

1 To,
2 The editor,
3 Biogeosciences

4
5 Dear Sir/Madam,

6
7 We are deeply thankful for accepting our manuscript entitled “First *in situ* estimations of small
8 phytoplankton carbon and nitrogen uptake rates in the Kara, Laptev, and East Siberian seas” for
9 publishing in Biogeosciences. We are also thankful to the associate editor, Biogeosciences and
10 the anonymous reviewers who helped to improve the manuscript through their valuable
11 comments and suggestions.

12 Hereby, we are submitting the final version of our manuscript with all the suggested changes and
13 we hope the revised version is satisfactory.

14 Thank you so much.

15 Sincerely,

16 Bhavya P. S. and other authors.

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22 **List of changes made:-**

- 23 1. We have corrected the chronological order of references in the main text.
- 24 2. We have also modified the project code in the revised manuscript.
- 25 3. We have changed the legends of Figure 5.
- 26 4. We also request you to keep the first author's name in the citation as "Bhavya et al."
- 27 since the author prefers to get cited on the basis of first name.

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40 **First *in situ* estimations of small phytoplankton carbon and nitrogen uptake rates in the**
41 **Kara, Laptev, and East Siberian seas**

42 **Bhavya P. Sadanandan¹**, Jang Han Lee¹, Ho Won Lee¹, Jae Joong Kang¹, Jae Hyung Lee¹, Dabin
43 Lee¹, So Hyun An¹, Dean A. Stockwell², Terry E. Whitledge², Sang Heon Lee^{1*}

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45 *Correspondence to: Sang Heon Lee (sanglee@pusan.ac.kr)

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47 ¹Department of Oceanography, Pusan National University, Busan 609-735, Korea

48 ²Institute of Marine Science, University of Alaska, Fairbanks, AK 99775, USA

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60 **Abstract.** Carbon and nitrogen uptake rates by small phytoplankton (0.7-5 μm) in the Kara,
61 Laptev, and East Siberian seas in the Arctic Ocean were quantified using *in situ* isotope labeling
62 experiments; this research was novel and part of the NABOS (Nansen and Amundsen Basins
63 Observational System) program, took place from August 21 to September 22, 2013. The depth-
64 integrated carbon (C), nitrate (NO_3^-), and ammonium (NH_4^+) uptake rates by small
65 phytoplankton ranged from 0.54 to 15.96 $\text{mg C m}^{-2}\text{h}^{-1}$, 0.05 to 1.02 $\text{mg C m}^{-2}\text{h}^{-1}$, and 0.11 to 3.73
66 $\text{mg N m}^{-2}\text{h}^{-1}$, respectively. The contributions of small phytoplankton towards the total C, NO_3^- ,
67 and NH_4^+ varied from 25 to 89%, 31 to 89%, and 28 to 91%, respectively. The turnover times for
68 NO_3^- and NH_4^+ by small phytoplankton found in the present study indicate the longer residence
69 times (years) of the nutrients in the deeper waters, particularly for NO_3^- . Additionally, the
70 relatively higher C and N uptake rates by small phytoplankton obtained in the present study from
71 locations with less sea ice concentration indicate the possibility that small phytoplankton thrive
72 under the retreat of sea ice as a result of warming conditions. The high contributions of small
73 phytoplankton to the total C and N uptake rates suggest the capability of small autotrophs to
74 withstand the adverse hydrographic conditions introduced by climate change.

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77 *Keywords:* Arctic Ocean, nitrogen, carbon, and small phytoplankton.

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81 1. Introduction

82 The Arctic Ocean has always been a key attraction for oceanic expeditions due to its rapid
83 response to changing environmental conditions caused by both natural and anthropogenic factors.
84 It has been reported that the rate of decrease in the sea ice extent in the Arctic Ocean is
85 significantly high and has gradually caused a decline in sea ice thickness over recent decades
86 (Stroeve et al., 2008; Comiso et al., 2008; Kwok et al., 2009; Overland and Wang, 2013). As an
87 immediate effect, sea ice retreat could benefit the primary production by autotrophs due to
88 increased exposure to sunlight (Hill and Cota, 2005; Bélanger et al., 2008; Gradinger, 2009;
89 Arrigo et al., 2012; Arrigo and van Dijken, 2015; Kahru et al., 2016). It was also reported that
90 primary production in the Barents Sea increased by 30% during the warm period (i.e., 1989-1995)
91 compared to the cold period during the 1960s (Arrigo et al., 2008; Wassmann et al., 2011).
92 However, as a result of sea ice melting, the ice-algal communities may be replaced by pelagic
93 communities. Although ice-algal communities are not a large contributor to primary production,
94 their absence could potentially alter the vertical flux of organic carbon and coupling between the
95 euphotic and benthic zones (Walsh, 1989).

96 Sea surface warming can also result in strong water column stratification, which can
97 reduce the nutrient supply to the surface water and consequently cause a decrease in primary
98 production (Bopp et al., 2001; Li et al., 2009; Tremblay and Gagnon, 2009; Martin et al., 2010;
99 Steinacher et al., 2010; McLaughlin et al., 2010; Slagstad et al., 2011; Lee et al., 2007, 2012;
100 Thomas et al., 2012); these conditions would cause alterations in the C dynamics in the Arctic
101 Ocean (Arrigo et al., 2008; Bates and Mathis, 2009; Cai et al., 2010). How phytoplankton
102 communities in the Arctic Ocean would respond to the physical, chemical, and biological stress
103 introduced by global warming has been a heavily debated topic. One group of researchers has

104 reported that there has been an enhancement in annual primary production due to increased light
105 availability and warmer temperature in the Arctic Ocean (Arrigo et al., 2008; Arrigo and Dijken,
106 2011; Thomas et al., 2012). However, another group has suggested that excess moisture fluxes
107 under warmer sea conditions can introduce wider cloud cover conditions during summer and
108 early fall, and thus, the possibility of reducing autotrophic primary production is inevitable
109 (Eastman and Warren, 2010; Vavrus et al., 2012; Bélanger et al., 2013). Water column
110 stratification is also a contrary effect introduced by global warming, and stratification can
111 significantly reduce the amount of vertical mixing of nutrient-rich deep waters, lowering primary
112 productivity (Tremblay and Gagnon, 2009; Lee et al., 2007, 2012; Yun et al., 2015). On the other
113 hand, a decline in the nutrient concentrations in surface waters and sustained nutrient levels in
114 the deeper waters could be an immediate effect of global warming (Vancoppenolle et al., 2013).
115 Such an environment would be adverse for large phytoplankton communities who require more
116 nutrients to achieve a given potential primary production level (Li et al., 2009). However, small
117 phytoplankton (size range: 0.7-5 μm), which have lower nutrient requirements, proliferate under
118 such conditions (Daufresne et al., 2009; Li et al., 2009). Hence, understanding the mechanism
119 and processes of small phytoplankton metabolic activities under various environmental
120 conditions would be a crucial aspect of Arctic Ocean ecosystem research.

121 A few studies have been conducted to understand the fate of small phytoplankton under
122 changing environmental scenarios (Li et al., 2009; Yun et al., 2015). These studies have
123 identified that the smallest phytoplankton cells can flourish under such nutrient-replete
124 conditions; however, the larger cells decline in number (Li et al., 2009). Hence, the reduction in
125 the community average body size of the autotrophs is expected to be an obvious response to
126 global warming (Daufresne et al., 2009). Consequently, the primary production contribution by

127 small phytoplankton would be a substantial part of the Arctic Ocean biogeochemistry. However,
128 the contribution of small phytoplankton to autotrophic C and dissolved inorganic nitrogen [here;
129 $\text{DIN}=\text{NO}_3^-+\text{NH}_4^+$] fixation has been one of the least investigated topics in global oceanic
130 research, particularly in the Arctic Ocean (Semiletov et al., 2005; Arrigo and Dijken, 2011; Lee
131 et al., 2007, 2012; Yun et al., 2012, 2015; Hill et al., 2017).

132 Apart from global warming, localized influences are also an important factor in
133 controlling primary production in the Arctic Ocean. It has been reported that Arctic Ocean
134 biogeochemistry is mainly governed by the high riverine inputs and intrusions of Atlantic and
135 Pacific waters (Shiklomanov et al., 2000; Carmack and Macdonald, 2002; Peterson, et. al., 2002;
136 Anderson et al., 2004). The major rivers those flow into the Arctic Ocean are the Ob', Lena,
137 Yenisey, and Mackenzie rivers, and numerous smaller rivers flow in both the Amerasian and
138 Eurasian sectors. It has been reported that the Ob' and Yenisey rivers increased in their
139 freshwater discharge since the 1980s (Semiletov et al., 2005; Anderson et al., 2009). These seas
140 are situated along the continental shelf of the Arctic Ocean, which is known to be the widest and
141 shallowest shelf in the world's oceans (Semiletov et al., 2005). These seas are characterized by
142 highly dynamic organic matter production and export to the deeper ocean as well as profound
143 atmospheric exchanges of volatile gases (Semiletov et al., 2005; Anderson et al., 2009).

144 A few studies have estimated the influence of river effluences on the C and DIN uptake
145 rates (Lee et al., 2007, 2012; Yun et al., 2015). However, the potential impact of riverine influx
146 on small phytoplankton uptake rates, which is relevant for the accountability of natural and
147 anthropogenic influences on Arctic primary production, has not been investigated thus far. The
148 present study reports the first investigation results on small phytoplankton (size: 0.7-5 μm)
149 contributions to the C, NO_3^- , and NH_4^+ uptake rates in the Kara, Laptev, and East Siberian seas.

150 Considering the global relevance of Arctic Ocean biogeochemistry, the present study aimed to (1)
151 estimate the contribution of small phytoplankton to total primary production as well as the NO_3^-
152 and NH_4^+ uptake rates and (2) investigate various factors influencing small phytoplankton
153 community efficiency in the Kara, Laptev, and East Siberian seas.

