



1 **First *in situ* estimations of small phytoplankton carbon and nitrogen uptake rates in the**  
2 **Kara, Laptev, and East Siberian seas**

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21 **Abstract.** Carbon and nitrogen uptake rates by small phytoplankton (0.7-5  $\mu\text{m}$ ) in the Kara,  
22 Laptev, and East Siberian seas in the Arctic Ocean were quantified using *in situ* isotope labelling  
23 experiments for the first time as a part of the NABOS (Nansen and Amundsen Basins  
24 Observational System) program during August 21 to September 22, 2013. The depth integrated  
25 C,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  uptake rates by small phytoplankton showed a wide range from 0.54 to 15.96  
26  $\text{mg C m}^{-2} \text{h}^{-1}$ , 0.05 to 1.02 and 0.11 to 3.73  $\text{mg N m}^{-2} \text{h}^{-1}$ , respectively. The contributions of small  
27 phytoplankton towards the total C,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  were varied from 24 to 89%, 32 to 89%, and  
28 28 to 89%, respectively. The turnover times for  $\text{NO}_3^-$  and  $\text{NH}_4^+$  by small phytoplankton during  
29 the present study point towards the longer residence times (years) of the nutrients in the deeper  
30 waters, particularly for  $\text{NO}_3^-$ . Relatively, higher C and N uptake rates by small phytoplankton  
31 obtained during the present study at locations with less sea ice concentrations point toward the  
32 possibility of small phytoplankton thrive under sea ice retreat under warming conditions. The  
33 high contributions of small phytoplankton toward the total carbon and nitrogen uptake rates  
34 suggest capability of small size autotrophs to withstand in the adverse hydrographic conditions  
35 introduced by climate change.

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38 *Key Words:* The Arctic Ocean, nitrogen, carbon, and small phytoplankton.

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## 42 1. Introduction

43 The Arctic Ocean has been always a key attraction for the oceanic expeditions due to its rapid  
44 response to changing environmental conditions caused by both natural and anthropogenic factors.  
45 It has been reported that the rate of decrease in sea ice extent in the Arctic Ocean is significantly  
46 high and eventually caused a decline in sea ice thickness over recent decades (Stroeve et al.,  
47 2008; Comiso et al., 2008; Kwok et al., 2009; Overland and Wang, 2013). As an immediate  
48 effect, sea ice retreat would benefit the net primary production (NPP) by autotrophs due to  
49 increased exposure to sunlight (Hill et al., 2005; Gradinger, 2009; Arrigo et al., 2012, 2015;  
50 Bélanger et al., 2013; Kahru et al., 2016). It was also reported that primary production in the  
51 Barents Sea showed an increase by 30% during the warm period (1989-1995) than the cold one  
52 during 1960s (Wassmann and Slagstad, 2011; Arrigo et al., 2008). However, as a result of sea ice  
53 melting, the ice-algal communities can be replaced by pelagic communities. Although, ice-algal  
54 communities are not a large contributor towards the NPP, their absence could potentially alter  
55 vertical flux of organic carbon and coupling between the euphotic and benthic zones (Walsh,  
56 1989).

57 Sea surface warming can also result in a strong water column stratification which can  
58 reduce nutrient supply to the surface water and consequently a decrease in primary production  
59 (Bopp et al., 2001; Tremblay and Gagnon, 2009; Lee et al., 2007, 2012; Li et al., 2009;  
60 Steinacher et al., 2010; Martin et al., 2010; McLaughlin and Carmack, 2010; Slagstad et al., 2011;  
61 Thomas et al., 2012) and thus alterations in carbon (C) dynamics in the Arctic Ocean (Arrigo et  
62 al. 2008; Bates and Mathis, 2009; Cai et al., 2010). It has been a debatable topic that how  
63 phytoplankton communities in the Arctic Ocean would respond to the physical, chemical, and  
64 biological stress introduced by global warming. One group of researchers have reported that



65 there has been an enhancement in the annual primary production due to increased light  
66 availability and warmer temperature in the Arctic Ocean (Arrigo et al., 2008; Arrigo and Dijken,  
67 2011; Thomas et al., 2012). However, another group suggested that excess moisture fluxes under  
68 warmer sea conditions can introduce wider cloud covers during summer and early fall and thus,  
69 the possibility of reduction in autotrophic primary production is inevitable (Eastman and Warren,  
70 2010; Vavrus et al., 2010; Bélanger et al., 2013). Water column stratification is also a contrary  
71 effect introduced by the global warming which can significantly reduce the vertical mixing of  
72 nutrient rich deep waters and that can lower NPP (Tremblay and Gagnon, 2009; Lee et al., 2007,  
73 2012; Yun et al., 2015). On other hand, decline in surface nutrient concentrations with no change  
74 in at the deeper waters, could be an immediate effect of global warming (Vancoppenolle et al.,  
75 2013). Such environment would be adverse for the large phytoplankton communities whose  
76 nutrient requirements are higher for achieving potential primary production level (Li et al., 2009).  
77 However, small phytoplankton (size range: 0.7-5  $\mu\text{m}$ ), which have lower nutrient requirements,  
78 are found to be proliferated under such conditions (Li et al., 2009; Daufresne et al., 2009). Hence,  
79 understanding the mechanism and processes of small phytoplankton metabolic activities under  
80 various environmental conditions would be a crucial aspect of the Arctic Ocean ecosystem  
81 research.

82         There have been few studies conducted to understand the fate of small phytoplankton  
83 under changing environmental scenario (Li et al., 2009; Yun et al., 2015). They identified that  
84 the smallest phytoplankton cells can flourish under such nutrient replete conditions, however; the  
85 larger cells decline (Li et al., 2009). And hence, the reduction in community average body size of  
86 the autotrophs is expected to be an obvious response to the global warming (Daufresne et al.,  
87 2009). Consequently, the primary production assisted by the small phytoplankton would be a



88 substantial part of the Arctic Ocean biogeochemistry. However, the contribution of small  
89 phytoplankton towards the autotrophic C and dissolved inorganic nitrogen [(DIN: here nitrate  
90 ( $\text{NO}_3^-$ ) + ammonium ( $\text{NH}_4^+$ )] fixation has been one of the least investigated topics in the global  
91 ocean research, particularly in the Arctic Ocean (Semiletov et al., 2005; Arrigo and Dijken, 2011;  
92 Hill et al., 2017; Yun et al., 2012, 2015; Lee et al., 2007, 2012).

93         Apart from global warming, localized influences are also an important factor in  
94 controlling the NPP in the Arctic Ocean. It has been reported that the Arctic Ocean  
95 biogeochemistry is mainly governed by the high riverine as well as intrusions of Atlantic and  
96 Pacific waters (Shiklomanov et al., 2000; Carmack and Macdonald, 2002; Peterson, et. al., 2002;  
97 Anderson et al., 2004). The major rivers flows in to the Arctic Ocean are the Ob', Lena, Yenisey,  
98 and Mackenzie, and numerous smaller ones in both the Amerasian and Eurasian sectors. It is  
99 reported that the Ob' and Yenisey Rivers show an increase in their fresh water discharge since  
100 the 1980s (Semiletov et al., 2005; Anderson et al., 2009). These seas situate along the continental  
101 shelf of Arctic Ocean which is known to be the widest and shallowest shelf in the world oceans  
102 (Semiletov et al., 2005). These seas are characterized by highly dynamic organic matter  
103 production and export to the deeper ocean as well as profound atmospheric exchange of volatile  
104 gases (Semiletov et al., 2005; Anderson et al., 2009).

105         There were few studies conducted to estimate the influence of river effluences on C and  
106 DIN uptake rates (Lee et al., 2007, 2012; Yun et al., 2015). However, the potential impact of  
107 riverine influx on small phytoplankton uptake rates, very relevant for accountability of natural  
108 and anthropogenic influences on the Arctic primary production, were not have been subjected to  
109 investigation so far. The present study reports a first investigation results on small phytoplankton  
110 (size: 0.7-5  $\mu\text{m}$ ) contribution towards the C,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  uptake rates in the Kara, Laptev,



111 and East Siberian seas. Considering the global relevance of the Arctic Ocean biogeochemistry,  
112 the present study aimed at the (1) estimation of small phytoplankton contribution towards the  
113 total primary production as well as  $\text{NO}_3^-$  and  $\text{NH}_4^+$  uptake rates and (2) investigation on various  
114 factors influencing the small phytoplankton community efficiency in the Kara, Laptev, and East  
115 Siberian Seas.

