

1 **First *in situ* estimations of small phytoplankton carbon and nitrogen uptake rates in the**
2 **Kara, Laptev, and East Siberian seas**

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21 **Abstract.** Carbon (C) and nitrogen (N) uptake rates by small phytoplankton (0.7-5 μm) in the
22 Kara, Laptev, and East Siberian seas in the Arctic Ocean were quantified using *in situ* isotope
23 labelling experiments for the first time as a part of the NABOS (Nansen and Amundsen Basins
24 Observational System) program during August 21-September 22, 2013. The depth-integrated C,
25 nitrate (NO_3^-), and ammonium (NH_4^+) uptake rates by small phytoplankton showed a wide range
26 from 0.54 to 15.96 $\text{mgC m}^{-2}\text{h}^{-1}$, 0.05 to 1.02 $\text{mgC m}^{-2}\text{h}^{-1}$, and 0.11 to 3.73 $\text{mgN m}^{-2}\text{h}^{-1}$,
27 respectively. The contributions of small phytoplankton towards the total C, NO_3^- , and NH_4^+ were
28 varied from 25 to 89%, 31 to 89%, and 28 to 91%, respectively. The turnover times for NO_3^- and
29 NH_4^+ by small phytoplankton during the present study point towards the longer residence times
30 (years) of the nutrients in the deeper waters, particularly for NO_3^- . Relatively, higher C and N
31 uptake rates by small phytoplankton obtained during the present study at locations with less sea
32 ice concentration point toward the possibility of small phytoplankton thrive under sea ice retreat
33 under warming conditions. The high contributions of small phytoplankton toward the total
34 carbon and nitrogen uptake rates suggest capability of small size autotrophs to withstand in the
35 adverse hydrographic conditions introduced by climate change.

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38 *Key Words:* The Arctic Ocean, nitrogen, carbon, and small phytoplankton.

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42 **1. Introduction**

43 The Arctic Ocean has been always a key attraction for the oceanic expeditions due to its rapid
44 response to changing environmental conditions caused by both natural and anthropogenic factors.
45 It has been reported that the rate of decrease in sea ice extent in the Arctic Ocean is significantly
46 high and eventually caused a decline in sea ice thickness over recent decades (Stroeve et al.,
47 2008; Comiso et al., 2008; Kwok et al., 2009; Overland and Wang, 2013). As an immediate
48 effect, sea ice retreat would benefit the primary production by autotrophs due to increased
49 exposure to sunlight (Hill and Cota, 2005; Gradinger, 2009; Arrigo et al., 2012, Arrigo and van
50 Dijken, 2015; Bélanger et al., 2008; Kahru et al., 2016). It was also reported that primary
51 production in the Barents Sea showed an increase by 30% during the warm period (1989-1995)
52 than the cold one during 1960s (Wassmann et al., 2011; Arrigo et al., 2008). However, as a result
53 of sea ice melting, the ice-algal communities can be replaced by pelagic communities. Although,
54 ice-algal communities are not a large contributor towards the primary production, their absence
55 could potentially alter vertical flux of organic carbon and coupling between the euphotic and
56 benthic zones (Walsh, 1989).

57 Sea surface warming can also result in a strong water column stratification which can
58 reduce nutrient supply to the surface water and consequently a decrease in primary production
59 (Bopp et al., 2001; Lee et al., 2007, 2012; Tremblay and Gagnon, 2009; Li et al., 2009; Martin et
60 al., 2010; McLaughlin et al., 2010; Steinacher et al., 2010; Slagstad et al., 2011; Thomas et al.,
61 2012) and thus alterations in C dynamics in the Arctic Ocean (Arrigo et al., 2008; Bates and
62 Mathis, 2009; Cai et al., 2010). It has been a debatable topic that how phytoplankton
63 communities in the Arctic Ocean would respond to the physical, chemical, and biological stress
64 introduced by global warming. One group of researchers have reported that there has been an

65 enhancement in the annual primary production due to increased light availability and warmer
66 temperature in the Arctic Ocean (Arrigo et al., 2008; Arrigo and Dijken, 2011; Thomas et al.,
67 2012). However, another group suggested that excess moisture fluxes under warmer sea
68 conditions can introduce wider cloud covers during summer and early fall and thus, the
69 possibility of reduction in autotrophic primary production is inevitable (Eastman and Warren,
70 2010; Vavrus et al., 2012; Bélanger et al., 2013). Water column stratification is also a contrary
71 effect introduced by the global warming which can significantly reduce the vertical mixing of
72 nutrient rich deep waters and that can lower primary productivity (Tremblay and Gagnon, 2009;
73 Lee et al., 2007, 2012; Yun et al., 2015). On other hand, decline in nutrient concentrations in the
74 surface waters while sustained levels in the deeper waters, could be an immediate effect of global
75 warming (Vancoppenolle et al., 2013). Such environment would be adverse for the large
76 phytoplankton communities whose nutrient requirements are higher for achieving potential
77 primary production level (Li et al., 2009). However, small phytoplankton (size range: 0.7-5 μm),
78 which have lower nutrient requirements, are found to be proliferated under such conditions (Li et
79 al., 2009; Daufresne et al., 2009). Hence, understanding the mechanism and processes of small
80 phytoplankton metabolic activities under various environmental conditions would be a crucial
81 aspect of the Arctic Ocean ecosystem research.

82 There have been few studies conducted to understand the fate of small phytoplankton
83 under changing environmental scenario (Li et al., 2009; Yun et al., 2015). They identified that
84 the smallest phytoplankton cells can flourish under such nutrient replete conditions, however; the
85 larger cells decline (Li et al., 2009). And hence, the reduction in community average body size of
86 the autotrophs is expected to be an obvious response to the global warming (Daufresne et al.,
87 2009). Consequently, the primary production assisted by the small phytoplankton would be a

88 substantial part of the Arctic Ocean biogeochemistry. However, the contribution of small
89 phytoplankton towards the autotrophic C and dissolved inorganic nitrogen [here; $\text{DIN} = \text{NO}_3^- +$
90 NH_4^+] fixation has been one of the least investigated topics in the global ocean research,
91 particularly in the Arctic Ocean (Semiletov et al., 2005; Arrigo and Dijken, 2011; Hill et al.,
92 2017; Yun et al., 2012, 2015; Lee et al., 2007, 2012).

93 Apart from global warming, localized influences are also an important factor in
94 controlling the primary production in the Arctic Ocean. It has been reported that the Arctic
95 Ocean biogeochemistry is mainly governed by the high riverine as well as intrusions of Atlantic
96 and Pacific waters (Shiklomanov et al., 2000; Carmack and Macdonald, 2002; Peterson, et. al.,
97 2002; Anderson et al., 2004). The major rivers flow into the Arctic Ocean are the Ob', Lena,
98 Yenisey, and Mackenzie, and numerous smaller ones in both the Amerasian and Eurasian sectors.
99 It is reported that the Ob' and Yenisey Rivers show an increase in their fresh water discharge
100 since 1980s (Semiletov et al., 2005; Anderson et al., 2009). These seas situate along the
101 continental shelf of the Arctic Ocean which is known to be the widest and shallowest shelf in the
102 world oceans (Semiletov et al., 2005). These seas are characterized by highly dynamic organic
103 matter production and export to the deeper ocean as well as profound atmospheric exchange of
104 volatile gases (Semiletov et al., 2005; Anderson et al., 2009).

105 There were few studies conducted to estimate the influence of river effluences on C and
106 DIN uptake rates (Lee et al., 2007, 2012; Yun et al., 2015). However, the potential impact of
107 riverine influx on small phytoplankton uptake rates, very relevant for accountability of natural
108 and anthropogenic influences on the Arctic primary production, were not have been subjected to
109 investigation so far. The present study reports the first investigation results on small
110 phytoplankton (size: 0.7-5 μm) contribution towards the C, NO_3^- , and NH_4^+ uptake rates in the

111 Kara, Laptev, and East Siberian seas. Considering the global relevance of the Arctic Ocean
112 biogeochemistry, the present study aimed at the (1) estimation of small phytoplankton
113 contribution towards the total primary production as well as NO_3^- and NH_4^+ uptake rates and (2)
114 investigation on various factors influencing the small phytoplankton community efficiency in the
115 Kara, Laptev, and East Siberian Seas.

116 **2. Materials and Methods**

117 **2.1. Study Area**

118 The investigations on biochemical parameters and C and DIN transformation rates in the Kara,
119 Laptev, and East Siberian seas were conducted at 19 monitoring stations selected from a total of
120 116 NABOS stations (Fig. 1; Table 1). The geographical boundaries of each sea were defined as
121 per the classification done by Pabi et al. (2008) (Fig. 1). Based on this classification, there were 4,
122 13, and 2 stations were located in the Kara, Laptev, and East Siberian seas. The Kara and East
123 Siberian seas have surface areas almost two times ($926 \times 10^3 \text{ km}^2$ and $987 \times 10^3 \text{ km}^2$,
124 respectively) larger than the Laptev Sea ($498 \times 10^3 \text{ km}^2$) (Jakobsson, 2001). Also, the Laptev and
125 East Siberian seas hold the shallowest zones of the Arctic Ocean basin with a mean depth of 48
126 m, where the Kara Sea has a mean depth of 131 m (Jakobsson, 2001).