154 **2. Materials and Methods**

155 **2.1. Study Area**

156 The investigations on biochemical parameters and C and DIN transformation rates in the Kara,
157 Laptev, and East Siberian seas were conducted at 19 monitoring stations selected from a total of
158 116 NABOS stations (Fig. 1; Table 1). The geographical boundaries of each sea were defined as
159 per the classification performed by Pabi et al. (2008) (Fig. 1). Based on this classification, there
160 were 4, 13, and 2 stations located in the Kara, Laptev, and East Siberian seas, respectively. The
161 Kara and East Siberian seas have surface areas almost two times ($926 \times 10^3 \text{ km}^2$ and 987×10^3
162 km^2 , respectively) larger than that of the Laptev Sea ($498 \times 10^3 \text{ km}^2$) (Jakobsson, 2001).
163 Additionally, the Laptev and East Siberian seas hold the shallowest zones of the Arctic Ocean
164 basin, with a mean depth of 48 m, while the Kara Sea has a mean depth of 131 m (Jakobsson,
165 2001).

166 **2.2. Sampling**

167 The sampling was conducted from 21st August to 22nd September 2013 onboard the Russian
168 vessel “*Akademik Fedorov*”. The temperature and salinity were measured using a Seabird SBE9
169 plus CTD (conductivity-temperature-depth tool) equipped with dual temperature (SBE3) and
170 conductivity (SBE4) sensors. Samples for major inorganic nutrients [i.e., NO_3^- , nitrite (NO_2^-),
171 NH_4^+ , phosphate (P), and silicate (Si)] were collected using Niskin bottles attached to the CTD

172 device, and analysis was performed onboard using an Alpkem Model 300 Rapid Flow Nutrient
173 Analyzer (5 channels) based on Whitley et al. (1981). The chlorophyll *a* (Chl *a*) samples for
174 the small phytoplankton fraction were obtained from 3 light depths (100, 30, and 1%). The
175 preparation of Chl *a* samples was based on the standard procedure reported in previous studies
176 on the Arctic Ocean (Lee and Whitley, 2005; Lee et al., 2012). Water samples for small Chl *a*
177 fractions were sequentially filtered through a 5 μ m Nucleopore and then 0.7 μ m pore-sized
178 Whatman GF/F filters (47 mm). Furthermore, the GF/F filters were wrapped in aluminum foil
179 and kept frozen at -80°C until analysis. During the analysis, the Chl *a* fractions from the filters
180 were extracted using 90% acetone treatment at 5°C for 24 hours. The extracted Chl *a* samples
181 were subjected to spectrophotometric analysis onboard using a pre-calibrated Turner Designs
182 model 10-AU fluorometer. Samples for the C and DIN uptake rates were collected from six *in*
183 *situ* light level depths (100, 50, 30, 12, 5, and 1%) determined at each station based on the
184 euphotic depth, which is based on Lambert-Beer's law. Underwater PAR sensors (and/or optical
185 instruments) could not be used due to logistical problems, and the euphotic depth was calculated
186 using the Secchi depth, which is a widely used method (Son et al., 2005; Tremblay et al., 2000;
187 Lee et al. 2012; Bhavya et al., 2016; 2017; Lee et al., 2017a, 2017b).

188 **2.3 ¹³C and ¹⁵N labeling experiments**

189 The estimation of the C and DIN uptake rates was performed using ¹³C and ¹⁵N dual isotope
190 labeling experiments (Dugdale and Goering, 1967; Slawyk et al 1977; Dugdale and Wilkerson,
191 1986). Seawater samples at each light depth were collected using Niskin bottles attached to the
192 CTD Rosette and transferred to acid-cleaned polycarbonate incubation bottles (approximately 1
193 L) wrapped with neutral density light filters (LEE filters) to match the desired light levels.
194 Immediately, samples were spiked with 98-99% enriched tracer solutions of NaH¹³CO₃, K¹⁵NO₃,

195 or $^{15}\text{NH}_4\text{Cl}$ at concentrations of ~ 0.3 mM, $\sim 0.8\mu\text{M}$, and $\sim 0.1\mu\text{M}$ for the estimations of the C,
196 NO_3^- , and NH_4^+ uptake rates, respectively. Furthermore, the samples were subjected to 4-6 hrs of
197 incubation in large transparent Plexiglas incubators on deck under natural light conditions, and
198 these incubators were provided with running surface seawater. Immediately after the incubation,
199 0.3 L of incubated samples were filtered through pre-combusted GF/F filters (25 mm diameter)
200 for the total uptake rate estimation. The samples for the small fraction, sub-samples (0.5 L) of the
201 incubated waters were passed through $5\mu\text{m}$ Nuclepore filters (47 mm) to remove large
202 phytoplankton cells ($>5\mu\text{m}$), and then the filtrate was passed through pre-combusted GF/F (25
203 mm) for the small phytoplankton (Lee et al., 2013). The values for large phytoplankton in this
204 study were obtained from the difference between the small and total fractions (Lee et al., 2013).
205 Samples were kept frozen (-20°C) until the mass spectrometric analysis (Finnigan Delta+XL) at
206 the stable isotope laboratory of University of Alaska Fairbanks, USA. The uncertainties for the
207 $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$ measurements were $\pm 0.1\text{‰}$ and $\pm 0.3\text{‰}$, respectively. The DIN uptake rates of
208 small phytoplankton were estimated using the mathematical formula given by Dugdale and
209 Goering (1967).

$$210 \text{ DIN uptake rate} = P * \Delta I_p / (T * (I_0 S_a + I_r S_t) / (S_a + S_t) - I_0)$$

211 where P is the amount of particulate N in the post-incubation sample; ΔI_p is the increase in ^{15}N
212 atom% in particulate N during incubation; S_a and S_t are the ambient and added NO_3^- (or NH_4^+)
213 concentrations, respectively; I_r and I_0 are ^{15}N atom% of added tracer and natural ^{15}N atom%; and
214 T is the incubation time period. This equation assumes no formation of nutrients during
215 incubation; therefore, the rates presented here are the potential rates. Similarly, the C uptake
216 rates were also calculated using the same equation, where P denotes the particulate organic C,
217 and S_a and S_t are the ambient dissolved inorganic carbon and added ^{13}C tracer concentrations,

218 respectively. I_r and I_0 are the ^{13}C atoms% of the added tracer and natural ^{13}C atom%,
219 respectively (Slawyk et al., 1977).

220 **3. Results and discussion**

221 **3.1 Environmental parameters in the Arctic Ocean**

222 The biological, chemical, and physical properties of the Arctic Ocean are mainly controlled by
223 the circulation patterns governed by the waters of the Pacific and Atlantic Oceans (Anderson et
224 al., 2004; Quadfasel, 2005) along with the river inputs (Peterson et al., 2002). The nutrient-rich,
225 low saline (<33) Pacific Ocean waters and nutrients replete relatively more saline (≈ 34.8)
226 Atlantic Ocean waters collectively regulate the biogeochemical activities of the Arctic Ocean
227 (Maslowski et al., 2004). The present study was conducted during the late summer season, when
228 the sea surface temperature (SST) ranged from -1.76°C to 1.62°C . The sea surface salinity (SSS)
229 during the study period varied from 28.29 to 33.44 (Table 1), which could be due to the influence
230 of both the circulation patterns and the freshwater inputs. The present study retrieved the sea ice
231 concentration (SIC) data from the National Snow & Ice Data Center, who obtained the data from
232 a 2013 cruise. The results show that the SIC ranged from 0% to 100% (Table 1).

233 **3.2 Carbon and nitrogen uptake rates by small phytoplankton**

234 Fig. 2 shows the depth profiles of the C, NO_3^- , and NH_4^+ uptake rates per hour in the
235 Laptev, Kara, and East Siberian seas. Only a few stations showed significant subsurface maxima
236 for the C, NO_3^- , and NH_4^+ uptake rates during the present study, while the rest of the stations
237 exhibited no significant variation throughout the euphotic zone. The AF019 station showed
238 exceptionally higher C, NO_3^- , and NH_4^+ uptake rates, in general, with sharp subsurface maxima.

239 The depth-integrated C, NO_3^- , and NH_4^+ uptake rates by small phytoplankton in the East Siberian
240 Sea were observed to be very low compared to those of the other seas (Table 2, Figs. 3 & 4). The
241 depth-integrated C uptake rates by small phytoplankton ranged from 0.54 to 15.96 $\text{mg C m}^{-2}\text{h}^{-1}$.
242 The depth-integrated NO_3^- uptake rates ranged from 0.05 to 1.02 $\text{mg N m}^{-2}\text{h}^{-1}$, while the NH_4^+
243 uptake rates varied from 0.11 to 3.73 $\text{mg N m}^{-2}\text{h}^{-1}$. The station AF019 showed the maximum
244 small phytoplankton uptake rates for C (15.96 $\text{mg C m}^{-2}\text{h}^{-1}$), NO_3^- (1.02 $\text{mg N m}^{-2}\text{h}^{-1}$), and NH_4^+
245 (3.73 $\text{mg N m}^{-2}\text{h}^{-1}$). The contribution of small phytoplankton to the total uptake was also very
246 high at station AF019 (Table 2). The lowest C, NO_3^- , and NH_4^+ uptake rates were observed at
247 stations AF044 and AF041. The highest SIC (100% and 60% at AF044 and AF041, respectively)
248 in this region might be one explanation for the lower primary productivity due to light limitation.

249 **3.3 Sea ice and small phytoplankton primary production**

250 Previous investigations on SIC over the Arctic Ocean proved that, during winter, high ice
251 formation leads to the expelling of salt content to the surrounding water. This condition
252 introduces a relatively higher salinity and density water layer at the surface or just below the sea
253 ice layer relative to the surrounding area. Such conditions lead to the sinking of the very cold and
254 saline surface waters and the replacement by nutrient-rich deeper water, which is less dense and
255 slightly warmer. This process leads to deep vertical mixing and the replenishment of the surface
256 nutrient inventories (Niebauer et al., 1990; Falk-Petersen et al., 2000). However, during spring,
257 the melting of sea ice results in strong surface ocean stratification, where the nutrient-rich waters
258 are exposed to light, creating favorable conditions for phytoplankton growth (Kirk, 1983;
259 Niebauer et al., 1990; Falk-Petersen et al., 2000). It has also been reported that the increasing
260 atmospheric temperature due to global warming has caused a considerable reduction in SIC in

261 the Arctic Ocean over the past three decades, with a rapid decrease in recent years (Parkinson,
262 1999; Levi, 2000).