## 116 2. Materials and Methods

### 117 2.1. Study Area

118 The investigations on biochemical parameters and C and DIN transformation rates in the Kara,  
119 Laptev, and East Siberian seas were conducted at 19 monitoring stations selected from a total of  
120 116 NABOS stations (Fig. 1; Table 1). The geographical boundaries of each sea were defined as  
121 per the classification done by Pabi et al. 2008 (Fig. 1). Based on this classification, there were 4,  
122 13, and 2 stations were located in the Kara, Laptev, and East Siberian seas. The Kara and East  
123 Siberian seas have surface areas almost two times ( $926 \times 10^3 \text{ km}^2$  and  $987 \times 10^3 \text{ km}^2$ ,  
124 respectively) larger than the Laptev Sea ( $498 \times 10^3 \text{ km}^2$ ) (Jakobsson 2001). Also, the Laptev and  
125 East Siberian seas hold the shallowest zones of the Arctic Ocean basin with a mean depth of 48  
126 m, where the Kara Sea has a mean depth of 131 m (Jakobsson, 2001).

### 127 2.2. Sampling

128 The sampling was conducted during 21<sup>st</sup> August to 22<sup>nd</sup> September, 2013 onboard the Russian  
129 vessel “*Akademik Fedorov*”. Right after samples for major inorganic nutrients [ $\text{NO}_3^-$ , nitrite  
130 ( $\text{NO}_2^-$ ),  $\text{NH}_4^+$ , phosphate (P), and silicate (Si)] were collected, they were analyzed onboard using  
131 an Alpkem Model 300 Rapid Flow Nutrient Analyzer (5 channels) based on the method of  
132 Whitley et al. (1981). The chlorophyll (Chl) samples for the small phytoplankton fraction were



133 obtained from 3 light depths (100, 30, and 1%). The preparation of Chl samples was based on the  
134 standard procedure reported in the previous studies on the Arctic Ocean (Lee et al., 2005; Lee et  
135 al., 2012). Water samples for small Chl fractions were sequentially filtered through 5µm  
136 Nucleopore and then 0.7µm pore-sized Whatman GF/F filters (47 mm). Further, the filters were  
137 wrapped in aluminum foil and kept frozen at -80 °C until the analysis. During the analysis, the  
138 Chl fractions from the filters were extracted using 90% acetone treatment at -5°C for 24 hours.  
139 The extracted Chl samples were undergone spectrophotometric analysis on board using a pre-  
140 calibrated Turner Designs model 10-AU fluorometer. Samples for the C and N uptake rates were  
141 collected from six *in situ* depths of light levels (100, 50, 30, 12, 5, and 1%) determined at each  
142 station by the use of euphotic depth on the basis of Lambert-Beer's law. Underwater PAR sensor  
143 (and/or optical instruments) could not be used due to logistic problems and the euphotic depth  
144 was calculated using the Secchi depth which is a widely used method (Son et al., 2005; Tremblay  
145 et al., 2000; Lee et al. 2012; Bhavya et al. 2016; 2017; Lee et al., 2017a, 2017b).

### 146 **2.3 <sup>13</sup>C and <sup>15</sup>N labeling experiments**

147 The estimation of C and DIN uptake rates were done using <sup>13</sup>C and <sup>15</sup>N dual isotope labeling  
148 experiments (Dugdale and Goering, 1967; Slawyk et al 1977; Dugdale and Wilkerson et al 1986).  
149 Seawater samples at each light depth were collected using Niskin bottles attached to CTD and  
150 transferred to acid-cleaned polycarbonate incubation bottles (approximately 1 L) wrapped with  
151 light filters to match with desired light levels. Immediately, samples were spiked with 98-99 %  
152 enriched tracers solutions of NaH<sup>13</sup>CO<sub>3</sub>, K<sup>15</sup>NO<sub>3</sub>, or <sup>15</sup>NH<sub>4</sub>Cl at concentrations of ~0.3 mM,  
153 ~0.8µM, and ~0.1µM for the estimations of C, NO<sub>3</sub><sup>-</sup>, and NH<sub>4</sub><sup>+</sup> uptake rates, respectively. Further,  
154 the samples were subjected to 4-6 hrs of incubation in big transparent incubators on deck under  
155 natural light conditions with provided running surface seawater. The incubated waters (0.3 L) for



156 total uptake rates were filtered through pre-combusted GF/F filters (25mm diameter). The  
157 samples for small fraction, sub-samples (0.5 L) of the incubated waters were passed through 5  
158  $\mu\text{m}$  Nuclepore filters (47 mm) to remove large phytoplankton cells ( $> 5 \mu\text{m}$ ) and then the filtrate  
159 was passed through pre-combusted GF/F (25 mm) for the small phytoplankton (Lee et al., 2013).  
160 The values for large phytoplankton in this study were obtained from the difference between  
161 small and total fractions (Lee et al., 2013). Samples were kept frozen ( $-20 \text{ }^\circ\text{C}$ ) until the mass  
162 spectrometric analysis (Finnigan Delta+XL) at the stable isotope laboratory of University of  
163 Alaska Fairbanks, US. The uncertainties for  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  measurements were  $\pm 0.1\text{‰}$  and  
164  $\pm 0.3\text{‰}$ , respectively. Calculations of the C and DIN uptake rates of small phytoplankton were  
165 based on the methods Slawyk et al. 1977 and Dugdale and Goering (1967), respectively.

166 
$$\text{Uptake rate} = P * \Delta I_p / (T * (I_0 S_a + I_r S_t) / (S_a + S_t) - I_0)$$

167 Where: P is the amount of particulate N in the post incubation sample,  $\Delta I_p$  is the increase in  $^{15}\text{N}$   
168 atom% in particulate N during incubation,  $S_a$  and  $S_t$  are ambient and added  $\text{NO}_3^-$  (or  $\text{NH}_4^+$ )  
169 concentration, respectively,  $I_r$  and  $I_0$  are  $^{15}\text{N}$  atom% of added tracer and natural  $^{15}\text{N}$  atom%, and  
170 T is the incubation time. This equation assumes no formation of nutrient during incubation and  
171 therefore rates presented here are potential rates. Similarly, C uptake rates also were calculated  
172 using the same equation where; P denotes the particulate organic C and  $S_a$  and  $S_t$  are ambient  
173 dissolved inorganic carbon and added  $^{13}\text{C}$  tracer concentration, respectively.  $I_r$  and  $I_0$  are  $^{13}\text{C}$   
174 atom% of added tracer and natural  $^{13}\text{C}$  atom%, respectively.

### 175 **3. Results and discussions**

#### 176 **3.1 Environmental parameters in the Arctic Ocean**

177 The biological, chemical, and physical properties of the Arctic Ocean are mainly controlled by





178 the circulation patterns governed by the Pacific and Atlantic Ocean waters (Anderson et al.,  
179 2004; Quadfasel, 2005) along with the river inputs (Peterson et al., 2002). The nutrient rich low  
180 saline (<33) Pacific Ocean waters and nutrient replete relatively more saline ( $\approx 34.8$ ) Atlantic  
181 Ocean waters collectively regulate the biogeochemical activities of the Arctic Ocean (Maslowski  
182 et al., 2004). The present study was conducted during summer season where the sea surface  
183 temperature (SST) was ranged from  $-1.76$  °C to  $1.62$  °C. The sea surface salinity (SSS) during  
184 the study period varied from 28.29 to 33.44 (Table 1) which could be due to the influence of both  
185 circulation pattern as well as fresh water inputs. The present study retrieved sea ice concentration  
186 (SIC) from National Snow & Ice Data Center obtained from 2013 cruise. The results show that  
187 the SIC has ranged from 0 % to 100 % (Table 1).