127 **2. 2. Sampling**

128 The sampling was conducted during 21st August to 22nd September, 2013 onboard the Russian
129 vessel “*Akademik Fedorov*”. The temperature and salinity were measured using a Seabird
130 SBE9plus CTD (conductivity-temperature-depth tool) equipped with dual temperature (SBE3)
131 and conductivity (SBE4) sensors. Samples for major inorganic nutrients [NO_3^- , nitrite (NO_2^-),
132 NH_4^+ , phosphate (P), and silicate (Si)] were collected using Niskin bottles attached to the CTD

133 device and analysis was performed onboard using an Alpkem Model 300 Rapid Flow Nutrient
134 Analyzer (5 channels) based on Whitley et al. (1981). The chlorophyll *a* (Chl *a*) samples for
135 the small phytoplankton fraction were obtained from 3 light depths (100, 30, and 1%). The
136 preparation of Chl *a* samples was based on the standard procedure reported in the previous
137 studies (Lee and Whitley, 2005; Lee et al., 2012). Water samples for small Chl *a* fractions
138 were sequentially filtered through 5 μ m Nucleopore and then 0.7 μ m pore-sized Whatman GF/F
139 filters (47 mm). Further, the GF/F filters were wrapped in aluminum foil and kept frozen at -
140 80 °C until the analysis. During the analysis, the Chl *a* fractions from the filters were extracted
141 using 90% acetone treatment at -5°C for 24 hours. The extracted Chl *a* samples were undergone
142 spectrophotometric analysis on board using a pre-calibrated Turner Designs model 10-AU
143 fluorometer. Samples for the C and DIN uptake rates were collected from six *in situ* depths of
144 light levels (100, 50, 30, 12, 5, and 1%) determined at each station by the use of euphotic depth
145 on the basis of Lambert-Beer's law. Underwater PAR sensor (and/or optical instruments) could
146 not be used due to logistic problems and the euphotic depth was calculated using the Secchi
147 depth which is a widely used method (Son et al., 2005; Tremblay et al., 2000; Lee et al. 2012;
148 Bhavya et al., 2016; 2017; Lee et al., 2017a, 2017b).

149 **2.3 ¹³C and ¹⁵N labeling experiments**

150 The estimation of C and DIN uptake rates were done using ¹³C and ¹⁵N dual isotope labeling
151 experiments (Dugdale and Goering, 1967; Slawyk et al 1977; Dugdale and Wilkerson, 1986).
152 Seawater samples at each light depth were collected using Niskin bottles attached to the CTD
153 Rosette and transferred to acid-cleaned polycarbonate incubation bottles (approximately 1 L)
154 wrapped with neutral density light filters (LEE filters) to match with desired light levels.
155 Immediately, samples were spiked with 98-99 % enriched tracer solutions of NaH¹³CO₃, K¹⁵NO₃,

156 or $^{15}\text{NH}_4\text{Cl}$ at concentrations of ~ 0.3 mM, $\sim 0.8\mu\text{M}$, and $\sim 0.1\mu\text{M}$ for the estimations of C, NO_3^- ,
157 and NH_4^+ uptake rates, respectively. Further, the samples were subjected to 4-6 hrs of incubation
158 in big transparent Plexiglas incubators on deck under natural light conditions with provided
159 running surface seawater. Immediately after the incubation, 0.3 L of incubated samples were
160 filtered through pre-combusted GF/F filters (25mm diameter) for the total uptake rate estimation.
161 The samples for small fraction, sub-samples (0.5 L) of the incubated waters were passed through
162 $5\ \mu\text{m}$ Nuclepore filters (47 mm) to remove large phytoplankton cells ($>5\ \mu\text{m}$) and then the
163 filtrate was passed through pre-combusted GF/F (25 mm) for the small phytoplankton (Lee et al.,
164 2013). The values for large phytoplankton in this study were obtained from the difference
165 between small and total fractions (Lee et al., 2013). Samples were kept frozen ($-20\ ^\circ\text{C}$) until the
166 mass spectrometric analysis (Finnigan Delta+XL) at the stable isotope laboratory of University
167 of Alaska Fairbanks, US. The uncertainties for $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$ measurements were $\pm 0.1\%$ and
168 $\pm 0.3\%$, respectively. DIN uptake rates of small phytoplankton were estimated using the
169 mathematical formula given by Dugdale and Goering (1967).

$$170 \text{ DIN uptake rate} = P * \Delta I_p / (T * (I_0 S_a + I_r S_t) / (S_a + S_t) - I_0)$$

171 Where: P is the amount of particulate N in the post incubation sample, ΔI_p is the increase in ^{15}N
172 atom% in particulate N during incubation, S_a and S_t are ambient and added NO_3^- (or NH_4^+)
173 concentrations, respectively, I_r and I_0 are ^{15}N atom% of added tracer and natural ^{15}N atom%, and
174 T is the incubation time period. This equation assumes no formation of nutrient during
175 incubation and therefore rates presented here are potential rates. Similarly, C uptake rates also
176 were calculated using the same equation where; P denotes the particulate organic C and S_a and S_t
177 are ambient dissolved inorganic carbon and added ^{13}C tracer concentrations, respectively. I_r and
178 I_0 are ^{13}C atom% of added tracer and natural ^{13}C atom%, respectively (Slawyk et al., 1977).

179 **3. Results and discussions**

180 **3.1 Environmental parameters in the Arctic Ocean**

181 The biological, chemical, and physical properties of the Arctic Ocean are mainly controlled by
182 the circulation patterns governed by the Pacific and Atlantic Ocean waters (Anderson et al.,
183 2004; Quadfasel, 2005) along with the river inputs (Peterson et al., 2002). The nutrient rich low
184 saline (<33 PSU) Pacific Ocean waters and nutrient replete relatively more saline (≈ 34.8 PSU)
185 Atlantic Ocean waters collectively regulate the biogeochemical activities of the Arctic Ocean
186 (Maslowski et al., 2004). The present study was conducted during late summer season where the
187 sea surface temperature (SST) was ranged from -1.76 °C to 1.62 °C. The sea surface salinity
188 (SSS) during the study period varied from 28.29 to 33.44 PSU (Table 1) which could be due to
189 the influence of both circulation pattern as well as fresh water inputs. The present study retrieved
190 sea ice concentration (SIC) from National Snow & Ice Data Center obtained from 2013 cruise.
191 The results show that the SIC has ranged from 0 % to 100 % (Table 1).

192 **3.2 Carbon and nitrogen uptake rates by small phytoplankton**

193 Fig. 2 shows the depth profiles C, NO_3^- , and NH_4^+ uptake rates per hour in the Laptev,
194 Kara, and East Siberian seas. Only a few stations showed significant subsurface maxima for the
195 C, NO_3^- , and NH_4^+ uptake rates during the present study where the rest of them exhibited no
196 significant variation throughout euphotic zone. AF019 station showed an exceptionally higher C,
197 NO_3^- , and NH_4^+ uptake rates, in general, with a sharp subsurface maxima. The depth-integrated
198 C, NO_3^- , and NH_4^+ uptake rates by small phytoplankton in the East Siberian Sea were observed
199 to be very low compared to those of other seas (Table 2, Figs. 3 & 4). The depth-integrated C
200 uptake rates by small phytoplankton showed a wide range from 0.54 to $15.96 \text{ mg C m}^{-2}\text{h}^{-1}$. The

201 depth-integrated NO_3^- uptake rates ranged from 0.05 to 1.02 $\text{mg N m}^{-2}\text{h}^{-1}$, where NH_4^+ uptake
202 rates varied from 0.11 to 3.73 $\text{mg N m}^{-2}\text{h}^{-1}$. The station AF019 showed the maximum small
203 phytoplankton uptake rates for C (15.96 $\text{mg C m}^{-2}\text{h}^{-1}$), NO_3^- (1.02 $\text{mg N m}^{-2}\text{h}^{-1}$), and NH_4^+ (3.73
204 $\text{mg N m}^{-2}\text{h}^{-1}$). The contribution of small phytoplankton towards the total uptake is also very high
205 at station AF019 (Table 2). The exceptionally high uptake rates for C, NO_3^- , and NH_4^+ obtained
206 at station AF019 is indeed very interesting. Similarly very high particulate organic carbon as
207 well as nitrogen concentration and specific small phytoplankton uptake rates compared to other
208 stations were also observed at station AF019. However, chlorophyll concentrations for the small
209 phytoplankton community did not show such higher values when compared to other stations.
210 Based on the background data obtained during the present study could not give a possible reason
211 to the high uptake rates. We assume that the higher metabolic rates can be due to presence of
212 different autotrophic communities which are different from other sampling locations.
213 Unfortunately, we could not obtain species identification data during the present study. The
214 lowest C, NO_3^- , and NH_4^+ uptake rates were observed at AF044 and AF041. The highest SIC
215 (100% and 60% at AF044 and AF041, respectively) in this region might be a reason for lower
216 primary productivity due to light limitation.

217 **3.3 Sea ice and small phytoplankton primary production**

218 The previous investigations on SIC over the Arctic Ocean proved that, during winter, the high
219 ice formation leads to expelling of salt content to the surrounding water. This introduces a
220 relatively high saline as well as density water layer at the surface or just below the sea ice layer
221 than surrounding. Such condition leads to sinking of very cold and saline surface waters and
222 replacement by nutrient rich deeper water which is less dense and a little warmer. This process
223 leads to deep vertical mixing and replenishment of surface nutrient inventories (Niebauer et al.,

224 1990; Falk-Petersen et al., 2000). However, during spring, melting of sea ice results in a strong
225 surface ocean stratification where the nutrient-rich waters are being exposed to a light
226 availability to create favorable conditions for the phytoplankton growth (Kirk, 1983; Niebauer et
227 al., 1990; Falk-Petersen et al., 2000). It is also reported that the increasing atmospheric
228 temperature due to global warming has been considerably caused a reduction in SIC in the Arctic
229 Ocean over the past three decades, with a rapid decrease in recent years (Levi, 2000; Parkinson,
230 1999).

231 Since the ice cover has significant role in controlling primary production, the dynamics of
232 SIC is an integral part of the Arctic Ocean research (Arrigo et al., 2008; Ardyna et al., 2014;
233 Kahru et al., 2016). It has been reported that that the reduction in SIC would facilitate the
234 photosynthetic activity and increase CO₂ intake by the seas (Anderson and Kaltin, 2001; Bates et
235 al., 2006; Kahru et al., 2016). Apparently, it can cause a relative decline in the contribution by
236 algae growing within the sea ice (Subba Rao and Platt, 1984; Legendre et al., 1992; Gosselin et
237 al., 1997), although sea ice community contributes only less than 10% of the Arctic Ocean C
238 sequestration (Clasby et al., 1973; Horner and Schrader, 1982). A detailed study conducted on
239 inter-annual variations in SIC and primary production by Kahru et al. (2016) suggested that the
240 primary production gets enhanced with decline in SIC. Kahru et al. (2016) reported that decrease
241 in SIC initially starts from June onwards in the northeastern Barents Sea and between Greenland
242 and the North American continent with an increase in primary productivity. This extends to the
243 Kara and Laptev seas during July-August and these areas exhibit a gradual enhancement in
244 primary productivity. Further, this process migrates towards the off Siberia and eventually in the
245 Beaufort and Chukchi seas. However, the major enhancement of primary production generally
246 occurs in the Laptev and Barents seas (Kahru et al., 2016). In agreement to this, our results also

247 show a relatively lower SIC and higher small phytoplankton C and DIN uptake rates in the
248 Laptev Sea region (Table 2, Figs. 3 & 4). The maximum SIC in the Laptev Sea was observed at
249 station AF071 which is 65%. The Kara Sea was mostly void of ice cover and only one station
250 (AF095) was observed with a SIC of 40%. Relatively lower small phytoplankton C and DIN
251 uptake rates were observed at both the stations in the East Siberian Sea (AF041: 60% and AF044:
252 100%) where the SIC was observed to be the maximum among all the stations. However, there
253 was no significant inverse correlation of small phytoplankton C and DIN uptake rates with SIC
254 found during the present study (Figure not shown). This could be due to influence of other
255 environmental constraints such as low nutrients and temperature on metabolic activities of small
256 phytoplankton.