263 Because ice cover has a significant role in controlling primary production, the dynamics
264 of SIC is an integral part of Arctic Ocean research (Arrigo et al., 2008; Ardyna et al., 2014;
265 Kahru et al., 2016). It has been reported that a reduction in SIC would facilitate photosynthetic
266 activity and increase CO₂ intake by the seas (Anderson and Kaltin, 2001; Bates et al., 2006;
267 Kahru et al., 2016). Apparently, it can cause a relative decline in the contribution by algae
268 growing within the sea ice (Subba Rao and Platt, 1984; Legendre et al., 1992; Gosselin et al.,
269 1997), although the sea ice community contributes less than 10% to the total amount of Arctic
270 Ocean C sequestration (Clasby et al., 1973; Horner and Schrader, 1982). A detailed study
271 conducted on the inter-annual variations in SIC and primary production by Kahru et al. (2016)
272 suggested that primary production is enhanced with a decline in SIC. Kahru et al. (2016)
273 reported that a decrease in the SIC initially starts from June onwards in the northeastern Barents
274 Sea and between Greenland and the North American continent, with an increase in primary
275 productivity. This extends to the Kara and Laptev seas during July and August, and these areas
276 exhibit a gradual enhancement in primary productivity. Furthermore, this process migrates
277 towards the region off Siberia and eventually into the Beaufort and Chukchi seas. However, the
278 major enhancement of primary production generally occurs in the Laptev and Barents seas
279 (Kahru et al., 2016). In agreement with this, our results also show relatively lower SIC and
280 higher small phytoplankton C and DIN uptake rates in the Laptev Sea region (Table 2, Figs. 3 &
281 4). The maximum SIC in the Laptev Sea was observed at station AF071, with a value of 65%.
282 The Kara Sea was mostly void of ice cover, and only one station (AF095) was observed with an
283 SIC of 40%. Relatively lower small phytoplankton C and DIN uptake rates were observed at

284 both stations in the East Siberian Sea (AF041: 60% and AF044: 100%), where the SIC was
285 observed to be the maximum among all stations. However, there was no significant inverse
286 correlation between small phytoplankton C and DIN uptake rates and SIC found during the
287 present study (Figure not shown). This result could be due to the influence of other
288 environmental constraints, such as low nutrients and temperature, on the metabolic activities of
289 small phytoplankton.

290 The investigations conducted in the Amundsen Sea, Antarctic Ocean, reported that there
291 is no significant difference in the small phytoplankton C uptake rates between polynya and non-
292 polynya regions. The depth-integrated small phytoplankton C uptake rates obtained from
293 polynya and non-polynya regions in the Amundsen Sea ranged from 58.6–193 mg C m⁻²d⁻¹ (126
294 ± 55.2 mg C m⁻²d⁻¹) and 62.2–266 mg C m⁻²d⁻¹ (124 ± 69.3 mg C m⁻²d⁻¹), respectively (Lee et
295 al., 2017a). These values showed that the depth-integrated small phytoplankton C uptake rates
296 reported from the Amundsen Sea from both polynya and non-polynya regions were relatively
297 higher than those obtained from the Arctic Ocean during the present study (5.86–191 mg C
298 m⁻²d⁻¹; average=37.7± 41.6). The daily NO₃⁻ uptake rates of the small phytoplankton obtained
299 from the Amundsen Sea were 7.5–26.6 mg N m⁻²d⁻¹ (16.7 ± 7.8 mg N m⁻²d⁻¹) and 6.1–40.9 mg
300 N m⁻²d⁻¹ (20.1 ± 13.1 mg N m⁻²d⁻¹), and the values of the NH₄⁺ uptake rates varied from 9.1–
301 22.4 mg N m⁻²d⁻¹ (15.8 ± 6.4 mg N m⁻²d⁻¹) and 9.9–81.1 mg N m⁻²d⁻¹ (30.7 ± 24.5 mg N
302 m⁻²d⁻¹), respectively, for the non-polynya and polynya regions. Similar to the C uptake rates, the
303 small phytoplankton uptake rates for NO₃⁻ (0.75–12.2 mg N m⁻²d⁻¹; 3.21±2.61 mg N m⁻²d⁻¹) and
304 NH₄⁺ (2.68–69.3 mg N m⁻²d⁻¹; average: 16.12±14.54 mg N m⁻²d⁻¹) were also significantly lower
305 than those of the Amundsen Sea. The lower small phytoplankton uptake rates in the Arctic
306 waters compared to the Antarctic waters may be due to the lower nutrient concentrations and co-

307 limitation of N in the Arctic waters (Harrison and Cota, 1991). Sakshaug & Holm-Hansen (1984)
308 reported that the maximum Arctic nutrient concentrations are typically lower than the minimum
309 Antarctic concentrations.

310 **3.4 Nutrient sources and influences on small phytoplankton primary production**

311 The shallow water column depths and the existence of long coastlines along with river
312 runoff provide a wide opportunity for autotrophs in the Arctic Ocean to obtain sufficient light
313 and nutrients (Kirk, 1983). Additionally, the Arctic Ocean is known to be a large receptor of
314 freshwater discharge that exceeds 4000 km³ per year (Shiklomanov, 2000; Carmack and
315 Macdonald, 2002). The riverine discharges may have a great role in keeping those stations near
316 river inlets distinctive in terms of their physico-chemical conditions. Similarly, the freshwater
317 discharge from the six largest Eurasian rivers has increased by 7% during the period of 1936-
318 1999 (Peterson et al., 2002). Among the various seas in the Arctic Ocean, the Kara and Laptev
319 seas are known to be the first and second largest receptors, respectively, of total organic carbon
320 fluxes, while the East Siberian Sea receives the least (Rachold et al., 2000).

321 In most stations, the NO₂⁻ + NO₃⁻ concentrations were observed to be homogeneous in
322 the water column up to a depth of 20 m (approximately 30% light depth); however, they
323 increased exponentially towards the bottom waters (Figure not shown). The depth profiles of
324 NH₄⁺ and P did not show any significant variation throughout the euphotic zone (Figure used in
325 Lee et al., unpublished). However, the nutrient concentrations were considerably distinct among
326 the stations. The depth-integrated NO₂⁻ + NO₃⁻ concentrations varied between 22.3 and 189
327 mmol m⁻². The depth-integrated concentrations of P and Si ranged from 7.62 to 35.4 mmol m⁻²
328 and 19.5 to 308 mmol m⁻², respectively (Table 1). Generally, high concentrations of NO₂⁻ + NO₃⁻

329 and phosphate were found at the AF005, AF068, and AF071 stations in the Laptev Sea and at
330 one station in the Kara Sea (AF100), and they were relatively higher than those of the East
331 Siberian Sea (Table 1, Figs. 3 & 4). However, the Si concentrations were higher in the East
332 Siberian Sea than in the other two seas. These results are comparable with the earlier studies
333 conducted by Codispoti and Richards (1968). They suggested that the concentrations of P and
334 NO_3^- were so low as to indicate nutrient limitation for phytoplankton production in the upper
335 layers.

336 The details of the euphotic depths and the depth-integrated nutrient concentrations are
337 shown in Table 1. The euphotic depths observed are different in almost all of the stations and
338 range from 33 to 76 m. However, the data from our present study did not show any dependency
339 of the depth-integrated nutrient budget with euphotic depth. For example, the AF019, AF080,
340 and AF095 stations have deeper euphotic zones; however, they do not have depth-integrated
341 $\text{NO}_2^- + \text{NO}_3^-$ concentrations close to the highest values obtained at the AF068, AF071, and AF005
342 stations, which have relatively shallower euphotic depths. The depth-integrated P values also
343 showed higher values at stations (AF019, AF068, AF100, AF080, AF095, and AF091) with both
344 deeper and shallower euphotic depths. Hence, the variation in the euphotic depth seems to be
345 insignificant in determining the nutrient budgets in the present study area.

346 The stations AF005, AF068, and AF071 in the Laptev Sea and AF100 in the Kara Sea,
347 which were nearby the river inlets, were observed to have relatively higher nutrient
348 concentrations (Table 1). The sampling locations away from the river inputs were mostly
349 invaded by the nutrient-poor Atlantic waters instead of the nutrient-rich Pacific waters. Moreover,
350 the Pacific Ocean nutrient inputs are generally restricted to the Chukchi Sea and the Amerasian
351 Basin (Carmack et al., 1997; Dmitrenko et al., 2006). It is worth noting that all the sampling

352 locations in the Arctic Ocean showed significantly lower small phytoplankton C and DIN uptake
353 rates, possibly due to the lack of light and nutrients. The nutrient stoichiometry analyses
354 suggested that the Arctic Ocean waters are N starved and the N:P (here N = DIN: $\text{NO}_2^- + \text{NO}_3^- +$
355 NH_4^+ and P: PO_4^{3-}) ratios are always below Redfield's ratio, which is 16:1 (mol: mol) (Redfield,
356 1963; Sakshaug, 2004). The relative abundances of micronutrients are also important factors in
357 controlling primary production (Glibert et al., 2013; Bhavya et al., 2016, 2017). The DIN: P
358 observed during the current study ranged from 2.60 to 16.4, with an average of 6.6 ± 3.0 , which
359 is also in agreement with the previous studies that have been reported. These ratios point towards
360 the N-starvation of phytoplankton, which can potentially prevent them from growing to a bloom.
361 It has been reported that such cases with lower nutrient concentrations are generally less starving
362 for small phytoplankton sizes ranging from 0.7-5 μm , and they appeared to be dominant in
363 euphotic water columns (Lee and Whitley, 2005; Li et al., 2009; Yun et al., 2015).

364 In general, experimental and theoretical evidence suggest that smaller cells have higher
365 rates of nutrient uptake per unit biomass and lower half-saturation constants due to their higher
366 surface area to volume ratios (Eppley and Thomas, 1969; Aksnes and Egge, 1991; Hein et al.,
367 1995). Hence, the lower minimum cellular metabolic requirement for small phytoplankton
368 selectively allows them to survive under lower resource concentrations than those of larger cells
369 (Shuter, 1978; Grover, 1991). Hence, small phytoplankton cells appear to have substantial
370 advantages over larger phytoplankton cells under nutrient-limited steady-state environmental
371 conditions (Grover, 1989; Grover, 1991). However, under very poor nutrient conditions, small
372 phytoplankton may also undergo nutrient starvation.