### 188 **3.2 Carbon and nitrogen uptake rates by small phytoplankton**

189 The depth profiles C,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  uptake rates showed a subsurface maxima like  
190 most global oceans (Fig. 2). However, AF019 station showed an exceptionally higher C,  $\text{NO}_3^-$ ,  
191 and  $\text{NH}_4^+$  uptake rates, in general, with a sharp subsurface maxima. The depth-integrated C,  
192  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  uptake rates by small phytoplankton in the East Siberian Sea were observed to  
193 be very low compared to those of other seas (Table 2, Fig. 3 & 4). The depth integrated C uptake  
194 rates by small phytoplankton showed a wide range from 0.54 to  $15.96 \text{ mg C m}^{-2} \text{ h}^{-1}$ . The depth  
195 integrated  $\text{NO}_3^-$  uptake rates ranged from 0.05 to  $1.02 \text{ mg N m}^{-2} \text{ h}^{-1}$ , where  $\text{NH}_4^+$  uptake rates  
196 varied from 0.11 to  $3.73 \text{ mg N m}^{-2} \text{ h}^{-1}$ . The station AF019 showed the maximum small plankton  
197 uptake rates for C ( $15.96 \text{ mg C m}^{-2} \text{ h}^{-1}$ ),  $\text{NO}_3^-$  ( $1.02 \text{ mg N m}^{-2} \text{ h}^{-1}$ ), and  $\text{NH}_4^+$  ( $3.73 \text{ mg N m}^{-2} \text{ h}^{-1}$ ).  
198 The contribution of small phytoplankton towards the total uptake is also very high at station  
199 AF019 (Table 2). The lowest C,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  uptake rates were observed at AF044 and



200 AF041. The highest SIC (100% and 60% at AF044 and AF041, respectively) in this region might  
201 be a reason for lower primary productivity due to light limitation.

### 202 **3.3 Sea ice and small phytoplankton primary production**

203 The previous investigations on SIC over the Arctic Ocean prove that, during winter, the high ice  
204 formation destabilizes the mixed layer which can lead to deep vertical mixing and replenishment  
205 of surface nutrient inventories (Niebauer et al., 1990; Falk-Petersen et al., 2000). However,  
206 during spring, melting of sea ice results in a strong surface ocean stratification where the  
207 nutrient-rich waters are being exposed to a light availability to create favorable conditions for the  
208 phytoplankton growth (Niebauer et al., 1990; Falk-Petersen et al., 2000). It is also reported that  
209 the increasing atmospheric temperature due to global warming has been considerably caused a  
210 reduction in SIC in the Arctic Ocean over the past three decades, with a rapid decrease in recent  
211 years (Levi, 2000; Parkinson, 2000).

212 Since the ice cover has significant role in controlling primary production, the dynamics of  
213 SIC is an integral part of the Arctic Ocean research (Arrigo et al., 2008; Ardyna et al., 2014;  
214 Kahru et al., 2016). It is reported that that the reduction in SIC would facilitate the  
215 photosynthetic activity and increase CO<sub>2</sub> intake by the seas (Anderson and Kallin, 2001; Bates et  
216 al., 2006; Kahru et al., 2016). Apparently, it can cause a relative decline in the contribution by  
217 algae growing within the sea ice (Subba Rao and Platt, 1984; Legendre et al., 1992; Gosselin et  
218 al., 1997), although sea ice community is not a very significant part of the Arctic Ocean C  
219 sequestration. A detailed study conducted on inter-annual variations in SIC and NPP by Kahru et  
220 al. (2016) suggested that the NPP gets enhanced with decline in SIC. Kahru et al. (2016) reported  
221 that decrease in SIC initially starts from June onwards in the northeastern Barents Sea and



222 between Greenland and the North American continent with an increase in NPP. This extends to  
223 the Kara and Laptev Seas during July-August and these areas exhibit a gradual enhancement in  
224 NPP. Further, this process migrates towards the off Siberia and eventually in the Beaufort and  
225 Chukchi seas. However, the major NPP enhancement generally occurs in the Laptev Sea and  
226 Barents Sea (Kahru et al., 2016). In agreement to this, our results also show a relatively lower  
227 SIC and higher C and DIN uptake rates in the Laptev Sea region (Table 2, Fig. 3 & 4). The  
228 maximum SIC in the Laptev Sea was observed at station AF071 which is 65%. The Kara Sea  
229 was mostly void of ice cover and only one station (AF095) was observed with a SIC of 40%.  
230 Relatively lower C and DIN uptake rates were observed at both the stations in the East Siberian  
231 Sea (AF041: 60% and AF044: 100%) where the SIC was observed to be the maximum among all  
232 the stations. However, a significant inverse correlation of C and DIN uptake rates with SIC was  
233 not found during the present study (Figure not shown). This could be due to influence of other  
234 environmental constraints such as low nutrients and temperature on metabolic activities of  
235 phytoplankton.

### 236 **3.4 Nutrient sources and influence of small phytoplankton primary production**

237 The shallow water column depths and the existence of long coastline along with river  
238 runoff provide a wide opportunity for the autotrophs in the Arctic Ocean to get sufficient light  
239 and nutrients (Kirk, 1983). Also, the Arctic Ocean is known to be a large receptor of freshwater  
240 discharge which exceeds 4000 km<sup>3</sup> per anum (Shiklomanov, 2000; Carmack and Macdonald,  
241 2002). The riverine discharges may have a great role in keeping those stations nearby river inlet  
242 distinctive in physico-chemical conditions. Similarly, the freshwater discharge from the six  
243 largest Eurasian rivers increased by 7% during 1936 - 1999 (Peterson et al., 2002). Among the  
244 various seas in the Arctic Ocean, the Kara and Laptev seas are known to be the first and second



245 largest receptors, respectively, of total organic carbon fluxes while the East Siberian Sea receives  
246 the least (Rachold et al., 2000).

247 Nitrite+nitrate concentrations in most stations were observed to be homogeneous in the  
248 water column up to a depth of 20 m (approximately 30% light depth); however, increased  
249 exponentially towards the bottom waters (Figure not shown). The depth profiles of  $\text{NH}_4^+$  and P  
250 did not show any significant variation throughout the euphotic zone (Figure used in Lee et al.,  
251 under preparation). However, the nutrient concentrations were considerably distinct among the  
252 stations. The depth-integrated  $\text{NO}_2^- + \text{NO}_3^-$  concentrations varied between 22.3 and 189  $\text{mmol m}^{-2}$ .  
253 The depth-integrated concentrations of P and Si were ranged from 7.62 to 35.4  $\text{mmol m}^{-2}$  and  
254 19.5 to 308  $\text{mmol m}^{-2}$ , respectively (Table 1). Generally, high concentrations of  $\text{NO}_2^- + \text{NO}_3^-$  and  
255 phosphate were found at AF005, AF068, and AF071 in the Laptev Sea and one station in the  
256 Kara Sea (AF100) and they were relatively much higher than those of the East Siberian Sea  
257 (Table 1, Fig. 3 & 4). However, the Si concentrations were a higher in the East Siberian Sea in  
258 comparison with the other two seas. These results are comparable with the earlier studies  
259 conducted by Codispoti and Richards (1968). They suggested that the concentrations of P and  
260  $\text{NO}_3^-$  were so low as to indicate nutrient limitation for phytoplankton production in the upper  
261 layers.

262 In reference to this the stations nearby the river inlets were observed with relatively  
263 higher nutrient concentrations (Table 1). The sampling locations away from the river inputs are  
264 mostly invaded by the nutrient poor Atlantic waters instead of nutrient rich Pacific water. In  
265 another way, the Pacific Ocean nutrient inputs are generally restricted to the Chukchi Sea and the  
266 Amerasian Basin (Carmack et al., 1997; Dmitrenko et al., 2006). In agreement to this, the



267 primary production rates reported from the Chukchi Sea ( $0.02$  to  $1.61 \text{ g C m}^{-2}\text{d}^{-1}$ ; Yun et al.,  
268 2015) was relatively higher than those of present study area (Table 2).