257 The investigations conducted in the Amundsen Sea, Antarctic Ocean reported that there
258 is no significant difference in small phytoplankton C uptake rates between polynya and non-
259 polynya regions. The depth integrated small phytoplankton C uptake rates obtained from polynya
260 and non-polynya regions in the Amundsen Sea were ranged from 58.6–193 mg C m⁻²d⁻¹ (126 ±
261 55.2 mg C m⁻²d⁻¹) and 62.2–266 mg C m⁻²d⁻¹ (124 ± 69.3 mg C m⁻²d⁻¹), respectively (Lee et
262 al., 2017a). These values show that depth integrated small phytoplankton C uptake rates reported
263 from the Amundsen Sea from both polynya and non-polynya regions are relatively higher than
264 those obtained from the Arctic Ocean during the present study (5.86-191mg C m⁻²d⁻¹;
265 Average=37.7± 41.6). The daily NO₃⁻ uptake rates of small phytoplankton obtained from the
266 Amundsen Sea were 7.5–26.6 mg N m⁻²d⁻¹ (16.7 ± 7.8 mg N m⁻²d⁻¹) and 6.1–40.9 mg N m⁻²d⁻¹
267 (20.1 ± 13.1 mg N m⁻²d⁻¹) and those of NH₄⁺ uptake rates varied from 9.1–22.4 mg N m⁻²d⁻¹
268 (15.8 ± 6.4 mg N m⁻²d⁻¹) and 9.9–81.1 mg N m⁻²d⁻¹ (30.7 ± 24.5 mg N m⁻²d⁻¹), respectively,
269 for the non-polynya and polynya regions. Similar to C uptake rates, small phytoplankton uptake

270 rates for NO_3^- ($0.75\text{-}12.2 \text{ mg N m}^{-2}\text{d}^{-1}$; $3.21\pm 2.61 \text{ mg N m}^{-2}\text{d}^{-1}$) and NH_4^+ ($2.68\text{-}69.3 \text{ mg N}$
271 $\text{m}^{-2}\text{d}^{-1}$; average: $16.12\pm 14.54 \text{ mg N m}^{-2}\text{d}^{-1}$) were also significantly lower than that of Amundsen
272 Sea. The lower small phytoplankton uptake rates in the Arctic waters compared to Antarctic
273 waters can be possibility due to the lower nutrient concentrations and co-limitation of N in the
274 Arctic waters (Harrison and Cota, 1991). Sakshaug & Holm-Hansen (1984) has reported that the
275 maximum Arctic nutrient concentrations are typically lower than minimum Antarctic
276 concentrations.

277 **3.4 Nutrient sources and influence on small phytoplankton primary production**

278 The shallow water column depths and the existence of long coastline along with river
279 runoff provide a wide opportunity for the autotrophs in the Arctic Ocean to get sufficient light
280 and nutrients (Kirk, 1983). Also, the Arctic Ocean is known to be a large receptor of freshwater
281 discharge which exceeds 4000 km^3 per anum (Shiklomanov, 2000; Carmack and Macdonald,
282 2002). The riverine discharges may have a great role in keeping those stations nearby river inlet
283 distinctive in physico-chemical conditions. Similarly, the freshwater discharge from the six
284 largest Eurasian rivers increased by 7% during 1936 - 1999 (Peterson et al., 2002). Among the
285 various seas in the Arctic Ocean, the Kara and Laptev seas are known to be the first and second
286 largest receptors, respectively, of total organic carbon fluxes while the East Siberian Sea receives
287 the least (Rachold et al., 2000).

288 Nitrite+nitrate concentrations in most stations were observed to be homogeneous in the
289 water column up to a depth of 20 m (approximately 30% light depth); however, increased
290 exponentially towards the bottom waters (Figure not shown). The depth profiles of NH_4^+ and P
291 did not show any significant variation throughout the euphotic zone (Figure used in Lee et al.,

292 unpublished). However, the nutrient concentrations were considerably distinct among the
293 stations. The depth-integrated $\text{NO}_2^- + \text{NO}_3^-$ concentrations varied between 22.3 and 189 mmol m^{-2} .
294 The depth-integrated concentrations of P and Si were ranged from 7.62 to 35.4 mmol m^{-2} and
295 19.5 to 308 mmol m^{-2} , respectively (Table 1). Generally, high concentrations of $\text{NO}_2^- + \text{NO}_3^-$ and
296 phosphate were found at AF005, AF068, and AF071 in the Laptev Sea and one station in the
297 Kara Sea (AF100) and they were relatively much higher than those of the East Siberian Sea
298 (Table 1, Figs. 3 & 4). However, the Si concentrations were higher in the East Siberian Sea in
299 comparison with the other two seas. These results are comparable with the earlier studies
300 conducted by Codispoti and Richards (1968). They suggested that the concentrations of P and
301 NO_3^- were so low as to indicate nutrient limitation for phytoplankton production in the upper
302 layers.

303 The details of euphotic depths and depth-integrated nutrient concentrations are shown in
304 Table 1. The euphotic depths observed are different in almost all of the stations ranging from 33
305 to 76 m. However, the data from our present study did not show any dependency of depth-
306 integrated nutrient budget with euphotic depth. For example, AF019, AF080, and AF095 stations
307 have deeper euphotic zone, however; they are not having depth integrated $\text{NO}_2^- + \text{NO}_3^-$
308 concentrations close to the highest values obtained at AF068, AF071, and AF005 which are
309 having relatively shallower euphotic depths. The depth-integrated P values also showed higher
310 values at stations (AF019, AF068, AF100, AF080, AF095, and AF091) with both deeper and
311 shallower euphotic depths. Hence, the variation in euphotic depths seems to be insignificant in
312 determining the nutrient budgets in the present study area.

313 In reference to the stations (AF005, AF068, and AF071 in the Laptev Sea and AF100 in
314 the Kara Sea) nearby the river inlets were observed with relatively higher nutrient concentrations

315 (Table 1). The sampling locations away from the river inputs are mostly invaded by the nutrient
316 poor Atlantic waters instead of nutrient rich Pacific water. In another way, the Pacific Ocean
317 nutrient inputs are generally restricted to the Chukchi Sea and the Amerasian Basin (Carmack et
318 al., 1997; Dmitrenko et al., 2006). It is worth noticing that all the sampling locations in the Arctic
319 Ocean showed significantly lower small phytoplankton C and DIN uptake rates possibly due to
320 lack of light and nutrients. The nutrient stoichiometry analyses suggested that the Arctic Ocean
321 waters are N starving and the N:P (here N = DIN: $\text{NO}_2^- + \text{NO}_3^- + \text{NH}_4^+$ and P: PO_4^{3-}) ratios are
322 always below the Redfield's ratio which is 16:1 (mol: mol) (Redfield, 1963; Sakshaug, 2004).
323 The relative abundances of micronutrients are also important factors to control the primary
324 production (Glibert et al., 2013; Bhavya et al., 2016, 2017). The DIN:P observed during the
325 current study ranged from 2.60 to 16.4 with an average of 6.6 ± 3.0 which is also in agreement
326 with the previous studies reported. These ratios point towards the N-starvation of phytoplankton
327 which can potentially abstain them from growing to a bloom. It is reported that such cases with
328 less nutrient concentrations are generally less starving for small phytoplankton size range from
329 0.7-5 μm and they appeared to be a dominant in the euphotic water columns (Lee and Whitley,
330 2005; Li et al., 2009; Yun et al., 2015).

331 In general, experimental and theoretical evidences suggest that smaller cells have higher
332 rates of nutrient uptake per unit biomass and lower half-saturation constants due to their higher
333 surface area to volume ratios (Eppley and Thomas, 1969; Aksnes and Egge, 1991; Hein et al.,
334 1995). And hence, the lower minimum cellular metabolic requirement for small phytoplankton
335 selectively allows them to survive under lower resource concentrations than larger cells (Shuter,
336 1978; Grover, 1991). And hence, small phytoplankton cells appear to have substantial leads over
337 larger phytoplankton cells under nutrient-limited steady-state environmental conditions (Grover,

338 1989; Grover, 1991). However, under very poor nutrient conditions, small phytoplankton may
339 also undergo nutrient starving.

340 **3.5 Nutrient co-limitation**

341 Nutrient co-limitation is a major problem facing by marine phytoplankton in the
342 oligotrophic as well as pelagic ecosystems. The recent studies suggested that the maximum
343 uptake of phytoplankton generally occurs when nutrient stoichiometry is close to the Redfield's
344 ratio which is 16:1 (Li et al., 2011; Glibert et al., 2013; Bhavya et al., 2016, 2017), irrespective
345 of individual nutrient concentration. Since the present study dealt with a completely different
346 ecosystems with high SIC, low nutrients and SSTs, understanding the influence of DIN:P would
347 be challenging. In agreement to this, there was no significant correlations observed between C,
348 NO_3^- , and NH_4^+ uptake rates with DIN:P during the present study. However, Fig. 5 shows a weak,
349 although a positive correlation of small phytoplankton contribution towards with DIN:P. It
350 indicates the possibility of small phytoplankton efficiency to peak at nutrient stoichiometry close
351 to Redfield's ratio. However, the lack of sufficient stations with higher DIN:P values limits the
352 present study from claiming the influence of nutrient stoichiometry on small phytoplankton
353 contribution. It is also important to note that the stations are located at geographical locations
354 with diverse hydrographical parameters. However, on the basis of few researches conducted
355 from various parts of oceanic and estuarine regions, it is proven that DIN:P holds a strong
356 control on total C and DIN uptake rates (Li et al., 2011; Glibert et al., 2013; Bhavya et al., 2016,
357 2017). Although there was no significant correlation obtained between small phytoplankton
358 uptakes and DIN:P, the N co-limitation in the Arctic Ocean is clearly seen (Table 1). That means,
359 the relative abundances of DIN and P are highly important for proper functioning of C and DIN
360 uptake mechanism by autotrophs.