373

374 **3.5 Nutrient co-limitation**

375 Nutrient co-limitation is a major problem facing marine phytoplankton in oligotrophic
376 and pelagic ecosystems. Recent studies have suggested that the maximum uptake of
377 phytoplankton generally occurs when the nutrient stoichiometry is close to Redfield's ratio,
378 which is 16:1 (Li et al., 2011; Glibert et al., 2013; Bhavya et al., 2016, 2017), irrespective of the
379 individual nutrient concentration. Because the present study addresses completely different
380 ecosystems with high SIC, low nutrients and low SSTs, understanding the influence of DIN:P
381 would be challenging. In agreement with this, there were no significant correlations observed
382 between the C, NO_3^- , and NH_4^+ uptake rates and the DIN: P during the present study. However,
383 Fig. 5 shows a weak, although positive, correlation of small phytoplankton contribution towards
384 DIN:P. This result indicates the possibility of small phytoplankton efficiency to peak at a
385 nutrient stoichiometry close to Redfield's ratio. However, the lack of sufficient stations with
386 higher DIN:P values limits the present study from claiming the influence of nutrient
387 stoichiometry on the small phytoplankton contribution. It is also important to note that the
388 stations are located at geographical locations with diverse hydrographical parameters. However,
389 on the basis of few studies conducted from various parts of oceanic and estuarine regions, it has
390 been shown that DIN:P has a strong control on the total C and DIN uptake rates (Li et al., 2011;
391 Glibert et al., 2013; Bhavya et al., 2016, 2017). Although there was no significant correlation
392 obtained between the small phytoplankton uptakes and the DIN:P, the N co-limitation in the
393 Arctic Ocean is clearly seen (Table 1). Thus, the relative abundances of DIN and P are highly
394 important for the proper functioning of the C and DIN uptake mechanisms by autotrophs.

395

396 3.6 Turnover times of nutrients

397 The present study shows that N co-limitation persists in the Arctic Ocean and can potentially
398 limit the small phytoplankton contribution. In that case, any inorganic N substrate introduced to
399 the surface waters might be immediately used by the phytoplankton to facilitate organic matter
400 production under favorable environmental conditions. The turnover time for any substrate is an
401 important measurement to estimate how rapid an N substrate can be consumed. The estimation
402 of turnover time is performed by dividing the substrate concentrations with the corresponding
403 uptake rates. Figs. 6 & 7 show the turnover times for the NO_3^- and NH_4^+ substrates when small
404 phytoplankton communities are the only consumers. Fig. 7 shows that the turnover times for the
405 NH_4^+ substrate (within 500 hours) in the surface waters are longer; however, they are relatively
406 faster than those of the NO_3^- in the upper layers of the euphotic zone at almost all the stations in
407 the Arctic Ocean. However, the bottom waters of the euphotic zone showed relatively longer
408 (1000-1700 hours) turnover times for NH_4^+ substrate compared to the surface waters. The
409 sampling location in the East Siberian Sea (AF044) was observed to have relatively longer
410 turnover times for both NO_3^- and NH_4^+ substrates at the surface layers (Figs. 6 & 7), which was
411 possibly due to the lower uptake rates in that region. A continuous supply of nutrients through
412 rivers and less efficient DIN uptake rates might be major reasons for longer turnover times.
413 Compared to NH_4^+ , NO_3^- is consumed in distinctively longer periods as 14-fold at the surface
414 waters and 25-fold at the bottom of the euphotic zone. Primarily, such a difference is due to the
415 relative preference for NH_4^+ by the small phytoplankton and second, due to the high
416 concentrations of NO_3^- in the deep waters relative to the NH_4^+ concentrations. The research
417 outputs from a tropical eutrophic estuary in India have shown rapid turnover time (3.4-232 hrs
418 for NH_4^+ and 7.13-2419 hrs for NO_3^-) by total phytoplankton communities for DIN substrates

419 despite higher nutrient concentrations (Bhavya et al., 2016). In general, inhibition of NO_3^- uptake
420 is a very common phenomenon when higher NH_4^+ concentrations occur (e.g., Glibert, 1982;
421 Harrison et al., 1987; McCarthy et al., 1999; Bhavya et al. 2016). It is also very likely there will
422 be different turnover times with similar DIN concentrations under different hydrographic
423 properties that can govern the C and DIN metabolism in a given region.

424 **3.7 Quantum yield**

425 During the present study, the size-fractionated Chl *a* concentrations at the three light levels (100,
426 30, and 1%) were measured. The comparative analysis with the total Chl *a* fraction suggests that
427 the small phytoplankton communities are major contributors in the Laptev, Kara, and East
428 Siberian seas (Figure not shown; data used from Lee et al., unpublished). The results showed
429 significantly high contributions of small phytoplankton to total Chl *a* at all three light levels
430 (63.3 (S.D. = $\pm 17.5\%$), 61.4 (S.D. = $\pm 19.9\%$), and 59.0% (S.D. = $\pm 18.4\%$) at 100, 30, and 1%,
431 respectively).

432 The ability of Chl *a* to fix C and DIN in small phytoplankton communities is a matter of
433 concern in the Arctic Ocean. The quantum yield for the present study is defined as the efficiency
434 of unit Chl *a* in the small phytoplankton communities to fix DIN and C, which is calculated by
435 dividing the uptake rates by the Chl *a* concentration. The lower temperatures and salinities, ice
436 cover, and poor light availability can potentially lower the quantum yields. The quantum yields
437 for the C and DIN are shown in Figs. 8 and 9, respectively. The maximum yields for both C and
438 DIN were observed at AF091 for the 100 and 30% light depths. However, the quantum yield for
439 C at the 1% light level in all stations was observed to be very low, more likely due to light
440 limitation (Talling, 1957). Although the quantum yield for DIN was lower at 1% than at the other

441 two light levels, a drastic drop in the quantum yield for DIN at the 1% light level, such as
442 quantum yield for C, was not observed. This result can be due to the existence of significant
443 NH_4^+ uptake rates in the light-scarce conditions.

444 **3.8 Small and large phytoplankton contributions**

445 It is known that the impact of global warming on the Arctic Ocean has introduced rapid changes
446 in its physicochemical properties. Hence, the necessity to trace the changes in primary
447 production patterns in the Arctic Ocean has gained attention in the recent era. It has been
448 reported that the contribution of small phytoplankton to the total C and DIN fixations would
449 increase under warming conditions (Li et al., 2009, Thomas et al., 2012). A significant number
450 of total primary production estimates are available from the Arctic Ocean (Platt et al., 1982;
451 Vedernikov et al., 1994; Gosselin et al., 1997; Boetius and Damm, 1998; Tremblay et al., 2002;
452 Arrigo et al., 2008; Wassmann et al., 2011; Arrigo and Dijken, 2011; Yun et al., 2012, 2015;
453 Kahru et al., 2016; Lee et al., 2007; 2012, 2017a; Lee et al., unpublished). However, a deep
454 understanding regarding the boosting of small phytoplankton under warming conditions and their
455 contributions towards the total primary production is still rudimentary. The present study
456 provides the first report on small phytoplankton contributions to the total primary production in
457 the Kara, Laptev, and East Siberian seas in the Arctic Ocean. The results from the study suggest
458 that the small phytoplankton potentially contributed 24 to 89%, 32 to 89%, and 28 to 91%, to the
459 total C, NO_3^- , and NH_4^+ uptake rates, respectively, in the whole study region. Studies from
460 various oceanic bodies suggest that the small phytoplankton contribution to the total annual C
461 and DIN fixation varies between 20 and 65% (Agawin et al., 2000; Hodal and Kristiansen, 2008;
462 Joo et al. 2017; Lee et al. 2017a). The contributions of small phytoplankton to total C uptake
463 rates were significantly higher in the Amundsen Sea, with an average of 50.8% ($\pm 42.8\%$) and

464 14.9% ($\pm 8.4\%$), respectively, for the non-polynya and polynya regions (Lee et al. 2017a). The
465 contributions of small phytoplankton to the total NO_3^- uptake rates were 28.2% ($\pm 15.9\%$) in the
466 non-polynya region and 18.1% ($\pm 6.8\%$) in the polynya region. Similar to the C assimilation rates,
467 the small phytoplankton contributions to the total NH_4^+ uptake rates were higher in both non-
468 polynya (52.8%; $\pm 40.5\%$) and polynya (31.6%; $\pm 10.1\%$) regions (Lee et al., 2017a). Similarly,
469 the small phytoplankton contribution in the western Canada basin in the Arctic Ocean was
470 reported to be 64% (Yun et al., 2015). A recent study from the Chukchi Sea reported that the
471 average contributions of small phytoplankton to the C and total DIN uptake rates were
472 approximately 32% (S.D. = $\pm 24\%$) and 37% (S. D. = $\pm 26\%$), respectively (Lee et al., 2013).
473 Similar investigations conducted in the northern Barents Sea found that small phytoplankton
474 contributed almost half (46%) of the total primary production (Hodal and Kristiansen, 2008).
475 The MODIS-derived data in the Ulleung Basin from 2003 to 2012 suggested that the annual
476 contribution by small phytoplankton communities, in general, ranged from 19.6% to 28.4%, with
477 an average of 23.6% (S.D. = $\pm 8.1\%$) (Joo et al., 2017). This study suggested that large
478 phytoplankton communities are the major contributors to primary production in the Ulleung
479 Basin. Similarly, Legendre et al. (1992) reported that primary production in the high-latitude
480 Arctic region waters, in general, was dominated by large phytoplankton cells ($>5 \mu\text{m}$), while the
481 standing stock was dominated by small cell-sized phytoplankton ($0.7\text{--}5 \mu\text{m}$) due to strong
482 grazing stress on large cells. The present study also estimated large phytoplankton contributions
483 (total-small phytoplankton contributions) to the total uptake rates (Table 2). The assessments by
484 Tremblay et al. (2000) suggested that large phytoplankton can fix relatively more C per unit
485 NO_3^- and thus export more C than can small phytoplankton. However, the results from the
486 present study showed that the large phytoplankton communities in the Arctic Ocean could

487 contribute only an average of 40%, 34%, and 35% to the total C, NO_3^- , and NH_4^+ uptake rates,
488 respectively. Hence, small phytoplankton appear to be the major contributors of C, NO_3^- , and
489 NH_4^+ uptake, with percentage contributions of 60%, 66% and 65%, respectively, in the Laptev,
490 Kara, and East Siberian seas. These values are much higher than the global average contribution
491 (39%) of small phytoplankton production, as assessed by Agawin et al. (2000).