269 It is worth noticing that all the sampling locations in the Arctic Ocean showed  
270 significantly lower C and DIN uptake rates possibly due to lack of light and nutrients. The  
271 relative abundances of micronutrients are also an important factor to control the primary  
272 production (Glibert et al., 2011; Bhavya et al., 2016, 2017). The nutrient stoichiometry analyses  
273 suggested that the Arctic Ocean waters are N starving and the N:P (here N = DIN:  $\text{NO}_2^- + \text{NO}_3^- +$   
274  $\text{NH}_4^+$  and P:  $\text{PO}_4^{3-}$ ) ratios are always below the Redfield's ratio which is 16:1 (mol: mol)  
275 (Redfield, 1963; Sakshaug, 2004). The DIN:P observed during the current study ranged from  
276 2.60 to 16.4 with an average of  $6.6 \pm 3.0$  which is also in agreement with the previous studies  
277 reported. These ratios point towards the N-starvation of phytoplankton which can potentially  
278 abstain them from growing to a bloom. It is reported that such cases with less nutrient  
279 concentrations are generally less starving for small phytoplankton size range from  $0.7\text{-}5 \mu\text{m}$  and  
280 they appeared to be a dominant in the euphotic water columns (Lee and Whitley, 2005; Li et  
281 al., 2009; Yun et al., 2015).

### 282 **3.5 Nutrient co-limitation**

283 Nutrient co-limitation is a major problem facing by marine phytoplankton in the  
284 oligotrophic as well as pelagic ecosystems. The recent studies suggested that the maximum  
285 uptake of phytoplankton generally occurs when the nutrient stoichiometry is close to the  
286 Redfield's ratio which is 16:1 (Li et al., 2011; Glibert et al., 2013; Bhavya et al., 2016, 2017),  
287 irrespective of individual nutrient concentration. Since the present study dealt with a completely  
288 different ecosystems with high SIC, low nutrients and SSTs, understanding the influence of



289 DIN:P would be challenging. In agreement to this, there was no significant correlations observed  
290 between C,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  uptake rates with DIN:P during the present study. However, Fig. 5  
291 shows a weak, although a positive correlation of small phytoplankton contribution towards with  
292 DIN:P. It indicates the possibility of small phytoplankton efficiency to peak at nutrient  
293 stoichiometry close to Redfield's ratio. However, the lack of sufficient stations with higher  
294 DIN:P values limits the present study from claiming the influence of nutrient stoichiometry on  
295 small phytoplankton contribution. It is also important to note that the stations are located at  
296 geographical locations with diverse hydrographical parameters. However, on the basis of few  
297 researches conducted from various parts of oceanic and estuarine regions, it is proven that DIN:P  
298 holds a strong control on total C and N uptake rates (Li et al., 2011; Glibert et al., 2013; Bhavya  
299 et al., 2016, 2017). Although there was no significant correlation obtained between small  
300 phytoplankton uptakes are DIN:P, the N co-limitation in the Arctic Ocean is clearly seen (Table  
301 1). That means, the relative abundance of DIN and P are highly important for proper functioning  
302 of C and N uptake mechanism by autotrophs.

### 303 **3.6 Turnover times of nutrients**

304 The present study shows that N co-limitation persists in the Arctic Ocean can be one of the major  
305 problems which can potentially limits the small phytoplankton contribution. In that case, any  
306 inorganic N substrate introduced to the surface waters might be immediately used by the  
307 plankton to facilitate the organic matter production under the favorable environmental conditions.  
308 The turnover time for any substrate is an important measurement to estimate how rapid an N  
309 substrate can be consumed. The estimation of turnover time is done by dividing substrate  
310 concentrations with corresponding uptake rates. Fig. 6 & 7 shows the turnover times for  $\text{NO}_3^-$   
311 and  $\text{NH}_4^+$  substrates when small phytoplankton communities are the only consumers exist. Fig. 7



312 shows that turnover times for  $\text{NH}_4^+$  substrate (within 500 hours) is long, however; relatively  
313 faster than  $\text{NO}_3^-$  in almost all the stations in upper euphotic layers of the Arctic Ocean. However,  
314 the bottom waters showed relatively longer (1000-1700 hours) turnover times compared to the  
315 surface waters. The sampling location in East Siberian Sea (AF044) was observed with relatively  
316 longer turnover times for both  $\text{NO}_3^-$  and  $\text{NH}_4^+$  substrates (Fig. 6 & 7) possibly due to the lower  
317 uptakes rates over there. Continuous supply of nutrients through rivers and less efficient DIN  
318 uptake rates might be major reasons for longer turnover times. Compared to  $\text{NH}_4^+$ ,  $\text{NO}_3^-$  is  
319 consumed in distinctively longer periods as 14 folds at the surface waters and 25 folds in the  
320 bottom of euphotic zone. Primarily, such difference is due to the relative preference for  $\text{NH}_4^+$  by  
321 the small phytoplankton and secondly due to the high concentrations of  $\text{NO}_3^-$  in the deep waters  
322 than the  $\text{NH}_4^+$ . In general, inhibition for  $\text{NO}_3^-$  uptake is a very common phenomenon when  
323 higher  $\text{NH}_4^+$  concentrations occurs (e.g., Glibert et al., 1982; Harrison et al., 1987; McCarthy et  
324 al., 1999; Bhavya et al. 2016). It is also very likely to have different turnover times with the  
325 similar DIN concentrations under different hydrographic properties those can govern the C and  
326 N metabolism over there. The research outputs from a tropical eutrophic estuary in India has  
327 showed rapid turnover time (3.4 - 232 hrs for  $\text{NH}_4^+$  and 7.13-2419 hrs for  $\text{NO}_3^-$ ) DIN substrates  
328 despite of higher nutrient concentrations (Bhavya et al., 2016).

### 329 **3.7 Quantum yield**

330 During the present study, size-fractionated Chl concentrations at three light levels (100, 30, and  
331 1 %) were measured. The comparative analysis with the total Chl fraction suggests that small  
332 phytoplankton communities are major contributors in the Laptev, Kara, and East Siberian seas  
333 (Figure not shown; data used from Lee et al. under preparation). The results showed significantly  
334 high contributions of small phytoplankton towards the total Chl at all the three light levels (63.3



335 (S.D. =  $\pm 17.5$  %), 61.4 (S.D. =  $\pm 19.9$  %), and 59.0 % (S.D. =  $\pm 18.4$  %) at 100, 30, and 1 %,  
336 respectively).

337 The efficiency of Chl in small phytoplankton communities to fix C and N is a matter of  
338 concern in the Arctic Ocean. The lower temperatures and salinities, ice cover, and the poor light  
339 availability can potentially lower quantum yields of Chl. The quantum efficiency/yield for the  
340 present study is defined as the uptakes of N ( $\text{NO}_3^- + \text{NH}_4^+$  uptake rates) and C by unit small  
341 phytoplankton Chl fraction. The quantum yield for C and N are shown in Fig. 8 and 9,  
342 respectively. The maximum yields for both C and N are observed at AF 091 for 100 and 30 %  
343 light depths. However, the quantum yield for C at 1 % light level was observed to be very low  
344 more likely due to light limitation (Talling, 1957). Although the N yield was lower at 1% in  
345 comparison with other two light levels. However, the drastic drop in N yield at the 1% light  
346 levels, like C quantum yield, was not observed. This can be due to the existence of significant  
347  $\text{NH}_4^+$  uptake rates in the light scarce conditions.

### 348 **3.8 Small and large phytoplankton contributions**

349 It is well observed that the rapid response of the Arctic Ocean towards the global warming and  
350 hence, the changes in primary production pattern has to be tracked profoundly. Increasing global  
351 temperature and consequent increase in SST have been a key interest in the past decades. It was  
352 reported that the contribution of small phytoplankton towards the total C and DIN fixations  
353 would be increasing under the warming conditions (Li et al., 2009, Thomas et al., 2012). A  
354 significant number of total primary production estimates is available from the Arctic Ocean  
355 (Platt et al., 1982; Wassmann and Slagstad, 2011; Vedernikov et al., 1994; Gosselin et al., 1997;  
356 Boetius and Damm, 1998; Tremblay et al., 2002; Arrigo et al., 2008; Lee et al., 2007; 2012,  
357 2017a; Arrigo and Dijken, 2011; Yun et al., 2012, 2015; Kahru et al., 2016; Lee et al., under





358 preparation). However, a deep understanding regarding the boosting up of small phytoplankton  
359 under warming conditions and their contributions towards the total primary production is still  
360 rudimentary. The present study provides the first ever report on small phytoplankton contribution  
361 towards the total primary production in the Kara, Laptev, and East Siberian Seas in the Arctic  
362 Ocean. The results from the study suggests that the small phytoplankton potentially contributed  
363 24 to 89%, 32 to 89%, and 28 to 91 %, towards the total C,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  uptake rates in the  
364 whole study region.