361 3.6 Turnover times of nutrients

362 The present study shows that DIN co-limitation persists in the Arctic Ocean can be one of the
363 major problems which can potentially limits the small phytoplankton contribution. In that case,
364 any inorganic N substrate introduced to the surface waters might be immediately used by the
365 phytoplankton to facilitate the organic matter production under the favorable environmental
366 conditions. The turnover time for any substrate is an important measurement to estimate how
367 rapid an N substrate can be consumed. The estimation of turnover time is done by dividing
368 substrate concentrations with corresponding uptake rates. Figs. 6 & 7 shows the turnover times
369 for NO_3^- and NH_4^+ substrates when small phytoplankton communities are the only consumers
370 exist. Fig. 7 shows that turnover times for NH_4^+ substrate (within 500 hours) in the surface waters
371 is longer, however; relatively faster than NO_3^- in upper layers of euphotic zone in almost all the
372 stations in the Arctic Ocean. However, the bottom waters of euphotic zone showed relatively
373 longer (1000-1700 hours) turnover times for NH_4^+ substrate compared to the surface waters. The
374 sampling location in East Siberian Sea (AF044) was observed with relatively longer turnover
375 times for both NO_3^- and NH_4^+ substrates at the surface layers (Figs. 6 & 7) possibly due to the
376 lower uptakes rates over there. Continuous supply of nutrients through rivers and less efficient
377 DIN uptake rates might be major reasons for longer turnover times. Compared to NH_4^+ , NO_3^- is
378 consumed in distinctively longer periods as 14 folds at the surface waters and 25 folds in the
379 bottom of euphotic zone. Primarily, such difference is due to the relative preference for NH_4^+ by
380 the small phytoplankton and secondly due to the high concentrations of NO_3^- in the deep waters
381 than NH_4^+ concentrations. The research outputs from a tropical eutrophic estuary in India has
382 showed rapid turnover time (3.4 - 232 hrs for NH_4^+ and 7.13-2419 hrs for NO_3^-) by total
383 phytoplankton communities for DIN substrates despite of higher nutrient concentrations (Bhavya

384 et al., 2016). In general, inhibition for NO_3^- uptake is a very common phenomenon when higher
385 NH_4^+ concentrations occurs (e.g., Glibert, 1982; Harrison et al., 1987; McCarthy et al., 1999;
386 Bhavya et al. 2016). It is also very likely to have different turnover times with the similar DIN
387 concentrations under different hydrographic properties those can govern the C and DIN
388 metabolism over there.

389 **3.7 Quantum yield**

390 During the present study, size-fractionated Chl *a* concentrations at three light levels (100, 30, and
391 1 %) were measured. The comparative analysis with the total Chl *a* fraction suggests that small
392 phytoplankton communities are major contributors in the Laptev, Kara, and East Siberian seas
393 (Figure not shown; data used from Lee et al. unpublished). The results showed significantly high
394 contributions of small phytoplankton towards the total Chl *a* at all the three light levels (63.3
395 (S.D. = $\pm 17.5\%$), 61.4 (S.D. = $\pm 19.9\%$), and 59.0% (S.D. = $\pm 18.4\%$) at 100, 30, and 1%,
396 respectively).

397 The efficiency of Chl *a* in small phytoplankton communities to fix C and DIN is a matter
398 of concern in the Arctic Ocean. The quantum yield for the present study is defined as the
399 efficiency of unit Chl *a* in the small phytoplankton communities to fix DIN and C, which is
400 calculated by dividing uptake rates by Chl *a* concentration. The lower temperatures and salinities,
401 ice cover, and the poor light availability can potentially lower quantum yields. The quantum
402 yield for C and DIN are shown in Figs. 8 and 9, respectively. The maximum yields for both C
403 and DIN were observed at AF091 for 100 and 30 % light depths. However, the quantum yield for
404 C at 1% light levels in all the stations were observed to be very low more likely due to light
405 limitation (Talling, 1957). Although the quantum yield for DIN was lower at 1% in comparison

406 with other two light levels the drastic drop in quantum yield for DIN at the 1% light levels, like
407 quantum yield for C, was not observed. This can be due to the existence of significant NH_4^+
408 uptake rates in the light scarce conditions.

409 **3.8 Small and large phytoplankton contributions**

410 It is a known fact that the impact of global warming on the Arctic Ocean has been introduced
411 rapid changes in its physicochemical properties. Hence, the necessity to trace the changes in
412 primary production pattern in the Arctic Ocean gained attention in the recent era. It has been
413 reported that the contribution of small phytoplankton towards the total C and DIN fixations
414 would be increasing under the warming conditions (Li et al., 2009, Thomas et al., 2012). A
415 significant number of total primary production estimates is available from the Arctic Ocean
416 (Platt et al., 1982; Wassmann et al., 2011; Vedernikov et al., 1994; Gosselin et al., 1997; Boetius
417 and Damm, 1998; Tremblay et al., 2002; Arrigo et al., 2008; Lee et al., 2007; 2012, 2017a;
418 Arrigo and Dijken, 2011; Yun et al., 2012, 2015; Kahru et al., 2016; Lee et al., unpublished).
419 However, a deep understanding regarding the boosting up of small phytoplankton under
420 warming conditions and their contributions towards the total primary production is still
421 rudimentary.

422 The studies from the various oceanic bodies suggest that the small phytoplankton
423 contribution to the total annual C and DIN fixation varies between 20 to 65% (Agawin et al.,
424 2000; Hodal and Kristiansen, 2008; Joo et al. 2017; Lee et al. 2017a). The contributions of small
425 phytoplankton to total C uptake rates were significantly higher in the Amundsen Sea with an
426 average of 50.8 % ($\pm 42.8\%$) and 14.9 % ($\pm 8.4\%$), respectively, for the non-polynya and polynya
427 regions (Lee et al. 2017a). The contributions of small phytoplankton to the total NO_3^- uptake
428 rates were 28.2 % ($\pm 15.9\%$) in the non-polynya region and 18.1 % ($\pm 6.8\%$) in the polynya region,

429 respectively. Similar to C assimilation rates, small phytoplankton contributions towards total
430 NH_4^+ uptake rates were higher in both non-polynya (52.8%: $\pm 40.5\%$) and polynya (31.6%;
431 $\pm 10.1\%$) regions (Lee et al., 2017a). Similarly, small phytoplankton contribution in the western
432 Canada basin in the Arctic Ocean was reported to be 64% (Yun et al., 2015). A recent study from
433 the Chukchi Sea reported that the average contributions of small phytoplankton to C and total
434 DIN uptake rates were approximately 32% (S.D. = $\pm 24\%$) and 37% (S. D. = $\pm 26\%$), respectively
435 (Lee et al., 2013). Similar investigations conducted in the northern Barents Sea found that small
436 phytoplankton contributed almost half (46%) of the total primary production (Hodal and
437 Kristiansen, 2008). The MODIS-derived data in the Ulleung Basin from 2003 to 2012 suggested
438 that the annual contribution by small phytoplankton communities, in general, ranges from 19.6%
439 to 28.4% with an average of 23.6% (S.D. = $\pm 8.1\%$) (Joo et al., 2017). This study suggested that
440 the large phytoplankton communities are the major contributors towards the primary production
441 in the Ulleung Basin. Similarly, Legendre et al. (1992) reported that primary production in the
442 high-latitude Arctic region waters, in general, was dominated by large phytoplankton cells
443 ($>5\mu\text{m}$), whereas the standing stock was dominated by small cell-sized phytoplankton ($0.7\text{--}5\mu\text{m}$)
444 due to strong grazing stress on large cells.

445 The present study provides the first ever report on small phytoplankton contribution
446 towards the total primary production in the Kara, Laptev, and East Siberian seas in the Arctic
447 Ocean. The results from the study suggests that the small phytoplankton potentially contributed
448 24 to 89%, 32 to 89%, and 28 to 91 %, towards the total C, NO_3^- , and NH_4^+ uptake rates in the
449 whole study region. Large phytoplankton contributions (total-small phytoplankton contributions)
450 towards the total uptake rates obtained during the present study is given in Table 2. The
451 assessments by Tremblay et al. (2000) suggests that large phytoplankton can fix relatively more

452 C per unit NO_3^- and thus export more C than small phytoplankton. However, the results from the
453 present study show that the large phytoplankton communities in the Arctic Ocean could
454 contribute only an average of 40%, 34%, and 35% towards the total C, NO_3^- , and NH_4^+ uptake
455 rates, respectively. And hence, small phytoplankton appears to be the major contributor of C,
456 NO_3^- , and NH_4^+ uptake with percentage contributions of 60%, 66% and 65%, respectively, in the
457 Laptev, Kara, and East Siberian seas. These values are much higher than the global average
458 contribution (39%) of small phytoplankton production assessed by Agawin et al. (2000).

459 **4. Conclusions**

460 The present study attempted to estimate small phytoplankton contributions towards the
461 total C, NO_3^- , and NH_4^+ uptake rates in the Kara, Laptev, and East Siberian seas. The
462 contributions of small phytoplankton towards the total C, NO_3^- , and NH_4^+ uptake rates ranged 25
463 - 89%, 31 - 89%, and 28 - 91%, respectively, in the Arctic Ocean. There was no significant
464 influence of ice cover on uptake rates was observed; however, the stations with high SIC were, in
465 general, showed low surface small phytoplankton uptake of C, NO_3^- , and NH_4^+ . It is also
466 observed that the DIN:P can potentially play a major role in controlling the small phytoplankton
467 contributions towards the DIN uptake rates by small phytoplankton. The significant contributions
468 of small phytoplankton indicate their efficiency to withstand the hostile conditions such as low
469 nutrients, changing SST, and high ice cover. However, to understand influence of global
470 warming on small phytoplankton activity, growth, and community shift, long term *in situ*
471 analyses as well as laboratory manipulations experiments are highly recommended.