492 **4. Conclusions**

493 The present study attempted to estimate small phytoplankton contributions towards the
494 total C, NO_3^- , and NH_4^+ uptake rates in the Kara, Laptev, and East Siberian seas. The
495 contributions of small phytoplankton to the total C, NO_3^- , and NH_4^+ uptake rates ranged from 25-
496 89%, 31-89%, and 28-91%, respectively, in the Arctic Ocean. There was no significant influence
497 of ice cover on uptake rates; however, the stations with high SIC generally showed low surface
498 small phytoplankton uptake of C, NO_3^- , and NH_4^+ . It was also observed that the DIN: P can
499 potentially play a major role in controlling the small phytoplankton contributions towards the
500 DIN uptake rates by small phytoplankton. The significant contributions of small phytoplankton
501 indicate their efficiency to withstand hostile conditions, such as low nutrients, changing SST, and
502 high ice cover. However, to understand the influence of global warming on small phytoplankton
503 activity, growth, and community shifts, long-term *in situ* analyses as well as laboratory
504 manipulations and experiments are highly recommended.

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806 Table 1. The physical and chemical properties of the sampling locations in the East Siberian Sea
807 and the Laptev Sea, where station depth, euphotic depth, sea surface temperature (SST), and sea
808 ice cover (SIC) are represented in m, m, °C, and %, respectively. The sea surface salinity (SSS)
809 is represented in practical salinity unit (PSU). The nutrient concentrations ($\text{NO}_2^- + \text{NO}_3^-$,
810 phosphate (P), silicate (Si), and NH_4^+) are given as the depth-integrated values in the euphotic
811 zones, with a unit of mmol m^{-2} . The DIN: P is the nutrient stoichiometry calculated from the
812 available nutrient data.

Sector	Stn.	Longitude	Latitude	Date	Station depth	Euphotic depth	SST	SSS	SIC	$\text{NO}_2^- + \text{NO}_3^-$	P	Si	NH_4^+	DIN:P
	Name													
Laptev Sea	AF005	109.20	78.78	25-Aug-13	283	38	-0.08	31.42	0	142	17.30	184	31.34	10.00
	AF006	118.45	77.59	26-Aug-13	1244	50	0.75	31.36	0	129	16.73	158	18.72	8.81
	AF011	125.80	77.40	27-Aug-13	1543	51	1.62	30.01	0	83.7	23.82	137	2.46	3.62
	AF019	125.74	79.42	28-Aug-13	3196	60	-1.6	32.44	25	132	25.75	144	13.57	5.65
	AF024	125.69	80.72	29-Aug-13	3730	51	-1.48	30.96	45	127	22.34	166	13.74	6.29
	AF036	141.56	80.18	1-Sep-13	1480	54	-1.22	28.29	25	113	7.62	207	11.85	16.39
	AF049	137.77	78.95	5-Sep-13	1552	51	1.57	29.09	0	22.3	9.91	100	3.44	2.60
	AF057	128.83	77.98	5-Sep-13	2325	51	1.49	30.25	0	107	19.96	200	5.60	5.62
	AF061	125.83	78.40	6-Sep-13	2700	51	-0.07	31.39	10	99.4	23.15	190	8.27	4.65
	AF068	107.39	79.76	10-Sep-13	1200	33	-0.35	32.57	0	167	34.20	110	27.64	5.70
	AF071	112.10	82.02	11-Sep-13	3530	43	-1.73	31.86	65	166	20.81	144	15.46	8.72
	AF072	107.48	81.44	12-Sep-13	3349	49	-1.75	32.37	40	132	20.17	89.5	4.32	6.78
	AF080	102.31	80.60	13-Sep-13	315	76	-1.14	32.81	0	107	30.23	38.8	21.68	4.27
East Siberian Sea	AF041	149.38	79.85	2-Sep-13	561	51	-1.57	29.86	60	99.0	16.21	308	19.20	7.30
	AF044	154.98	80.22	3-Sep-13	1904	35	-1.67	30.91	100	88.7	14.48	205	17.43	7.33
Kara Sea	AF091	97.55	82.30	14-Sep-13	2959	38	-1.32	33.30	0	117	25.60	135	17.67	5.27
	AF095	94.79	83.74	15-Sep-13	3668	68	-1.76	32.36	40	121	35.44	165	5.23	3.56
	AF100	90.01	83.75	16-Sep-13	3410	46	-1.49	33.29	0	189	29.02	118	6.62	6.75
	AF116	66.87	81.34	19-Sep-13	530	46	0.47	33.44	0	105	20.52	19.5	22.62	6.22

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819 Table 2. The contribution of small and large phytoplankton to the water column C, NO₃⁻, and NH₄⁺ uptake rates. The units for the column-
 820 integrated C and DIN uptake rates are mg C m⁻²h⁻¹ and mg N m⁻²h⁻¹, respectively. The starred values indicate possibly incorrect data due to error in
 821 uptake rate measurements.

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Sector	Stn. Name	Small C uptake rates	Total C uptake rates	Small phytoplankton C uptake contribution (%)	Small NO ₃ ⁻ uptake rates	Total NO ₃ ⁻ uptake rates	Small phytoplankton NO ₃ ⁻ uptake contribution (%)	Small NH ₄ ⁺ uptake rates	Total NH ₄ ⁺ uptake rates	Small phytoplankton NH ₄ ⁺ uptake contribution (%)	Large phytoplankton C uptake contribution (%)	Large phytoplankton NO ₃ ⁻ uptake contribution (%)	large phytoplankton NH ₄ ⁺ uptake contribution (%)
Laptev Sea	AF005	0.86	1.25	68.28	0.06	0.09	72.41	0.94	1.03	90.95	31.72	27.59	9.05
	AF006	4.00	5.78	69.10	0.25	0.42	58.87	1.72	2.18	78.56	30.90	41.13	21.44
	AF011	2.85	4.31	66.02	0.16	0.42	38.47	0.53	0.89	59.83	33.98	61.53	40.17
	AF019	15.96	17.46	88.88	1.02	1.17	86.78	3.73	3.55	*105.1	11.12	13.22	
	AF024	0.69	1.34	51.62	0.08	0.14	56.81	0.31	0.85	36.06	48.38	43.19	63.94
	AF036	2.78	4.27	65.12	0.18	0.20	89.22	0.74	0.84	88.62	34.88	10.78	11.38
	AF049	1.76	4.02	43.86	0.17	0.22	75.57	0.46	0.78	58.44	56.14	24.43	41.56
	AF057	2.68	4.41	60.81	0.30	0.43	69.99	0.29	0.96	30.07	39.19	30.01	69.93
	AF061	1.91	4.38	43.56	0.48	1.53	31.46	0.53	1.91	27.77	56.44	68.54	72.23
	AF068	3.14	5.12	61.35	0.16	0.25	65.10	0.64	0.87	73.87	38.65	34.90	26.13
	AF071	0.54	2.19	24.59	0.22	0.27	79.83	0.33	0.28	*118.2	75.41	20.17	
	AF072	*0.63	*9.30	*6.79	0.27	0.43	63.42	0.27	0.41	65.27	*93.20	36.58	34.73
	AF080	1.68	2.42	69.44	0.33	0.34	*96.66	0.86	1.02	84.58	30.56	*3.34	15.42
East Siberian Sea	AF041	1.24	1.96	63.16	0.06	0.06	*109.6	0.50	0.57	86.92	36.84		13.08
	AF044	1.72	2.18	79.16	0.05	0.04	*129.7	0.11	0.14	75.18	20.84		24.82
Kara Sea	AF091	5.23	9.37	55.79	0.45	0.79	56.87	1.30	1.98	65.80	44.21	43.13	34.20
	AF095	1.73	2.52	68.59	0.28	0.24	*115.7	0.25	0.33	76.13	31.41		23.87
	AF100	1.63	4.85	33.60	0.31	0.56	55.58	0.37	0.82	44.97	66.40	44.42	55.03
	AF116				0.10	0.11	89.23						