365         There were few studies from the tropical and middle latitude oceans and seas suggest that  
366 the small phytoplankton contributes more than 60% to 80% of the total annual C and N fixation  
367 (Bhavaya et al. 2016; Lee et al. 2017a). Similarly, small phytoplankton contribution of 64 % was  
368 observed in the western Canada basin in the Arctic Ocean (Yun et al. 2015). A recent study from  
369 the Chukchi Sea reported that the average contributions of small phytoplankton to C and total  
370 DIN uptake rates were approximately 32% (S.D. =  $\pm 24\%$ ) and 37% (S. D. =  $\pm 26\%$ ), respectively  
371 (Lee et al., 2013). Similar investigations conducted in the northern Barents Sea found that small  
372 phytoplankton contributed almost half (46%) of the total primary production Hodal and  
373 Kristiansen (2008).

374         Legendre et al. (1993) reported that primary production in the high-latitude Arctic region  
375 waters, in general, was dominated by large phytoplankton cells (45 $\mu\text{m}$ ), whereas the standing  
376 stock was dominated by small cell-sized phytoplankton (0.7–5  $\mu\text{m}$ ) due to strong grazing stress  
377 on large cells. The present study also estimated large phytoplankton contributions (total-small  
378 phytoplankton contributions) towards the total uptake rates (Table 2). The results show that the  
379 large phytoplankton communities in the Arctic Ocean have a potential to contribute an average  
380 of 43, 36, and 35 % towards the total C,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  uptake rates, respectively. However,



381 few of the estimations can be slightly different from the data shown due to the possibilities of  
382 over or under estimations of the depth integrated small phytoplankton uptake rates.

383

#### 384 **4. Conclusions**

385 The present study attempted to estimate small phytoplankton contributions towards the  
386 total C,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  uptake rates in the Kara, Laptev, and East Siberian seas. The  
387 contributions of small phytoplankton towards the total C,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  uptake rates ranged 24  
388 - 89%, 32 - 89%, and 28 - 89 %, respectively, in the Arctic Ocean. There was no significant  
389 influence of ice cover on uptake rates was observed; however, the stations with high SIC were, in  
390 general, showed low surface small phytoplankton uptake of C,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$ . It is also  
391 observed that the DIN:P can potentially play a major role in controlling the small phytoplankton  
392 contributions towards the DIN uptake rates by small phytoplankton. The high contributions of  
393 small phytoplankton indicate the efficiency to withstand the hostile conditions such as low  
394 nutrients, changing SST, and high ice cover. However, to understand influence of global  
395 warming on small phytoplankton activity, growth, and community shift, long term *in situ*  
396 analyses as well as laboratory manipulations experiments are highly recommended.

397

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638 Table 1. The physical and chemical properties of sampling locations in the East Siberian Sea and Laptev  
 639 Sea, where, depth, SST, SSS, and SIC are represented in m, °C, PSU, and %. The nutrient concentrations  
 640 ( $\text{NO}_2^- + \text{NO}_3^-$ , P, Si, and  $\text{NH}_4^+$ ) are given as the depth integrated values in the euphotic zones and its unit is  
 641  $\text{mmol m}^{-2}$ . The DIN:P is the nutrient stoichiometry calculated from the available nutrient data.

Sector	Stn. Name	Longitude	Latitude	Date	Depth	SST	SSS	SIC	$\text{NO}_2^- + \text{NO}_3^-$	P	Si	$\text{NH}_4^+$	DIN:P
Laptev Sea	AF005	109.20	78.78	25-Aug-13	283	-0.08	31.42	0	141.68	17.30	183.83	31.34	10.00
	AF006	118.45	77.59	26-Aug-13	1244	0.75	31.36	0	128.65	16.73	157.98	18.72	8.81
	AF011	125.80	77.40	27-Aug-13	1543	1.62	30.01	0	83.66	23.82	137.11	2.46	3.62
	AF019	125.74	79.42	28-Aug-13	3196	-1.6	32.44	25	131.88	25.75	144.23	13.57	5.65
	AF024	125.69	80.72	29-Aug-13	3730	-1.48	30.96	45	126.78	22.34	165.80	13.74	6.29
	AF036	141.56	80.18	1-Sep-13	1480	-1.22	28.29	25	112.99	7.62	207.07	11.85	16.39
	AF049	137.77	78.95	5-Sep-13	1552	1.57	29.09	0	22.31	9.91	100.13	3.44	2.60
	AF057	128.83	77.98	5-Sep-13	2325	1.49	30.25	0	106.61	19.96	199.68	5.60	5.62
	AF061	125.83	78.40	6-Sep-13	2700	-0.07	31.39	10	99.39	23.15	190.37	8.27	4.65
	AF068	107.39	79.76	10-Sep-13	1200	-0.35	32.57	0	167.41	34.20	109.98	27.64	5.70
	AF071	112.10	82.02	11-Sep-13	3530	-1.73	31.86	65	165.97	20.81	144.31	15.46	8.72
AF072	107.48	81.44	12-Sep-13	3349	-1.75	32.37	40	132.47	20.17	89.54	4.32	6.78	
AF080	102.31	80.60	13-Sep-13	315	-1.14	32.81	0	107.42	30.23	38.75	21.68	4.27	
East Siberian Sea	AF041	149.38	79.85	2-Sep-13	561	-1.57	29.86	60	99.04	16.21	308.02	19.20	7.30
	AF044	154.98	80.22	3-Sep-13	1904	-1.67	30.91	100	88.69	14.48	205.31	17.43	7.33
Kara Sea	AF091	97.55	82.30	14-Sep-13	2959	-1.32	33.3	0	117.17	25.60	134.90	17.67	5.27
	AF095	94.79	83.74	15-Sep-13	3668	-1.76	32.36	40	120.76	35.44	165.20	5.23	3.56
	AF100	90.01	83.75	16-Sep-13	3410	-1.49	33.29	0	189.25	29.02	117.56	6.62	6.75
	AF116	66.87	81.34	19-Sep-13	530	0.47	33.44	0	105.03	20.52	19.48	22.62	6.22

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650 Table 2. The contribution of small and large phytoplankton towards water column C, NO<sub>3</sub><sup>-</sup>, and NH<sub>4</sub><sup>+</sup> uptake rates. The units for column  
 651 integrated C, and DIN uptake rates are mg C m<sup>-2</sup>h<sup>-1</sup> and mg N m<sup>-2</sup>h<sup>-1</sup>, respectively. The starred values indicate possibly wrong data due error in  
 652 uptake rate measurement.