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770 Table 1. The physical and chemical properties of sampling locations in the East Siberian Sea and
 771 Laptev Sea, where, station depth, euphotic depth, SST, SSS, and SIC are represented in m, m, °C,
 772 PSU, and %. The nutrient concentrations ($\text{NO}_2^- + \text{NO}_3^-$, P, Si, and NH_4^+) are given as the depth-
 773 integrated values in the euphotic zones and its unit is mmol m^{-2} . The DIN:P is the nutrient
 774 stoichiometry calculated from the available nutrient data.

Sector	Stn. Name	Longitude	Latitude	Date	Station depth	Euphotic depth	SST	SSS	SIC	$\text{NO}_2^- + \text{NO}_3^-$	P	Si	NH_4^+	DIN:P
Laptev Sea	AF005	109.20	78.78	25-Aug-13	283	38	-0.08	31.42	0	142	17.30	184	31.34	10.00
	AF006	118.45	77.59	26-Aug-13	1244	50	0.75	31.36	0	129	16.73	158	18.72	8.81
	AF011	125.80	77.40	27-Aug-13	1543	51	1.62	30.01	0	83.7	23.82	137	2.46	3.62
	AF019	125.74	79.42	28-Aug-13	3196	60	-1.6	32.44	25	132	25.75	144	13.57	5.65
	AF024	125.69	80.72	29-Aug-13	3730	51	-1.48	30.96	45	127	22.34	166	13.74	6.29
	AF036	141.56	80.18	1-Sep-13	1480	54	-1.22	28.29	25	113	7.62	207	11.85	16.39
	AF049	137.77	78.95	5-Sep-13	1552	51	1.57	29.09	0	22.3	9.91	100	3.44	2.60
	AF057	128.83	77.98	5-Sep-13	2325	51	1.49	30.25	0	107	19.96	200	5.60	5.62
	AF061	125.83	78.40	6-Sep-13	2700	51	-0.07	31.39	10	99.4	23.15	190	8.27	4.65
	AF068	107.39	79.76	10-Sep-13	1200	33	-0.35	32.57	0	167	34.20	110	27.64	5.70
	AF071	112.10	82.02	11-Sep-13	3530	43	-1.73	31.86	65	166	20.81	144	15.46	8.72
	AF072	107.48	81.44	12-Sep-13	3349	49	-1.75	32.37	40	132	20.17	89.5	4.32	6.78
AF080	102.31	80.60	13-Sep-13	315	76	-1.14	32.81	0	107	30.23	38.8	21.68	4.27	
East Siberian Sea	AF041	149.38	79.85	2-Sep-13	561	51	-1.57	29.86	60	99.0	16.21	308	19.20	7.30
	AF044	154.98	80.22	3-Sep-13	1904	35	-1.67	30.91	100	88.7	14.48	205	17.43	7.33
Kara Sea	AF091	97.55	82.30	14-Sep-13	2959	38	-1.32	33.30	0	117	25.60	135	17.67	5.27
	AF095	94.79	83.74	15-Sep-13	3668	68	-1.76	32.36	40	121	35.44	165	5.23	3.56
	AF100	90.01	83.75	16-Sep-13	3410	46	-1.49	33.29	0	189	29.02	118	6.62	6.75
	AF116	66.87	81.34	19-Sep-13	530	46	0.47	33.44	0	105	20.52	19.5	22.62	6.22

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783 Table 2. The contribution of small and large phytoplankton towards water column C, NO₃⁻, and NH₄⁺ uptake rates. The units for column integrated
 784 C, and DIN uptake rates are mg C m⁻²h⁻¹ and mg N m⁻²h⁻¹, respectively. The starred values indicate possibly wrong data due error in uptake rate
 785 measurement.

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Sector	Stn. Name	Small C uptake rates	Total C uptake rates	Small phytoplankton C uptake contribution (%)	Small NO ₃ ⁻ uptake rates	Total NO ₃ ⁻ uptake rates	Small phytoplankton NO ₃ ⁻ uptake contribution (%)	Small NH ₄ ⁺ uptake rates	Total NH ₄ ⁺ uptake rates	Small phytoplankton NH ₄ ⁺ uptake contribution (%)	Large phytoplankton C uptake contribution (%)	Large phytoplankton NO ₃ ⁻ uptake contribution (%)	large phytoplankton NH ₄ ⁺ uptake contribution (%)
Laptev Sea	AF005	0.86	1.25	68.28	0.06	0.09	72.41	0.94	1.03	90.95	31.72	27.59	9.05
	AF006	4.00	5.78	69.10	0.25	0.42	58.87	1.72	2.18	78.56	30.90	41.13	21.44
	AF011	2.85	4.31	66.02	0.16	0.42	38.47	0.53	0.89	59.83	33.98	61.53	40.17
	AF019	15.96	17.46	88.88	1.02	1.17	86.78	3.73	3.55	*105.1	11.12	13.22	
	AF024	0.69	1.34	51.62	0.08	0.14	56.81	0.31	0.85	36.06	48.38	43.19	63.94
	AF036	2.78	4.27	65.12	0.18	0.20	89.22	0.74	0.84	88.62	34.88	10.78	11.38
	AF049	1.76	4.02	43.86	0.17	0.22	75.57	0.46	0.78	58.44	56.14	24.43	41.56
	AF057	2.68	4.41	60.81	0.30	0.43	69.99	0.29	0.96	30.07	39.19	30.01	69.93
	AF061	1.91	4.38	43.56	0.48	1.53	31.46	0.53	1.91	27.77	56.44	68.54	72.23
	AF068	3.14	5.12	61.35	0.16	0.25	65.10	0.64	0.87	73.87	38.65	34.90	26.13
	AF071	0.54	2.19	24.59	0.22	0.27	79.83	0.33	0.28	*118.2	75.41	20.17	
	AF072	*0.63	*9.30	*6.79	0.27	0.43	63.42	0.27	0.41	65.27	*93.20	36.58	34.73
	AF080	1.68	2.42	69.44	0.33	0.34	*96.66	0.86	1.02	84.58	30.56	*3.34	15.42
East Siberian Sea	AF041	1.24	1.96	63.16	0.06	0.06	*109.6	0.50	0.57	86.92	36.84		13.08
	AF044	1.72	2.18	79.16	0.05	0.04	*129.7	0.11	0.14	75.18	20.84		24.82
Kara Sea	AF091	5.23	9.37	55.79	0.45	0.79	56.87	1.30	1.98	65.80	44.21	43.13	34.20
	AF095	1.73	2.52	68.59	0.28	0.24	*115.7	0.25	0.33	76.13	31.41		23.87
	AF100	1.63	4.85	33.60	0.31	0.56	55.58	0.37	0.82	44.97	66.40	44.42	55.03
	AF116				0.10	0.11	89.23						

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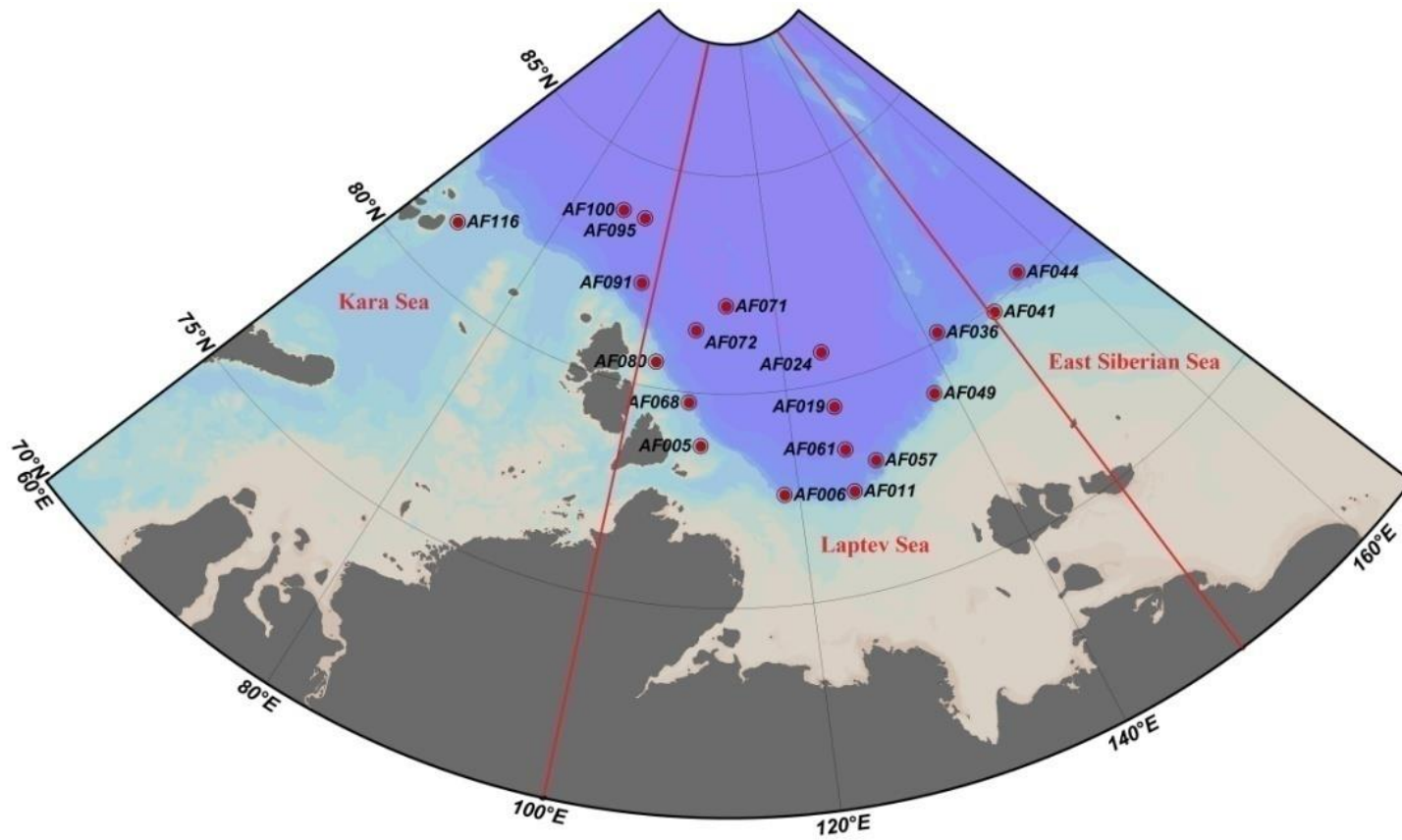
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797 Figure 1. Sampling locations in the Kara, Laptev, and East Siberian Seas in the Arctic Ocean. The red straight lines indicate the geographic
798 boundaries to define the seas as per Pabi et al. (2008).

