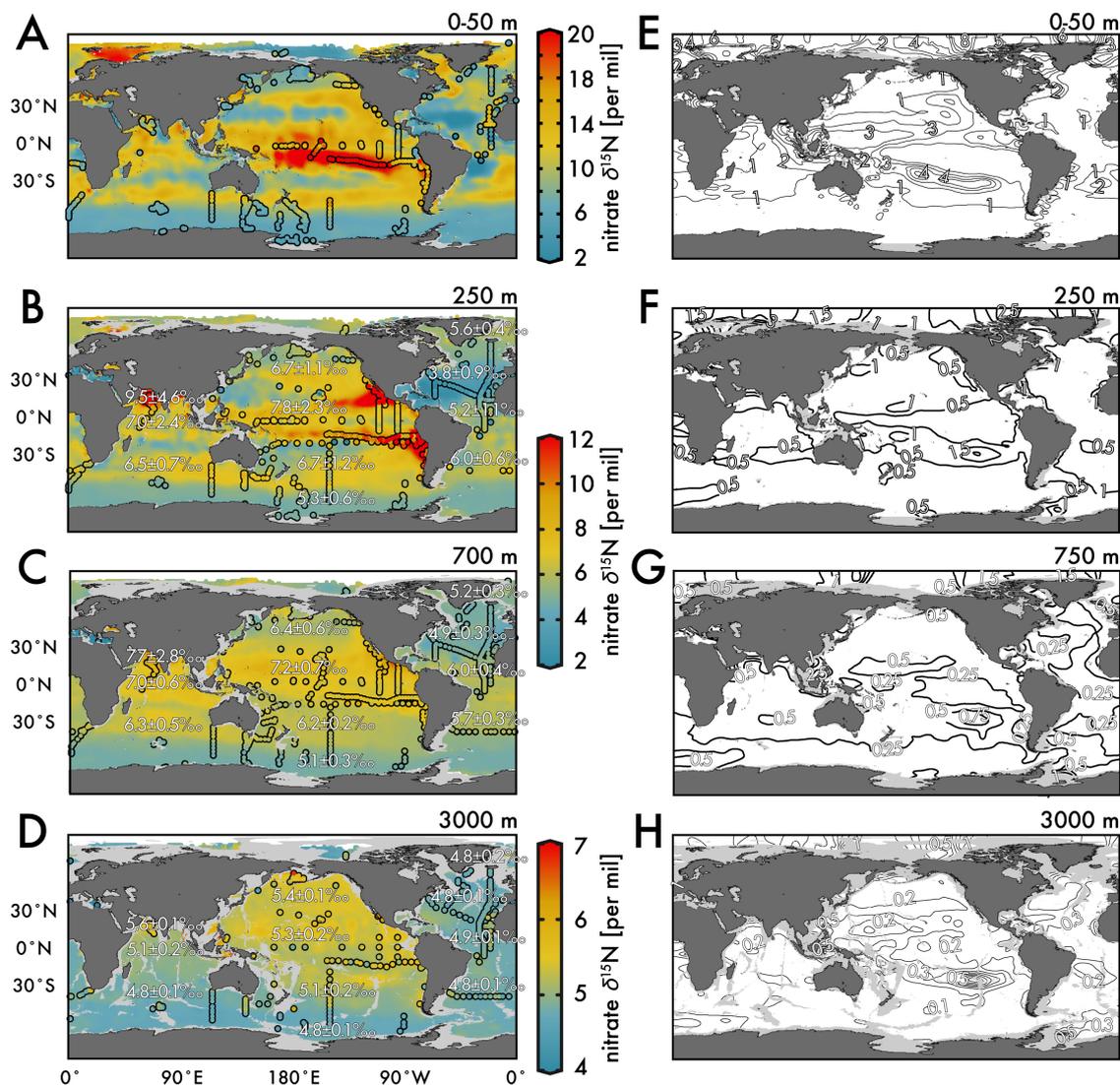




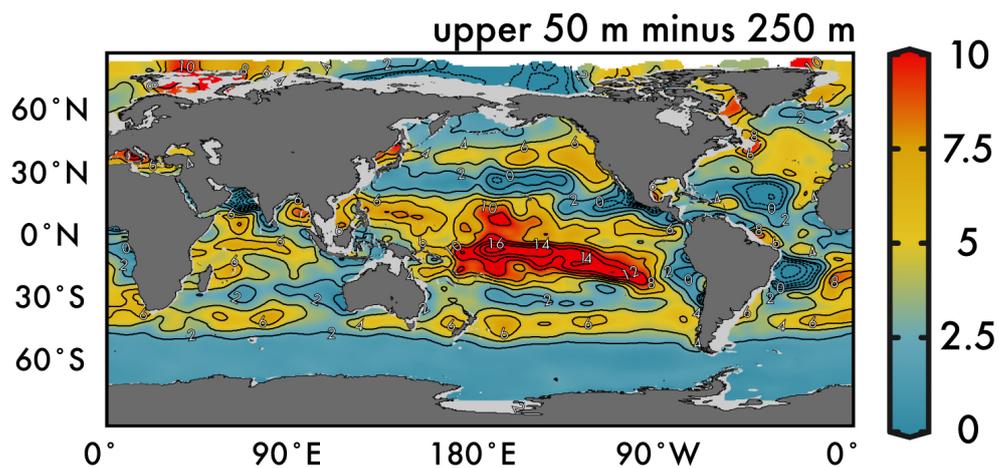




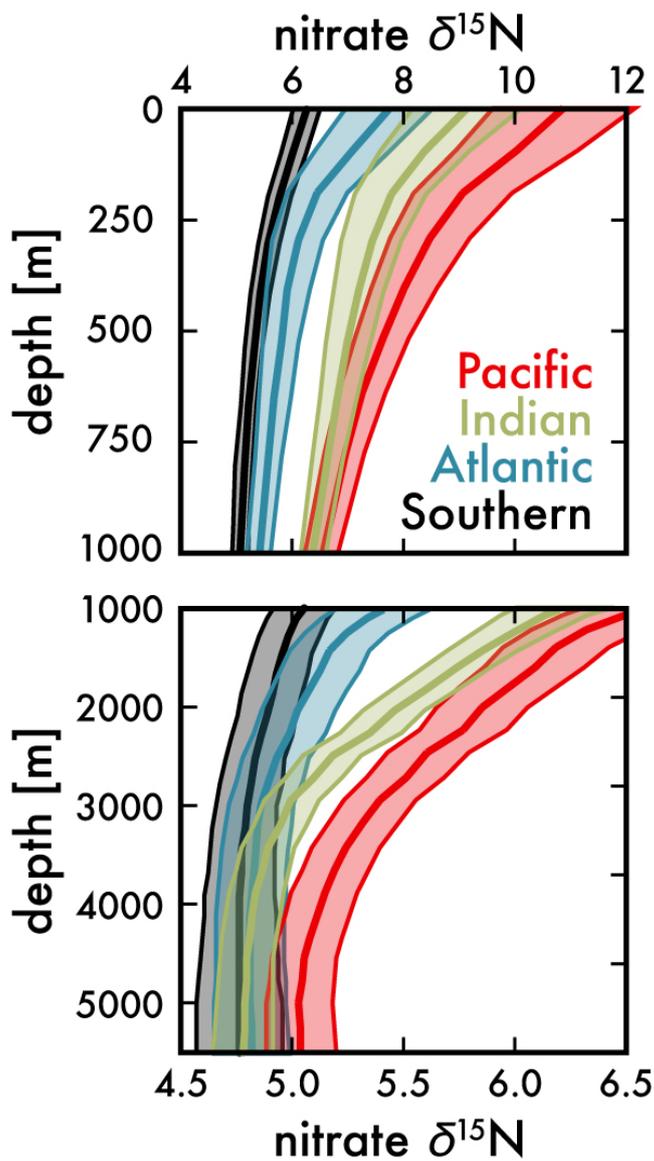
**Figure 4:** Zonal average of model nitrate  $\delta^{15}\text{N}$  versus latitude or longitude for the: (A) Pacific Ocean, (B) Atlantic Ocean, (C) Southern Ocean, and the (D) Indian Ocean. White bars indicate no data because of land. The  $R^2$ , RMSE, mean bias, and total number ( $n$ ) of observed versus EANN nitrate  $\delta^{15}\text{N}$  are shown on the right for each region and depth range. White indicates regions of no data coverage.



**Figure 5:** (Left) Map view of nitrate  $\delta^{15}\text{N}$  from our EANN and our observations (circles) for the (A) average over the 0-50 m depth as well as the (B) 250 m, (C) 700 m, and (D) 3000 depth surfaces. Numbers are average model nitrate  $\delta^{15}\text{N}$  for that basin, depth, and latitude. (Right) Map views of nitrate  $\delta^{15}\text{N}$  error from the EANN model nitrate  $\delta^{15}\text{N}$  for the same depth surfaces on left.



**Figure 6:** Difference between the average nitrate  $\delta^{15}\text{N}$  in the upper 50m and 250 m depths in Figure 5. Dashed contours in low latitude ODZ regions and subtropical gyres indicate regions where nitrate  $\delta^{15}\text{N}$  at 250 m is greater than the upper 50 m nitrate  $\delta^{15}\text{N}$ .



**Figure 7:** Mean EANN nitrate  $\delta^{15}\text{N}$  (solid line) and 1-sigma standard deviation (envelope) with depth for each ocean basin. Note change in vertical and horizontal axes between top and bottom.