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Sector	Stn. Name	Small C uptake rates	Total C uptake rates	Small phytoplankton C uptake contribution (%)	Small NO <sub>3</sub> <sup>-</sup> uptake rates	Total NO <sub>3</sub> <sup>-</sup> uptake rates	Small phytoplankton NO <sub>3</sub> <sup>-</sup> uptake contribution (%)	Small NH <sub>4</sub> <sup>+</sup> uptake rates	Total NH <sub>4</sub> <sup>+</sup> uptake rates	Small phytoplankton NH <sub>4</sub> <sup>+</sup> uptake contribution (%)	Large phytoplankton C uptake contribution (%)	Large phytoplankton NO <sub>3</sub> <sup>-</sup> uptake contribution (%)	Large phytoplankton NH <sub>4</sub> <sup>+</sup> uptake contribution (%)
Laptev Sea	AF005	0.86	1.25	68.28	0.06	0.09	72.41	0.94	1.03	90.95	31.72	27.59	9.05
	AF006	4.00	5.78	69.10	0.25	0.42	58.87	1.72	2.18	78.56	30.90	41.13	21.44
	AF011	2.85	4.31	66.02	0.16	0.42	38.47	0.53	0.89	59.83	33.98	61.53	40.17
	AF019	15.96	17.46	88.88	1.02	1.17	86.78	3.73	3.55	*105.1	11.12	13.22	
	AF024	0.69	1.34	51.62	0.08	0.14	56.81	0.31	0.85	36.06	48.38	43.19	63.94
	AF036	2.78	4.27	65.12	0.18	0.20	89.22	0.74	0.84	88.62	34.88	10.78	11.38
	AF049	1.76	4.02	43.86	0.17	0.22	75.57	0.46	0.78	58.44	56.14	24.43	41.56
	AF057	2.68	4.41	60.81	0.30	0.43	69.99	0.29	0.96	30.07	39.19	30.01	69.93
	AF061	1.91	4.38	43.56	0.48	1.53	31.46	0.53	1.91	27.77	56.44	68.54	72.23
	AF068	3.14	5.12	61.35	0.16	0.25	65.10	0.64	0.87	73.87	38.65	34.90	26.13
	AF071	0.54	2.19	24.59	0.22	0.27	79.83	0.33	0.28	*118.2	75.41	20.17	
	AF072	*0.63	*9.30	*6.79	0.27	0.43	63.42	0.27	0.41	65.27	*93.20	36.58	34.73
	AF080	1.68	2.42	69.44	0.33	0.34	*96.66	0.86	1.02	84.58	30.56	*3.34	15.42
East Siberian Sea	AF041	1.24	1.96	63.16	0.06	0.06	*109.6	0.50	0.57	86.92	36.84		13.08
	AF044	1.72	2.18	79.16	0.05	0.04	*129.7	0.11	0.14	75.18	20.84		24.82
Kara Sea	AF091	5.23	9.37	55.79	0.45	0.79	56.87	1.30	1.98	65.80	44.21	43.13	34.20
	AF095	1.73	2.52	68.59	0.28	0.24	*115.7	0.25	0.33	76.13	31.41		23.87
	AF100	1.63	4.85	33.60	0.31	0.56	55.58	0.37	0.82	44.97	66.40	44.42	55.03
	AF116				0.10	0.11	89.23						

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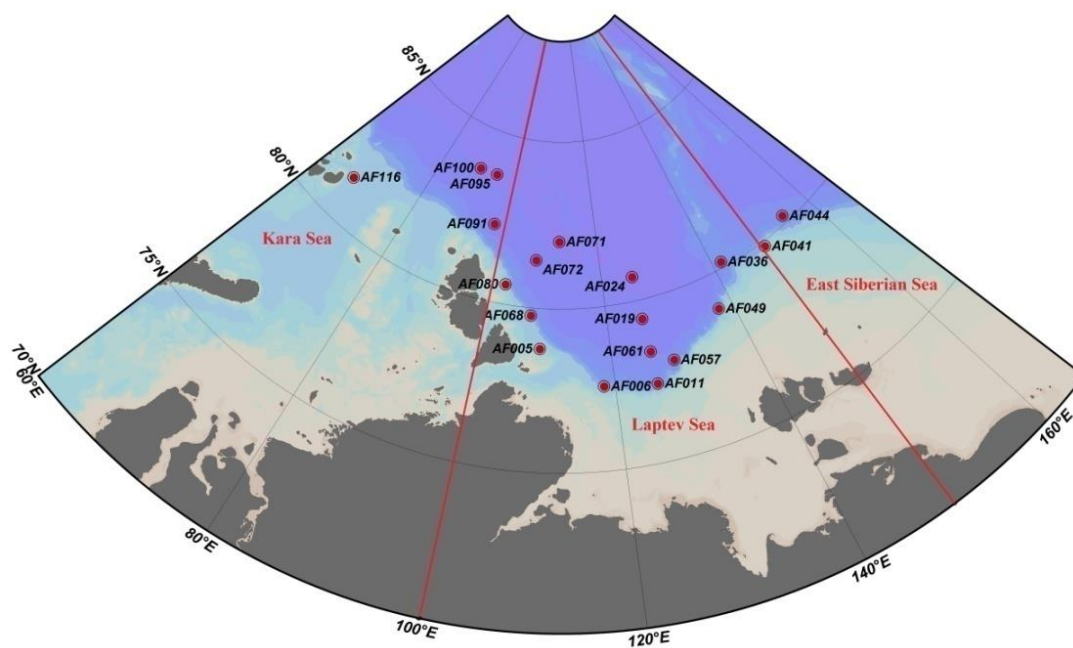
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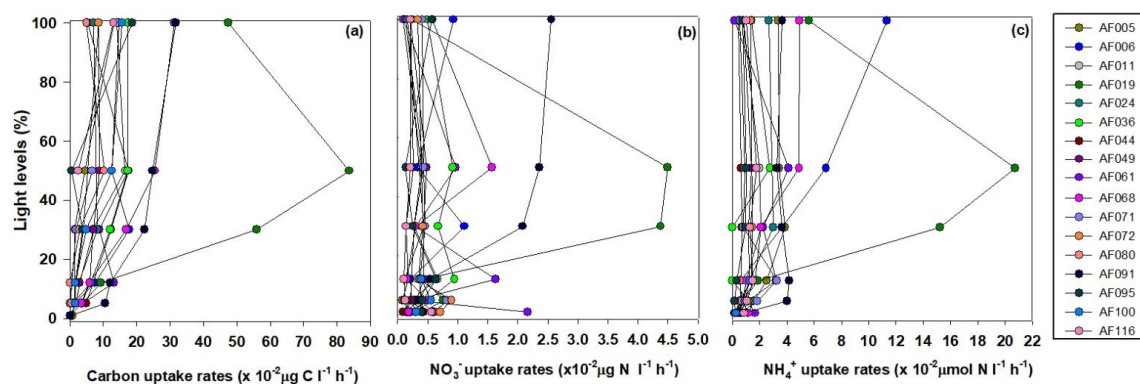
664 Figure 1. Sampling locations in the Kara, Laptev, and East Siberian Seas in the Arctic Ocean. The red straight lines indicate the geographic  
665 boundaries to define the seas as per Pabi et al. (2008).

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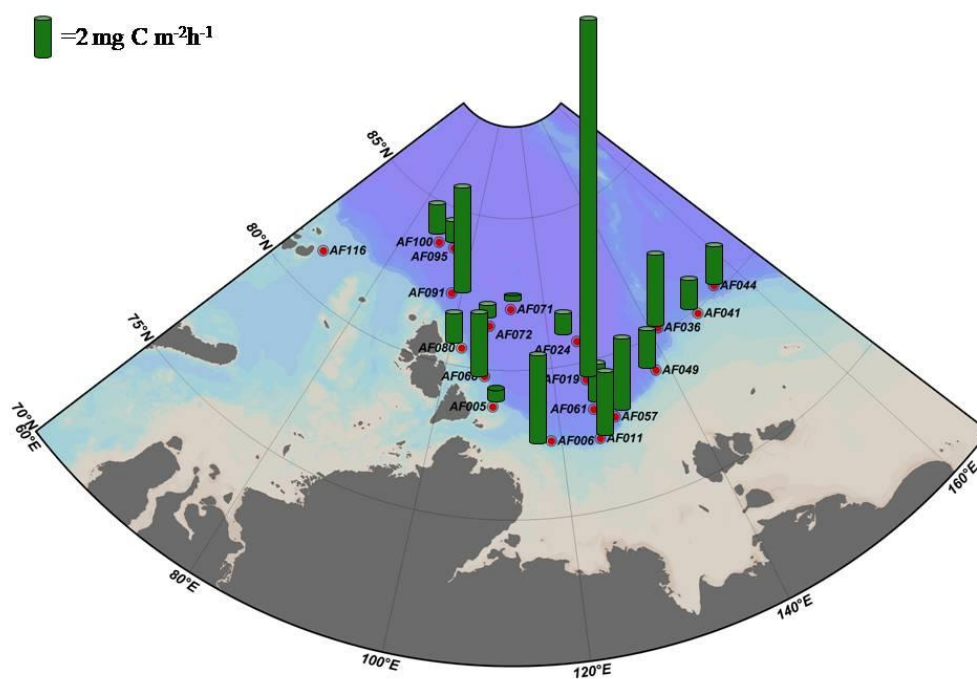
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672 Figure 2. Depth wise small phytoplankton uptake rates of C,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  in the Kara, Laptev, and East Siberian Sea.