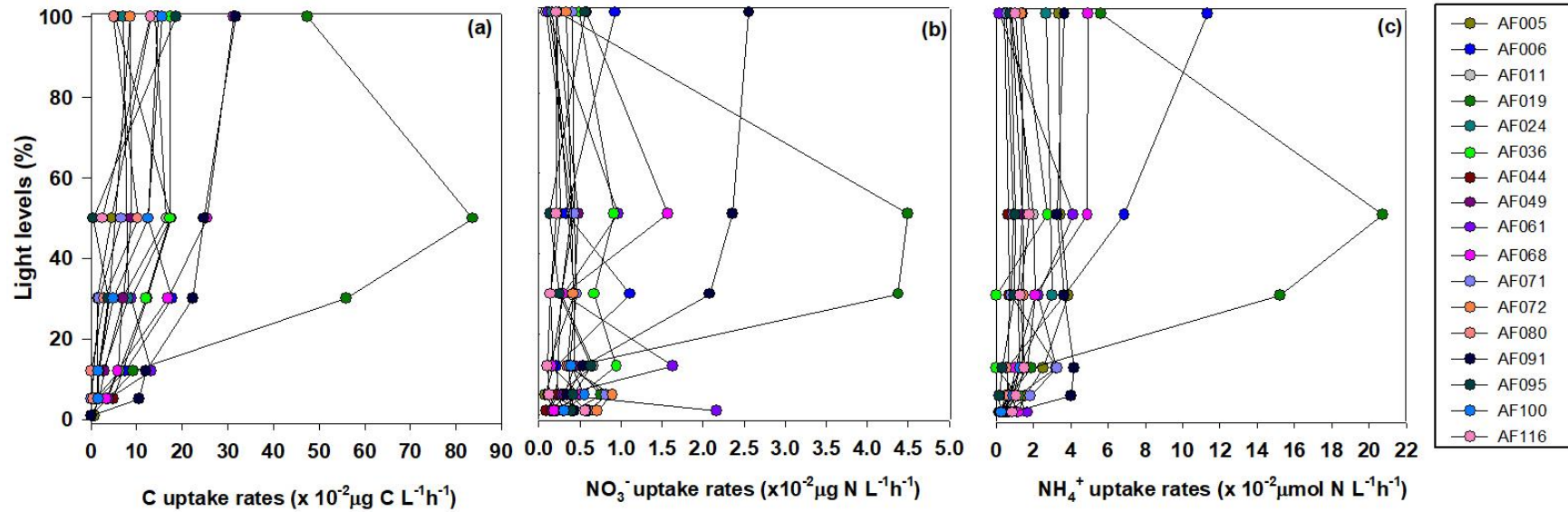
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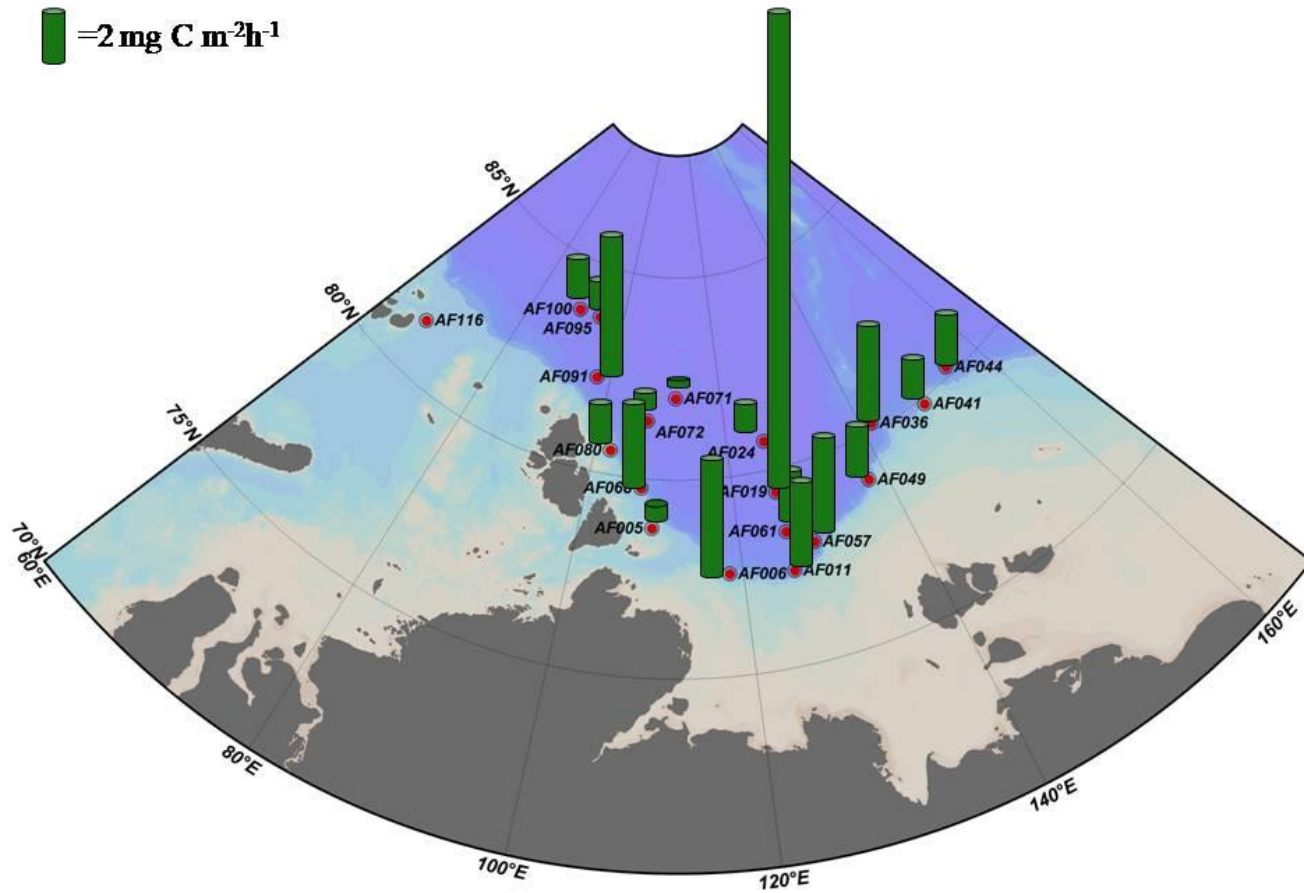
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805 Figure 2. Depth wise small phytoplankton uptake rates of C, NO_3^- , and NH_4^+ in the Kara, Laptev, and East Siberian Sea.

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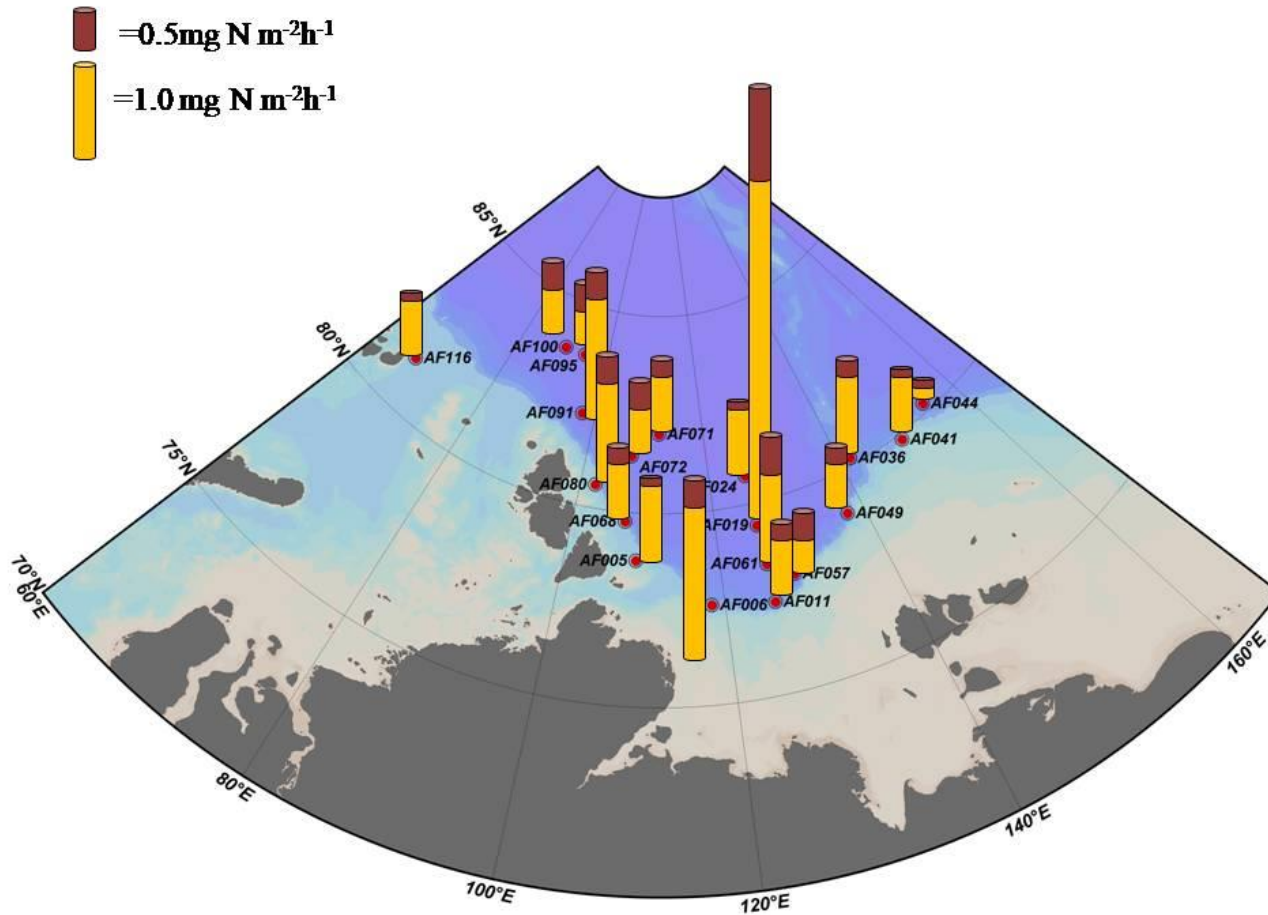
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810 Figure 3. The depth-integrated small phytoplankton C uptake rates in the sampling locations.