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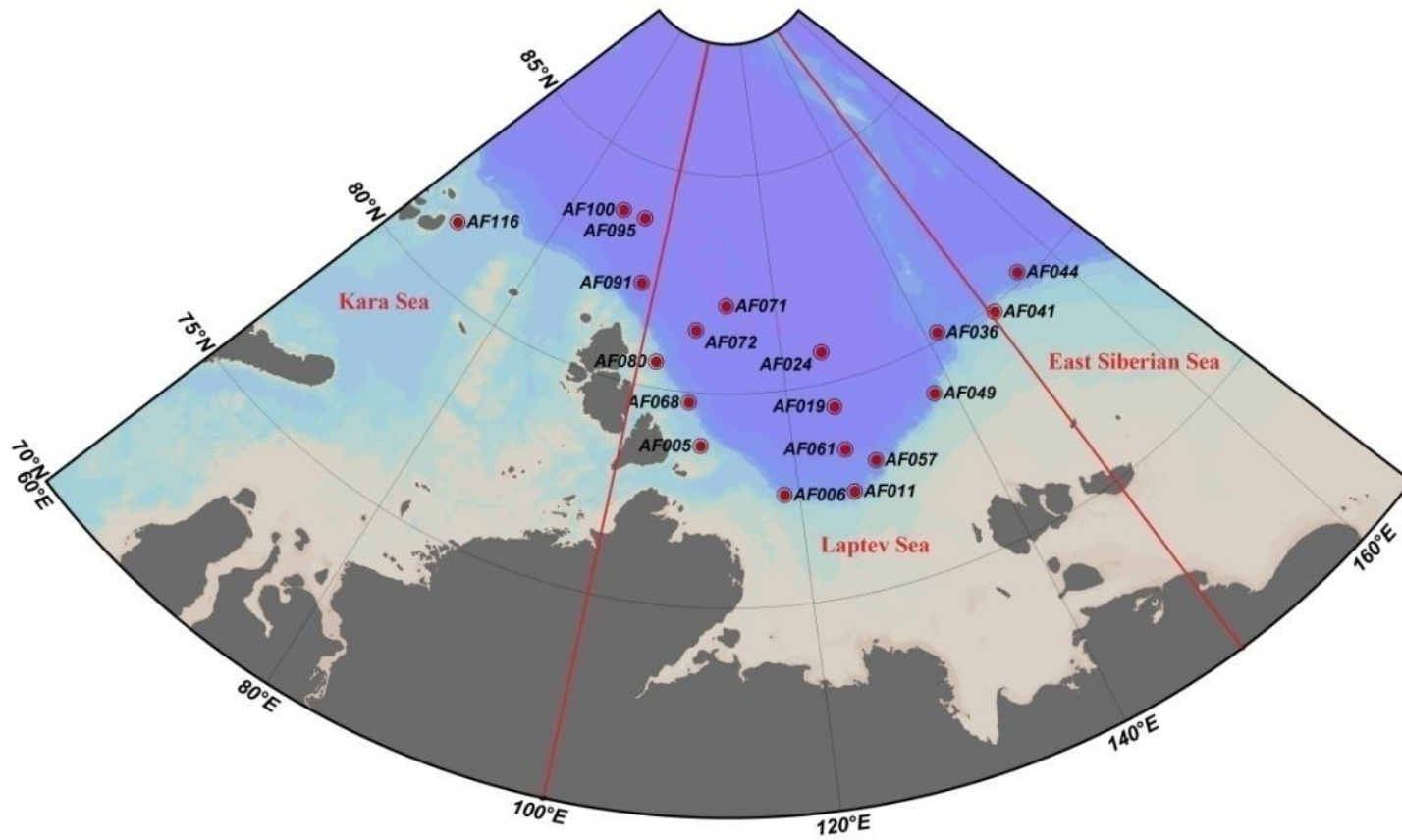
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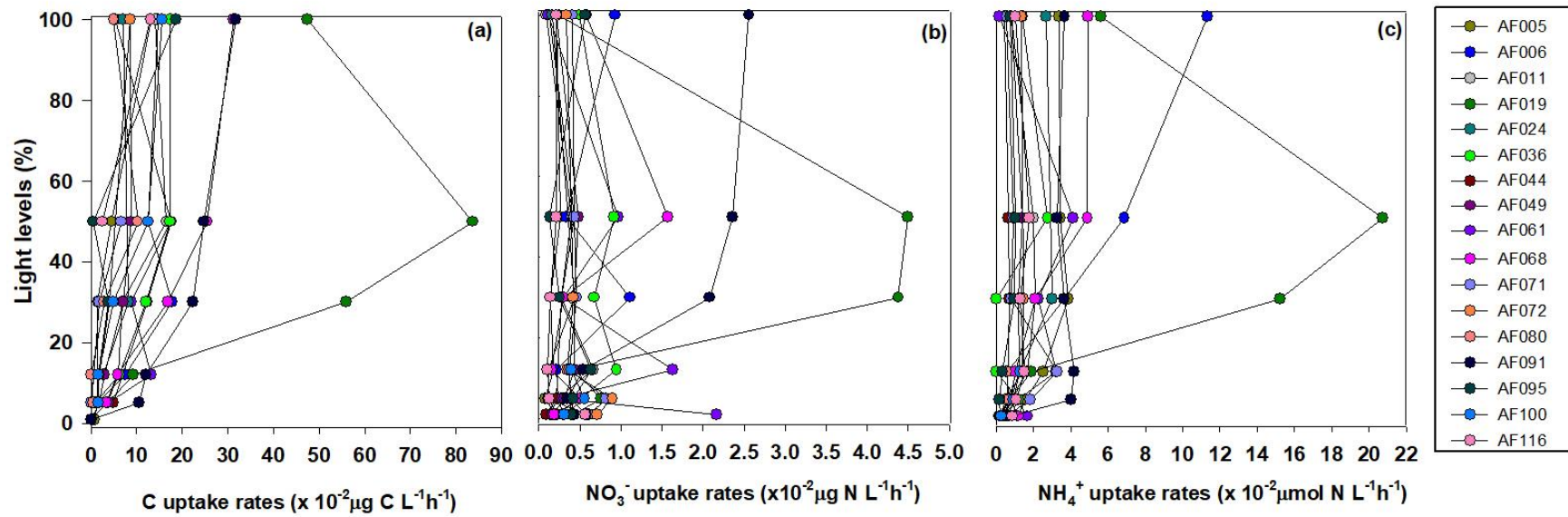


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833 Figure 1. Sampling locations in the Kara, Laptev, and East Siberian seas in the Arctic Ocean. The red straight lines indicate the geographic
834 boundaries used to define the seas as per Pabi et al. (2008).

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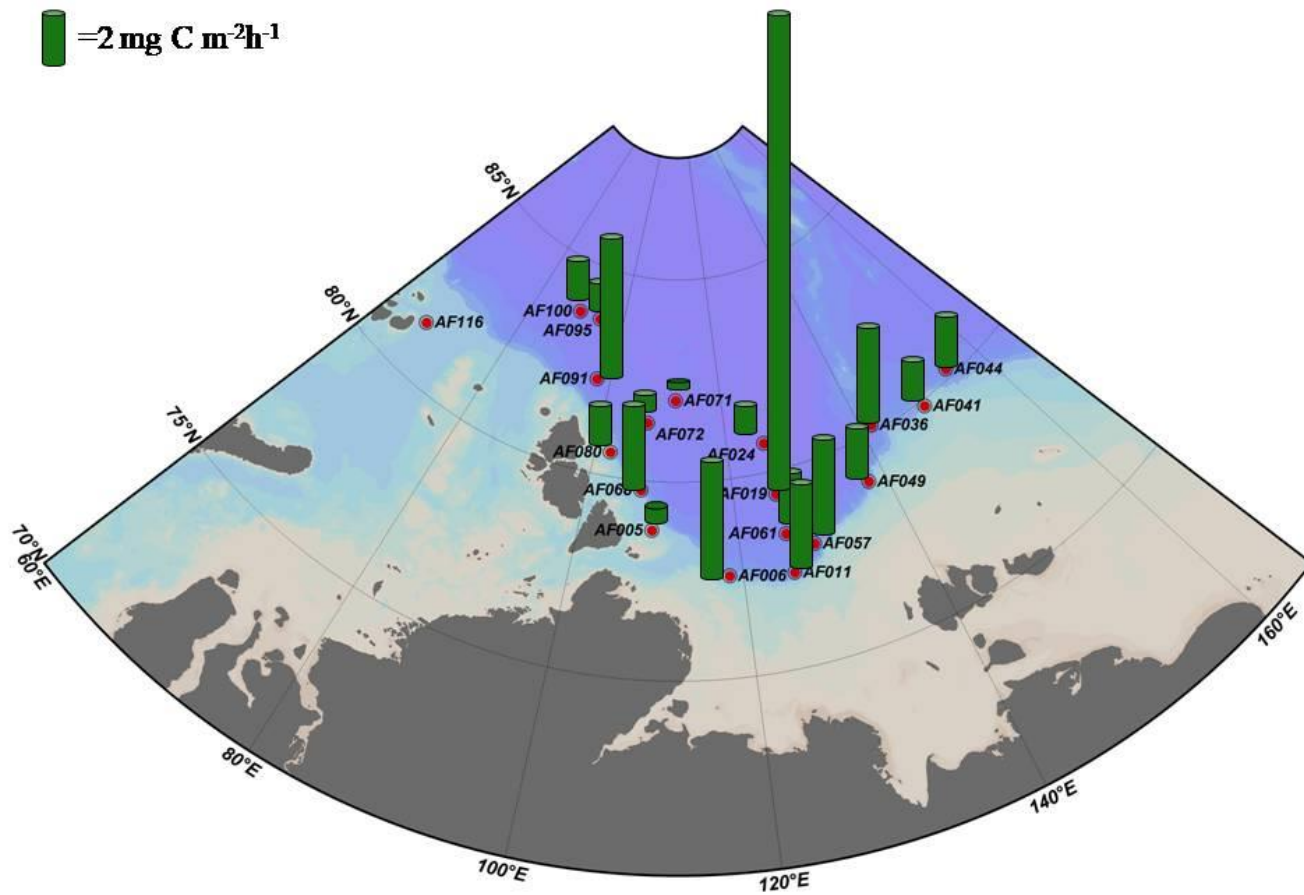
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838 Figure 2. Depth-wise small phytoplankton uptake rates of C, NO_3^- , and NH_4^+ in the Kara, Laptev, and East Siberian seas.

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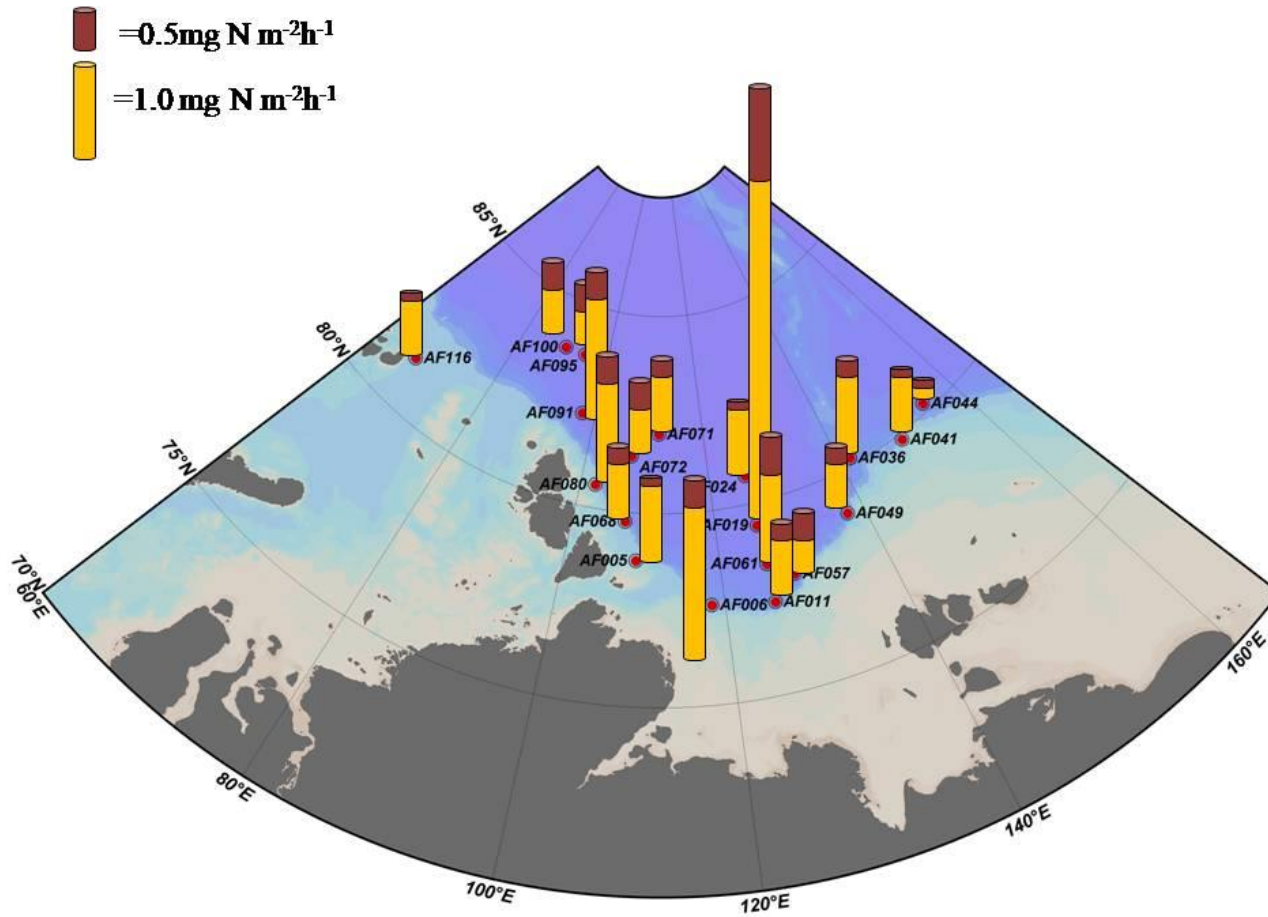
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843 Figure 3. The depth-integrated small phytoplankton C uptake rates in the sampling locations.