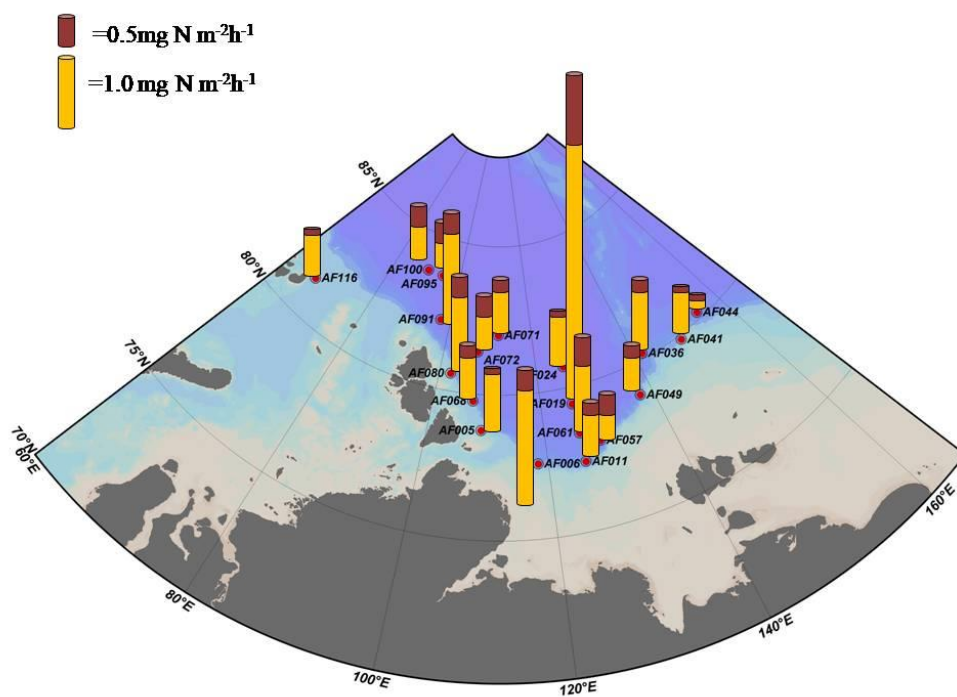
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677 Figure 3. The depth integrated small phytoplankton C uptake rates in the sampling locations.

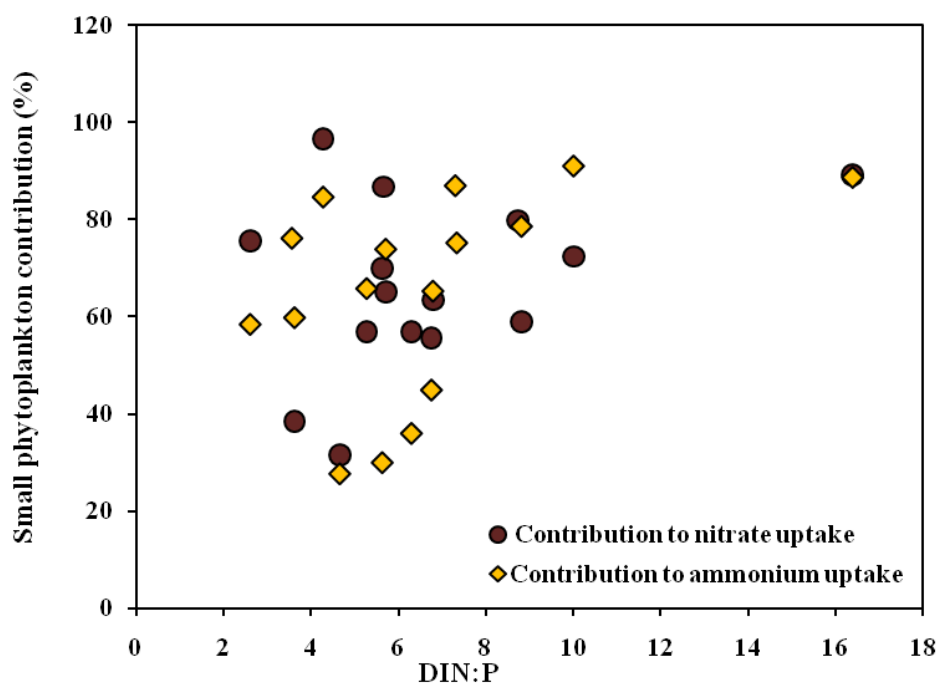
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680 Figure 4. The depth integrated small phytoplankton  $\text{NO}_3^-$  and  $\text{NH}_4^+$  uptake rates in the sampling locations. The maroon and yellow  
681 cylinders indicate the small phytoplankton  $\text{NO}_3^-$  and  $\text{NH}_4^+$  depth integrated uptake rates, respectively.

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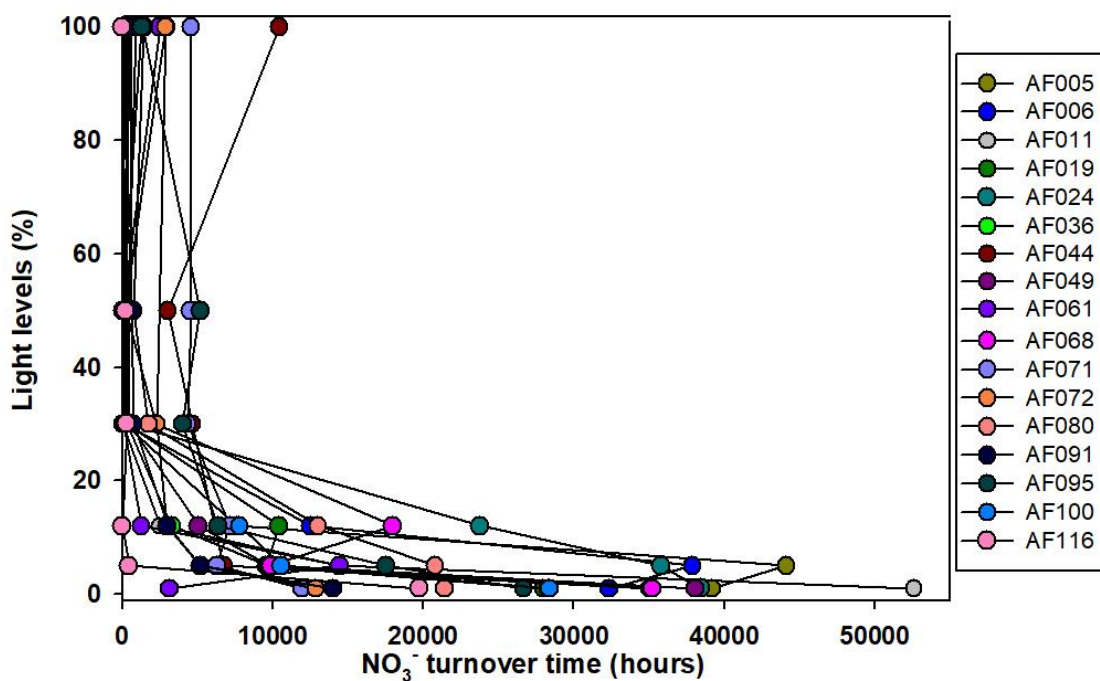
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684 Figure 5. The relationship of contribution of small phytoplankton towards the total  $\text{NO}_3^-$  and  $\text{NH}_4^+$  uptake rates with DIN:P.