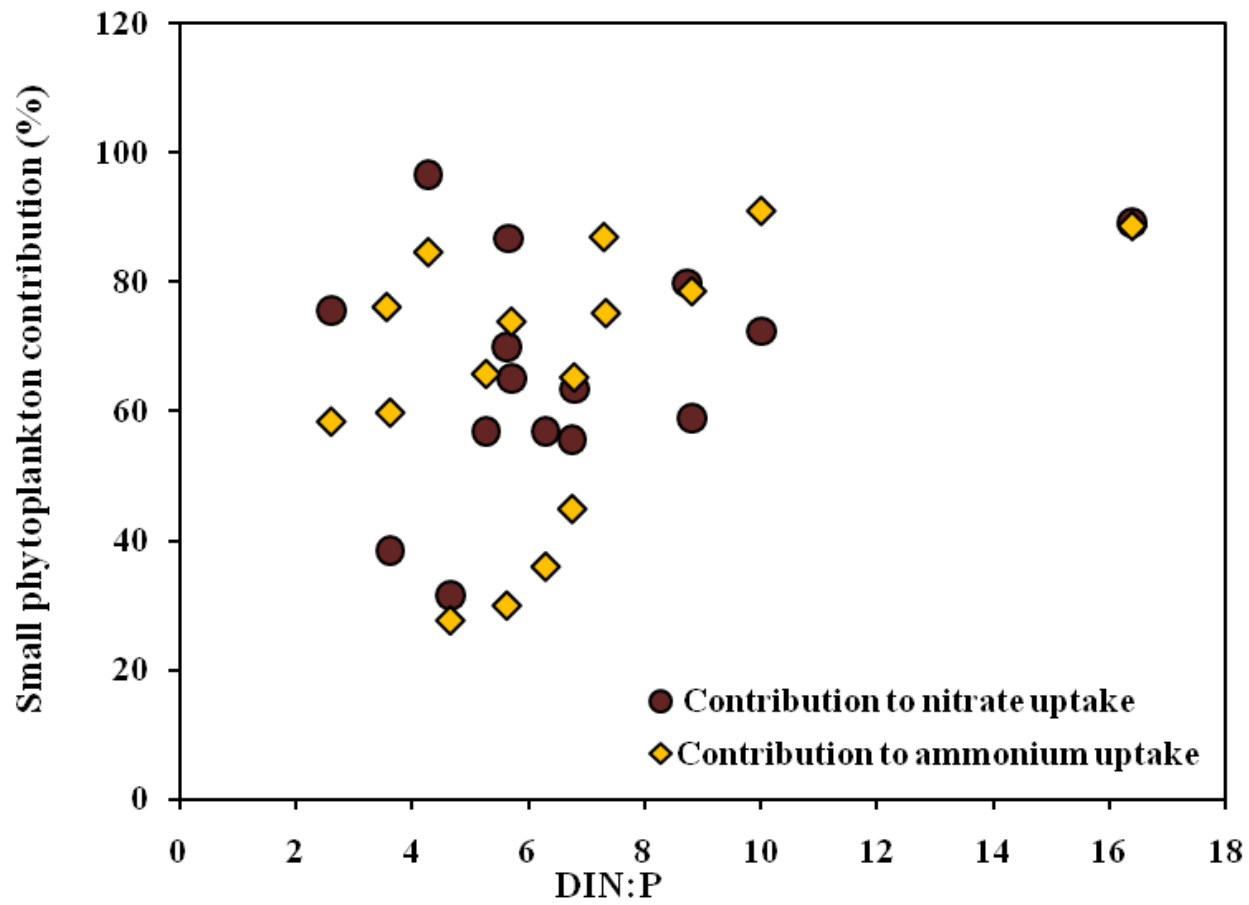
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813 Figure 4. The depth-integrated small phytoplankton NO_3^- and NH_4^+ uptake rates in the sampling locations. The maroon and yellow
 814 cylinders indicate the small phytoplankton NO_3^- and NH_4^+ depth-integrated uptake rates, respectively.

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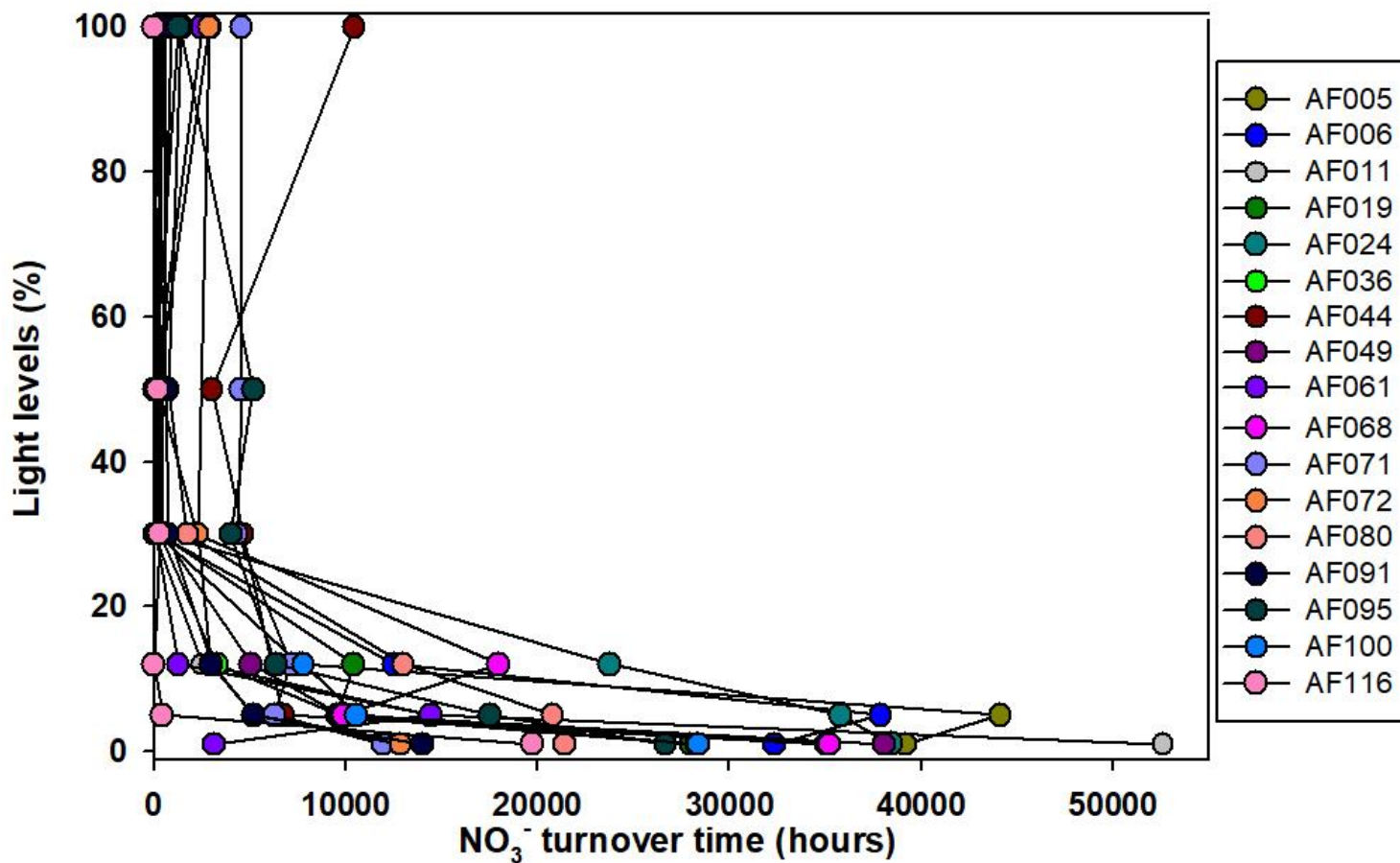


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817 Figure 5. The relationship of contribution of small phytoplankton towards the total NO_3^- and NH_4^+ uptake rates with DIN:P.