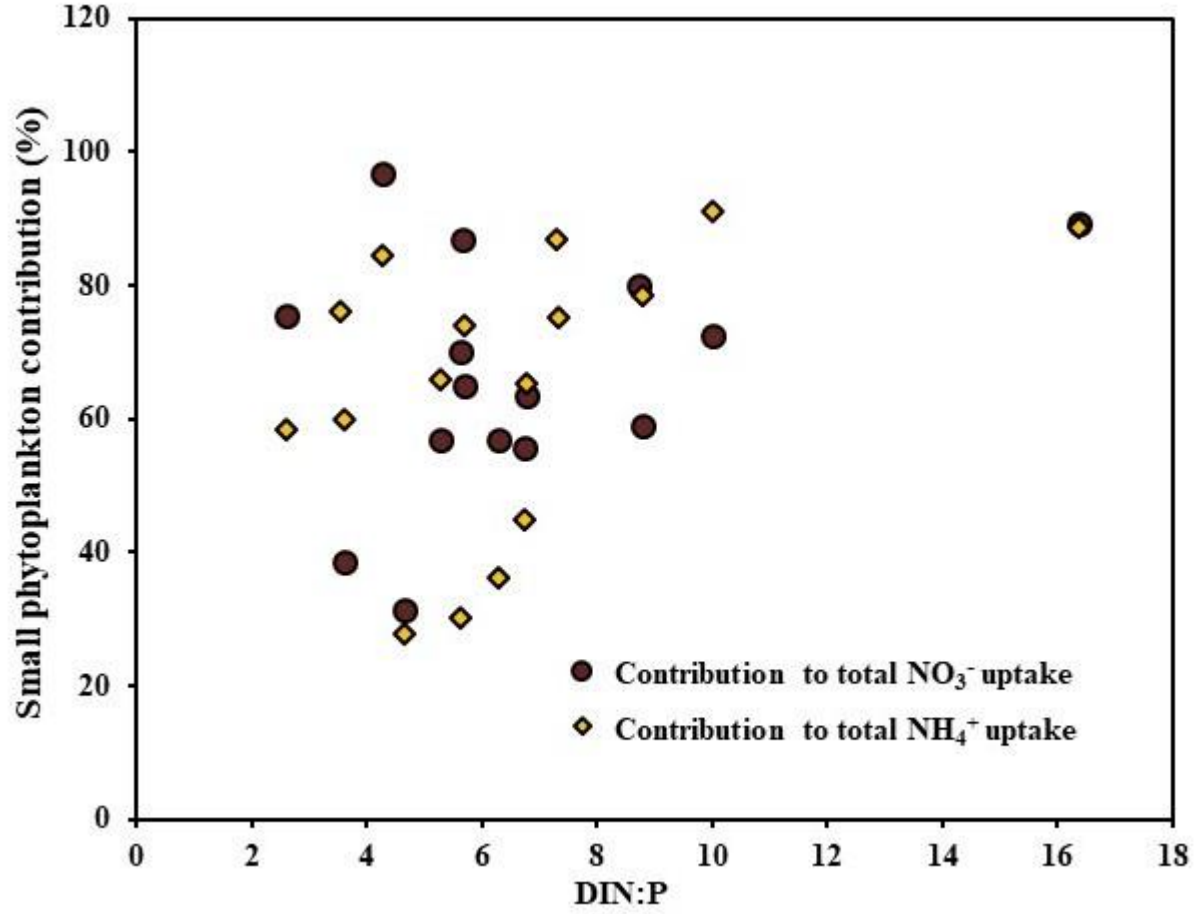
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846 Figure 4. The depth-integrated small phytoplankton NO_3^- and NH_4^+ uptake rates in the sampling locations. The maroon and yellow
 847 cylinders indicate the small phytoplankton NO_3^- and NH_4^+ depth-integrated uptake rates, respectively.

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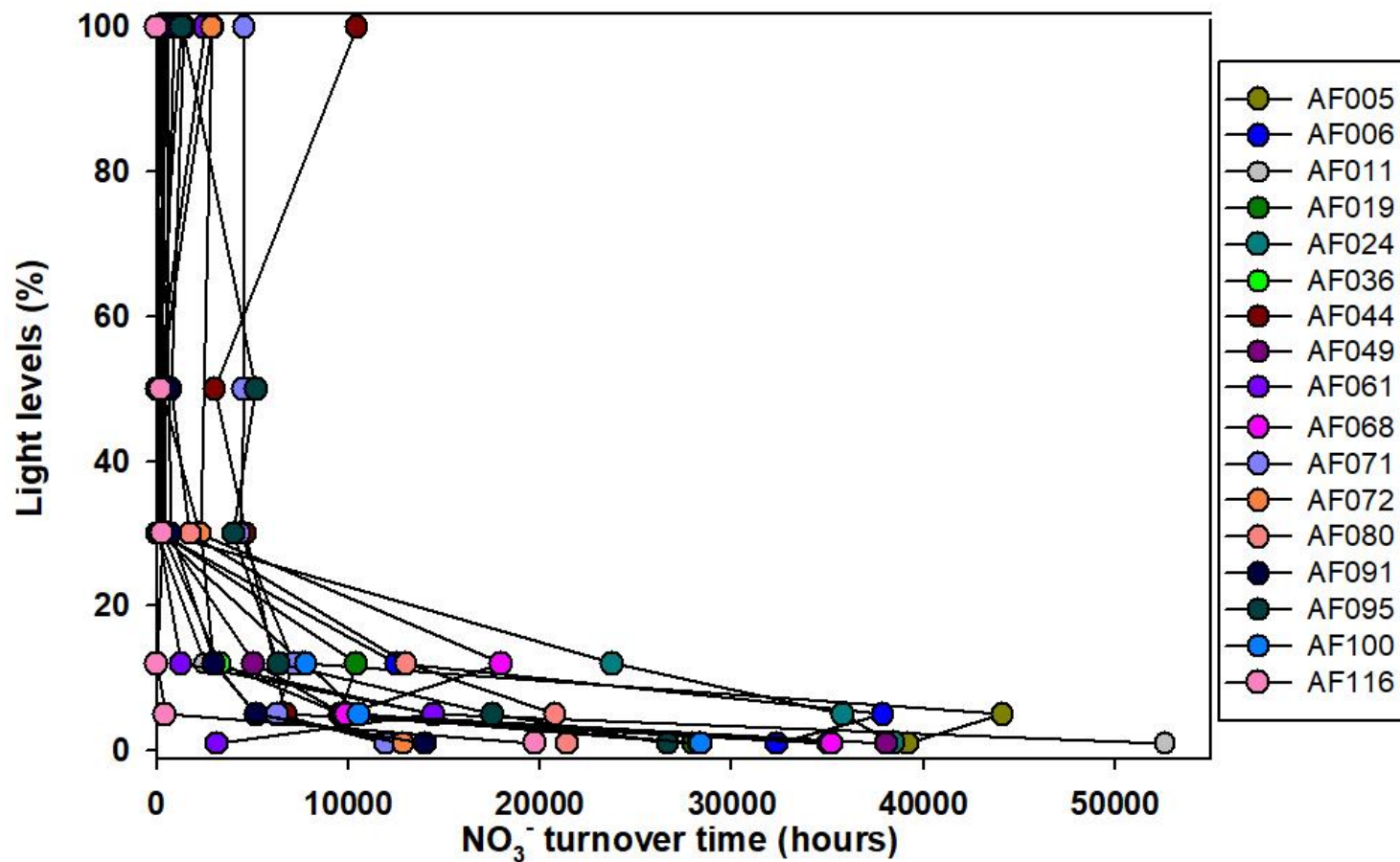


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850 Figure 5. The relationship of the contribution of small phytoplankton towards the total NO_3^- and NH_4^+ uptake rates with DIN: P.