**Appendix: References for this version of seawater nitrate  $\delta^{15}\text{N}$  compilation**

region	year of sampling	month of sampling	reference
Pacific North – Subarctic	Unknown sampling date	<i>na</i>	(Altabet and Francois, 1994)
Indian – Arabian Sea	1995	8	(Altabet et al., 1999)
Southern Ocean - Pacific	1996-1998	1-4,8-11	(Altabet and Francois, 2001)
Pacific North – Gulf of California	1990	6	(Altabet, 1999)
Pacific North – Subarctic	1971	7	(Wada, 1980)
Indian – Arabian Sea	1994	4	(Brandes et al., 1998)
Pacific North – ETNP	1993	12	(Brandes et al., 1998)
Pacific North - Kuroshio	1992 & 1994	3 & 4	(Liu et al., 1996)
Pacific North – Tropical	1997	10 & 11	(Voss et al., 2001)
Pacific North – Subarctic	2003	2	(Galbraith, 2007)
Atlantic North	2004	5	(Bourbonnais et al., 2009)
Mediterranean	1996	5	(Sachs, 1999)
Mediterranean	1998	1	(Pantoja et al., 2002)
Pacific North – Subarctic	2002	6	(Lehmann et al., 2005)
Pacific South – Tropical	1977	6	(Liu, 1979)
Pacific South – Tropical	2002 & 2004	4 & 5	(De Pol-Holz et al., 2009)
Pacific North – Okhotsk	1998, 1999, 2000	6 & 9	(Yoshikawa et al., 2006)
Pacific Tropical	2006	6	(Kienast et al., 2008)
Southern Ocean – Indian	2005	1 & 2	(Trull et al., 2008)
Pacific South – Tropical	2008 & 2009	1, 2, & 12	(Ryabenko et al., 2012)
Indian South	2011	10 & 11	(Dehairs et al., 2015)
Pacific South – Tropical	2012	11	(Bourbonnais et al., 2015)
Indian North	2007	9	(Gaye et al., 2013)
Atlantic South	2010 & 2012	10 & 1	(Tuerena et al., 2015)
Pacific South	2009	6	(Yoshikawa et al., 2015)



Pacific North –SCS	1997	4	(Wong et al., 2002)
Pacific North – Bering Sea	2008 & 2007	4 & 5	(Granger et al., 2011, 2013)
Arctic – Beaufort	2009	9	Granger unpublished
Atlantic North	2010	10 & 11	Jenkins et al. Unpublished GEOTRACES (Knorr_199_leg4.pdf)
Atlantic Tropical	2010	2 & 3	Frank et al. Unpublished GEOTRACES (meteor81_1.pdf)
Pacific Tropical	2013	5 & 6	(Lehmann et al., 2018)
Pacific North	2008	7	Granger Unpublished
Pacific North	2009 & 2011	2 & 7	(Umezawa et al., 2014)
Pacific South – Tropical	2010 & 2011	2-4	(Knapp et al., 2016)
Atlantic North – Subarctic	1989	6	(Voss, 1991)
Southern Ocean – Pacific	1995	4	(Sigman et al., 1999)
Southern Ocean – Indian	1995	1	(Sigman et al., 1999)
Southern Ocean – Pacific	2016		(Kemeny et al., 2016)
Pacific North – Tropical	2003	10	(Sigman et al., 2005)
Pacific North – ALOHA	2000	11	(Sigman et al., 2009)
Atlantic – North	2001-2002	1-12	(Knapp et al., 2005)
Atlantic – North	2002	10	(Knapp et al., 2008)
Pacific – North	2003	7 & 8	(Knapp et al., 2011)
Indian - South	1999	1 & 2	(Karsh et al., 2003)
Southern – Indian	1998 & 1999	2,3,4,9, & 12	(DiFiore et al., 2006)
Southern – Atlantic	2012	7	(Smart et al., 2015)
Atlantic – North	2011	10 & 11	(Marconi et al., 2015)
Pacific – North ALOHA	2004	7	(Casciotti et al., 2008)
Pacific – South Tropical	2005	11	(Casciotti et al., 2013)
Pacific – North Tropical	2003	11	(Casciotti and McIlvin, 2007)
Indian – Arabian	2007	9	(Martin and Casciotti, 2017)
Pacific – Tropical	2004-2007	3-12	(Rafter et al., 2012; Rafter and Sigman, 2016)



Indian – Arabian Sea	2007	10	(DeVries et al., 2012) or Rafter and Sigman Unpublished
Pacific South – Tasman Sea	2010	1 & 2	Rafter and Sigman Unpublished
Pacific South – Tropical	2010	2	(Rafter et al., 2012)
Atlantic North – Subarctic	2010	4	Rafter and Sigman Unpublished
Pacific South	2005	1	(Rafter et al., 2013)
Pacific South – Tropical	2013	10-12	(Peters et al., 2017)
Atlantic North – Subarctic	2013	8	(Marconi et al., 2017)
Pacific North – Subarctic	1993	5	(Wu et al., 1997)
Arctic	2014	7 & 8	(Fripiat et al., 2018)