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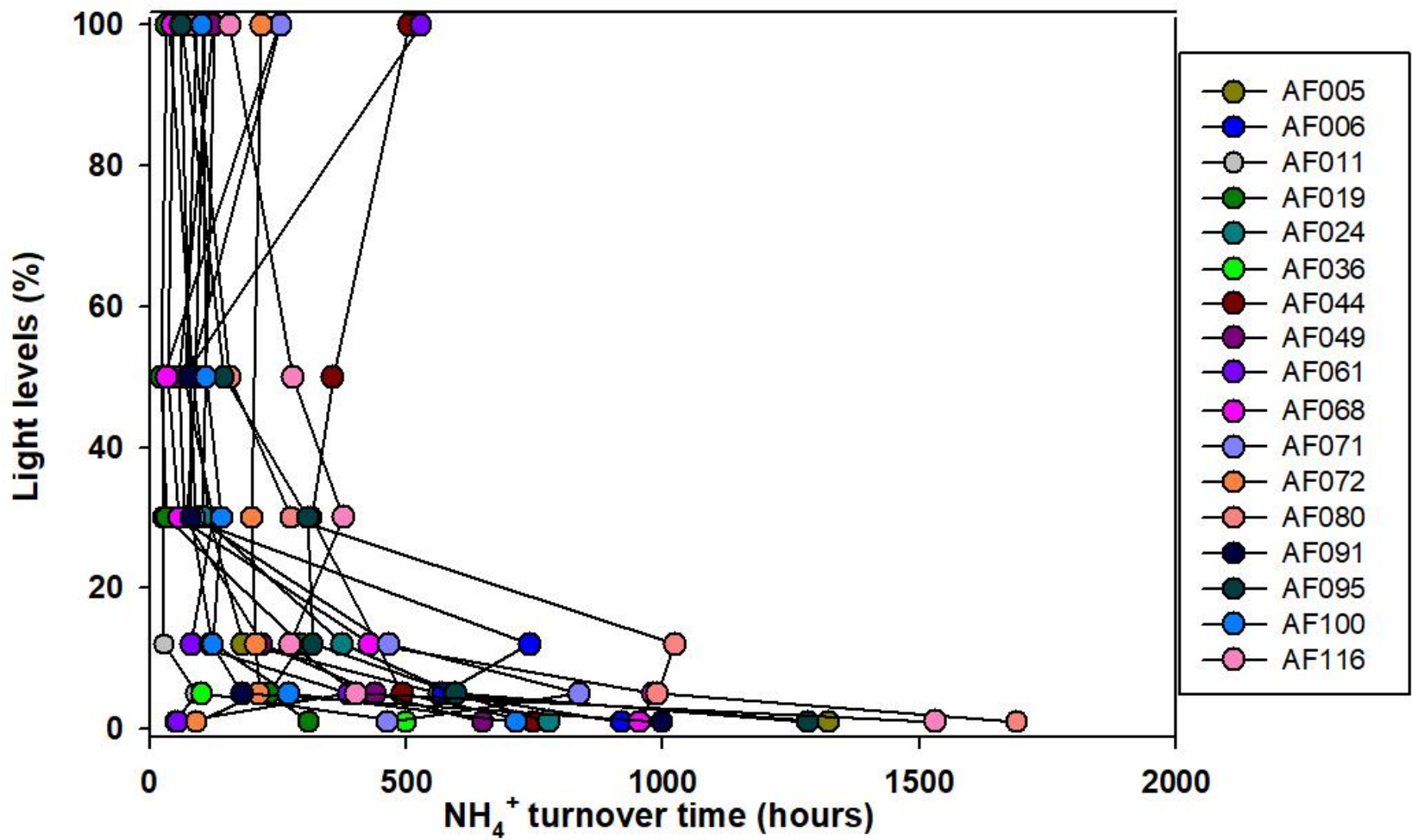
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821 Figure 6. Turnover time for the NO₃⁻ substrate, when small phytoplankton are the only consumers, in the sampling locations in the
 822 Arctic Ocean.

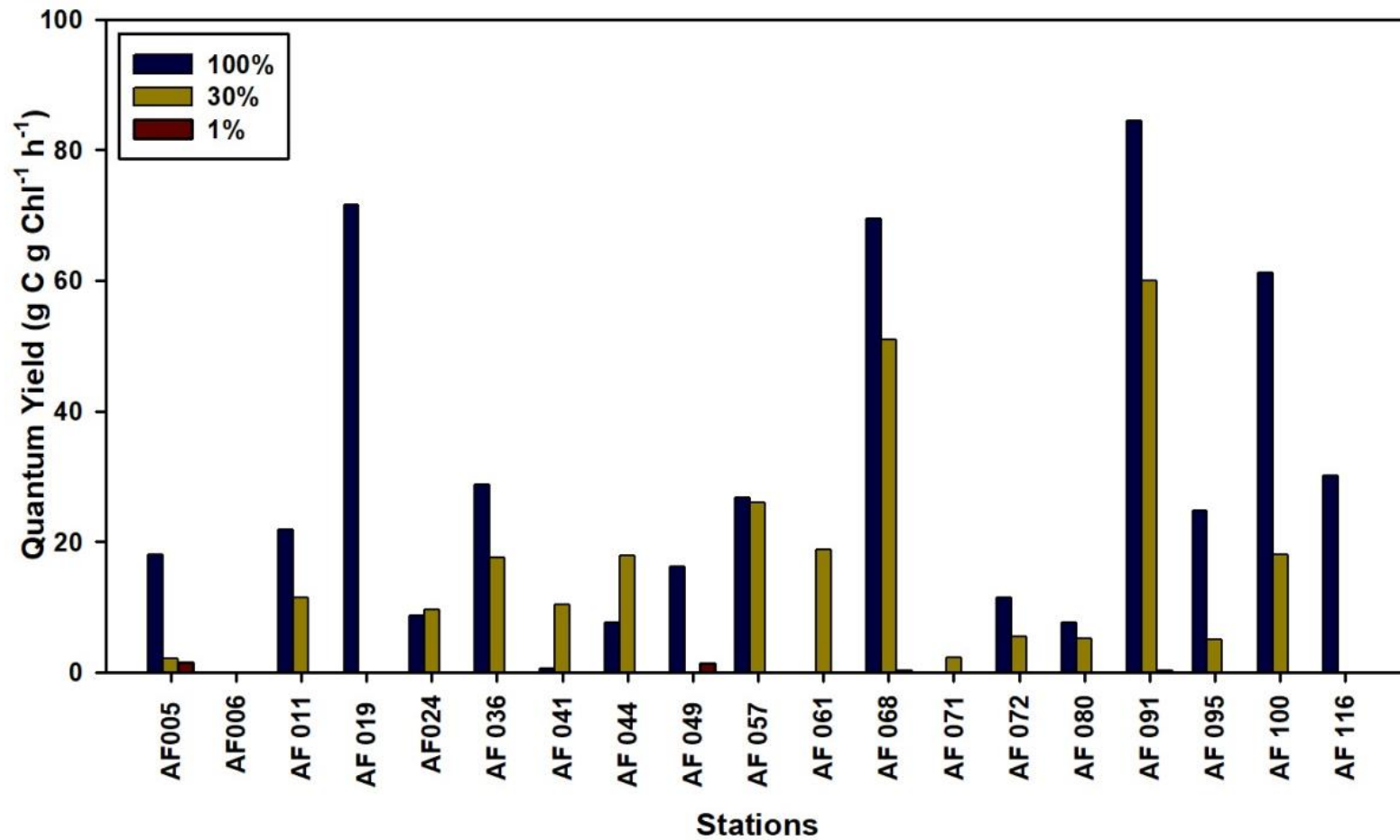
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825 Figure 7. Turnover times for the NH₄⁺ substrate, when small phytoplankton are the only consumers, in the sampling locations.

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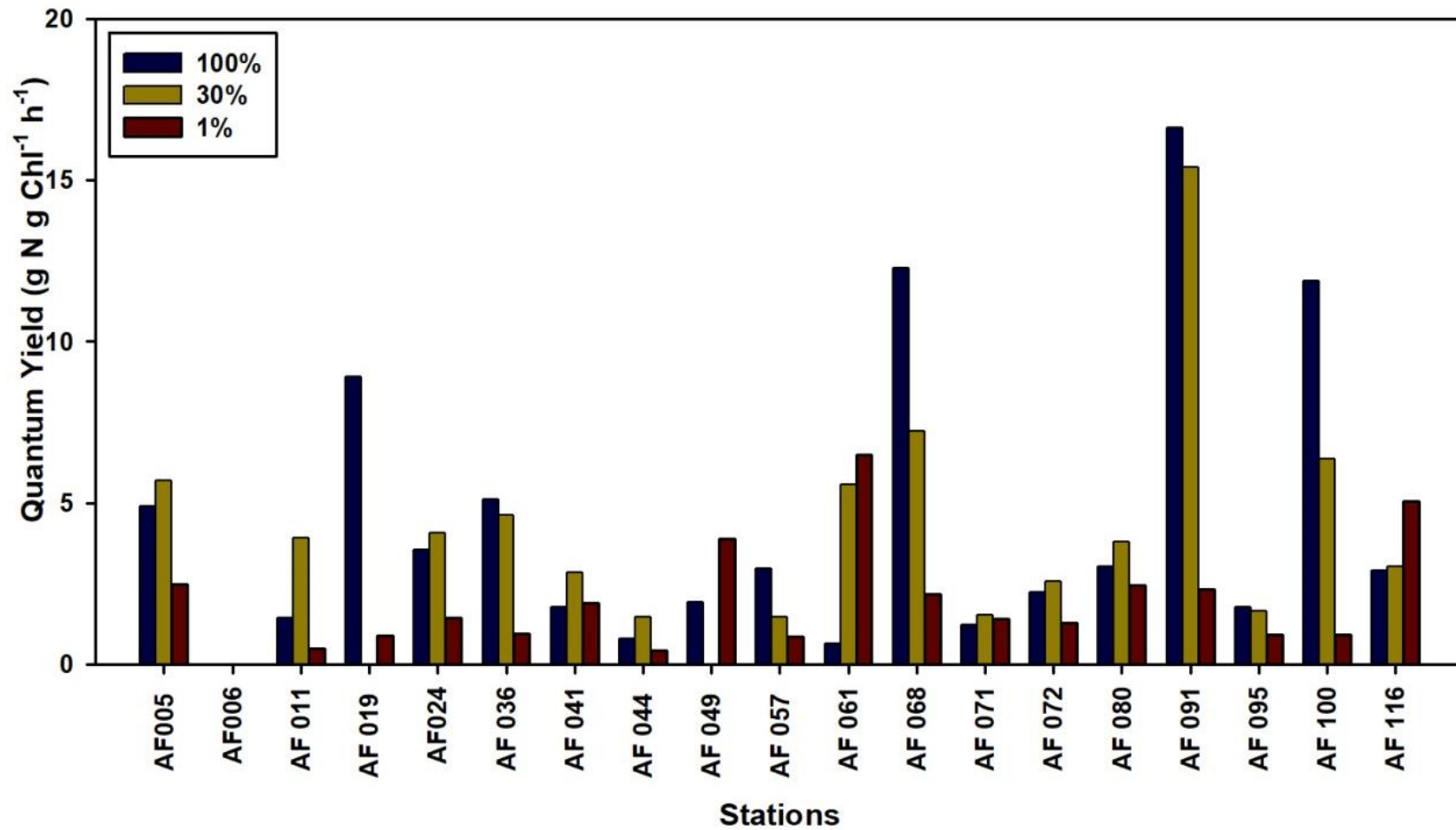


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828 Figure 8. Quantum C Yield of small phytoplankton in the sampling locations.

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832 Figure 9. Quantum N yield of small phytoplankton in the sampling locations.

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