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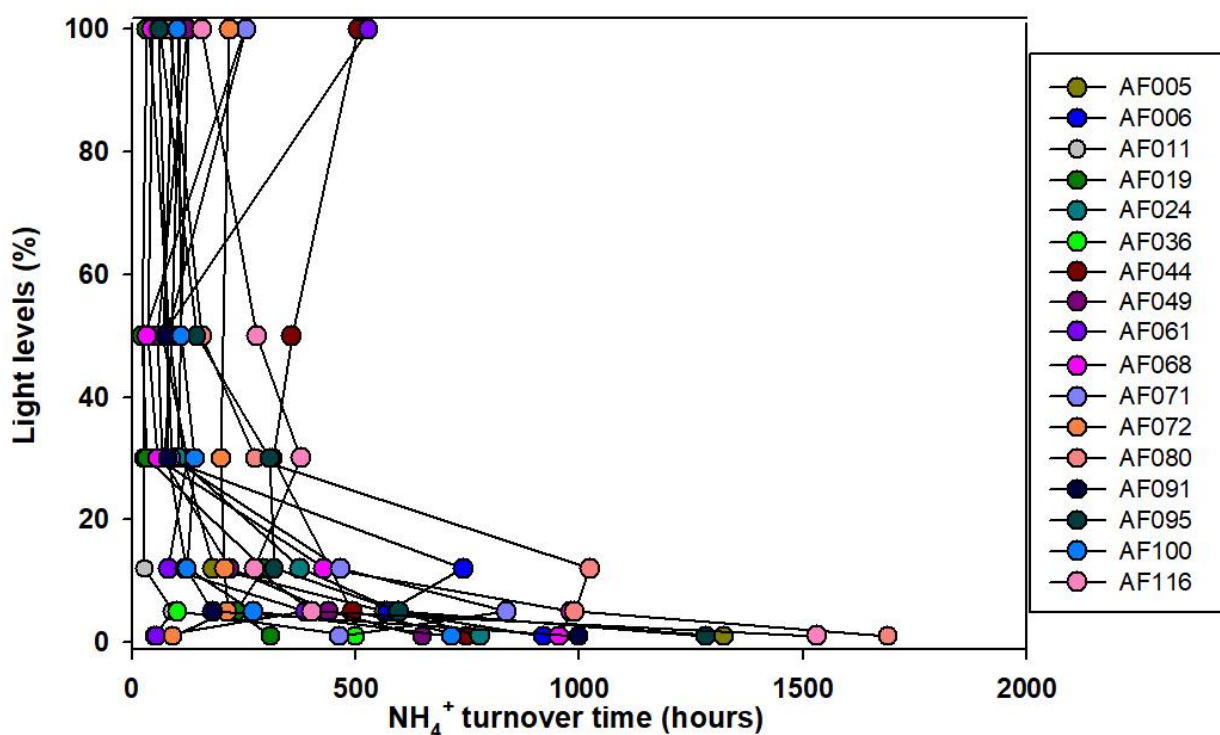




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688 Figure 6. Turnover time for the  $\text{NO}_3^-$  substrate, when small phytoplankton are the only consumers, in the sampling locations in the  
689 Arctic Ocean.

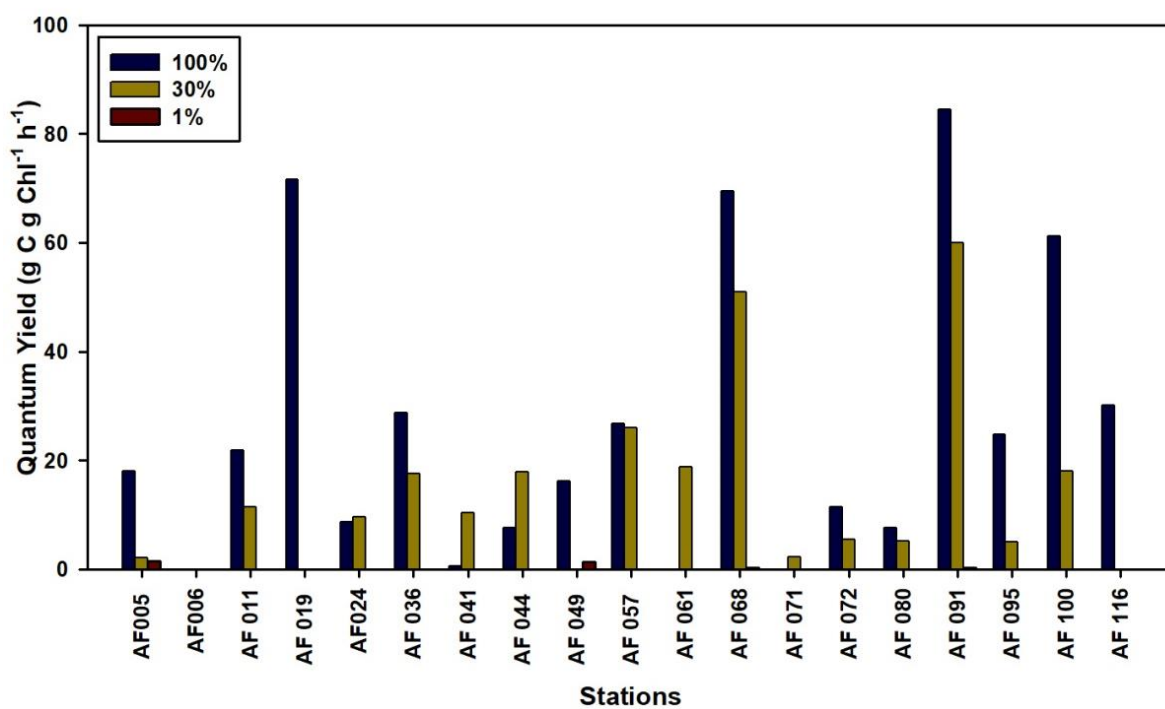
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692 Figure 7. Turnover times for the  $\text{NH}_4^+$  substrate, when small phytoplankton are the only consumers, in the sampling locations.

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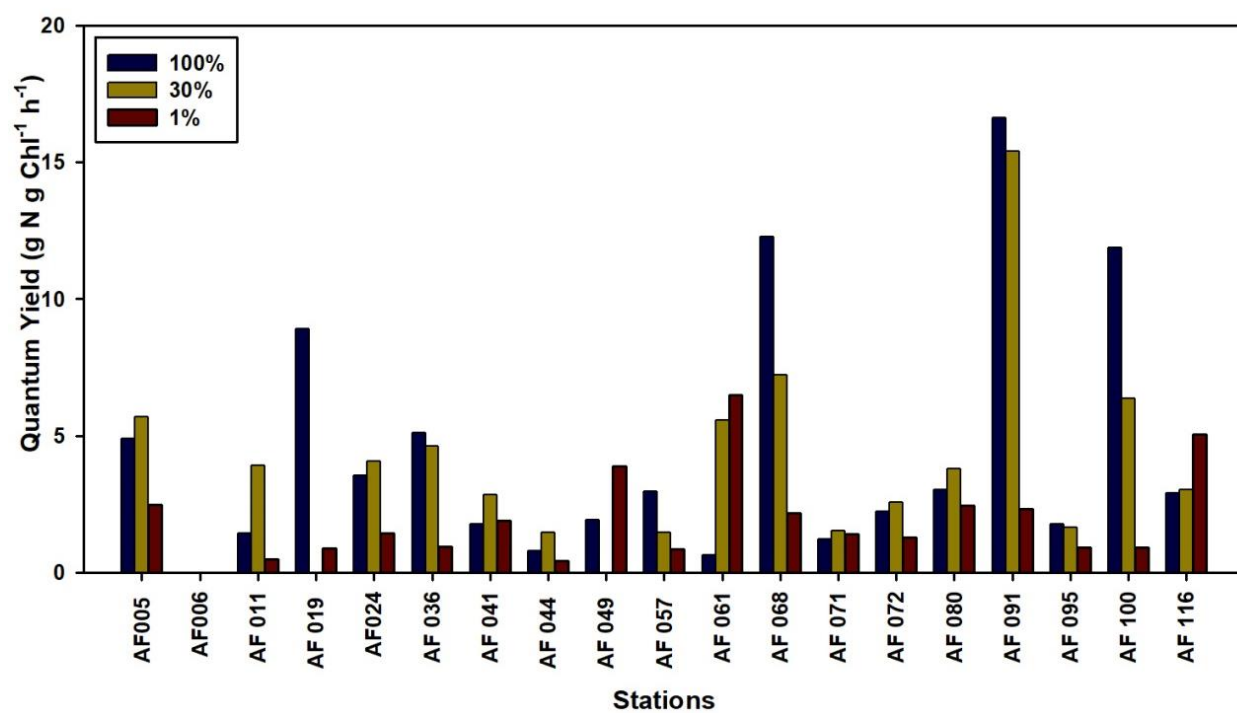


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695 Figure 8. Quantum C Yield of small phytoplankton in the sampling locations.

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699 Figure 9. Quantum N yield of small phytoplankton in the sampling locations.

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