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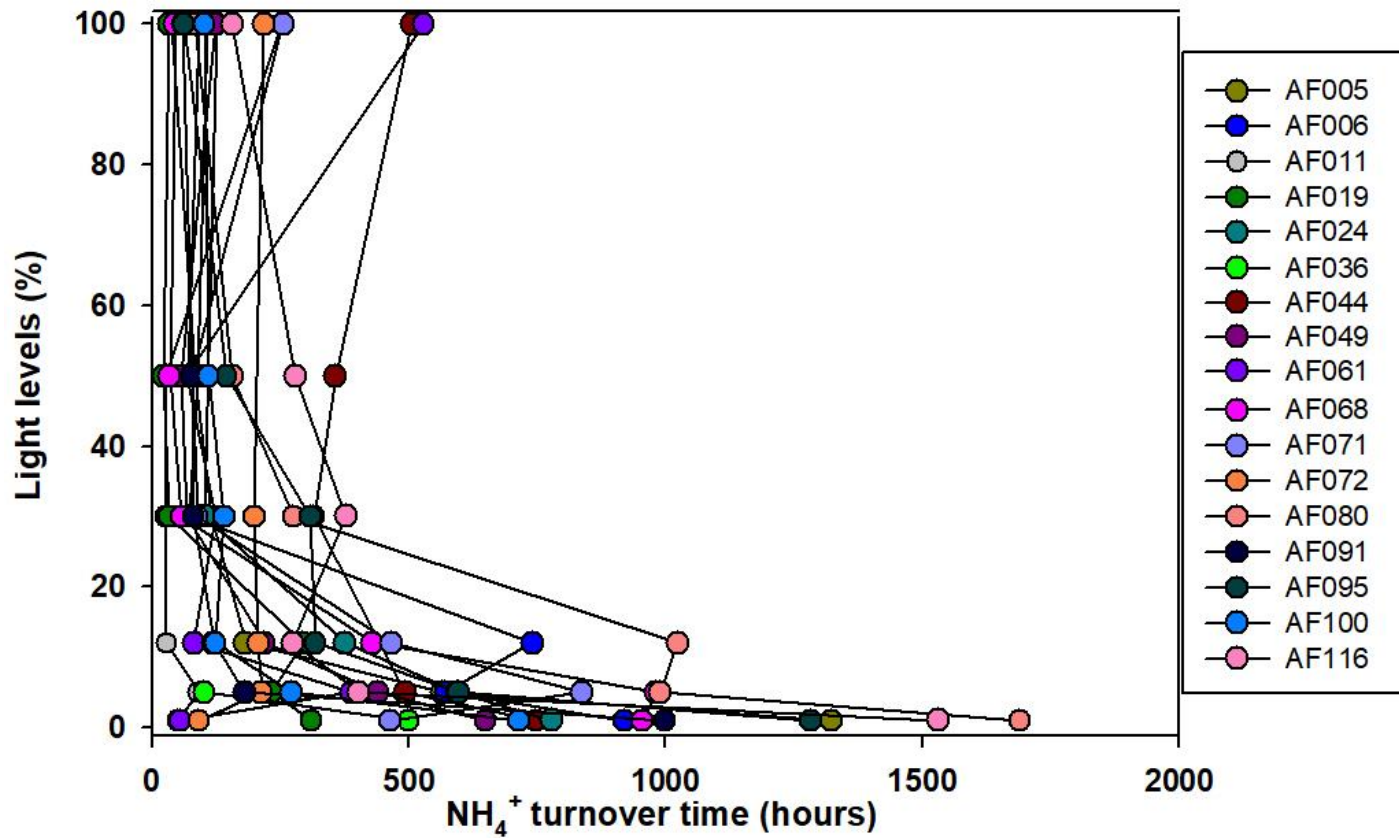
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854 Figure 6. Turnover time for the NO₃⁻ substrate, when small phytoplankton are the only consumers, in the sampling locations in the
 855 Arctic Ocean.

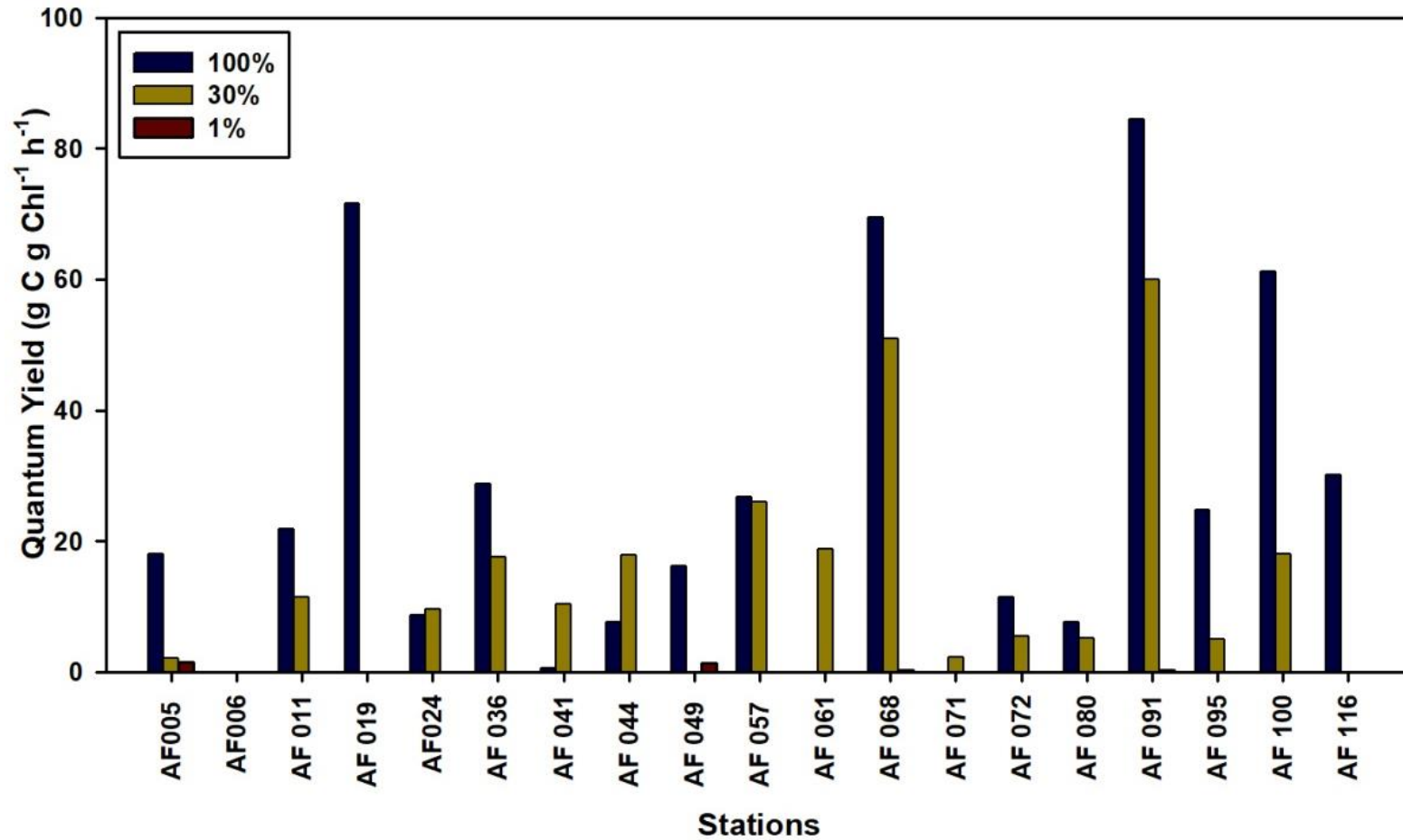
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858 Figure 7. Turnover times for the NH₄⁺ substrate, when small phytoplankton are the only consumers, in the sampling locations.

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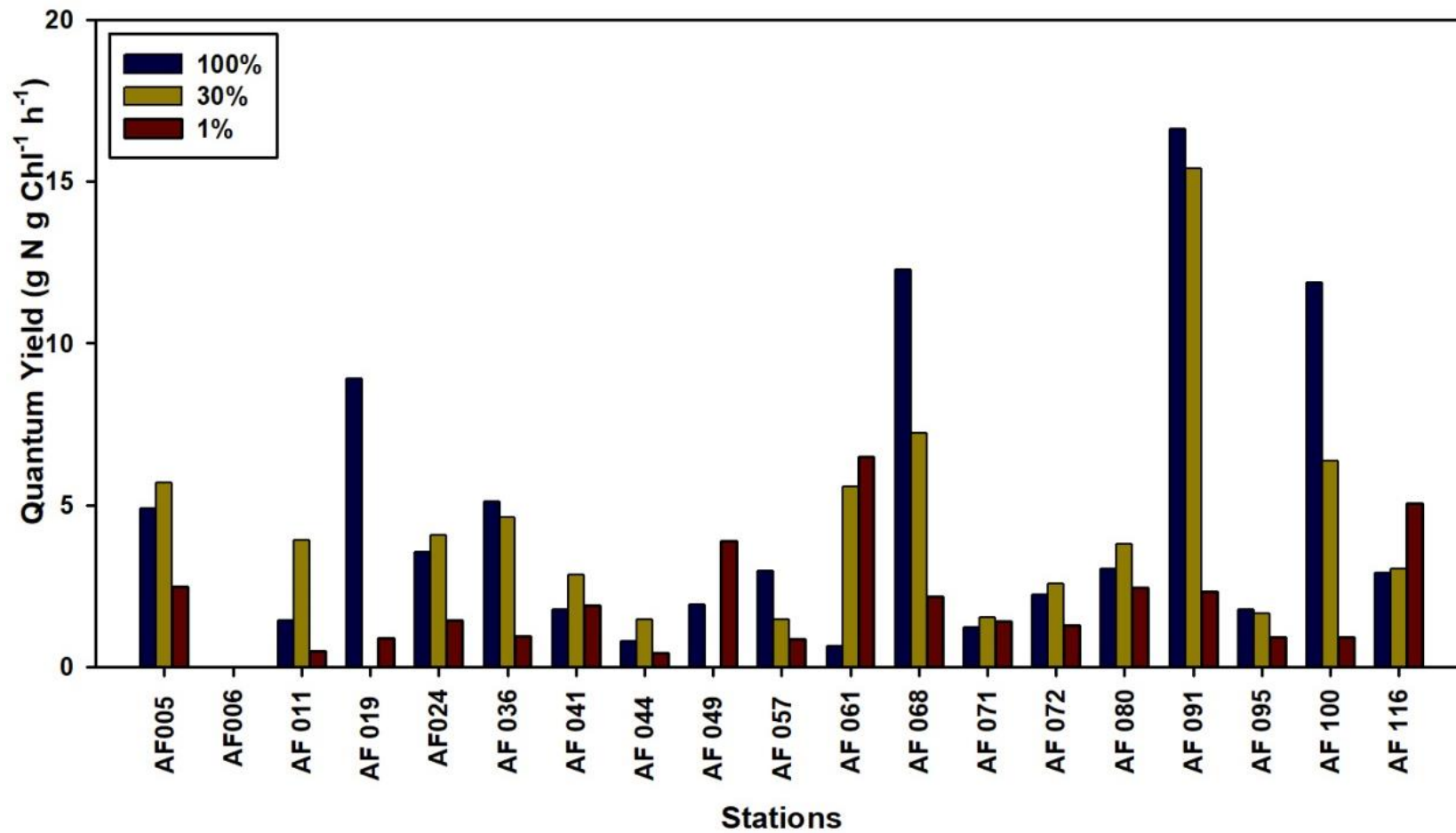


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861 Figure 8. Quantum carbon yield of small phytoplankton in the sampling locations.

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865 Figure 9. Quantum nitrogen yield of small phytoplankton in the sampling locations.

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